

# Dirac neutrinos in the cosmic microwave background

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# Standard Model of Particle Physics

	<p>mass → <math>\approx 2.3 \text{ MeV}/c^2</math></p> <p>charge → <math>2/3</math></p> <p>spin → <math>1/2</math></p> <p><b>u</b></p> <p>up</p>	<p>mass → <math>\approx 1.275 \text{ GeV}/c^2</math></p> <p>charge → <math>2/3</math></p> <p>spin → <math>1/2</math></p> <p><b>c</b></p> <p>charm</p>	<p>mass → <math>\approx 173.07 \text{ GeV}/c^2</math></p> <p>charge → <math>2/3</math></p> <p>spin → <math>1/2</math></p> <p><b>t</b></p> <p>top</p>	<p>mass → <math>0</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1</math></p> <p><b>g</b></p> <p>gluon</p>
QUARKS	<p>mass → <math>\approx 4.8 \text{ MeV}/c^2</math></p> <p>charge → <math>-1/3</math></p> <p>spin → <math>1/2</math></p> <p><b>d</b></p> <p>down</p>	<p>mass → <math>\approx 95 \text{ MeV}/c^2</math></p> <p>charge → <math>-1/3</math></p> <p>spin → <math>1/2</math></p> <p><b>s</b></p> <p>strange</p>	<p>mass → <math>\approx 4.18 \text{ GeV}/c^2</math></p> <p>charge → <math>-1/3</math></p> <p>spin → <math>1/2</math></p> <p><b>b</b></p> <p>bottom</p>	<p>mass → <math>0</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1</math></p> <p><b><math>\gamma</math></b></p> <p>photon</p>
	<p>mass → <math>0.511 \text{ MeV}/c^2</math></p> <p>charge → <math>-1</math></p> <p>spin → <math>1/2</math></p> <p><b>e</b></p> <p>electron</p>	<p>mass → <math>105.7 \text{ MeV}/c^2</math></p> <p>charge → <math>-1</math></p> <p>spin → <math>1/2</math></p> <p><b><math>\mu</math></b></p> <p>muon</p>	<p>mass → <math>1.777 \text{ GeV}/c^2</math></p> <p>charge → <math>-1</math></p> <p>spin → <math>1/2</math></p> <p><b><math>\tau</math></b></p> <p>tau</p>	<p>mass → <math>91.2 \text{ GeV}/c^2</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1</math></p> <p><b>Z</b></p> <p>Z boson</p>
	LEPTONS	<p>mass → <math>&lt; 2.2 \text{ eV}/c^2</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1/2</math></p> <p><b><math>\nu_e</math></b></p> <p>electron neutrino</p>	<p>mass → <math>&lt; 0.17 \text{ MeV}/c^2</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1/2</math></p> <p><b><math>\nu_\mu</math></b></p> <p>muon neutrino</p>	<p>mass → <math>&lt; 15.5 \text{ MeV}/c^2</math></p> <p>charge → <math>0</math></p> <p>spin → <math>1/2</math></p> <p><b><math>\nu_\tau</math></b></p> <p>tau neutrino</p>

$SU(3)_c \times SU(2)_L \times U(1)_Y$  GAUGE BOSONS

mass →  $\approx 126 \text{ GeV}/c^2$

charge →  $0$

spin →  $0$

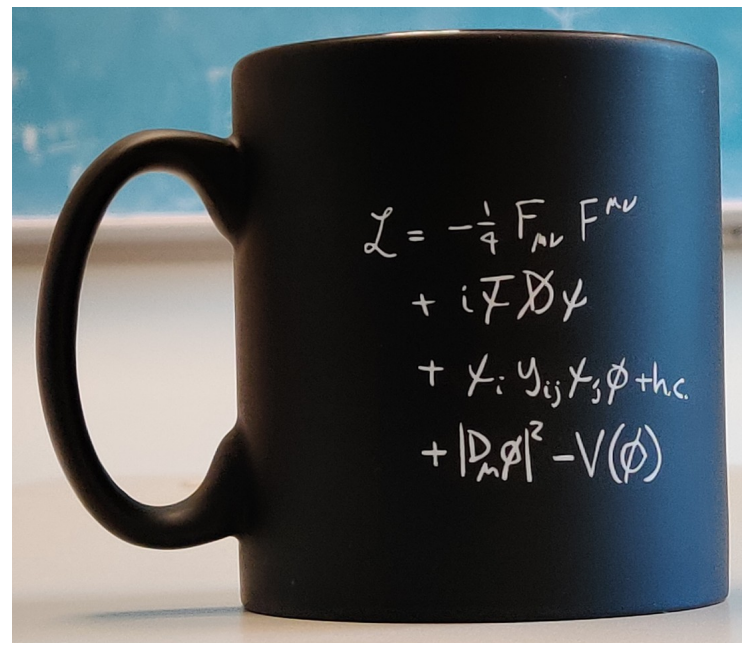
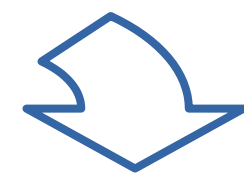
**H**

Higgs boson

SCALARS



Englert & Higgs '13



[wikipedia]

# Neutrinos oscillate!

- **Neutrino oscillations** are evidence for neutrino masses and mixing!
- $\nu_{e,\mu,\tau}$  are not the mass eigenstates.
- Mass splittings are **tiny**:  

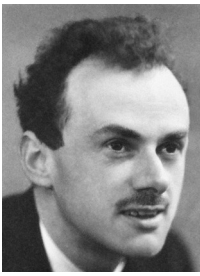
$$|m_3^2 - m_1^2| = 2 \times 10^{-3} \text{ eV}^2, \quad m_2^2 - m_1^2 = 8 \times 10^{-5} \text{ eV}^2.$$
- Absolute masses **unknown** but below 0.8 eV. **[KATRIN '19]**
- Experimental program continues to pin down parameters (phases, mass scale, ordering).



Kajita & McDonald '15

Implications for theory?

# Neutrino mass = new particles



- Dirac neutrinos:

- $\nu = \nu_L + \nu_R \neq \bar{\nu}$ .

- $U(1)_L$  conserved.

- $\nu_R$  -  $\nu_L$  - Higgs coupling:

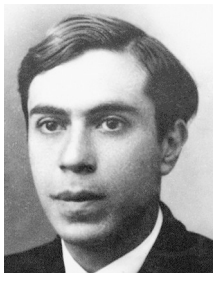
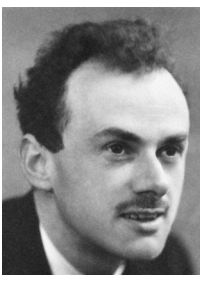
$$m_\nu = y_\nu \langle H \rangle$$

$$= 1 \text{ eV} \left( \frac{y_\nu}{10^{-11}} \right).$$

- Tiny Yukawa couplings.

- $\nu_R$  is gauge singlet  
→ difficult to see.

# Neutrino mass = new particles



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→ difficult to see.

- **Majorana** neutrinos:

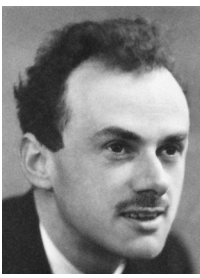
- $\nu = \nu_L + \nu_L^c = \bar{\nu}$ .
- $U(1)_L$  broken.
- Add  $m_M \bar{\nu}_R^c \nu_R$ ?

$$m_\nu \simeq (y_\nu \langle H \rangle) \frac{1}{m_M} (y_\nu \langle H \rangle)^T \\ \sim 1 \text{ eV} \left( \frac{10^{12} \text{ GeV}}{m_M} \right) y_\nu^2.$$

- $\nu_R$  is **heavy** gauge singlet.
- Confirm via  $0\nu\beta\beta$ .

How to confirm Dirac neutrinos?

# How to see Dirac neutrinos?



- **Dirac** neutrinos:
  - $\nu = \nu_L + \nu_R \neq \bar{\nu}$ .
  - $U(1)_L$  conserved.
  - $\nu_R$  -  $\nu_L$  - Higgs coupling:
 
$$m_\nu = y_\nu \langle H \rangle$$

$$= 1 \text{ eV} \left( \frac{y_\nu}{10^{-11}} \right).$$
  - Tiny Yukawa couplings.
  - $\nu_R$  is gauge singlet  
→ difficult to see.
- $\nu_R$  are ultra-light new particles.
  - Contribute to early-universe radiation density  
 $N_{\text{eff}} \propto \rho_{\text{radiation}} / \rho_\gamma$ ?
  - **Not** via tiny Higgs couplings.  
[Shapiro+, '80; recent: Luo+, '21]
  - **Maybe** via Hawking radiation.  
[Hooper+, '19; Lunardini+, '19; Das+, '23]
- $\nu_R$  has **additional interactions** in many models →  $N_{\text{eff}}!$   
[Steigman+, '79; Olive+, '81; Barger+, '03]

Dirac neutrinos = extra radiation?

# Entropy dilution

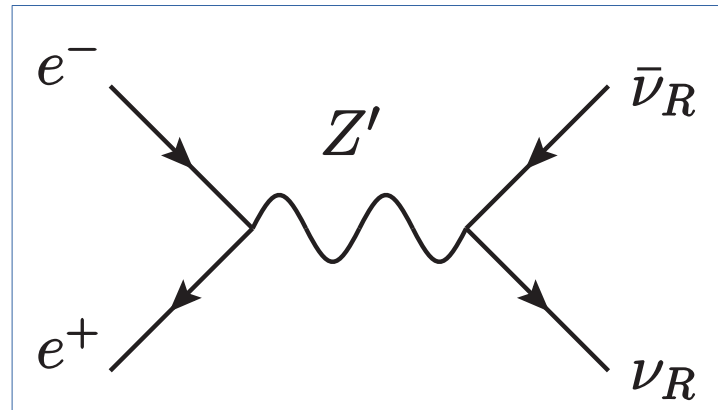
time



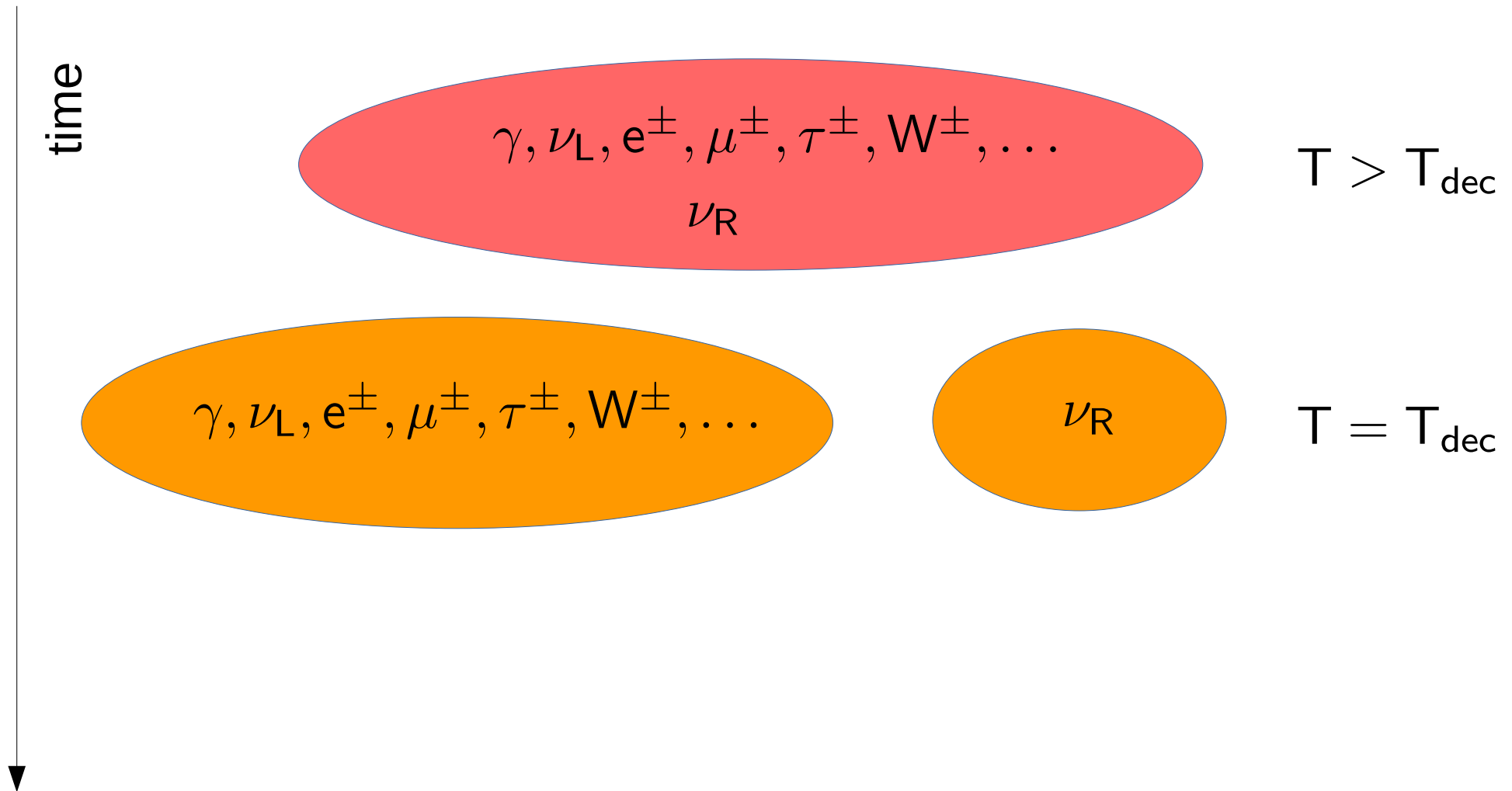
$\gamma, \nu_L, e^\pm, \mu^\pm, \tau^\pm, W^\pm, \dots$

$\nu_R$

$T > T_{\text{dec}}$

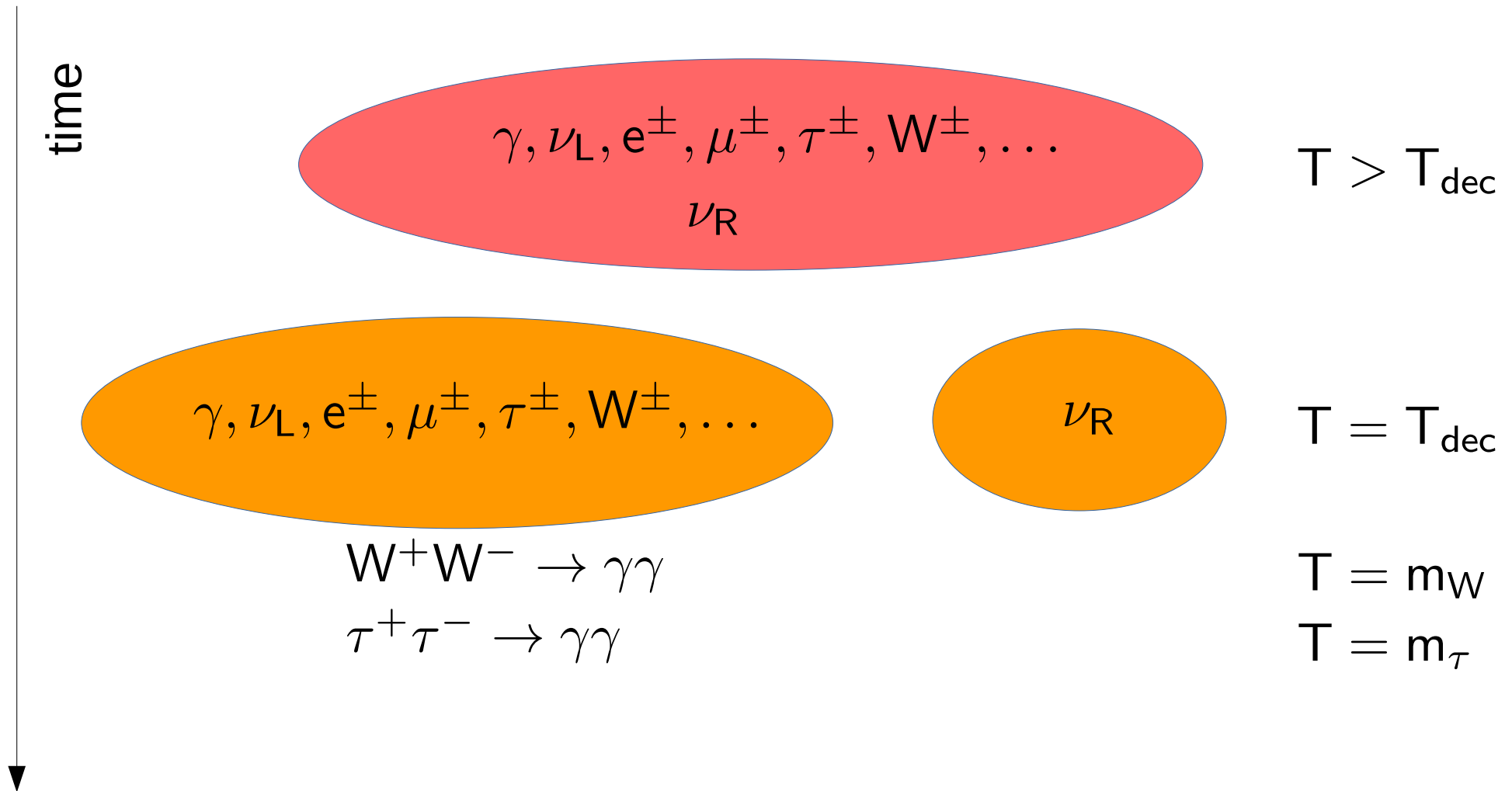


# Entropy dilution

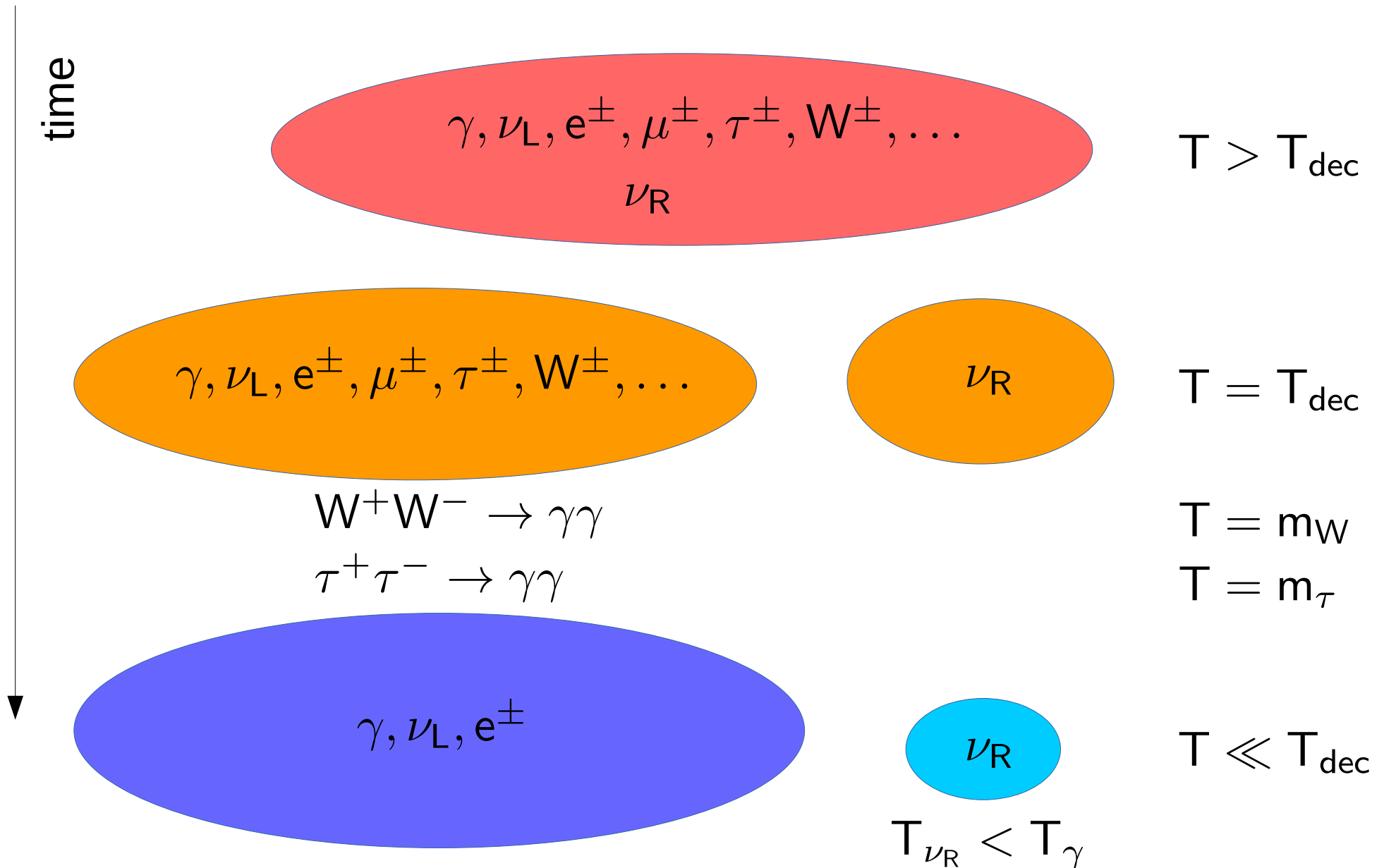




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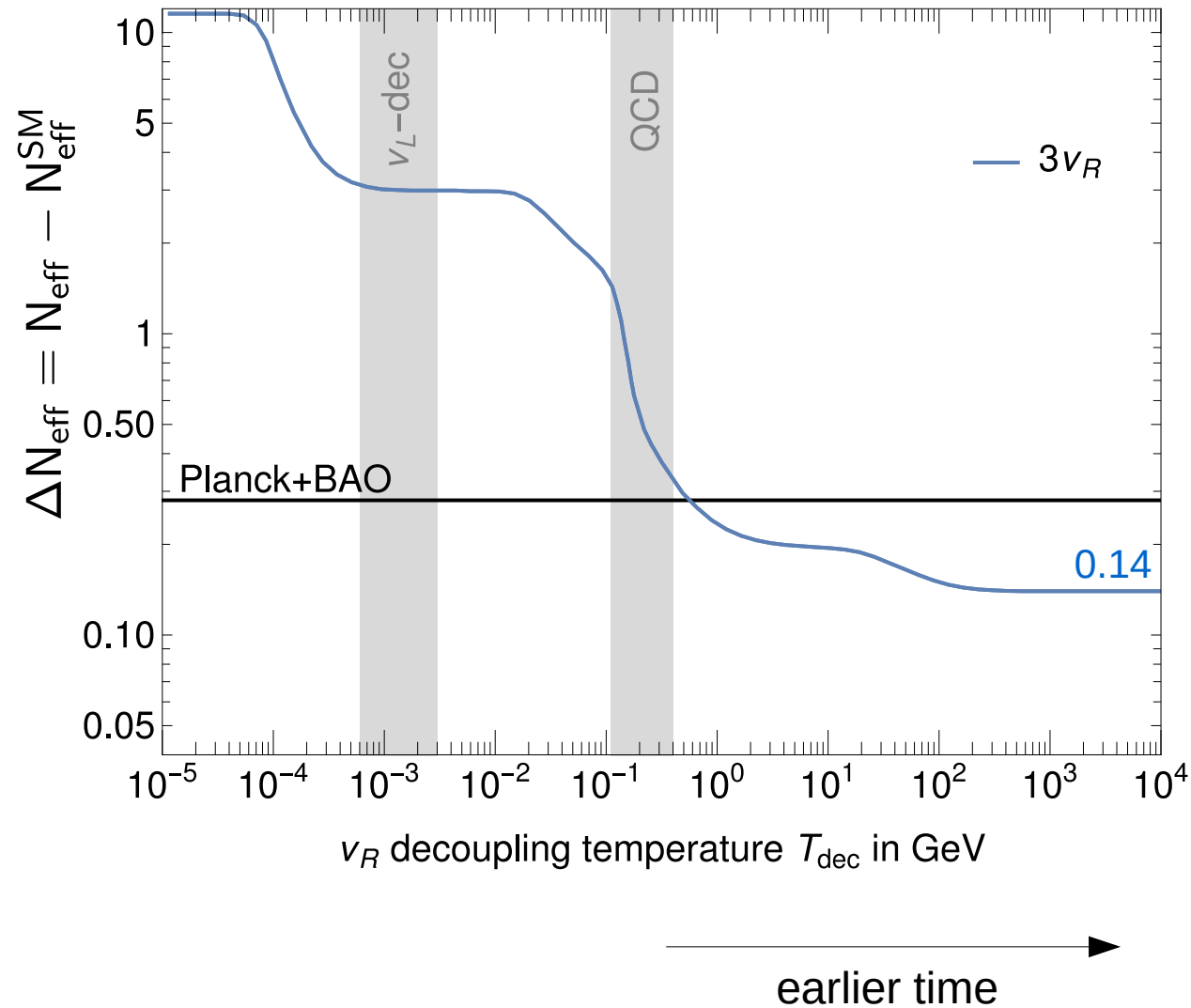


# Entropy dilution



# Number of effective neutrinos: $N_{\text{eff}}$

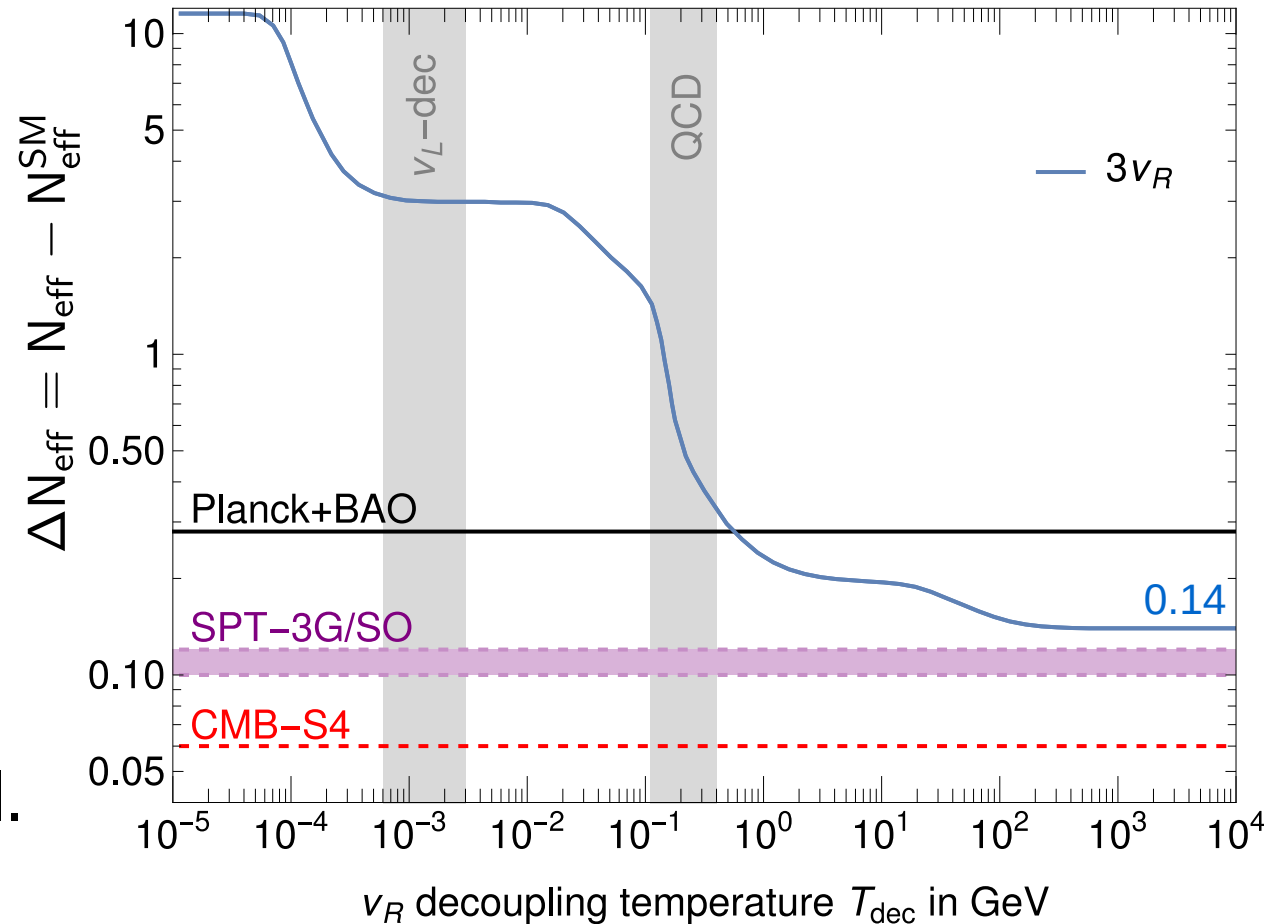
- $N_{\text{eff}}^{\text{SM}} \simeq 3.$



# Number of effective neutrinos: $N_{\text{eff}}$

- $N_{\text{eff}}^{\text{SM}} \simeq 3$ .
- Improvement on  $\Delta N_{\text{eff}}$  in **CMB-S4**.  
[Abazajian+, 1907.04473]
- Will probe if  $3 \nu_R$  were ever thermal!
- Strong constraint for any **Dirac**  $\nu$  model.

[Heeck & Abazajian, PRD '19]

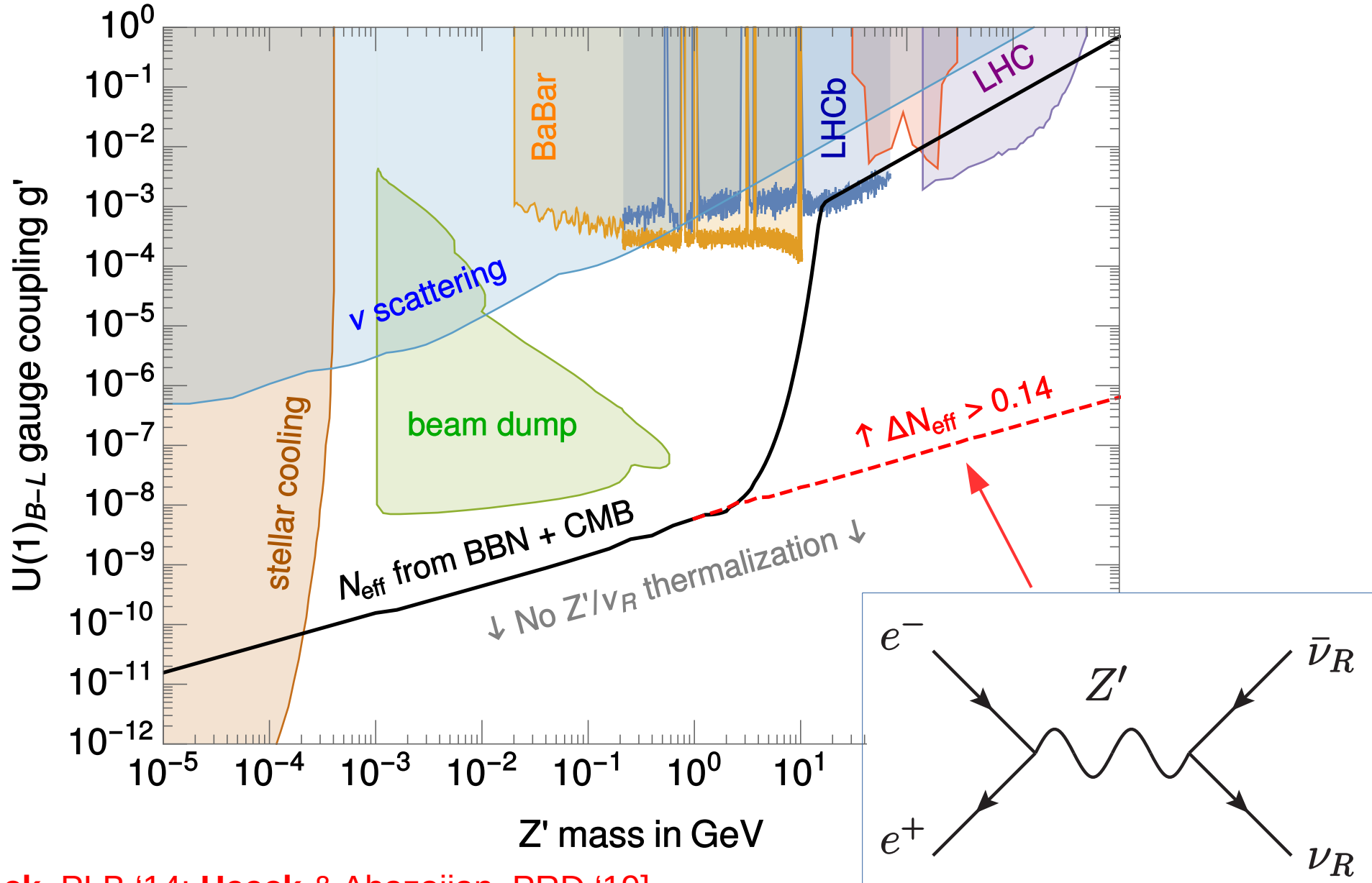


Dirac vs. Majorana via cosmology!

← earlier time →

# Example 1:

B-L with Dirac neutrinos



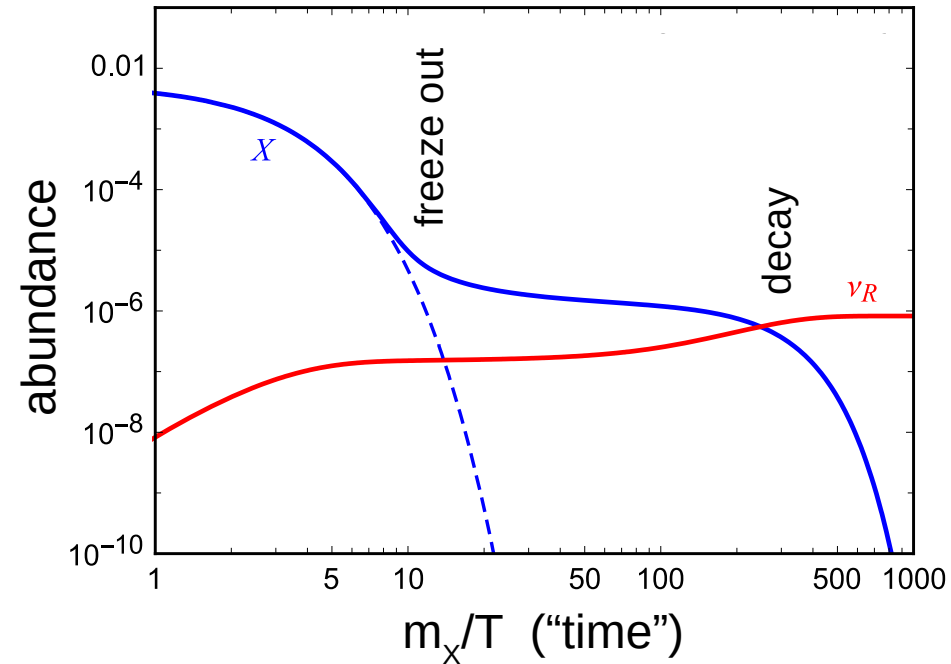
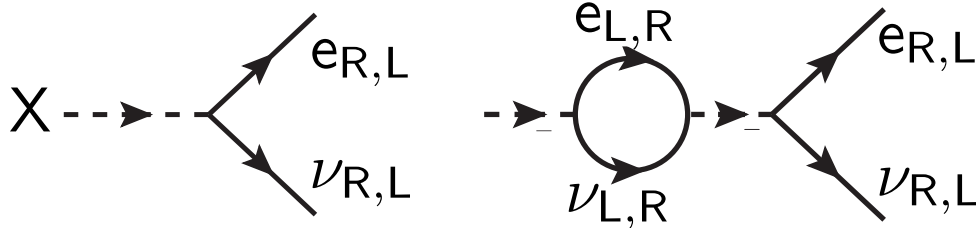
[Heeck, PLB '14; Heck & Abazajian, PRD '19]

# Example 2: Dirac leptogenesis

[Dick, Lindner, Ratz, Wright, PRL '00]

- Non-thermalization of  $\nu_R$  might be key for **matter/antimatter**.

- Idea: new heavy particle  $X$  decays **out of equilibrium** into  $\nu_{L,R}$ .



[Heeck, Heisig, Thapa, 2304.09893]

- Loop-level CP asymmetry  $\varepsilon$ :

$$\Delta\nu = \nu_L - \bar{\nu}_L = -(\nu_R - \bar{\nu}_R) \neq 0$$

- $\nu_R$  are out of equilibrium, sphalerons convert  $\Delta\nu$  into **baryon asymmetry**

$$Y_{\Delta B} \simeq 10^{-3} \varepsilon \eta \stackrel{!}{\simeq} 10^{-10}.$$

# Dirac leptogenesis models

Case	$SU(3) \times SU(2) \times U(1)$	spin	$(B - L)(X)$	Relevant Lagrangian terms that induce $X$ decay	$\Delta B$
$a$	$(\mathbf{1}, \mathbf{1}, -1)$	0	-2	$\nu_R e_R \bar{X}, LL\bar{X}$	0
$b$	$(\mathbf{1}, \mathbf{2}, 1/2)$	0	0	$\bar{H}X, \bar{\nu}_R LX, \bar{L}e_RX, \bar{Q}_L d_RX, \bar{u}_R Q_L X, X^\dagger H^\dagger H H$	0
$c$	$(\mathbf{3}, \mathbf{1}, -1/3)$	0	-2/3	$d_R \nu_R X^\dagger, u_R e_R X^\dagger, Q_L L X^\dagger, u_R d_R X, Q_L Q_L X$	0 or 1
$d$	$(\mathbf{3}, \mathbf{1}, 2/3)$	0	-2/3	$u_R \nu_R X^\dagger, d_R d_R X$	1
$e$	$(\mathbf{3}, \mathbf{2}, 1/6)$	0	4/3	$\bar{Q}_L \nu_R X, \bar{d}_R L X$	0
$f$	$(\mathbf{1}, \mathbf{2}, -1/2)$	1/2	-1	$\bar{X}L, \bar{\nu}_R X H, \bar{X}e_R H$	0

[Heeck, Heisig, Thapa, 2304.09893]

- B-L is always conserved.
- $X$  always has gauge interactions (same as SUSY sparticles).
  - Still not thermalized if  $m_X$  is large,  $X$  can freeze in/out.
- $\nu_R$  number is broken,  $X$  has decays to  $\nu_R$  and SM.
  - Hierarchy of rates  $X \rightarrow \nu_R$  and  $X \rightarrow \text{SM}$  important.
  - $|\epsilon| \leq \min(B_R, B_L)$ .

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[Heeck, Heisig, Thapa, 2304.09893]

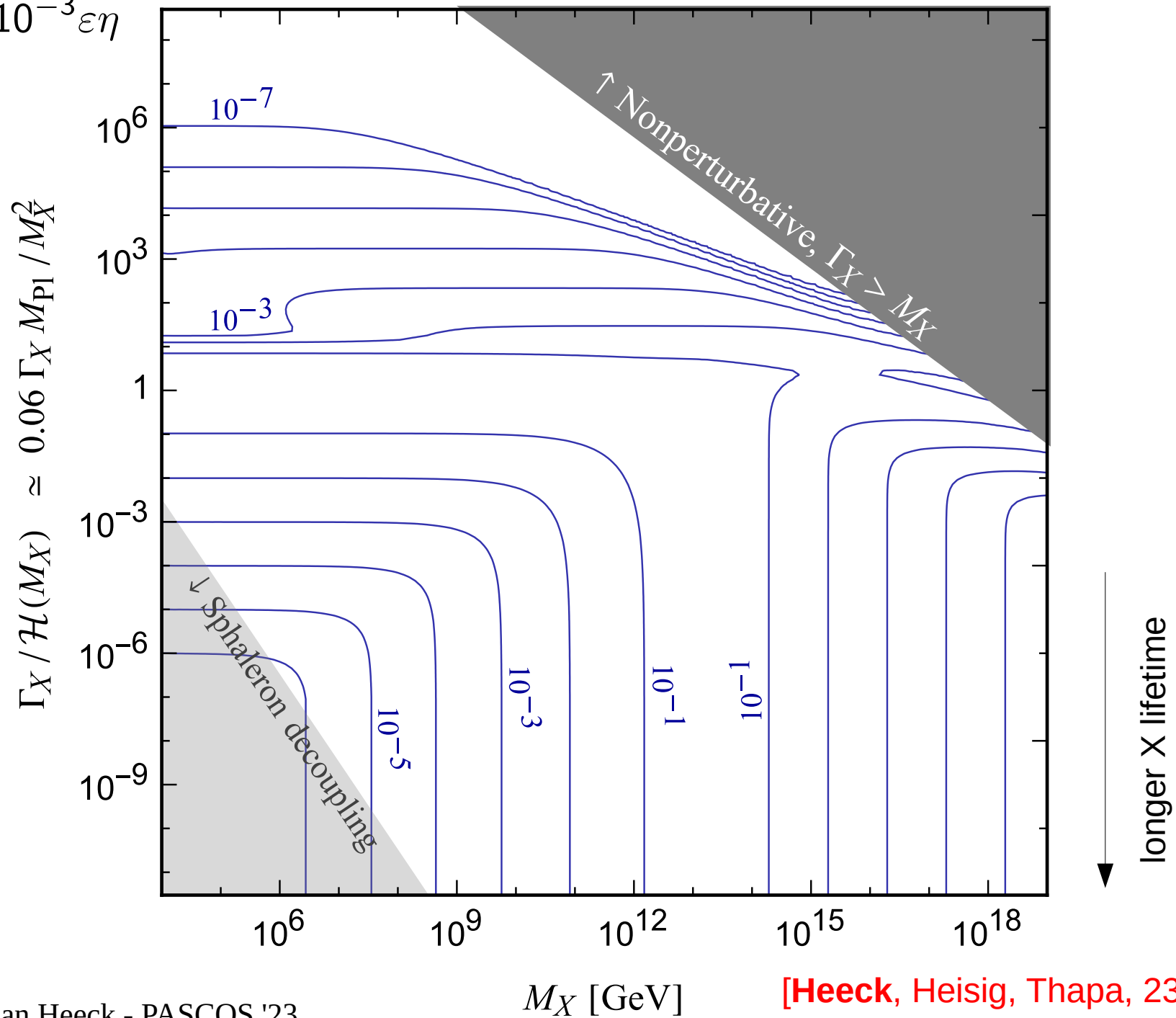
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Model a:

 $B_R = 0.5; \eta$  (blue)

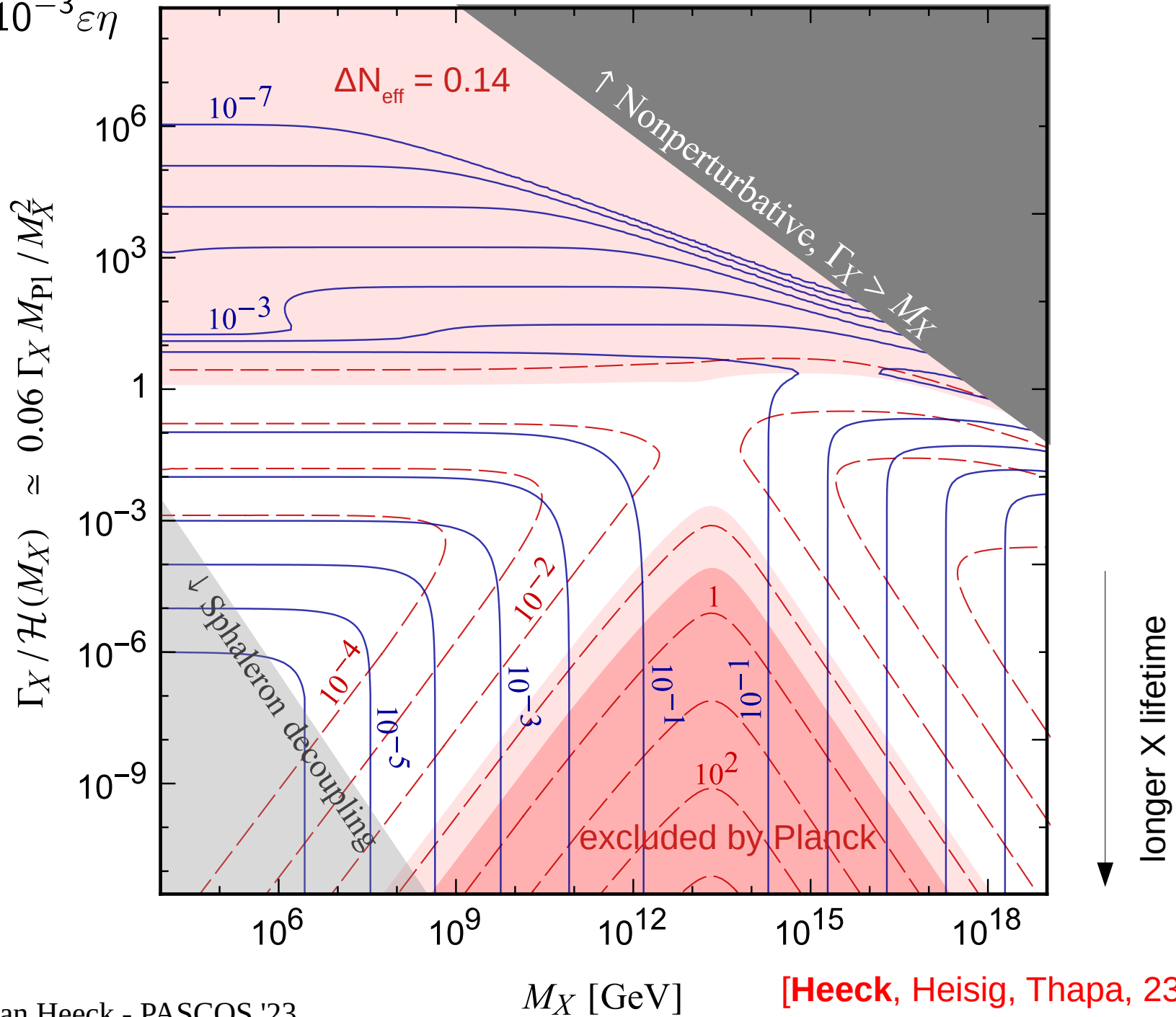
$$Y_B \simeq 10^{-3} \varepsilon \eta$$



Model a:

 $B_R = 0.5$ ;  $\eta$  (blue) and  $\Delta N_{\text{eff}}$  (red, dashed)

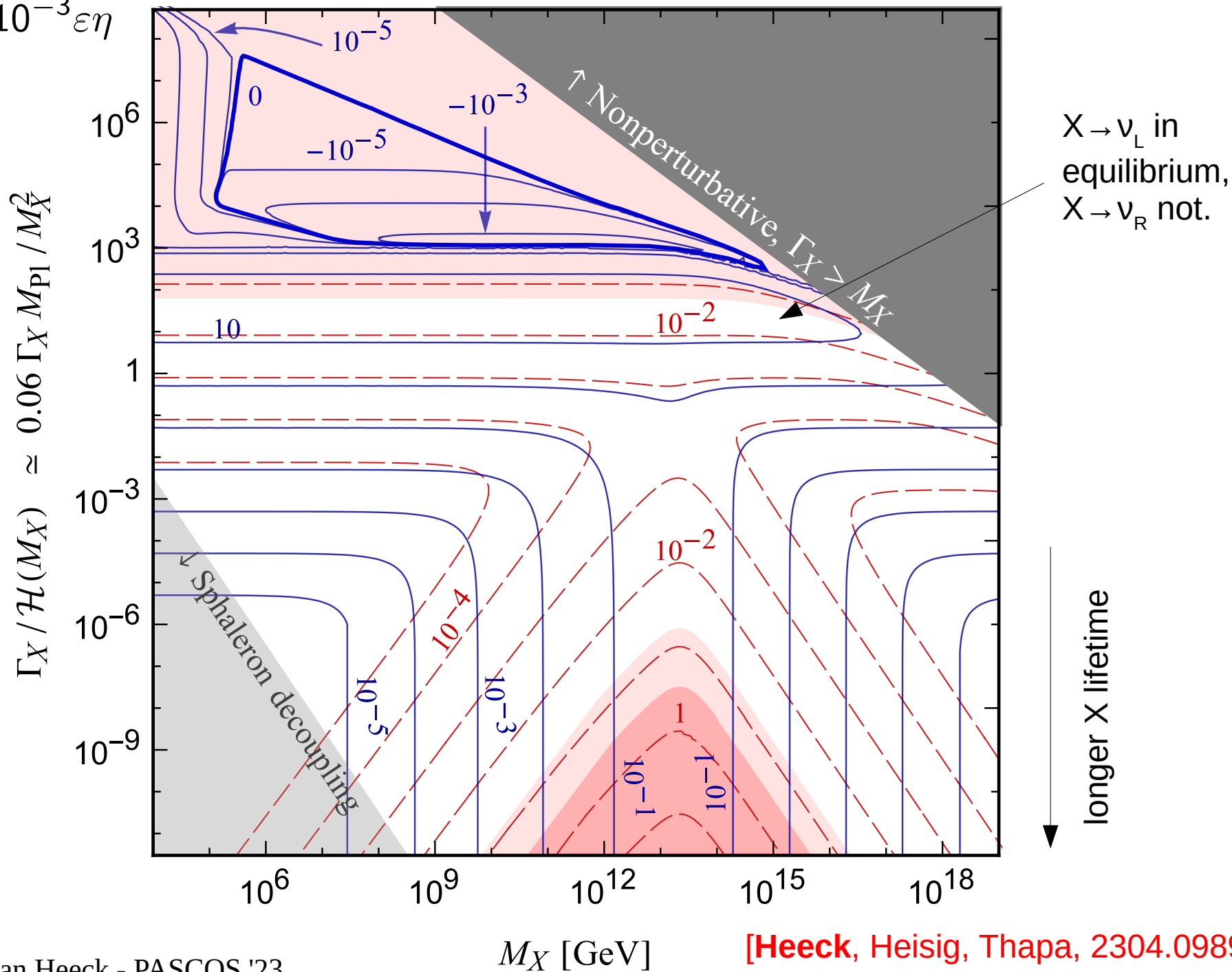
$$Y_B \simeq 10^{-3} \varepsilon \eta$$



Model a:

 $B_R = 0.01$ ;  $\eta$  (blue) and  $\Delta N_{\text{eff}}$  (red, dashed)

$$Y_B \simeq 10^{-3} \varepsilon \eta$$

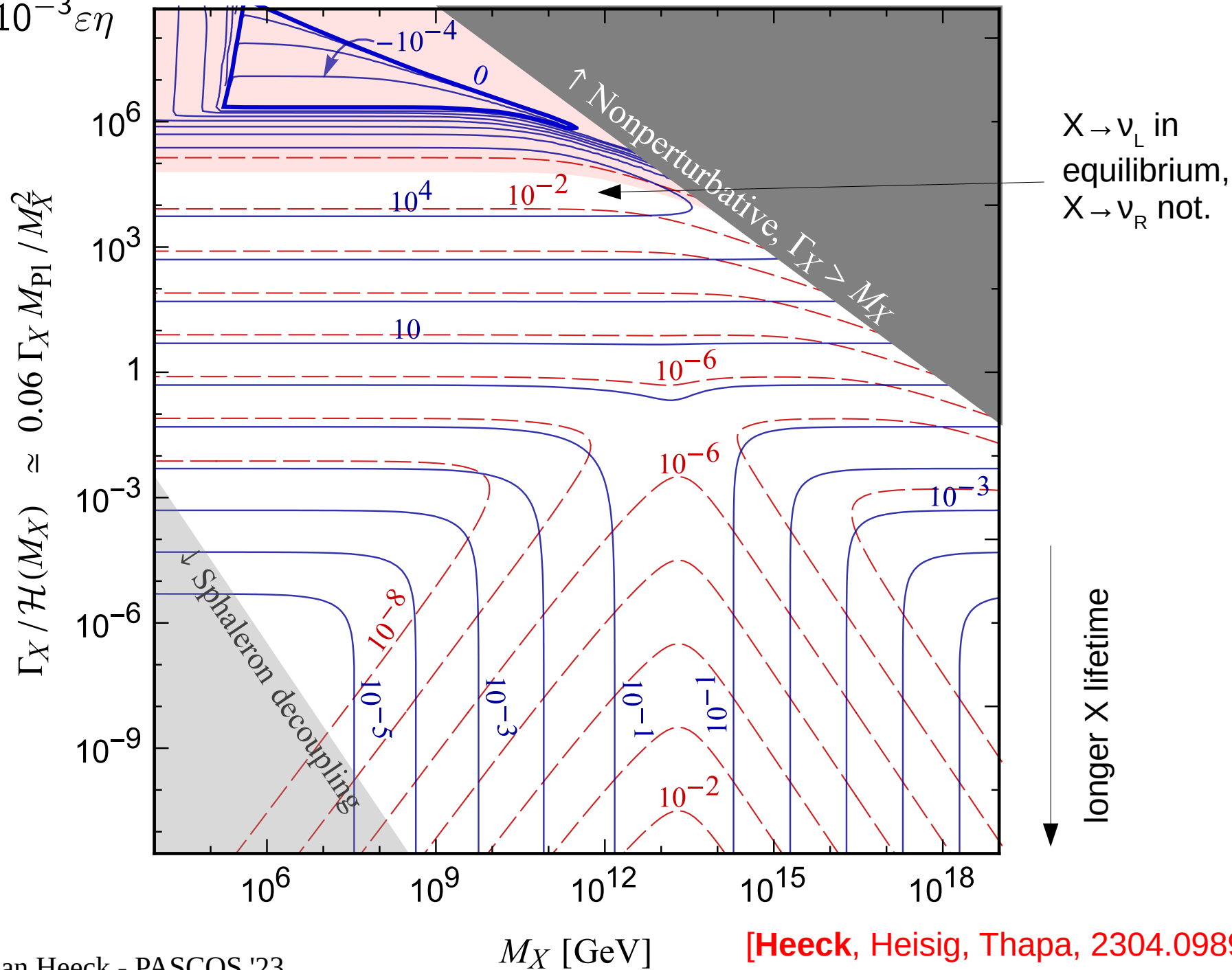


[Heeck, Heisig, Thapa, 2304.09893]

Model a:

 $B_R = 10^{-5}$ ;  $\eta$  (blue) and  $\Delta N_{\text{eff}}$  (red, dashed)

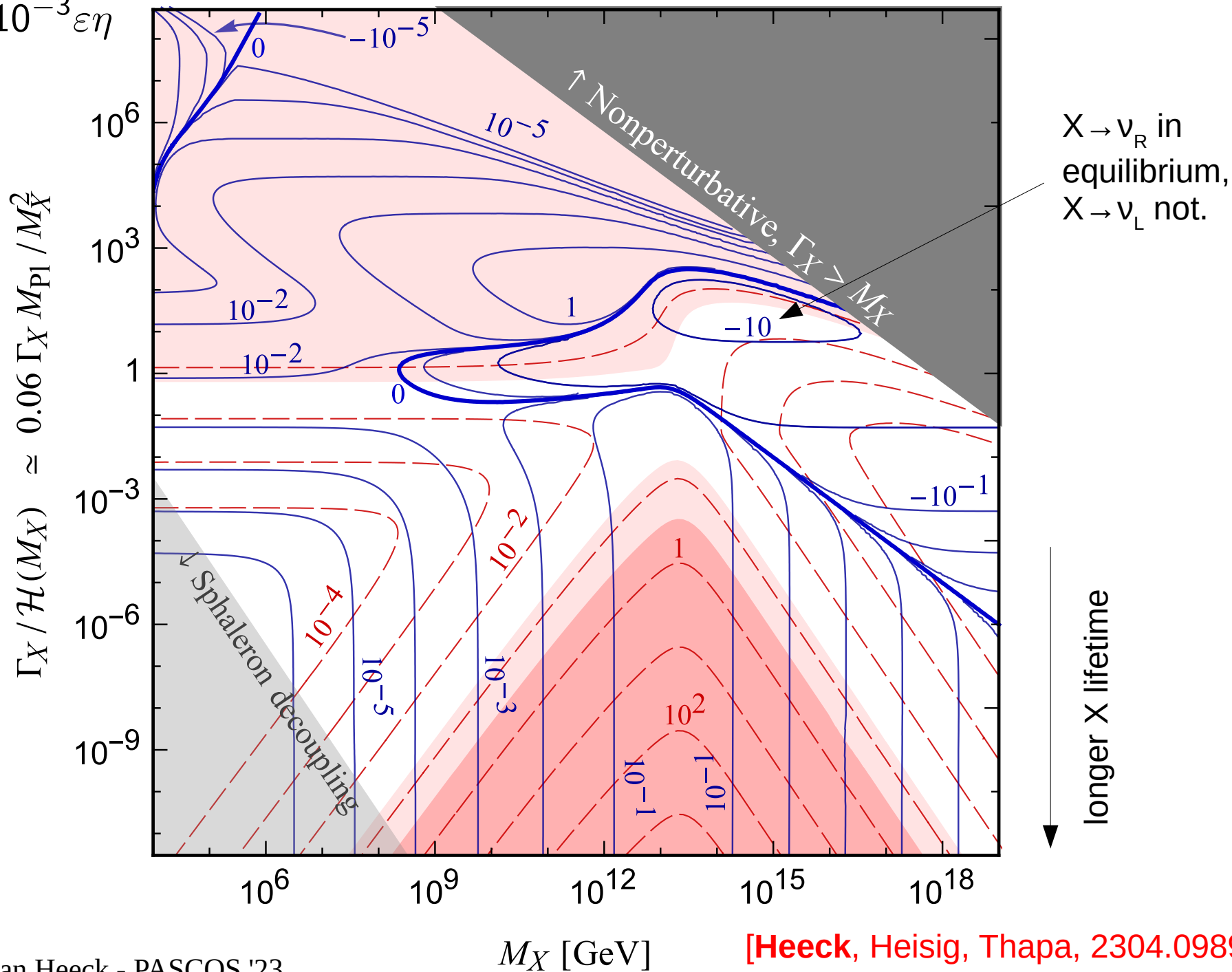
$$Y_B \simeq 10^{-3} \varepsilon \eta$$



Model a:

 $B_R = 0.99$ ;  $\eta$  (blue) and  $\Delta N_{\text{eff}}$  (red, dashed)

$$Y_B \simeq 10^{-3} \varepsilon \eta$$

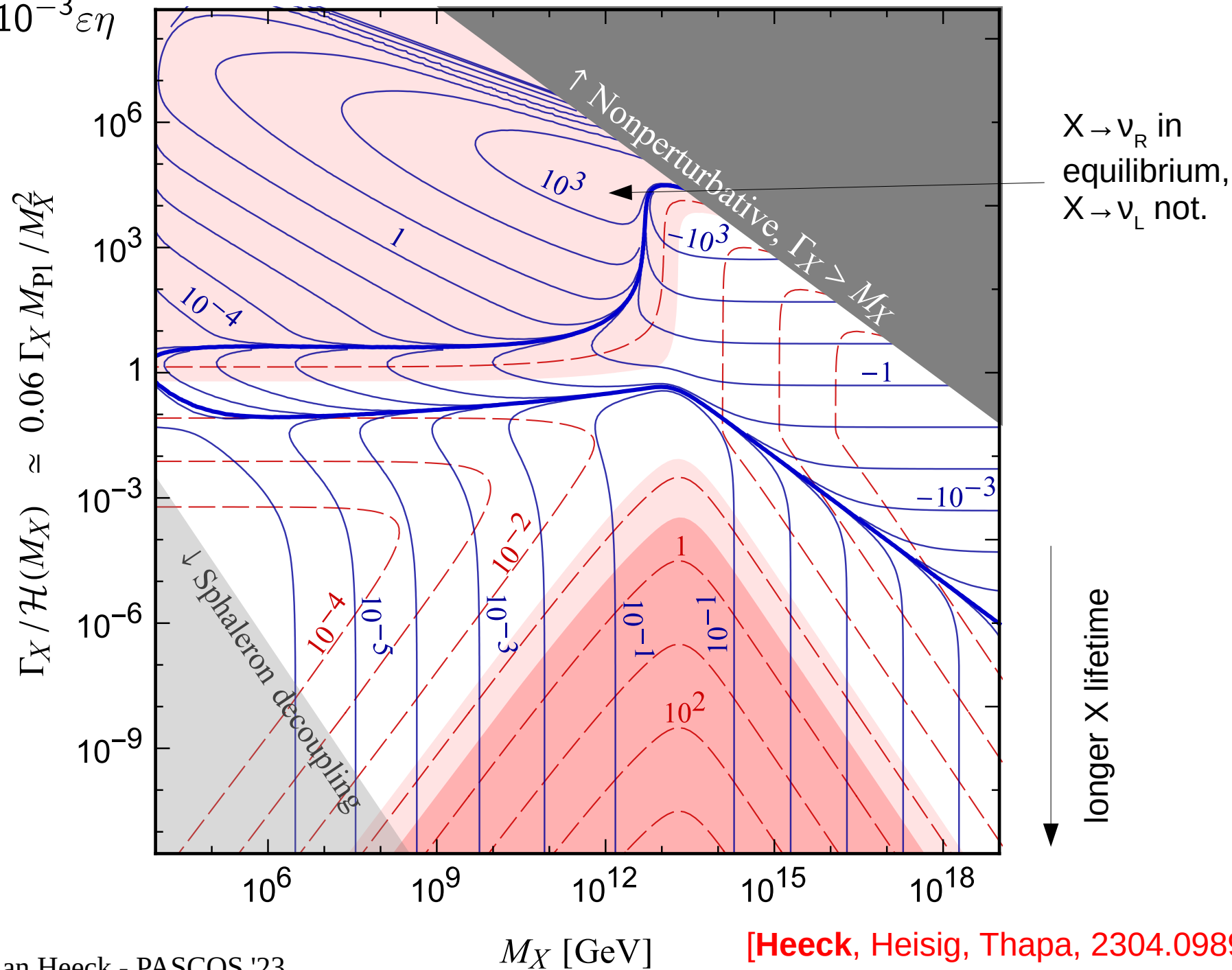


[Heeck, Heisig, Thapa, 2304.09893]

Model a:

 $B_R = 1 - 10^{-5}$ ;  $\eta$  (blue) and  $\Delta N_{\text{eff}}$  (red, dashed)

$$Y_B \simeq 10^{-3} \varepsilon \eta$$



# Dirac leptogenesis

- Very efficient asymmetry generation!
- $X$  decays into (**high-energy**)  $\nu_R$ : testable  $\Delta N_{\text{eff}}$ !
- Even works if  $X$  are too heavy to be on-shell:  
Dirac leptogenesis *via scattering*. [Heeck, Heisig, Thapa, 2306.13707]
- More fun with Dirac leptogenesis:

Case	$SU(3) \times SU(2) \times U(1)$	spin	$(B - L)(X)$	Relevant Lagrangian terms that induce $X$ decay	$\Delta B$
$d$	$(\mathbf{3}, \mathbf{1}, 2/3)$	0	$-2/3$	$u_R \nu_R X^\dagger, d_R d_R X$	1

- Don't even need sphalerons, can generate

$$\Delta B = (\nu_R - \bar{\nu}_R) \neq 0$$

directly! Predicts proton decay  $p \rightarrow K^+ \bar{\nu}_R$ !

Dirac leptogenesis is fascinating!

# Summary

- Dirac vs. Majorana is an important question.
- Can confirm Majorana via  $0\nu\beta\beta$ , what about Dirac?
- Dirac neutrinos predict ultra-light  $\nu_R$ !
- Could lead to detectable  $\Delta N_{\text{eff}}$  in models that aim to explain
  - Dirac nature,
  - small neutrino mass,
  - the baryon asymmetry via leptogenesis.
- Soon we will know if Dirac neutrinos were ever thermalized!

Dirac neutrinos might show up soon!



Backup

# Masses in the Standard Model

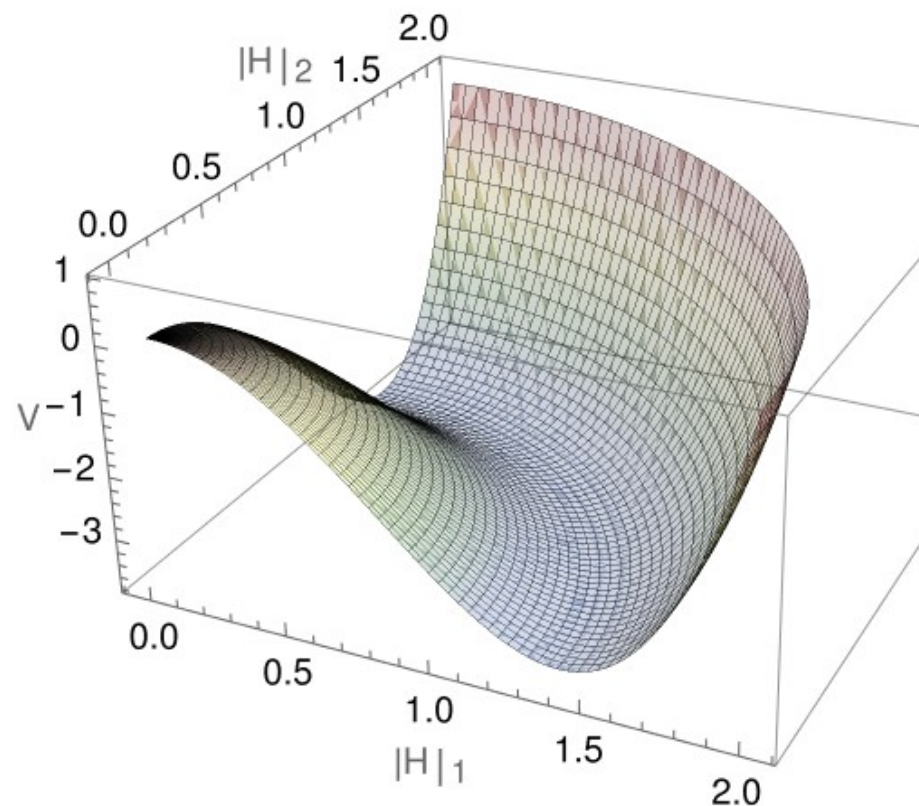
- $SU(2)_L \times U(1)_Y$  gauge symmetry **forbids mass terms**.
- Masses via **spontaneous symmetry breaking**  $\rightarrow U(1)_{EM}$ .
- Higgs-fermion couplings:

$$\mathcal{L}_{SM} \supset y_f \bar{f}_L H f_R + \text{h.c.}$$

$$\rightarrow y_f \underbrace{\langle H \rangle}_{\text{Higgs VEV}} \bar{f}_L f_R + \text{h.c.}$$

$$m_f = y_f \times 174 \text{ GeV}$$

- For **neutrinos**: no  $\nu_R$ !



The 3 neutrinos  $\nu_{e,\mu,\tau}$  in the SM are massless.

# Broken L:

- Neutrinoless double- $\beta$  decay:  
 $(A,Z) \rightarrow (A,Z+2) + 2 e^-$   
 in  $\beta$  stable isotopes.
- Current limits  $\sim 10^{26}$  yr.
- $0\nu 2\beta \Leftrightarrow$  Majorana  $\nu$ .

