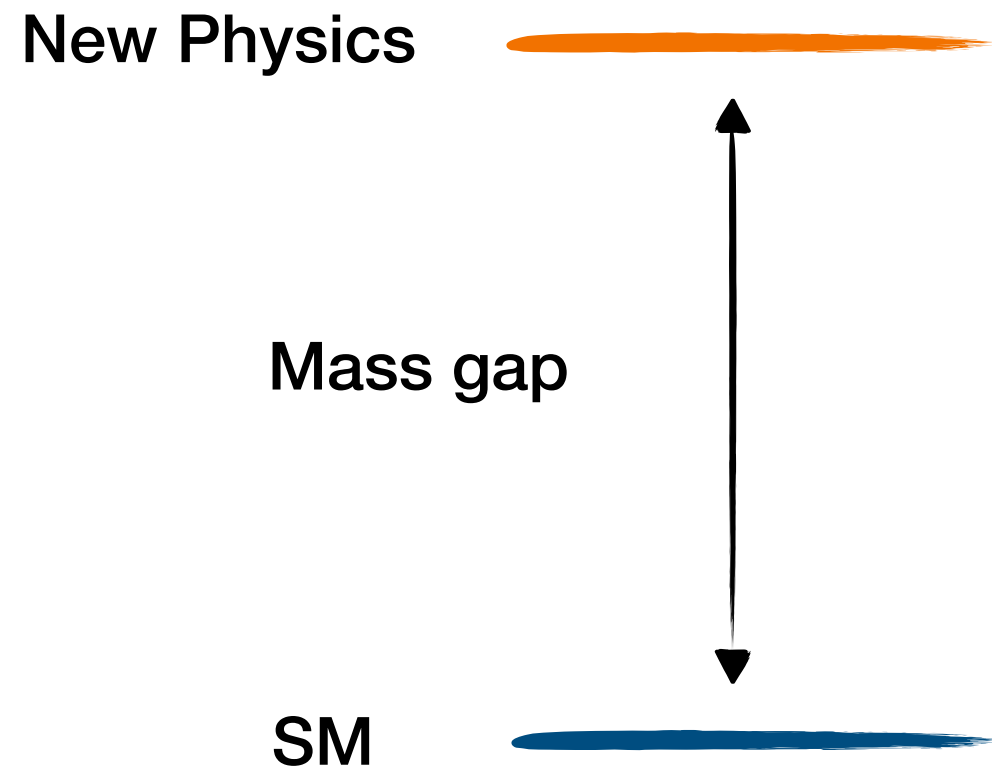


# Flavour bounds on axions and hidden photons

Martin Bauer

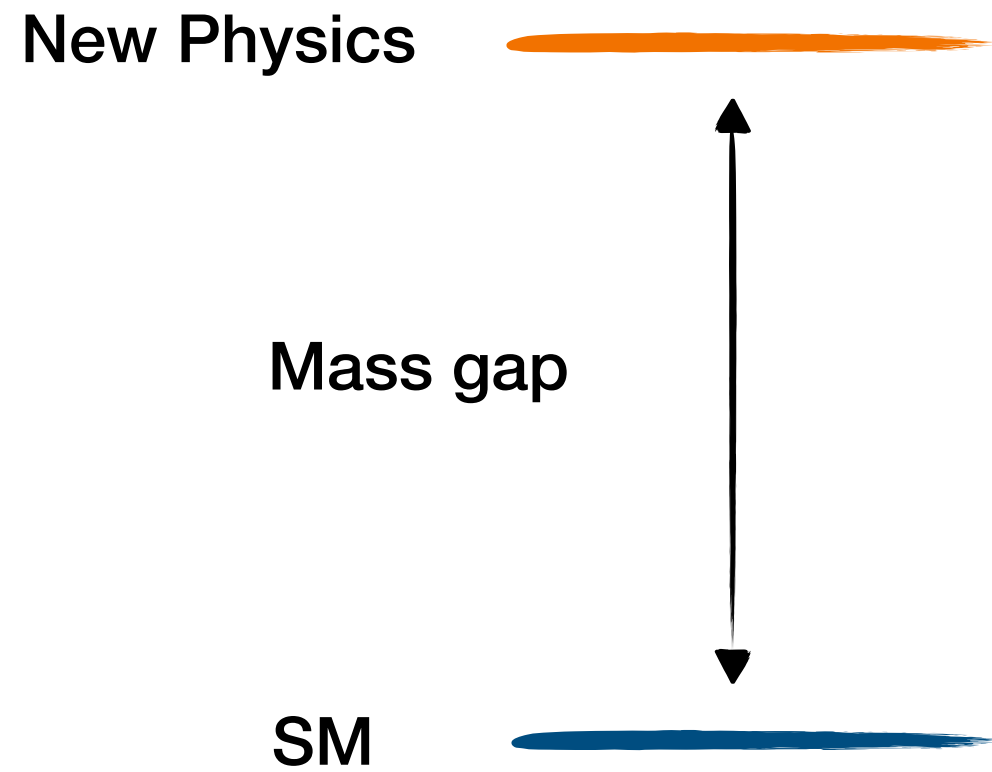


# Landscape of new physics

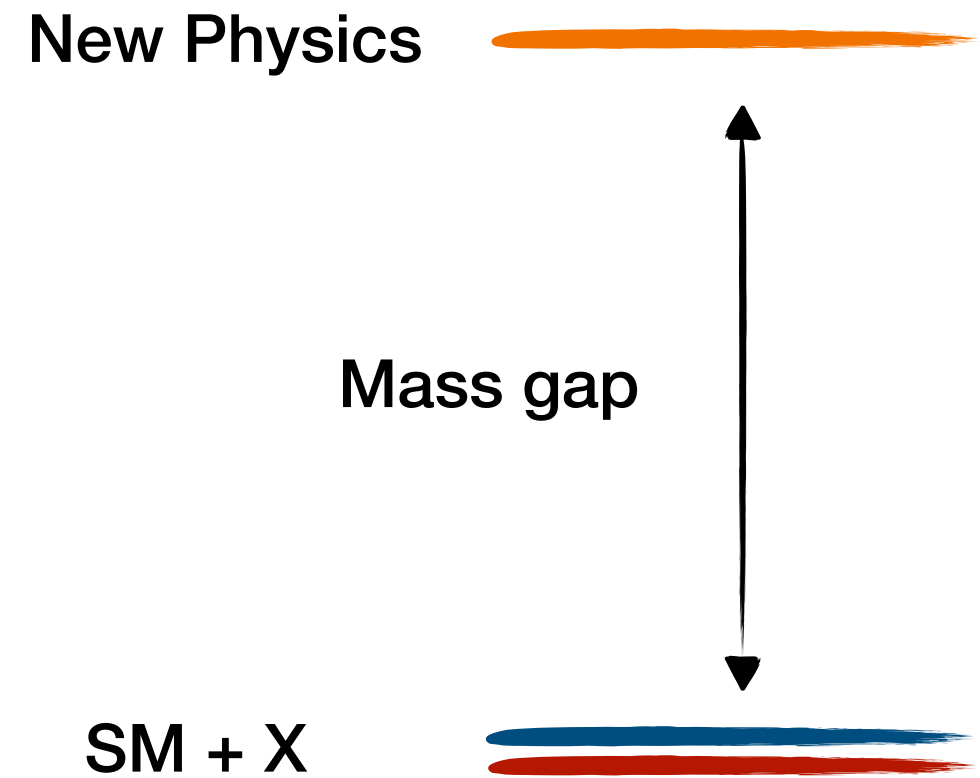


$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}^5 + \dots$$

# Landscape of new physics



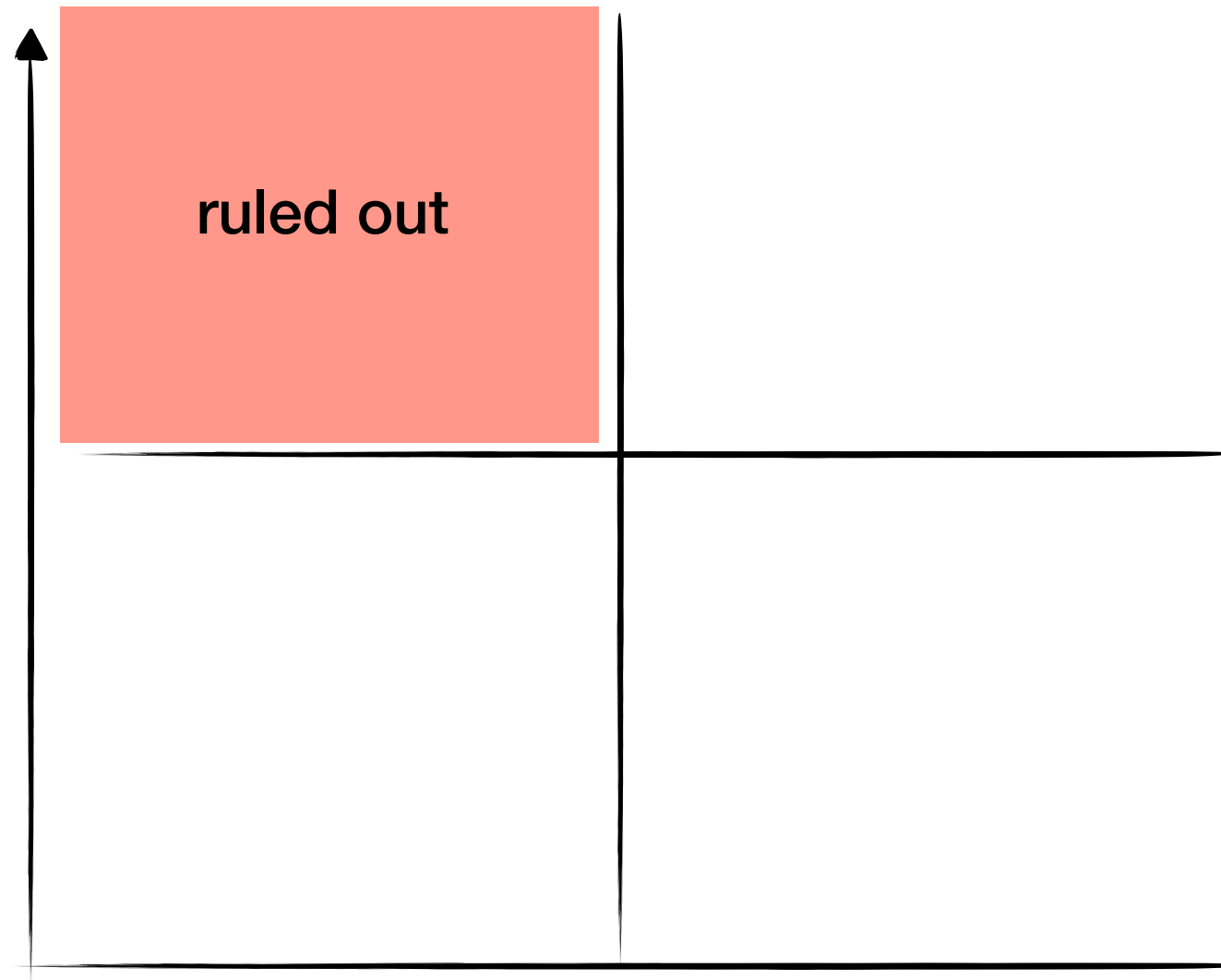
$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}^5 + \dots$$



$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_X + \dots$$

# Landscape of new physics

New Physics  
couplings

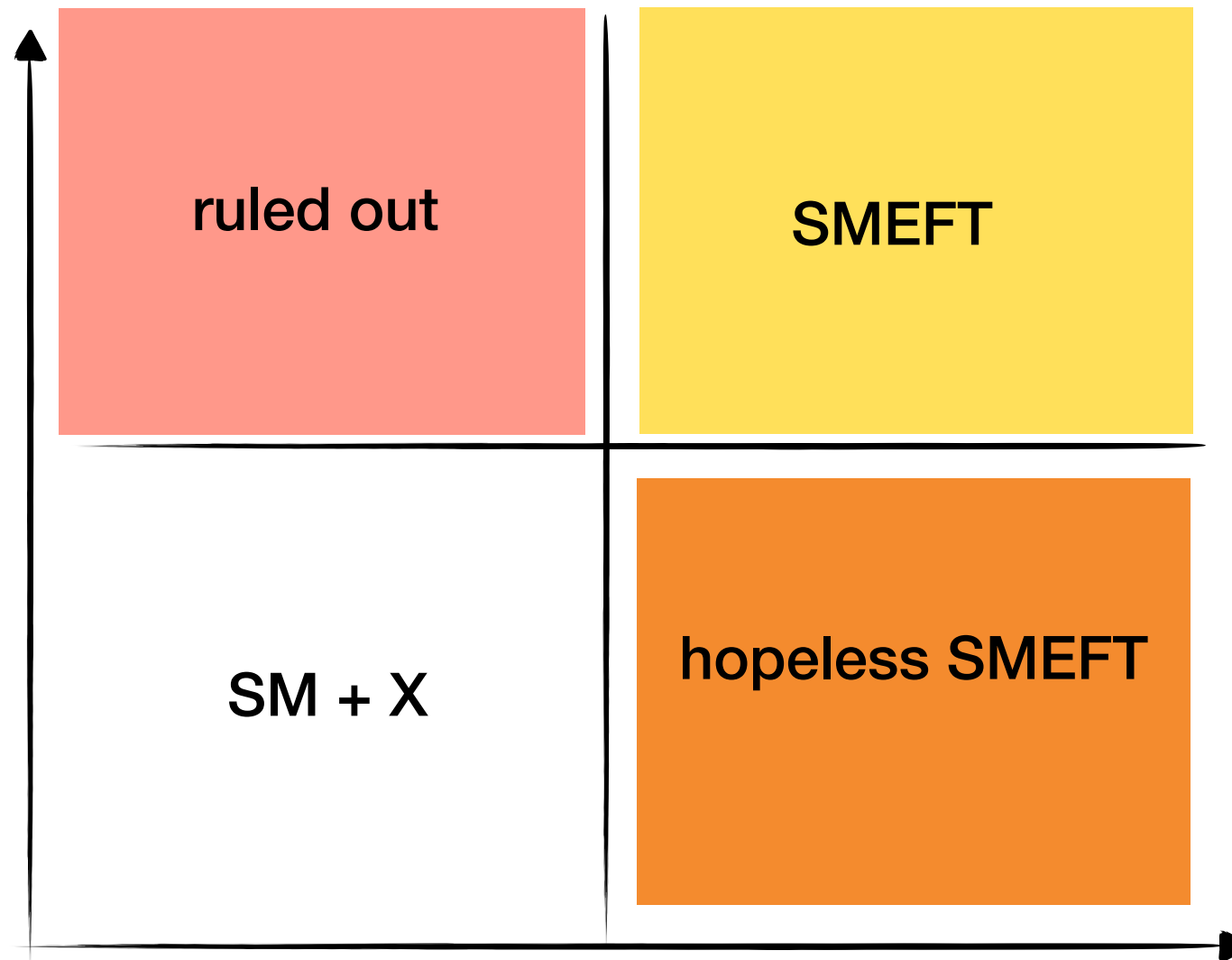


New Physics  
Mass



# Landscape of new physics

New Physics  
couplings



New Physics  
Mass

Why should there be *any* new physics that is light and weakly coupled?

# Light new physics ?

Three examples: Goldstone bosons

Hidden Photons

Sterile Neutrinos

# Light new physics ?

## First example: Goldstone bosons

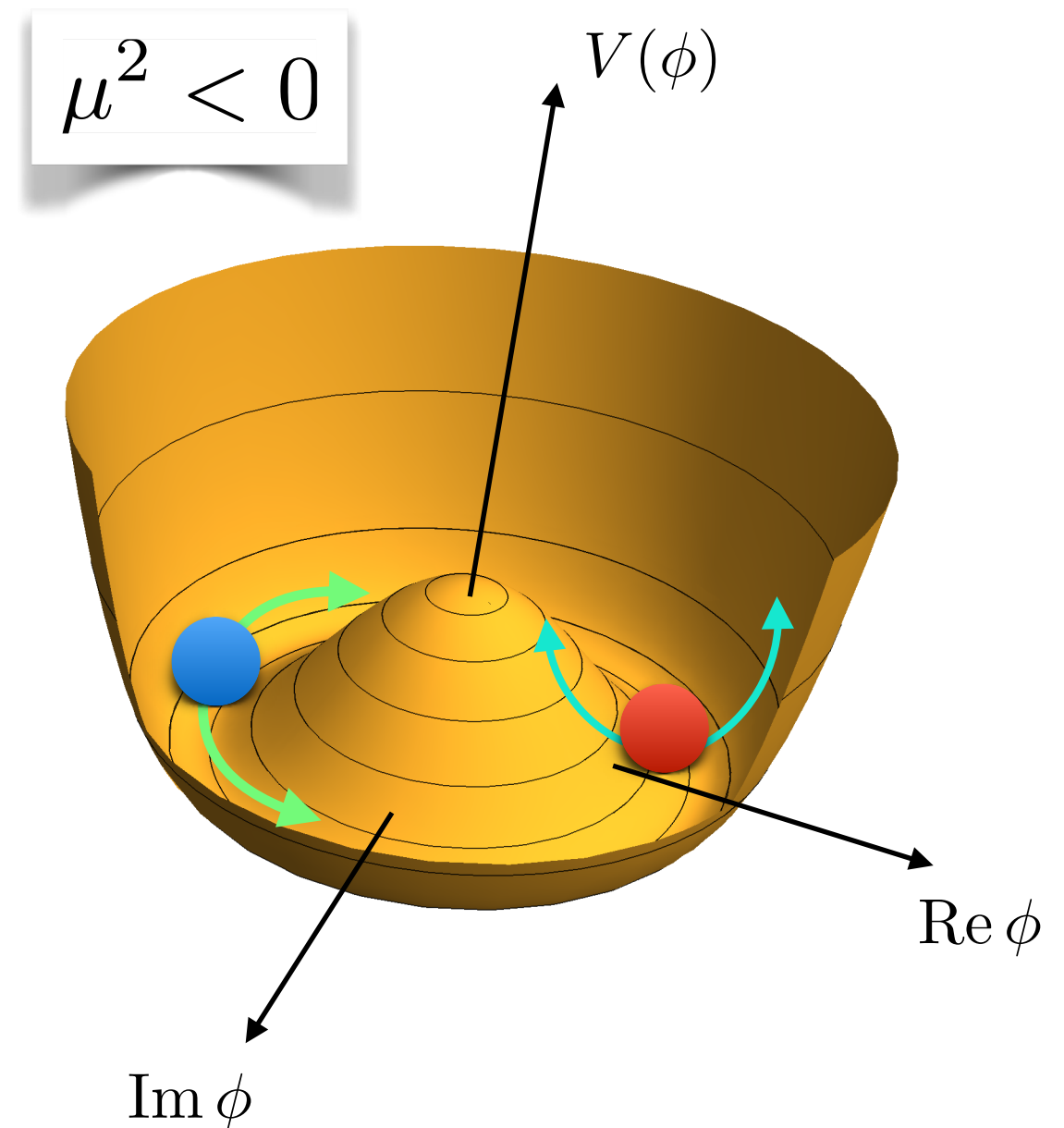
Every spontaneously broken continuous symmetry gives rise to massless spin-0 fields.

$$V(\phi) = \mu^2 \phi \phi^\dagger + \lambda (\phi \phi^\dagger)^2$$

$$\phi = (f + s)e^{ia/f}$$

$$m_s^2 = 4\lambda f^2 = |\mu^2|$$

$$m_a^2 = 0$$



# Goldstone bosons

Since the GB corresponds to the phase of a complex field, it is protected by a shift symmetry

$$\phi = (f + s)e^{ia/f}$$

it is protected by a shift symmetry

$$e^{ia(x)/f} \rightarrow e^{i(a(x)+c)/f} = e^{ia(x)/f} e^{ic/f}$$

This symmetry forbids a mass term, and all couplings are suppressed by the UV scale

$$\mathcal{L} = \frac{1}{2} \partial_\mu a \partial^\mu a + c_\mu \frac{\partial^\nu a}{4\pi f} \bar{\mu} \gamma_\nu \mu + \dots$$

# Goldstone bosons

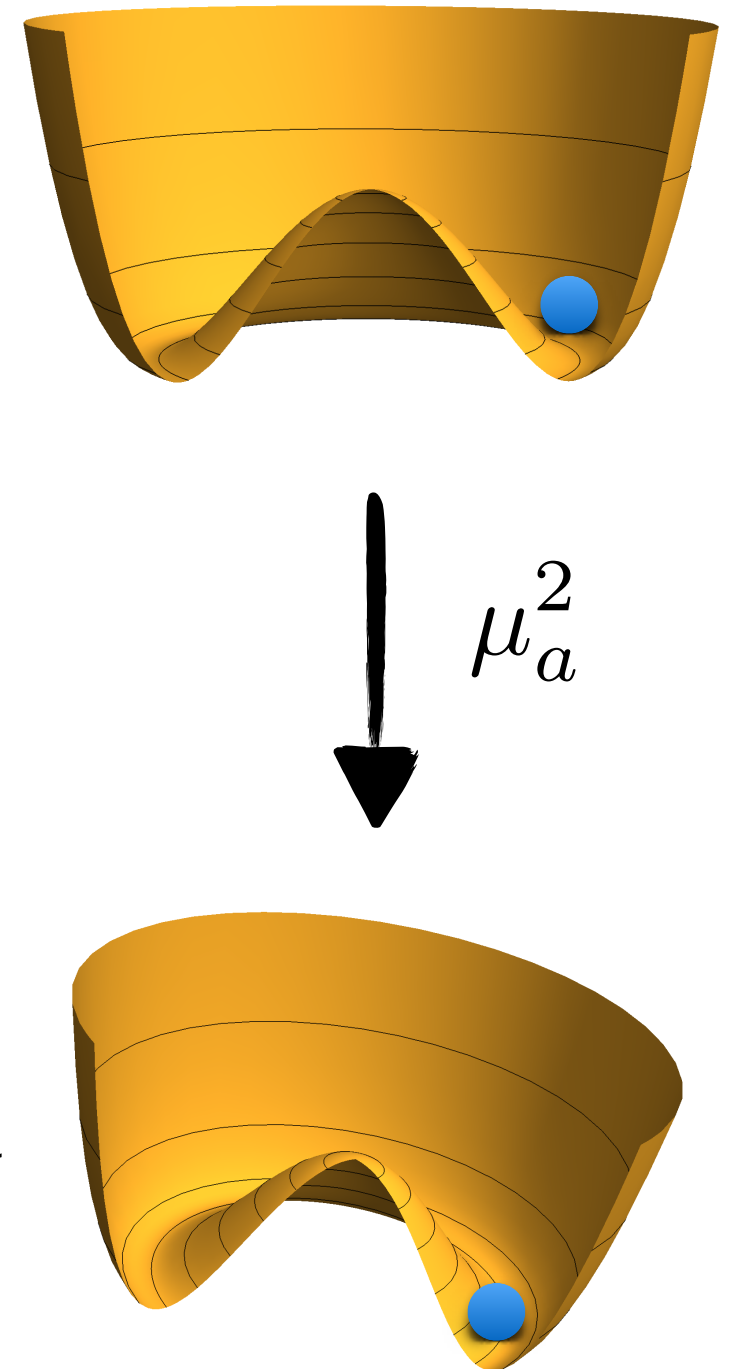
An exactly massless boson is very problematic.

The global symmetry can be broken by explicit masses or anomalous effects

$$\mathcal{L} = \frac{1}{2} \partial_\mu a \partial^\mu a + c_\mu \frac{\partial^\nu a}{4\pi f} \bar{\mu} \gamma_\nu \mu + \dots + \frac{1}{2} m_a^2 a^2$$

$$m_a = \frac{\mu_a^2}{f}$$

Small couplings correspond to small masses and a decoupled NP sector.



# Goldstone bosons



$\rho, P, N$

The most famous example is the pion

$$\mathcal{L}_{\text{QCD}} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R + m_q \bar{q}_L q_R$$

$$\langle \bar{q}_L q_R \rangle = \Lambda_{\text{QCD}}^3 \approx \text{GeV}^3$$

The pion mass is controlled by the explicit breaking through light quark masses

$$m_\pi^2 = \frac{m_u + m_d}{f_\pi^2} \Lambda_{\text{QCD}}^3 \approx (140 \text{ MeV})^2$$

$\pi$



# Goldstone bosons



The most famous example is the pion

NP at f

$$\mathcal{L}_{\text{QCD}} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R + m_q \bar{q}_L q_R$$

$$\langle \bar{q}_L q_R \rangle = \Lambda_{\text{QCD}}^3 \approx \text{GeV}^3$$

The pion mass is controlled by the explicit breaking through light quark masses

$$m_\pi^2 = \frac{m_u + m_d}{f_\pi^2} \Lambda_{\text{QCD}}^3 \approx (140 \text{ MeV})^2$$

axion



# Axionlike particles

Most general dimension five Lagrangian at the UV scale

$$\begin{aligned} \mathcal{L}_{\text{eff}}^{D \leq 5} = & \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.}) \\ & + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} . \end{aligned}$$

All couplings are suppressed by the UV scale  $f$



# Axionlike particles

Most general dimension five Lagrangian at the UV scale

$$\begin{aligned}
 \mathcal{L}_{\text{eff}}^{D \leq 5} = & \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.}) \\
 & + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} .
 \end{aligned}$$

explicit mass term  $\rightarrow$   $\frac{m_{a,0}^2}{2} a^2$   
 couplings to fermions  $F=Q,u,d,L,e$   $\rightarrow$   $\frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F$   
 coupling to the Higgs current  $\rightarrow$   $c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.})$   
 coupling to gluons  $\rightarrow$   $c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a}$   
 coupling to  $SU(2)_L$  gauge bosons  $\rightarrow$   $c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A}$   
 coupling to hypercharge  $\rightarrow$   $c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu}$

All couplings are suppressed by the UV scale  $f$

# Axionlike particles

This Lagrangian captures all possible ALP coupling structures up to dimension 5.

It is easy to imagine scenarios in which a single coupling dominates:

For example: A UV theory in which the ALP couples only to  $SU(2)_L$  gauge bosons

$$\mathcal{L}_{\text{eff}}^{D \leq 5} = \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu} \tilde{W}^{\mu\nu}$$

# Axionlike particles

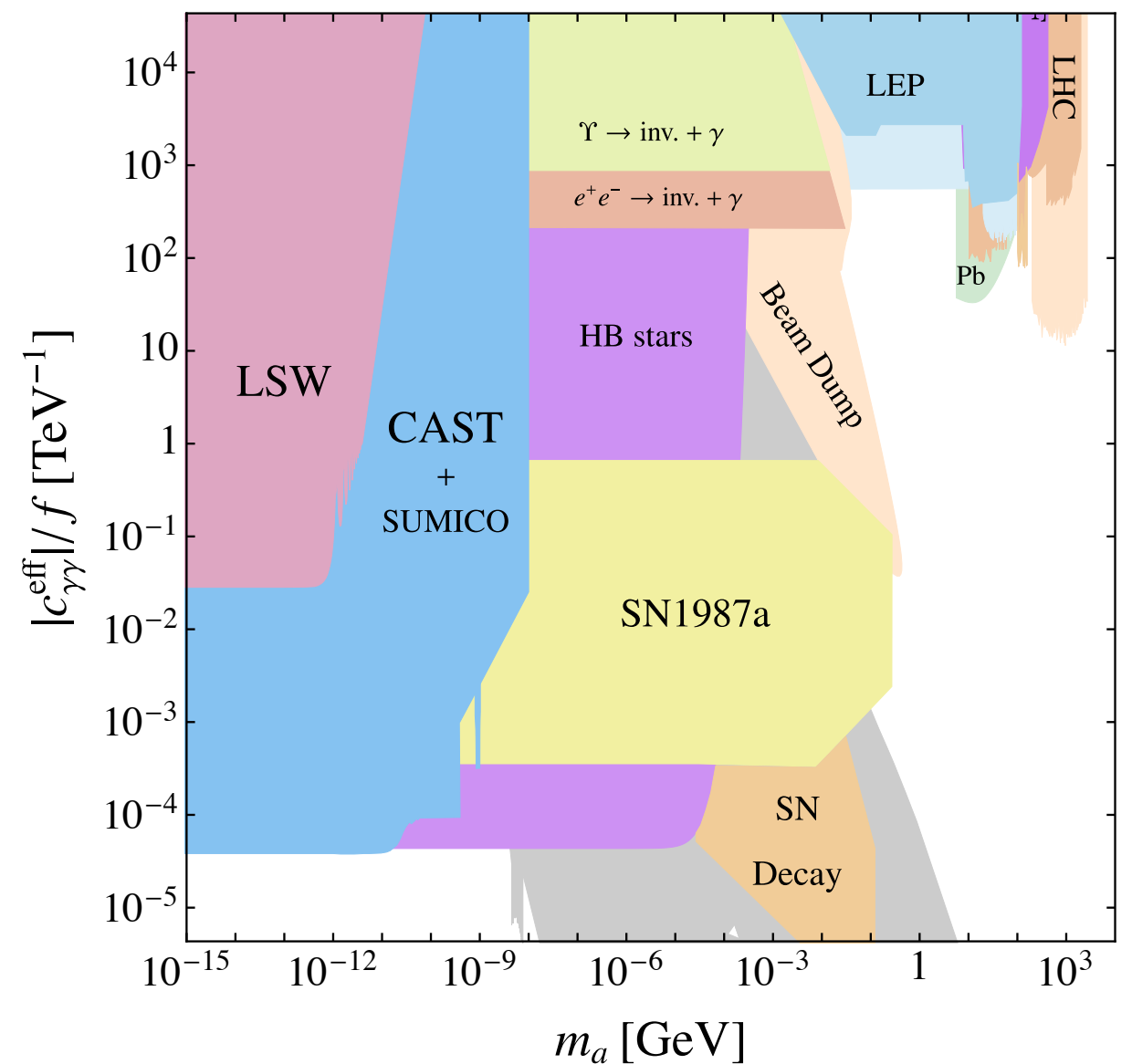
For example: A UV theory in which the ALP couples only to  $SU(2)_L$  gauge bosons

$$\mathcal{L}_{\text{eff}}^{D \leq 5} = \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu} \tilde{W}^{\mu\nu}$$

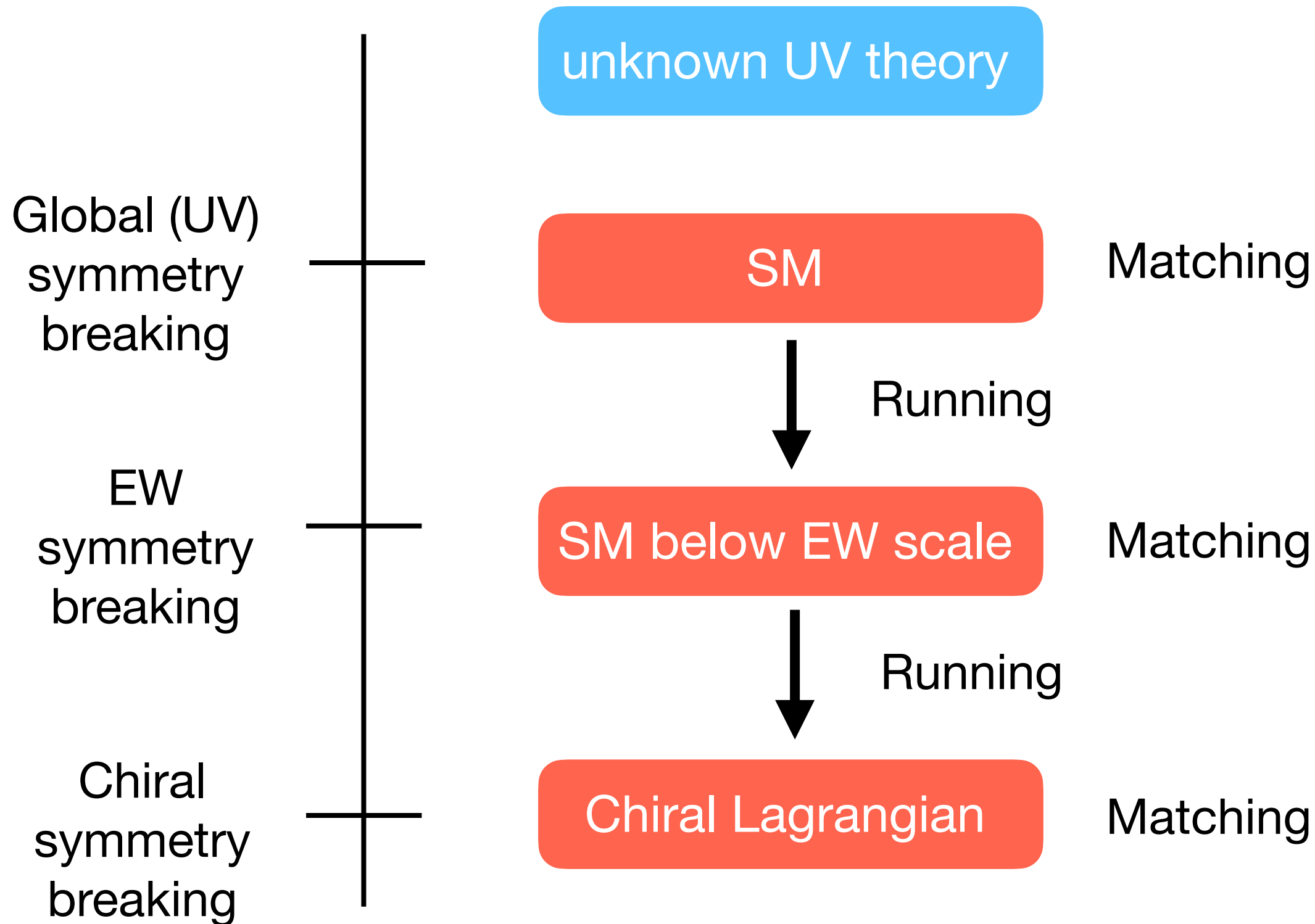
After EW symmetry breaking this ALP couples to photons.

$$W_\mu^3 = s_w A_\mu + c_w Z_\mu$$

But at higher loop order it couples to fermions



# ALPs at different scales

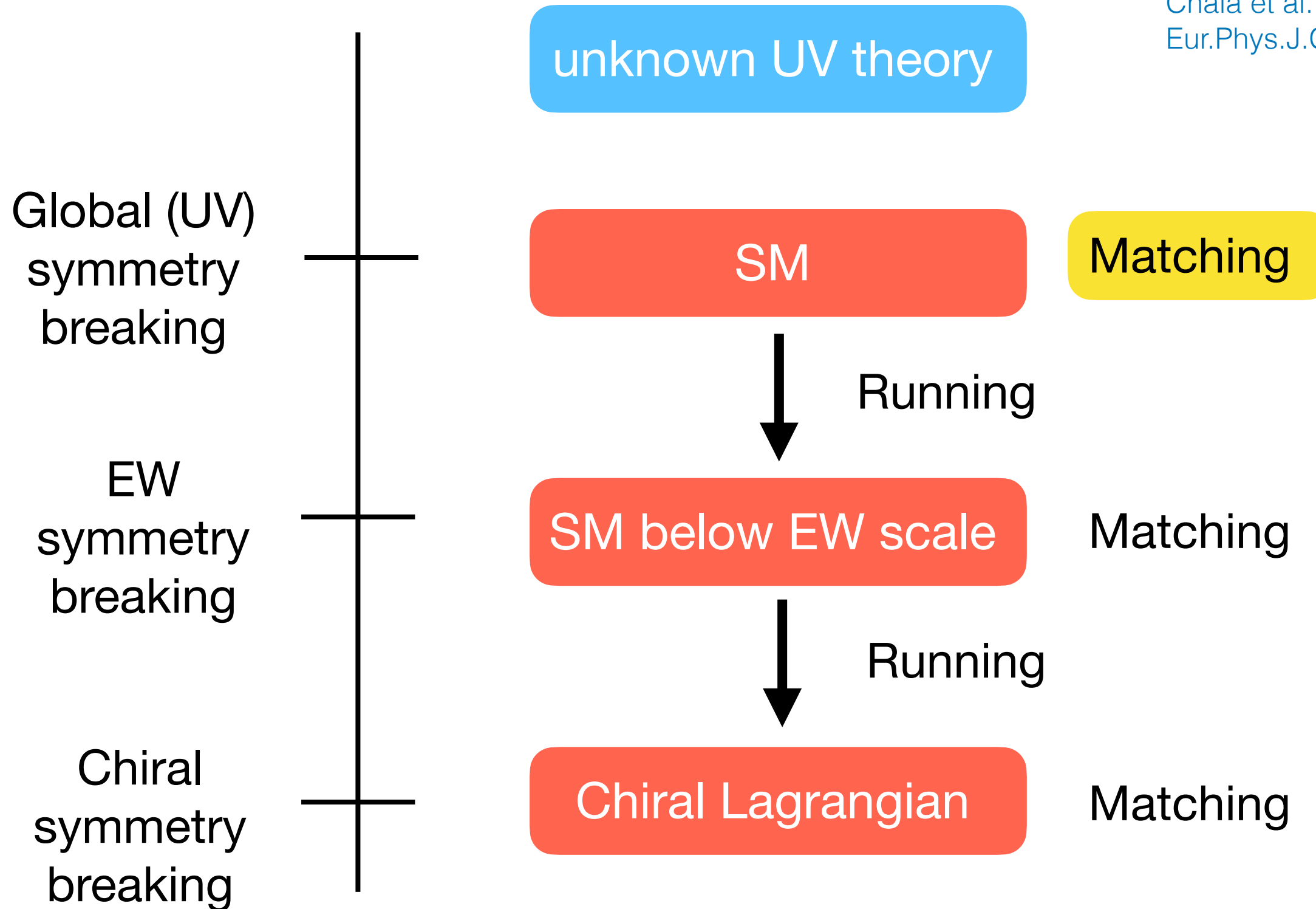


# ALPs at different scales

MB, Neubert, Renner, Schnubel, Thamm, *JHEP* 04 (2021) 063

MB, Neubert, Renner, Schnubel, Thamm, [2102.13112](#), PRL. 127

Chala et al., *Eur.Phys.J.C* 81 (2021) 2

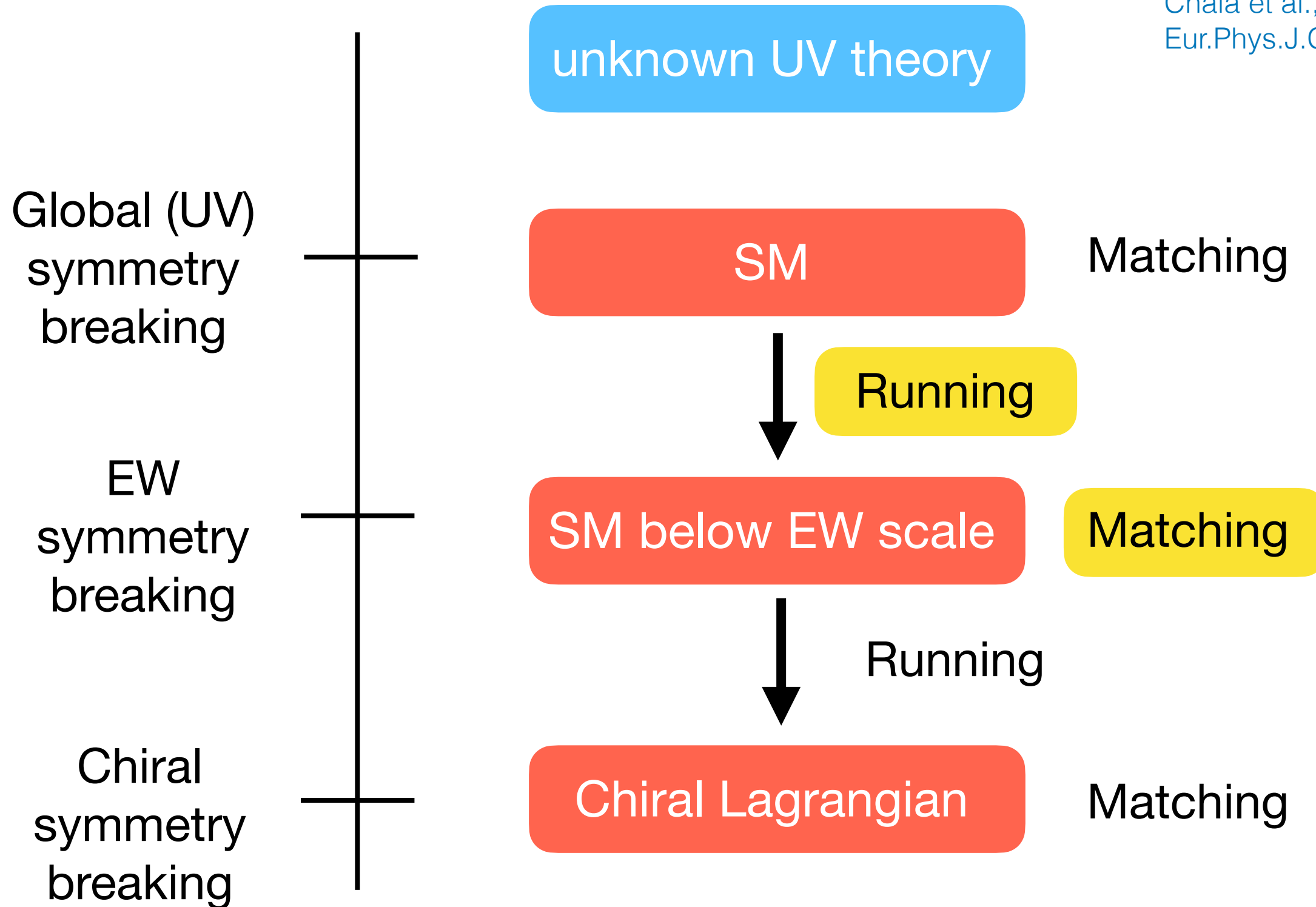


# ALPs at different scales

MB, Neubert, Renner, Schnubel, Thamm, *JHEP* 04 (2021) 063

MB, Neubert, Renner, Schnubel, Thamm, [2102.13112](#), PRL. 127

Chala et al., *Eur.Phys.J.C* 81 (2021) 2

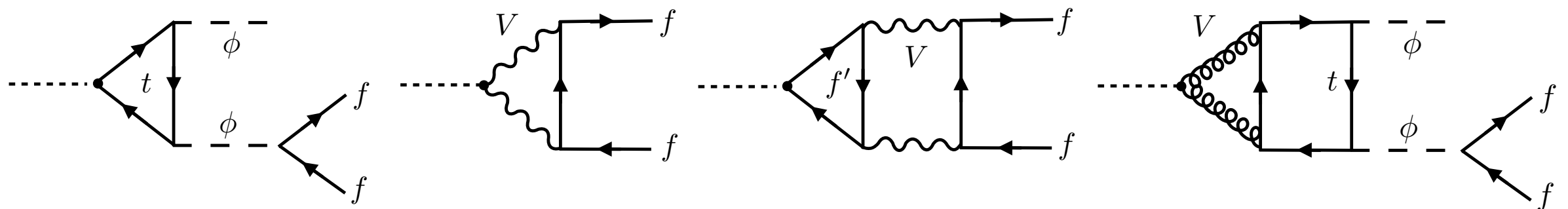


# Running and matching at the weak scale

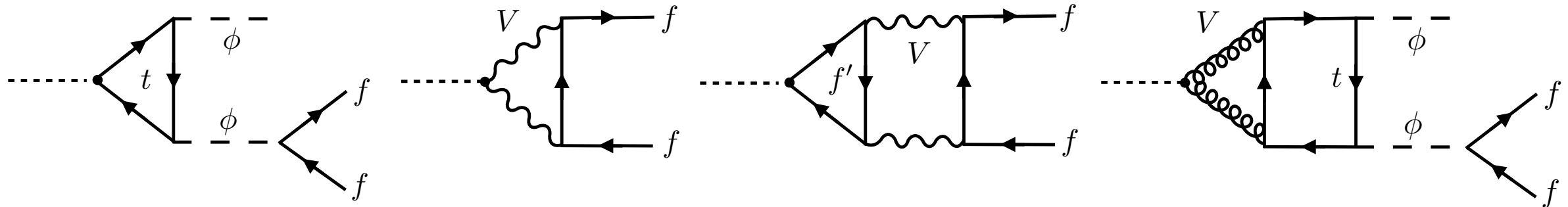
- The gauge boson couplings do not run

$$\frac{d}{d \ln \mu} c_{VV}(\mu) = 0; \quad V = G, W, B \quad \text{Bardeen et al. Nucl. Phys. B 535,(1998)}$$

- Neither are there matching contributions at 1-loop
- The running and matching of ALP fermion couplings receives various contributions



# Flavor diagonal ALP-fermion couplings



ALP fermion couplings at the weak scale for  $f = 1 \text{ TeV}$

$$c_{uu,cc}(m_t) \simeq c_{uu,cc}(\Lambda) - 0.116 c_{tt}(\Lambda) - \left[ 6.35 \tilde{c}_{GG}(\Lambda) + 0.19 \tilde{c}_{WW}(\Lambda) + 0.02 \tilde{c}_{BB}(\Lambda) \right] \cdot 10^{-3},$$

$$c_{dd,ss}(m_t) \simeq c_{dd,ss}(\Lambda) + 0.116 c_{tt}(\Lambda) - \left[ 7.08 \tilde{c}_{GG}(\Lambda) + 0.22 \tilde{c}_{WW}(\Lambda) + 0.005 \tilde{c}_{BB}(\Lambda) \right] \cdot 10^{-3},$$

$$c_{bb}(m_t) \simeq c_{bb}(\Lambda) + 0.097 c_{tt}(\Lambda) - \left[ 7.02 \tilde{c}_{GG}(\Lambda) + 0.19 \tilde{c}_{WW}(\Lambda) + 0.005 \tilde{c}_{BB}(\Lambda) \right] \cdot 10^{-3},$$

$$c_{e_i e_i}(m_t) \simeq c_{e_i e_i}(\Lambda) + 0.116 c_{tt}(\Lambda) - \left[ 0.37 \tilde{c}_{GG}(\Lambda) + 0.22 \tilde{c}_{WW}(\Lambda) + 0.05 \tilde{c}_{BB}(\Lambda) \right] \cdot 10^{-3}.$$

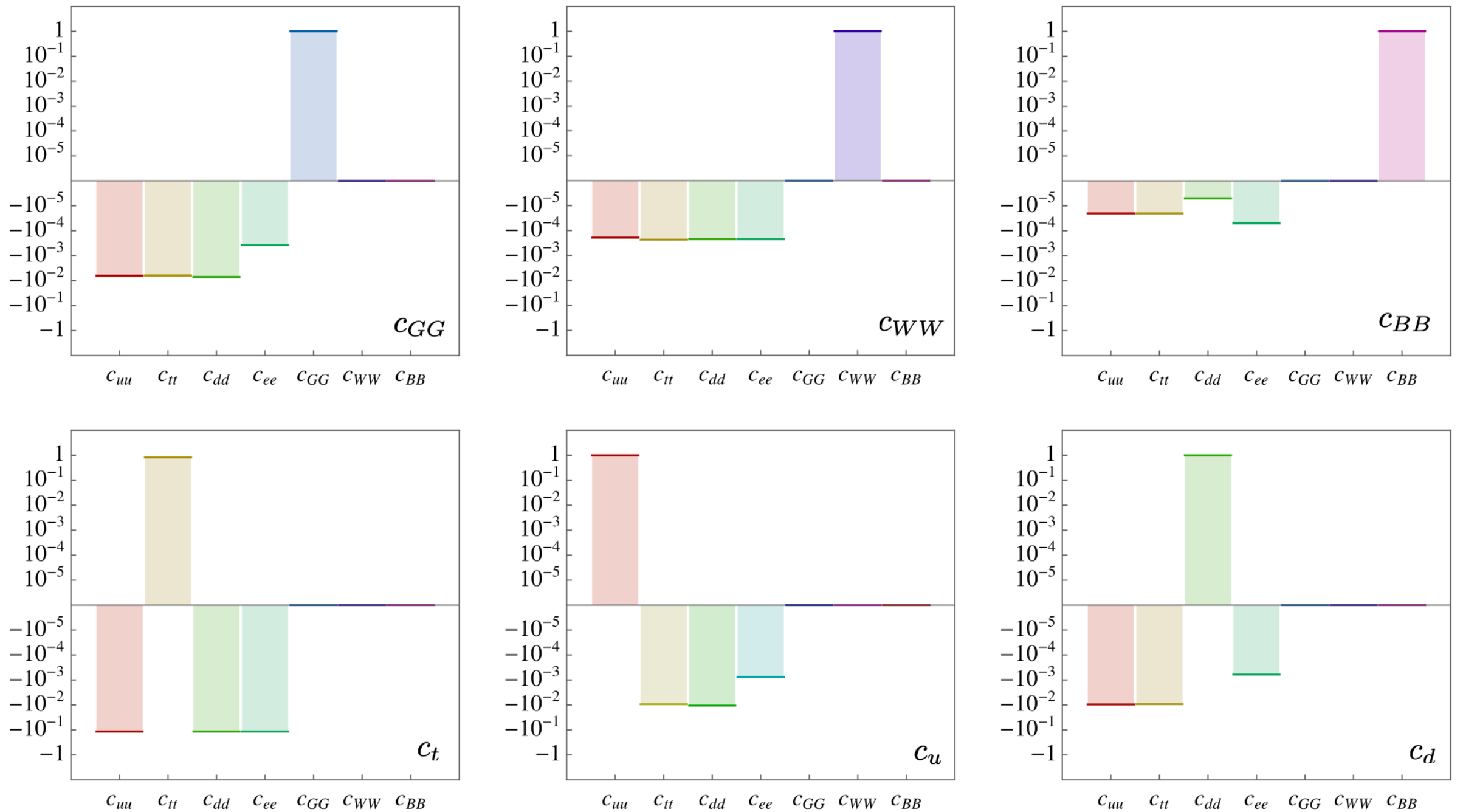
where we have defined

$$\mathcal{L} = \frac{c_{ff}}{2} \frac{\partial^\mu a}{\Lambda} \bar{f} \gamma_\mu \gamma_5 f$$



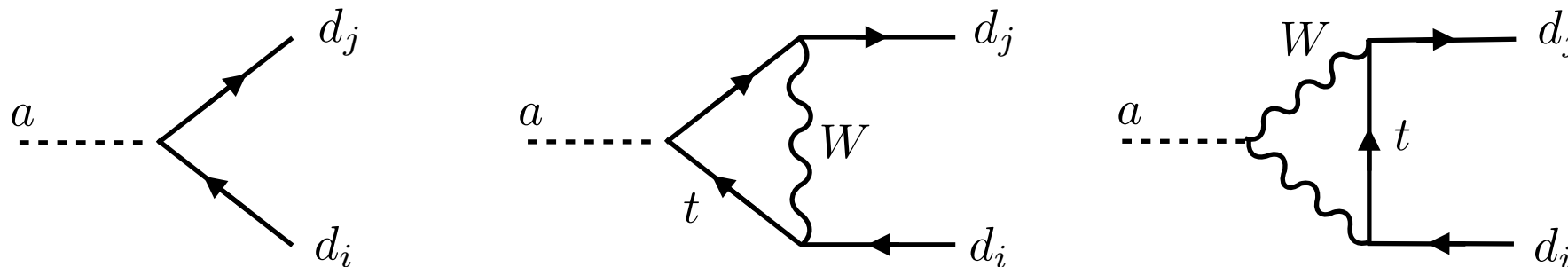
# Flavor diagonal ALP-fermion couplings

ALP fermion couplings at the weak scale for  $f = 1 \text{ TeV}$



# Flavor off-diagonal ALP-fermion couplings

Flavor violation can come from the UV theory or from the SM

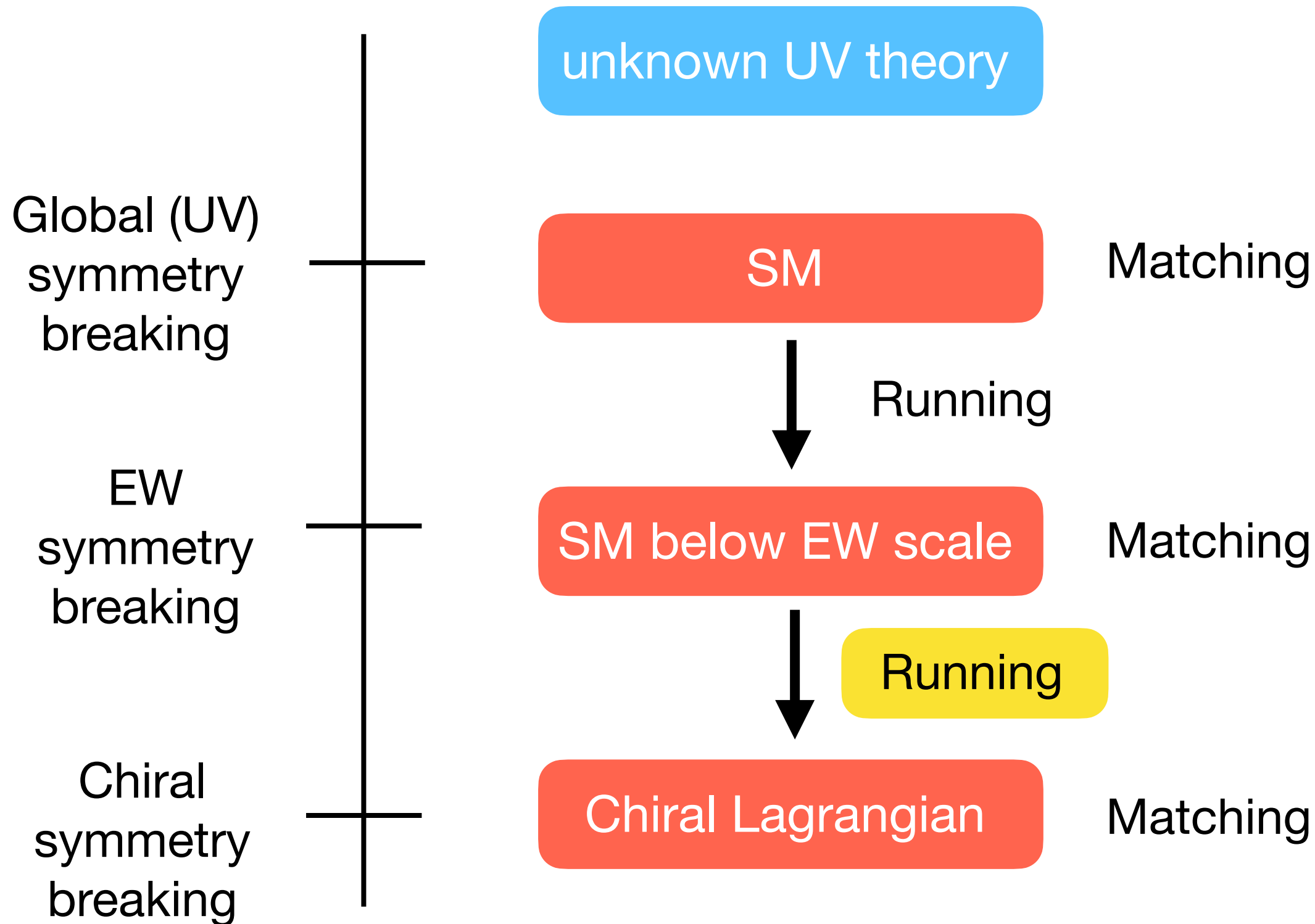


Assuming MFV (only  $y_t \neq 0$ ) for  $f = 1$  TeV

$$[k_U(\mu_w)]_{ij} = [k_u(\mu_w)]_{ij} = [k_d(\mu_w)]_{ij} = [k_E(\mu_w)]_{ij} = [k_e(\mu_w)]_{ij} = 0$$

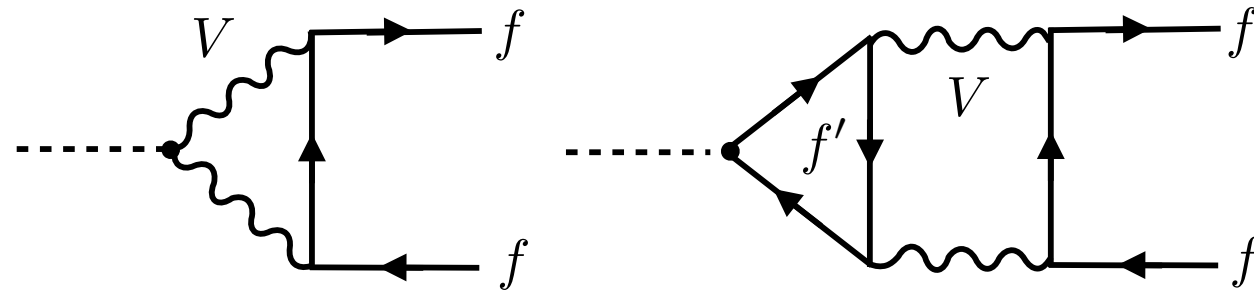
$$[k_D(m_t)]_{ij} \simeq [k_D(\Lambda)]_{ij} + 0.019 V_{ti}^* V_{tj} \left[ c_{tt}(\Lambda) - 0.0032 \tilde{c}_{GG}(\Lambda) - 0.0057 \tilde{c}_{WW}(\Lambda) \right]$$

# ALPs at different scales



# Running below the EW scale

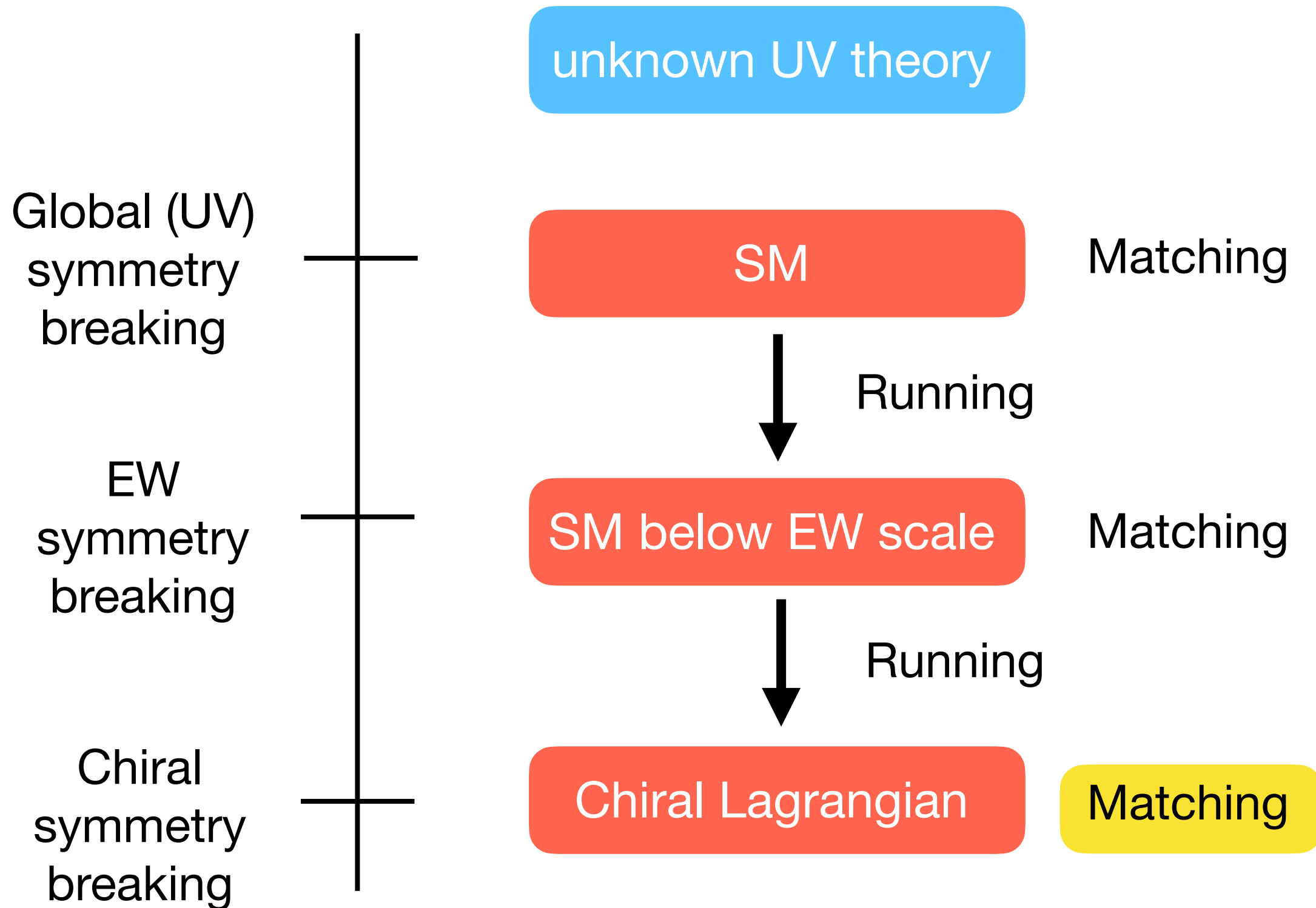
Running below the weak scale affects only flavor-diagonal ALP fermion couplings (running to 2 GeV)



$$c_{qq}(\mu_0) = c_{qq}(m_t) + \left[ 3.0 \tilde{c}_{GG}(\Lambda) - 1.4 c_{tt}(\Lambda) - 0.6 c_{bb}(\Lambda) \right] \cdot 10^{-2} \\ + Q_q^2 \left[ 3.9 \tilde{c}_{\gamma\gamma}(\Lambda) - 4.7 c_{tt}(\Lambda) - 0.2 c_{bb}(\Lambda) \right] \cdot 10^{-5},$$

$$c_{ll}(\mu_0) = c_{ll}(m_t) + \left[ 3.9 \tilde{c}_{\gamma\gamma}(\Lambda) - 4.7 c_{tt}(\Lambda) - 0.2 c_{bb}(\Lambda) \right] \cdot 10^{-5}.$$

# ALPs at different scales



# Matching to the chiral Lagrangian

The chiral Lagrangian + ALP then reads

$$\begin{aligned}\mathcal{L}_{\text{eff}}^{\chi} = & \frac{f_{\pi}^2}{8} \text{Tr}[\mathbf{D}^{\mu}\Sigma (\mathbf{D}_{\mu}\Sigma)^{\dagger}] + \frac{f_{\pi}^2}{4} B_0 \text{Tr}[\hat{\mathbf{m}}_q(a)\Sigma^{\dagger} + \text{h.c.}] \\ & + \frac{1}{2} \partial^{\mu}a \partial_{\mu}a - \frac{m_{a,0}^2}{2} a^2 + \hat{c}_{\gamma\gamma} \frac{\alpha}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu},\end{aligned}$$

where  $\Sigma = \exp(i\sqrt{2}\mathbf{\Pi}/f_{\pi})$

$$\mathbf{\Pi} = \lambda_b \pi^b = \begin{pmatrix} \pi_0 + \sqrt{\frac{1}{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi_0 + \sqrt{\frac{1}{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}\bar{K}^0 & -2\sqrt{\frac{1}{3}}\eta \end{pmatrix}.$$

# Matching to the chiral Lagrangian

The chiral Lagrangian + ALP then reads

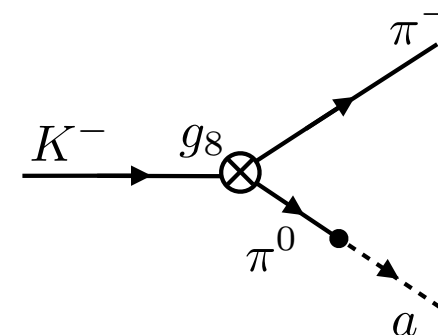
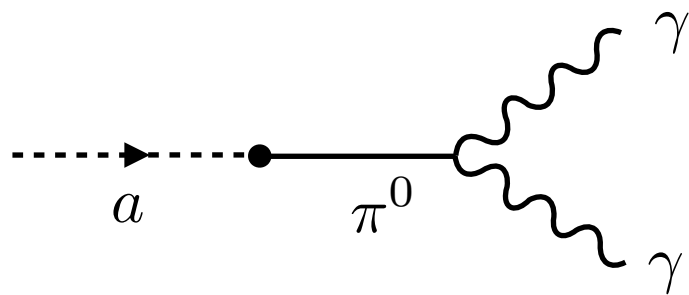
$$\mathcal{L}_{\text{eff}}^{\chi} = \frac{f_{\pi}^2}{8} \text{Tr}[\mathbf{D}^{\mu} \Sigma (\mathbf{D}_{\mu} \Sigma)^{\dagger}] + \frac{f_{\pi}^2}{4} B_0 \text{Tr}[\hat{\mathbf{m}}_q(a) \Sigma^{\dagger} + \text{h.c.}]$$

$$+ \frac{1}{2} \partial^{\mu} a \partial_{\mu} a - \frac{m_{a,0}^2}{2} a^2 + \hat{c}_{\gamma\gamma} \frac{\alpha}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu},$$

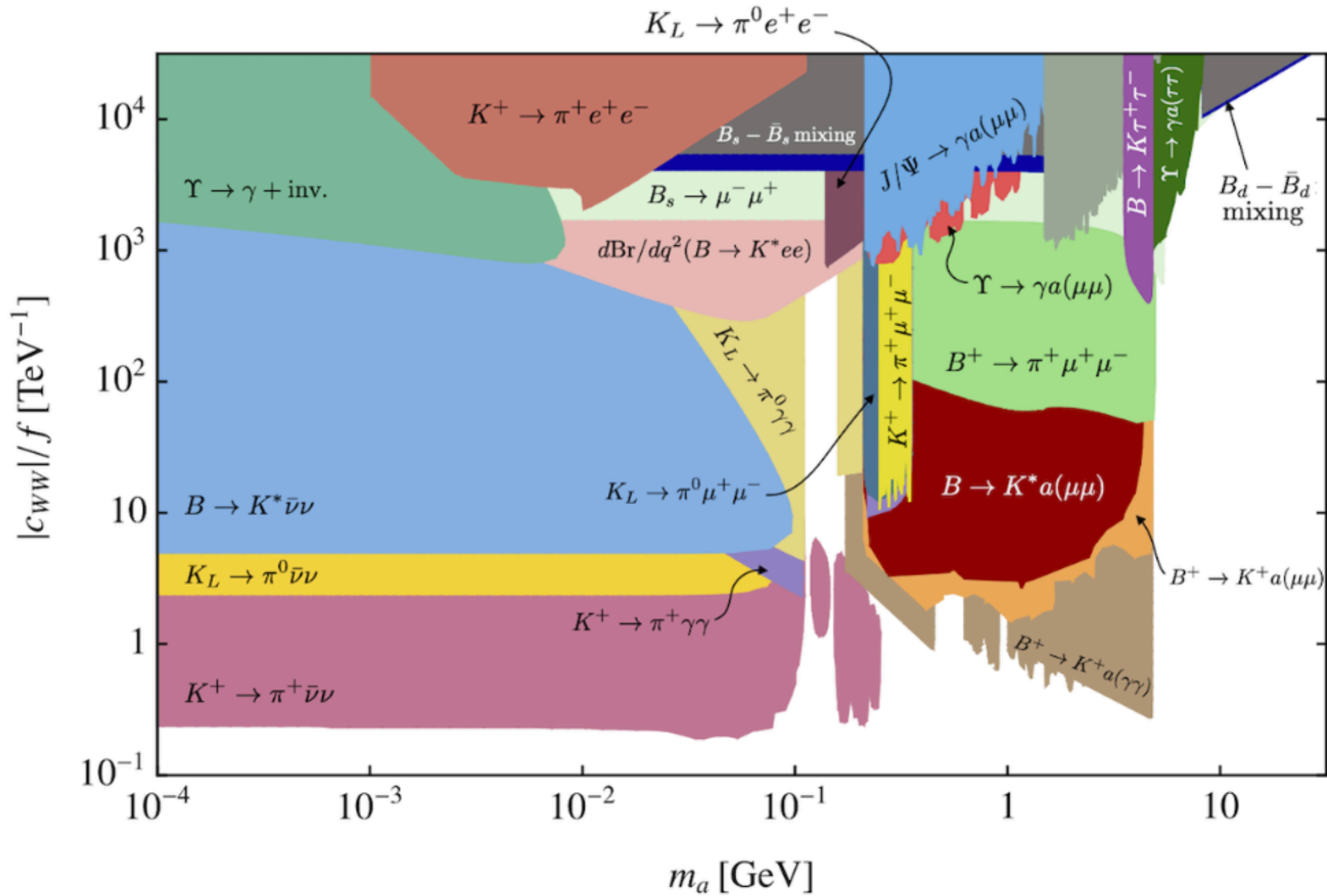
Turning on the weak interactions give rise to flavor changing couplings involving the ALP

$$\mathcal{L}_{\text{weak}} = -\frac{4G_F}{\sqrt{2}} V_{ud}^* V_{us} g_8 [L_{\mu} L^{\mu}]^{32}$$

$$L_{\mu} \equiv -\frac{if_{\pi}^2}{4} [\Sigma \hat{D}_{\mu} \Sigma^{\dagger}]$$

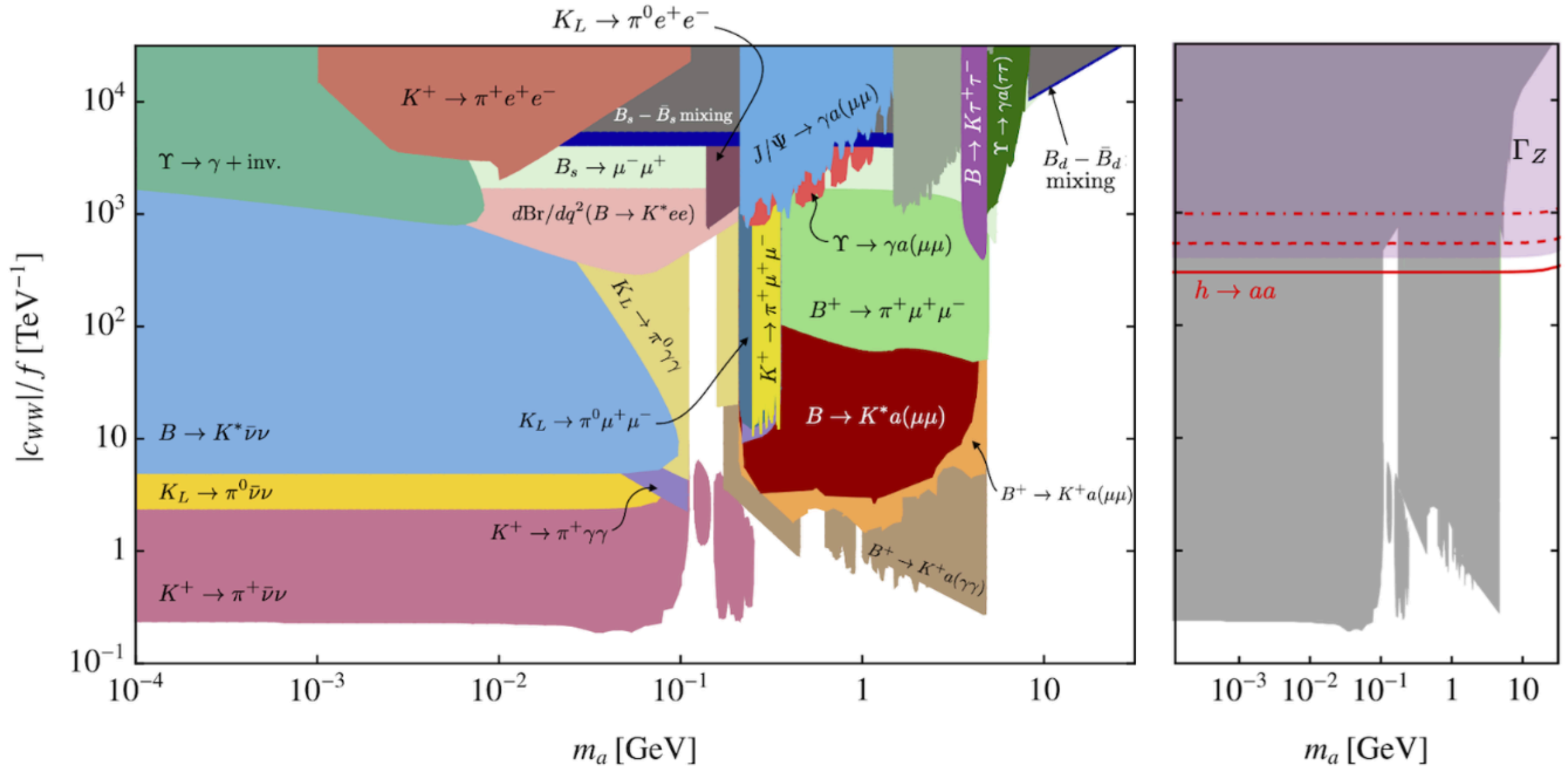
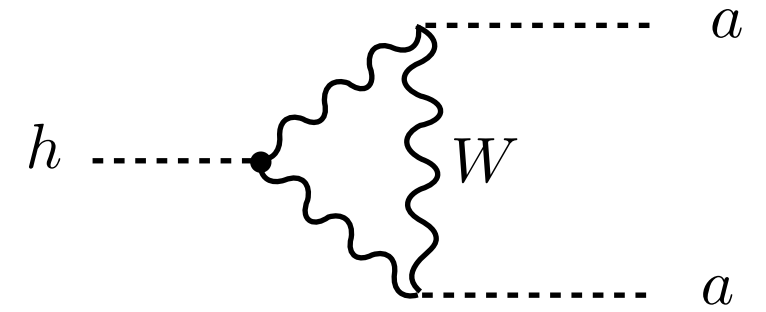


# Flavor bounds on ALPs

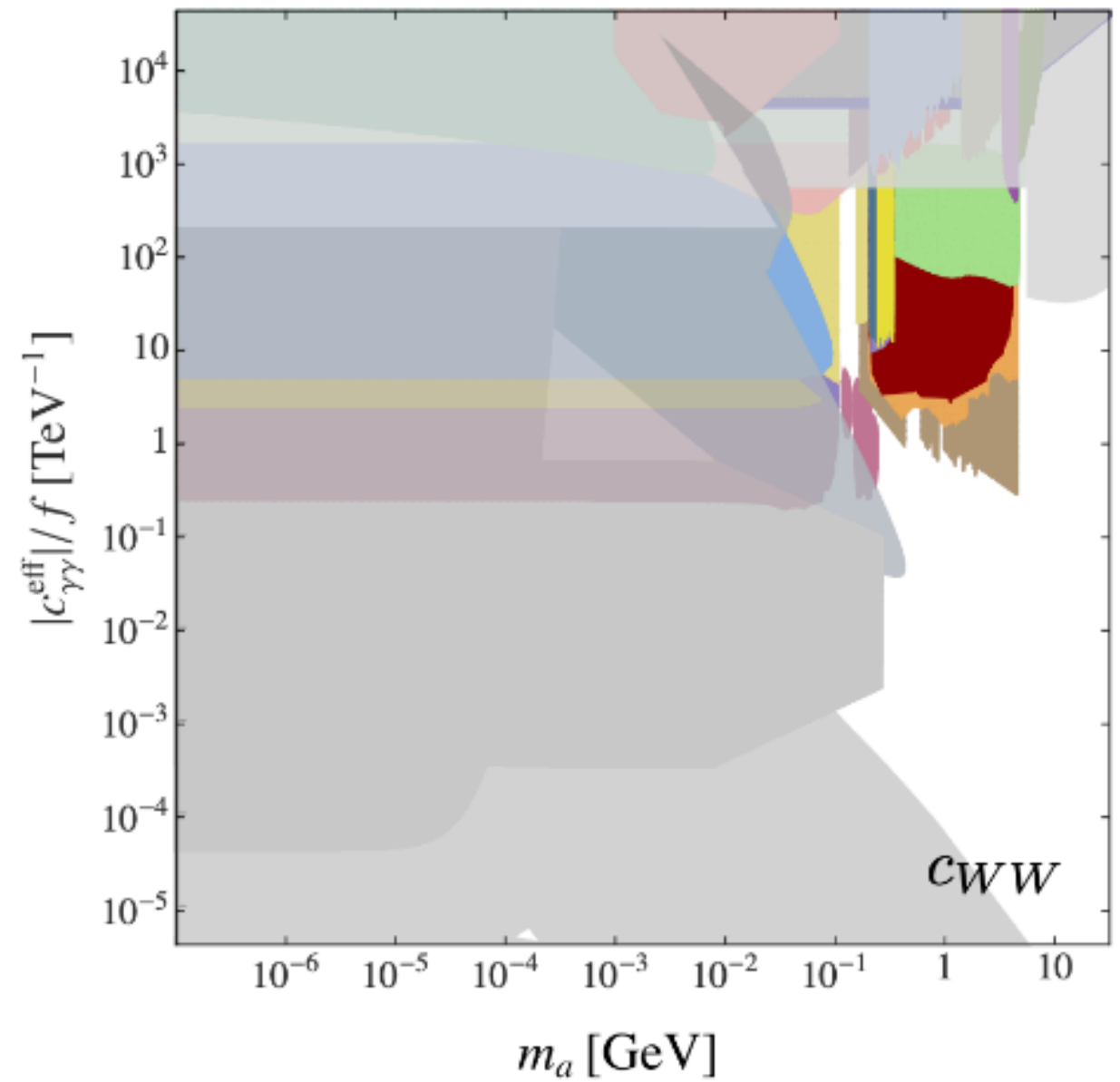
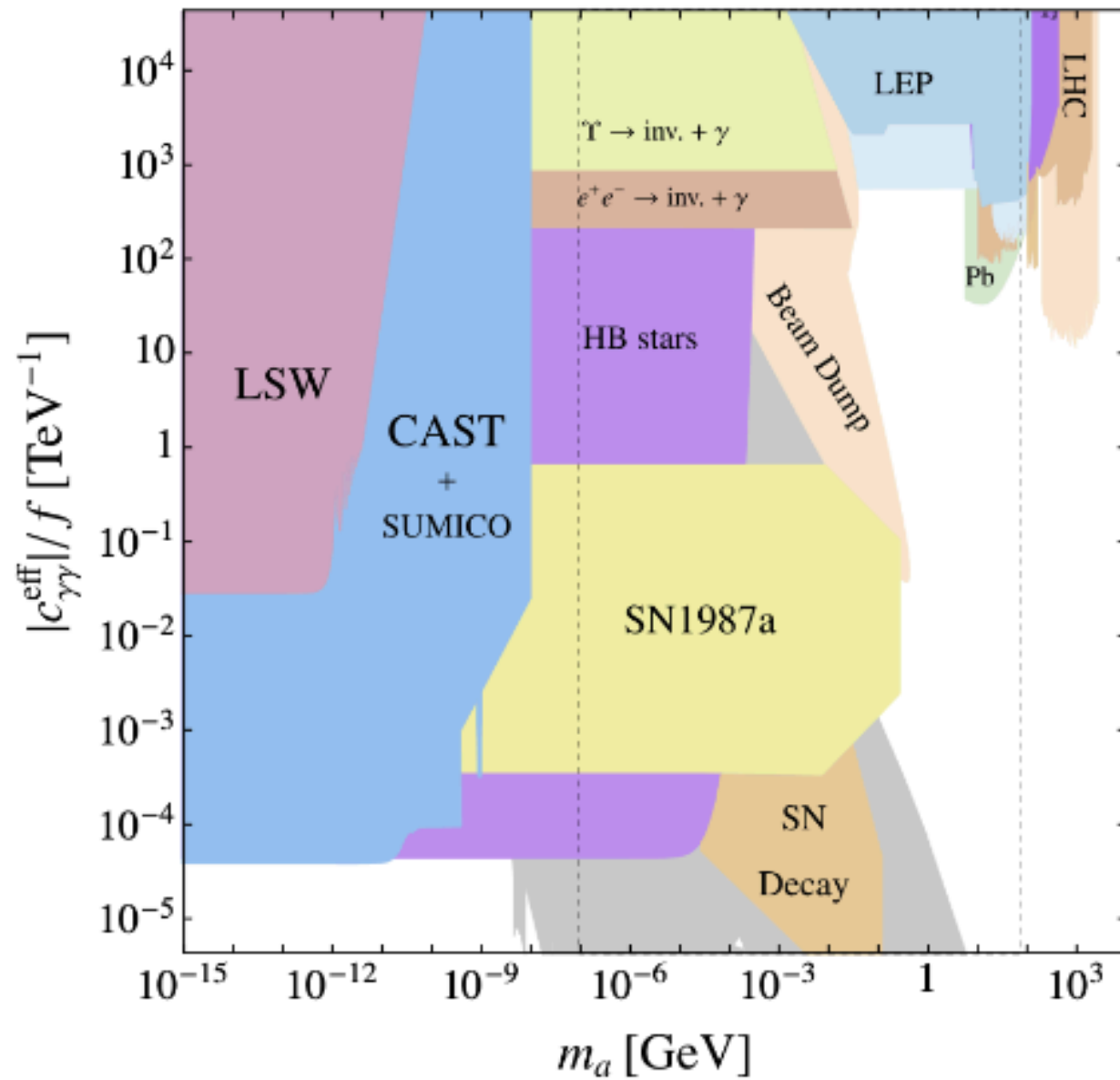




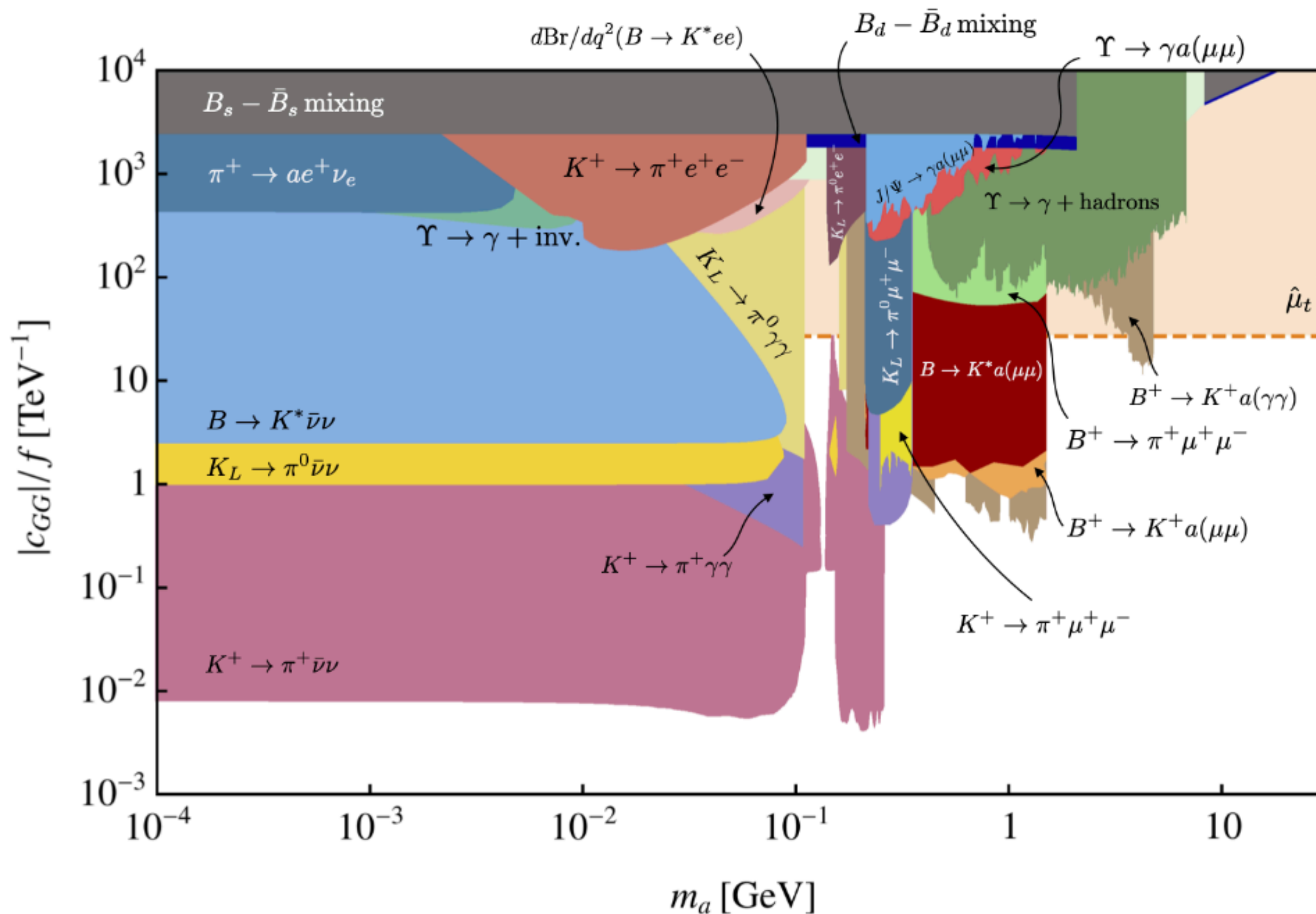
# Flavor bounds on ALPs



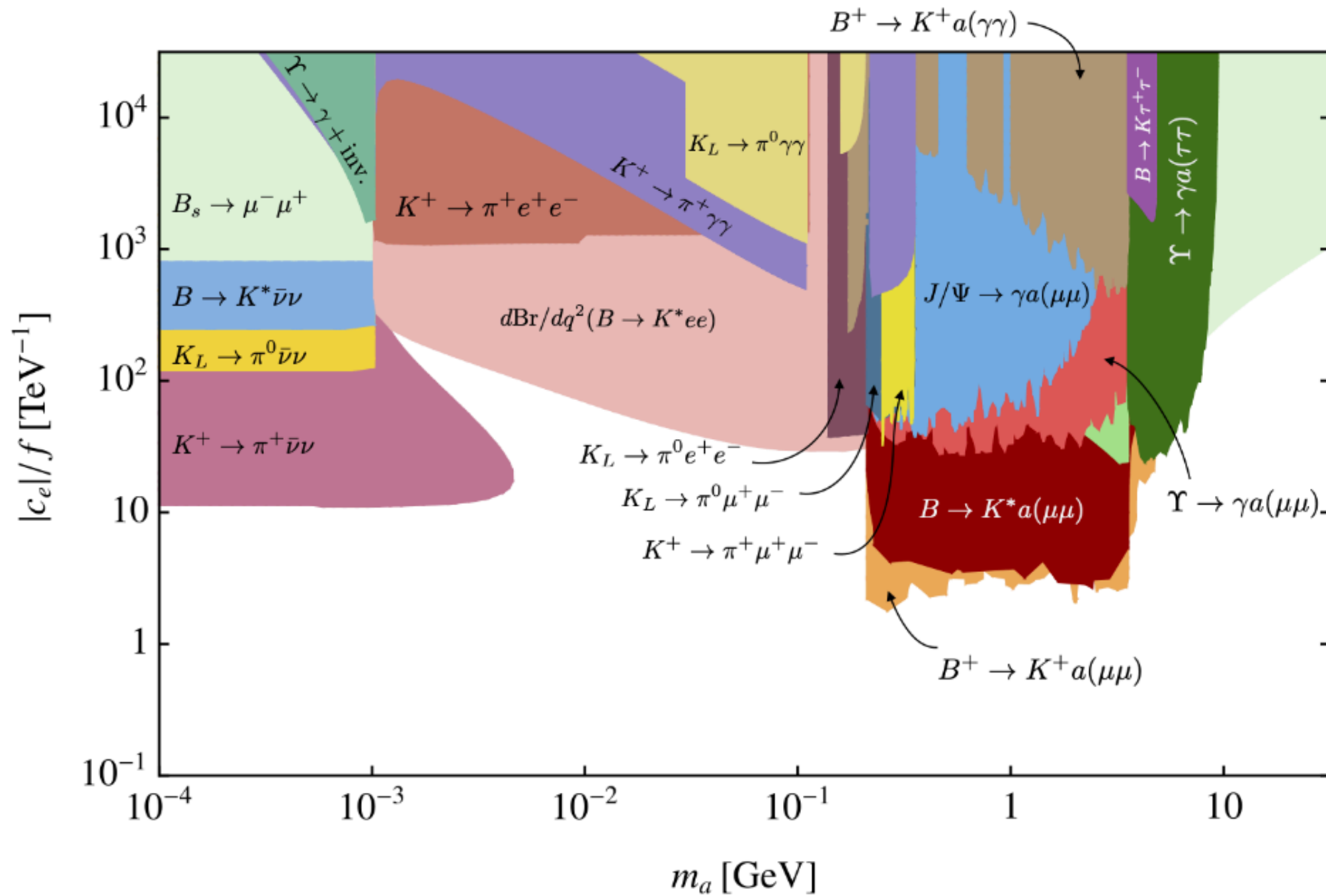
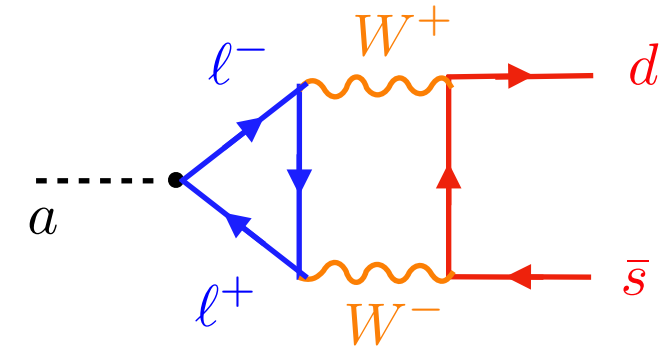
# Flavor bounds vs other bounds



# Flavor bounds vs other bounds



# Flavor bounds vs other bounds



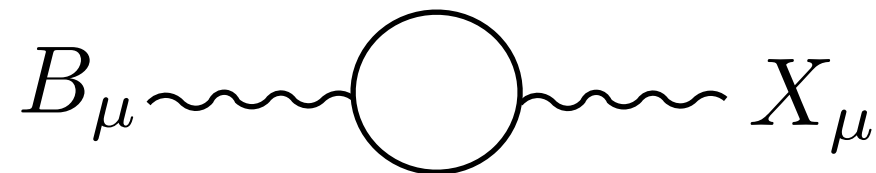
# Light new physics ?

**Second example:** gauge bosons (local symmetry breaking)

$$\mathcal{L} = -\frac{1}{4}X_{\mu\nu}X^{\mu\nu} - \frac{1}{2}D_\mu\phi D^\mu\phi - V(\phi) + g_X\bar{\psi}\gamma_\mu\psi X^\mu$$

$$\phi = (f + s)e^{ia/f} \quad \longrightarrow \quad m_X = g_X f$$

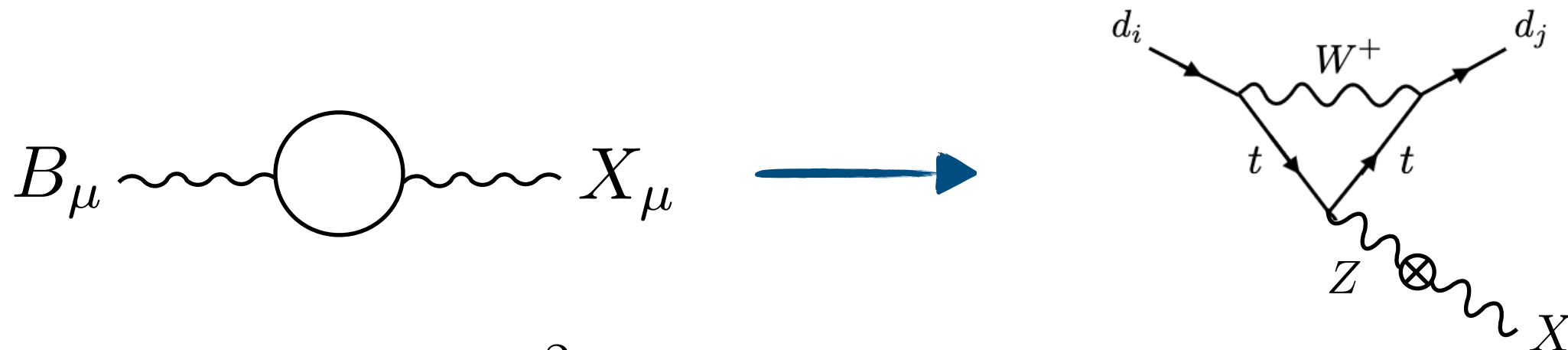
Interactions with the SM are either directly set by the gauge coupling or through kinetic mixing


$$\epsilon \propto \frac{g_X e}{8\pi^2} \log \frac{\Lambda^2}{m^2}$$

Small gauge couplings imply small masses

# Light new physics ?

Hidden photons mixing with the SM photon or Z boson inherit the SM GIM mechanism and are strongly suppressed

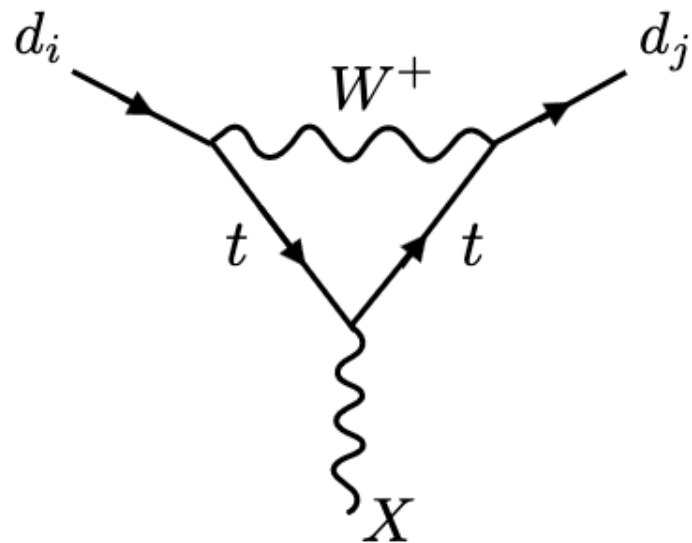


$$\epsilon \propto \frac{g_X e}{8\pi^2} \log \frac{\Lambda^2}{m^2}$$

# Light new physics ?

Hidden photons can also interact directly with SM fermions if baryon number or lepton numbers are charged

Gauge anomaly cancellation and constraints from the CKM matrix force all couplings to SM fermions to be diagonal couplings at tree-level (apart from neutrinos)



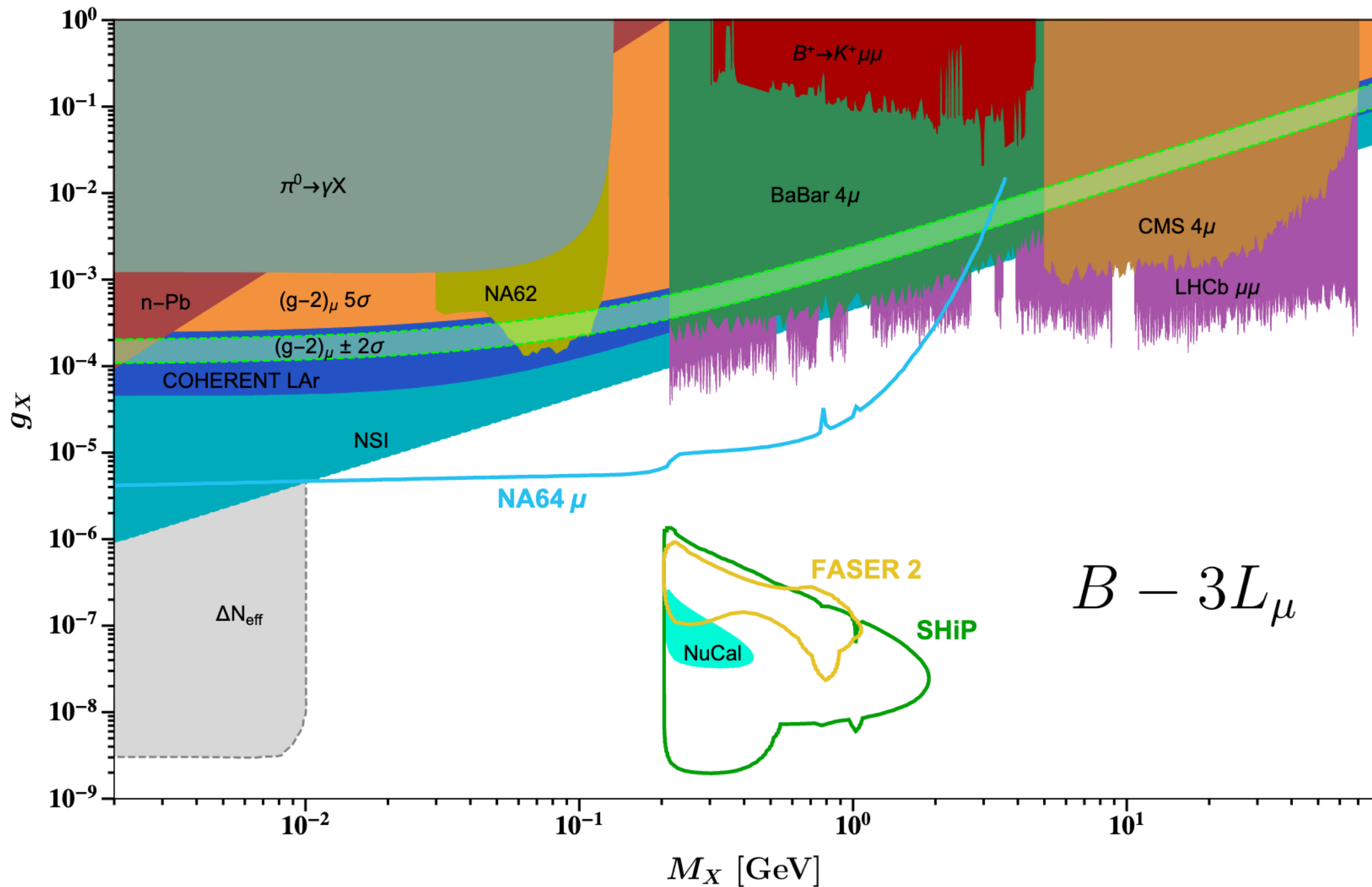
$$\mathcal{L} = g_{ij}^L \frac{M_X^2}{M_W^2} \bar{d}_j \gamma_\mu P_L d_i X^\mu + \frac{1}{2} g_{ij}^\sigma \bar{d}_j \sigma^{\mu\nu} \left( \frac{m_{d_j}}{M_W^2} P_L + \frac{m_{d_i}}{M_W^2} P_R \right) d_i X_{\mu\nu}$$

$$g_{ij}^L = g_X q_q \frac{\alpha}{8\pi s_w^2} V_{ti} V_{tj}^* f_1(x_t) \quad g_{ij}^\sigma = g_X q_q \frac{\alpha}{8\pi s_w^2} V_{ti} V_{tj}^* f_2(x_t)$$

B decays are suppressed

$$\Gamma(B \rightarrow K X) \approx \frac{1}{256\pi} \frac{M_B^3 M_X^2}{M_W^4} (g_{32}^L f_+)^2$$

# Flavor bounds on hidden photons





# Light new physics ?

Third example: sterile neutrinos

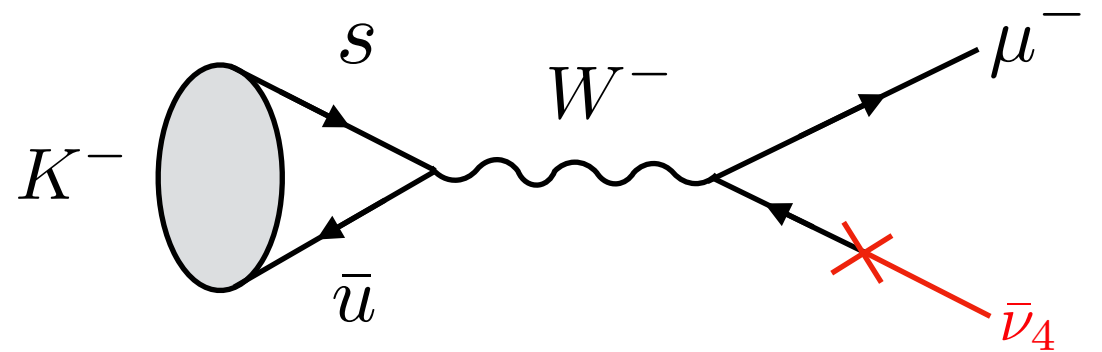
$$\mathcal{L}_{\text{mass}} \supset -\frac{1}{2}n^T M n \equiv -\frac{1}{2}n^T \begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} n + h.c..$$

Active masses:  $m_\nu \sim \frac{M_D^2}{M_R}$

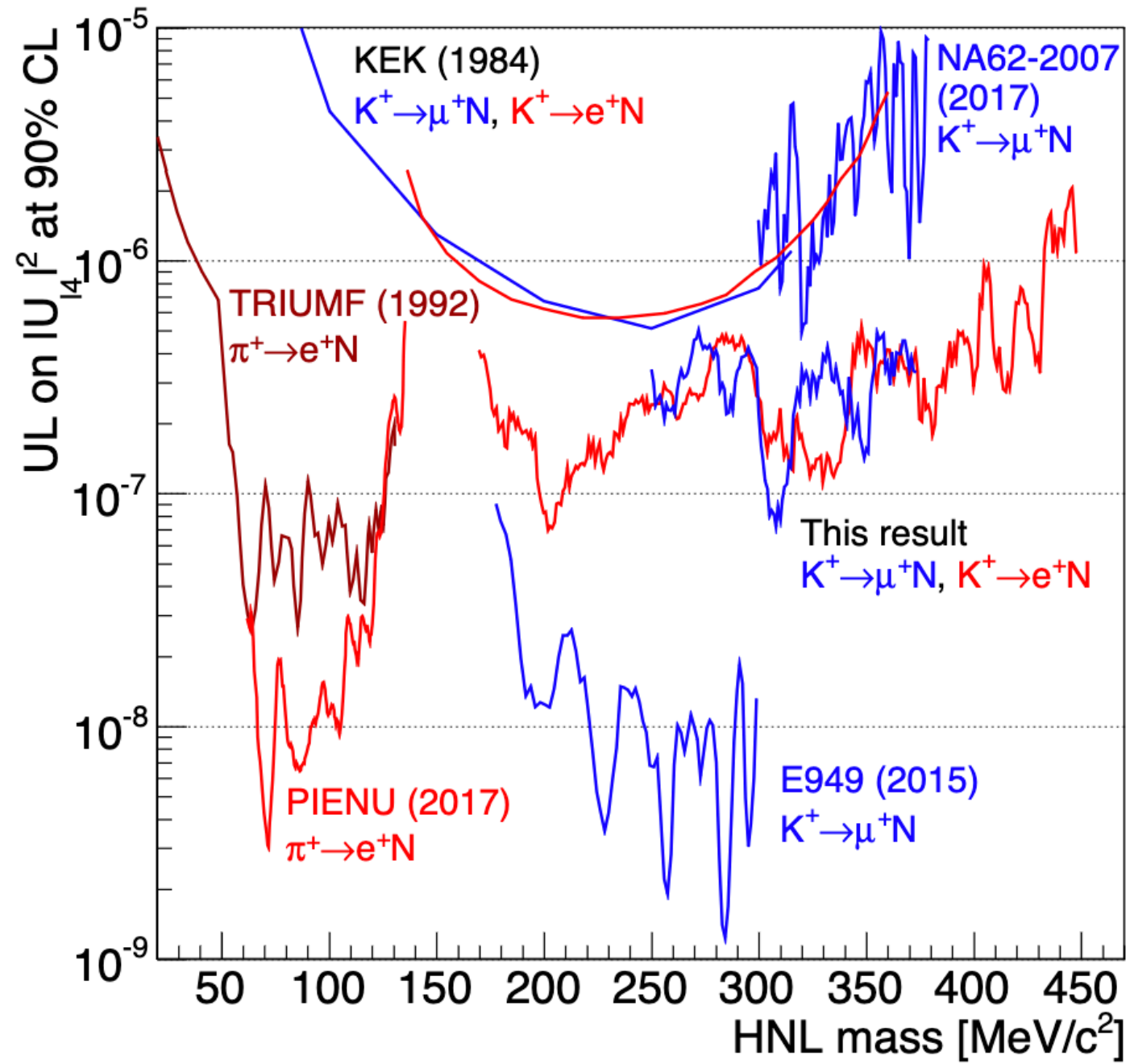
Sterile masses:  $m_4 \sim M_R$

Mixing angles:  $|U_{e4}|^2 \sim \left| \frac{M_D^2}{M_R^2} \right|^2 \sim \frac{m_\nu^2}{m_4^2}$

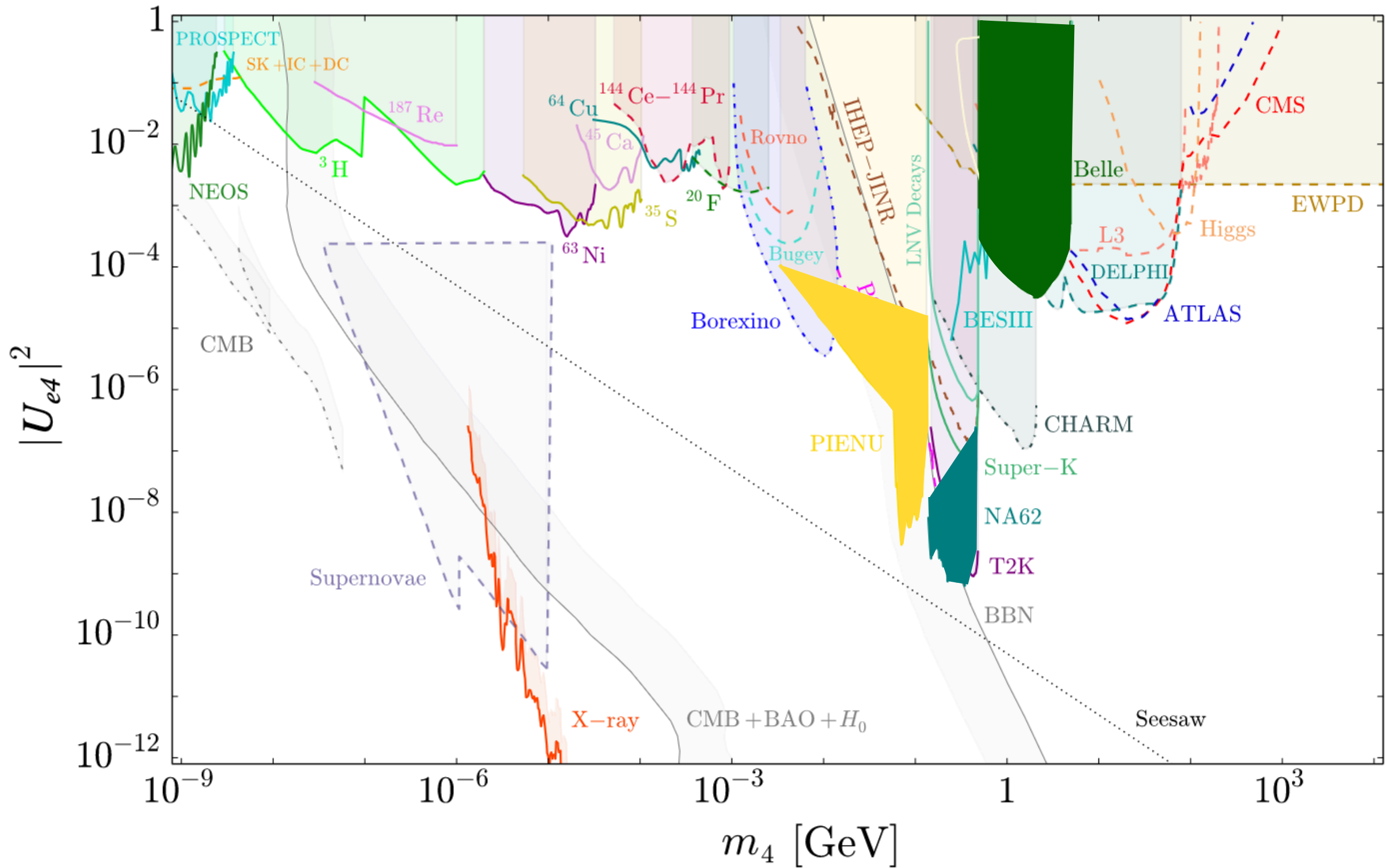
Couplings suppressed by neutrino masses



# Flavor bounds on sterile neutrinos

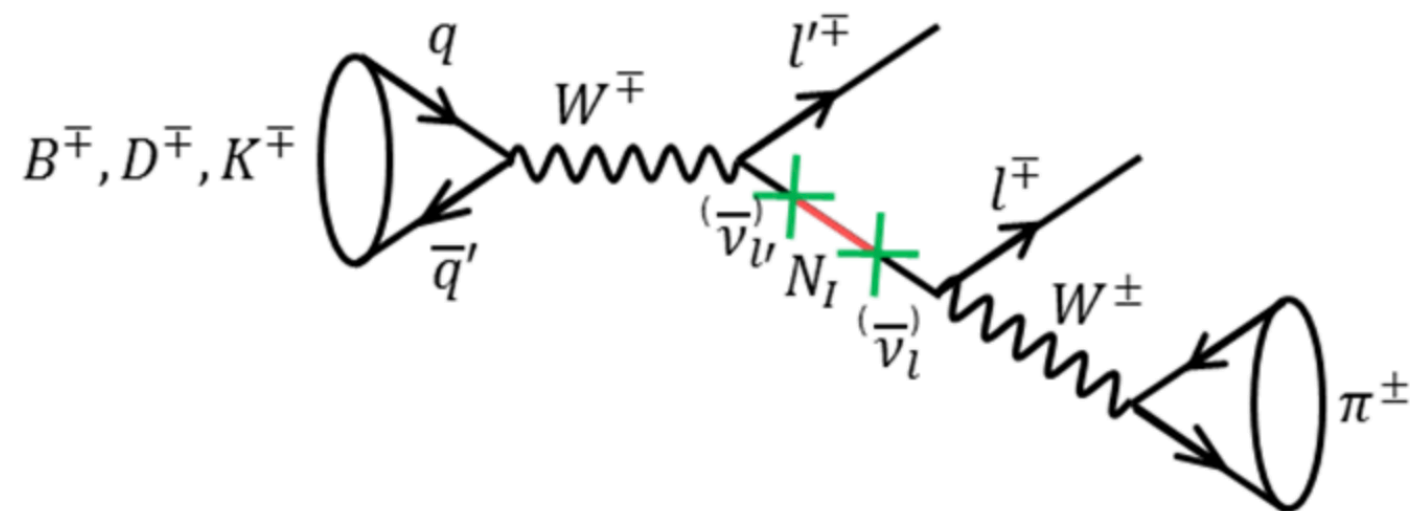


# Flavor bounds on sterile neutrinos



# Flavor bounds on sterile neutrinos

If neutrinos are Majorana they can mediate meson decays with lepton number violation

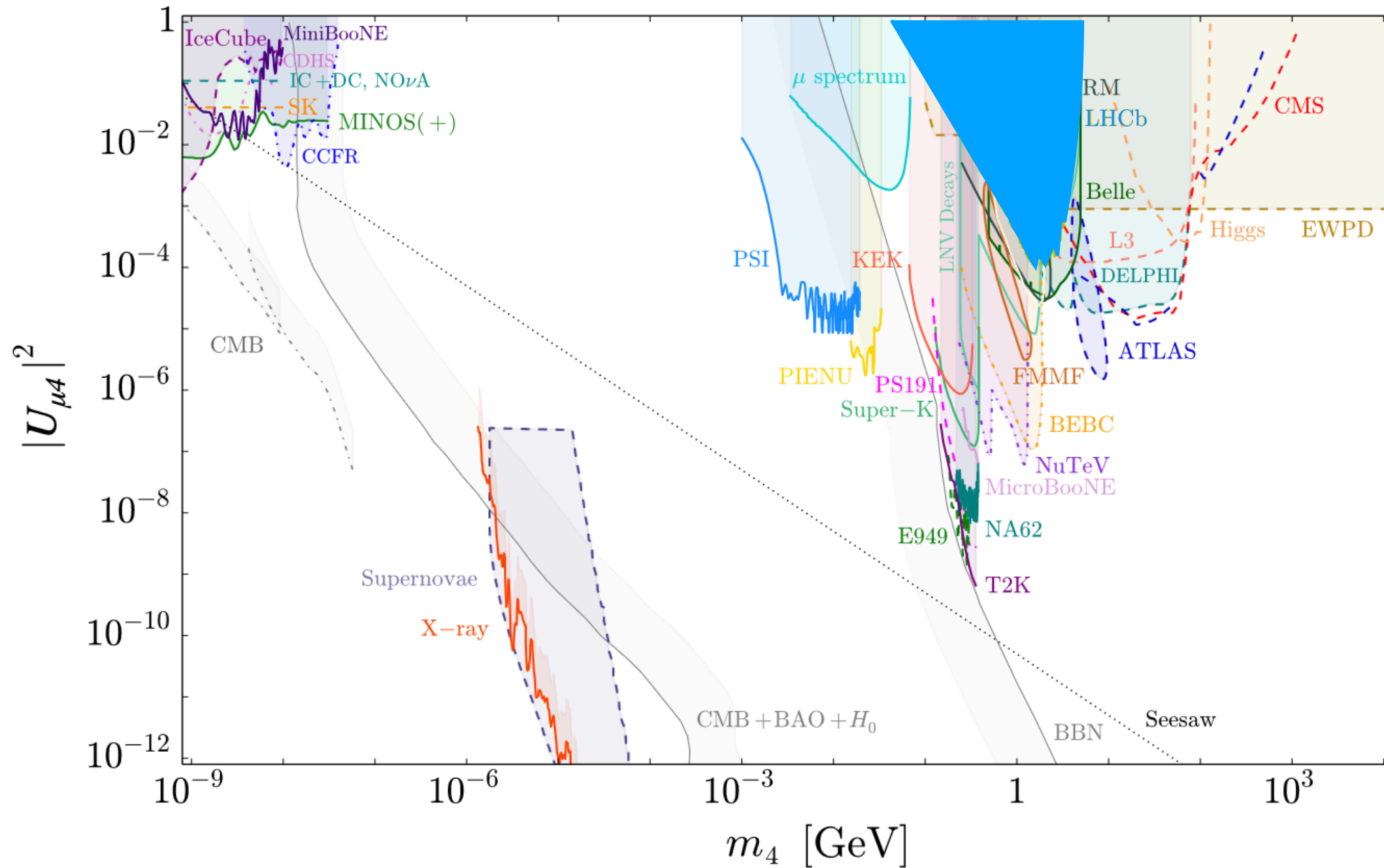


Atre, Han, Pascoli, and Zhang, JHEP 05 (2009) 030, [0901.3589].

# Flavor bounds on sterile neutrinos

$$B^- \rightarrow \mu^- \mu^- \pi^+$$

LHCb 1401.5361



# Conclusions

An axion or hidden photon could be the only light remnant of a heavy new physics sector out of reach of the LHC

Flavor bounds uniquely constrain axionlike particles with masses between 100 MeV and 10 GeV

Flavor transitions for hidden photons are very strongly suppressed and can't compete with flavor conserving observables

Sterile Neutrinos can induce lepton number violating decays which would signal Majorana nature of neutrinos