

# Extraction of the ratio $|V_{ub}|/|V_{cb}|$ from a combined study of the exclusive decays.

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Based on [JHEP 07 \(2023\) 024](#)

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# The CKM matrix

$$V_{CKM} = V_{uL}V_{dL}^\dagger$$

- Parameterized by 4 parameters: three mixing angles and the CP-violating phase.

$$\sum_{k=1\dots 3} V_{ik}^* V_{kj} = 0, \quad i \neq j$$

- Can be represented as triangles in the complex plane.

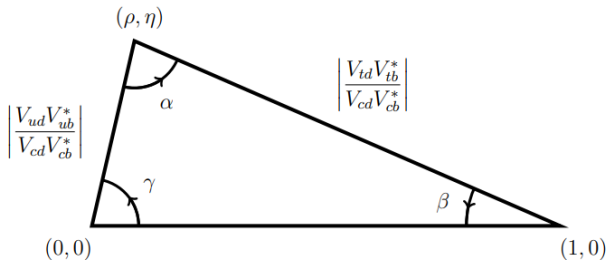
$$V_{CKM} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

- Upto  $\mathcal{O}(\lambda^3)$ , complex numbers only in 1-3 and 3-1 matrix elements.

# The Unitarity Triangle

- The one obtained for  $i = 1$  and  $j = 3$  involves the sum of three terms all of the same order in  $\lambda$ ,

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



$$\alpha = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right), \quad \beta = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right), \quad \gamma = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

# The CKM matrix

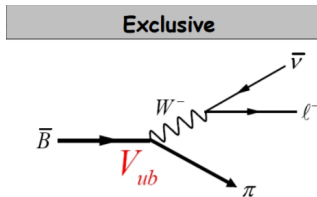
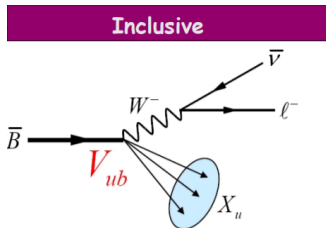
- Precision determinations of the CKM elements necessary to probe the quark mixing mechanism of the Standard Model.
- Important ingredients in the theoretical predictions of several observables in the flavor sector.
- $V_{ub}$  → Source of CP violation within the SM  
→ Less precisely known.

$$V_{CKM} = \begin{pmatrix} 0.97435 \pm 0.00016 & 0.22500 \pm 0.00067 & 0.00369 \pm 0.00011 \\ 0.22486 \pm 0.00067 & 0.97349 \pm 0.00016 & 0.04182^{+0.00085}_{-0.00074} \\ 0.00857^{+0.00020}_{-0.00018} & 0.04110^{+0.00083}_{-0.00072} & 0.999118^{+0.000031}_{-0.000036} \end{pmatrix}$$

[PDG, 2022]

# Measurements of $|V_{xb}|$

- The transition  $b \rightarrow u(c)l\bar{\nu}$  provides two avenues for determining  $|V_{xb}|$  -



- Experimental and theoretical techniques for these two approaches different and largely independent  $\rightarrow$  Important cross checks of our understanding.
- Mutual disagreement between exclusive and inclusive measurements.

$$\begin{aligned} |V_{ub}|^{exc} &= (3.70 \pm 0.16) \times 10^{-3}, & |V_{ub}|^{inc} &= (4.13 \pm 0.12_{-0.14}^{+0.13}) \times 10^{-3}, \\ |V_{cb}|^{exc} &= (39.4 \pm 0.8) \times 10^{-3}, & |V_{cb}|^{inc} &= (42.2 \pm 0.8) \times 10^{-3}, \end{aligned}$$

[PDG, 2022]

# $|V_{xb}|$ from inclusive decays

- The theoretical description of inclusive  $\bar{B} \rightarrow X_{u(c)} l \bar{\nu}$  decays based on the Heavy Quark Expansion (an expansion in  $\Lambda_{QCD}/m_b$ ).
- Total decay rate hard to measure due to the large background from  $\bar{B} \rightarrow X_c l \bar{\nu}$  transitions  $\rightarrow$  experimental cuts are necessary.
- In regions of phase space where  $\bar{B} \rightarrow X_c l \bar{\nu}$  decays are suppressed, can't use HQE  $\rightarrow$  introduce non-perturbative distribution functions(SF).
- Different approaches to model the shape function  $\rightarrow$  extracted values of  $|V_{ub}|$  model dependent.
- Recent analysis of the inclusive spectra with hadronic-tagging by Belle [[arXiv:2102.00020](#)] -

$$|V_{ub}|^{inc} = (4.10 \pm 0.09 \pm 0.22 \pm 0.15) \times 10^{-3} .$$

[Talks by M.Prim, K.Vos]

# $|V_{xb}|$ from exclusive decays

- Exclusive determinations require knowledge of the form factors.
- Pseudoscalar meson in final state -

$$\langle M(p_M) | V_\mu | B(p_B) \rangle = f_+(q^2) \left[ p_B^\mu + p_M^\mu - \frac{m_B^2 - m_M^2}{q^2} q^\mu \right] + f_0(q^2) \frac{m_B^2 - m_M^2}{q^2} q^\mu$$

- $f_+(q^2 = 0) = f_0(q^2 = 0) \rightarrow$  cancel the divergence at  $q^2 = 0$ .

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- Vector meson in final state -

$$\begin{aligned} \langle V(k) | \bar{f} \gamma_\mu (1 - \gamma_5) b | B(p) \rangle &= -i \epsilon_\mu^* (m_B + m_V) A_1(q^2) + i(2p - q)_\mu (\epsilon^* \cdot q) \frac{A_2(q^2)}{m_B + m_V} \\ &\quad + i q_\mu (\epsilon^* \cdot q) \frac{2m_V}{q^2} [A_3(q^2) - A_0(q^2)] + \epsilon_{\mu\nu\rho\sigma} \epsilon^{*\nu} p^\rho k^\sigma \frac{2V(q^2)}{m_B + m_V}, \end{aligned}$$

$$\text{with } A_3(q^2) = \frac{m_B + m_V}{2m_V} A_1(q^2) - \frac{m_B - m_V}{2m_V} A_2(q^2) \text{ and } A_0(0) = A_3(0)$$



- Model-independent parametrization based on general properties of analyticity, unitarity, and crossing symmetry.
- Change of variables from  $q^2$  to  $z$  maps the semileptonic region within a disc of radius  $|z| < 1$  in the complex  $z$  plane.

$$z(q^2) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}},$$

where  $t_{\pm} \equiv (m_B \pm m_f)^2$  and  $t_0 \equiv t_+(1 - \sqrt{1 - t_-/t_+})$

- Can be expanded as a simple power series in  $z$ :

$$P_i(q^2)\phi_i(q^2, t_0)f_i(q^2) = \sum_{k=0}^{\infty} a_i^{(k)}(t_0)z(q^2, t_0)^k$$

- $P_i(q^2)$  chosen to vanish at any subthreshold poles to ensure analyticity of  $f_i(q^2)$ .

- For  $\bar{B} \rightarrow Ml\bar{\nu}_l$  decays,  $m_l^2 \leq q^2 \leq (m_B - m_M)^2$ .
- **BSZ parametrization** (Bharucha et al. 1503.05534) -

$$f_i(q^2) = \frac{1}{1 - q^2/m_{R,i}^2} \sum_{k=0}^N a_k^i [z(q^2) - z(0)]^k$$

- Kinematic constraint  $\rightarrow a_0^0 = a_0^+$
- **BGL parametrization** (Boyd et al. hep-ph/9412324) -

$$\mathcal{F}_i(z) = \frac{1}{P_i(z)\phi_i(z)} \sum_{j=0}^N a_j^i z^j,$$

- $q^2 = m_B^2 + m_F^2 - 2m_B m_F w$ .

- Unitarity constraints -

$$\sum_{j=0}^N (a_j^{f^+})^2 < 1, \quad \sum_{j=0}^N (a_j^{f^0})^2 < 1, \quad \sum_{j=0}^N (a_j^g)^2 < 1$$

$$\sum_{j=0}^N (a_j^f)^2 + (a_j^{\mathcal{F}_1})^2 < 1, \quad \sum_{j=0}^N (a_j^{\mathcal{F}_2})^2 < 1.$$

- The kinematical constraints on the form factors, at zero and maximum recoil are given as

$$\begin{aligned} \mathcal{F}_1(1) &= m_B(1-r)f(1), \\ \mathcal{F}_2(w_{max}) &= \frac{1+r}{m_B^2(1+w_{max})(1-r)r} \mathcal{F}_1(w_{max}). \end{aligned}$$

# References for $|V_{ub}|$ and $|V_{cb}|$ determinations

Mode	References
$B \rightarrow \pi l \nu$	RBC/UKQCD(1501.05373), Fermilab/MILC(1507.01618), JLQCD(2203.04938), LCSR(1811.00983, 2102.07233), Belle(1012.0090, 1306.2781), BaBar(1005.3288, 1208.1253)
$B \rightarrow \rho l \nu$	LCSR(1811.00983, 1503.05534, 1907.11092), Belle(1306.2781), BaBar(1005.3288)
$B \rightarrow \omega l \nu$	LCSR(1503.05534, 1907.11092), Belle(1306.2781), BaBar(1205.6245, 1308.2589)
$B_s \rightarrow K l \nu$	RBC/UKQCD(1501.05373), Fermilab/MILC(1901.02561), HPQCD(1406.2279), LCSR(1703.04765)
$B \rightarrow D l \nu$	HPQCD(1505.03925), Fermilab/MILC(1503.07237), LCSR(1811.00983), Belle(1510.03657)
$B \rightarrow D^* l \nu$	Fermilab/MILC(2105.14019), LCSR(1811.00983), Belle(1809.03290, 2301.07529)
$B_s \rightarrow D_s l \nu$	HPQCD(1906.00701), Fermilab/MILC(1202.6346), LCSR(1912.09335), LHCb(2001.03225)
$B_s \rightarrow D_s^* l \nu$	HPQCD(2105.11433), LCSR(1912.09335), LHCb(2001.03225)

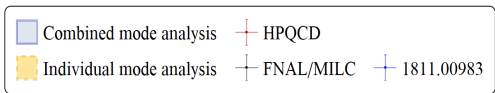
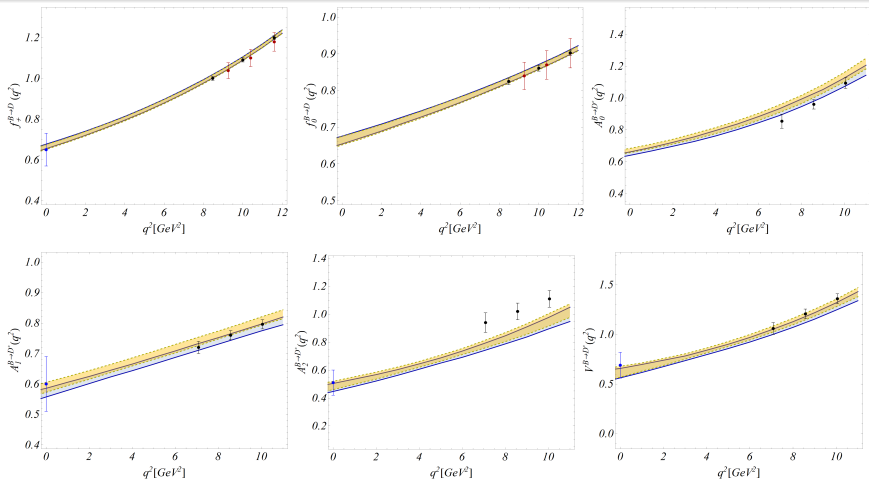
$R_{BF} = \mathcal{BR}(B_s \rightarrow K \mu \nu) / \mathcal{BR}(B_s \rightarrow D_s \mu \nu)$  from LHCb [[2012.05143](#)] in two bins of the  $B_s \rightarrow K^-$  momentum transfer,  $q^2 < 7 \text{ GeV}^2$  and  $q^2 > 7 \text{ GeV}^2$ .

# Comparative study for extraction of $|V_{cb}|^{exc}$

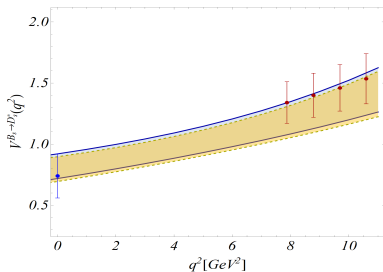
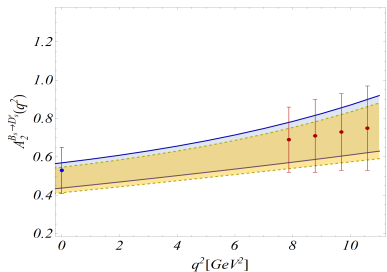
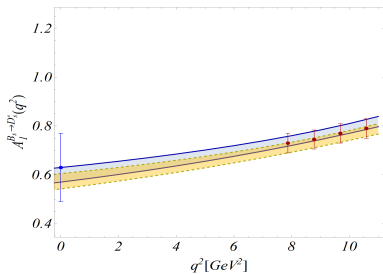
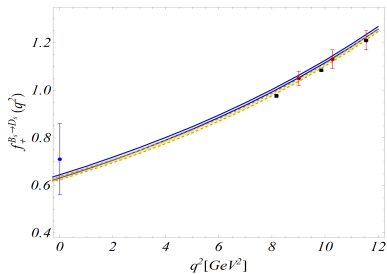
Mode	Inputs	$\chi^2_{min}/DOF$	p-value (%)	$ V_{cb}  \times 10^3$
$\bar{B} \rightarrow D l^- \bar{\nu}$	Experiment+Fermilab/MILC [6] + HPQCD [7] + LCSR [25]	33.0/47	93.9	$41.4 \pm 1.1$
$\bar{B} \rightarrow D^* l^- \bar{\nu}$	Experiment+Fermilab-MILC [10] + LCSR [25]	120.5/81	0.3	$39.2 \pm 0.8$
$\bar{B}_s \rightarrow D_s^{(*)} l^- \bar{\nu}$	Experiment+ HPQCD [23, 24] + LCSR [26]	2.98/17	99.9	$42.2 \pm 1.3$
	Experiment+ Fermilab/MILC [22] + HPQCD [23, 24] +LCSR [26]	29.3/20	8.2	$44.0 \pm 1.3$
All modes	All Experiments + All lattice + LCSR	273.9/156	$1.7 \times 10^{-6}$	<b><math>40.5 \pm 0.6</math></b>
	All Experiments + All lattice (except $f_{+,0}^{B_s \rightarrow D_s}$ Fermilab/MILC [22]) +LCSR	163.2/150	21.8	$40.3 \pm 0.6$

- JLQCD ( $\bar{B} \rightarrow D^{(*)} l \nu$ )  $\rightarrow (40.5 \pm 0.8) \times 10^{-3}$
- Deviation from the inclusive determination of  $(41.69 \pm 0.63) \times 10^{-3}$  obtained from the analyses of the  $q^2$  moments and the differential rates (Bernlochner et al. 2205.10274)  $\sim 1.4 \sigma$ .
- Deviation from the inclusive determination of  $(42.16 \pm 0.51) \times 10^{-3}$  obtained using lepton energy and hadronic invariant mass moments distributions (Bordone et al. 2107.00604)  $\sim 2.1 \sigma$ .
- $|V_{cb}| = (41.2 \pm 0.8) \times 10^{-3}$  [Martinelli et al. 2204.05925].

# Plots for $B \rightarrow D^{(*)}$



# Plots for $B_s \rightarrow D_s^{(*)}$

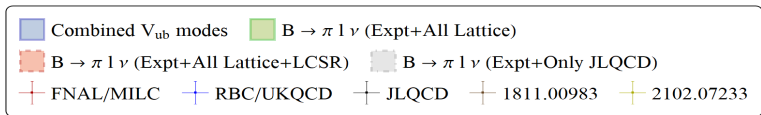
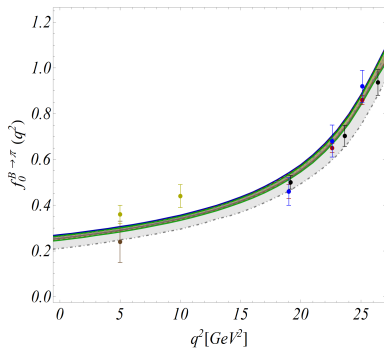
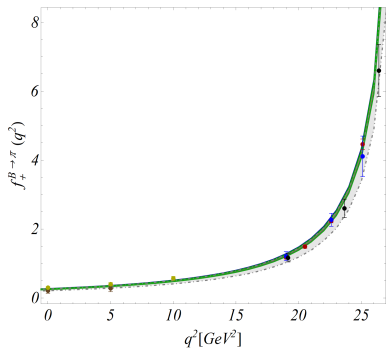


# Comparative study for extraction of $|V_{ub}|^{exc}$

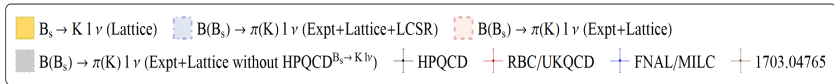
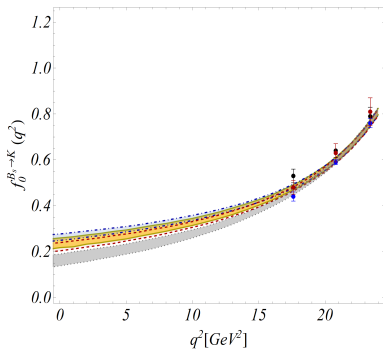
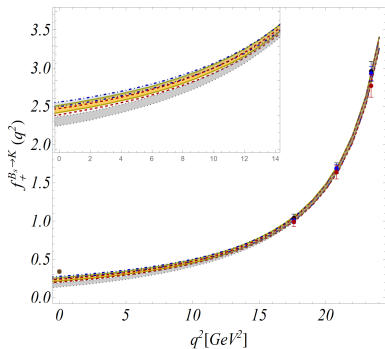
Mode	Fits with the Inputs	$\chi^2_{min}/DOF$	p-value(%)	$ V_{ub}  \times 10^3$	$ V_{ub} / V_{cb} $
$\bar{B} \rightarrow \pi l^- \bar{\nu}$	(I) All Experiment+JLQCD	10.8/11	45.7	$3.98 \pm 0.42$	$0.098 \pm 0.010$
	(II) Fit-I + LCSR [25, 38]	16.3/29	97.2	$3.73 \pm 0.24$	$0.092 \pm 0.006$
	(III) All Experiment+Fermilab/MILC	11.7/12	46.6	$3.78 \pm 0.16$	$0.093 \pm 0.004$
	(IV) Fit-III + LCSR [25, 38]	24.2/30	76.2	$3.84 \pm 0.15$	$0.095 \pm 0.004$
	(V) All Experiment+RBC/UKQCD	11.0/11	44.3	$3.75 \pm 0.34$	$0.093 \pm 0.009$
	(VI) Fit-V + LCSR [25, 38]	17.5/29	95.3	$3.57 \pm 0.23$	$0.088 \pm 0.006$
	(VII) All Experiment+ RBC/UKQCD+JLQCD	27.2/17	5.5	$3.69 \pm 0.24$	$0.091 \pm 0.006$
	(VIII) All Experiment+ All Lattice	50.8/24	0.1	$3.69 \pm 0.13$	$0.091 \pm 0.003$
	(IX) Fit-VIII + LCSR [25, 38]	55.7/42	7.7	$3.69 \pm 0.12$	$0.091 \pm 0.003$
$\bar{B} \rightarrow \pi l^- \bar{\nu}$ and $\bar{B}_s \rightarrow Kl^- \bar{\nu}$	(X) All Experiment + All Lattice	74.9/38	0.03	$3.60 \pm 0.11$	$0.089 \pm 0.003$
	(XI) Fit-(X) + LCSR [25, 38, 41]	95.5/57	0.1	$3.50 \pm 0.10$	$0.086 \pm 0.003$
	(XII) All Experiment+ All Lattice except inputs from HPQCD on $B_s \rightarrow K$ [40]	58.8/32	0.3	$3.74 \pm 0.12$	$0.092 \pm 0.003$
	(XIII) Fit-(XII) + LCSR [25, 38, 41]	88.4/51	0.1	$3.52 \pm 0.10$	$0.087 \pm 0.003$
$\bar{B} \rightarrow \rho l^- \bar{\nu}$	Experiment + LCSR [25, 36, 37]	31.2/41	86.6	$3.22 \pm 0.26$	$0.080 \pm 0.007$
$\bar{B} \rightarrow \omega l^- \bar{\nu}$	Experiment+LCSR [36, 37]	8.6/15	89.7	$3.09 \pm 0.33$	$0.076 \pm 0.008$
$\bar{B} \rightarrow \pi(\rho, \omega)l^- \bar{\nu}$	All inputs combined	99.6/100	49.4	$3.58 \pm 0.11$	$0.088 \pm 0.003$
$\bar{B} \rightarrow \pi(\rho, \omega)l^- \bar{\nu}$ and $\bar{B}_s \rightarrow Kl^- \bar{\nu}$	$B \rightarrow \pi$ and $B_s \rightarrow K$ : Experiment + Lattice $B \rightarrow (\rho, \omega)$ : Experiment + LCSR	117.8/96	6.5	$3.52 \pm 0.10$	$0.087 \pm 0.003$
	For all modes: Experiment + lattice + LCSR	137.2/115	7.7	$3.45 \pm 0.09$	$0.085 \pm 0.003$



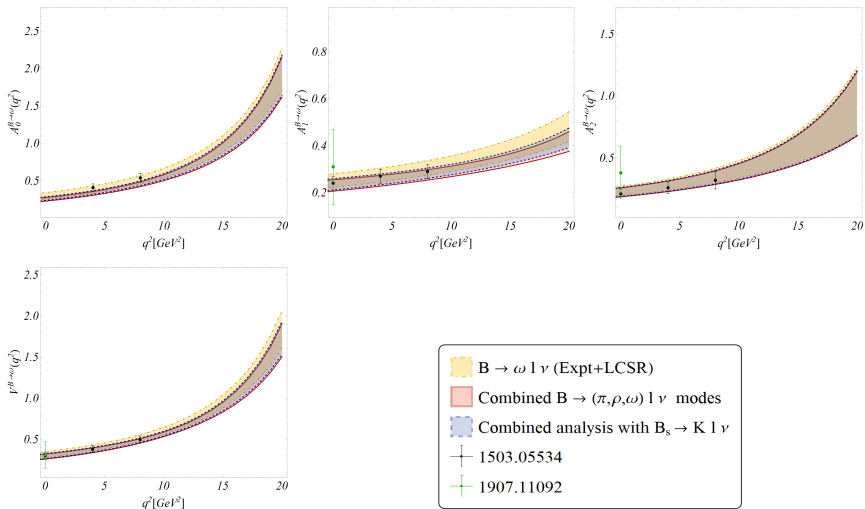
# Plots for $B \rightarrow \pi$



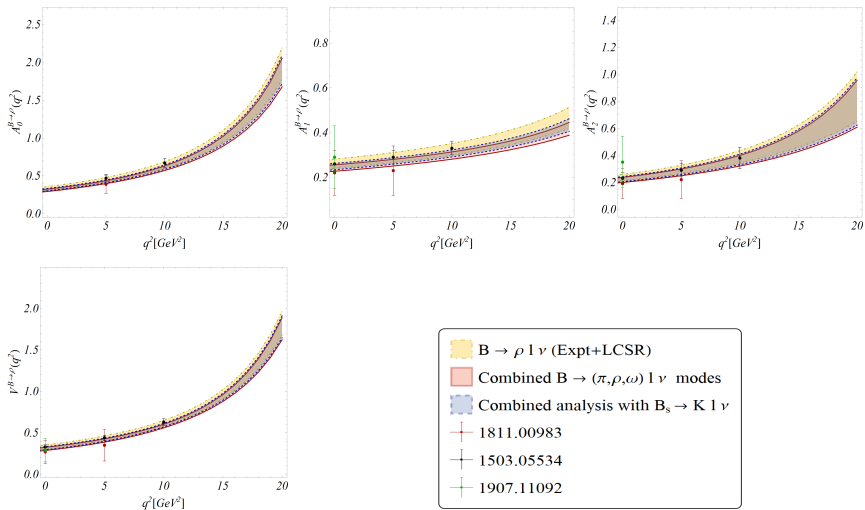
# Plots for $B_s \rightarrow K$



# Plots for $B \rightarrow \omega$



# Plots for $B \rightarrow \rho$

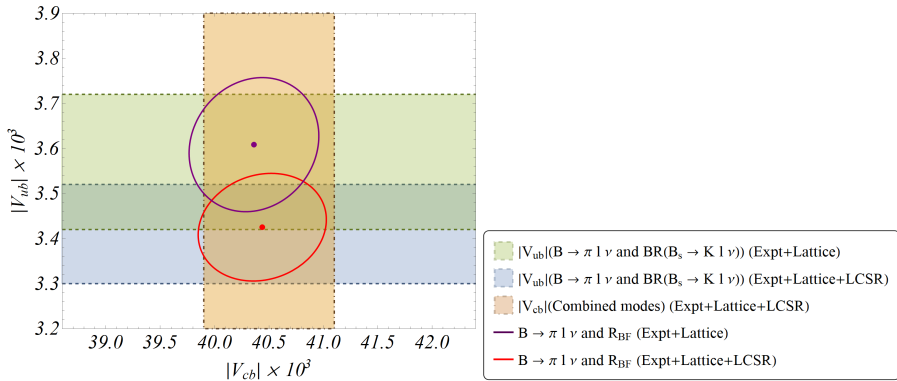


# Comparative study for extraction of $|V_{ub}|/|V_{cb}|$

- $\frac{|V_{ub}|}{|V_{cb}|} = 0.079 \pm 0.006$  (from  $\frac{\Gamma(\Lambda_b \rightarrow p\mu\nu)}{\Gamma(\Lambda_b \rightarrow \Lambda_c\mu\nu)}$  [LHCb 1504.01568])

Mode(s)	Inputs	$\chi^2_{min}/\text{DOF}$	$ V_{ub}  \times 10^3$	$ V_{cb}  \times 10^3$	$\frac{ V_{ub} }{ V_{cb} }$	Correlation (%) [ $ V_{ub} ,  V_{cb} $ ]
$\bar{B} \rightarrow \pi l^- \bar{\nu}$ and	Experiment+ All Lattice	171.1/156	$3.61 \pm 0.15$	$40.4 \pm 0.6$	$0.089 \pm 0.004$	12.8
	Experiment+All Lattice+LCSR	191.7/167	$3.43 \pm 0.12$	$40.4 \pm 0.6$	$0.085 \pm 0.003$	14.1
$b \rightarrow cl^- \bar{\nu}$	Experiment+ All Lattice +LCSR (without $f_+^{B_s \rightarrow K}$ )	176.1/166	$3.57 \pm 0.13$	$40.3 \pm 0.6$	$0.089 \pm 0.003$	11.5
$b \rightarrow cl^- \bar{\nu}$ ,	Experiment+Lattice+LCSR	231.8/225	$3.34 \pm 0.10$	$40.4 \pm 0.6$	$0.083 \pm 0.003$	12.4
$b \rightarrow ul^- \bar{\nu}$	Experiment+ All Lattice +LCSR (without $f_+^{B_s \rightarrow K}$ )	219.5/224	$3.51 \pm 0.11$	$40.2 \pm 0.6$	$0.087 \pm 0.003$	10.4

# Correlations in the $|V_{ub}|$ and $|V_{cb}|$ plane



# Estimated values of $R_{BF}$

Experimental : $R_{BF}$ (Low) = $(1.66 \pm 0.12) \times 10^{-3}$ , $R_{BF}$ (High) = $(3.25 \pm 0.28) \times 10^{-3}$			
Mode	Inputs	Predictions of $R_{BF}$ ( $10^{-3}$ )	
		Low ( $q^2 < 7 \text{ GeV}^2$ )	High ( $q^2 > 7 \text{ GeV}^2$ )
$\bar{B} \rightarrow \pi l^- \bar{\nu}$ and $b \rightarrow cl^- \bar{\nu}$	Experiment+All Lattice	$1.67 \pm 0.11$	$3.32 \pm 0.20$
	Experiment+All Lattice+LCSR	$1.79 \pm 0.10$	$3.17 \pm 0.18$
$b \rightarrow cl^- \bar{\nu}$	Experiment+All Lattice+LCSR (without $f_+^{B_s \rightarrow K}$ )	$1.67 \pm 0.11$	$3.30 \pm 0.19$
$b \rightarrow cl^- \bar{\nu}$ , $b \rightarrow ul^- \bar{\nu}$	Experiment+All Lattice+LCSR	$1.80 \pm 0.10$	$3.08 \pm 0.17$
	Experiment+All Lattice+LCSR (without $f_+^{B_s \rightarrow K}$ )	$1.63 \pm 0.11$	$3.30 \pm 0.19$

- We have extracted exclusive  $|V_{ub}|$  from  $\bar{B} \rightarrow (\pi, \rho, \omega)\ell^- \bar{\nu}_\ell$  and  $\bar{B}_s \rightarrow K\mu^- \bar{\nu}_\mu$  decays separately as well as from a combined analysis, studying the impact of the various form factor inputs. From the combined analysis we obtain  $|V_{ub}| = (3.52 \pm 0.10) \times 10^{-3}$ .
- For the various  $b \rightarrow c\ell\nu$  transitions also, we have studied  $|V_{cb}|^{exc}$  determination from  $\bar{B} \rightarrow D^{(*)}\ell^- \bar{\nu}_\ell$  and  $\bar{B}_s \rightarrow D_s^{(*)}\ell^- \bar{\nu}_\ell$  decays. From the combined analysis we obtain  $|V_{cb}| = (40.5 \pm 0.6) \times 10^{-3}$ .
- We have also determined the ratio  $|V_{ub}|/|V_{cb}|$  from the  $b \rightarrow u(c)\ell\nu$  modes independently and also after introducing the inputs on the BRs  $\text{BR}(B_s \rightarrow K\mu\nu)/\text{BR}(B_s \rightarrow D_s\mu\nu)$  in the 2 bins and compared the results. We have found a good agreement between the measured value of  $\frac{|V_{ub}|}{|V_{cb}|}$  obtained by LHCb from the measurement of  $\frac{\Gamma(\Lambda_b \rightarrow p\mu^- \bar{\nu}_\mu)}{\Gamma(\Lambda_b \rightarrow \Lambda_c\mu^- \bar{\nu}_\mu)}$  and our estimated values from the semileptonic mesonic decays.



Thank  
you!