

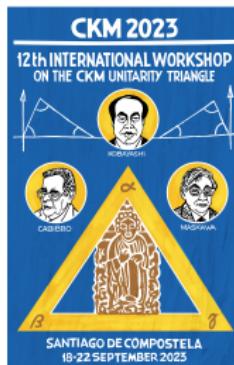
# $V_{ud}$ , $V_{us}$ , $V_{cd}$ , $V_{cs}$ and semileptonic/leptonic $D$ decays

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WG1

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CKM 2023  
Santiago de Compostela, Spain



# Outline

$V_{ud}$ ,  $V_{us}$ , and First-Row Unitarity

$V_{cd}$ ,  $V_{cs}$ , and (semi)-leptonic  $D$  decays

# CKM unitarity

Benchmarks numbers for CKM tests from PDG "12. CKM Quark-Mixing Matrix"

first row:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(7)$

second row:  $|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1.001(12)$

first column:  $|V_{ud}|^2 + |V_{cd}|^2 + |V_{td}|^2 = 0.9972(20)$

second column:  $|V_{us}|^2 + |V_{cs}|^2 + |V_{ts}|^2 = 1.004(12)$

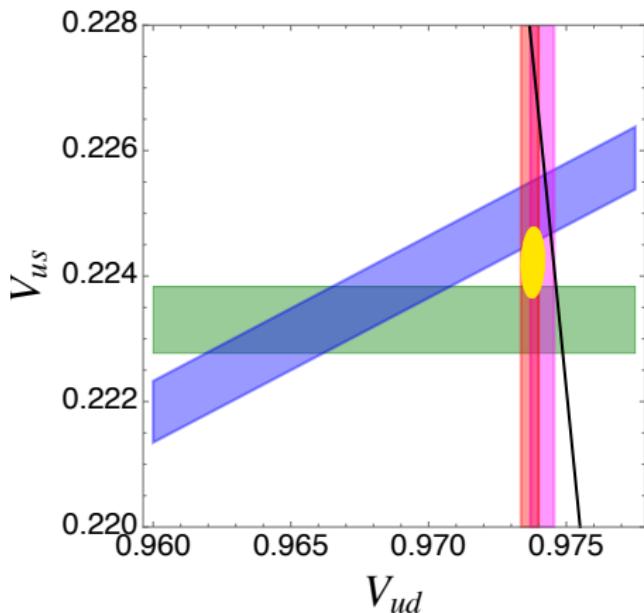
- ▶ International Workshop on the **CKM Unitarity Triangle** (CKM 2023)
- ▶ WG1 also studies CKM unitarity tests involving only  $|V_{ij}|$   
↪ **CKM Unitarity Circles**

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# Tension in first-row unitarity



Cirigliano, Crivellin, Hoferichter, Moulson 2023

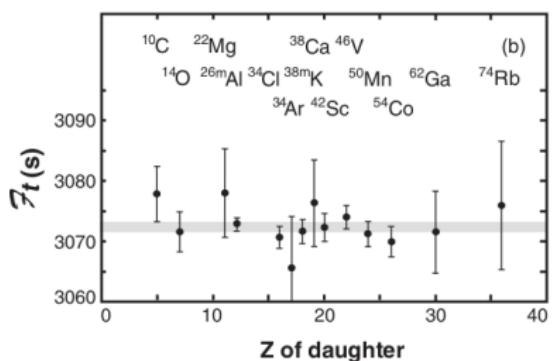
- ▶ Three bands from
  - ▶  $K_{\ell 3} = K \rightarrow \pi \ell \nu_\ell$
  - ▶  $\pi_{\ell 2}/K_{\ell 2}$
  - ▶  $\beta$  decays (superallowed, neutron)
- ▶ Tensions
  - $\Delta_{\text{CKM}} = |V_{ud}|^2 + |V_{us}|^2 - 1$
  - $\Delta_{\text{CKM}}^{K_{\ell 2}-K_{\ell 3}} = -0.016(6) [2.6\sigma]$
  - $\Delta_{\text{CKM}}^{K_{\ell 2}-\beta} = -0.0010(6) [1.7\sigma]$
  - $\Delta_{\text{CKM}}^{K_{\ell 3}-\beta} = -0.0018(6) [3.1\sigma]$
  - $\Delta_{\text{CKM}}^{\text{global}} = -0.0018(6) [2.8\sigma]$
- ▶ Need to improve  $V_{ud}$ ,  $V_{us}$ !

# $V_{ud}$ determinations

1. **Superallowed  $\beta$  decays** ( $0^+ \rightarrow 0^+$  nuclear transitions) [Talk by M. Gorchtein](#)
  - ▶ +: many isotopes to average
  - ▶ -: nuclear uncertainties
2. **Neutron decay** ( $n \rightarrow pe^+\bar{\nu}_e$ ) [Talks by W. Dekens, B. Märkisch, U. Schmidt](#)
  - ▶ +: no nuclear uncertainties
  - ▶ -: need neutron lifetime  $\tau_n$  and decay asymmetry  $\lambda = g_A/g_V$
3. **Pion  $\beta$  decay** ( $\pi^+ \rightarrow \pi^0 e^+\bar{\nu}_e$ ) [Talk by M. Hoferichter](#)
  - ▶ +: theoretically pristine
  - ▶ -: experimentally challenging

# Superallowed $\beta^-$ decays

Talk by M. Gorchtein



Once all corrections are included:  
CVC  $\rightarrow$  Ft constant

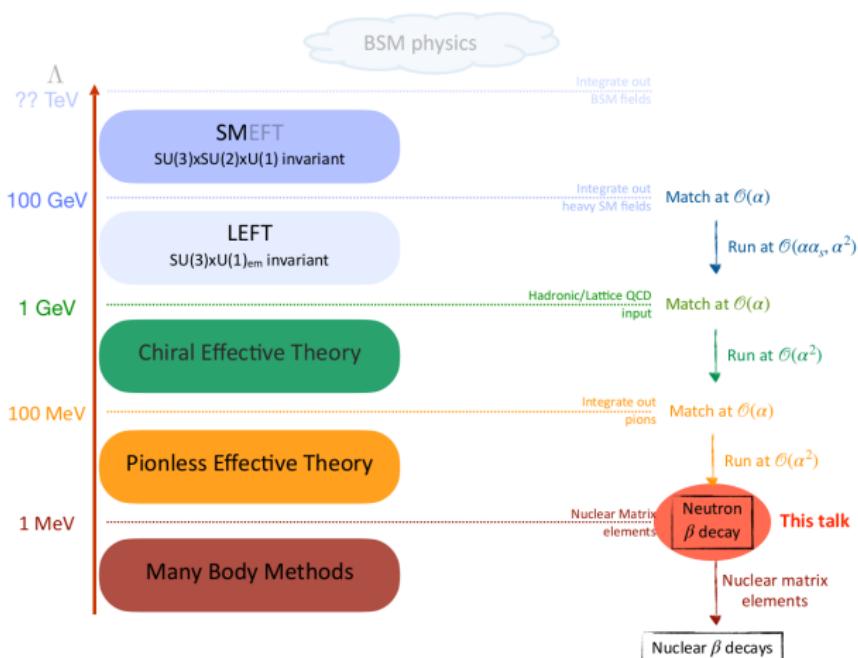
$\delta_C$  particularly important for alignment!

Fit to 14 transitions:  
Ft constant within 0.02%

Hardy, Towner 2020

- ▶ New method to compute nuclear-structure corrections using **dispersion relations**
- ▶ **Modern ab-initio nuclear-structure** methods being applied to selected transitions
- ▶ **Nuclear charge radii** to help constrain nuclear-structure calculations  
↪ experimental program at PSI, FRIB, ISOLDE, ...

# EFT for neutron decay

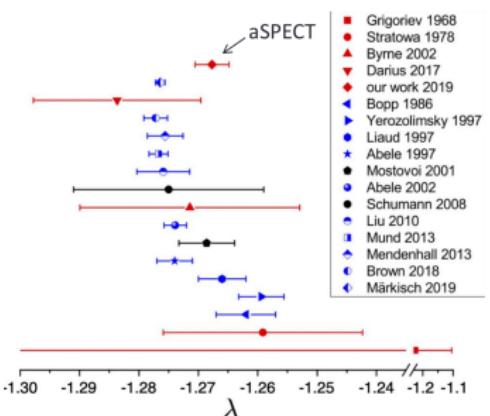
 Talk by W. Dekens

## EFT framework

- ▶ Explicit separation of scales
- ▶ Resum large logarithms
- ▶ Systematically improvable

# Neutron decay asymmetry

Talks by B. Märkisch, U. Schmidt



**PERKO III** Leading beta asymmetry and Fierz term results. Analysis of proton asymmetry and beta spectrum campaigns ongoing, Establishes *pulsed cold beam* technique.

$$\frac{\Delta\lambda}{\lambda} = 4.4 \times 10^{-4}$$

**PERC** Aims at improved measurements of Parameters A, (B), C, a, b. [Commissioning!](#)

$$\frac{\Delta\lambda}{\lambda} \leq 1 \times 10^{-4}$$

**ANNI at ESS** Proposed beam line at the ESS. Statistics gain factor for a PERC-like system:  $\times 15$  !

► Uncertainty in decay asymmetry  $\lambda$  dominant

$$|V_{ud}^{n, \text{best}}| = 0.97402(2)_{\Delta_f}(13)_{\Delta_R}(35)_{\lambda}(20)_{\tau_n}[42]_{\text{total}}$$

↪ already close to superallowed  $\beta$  decays

► But: assumes PERKO III vs. aSPECT to be resolved!

# PIONEER

 Talk by M. Hoferichter

A next-generation rare PION dEcay ExpeRiment

- ▶ Physics goals
  - ▶ (Phase I) **Lepton flavor universality** at  $10^{-4}$  in

$$R_{e/\mu} = \frac{\Gamma[\pi^+ \rightarrow e^+ \nu_e(\gamma)]}{\Gamma[\pi^+ \rightarrow \mu^+ \nu_\mu(\gamma)]}$$

- ▶ (Phase II+III) **CKM unitarity**  $V_{ud}$  at  $3 \times 10^{-4}$  from  $\pi^+ \rightarrow \pi^0 e^+ \bar{\nu}_e$
- ▶ In both cases: factor 10 improvement to match theory errors
  - ▶ Searches for **exotics** (heavy neutrinos, ...)
- ▶ Status
  - ▶ Approved to run at PSI [2203.01981](#)
  - ▶ R&D ongoing, Phase I to start in 2029

# Determination of $V_{us}$ from kaon decays

Talk by M. Moulson:

$$\Gamma(K_{\ell 3(\gamma)}) = \frac{C_K^2 G_F^2 m_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 \times I_{K\ell}(\lambda_{K\ell}) \left(1 + 2\Delta_K^{SU(2)} + 2\Delta_{K\ell}^{EM}\right)$$

with  $K \in \{K^+, K^0\}$ ;  $\ell \in \{e, \mu\}$ , and: $C_K^2$  1/2 for  $K^+$ , 1 for  $K^0$  $S_{EW}$  Universal SD EW correction (1.0232)**Inputs from experiment:**

- $\Gamma(K_{\ell 3(\gamma)})$  Rates with well-determined treatment of radiative decays:
  - Branching ratios:  $K_S$ ,  $K_L$ ,  $K^\pm$
  - Kaon lifetimes

- $I_{K\ell}(\{\lambda\}_{K\ell})$  Integral of form factor over phase space:  $\lambda$ s parameterize evolution in  $t$

- $K_{e3}$ : Only  $\lambda_+$  (or  $\lambda'_+$ ,  $\lambda''_+$ )
- $K_{\mu 3}$ : Need  $\lambda_+$  and  $\lambda_0$

**Inputs from theory:**

- $f_+^{K^0\pi^-}(0)$  Hadronic matrix element (form factor) at zero momentum transfer ( $t = 0$ )

- $\Delta_K^{SU(2)}$  Form-factor correction for  $SU(2)$  breaking

- $\Delta_{K\ell}^{EM}$  Form-factor correction for long-distance EM effects

New KLOE measurement of  $K_S \rightarrow \pi \ell \nu$ 

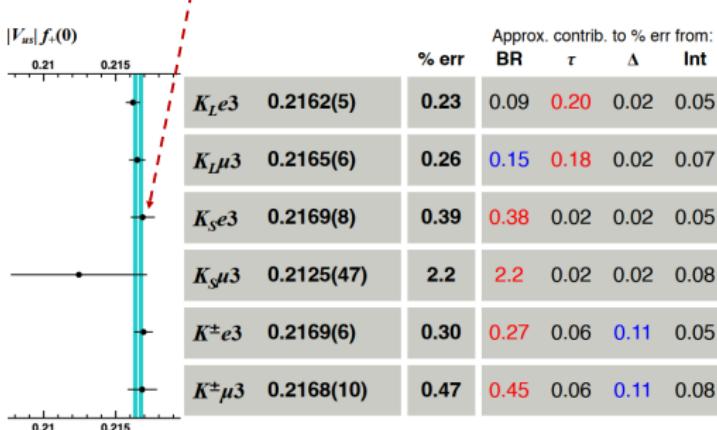
Talk by A. Passeri:

JHEP 02 (2023) 098

KLOE: analysis of the data sample ( $1.63 \text{ fb}^{-1}$ ) collected in 2004-05, measured ratio:

$$\mathcal{R} = \frac{\Gamma(K_S \rightarrow \pi e \nu)}{\Gamma(K_S \rightarrow \pi^+ \pi^-)} = \frac{N_{\pi e \nu}}{\epsilon_{\pi e \nu}} \times \frac{\epsilon_{\pi \pi}}{N_{\pi \pi}} \times R_\epsilon = (1.0338 \pm 0.0054_{\text{stat}} \pm 0.0064_{\text{syst}}) \times 10^{-3}$$

$$\mathcal{B}(K_S \rightarrow \pi e \nu) = (7.153 \pm 0.037_{\text{stat}} \pm 0.044_{\text{syst}}) \times 10^{-4} = (7.153 \pm 0.058) \times 10^{-4}$$



Average (M. Moulson):

$$|V_{us}| f_+(0) = 0.21656(35)$$

# $V_{us}/V_{ud}$ and $K_{\ell 2}$ decays

Talk by M. Moulson:

$$\frac{|V_{us}|}{|V_{ud}|} \frac{f_K}{f_\pi} = \left( \frac{\Gamma_{K_{\mu 2(\gamma)}} m_{\pi^\pm}}{\Gamma_{\pi_{\mu 2(\gamma)}} m_{K^\pm}} \right)^{1/2} \frac{1 - m_\mu^2/m_{\pi^\pm}^2}{1 - m_\mu^2/m_{K^\pm}^2} \left( 1 - \frac{1}{2} \delta_{\text{EM}} - \frac{1}{2} \delta_{SU(2)} \right)$$

## Inputs from experiment:

From  $K^\pm$  BR fit:

$$\begin{aligned} \text{BR}(K^\pm_{\mu 2(\gamma)}) &= 0.6358(11) \\ \tau_{K^\pm} &= 12.384(15) \text{ ns} \end{aligned}$$

From PDG:

$$\begin{aligned} \text{BR}(\pi^\pm_{\mu 2(\gamma)}) &= 0.9999 \\ \tau_{\pi^\pm} &= 26.033(5) \text{ ns} \end{aligned}$$

## Inputs from theory:

$\delta_{\text{EM}}$  Long-distance EM corrections

$\delta_{SU(2)}$  Strong isospin breaking  
 $f_K/f_\pi \rightarrow f_{K^\pm}/f_{\pi^\pm}$

$f_K/f_\pi$  Ratio of decay constants

Cancellation of lattice-scale uncertainties from ratio

NB: Most lattice results already corrected for  $SU(2)$ -breaking:  $f_{K^\pm}/f_{\pi^\pm}$

# $V_{us}/V_{ud}$ from lattice

Talk by F. Erben:

- Experiment very accurately determines  $|V_{us}/V_{ud}|f_{K^+}/f_{\pi^+}$   
[PDG, Prog. Theor. Exp. Phys. 2022, 083C01]
- Ratio of decay constants  $f_{K^+}/f_{\pi^+}$  can also be accurately determined from lattice QCD
- sub-percent precision: **isospin-breaking** and **QED effects** need to be controlled!

**Final result:**

$$\frac{|V_{us}|}{|V_{ud}|} = \left[ \frac{\Gamma(K \rightarrow \mu\nu)}{\Gamma(\pi \rightarrow \mu\nu)} \frac{m_K}{m_\pi} \frac{(m_\pi^2 - m_\mu^2)}{(m_K^2 - m_\mu^2)} \right]^{1/2} \frac{f_\pi}{f_K} \left( 1 - \frac{1}{2} \delta R_{K\pi} \right)$$

with values from experiment, [FLAG lattice average](#), and [this calculation](#):

$$\delta R_{K\pi} = -0.0086(3)_{\text{stat.}} ({}^{+11}_{-4})_{\text{fit}} (5)_{\text{disc.}} (5)_{\text{quench.}} (39)_{\text{vol.}}$$

leading to

$$\frac{|V_{us}|}{|V_{ud}|} = 0.23154(28)_{\text{exp.}} (15)_{\delta R_{K\pi}} (45)_{\delta R_{K\pi,\text{vol.}}} (65)_{f_\pi/f_K}$$

- our uncertainty is dominated by finite-volume error due to single lattice spacing - this will improve drastically in the near future! [Matteo Di Carlo, plenary at Lattice23]
- result in agreement with only other lattice calculation [Di Carlo et al., Phys. Rev. D 100 (2019) 3, 034514]
- $|V_{us}|/|V_{ud}|$  uncertainty dominated by  $f_\pi/f_K$  lattice average

**New work:** Prospects for a lattice calculation of the rare decay  $\Sigma^+ \rightarrow p \ell^+ \ell^-$ , [F. Erben et al., JHEP 04 (2023) 108]

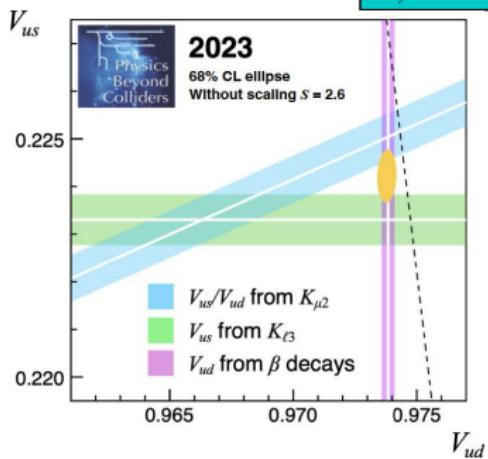
# $V_{us}$ and $V_{us}/V_{ud}$ from kaons, first-row fit

**Summary for  $V_{us}$  from kaon decays and first-row global fit (M. Moulson)**

$K_{\ell 3}$ $f_\ell(0) = 0.9698(17)$ $N_f = 2+1+1$	$V_{us} = 0.22330(35)_{\text{exp}}(39)_{\text{lat}}(8)_{\text{IB}}$ $(53)_{\text{tot}} = 0.24\%$
$K_{\mu 2}$ $f_K/f_\pi = 1.1978(22)$ $N_f = 2+1+1$	$V_{us}/V_{ud} = 0.23108(23)_{\text{exp}}(42)_{\text{lat}}(16)_{\text{IB}}$ $(51)_{\text{tot}} = 0.22\%$

**Fit results:**

$$\begin{aligned} V_{ud} &= 0.97378(26) \\ V_{us} &= 0.22422(36) \\ \chi^2/\text{ndf} &= 6.4/2 (4.1\%) \\ \Delta_{\text{CKM}} &= -0.0018(6) \\ &\quad -2.8\sigma \end{aligned}$$



## Prospects for $V_{us}$ from kaon decays :

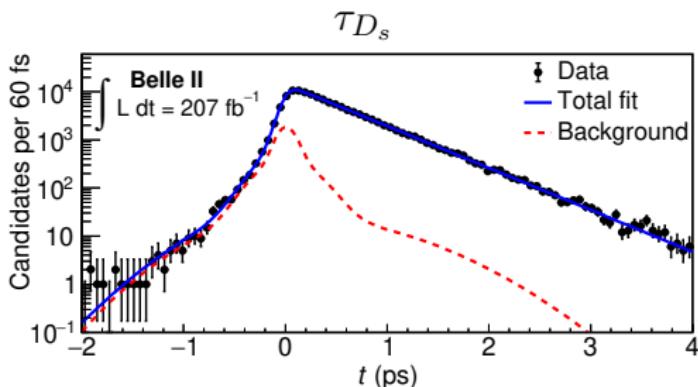
- New measurement of  $K_{\mu 3}/K_{\mu 2}$  at NA62
- Precision measurements at proposed HIKE High Intensity Kaon Experiments:
  - Phase I,  $K^+$  beam
  - Phase II,  $K_L$  beam

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$V_{ud}$ ,  $V_{us}$ , and First-Row Unitarity

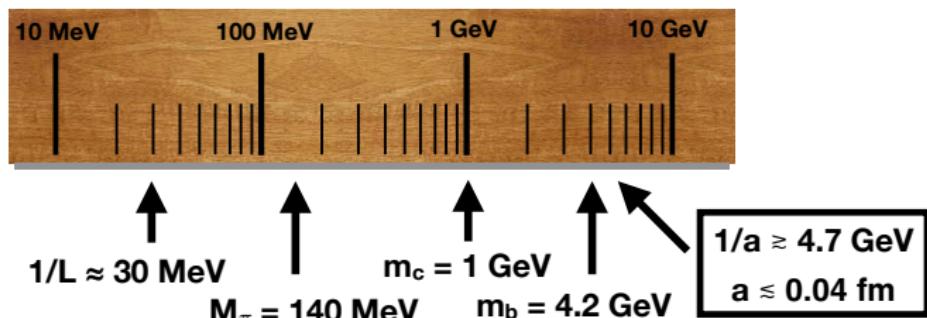
$V_{cd}$ ,  $V_{cs}$ , and (semi)-leptonic  $D$  decays

## Charmed Hadron Lifetimes from BelleII (A. Schwartz)



	BelleII	PDG2020
$D^0$	$410.5 \pm 1.1 \pm 0.8$	$410.3 \pm 1.0$
$D^+$	$1030.4 \pm 4.7 \pm 3.1$	$1033 \pm 5$
$D_s^+$	$499.5 \pm 1.7 \pm 0.9$	$504 \pm 4$
$\Lambda_c^+$	$203.20 \pm 0.89 \pm 0.77$	$210.5 \pm 2.7$
	BelleII	LHCb 2022
$\Omega_c^0$	$243 \pm 48 \pm 11$	$276.5 \pm 13.4 \pm 4.4 \pm 0.7$

- $D_s^+$ ,  $\Lambda_c^+$  precision improved by  $\sim 2x$  over world average
- Long  $\Omega_c^0$  lifetime confirmed by BelleII

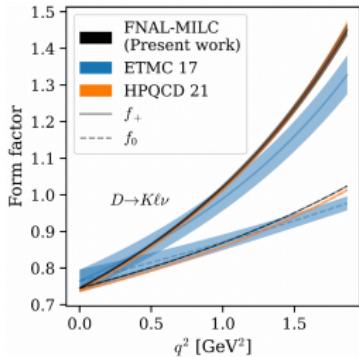
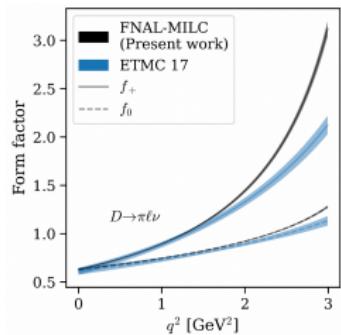
New  $D$  Form Factors from the Lattice (W. Jay)

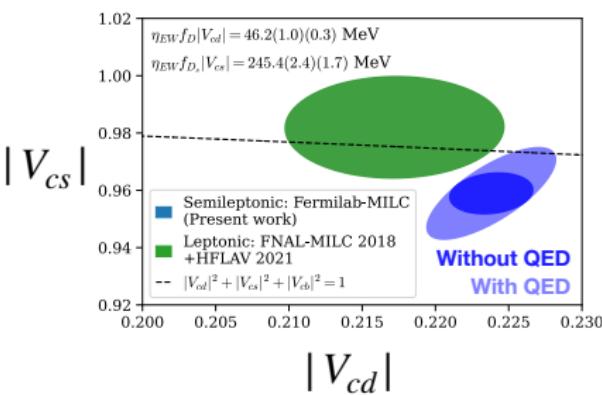
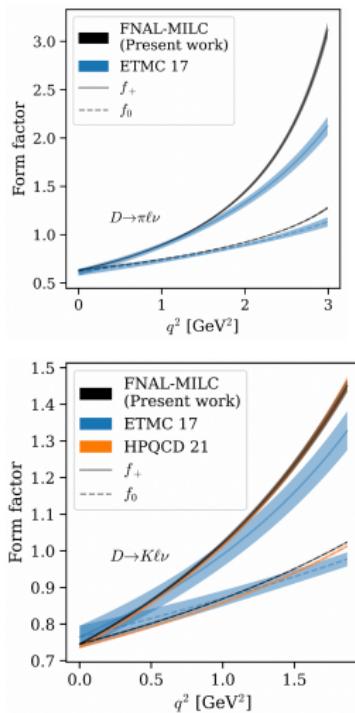
Heavy quarks are hard: lattice artifacts grow like powers  $(am_h)^n$  — especially tricky for masses near or above the cutoff

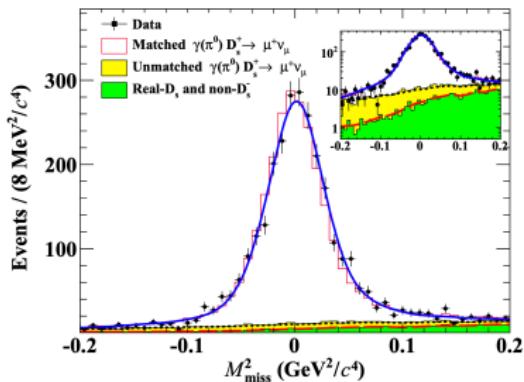
$$\frac{1}{L} \ll M_\pi \ll m_h \ll \frac{1}{a}$$

12

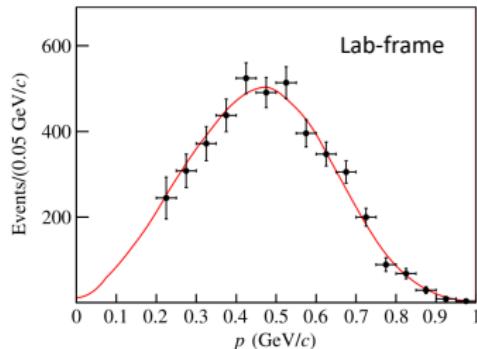
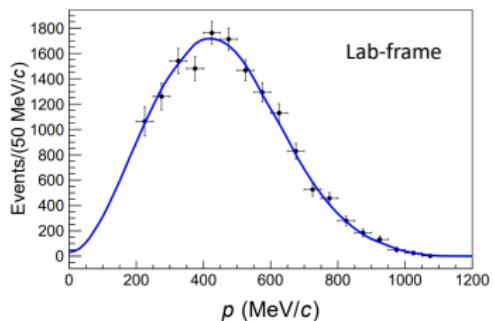
W.I. Jay — MIT

New  $D$  Form Factors from the Lattice (W. Jay)

New  $D$  Form Factors from the Lattice (W. Jay)With BESIII  $D \rightarrow K/\pi \ell \nu$

New  $D_s^+ \rightarrow \ell\nu$  from BESIII (T.J. Wang)

- ▶  $\sim 1.4\%$  precision on  $|V_{cs}|$  from BESIII  $D_s^+ \rightarrow \mu\nu$
- ▶ Many new measurements  $D_s^+ \rightarrow \tau\nu$  in a variety of  $\tau$  final states
- ▶ BESIII average determination of  $|V_{cs}| = 0.9774 \pm 0.0056 \pm 0.0072$
- ▶ Sub-percent level precision, further reductions from improved  $D_s^+$  lifetime measured at BelleII

Inclusive  $c \rightarrow X \ell \nu$  (from M. Prim)Recent measurements from BESIII  
 $D_s^+ \rightarrow X e \nu$ 

- ▶ Lepton momentum spectra extrapolated to zero-recoil based on sum-of-exclusive model
- ▶ In principle, access to HQE lepton energy moments

Inclusive  $c \rightarrow X \ell \nu$  (from K. Vos)

## HQE for charm revisited

$$\rho = m_s^2/m_c^2$$

Fael, Mannel, KKV, hep-ph/1910.05234

$$\begin{aligned} \frac{\Gamma(D \rightarrow X_s \ell \nu)}{\Gamma_0} = & \left(1 - 8\rho - 10\rho^2\right) \mu_3 + (-2 - 8\rho) \frac{\mu_G^2}{m_c^2} + 6 \frac{\tilde{\rho}_D^3}{m_c^3} \\ & + \frac{16}{9} \frac{r_G^4}{m_c^4} + \frac{32}{9} \frac{r_E^4}{m_c^4} - \frac{34}{3} \frac{s_B^4}{m_c^4} + \frac{74}{9} \frac{s_E^4}{m_c^4} + \frac{47}{36} \frac{s_{qB}^4}{m_c^4} + \frac{\tau_0}{m_c^3} \end{aligned}$$

Key question: HQE indeed applicable to inclusive charm decays?

- My wish: Extract HQE and WA directly from  $q^2$  moments at BESIII

## Future Prospects on $D$ leptonic/semileptonic decays

- ▶ 20  $\text{fb}^{-1}$  of  $D^+$  and  $D^0$  data from BESIII by 2024 ( $\sim 7x$  current data )
- ▶ Exciting prospects from BelleII in  $D$  leptonic/semileptonic
  - ▶ 10000  $D_s^+ \rightarrow \mu\nu$  decays from 20  $\text{ab}^{-1}$ , compared to 2500 from current BESIII data
- ▶ Inclusive  $c \rightarrow X\ell\nu$  has strong prospects for tests of HQE

# Final Summary

