

# Measurements of $R_K$ and $R_{K^*}$ with the full LHCb Run 1 and 2 data

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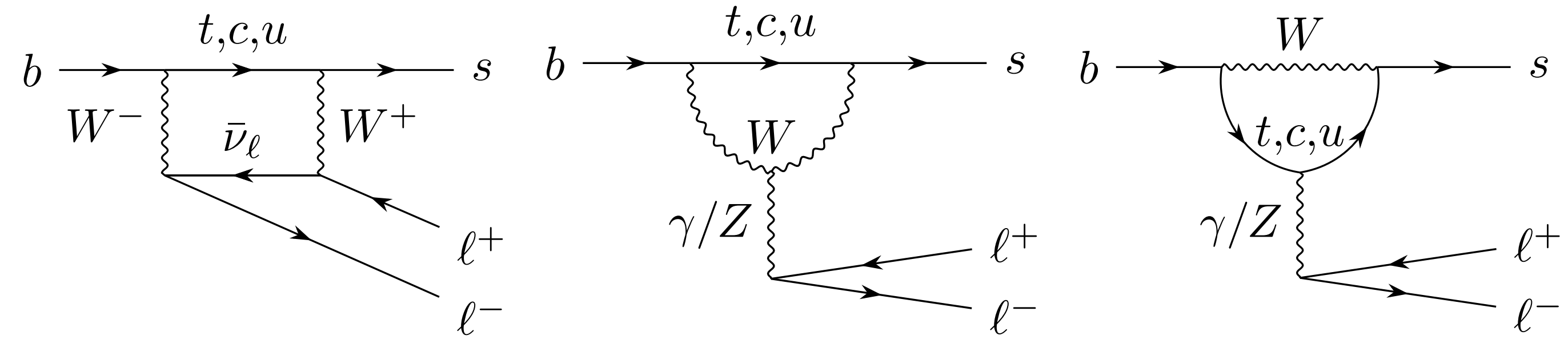
On behalf of the LHCb collaboration

[LHCb-PAPER-2022-045](#)

[LHCb-PAPER-2022-046](#)

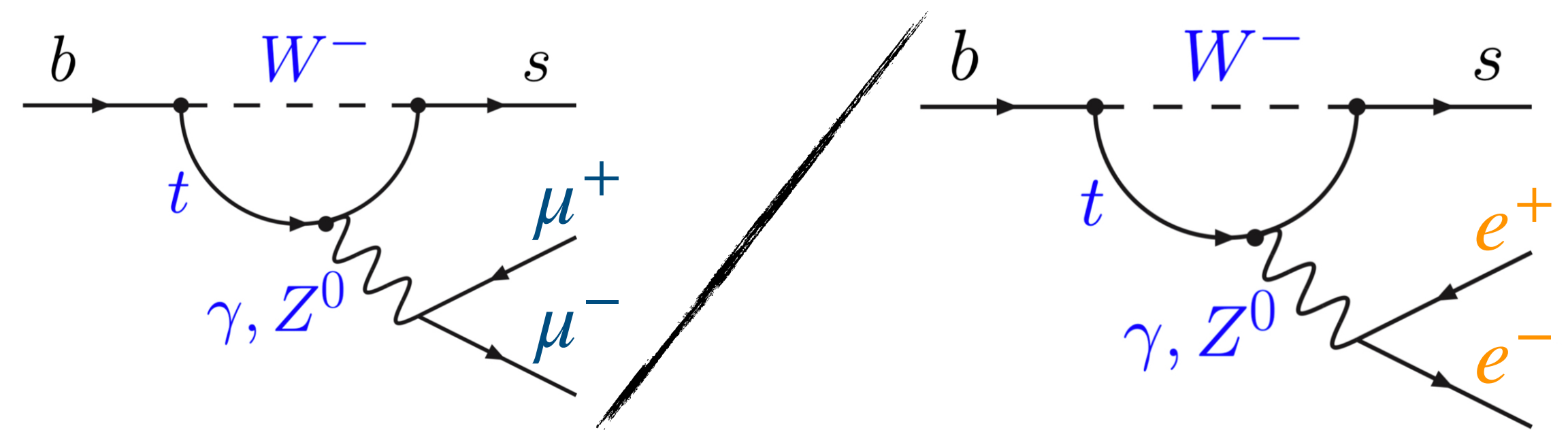
## Introduction

- ▶  $b \rightarrow s \ell^+ \ell^-$  and anomalies



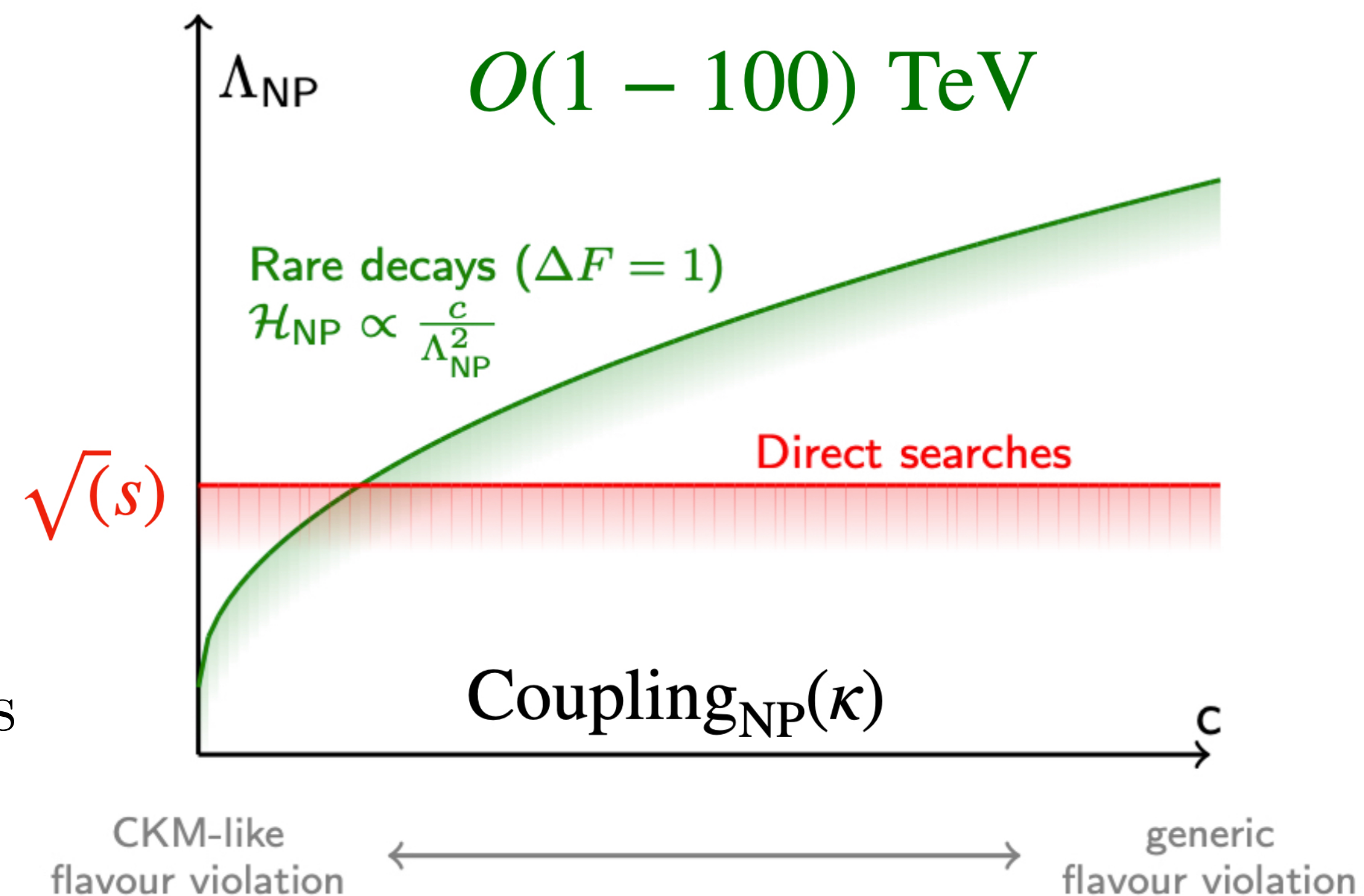
## LFU test: $R_{K, K^*}$ analysis

- ▶ Analysis strategy
- ▶ Selection and backgrounds
- ▶ Cross-checks
- ▶ Fits to data
- ▶ Results



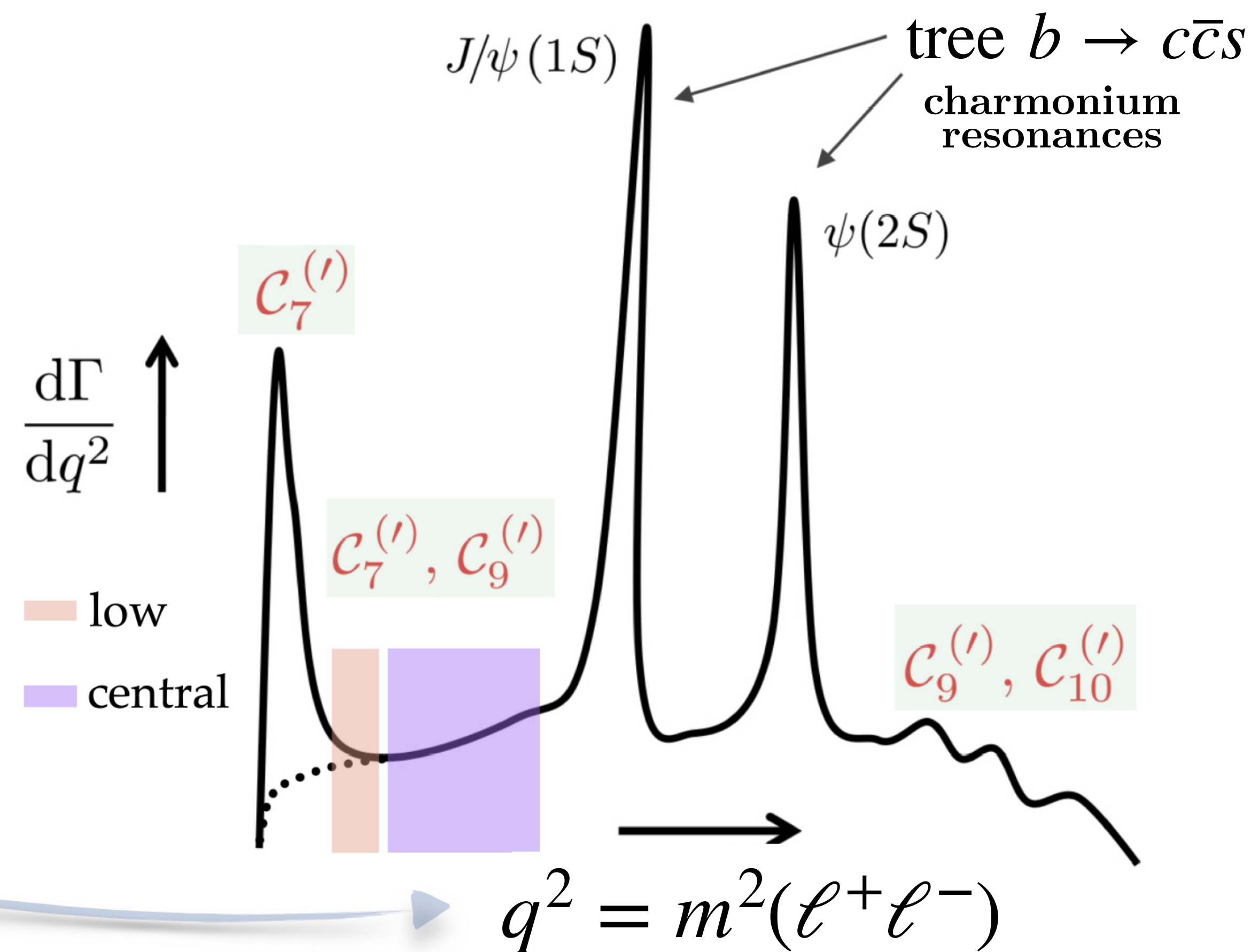
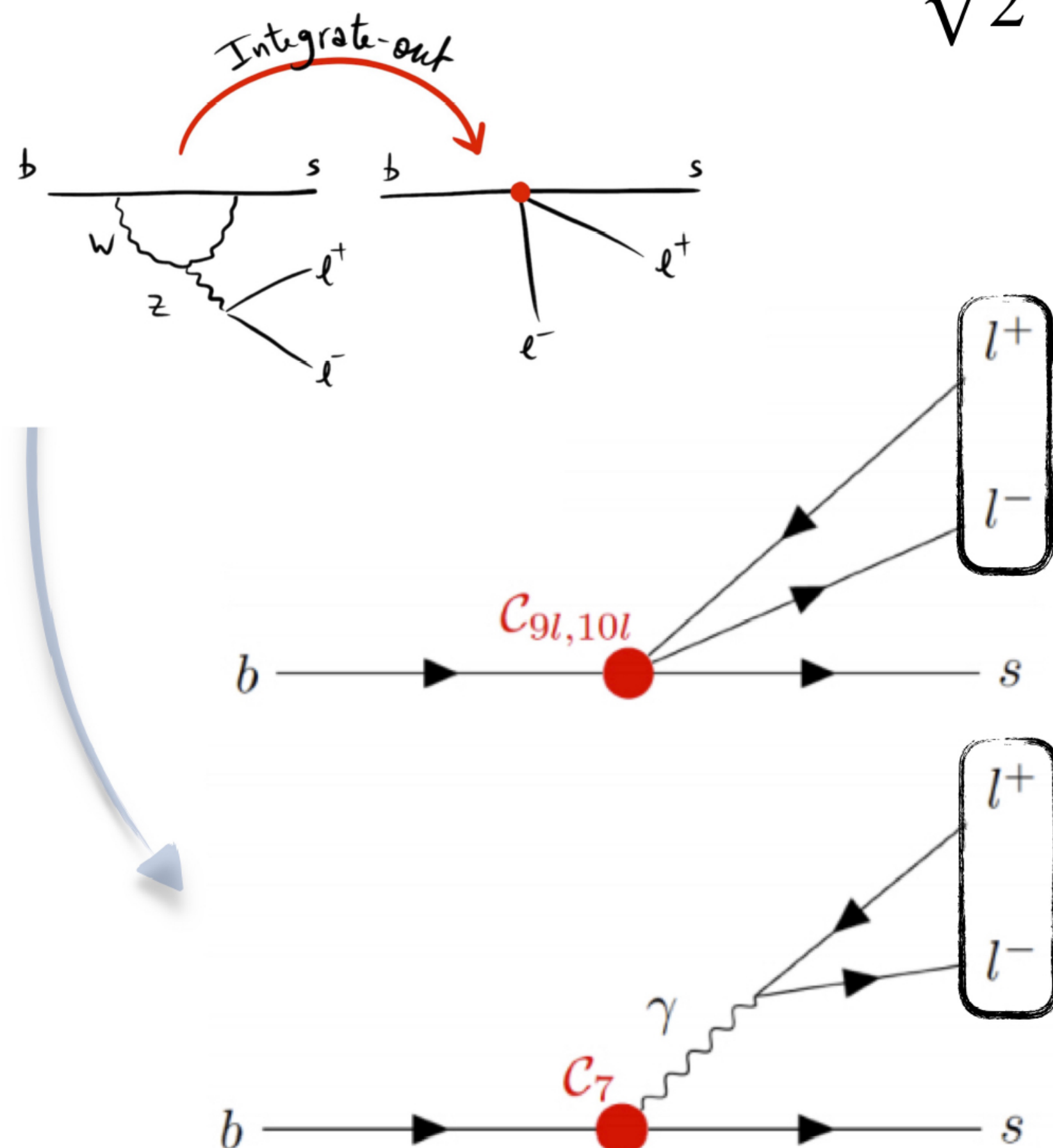
# Why $b \rightarrow s\ell^+\ell^-$ decays?

- ◆ So far, no direct evidence of Beyond Standard Model (BSM) particles
- ◆ Search of New Physics (NP) with indirect approach
  - ▶ EW gauge boson couplings to  $\ell$  families universal in SM
  - ▶ Does it hold at higher energy scales?
- ◆  $b \rightarrow s\ell^+\ell^-$  to probe NP
  - ▶  $\mathcal{B} \sim \mathcal{O}(10^{-6})$ , suppressed at tree level
  - ▶ **Highly sensitive to NP** (TeV scale)
    - ▶  $\Lambda_{NP}$  probed depends on NP structure
  - ▶ NP can affect decay rates and angular distributions
  - ▶ Is BSM physics hierarchical in lepton sector?

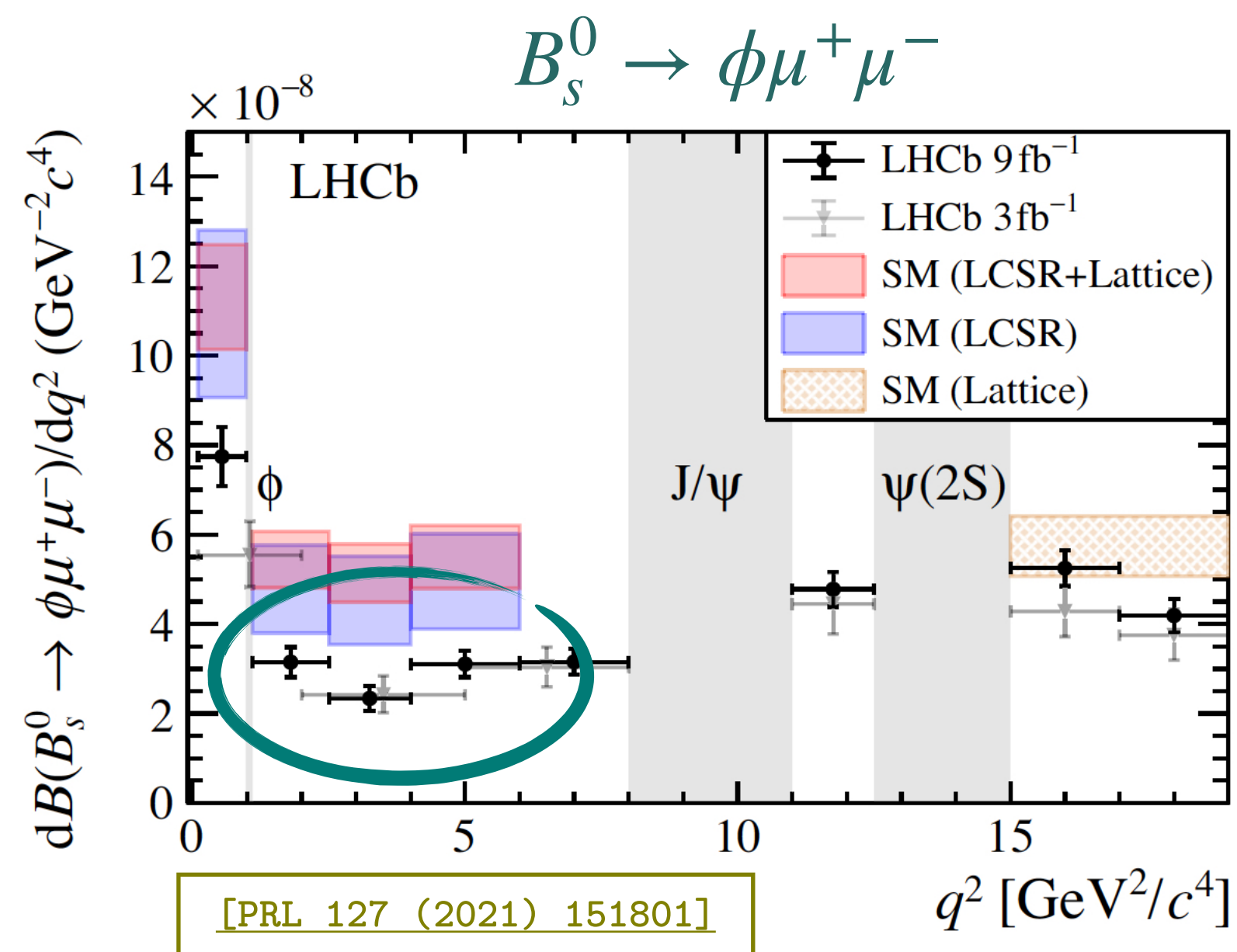


# Why $b \rightarrow s\ell^+\ell^-$ decays?

$$H_{eff} = -\frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i (C_i^{SM} + \Delta_i^{NP}) O_i$$



.... : with PseudoScalar  $H_s$  in final state,  $K_s^0, K^+$   
 — : with Vector  $H_s$  in final state,  $K^{*0}, K^{*+}, \phi, \dots$

Anomalies in  $b \rightarrow s\mu^+\mu^-$  $b \rightarrow s\mu^+\mu^-$  differential decay rates

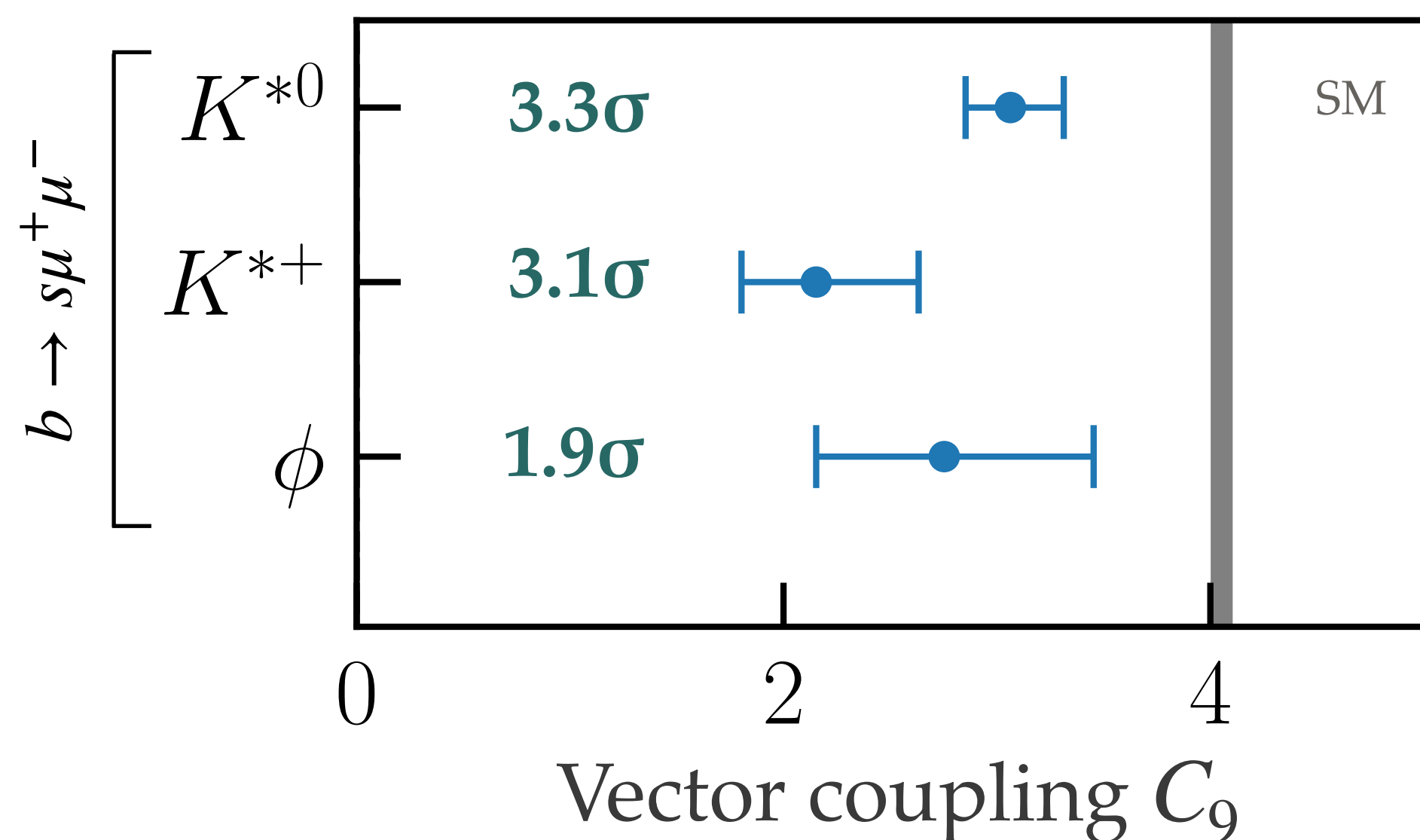
- ◆ Similar behaviour in several decay (see [backup](#))
- ◆ SM predictions heavily affected by hadronic form factor uncertainties  $\sigma_{th} \sim \mathcal{O}(20-30\%)$

Anomaly or common issue with form factors from SM?

 $b \rightarrow s\mu^+\mu^-$  angular analyses

- ◆ Recent results (LHCb) ones:

- ▶  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  with 6 fb<sup>-1</sup> ( $\sim 4600$  evts.) [[PRL 125\(2020\)011802](#)]
- ▶  $B^+ \rightarrow K^{*+}\mu^+\mu^-$  with 9 fb<sup>-1</sup> ( $\sim 700$  evts.) [[PRL 126\(2021\)161802](#)]
- ▶  $B_s \rightarrow \phi\mu^+\mu^-$  with 9 fb<sup>-1</sup> ( $\sim 1900$  evts.) [[JHEP11\(2021\)043](#)]



- ◆ Intriguing coherent and consistent pattern

- ▶ However, *charm-loops* can mimic shift in  $C_9$

- ◆ Full LHCb dataset ( $9 \text{ fb}^{-1}$ ), simultaneous measurement of  $R_K$  &  $R_{K^*}$

$$R_{K,K^*}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2}$$

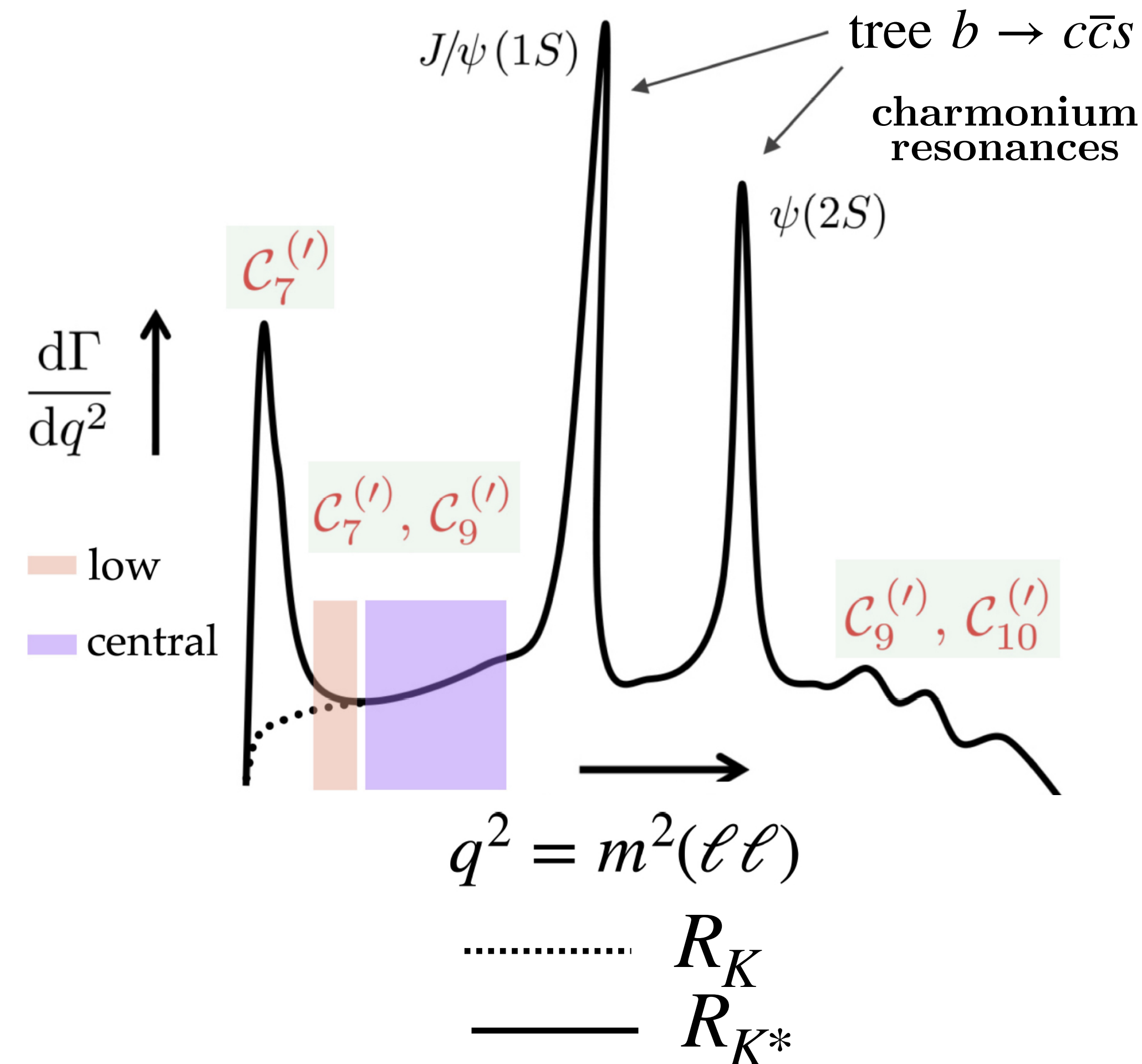
- ◆  $q^2$  ranges:

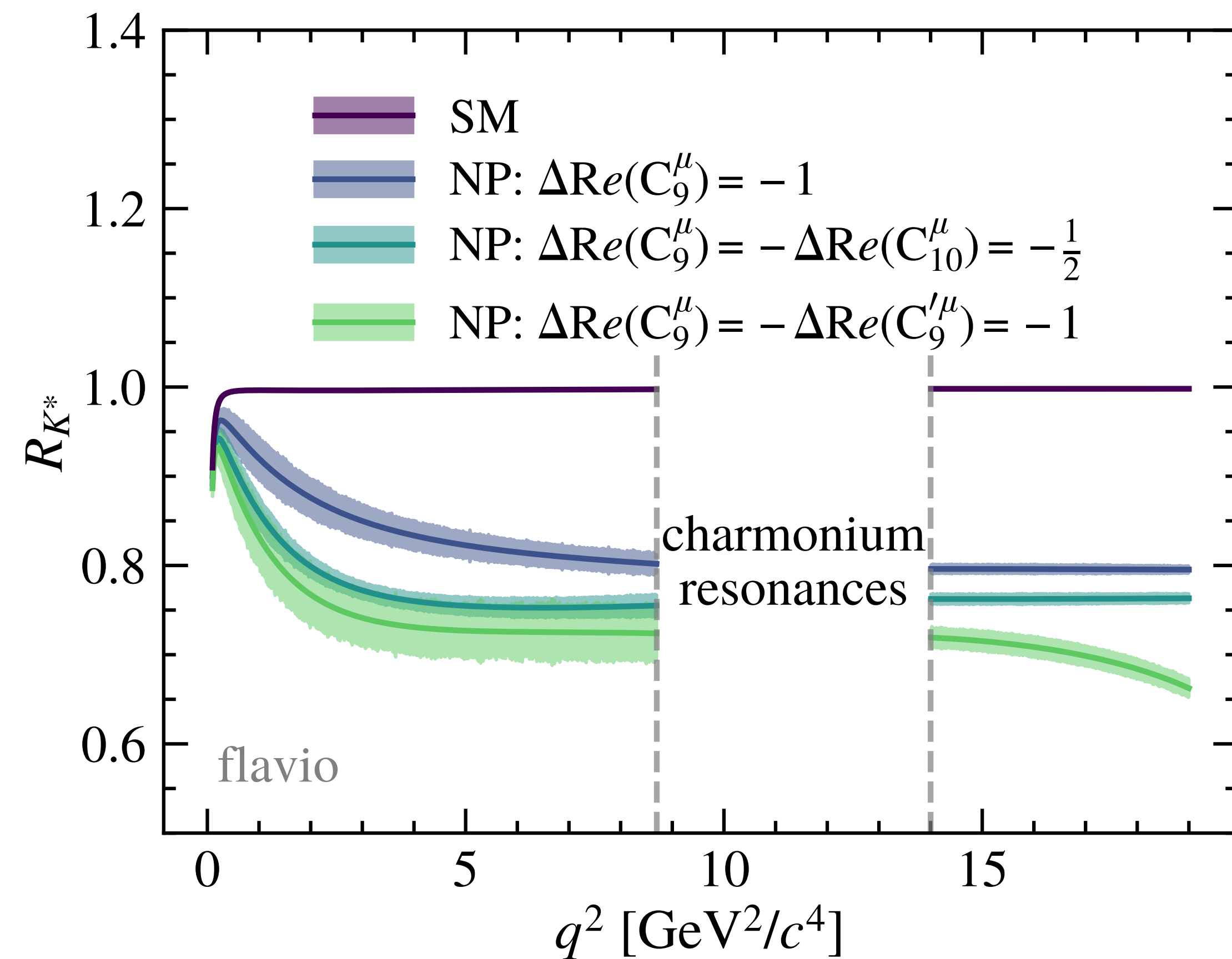
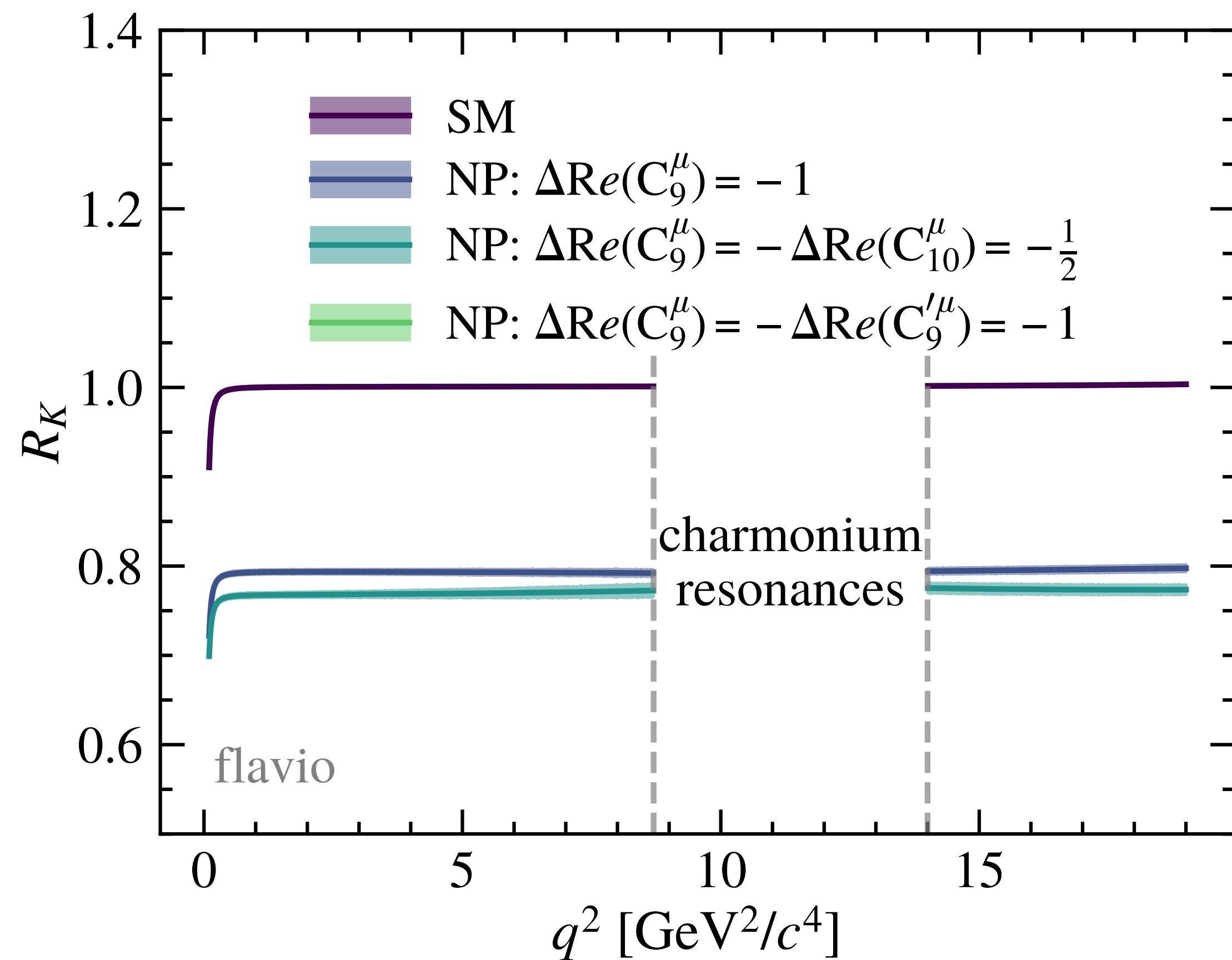
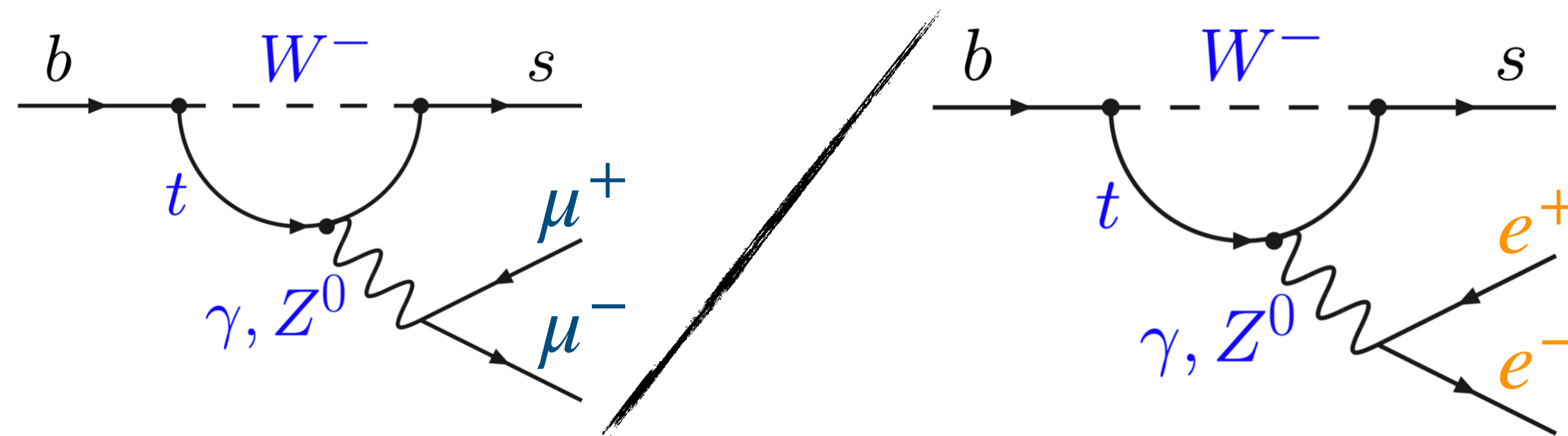
▶ low- $q^2$  :  $q^2 \in [0.1, 1.1] \text{ GeV}^2/c^4$

▶ central- $q^2$  :  $q^2 \in [1.1, 6.0] \text{ GeV}^2/c^4$

- ◆ For  $R_{K^*}$

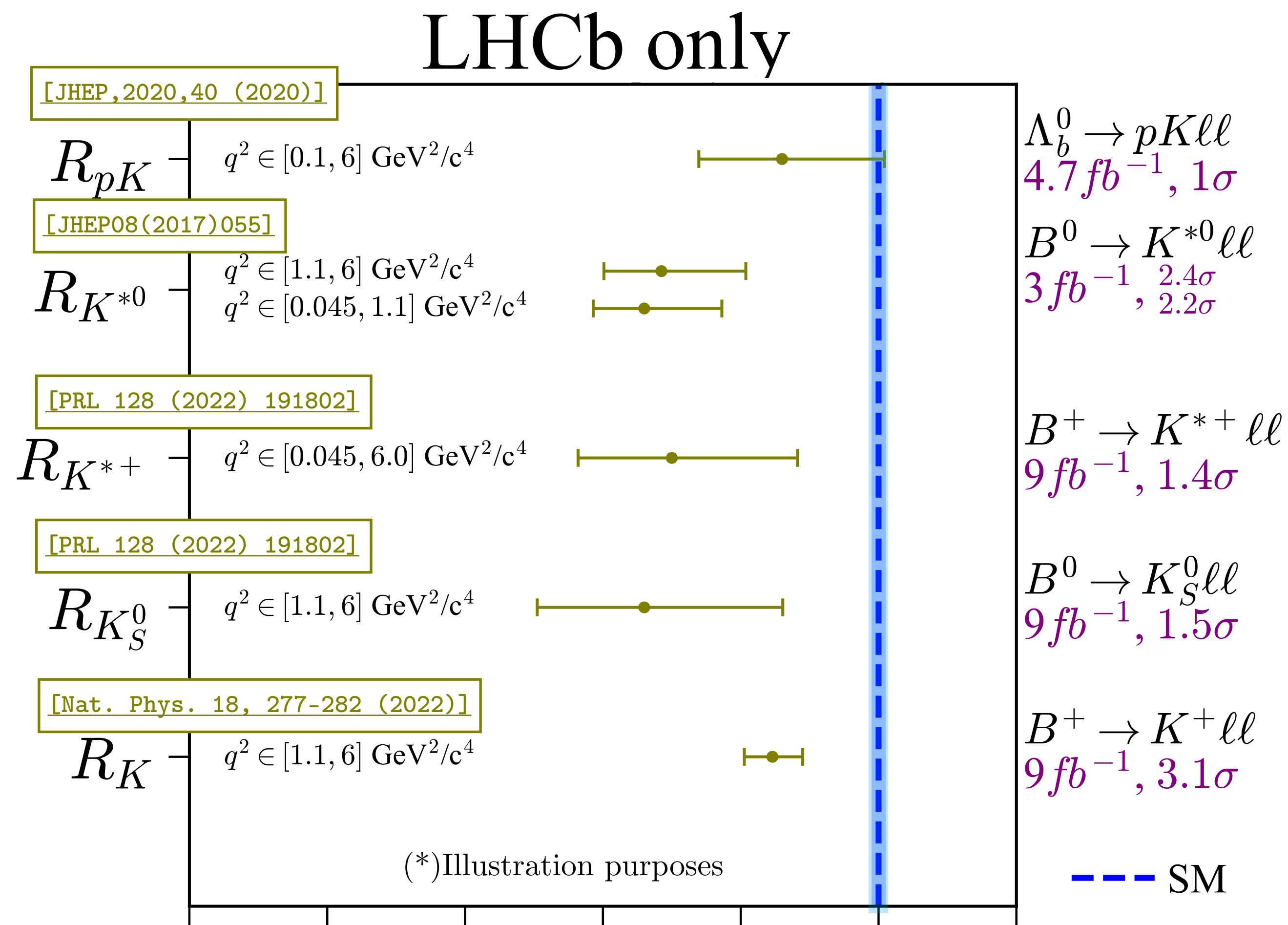
$K^{*0}$  :  $m(K^+\pi^-) \in [792, 992] \text{ MeV}/c^2$



Sensitivity to NP in  $R_K$  and  $R_{K^*}$ 

# Lepton Flavour Universality (LFU) tests in $b \rightarrow s\ell^+\ell^-$

- ◆ Coherent pattern of tension to SM in LFU test with  $b \rightarrow s\ell^+\ell^-$  transition:
- ◆  $R_X$  ratio extremely well predicted in SM
  - ▶ Cancellation of hadronic uncertainties at  $10^{-4}$
  - ▶  $\mathcal{O}(1\%)$  QED correction [Eur.Phys.J.C 76 (2016) 8]
  - ▶ Statistically limited
- ◆ Any departure from unity is a clear sign of New Physics

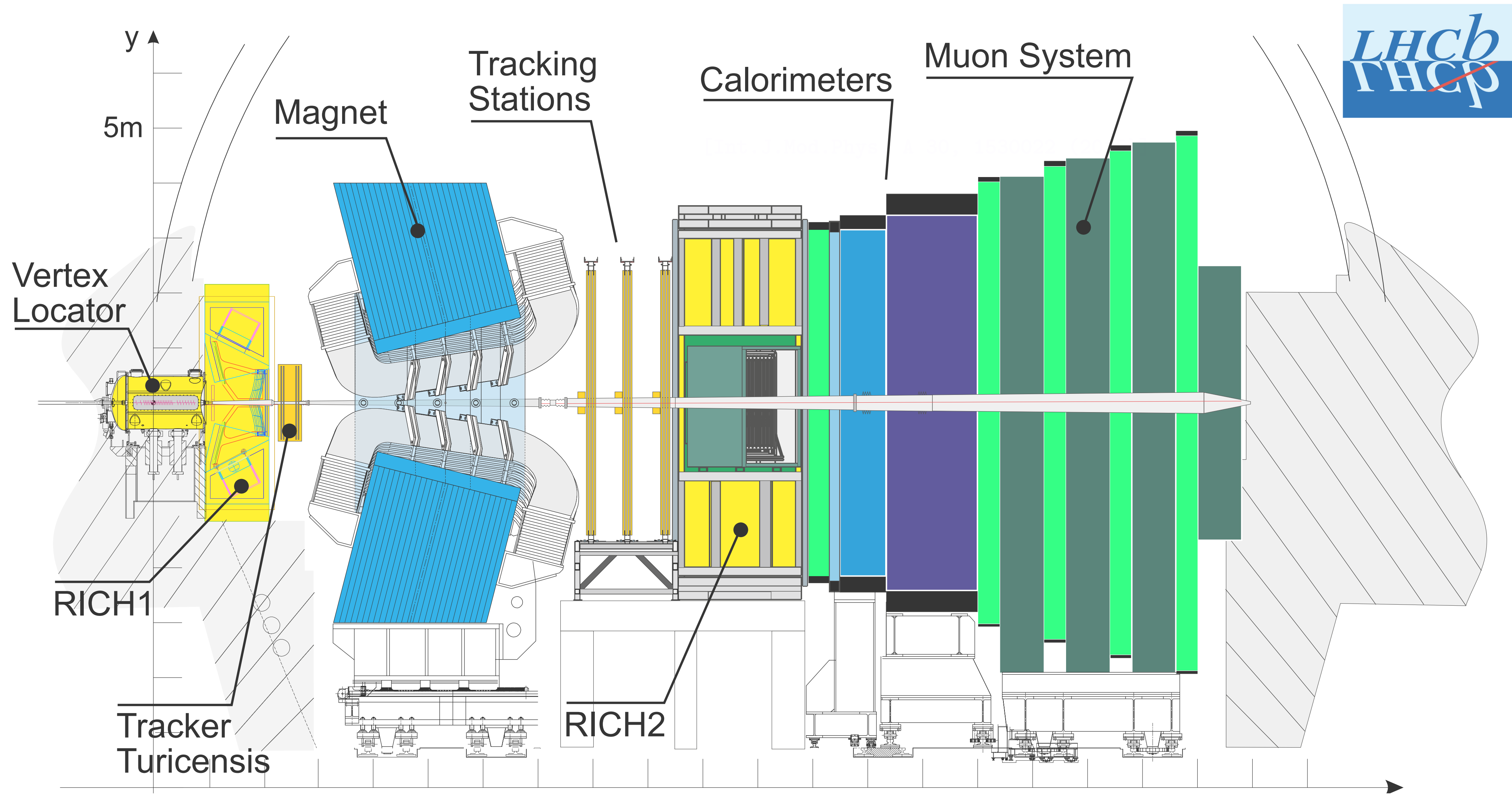


$$R_X = \frac{\mathcal{B}(b \rightarrow s\mu^+\mu^-)}{\mathcal{B}(b \rightarrow se^+e^-)}$$

(\*) Measurements from Belle not shown (larger statistical uncertainties)



# The LHCb Detector



$$R_{K,K^*}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2}$$

$$R_{(K,K^*)} = \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}$$

◆  $\mathcal{N}$  from mass fits,  $\varepsilon$  evaluated from data-driven corrected simulation

## LFU test strategy

$$R_{K,K^*}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2} \times \frac{\Gamma(J/\psi \rightarrow e^+ e^-)}{\Gamma(J/\psi \rightarrow \mu^+ \mu^-)}$$

Phys. Lett. B731, 227 (2014)

$$R_{(K,K^*)} = \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)} \times \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi (e^+ e^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi (\mu^+ \mu^-))}$$

$r_{J/\psi}^{-1} = 1$

◆  $\mathcal{N}$  from mass fits,  $\varepsilon$  evaluated from data-driven corrected simulation

◆ Use resonant- $J/\psi$  mode as normalisation to cancel out most of  $\varepsilon$  systematics in  $e/\mu$  differences. Resonant- $J/\psi$  mode also used for  $\varepsilon$  calibration

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◆ **Cross-check** goodness of calibration testing  $r_{J/\psi}^{K,K^*} = 1$

## LFU test strategy

Measured to be 1 PDG2022Measured to be 1 Phys. Lett. B731, 227 (2014)

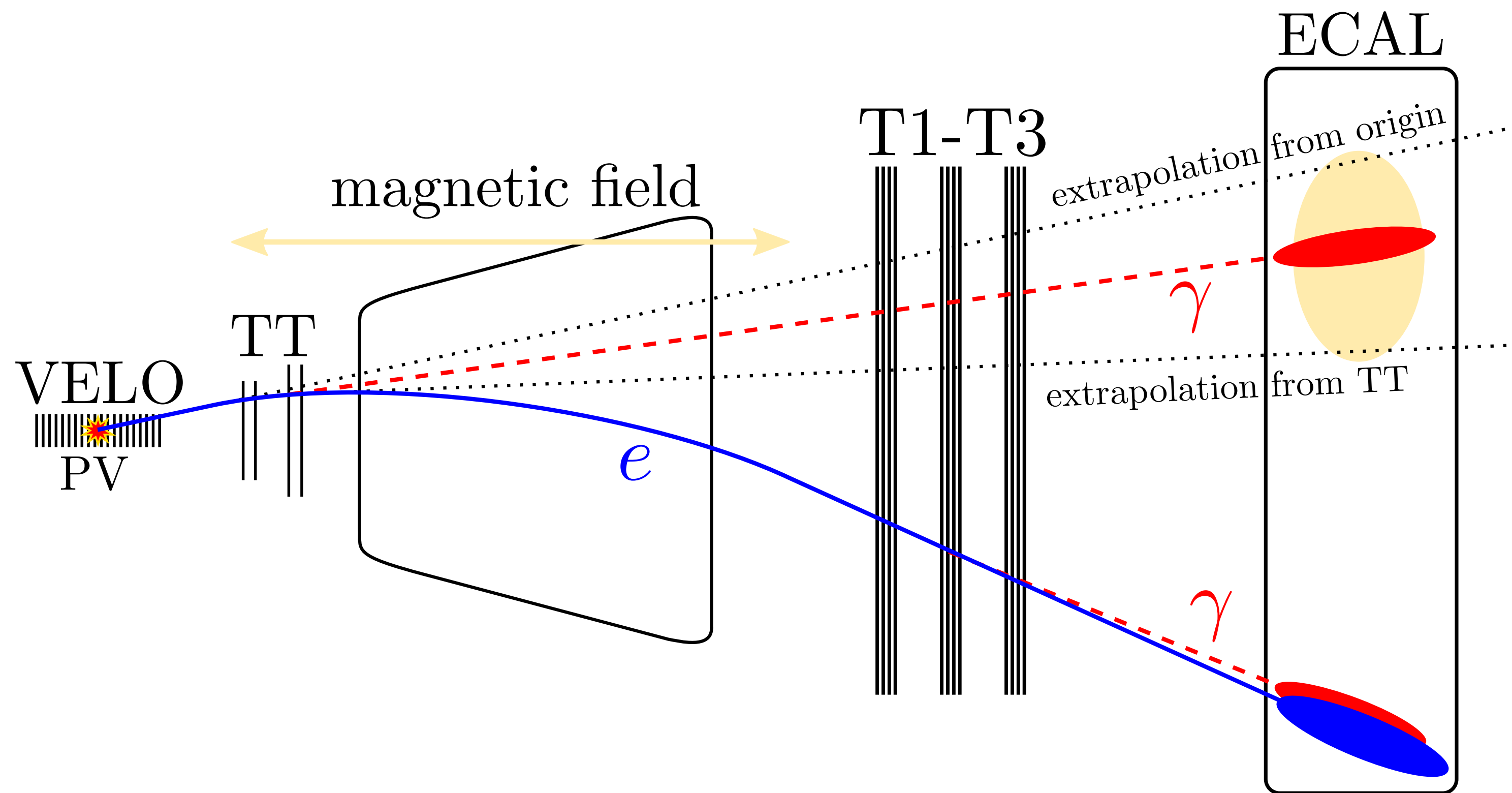
$$R_{\psi(2S)} = \frac{\Gamma(\psi(2S) \rightarrow \mu^+ \mu^-)}{\Gamma(\psi(2S) \rightarrow e^+ e^-)} \times \frac{\Gamma(J/\psi \rightarrow e^+ e^-)}{\Gamma(J/\psi \rightarrow \mu^+ \mu^-)}$$

$$R_{\psi(2S)}^{(K, K^*)} = \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \psi(2S)(\mu^+ \mu^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} \psi(2S)(e^+ e^-))} \times \frac{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi(e^+ e^-))}{\frac{\mathcal{N}}{\varepsilon}(B^{(+,0)} \rightarrow K^{(+,*0)} J/\psi(\mu^+ \mu^-))}$$

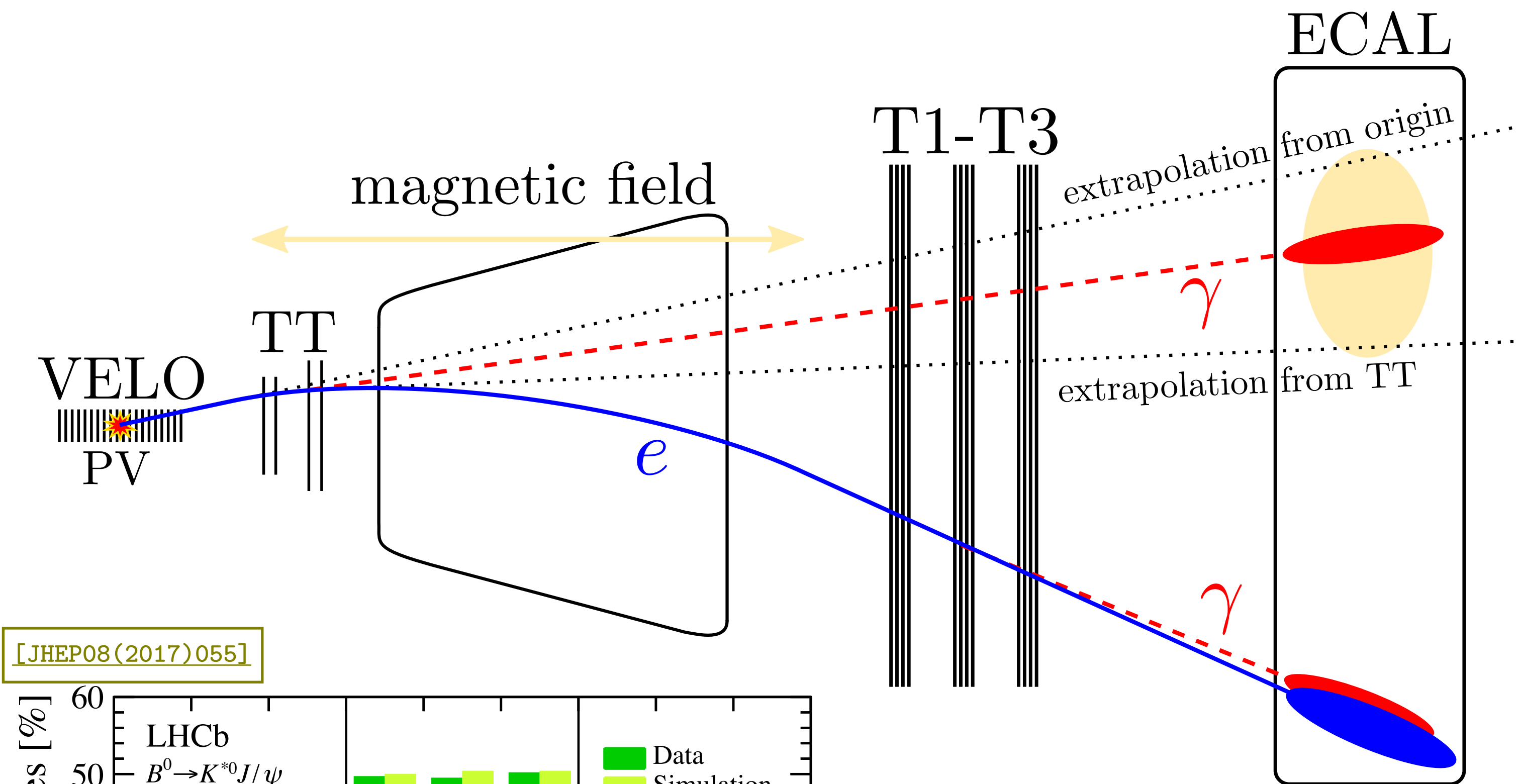
$r_{J/\psi}^{-1} = 1$

- ◆  $\mathcal{N}$  from mass fits,  $\varepsilon$  evaluated from data-driven corrected simulation
- ◆ Use resonant- $J/\psi$  mode as normalisation to cancel out most of  $\varepsilon$  systematics in  $e/\mu$  differences. Resonant- $J/\psi$  mode also used for  $\varepsilon$  calibration
- ◆ Cross-check goodness of calibration testing  $r_{J/\psi}^{K, K^*} = 1$
- ◆ Cross-check goodness of method testing  $R_{\psi(2S)}^{K, K^*} = 1$

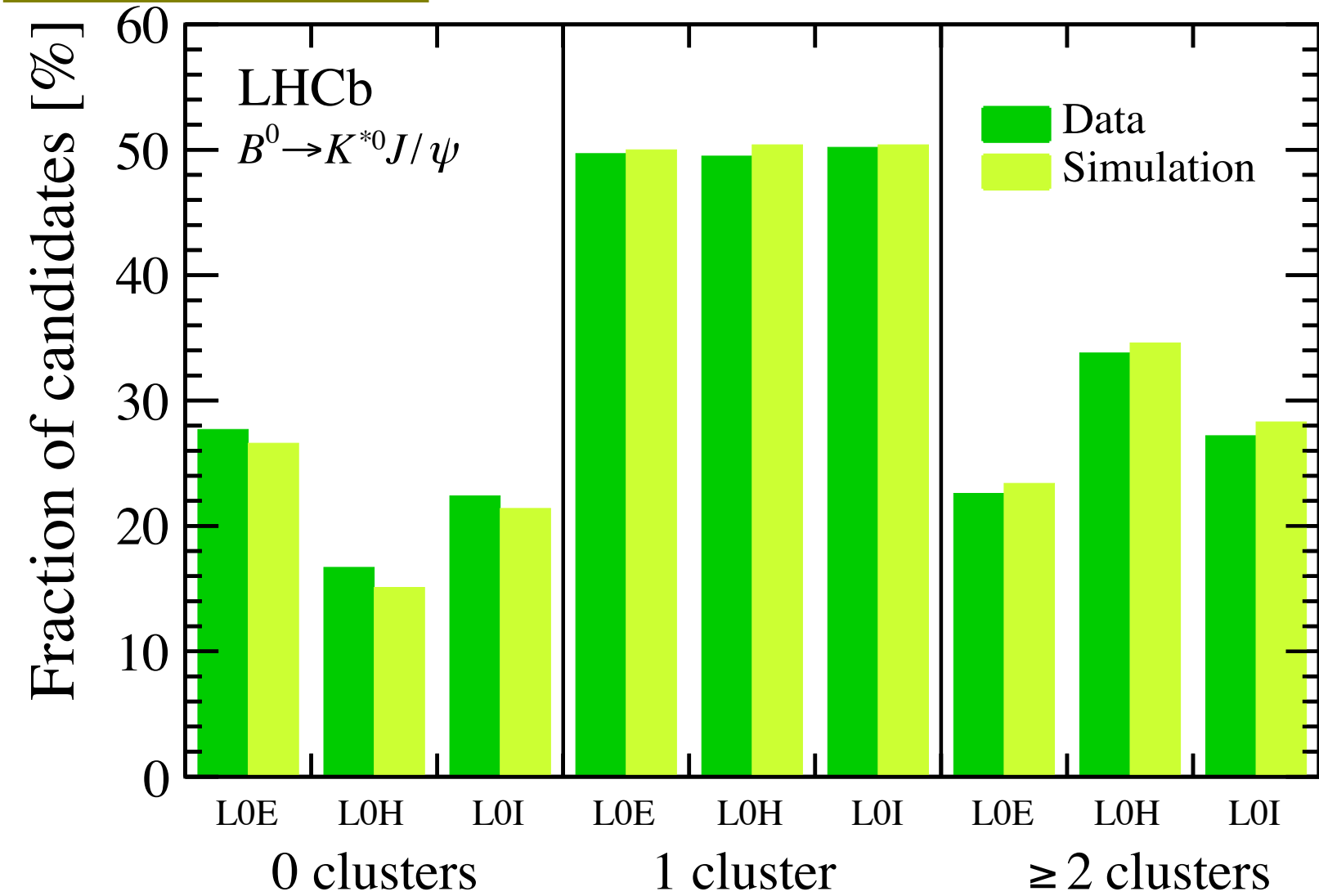
## Challenges in LFU tests: electrons and energy losses



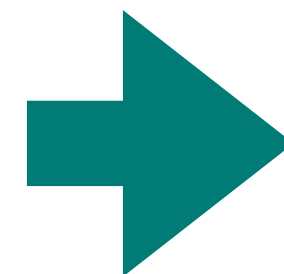
# Challenges in LFU tests: electrons and energy losses



[JHEP08(2017)055]

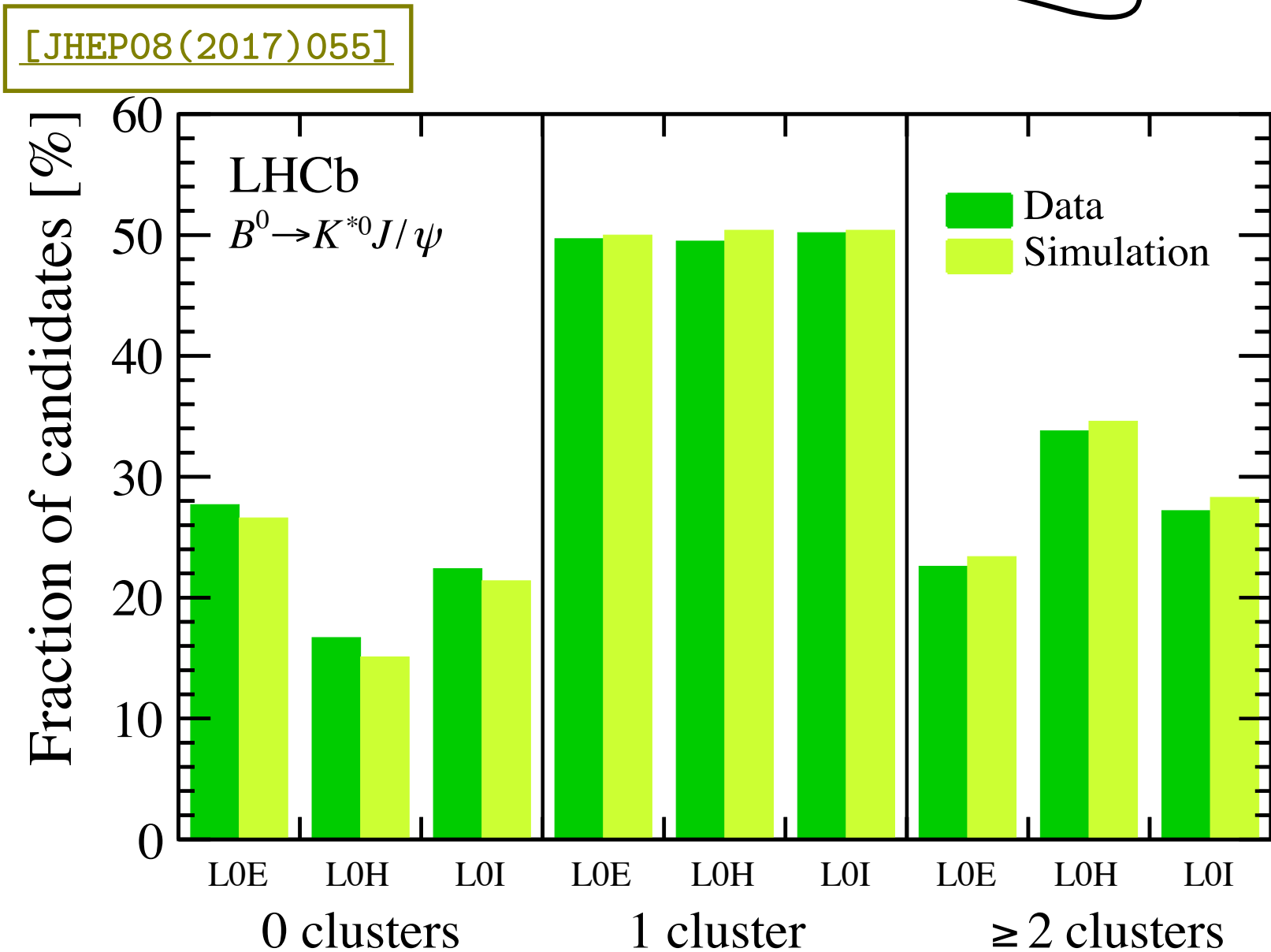
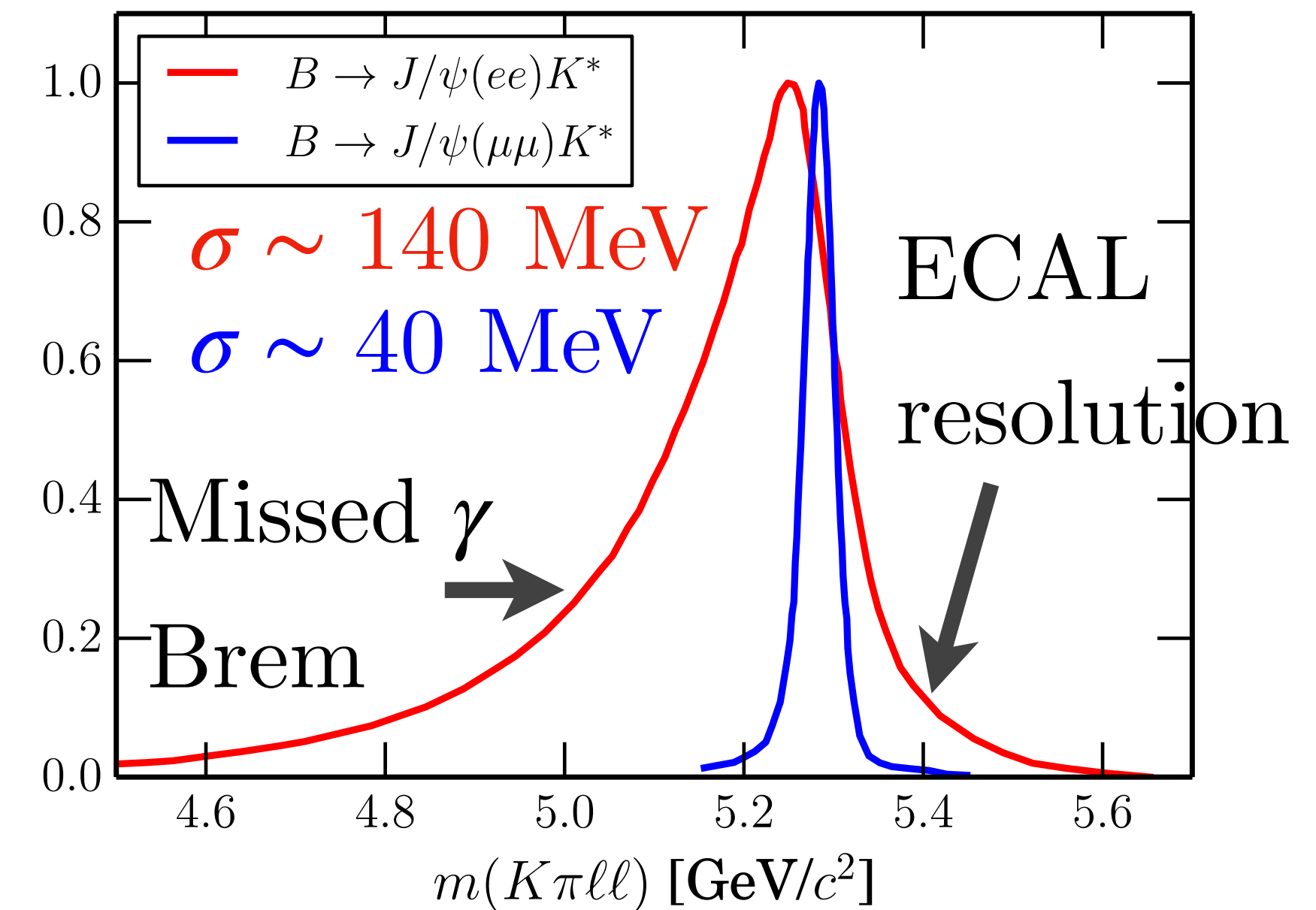
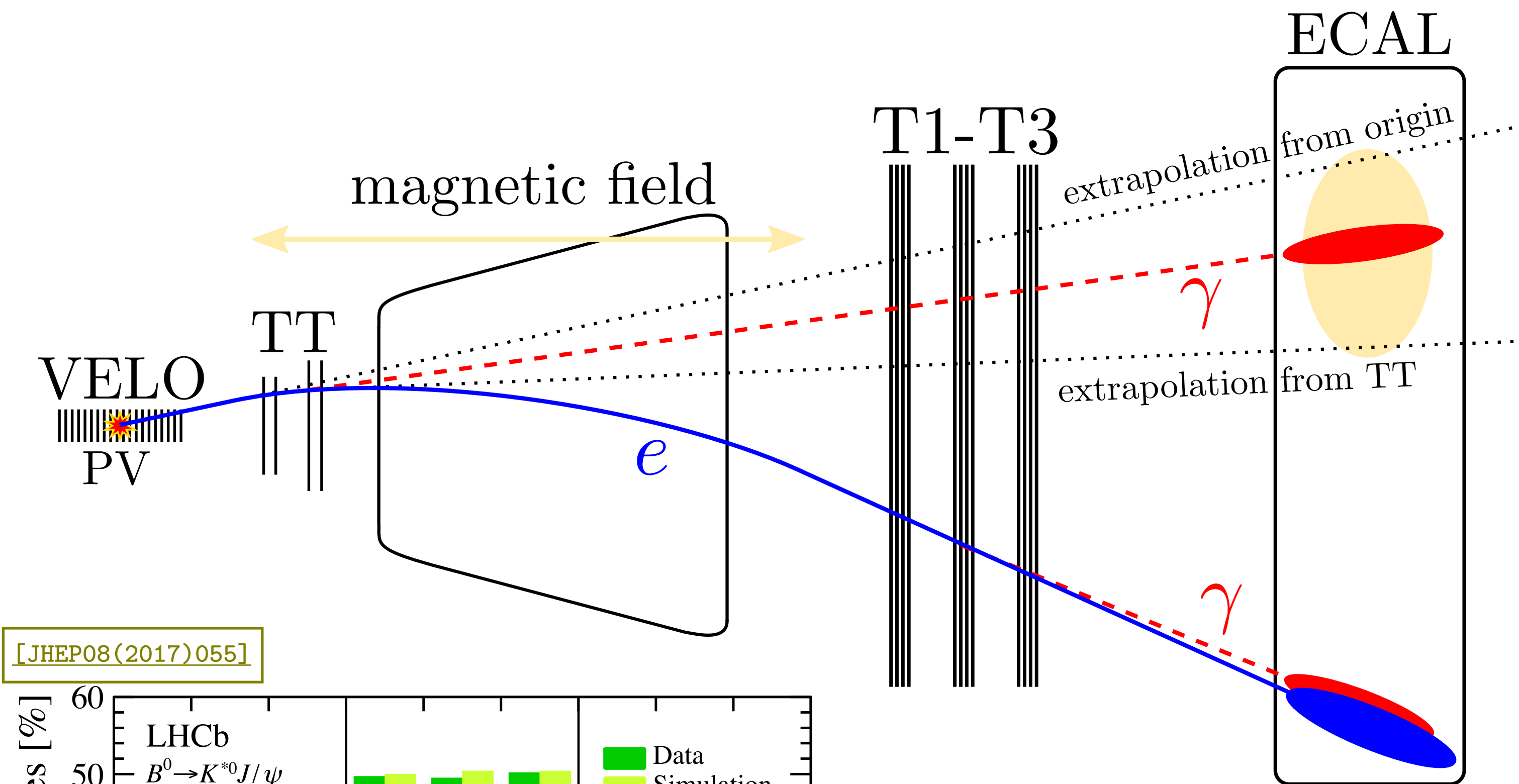


- ▶ Brem recovery is O(50%) efficient
- ▶ Well described in simulation



3 “Brem” categories  
(0/1/2  $\gamma$ )

# Challenges in LFU tests: electrons and energy losses



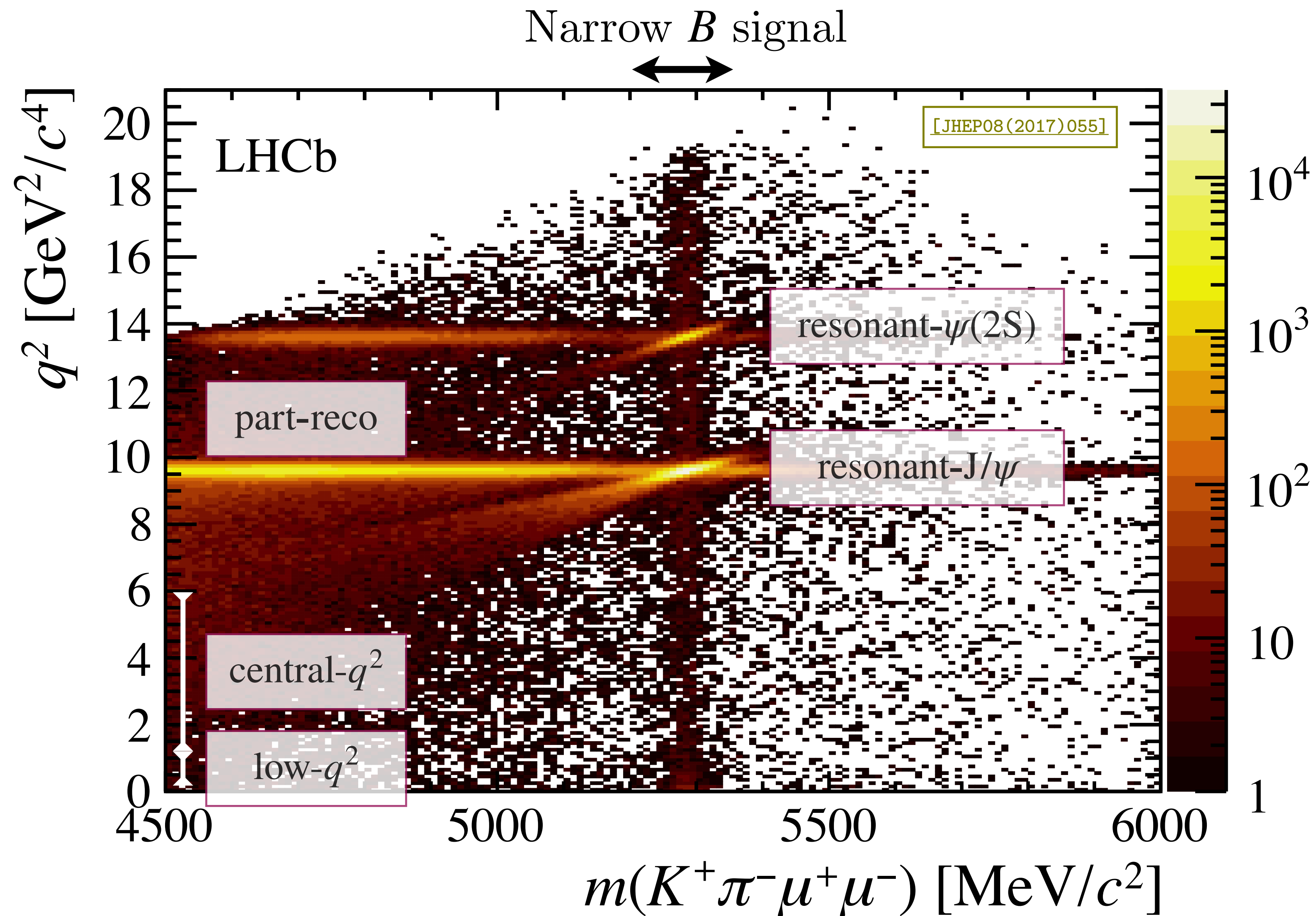
- ▶ Brem recovery is O(50%) efficient
- ▶ Well described in simulation

Wider fit range than muons

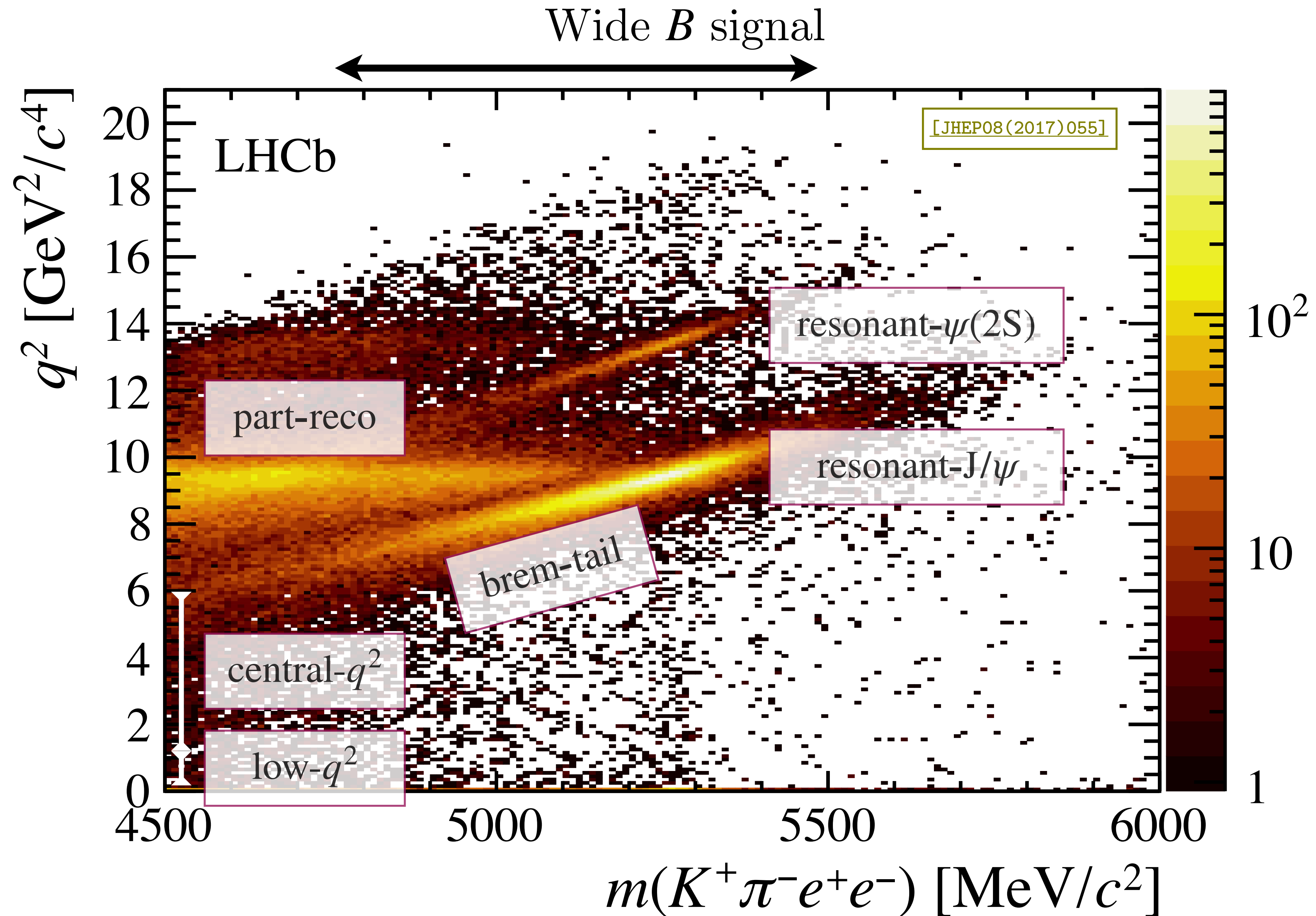
- ▶ more background,
- ▶ more sensitive to peaking structures
- ▶ lineshapes are brem-dependent



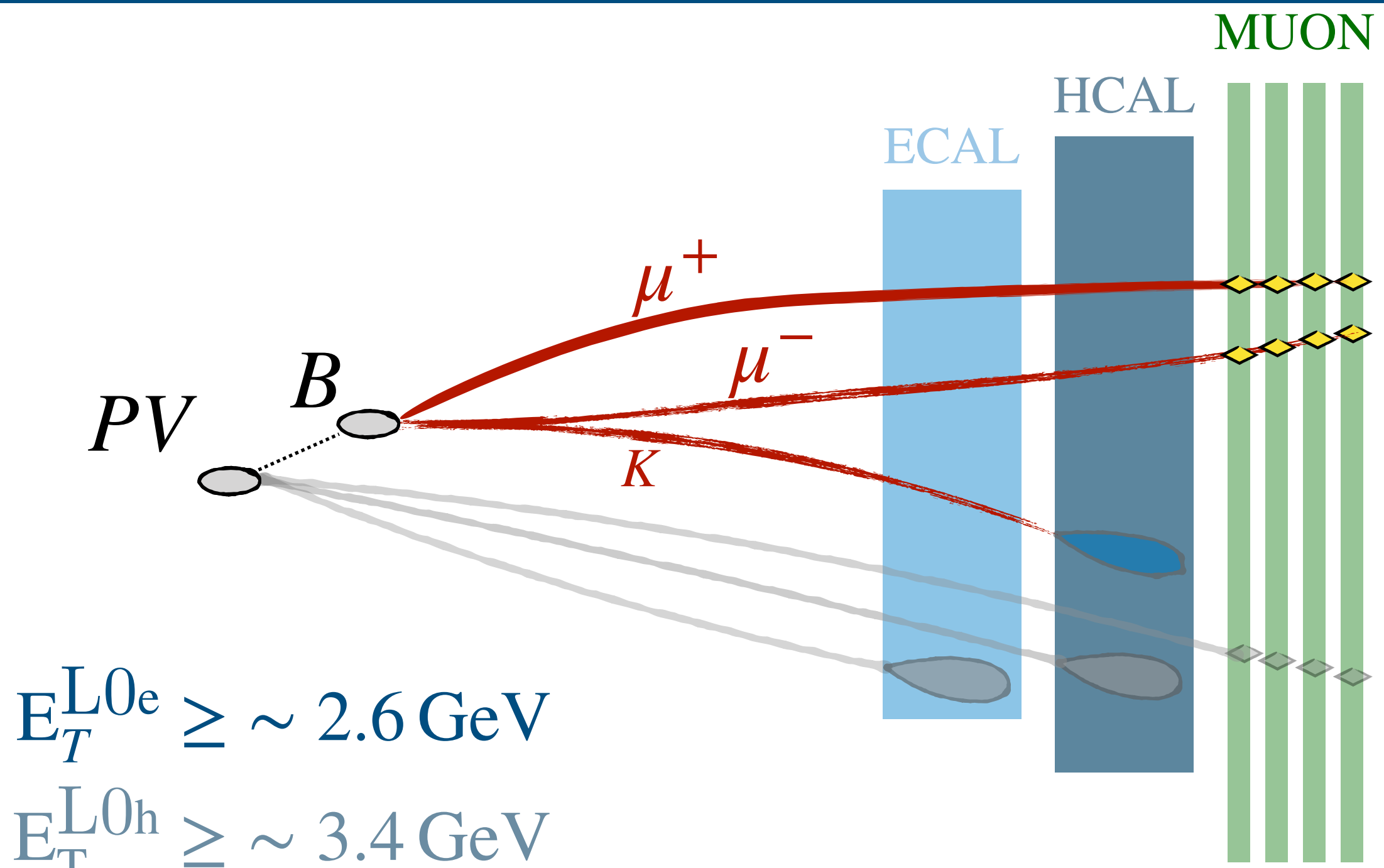
## Net effect for LFU tests: muon modes



## Net effect for LFU tests: electron modes



# Hardware trigger: major effect on $\epsilon$ differences on $\mu/e$



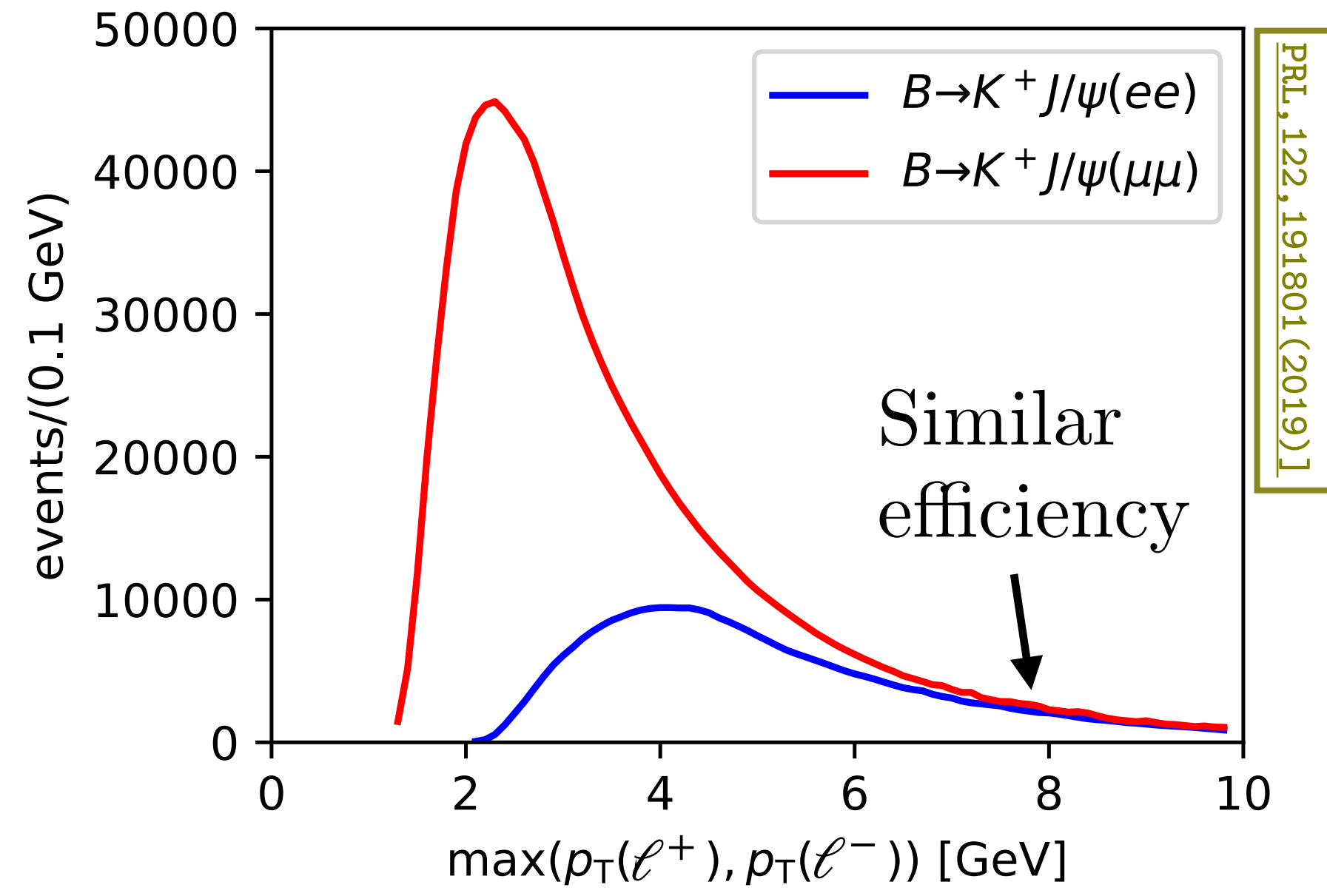
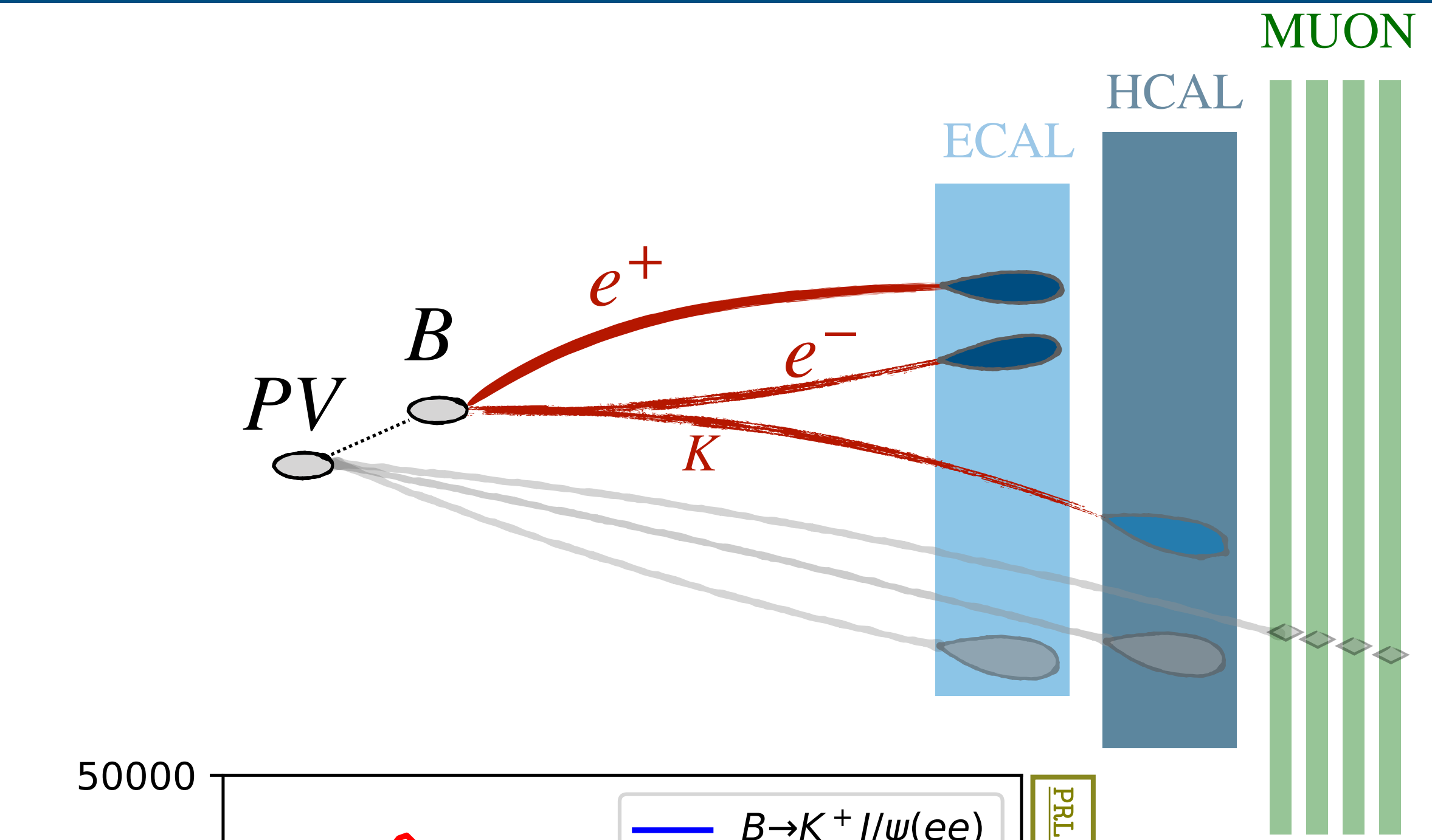
$$E_T^{L0e} \geq \sim 2.6 \text{ GeV}$$

$$E_T^{L0h} \geq \sim 3.4 \text{ GeV}$$

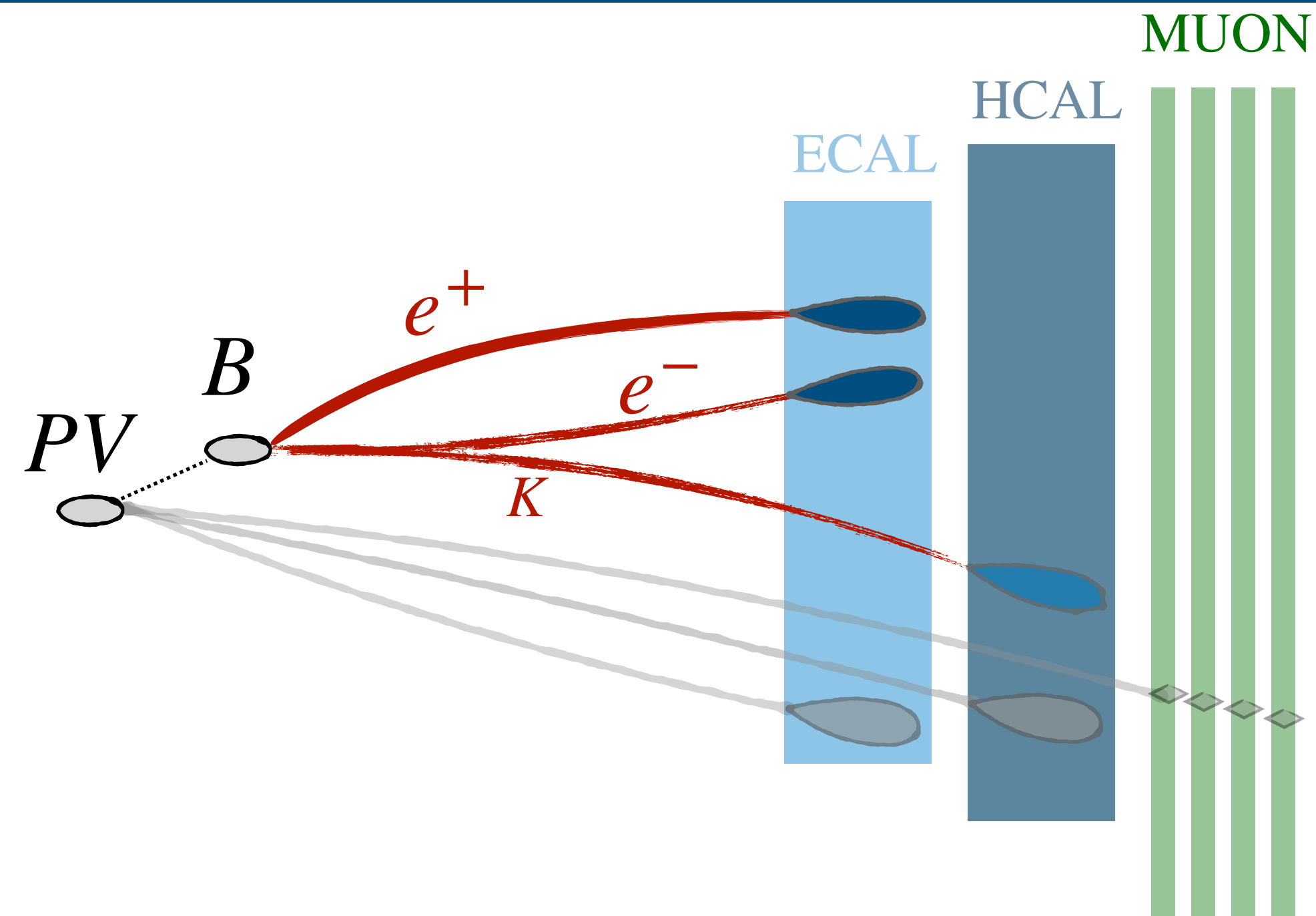
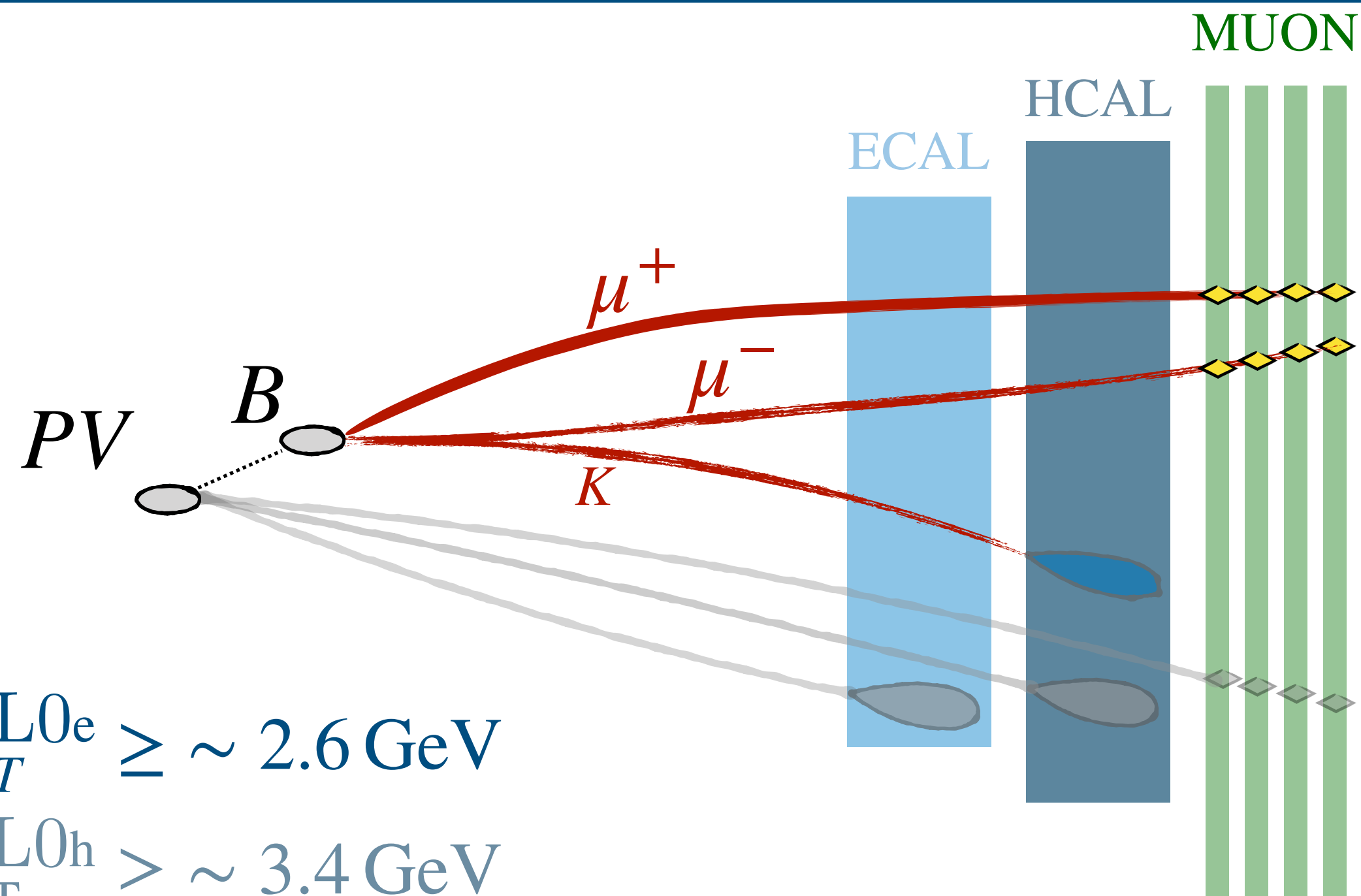
$$p_T^{L0\mu} \geq \sim 1.4 \text{ GeV}$$

Selection effect  
from L0e vs L0 $\mu$

$$\sim \frac{1}{3}$$



# Selection: hardware trigger choice in $R_{K,K^*}$



$$E_T^{L0e} \geq \sim 2.6 \text{ GeV}$$

$$E_T^{L0h} \geq \sim 3.4 \text{ GeV}$$

$$p_T^{L0\mu} \geq \sim 1.4 \text{ GeV}$$

Trigger-Independent-Signal (TIS)

$$- \frac{\epsilon(L0\mu, h, e)}{\epsilon(L0\mu, h, e)} \text{ from underlying event}$$

Trigger-On-Signal (TOS)

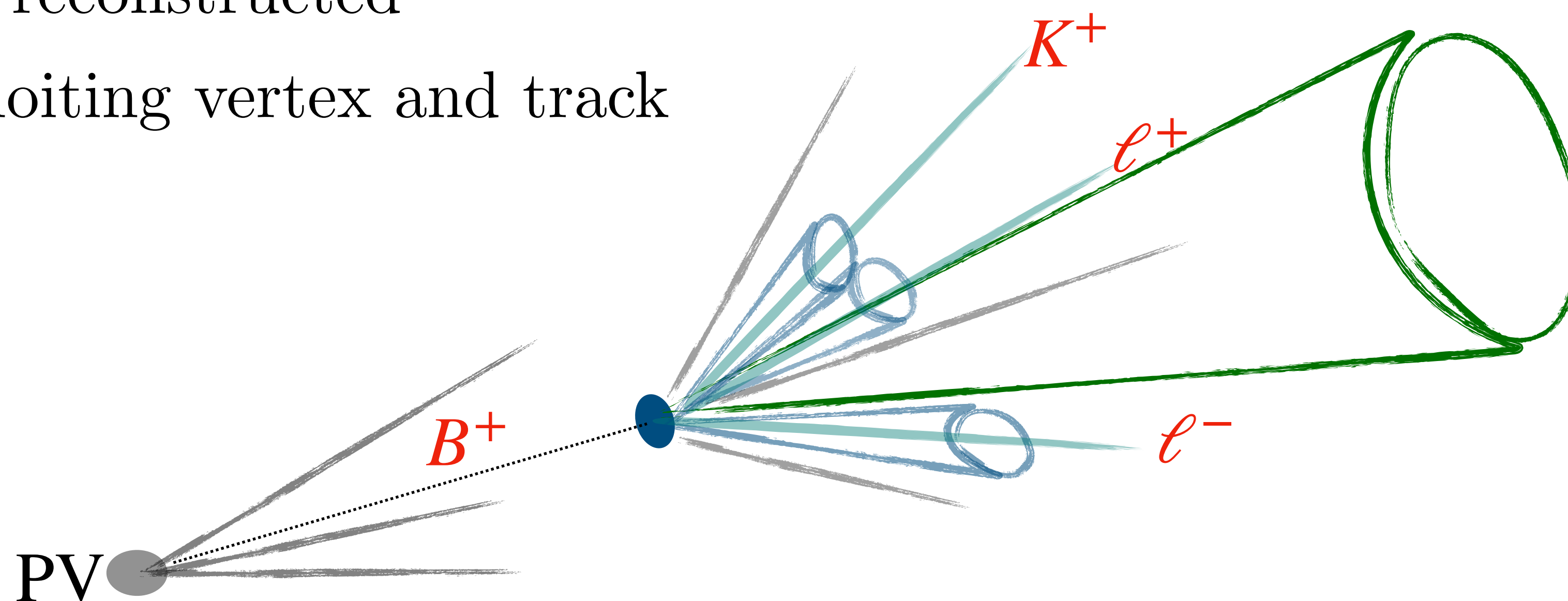
$$- \frac{\epsilon(L0\mu)}{\epsilon(L0e)} \text{ from tracks in B candidate}$$

$$\rightarrow \text{R-ratio from } \left\langle \frac{\text{TIS}}{\text{TIS}}, \frac{\text{L0}\mu}{\text{L0e}} \right\rangle$$

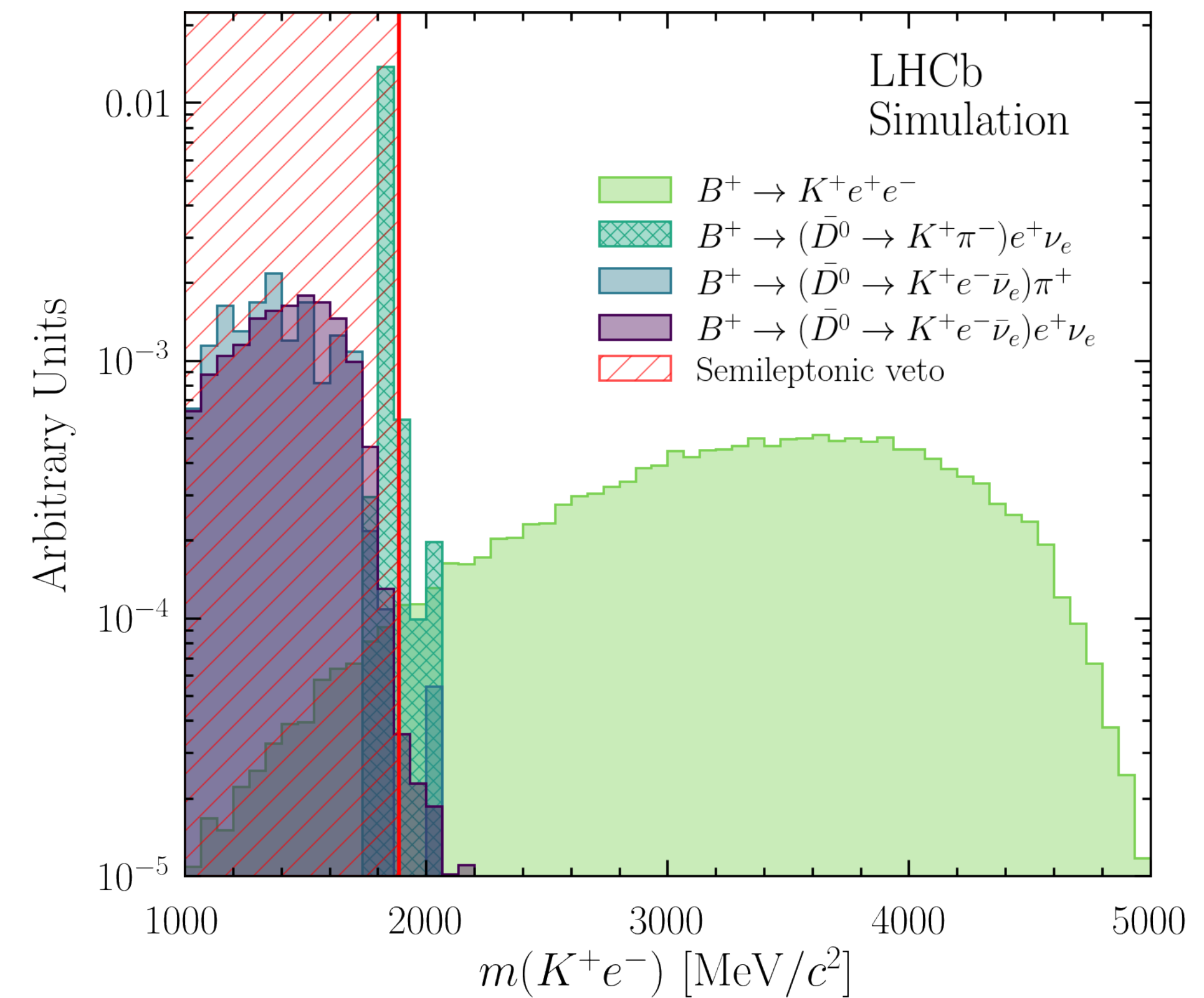
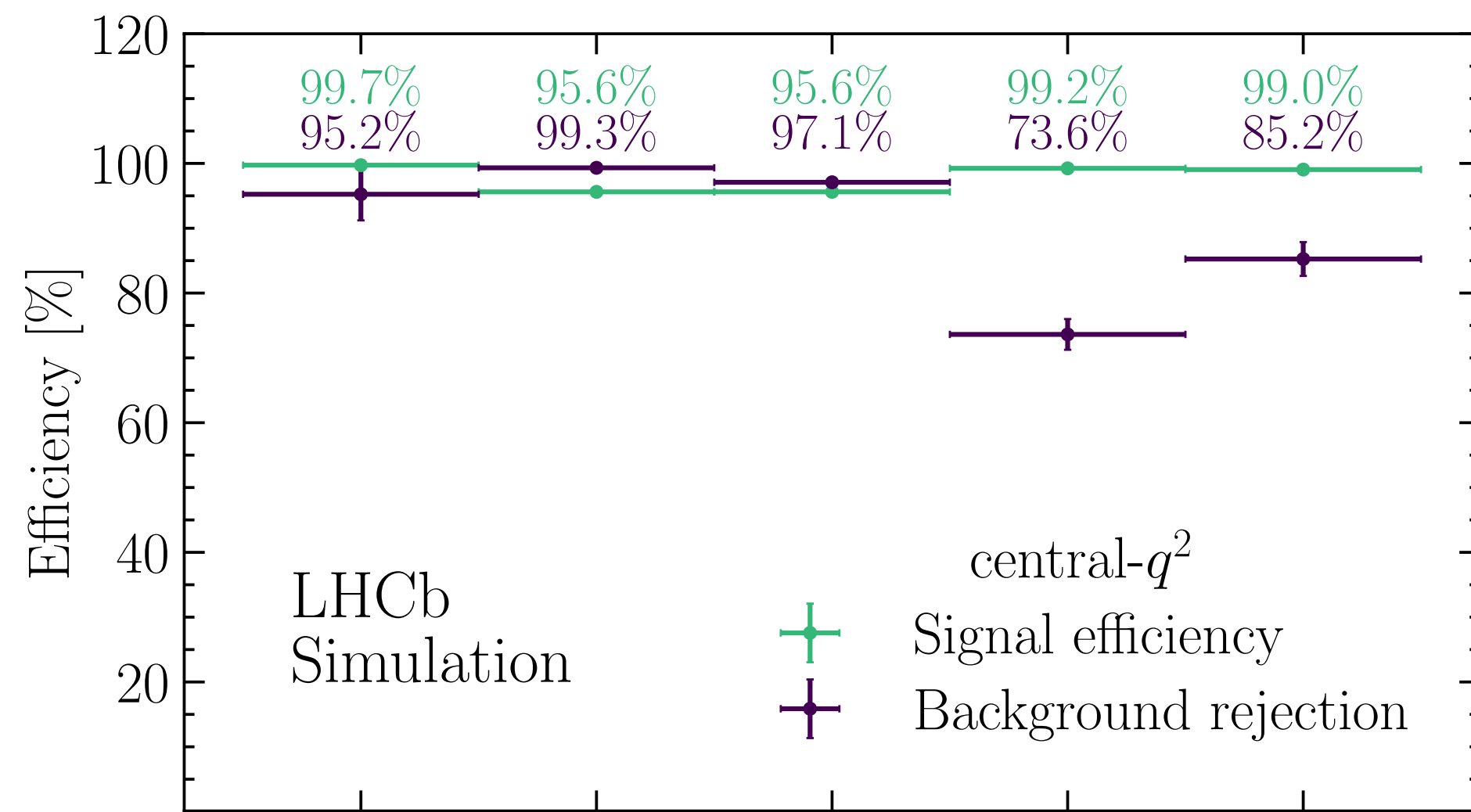
- ◆ Less  $\epsilon(e/\mu)$  differences to correct in TIS
- ◆ Previous  $R_{K,K^*}$  only L0 $\mu$  in muon mode

## Selection: multivariate classifiers

1.  $B^{(+,0)} \rightarrow K^{(+,*0)}\mu^+\mu^-$  and  $B^{(+,0)} \rightarrow K^{(+,*0)}e^+e^-$  : suppress combinatorial with multivariate classifier using kinematic and vertex quality information.
2.  $B^{(+,0)} \rightarrow K^{(+,*0)}e^+e^-$ : dedicated classifier to fight partially reconstructed background, exploiting vertex and track isolation



- ◆ Optimisation of significance for each mode/ $q^2$  regions and data taking period

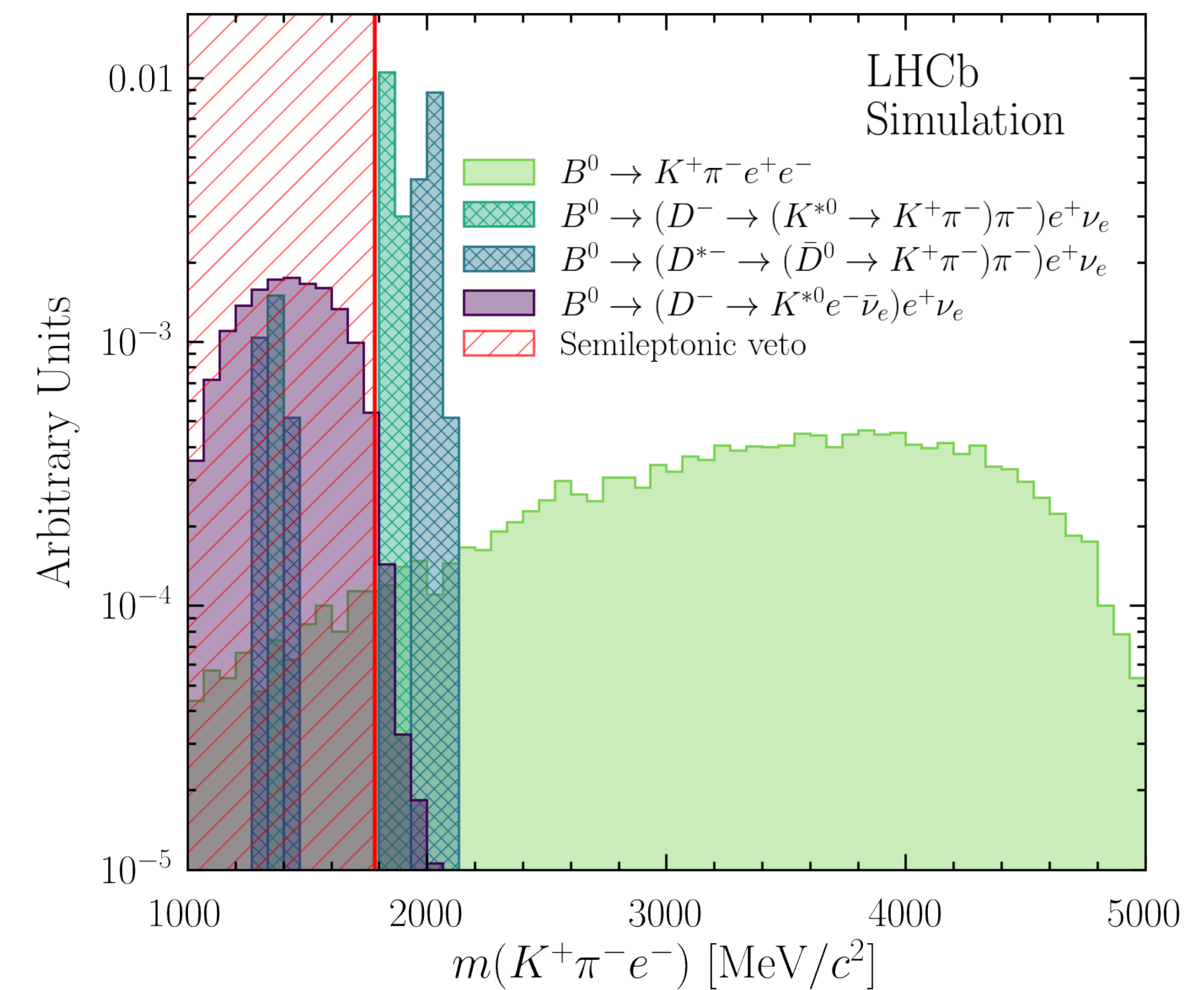
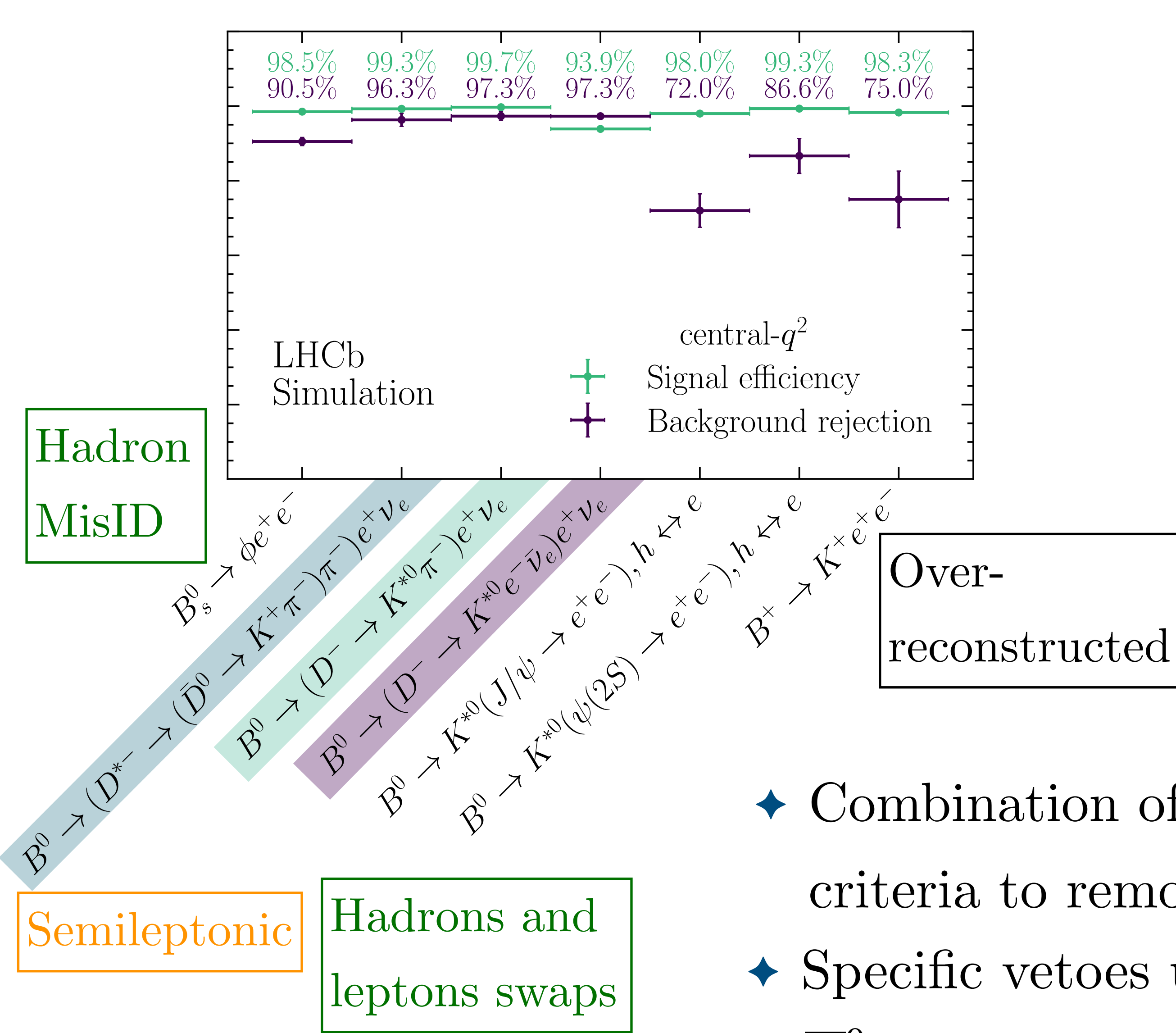
Selection: veto specific backgrounds  $B^+$  mode

Semileptonic

Hadrons and  
leptons swaps

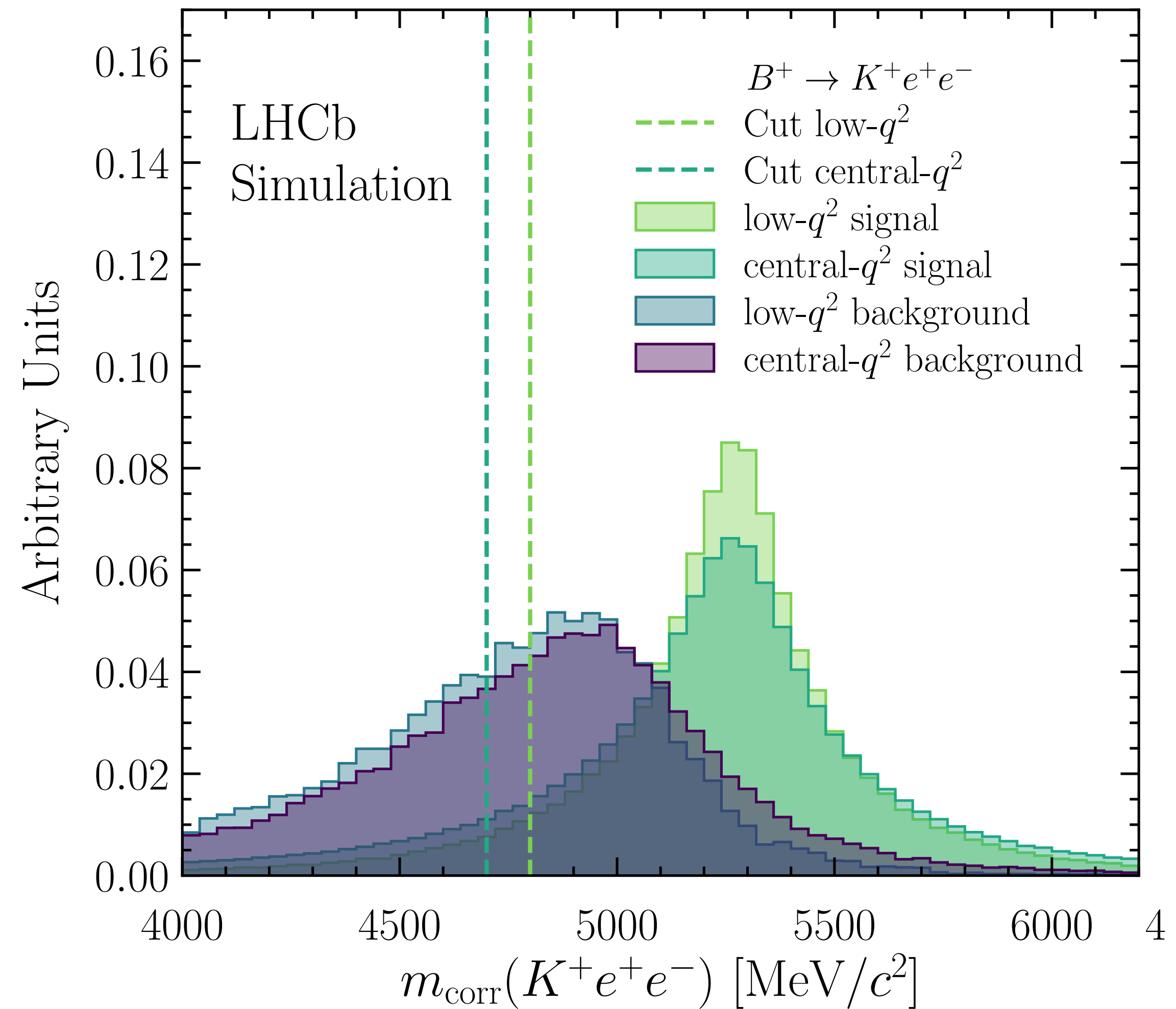
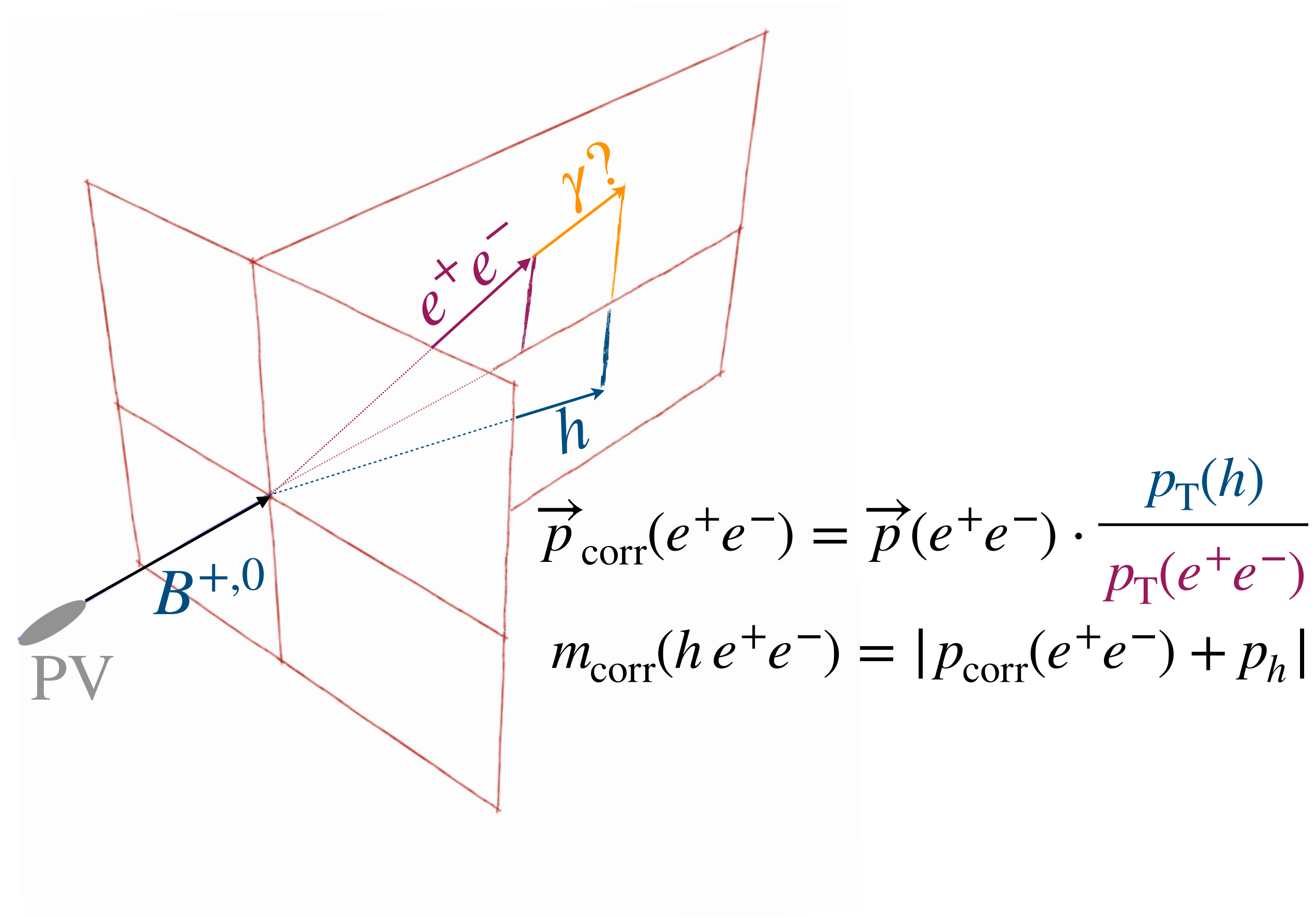
- ◆ Combination of efficient kinematic and particle identification criteria to remove background
- ◆ Specific vetoes under electron mis-ID hypothesis on  $\bar{D}^0 \rightarrow K^+ \pi^- \rightarrow e$

# Selection: veto specific backgrounds $B^0$ mode



- ◆ Combination of efficient kinematic and particle identification criteria to remove background
- ◆ Specific vetoes under electron mis-ID hypothesis on  $\bar{D}^0 \rightarrow K^+ \pi^- e^-$  and  $D^- \rightarrow K^+ \pi^- \pi^- e^-$

## Selection: partially reconstructed background



- ◆ Small-correlation with combinatorial shape: modelled according to same-sign data  $K^{+,*0}\ell^\pm\ell^\pm$
- ◆ After  $m_{\text{corr}}$  selection, **no**  $\geq 2$  missing hadron background expected in fit range.



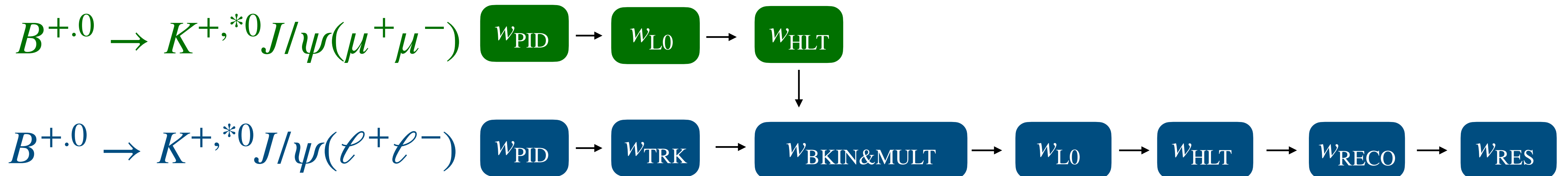
**Corrections for:**

- ◆ Particle Identification (PID)
- ◆ Tracking (TRK)
- ◆ B kinematics and event multiplicity (BKIN&MULT)
- ◆ Hardware trigger (L0)
- ◆ High level software trigger (HLT)
- ◆ B decay vertex reconstruction (RECO)
- ◆  $q^2$  resolution and bin-migration (RES)

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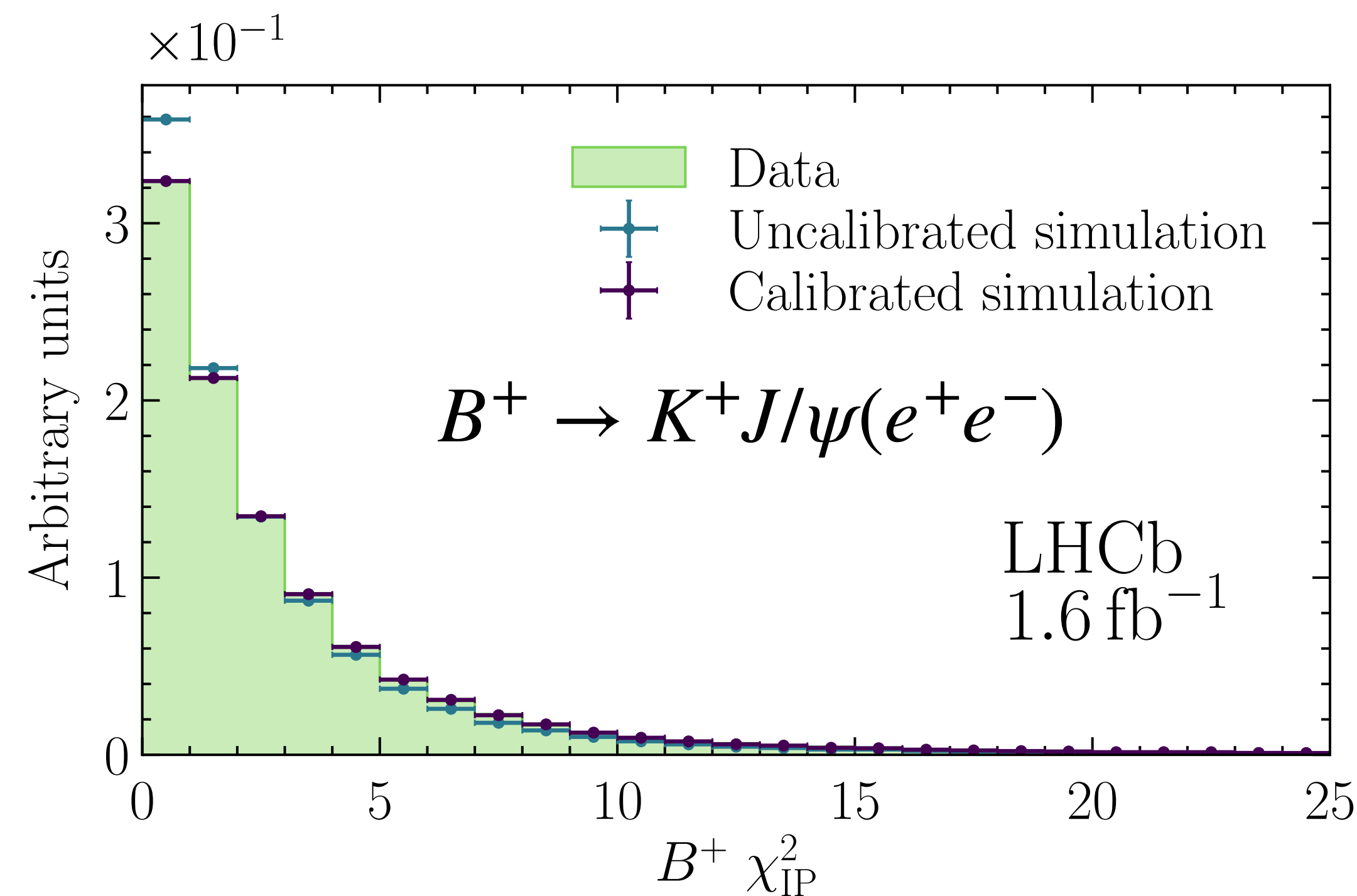
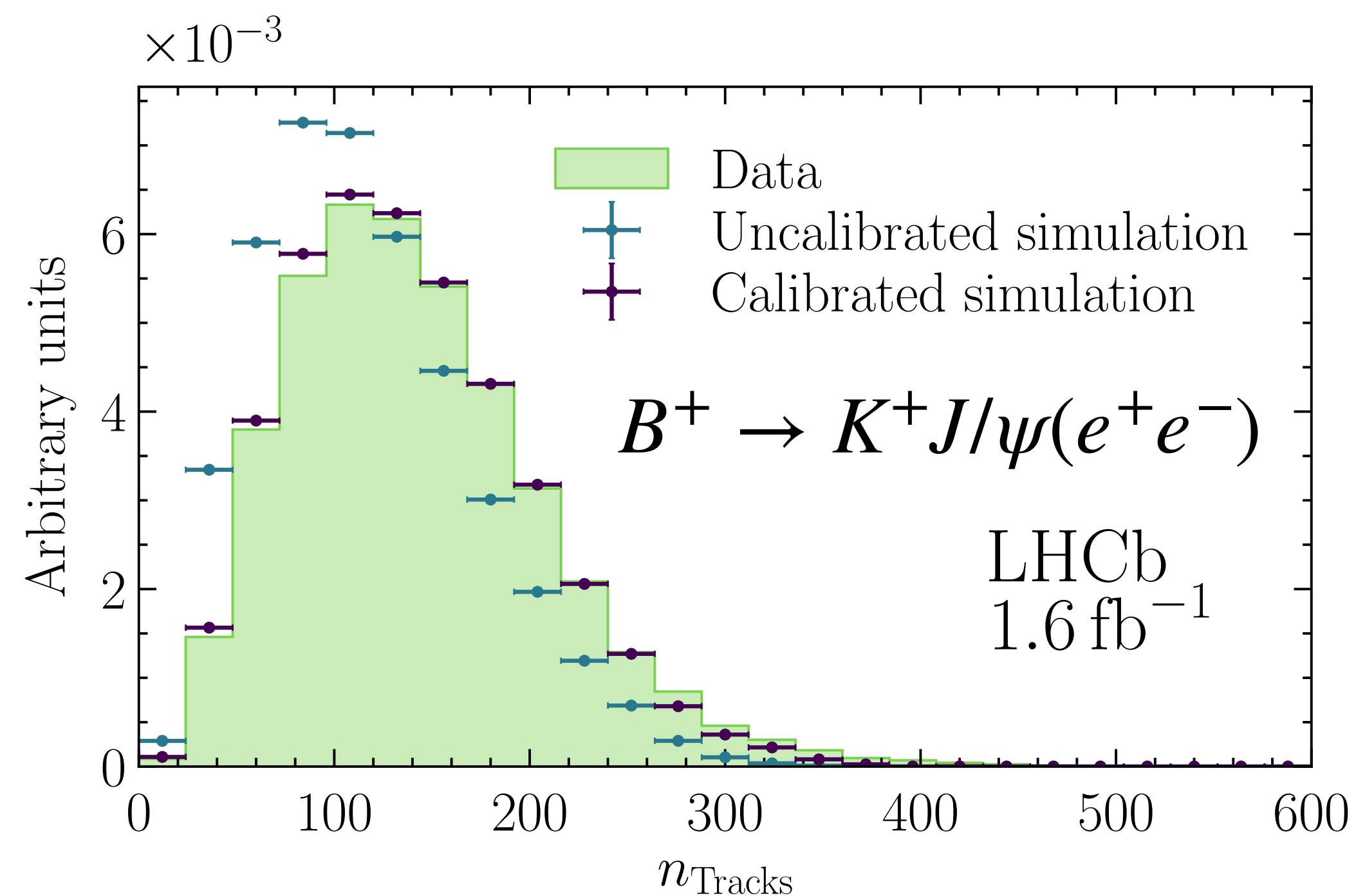
Multi-step correction to simulation where  $w_i = \frac{\varepsilon_{\text{Data}}}{\varepsilon_{\text{Simulation}}}$ , where  $\varepsilon_{\text{Simulation}}$  uses  $w_{i-1}$



- ◆ Two weight chains evaluated: one using  $B^+ \rightarrow K^+ J/\psi(\ell \ell)$  and one using  $B^0 \rightarrow K^{*0} J/\psi(\ell \ell)$
- ◆ Results evaluated with both chains & shown to be compatible for the first time
- ◆ Nominal approach is to use the  $B^+$  chain for  $B^0$  decay channels and vice-versa

## Corrections for:

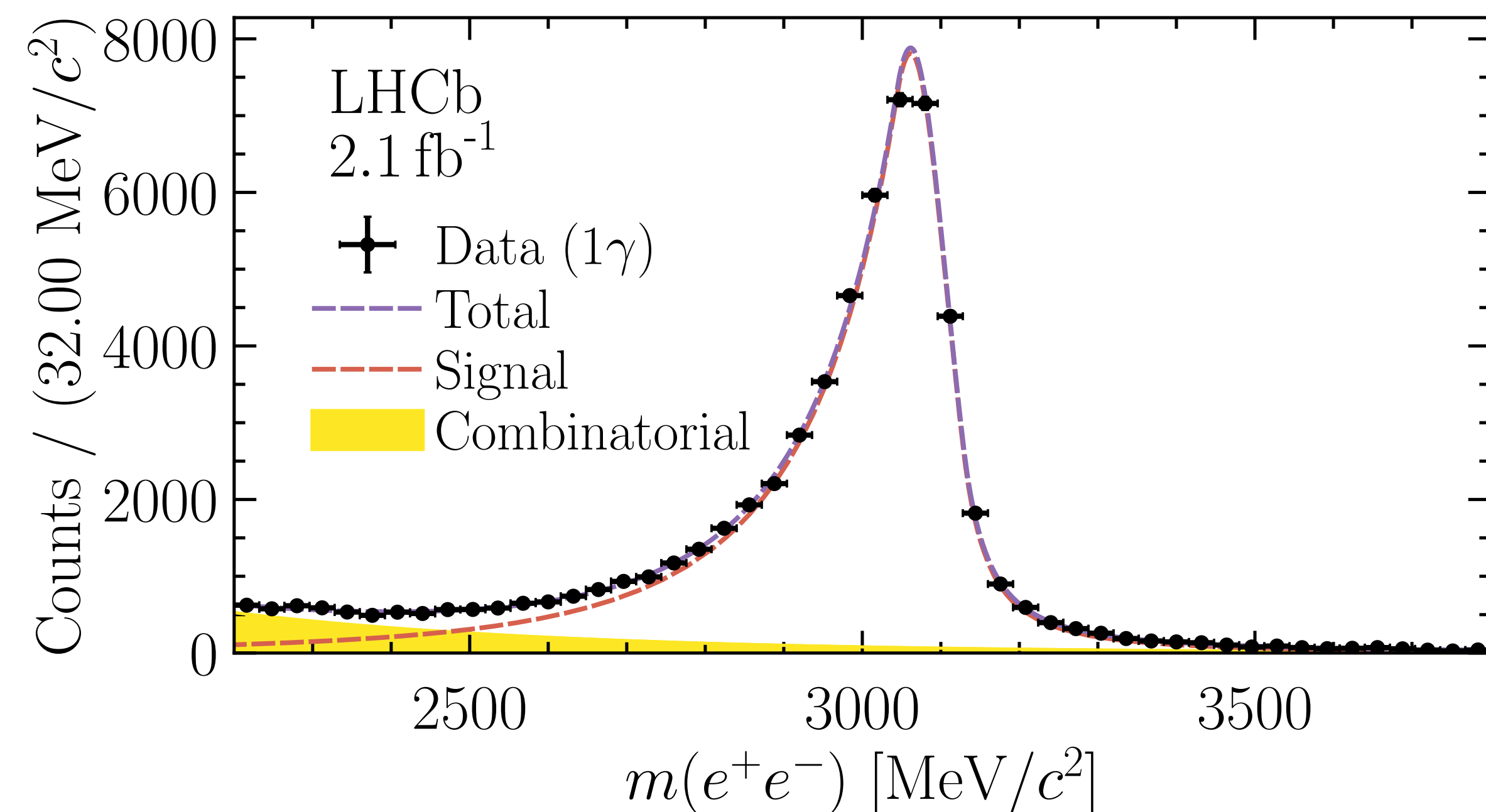
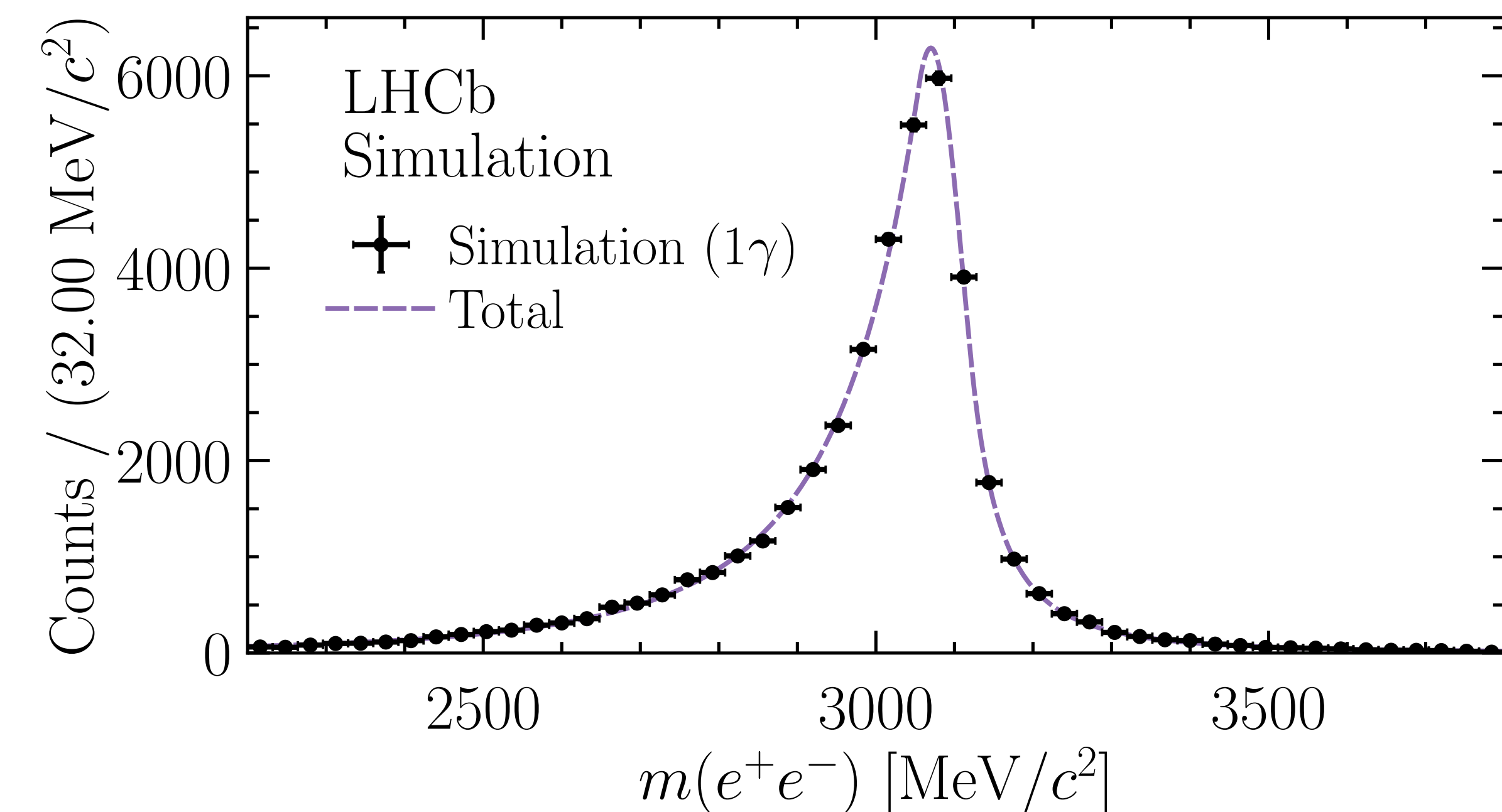
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Excellent data/simulation agreement in each data taking period after all corrections

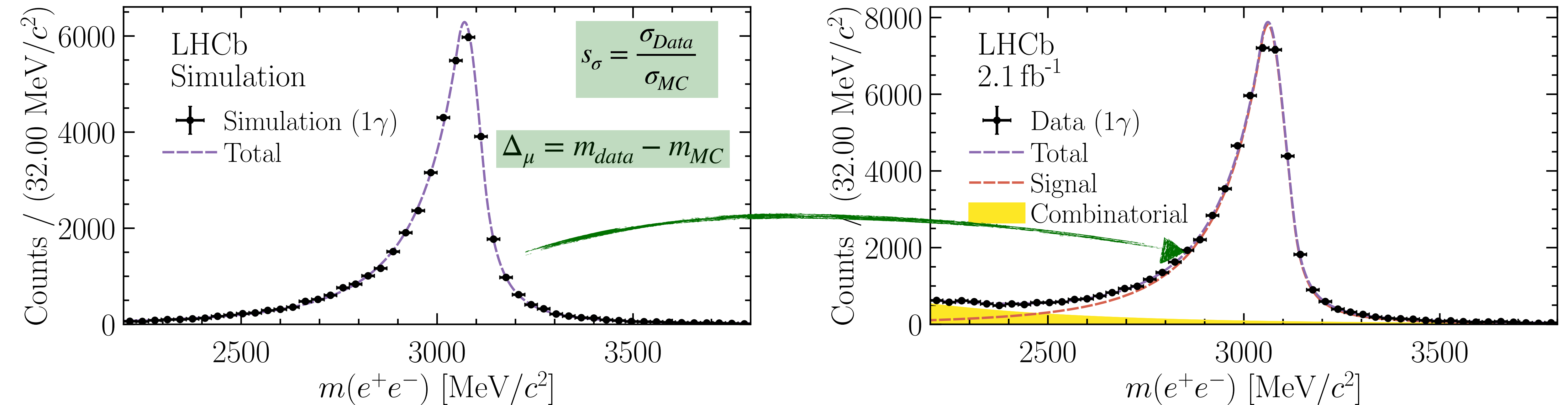
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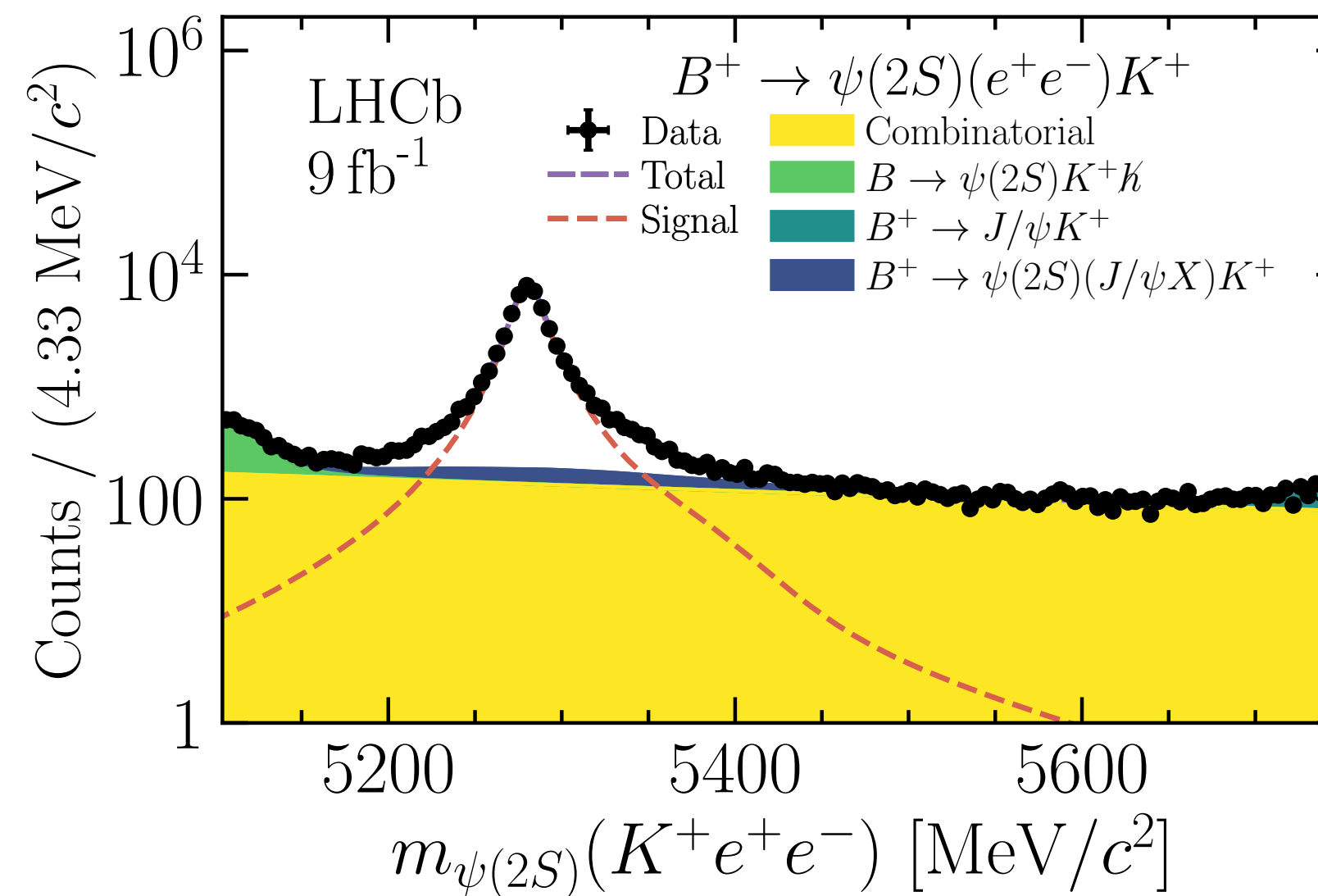
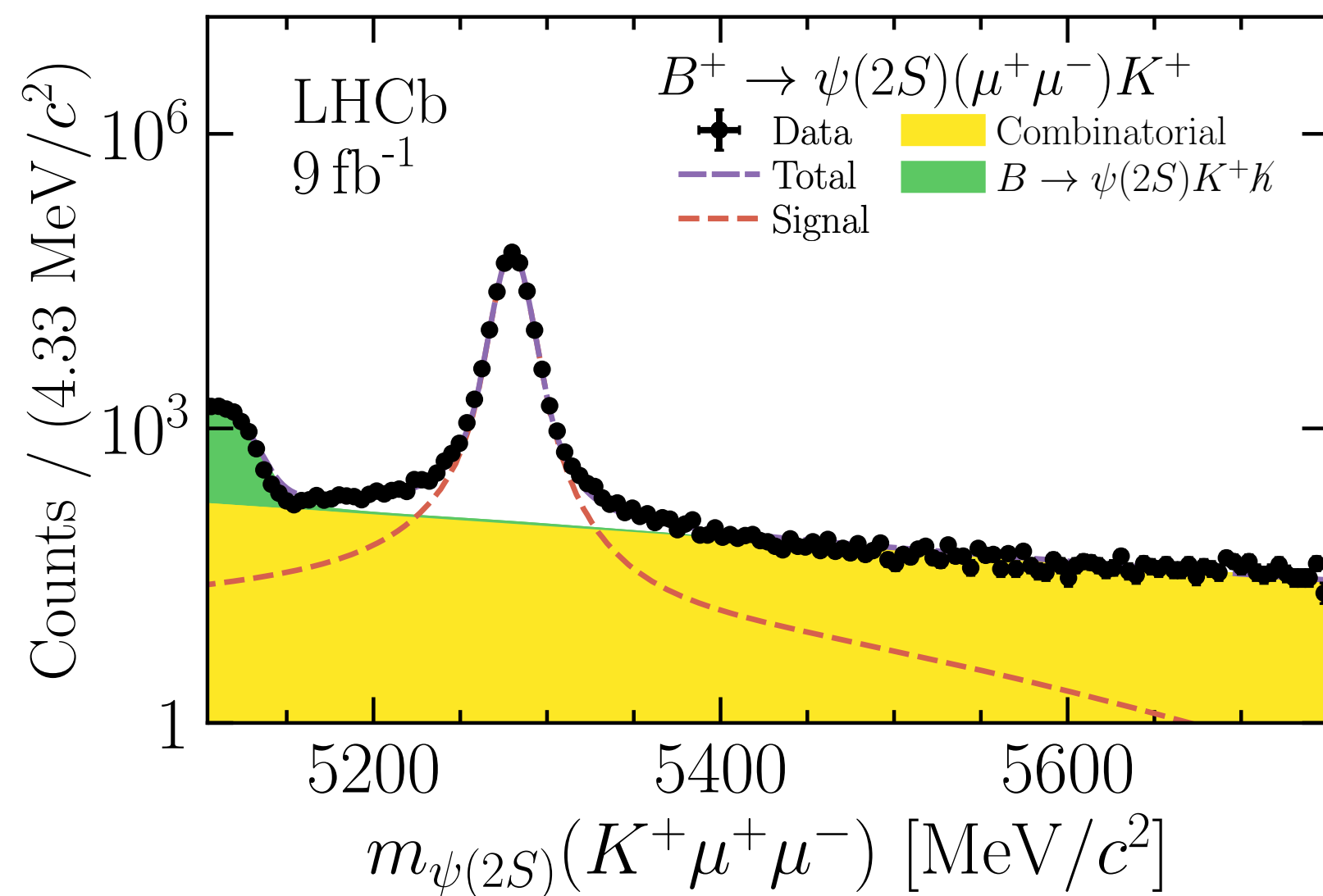
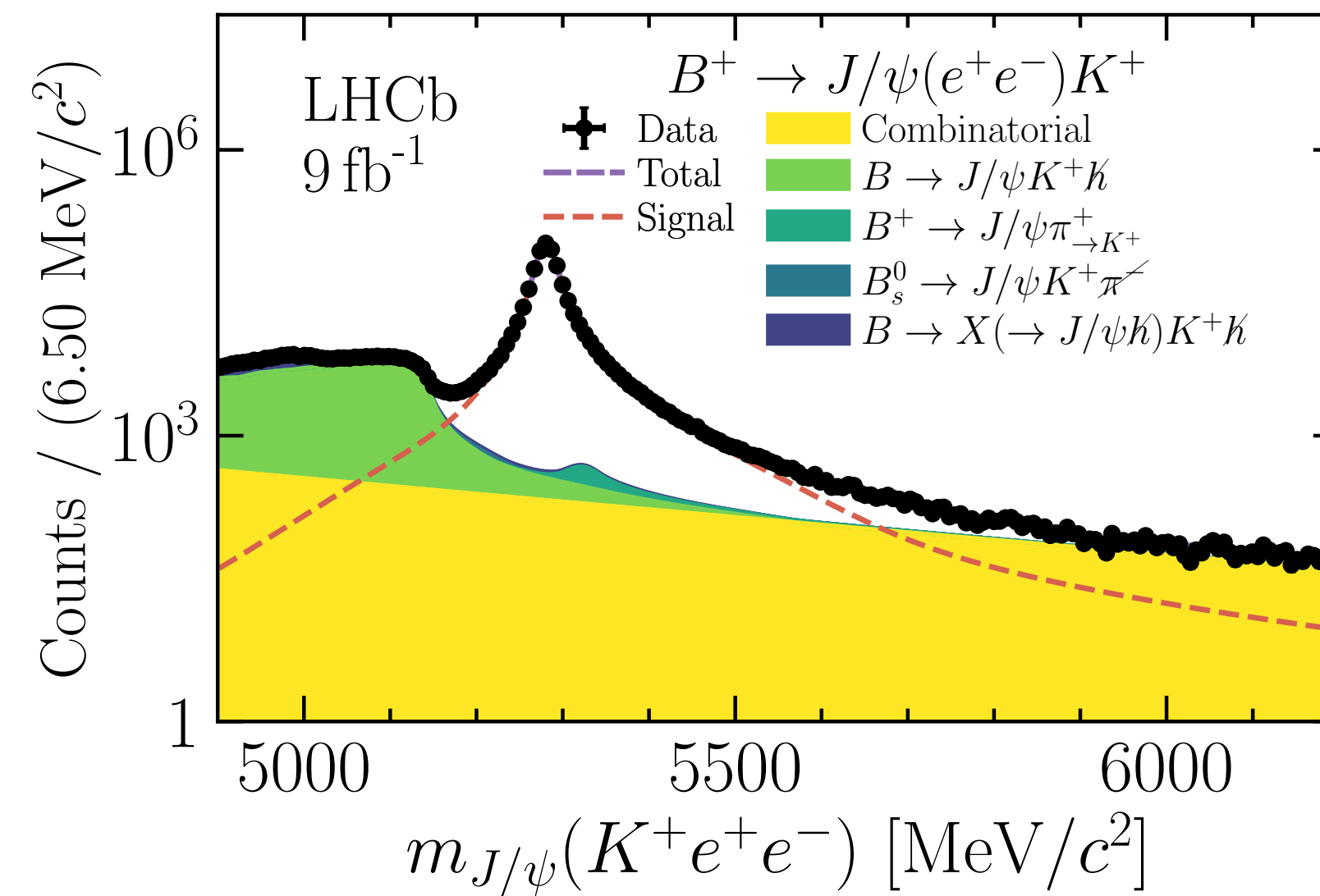
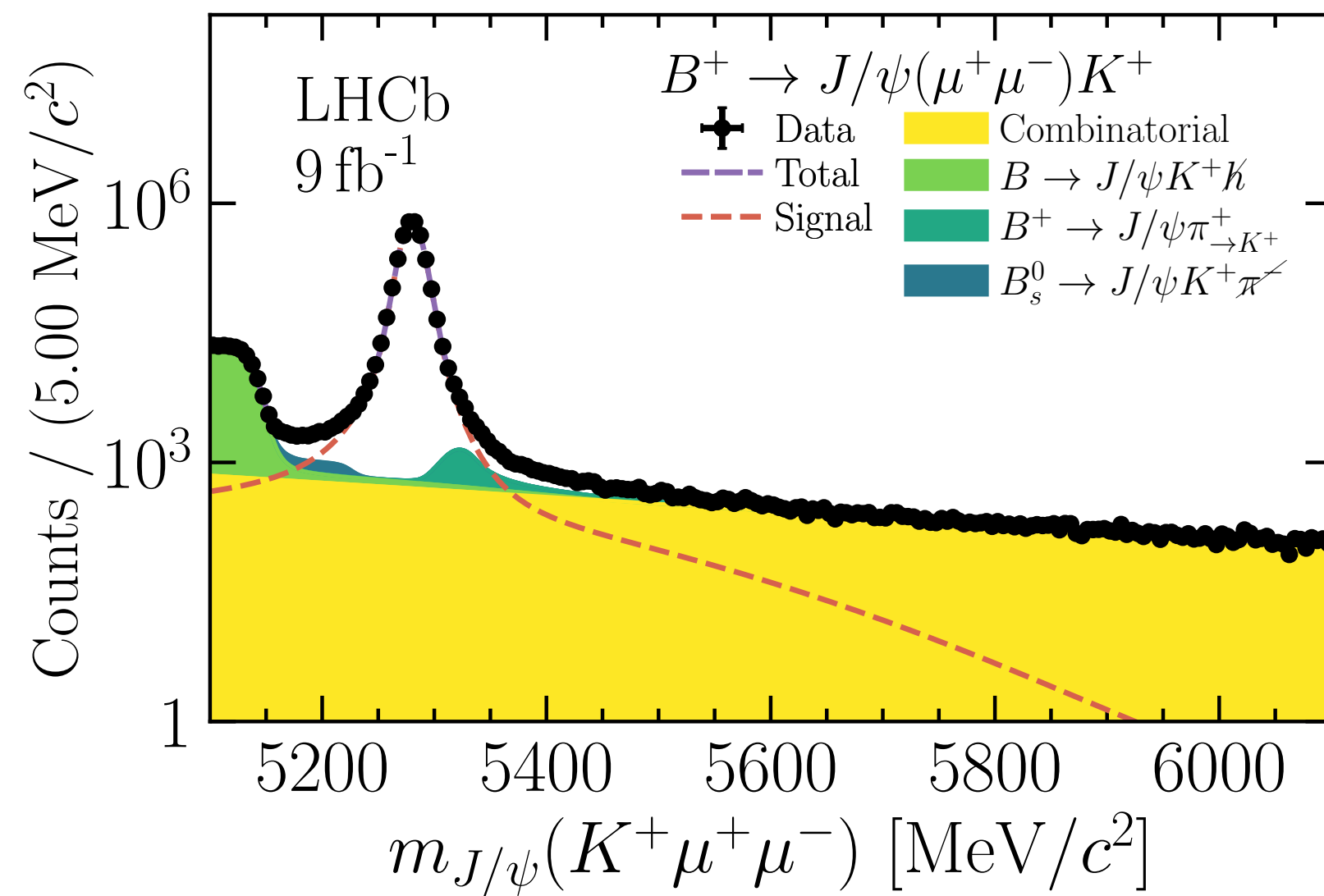


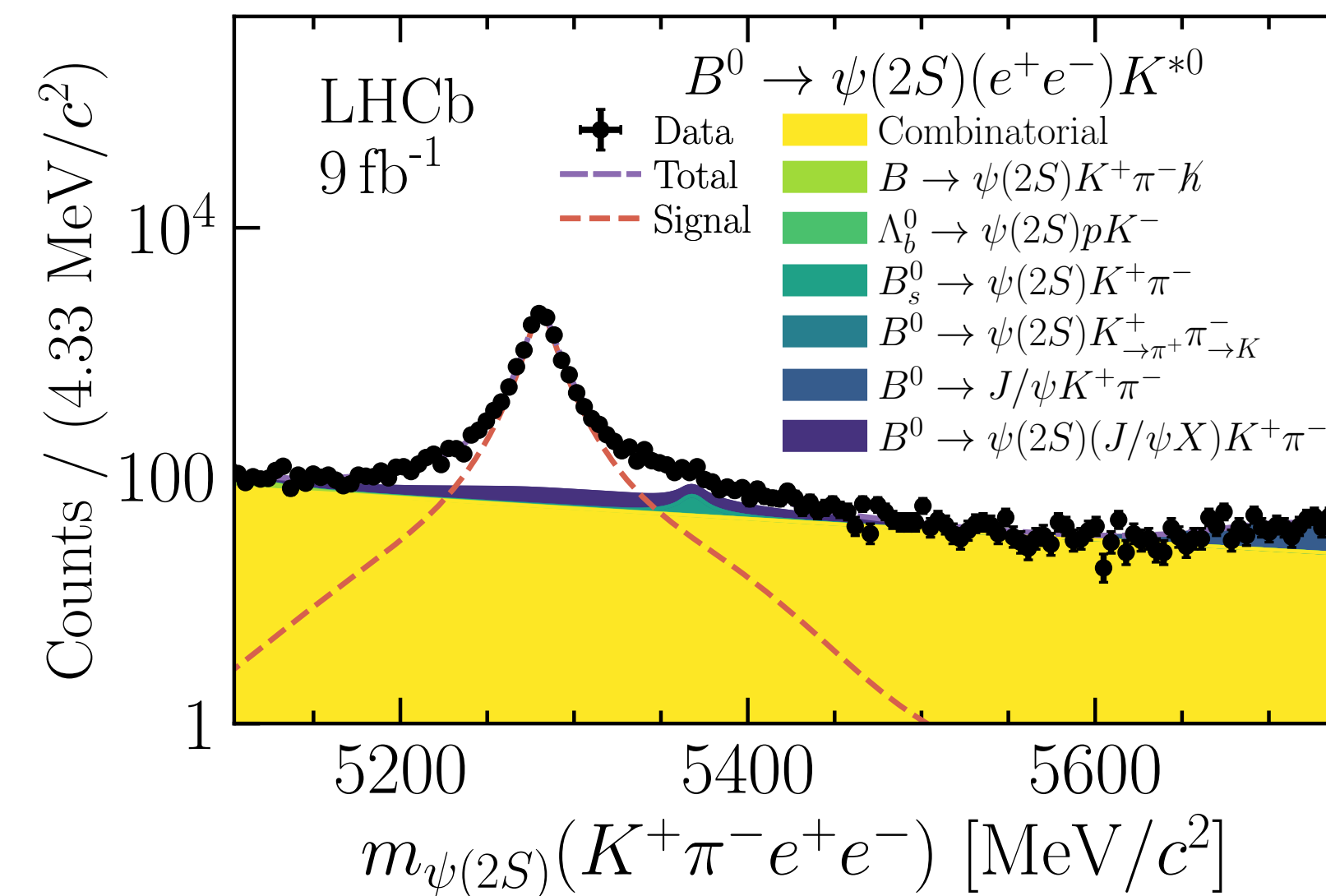
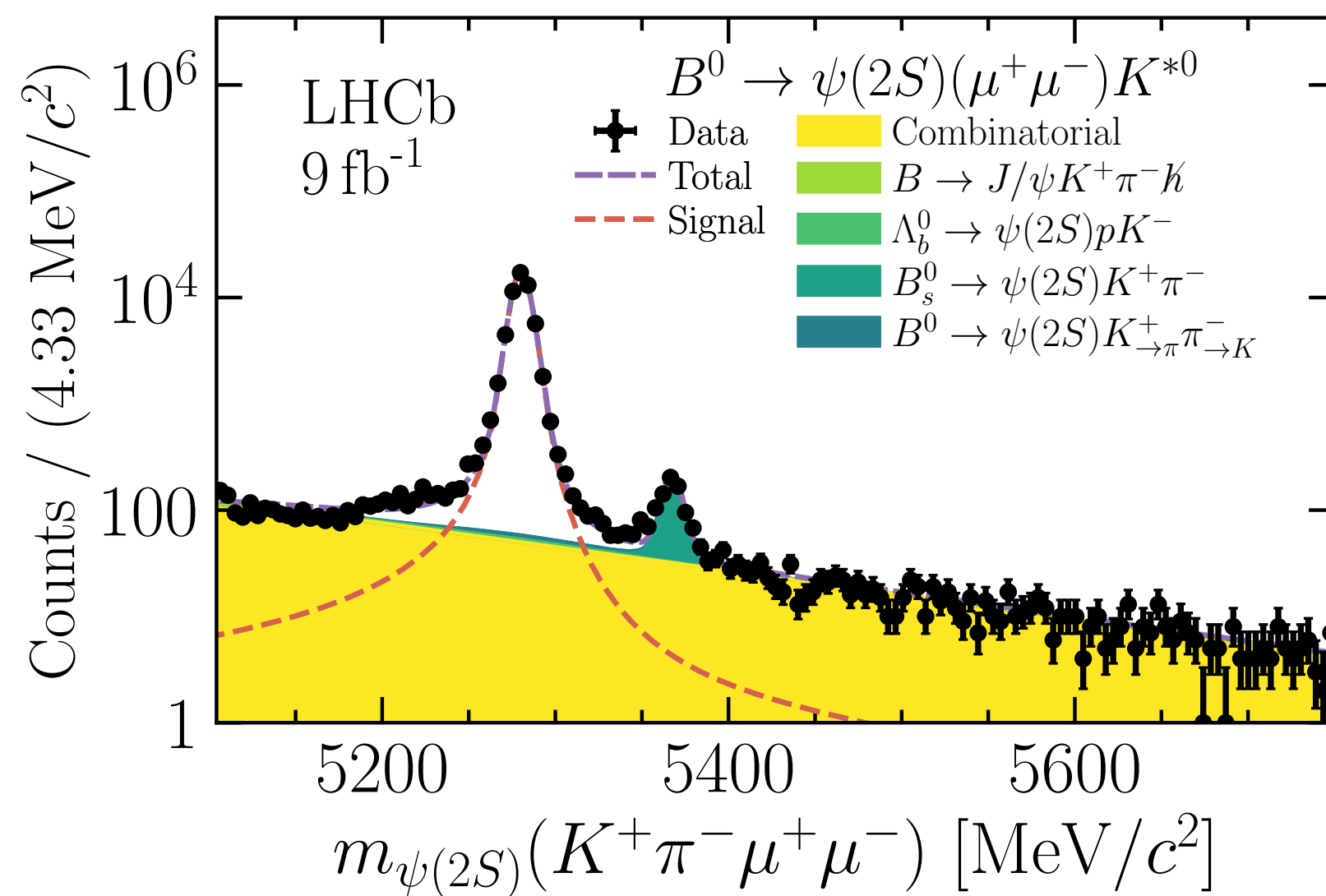
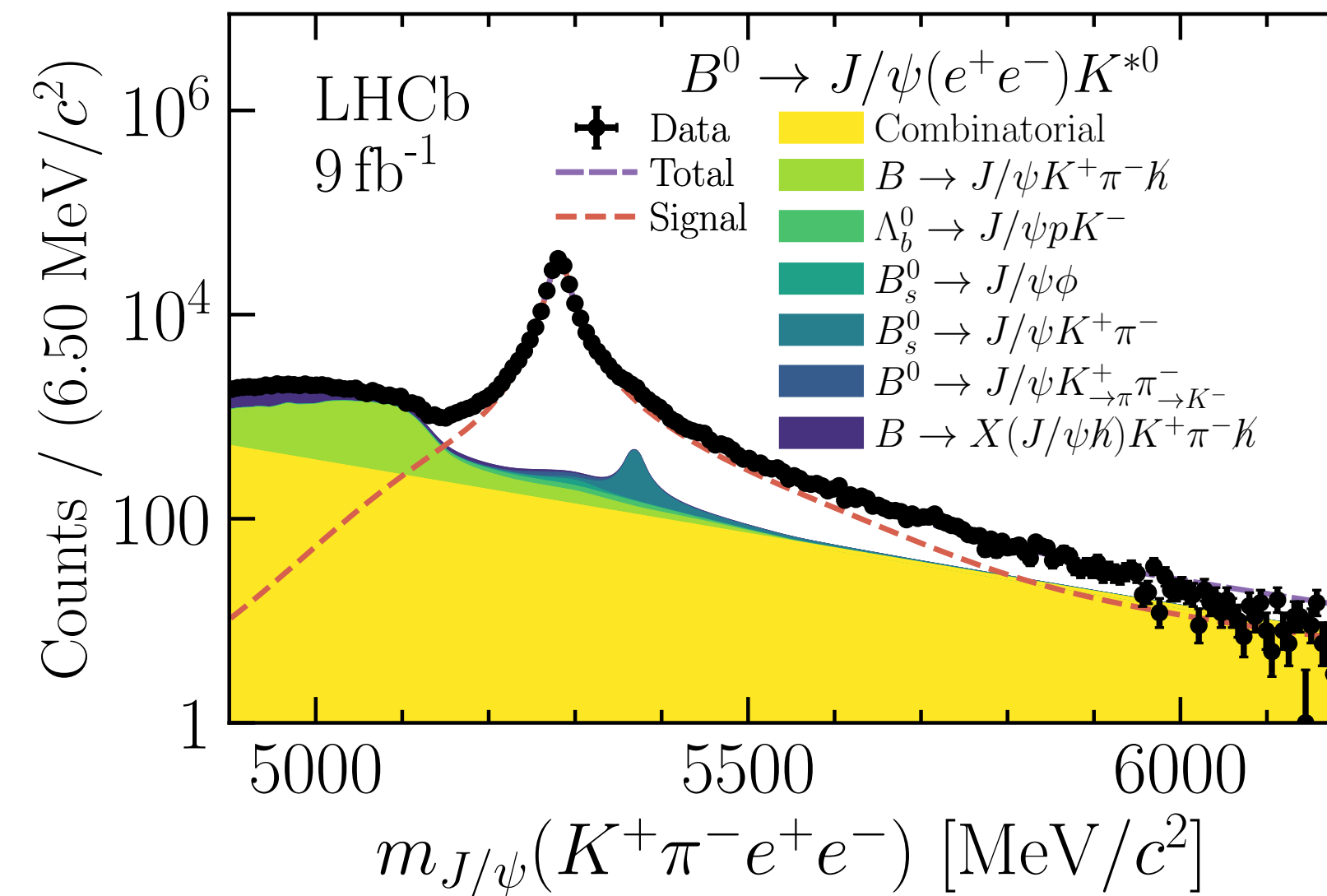
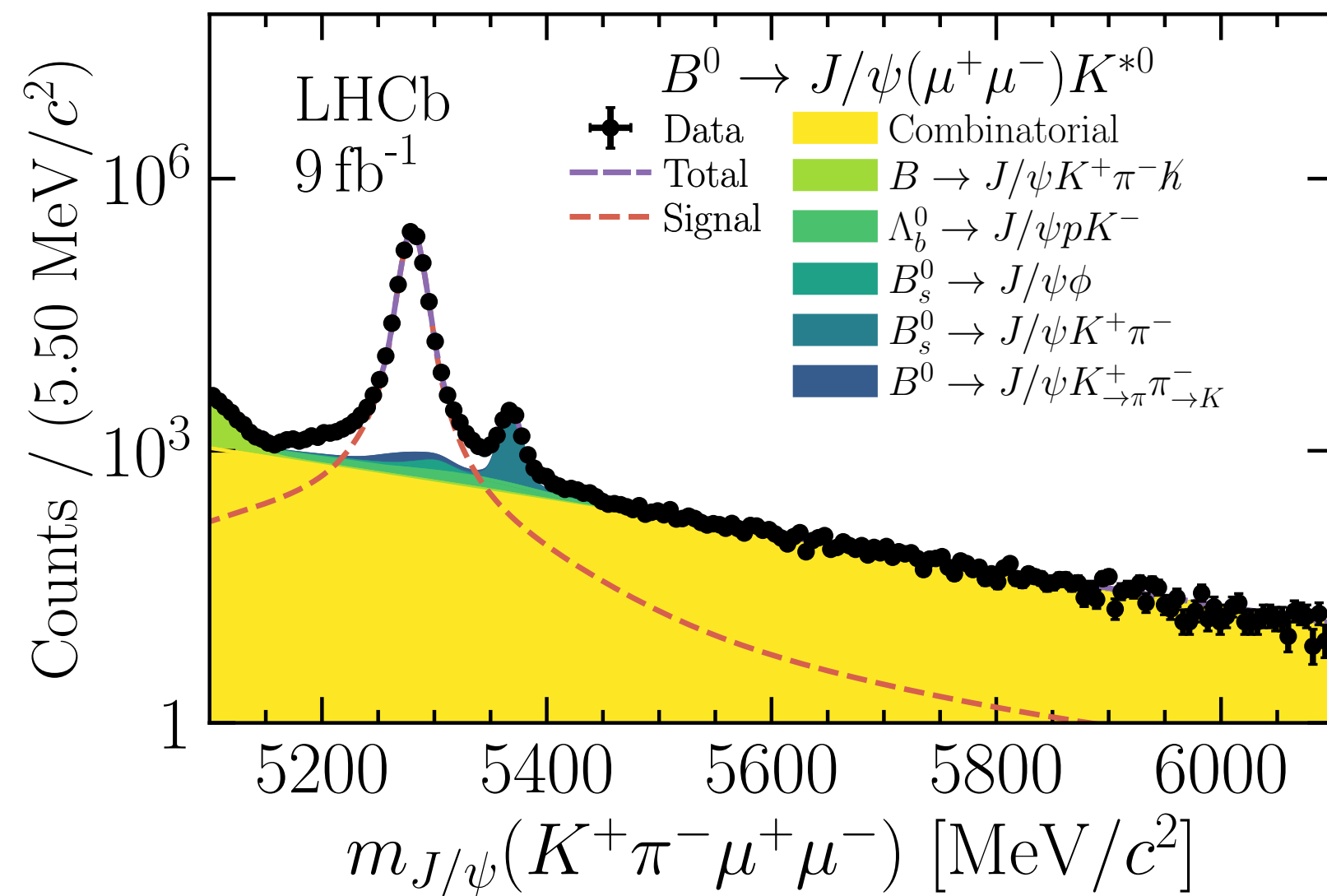
## Corrections for:

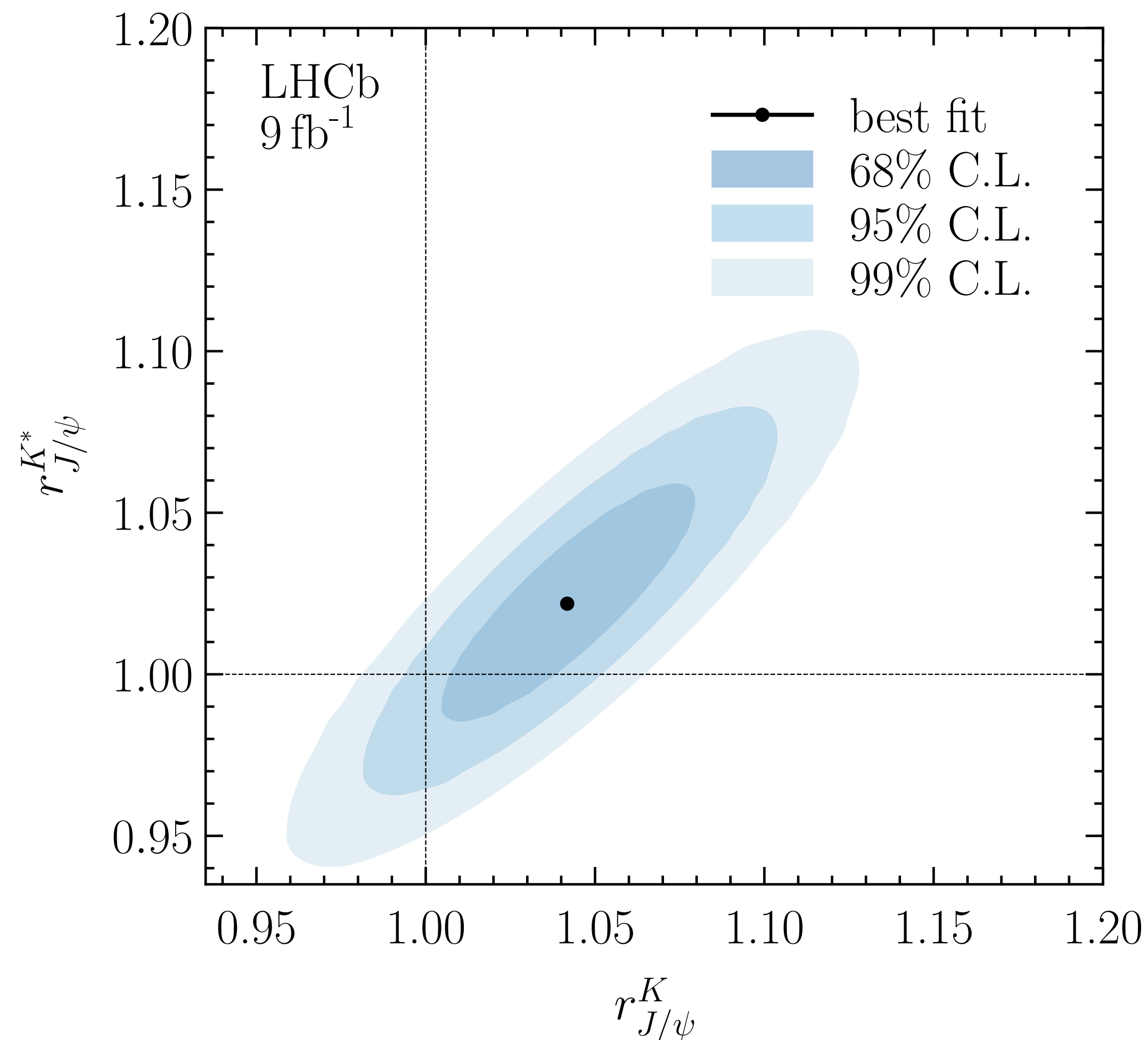
- ◆ Particle Identification (PID)
- ◆ Tracking (TRK)
- ◆ B kinematics and event multiplicity (BKIN&MULT)
- ◆ Hardware trigger (L0)
- ◆ High level software trigger (HLT)
- ◆ B decay vertex reconstruction (RECO)
- ◆  $q^2$  resolution and bin-migration (RES)



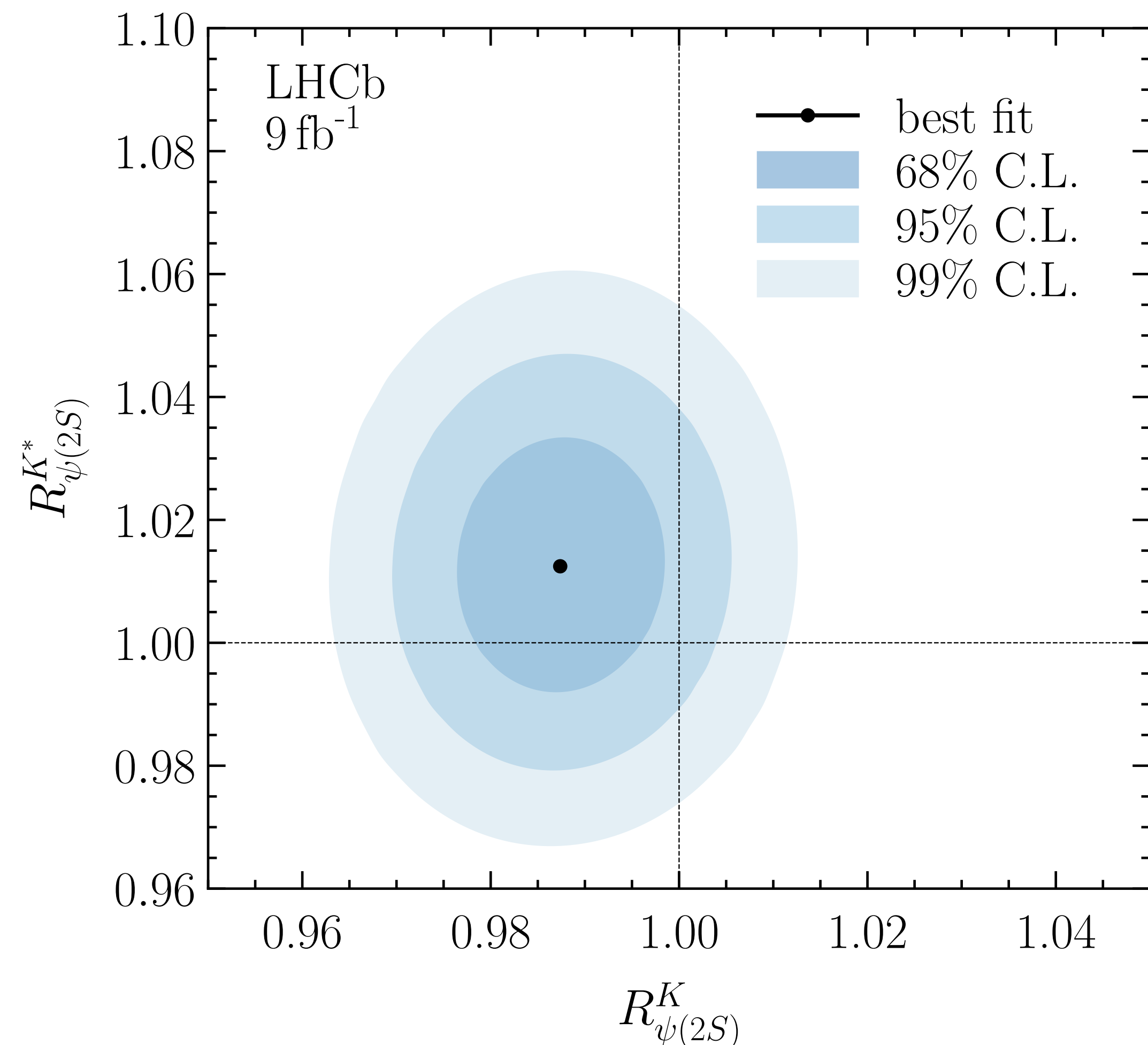
$$m^{\text{smearred}} = m^{\text{true}} + s_\sigma \cdot (m^{\text{reco}} - m^{\text{true}}) + \Delta_\mu + (1 - s_\sigma) \cdot (\mu^{\text{MC}} - M_{J/\psi}^{\text{PDG}}).$$

Cross-check resonant modes: mass fits ( $B^+$ )

Cross-check resonant modes: mass fits ( $B^0$ )

Cross-check resonant modes:  $r_{J/\psi}$  &  $R_{\psi(2S)}$ 

- ◆  $\sigma_{syst}$  from  $J/\psi$  mass fit included
- ◆  $\sigma_{syst}$  from  $\varepsilon$  single ratio included

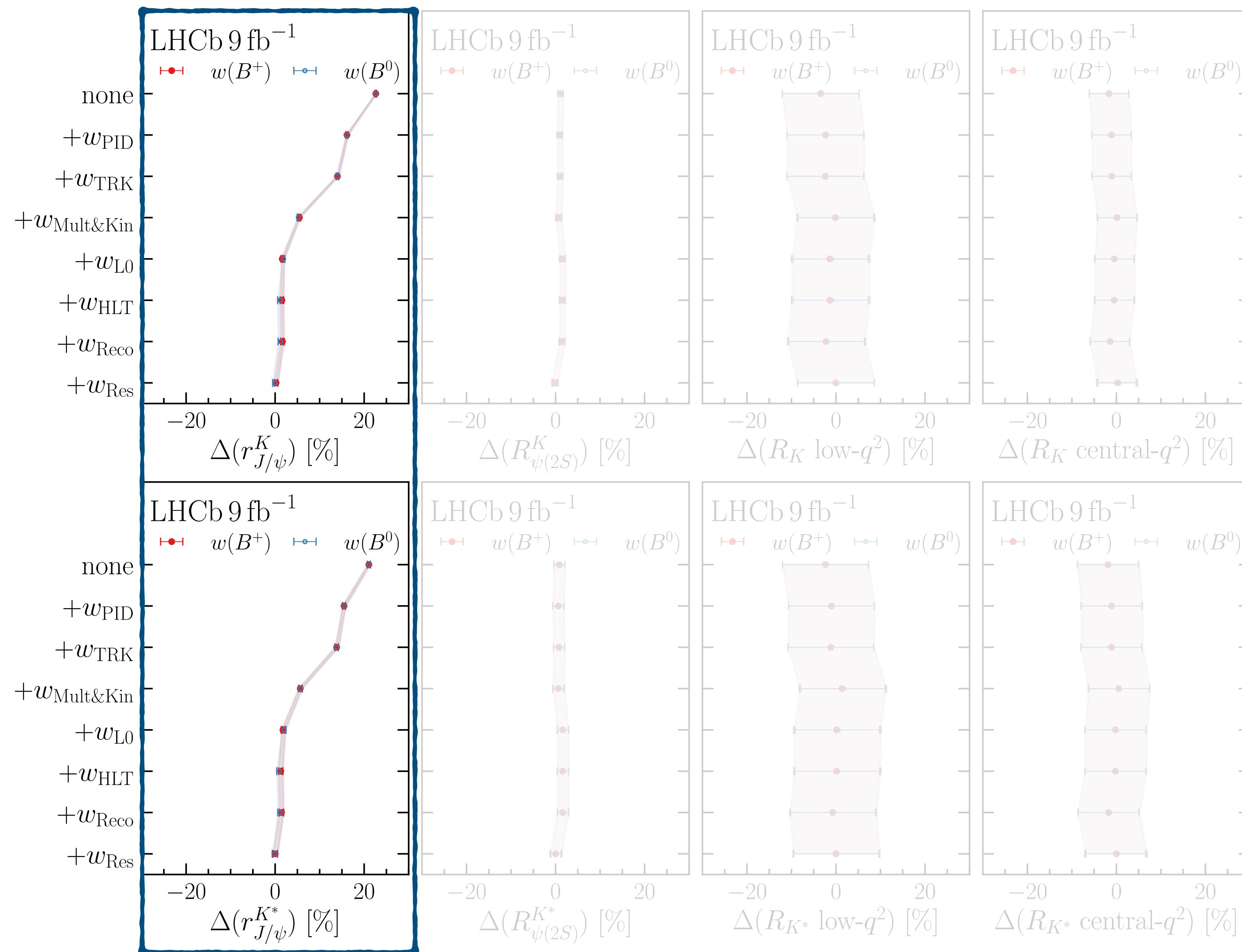


- ◆  $\sigma_{syst}$  from  $\psi(2S)$  mass fit not included
- ◆  $\sigma_{syst}$  from  $\varepsilon$  double ratio included



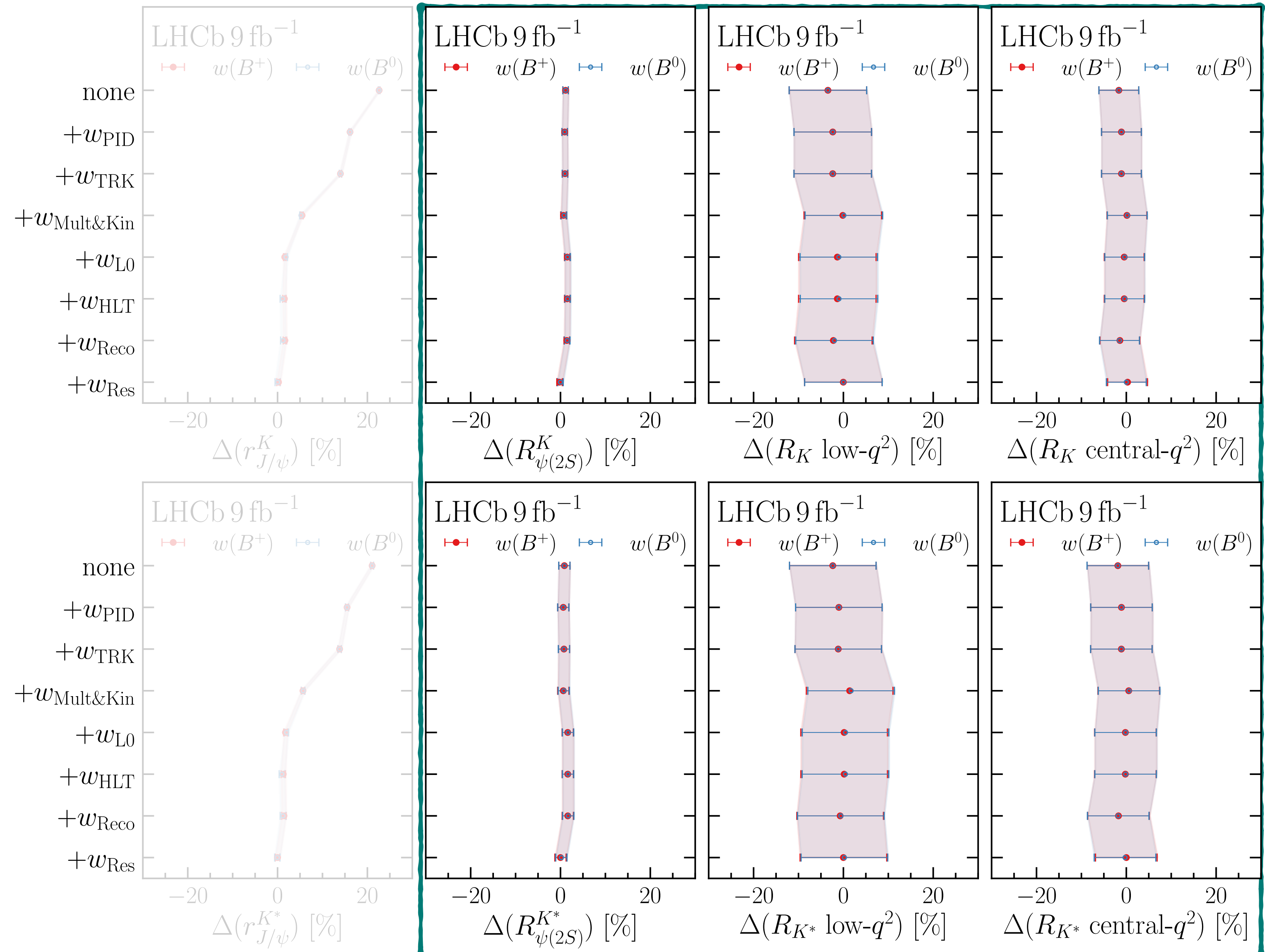
## Efficiency ratios and double ratios

- ◆ On *single-ratios*, the calibration of efficiencies moves  $r_{J/\psi}$  by 25%



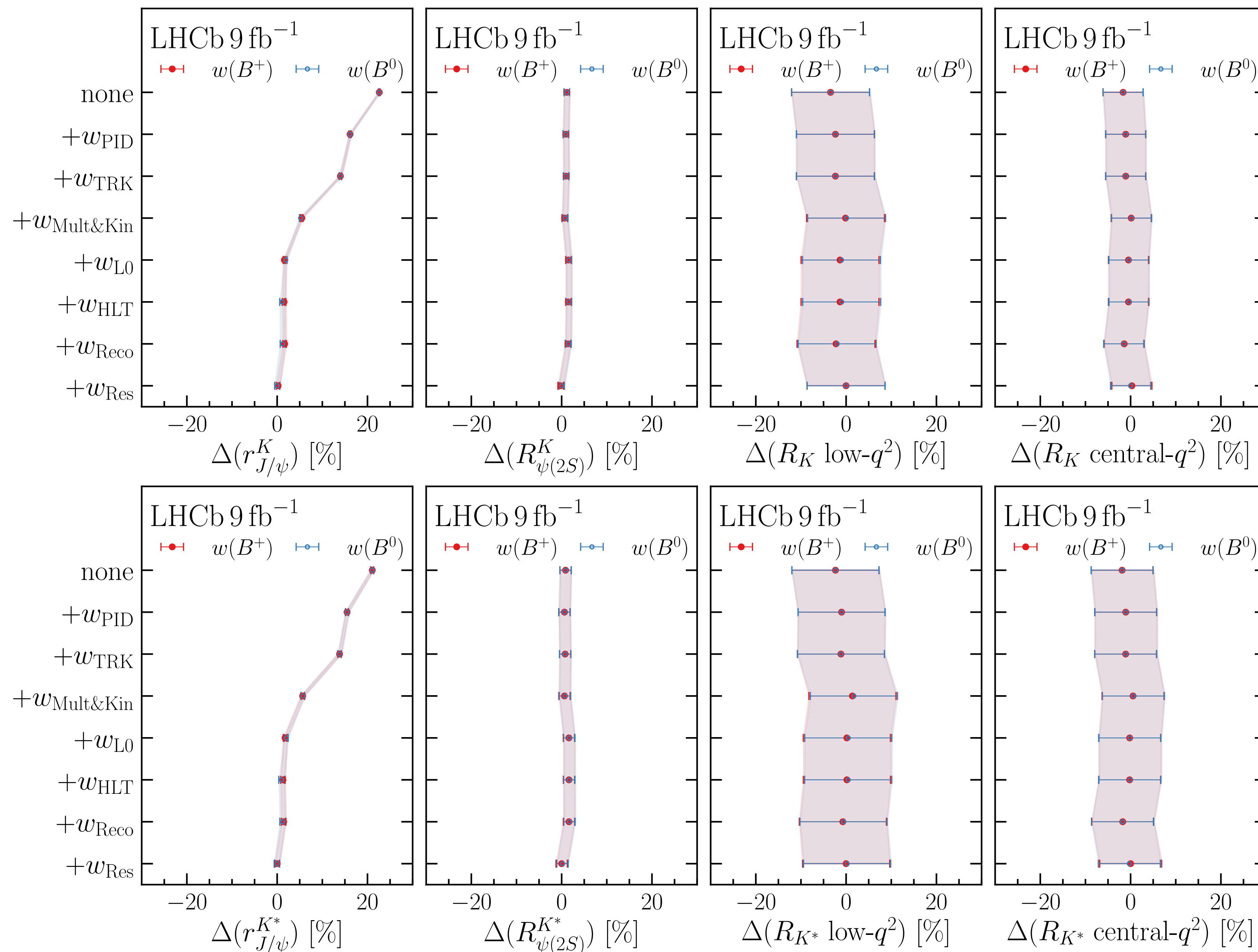
## Efficiency ratios and double ratios

- ◆ On *single-ratios*, the calibration of efficiencies moves  $r_{J/\psi}$  by 25%
- ◆ On all *double ratios*, the effect of corrections to simulation is moving the result by at most 5 %

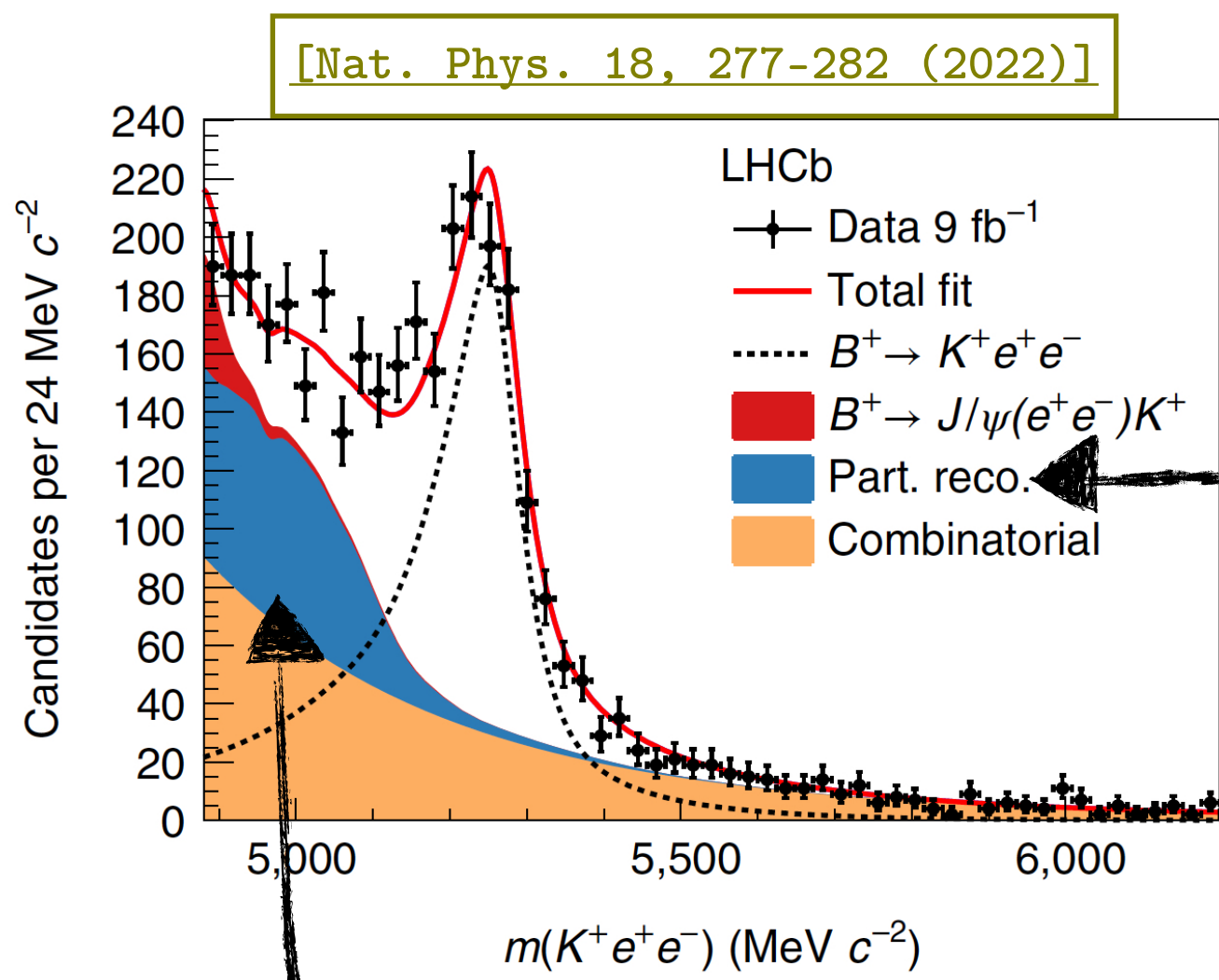


## Efficiency ratios and double ratios

- ◆ On *single-ratios*, the calibration of efficiencies moves  $r_{J/\psi}$  by 25%
- ◆ On all *double ratios*, the effect of corrections to simulation is moving the result by at most 5 %
- ◆ Demonstrate the strength of the double ratio method for  $\varepsilon$



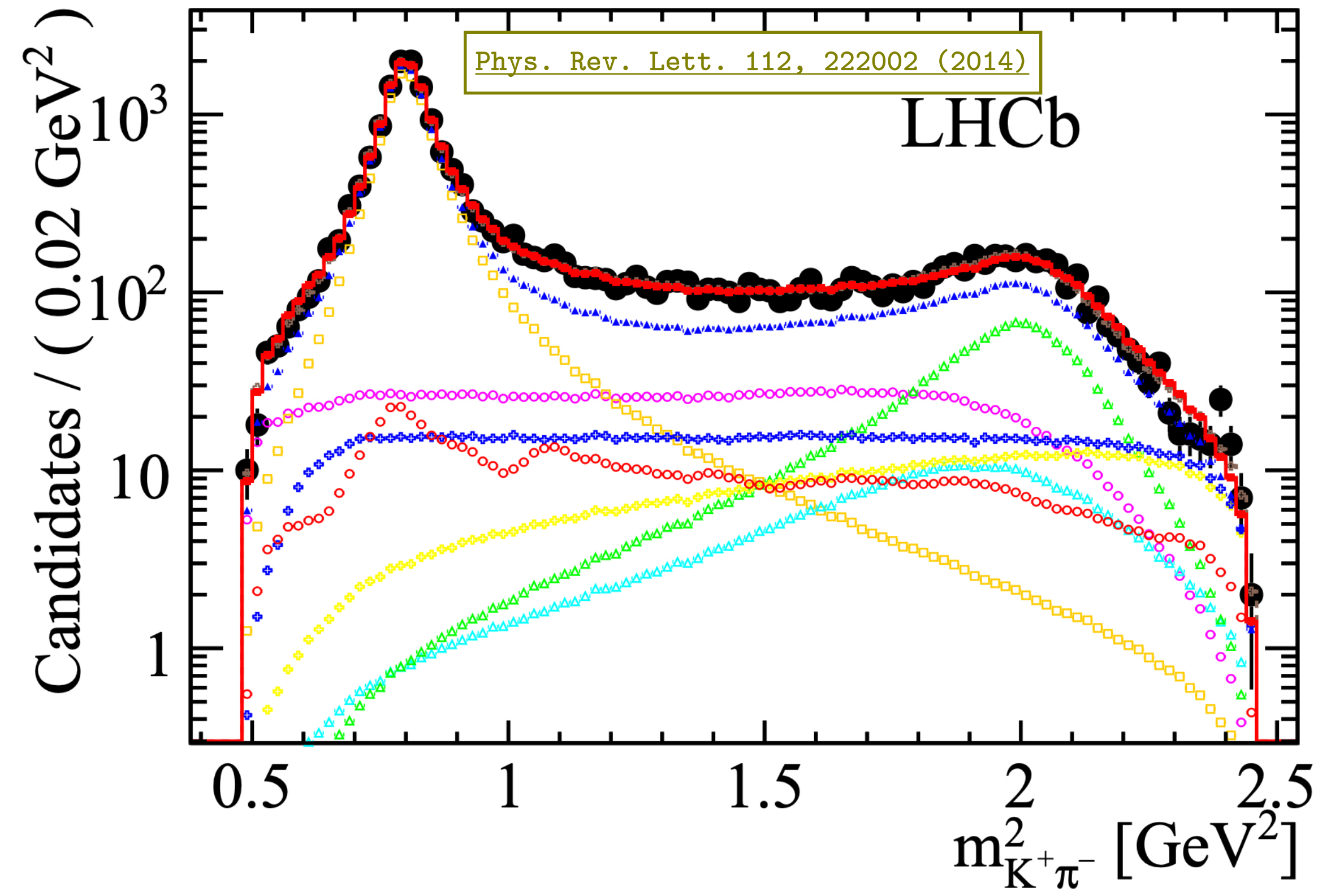
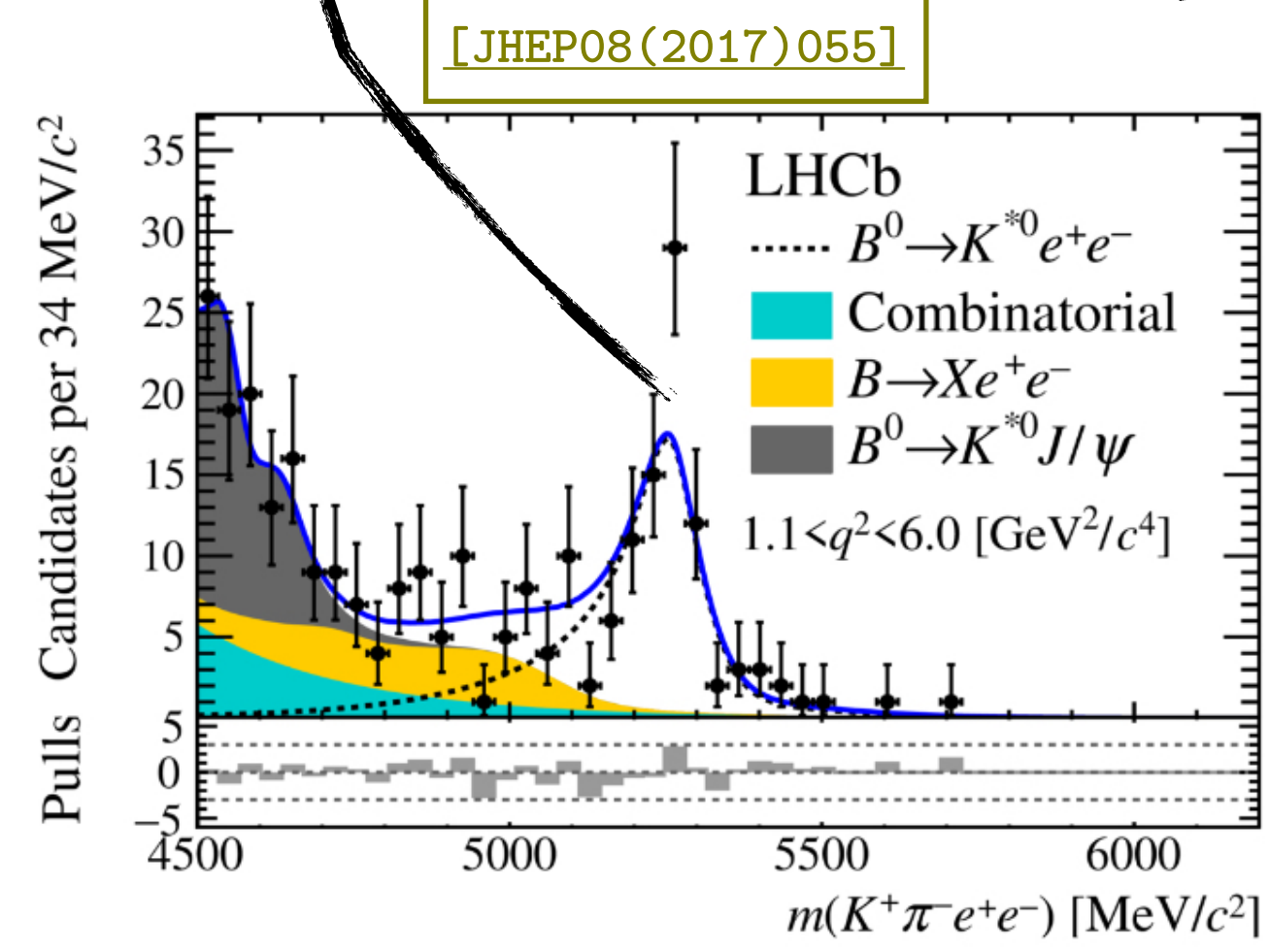
# Cross-feed $R_{K^{*0}}$ & $R_K$ in mass fit for electron mode



Was free

Improve per-event sensitivity

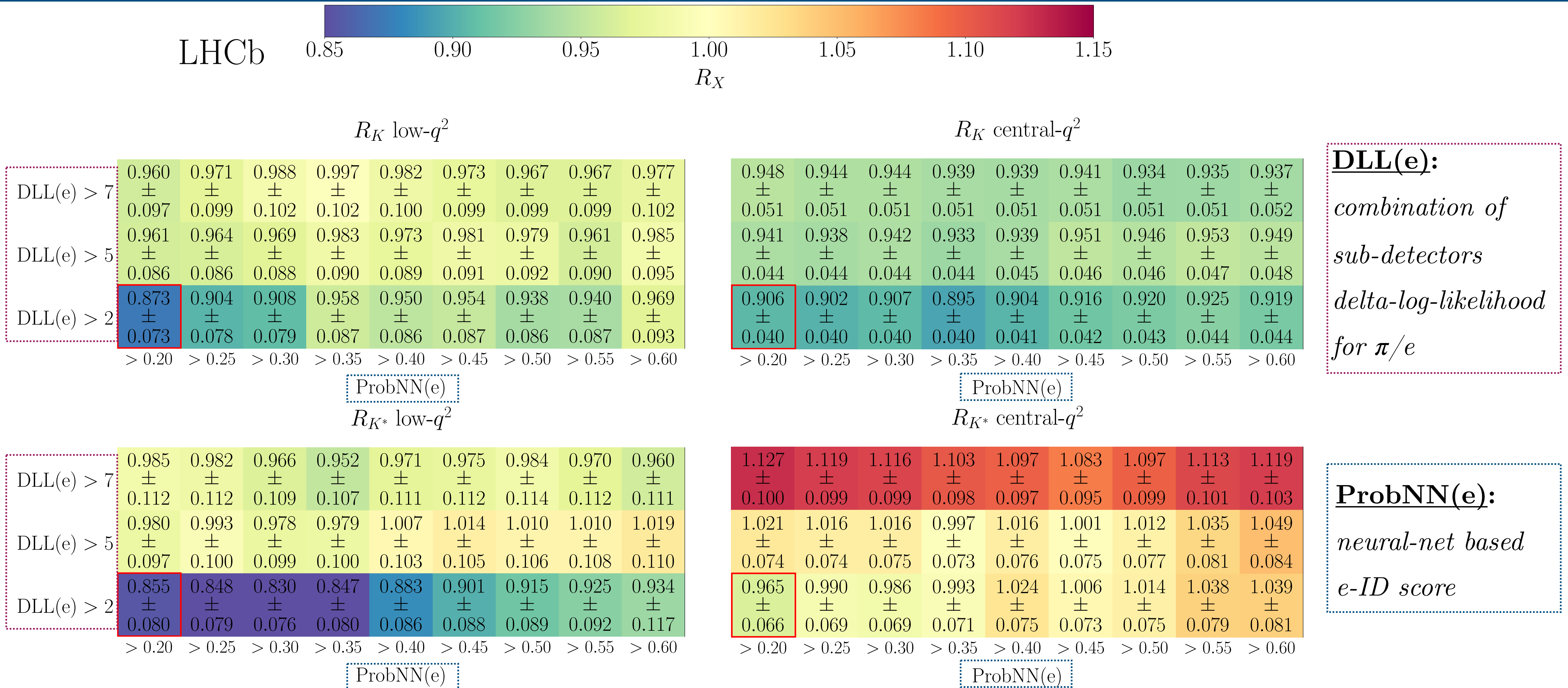
constraining it from  $K^*$  mode



- $K^*$
- $S$ -wave
- $K^*(1410)$
- $K^*(1680)$
- $K_2^*(1430)$
- bkg

- | Directly from  $K^{*0}e^+e^-$
  - | Use  $F_S$  measurement in JHEP 11 (2016) 047 and Breit-Wigner tails
  - | Extrapolation factors / full amplitude from  $K^*J/\psi$  JHEP 04 (2017) 142 Phys. Rev. Lett. 112, 222002 (2014)
- $K^+\pi^0$  accounting for isospin factors and  $\epsilon$  corrections

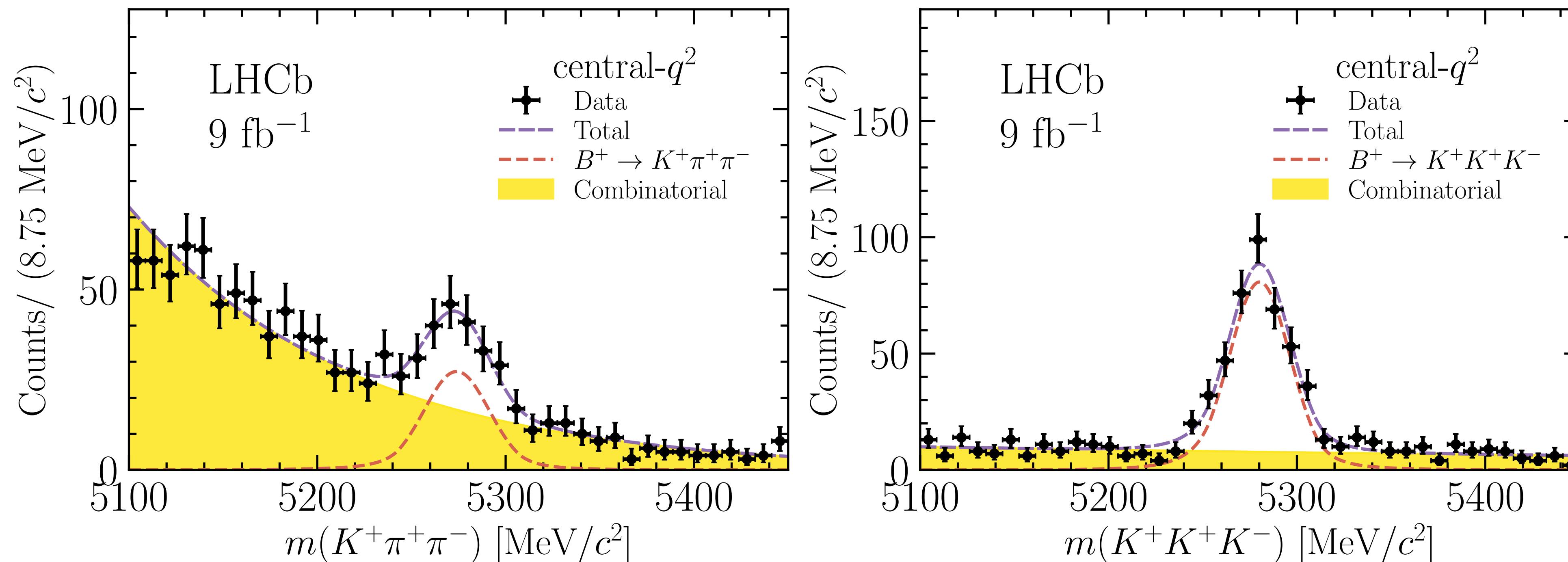
## Scan results in electron PID w/o treatment of misID bkg



**Tightening selection in electron PID without specific treatment of electron misidentified backgrounds exhibited a coherent pattern**

# Misidentified background in electron mode

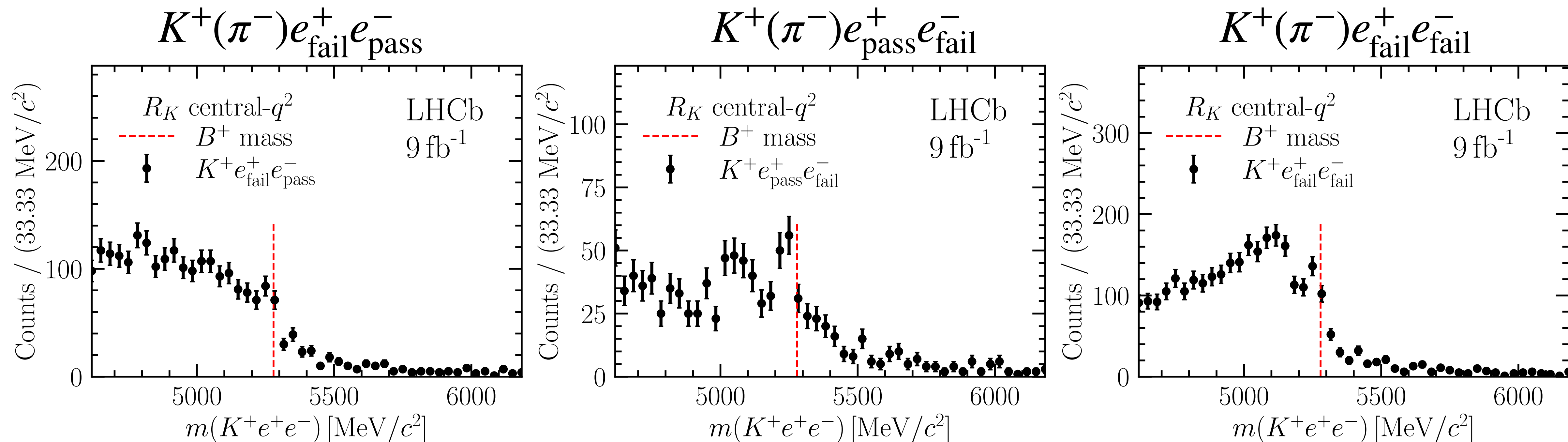
- ◆ Simple backgrounds from double-misidentification can be isolated inverting PID criteria (close to nominal selection) after full selection (i.e.  $K^{+,*0}h^+h^-$ ) on electron mode



- ◆ Similar structures (see *backup*) also for  $R_{K^*}$ , however unknown Dalitz for  $K^{*0}h^+h^-$
- ◆ Single misidentification background as well, often unknown
- ◆ *Developed a new inclusive data-driven treatment of misidentified background*

## Misidentified background in electron mode

- ◆ Invert PID requirements on one or both  $e$  after full selection (*control region*)
- ◆ Subtract residual  $e^+e^-$  signal falling in the *control region*



- ◆ Categorise pion- and kaon-like electrons in *control region* based on neural-net kaon ID classifier
- ◆ Per-event/per-track weights on  $e_{\text{fail}}$  to predict background shape and normalisation for  $e_{\text{pass}}$

## Misidentified background in electron mode

◆ *Control region* choice:

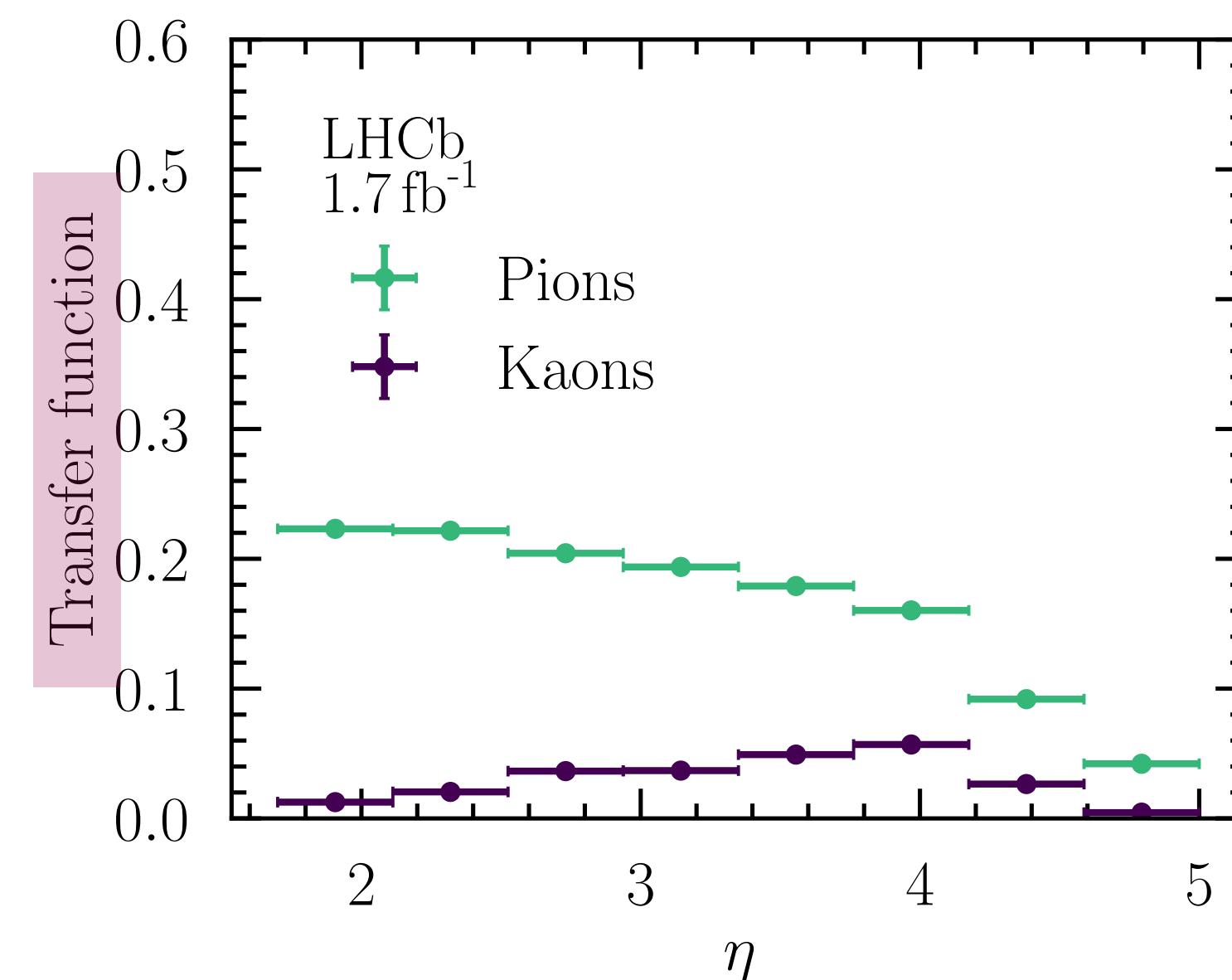
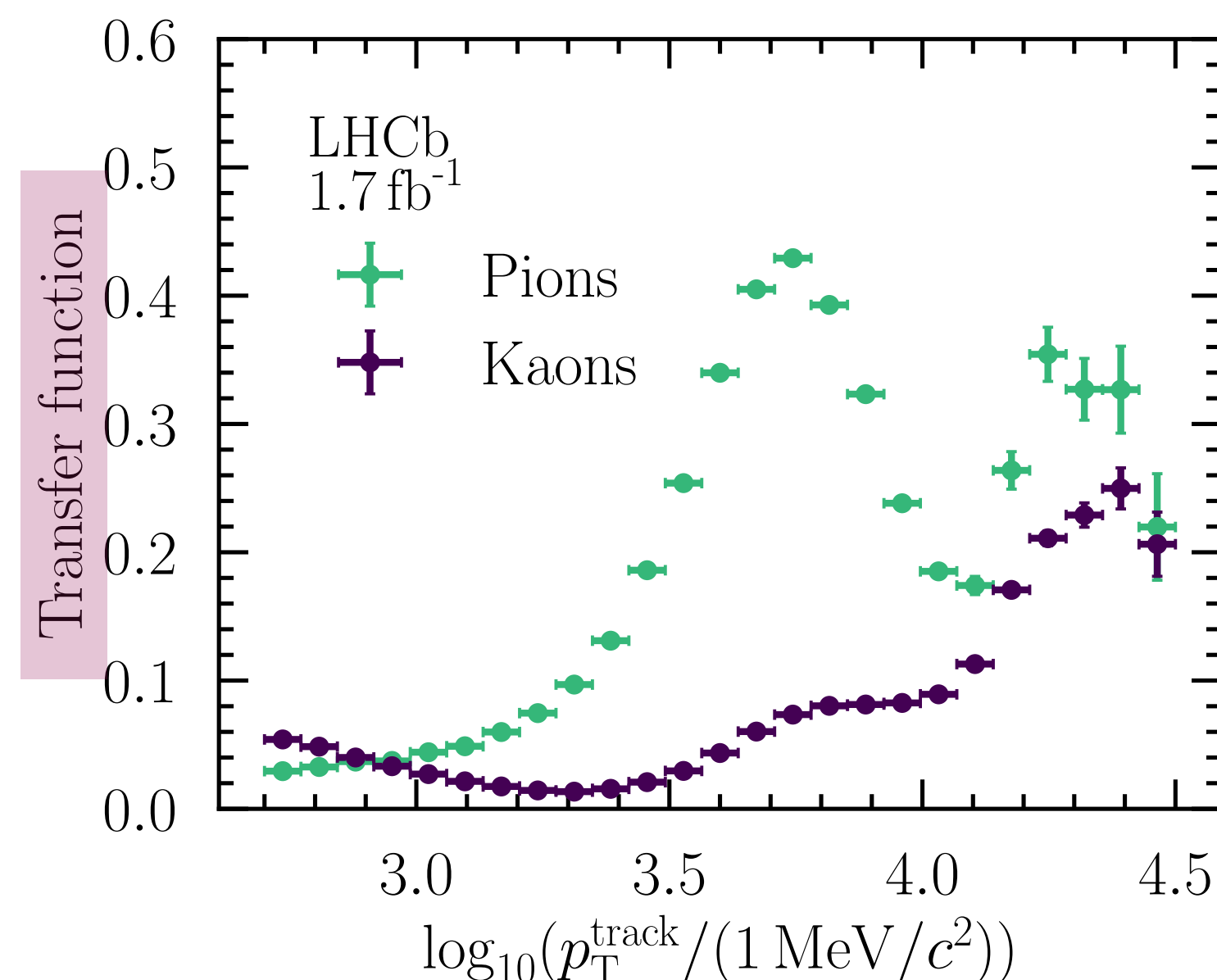
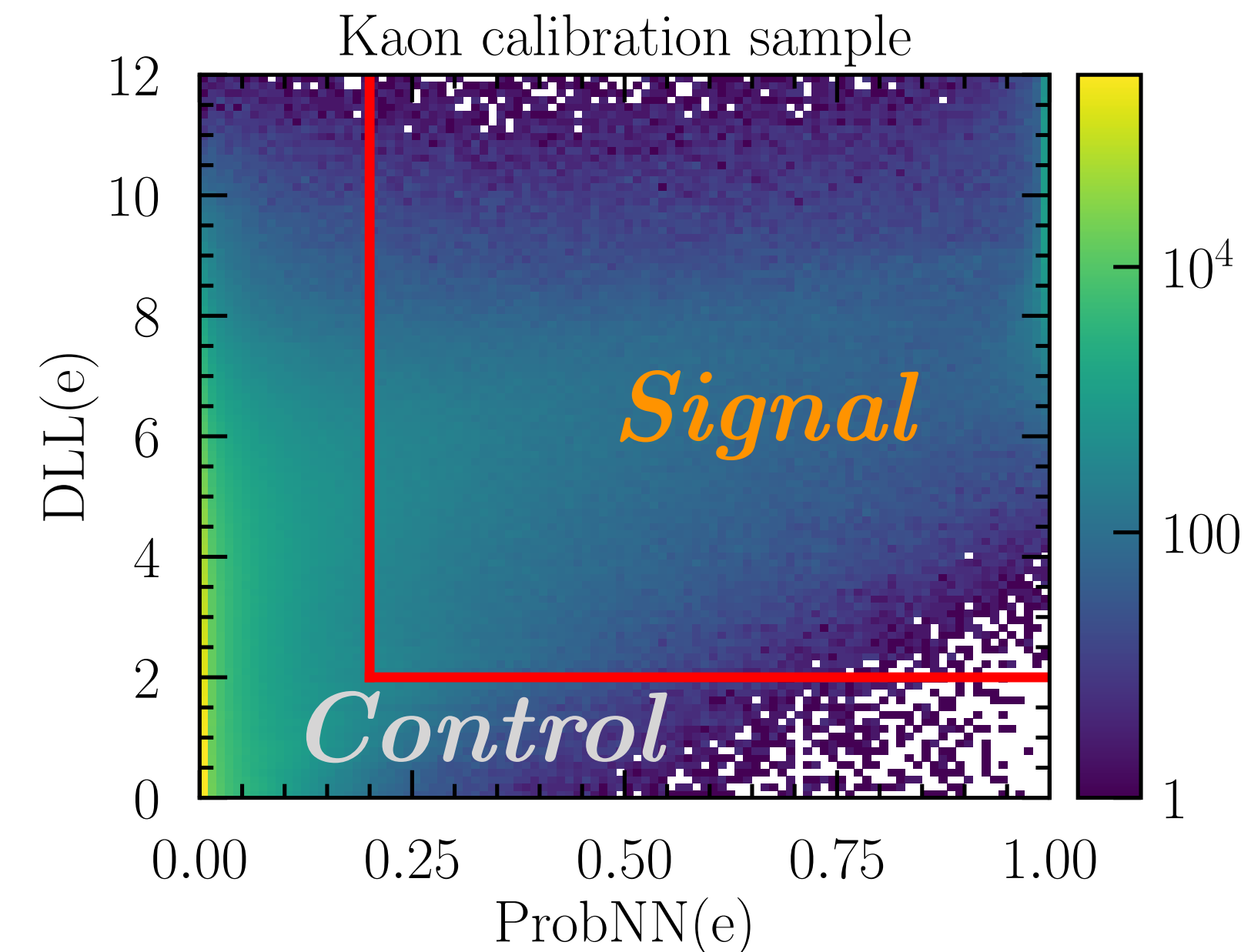
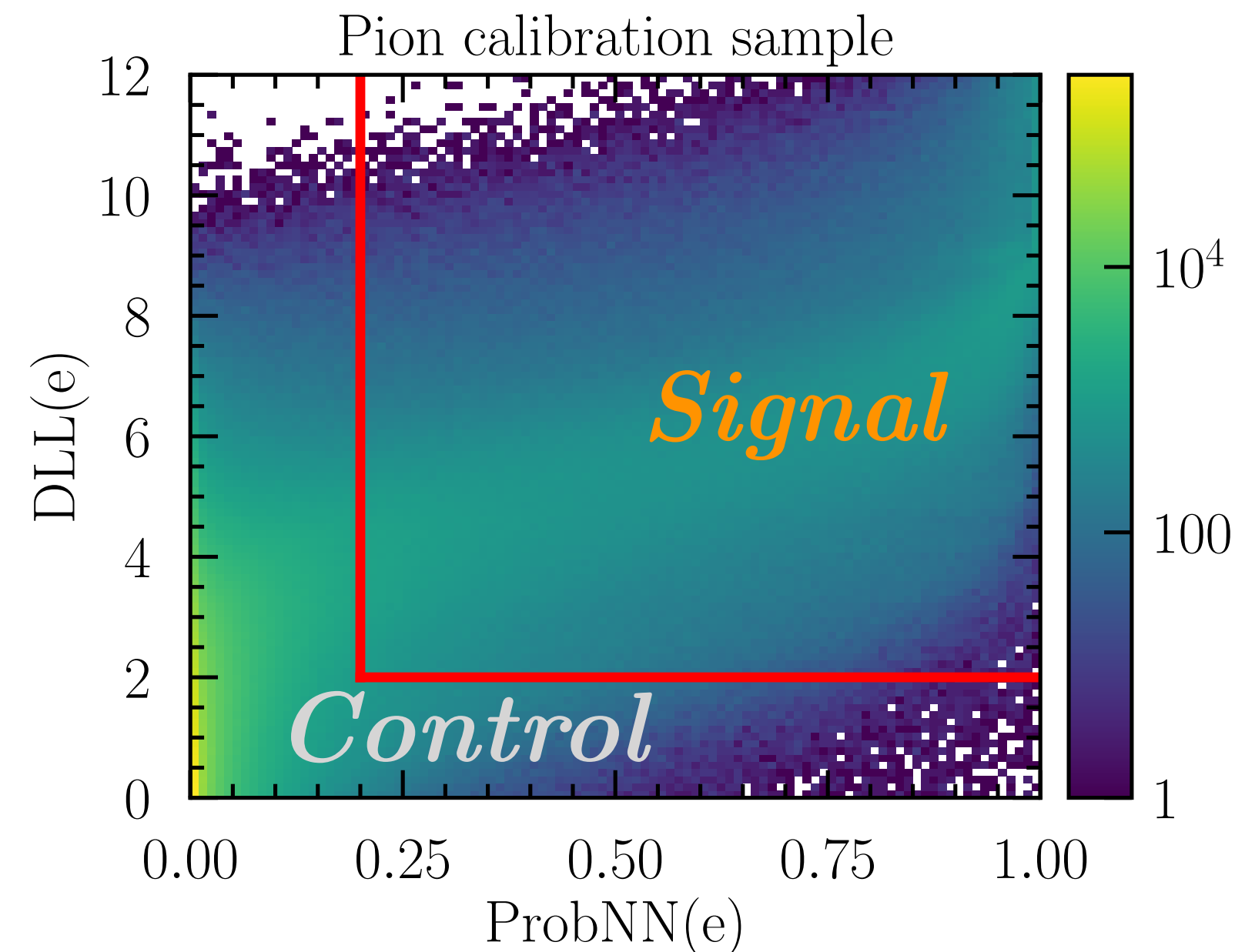
- ▶ not too far from signal, ensuring only pion/kaon misID is relevant

◆  $\frac{\text{pass}}{\text{fail}}$  (transfer function) from  $D^{*-} \rightarrow \bar{D}^0(K^+\pi^-)\pi^-$  calibration data in  $p_T, \eta$  bins

- ▶  $K/\pi \rightarrow e$ : “control” → “signal”

◆ *Validation:*

- ▶ *Data:* use  $\bar{D}^0(K^+\pi^-)$  in  $K^+e^+e^-$  (no vetoes)
- ▶ *Simulation:*  $B^+ \rightarrow K^+K^+K^-$  and  $B^+ \rightarrow K^+\pi^+\pi^-$
- ▶ Prediction within 2% margin





# Misidentified background in electron mode

## ◆ *Model them analytically*

- ▶ Kernel density estimation for systematic

## ◆ *Normalisation*

- ▶ Gaussian constrained (stat. precision of prediction)

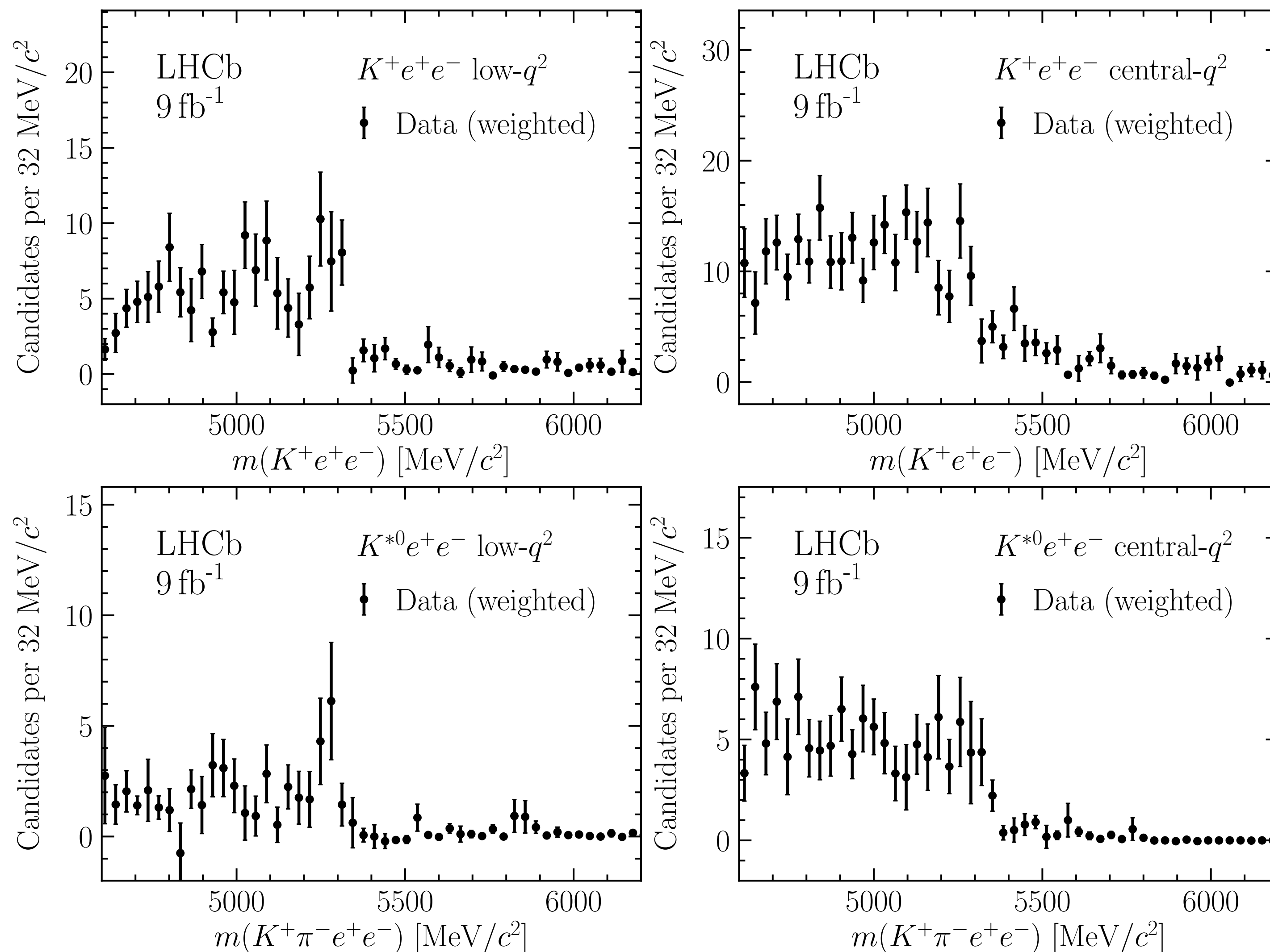
## ◆ *Systematics*

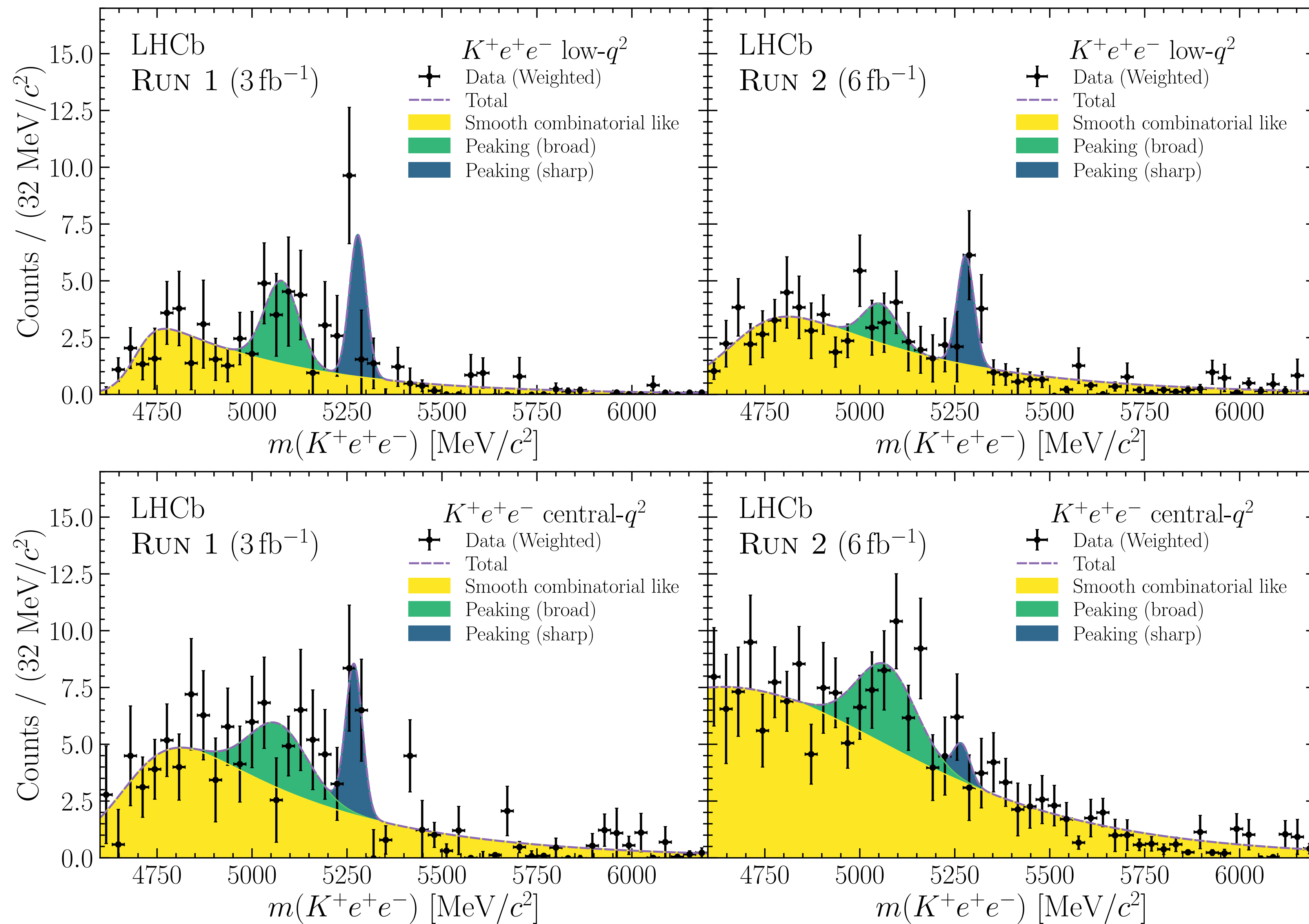
- ▶ Use alternative “*control*” regions
- ▶ *Different kaon/pion ID tagging in control region*
- ▶ *Trigger effects, binning transfer function*

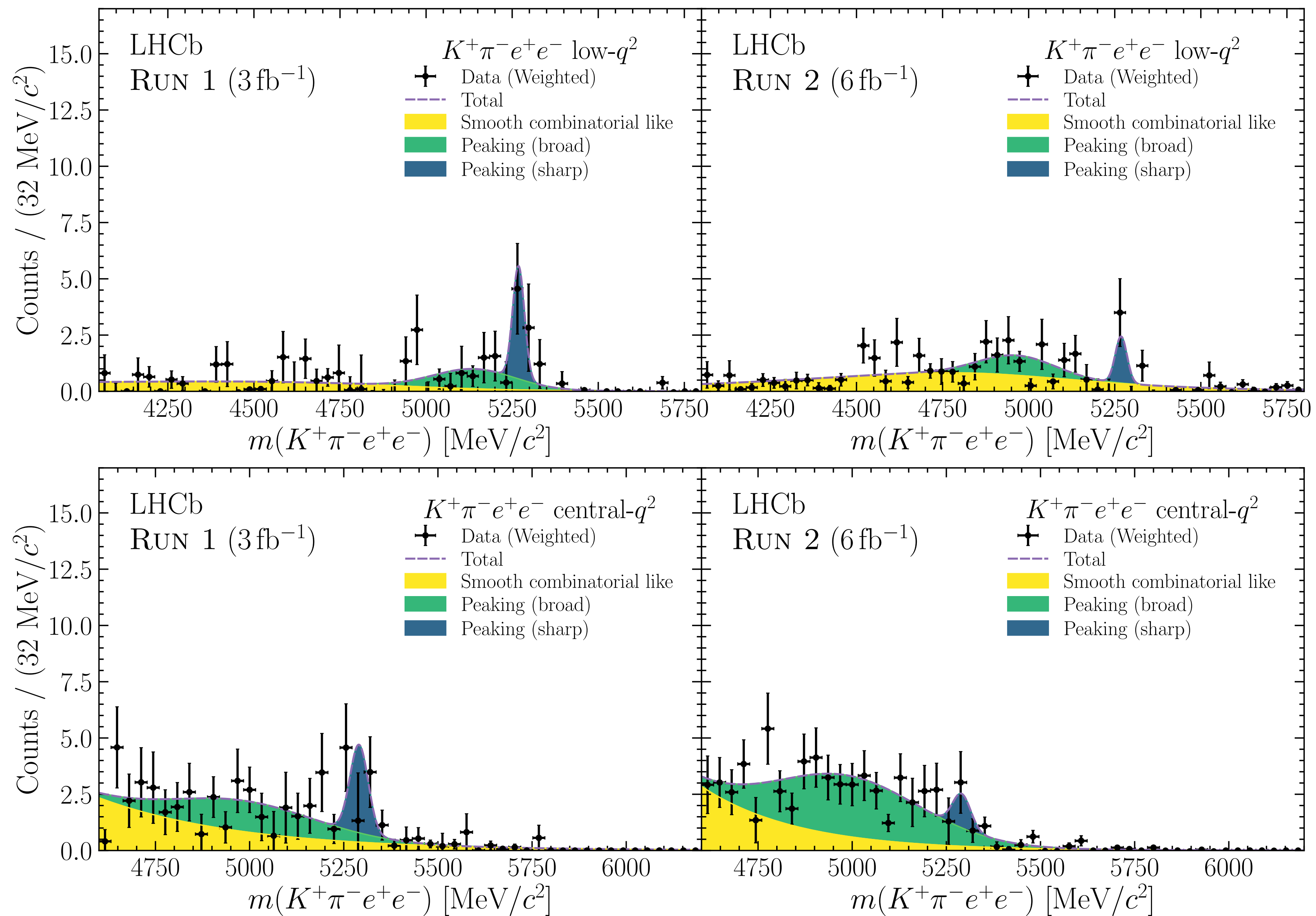
*NB: misidentified background not included in mass fit in previous analysis (see backup for comparison)*

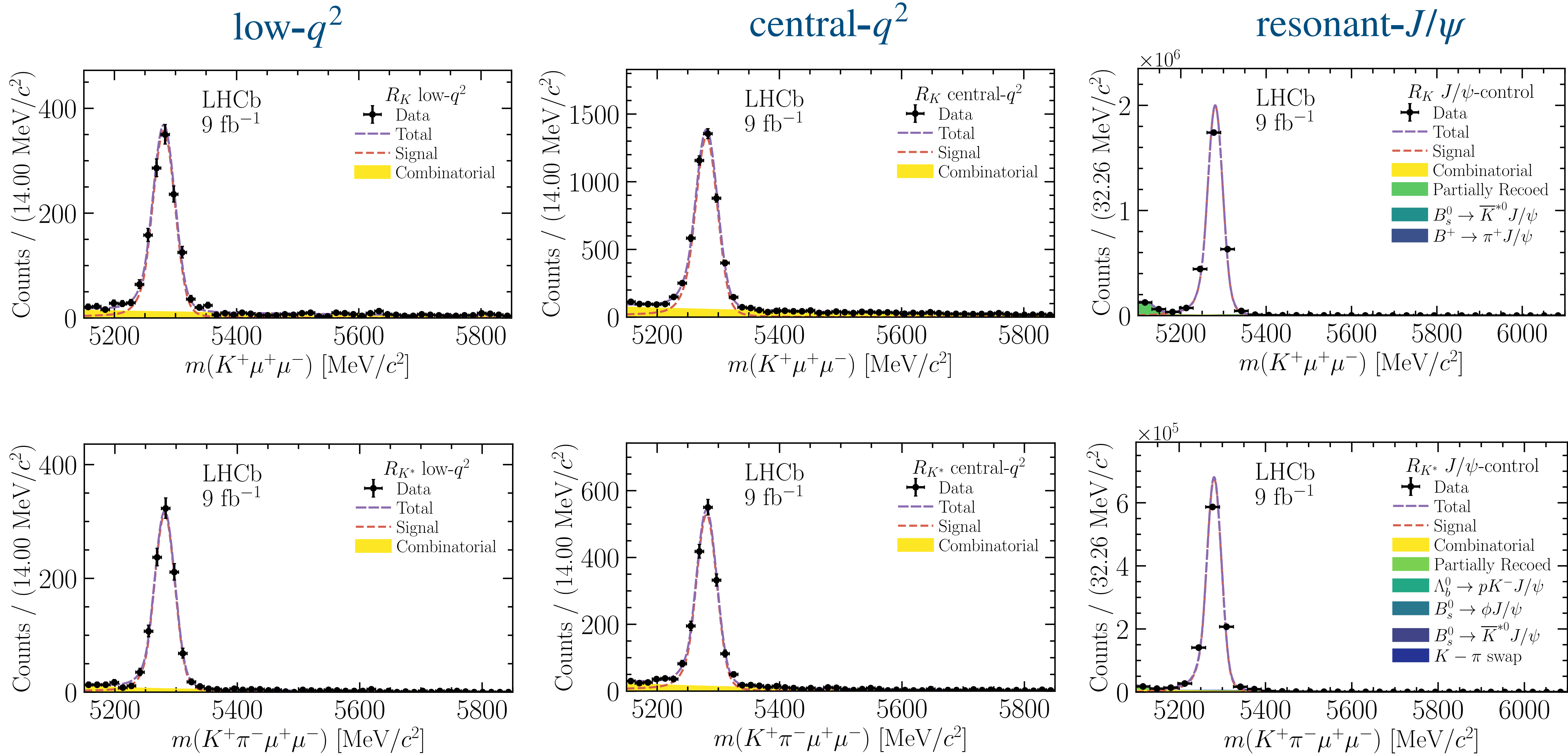
## Predictions after per-track and per-event weighting

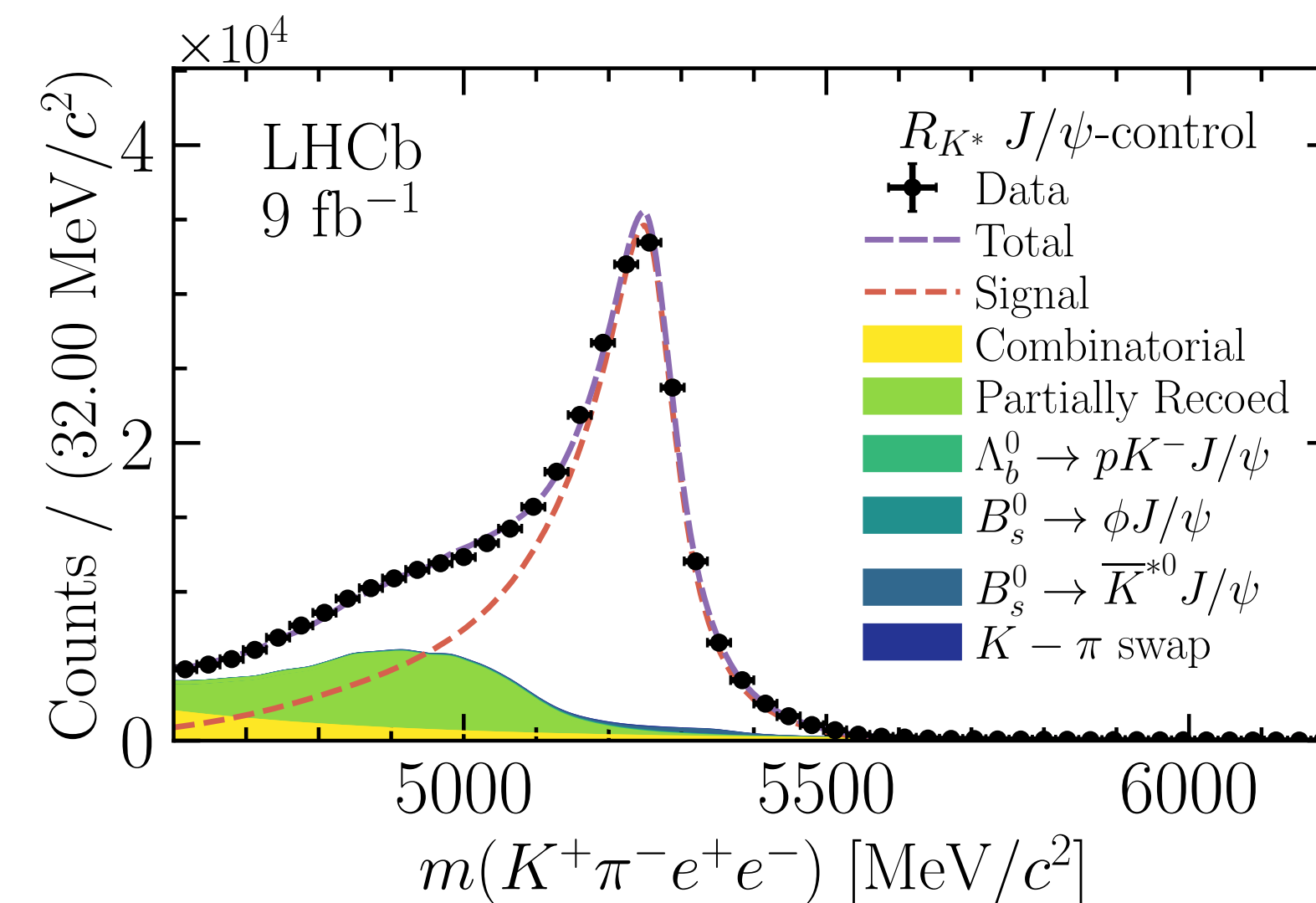
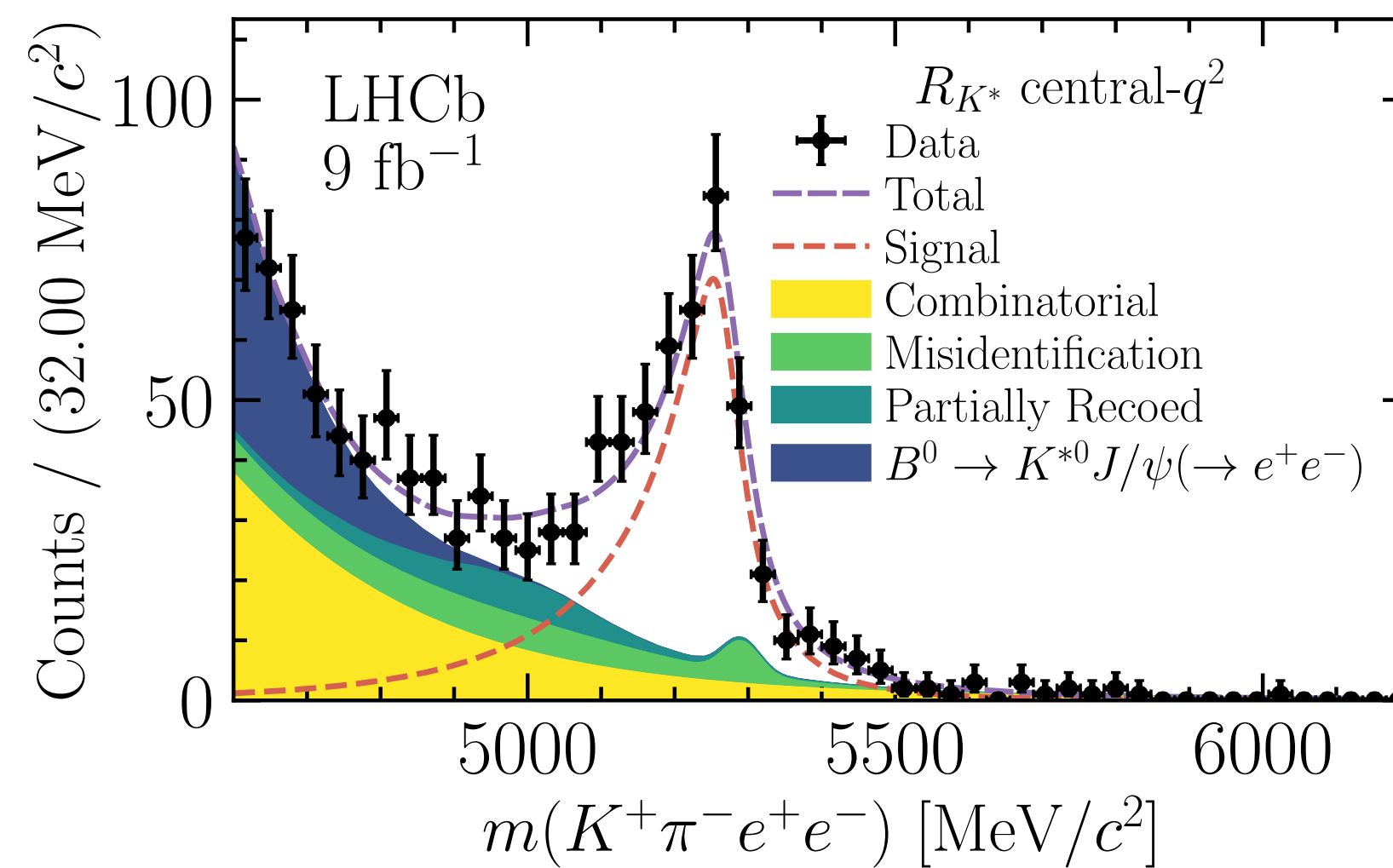
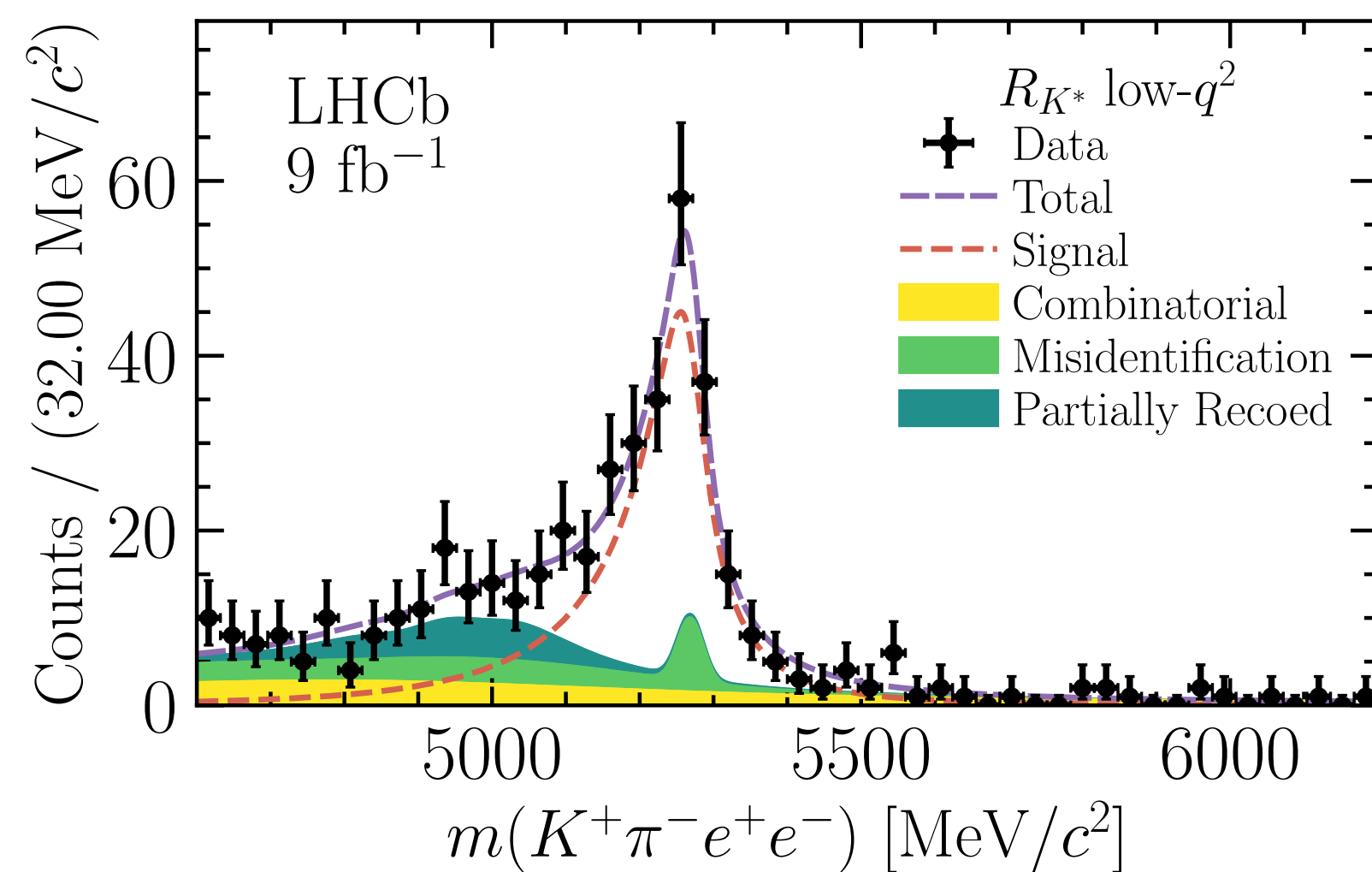
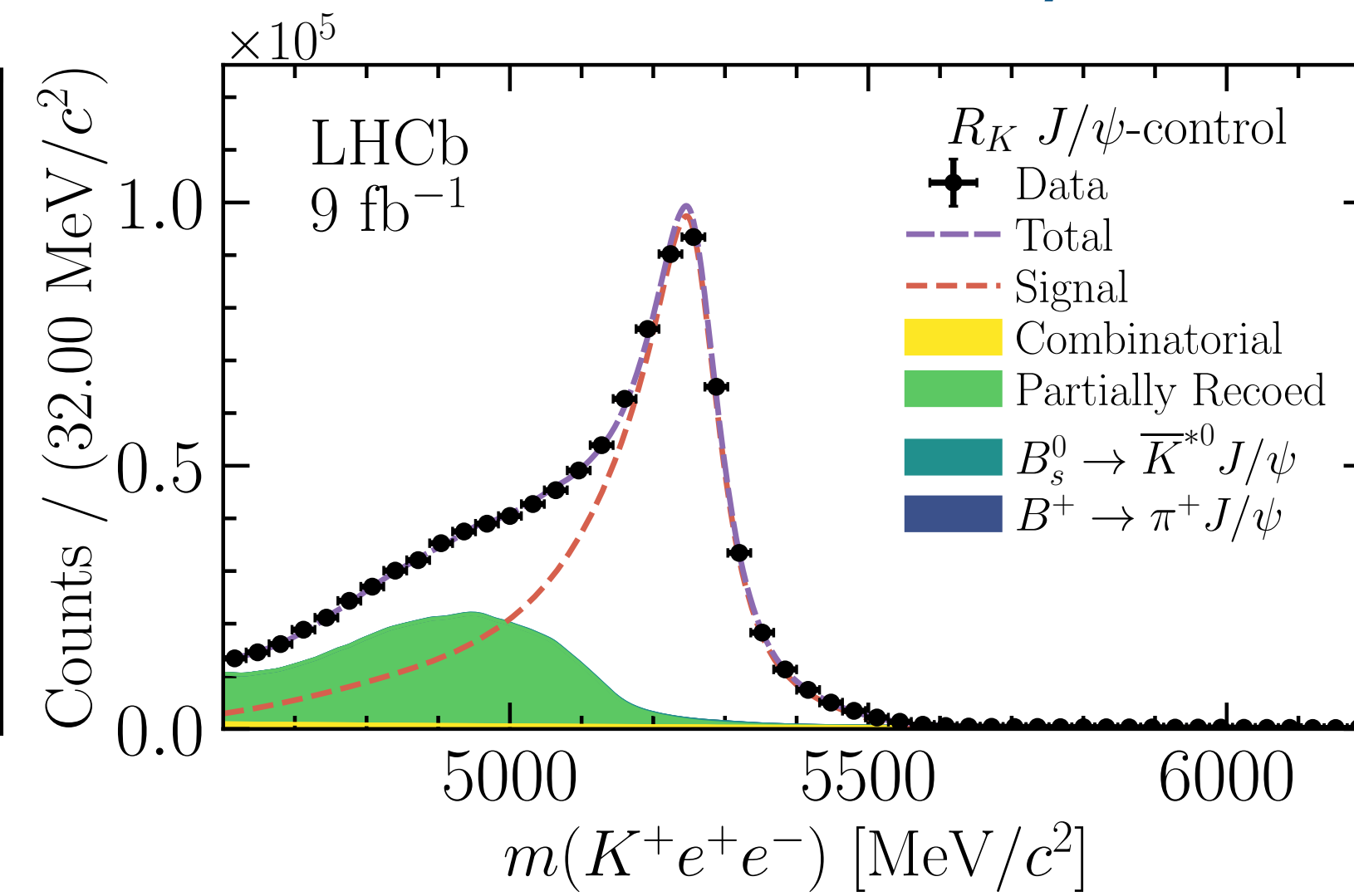
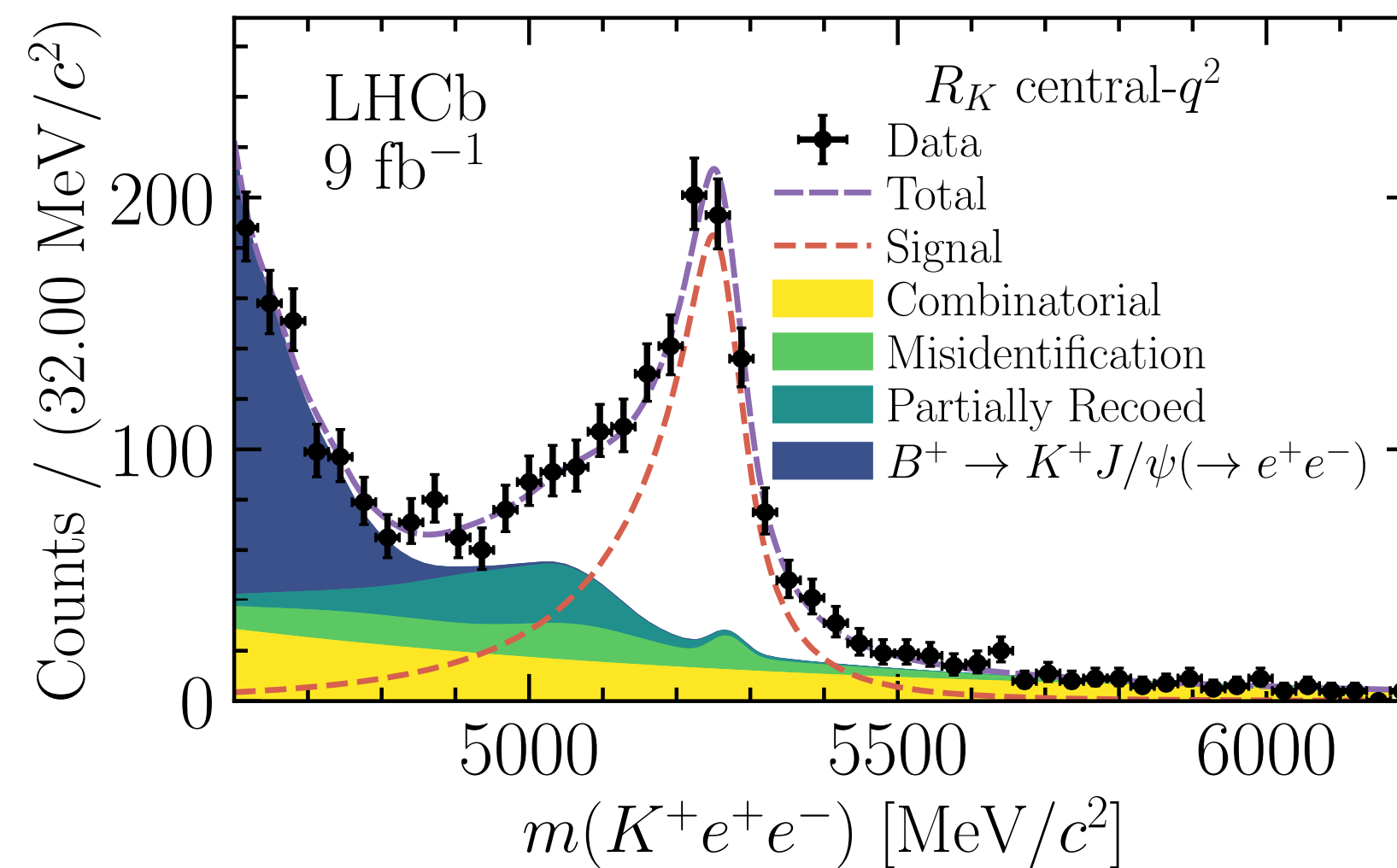
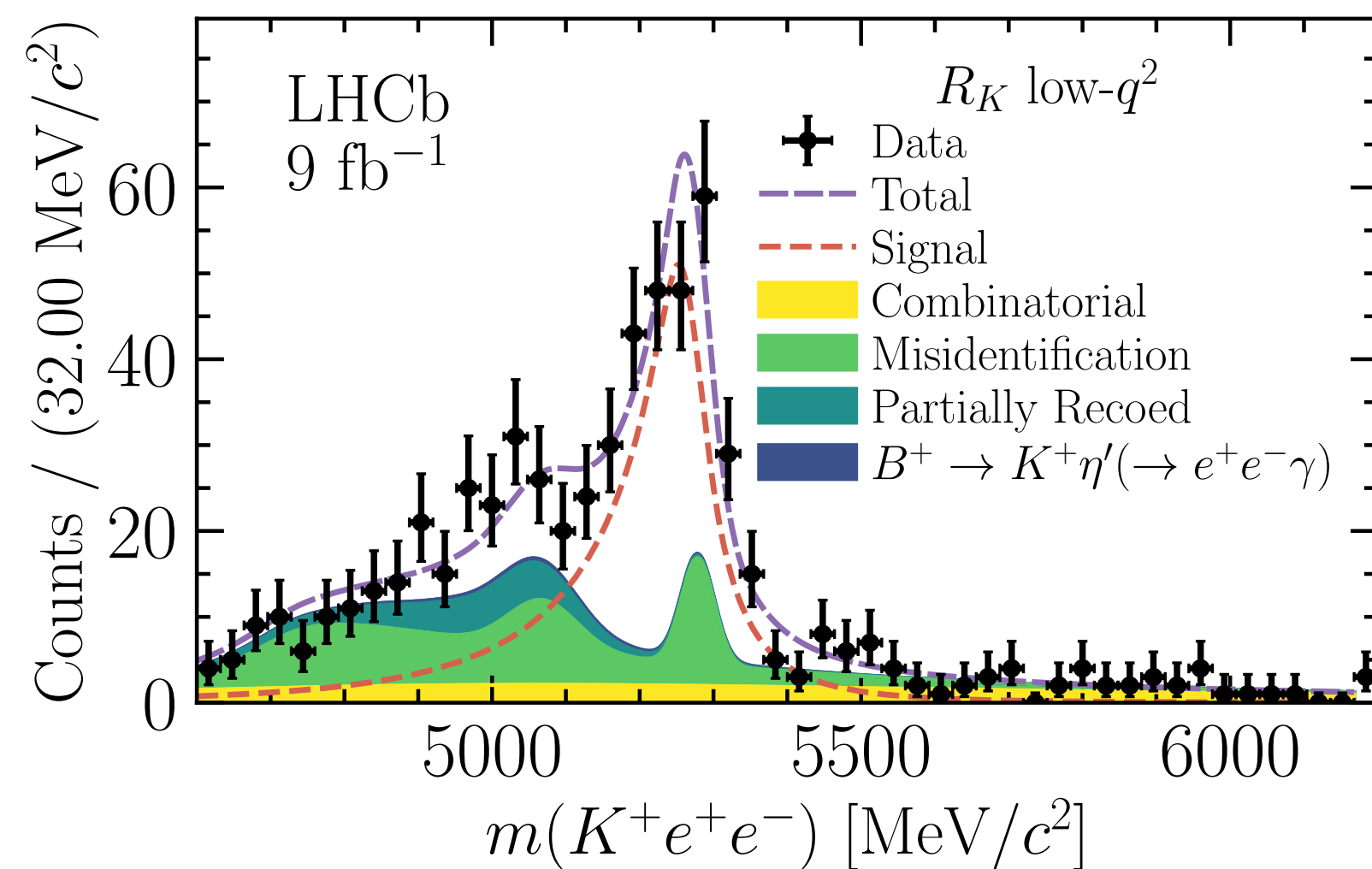
(signal subtracted)



Misidentified background in electron mode ( $R_{K^+}$ )

Misidentified background in electron mode ( $R_{K^*}$ )

Mass fit to rare mode muons: simultaneous fit  $R_{K,K^*0}$ 

Mass fit to rare mode electrons: simultaneous fit  $R_{K,K^*0}$ low- $q^2$ central- $q^2$ resonant- $J/\psi$ 

# Mass fit to rare mode electrons: simultaneous fit $R_{K,K^*0}$

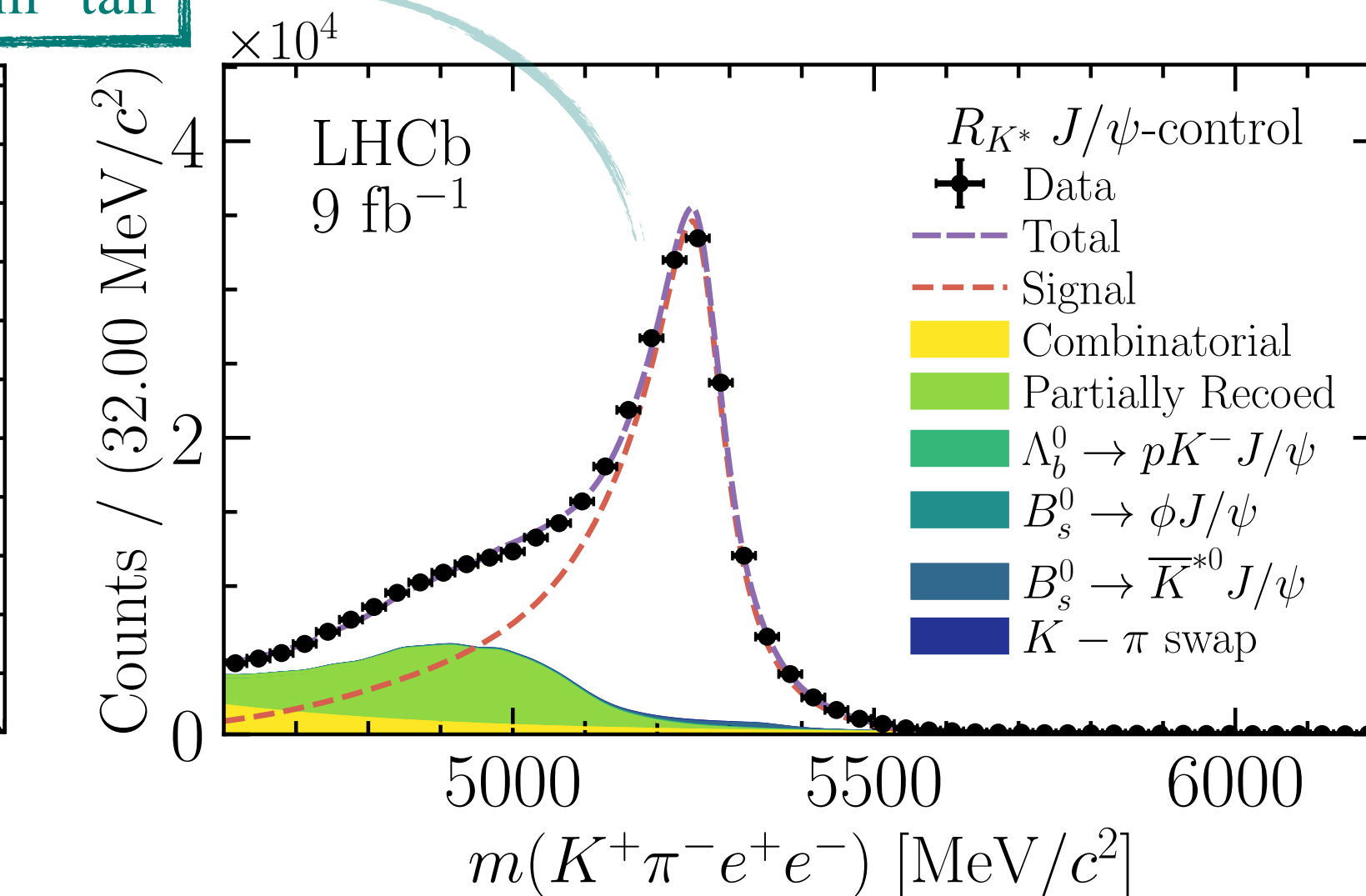
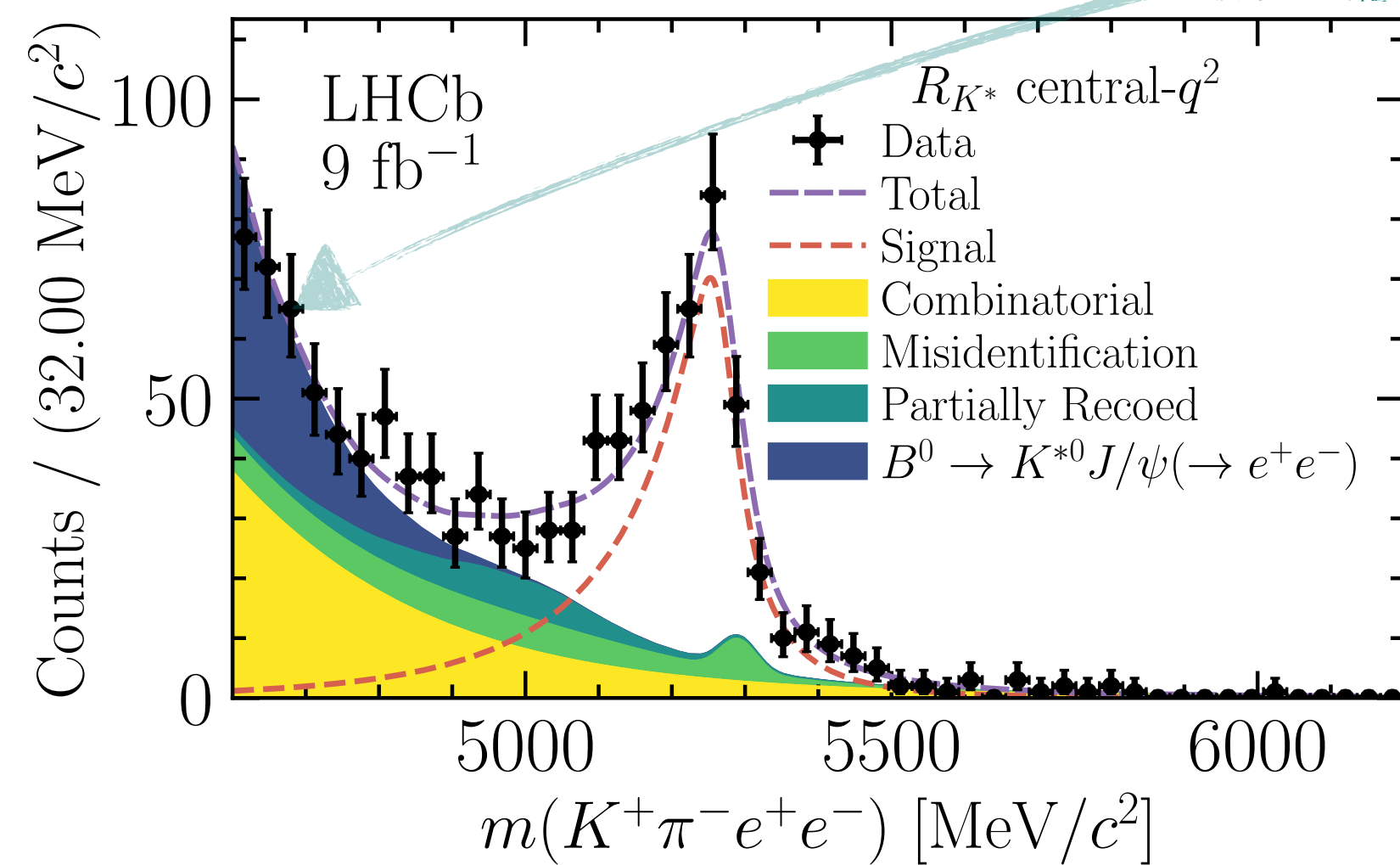
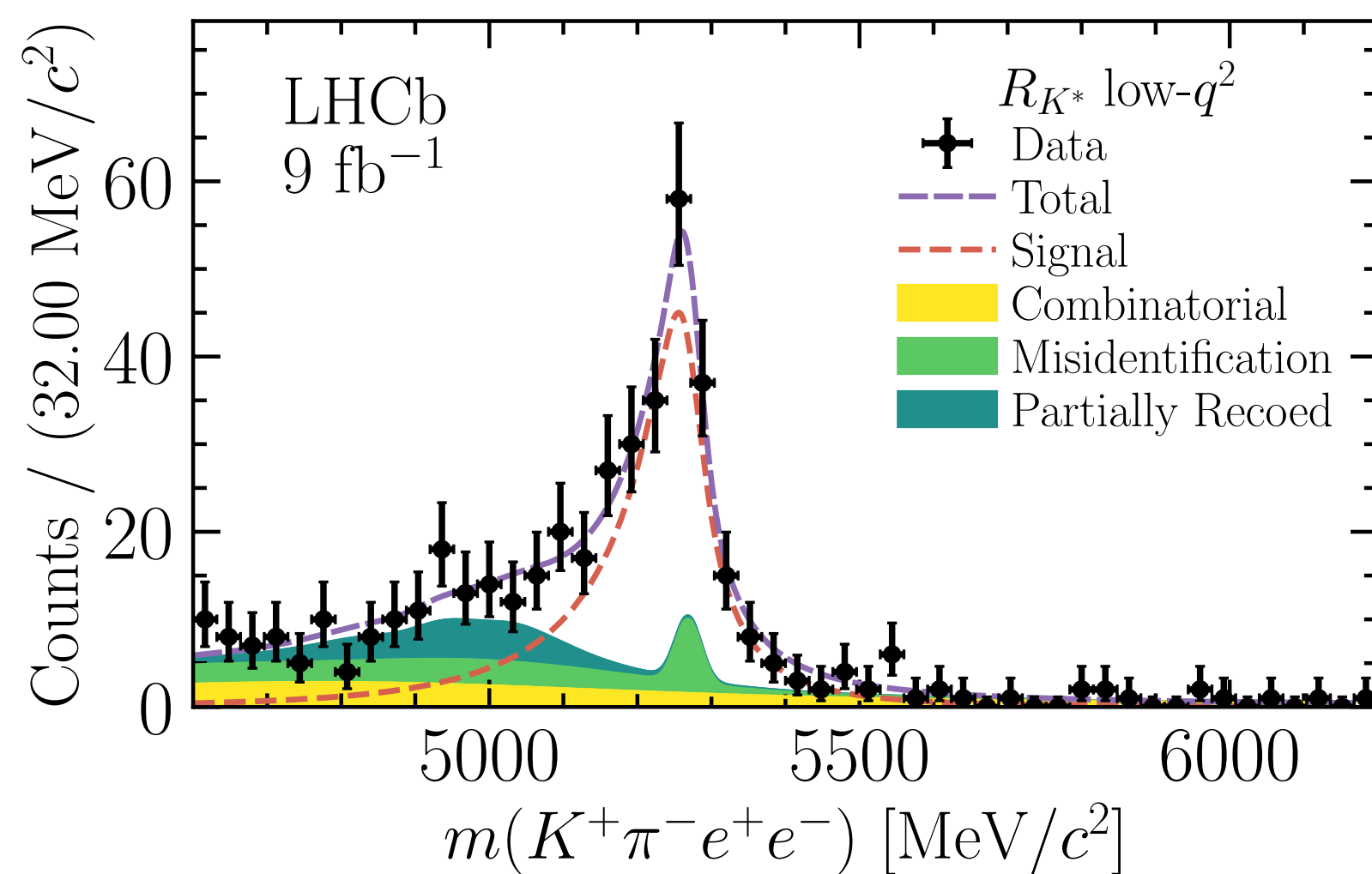
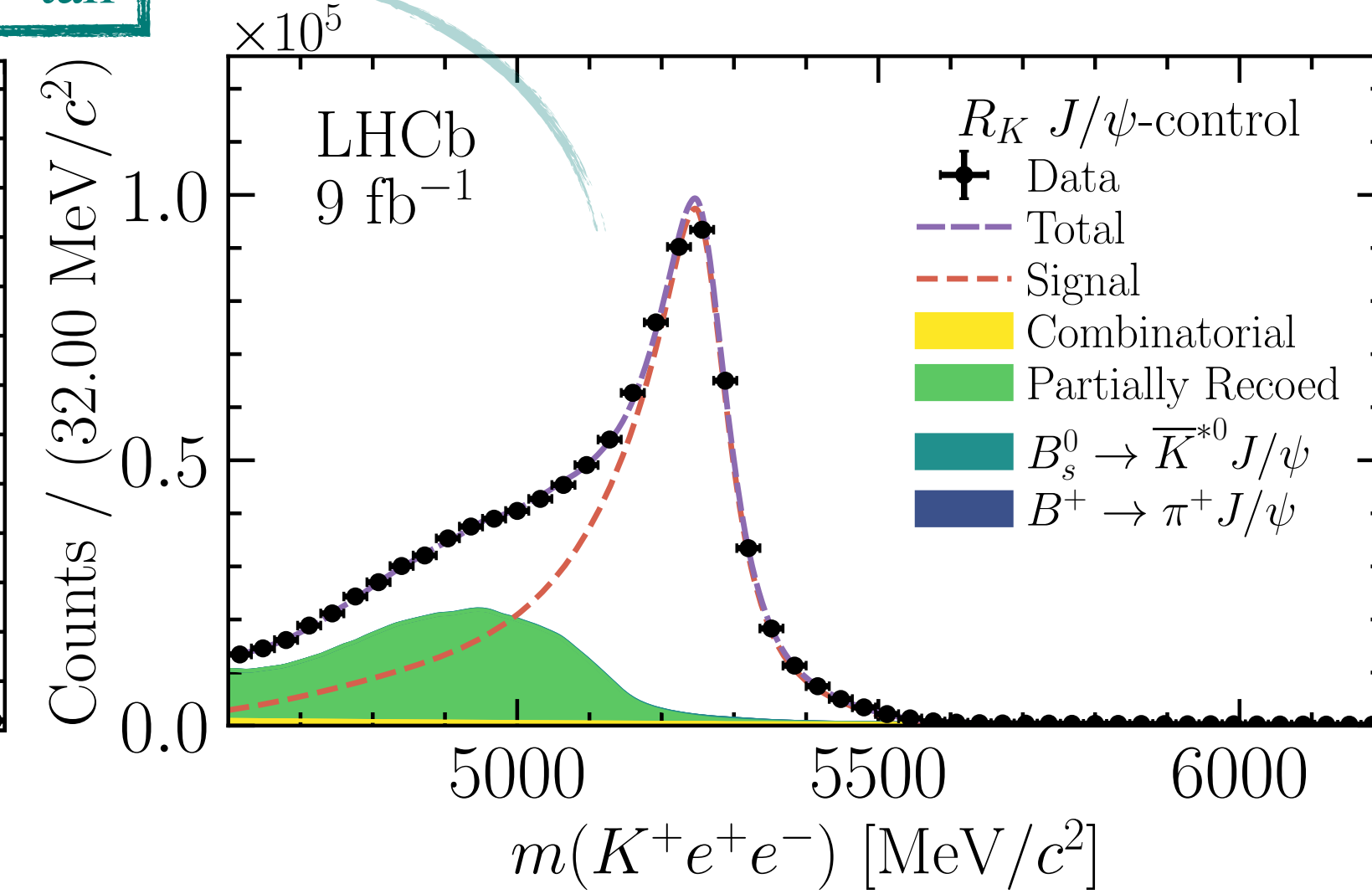
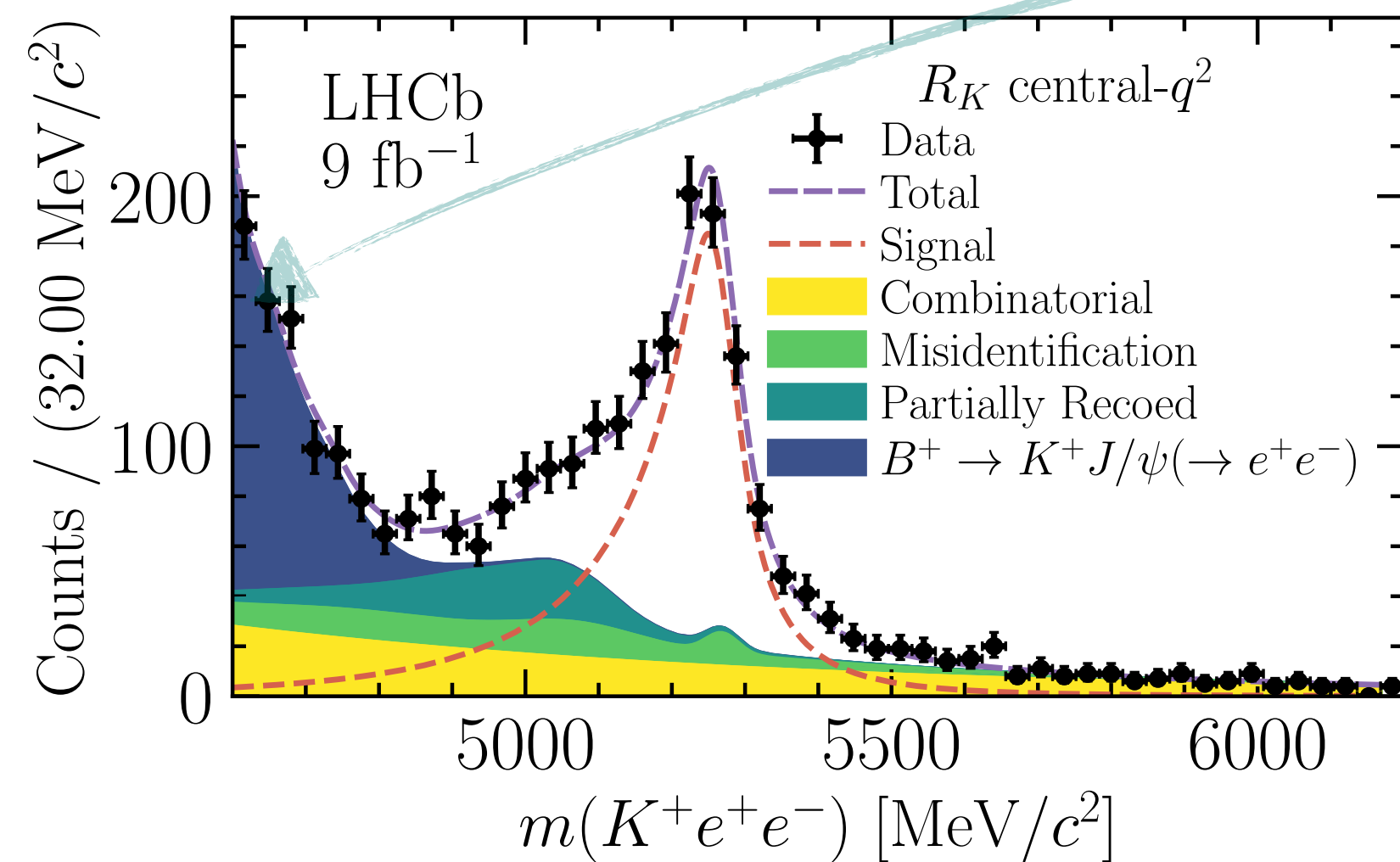
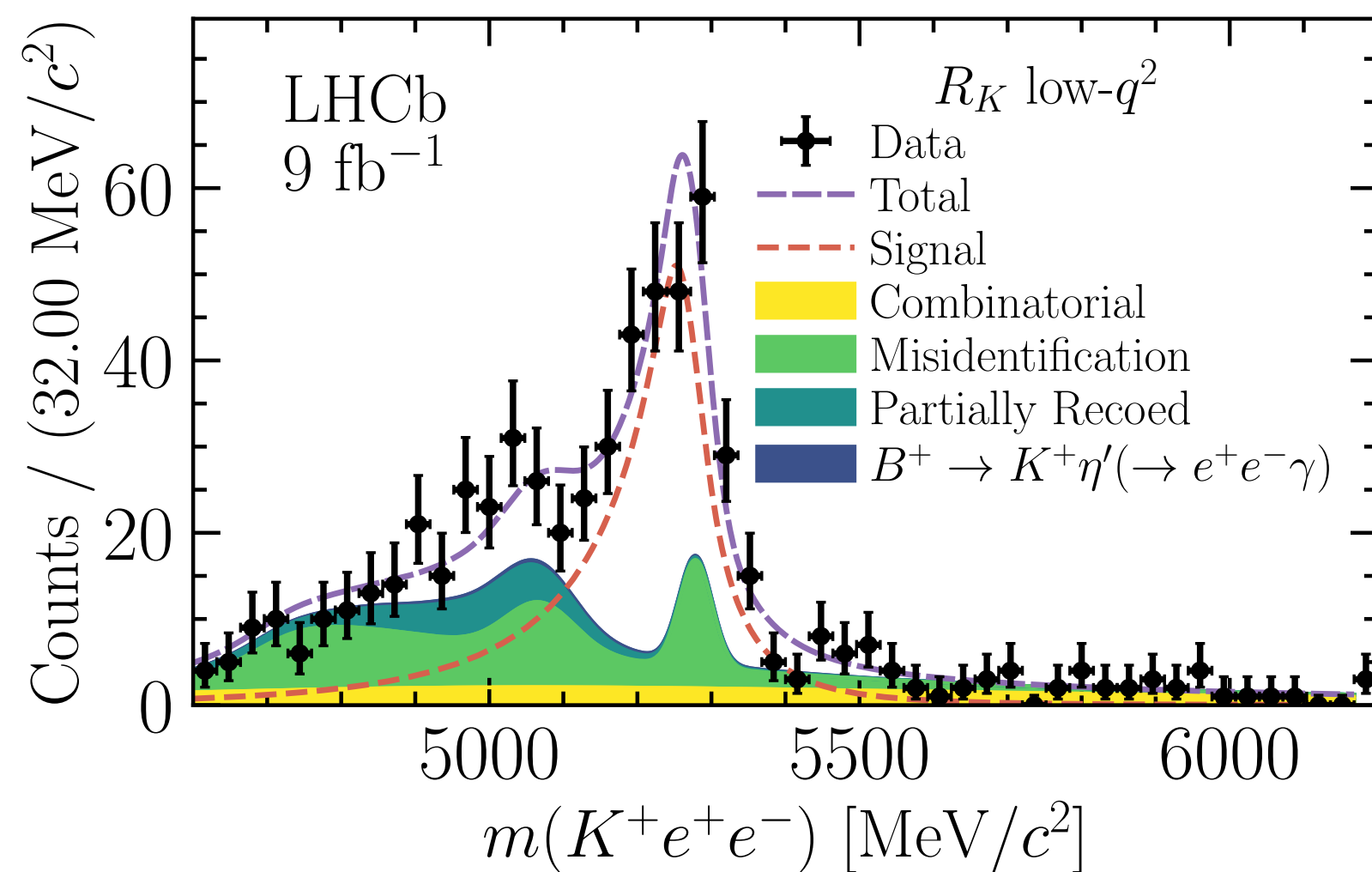
low- $q^2$

central- $q^2$

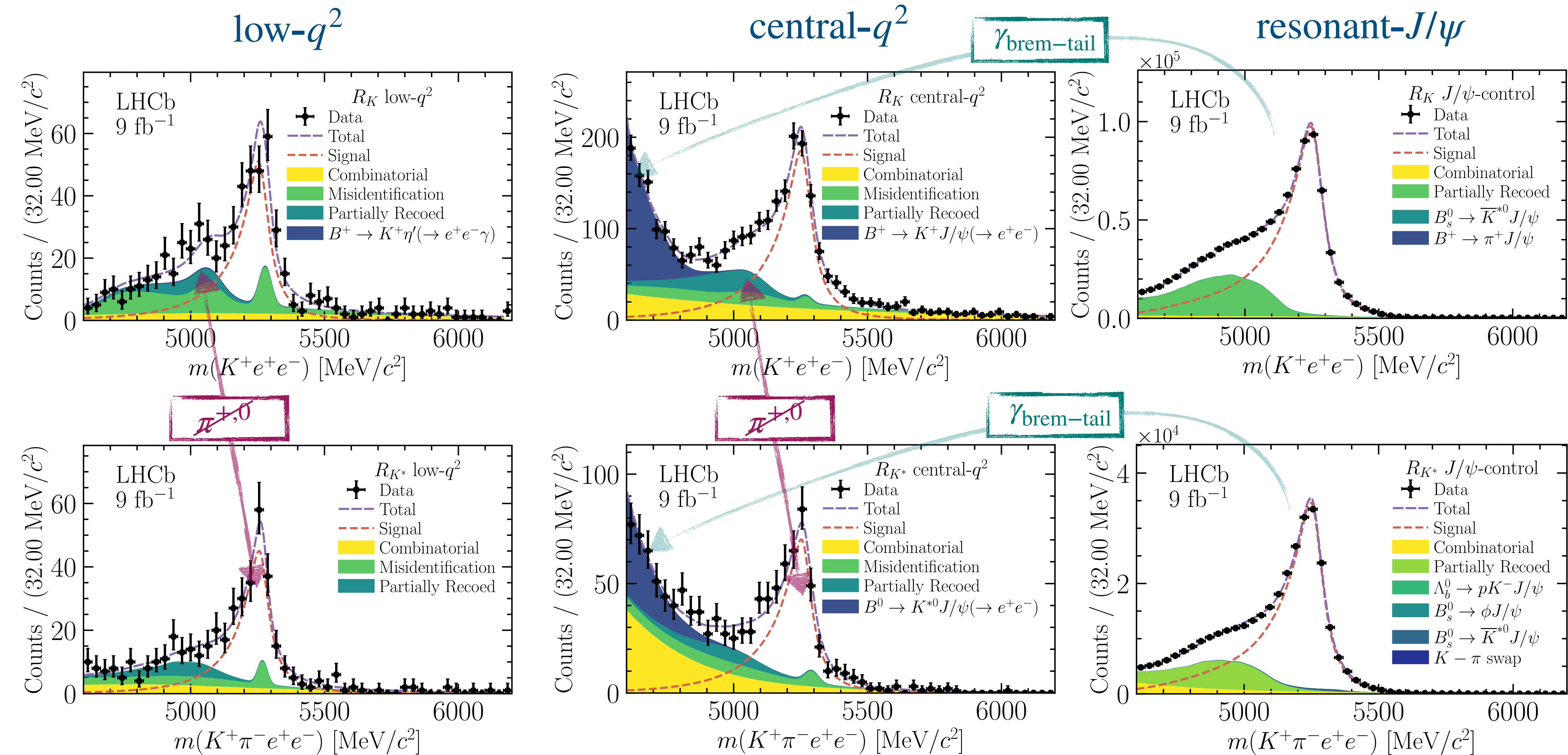
resonant- $J/\psi$

$\gamma_{\text{brem-tail}}$

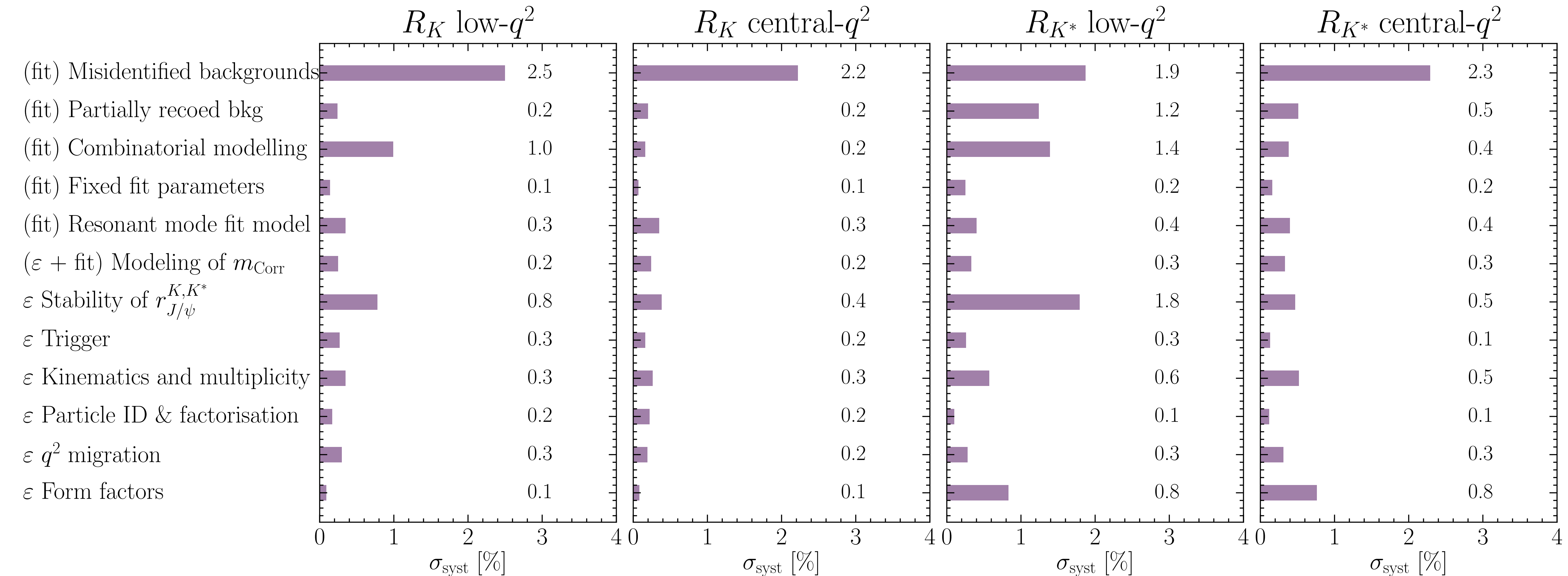
$\gamma_{\text{brem-tail}}$



# Mass fit to rare mode electrons: simultaneous fit $R_{K,K^*0}$



## Systematics break down



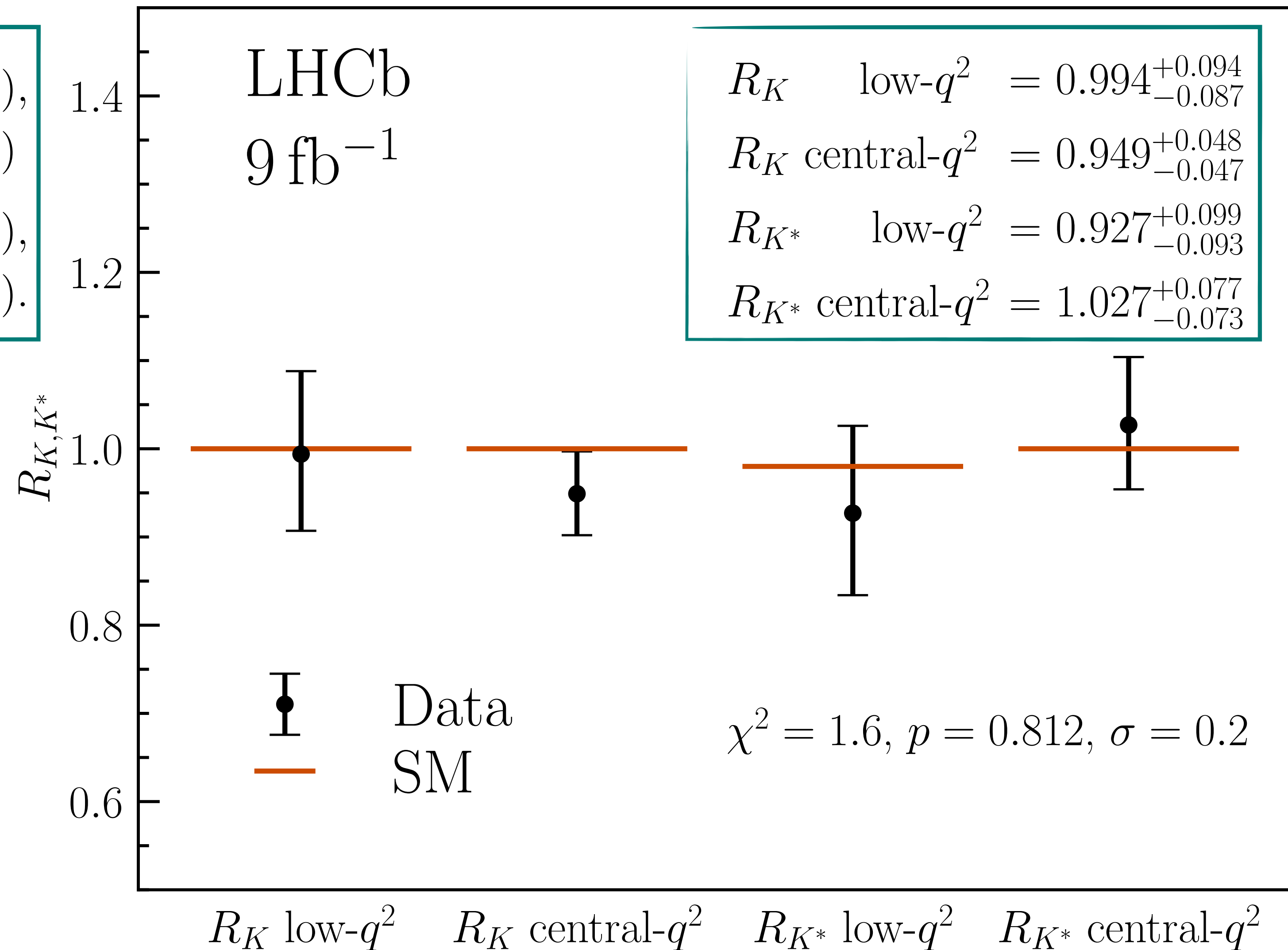
- ◆ Dominant systematic from misidentified backgrounds estimation from data driven method
- ◆ Measurement still statistically dominated



$$\text{low-}q^2 \begin{cases} R_K & = 0.994^{+0.090}_{-0.082} \text{ (stat)} \ ^{+0.027}_{-0.029} \text{ (syst)}, \\ R_{K^*} & = 0.927^{+0.093}_{-0.087} \text{ (stat)} \ ^{+0.034}_{-0.033} \text{ (syst)} \end{cases}$$

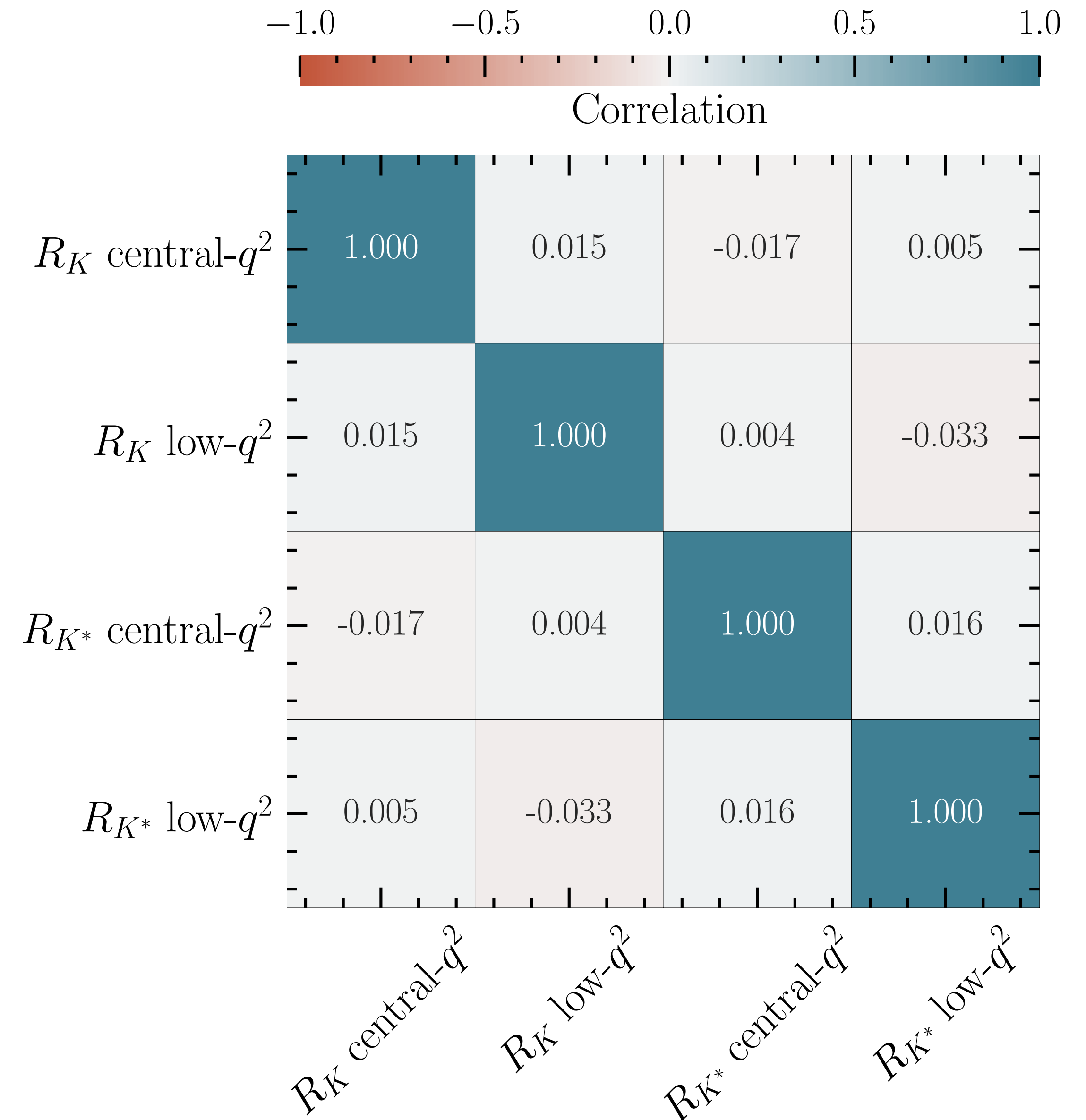
$$\text{central-}q^2 \begin{cases} R_K & = 0.949^{+0.042}_{-0.041} \text{ (stat)} \ ^{+0.023}_{-0.023} \text{ (syst)}, \\ R_{K^*} & = 1.027^{+0.072}_{-0.068} \text{ (stat)} \ ^{+0.027}_{-0.027} \text{ (syst)}. \end{cases}$$

- ◆ Most precise and accurate LFU test in  $b \rightarrow s\ell\ell$  transition
- ◆ Compatible with SM with a simple  $\chi^2$  test on 4 measurement at  $0.2 \sigma$



$$\begin{array}{l} \text{low-}q^2 \left\{ \begin{array}{l} R_K = 0.994^{+0.090}_{-0.082} \text{ (stat)} \text{ }^{+0.027}_{-0.029} \text{ (syst)}, \\ R_{K^*} = 0.927^{+0.093}_{-0.087} \text{ (stat)} \text{ }^{+0.034}_{-0.033} \text{ (syst)} \end{array} \right. \\ \text{central-}q^2 \left\{ \begin{array}{l} R_K = 0.949^{+0.042}_{-0.041} \text{ (stat)} \text{ }^{+0.023}_{-0.023} \text{ (syst)}, \\ R_{K^*} = 1.027^{+0.072}_{-0.068} \text{ (stat)} \text{ }^{+0.027}_{-0.027} \text{ (syst)}. \end{array} \right. \end{array}$$

- ◆ Correlation matrix from simultaneous fit between results



$$\text{low-}q^2 \begin{cases} R_K & = 0.994^{+0.090}_{-0.082} \text{ (stat)} \ ^{+0.027}_{-0.029} \text{ (syst)}, \\ R_{K^*} & = 0.927^{+0.093}_{-0.087} \text{ (stat)} \ ^{+0.034}_{-0.033} \text{ (syst)} \end{cases}$$

$$\text{central-}q^2 \begin{cases} R_K & = 0.949^{+0.042}_{-0.041} \text{ (stat)} \ ^{+0.023}_{-0.023} \text{ (syst)}, \\ R_{K^*} & = 1.027^{+0.072}_{-0.068} \text{ (stat)} \ ^{+0.027}_{-0.027} \text{ (syst)}. \end{cases}$$

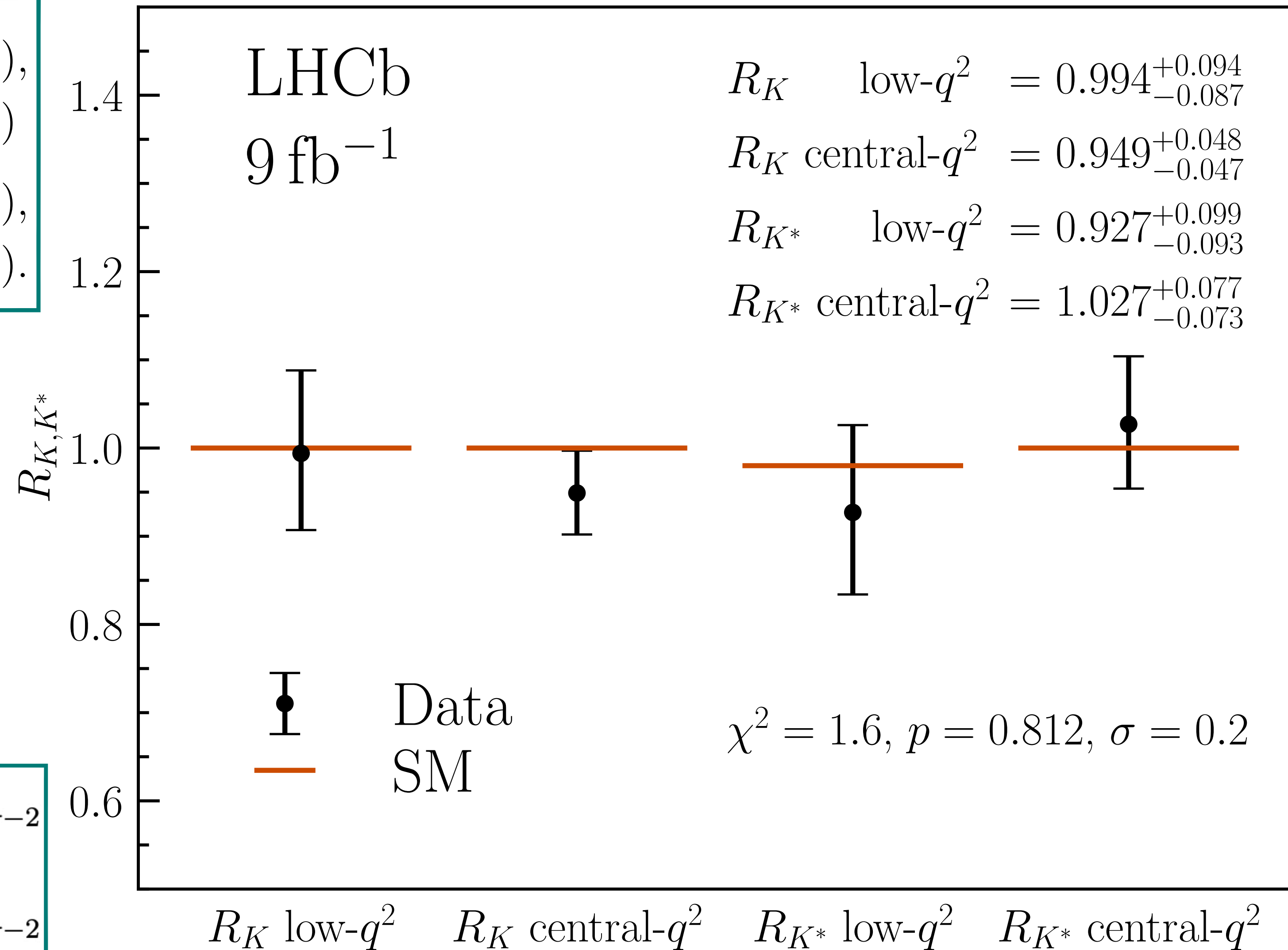
- ◆ Muon  $\mathcal{B}$  agrees to published value within the analysis
- ◆ Scaling  $R_{(K,K^*)}$  to measured branching ratios of muon mode in central  $q^2$

[JHEP 06 \(2014\) 133](#)

[JHEP 11 \(2016\) 047](#)

$$\frac{d\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}{dq^2} = (25.5^{+1.3}_{-1.2} \pm 1.1) \times 10^{-9} \text{ GeV}^{-2}$$

$$\frac{d\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}{dq^2} = (33.3^{+2.7}_{-2.6} \pm 2.2) \times 10^{-9} \text{ GeV}^{-2}$$



# Conclusion

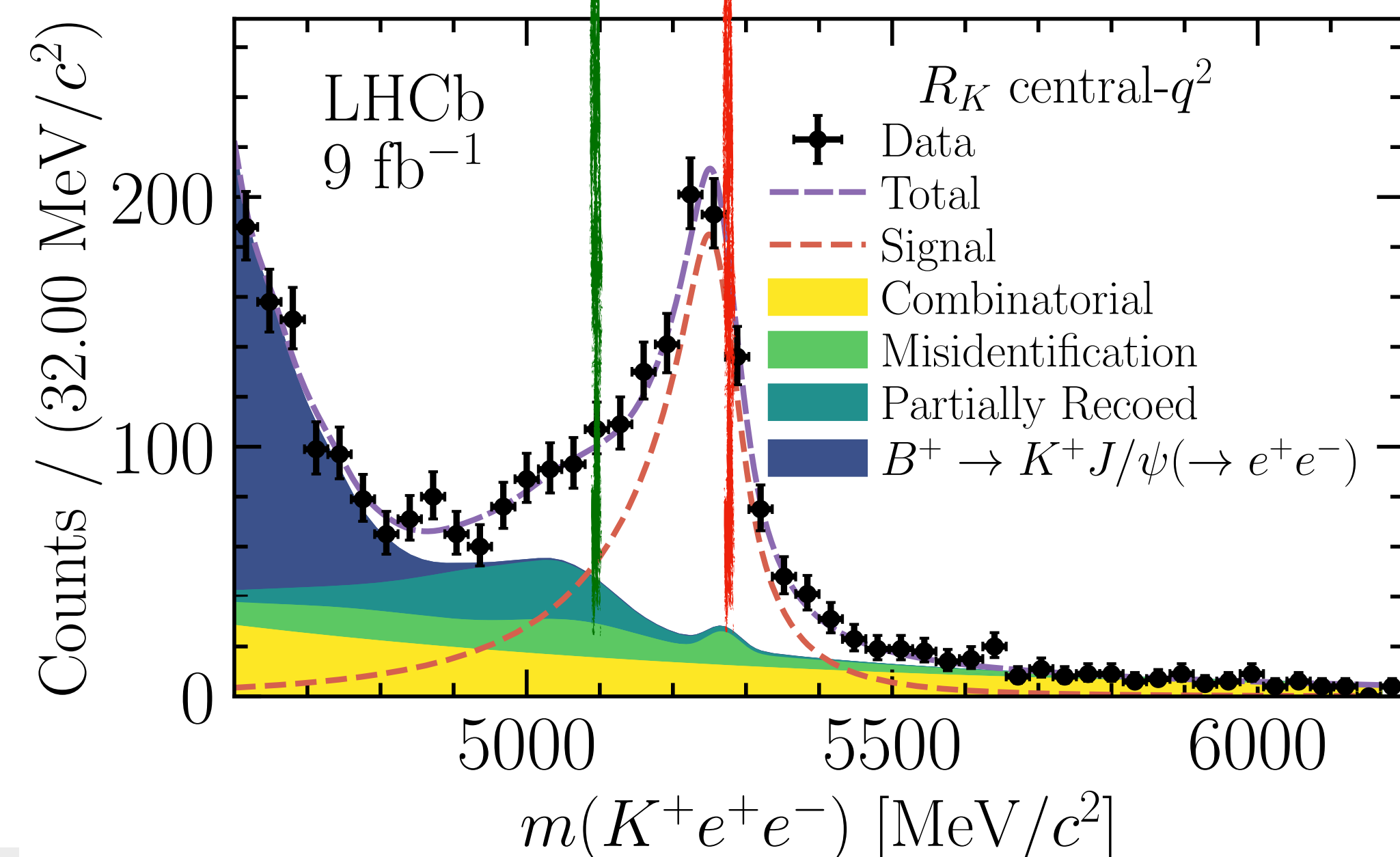
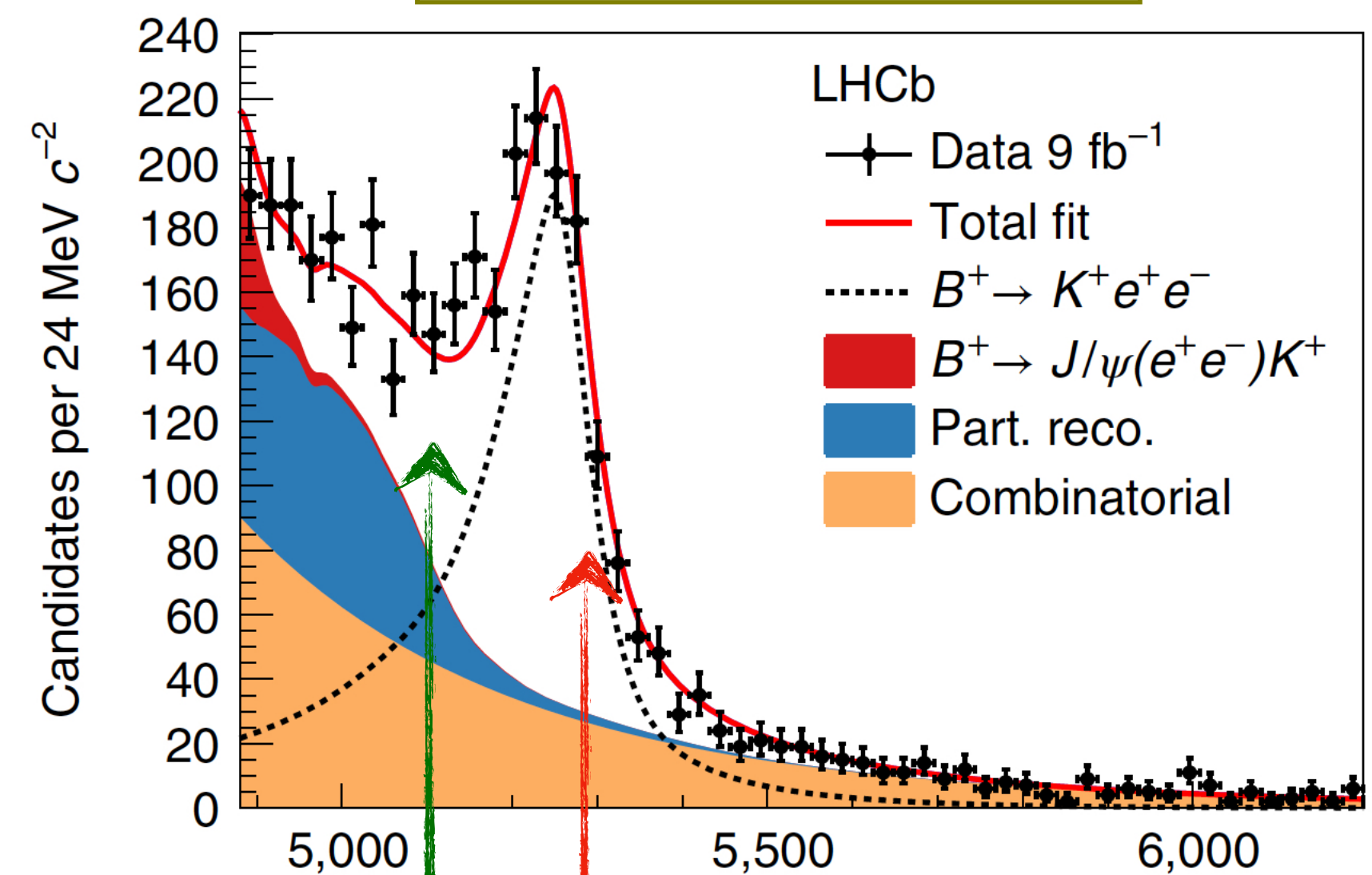
$$\begin{array}{l}
 \text{low-}q^2 \left\{ \begin{array}{l} R_K = 0.994 \begin{array}{l} +0.090 \\ -0.082 \end{array} \text{ (stat)} \begin{array}{l} +0.027 \\ -0.029 \end{array} \text{ (syst)}, \\ R_{K^*} = 0.927 \begin{array}{l} +0.093 \\ -0.087 \end{array} \text{ (stat)} \begin{array}{l} +0.034 \\ -0.033 \end{array} \text{ (syst)} \end{array} \\
 \\
 \text{central-}q^2 \left\{ \begin{array}{l} R_K = 0.949 \begin{array}{l} +0.042 \\ -0.041 \end{array} \text{ (stat)} \begin{array}{l} +0.023 \\ -0.023 \end{array} \text{ (syst)}, \\ R_{K^*} = 1.027 \begin{array}{l} +0.072 \\ -0.068 \end{array} \text{ (stat)} \begin{array}{l} +0.027 \\ -0.027 \end{array} \text{ (syst)}. \end{array}
 \end{array}$$

- ◆ **Most precise and accurate LFU test to date**
- ◆ **The results are compatible with the SM at 0.2  $\sigma$  level**
- ◆ In-depth revision and understanding of electron misidentification in this analysis
- ◆ Take all the knowledge acquired and bring it to the Run III data taking
- ◆ Brand new detector in Run III ( a brand new detector )

# Backup

# Comparison to previous $R_K$ measurement

[Nat. Phys. 18, 277-282 (2022)]



- ◆ Different PID cut used → Allowed  $\sigma_{stat} : \pm 0.033$
- ◆ Mis-ID rate from  $D^{*-} \rightarrow D^0(K\pi)\pi$
- ◆ With **new(previous)** analysis requirements

	Sample	$\pi \rightarrow e$			$K \rightarrow e$		
(11+12)	RUN 1	1.78	(1.70) %		0.69	(1.24) %	
(15+16)	RUN 2P1	0.83	(1.51) %		0.18	(1.25) %	
(17+18)	RUN 2P2	0.80	(1.50) %		0.16	(1.23) %	

single-misID  $\times 1$  (Run1)  $\times 2$  (Run1)  
 $\times 2$  (Run2)  $\times 7$  (Run2)

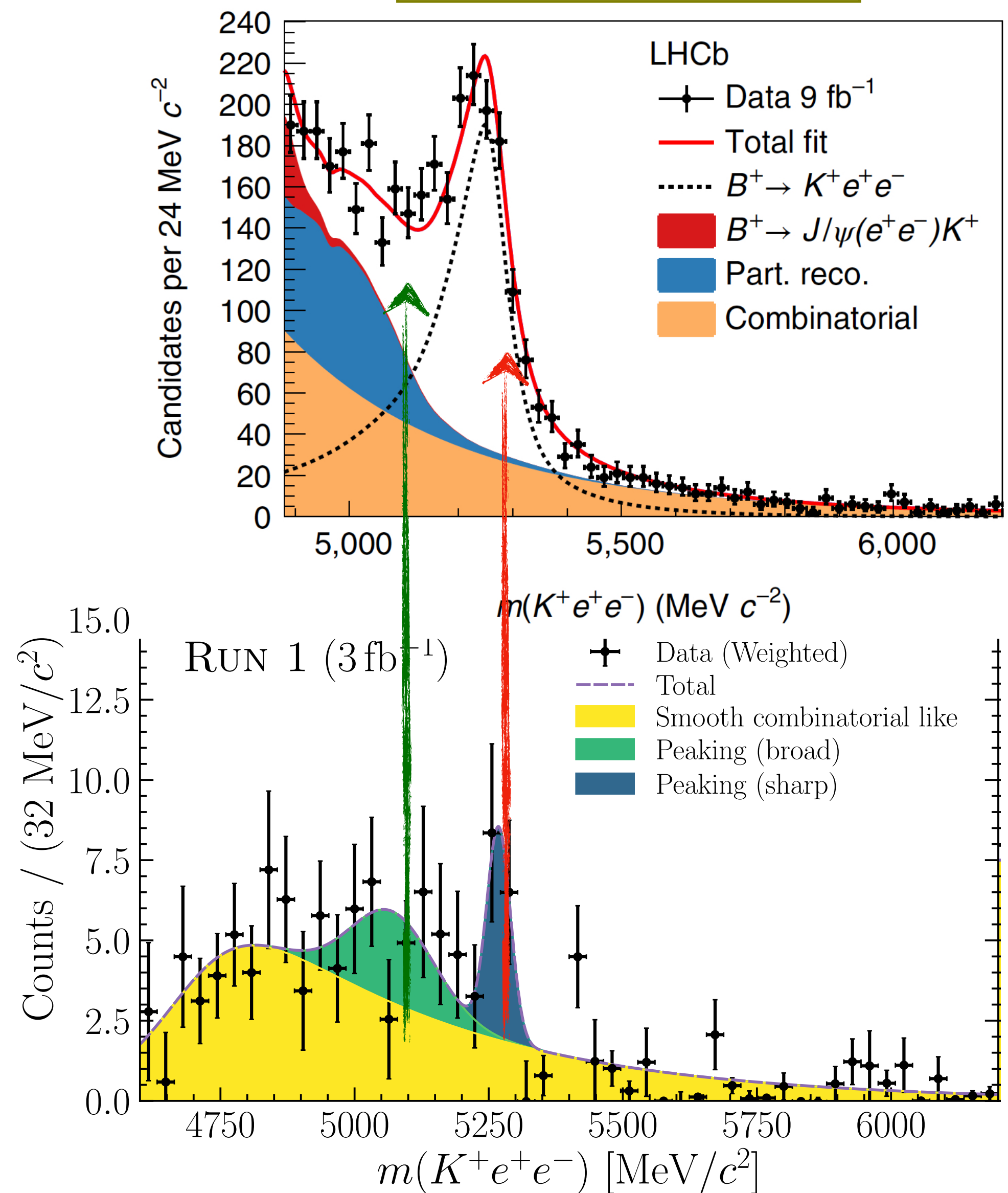
double-misID  $\times 1^2$  (Run1)  $\times 2^2$  (Run1)  
 $\times 2^2$  (Run2)  $\times 7^2$  (Run2)

- ◆ Shift due to contamination at looser working point :  $+0.064$
- ◆ Shift due to not inclusion of background in mass fit:  $+0.038$

Adds linearly

# Comparison to previous $R_K$ measurement

[Nat. Phys. 18, 277-282 (2022)]



- ◆ Different PID cut used → Allowed  $\sigma_{stat} : \pm 0.033$
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- ◆ With **new(previous)** analysis requirements

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(11+12) RUN 1	1.78	(1.70) %		0.69	(1.24) %	
(15+16) RUN 2P1	0.83	(1.51) %		0.18	(1.25) %	
(17+18) RUN 2P2	0.80	(1.50) %		0.16	(1.23) %	

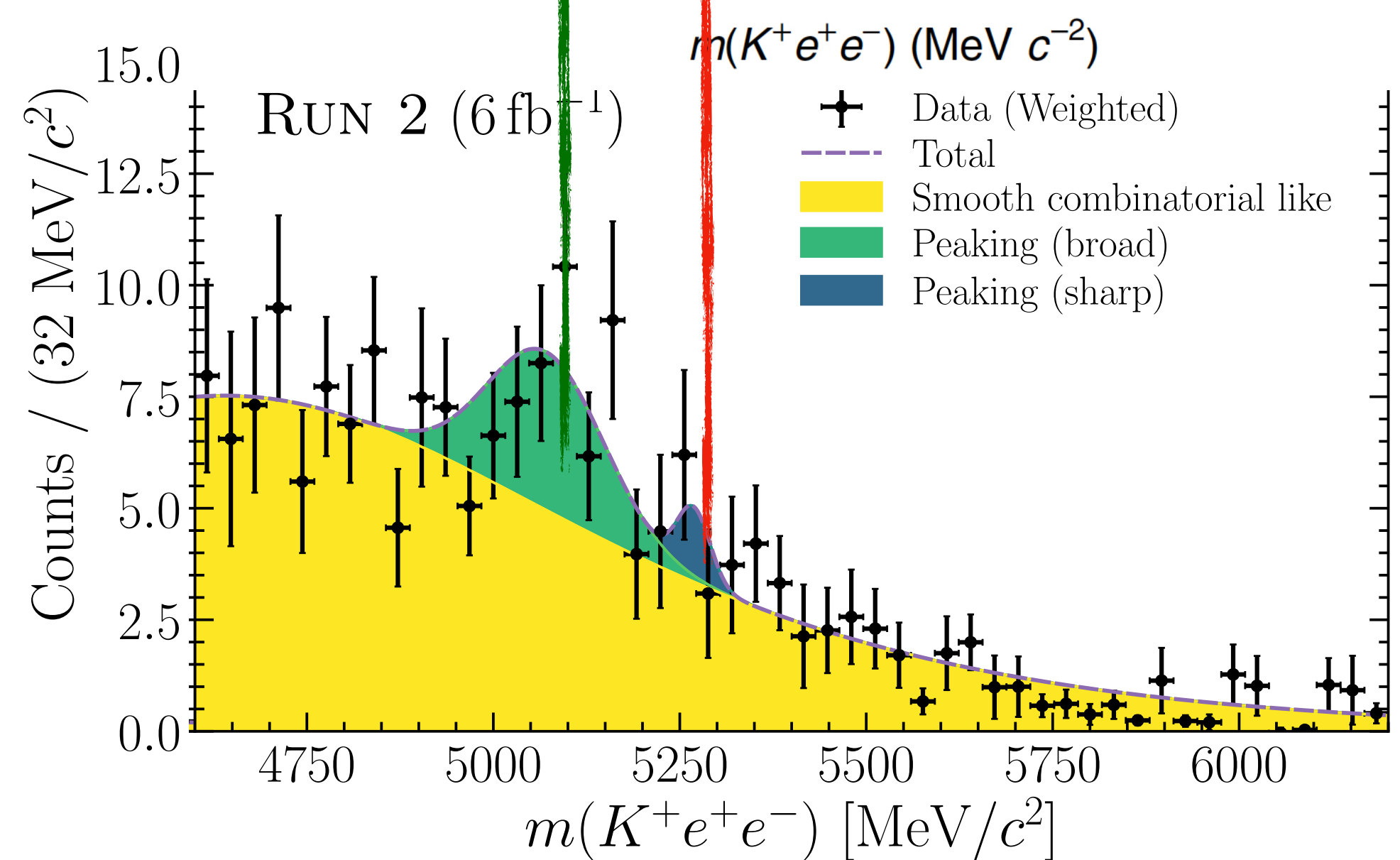
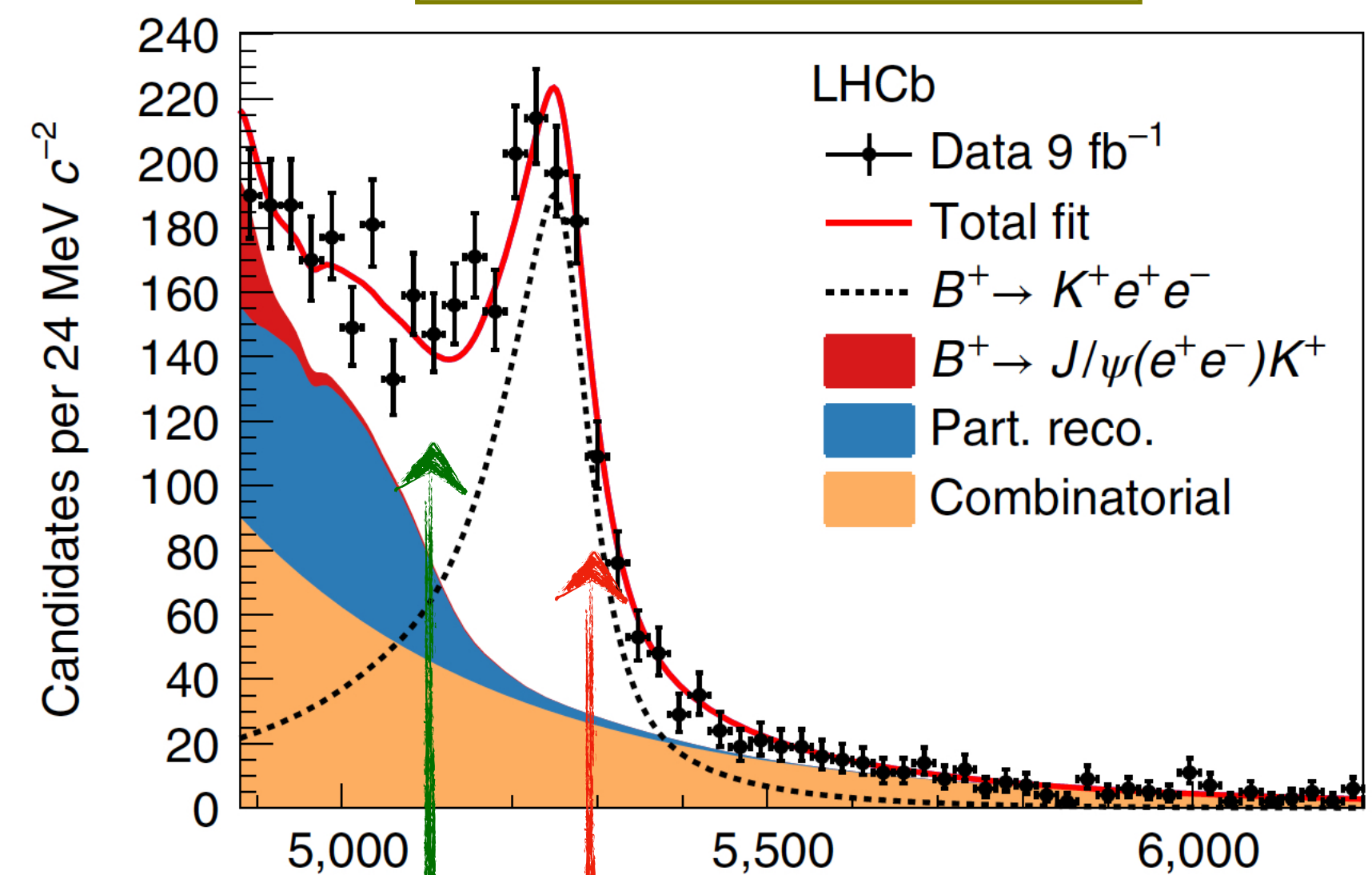
single-misID	× 1 (Run1)	× 2 (Run1)
	× 2 (Run2)	× 7 (Run2)
double-misID	× 1 <sup>2</sup> (Run1)	× 2 <sup>2</sup> (Run1)
	× 2 <sup>2</sup> (Run2)	× 7 <sup>2</sup> (Run2)

- ◆ Shift due to contamination at looser working point : **+0.064**
- ◆ Shift due to not inclusion of background in mass fit: **+0.038**

Adds linearly

# Comparison to previous $R_K$ measurement

[Nat. Phys. 18, 277-282 (2022)]



- ◆ Different PID cut used → Allowed  $\sigma_{stat} : \pm 0.033$
- ◆ Mis-ID rate from  $D^{*-} \rightarrow D^0(K\pi)\pi$
- ◆ With **new(previous)** analysis requirements

Sample	$\pi \rightarrow e$			$K \rightarrow e$		
(11+12) RUN 1	1.78	(1.70) %		0.69	(1.24) %	
(15+16) RUN 2P1	0.83	(1.51) %		0.18	(1.25) %	
(17+18) RUN 2P2	0.80	(1.50) %		0.16	(1.23) %	

single-misID  $\times 1$  (Run1)  $\times 2$  (Run1)  
 $\times 2$  (Run2)  $\times 7$  (Run2)

double-misID  $\times 1^2$  (Run1)  $\times 2^2$  (Run1)  
 $\times 2^2$  (Run2)  $\times 7^2$  (Run2)

- ◆ Shift due to contamination at looser working point :  $+0.064$
- ◆ Shift due to not inclusion of background in mass fit:  $+0.038$

Adds linearly



low- $q^2$ region:	$0.1 < q^2 < 1.1 \text{ GeV}^2/c^4$ ,
central- $q^2$ region:	$1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$ ,
electron $J/\psi$ region:	$6 < q^2 < 11 \text{ GeV}^2/c^4$ ,
muon $J/\psi$ region:	$ m(\ell^+ \ell^-) - M_{J/\psi}^{\text{PDG}}  < 100 \text{ MeV}/c^2$ ,
electron $\psi(2S)$ region:	$11 < q^2 < 15 \text{ GeV}^2/c^4$ ,
muon $\psi(2S)$ region:	$ m(\ell^+ \ell^-) - M_{\psi(2S)}^{\text{PDG}}  < 100 \text{ MeV}/c^2$ ,

# Fit ranges and observed yields

## Fit ranges

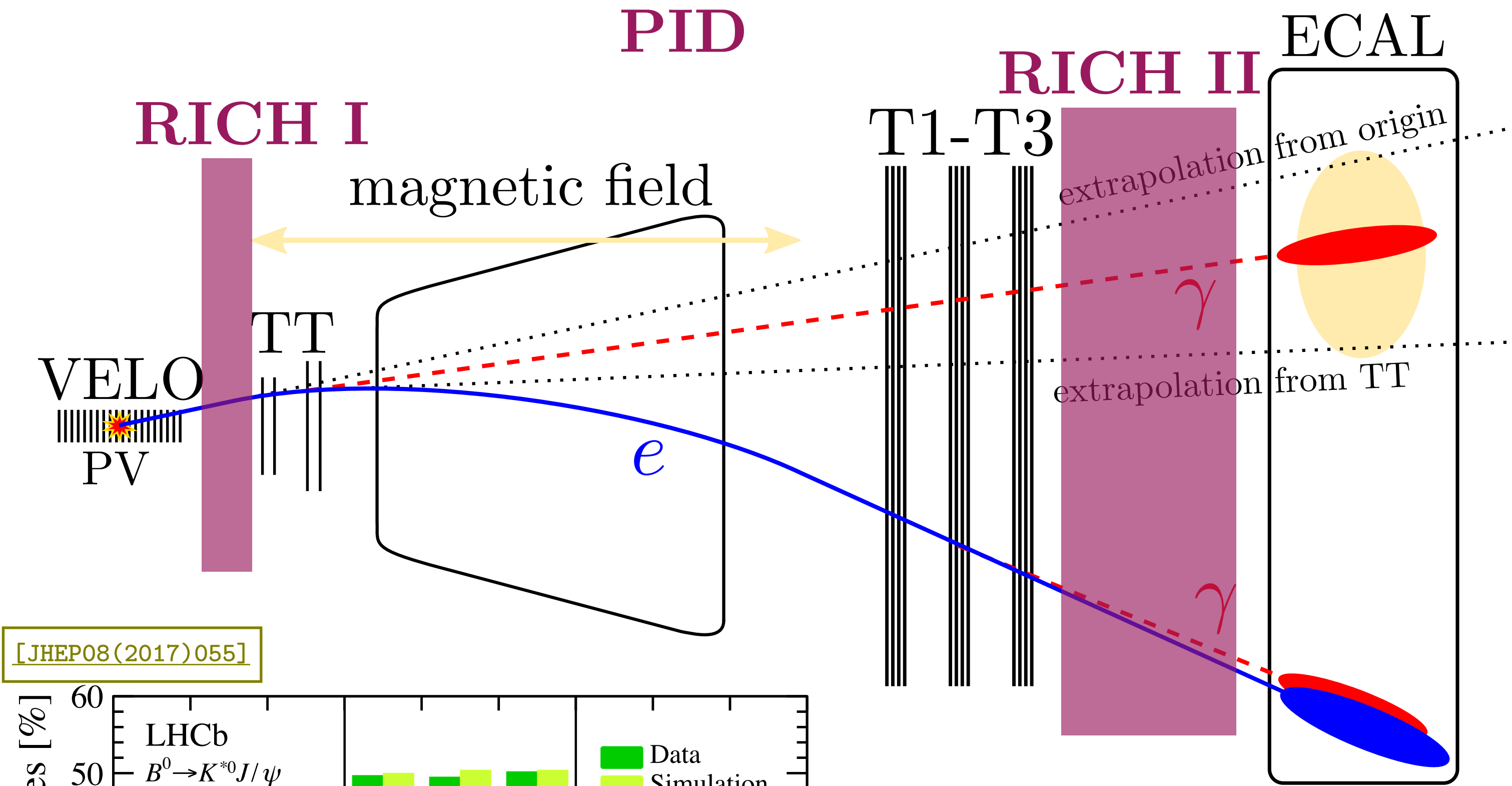
## Measured yields from simultaneous fit to $R_X$

Lepton	$q^2$ region	Fit type	Range ( MeV/ $c^2$ )	LU observable	Muon ( $\times 10^3$ )	Electron ( $\times 10^3$ )
Electron	low, central	unconstrained	4600–6200	low- $q^2$ $R_K$	$1.25 \pm 0.04$	$0.305 \pm 0.024$
	$J/\psi$	unconstrained	4600–6200	low- $q^2$ $R_{K^*}$	$1.001 \pm 0.034$	$0.247 \pm 0.022$
		constrained	4900–6200	central- $q^2$ $R_K$	$4.69 \pm 0.08$	$1.19 \pm 0.05$
	$\psi(2S)$	constrained	5100–5750	central- $q^2$ $R_{K^*}$	$1.74 \pm 0.05$	$0.443 \pm 0.028$
Muon	low, central	unconstrained	5150–5850	$J/\psi$ $R_K$	$(2.964 \pm 0.002) \times 10^3$	$(7.189 \pm 0.015) \times 10^2$
	$J/\psi$	unconstrained	5100–6100	$J/\psi$ $R_{K^*}$	$(9.733 \pm 0.010) \times 10^2$	$(2.517 \pm 0.009) \times 10^2$
		constrained	5100–6100			
	$\psi(2S)$	constrained	5100–5750			

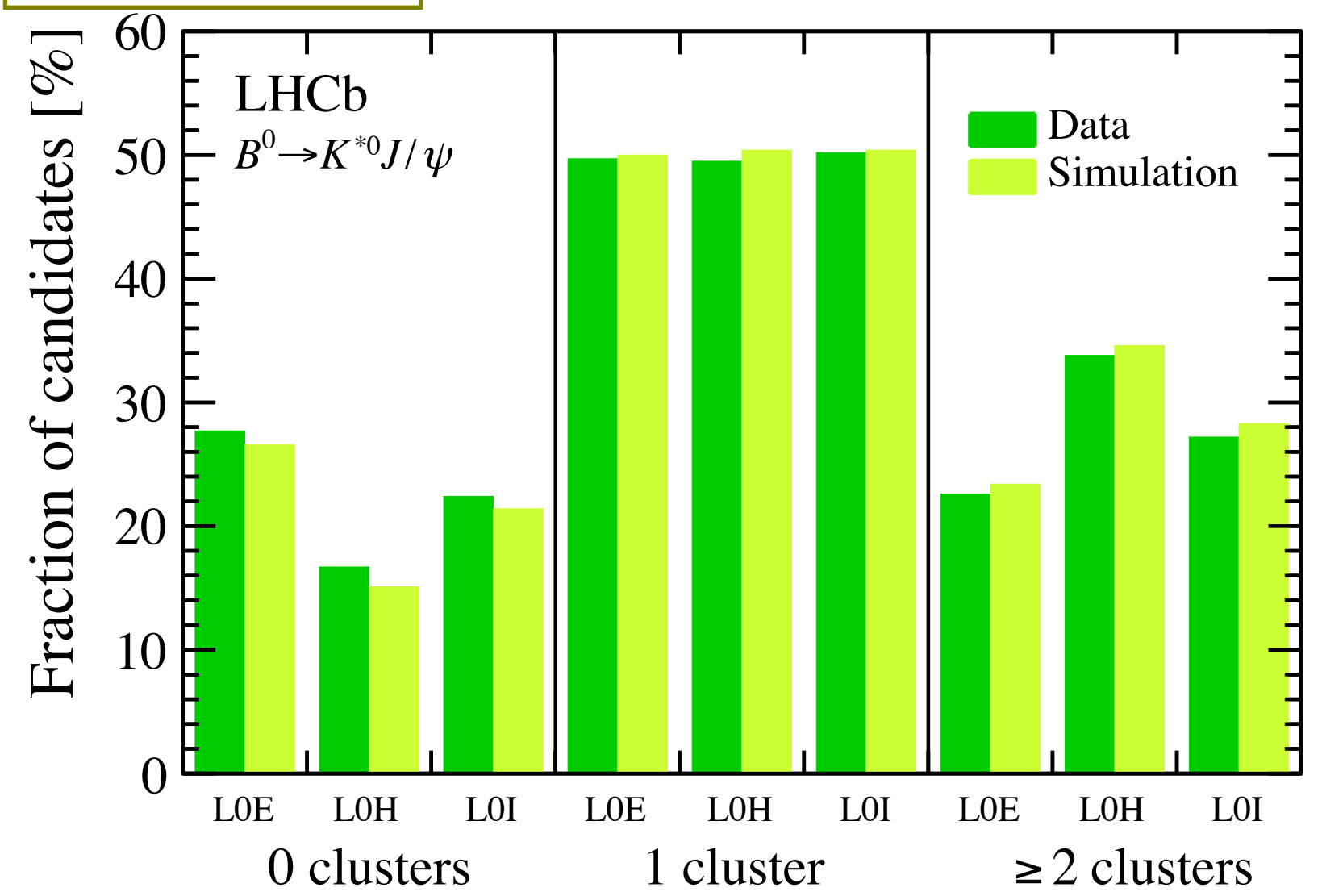
“constrained” for cross-checks

“unconstrained” for simultaneous fit to  $R_X$

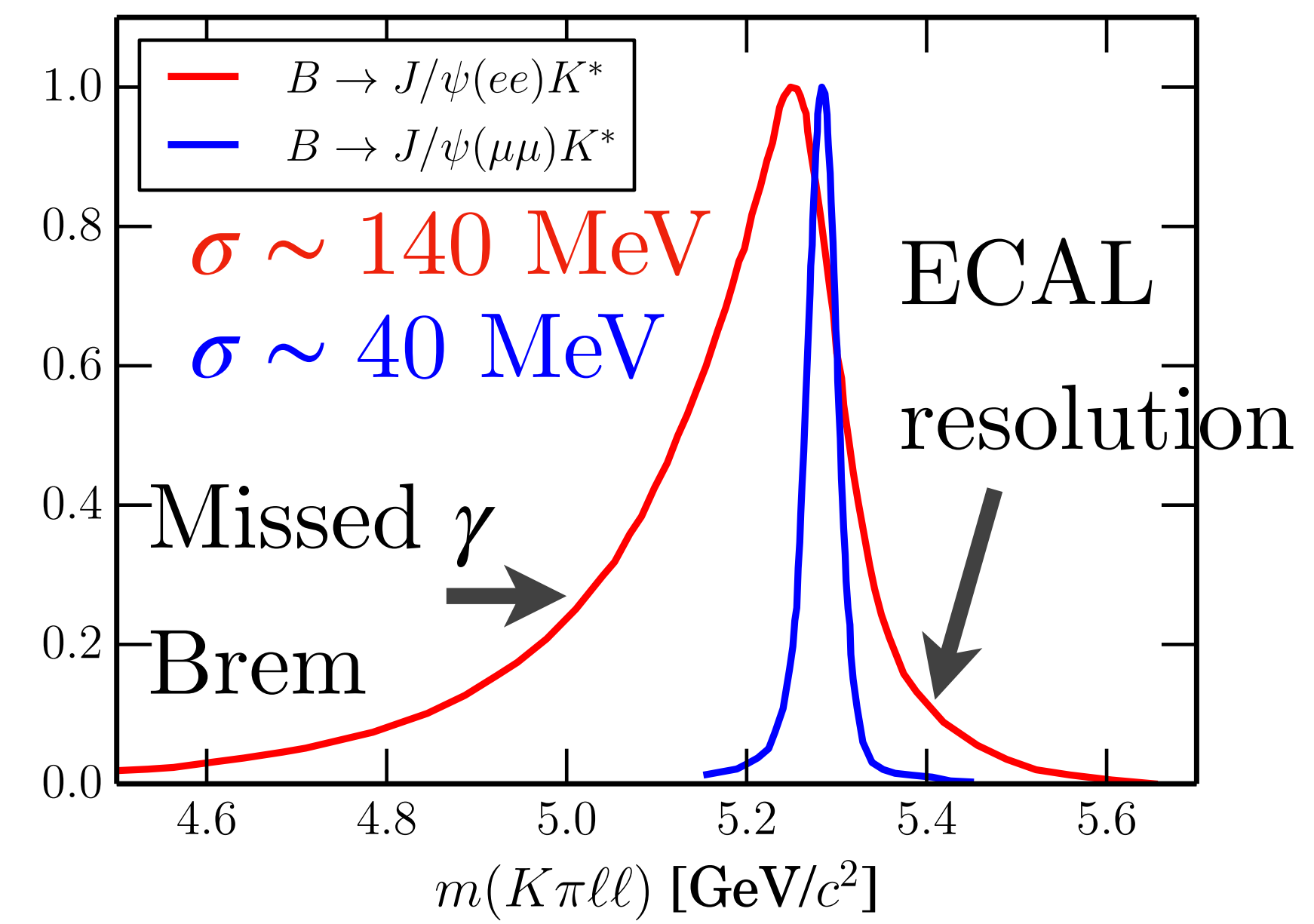
# Challenges in LFU tests: electrons and energy losses



[JHEP08(2017)055]



Brem recovery is  
 $\approx O(50\%)$  efficient  
Well described in  
simulation

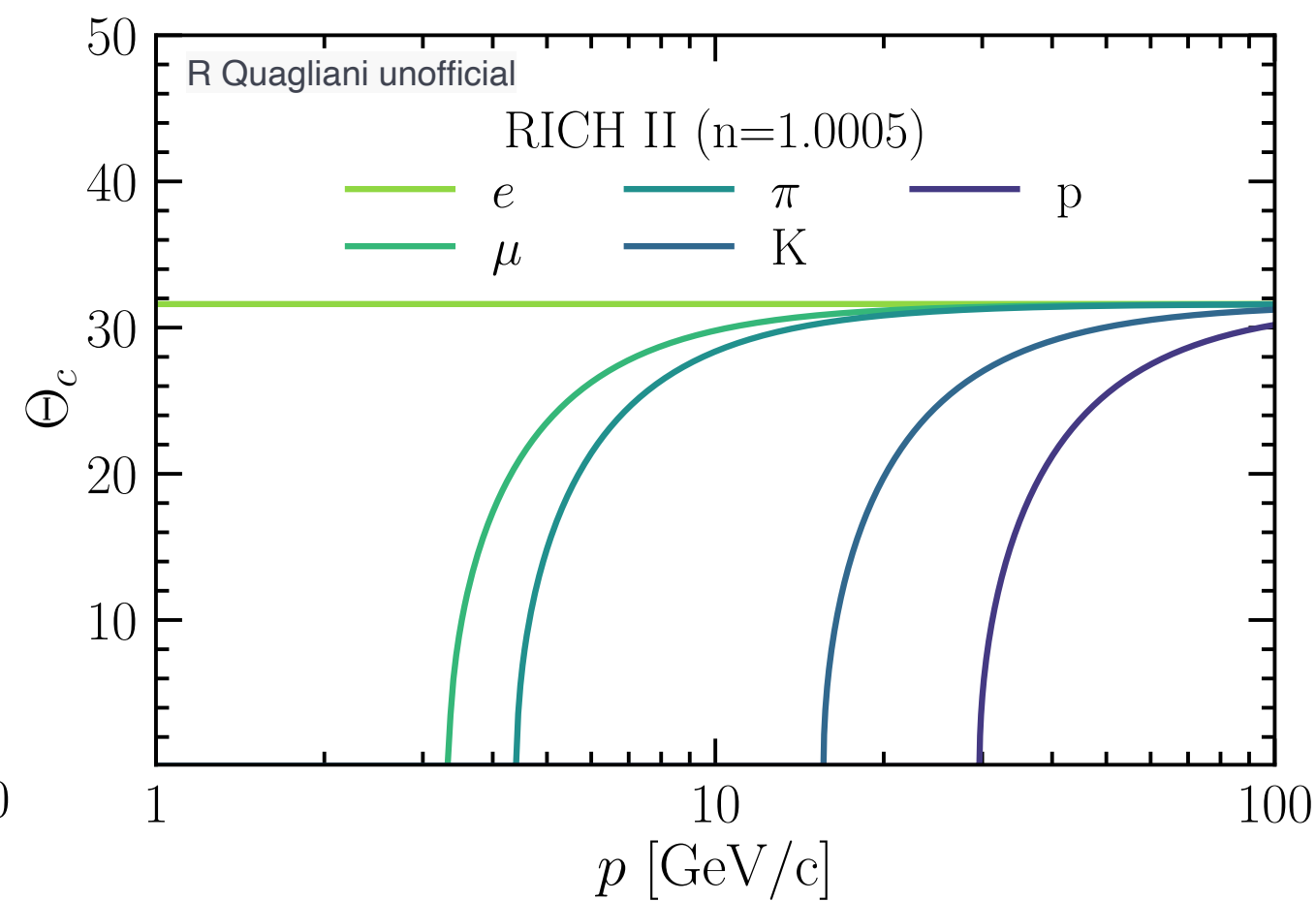
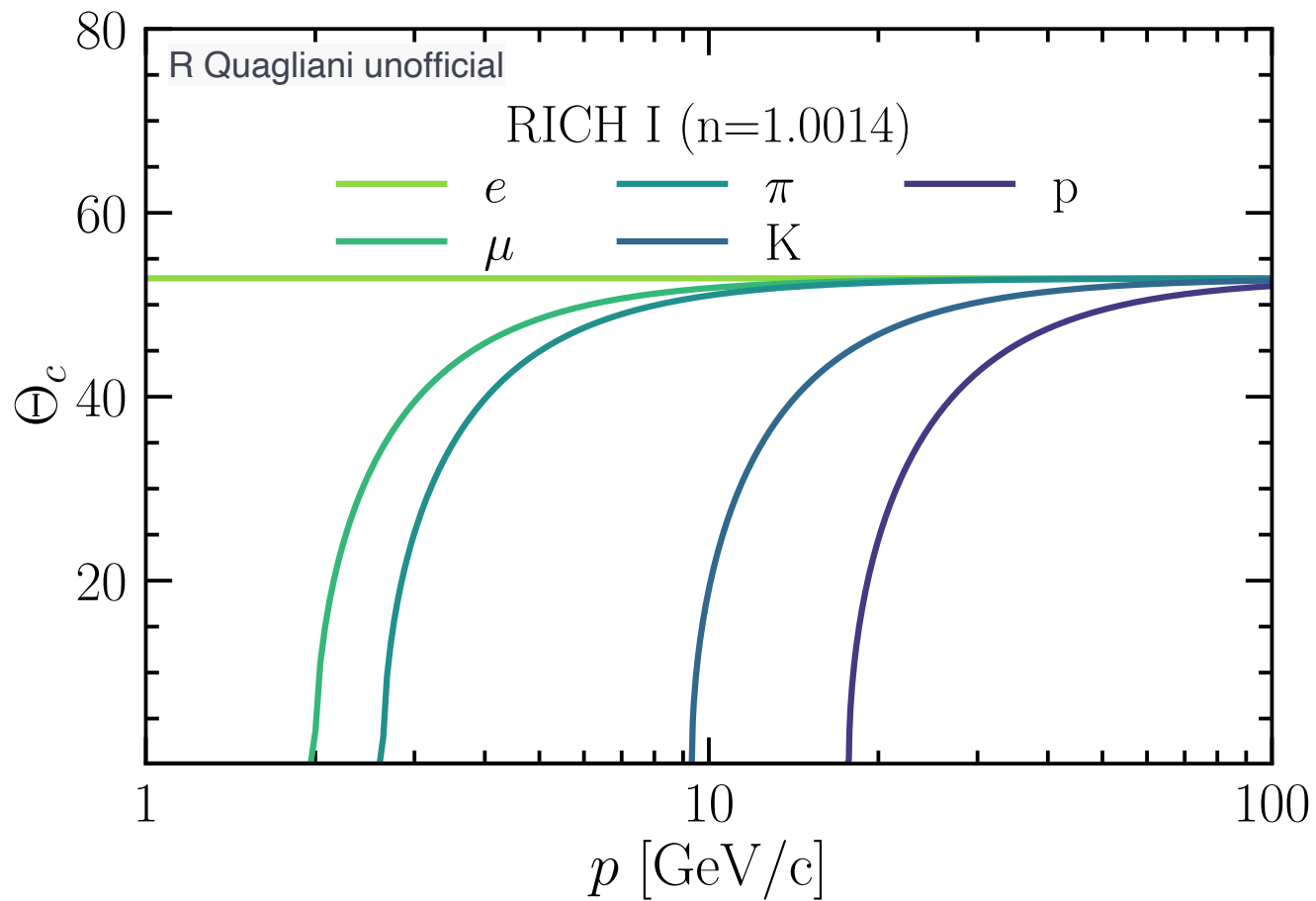
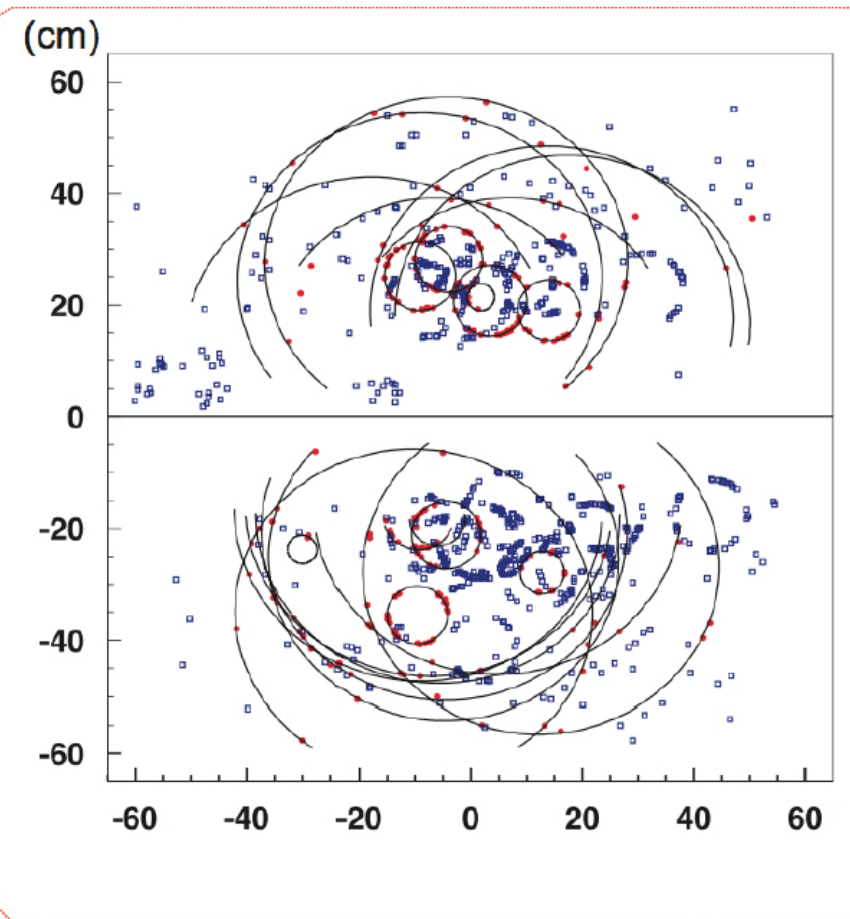
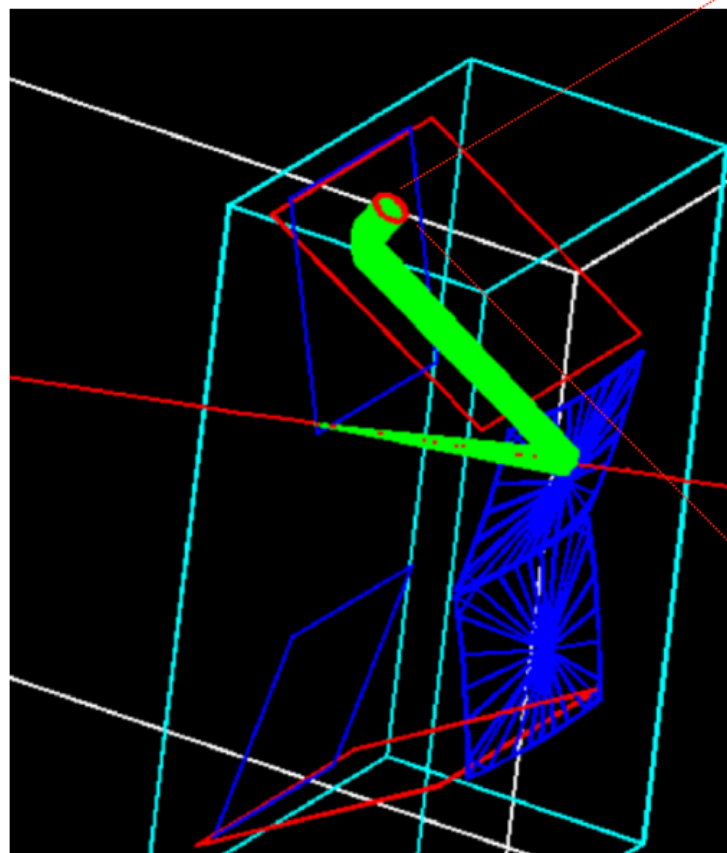


Wider fit range than muons:  
more background,  
more sensitive to peaking structures,  
lineshapes are brem-dependent

From RICH I (upstream) and RICH II  
(downstream) detector

$$m = \frac{p}{c\beta\gamma} = \frac{1}{n\beta \cos\theta_C}$$

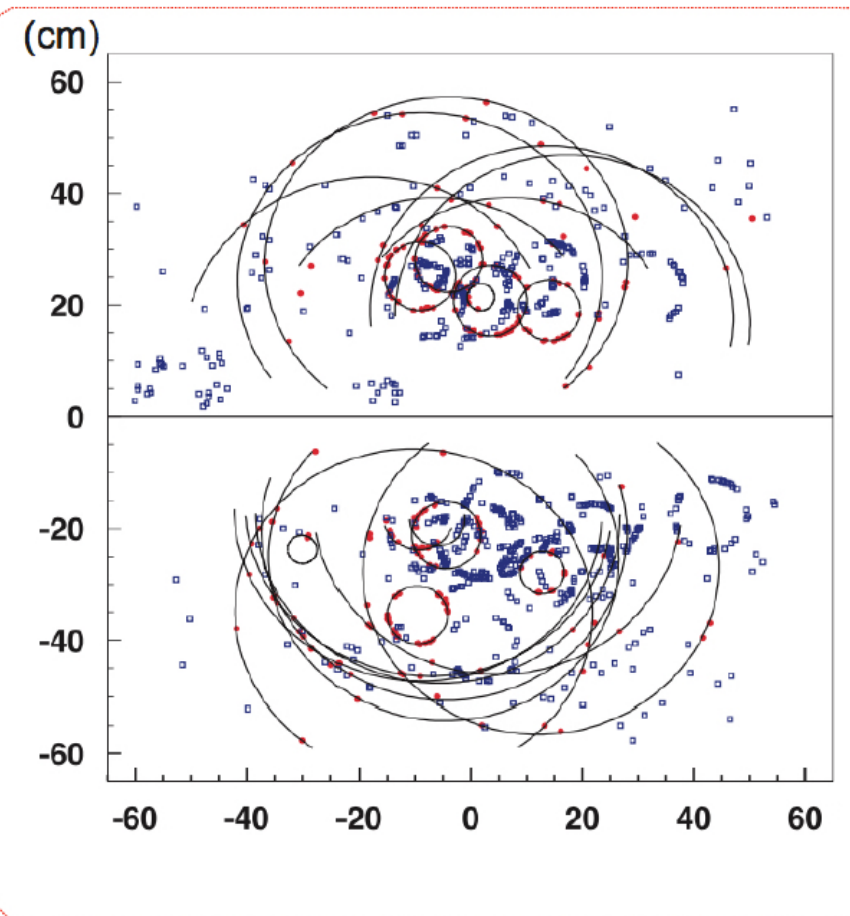
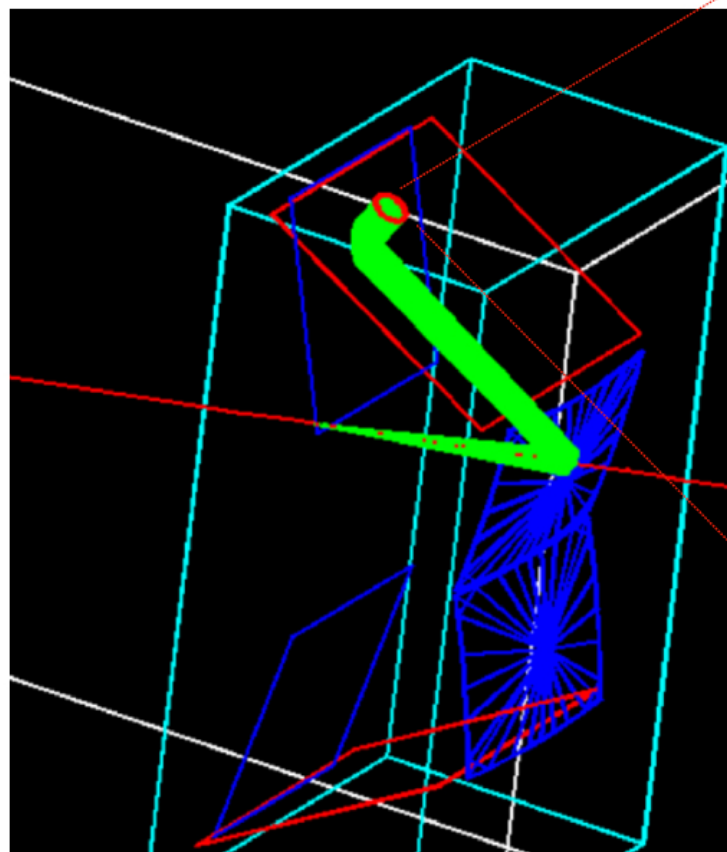
$p$  ← from tracking  
 Ring Radius  
 $\cos\theta_C = \frac{1}{n\beta}$



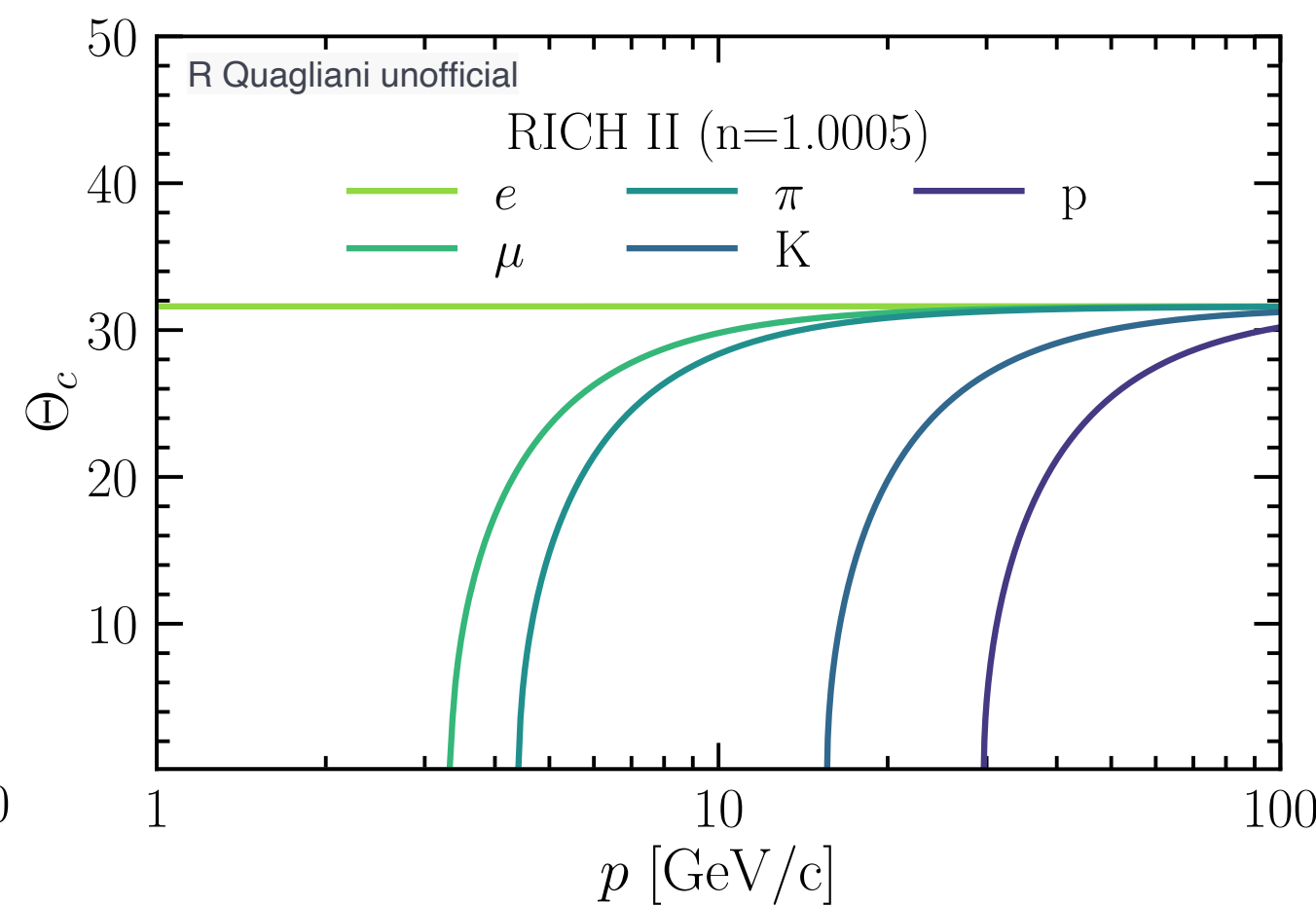
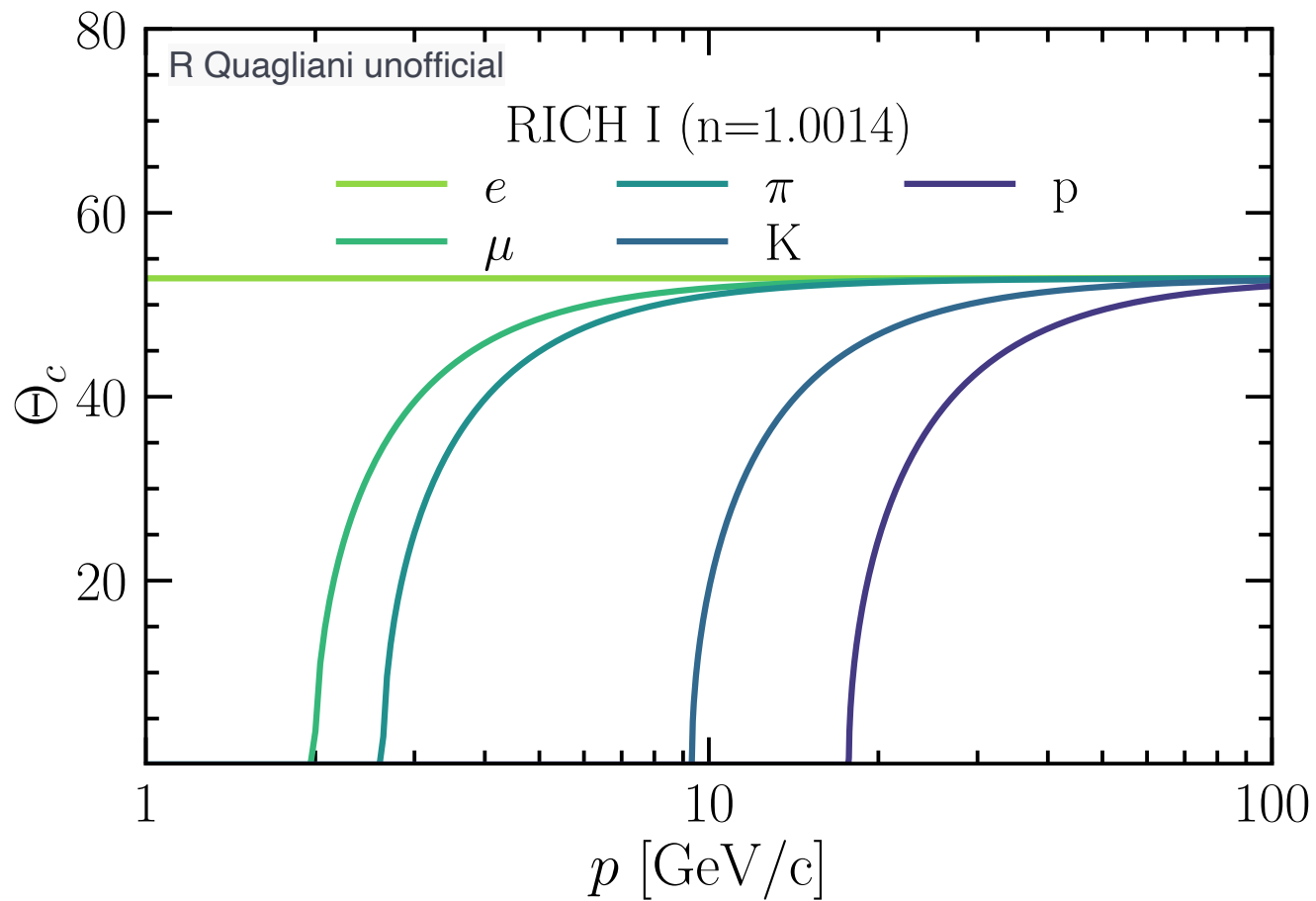
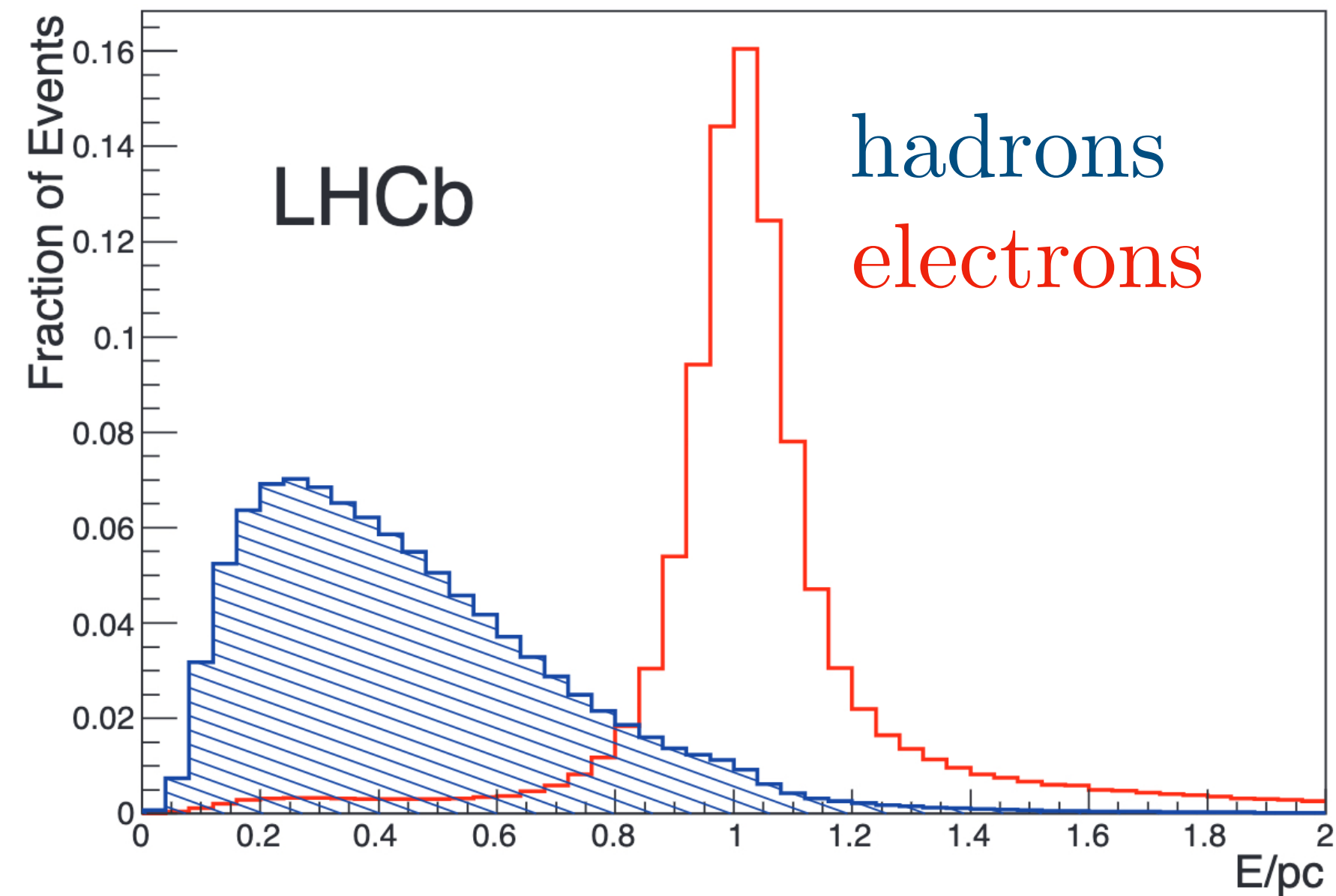
From RICH I (upstream) and RICH II  
(downstream) detector

$$m = \frac{p}{c\beta\gamma} \quad \text{from tracking} \quad \cos \theta_C = \frac{1}{n\beta}$$

Ring Radius



From ECAL

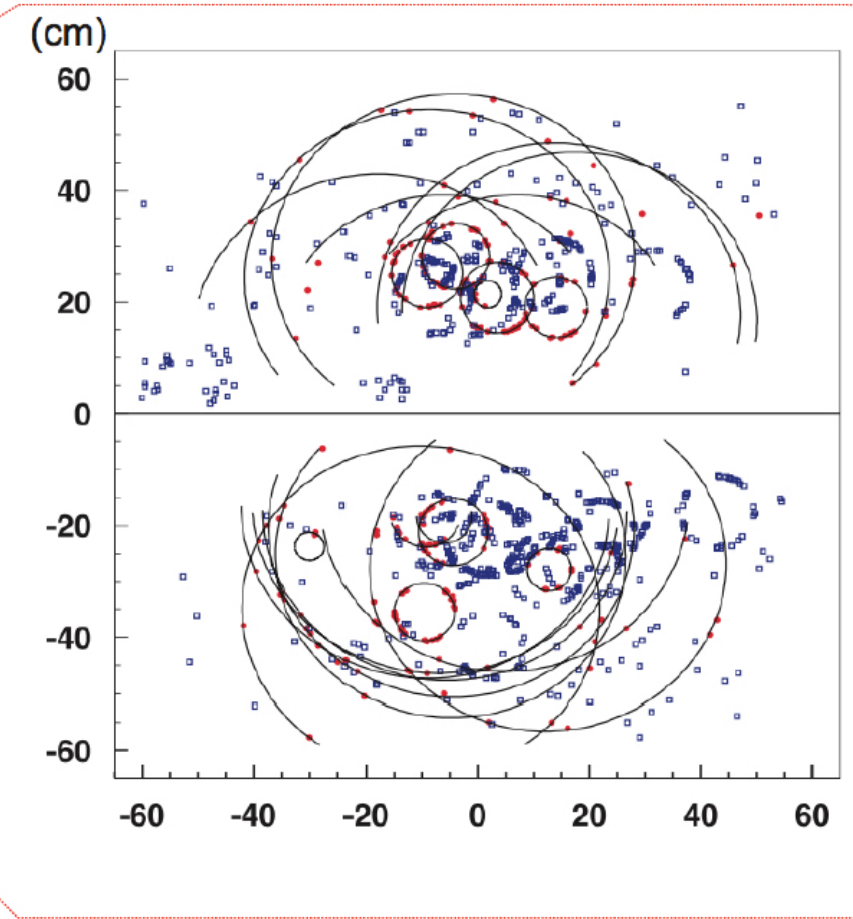
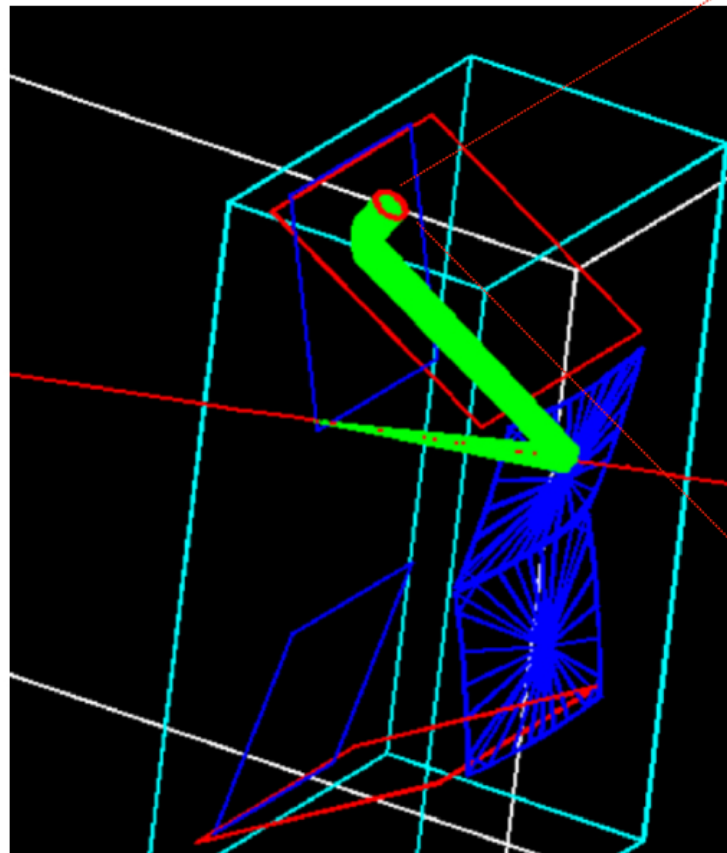


- ◆  $DLL(e) = \sum_{\text{ECAL,HCAL,RICH,MUON}} \Delta \log \mathcal{L}(e - \pi)$
- ◆ **ProbNNe** = Neural Net using tracking + PID of each detectors: *e/h* separation from simple sub-detectors greatly improved.

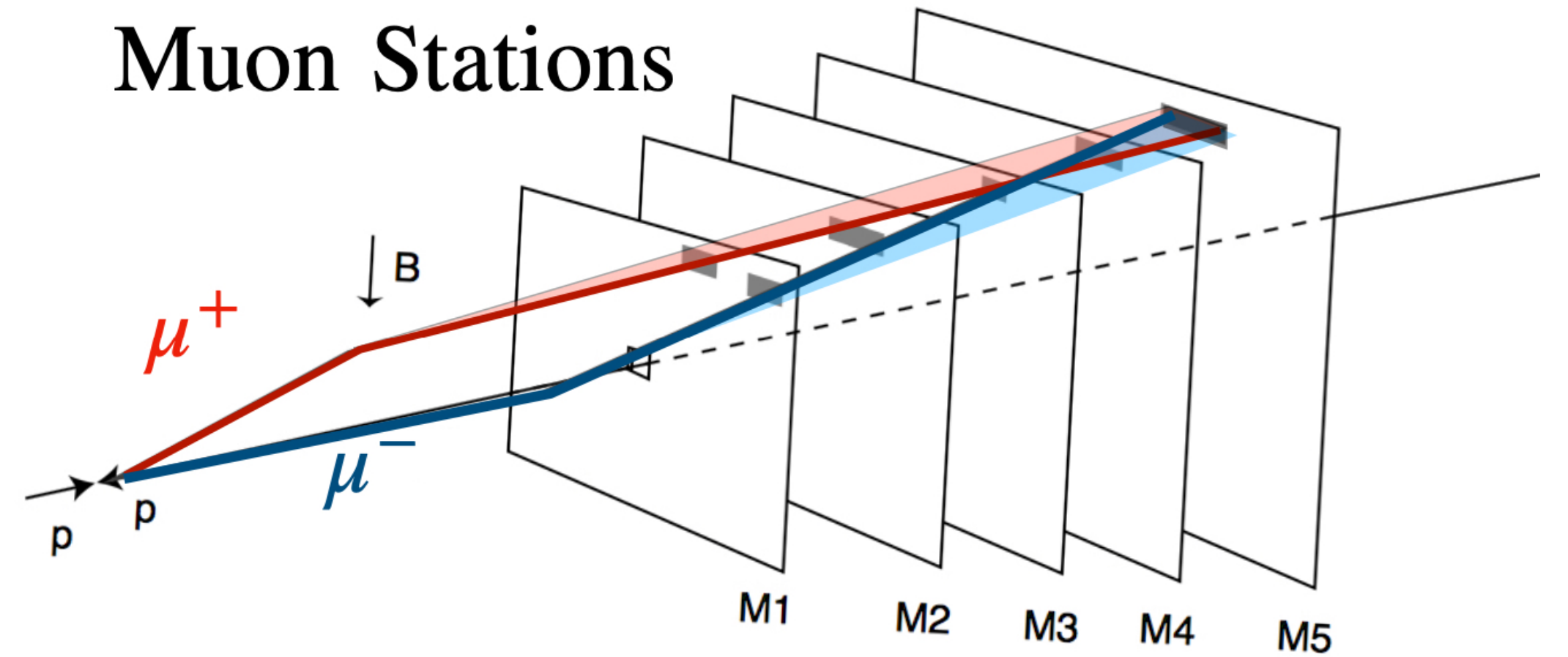
From RICH I (upstream) and RICH II (downstream) detector

$$m = \frac{p}{c\beta\gamma} \quad \text{from tracking} \quad \cos\theta_C = \frac{1}{n\beta}$$

Ring Radius



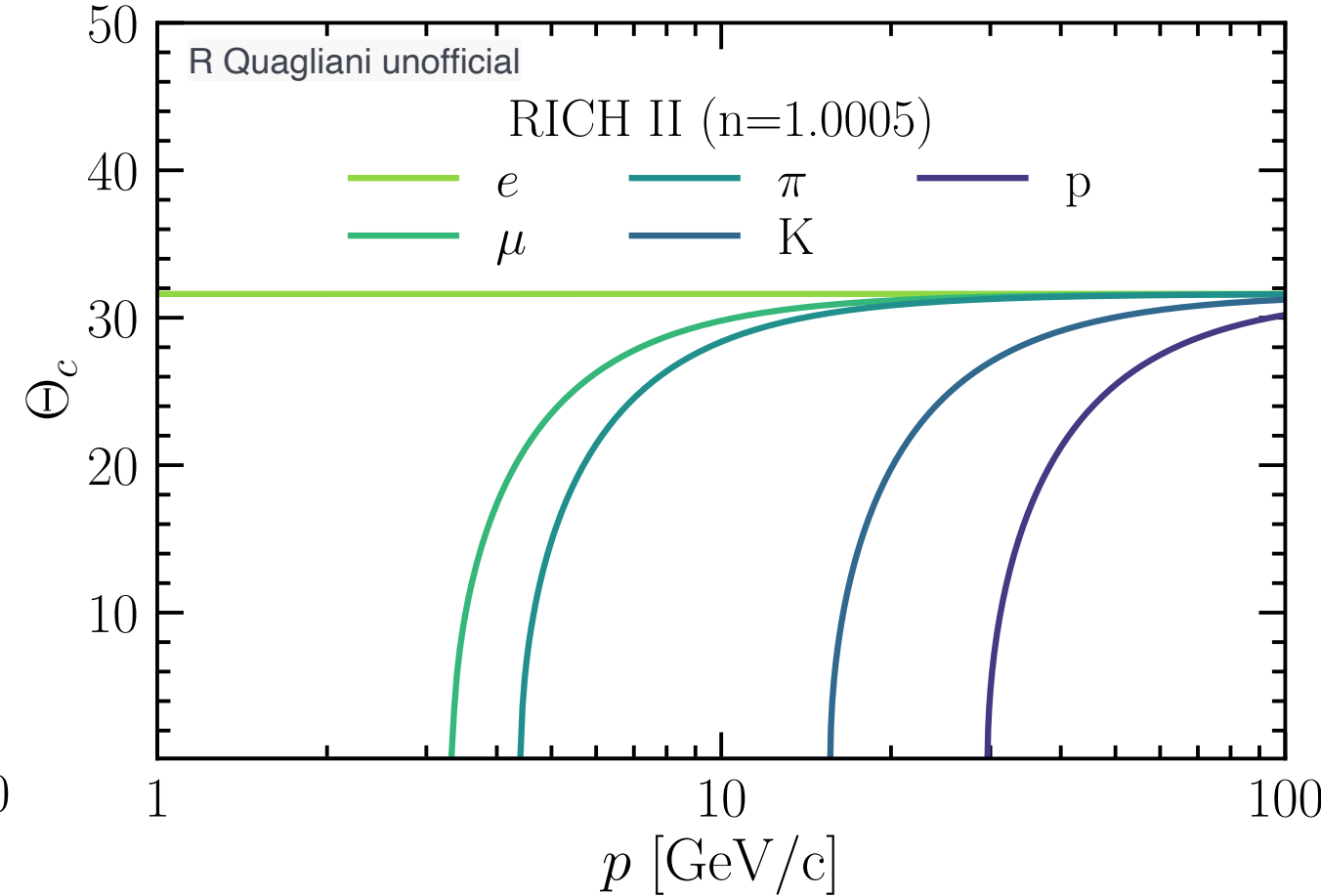
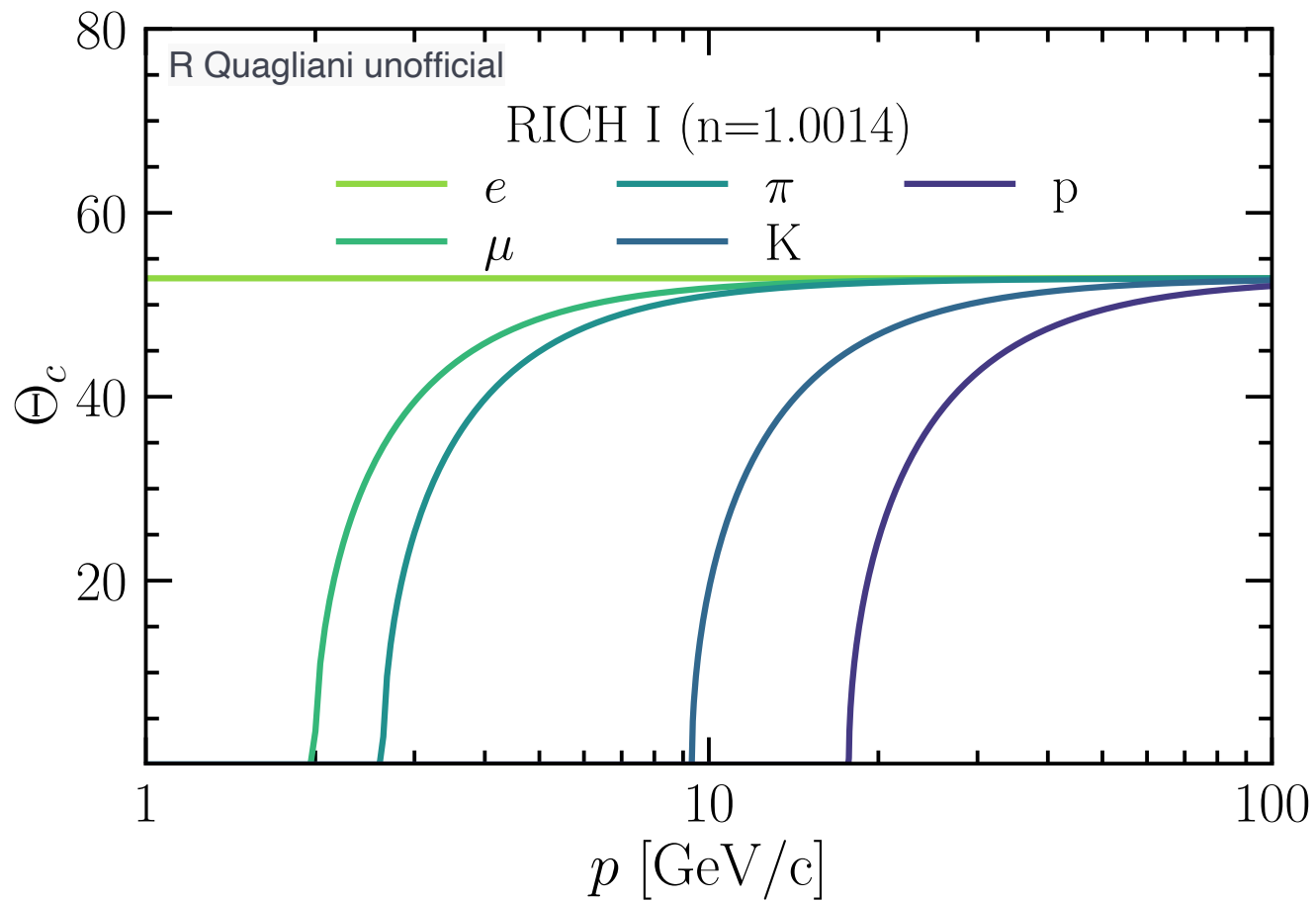
## Muon Stations



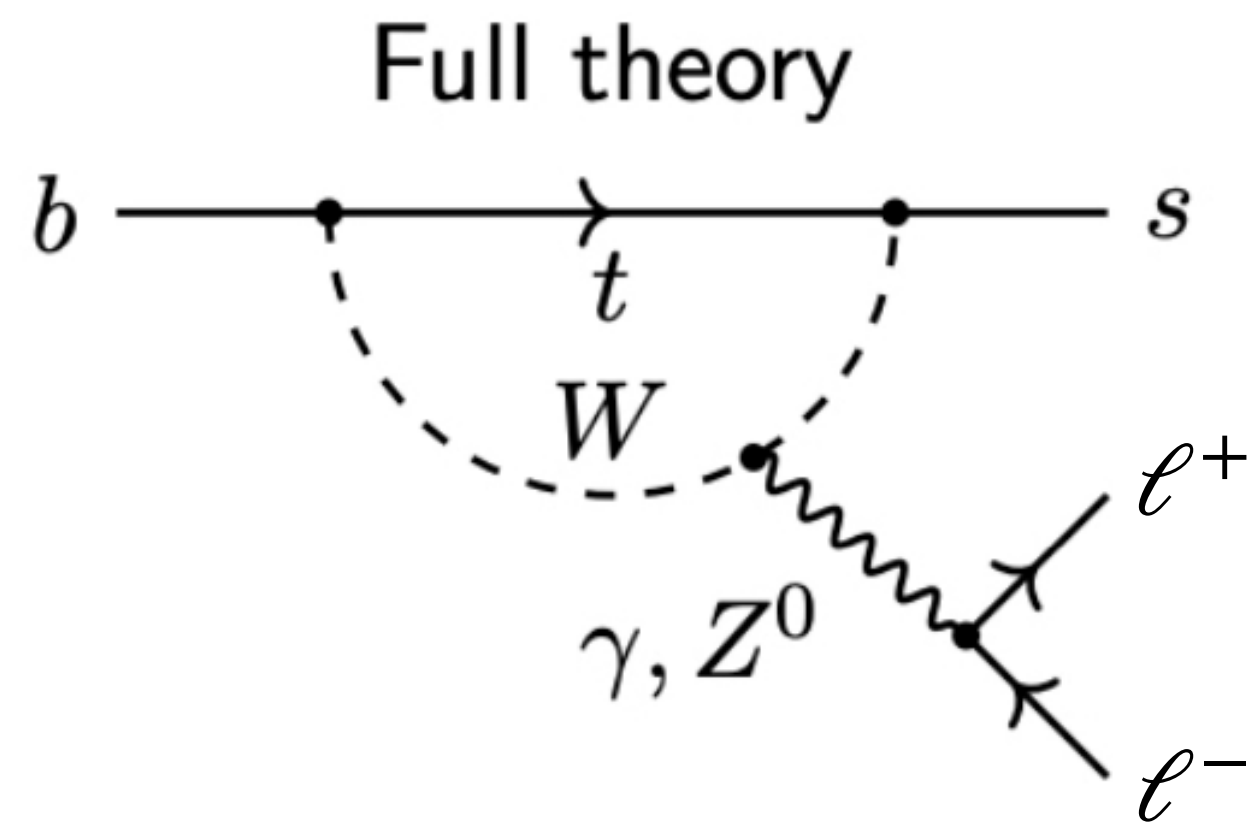
◆ Excellent **MuonID** and  $\mu/h$  already with muon station coincidence

◆ Negligible brem losses at LHCb

◆ **Muon stations occupancy much lower than ECAL**



# Why $b \rightarrow s\ell^+\ell^-$ decays?



V-A (EW penguin)	dipole (e.m. penguin)	scalar, pseudo-scalar
$\mathcal{O}_{9,10}^{(\prime)}$	$\mathcal{O}_7^{(\prime)}$	$\mathcal{O}_{S,P}^{(\prime)}$
$\mathcal{O}_9^{(\prime)} = (\bar{s}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$ $\mathcal{O}_{10}^{(\prime)} = (\bar{s}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\gamma_5\ell)$	$\mathcal{O}_7^{(\prime)} = \frac{m_b}{e}(\bar{s}\sigma_{\mu\nu}P_{R(L)}b)F^{\mu\nu}$	$\mathcal{O}_S^{(\prime)} = \bar{s}P_{R(L)}b\bar{\ell}\ell$ $\mathcal{O}_P^{(\prime)} = \bar{s}P_{R(L)}b\bar{\ell}\gamma_5\ell$

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}}V_{tb}V_{ts}^* \sum_i C_i \mathcal{O}_i$$

Effective description

Effective coupling "Wilson-coefficient" short distance physics

Local operator long distance physics

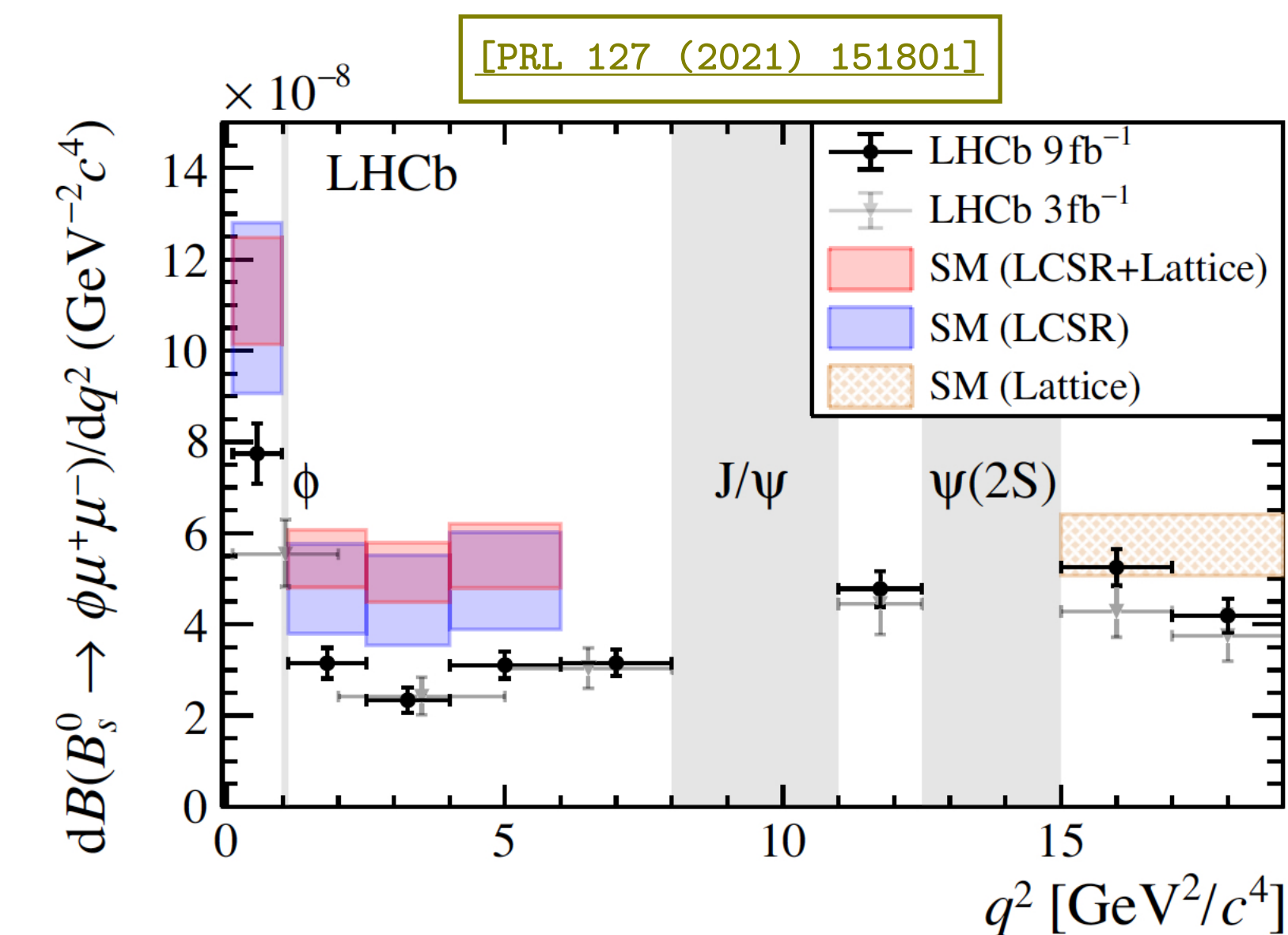
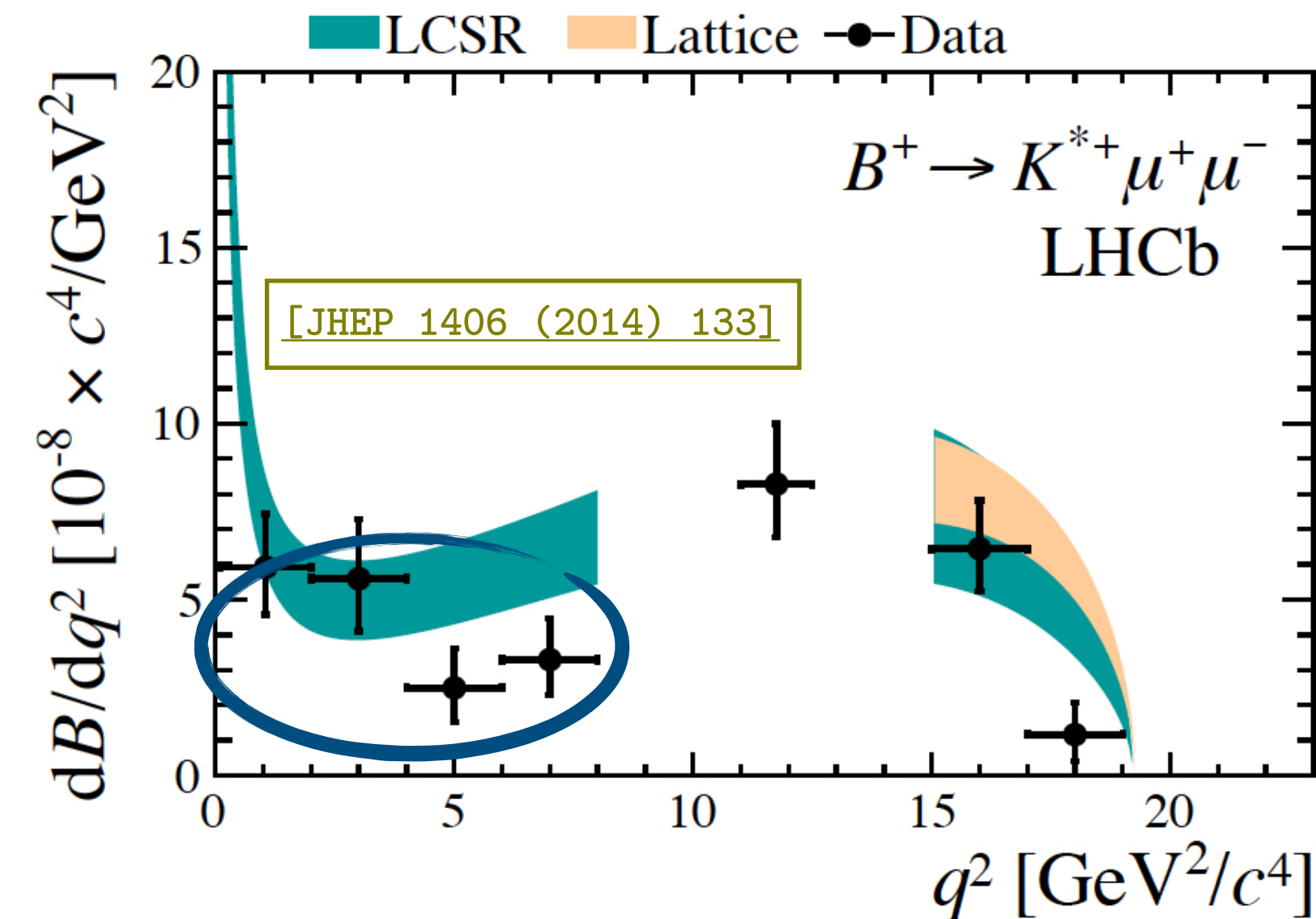
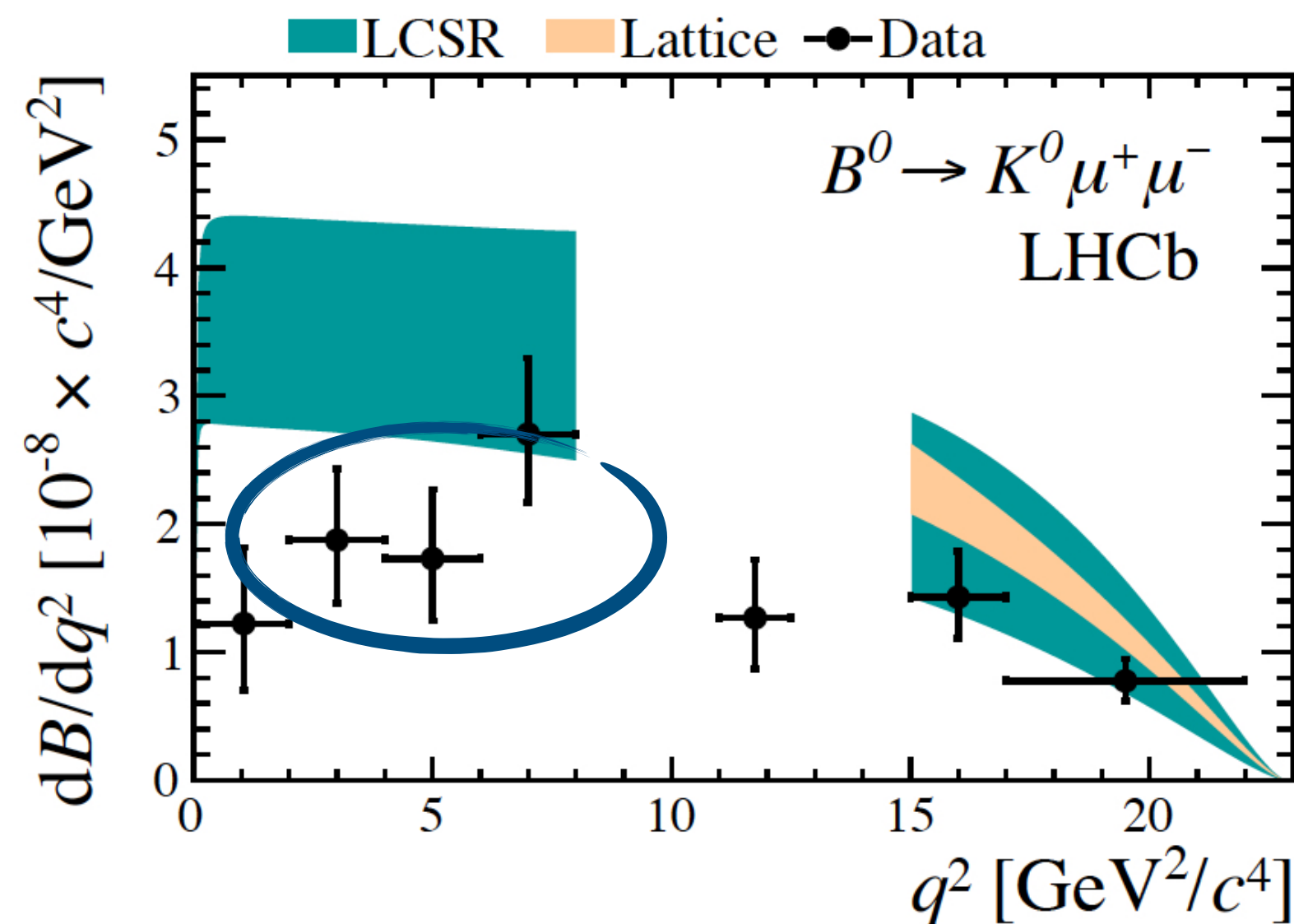
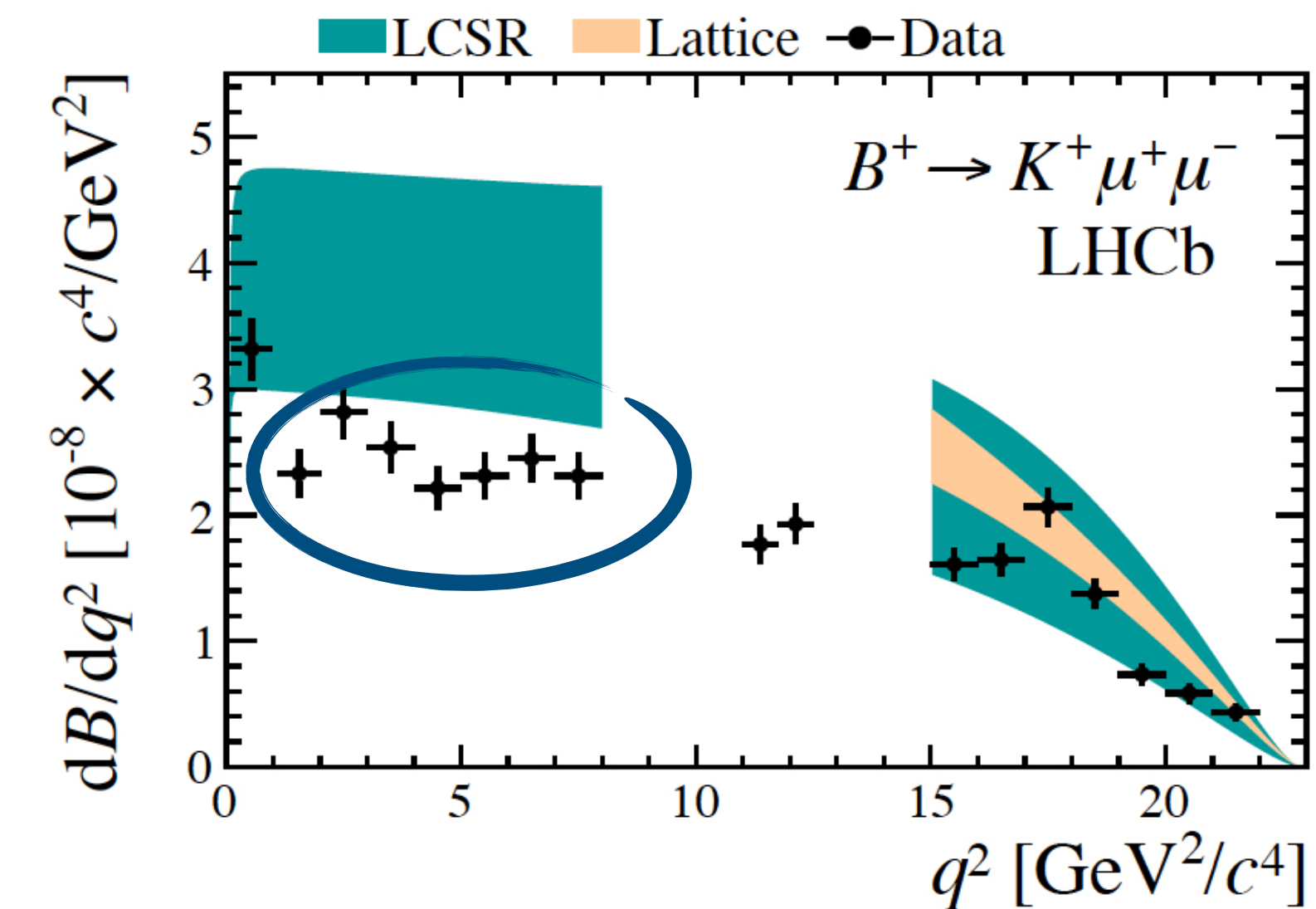
$$\Delta\mathcal{H}_{\text{NP}} = \frac{C}{\Lambda_{\text{NP}}^2} \mathcal{O}_i$$

Flavour-violating coupling

NP scale

Coupling	$b \rightarrow s\gamma$	$B \rightarrow \mu\mu$	$b \rightarrow s\ell\ell$
$C_7^{(\prime)}$	✓		✓
$C_9^{(\prime)}$			✓
$C_{10}^{(\prime)}$		✓	✓
$C_S^{(\prime)}$		✓	
$C_P^{(\prime)}$		✓	

# $b \rightarrow s\mu^+\mu^-$ differential decay rates



- ◆ SM predictions heavily affected by hadronic form factor uncertainties  $\sigma_{th} \sim \mathcal{O}(20-30\%)$
- ◆  $\frac{dB}{dq^2}$  in exclusive  $b \rightarrow s\mu^+\mu^-$  seems to undershoot SM

**Anomaly or a common issue with form factors from SM?**



- ◆  $B \rightarrow V \mu^+ \mu^-$  : vector in final state has rich kinematic structure  $\rightarrow$  characterise NP
- ◆ Described by 3 angles and  $q^2$

$$\frac{d^4 \bar{\Gamma}[B^0 \rightarrow K^{*0} \mu^+ \mu^-]}{dq^2 d\vec{\Omega}} = \frac{9}{32\pi} \sum_i \bar{I}_i(q^2) f_i(\vec{\Omega})$$

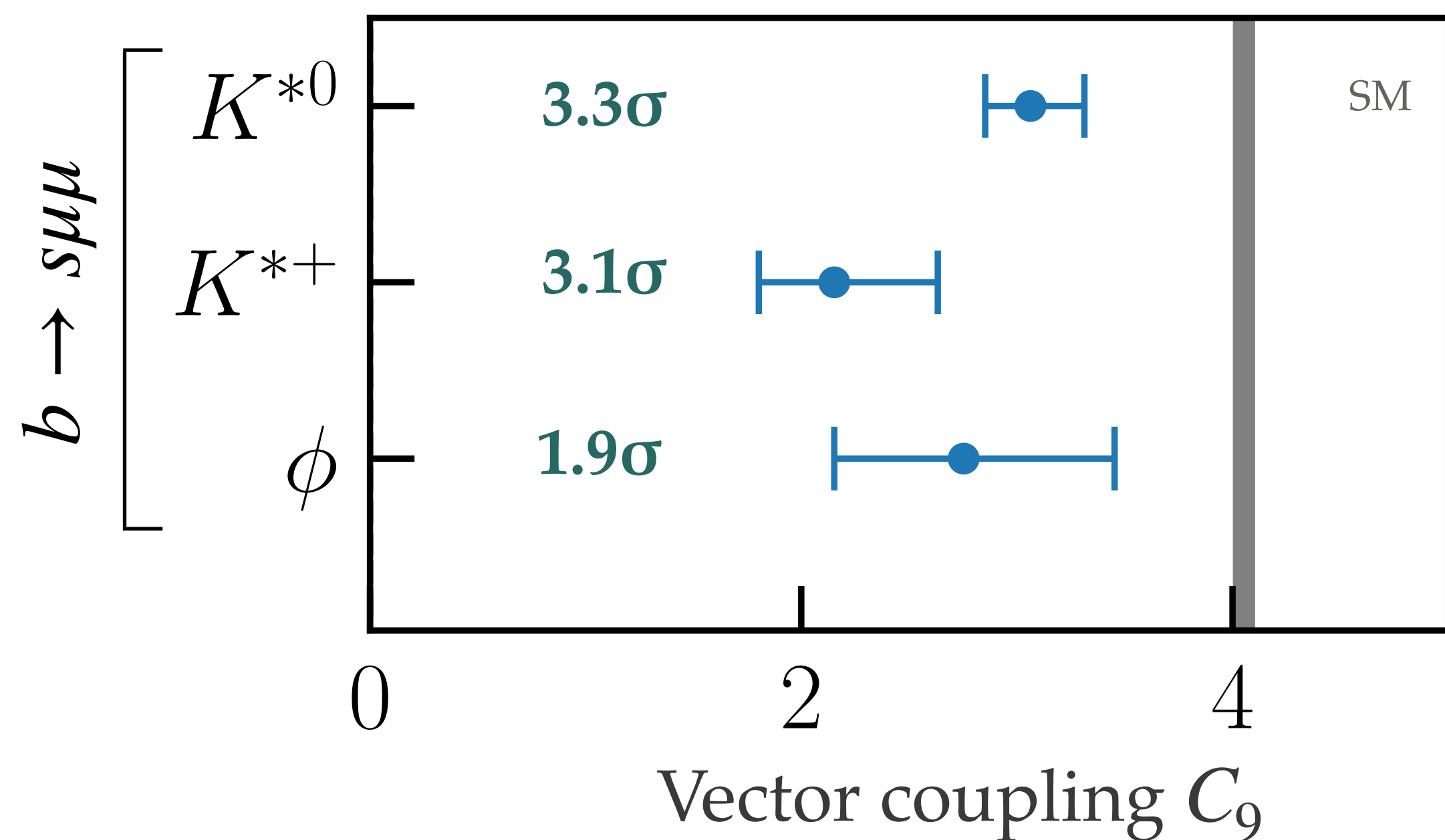
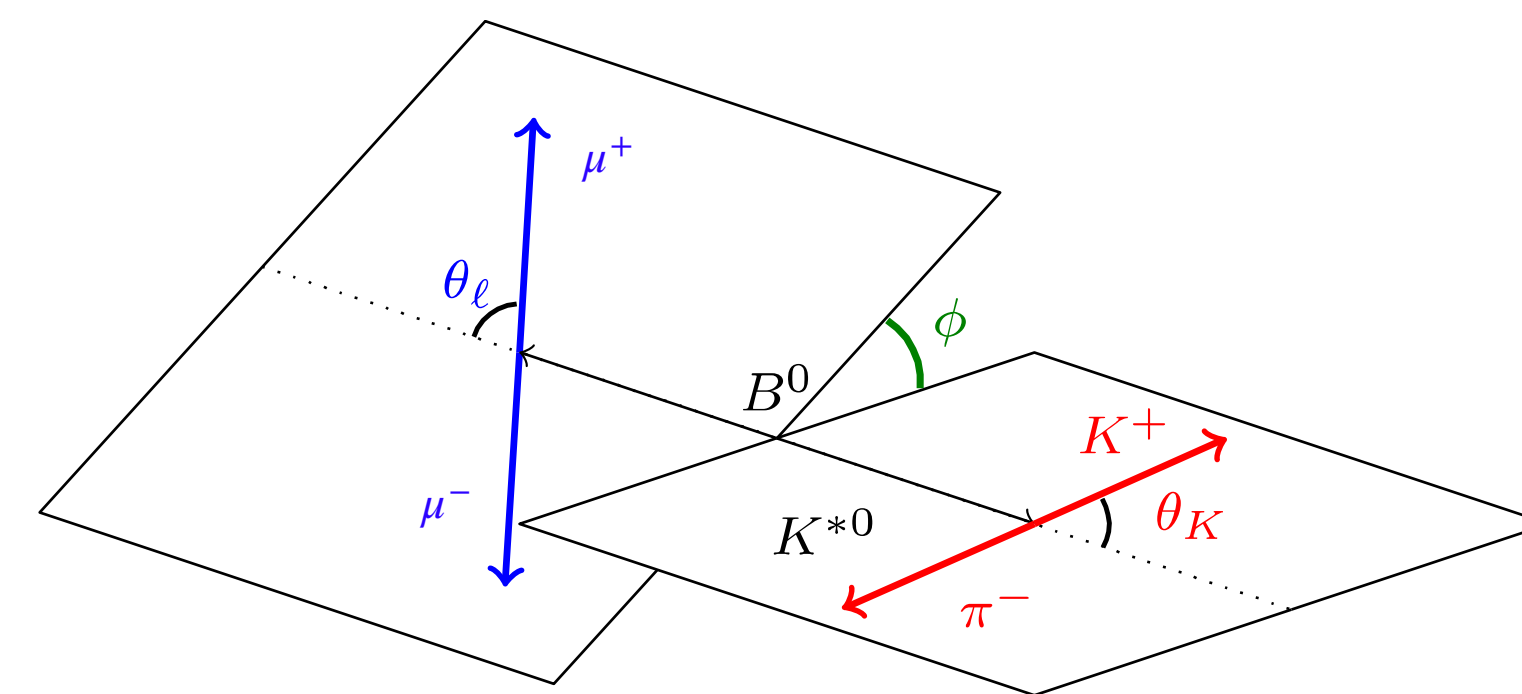
Wilson Coefficients

Angular moments

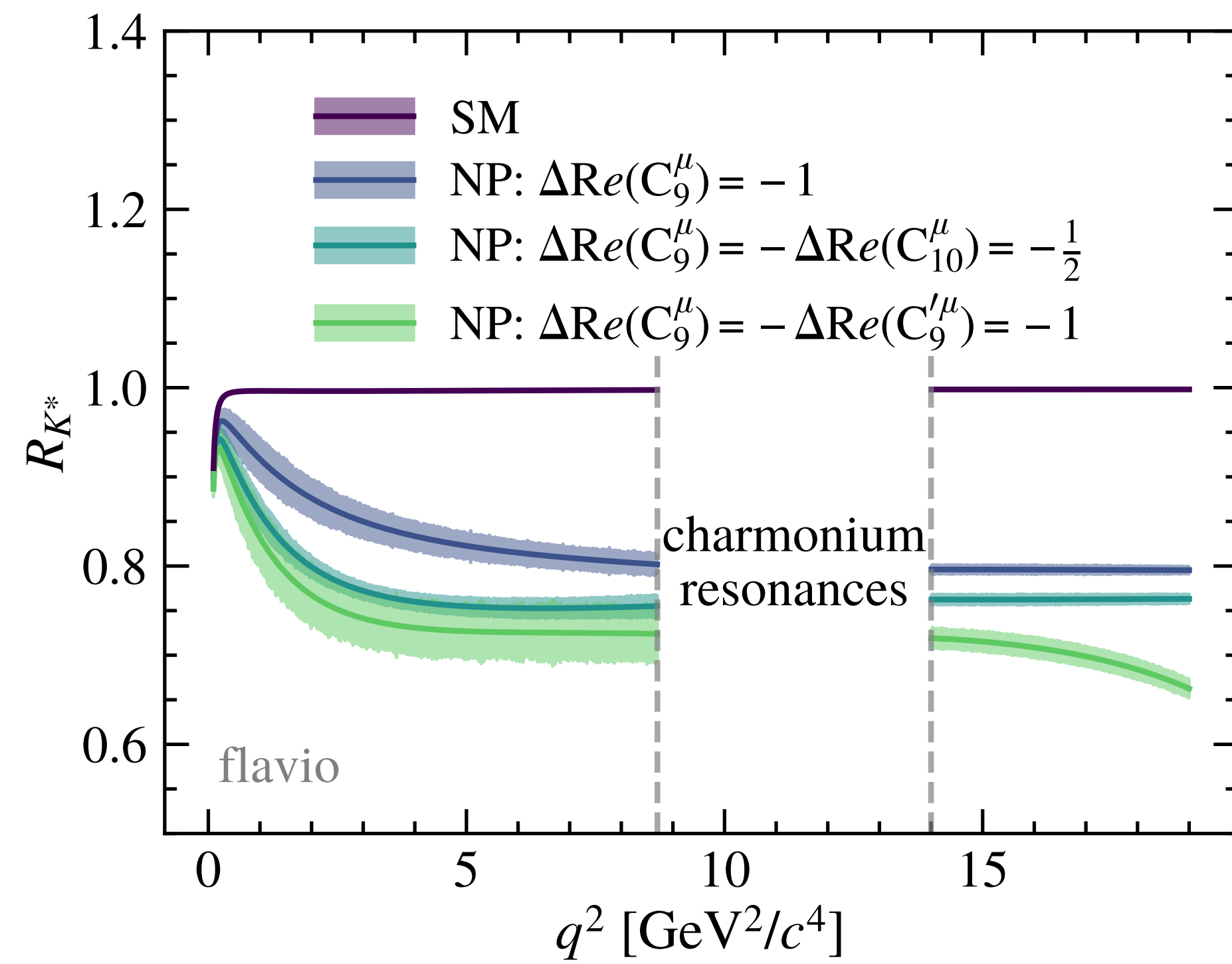
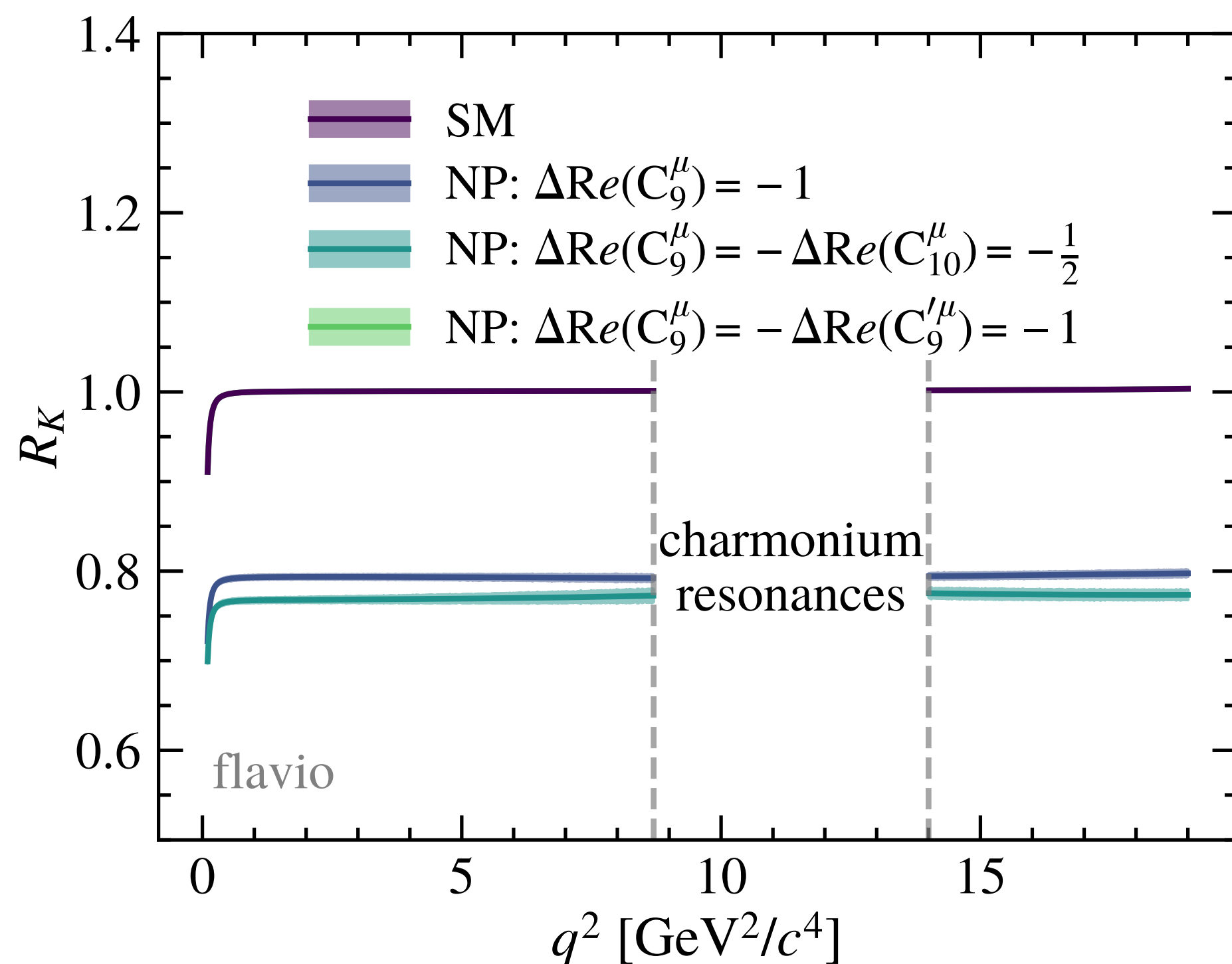
- ◆ Recent results (LHCb) ones:

- ▶  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  with  $6 \text{ fb}^{-1}$  ( $\sim 4600$  evts.) [[PRL 125\(2020\)011802](#)]
- ▶  $B^+ \rightarrow K^{*+} \mu^+ \mu^-$  with  $9 \text{ fb}^{-1}$  ( $\sim 700$  evts.) [[PRL 126\(2021\)161802](#)]
- ▶  $B_s \rightarrow \phi \mu^+ \mu^-$  with  $9 \text{ fb}^{-1}$  ( $\sim 1900$  evts.) [[JHEP11\(2021\)043](#)]

- ◆ Intriguing coherent and consistent pattern
  - ▶ However, charm-loops can mimic shift in  $C_9$



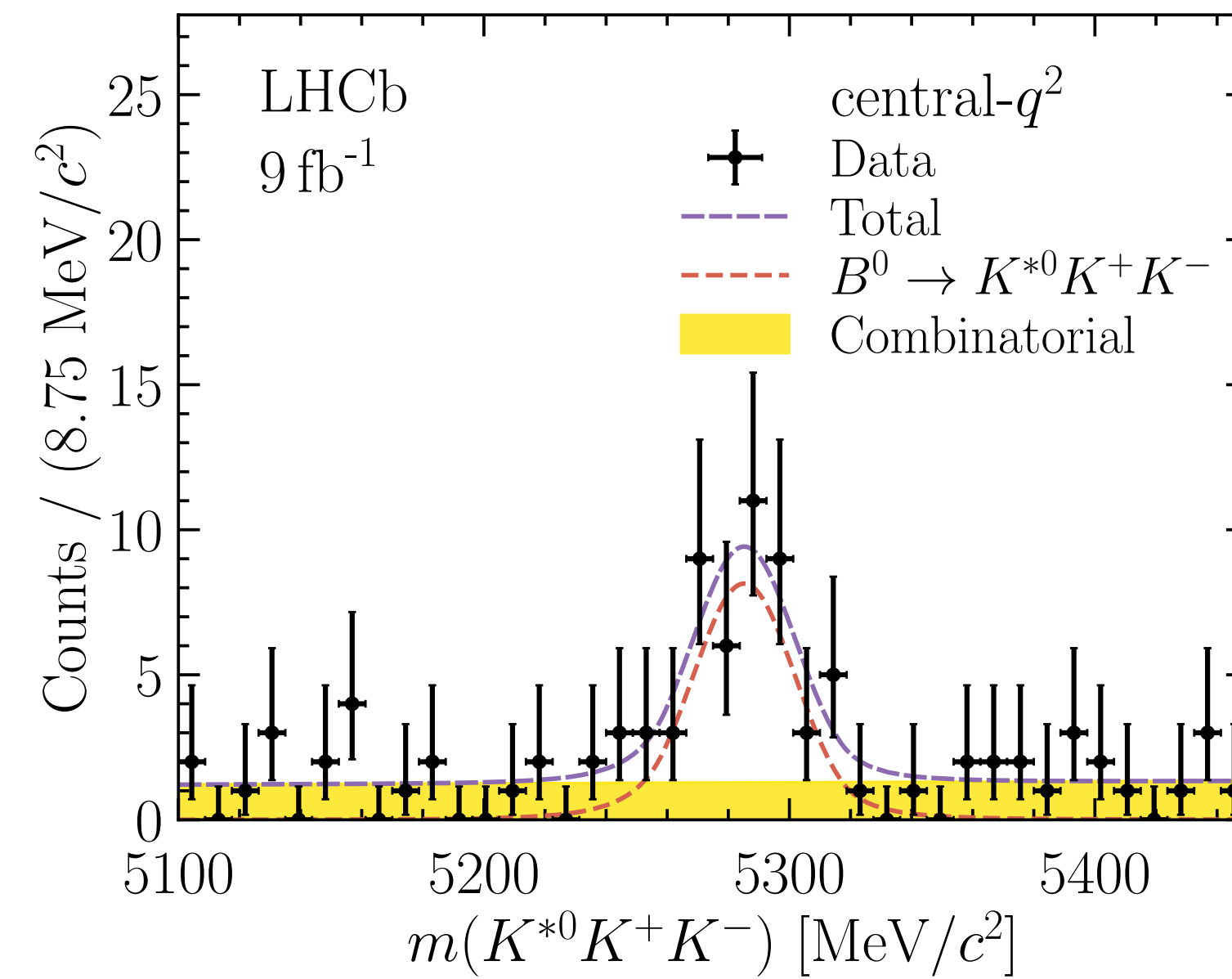
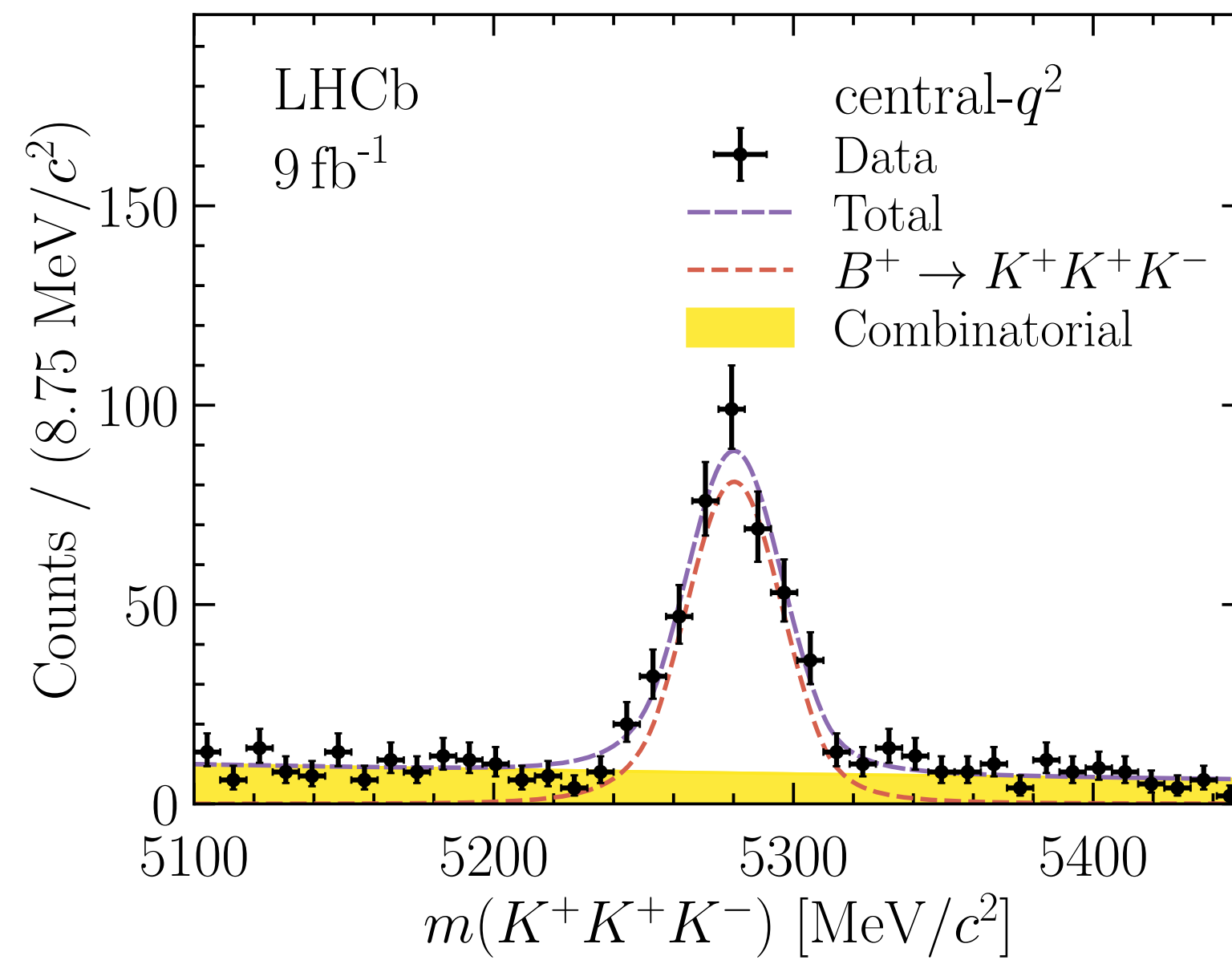
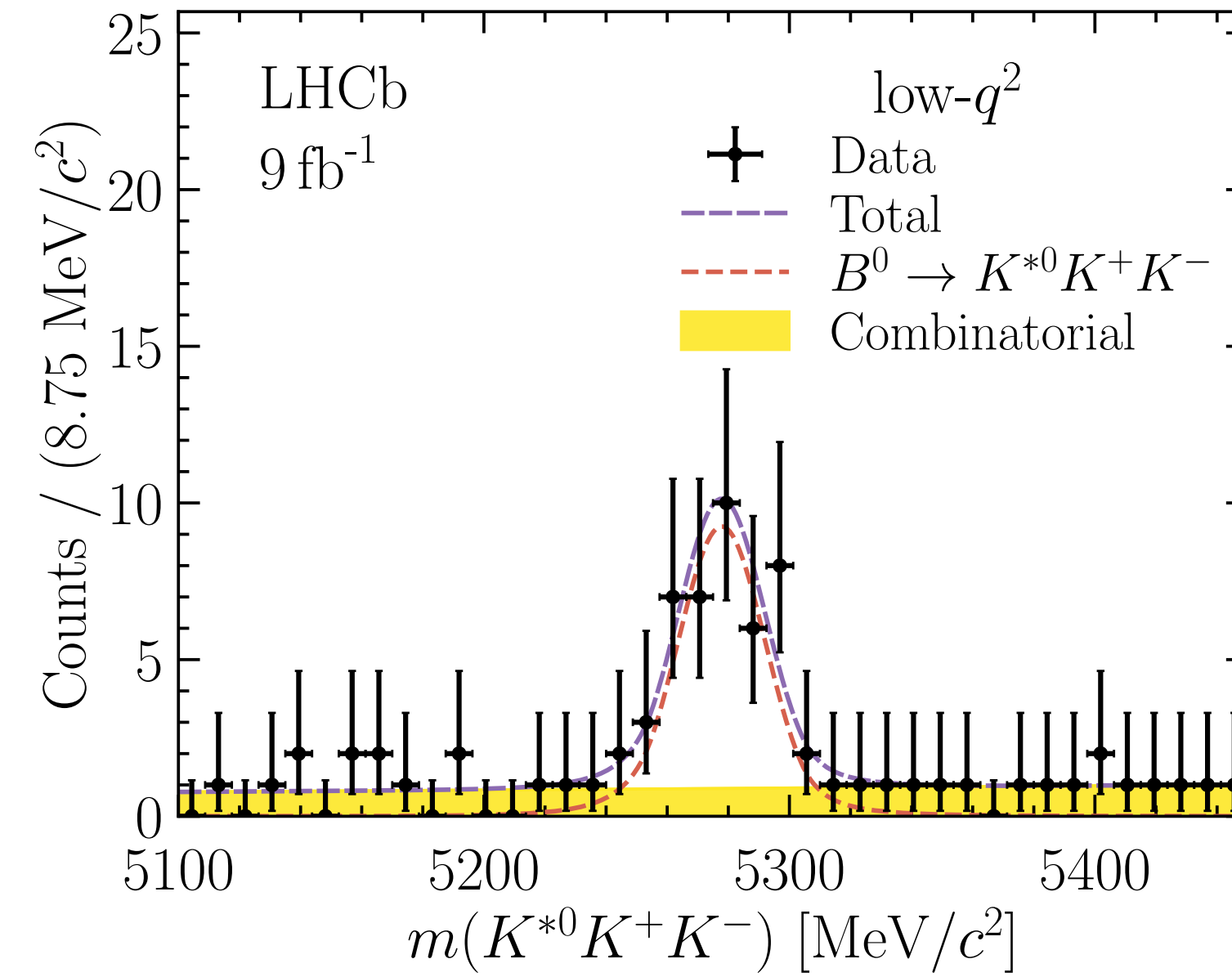
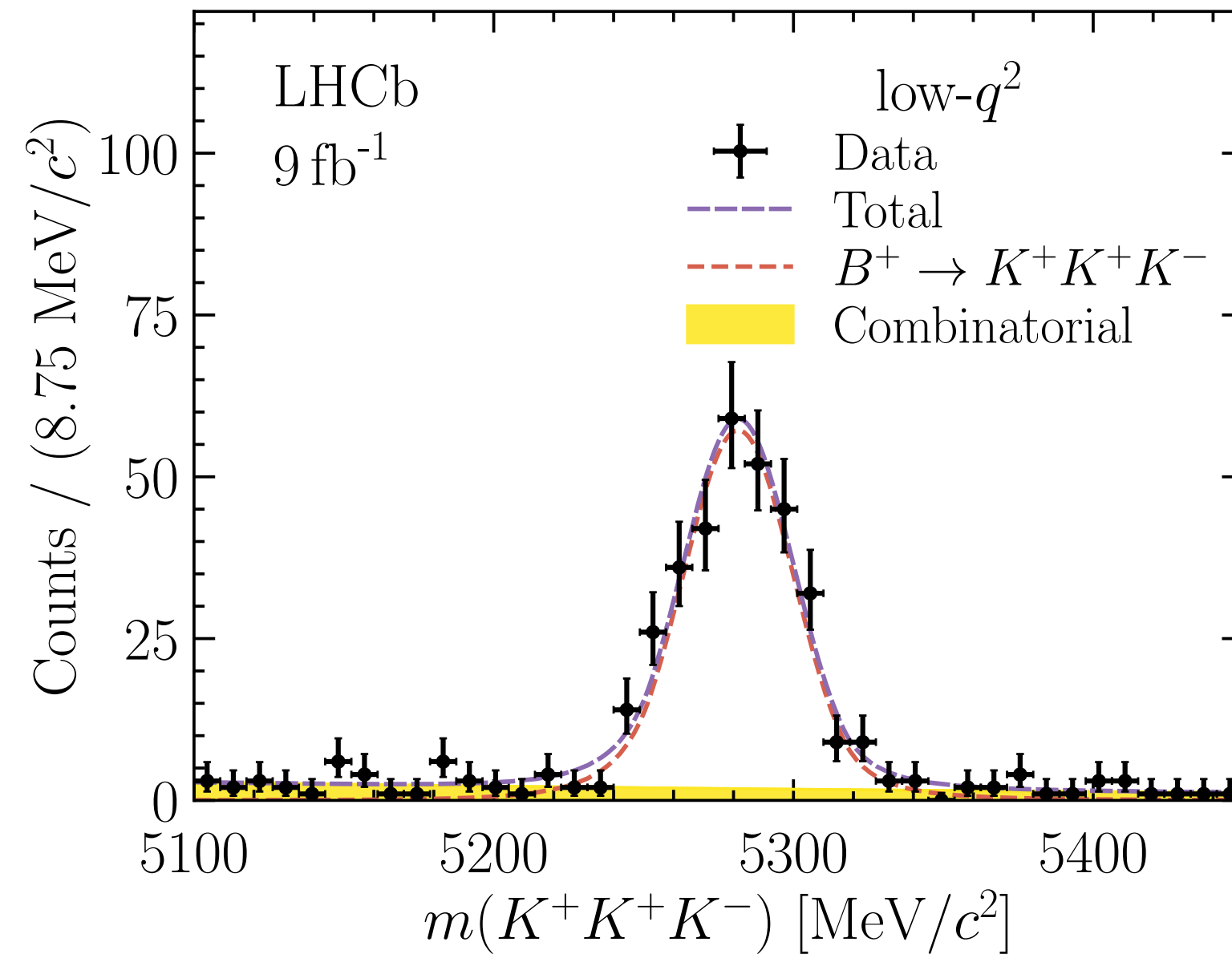
Fits in LHCb papers



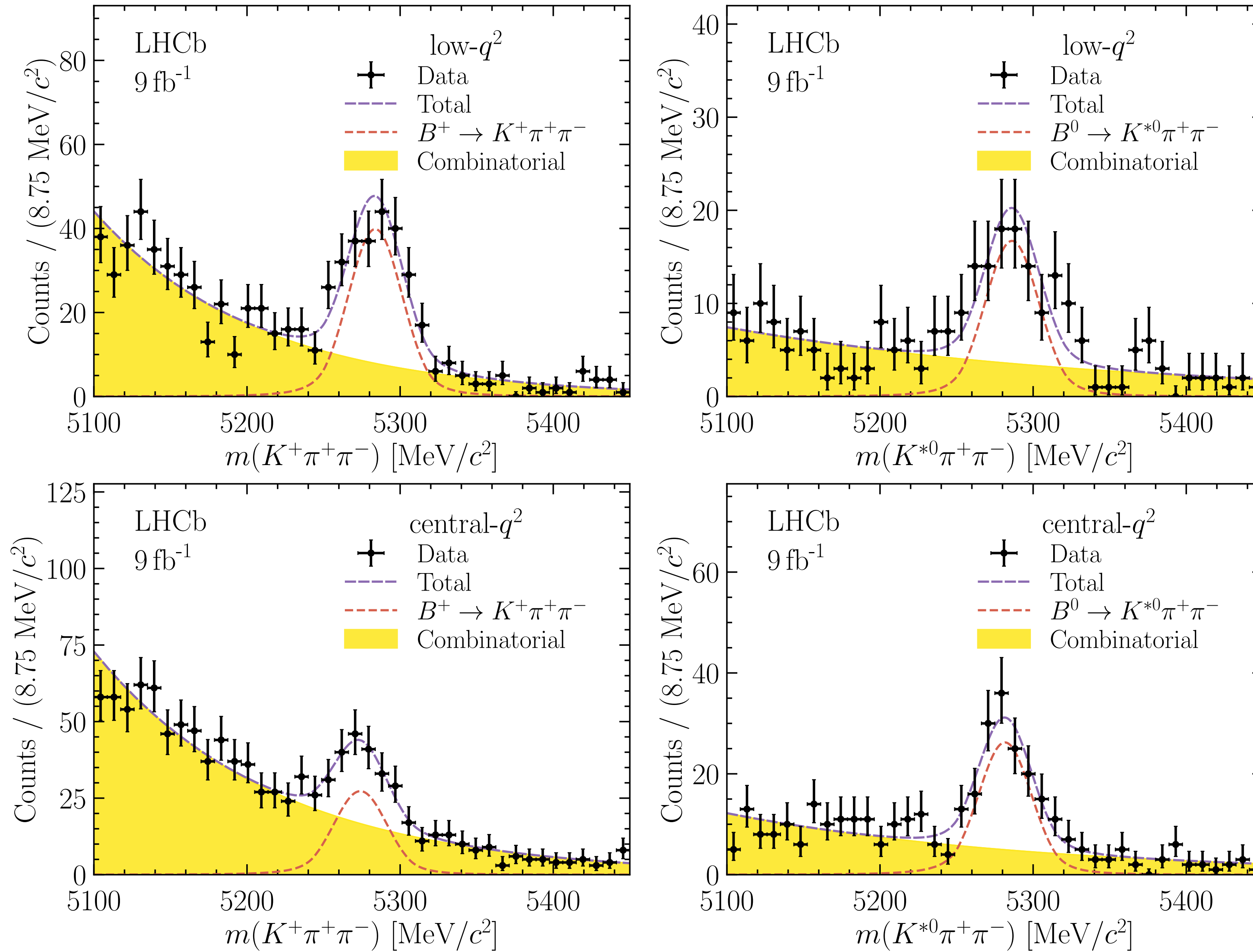
- Standard Model : only lepton mass differences
- NP in muon vector coupling only
- NP in muon vector and axial coupling, e.g. LQ
- NP in muon left and right handed vector coupling

} Scenarios preferred  
by global fits

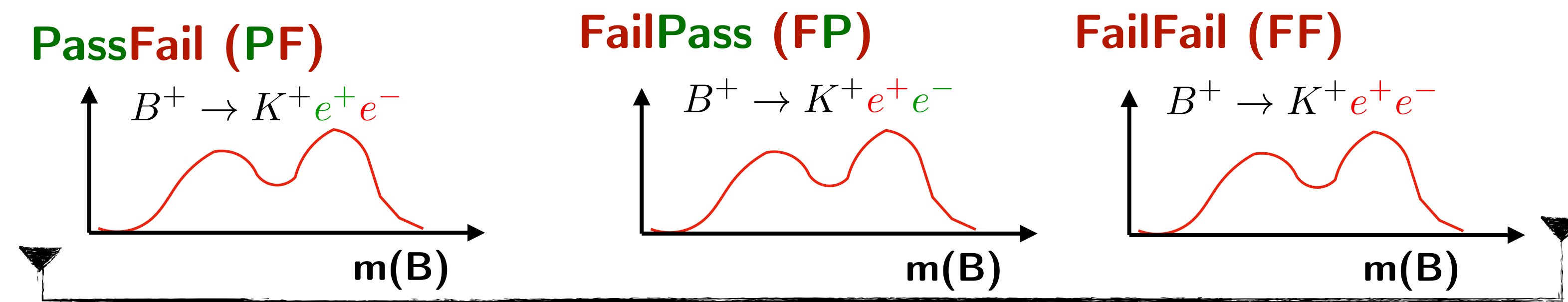
# $K^+K^-$ double mis-ID in 'control' region



# $\pi^+\pi^-$ double mis-ID in 'control' region



# Pass-fail method for electron misidentified backgrounds

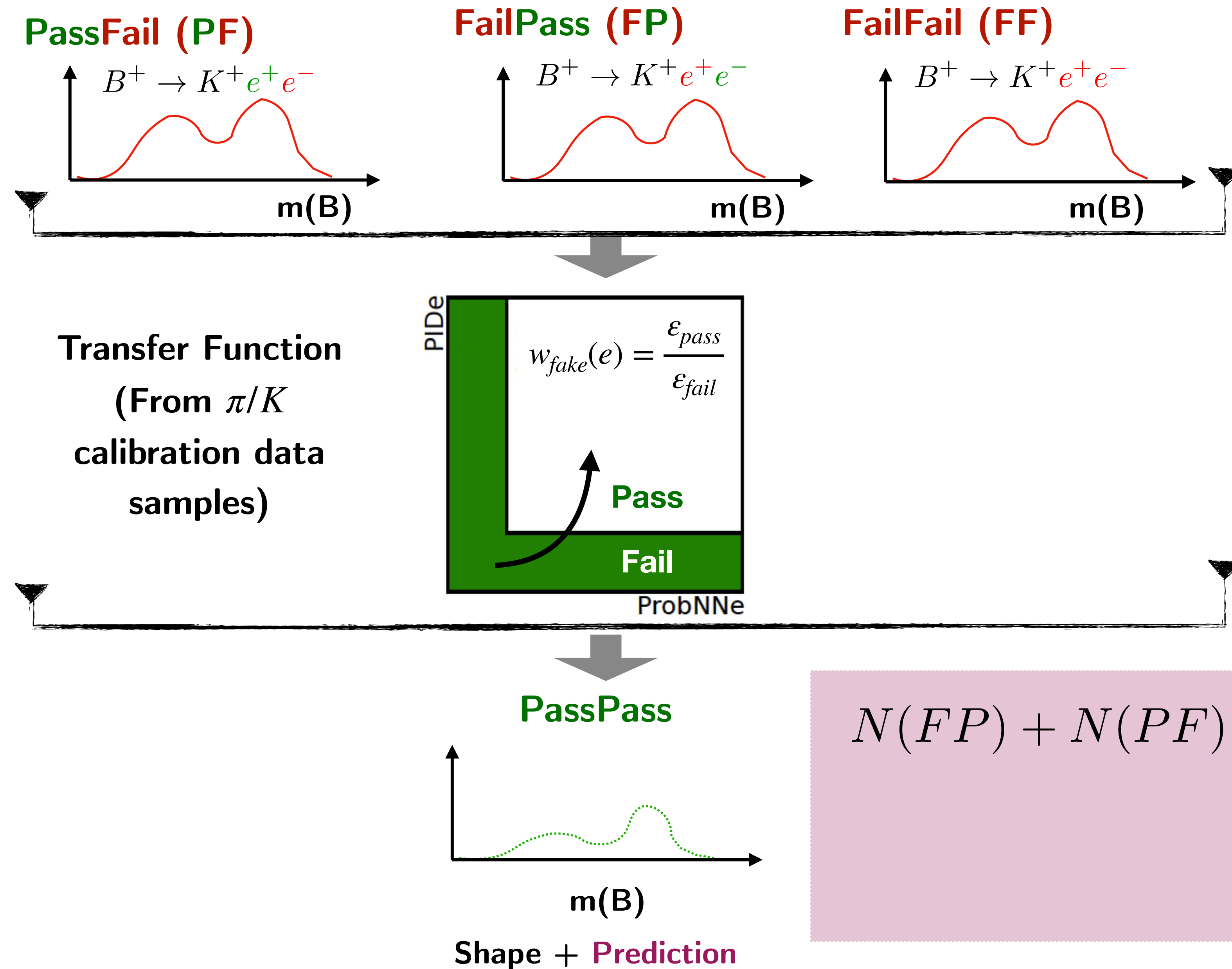


◆ Data with inverted PID cuts is enhanced in misID content

$$\begin{aligned}
 N(PF) &: N(B^+ \rightarrow K^+ e^+ h^-) + N(B^+ \rightarrow K^+ h^+ h'^-) + N(B^+ \rightarrow K^+ e^+ e^-) + N(B^+ \rightarrow K^+ J/\psi(\rightarrow e^+ e^-)) \\
 N(FP) &: N(B^+ \rightarrow K^+ e^- h^+) + N(B^+ \rightarrow K^+ h^+ h'^-) + N(B^+ \rightarrow K^+ e^+ e^-) + N(B^+ \rightarrow K^+ J/\psi(\rightarrow e^+ e^-)) \\
 N(FF) &: N(B^+ \rightarrow K^+ h^+ h'^-)
 \end{aligned}$$

Residual double mis-id
Residual signal contribution - subtract using simulation

# Pass-fail method for electron misidentified backgrounds

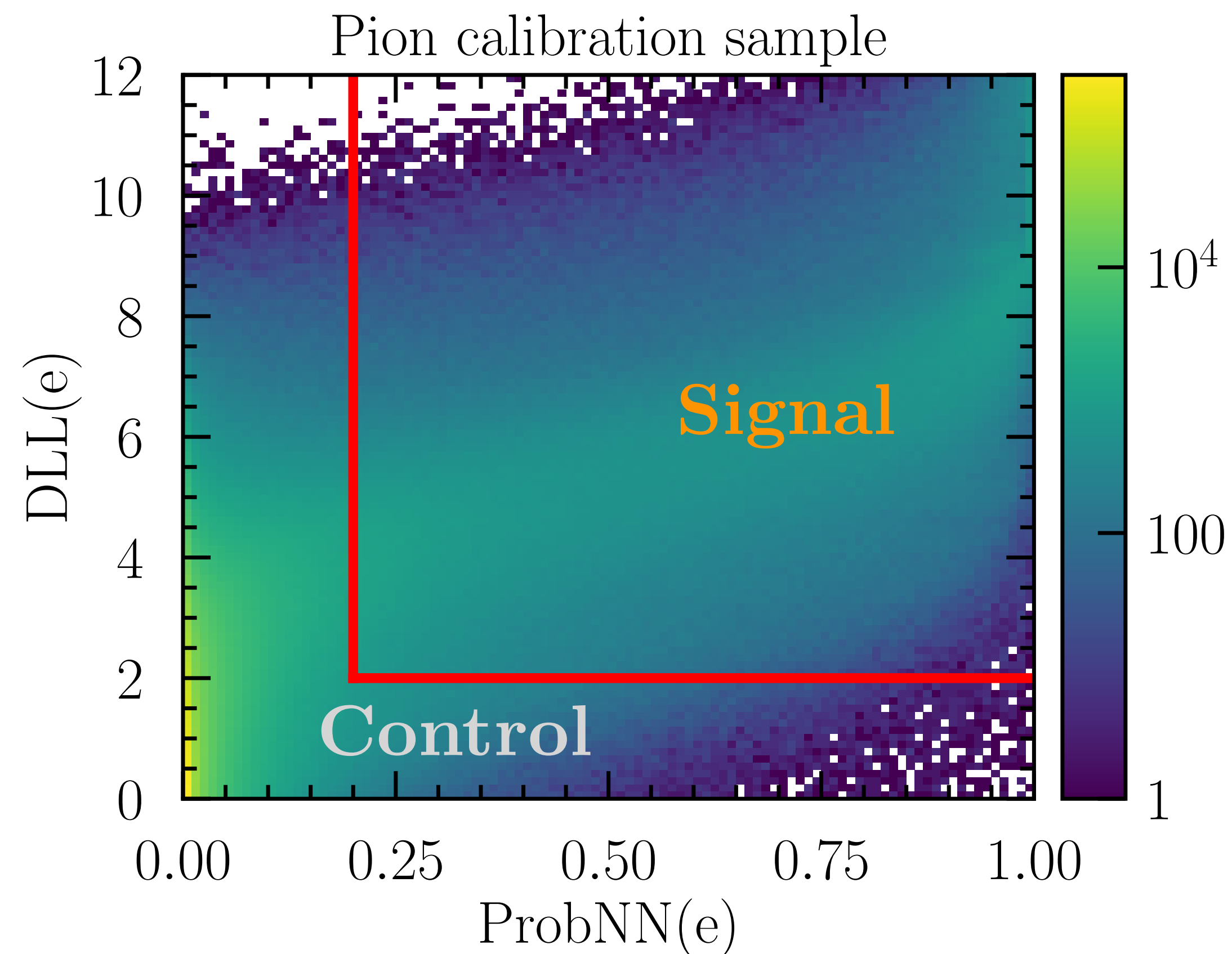


◆ Data with inverted PID cuts is enhanced in misID content

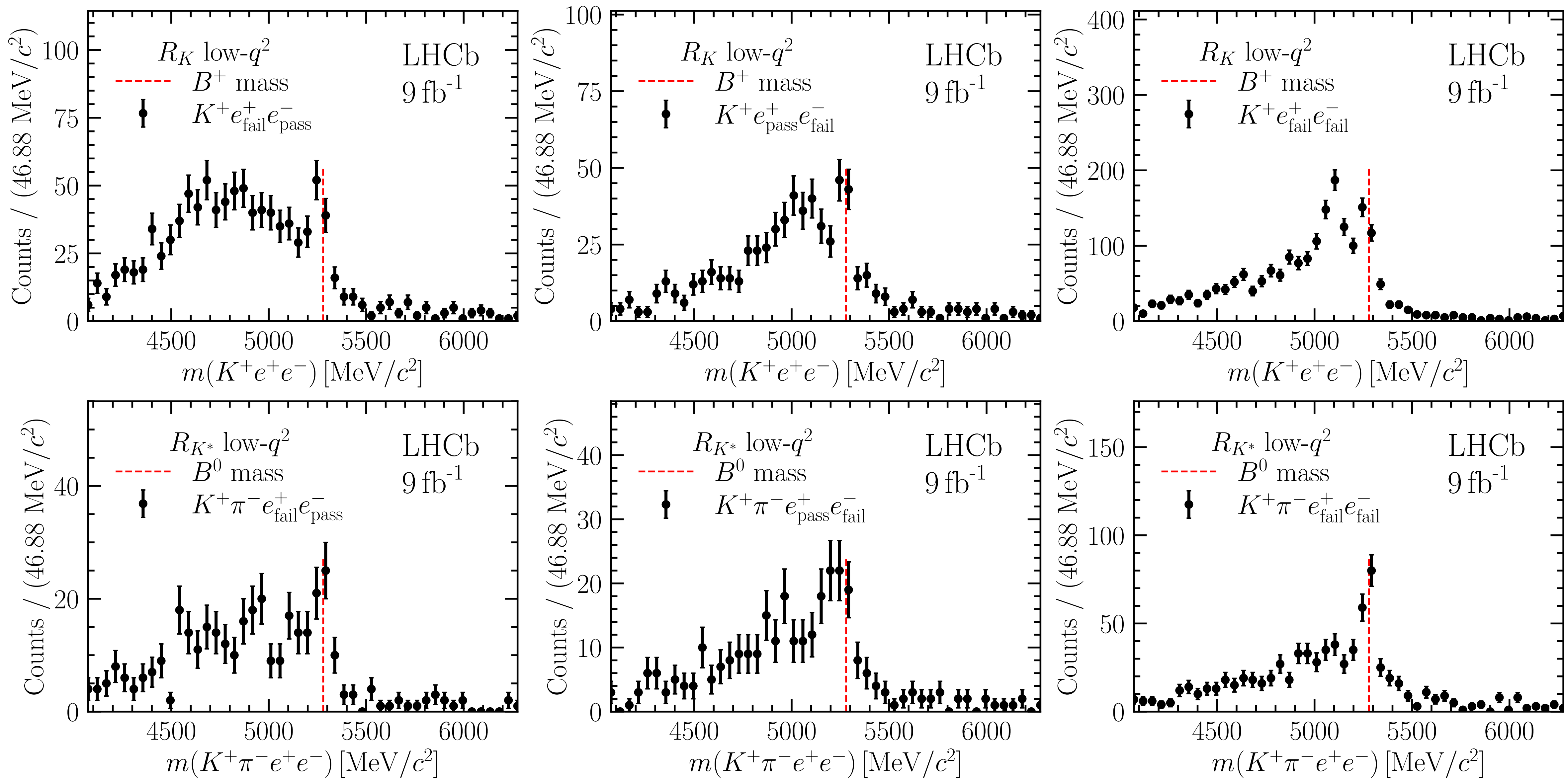
◆ Transfer from fail to pass region evaluated in bins of  $p_T$  and  $\eta$

$$\begin{aligned}
 N(FP) + N(PF) - N(FF) = & N(B^+ \rightarrow K^+ e^+ h^-) \\
 & + N(B^+ \rightarrow K^+ h^+ e^-) \\
 & + N(B^+ \rightarrow K^+ h^+ h'^-)
 \end{aligned}$$

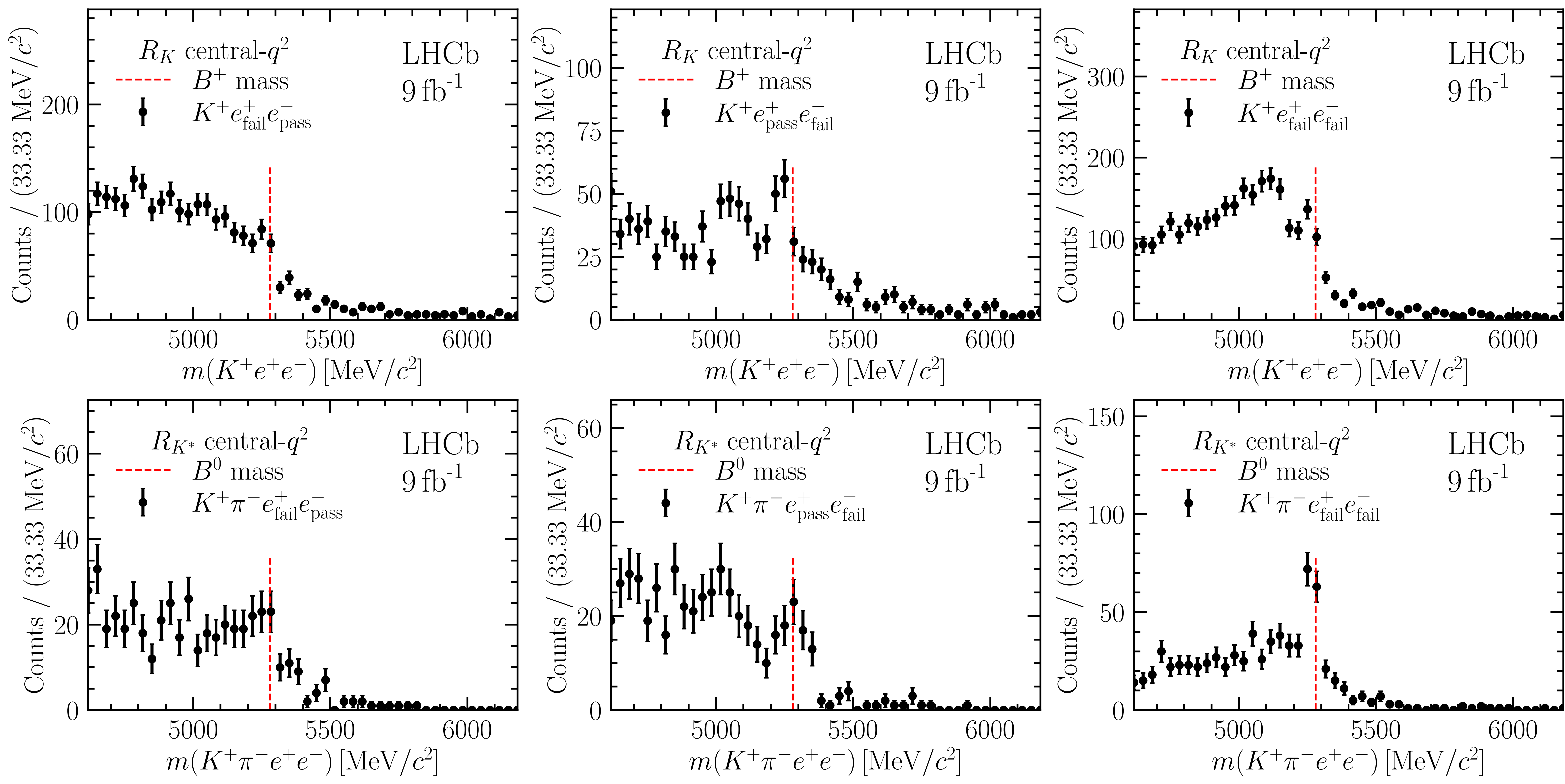
# Choice of fail region

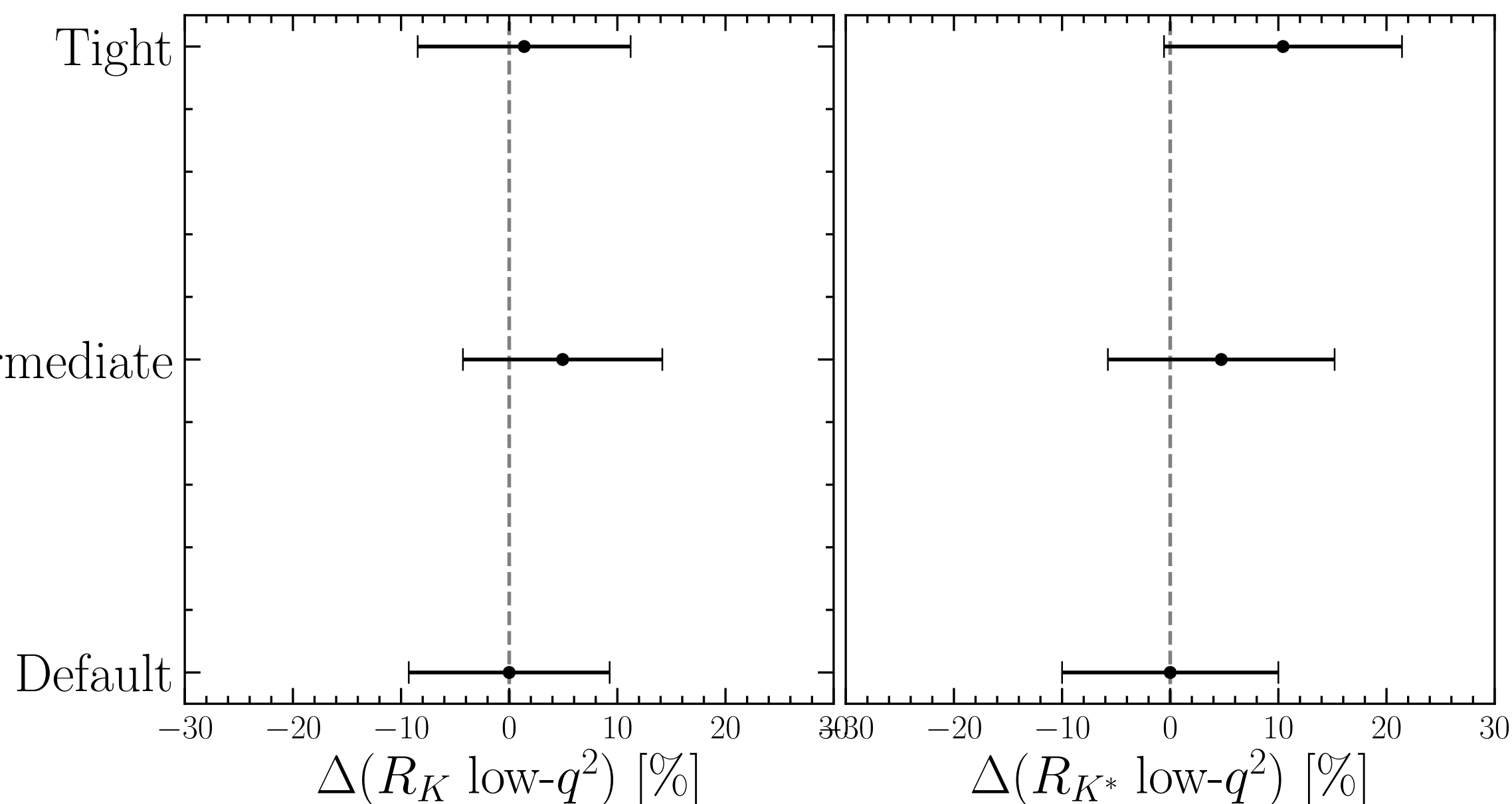


- ◆ Control region next to signal region
- ◆ Choose available region ( $DLL(e) < 2 \ || \ ProbNN(e) < 0.2$ ) while  $DLL(e) > 0$ .
- ◆ Other choices for a systematic uncertainty

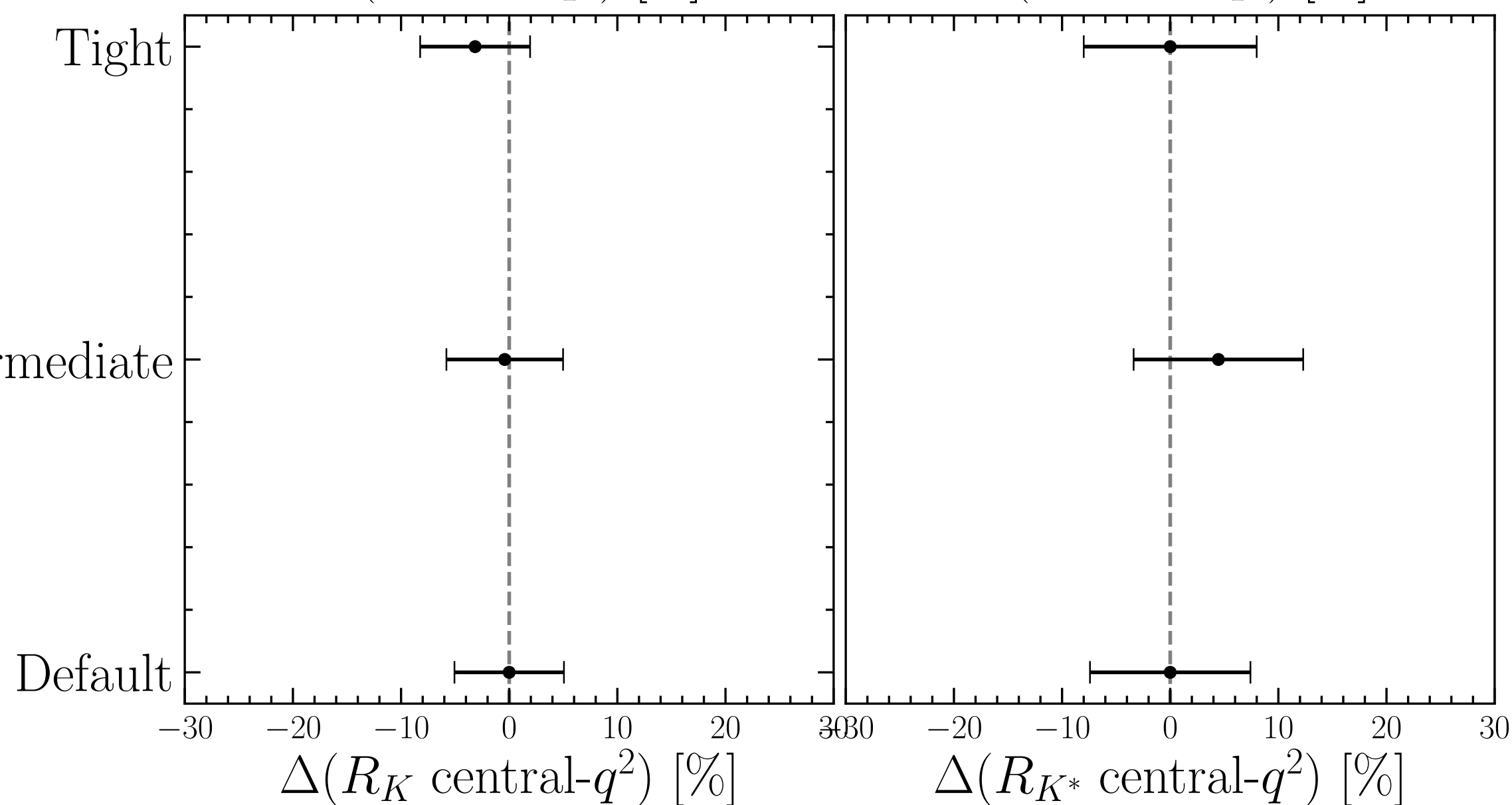






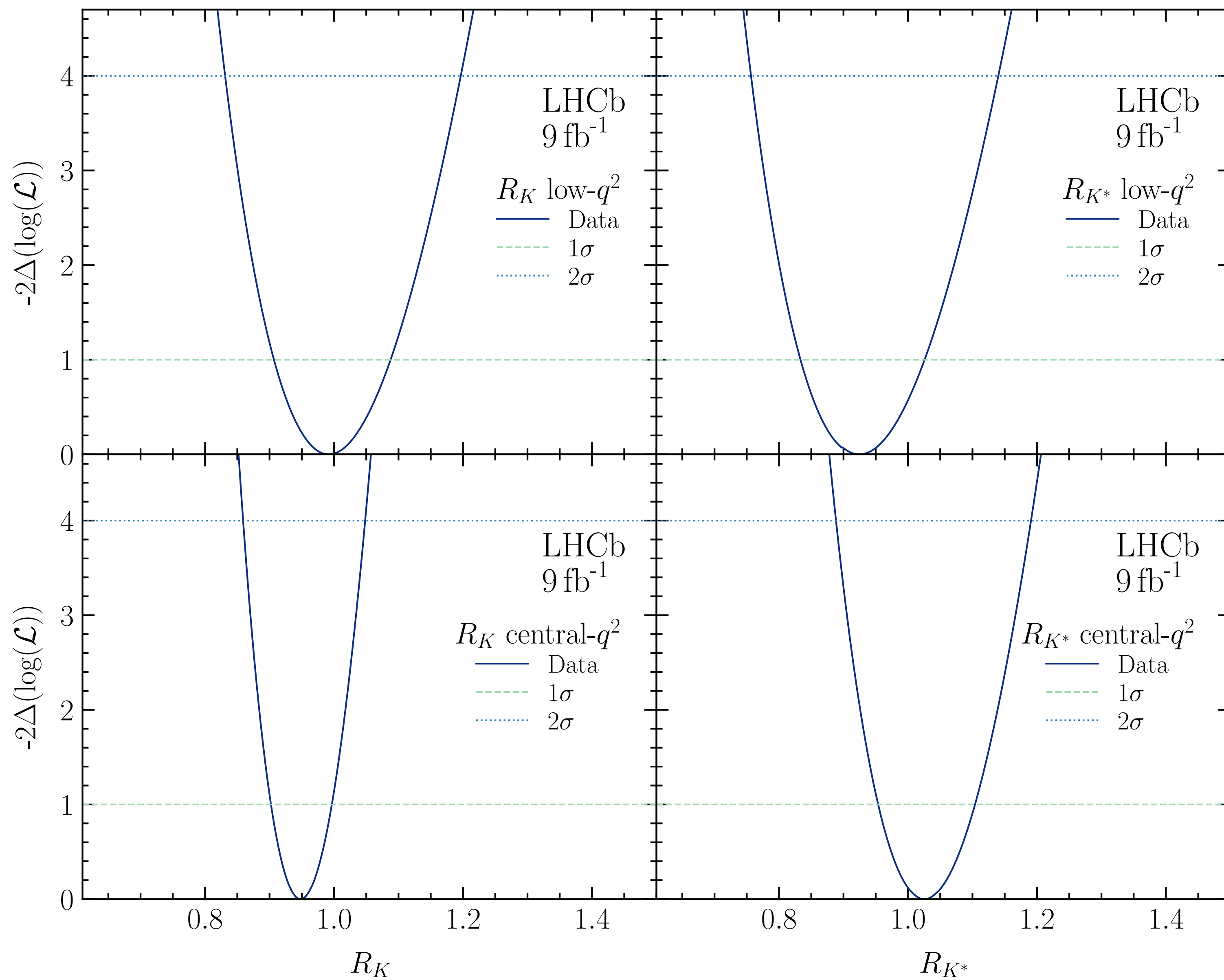


- ◆ *Tight*
  - ▶ 80% misID suppression
  - ▶ 50-60% signal loss
- ◆ *Intermediate*
  - ▶ 50% misID suppression
  - ▶ 20-30% signal loss

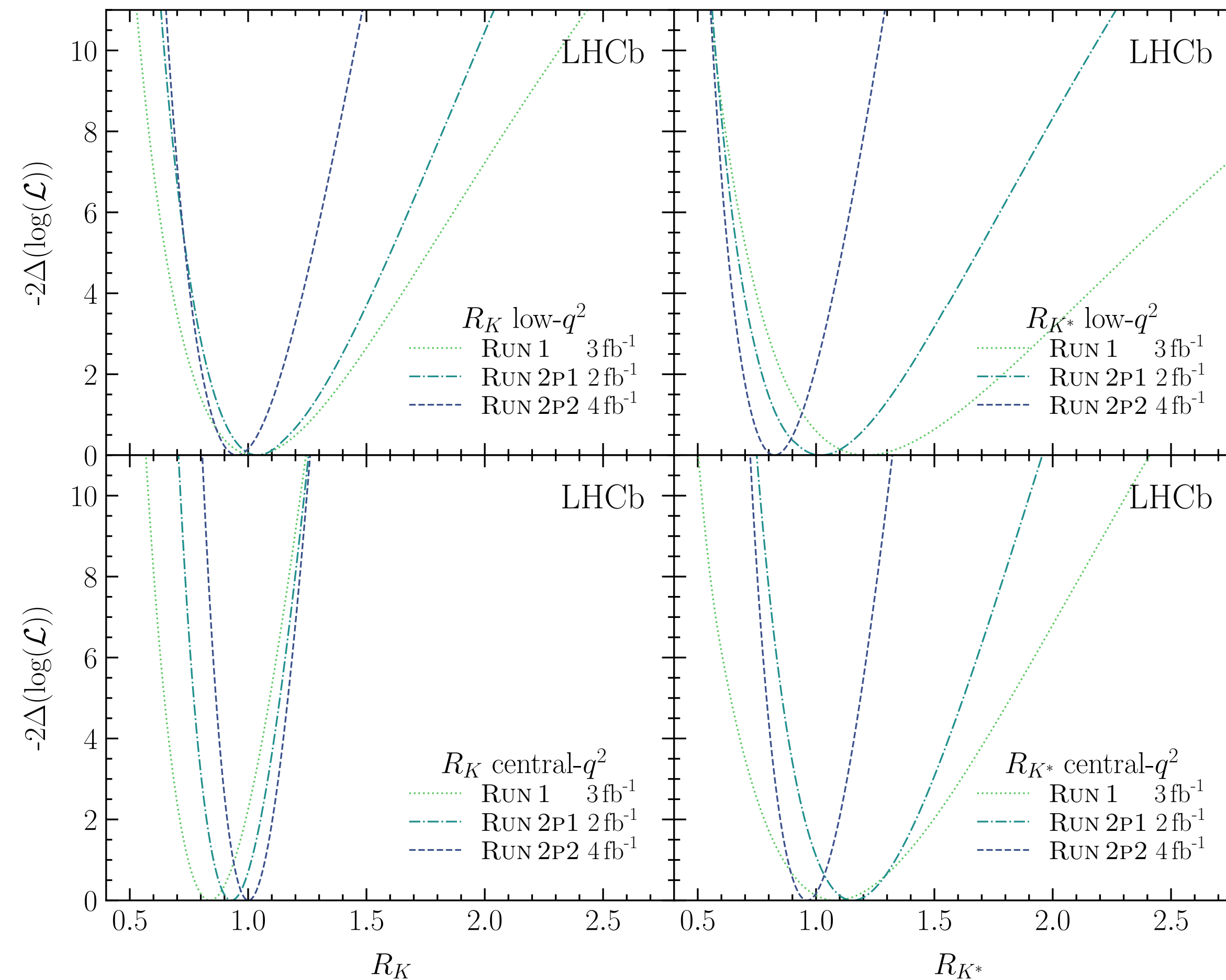


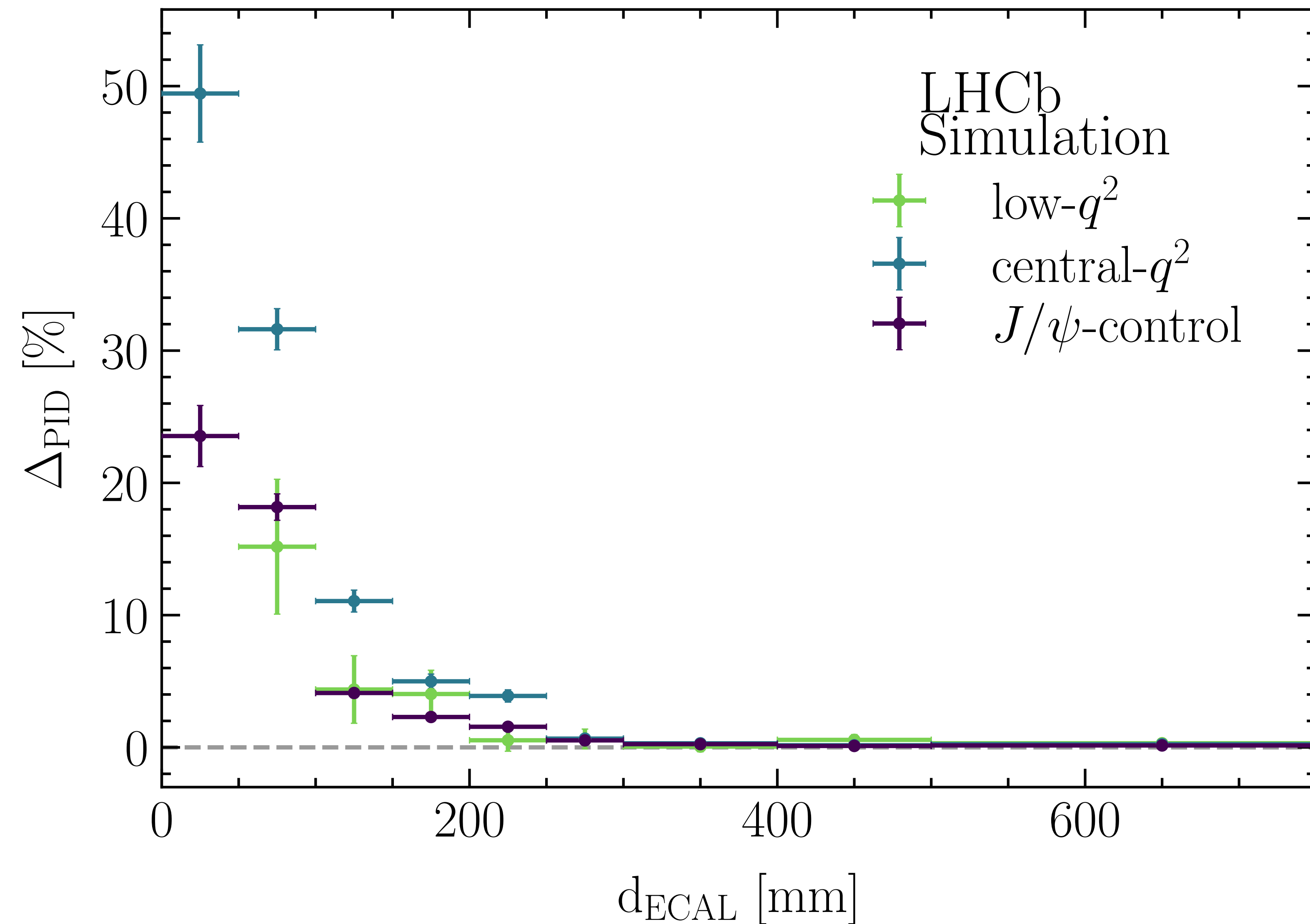
Misidentified background  
included in fit model  
at tighter working point  
**results are stable**

# Likelihood scans (include all systematics)



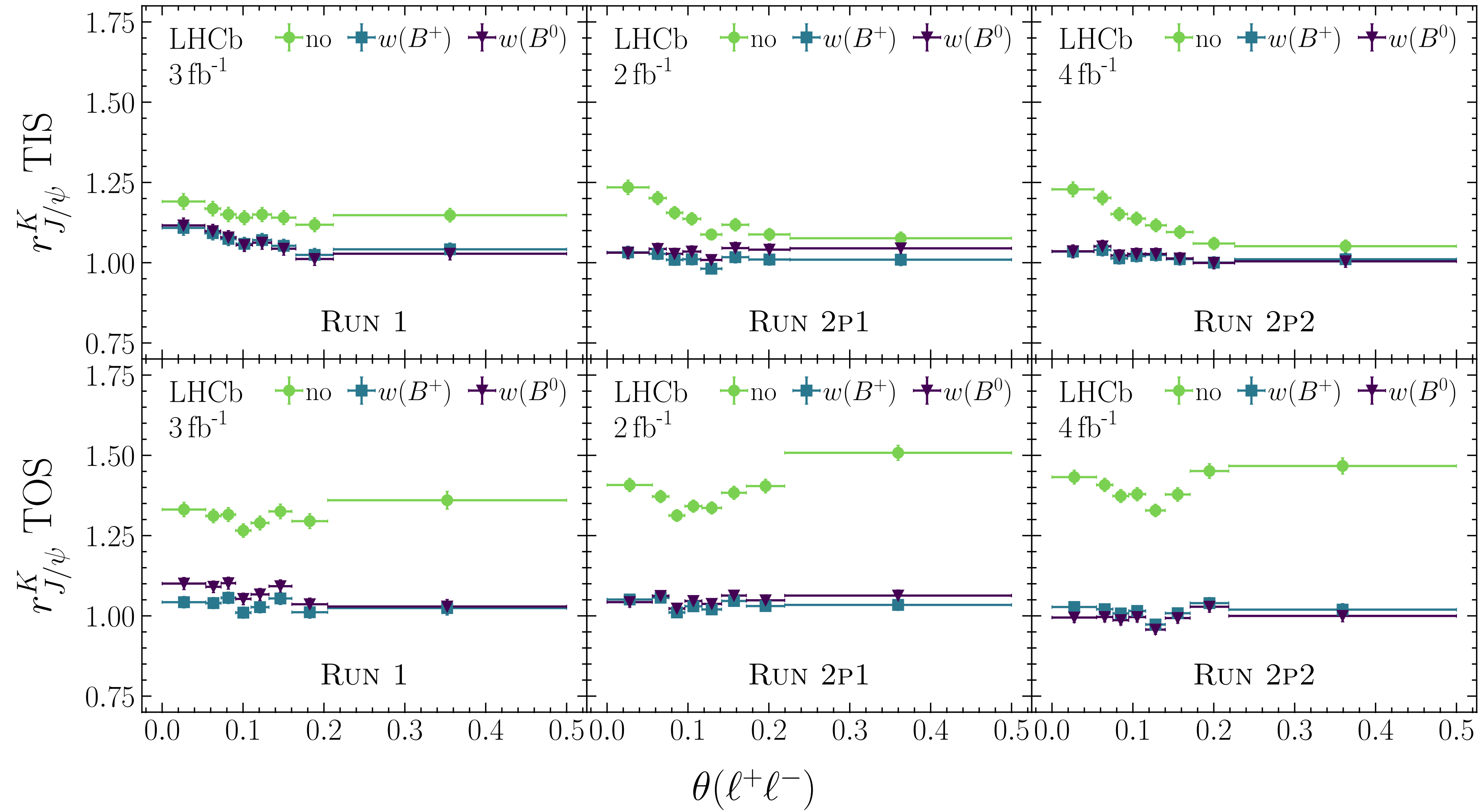
LU observable	RUN 1	RUN 2P1	RUN 2P2
$R_K$ low- $q^2$	$1.027^{+0.243+0.092}_{-0.180-0.073}$	$1.039^{+0.203+0.027}_{-0.149-0.027}$	$0.953^{+0.123+0.029}_{-0.104-0.026}$
$R_{K^*}$ low- $q^2$	$1.212^{+0.344+0.149}_{-0.240-0.114}$	$1.021^{+0.234+0.036}_{-0.187-0.027}$	$0.825^{+0.108+0.036}_{-0.091-0.031}$
$R_K$ central- $q^2$	$0.839^{+0.083+0.062}_{-0.073-0.056}$	$0.929^{+0.082+0.023}_{-0.073-0.020}$	$1.001^{+0.066+0.024}_{-0.061-0.022}$
$R_{K^*}$ central- $q^2$	$1.082^{+0.214+0.176}_{-0.165-0.148}$	$1.154^{+0.179+0.027}_{-0.147-0.023}$	$0.962^{+0.091+0.020}_{-0.080-0.018}$
	2011 + 2012 $3 \text{ fb}^{-1}$	2015 + 2016 $2 \text{ fb}^{-1}$	2017 + 2018 $4 \text{ fb}^{-1}$



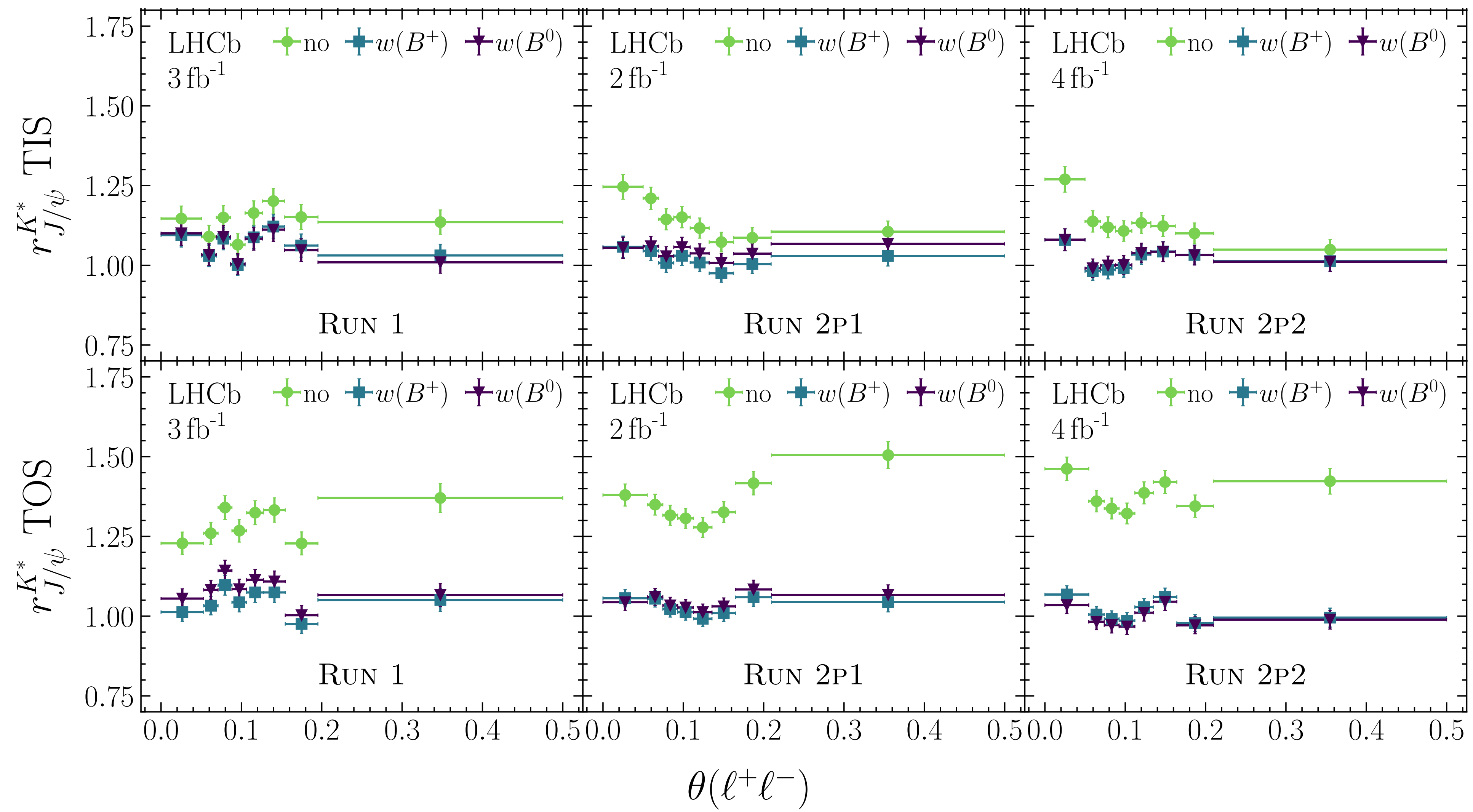


If electrons are close in ECAL,  
Efficiencies of PID selection  
do not factorise, additional selection  
to prevent bias,  
removing a tiny (few %) amount of signal

# Corrections and stability of $r_{J/\psi}^K$

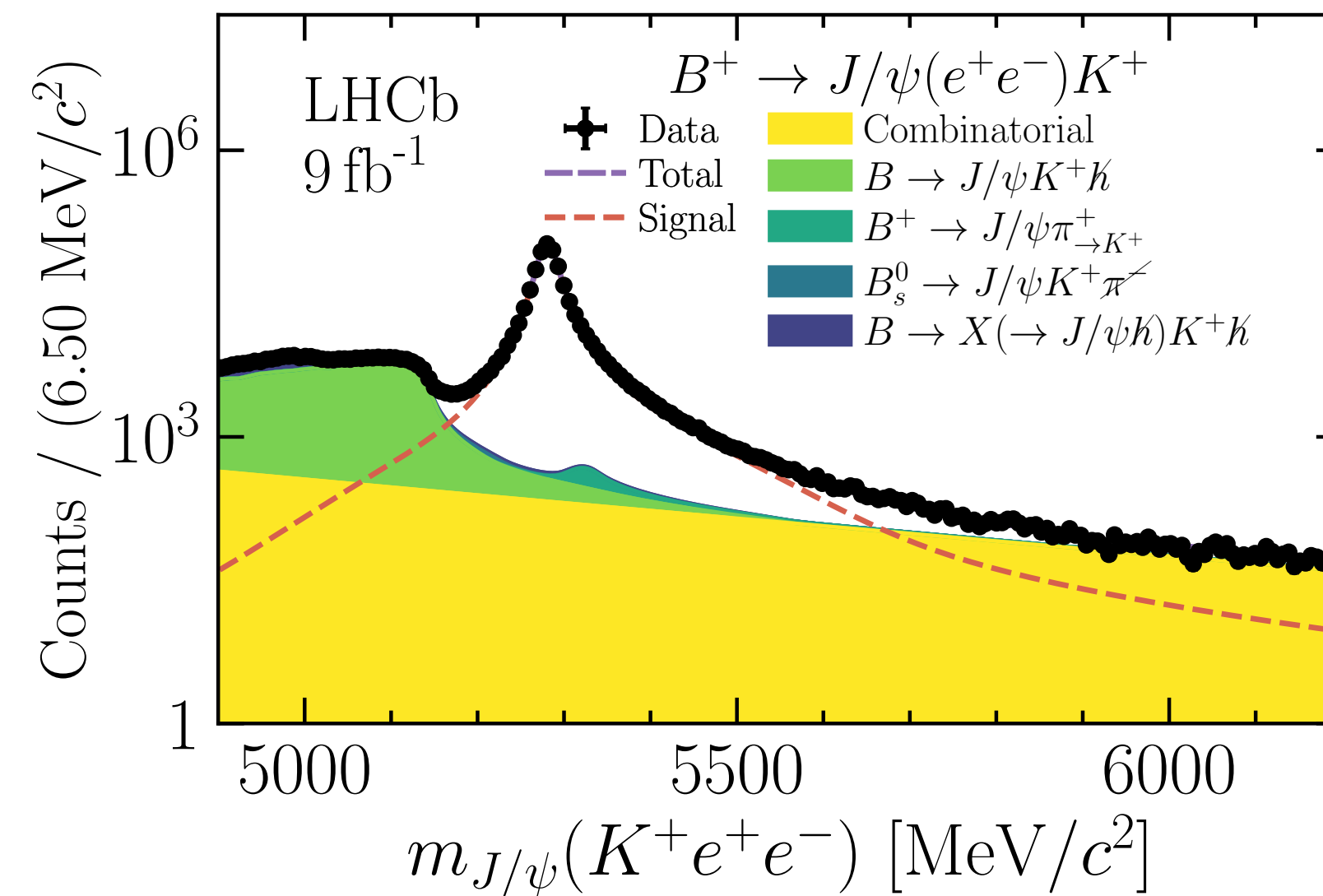
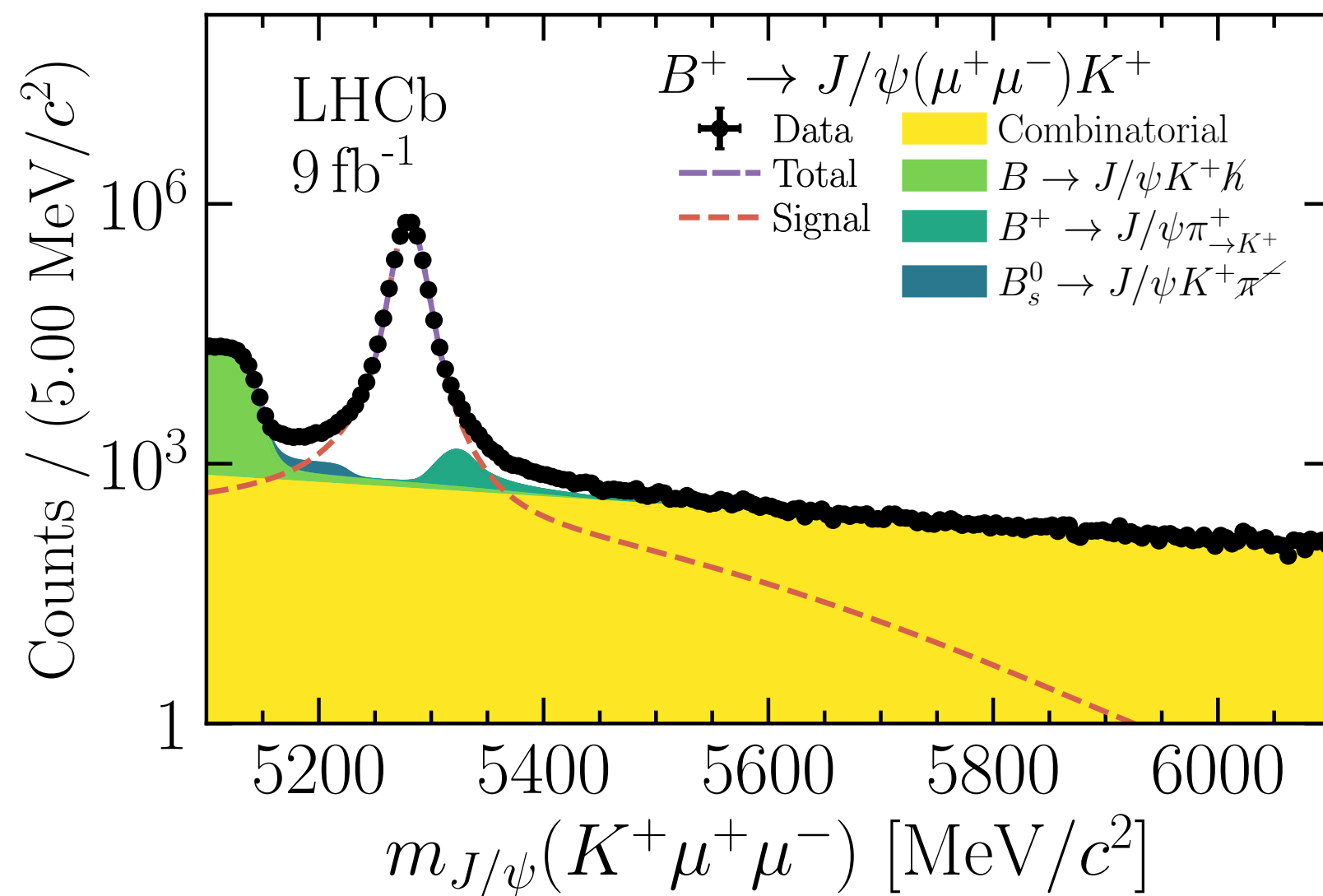


# Corrections and stability of $r_{J/\psi}^{K^*}$



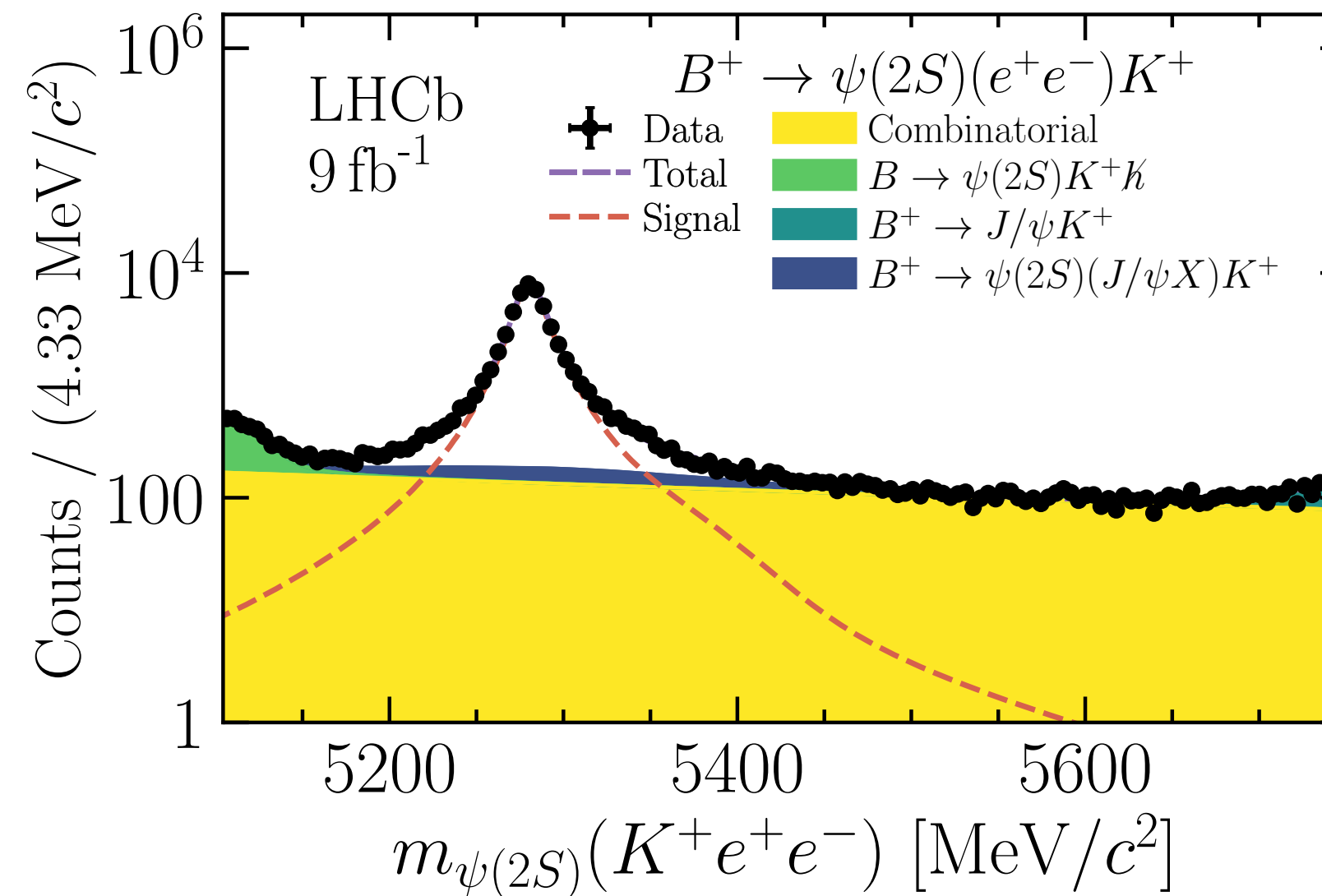
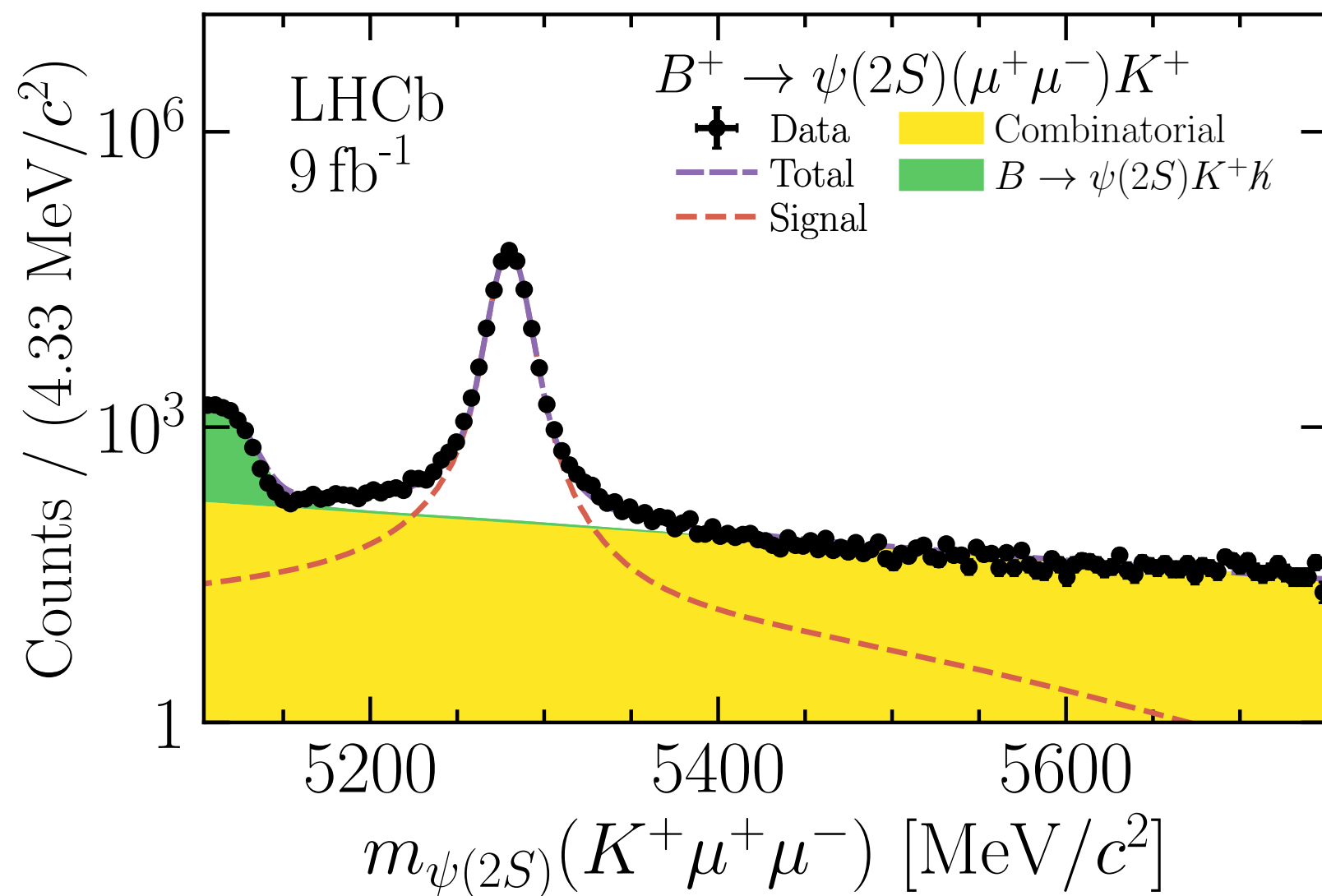
# Cross-check resonant modes: mass fits

Partially reconstructed  
 $B_s \rightarrow J/\psi K^+ \rho^0$   
 Mis-ID  $B^+ \rightarrow J/\psi \pi^+_{\rightarrow K^+}$



Partially reconstructed  
 $B_s \rightarrow J/\psi K^+ \rho^0$   
 Mis-ID  $B^+ \rightarrow J/\psi \pi^+_{\rightarrow K^+}$   
 Partially reconstructed  
 (charmonia cascade)

Partially reconstructed

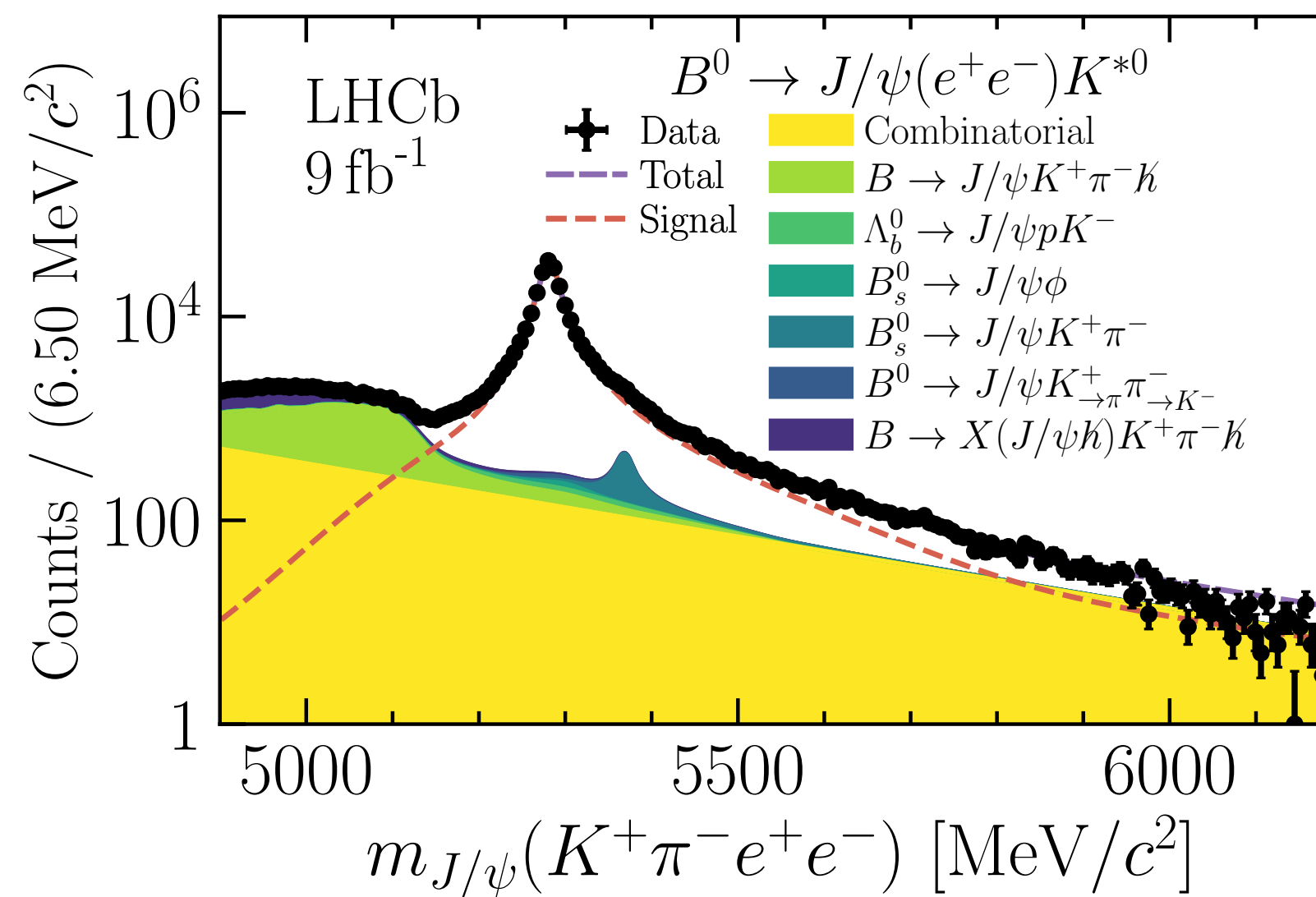
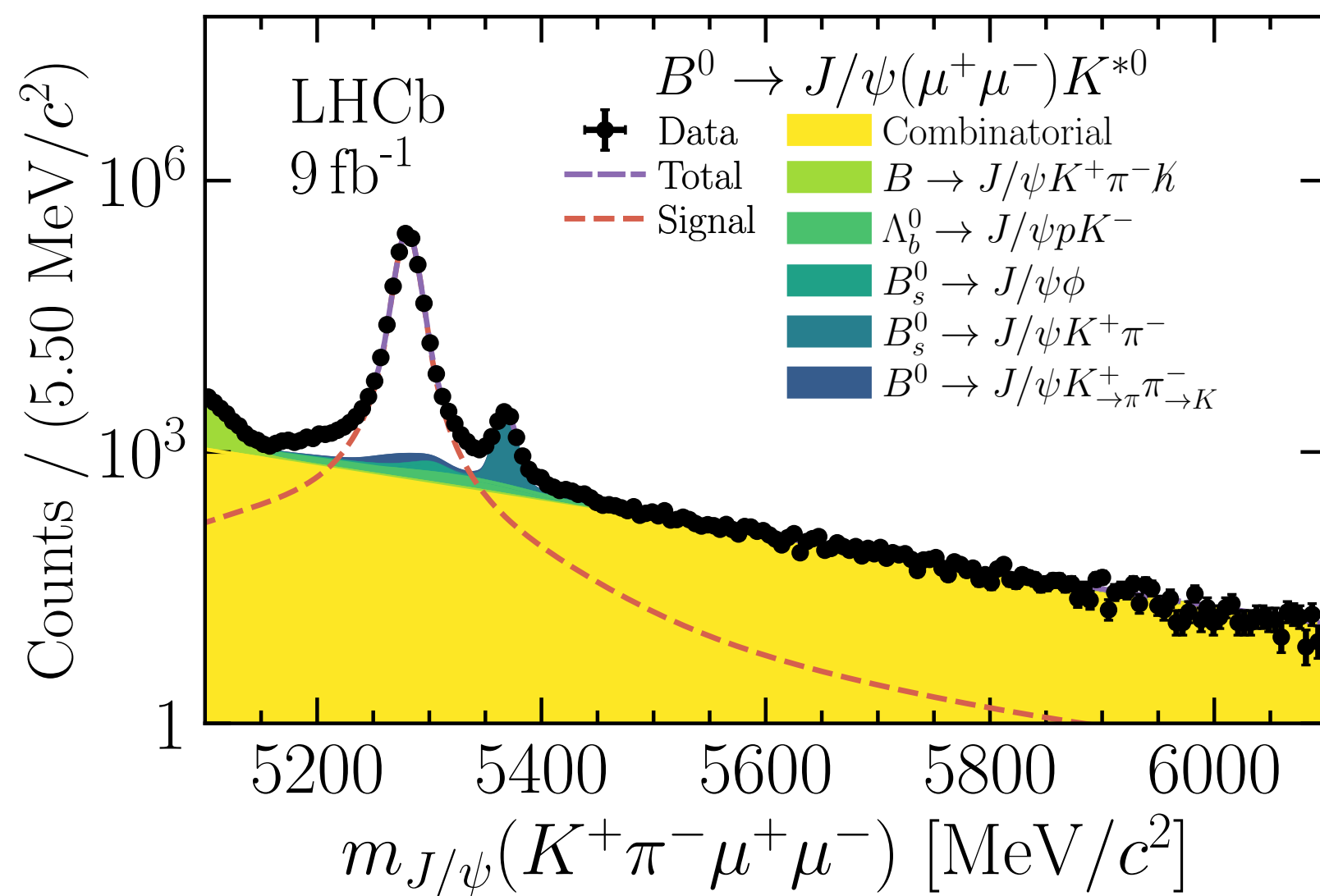


Partially reconstructed  
 Leaking  $B^+ \rightarrow J/\psi K^+$   
 $B^+ \rightarrow (\psi(2S) \rightarrow J/\psi X)K^+$



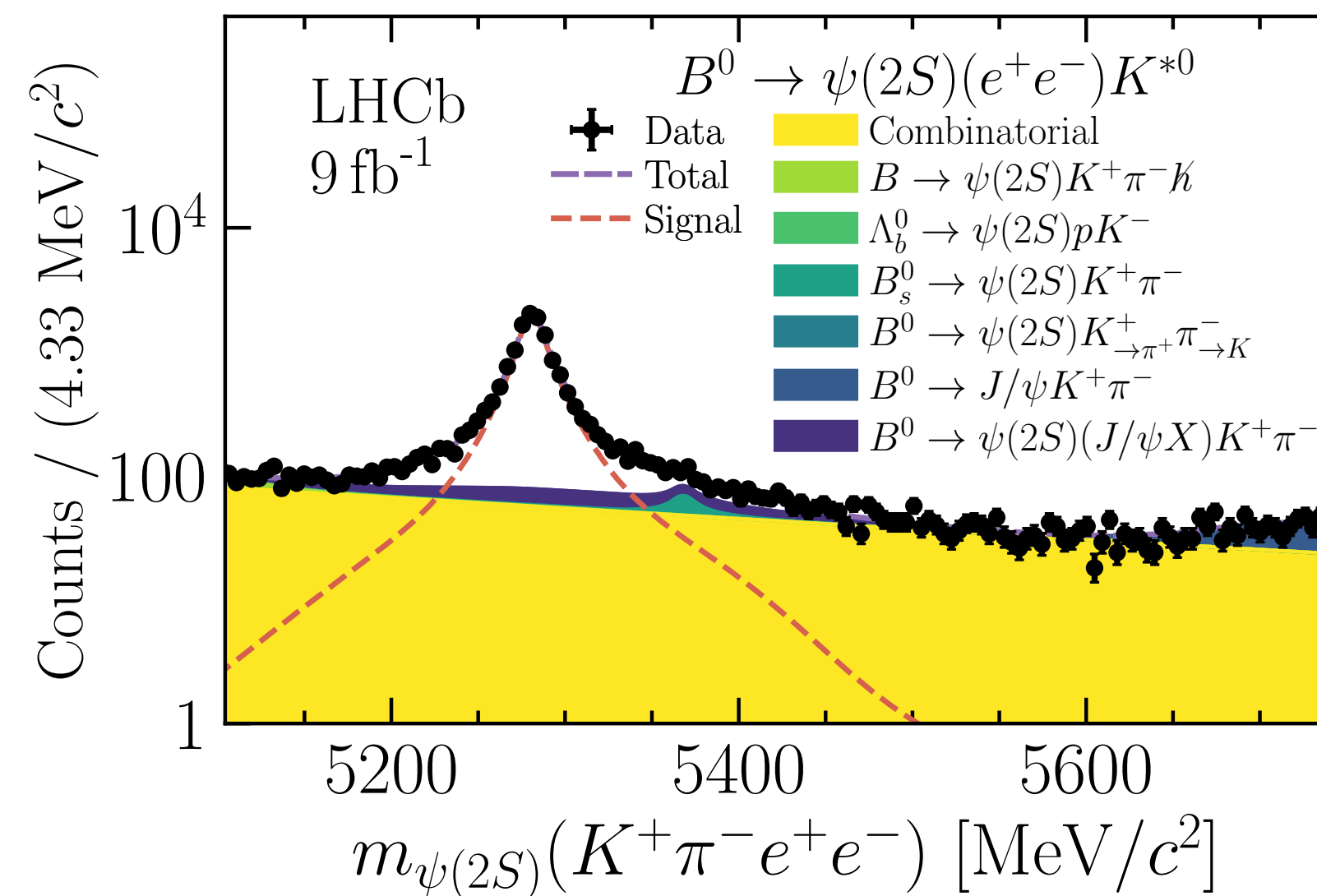
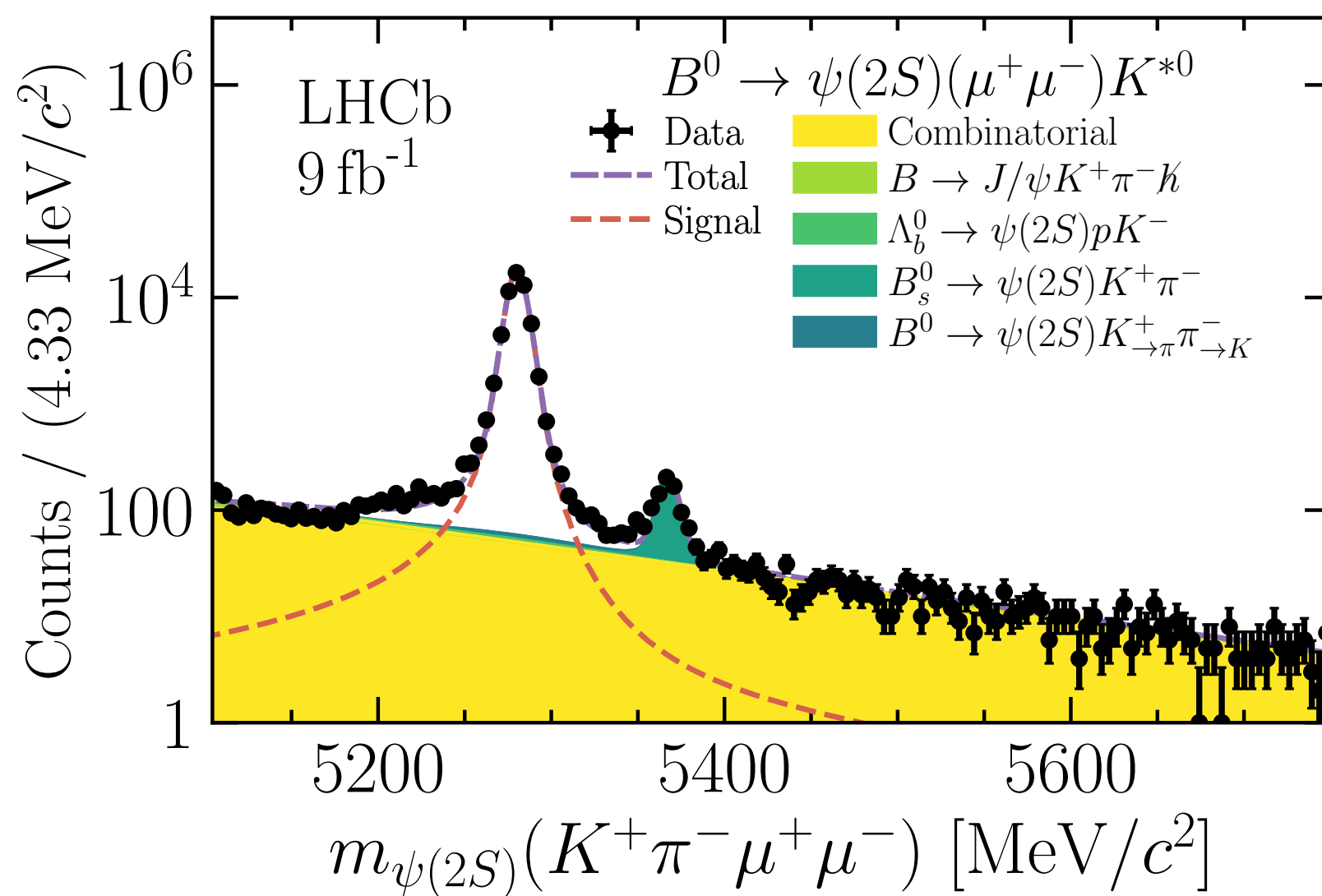
# Cross-check resonant modes: mass fits

- Partially reconstructed
- $B_s \rightarrow J/\psi K^{*0}$
- Hadronic misID ( $\Lambda_b^0$ )
- Hadronic misID ( $B_s^0$ )
- Double misID  $K \leftrightarrow \pi$



- Partially reconstructed
- $B_s \rightarrow J/\psi K^{*0}$
- Hadronic misID ( $\Lambda_b^0$ )
- Hadronic misID ( $B_s^0$ )
- Double misID  $K \leftrightarrow \pi$
- Partially reconstructed (charmonia cascade)

- Partially reconstructed
- Hadronic misID ( $\Lambda_b^0$ )
- $B_s \rightarrow \psi(2S) K^{*0}$
- Double misID  $K \leftrightarrow \pi$



- Partially reconstructed
- $B_s \rightarrow \psi(2S) K^{*0}$
- Hadronic misID ( $\Lambda_b^0$ )
- Double misID  $K \leftrightarrow \pi$
- Leaking  $B^+ \rightarrow J/\psi K^+$
- $B^+ \rightarrow (\psi(2S) \rightarrow J/\psi X) K^+$

- ◆ Control missing pion background from  $K^{*0}e^+e^-$  signal in  $K^+e^+e^-$ 
  - ◆  $K^+\pi^-e^+e^-$  in  $K^+e^+e^-$  fit range using relative  $\varepsilon$  ratios and scaling factors
    - ▶ (s+p-wave):  $m_{K^+\pi^-} \in [792,992]$  directly from  $K^{*0}e^+e^-$  signal yields and relative  $\varepsilon$
    - ▶ (p-wave):  $m_{K^+\pi^-} \notin [792,992]$  from breit-wigner tails and  $\varepsilon(m(K^+\pi^-))$
    - ▶ (s-wave):  $m_{K^+\pi^-} \notin [792,992] + m_{K^+\pi^-} \leq 1200\text{MeV}/c^2$ : use  $F_s|_{644}^{1200}$  and  $F_s|_{792}^{992}$  from [JHEP 11 \(2016\) 047](#) and [JHEP 04 \(2017\) 142](#) and corrections for  $\varepsilon(m(K^+\pi^-))$
    - ▶ (all):  $m_{K^+\pi^-} \notin [792,992] + m_{K^+\pi^-} > 1200\text{MeV}/c^2$ : linear extrapolation from known BF or use full amplitude model from  $K^+\pi^-J/\psi$  in [Phys. Rev. Lett. 112, 222002 \(2014\)](#) and corrections for  $\varepsilon(m(K^+\pi^-))$
    - ▶ Iso-spin partner  $K^+\pi^0$  obtained from all above corrected by  $\varepsilon$  and isospin extrapolation factor for  $\tau_{B^+}/\tau_{B^0}$  and  $K^*$  isospin factors and corrections for and corrections for  $\varepsilon(m(K^+\pi^0))$  from simulation
  - ◆  $m_{\text{Corr}}$  selection prevent and suppress  $\geq 2$  missing particles from  $X_s e^+e^-$  entering the mass fit window
- ◆ Partially reconstructed background in  $K^{*0}e^+e^-$  free to float