Disentangling the flavor puzzle with strings

Saúl Ramos-Sánchez

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From collaborations with

- V. Knapp-Pérez, X-G. Liu, H.P. Nilles & M. Ratz: 2304.14437
- A. Baur, H.P. Nilles, A. Trautner & P. Vaudrevange: 2112.06940, 2207.10677
- M-C. Chen & M. Ratz: 1909.06910
- Y. Olguín-Trejo & R. Pérez-Martínez: 1808.06622

Flavor puzzle

Despite the great success of the SM

 Need to explain
 three flavors of SM particles observed mass hierarchies observed quark and lepton mixing textures CP violation in CKM and PMNS neutrino nature and mass generation

$$\left(\begin{array}{cccc} 0.974 & 0.224 & 0.0039 \\ 0.218 & 0.997 & 0.042 \\ 0.008 & 0.039 & 1.019 \end{array} \right)_{CKM}, \qquad \left(\begin{array}{cccc} 0.829 & 0.539 & 0.147 \\ 0.493 & 0.584 & 0.645 \\ 0.262 & 0.607 & 0.75 \end{array} \right)_{PMNS}$$

$$\begin{split} m_{u_i} &\sim 2.16, 1270, 172900 \; {\rm MeV} & \Delta m_{21}^2 = 7.4 \cdot 10^{-5}, \Delta m_{31(23)}^2 \approx 2.5 \cdot 10^{-3} \; {\rm eV}^2 \\ m_{d_i} &\sim 4.67, 93, 4180 \; {\rm MeV} & m_{e_i} \sim 0.511, 105.7, 1776.9 \; {\rm MeV} \end{split}$$

normal ordering

[Talks by Heeck and Medina (Tuesday)]

<u>Traditional</u>: discrete non-Abelian flavor symmetries G_{flavor} lead to models for quarks and leptons with great fits, $\theta_{13} \neq 0,...$ requiring careful choice of flavon sector and flavon vevs see reviews by Ishimori, Kobayashi, Ohki, Okada, Shimizu, Tanimoto (2010); Feruglio, Romanino (2019)

[Talk by Medina]



Matter fields transform as

$$\rightarrow \underbrace{\rho_{\phi}(g)}{\rho_{\phi}(g)} \phi, \quad g \in G_{flavor} = S_3, A_4$$

rep of g

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The flavor puzzle with strings

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<u>Modular</u>: Yukawa couplings are modular forms Y = Y(T) Feruglio (2017) [& his talk] $Y(T) \rightarrow Y(\gamma T) = (cT + d)^{n_Y} \rho_Y(\gamma) Y(T), \quad \gamma \in \Gamma = \mathrm{SL}(2, \mathbb{Z}), \rho_Y \in \Gamma_N$



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Matter fields transform similarly: $\phi \rightarrow \underbrace{(cT+d)^{n_\phi}}_{\phi} \rho_\phi(\gamma) \phi$

automorphy

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Matter fields transform similarly: $\phi \rightarrow (cT + d)^{n_{\phi}} \rho_{\phi}(\gamma) \phi$

- \Rightarrow finite modular groups $\Gamma_N =$ modular flavor symmetry $G_{modular}$
- $\Gamma_N \cong S_3, A_4, S_4, A_5$ for N = 2, 3, 4, 5 $n_Y \in 2\mathbb{Z}$ (and n_{ϕ} arbitrary)

 \Rightarrow 9 ν observables (m_{ν} , θ_{ij} , phases) by fixing 3 parameters!

Kähler modular problem

Typically, Kähler potential (kinetic terms) are chosen canonical

$$K \supset \sum_{\phi} (-\mathrm{i}T + \mathrm{i}T)^{n_{\phi}} |\phi|^2$$

BUT modular invariance \rightarrow many new terms altering predictions B. E.g.



What are the flavor ingredients that strings offer?



- Top-down completion/motivation?
- Additional constraints?
- Guiding principle to solving open questions?

Stringy ingredients

 $\mathsf{particles} \longleftrightarrow \mathsf{strings}$



- SUGRA & 10D space-time
 → compactify 6D on spaces with shapes and sizes set by moduli
- 4D matter fields get all their properties from string features
 → <u>all</u> charges (e.g. modular weights) are fixed
 e.g. modular weights in Ibáñez, Lüst (1992)

6 6

- field couplings arise from string interactions
 - \rightarrow coupling strengths are computable <u>modular forms</u>

Hamidi, Vafa (1987); Lauer, Mas, Nilles (1989-90); Erler, Jungnickel, Spalinski, Stiberger (1992)

2D $\mathbb{T}^2/\mathbb{Z}_N$ heterotic orbifolds and G_{flavor}



Kobayashi, Nilles, Plöger, Raby, Ratz (2006); Olguín-Trejo, Pérez-Martínez, SRS (2018)

2D $\mathbb{T}^2/\mathbb{Z}_N$ heterotic orbifolds and G_{flavor}



• Localized states are subject to 2 kinds of symmetries

A: geometric symmetries G_{flavor}

B: stringy modular symmetries $\rightarrow G_{modular} = \Gamma_N, \Gamma'_N, \dots$

(In fact, A&B = outer automorphisms of orbifold *space group* in Narain formalism) Baur, Nilles, Trautner, Vaudrevange (1901.03251, 1908.00805)

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eclectic flavor $= A \cup B$ with $B \subset \text{Out}(A)$ (multiplicative closure) e.g. in $\mathbb{T}^2/\mathbb{Z}_3$, eclectic flavor $= \Delta(54) \cup T'$ with $T' \subset \text{Out}(\Delta(54))$

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eclectic flavor $= A \cup B$ with $B \subset \operatorname{Out}(A)$ (multiplicative closure) e.g. in $\mathbb{T}^2/\mathbb{Z}_3$, eclectic flavor $= \Delta(54) \cup T'$ with $T' \subset \operatorname{Out}(\Delta(54))$ Advantage vs pure modular symmetries: kinetic terms (Kähler potential) under full control! \bigcirc i.e. Kähler modular problem solved automatically due to G_{flavor} ! \bigcirc (recall: modular weights and charges are not arbitrary here! \bigcirc) MSSM with stringy flavor

Flavor in

semi-realistic orbifold models

Baur, Nilles, SRS, Trautner, Vaudrevange: 2112.06940, 2207.10677

• Has a $\mathbb{T}^2/\mathbb{Z}_3$ sector with $\Delta(54) \cup T'$

and only few (not ad hoc) representations for quarks and leptons, e.g.:

| | | quarks and leptons | | | | | | | |
|--------------|------------------------|-----------------------|-----------------------|------------------------|------------------------|------------------------|----------------|-------------|--|
| label | q | ū | đ | l | ē | $\bar{\nu}$ | H _u | $H_{\rm d}$ | |
| $SU(3)_c$ | 3 | 3 | 3 | 1 | 1 | 1 | 1 | 1 | |
| $SU(2)_L$ | 2 | 1 | 1 | 2 | 1 | 1 | 2 | 2 | |
| $U(1)_Y$ | 1/6 | -2/3 | 1/3 | -1/2 | 1 | 0 | 1/2 | -1/2 | |
| $\Delta(54)$ | 3 ₂ | 3 ₂ | 3 ₂ | 3 ₂ | 3 ₂ | 3 ₂ | 1 | 1 | |
| T' | $\mathbf{2'} \oplus 1$ | $2' \oplus 1$ | $2' \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | 1 | 1 | |
| n | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | 0 | 0 | |

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| $\Delta(54)$ | 32 | 3 ₂ | 3 ₂ | 3 ₂ | 3 ₂ | 3 ₂ | 1 | 1 | |
| T' | $2' \oplus 1$ | $2' \oplus 1$ | $2' \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | 1 | 1 | |
| n | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | 0 | 0 | |

• flavons break the eclectic symmetry

| | | flav | ons | | | | |
|------------------------|------------------------|------------------------|----------|-------------------------|------------------|-------------------------|------------------|
| φ_{e} | φ_{u} | φ_{ν} | ϕ^0 | ϕ_{M}^{0} | $\phi_{\rm e}^0$ | ϕ_{u}^{0} | $\phi_{\rm d}^0$ |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3_2 | 3_2 | 3 ₂ | 1 | 1 | 1 | 1 | 1 |
| $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | 1 | 1 | 1 | 1 | 1 |
| -2/3 | -2/3 | -2/3 | 0 | 0 | 0 | 0 | 0 |

• Has a $\mathbb{T}^2/\mathbb{Z}_3$ sector with $\Delta(54)\cup T'$

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| n | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | -2/3 | 0 | 0 | |

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|------------------------|------------------------|------------------------|----------|------------------|-------------------------|-------------------------|------------------|
| $\varphi_{ m e}$ | φ_{u} | φ_{ν} | ϕ^0 | $\phi_{\rm M}^0$ | ϕ_{e}^{0} | ϕ_{u}^{0} | $\phi_{\rm d}^0$ |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3_2 | 3_2 | 3 ₂ | 1 | 1 | 1 | 1 | 1 |
| $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | $\mathbf{2'} \oplus 1$ | 1 | 1 | 1 | 1 | 1 |
| -2/3 | -2/3 | -2/3 | 0 | 0 | 0 | 0 | 0 |

$$\begin{array}{c} \textbf{eclectid} \longrightarrow \langle T \rangle = i \infty \longrightarrow \begin{pmatrix} H(3,2,1) \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \longrightarrow \begin{bmatrix} \mathbb{Z}_3^{(2)} \times \mathbb{Z}_3^{(3)} \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_1 \\ 0 \\ 1 \end{pmatrix} \longrightarrow \begin{bmatrix} 2 \\ 3 \\ - \langle \varphi \rangle = \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ \lambda_2 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ - \langle \varphi \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ - \langle$$

The flavor puzzle with strings

After

- $\bullet\,$ writing the corresponding action, \mathcal{W}, K
- fitting the value of the modulus ($\langle T \rangle \sim 3i$ close to $i\infty$), and
- computing effective particle interactions (with 20 params)

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Predictions:

| | observable | model best fit | exp. best fit | exp. 1σ interval |
|------|--|----------------|---------------|-------------------------------|
| | $m_{ m u}/m_{ m c}$ | 0.00193 | 0.00193 | $0.00133 \rightarrow 0.00253$ |
| | $m_{ m c}/m_{ m t}$ | 0.00280 | 0.00282 | $0.00270 \rightarrow 0.00294$ |
| or | $m_{ m d}/m_{ m s}$ | 0.0505 | 0.0505 | $0.0443 \rightarrow 0.0567$ |
| sect | $m_{ m s}/m_{ m b}$ | 0.0182 | 0.0182 | $0.0172 \to 0.0192$ |
| ark | ϑ_{12} [deg] | 13.03 | 13.03 | $12.98 \rightarrow 13.07$ |
| nb | ϑ_{13} [deg] | 0.200 | 0.200 | $0.193 \rightarrow 0.207$ |
| | ϑ_{23} [deg] | 2.30 | 2.30 | $2.26 \rightarrow 2.34$ |
| | $\delta^{\mathbf{q}}_{\mathcal{CP}}$ [deg] | 69.2 | 69.2 | 66.1 ightarrow 72.3 |
| | $m_{ m e}/m_{\mu}$ | 0.00473 | 0.00474 | $0.00470 \rightarrow 0.00478$ |
| | $m_\mu/m_	au$ | 0.0586 | 0.0586 | $0.0581 \to 0.0590$ |
| | $\sin^2 \theta_{12}$ | 0.303 | 0.304 | $0.292 \rightarrow 0.316$ |
| | $\sin^2 \theta_{13}$ | 0.0225 | 0.0225 | $0.0218 \rightarrow 0.0231$ |
| | $\sin^2 \theta_{23}$ | 0.449 | 0.450 | $0.434 \rightarrow 0.469$ |
| | δ^{ℓ}_{CP}/π | 1.28 | 1.28 | $1.14 \rightarrow 1.48$ |
| | η_1/π | 0.029 | - | 8 |
| OL | η_2/π | 0.994 | 14 | 12 I |
| sect | J_{CP} | -0.026 | -0.026 | $-0.033 \rightarrow -0.016$ |
| ton | J_{CP}^{\max} | 0.0335 | 0.0336 | $0.0329 \rightarrow 0.0341$ |
| lep | $\Delta m_{21}^2/10^{-5} \ [eV^2]$ | 7.39 | 7.42 | $7.22 \rightarrow 7.63$ |
| | $\Delta m_{31}^2 / 10^{-3} [\text{eV}^2]$ | 2.521 | 2.510 | $2.483 \rightarrow 2.537$ |
| | m_1 [eV] | 0.0042 | < 0.037 | |
| | $m_2 [eV]$ | 0.0095 | 12 | 12 |
| | m_3 [eV] | 0.0504 | - | × . |
| | $\sum_{i} m_i [eV]$ | 0.0641 | < 0.120 | 2 |
| | $m_{\beta\beta}$ [eV] | 0.0055 | < 0.036 | 3 |
| | $m_{\beta} [\mathrm{eV}]$ | 0.0099 | < 0.8 | 12 |
| | χ^2 | 0.11 | | |

Baur, Nilles, SRS, Trautner, Vaudrevange (2207.10677)

Bottom-up quasi-eclectic symmetries for model building

Quasi-Eclectic realization

of a simple lepton model

Chen, Knapp-Pérez, Ramos-Hamud, SRS, Ratz, Shukla: 2108.02240

| | | C | hen, Kn | app-Pére | ez, Rar | nos-Ha | mud, Sl | RS, Ratz | , Shukla | (2021 |
|------------------------|---|-------|---------|----------|---------|-----------|------------|---------------|----------|-------|
| | $(E_1^{\mathcal{C}}, E_2^{\mathcal{C}}, E_3^{\mathcal{C}})$ | L | H_d | H_u | χ | φ | S_{χ} | S_{φ} | Y | |
| $A_4^{ m traditional}$ | $({f 1}_0,{f 1}_2,{f 1}_1)$ | 3 | 1_0 | 1_0 | 3 | 3 | 1_0 | 1_0 | 1_0 | |
| Γ_3 | 1_0 | 1_0 | 1_0 | 1_0 | 3 | 1_0 | 1_0 | 1_0 | 3 | |
| modular weights | (1, 1, 1) | -1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | |

Alternative to eclectic: quasi-eclectic picture $G_{modular} \times G_{flavor}$

| | | C | hen, Kn | app-Pére | ez, Rar | nos-Ha | mud, Sl | RS, Ratz | z, Shukla | (2021 |
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Alternative to eclectic: *quasi-eclectic* picture $G_{modular} \times G_{flavor}$ Inherits control over the Kähler potential because of G_{flavor}

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|------------------------|---|-------|---------|----------|---------|-----------|------------|---------------|-----------|-------|
| | $(E_1^{\mathcal{C}}, E_2^{\mathcal{C}}, E_3^{\mathcal{C}})$ | L | H_d | H_u | χ | φ | S_{χ} | S_{φ} | Y | |
| $A_4^{ m traditional}$ | $({f 1}_0,{f 1}_2,{f 1}_1)$ | 3 | 1_0 | 1_0 | 3 | 3 | 1_0 | 1_0 | 1_0 | |
| Γ_3 | 1_0 | 1_0 | 1_0 | 1_0 | 3 | 1_0 | 1_0 | 1_0 | 3 | |
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Alternative to eclectic: *quasi-eclectic* picture $G_{modular} \times G_{flavor}$ Inherits control over the Kähler potential because of G_{flavor} Choose flavon χ : (3,3) and a diagonal VEV $\langle \chi \rangle = v_1 \operatorname{diag}\{1,1,1\}$

| | | C | hen, Kn | app-Pére | ez, Rai | nos-Ha | mud, Sf | RS, Ratz | z, Shukla | (202) |
|------------------------|---|-------|---------|----------|---------|-----------|------------|---------------|-----------|-------|
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Moduli stabilization and phenomenology

with matter fields

Knapp-Pérez, Liu, Nilles, SRS, Ratz: 2304.14437

String-inspired scheme to arrive at the right corner

• Fits for pheno lead to T close to ${\rm i}, \omega = e^{2\pi {\rm i}/3}, {\rm i}\infty$ & in AdS

Feruglio, Gherardi, Romanino (2021); Feruglio (2022-23); Petcov, Tanimoto (2022-23)

- Need a mechanism to arrive at such the vacua and uplift them
- Our model
 - Modulus is stabilized exactly at sym. enhanced points in moduli space
 - Matter field VEVs $\langle \phi
 angle$ break modular symmetry
 - (e.g. by cancellation of the field-dependent FI term)
 - Uplift the vacuum getting a bit away, e.g. à la ISS

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• Uplift the vacuum getting a bit away, e.g. à la ISS

$$K = -\ln(S + \overline{S}) - 3\ln(-\mathrm{i}T + \mathrm{i}\overline{T})$$

$$\begin{aligned} \mathcal{W} &= \left(c_1 + c_2 \,\eta^{2k_c}(T) - B \,\mathrm{e}^{-bS} \right) \frac{H(T)}{\eta^6(T)} \\ &\text{with} \quad H(T) = \left(\frac{E_4(T)}{\eta^8(T)}\right)^n \left(\frac{E_6(T)}{\eta^{12}(T)}\right)^m \end{aligned}$$

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- Need a mechanism to arrive at such the vacua and uplift them
- Our model
 - Modulus is stabilized exactly at sym. enhanced points in moduli space
 - Matter field VEVs $\langle \phi
 angle$ break modular symmetry

(e.g. by cancellation of the field-dependent FI term)

• Uplift the vacuum getting a bit away, e.g. à la ISS

$$K = -\ln(S+\overline{S}) - 3\ln(-\mathrm{i}T+\mathrm{i}\overline{T}) + (-\mathrm{i}T+\mathrm{i}\overline{T})^{-k_X}\overline{X}X - (-\mathrm{i}T+\mathrm{i}\overline{T})^{-2k_X}\frac{(XX)^2}{\Lambda_{\mathrm{ISS}}^2}$$

$$\mathcal{W} = \left(c_1 + c_2 \eta^{2k_c}(T) - B e^{-bS} + f_X \eta^{2(k_Y + k_X)}(T)X\right) \frac{H(T)}{\eta^6(T)}$$

with $H(T) = \left(\frac{E_4(T)}{\eta^8(T)}\right)^n \left(\frac{E_6(T)}{\eta^{12}(T)}\right)^m$

Explicit vacua

• Parameters for vacua close to T = i

 $(m = 0, n = 1, c_1 = 2 \times 10^{-8}, k_c = 1, k_X = 0, b = 10, B = 1, \Lambda_{\text{ISS}} = 10^{-9})$

| <i>c</i> ₂ | f_X | k_Y | T | $\langle \mathscr{V} \rangle$ |
|-----------------------|----------------------|-------|------------------|-------------------------------|
| 0 | 0 | 0 | i | < 0 |
| ≠ 0 | 0 | 0 | -0.014 + 1.015 i | < 0 |
| 0 | $3.49 \cdot 10^{-8}$ | 0 | i | $\simeq 0$ |
| 0 | $6 \cdot 10^{-8}$ | 1 | 1.010 i | $\simeq 0$ |
| ≠ 0 | $5 \cdot 10^{-8}$ | 0 | -0.018 + 1.011 i | $\simeq 0$ |
| ≠ 0 | $8.5 \cdot 10^{-8}$ | 1 | -0.018 + 1.021 i | $\simeq 0$ |

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Concluding remarks

In summary
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- Available stringy mechanism to stabilize moduli away from AdS Challenge: finding it in actual string models



Just in case...

Backup slides

Stringy Siegel Flavor Symmetries

Siegel modular flavor group

from string theory

Baur, Kade, Nilles, SRS, Vaudrevange: 2008.07534, 2012.09586, 2104.03981

Siegel modular symmetries from $\mathbb{T}^2/\mathbb{Z}_2$

- Recall that $\mathbb{T}^2/\mathbb{Z}_2$ yields $G_{flavor} = (D_8 \times D_8)/\mathbb{Z}_2$
- BUT there are TWO free moduli $U, T \Rightarrow SL(2, \mathbb{Z})_U \times SL(2, \mathbb{Z})_T$? NO!
- The resulting modular symmetry is

 $\operatorname{Sp}(4,\mathbb{Z}) \supset \operatorname{SL}(2,\mathbb{Z})_U \times \operatorname{SL}(2,\mathbb{Z})_T$

Linearly realized as $G_{modular} = (S_3^T \times S_3^U) \rtimes \mathbb{Z}_4^M$

• Eclectic structure: $G_{eclectic} = G_{flavor} \rtimes G_{modular}$, order = 4608

bottom-up and top-down phenomenology unexplored !!

Congruence modular subgroups: $\Gamma(N) \subset SL(2,\mathbb{Z})$

$$\Gamma(N) = \{ \gamma \in \operatorname{SL}(2,\mathbb{Z}) \, | \, \gamma = \mathbb{1} \mod N \}$$

are normal subgroups of $SL(2,\mathbb{Z})$

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(Double-cover) finite modular subgroups: $\Gamma'_N \cong SL(2,\mathbb{Z})/\Gamma(N)$

$$\begin{split} \Gamma'_N &= \left\langle \mathbf{S}, \mathbf{T} \mid \mathbf{S}^4 = (\mathbf{S}\mathbf{T})^3 = T^N = \mathbb{1}, \quad \mathbf{S}^2\mathbf{T} = \mathbf{T}\mathbf{S}^2, \qquad N = 2, 3, 4, 5 \right\rangle \\ \Gamma'_2 &\cong S_3, \ \Gamma'_3 \cong T', \ \Gamma_4 \cong \mathrm{SL}(2, 4), \ \Gamma_5 \cong \mathrm{SL}(2, 5), \dots \\ & \text{e.g. Liu, Ding (2019)} \end{split}$$

Finite modular subgroups: $\Gamma_N \cong PSL(2,\mathbb{Z})/\overline{\Gamma}(N)$ (PSL(2, \mathbb{Z}) \cong SL(2, \mathbb{Z})/{±1})

$$\Gamma_N = \langle S, T | S^2 = (ST)^3 = T^N = 1, N = 2, 3, 4, 5 \rangle$$

 $\Gamma_2 \cong S_3, \ \Gamma_3 \cong A_4, \ \Gamma_4 \cong S_4, \ \Gamma_5 \cong A_5, \dots, \Gamma_7 \cong \Sigma(168), \dots$

e.g. de Adelhaart, Feruglio, Hagedorn (2011)

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Thus far, models with modular flavor symmetries are supersymmetric Superfields build reps. of Γ_N or Γ'_N ; transform as

$$\Phi_{n_i} \xrightarrow{\gamma} (cT+d)^{n_i} \rho(\gamma) \Phi_{n_i}, \qquad \Phi_{n_i} \in \left\{ (e, \mu, \tau)^T, (u, c, t)^T, \ldots \right\}$$

 n_i : modular weight, $\rho(\gamma)$: matrix rep. of γ for Φ_{n_i}

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 n_i : modular weight, $\rho(\gamma)$: matrix rep. of γ for Φ_{n_i} Couplings $\hat{Y}^{(n_Y)}(T)$ are modular forms

$$W \supset \sum \hat{Y}^{(n_Y)}(T) \Phi_{n_1} \Phi_{n_2} \Phi_{n_3}, \qquad \hat{Y}^{(n_Y)} \xrightarrow{\gamma} (cT+d)^{n_Y} \rho(\gamma) \hat{Y}^{(n_Y)}$$

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 n_Y : modular weight, $ho(\gamma)$: matrix rep. of γ for $\hat{Y}^{(n_Y)}(T)$ Admissible iff

$$W(\Phi_{n_1},\ldots) \xrightarrow{\gamma} (cT+d)^{-1} \mathbb{1} W(\Phi_{n_1},\ldots), \qquad \text{i.e. } n_Y + \sum n_i = -1, \quad \prod \rho(\gamma) = 1$$

Note the nontrivial *automorphy factor* $(cT+d)^{-1} \rightarrow W$ covariant

How to proceed with modular flavor symmetries

- Take your favorite symmetry: $G_{mod} = \Gamma_N \in \{S_3, A_4, S_4, A_5, \ldots\}$
- $\bullet\,$ Choose your favorite representations $\rho(\gamma)$ for quark and lepton fields

e.g. quark doublets Q as 3 or $\mathbf{1} \oplus \mathbf{1}' \oplus \mathbf{1}''$ of $\Gamma_3 \cong A_4, \dots$

- Pick your favorite modular weights n_i and n_Y
- Write your G_{mod} -covariant superpotential W

e.g.
$$W \supset \hat{Y}^u H_u Q \bar{u} + \hat{Y}^d H_d Q \bar{d} + \hat{Y}^e H_d L \bar{e} + \frac{\hat{Y}}{\Lambda} L H_u L H_u$$

- Take your favorite inv. Kähler potential K; typical choice $K=\sum |\Phi_{n_i}|^2$ MANY other modular invariant K possible! - Chen, SR-S, Ratz (1909.06910)
- Choose a $\langle T \rangle \neq 0 \quad \rightarrow \quad$ nontrivial rep. of $\hat{Y}(\langle T \rangle)$ breaks G_{mod}
- EW breakdown with $\langle H_u \rangle, \langle H_d \rangle \neq 0$
- Diagonalize quark and lepton matrices to compute V_{CKM} and U_{PMNS} and adjust only $\langle T \rangle$ to data

From top-down to bottom-up

eclectic flavor symmetries

Key observation: T' is an outer automorphism group of $\Delta(54)$ \bigcirc

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Recipe to get the eclectic flavor group associated with a G_{flavor} : • Determine $Out(G_{flavor})$

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- Determine which $G_{modular}$ is generated (via e.g. GAP)
- $G_{eclectic} \cong$ multiplicative closure of G_{flavor} and $G_{modular}$
- Verify whether there is a third (class-inverting) outer automorphism that act as a Z₂ CP-like transformation to further enhance the eclectic flavor symmetry

| flavor group | GAP | $\operatorname{Aut}(\mathcal{G}_{\mathrm{fl}})$ | finite modular | | eclectic flavor |
|-----------------------------------|----------------------|---|------------------------|---------------------------|-----------------|
| $\mathcal{G}_{\mathrm{fl}}$ | ID | | groups | | group |
| Q_8 | [8, 4] | S_4 | without \mathcal{CP} | S_3 | GL(2,3) |
| 0500345 | | | with \mathcal{CP} | s - 5 | |
| $\mathbb{Z}_3 	imes \mathbb{Z}_3$ | [9, 2] | GL(2,3) | without \mathcal{CP} | S_3 | $\Delta(54)$ |
| | | | with \mathcal{CP} | $S_3 \times \mathbb{Z}_2$ | [108, 17] |
| A_4 | [12, 3] | S_4 | without \mathcal{CP} | S_3 | S_4 |
| | | | | S_4 | S_4 |
| 17 | | | with \mathcal{CP} | | |
| T' | [24, 3] | S_4 | without \mathcal{CP} | S_3 | GL(2,3) |
| | | | with \mathcal{CP} | 19-11 | |
| $\Delta(27)$ | [27, 3] | [432, 734] | without \mathcal{CP} | S_3 | $\Delta(54)$ |
| 122 22 | | | | T' | $\Omega(1)$ |
| | | | with \mathcal{CP} | $S_3 \times \mathbb{Z}_2$ | [108, 17] |
| | | | | GL(2,3) | [1296, 2891] |
| $\Delta(54)$ | [54, 8] | [432, 734] | without \mathcal{CP} | T' | $\Omega(1)$ |
| | | | with \mathcal{CP} | GL(2,3) | [1296, 2891] |

Nilles, SR-S, Vaudrevange (2001.01736)

Back in the $\mathbb{T}^2/\mathbb{Z}_3$ example

Restricted superpotential



Back in the $\mathbb{T}^2/\mathbb{Z}_3$ example

Restricted superpotential



More interestingly

$$K = -\log(-iT + iT) + \sum_{i} (-iT + iT)^{-2/3} |\Phi_{-2/3}^{i}|^{2}$$

Only canonical terms are allowed

 \rightarrow predictability of bottom-up models with Γ'_N recovered! \bigcirc

Nilles, SRS, Vaudrevange (2004.05200)

Use Narain formalism: split string in independent components

$$X(\tau, \sigma) = X_R(\sigma - \tau) + X_L(\sigma + \tau)$$
Groot-Nibbelink, Vaudrevange (2017)

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Perform \mathbb{T}^2/Θ (e.g. $\Theta=\mathbb{Z}_3)$ on each 2D independent string component

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Inspiration: C, P, T in SM are outer automorphisms of the Poincaré symmetry group

Use Narain formalism: split string in independent components

$$X(au, \sigma) = X_R(\sigma - au) + X_L(\sigma + au)$$

Groot-Nibbelink, Vaudrevange (2017)

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Inspiration: C, P, T in SM are outer automorphisms of the Poincaré symmetry group

What are the outer automorphisms of $S_{Narain} = \{g\}$?

$$Out(S_{Narain}) = \left\{ h = (\Sigma, t) \notin S_{Narain} \mid hgh^{-1} \in S_{Narain} \right\}$$

Rotations: $h_{\Sigma} = (\Sigma, 0) \rightarrow O(2, 2; \mathbb{Z})$, Translations: $h_t = (\mathbb{1}_4, t)$

Towards the *eclectic* picture: what $Out(S_{Narain})$ is

String 2D toroidal compactifications have two moduli: T, U



$$G = \frac{\operatorname{Im} T}{\operatorname{Im} U} \begin{pmatrix} 1 & \operatorname{Re} U \\ \operatorname{Re} U & |U|^2 \end{pmatrix}, \qquad B = \operatorname{Re} T \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

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Elements $h_{\Sigma} \in Out(S_{Narain})$ transform metric G, thus T, U !!
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| | $h_{\Sigma} =$ | S_U | T_U | \mathbf{S}_T | T_T | Μ | K_* |
|----------------------------------|----------------|----------------|-------|----------------|-------|---|------------|
| $U \xrightarrow{h_{\Sigma}}$ | | -1/U | U+1 | U | U | T | $-\bar{U}$ |
| $T \xrightarrow{h_{\Sigma}}$ | | T | T | -1/T | T+1 | U | $-\bar{T}$ |

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| $T \xrightarrow{h_{\Sigma}}$ | | T | T | -1/T | T+1 | U | $-\bar{T}$ |
| Rec | all: in S | $\mathrm{L}(2,\mathbb{Z})$ | T - | $\xrightarrow{\mathrm{S}} -\frac{1}{T},$ | $T \stackrel{\gamma}{=}$ | $\xrightarrow{\Gamma} T +$ | 1 |

String 2D toroidal compactifications have two moduli: T, U

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 $\operatorname{SL}(2,Z)_T = \langle \operatorname{S}_T, \operatorname{T}_T \rangle, \quad \operatorname{SL}(2,Z)_U = \langle \operatorname{S}_U, \operatorname{T}_U \rangle$ $\textcircled{\odot}$

M: mirror symmetry, K_*: CP-like transformation 🙂

String 2D toroidal compactifications have two moduli: T, U

$$G = \frac{\operatorname{Im} T}{\operatorname{Im} U} \begin{pmatrix} 1 & \operatorname{Re} U \\ \operatorname{Re} U & |U|^2 \end{pmatrix}, \qquad B = \operatorname{Re} T \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

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| | $h_{\Sigma} =$ | S_U | T_U | S_T | T_T | Μ | K_* |
|------------------------------|----------------|----------------|----------------|----------------|-------|---|------------|
| $U \xrightarrow{h_{\Sigma}}$ | | -1/U | U+1 | U | U | T | $-\bar{U}$ |
| $T \xrightarrow{h_{\Sigma}}$ | | T | T | -1/T | T+1 | U | $-\bar{T}$ |

 $\operatorname{SL}(2,Z)_T = \langle \operatorname{S}_T, \operatorname{T}_T \rangle, \quad \operatorname{SL}(2,Z)_U = \langle \operatorname{S}_U, \operatorname{T}_U \rangle$

M: mirror symmetry, K_*: CP-like transformation 🙂 Nilles, Ratz, Trautner, Vaudrevange (2018); Novichkov, Penedo, Petcov, Titov (2019)

Further, $\{h_t\}$ don't change T, U, but do transform fields \rightarrow traditional flavor symmetry S

Modular weights n_i , representations and couplings of Φ_{n_i} not *ad hoc*! \bigcirc

Modular weights n_i , representations and couplings of Φ_{n_i} not *ad hoc*! Example $\mathbb{T}^2/\mathbb{Z}_3$: must fix U to $\langle U \rangle = \omega = e^{2\pi i/3} \rightarrow \text{broken } \text{SL}(2,\mathbb{Z})_U$

Lauer, Mas, Nilles (1989)

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By using CFT formalism, inspect $SL(2,\mathbb{Z})_T$ on the triplet of matter fields:

$$h_{\Sigma}: \rho(\mathbf{S}_T) = \frac{\mathrm{i}}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1\\ 1 & \omega^2 & \omega\\ 1 & \omega & \omega^2 \end{pmatrix}, \quad \rho(\mathbf{T}_T) = \begin{pmatrix} \omega^2 & 0 & 0\\ 0 & 1 & 0\\ 0 & 0 & 1 \end{pmatrix}$$

 $ho(\mathrm{S}_T)$ and $ho(\mathrm{S}_T)$ build the reps. $\mathbf{2'}\oplus\mathbf{1}$ of modular group $\Gamma_3'=T'$ \bigcirc

$$\Phi_{n=-\frac{2}{3},-\frac{5}{3}} \xrightarrow{\mathbf{S}_T} (-T)^n \rho(\mathbf{S}_T) \Phi_n, \qquad \Phi_n \xrightarrow{\mathbf{T}_T} \rho(\mathbf{T}_T) \Phi_n$$

Saúl Ramos-Sánchez (UNAM)

The flavor puzzle with strings

Modular weights n_i , representations and couplings of Φ_{n_i} not *ad hoc*! Example $\mathbb{T}^2/\mathbb{Z}_3$: must fix U to $\langle U \rangle = \omega = e^{2\pi i/3} \rightarrow \text{broken } \text{SL}(2, \mathbb{Z})_U$

By using CFT formalism, inspect $SL(2,\mathbb{Z})_T$ on the triplet of matter fields:

$$h_t: \rho(\mathbf{A}) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \ \rho(\mathbf{B}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \ \rho(\mathbf{C}) = \rho(\mathbf{S}_T^2)$$

 $\begin{array}{l}\rho(A)\text{, }\rho(B)\text{ and }\rho(C)\text{ build the reps }\mathbf{3}_{2(1)}\text{ and }\mathbf{3}_{1(1)}\text{ of traditional flavor}\\ \text{group }\Delta(54)\text{ for }\Phi_{-2/3}\text{ and }\Phi_{-5/3} & \text{ }_{\text{f. also in Kobayashi, Plöger, Nilles, Raby, Ratz (2006)}\end{array}$

Modular weights n_i , representations and couplings of Φ_{n_i} not *ad hoc*! Example $\mathbb{T}^2/\mathbb{Z}_3$: must fix U to $\langle U \rangle = \omega = e^{2\pi i/3} \rightarrow \text{broken } \text{SL}(2,\mathbb{Z})_U$ e_2 first eclectic flavor symmetry: modular + traditional flavor

$$\begin{split} \Delta(54)\cup T' &\cong \Omega(1) = SG[648,533] \\ \text{with } \mathcal{CP}: \ \Delta(54)\cup T'\cup \mathbb{Z}_2^{\mathcal{CP}} \cong SG[1296,2891] \end{split}$$

Modular weights n_i , representations and couplings of Φ_{n_i} not *ad hoc*! \odot Example $\mathbb{T}^2/\mathbb{Z}_3$: must fix U to $\langle U \rangle = \omega = e^{2\pi i/3} \rightarrow \text{broken } SL(2,\mathbb{Z})_U$ first eclectic flavor symmetry: modular + traditional flavor

> $\Delta(54) \cup T' \cong \Omega(1) = SG[648, 533]$ with CP: $\Delta(54) \cup T' \cup \mathbb{Z}_2^{CP} \cong SG[1296, 2891]$ Can we generalize this in a bottom-up fashion ?