

# Indications for a Nonzero Lepton Asymmetry in the Early Universe

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Based on work by A.K. Burns, Dr. Tim Tait, and Dr. Mauro Valli [2206.00693]



# Outline

1. The physics of BBN
  2. Introducing *PRyMordial*
  3. Indications for a Nonzero Lepton Asymmetry
    - a. Tension in Measurements with the SM
    - b. Primordial Lepton Asymmetries
    - c. Results and Discussion
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# What is Big Bang Nucleosynthesis (BBN)?

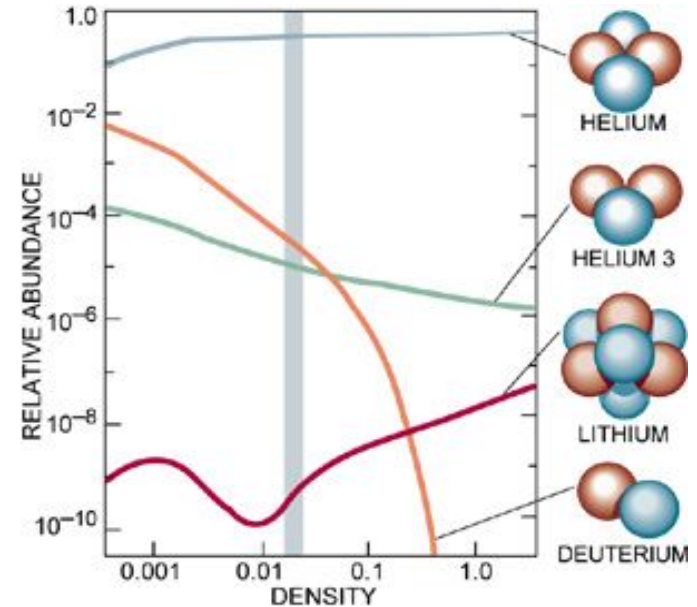
The production of **light element nuclei** in the early universe

## What is the purpose of studying it?

To determine (a) the amount of **radiation** present at the time and (b) the **primordial abundance** of light elements.

## Why are we interested?

By determining **(a)** and **(b)** we can put constraints on New Physics



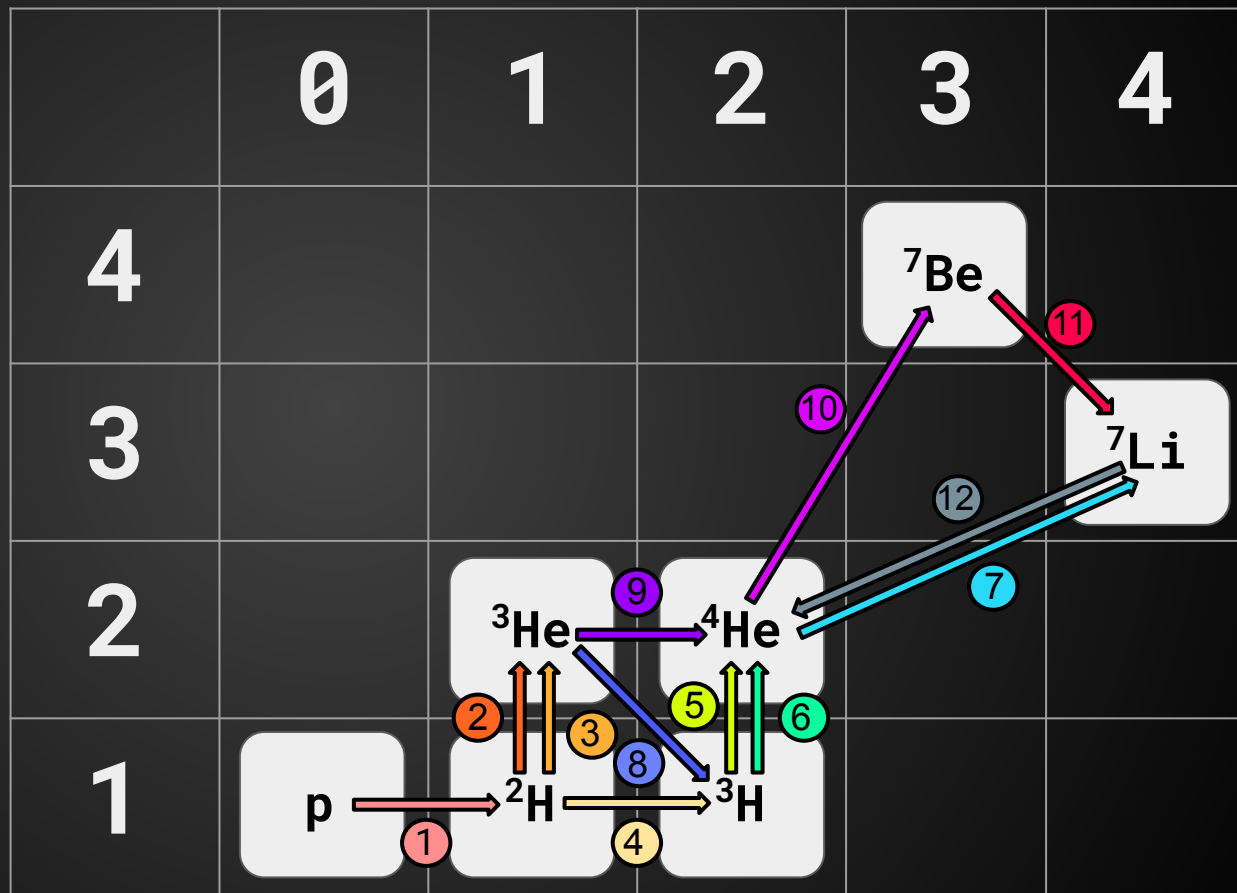
1. Big Bang Nucleosynthesis. UC Berkeley, w.astro. [iberkeley.edu/~mwhite/darkmatter/bbn.html](http://iberkeley.edu/~mwhite/darkmatter/bbn.html)

## Essential Nuclear Reactions

0.  $n \rightarrow p$
1.  $n+p \rightarrow {}^2\text{H}+\gamma$
2.  ${}^2\text{H}+p \rightarrow {}^3\text{He}+\gamma$
3.  ${}^2\text{H}+{}^2\text{H} \rightarrow {}^3\text{He}+n$
4.  ${}^2\text{H}+{}^2\text{H} \rightarrow {}^3\text{H}+p$
5.  ${}^3\text{H}+p \rightarrow {}^4\text{He}+\gamma$
6.  ${}^3\text{H}+{}^2\text{H} \rightarrow {}^4\text{He}+n$
7.  ${}^3\text{H}+{}^4\text{He} \rightarrow {}^7\text{Li}+\gamma$
8.  ${}^3\text{He}+n \rightarrow {}^3\text{H}+p$
9.  ${}^3\text{He}+{}^2\text{H} \rightarrow {}^4\text{He}+p$
10.  ${}^3\text{He}+{}^4\text{He} \rightarrow {}^7\text{Be}+\gamma$
11.  ${}^7\text{Be}+n \rightarrow {}^7\text{Li}+p$
12.  ${}^7\text{Li}+p \rightarrow {}^4\text{He}+{}^4\text{He}$

## Neutron Number

Atomic Number



# Introducing P<sub>Ry</sub>Mordial

**Purpose:** to simulate the evolution of light nuclei production in the first few minutes after the big bang

  $T = 10^{11} \text{ K} - \text{O}(10^7) \text{ K}$


**Quantities calculated:**  $N_{\text{eff}}$  and the abundances of  $^4\text{He}$ , deuterium,  $^3\text{He}$ , tritium, and  $^7\text{Li}$

**Corrections Included:** QED plasma effects, corrections to the neutron lifetime, and incomplete neutrino decoupling.

# What can PRyMordial be used for?

- This code can be used to compute **SM abundances** of primordial elements as well as abundances modified by some of the following **new physics scenarios**:
  - New light degrees of freedom
  - Changed interaction strengths at early times
  - The scaling of nuclear rates with  $\lambda$ QCD
  - A change in SM Yukawa interactions
  - And many more - the universe is your oyster!

# Discovery of a *Helium Anomaly*

- The Helium-4 abundance,  $Y_p$  has been determined by the Subaru Survey collaboration via the observation of **10 extremely metal-poor galaxies** (EMPGs)
- EMPG host gas of nebulae  very clean environment for extrapolating  $Y_p$  to zero metallicity
- Combined [new data from 10 EMPGs + existing data from 3 EMPGs + existing data from 51 MPGs + measurements of the He  $\lambda 10830$  infrared emission line]  
[2203.09617]

$$Y_{P, \text{obs [Subaru]}} = 0.2379^{+0.0031}_{-0.0030}$$
$$Y_{P, \text{obs [PDG]}} = 0.245 \pm 0.003$$
$$Y_{P, \text{SM}}^* = 0.24709 \pm 0.00018$$



$3\sigma$  tension  
with SM

\*From Pitrou, et. al. 2018 [1801.08023]

# Status of the Deuterium Measurement and Prediction

- Astrophysicists use quasar absorption spectra to determine the primordial deuterium abundance to 1% precision

$$(D/H \times 10^5)_{\text{obs [PDG]}} = 2.547 \pm 0.025$$

$$(D/H \times 10^5)_{\text{SM}}^* = 2.460 \pm 0.046$$



2 $\sigma$  tension  
with SM?

- This tension is heavily debated (2011.11537, 2011.13874) due to lack of understanding of the uncertainties in key nuclear reactions involved in deuterium production
- The LUNA collaboration recently measured  $D(p, \gamma)^3\text{He}$  - important for BBN constraints on New Physics\*\*

\*From Pitrou, et. al. 2018 (1801.08023)

\*\*V. Mossa et al., Nature 587, 210 (2020)



# Primordial Lepton Asymmetries

$$\eta_L \equiv \frac{1}{n_\gamma} \sum_{i=e,\mu,\tau} (n_{\nu_i} - n_{\bar{\nu}_i}) \simeq \frac{\pi^2}{33\zeta(3)} (\xi_{\nu_e} + \xi_{\nu_\mu} + \xi_{\nu_\tau})$$

Primordial lepton  
asymmetry hidden  
in neutrino sector

Degeneracy Parameters:  $\xi_{\nu_i} = \mu_{\nu_i} / T_{\nu_i}$

$$\frac{\Delta\rho_{\text{rad}}}{\rho_\gamma} \simeq \frac{15}{4\pi^2} \left(\frac{4}{11}\right)^{4/3} \xi_{\nu_i}^2$$

Notes:

- Flavor equilibration,  
 $\xi_{\nu_e} = \xi_{\nu_\mu} = \xi_{\nu_\tau}$  not required
- $|\xi_{\nu_i}| < 1$  -  $O(1)$  degeneracy  
parameters ruled out by  
CMB observations

# Constraints on Degeneracy Parameters from the CMB

- Upper bound on degeneracy parameters can be derived from CMB
  - Using Planck constraint on  $N_{\text{eff}}$  and assume flat prior for  $Y_p$
  - Likelihood analysis including **TTTEEE and low- $\ell$  measurements + BAO and lensing data**

$$\xi_{\nu_e}^2 + \xi_{\nu_\mu}^2 + \xi_{\nu_\tau}^2 \lesssim 0.5$$

for  $N_{\text{eff}} = 2.97 \pm 0.29$ ,  $1\sigma$  upper bound

$$|\xi_{\nu_i}| \lesssim 0.71$$

- This bound is slightly more stringent for second and third generation neutrinos:  **$|\xi_{\nu_{\mu,\tau}}| \lesssim 0.5$**

# Constraints on Degeneracy Parameters from the BBN

- BBN gives stronger constraint on  $\nu_e$  asymmetry because of electron neutrino participation in the weak rates,  $\mathbf{n + \nu_e \leftrightarrow p + e^-}$ ,  $\mathbf{p + \bar{\nu}_e \leftrightarrow n + e^+}$  and **neutron decay**
- Positive  $\xi_{\nu_e}$  reduces neutron to proton ratio

$$Q \equiv m_n - m_p = 1.293 \text{ MeV}$$

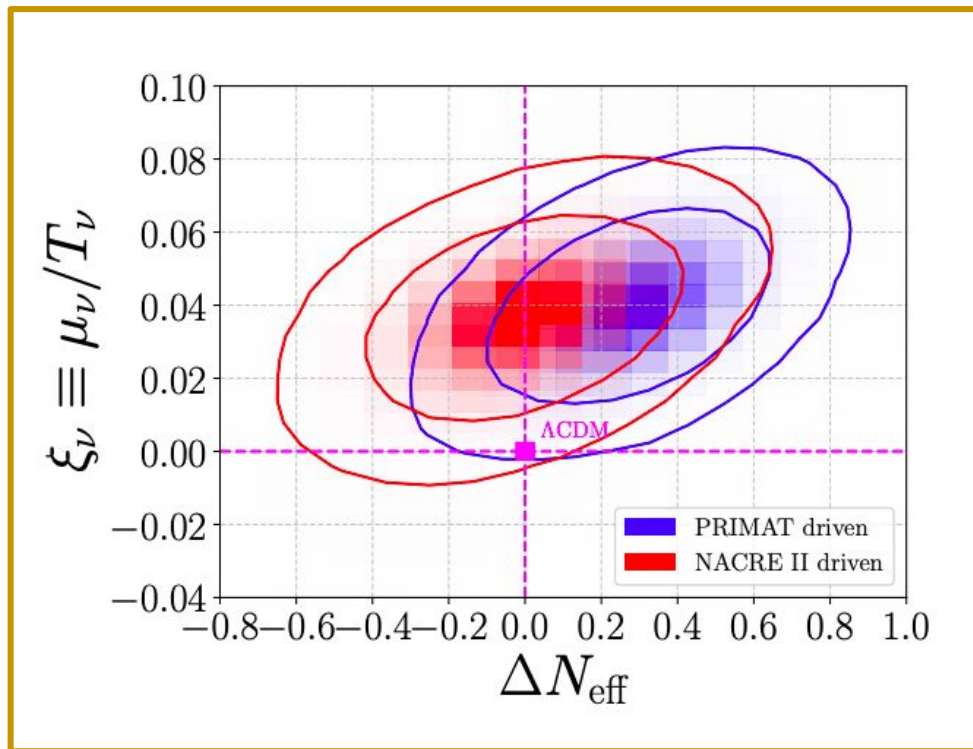
$$(n_n/n_p)|_{\text{eq.}} \simeq \exp(-Q/T_\gamma - \xi_{\nu_e})$$

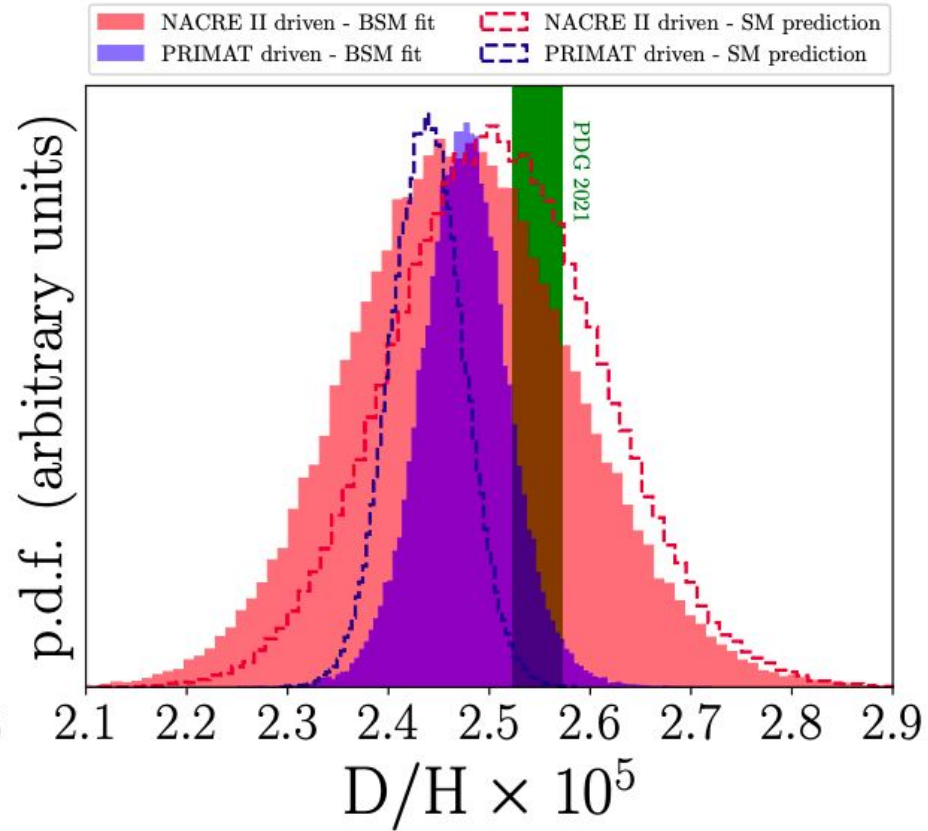
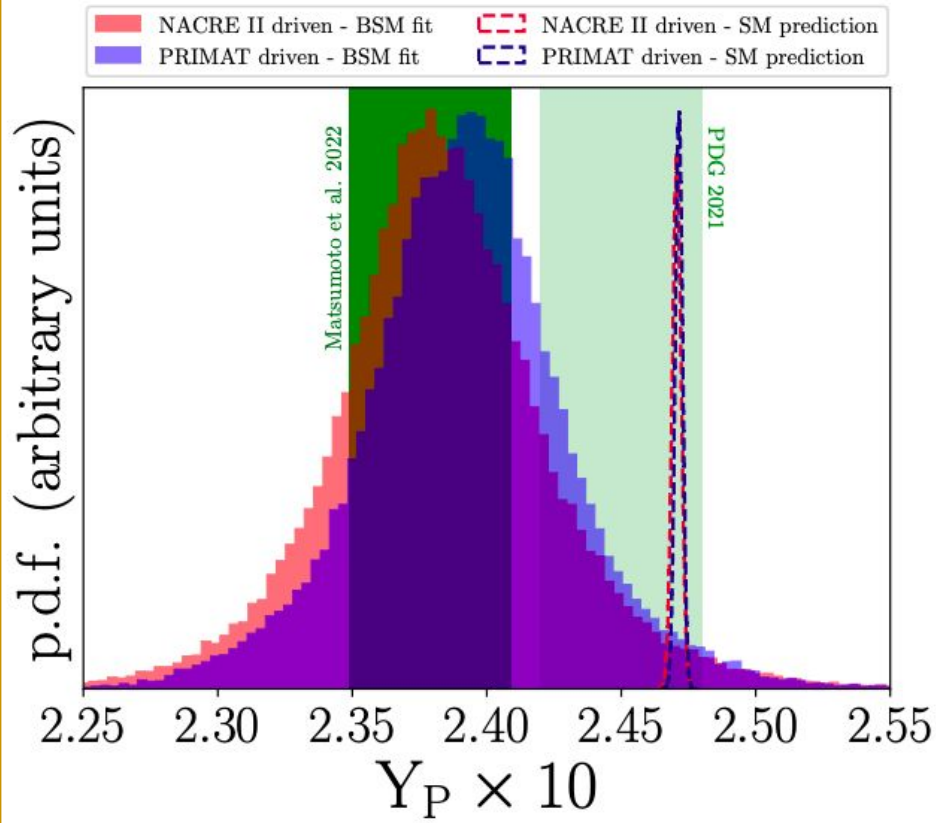
- The final Helium-4 abundance is especially sensitive to this ratio and is a **primordial leptometer**

*Note: Assuming full flavor equilibrium,  $\xi_\nu = 0.001 \pm 0.016$  but Froustey and Pitrou [2110.11889] showed that the degree to which full flavor equilibration is realized during the BBN era depends on  $\theta_{13}$  and the initial values of  $\xi_{\nu_i}$*

# Results

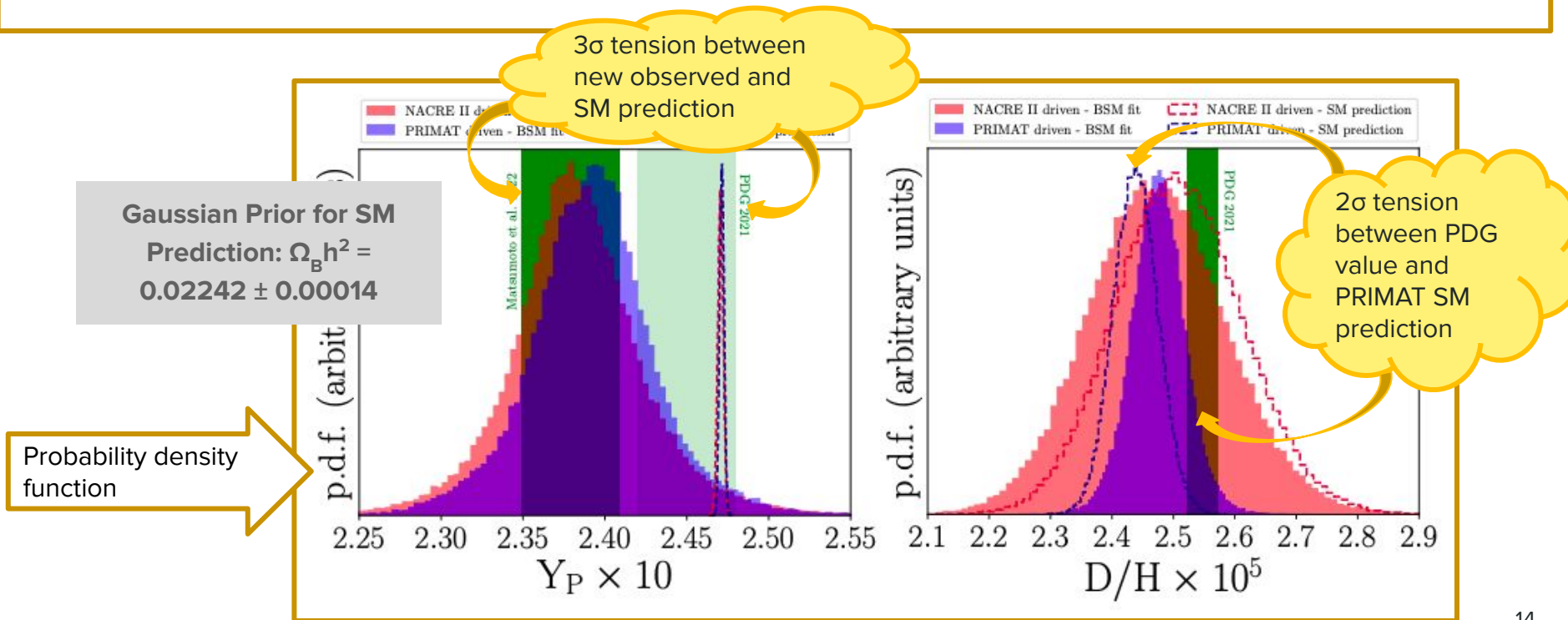
- 68% and 90% probability region for  $\xi_\nu$  and  $\Delta N_{\text{eff}}$  determined by a **minimized test statistic**
- a BSM fit **favours a non-zero asymmetry** in the neutrino sector
- O(1) shift in  $\Delta N_{\text{eff}}$  from use of different nuclear rates simultaneously consistent with current data
- Size of shift in  $\Delta N_{\text{eff}}$  could be the result of a large neutrino asymmetry in the muon-tau sector when *flavor equilibration has not been fully realized*





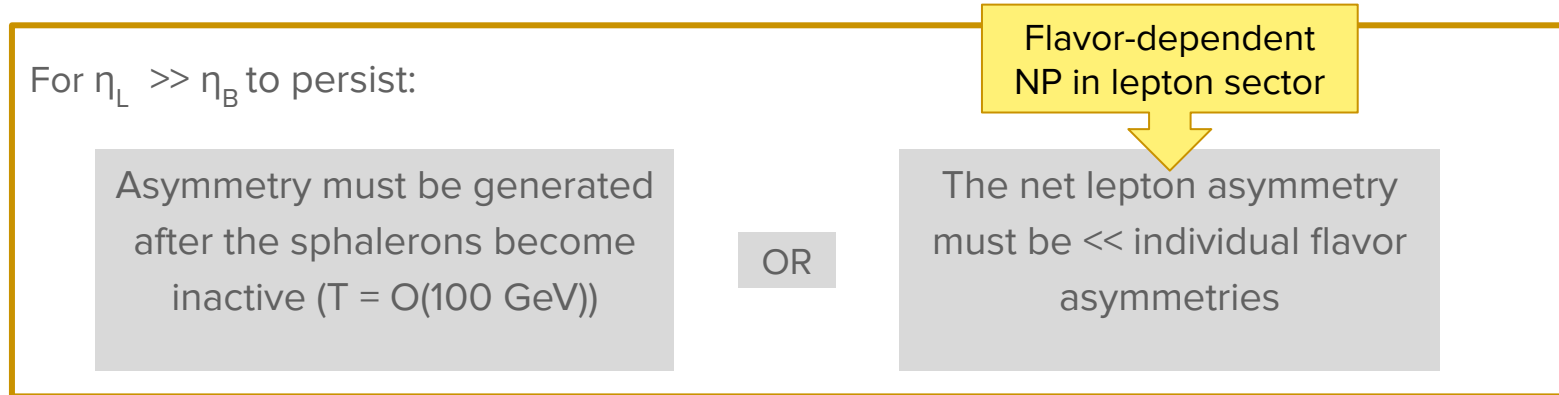
# Results, cont.

- BSM fit varying both  $\xi_\nu$  and  $N_{\text{eff}}$
- Vertical dark green bands are the measurements adopted in our BBN analysis



# Discussion and Conclusion

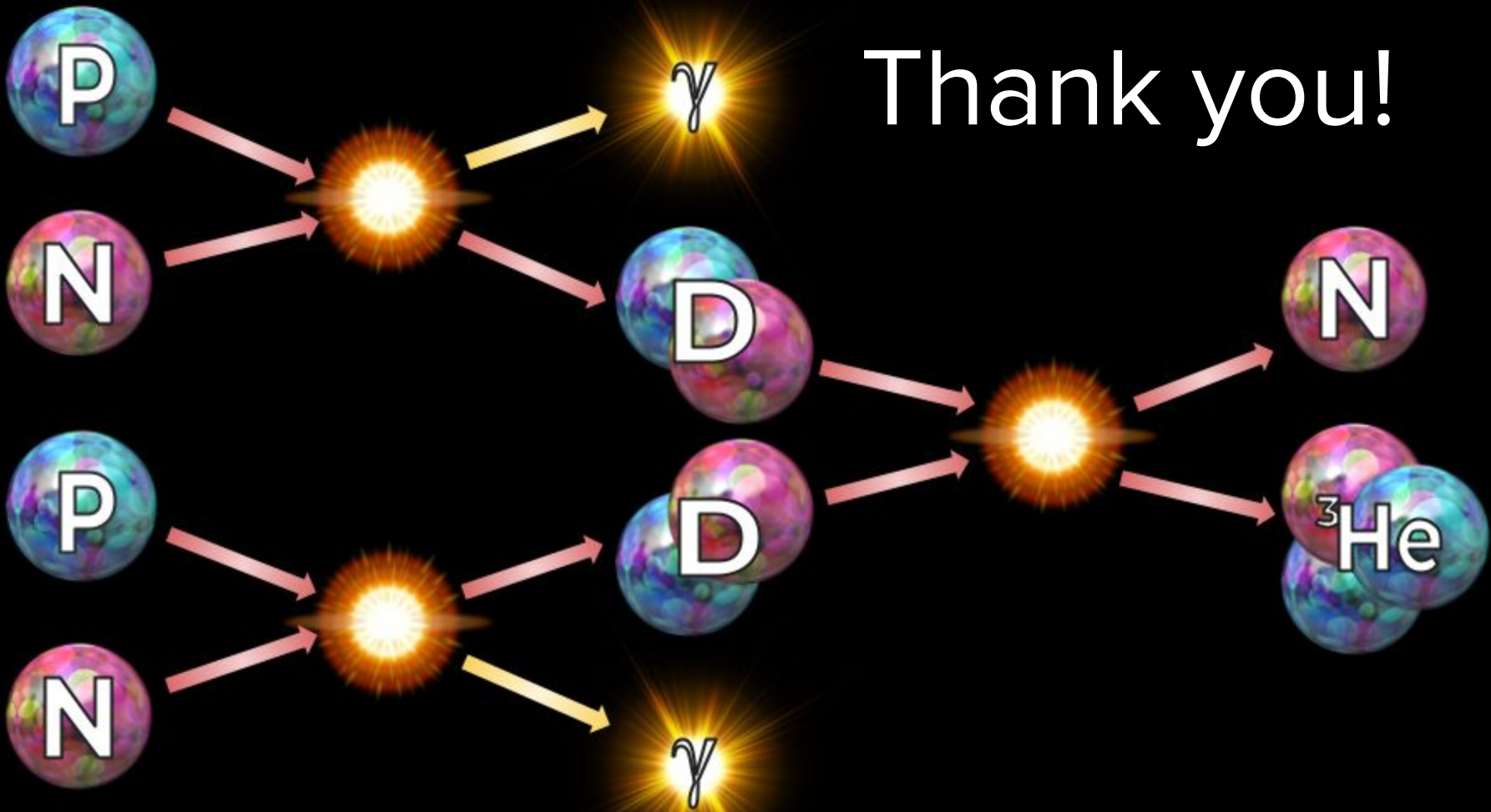
- $\eta_B \ll \eta_L \cong \mathbf{0.01 - 0.26}$  today depending on neutrino oscillations and initial conditions
- What type of New Physics could generate this asymmetry?
  - At  $T > T_{\text{EWSB}}$  electroweak sphalerons equilibrate  $B + L \rightarrow$  the final total lepton and baryon asymmetries differ by a  $O(1)$  factor



# The Big Takeaways

1. Analysis of primordial helium abundance using the Subaru Survey observation hints towards a *large total lepton asymmetry* originating in the early universe ✨
  2. BBN and CMB data can be combined to derive powerful constraints ✨
  3. Large total lepton asymmetry could be an important clue towards understanding the early universe ✨
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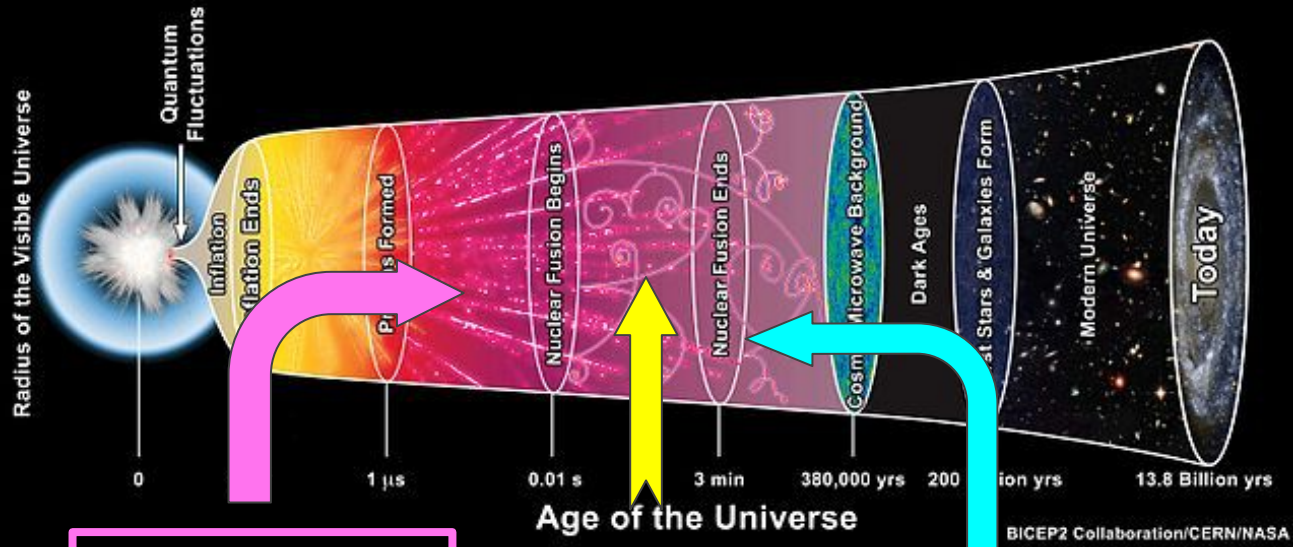




Thank you!

# Backup Slides

# Relevant Time Scales to BBN



**Hadron Epoch:**

$T > 1 \text{ MeV}$   
 $t \approx 10^{-6} \text{ s} - 1 \text{ s}$

**Neutrino  
Decoupling:**

$T \approx 1 \text{ MeV}$   
 $t \approx 1 \text{ s}$

**BBN:**

$T \approx$   
 $10^9 \text{ K} - 10^7 \text{ K}$      $t$   
 $\approx 10 \text{ s} - 10^3 \text{ s}$

**PRyM\_init.py:**  
Initialization  
Code



**PRyM\_main.py:**  
Takes information  
from modules to  
compute light  
element  
abundances at  
the end of BBN



**1. PRyM\_plasma.py:**  
Computes BBN plasma rates  
with corrections from  
NUDEC\_BSM



**3. PRyM\_nuclear\_net12(63).py:**  
Imports nuclear rates and  
defines functions for abundance  
calculation



**2. PRyM\_nTop.py:**  
Import computation from  
BBNPy\_compute\_nTop.py or  
call pre-stored rates



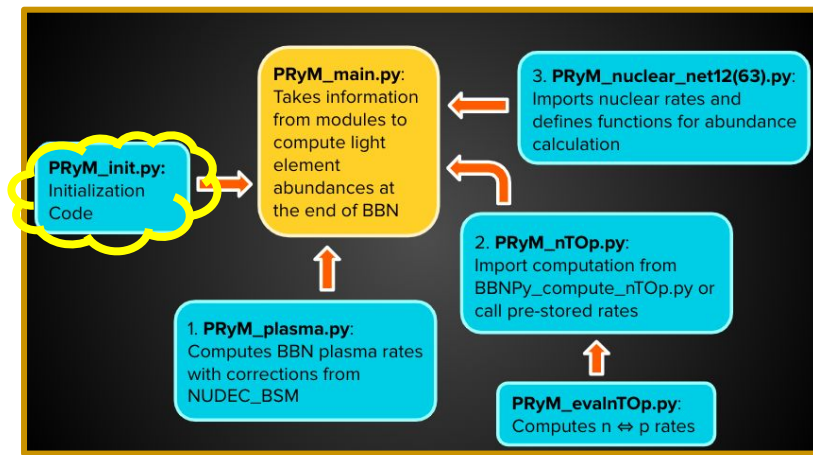
**PRyM\_evalnTop.py:**  
Computes  $n \leftrightarrow p$  rates

# PRyM\_init.py

1. Defines units and constants
  - a. Conversion factors
  - b. Particle masses from PDG 2020
  - c. CMB constants, i.e. baryon density today in  $\text{MeV}^3$
  - d. Defines CGS system for nucleon & nuclear rates
2. Sets working directory
3. Defines Temperature Eras:

$$T_{\text{start}} = 10^{11} \text{ K}, T_{\text{middle}} = 10^{10} \text{ K}, T_{\text{end}} = 6 \cdot 10^7 \text{ K}$$

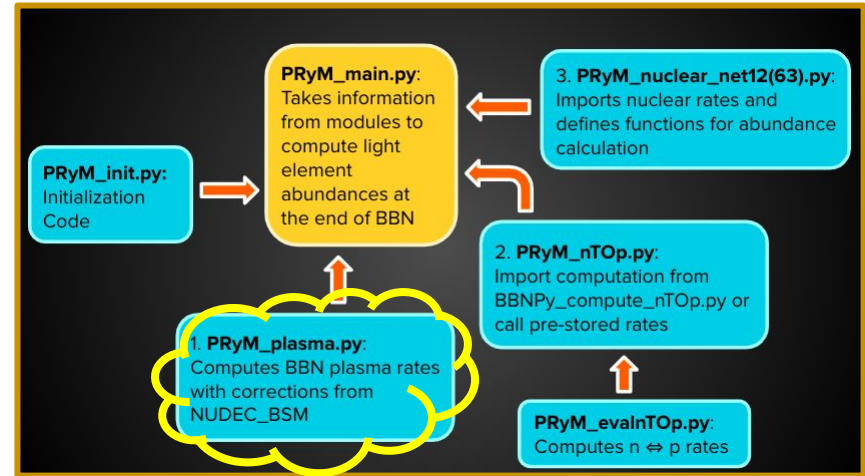
4. Sets flags for computation of  $p \leftrightarrow n$  rates and New Physics



# PRyM\_plasma.py

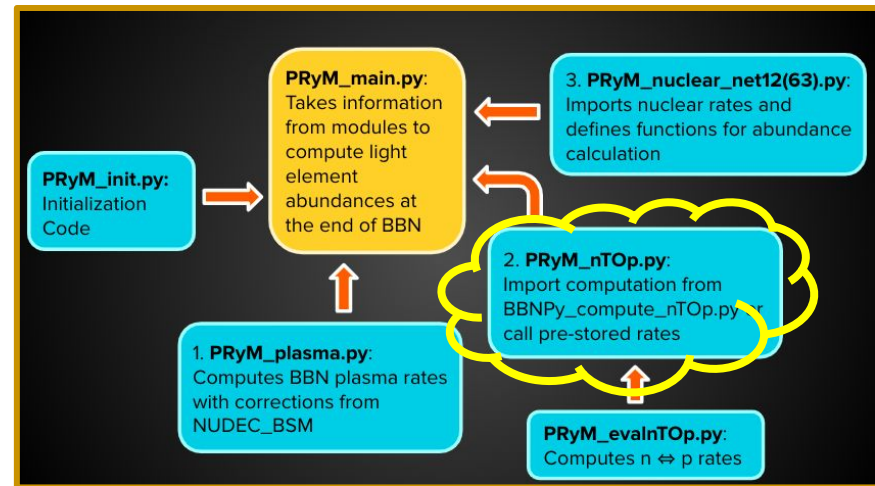
- Define neutrino, electron, and photon densities along with their time derivatives
- Compute SM matrix elements and Pauli Blocking factors for relativistic fermions
- Evaluate and interpolate matrix elements of neutrino electron scattering as implemented in NUDEC\_BSM [1812.05605]
- Output =>

evolution of  $T_\gamma / T_\nu$



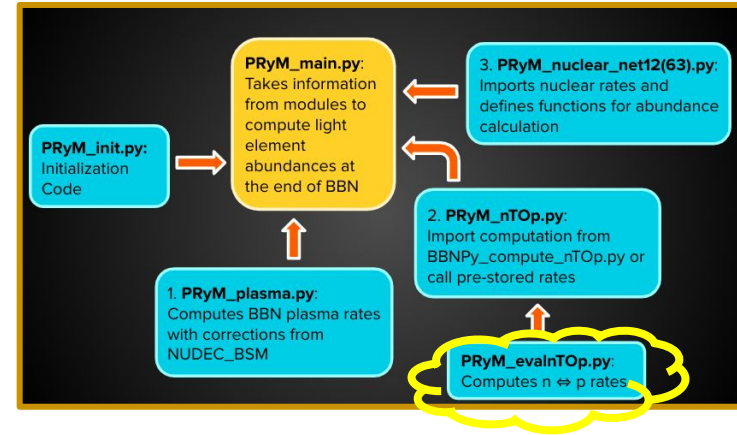
# PRyM\_nTOp.py

- **IF**:  $p \Leftrightarrow n$  rates have already been computed and stored (indicated in initialization code): do not recompute
- **ELSE**: Load and interpolate rates from PRyM\_compute\_nTOp.py



# PRyM\_compute\_nTOp.py

- Define relevant constants
- Compute born approximation for  $\lambda_0$  (neutron decay constant)
  - Compute radiative corrections to  $\lambda_0$
  - Compute finite mass corrections  $\lambda_0$
- Combine radiative and FM corrections to find total correction to  $\lambda_0$
- Calculate the  $n \leftrightarrow p$  rates with the born approximation
  - Corrections made: radiative, finite temperature, finite mass
- Combine all corrections to determine  $p \leftrightarrow n$  rates





# PRyM\_nuclear\_net12(63).py

This part of the code sets up the following equation:

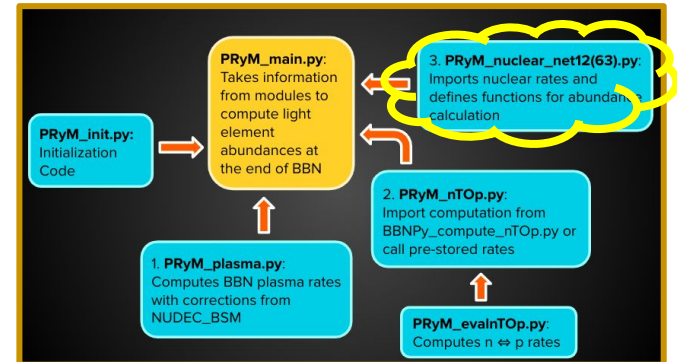
$$\dot{Y}_{i_1} = \sum_{i_2 \dots i_p, j_1 \dots j_q} N_{i_1} \left( \Gamma_{j_1 \dots j_q \rightarrow i_1 \dots i_p} \frac{Y_{j_1}^{N_{j_1}} \dots Y_{j_q}^{N_{j_q}}}{N_{j_1}! \dots N_{j_q}!} - \Gamma_{i_1 \dots i_p \rightarrow j_1 \dots j_q} \frac{Y_{i_1}^{N_{i_1}} \dots Y_{i_p}^{N_{i_p}}}{N_{i_1}! \dots N_{i_p}!} \right)$$

$i, j =$  enumeration of each element in reaction:  $i_1 + \dots + i_p \Leftrightarrow j_1 + \dots + j_q$

$Y_i =$  abundance of  $i^{\text{th}}$  element

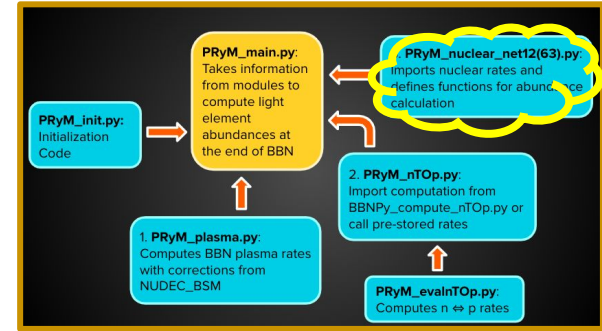
$N_{i_p} =$  the number of elements,  $i_p$  present in the reaction

$$\Gamma_{j_1 \dots j_q \Rightarrow i_1 \dots i_p} = n_b^{[(N_{i_1} + \dots + N_{i_p}) - 1]} \langle \sigma v \rangle_{i_1 \dots i_p \Rightarrow j_1 \dots j_q}$$



# PRyM\_nuclear\_net12(63).py, cont.

$$\dot{Y}_{i_1} = \sum_{i_2 \dots i_p, j_1 \dots j_q} N_{i_1} \left( \Gamma_{j_1 \dots j_q \rightarrow i_1 \dots i_p} \frac{Y_{j_1}^{N_{j_1}} \dots Y_{j_q}^{N_{j_q}}}{N_{j_1}! \dots N_{j_q}!} - \Gamma_{i_1 \dots i_p \rightarrow j_1 \dots j_q} \frac{Y_{i_1}^{N_{i_1}} \dots Y_{i_p}^{N_{i_p}}}{N_{i_1}! \dots N_{i_p}!} \right)$$



*PRIMAT driven:* Nuclear rates are implemented according to the statistical determination of various groups. See refs [63–68] in 2206.00693. Follows theoretical energy modeling tuned to datasets.

**Two approaches for computation of key reaction rates,  $\Gamma_{j_1 \dots j_q \Rightarrow i_1 \dots i_p}$**

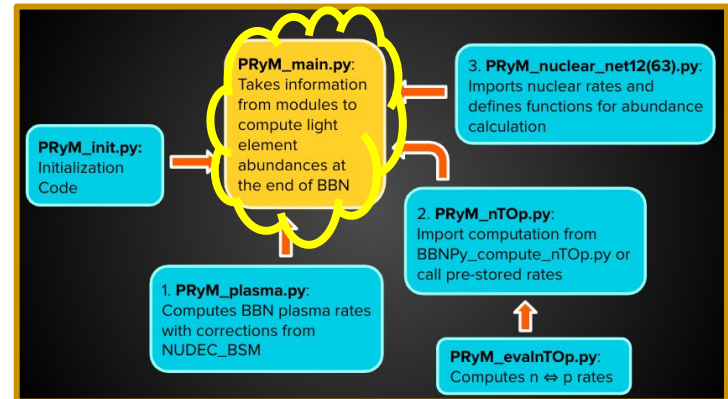
*NACRE II driven:* Nuclear rates are interpolated from the updated NACRE compilation [1310.7099], comprising charged-particle-induced reactions. For  $D + p \rightarrow \gamma + {}^3\text{He}$  we use the LUNA result\*; for  ${}^7\text{Be} + n \rightarrow p + {}^7\text{Li}$  we adopt the baseline of 1912.01132.

\*V. Mossa et al., Nature 587, 210 (2020)

# PRyM\_main.py

## PART I of III: THERMODYNAMICS OF THE PLASMA

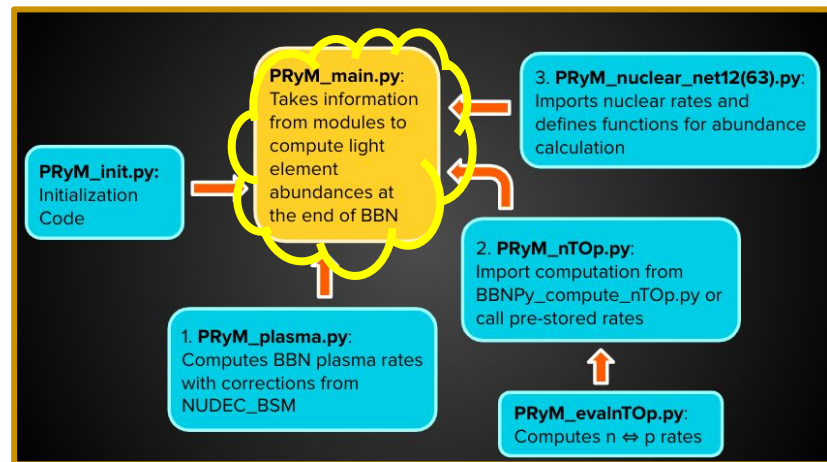
- Imports information from PRyM\_init and PRyM\_plasma
- Computes the following initial conditions for plasma thermodynamics:
  - Total density and pressure of primordial bath
  - $N_{\text{eff}}$  the effective number of neutrino species
  - The neutrino temperature
  - The plasma temperature
- Solves for  **$T(t)$  and  $t(T)$  and  $N_{\text{eff}}$**



# PRyM\_main.py, cont.

## PART II of III: FRW COSMOLOGICAL BACKGROUND IN RADIATION DOMINATION

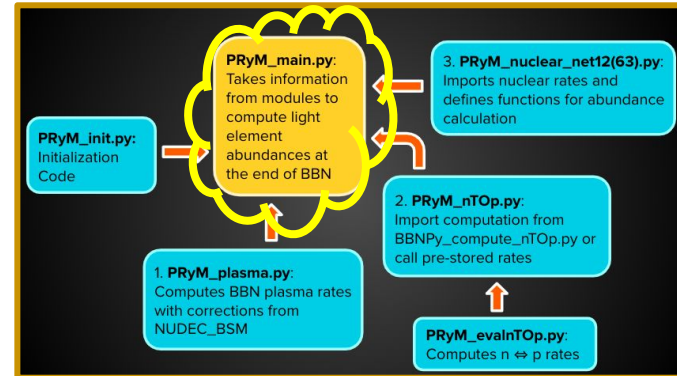
- *Defines* the plasma entropy and the Hubble rate
- *Computes* the scale factor,  $a(T)$  from the entropy, including effects from non-instantaneous decoupling
- *Defines* temperature eras and baryon number for abundance calculation
- *Imports*  $n \leftrightarrow p$  rates and nuclear rates from modules



# PRyM\_main.py, cont.

## PART III of III: PRIMORDIAL ABUNDANCE CALCULATION

- *Computes* initial conditions for network of differential equations (thermal equilibrium distributions)
- *Defines* time derivatives of abundance functions for only p and n and solve network at high temperatures,  $T = 10^{11} - 10^{10}$
- *Imports* time derivatives of abundance functions for **p, n, d, t,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^7\text{Li}$ , and  $^7\text{Be}$**  from PRyM\_nuclear\_rates
- *Solves* system of differential equations at middle and low temperature eras and *plots* results



# Methodology

- We modify *PRyMordial* to analyze the effect of varying  $N_{\text{eff}}$  and  $\xi_\nu$ 
  - Account for the possibility of a nonzero lepton asymmetry  $|\xi_{\nu\mu,\tau}| \gg |\xi_{\nu e}|$  by varying  $\Delta N_{\text{eff}}$
  - Weak-interaction rates evaluated integrating over nucleon thermal distributions with chemical potential  $\mu_Q \equiv \mu_n - \mu_p = -\mu_{\nu e} \neq 0$
- Bayesian analysis of Early Universe data:

$$\text{TS}_{\text{cosmo}} \equiv -2(\log \mathcal{L}_{\text{CMB}} + \log \mathcal{L}_{\text{BBN}})$$

$$\log \mathcal{L}_{\text{CMB}} = -\frac{1}{2} \Delta \vec{v}^T \mathcal{C}_{\text{CMB}}^{-1} \Delta \vec{v}$$

$$\log \mathcal{L}_{\text{BBN}} = -\frac{1}{2} \sum_X \left( \frac{X^{\text{th}} - X}{\sigma_X} \right)^2$$

$$\Delta v \equiv v^{\text{th}} - v, v = (Y_p, \Omega_B h^2, N_{\text{eff}})^T \text{ and } X = \{Y_p, D/H\}$$

# Methodology, cont.

- Parameters varied according to the uniform priors:

$$-2 \leq \Delta N_{\text{eff}} \leq 2 \quad -0.2 \leq \xi_{\nu} \leq 0.2 \quad 1 \leq (\eta_{\text{B}} \times 10^{10}) \leq 10$$

- Marginalize over the neutron lifetime and the adopted nuclear uncertainties
- Gaussian prior:  $\tau_n = (879.4 \pm 0.6) \text{ s}$  from PDG
- Assign log normal distributions to uncertainties in the nuclear rates as is done in [1403.6694]
- MCMC analysis via the `emcee` package (See [1202.3665] for more detail)
- From the best-fit values minimizing TScosmo we also compute the Information Criterion

$$\text{IC} \equiv -2 \log \mathcal{L}_{\text{BBN}} + 2k - 1$$

- $k$  = the number of BSM parameters and accounting for the CMB information as an extra constraint in the fit.

*$\Delta \text{IC} \sim O(1)$  ( $\sim O(10)$ ) provides positive (strong) support in favor of NP beyond  $\Lambda\text{CDM}$*

# How to generate a sufficiently large and persistent lepton-flavored neutrino asymmetry?

1. John March-Russell, Hitoshi Murayama, and Antonio Riotto (1999)
  - Used minimal supersymmetric SM to to create large lepton asymmetry and small baryon asymmetry [9908396]
2. Alberto Casas, Wai Yan Cheng, and Graciela Gelmini (1998)
  - Used the Affleck-Dine (AD) mechanism in which the right handed neutrino acquires a large VEV during inflation to create asymmetry [9709289]
3. Masahiro Kawasaki and Kai Murai (2022)
  - Used AD mechanism as well - theorized L-Balls with lepton number confined in them. This protects  $L$  # from being converted to  $B$  # via the sphaleron process. [2203.09713]