

# The U-spin-CP anomaly in charm

Héctor Gisbert

U. Padova & INFN

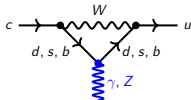


Mainly based on 2210.16330.

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- 1 Window to test FCNCs in the up-sector!
- 2 Strong non-perturbative dynamics  $\rightarrow$  "Null tests"  $\mathcal{O} \pm \delta \mathcal{O}$ 
  - Use SM symmetries:  $\mathcal{O}_{\text{SM}} = 0$ ,
  - Small uncertainties:  $\mathcal{O}_{\text{SM}} \gg \delta \mathcal{O}_{\text{SM}}$ ,
  - Use large hadronic effects to enhance NP contributions, ...

- 3 Very efficient GIM mechanism:  $\sum_i \lambda_i = 0$  with  $\lambda_i \equiv V_{ci}^* V_{ui}$ .



$$= \sum_{i=d,s,b} \lambda_i f_i = \lambda_s \left[ (f_s - f_d) + \frac{\lambda_b}{\lambda_s} (f_b - f_d) \right]$$

$$f_i \sim \frac{m_i^2}{(4\pi)^2 M_W^2}, \quad \text{Im}(\lambda_b/\lambda_s) \sim 10^{-3}$$

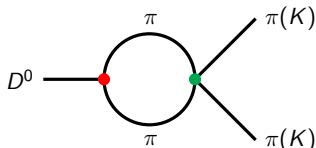
**BRs ( $A_{\text{CP}}$ ) are loop-(CKM-) suppressed!**

**Excellent place to search for BSM physics!**

$$\Delta A_{CP}^{SM} \approx r \sin \phi_{CKM} \sin \delta_{QCD}$$

- $\sin \phi_{CKM} \sim \text{Im}(\lambda_b/\lambda_s) \sim 10^{-3}$ .
- $\sin \delta_{QCD} \sim \mathcal{O}(1)$ , large strong phases.
- $r_{CKM} = \left| \frac{\lambda_d}{\lambda_s} \right| = 1$ , ratio of CKM factors.
- **What is the ratio of rescattering  $r_{QCD}$ ?**

$$r = r_{CKM} r_{QCD}$$



## Light Cone Sum Rules (LCSR)

$$r_{QCD} \sim \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) \sim 10^{-1}$$

$$\Delta A_{CP}^{SM} \sim 10^{-4}$$

Not explains exp. value

$$\Delta A_{CP}^{LHCb} = (-15.4 \pm 2.9) \cdot 10^{-4} \quad 1903.08726$$

## Low energy QCD

$$r_{QCD} \sim 1$$

$$\Delta A_{CP}^{SM} \sim 10^{-3}$$

Compatible with exp. value

**SM prediction of  $\Delta A_{CP}$  is not well established!**

## Final results for $A_{CP}(K^-K^+)$ and combination

- Final results for  $A_{CP}(K^-K^+)$  are:

$$C_{D^+} : \mathcal{A}_{CP}(K^-K^+) = [13.6 \pm 8.8 (\text{stat}) \pm 1.6 (\text{syst})] \times 10^{-4},$$

$$C_{D_s^+} : \mathcal{A}_{CP}(K^-K^+) = [2.8 \pm 6.7 (\text{stat}) \pm 2.0 (\text{syst})] \times 10^{-4}.$$

with an overall correlation coefficient  $\rho = 0.06$  and are found to be compatible within 1 standard deviation.

- The combination yields

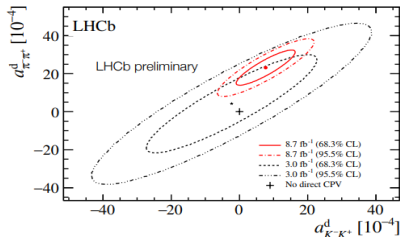
$$\mathcal{A}_{CP}(K^-K^+) = [6.8 \pm 5.4 (\text{stat}) \pm 1.6 (\text{syst})] \times 10^{-4},$$

## First evidence for direct $CP$ violation

$$a_{K^-K^+}^d = (7.7 \pm 5.7) \times 10^{-4}$$

$$a_{\pi^-\pi^+}^d = (23.2 \pm 6.1) \times 10^{-4}$$

$$\text{with } \rho(a_{KK}^d, a_{\pi\pi}^d) = 0.88$$

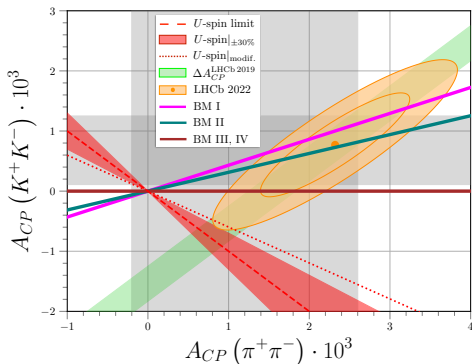


- They report the first evidence for **direct  $CP$  violation** in  $D^0 \rightarrow \pi^- \pi^+$  decays at the level of  $3.8\sigma$ .

- $U$ -spin breaking in  $CP$  asymmetries:

$$a_{KK}^d + a_{\pi\pi}^d \neq 0 \text{ at the level of } 2.7\sigma.$$

# What tells the new LHCb result? 2207.08539, 2210.16330



- ①  $a_{\pi^-\pi^+}^d$  is larger than  $|\Delta A_{CP}|$ . SM needs even more enhancement!

$$a_{\pi^-\pi^+}^d|_{\text{SM}} \sim 2 \text{Im}(\lambda_b/\lambda_s) \left(\frac{h}{t}\right) \sim 1.2 \cdot 10^{-3} \left(\frac{h}{t}\right) \longrightarrow \boxed{\frac{h}{t} \sim 2}$$

- ② Violation of U-spin,  $a_{K^+K^0}^d + a_{\pi^-\pi^+}^d \neq 0$ , at the level of  $2.7\sigma$ !

**BSM CP & U-spin violation?**

# Flavorful $Z'$ models

- Gauge symmetry:  $SU(3)_C \times SU(2)_L \times U(1)_Y \times \underbrace{U(1)'}_{g_4}$

- Representations:

$$Q_i = (3, 2, 1/6, F_{Q_i}), \quad u_i = (3, 1, 2/3, F_{u_i}), \quad d_i = (3, 1, -1/3, F_{d_i}), \\ L_i = (1, 2, -1/2, F_{L_i}), \quad e_i = (1, 1, -1, F_{e_i}), \quad \nu_i = (1, 1, 0, F_{\nu_i}).$$

- $Z'$  Lagrangian (in the gauge basis):

$$\mathcal{L}_{Z'} = g_4 \sum_i \sum_{\psi_i} F_{\psi_i} (\bar{\psi}_i \gamma^\mu \psi_i) Z'_\mu, \quad \psi = Q, L, u, d, e, \nu.$$

- Charge  $F_\psi$  assignment: **guarantee anomaly-cancellation.**

$$\Delta A_{CP}^{NP} = A_{CP}^{NP}(K^+K^-) - A_{CP}^{NP}(\pi^+\pi^-)$$

with (assuming maximal strong phases  $\sin \delta_{\pi,K} \sim 1$ )

$$A_{CP}^{NP}(K^+K^-) \sim \left(\frac{g^4}{M_{Z'}}\right)^2 \sin \phi_R \Delta \tilde{F}_R [c_K F_{Q_2} + d_K F_{d_2}]$$

$$A_{CP}^{NP}(\pi^+\pi^-) \sim \left(\frac{g^4}{M_{Z'}}\right)^2 \sin \phi_R \Delta \tilde{F}_R [c_\pi F_{Q_1} + d_\pi F_{d_1}]$$

with  $\Delta \tilde{F}_R = \sin \theta_u \cos \theta_u \Delta F_R$  and

$$c_K = \frac{\chi_K}{a_K} r_1 \sim +\mathcal{O}(1), \quad c_\pi = -\frac{\chi_\pi}{a_\pi} r_1 \sim -\mathcal{O}(1),$$

$$d_K = \frac{1}{a_K} r_2 \sim -\mathcal{O}(0.1), \quad d_\pi = -\frac{1}{a_\pi} r_2 \sim +\mathcal{O}(0.1).$$

$a_P$  is tree-level amplitude fixed by  $\mathcal{B}(D^0 \rightarrow P^+P^-)_{\text{exp}}$  and  $r_{1,2}$  encode RGE effects.



$$a_{K^-K^+}^d = \frac{g_4^2}{M_{Z'}^2} \Delta \tilde{F}_R [c_K F_{Q_2} + d_K F_{d_2}],$$

$$a_{\pi^-\pi^+}^d = \frac{g_4^2}{M_{Z'}^2} \Delta \tilde{F}_R [c_\pi F_{Q_1} + d_\pi F_{d_1}],$$

with  $F_{Q_{1,2}} = 0$  ( $D$ -mixing constraints), the ratio  $F_{d_2}/F_{d_1}$  is fixed:

$$\frac{F_{d_2}}{F_{d_1}} = \frac{d_\pi a_{K^-K^+}^d}{d_K a_{\pi^-\pi^+}^d} \simeq -0.42^{+0.83}_{-0.13}$$

resulting in a large hierarchy

$$|F_{d_2}| \ll |F_{d_1}|$$

## D-meson mixing constraints from HFLAV average

$$\frac{g_4 \Delta \tilde{F}_R}{M_{Z'}} < 7.1 \cdot 10^{-4} \text{ TeV}^{-1} \text{ (95\% C.L.)}$$

which includes new data from LHCb 2106.03744

### Reminder:

$\Delta \tilde{F}_R$  contains the mixing angle  $\theta_u$  which can be freely adjusted:

$$\Delta \tilde{F}_R = \sin \theta_u \cos \theta_u (F_{u_2} - F_{u_1}) \approx \theta_u (F_{u_2} - F_{u_1})$$

$\theta_u$  small is instrumental to build our models

$$\theta_u \ll 1$$

- BRs of rare  $D$ -decays (2011.09478):

$$g_4^2 |\Delta \tilde{F}_R| \sqrt{F_{L_2}^2 + F_{e_2}^2} \lesssim 0.02 \left( \frac{M_{Z'}}{\text{TeV}} \right)^2,$$

$$g_4^2 |\Delta \tilde{F}_R (F_{L_2} - F_{e_2})| \lesssim 0.02 \left( \frac{M_{Z'}}{\text{TeV}} \right)^2.$$

- Drell-Yan data for  $\ell = e, \tau$  (2003.12421):

$$g_4^2 |\Delta \tilde{F}_R| \sqrt{F_{L_1(3)}^2 + F_{e_1(3)}^2} \lesssim 0.06 (0.12) \left( \frac{M_{Z'}}{\text{TeV}} \right)^2.$$

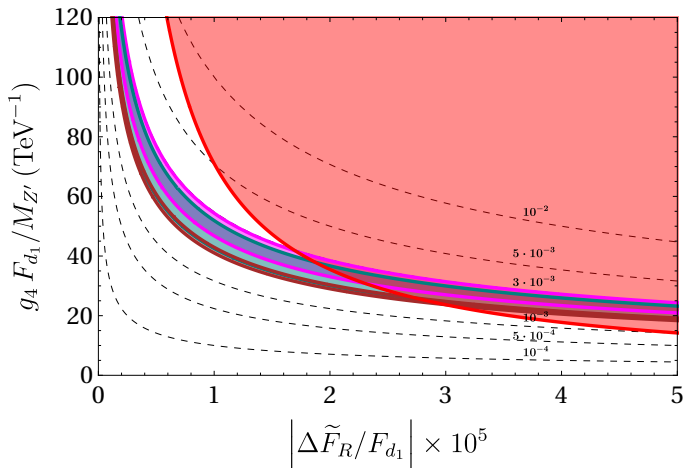
- Combined with the  $D$ -mixing constraint:

$$|F_{L_2} - F_{e_2}|, \sqrt{F_{L_2}^2 + F_{e_2}^2} \lesssim 0.8 |F_{d_1}|$$

$$\sqrt{F_{L_1}^2 + F_{e_1}^2} \lesssim 2.3 |F_{d_1}|$$

$$\sqrt{F_{L_3}^2 + F_{e_3}^2} \lesssim 4.7 |F_{d_1}|$$

# Charm constraints synopsis 2210.16330



$$\frac{g_4 F_{d_1}}{M_{Z'}} \sim \frac{1}{0.025 \text{ TeV}} \times \frac{|a_{\pi^-\pi^+}^d|}{0.002} \rightarrow \text{light } Z' \text{ mass or large } g_4 F_{d_1}$$

# Anomaly-free $U(1)'$ models 2210.16330

$$2\langle \mathcal{F}_Q \rangle - \langle \mathcal{F}_u \rangle - \langle \mathcal{F}_d \rangle = 0,$$

$$3\langle \mathcal{F}_Q \rangle + \langle \mathcal{F}_L \rangle = 0,$$

$$\langle \mathcal{F}_Q \rangle + 3\langle \mathcal{F}_L \rangle - 8\langle \mathcal{F}_u \rangle - 2\langle \mathcal{F}_d \rangle - 6\langle \mathcal{F}_e \rangle = 0,$$

$$6\langle \mathcal{F}_Q \rangle + 2\langle \mathcal{F}_L \rangle - 3\langle \mathcal{F}_u \rangle - 3\langle \mathcal{F}_d \rangle - \langle \mathcal{F}_e \rangle - \langle \mathcal{F}_\nu \rangle = 0,$$

$$\langle \mathcal{F}_Q^2 \rangle - \langle \mathcal{F}_L^2 \rangle - 2\langle \mathcal{F}_u^2 \rangle + \langle \mathcal{F}_d^2 \rangle + \langle \mathcal{F}_e^2 \rangle = 0,$$

$$6\langle \mathcal{F}_Q^3 \rangle + 2\langle \mathcal{F}_L^3 \rangle - 3\langle \mathcal{F}_u^3 \rangle - 3\langle \mathcal{F}_d^3 \rangle - \langle \mathcal{F}_e^3 \rangle - \langle \mathcal{F}_\nu^3 \rangle = 0.$$

$$\mathcal{F}_X = \text{diag}(F_{X_1}, F_{X_2}, F_{X_3})$$

$$\langle \mathcal{F}_X \rangle = \text{Tr}(\mathcal{F}_X)$$

Model	$F_{Q_i}$			$F_{u_i}$			$F_{d_i}$			$F_{L_i}$			$F_{e_i}$			$F_{\nu_i}$		
<b>BM I</b>	0	0	0	9	-16	7	20	-11	-9	15	-6	-9	-16	0	16	6	12	-18
<b>BM II</b>	0	0	0	-19	9	10	20	-8	-12	4	1	-5	15	2	-17	8	2	-10
<b>BM III</b>	0	0	0	0	-1	0	1	0	0	0	0	0	0	0	1	0	0	-1
<b>BM IV</b>	0	0	0	$-\frac{985}{1393}$	$\frac{985}{1393}$	0	1	0	-1	0	0	0	$\frac{1}{1393}$	0	$-\frac{1}{1393}$	$F_\nu$	$-F_\nu$	0

- All these BMs survive the previous constraints!**
- BM feature U-spin and IB with signals in  $\pi^+\pi^0$ ,  $\pi^0\pi^0$ :**

$$A_{CP}(\pi^0\pi^0) \simeq A_{CP}(\pi^+\pi^0) \simeq \left(1 - \frac{F_{u1}}{F_{d1}}\right) |\Delta A_{CP}| \simeq (1 - 2) 10^{-3}$$

★ Constraints from  $Z' \rightarrow \ell^+ \ell^-$  searches:

- Severe constraints in 1-100 GeV range.
- Exp. constraints from  $e$  &  $\mu$  (1801.04847 & 1910.06926)

$$g_4 F_{e_{1,2}, L_{1,2}} \lesssim 4 \cdot 10^{-4}$$

- Combined with previous bounds, leads to

$$\frac{F_{e_{1,2}, L_{1,2}}}{F_{d_1}} \lesssim \frac{1}{750}$$

directly **excluding BM I and II** and dictating a strong quark and lepton charge hierarchy in **BM III and IV**.

## ★ Constraints from dijets searches:

- For  $10 \text{ GeV} \lesssim M_{Z'} \lesssim 50 \text{ GeV}$ , the strongest constraints are from CMS (1905.10331), and their dijet plus initial state radiation (2112.05392). Using their results, approximately  $g_4 F_{d_1} \lesssim 0.5$ , together with the previous constraints:

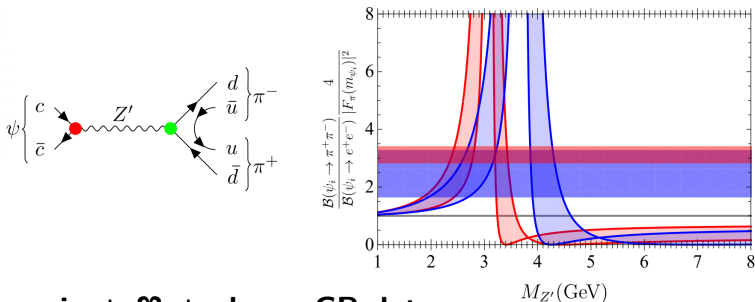
$$10 \text{ GeV} \lesssim M_{Z'} \lesssim 20 \text{ GeV}$$

- $\Upsilon(\bar{b}b) \rightarrow jj$  constraints around 10 GeV (1404.3947).

$$M_{Z'} \lesssim 7 \text{ GeV} \text{ or } M_{Z'} \gtrsim 15 \text{ GeV} \text{ (BM IV)}$$

- **BM III** does not couple to  $b$ 's, we can evade the  $\Upsilon$ -limits.

## ★ Constraints from charmonium decays:



**Charmonia +  $\Upsilon$  + charm CP data:**

**$M_{Z'} \sim [2.3, 2.8]$  GeV or  $M_{Z'} \sim [3.2, 3.3]$  GeV (BM III)**

**$M_{Z'} \sim [4.6, 7]$  GeV (BM IV)**

Resolving the tension between  $F_\pi$  extracted from  $J/\psi$ -decays assuming the leading photon exchange hep-ph/0409080



# A flavorful $Z'$ of $\mathcal{O}(10)$ GeV? 2210.16330

★ **Constraints in BM III from  $\psi(2s) \rightarrow \tau^+\tau^-$ :**

Similarly as  $\psi_i \rightarrow \pi^+\pi^-$ , using  $\mathcal{B}(\psi(2s) \rightarrow \tau^+\tau^-)$  from PDG:

$$M_{Z'} \lesssim 2.2 \text{ GeV or } [4.0, 4.8] \text{ GeV}$$

very close to the windows implied by  $F_\pi$ .

★ **Constraints from  $J/\psi(1s) \rightarrow$  nothing:**

Using  $\mathcal{B}(J/\psi(1s) \rightarrow \text{nothing})$  from PDG:

$$M_{Z'} \lesssim 0.7 \text{ GeV}$$

in conflict with  $F_\pi$  windows, or the BSM neutrino mass  $m_\nu > m_{J/\psi(1s)}/2$  to forbid the decay kinematically.

# Summary

- The data from LHCb require a huge amount of U-spin breaking.
- Explaining the data poses a challenge to model building, given the low NP scale and the severe constraints.
- A  $e$  &  $\mu$ -phobic light  $Z'$  can explain the U-spin-CP anomaly.
- Signatures & search channels:
  - CP asymmetries in  $D^0 \rightarrow \pi^0\pi^0$  and  $D^+ \rightarrow \pi^0\pi^+$ .
  - Low mass dijets  $Z' \rightarrow q\bar{q}$ .
  - Enhanced  $D\bar{D}$ ,  $\pi\pi$ ,  $\tau\tau$  production.
  - Dark photon searches
  - Invisible & hadronic  $D$  decays
  - $J/\psi$ ,  $\psi(2s)$ ,  $\Upsilon$  decays

**Thank you for your attention!**

# BACKUP

# From gauge to mass basis via rotations

- Rotations:** 4 unitary matrices,  $V_u^\dagger V_u = V_d^\dagger V_d = U_u^\dagger U_u = U_d^\dagger U_d = I$   
 $(u'_L)_i = (V_u)_{ij} (u_L)_j$ ,  $(u'_R)_i = (U_u)_{ij} (u_R)_j$ ,  
 $(d'_L)_i = (V_d)_{ij} (d_L)_j$ ,  $(d'_R)_i = (U_d)_{ij} (d_R)_j$ .  $V_{CKM} = V_u^\dagger V_d$

- $Z'$  Lagrangian for charm FCNCs (in the mass basis):**

$$\begin{aligned}
 \mathcal{L}_{Z'} \supset & \left( g_L^{uc} \bar{u}_L \gamma^\mu c_L Z'_\mu + g_R^{uc} \bar{u}_R \gamma^\mu c_R Z'_\mu + \text{h.c.} \right) \\
 & + g_L^d \bar{d}_L \gamma^\mu d_L Z'_\mu + g_R^d \bar{d}_R \gamma^\mu d_R Z'_\mu \\
 & + g_L^s \bar{s}_L \gamma^\mu s_L Z'_\mu + g_R^s \bar{s}_R \gamma^\mu s_R Z'_\mu \\
 & + \sum_{\ell=e,\mu,\tau} \left( g_L^{\ell\ell} \bar{\ell}_L \gamma^\mu \ell_L + g_R^{\ell\ell} \bar{\ell}_R \gamma^\mu \ell_R \right) Z'_\mu
 \end{aligned}$$

$$g_L^{d,s} = g_4 F_{Q_{1,2}}, \quad g_R^{d,s} = g_4 F_{d_{1,2}}, \quad g_L^{\ell\ell} = g_4 F_{L_\ell}, \quad g_R^{\ell\ell} = g_4 F_{e_\ell}$$

- Avoid strong constraints in the kaon sector**  $\rightarrow$   $V_d = U_d = I$

$$g_L^{uc} = g_4 \Delta F_L \lambda$$

$$g_R^{uc} = g_4 \Delta F_R \sin \theta_u \cos \theta_u e^{i\phi_R}$$

with  $\Delta F_L = F_{Q_2} - F_{Q_1}$  and  $\Delta F_R = F_{u_2} - F_{u_1}$ .

# $|\Delta c| = |\Delta u| = 1$ FCNC couplings $g_{L,R}^{uc}$

- Avoid strong constraints in the kaon sector  $\rightarrow V_d = U_d = I$

$$V_{CKM} = V_u^\dagger \rightarrow (V_{CKM})_{2 \times 2} = \begin{pmatrix} \cos \Phi_u & \sin \Phi_u \\ -\sin \Phi_u & \cos \Phi_u \end{pmatrix}, \quad \sin \Phi_u = \lambda \approx 0.2.$$

$$(U_u)_{2 \times 2} = \begin{pmatrix} \cos \theta_u & \sin \theta_u e^{-i\phi_R} \\ -\sin \theta_u e^{i\phi_R} & \cos \theta_u \end{pmatrix} \rightarrow \text{1 CP-phase in RH up sector}$$

- After rotation:

$$g_L^{uc} = g_4 (V_{CKM} F_Q V_{CKM}^\dagger)_{12} = g_4 (F_{Q_2} - F_{Q_1}) \sin \Phi_u \cos \Phi_u,$$

$$g_R^{uc} = g_4 (U_u^\dagger F_u U_u)_{12} = g_4 (F_{u_2} - F_{u_1}) \sin \theta_u \cos \theta_u e^{i\phi_R},$$

- CP violation BSM generated by RH up rotation in  $g_R^{uc}$ ,

$$g_L^{uc} = g_4 \Delta F_L \lambda$$

$$g_R^{uc} = g_4 \Delta F_R \sin \theta_u \cos \theta_u e^{i\phi_R}$$

with  $\Delta F_L = F_{Q_2} - F_{Q_1}$  and  $\Delta F_R = F_{u_2} - F_{u_1}$ .

# Four-fermion operators

New  $U(1)'$  charges require new operators, like EW penguins.

High-energy scales

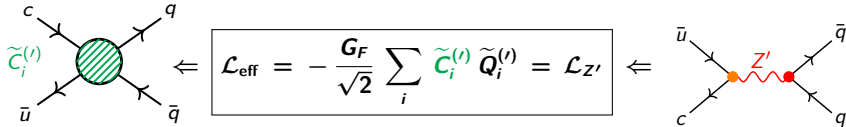
8 additional operators:

$$\begin{aligned}\tilde{Q}_7 &= (\bar{u}c)_{V-A} \sum_q F_{u_i, d_i} (\bar{q}q)_{V+A} , & \tilde{Q}'_7 &= (\bar{u}c)_{V+A} \sum_q F_{Q_i} (\bar{q}q)_{V-A} , \\ \tilde{Q}_8 &= (\bar{u}_\alpha c_\beta)_{V-A} \sum_q F_{u_i, d_i} (\bar{q}_\beta q_\alpha)_{V+A} , & \tilde{Q}'_8 &= (\bar{u}_\alpha c_\beta)_{V+A} \sum_q F_{Q_i} (\bar{q}_\beta q_\alpha)_{V-A} , \\ \tilde{Q}_9 &= (\bar{u}c)_{V-A} \sum_q F_{Q_i} (\bar{q}q)_{V-A} , & \tilde{Q}'_9 &= (\bar{u}c)_{V+A} \sum_q F_{u_i, d_i} (\bar{q}q)_{V+A} , \\ \tilde{Q}_{10} &= (\bar{u}_\alpha c_\beta)_{V-A} \sum_q F_{Q_i} (\bar{q}_\beta q_\alpha)_{V-A} , & \tilde{Q}'_{10} &= (\bar{u}_\alpha c_\beta)_{V+A} \sum_q F_{u_i, d_i} (\bar{q}_\beta q_\alpha)_{V+A} ,\end{aligned}$$

with  $q = u, c, d, s, b$  and  $\alpha, \beta$  are color indices.

# Matching and RGEs

Matching condition at high-energy scales:



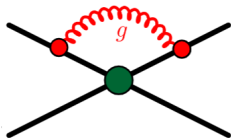
$$\tilde{C}_{7,9}(M_{Z'}) = \frac{\sqrt{2}}{G_F} \frac{g_4 g_L^{uc}}{4 M_{Z'}^2}, \quad \tilde{C}'_{7,9}(M_{Z'}) = \frac{\sqrt{2}}{G_F} \frac{g_4 g_R^{uc}}{4 M_{Z'}^2}, \quad \tilde{C}_{8,10}^{(l)}(M_{Z'}) = 0.$$

QCD plays a role at low-energy: **RGEs mix different operators**

$$\left(\frac{\lambda^a}{2}\right)_{\alpha\beta} \left(\frac{\lambda^a}{2}\right)_{\gamma\delta} = \delta_{\alpha\delta} \delta_{\beta\gamma} - \frac{1}{N_C} \delta_{\alpha\beta} \delta_{\gamma\delta}$$

Anomalous  
dimension

$$\Rightarrow \gamma_F^0 \Rightarrow$$



$$\tilde{C}_7^{(l)}(m_c) = 0.829 \tilde{C}_7^{(l)}(M_{Z'}),$$

$$\tilde{C}_8^{(l)}(m_c) = 1.224 \tilde{C}_7^{(l)}(M_{Z'}),$$

$$\tilde{C}_9^{(l)}(m_c) = 1.404 \tilde{C}_9^{(l)}(M_{Z'}),$$

$$\tilde{C}_{10}^{(l)}(m_c) = -0.718 \tilde{C}_9^{(l)}(M_{Z'}).$$

# Estimation of hadronic matrix elements (HME)

**Factorization of currents:**  $Q_i = (\bar{q}_1 \Gamma_1 q_2) (\bar{q}_3 \Gamma_2 q_4)$

$$\langle P^+ P^- | Q_i | D^0 \rangle = \langle P^+ | (\bar{q}_1 \Gamma_1 q_2) | 0 \rangle \langle P^- | (\bar{q}_3 \Gamma_2 q_4) | D^0 \rangle B_i^{P^+ P^-}$$

where  $B_i^{P^+ P^-}$  parametrizes the deviation of the true HME from  $B_i^{P^+ P^-}|_{\text{naive}} = 1$ .

**After Fierz identities in the flavor and color space:**

$$\langle P^+ P^- | Q_i | D^0 \rangle_{\text{Penguin}} = (\text{factor}) \times (\text{HME}_{\text{Tree}})$$

then it cancels in the CP-asymmetry:  $A_{\text{CP}} \propto \frac{\text{HME}_{\text{Penguin}}}{\text{HME}_{\text{Tree}}}$ .

**What does the “factor” contain?**

• **Chiral factor (Hadronization):**

- Non-enhanced:  $\tilde{Q}_{9,10}$
- Enhanced:  $\tilde{Q}_{7,8}$

$$\langle P^+ P^- | Q_i^{(V-A) \times (V+A)} | D^0 \rangle \propto \frac{2 M_P^2}{m_c (m_{q_1} + m_{q_2})}$$

• **Color factor (Fierz):**

- Non-suppressed:  $\tilde{Q}_{8,10}$
- Suppressed:  $\tilde{Q}_{7,9}$

$$\left(\frac{\lambda^a}{2}\right)_{\alpha\beta} \left(\frac{\lambda^a}{2}\right)_{\gamma\delta} = \delta_{\alpha\delta} \delta_{\beta\gamma} - \frac{1}{N_C} \delta_{\alpha\beta} \delta_{\gamma\delta}$$



# $D^0 - \bar{D}^0$ mixing constraints

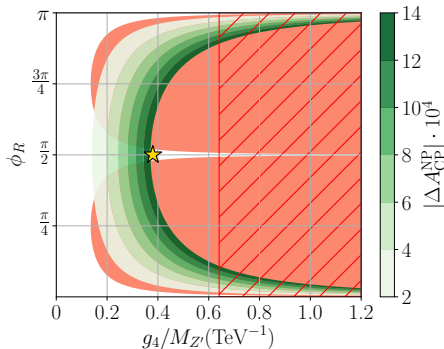
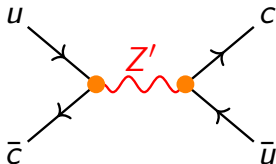
- **Amplitude:**  $\langle D^0 | \mathcal{H}_{\text{eff}}^{\Delta c=2} | \bar{D}^0 \rangle = M_{12} - \frac{i}{2} \Gamma_{12}$
- **3 physical quantities:**  
 $x_{12} = 2 \frac{|M_{12}|}{\Gamma} , y_{12} = \frac{|\Gamma_{12}|}{\Gamma} , \phi_{12} = \arg\left(\frac{M_{12}}{\Gamma_{12}}\right)$ .
- **Require NP contributions to saturate the current world averages (HFLAV):**

$$x_{12}^{\text{NP}} \leq x_{12} , \quad x_{12}^{\text{NP}} \sin \phi_{12}^{\text{NP}} \leq x_{12} \sin \phi_{12}$$

- **Constraint from  $x_{12}$ :**  
 $|(g_L^{uc})^2 + (g_R^{uc})^2 - X g_L^{uc} g_R^{uc}| \lesssim 6 \cdot 10^{-7} \left(\frac{M_{Z'}}{\text{TeV}}\right)^2$
- **Avoided via alignment:**  $g_L^{uc} \sim X g_R^{uc}$
- **Implies:**  $\text{Arg}(g_L^{uc}) \sim \text{Arg}(g_R^{uc})$
- **BUT kaon constraints kill  $\text{Arg}(g_L^{uc})!$**
- $g_L^{uc} = 0 \rightarrow \Delta F_L = 0 \rightarrow F_{Q_1} = F_{Q_2}!$   
 ☆: Model 2 with  $\Delta A_{\text{CP}}^{\text{NP}} \sim 10^{-3}$

$$\Delta F_R = 12, \quad \phi_R \sim \pi/2, \quad g_4/M_{Z'} \sim 0.38/\text{TeV}, \quad \theta_u \sim 1 \cdot 10^{-4}.$$

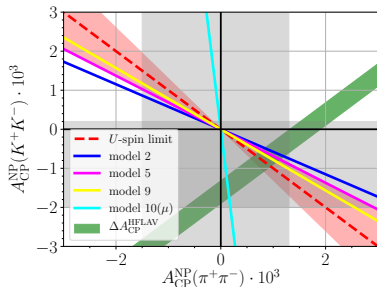
Same couplings as rare  
 $|\Delta c| = |\Delta u| = 1$  decays!



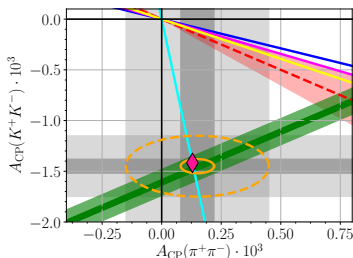
# U-spin patterns in $D^0 \rightarrow \pi^+\pi^-, K^+K^-$

- **U-spin symmetry:** invariant under  $d \leftrightarrow s$ .
- **Obviously is broken** (by  $M_P$  and  $f_P$ ,  $\pi^+ = u\bar{d}$  and  $K^+ = u\bar{s}$ ).
- **Z' model:** U-spin breaking arises for  $F_{Q_1} \neq F_{Q_2}$  or  $F_{d_1} \neq F_{d_2}$ !
- **U-spin sum rule** (broken  $\delta U_{\text{break}} \lesssim 30\%$  1308.4143):

$$A_{\text{CP}}(D^0 \rightarrow K^+K^-) + A_{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) = 0 + \delta U_{\text{break}}$$



Green and gray bands are the  $1\sigma$  experimental world averages (HFLAV).



Future experimental projections over model 10( $\mu$ ). Lighter (darker) bands correspond to LHCb Run 1-3 (1-5).

# Isospin breaking patterns in $D^+ \rightarrow \pi^+ \pi^0$

- **Isospin symmetry:** invariant under  $u \iff d$ .
- **Softly broken** (10% by  $m_u \neq m_d$  and QED corrections).
- **Z' model:** Isospin breaking arises for  $F_{u_1} \neq F_{d_1}$ !

$$A_{\text{CP}}^{\text{NP}}(\pi^+ \pi^0) \sim \frac{g_4^2}{M_{Z'}^2} \Delta \tilde{F}_R d_{\pi'} (F_{d_1} - F_{u_1})$$

Models 9 and 10( $\mu$ ):

$$A_{\text{CP}}^{\text{NP}}(\pi^+ \pi^0) \sim (1 - 2) \cdot \Delta A_{\text{CP}}^{\text{NP}}$$

for  $\Delta A_{\text{CP}}^{\text{NP}} \sim 10^{-3}$  is within the projected sensitivity of Belle II,

$$\sigma(A_{\text{CP}}(\pi^+ \pi^0))_{\text{Belle II}} = 1.7 \cdot 10^{-3} \text{ for } 50\text{ab}^{-1} .$$

# Constraints from invisibles data

- Missing energy can stem from  $\nu_R$  and/or vector-like dark fermions  $\chi$  charged under the  $U(1)'$  only.
- $\mathcal{B}(D^0 \rightarrow \pi^0 + \text{inv.})$  is constrained by BES III (2112.14236)

$$\mathcal{B}(D^0 \rightarrow \pi^0 \text{ inv.}) < 2.1 \cdot 10^{-4} \text{ (90\% C.L.)}.$$

Neglecting finite  $m_\chi$  corrections

$$\mathcal{B}(D^0 \rightarrow \pi^0 \nu \bar{\nu}, \chi \bar{\chi}) \approx \frac{2\pi^2 A_+}{G_F^2 \alpha_e^2} \left( \frac{g_4^2 \Delta \tilde{F}_R F_{\nu, \chi}}{M_{Z'}^2} \right)^2$$

- Following a similar procedure as the dilepton constraints

$$|F_{\nu, \chi}| \lesssim 110 |F_{d_1}|$$

for  $m_{\nu_R, \chi} < m_D/2 \approx 0.9 \text{ GeV}$ .

## BM III: Can we avoid them? Setting them to zero?

- If the  $Z'$  does not couple directly to  $e$  and  $\mu$ , one can still induce a small coupling  $\varepsilon$  from  $Z' - \gamma$  gauge-kinetic mixing

$$\mathcal{L} \supset -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{1}{4} Z'^{\mu\nu} Z'_{\mu\nu} - \frac{\eta}{2} F^{\mu\nu} Z'_{\mu\nu}, \quad \varepsilon = -\frac{\eta}{\sqrt{1-\eta^2}}.$$

- $\varepsilon$  is also related to the parameter  $\rho = M_W / (M_Z \cos \theta_W)$  which from global fit of electroweak precision parameters leads to

$$\left(\frac{\delta\rho}{\rho}\right)_{\text{NP}} = (3.8 \pm 2.0) \cdot 10^{-4} \rightarrow |\varepsilon(M_Z)| \lesssim 4 \cdot 10^{-1}$$

- $\eta$  cannot be switched off at more than one scale, so it has to be compatible with  $|\varepsilon(M_{Z'})| \lesssim 10^{-3}$ . Using RG evolution, the U-spin-CP anomaly requires

$$|\varepsilon(M_Z) - \varepsilon(M_{Z'})| \gtrsim \frac{10 e}{\pi^2} \frac{M_{Z'}}{\text{TeV}} \ln\left(\frac{M_Z}{M_{Z'}}\right) \gtrsim 10^{-3}$$

- $\rho$  &  $Z' \rightarrow \ell\ell$  constraints can be avoided if  $|\varepsilon(M_Z)| \sim \mathcal{O}(10^{-2})$ .

# BM IV: Fun with Diophantine equations!

If  $Z'$  directly couples to  $e$  or  $\mu$  (like BM IV)? Can we find solutions anomaly-free such as

$$\frac{F_{e_{1,2}, L_{1,2}}}{F_{d_1}} \lesssim \frac{1}{750} ?$$

The gauge anomaly cancellation conditions (ACCs) read

$$2\langle \mathcal{F}_Q \rangle - \langle \mathcal{F}_u \rangle - \langle \mathcal{F}_d \rangle = 0, \quad (1)$$

$$3\langle \mathcal{F}_Q \rangle + \langle \mathcal{F}_L \rangle = 0, \quad (2)$$

$$\langle \mathcal{F}_Q \rangle + 3\langle \mathcal{F}_L \rangle - 8\langle \mathcal{F}_u \rangle - 2\langle \mathcal{F}_d \rangle - 6\langle \mathcal{F}_e \rangle = 0, \quad (3)$$

$$6\langle \mathcal{F}_Q \rangle + 2\langle \mathcal{F}_L \rangle - 3\langle \mathcal{F}_u \rangle - 3\langle \mathcal{F}_d \rangle - \langle \mathcal{F}_e \rangle - \langle \mathcal{F}_\nu \rangle = 0, \quad (4)$$

$$\langle \mathcal{F}_Q^2 \rangle - \langle \mathcal{F}_L^2 \rangle - 2\langle \mathcal{F}_u^2 \rangle + \langle \mathcal{F}_d^2 \rangle + \langle \mathcal{F}_e^2 \rangle = 0, \quad (5)$$

$$6\langle \mathcal{F}_Q^3 \rangle + 2\langle \mathcal{F}_L^3 \rangle - 3\langle \mathcal{F}_u^3 \rangle - 3\langle \mathcal{F}_d^3 \rangle - \langle \mathcal{F}_e^3 \rangle - \langle \mathcal{F}_\nu^3 \rangle = 0. \quad (6)$$

In addition, avoiding  $Z' - Z$  kinetic mixing at one loop requires

$$\langle \mathcal{F}_Q \rangle - \langle \mathcal{F}_L \rangle + 2\langle \mathcal{F}_u \rangle - \langle \mathcal{F}_d \rangle - \langle \mathcal{F}_e \rangle = 0. \quad (7)$$

First, we focus on those equations that are linear with trace charge matrices, that are Eqs. (1),(2),(3),(4), and (7). Solving them,

$$\langle \mathcal{F}_Q \rangle = -\langle \mathcal{F}_u \rangle = \frac{1}{3}\langle \mathcal{F}_d \rangle = -\frac{1}{3}\langle \mathcal{F}_L \rangle = -\langle \mathcal{F}_e \rangle = -\frac{1}{5}\langle \mathcal{F}_\nu \rangle$$

## BM IV: Fun with Diophantine equations!

Setting  $F_{Q_{1,2,3}} = 0$  to avoid kaon constraints, makes all charge matrices traceless,  $\langle \mathcal{F}_A \rangle = 0$ .

Let us work on the remaining Eqs (5) and (6). To solve the problem mathematical relations between  $\langle \mathcal{F}_A \rangle$ ,  $\langle \mathcal{F}_A^2 \rangle$  and  $\langle \mathcal{F}_A^3 \rangle$  would be helpful. For  $3 \times 3$  matrices holds (Cayley-Hamilton relation)

$$\langle \mathcal{F}_A^3 \rangle - \frac{3}{2} \langle \mathcal{F}_A^2 \rangle \langle \mathcal{F}_A \rangle + \frac{1}{2} \langle \mathcal{F}_A \rangle^3 = 3 \det(\mathcal{F}_A) = 3 F_{A_1} F_{A_2} F_{A_3}$$

It follows from  $\langle \mathcal{F}_A \rangle = 0$  that  $\langle \mathcal{F}_A^3 \rangle$  vanishes if one charge vanishes, then Eq. (6) is trivially fulfilled. In general,

$$\mathcal{F}_A = F_A \text{diag}(+1, -1, 0), \quad A = u, d, L, e, \nu$$

where  $F_A$  are integers. The ordering  $(+1, -1, 0)$  can be changed.

## BM IV: Fun with Diophantine equations!

It remains to solve Eq. (5), which now simply reduces to

$$F_d^2 + F_e^2 = F_L^2 + 2F_u^2$$

Setting  $F_e = F_L = 0$ ? Only the trivial solution  $F_u = F_d = 0$ . Let us simplify by setting just  $F_L = 0$ :

$$F_d^2 + F_e^2 = 2F_u^2 \rightarrow \langle F | \mathcal{J} | F \rangle = F_e^2$$

with  $|F\rangle = (F_u, F_d)$  and  $\mathcal{J} = \begin{pmatrix} 2 & 0 \\ 0 & -1 \end{pmatrix}$ . The first non-trivial solution,  $(F_d, F_e, F_u) = (1, 1, 1)$ , is

$$\langle F_0 | \mathcal{J} | F_0 \rangle = 1, \quad |F_0\rangle = (1, 1). \quad (8)$$

Are there more solutions such as  $F_e \ll F_u, F_d$ ? One possibility is if a transformation  $\mathcal{J} \rightarrow \mathcal{J}' = U^T \mathcal{J} U = \mathcal{J}$  by a  $2 \times 2$  matrix with integer entries  $U$ , leads invariant Eq. (8) so that we can generate recursively solutions

$$|F_i\rangle = (U)^i |F_0\rangle$$

which could get enlarged while keeping  $F_e$  fixed.



# BM IV: Fun with Diophantine equations!

This matrix needs to satisfy

$$\begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix}^T \begin{pmatrix} 2 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} = \begin{pmatrix} 2 & 0 \\ 0 & -1 \end{pmatrix} .$$

The smallest integer solution reads

$$U = \begin{pmatrix} 3 & 2 \\ 4 & 3 \end{pmatrix} .$$

We then find the solutions

$$\begin{aligned} |F_1\rangle &= (5, 7), & |F_2\rangle &= (29, 41), & |F_3\rangle &= (169, 239), \\ |F_4\rangle &= (985, 1393), & |F_5\rangle &= (5741, 8119), & \dots \end{aligned}$$

To avoid  $e$  &  $\mu$  constraints, we need solutions  $|F_i\rangle$  with  $i \geq 4$ . In our analysis, we considered the solution  $i = 4$  for BM IV,

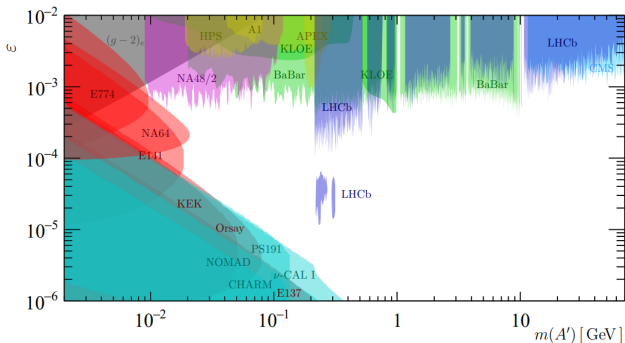
$$(F_d, F_e, F_u) = (1393, 1, 985)$$

with zero neutrino charges  $F_\nu = 0$ .

# A flavorful $Z'$ of $\mathcal{O}(10)$ GeV?

- The scale required points to a light  $Z'$  (large couplings get in trouble with perturbativity).
- Long-lived  $Z' \rightarrow e^-e^+, \mu^-\mu^+$  searches provide severe constraints in the 1-100 GeV range (1801.04847 & 1910.06926).

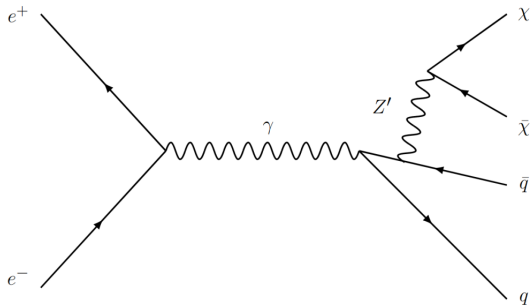
$$\mathcal{L}_\varepsilon = -\varepsilon e J^\mu A'_\mu$$



- Small  $e$  &  $\mu$  couplings (stronger than rare decays & DY).

# Branching ratios of the light $Z'$ boson

Model	light quarks	$b$	$c$	$e$	$\mu$	$\tau$	$\nu_R$
BM III   $M_{Z'}=2.5$ GeV	75	0	0	0	0	0	25
BM III   $M_{Z'}=15$ GeV	38	0	37	0	0	12	13
BM III-s   $M_{Z'}=2.5$ GeV	86	0	0	0	0	0	14
BM III-s   $M_{Z'}=15$ GeV	75	0	0	0	0	12	13
BM IV   $M_{Z'}=5$ GeV	79	0	21	0	0	0	0
BM IV   $M_{Z'}=15$ GeV	54	28	18	0	0	0	0



Smoking gun signature for  $e^+e^-$  machines.

# High energy behaviour

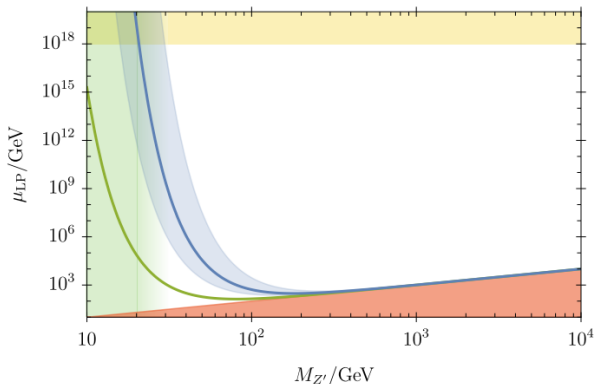


FIGURE 1: Scale of the Landau pole for BM III (blue), depending on the  $M_{Z'}$  mass. An uncertainty of 30% is considered for  $a_{\pi^+\pi^-}^d$  (blue shaded area). The shifted central value is also shown if one dark fermion is included (solid green line). The red shaded area is excluded, as  $\mu_{\text{LP}} \leq M_{Z'}$ . The preferred range by CP-data is shaded in green. The yellow band indicates the  $\mu_{\text{LP}}$  regime an order of magnitude around the Planck scale.