



# Measurement of the CP-violating phase $\phi_s$ with CMS: present and future

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CKM 2023, Santiago de Compostela (ES)

18-22 September 2023

# Outline

1. Introduction
2. Measuring  $\phi_s$  with the CMS detector
3. Analysis and results
4. Future prospects
5. Conclusions

**What? Why?**

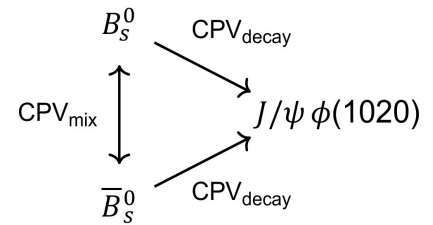
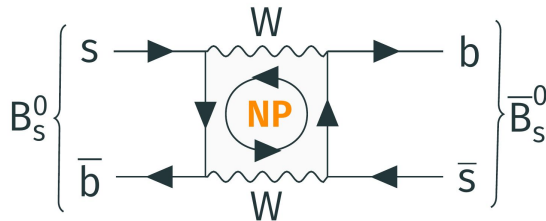
**Who? Where?**

**How?**

**What now?**

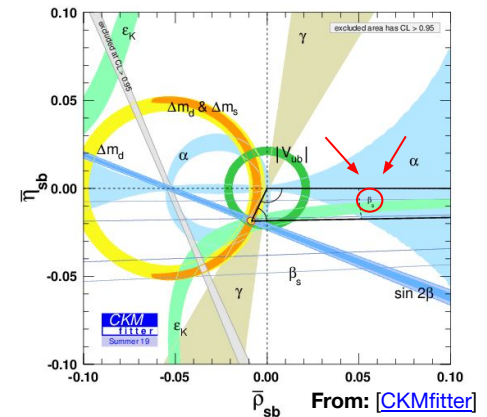
# Motivations

- **Decays of  $B_s$  mesons allow to study the time-dependent CP violation generated by the **interference** between direct decays and flavour mixing**
  - CPV in the interference is possible even if no CPV in decay and mixing
  - *Golden channel*:  $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$
- **The weak phase  $\phi_s$  is the main CPV observable**
  - Precisely predicted by the SM to be  $\phi_s \approx -2\beta_s \approx -37 \pm 1$  mrad, where  $\beta_s$  is one of the angles of the  $B_s$  unitary triangle (determined very accurately by CKM global fits) [[CKMfitter](#), [UTfit](#)]
- New physics can change the value of  $\phi_s$  up to  $\sim 100\%$  via new particles contributing to the flavour oscillations [[RMP88\(2016\)045002](#)]



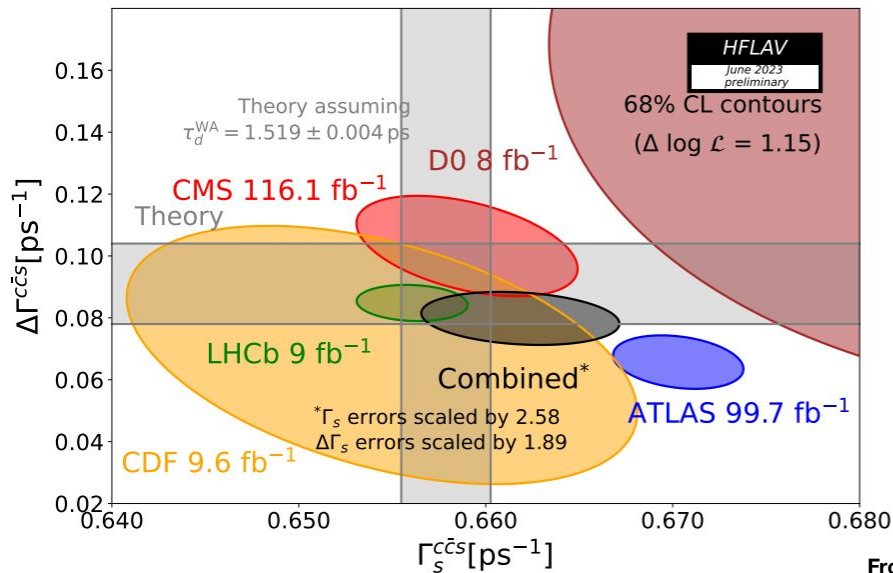
$$\Gamma(B_s^0 \rightarrow f)(t) \stackrel{?}{\neq} \Gamma(\bar{B}_s^0 \rightarrow f)(t)$$

$$a_{CP}(t) \propto \Gamma_{\bar{B}_s \rightarrow f}(t) - \Gamma_{B_s \rightarrow f}(t) \\ \propto -\eta_{fs} \sin(\phi_s) \sin(\Delta m_s t)$$

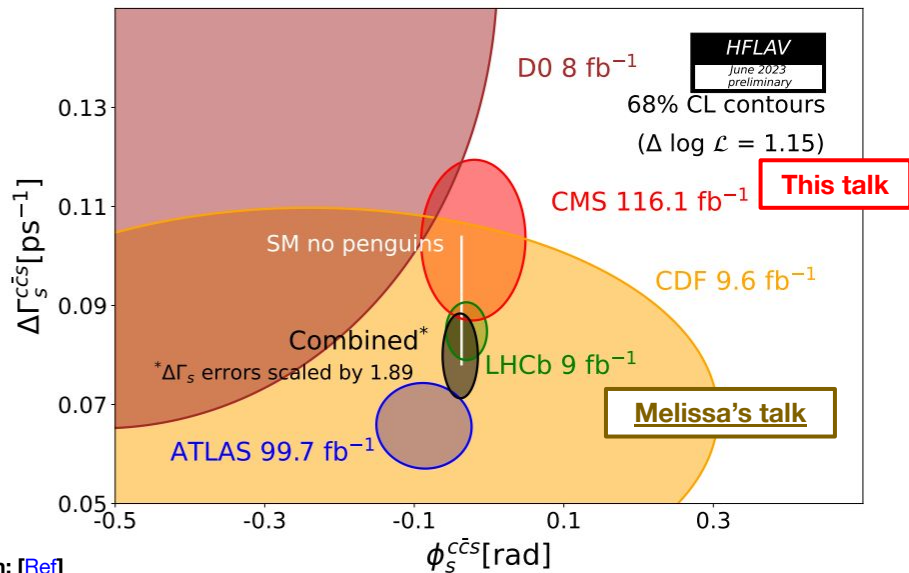


# State of the art (w. latest preliminary results from LHCb)

- Measurement **statistically limited** → long-term commitment by multiple experimental collaborations
- Very active theoretical community (NP limits, penguin pollutions, predictions, ...)
- Precision on  $\phi_s$  **close** to 3 s.d. sensitivity for CPV in decay/mixing interference
  - $\sigma^{\text{WA}}(\phi_s) \approx 15 \text{ mrad}$  (40% relative uncertainty)



From: [Ref]



# Measurement ingredients

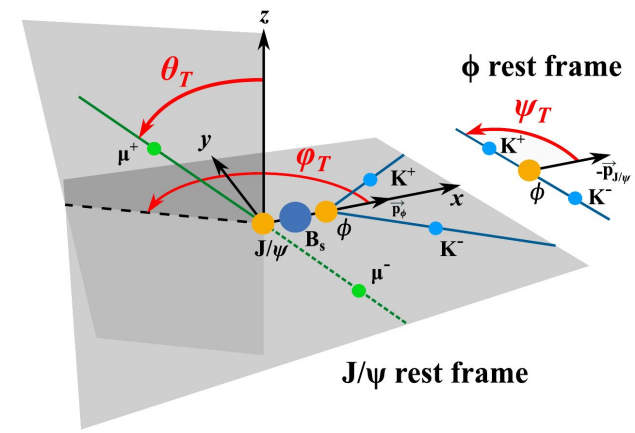
- Time-dependent flavour asymmetry

$$a_{\text{CP}}(t) = \frac{\overset{\text{final-state CP eigenvalue}}{-\eta_{\text{fs}} \sin(\phi_s)} \overset{\text{CP violation}}{\sin(\Delta m_s t)}}{\cosh(\frac{1}{2} \Delta \Gamma_s t) + \overset{\text{flavour oscillations}}{\eta_{\text{fs}} \cos(\phi_s) \sinh(\frac{1}{2} \Delta \Gamma_s t)}}$$

- Essential ingredients

- Time-dependent **angular analysis** to separate the different CP eigenstate
- Excellent **time resolution** and **flavour tagging** to see the  $B_s$  flavour oscillations ( $T \sim 350$  fs)
- Time and angular **efficiencies**

$$\text{sensitivity} \propto \sqrt{\frac{\epsilon_{\text{tag}} \mathcal{D}_{\text{tag}}^2 N_{\text{sig}}}{2}} \sqrt{\frac{N_{\text{sig}}}{N_{\text{sig}} + N_{\text{bkg}}}} e^{-\frac{\sigma_t^2 \Delta m_s^2}{2}}$$

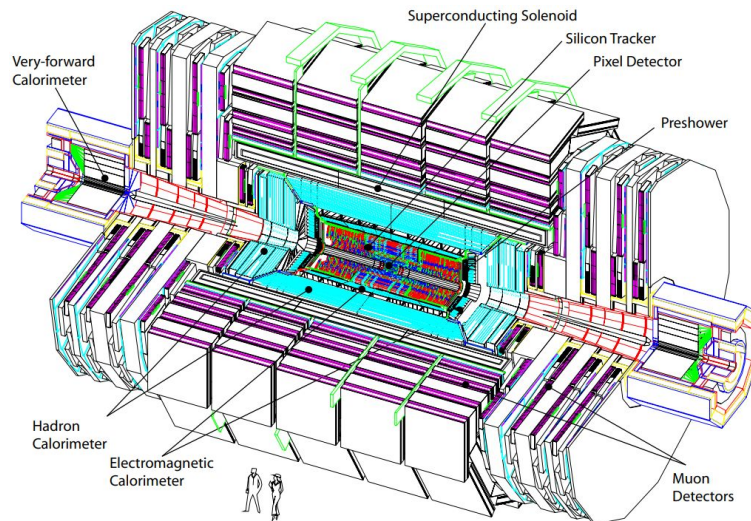


From: [\[PLB816\(2021\)136188\]](#)

# Why a CMS measurement?

CMS is a general-purpose detector well suited for studying  $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$

- **Silicon tracking system**
  - Excellent decay time resolution ( $\sigma_t \sim 60$  fs)
  - Large pseudorapidity range up to  $|\eta| = 2.5$
- **Superconducting solenoid**
  - High momentum resolution for charged tracks
- **Muon system**
  - High efficiency in triggering/reconstructing  $J/\psi \rightarrow \mu^+\mu^-$
  - $\sigma(p_T)/p_T \sim O(1\%)$
- **Enormous amount of data** collected at  $\sqrt{s} = 13$  TeV
  - $O(1M)$  of  $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$  candidates

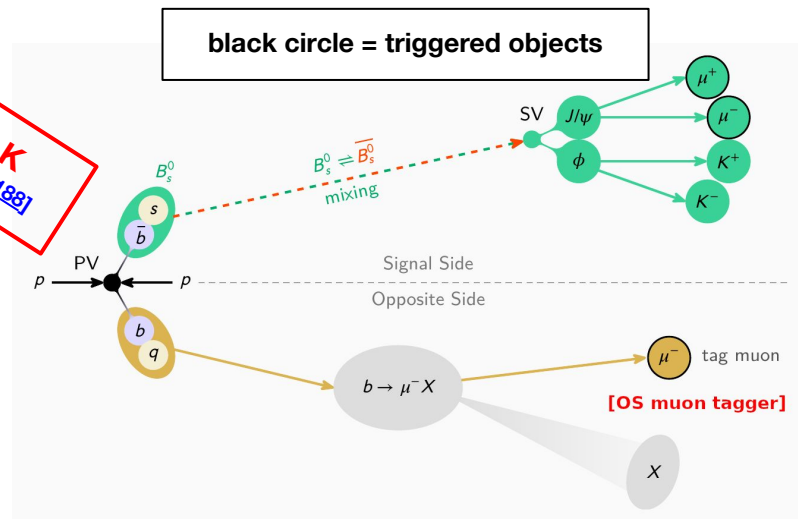


# Trigger strategy for Run2

## HLT\_JPsiMuon

- $J/\psi \rightarrow \mu\mu$  candidate plus an additional muon (for tagging)
- Around 50k signal candidates in the 2017-2018 period
- “Easy” to work with (no displacement at trigger level)
- Tagging algorithms applied: OS-muon
  - $P_{\text{tag}} \sim 10\%$  (when evaluated in, and only in, this dataset)

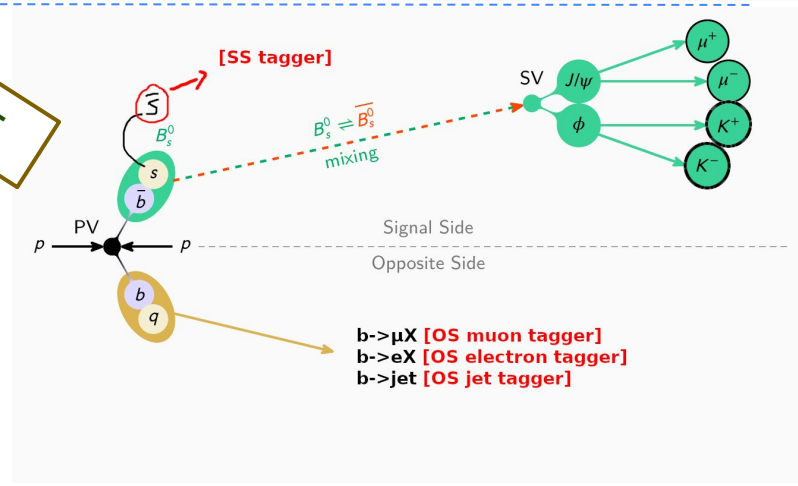
**THIS TALK**  
[\[PLB8816\(2021\)136188\]](#)



## HLT\_JPsiTrkTrkDisplaced

- Displaced  $J/\psi \rightarrow \mu^+\mu^-$  candidate + two charged tracks near the  $\phi(1020)$  resonance
- Higher statistics than *HLT\_JPsiMuon* (x 8~10)
- ⚠ Displaced (lifetime turn-on efficiency to model)
- Possible tagging strategies: OS-muon, OS-electron, OS-jet, Same Side
  - $P_{\text{tag}} \sim ??$

**FUTURE**

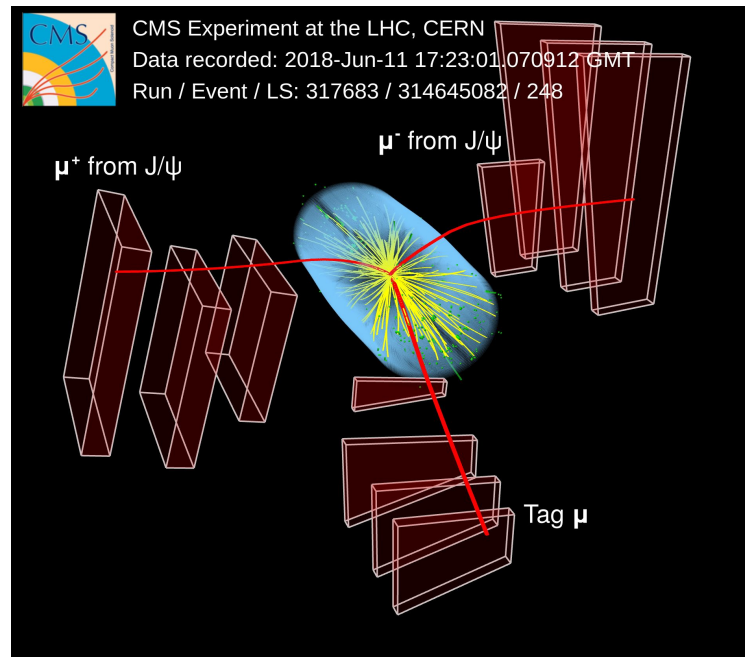


# Latest CMS results overview

- **Reference:** [Phys. Lett. B 816 \(2021\) 136188](#)
- **Dataset:** 2017-2018 ( $L_{\text{int}} = 96 \text{ fb}^{-1}$ )
- **Trigger:**  $J/\psi \rightarrow \mu^+\mu^-$  candidate plus an additional muon
- **Decay length cut:**  $>70 \mu\text{m}$  (to reduce prompt bkg.)
- **$m(K^+K^-)$  interval:**  $m(\phi(1020)) \pm 10 \text{ MeV}$
- **Number of signal candidates:**  $48500 \pm 250$
- **Flavour tagging:** opposite-side muon
  - $\epsilon_{\text{tag}} \approx 50\%$ ,  $D_{\text{tag}} \approx 0.2$ ,  $P_{\text{tag}} \approx 10\%$

**Fit:** unbinned multidimensional extended maximum-likelihood

- **Input observables:**  $m_{B_s}$ ,  $ct$ ,  $\sigma_{ct}$ ,  $\theta_T$ ,  $\psi_T$ ,  $\varphi_T$ ,  $\xi_{\text{tag}}$ ,  $\omega_{\text{tag}}$
- **Fitted parameters**
  - *CPV observables:*  $\phi_s$ ,  $|\lambda|$
  - *$B_s$  system properties:*  $\Delta\Gamma_s$ ,  $\Gamma_s$ ,  $\Delta m_s$
  - *Decay polarization:*  $|A_0|^2$ ,  $|A_\perp|^2$ ,  $|A_S|^2$ ,  $\delta_{//}$ ,  $\delta_\perp$ ,  $\delta_{S\perp}$
- **Bkg sources:** combinatorial,  $B^0 \rightarrow J/\psi K^{*0} \rightarrow \mu^+\mu^- K^+\pi^-$



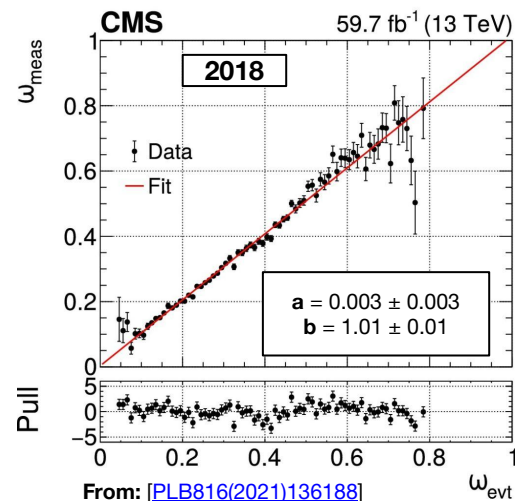
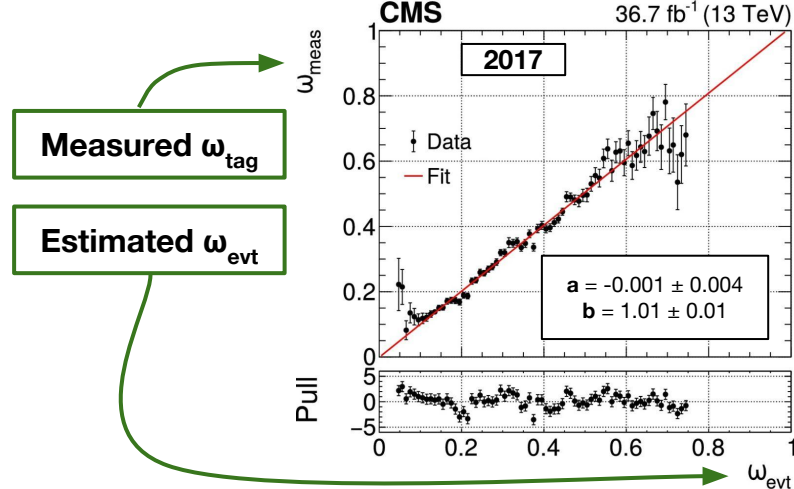


# OS-muon tagging

- OS-muon selection** (very loose)
  - $p_T > 2 \text{ GeV}$ ,  $|\eta| < 2.5$ ,  $IP_z(\mu, PV) < 1 \text{ cm}$ ,  $\Delta R_{\eta,\phi}(B_s) > 0.4$
- Tagging decision** (assuming  $b \rightarrow \mu^- X$ )
  - $\mu^- \rightarrow \text{OS } b \rightarrow \text{signal } \bar{b} (B_s)$
  - $\mu^+ \rightarrow \text{OS } \bar{b} \rightarrow \text{signal } b (\bar{B}_s)$
- Mistag probability evaluation**
  - Calibrated DNN trained on  $B_s$  MC and fine-tuned on self-tagging  $B^+ \rightarrow J/\psi K^+$  data
  - Trained to discriminate *right* tags from *wrong* ones
  - The output score  $s_{\text{DNN}}$  can be interpreted as a probability with the DNN trained to reproduce**

$$\text{Prob}(\text{right tag}) = s_{\text{DNN}} = 1 - \omega_{\text{evt}}$$

**Dilution sources:** fakes, pileup, cascade decays, mixing of the OS- $b$



# Systematic uncertainties

	$\phi_s$ [mrad]	$\Delta\Gamma_s$ [ps <sup>-1</sup> ]	$\Delta m_s$ [ $\hbar$ ps <sup>-1</sup> ]	$ \lambda $	$\Gamma_s$ [ps <sup>-1</sup> ]	$ A_0 ^2$	$ A_\perp ^2$	$ A_S ^2$	$\delta_\parallel$ [rad]	$\delta_\perp$ [rad]	$\delta_{S\perp}$ [rad]
Statistical uncertainty	50	0.014	0.10	0.026	0.0042	0.0047	0.0063	0.0077	0.12	0.16	0.083
Model bias	7.9	0.0019	—	0.0035	0.0005	0.0002	0.0012	0.001	0.020	0.016	0.006
Model assumptions	—	—	—	0.0046	0.0003	—	0.0013	0.001	0.017	0.019	0.011
Angular efficiency	3.8	0.0006	0.007	0.0057	0.0002	0.0008	0.0010	0.002	0.006	0.015	0.015
Proper decay length efficiency	0.3	0.0062	0.001	0.0002	0.0022	0.0014	0.0023	0.001	0.001	0.002	0.002
Proper decay length resolution	3.5	0.0009	0.021	0.0015	0.0006	0.0007	0.0009	0.007	0.006	0.025	0.022
Data/simulation difference	0.6	0.0008	0.004	0.0003	0.0003	0.0044	0.0029	0.007	0.007	0.007	0.028
Flavor tagging	0.5	$<10^{-4}$	0.006	0.0002	$<10^{-4}$	0.0003	$<10^{-4}$	$<10^{-3}$	0.001	0.007	0.001
Sig./bkg. $\omega_{\text{evt}}$ difference	3.0	—	—	—	0.0005	—	0.0008	—	—	—	0.006
Peaking background	0.3	0.0008	0.011	$<10^{-4}$	0.0002	0.0005	0.0002	0.003	0.005	0.007	0.011
$S$ - $P$ wave interference	—	0.0010	0.019	—	0.0005	0.0005	—	0.013	—	0.019	0.019
$P(\sigma_{\text{ct}})$ uncertainty	$<10^{-1}$	0.0019	0.028	0.0004	0.0008	0.0006	0.0008	0.001	0.001	0.002	0.005
Total systematic uncertainty	10.0	0.0070	0.032	0.0083	0.0026	0.0049	0.0045	0.016	0.028	0.045	0.048

STAT  
LIMITED

## Leading systematic uncertainties

- $\phi_s$  → model bias
- $\Delta\Gamma_s$  and  $\Gamma_s$  → lifetime efficiency
- $\Delta m_s$  → lifetime uncertainty
- $|\lambda|$  → angular efficiency

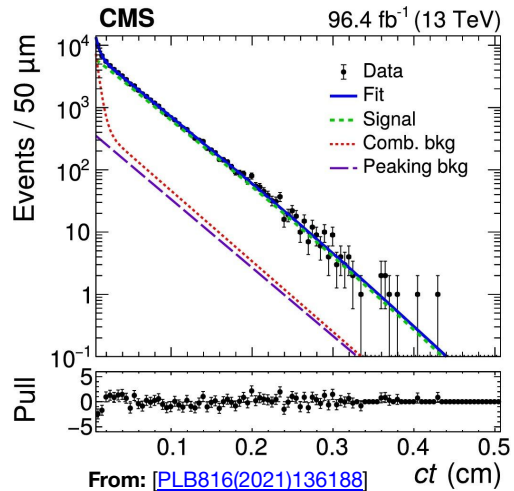
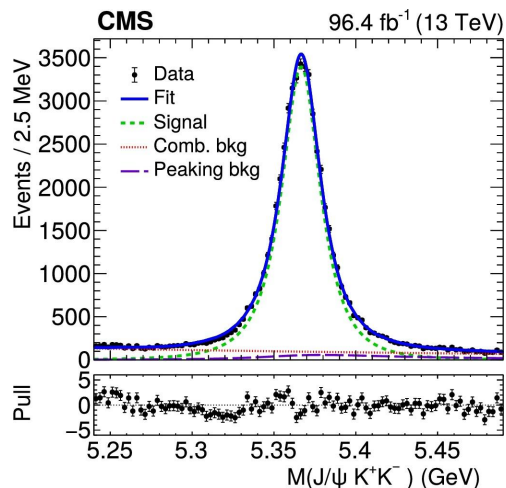
# Results

Parameter	Fit value	Stat. uncer.	Syst. uncer.
$\phi_s$ [mrad]	-11	$\pm 50$	$\pm 10$
$\Delta\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.114	$\pm 0.014$	$\pm 0.007$
$\Delta m_s$ [ $\hbar \text{ps}^{-1}$ ]	17.51	$+0.10$ $-0.09$	$\pm 0.03$
$ \lambda $	0.972	$\pm 0.026$	$\pm 0.008$
$\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.6531	$\pm 0.0042$	$\pm 0.0026$
$ A_0 ^2$	0.5350	$\pm 0.0047$	$\pm 0.0049$
$ A_\perp ^2$	0.2337	$\pm 0.0063$	$\pm 0.0045$
$ A_S ^2$	0.022	$+0.008$ $-0.007$	$\pm 0.016$
$\delta_\parallel$ [rad]	3.18	$\pm 0.12$	$\pm 0.03$
$\delta_\perp$ [rad]	2.77	$\pm 0.16$	$\pm 0.05$
$\delta_{S\perp}$ [rad]	0.221	$+0.083$ $-0.070$	$\pm 0.048$

- **Good agreement with SM predictions**

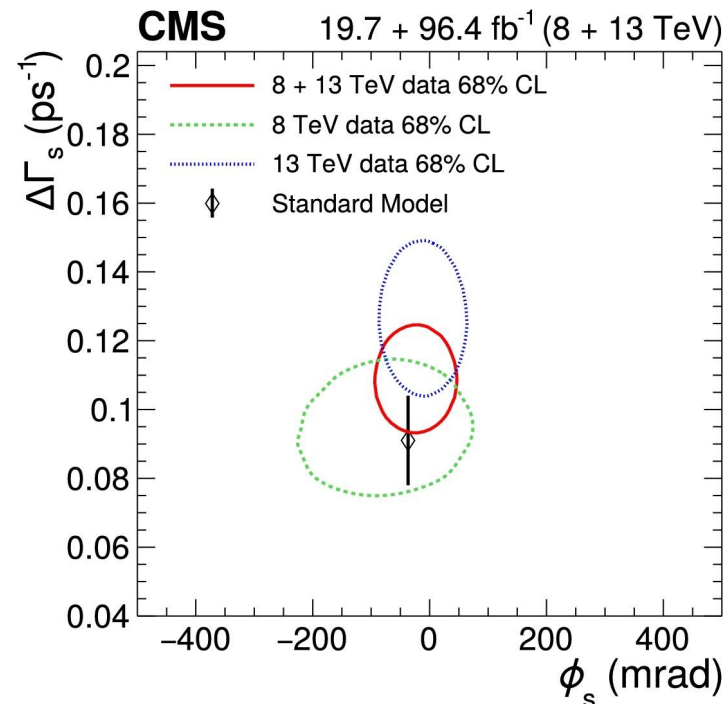
- $\phi_s^{\text{SM}} = -37 \pm 1$  mrad [\[CKMfitter, UTfit\]](#)
- $\Delta\Gamma_s^{\text{SM}} = 0.091 \pm 0.013$   $\text{ps}^{-1}$  [\[Lenz & Tetlalmatzi-Xolocotzi\]](#)
- $|\lambda|^{\text{SM}} = 1$  (no direct CPV)
- $\Delta m_s^{\text{SM}} = 18.77 \pm 0.86$   $\hbar \text{ps}^{-1}$  [\[Lenz & Tetlalmatzi-Xolocotzi\]](#)

- **First measurement by CMS of  $\Delta m_s$  and  $|\lambda|$**



# Combination with Run1

- The results of this analysis are **combined** with the ones obtained by CMS at  $\sqrt{s} = 8 \text{ TeV}^a$ 
  - $\phi_s = -21 \pm 44 \text{ (stat)} \pm 10 \text{ (syst)} \text{ mrad}$
  - $\Delta\Gamma_s = 0.1032 \pm 0.0095 \text{ (stat)} \pm 0.0048 \text{ (syst)} \text{ ps}^{-1}$
- Results in **agreement** with the SM predictions
- The new trigger strategy, which trades the number of events for tagging power, **pays off** for  $\phi_s$  while **does not improve**  $\Delta\Gamma_s$ , which sensitivity is driven mainly by statistics



From: [\[PLB816\(2021\)136188\]](#)

<sup>a</sup> [\[PLB757\(2016\)9\]](#)

# Future prospects: precision measurement

CMS is currently working on a **precision** measurement of  $\phi_s$  with the Run2 dataset by using all available triggers (see SL7)

- **Statistics:** expected to increase the number of signal candidates by a factor of **8~10**
- **Flavour tagging:** muon, electron, jet and same-side (first implementation without hadronic PID)
  - Large enhancement of the effective statistics  $N_{B_s} \times P_{\text{tag}}$
- **Methodology:** various refinements to deal with the peculiarities of the new dataset
  - Efficiency modelization, background estimation, lifetime resolution, simulation corrections, ...
  - *Not just a simple statistical scaling!*
  
- **Large improvements are expected for all physics parameters**
  - Reminder:  $\text{sensitivity}(\phi_s, \Delta m_s) \propto \sqrt{(P_{\text{tag}} N_{B_s})}$  and  $\text{sensitivity}(\Delta \Gamma_s, \Gamma_s) \propto \sqrt{(N_{B_s})}$
- This measurement will be the benchmark of several new analysis techniques, laying the foundations for future CMS works in the field CP violation

# Conclusions

- The **CPV** phase  $\phi_s$  and the decay width difference  $\Delta\Gamma_s$  have been measured using 48 500  $B_s \rightarrow J/\psi \phi(1020)$  signal candidates collected at  $\sqrt{s} = 13$  TeV, corresponding to  $L_{\text{int}} = 96.4 \text{ fb}^{-1}$
- Events are selected using a trigger that requires an additional muon, which is exploited to infer the flavour of the  $B_s$  meson at production time, achieving  $P_{\text{tag}} \approx 10\%$  with small associated systematic uncertainties
- Results from this measurement are combined with those obtained at  $\sqrt{s} = 8$  TeV, yielding

$$\phi_s = -21 \pm 44 \text{ (stat)} \pm 10 \text{ (syst) mrad}$$

$$\Delta\Gamma_s = 0.1032 \pm 0.0095 \text{ (stat)} \pm 0.0048 \text{ (syst) ps}^{-1}$$

- Results are found to be **consistent** with the Standard Model predictions, allowing to further constrain possible contributions from new physics in the  $B_s$  meson decay and mixing
- With the increase in statistics and the development of new techniques, the future for  $\phi_s$  at LHC looks promising and challenging
- **CMS is actively working to release an update of the Run2 measurement, adding new data sets and tagging strategies**
  - **Stay tuned** in the next conference seasons!

**Thanks for the attention**

**Backup**



# Unitary triangles

- The **unitary** condition of the CKM matrix leads to the following set of constraints

$$\sum_i |V_{ik}|^2 = \sum_k |V_{ik}|^2 = 1 \implies \text{weak universality}$$

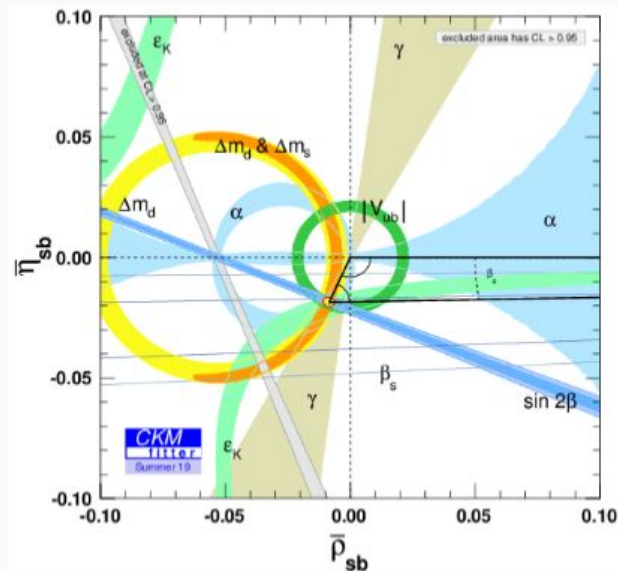
$$\sum_i V_{ij} V_{ik}^* = 0 \implies \text{six triangles in the complex plane ("unitary triangles")}$$

$$\sum_k V_{ik} V_{jk}^* = 0$$

- Of particular interest for this work is the so-called " $B_s^0$  unitary triangle":

$$V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0$$

with angles:  $\alpha_s = \arg\left(-\frac{V_{ts} V_{tb}^*}{V_{us} V_{ub}^*}\right)$ ,  $\beta_s = \arg\left(-\frac{V_{ts} V_{tb}^*}{V_{cs} V_{cb}^*}\right)$ ,  $\gamma_s = \arg\left(-\frac{V_{us} V_{ub}^*}{V_{cs} V_{cb}^*}\right)$ .



# B<sub>s</sub> meson mixing

- B<sub>s</sub><sup>0</sup> mesons are subject to **flavour mixing**, that is oscillations between their C-conjugate states before decay
- The light and heavy mass eigenstates are described by a **superposition** of flavour states, as

$$\left| B_s^{L,H} \right\rangle = p \left| B_s^0 \right\rangle \pm q \left| \bar{B}_s^0 \right\rangle \quad \text{with } |q|^2 + |p|^2 = 1$$

- The B<sub>s</sub><sup>0</sup> system is characterized by the parameters

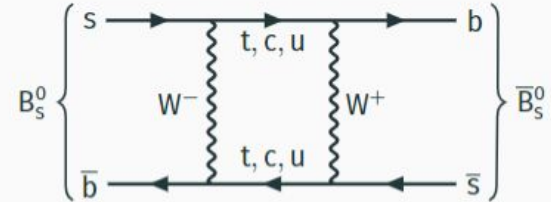
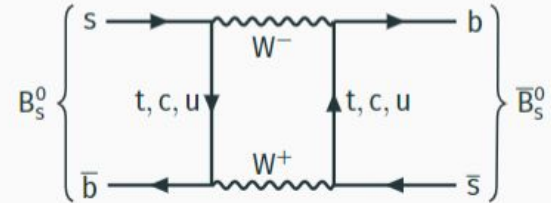
$$m_s \equiv \frac{m_H + m_L}{2}, \quad \Gamma_s \equiv \frac{\Gamma_H + \Gamma_L}{2}$$

$$\Delta m_s \equiv m_H - m_L, \quad \Delta \Gamma_s \equiv \Gamma_L - \Gamma_H$$

- For the B<sub>s</sub><sup>0</sup> system  $|q/p| \simeq 1$  is observed<sup>1</sup>, so that **the ratio q/p can be expressed in terms of a complex phase:**

$$\frac{q}{p} \equiv e^{-i\phi_M} \simeq \frac{V_{ts} V_{tb}^*}{V_{ts}^* V_{tb}}$$

LO diagrams for B<sub>s</sub><sup>0</sup> ↔ B<sub>s</sub><sup>0</sup> mixing



- The flavour eigenstates oscillate with a period of

$$T = \frac{2\pi}{\Delta m_s} \sim 350 \text{ fs}$$

<sup>1</sup>World-average value:  $|q/p| = 1.0003 \pm 0.0014$  [HFLAV]

# CPV in mesons

- Observable CP violation is generated by interference between amplitudes
- Three different types of CP violation are possible

1. “Direct” CPV in **decays**

- Observed in kaons, B and D mesons<sup>1</sup>

$$\mathcal{P}(P \rightarrow f) \neq \mathcal{P}(\bar{P} \rightarrow \bar{f})$$

2. “Indirect” CPV in **mixing**

- Observed in  $K^0$  oscillations<sup>2</sup>

$$\mathcal{P}(P^0 \rightarrow \bar{P}^0) \neq \mathcal{P}(\bar{P}^0 \rightarrow P^0)$$

3. CPV in the **interference** of decays and mixing

- Observed in  $K^0$  and  $B^0$  mesons<sup>3</sup>

$$\mathcal{P}(P^0 \rightarrow f) \neq \mathcal{P}(P^0 \rightarrow \bar{P}^0 \rightarrow f)$$

- Defining  $A_f$  as the  $P \rightarrow f$  amplitude, **CPV information is encoded in the rephasing-invariant complex parameter  $\lambda$** :

$$\lambda \equiv \frac{q \bar{A}_f}{p A_f} \begin{cases} |\bar{A}_f/A_f| \neq 1 & \rightarrow \text{direct CPV} \\ |q/p| \neq 1 & \rightarrow \text{indirect CPV} \\ |\lambda| = 1, \text{Im}(\lambda) \neq 0 & \rightarrow \text{interference CPV} \end{cases}$$

# CPV in $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+ \mu^- K^+ K^-$

- No direct CPV:  $\left| \frac{\bar{A}_f}{A_f} \right| = \left| \frac{V_{cb} V_{cs}^*}{V_{cb}^* V_{cs}} \right| = 1$

- No CPV in mixing:  $\left| \frac{q}{p} \right| = \left| \frac{V_{tb}^* V_{ts}}{V_{tb} V_{ts}^*} \right| \simeq 1$

- CPV in the **interference** (neglecting penguin contributions)<sup>1</sup>:

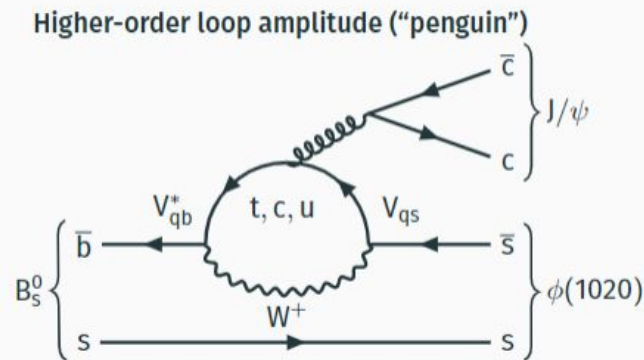
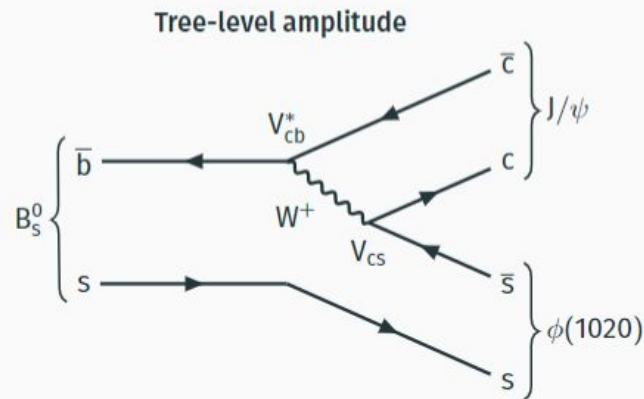
$$\lambda = \underbrace{\eta_f \left( \frac{V_{cb} V_{cs}^*}{V_{cb}^* V_{cs}} \right)}_{=\bar{A}_f/A_f} \underbrace{\left( \frac{V_{tb}^* V_{ts}}{V_{tb} V_{ts}^*} \right)}_{=q/p} \stackrel{|\lambda|=1}{=} \eta_f e^{-i\phi_s}$$

$$\phi_s = -2 \arg \left( -\frac{V_{ts} V_{tb}^*}{V_{cs} V_{cb}^*} \right) = -2\beta_s$$

where  $\eta_f$  is the CP eigenvalue of the final state and  $\beta_s$  is one of the angles of the  $B_s^0$  unitary triangle

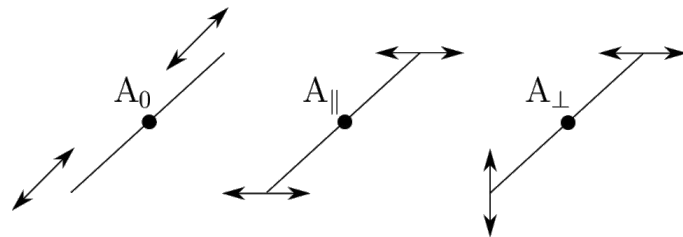
- $\beta_s$  can be determined very precisely with global CKM fits

<sup>1</sup>penguin transitions are predicted to change the value of  $\phi_s$  by about  $\sim 1$  mrad, almost two orders of magnitude smaller than the current experimental sensitivity ( $\sim 30$ – $50$  mrad)



# The $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$ decay

- $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$  is the golden channel for measuring  $\phi_s$ 
  1. The final state can be reconstructed with high S/B ratio
  2.  $J/\psi \rightarrow \mu^+\mu^-$  is easy to trigger
  3. Only one CP-violating phase (“golden mode”) if neglecting penguin contributions
  4. SM predicts no direct CPV
- The final state is a **mixture** of CP-even and CP-odd eigenstates
  - Spin-0 pseudo-scalar meson ( $B_s$ ) decaying into two spin-1 vector mesons ( $J/\psi \phi(1020)$ )
  - The CP eigenvalue of the final state depends on the value of the orbital momentum, as  $\eta_f = (-1)^l$
- The  $B_s \rightarrow J/\psi \phi(1020) \rightarrow \mu^+\mu^- K^+K^-$  decay amplitude can be decomposed into three polarization states
  - $A_0$  :  $l = 0 \rightarrow$  CP-even
  - $A_\perp$  :  $l = 1 \rightarrow$  CP-odd
  - $A_\parallel$  :  $l = 2 \rightarrow$  CP-even
- Additional contribution (“S-wave”) from non-resonant  $B_s \rightarrow J/\psi K^+K^-$  and  $B_s \rightarrow J/\psi f^0(980)$  is assumed
  - $A_S$  :  $l = 0 \rightarrow$  CP-odd



# Decay rate model

$$\frac{d^4\Gamma(B_S^0)}{d\Theta dt} = f(\Theta, t | \alpha) \propto \sum_{i=1}^{10} \mathcal{O}_i(\alpha, t) \cdot g_i(\Theta)$$

$$\mathcal{O}_i = N_i e^{-r_S t} \left[ a_i \cosh\left(\frac{1}{2} \Delta\Gamma_S t\right) + b_i \sinh\left(\frac{1}{2} \Delta\Gamma_S t\right) + c_i \xi_{\text{tag}} (1 - 2\omega_{\text{tag}}) \cos(\Delta m_S t) + d_i \xi_{\text{tag}} (1 - 2\omega_{\text{tag}}) \sin(\Delta m_S t) \right]$$

i	$g_i(\theta_T, \psi_T, \varphi_T)$	$N_i$	$a_i$	$b_i$	$c_i$	$d_i$
1	$2 \cos^2 \psi_T (1 - \sin^2 \theta_T \cos^2 \varphi_T)$	$ A_0 ^2$	1	D	C	-S
2	$\sin^2 \psi_T (1 - \sin^2 \theta_T \sin^2 \varphi_T)$	$ A_{\parallel} ^2$	1	D	C	-S
3	$\sin^2 \psi_T \sin^2 \theta_T$	$ A_{\perp} ^2$	1	-D	C	S
4	$-\sin^2 \psi_T \sin 2\theta_T \sin \varphi_T$	$ A_{\parallel}   A_{\perp} $	$C \sin(\delta_{\perp} - \delta_{\parallel})$	$S \cos(\delta_{\perp} - \delta_{\parallel})$	$\sin(\delta_{\perp} - \delta_{\parallel})$	$D \cos(\delta_{\perp} - \delta_{\parallel})$
5	$1/\sqrt{2} \sin 2\psi_T \sin^2 \theta_T \sin 2\varphi_T$	$ A_0   A_{\parallel} $	$\cos(\delta_{\parallel} - \delta_0)$	$D \cos(\delta_{\parallel} - \delta_0)$	$C \cos(\delta_{\parallel} - \delta_0)$	$-S \cos(\delta_{\parallel} - \delta_0)$
6	$1/\sqrt{2} \sin 2\psi_T \sin 2\theta_T \cos \varphi_T$	$ A_0   A_{\perp} $	$C \sin(\delta_{\perp} - \delta_0)$	$S \cos(\delta_{\perp} - \delta_0)$	$\sin(\delta_{\perp} - \delta_0)$	$D \cos(\delta_{\perp} - \delta_0)$
7	$2/3 (1 - \sin^2 \theta_T \cos^2 \varphi_T)$	$ A_S ^2$	1	-D	C	S
8	$1/3 \sqrt{6} \sin \psi_T \sin^2 \theta_T \sin 2\varphi_T$	$ A_S   A_{\parallel} $	$C \cos(\delta_{\parallel} - \delta_S)$	$S \sin(\delta_{\parallel} - \delta_S)$	$\cos(\delta_{\parallel} - \delta_S)$	$D \sin(\delta_{\parallel} - \delta_S)$
9	$1/3 \sqrt{6} \sin \psi_T \sin 2\theta_T \cos \varphi_T$	$ A_S   A_{\perp} $	$\sin(\delta_{\perp} - \delta_S)$	$-D \sin(\delta_{\perp} - \delta_S)$	$C \sin(\delta_{\perp} - \delta_S)$	$S \sin(\delta_{\perp} - \delta_S)$
10	$4/3 \sqrt{3} \cos \psi_T (1 - \sin^2 \theta_T \cos^2 \varphi_T)$	$ A_S   A_0 $	$C \cos(\delta_0 - \delta_S)$	$S \sin(\delta_0 - \delta_S)$	$\cos(\delta_0 - \delta_S)$	$D \sin(\delta_0 - \delta_S)$

$$C = \frac{1 - |\lambda|^2}{1 + |\lambda|^2} \Rightarrow \text{Sensitive to direct CPV}$$

$$S = -\frac{2|\lambda| \sin \phi_S}{1 + |\lambda|^2} \Rightarrow \text{Sensitive to small } \phi_S$$

$$D = -\frac{2|\lambda| \cos \phi_S}{1 + |\lambda|^2} \Rightarrow \text{Sensitive to } \phi_S \sim \pi/2$$

$\xi_{\text{tag}} = \pm 1 \Rightarrow$  flavour tagging decision

$\omega_{\text{tag}} \in [0, 1] \Rightarrow$  mistag probability

$|\lambda| = |q/p| \left| \bar{A}_f/A_f \right| \Rightarrow$  direct/mixing CPV if  $\neq 1$

# Angular efficiency

- **Detector acceptance and event selection lead to non-uniform angular efficiency**
- 3D angular efficiency functions are evaluated in bins of  $\cos \theta_T$ ,  $\cos \psi_T$  and  $\varphi_T$ , separately for 2017 and 2018, using simulated samples
- The efficiency functions are obtained with a projection of the 3D angular efficiency histograms on an orthogonal basis:
  1. **Construct efficiency histograms**
    - Numerator: 3D angular RECO histograms from  $\Delta\Gamma_s = 0$  MC samples
    - Denominator: 3D angular GEN histograms from GEN only sample
    - Binning: 70 bins for  $\cos \theta_T$  and  $\cos \psi_T$ , and 30 for  $\varphi_T$
  2. **Project on Legendre orthogonal basis**

$$b_{l,k,m}(\Theta) = P_l^m(\cos \theta_T) \cdot P_k^m(\cos \psi_T) \cdot \begin{cases} \sin(m \varphi_T) & \text{if } m < 0 \\ \cos(m \varphi_T) & \text{if } m > 0 \\ 1/2 & \text{if } m = 0 \end{cases}$$

- up to order 6

3. **Construct angular efficiency as**

$$\epsilon(\Theta) = \sum_{l,k,m} c_{l,k,m} \cdot b_{l,k,m}(\Theta)$$

- $c_{l,k,m}$  are the projection coefficients

# DNNs as probability estimators

- A DNN can be (naturally) engineered to **predict** the probability of a given input example to belong to one of the classes for which the network has been trained
  - e.g.: what is the probability that the flavour inference for a given event is correct?
- To this end, the **softmax activation function** can be used in the last layer to normalize the output of the network to a probability distribution consisting of K probabilities in the interval [0, 1] that add up to 1:<sup>1</sup>

$$\sigma(\vec{z})_i = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}} \quad (i = 1, \dots, K)$$

- A DNN is called “**calibrated**” if the output probability of the predicted class reflects its true posterior probability (the softmax function does not ensure calibrated networks)
- To improve probability calibration, the **cross-entropy loss function** can be used in the training process

$$\mathcal{L}_{\text{CE}} = - \sum_{i=1}^K t_i \log(s_i) \quad \text{where } \begin{array}{l} s_i = \text{network scores} \\ t_i = \text{one-hot encoded truth labels}^2 \end{array}$$

- $\mathcal{L}_{\text{CE}}$  can be interpreted as the negative log-likelihood for the conditional probability  $P(\vec{t} | \vec{s})$

<sup>1</sup>Based on the Luce's choice axiom. Ref: R. D. Luce, "Individual choice behavior: a theoretical analysis", Wiley, New York (1959)

<sup>2</sup>Every entry of  $\vec{t}$  is equal to 0 but the one corresponding to the true class, which is equal to 1



# Deep Neural Network for flavour tagging

- **Training features**

- Muon variables

- $p_T, \eta, IP_{xy}, \sigma_{IP_{xy}}, IP_z, \sigma_{IP_z}, \Delta R(B_S^0), \dots$

- Surrounding activity variables (“muon cone”)

- Constructed from tracks around the muon direction
    - $ISO_\mu, Q_{cone}, p_{T,cone}, E_\mu/E_{cone}, \dots$

- **Architecture: fully connected**

- 3 layers of 200 neurons

- Rectified Linear Unit activation:  $f(x) = \max(0, x)$

- 40% dropout probability

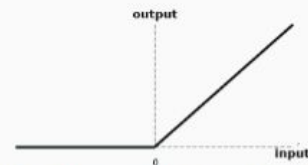
- Probability of temporarily removing a neuron in each training iteration, used to reduce overtraining

- **Output:** softmax

- **Loss:** binary cross-entropy

- **Optimizer:** Adam

- Adaptive optimization algorithm specifically designed for training DNNs



# Fit model

$$\mathbf{P} = \frac{N_{\text{sgn}}}{N_{\text{tot}}} \mathbf{P}_{\text{sgn}} + \frac{N_{\text{bkg}}}{N_{\text{tot}}} \mathbf{P}_{\text{bkg}}$$

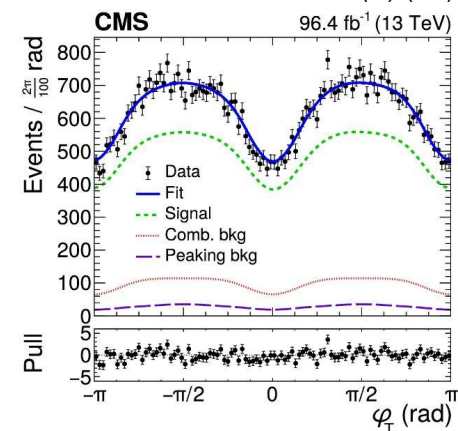
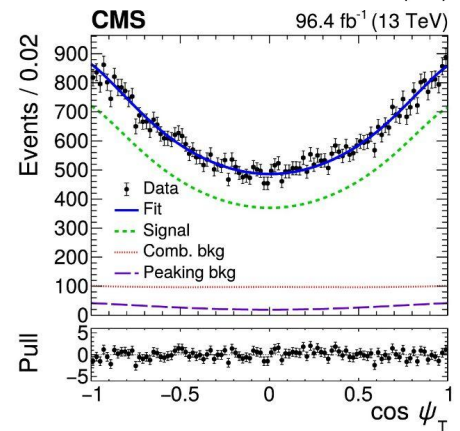
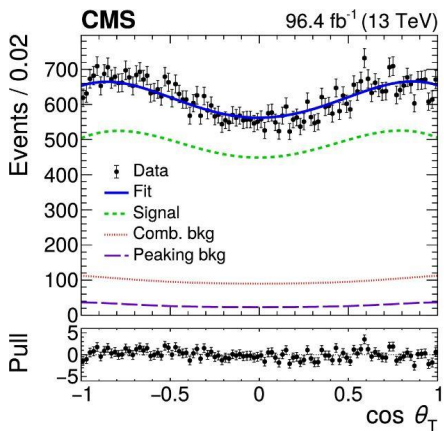
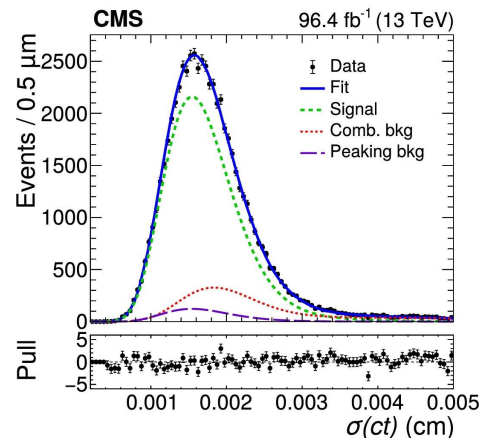
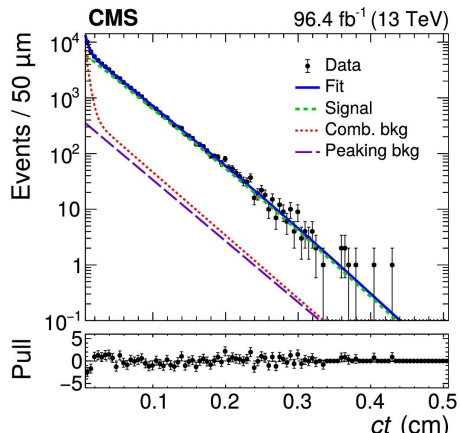
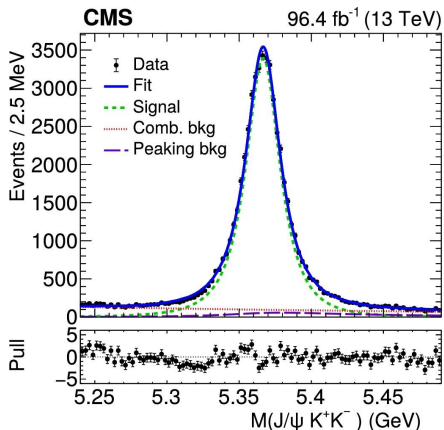
$$\mathbf{P}_{\text{sgn}} = \epsilon(\mathbf{ct}) \epsilon(\Theta) [\mathbf{f}(\Theta, \mathbf{ct} | \alpha) \otimes \mathbf{G}(\mathbf{ct}, \sigma_{\text{ct}})] \mathbf{P}_{\text{sgn}}(m_{B_s^0}) \mathbf{P}_{\text{sgn}}(\sigma_{\text{ct}}) \mathbf{P}_{\text{sgn}}(\xi_{\text{tag}})$$

- $\epsilon(\mathbf{ct}) \epsilon(\Theta)$ : efficiency functions
- $\mathbf{f}(\Theta, \mathbf{ct} | \alpha)$ : differential decay rate pdf
- $\mathbf{G}(\mathbf{ct}, \sigma_{\text{ct}})$ : Gaussian resolution function
  - $\Theta = (\cos \theta_T, \cos \psi_T, \varphi_T)$
  - $\alpha = (\phi_s, \Gamma_s, \Delta\Gamma_s, \Delta m_s, |\lambda|, A_0, A_{\perp}, A_s, \delta_{\parallel}, \delta_{\perp}, \delta_{S\perp})$
- $\mathbf{P}_{\text{sgn}}(m_{B_s^0})$ : mass pdf
- $\mathbf{P}_{\text{sgn}}(\sigma_{\text{ct}})$ : proper decay length uncertainty pdf
- $\mathbf{P}_{\text{sgn}}(\xi_{\text{tag}})$ : tag decision pdf

$$\mathbf{P}_{\text{bkg}} = \mathbf{P}_{\text{bkg}}(\cos \theta_T, \varphi_T) \mathbf{P}_{\text{bkg}}(\cos \psi_T) \mathbf{P}_{\text{bkg}}(\mathbf{ct}) \mathbf{P}_{\text{bkg}}(m_{B_s^0}) \mathbf{P}_{\text{bkg}}(\sigma_{\text{ct}}) \mathbf{P}_{\text{bkg}}(\xi_{\text{tag}})$$

- $\mathbf{P}_{\text{bkg}}(\cos \theta_T, \varphi_T)$ ,  $\mathbf{P}_{\text{bkg}}(\cos \psi_T)$ ,  $\mathbf{P}_{\text{bkg}}(\mathbf{ct})$ : background angular and proper decay length pdfs
- $\mathbf{P}_{\text{bkg}}$  contains a dedicated term to model the **peaking background** from  $B^0 \rightarrow J/\psi K^*(892)^0 \rightarrow \mu^+ \mu^- K^+ \pi^-$  where the pion is misidentified as a kaon
  - The peaking background from  $\Lambda_b^0 \rightarrow J/\psi K^- p \rightarrow \mu^+ \mu^- K^- p$  is estimated to be negligible

# Fit results



# Correlations in the 13 TeV results

Table 1: Statistical correlation matrix between the physics parameters as obtained from the ML fit to the 13 TeV data.

	$\phi_s$	$\Delta\Gamma_s$	$\Delta m_s$	$ \lambda $	$\Gamma_s$	$ A_0 ^2$	$ A_\perp ^2$	$ A_S ^2$	$\delta_\parallel$	$\delta_\perp$	$\delta_{S\perp}$
$\phi_s$	+1.00	-0.02	-0.19	+0.22	0.00	-0.01	+0.01	-0.01	-0.02	-0.09	+0.03
$\Delta\Gamma_s$		+1.00	-0.02	0.00	-0.48	+0.63	-0.71	0.00	+0.01	-0.01	-0.04
$\Delta m_s$			+1.00	-0.14	+0.03	-0.01	+0.02	+0.03	+0.01	+0.68	-0.05
$ \lambda $				+1.00	-0.02	0.00	-0.01	-0.03	-0.06	-0.18	+0.05
$\Gamma_s$					+1.00	-0.31	+0.42	+0.15	-0.02	+0.02	-0.05
$ A_0 ^2$						+1.00	-0.61	+0.15	-0.01	-0.01	-0.09
$ A_\perp ^2$							+1.00	-0.11	-0.06	0.00	+0.06
$ A_S ^2$								+1.00	-0.07	+0.02	-0.44
$\delta_\parallel$									+1.00	+0.27	-0.02
$\delta_\perp$										+1.00	-0.10
$\delta_{S\perp}$											+1.00

# Full combination results

CMS 8 TeV results

Parameter	Value	Stat.	Syst.
$\phi_s$ [mrad]	-75	$\pm 97$	$\pm 31$
$\Delta\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.095	$\pm 0.013$	$\pm 0.007$
$\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.6704	$\pm 0.0043$	$\pm 0.0055$
$ A_0 ^2$	0.510	$\pm 0.005$	$\pm 0.011$
$ A_{\perp} ^2$	0.243	$\pm 0.008$	$\pm 0.012$
$ A_S ^2$	0.012	$\pm 0.009$	$\pm 0.022$
$\delta_{\parallel}$ [rad]	3.48	$\pm 0.09$	$\pm 0.68$
$\delta_{\perp}$ [rad]	2.98	$\pm 0.36$	$\pm 0.66$
$\delta_{S\perp}$ [rad]	0.37	$\pm 0.12$	$\pm 0.18$

CMS 13 TeV results

Parameter	Value	Stat.	Syst.
$\phi_s$ [mrad]	-11	$\pm 50$	$\pm 10$
$\Delta\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.114	$\pm 0.014$	$\pm 0.007$
$\Delta m_s$ [ $\hbar\text{ps}^{-1}$ ]	17.51	$\pm 0.10$	$\pm 0.03$
$ \lambda $	0.972	$\pm 0.026$	$\pm 0.008$
$\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.6531	$\pm 0.0042$	$\pm 0.0026$
$ A_0 ^2$	0.5350	$\pm 0.0047$	$\pm 0.0049$
$ A_{\perp} ^2$	0.2337	$\pm 0.0063$	$\pm 0.0045$
$ A_S ^2$	0.022	$\pm 0.008$	$\pm 0.016$
$\delta_{\parallel}$ [rad]	3.18	$\pm 0.12$	$\pm 0.03$
$\delta_{\perp}$ [rad]	2.77	$\pm 0.16$	$\pm 0.05$
$\delta_{S\perp}$ [rad]	0.221	$\pm 0.083$	$\pm 0.048$

CMS 8+13 TeV combined results

Parameter	Fit value	Stat.	Syst.
$\phi_s$ [mrad]	-21	$\pm 44$	$\pm 10$
$\Delta\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.1032	$\pm 0.0095$	$\pm 0.0048$
$\Gamma_s$ [ $\text{ps}^{-1}$ ]	0.6590	$\pm 0.0032$	$\pm 0.0023$
$ A_0 ^2$	0.5289	$\pm 0.0038$	$\pm 0.0041$
$ A_{\perp} ^2$	0.2393	$\pm 0.0050$	$\pm 0.0037$
$ A_S ^2$	0.016	$\pm 0.006$	$\pm 0.013$
$\delta_{\parallel}$ [rad]	3.19	$\pm 0.12$	$\pm 0.04$
$\delta_{\perp}$ [rad]	2.78	$\pm 0.15$	$\pm 0.06$
$\delta_{S\perp}$ [rad]	0.238	$\pm 0.078$	$\pm 0.046$

# Correlation in the combination

Table 3: Correlations between the physics parameters as obtained from the combination between the CMS 8 TeV and 13 TeV results. Correlations are both statistical and systematic.

	$\phi_s$	$\Delta\Gamma_s$	$\Gamma_s$	$ A_0 ^2$	$ A_\perp ^2$	$ A_S ^2$	$\delta_\parallel$	$\delta_\perp$	$\delta_{S\perp}$
$\phi_s$	+1.00	+0.02	-0.03	+0.01	-0.01	+0.01	-0.01	-0.08	+0.03
$\Delta\Gamma_s$		+1.00	-0.45	+0.43	-0.57	+0.01	+0.01	0.00	-0.01
$\Gamma_s$			+1.00	-0.17	+0.30	+0.06	-0.03	0.00	-0.08
$ A_0 ^2$				+1.00	-0.56	+0.25	-0.03	+0.01	-0.18
$ A_\perp ^2$					+1.00	-0.08	-0.03	+0.01	+0.14
$ A_S ^2$						+1.00	-0.02	+0.02	-0.20
$\delta_\parallel$							+1.00	+0.26	0.00
$\delta_\perp$								+1.00	-0.05
$\delta_{S\perp}$									+1.00