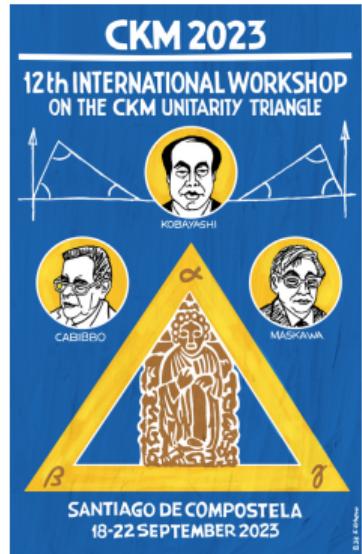


# The input to $V_{us}$ from lattice QCD including the progress on Hyperon decays

Felix Erben

CKM2023 Santiago de Compostela  
20/09/2023



THE UNIVERSITY  
*of* EDINBURGH

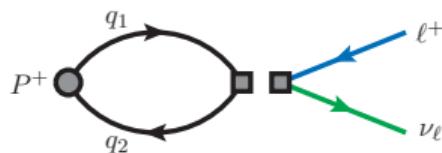


European Research Council  
Established by the European Commission

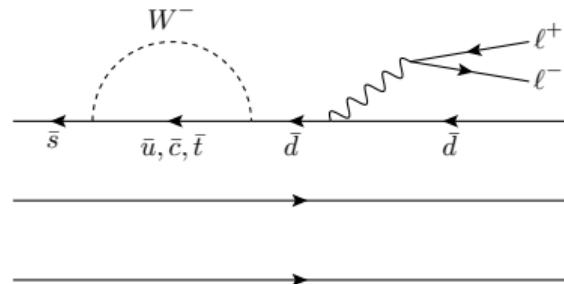


# OVERVIEW

## Part 1: Precision frontier



## Part 2: Rare decays



- leptonic decays of pions and kaons
- isospin-breaking and QED corrections

- $s \rightarrow d \ell^+ \ell^-$  transitions as potential window to new physics
- Here: rare hyperon decay  
 $\Sigma^+ \rightarrow p \ell^+ \ell^-$

# Leptonic decays of pions and kaons



PUBLISHED FOR SISSA BY SPRINGER

RECEIVED: December 23, 2022

ACCEPTED: February 14, 2023

PUBLISHED: February 27, 2023

---

## Isospin-breaking corrections to light-meson leptonic decays from lattice simulations at physical quark masses

---

Peter Boyle,<sup>a,b</sup> Matteo Di Carlo,<sup>b</sup> Felix Erben,<sup>b</sup> Vera Gülpers,<sup>b</sup> Maxwell T. Hansen,<sup>b</sup>  
Tim Harris,<sup>b</sup> Nils Hermansson-Truedsson,<sup>c,d</sup> Raoul Hodgson,<sup>b</sup> Andreas Jüttner,<sup>e,f</sup>  
Fionn Ó hÓgáin,<sup>b</sup> Antonin Portelli,<sup>b</sup> James Richings<sup>b,e,g</sup> and Andrew Zhen Ning Yong<sup>b</sup>

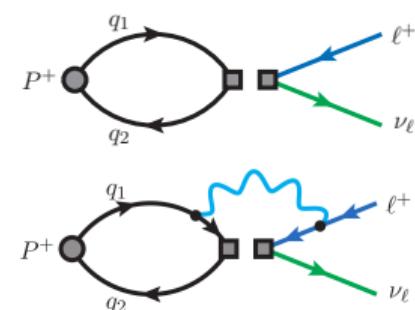
[Boyle, FE et al., JHEP 02 (2023) 242]

# LEPTONIC DECAY

Within the Standard Model, the CKM matrix has unitarity constraints

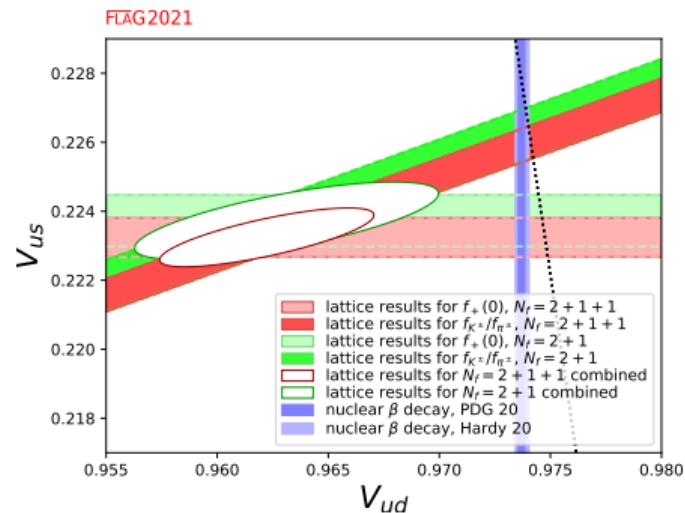
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

- ⇒ Precision determinations of CKM matrix elements as indirect search for new physics
- Experiment very accurately determines  $|V_{us}/V_{ud}|f_{K^+}/f_{\pi^+}$   
[PDG, Prog. Theor. Exp. Phys. 2022, 083C01]
- Ratio of decay constants  $f_{K^+}/f_{\pi^+}$  can also be accurately determined from lattice QCD
- sub-percent precision: **isospin-breaking** and **QED effects** need to be controlled!



# CURRENT STATUS

- FLAG review: Comparison of lattice results for  $V_{us}$ ,  $V_{ud}$  and exp. value of  $V_{ud}$  from nuclear  $\beta$  decay
- dotted line: 'CKM-unitarity'
- $f_{K^+}/f_{\pi^+}$ :
  - $3.3\sigma$  tension with  $V_{ud}$  from PDG
  - $1.7\sigma$  agreement with  $V_{ud}$  from analysis with updated nuclear corrections [Hardy, Towner, Phys. Rev. C 102, 045501]



[FLAG Review 2021, Eur. Phys. J. C 82, 869 (2022)]

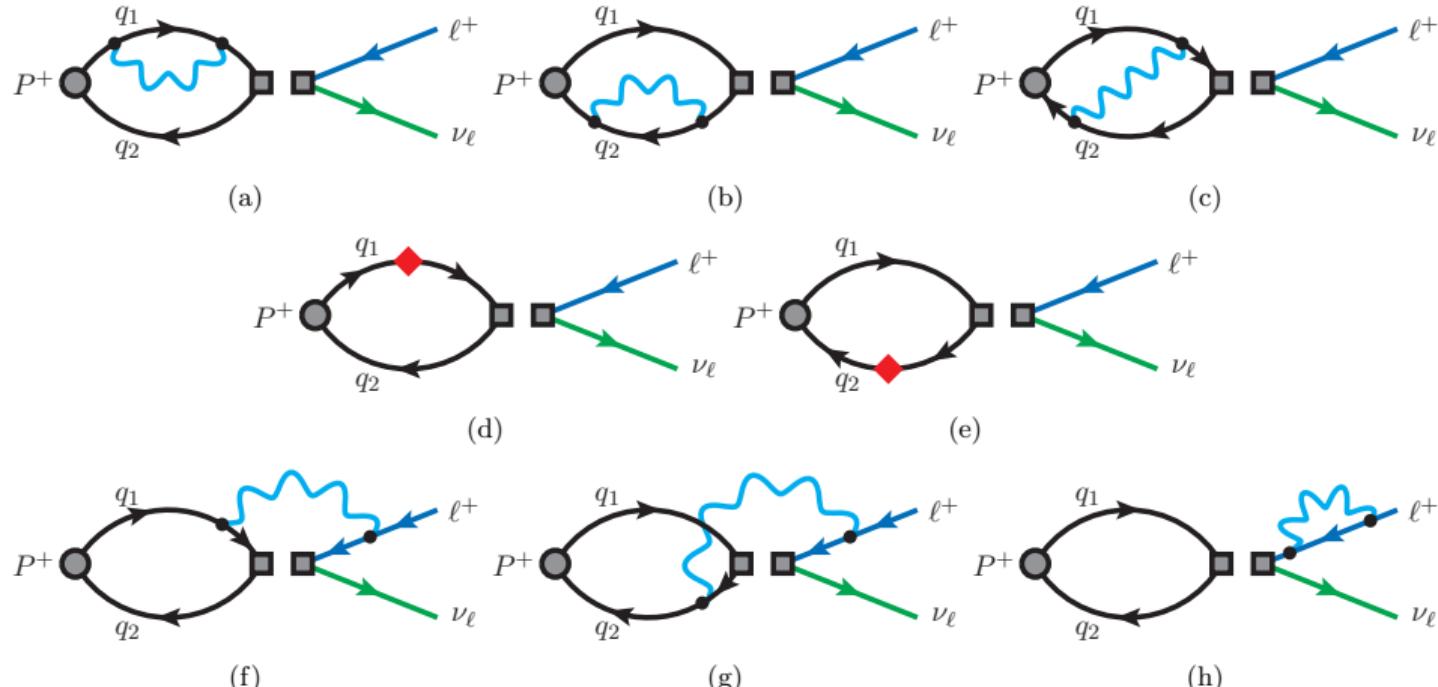
# QED + ISOSPIN BREAKING

- Lattice QCD computations are defined in
    - finite volume  $L^3 \times T$
    - often with periodic boundary conditions  $\Psi(x) = \pm \Psi(x + L\mu)$
  - Naively defining QED in such a box leads to non-zero net charge, in violation of Gauss' law
- ⇒ we use  $\text{QED}_L$  prescription: remove spatial zero-mode of the photon

[Hayakawa, Uno, Prog.Theor.Phys.120:413-441,2008]

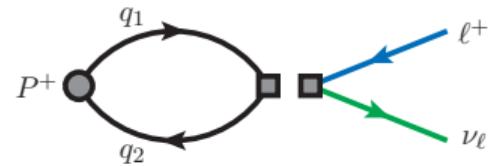
- in QCD+QED, lepton  $\ell$  can interact directly with the meson  $K/\pi$ 
    - ⇒ decay amplitude  $\Gamma(P \rightarrow \ell\nu)$  cannot be factorised into QCD and non-QCD parts
    - virtual and real photon emission are individually IR divergent
  - We use the RM123+Soton prescription: [Carrasco et al., Phys. Rev. D 91, 074506]
    - remove IR-divergent part of virtual-photon emission explicitly on the lattice
    - compute the same term in perturbation theory for the real-photon emission part
- ⇒ Both contributions are IR safe

# DIAGRAMS ENTERING OUR CALCULATION

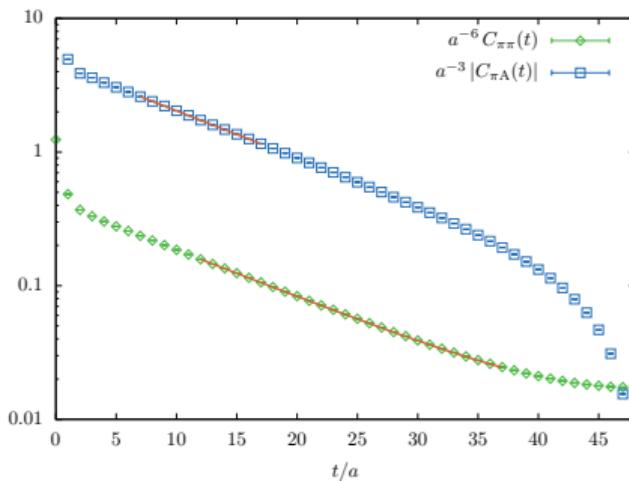


wiggly lines: photons  
diamond-shaped vertices: scalar insertions

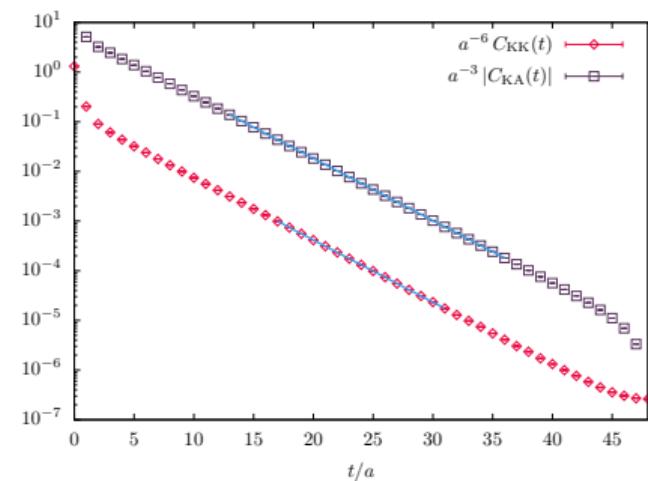
# TREE LEVEL CONTRIBUTION



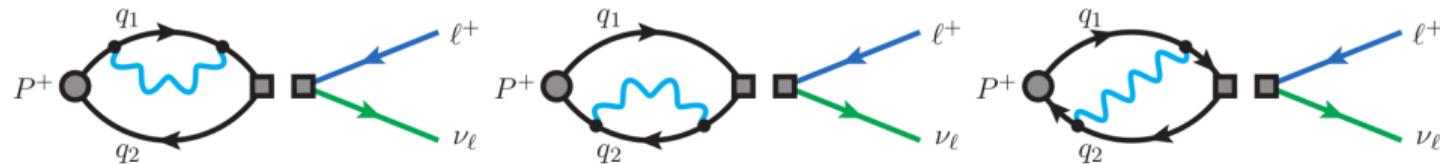
Pion



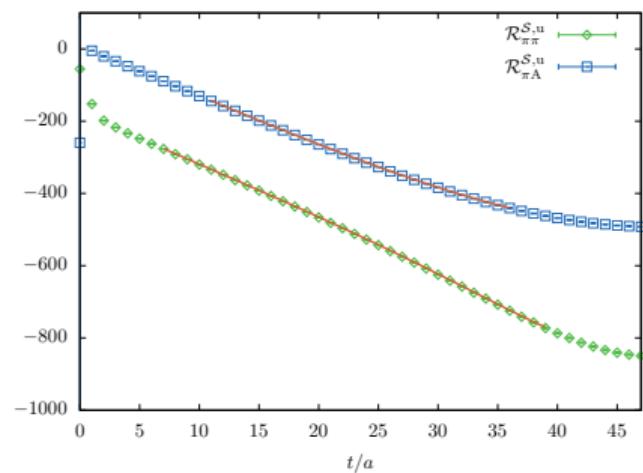
Kaon



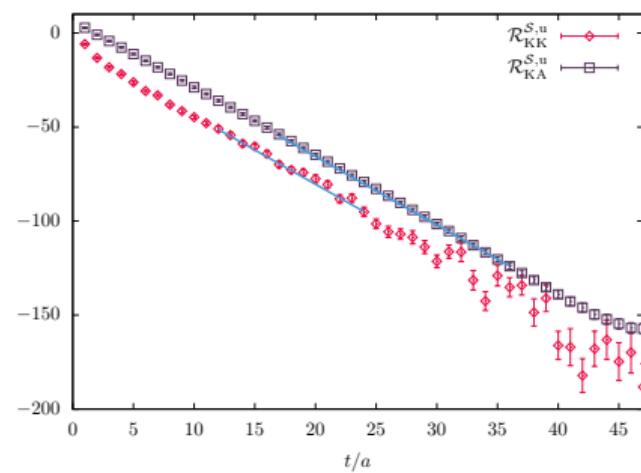
# FACTORIZABLE CONTRIBUTION



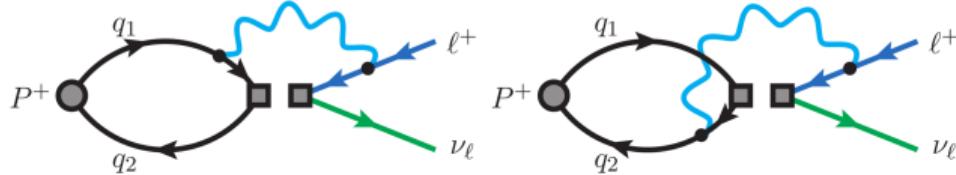
Pion



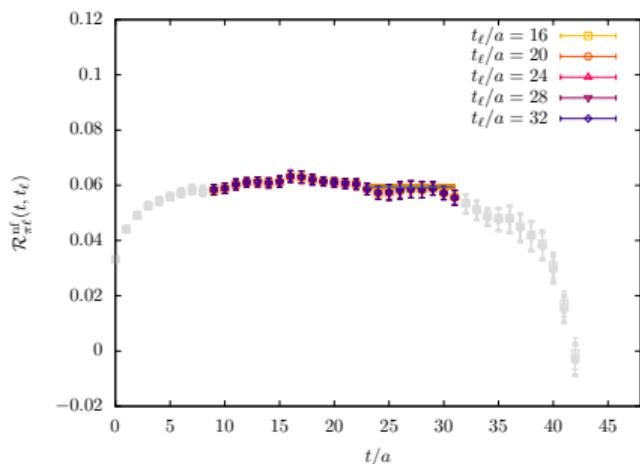
Kaon



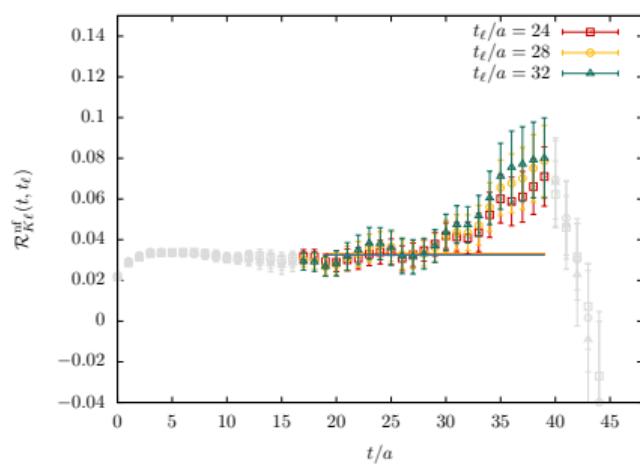
# NON-FACTORIZABLE CONTRIBUTION CONTRIBUTION



**Pion**



**Kaon**



# FINAL RESULT

$$\frac{|V_{us}|}{|V_{ud}|} = \left[ \frac{\Gamma(K \rightarrow \mu\nu)}{\Gamma(\pi \rightarrow \mu\nu)} \frac{m_K}{m_\pi} \frac{(m_\pi^2 - m_\mu^2)}{(m_K^2 - m_\mu^2)} \right]^{1/2} \frac{f_\pi}{f_K} \left( 1 - \frac{1}{2} \delta R_{K\pi} \right)$$

with values from [experiment](#), [FLAG lattice average](#), and [this calculation](#):

$$\delta R_{K\pi} = -0.0086(3)_{\text{stat.}} ({}^{+11}_{-4})_{\text{fit}} (5)_{\text{disc.}} (5)_{\text{quench.}} (39)_{\text{vol.}}$$

leading to

$$\frac{|V_{us}|}{|V_{ud}|} = 0.23154(28)_{\text{exp.}} (15)_{\delta R_{K\pi}} (45)_{\delta R_{K\pi,\text{vol.}}} (65)_{f_\pi/f_K}$$

- our uncertainty is dominated by finite-volume error due to single lattice spacing - this will improve drastically in the near future! [Matteo Di Carlo, plenary at Lattice23]
- result in agreement with only other lattice calculation [Di Carlo et al., Phys.Rev.D 100 (2019) 3, 034514]
- $|V_{us}|/|V_{ud}|$  uncertainty dominated by  $f_\pi/f_K$  lattice average

# Rare hyperon decays



PUBLISHED FOR SISSA BY SPRINGER

RECEIVED: October 14, 2022

REVISED: March 7, 2023

ACCEPTED: April 3, 2023

PUBLISHED: April 24, 2023

## Prospects for a lattice calculation of the rare decay

$$\Sigma^+ \rightarrow p \ell^+ \ell^-$$

---

Felix Erben, Vera Gülpers, Maxwell T. Hansen, Raoul Hodgson and Antonin Portelli

[FE et al., JHEP 04 (2023) 108]

# EXPERIMENTAL STATUS

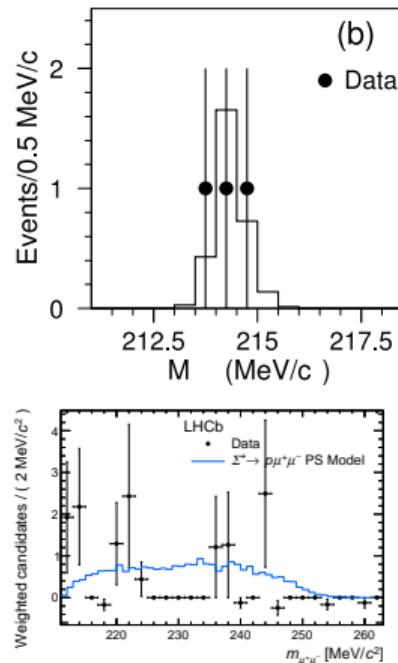
- $s \rightarrow d$  transition is a *flavour-changing neutral current*
  - within the Standard Model only allowed at loop level
- ⇒ potential window into new physics
- first measured by HyperCP [HyperCP, Phys. Rev. Lett. 94, 021801]

$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-) = 8.6^{(+6.6)}_{(-5.4)} \text{stat} (5.5)_{\text{sys}} \times 10^{-8}$$

- 3 events, all at similar dimuon invariant mass  
 $m_{\chi^0} = 214.3 \pm 0.5 \text{ MeV}/c^2 \Rightarrow$  resonance?
- subsequent measurement by LHCb [LHCb, Phys. Rev. Lett. 120, 221803]

$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-) = 2.2^{(+1.8)}_{(-1.3)} \times 10^{-8}$$

- 10 events, no significant structure in dimuon invariant mass
- Run 2 update in progress [Paras Naik, Mon 18/09 15:10, WG 3]



# PHENOMENOLOGY

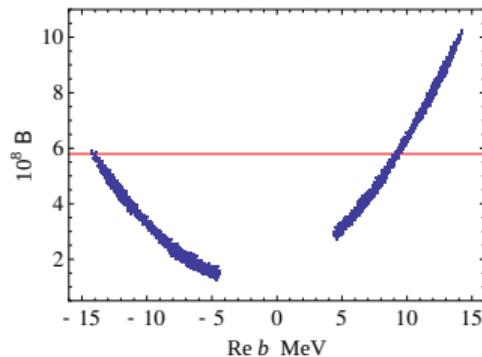
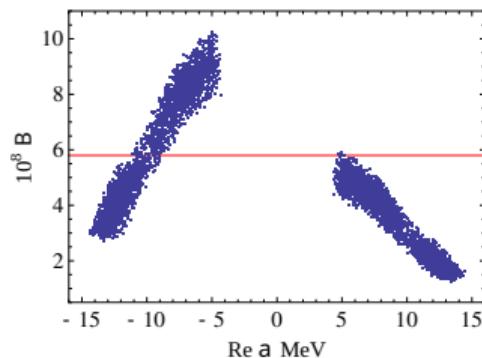
- Amplitude of rare hyperon decay is long-distance dominated with form-factor decomposition

$$A_\mu^{rs} = \bar{u}_p^r [i\sigma_{\nu\mu} q^\nu (a + b\gamma_5) + (q^2\gamma_\mu - q_\mu q)(c + d\gamma_5)] u_\Sigma^s$$

- Computation using ChPT, vector-meson dominance and experimental input gives [He et al., Phys.Rev.D 72 (2005) 074003] [He et al., JHEP 10 (2018) 040]

$$1.6 \times 10^{-8} < \mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-) < 9.0 \times 10^{-8}$$

- $\text{Re}(a), \text{Re}(b)$  poorly constrained from experiment ( $\Sigma^+ \rightarrow p\gamma$ )  
⇒ Lattice could help considerably constraining Standard-Model estimate of  $\mathcal{B}(\Sigma^+ \rightarrow p\ell^+\ell^-)$



# LATTICE STRATEGY

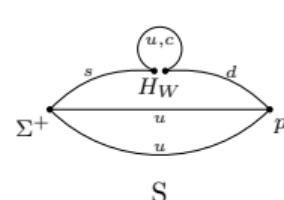
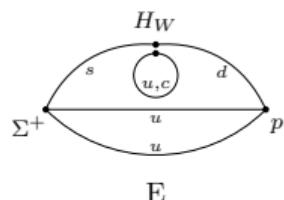
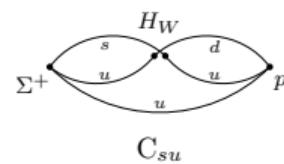
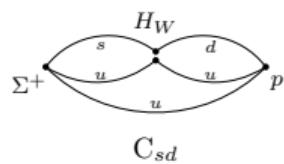
- Full strategy laid out in theory paper [FE et al., JHEP 04 (2023) 108]
- shares challenges with the rare kaon decay  $K \rightarrow \pi \ell^+ \ell^-$  [Boyle, FE et al., Phys.Rev.D 107 (2023) 1, L011503]
  - calculation at physical pion mass presented by Ryan Hill [Tue 19/09 14:45 (WG 3)]
- brief outline of strategy:

$$\mathcal{A}_\mu^{rs} = \int d^4x \langle p(p), r | T[\mathcal{H}_W(x) J_\mu(0)] | \Sigma^+(k), s \rangle, \quad (\mathcal{A}_\mu^{rs} = \bar{u}^r \tilde{\mathcal{A}}_\mu u^s)$$

- with e.m. current  $J_\mu$  and effective  $s \rightarrow d$  weak Hamiltonian  $\mathcal{H}_W$ , involving 4-quark interactions
- similar formalism described in more detail by En-Hung Chao [Mon 18/09 16:00 (WG 3)]

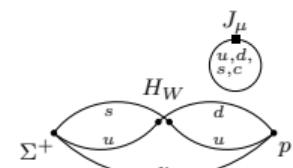
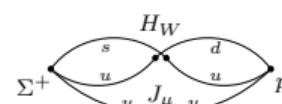
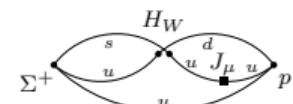
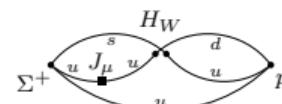
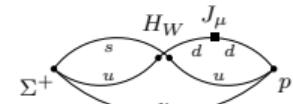
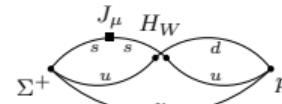
# LATTICE STRATEGY

**4 classes of diagrams ( $\mathcal{H}_W$  only)**



"Non-Eye" (top), "Eye" (bottom)

**total 24 diagrams with  $J_\mu$**



# LATTICE STRATEGY

- Minkowski amplitude  $\tilde{\mathcal{A}}_\mu$  can be extracted from finite-volume estimator

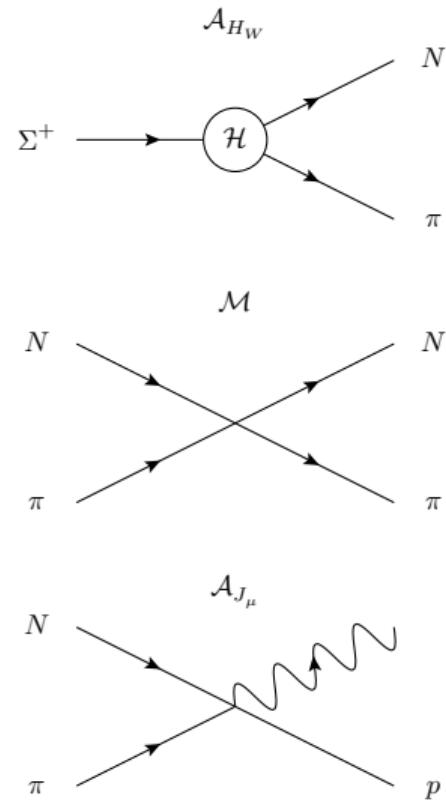
$$F_\mu(\mathbf{k}, \mathbf{p})_L = i \int_0^\infty d\omega \frac{\rho_\mu(\omega)_L}{E_\Sigma(\mathbf{k}) - \omega} - i \int_0^\infty d\omega \frac{\sigma_\mu(\omega)_L}{\omega - E_\Sigma(\mathbf{k})}$$

where e.g.  $\rho_\mu(\omega)_L = \sum_n \frac{C_{n,\mu}(\mathbf{k})}{2E_n(\mathbf{k})} \delta(E_n(\mathbf{k}) - \omega)$

- $F_\mu(\mathbf{k}, \mathbf{p})_L$  contains poles in volume  $L$ , when  $E_n(L) \rightarrow E_\Sigma$
- infinite-volume amplitude can be obtained via

$$\tilde{\mathcal{A}}_\mu(\mathbf{k}, \mathbf{p}) = F_\mu(\mathbf{k}, \mathbf{p})_L + \Delta F_\mu(\mathbf{k}, \mathbf{p})_L$$

- $\Delta F_\mu(\mathbf{k}, \mathbf{p})_L$  is obtained from 3 finite-volume amplitudes  $\mathcal{A}_{H_W}, \mathcal{M}, \mathcal{A}_{J_\mu}$ , cancelling poles in  $F_\mu(\mathbf{k}, \mathbf{p})_L$  exactly

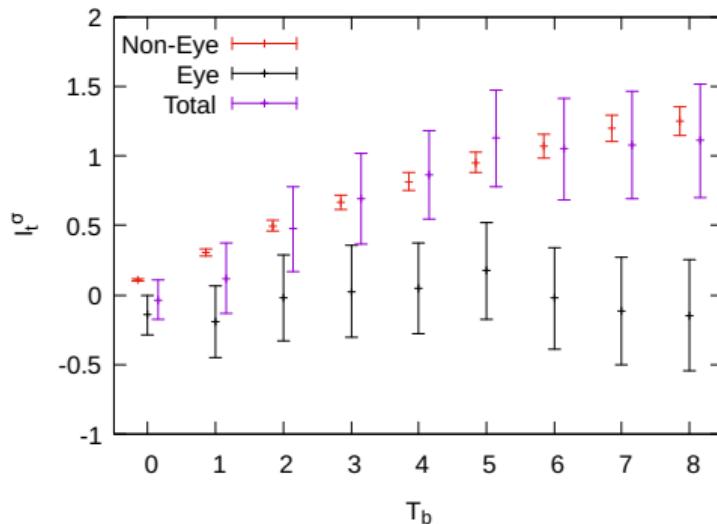
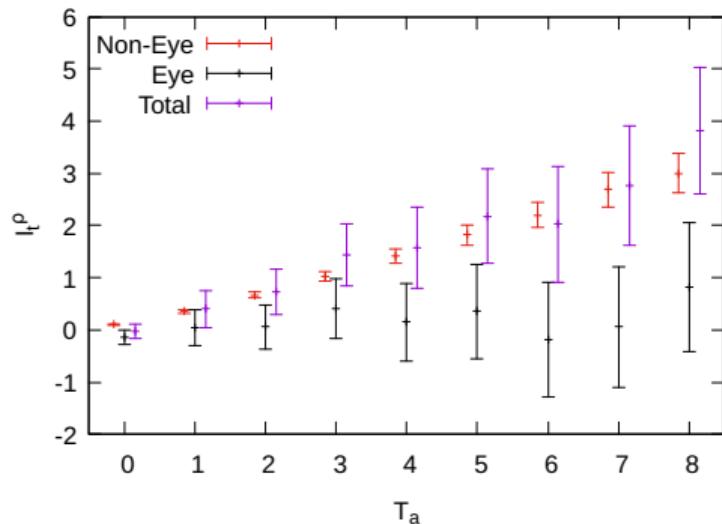


# EXPLORATORY CALCULATION

- First exploratory calculation:
    - $M_\pi = 340\text{MeV}$
    - $M_N = 1200\text{MeV}$
    - $M_\Sigma = 1370\text{MeV} < M_\pi + M_N$
- ⇒ Finite-volume corrections a lot easier to handle, no knowledge about  $N\pi$  spectrum in the  $\Delta$  & Roper resonance channels necessary

# EXPLORATORY CALCULATION

- FV estimator with a temporal separation of  $t_{\Sigma} - t_p = 16$



Plot and analysis: Raoul Hodgson

# EXPLORATORY CALCULATION

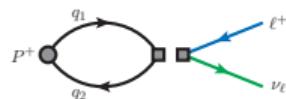
Form Factor	Value	(Stat)	
$\text{Re } a^{\text{NE}}$	5	(16)	MeV
$\text{Re } c^{\text{NE}}$	0.009	(30)	
$\text{Re } a^{\text{Eye}}$	-58	(100)	MeV
$\text{Re } c^{\text{Eye}}$	0.034	(173)	
$\text{Re } a$	-53	(114)	MeV
$\text{Re } c$	0.018	(249)	

Analysis by Raoul Hodgson [Lattice 23]

- extracted from fit to data with  $t_\Sigma - t_p \geq 16$
  - values from phenomenology:
    - $\text{Re } a \sim 10 \text{ MeV}$
    - $\text{Re } c \sim 10^{-2}$
  - when including  $t_\Sigma - t_p = 12$  (NE only):
    - $\text{Re } a^{\text{NE}} = 4(5) \text{ MeV}$
    - $\text{Re } c^{\text{NE}} = 0.030(9)$
- ⇒ signal emerging
- requires fit starting from  $t = 3a \sim 0.3\text{fm}$
- ⇒ large uncontrolled excited-state contributions

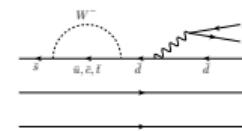
# CONCLUSIONS

## Leptonic decays



- indirect search for new physics via CKM unitarity
- precision calculation at  $M_\pi^{\text{phys}}$
- isospin-breaking and QED corrections
- theory error still dominates
- finite-volume error drastically reduced soon!

## Rare decays



- direct search for new physics via flavour-changing neutral currents
- exploratory calculation at  $M_\pi = 280\text{MeV}$
- rare hyperon decay  $\Sigma^+ \rightarrow p \ell^+ \ell^-$
- cancellation in noisy part
- first signal emerging



This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme under grant agreement No 757646.