

# New physics searches from the *BABAR* experiment

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On behalf of the *BABAR* Collaboration

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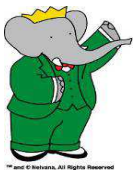
# Outline



- Search for Darkonium
- Search for an Axion-Like Particle
- Search for B baryogenesis
- Search for heavy neutral leptons
- Search for LFV in  $\Upsilon(3S) \rightarrow e^+ \mu^-$

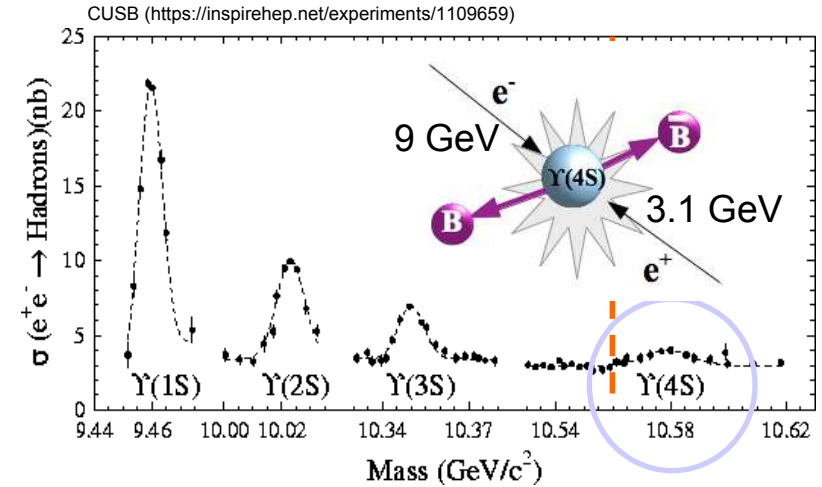


# BABAR experiment



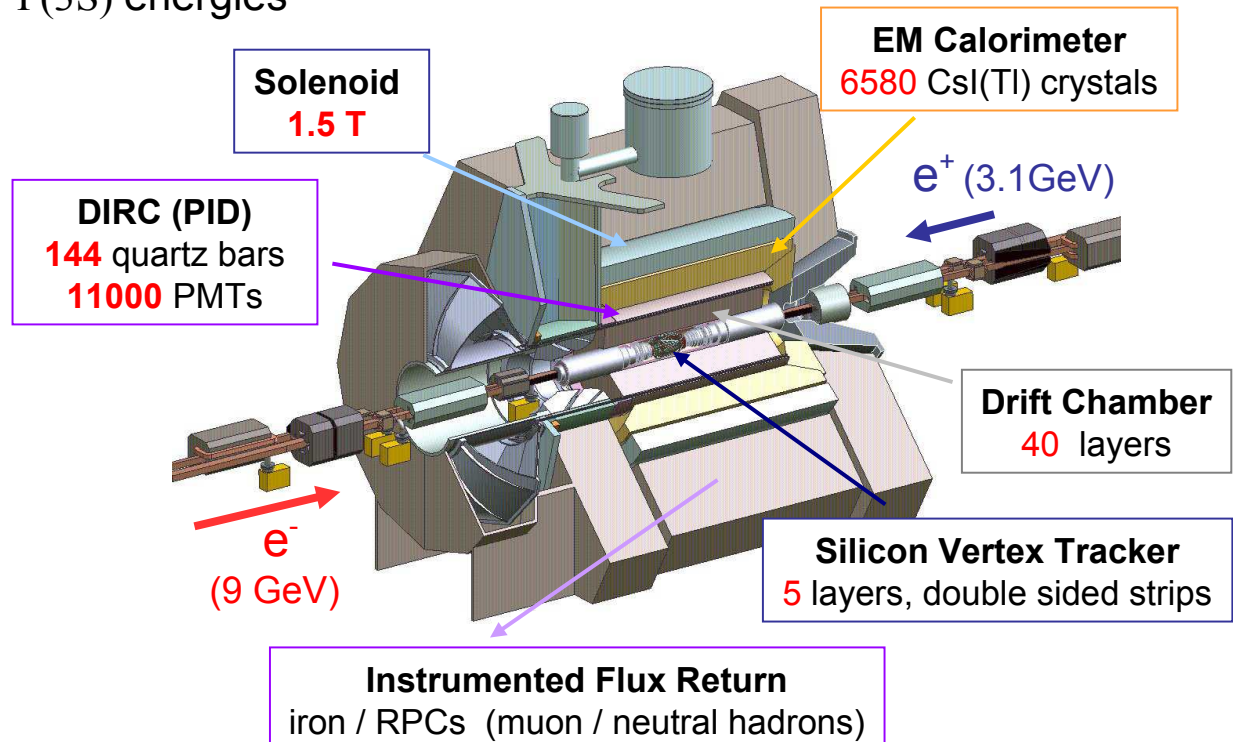
Asymmetric B Factory experiment at the SLAC National Accelerator Laboratory

- *BABAR* collected data from 1999 until 2008:
- $432 \text{ fb}^{-1} \Upsilon(4S)$  “on peak” ( $\sim 470 \times 10^6 \text{ B}\bar{\text{B}}$  pairs)
- $53 \text{ fb}^{-1}$  non-resonant “off peak”
- Smaller samples at the  $\Upsilon(2S)$  and  $\Upsilon(3S)$  energies



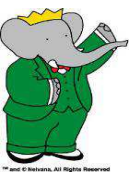
Optimized for tracking and B vertex reconstruction,  $K - \pi$  particle identification, precision calorimetry, and  $\mu$  ID

- **Clean** environment with large solid-angle detector coverage and good missing energy reconstruction
- **Inclusive trigger** ( $N_{\text{tracks}} > 3$ ) as well as dedicated low-multiplicity triggers





# Dark sectors



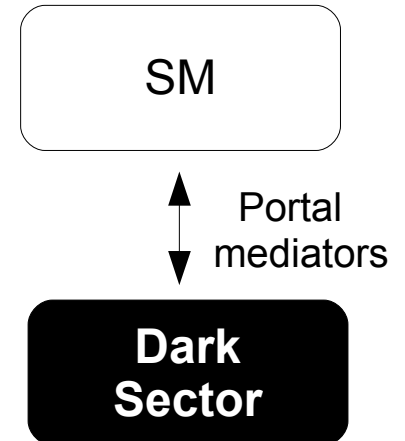
Dark matter may carry charges for non-SM gauge interactions, possibly acquiring mass via dark sector Higgs etc.

- Effective Field Theory (EFT) provides a number of “portals” to access this dark sector:

$$\mathcal{L} = \sum_{n=k+l-4} \frac{c_n}{\Lambda^n} \mathcal{O}_k^{(\text{SM})} \mathcal{O}_l^{(\text{med})} = \mathcal{L}_{\text{portals}} + \mathcal{O}\left(\frac{1}{\Lambda}\right)$$

$$= -\frac{\epsilon}{2} B^{\mu\nu} A'_{\mu\nu} - H^\dagger H (AS + \lambda S^2) - Y_N^{ij} \bar{L}_i H N_j + \mathcal{O}\left(\frac{1}{\Lambda}\right)$$

Vector portal
Higgs portal
Neutrino portal



**Dark sector can be probed via mixing of the portal mediators with SM particles**

## At *BABAR*:

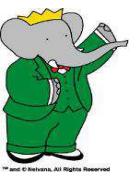
- Light dark sector particles can be produced in **decays** of B and D mesons
- Production of on-shell dark bosons via  $e^+e^- \rightarrow \gamma \mathbf{Z}'$  “**radiative**” and  $e^+e^- \rightarrow \mathbf{f f Z}'$  “**-strahlung**” processes

## Some *BABAR* dark sector results:

- Dark Leptophilic scalar: Phys. Rev. Lett. 125,181801 (2020)
- Dark photon: Phys. Rev. Lett. 113, 201801 (2014); Phys. Rev. Lett. 119, 131804 (2017)
- Six quark dark matter: Phys. Rev. Lett. 122, 072002 (2019)
- Muonic dark force: Phys. Rev. D 94, 011102 (2016)
- Dark Higgs bosons: Phys. Rev. Lett. 108, 211801 (2012)



# Search for Darkonium



Self-interacting dark matter, i.e. dark matter bound states can arise in dark photon models in which the  $A'$  couples strongly to the dark matter fermion ( $\chi$ ) via coupling  $\alpha_D$

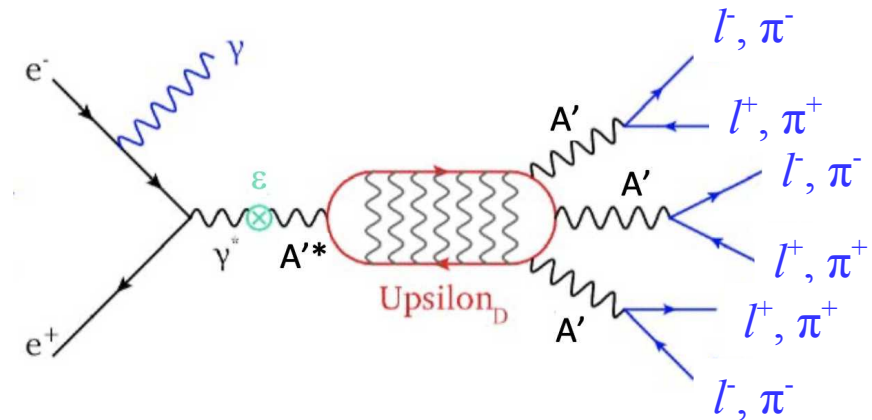
H. An et al., PRL 116 (1026) 151801

- Lowest bound states:  $\eta_D$  ( $J^{PC} = 0^+$ ) and  $\Upsilon_D$  ( $J^{PC} = 1^-$ )
- Dark photon  $A'$  mixes with SM photon via kinetic mixing with strength  $\epsilon$

Produced via  $e^+e^- \rightarrow \gamma \Upsilon_D$ , with

$$\Upsilon_D \rightarrow A'A'A' \text{ and } A' \rightarrow ff \text{ ( } f = e, \mu, \pi \text{)}$$

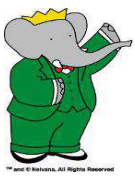
- Dark photon lifetime can be long for small masses and small kinetic mixing  $\epsilon$ , hence **prompt and displaced vertex signatures**



*BABAR* search in six-track final state in  $514 \text{ fb}^{-1}$



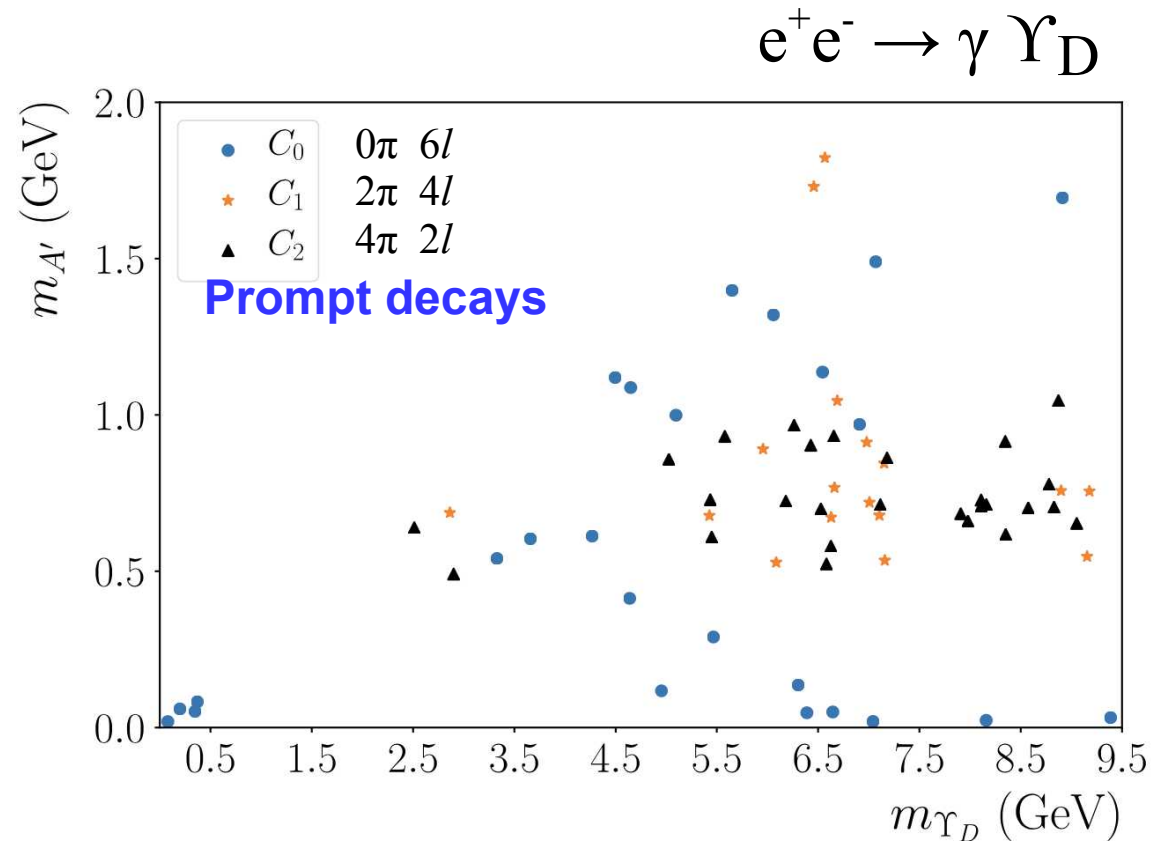
# Search for Darkonium



Phys. Rev. Lett.  
128, 021802 (2022)

3 pairs of opposite-sign tracks (at least one lepton pair) which should all have same invariant mass

- The  $\Upsilon_D$  mass can be reconstructed from the combination of all six tracks
- ISR photon may or may not be detected, but recoil mass against  $\Upsilon_D$  should be consistent with zero
- MVA used to suppress backgrounds
- Scan  $m(\Upsilon_D) - m(A')$  for evidence of peaks
- In low mass  $m(A') < 0.2$  GeV region, consider also displaced vertex hypotheses with  $c\tau = 0.1, 1, 10$  mm



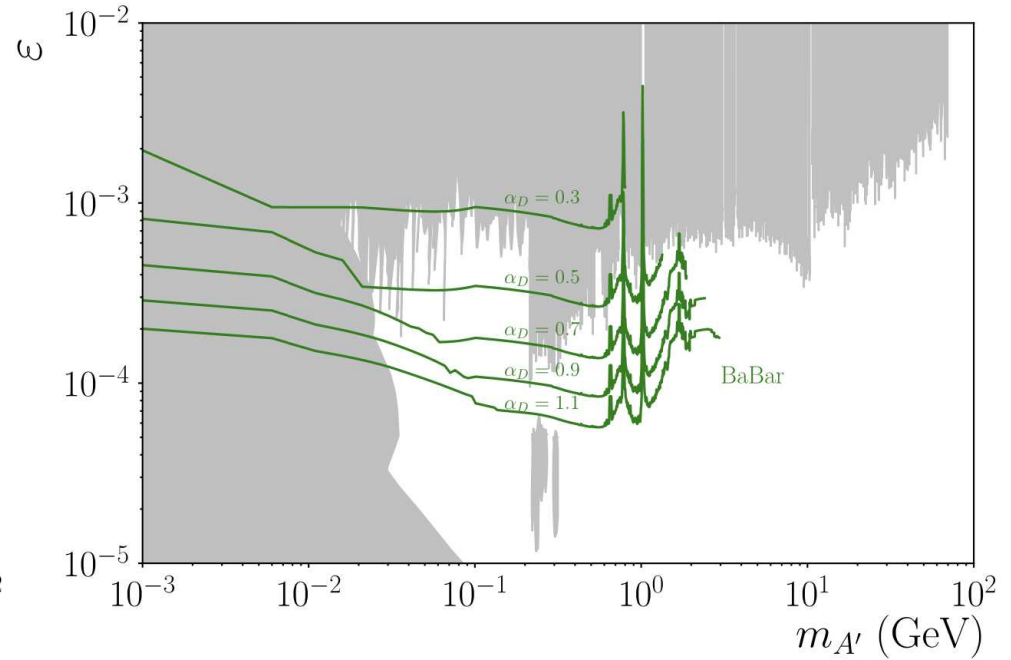
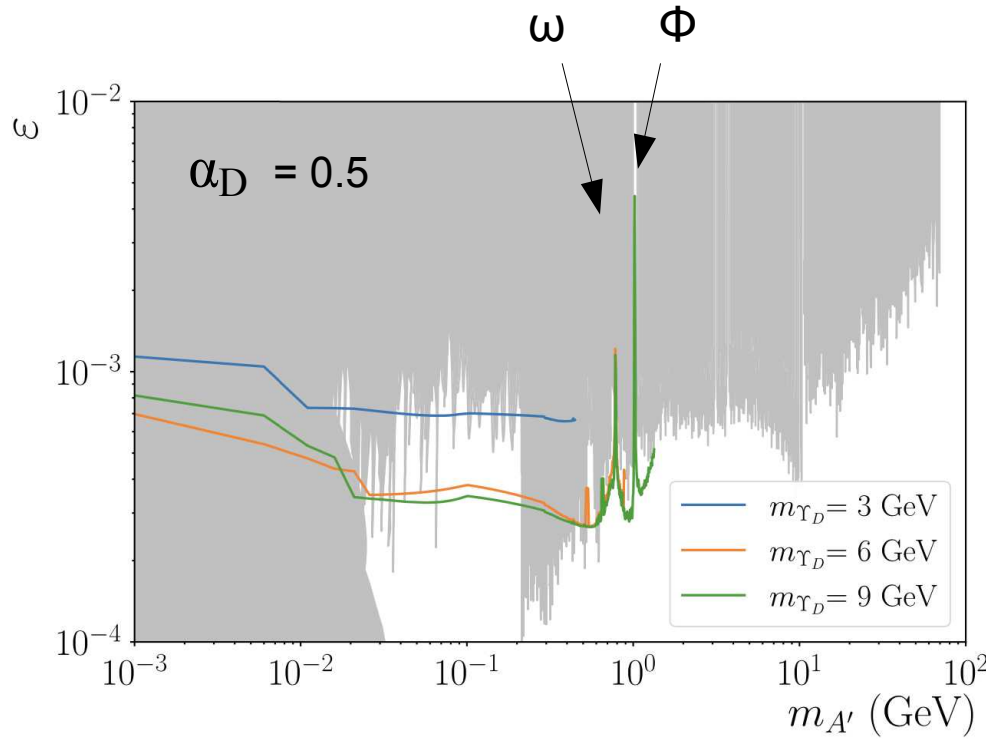
No significant signals observed in either prompt or displaced decay searches



# Search for Darkonium



Phys. Rev. Lett.  
128, 021802 (2022)

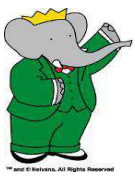


90% C.L. Upper limits placed on the kinetic mixing parameter  $\varepsilon$

- As a function of  $m(A')$
- For different values of  $m(\Upsilon_D)$  and  $\alpha_D$



# Axion-Like Particles



Pseudo-Goldstone bosons known as **Axion-Like Particles (ALPs)** appear in many extensions of SM with spontaneously-broken global symmetries

- Can potentially help resolve issues of naturalness of SM parameters but may also serve as mediators to dark sectors
- ALPs ( $a$ ) couple primarily to pairs of SM gauge bosons.

$$\mathcal{L} = -\frac{g_{aW}}{4} a W_{\mu\nu}^b \tilde{W}^{b\mu\nu}$$

coupling  $\swarrow$   
 $\nwarrow$  SU(2)<sub>W</sub> field strength tensor

Can be produced in FCNC B decay processes, specifically **B → Ka**

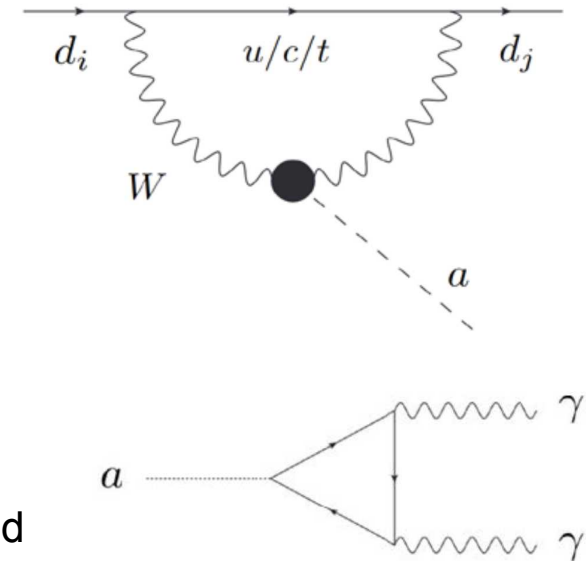
E. Izaguirre et al., PRL 118 (2017) 111802

- $a \rightarrow \gamma\gamma$  with nearly 100% BF for  $m(a) < m(W)$
- For low axion mass and small coupling, the axion lifetime can become “long”, i.e. non-prompt.

$$\tau \sim 1 / m_a^3 g_{aW}^2$$

*BABAR* searches for ALPs in  $B^+ \rightarrow K^+ a$  ( $a \rightarrow \gamma\gamma$ ) in  $4.72 \times 10^8 B\bar{B}$  pairs ( $424 \text{ fb}^{-1}$ ) collected at the  $\Upsilon(4S)$

- Exclusively reconstruct signal B meson via well-identified K and photons, then “bump hunt” in the reconstructed  $\gamma\gamma$  mass

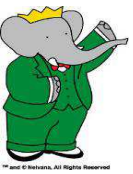






# Axion-Like Particles

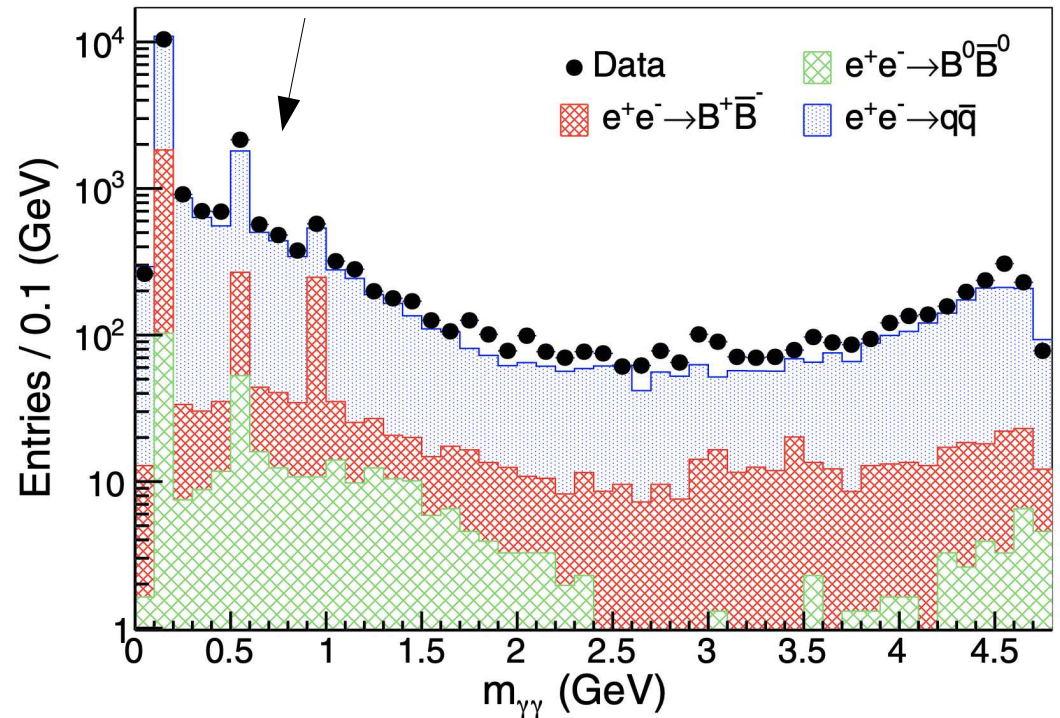
Phys. Rev. Lett.  
128, 131802 (2022)



Reconstruct  $K + \gamma\gamma$  final state including kinematic fit to improve resolution

- Continuum  $e^+e^- \rightarrow q\bar{q}$  ( $q = u, d, s, c$ ) and BB backgrounds suppressed using boosted decision trees based on kinematic variables from the “rest of the event”
- Analysis optimized and validated on 8% of data set (subsequently discarded), then search performed on remainder of (blinded) dataset

peaking contributions from  $\pi^0, \eta, \eta', \eta_c$



Scan  $m_{\gamma\gamma}$  with steps equal to the signal mass resolution ( $\sim 8 - 14$  MeV)

- Fit with unbinned ML fits to a hypothetical signal peak + smooth background
- Peaking contributions from known SM particles taken into account
- 461 signal mass hypotheses over range of  $\sim 24 - 60 \sigma$  around each hypothesis



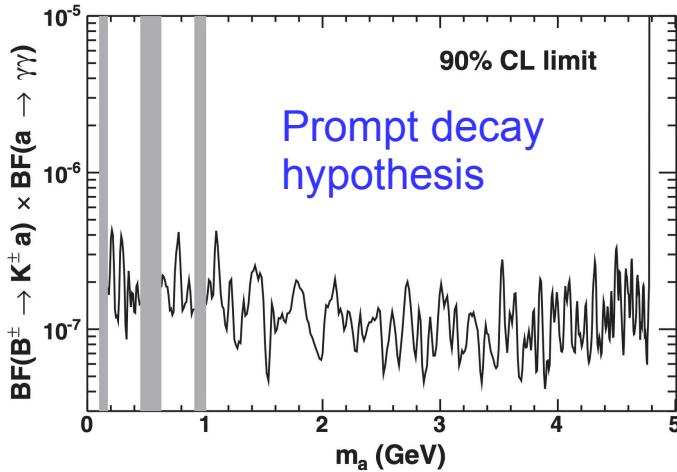
# Axion-Like Particles

Phys. Rev. Lett.  
128, 131802 (2022)

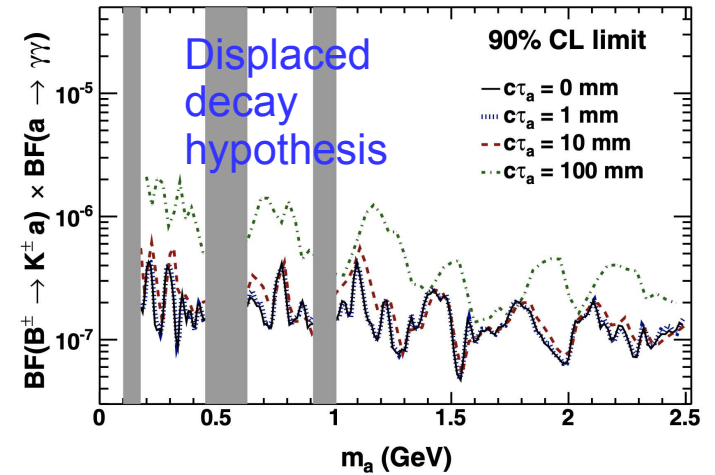


No evidence of signal found at any of the tested mass hypotheses

- Interpret as bounds on  $B \rightarrow Ka(\rightarrow \gamma\gamma)$

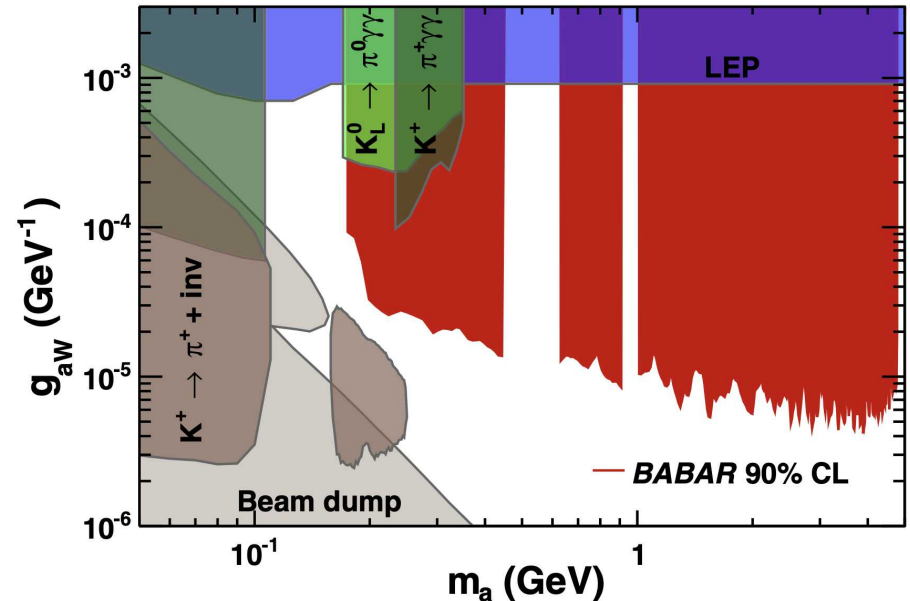


In low mass region ( $m_{\gamma\gamma} < 2.5$  GeV) the signal sensitivity is also assessed for non-prompt signal hypotheses:  
 $c\tau = 1, 10, 100$ mm

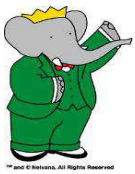


Set 90% CL exclusion limits on the ALP coupling  $g_{aW}$

- Exclude regions around known SM particle masses ( $\pi^0, \eta, \eta'$ )
- Improvements of up to two orders of magnitude over existing limits



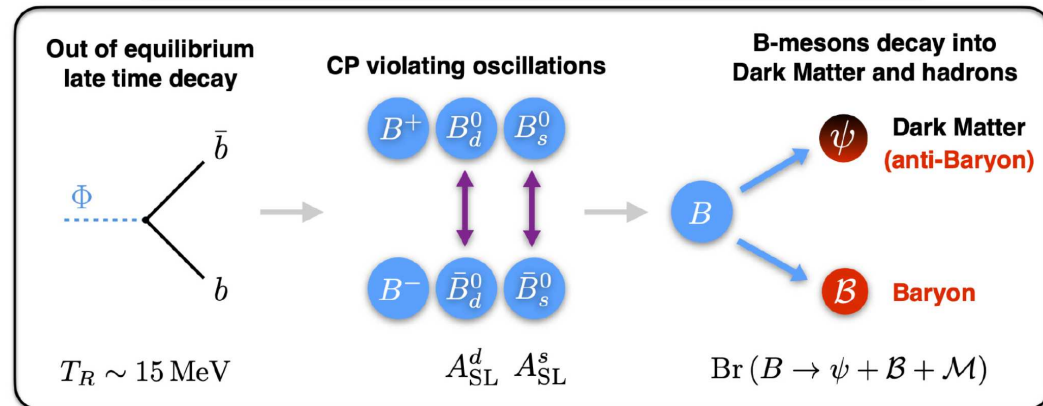
# Search for B Baryogenesis



Mechanism proposed to explain dark matter abundance and Baryon Asymmetry of the Universe (BAU)

- Light dark-sector anti-baryon and a TeV-scale color-triplet bosonic mediator
- BAU results from B meson decays into a baryon and a dark sector anti-baryon  $\psi_D$  (+ light mesons)
- Visible and dark sectors have equal but opposite matter-antimatter asymmetries, but total baryon number is conserved

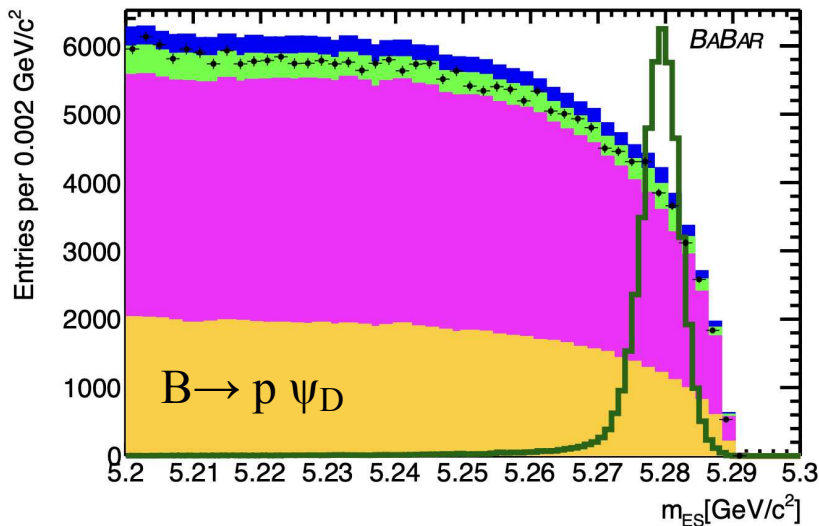
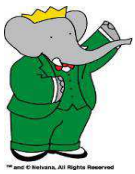
G. Elor, M. Escudero and A. E. Nelson, Phys. Rev. D 99, 035031 (2019).  
 G. Alonso-Alvarez, G. Elorand, and M. Escudero, Phys.Rev. D 104, 035028 (2021).



Two recent BABAR searches for baryonic final states:

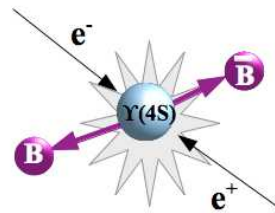
- $B \rightarrow \Lambda \psi_D$  Phys. Rev. D 107, 092001 (2023)
- $B \rightarrow p \psi_D$  arXiv:2306.08490 [hep-ex]

# Search for B Baryogenesis

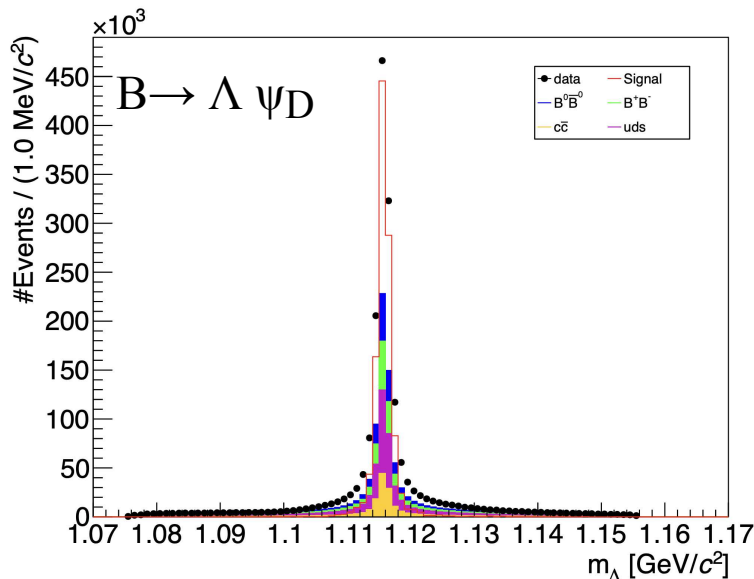


$B \rightarrow \Lambda \psi_D$  and  $B \rightarrow p \psi_D$  final states:

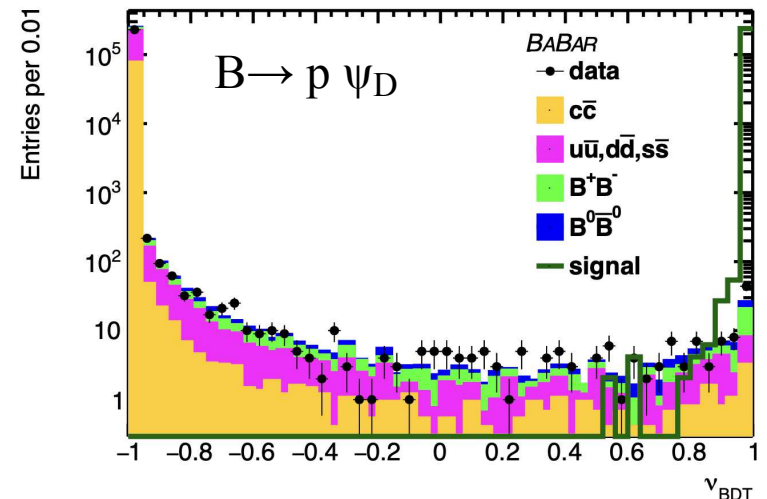
- The dark sector  $\psi_D$  escapes undetected
- Reconstruct accompanying B meson from  $\Upsilon(4S) \rightarrow BB$  and look for signal signature in the remainder of the event:



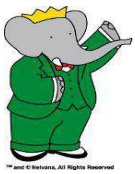
- proton (and no additional tracks), or
- reconstruct  $\Lambda \rightarrow p \pi$ , including displaced vertex significance requirement and kinematic fit



Boosted decision tree to suppress continuum backgrounds based on event shape and kinematic variables

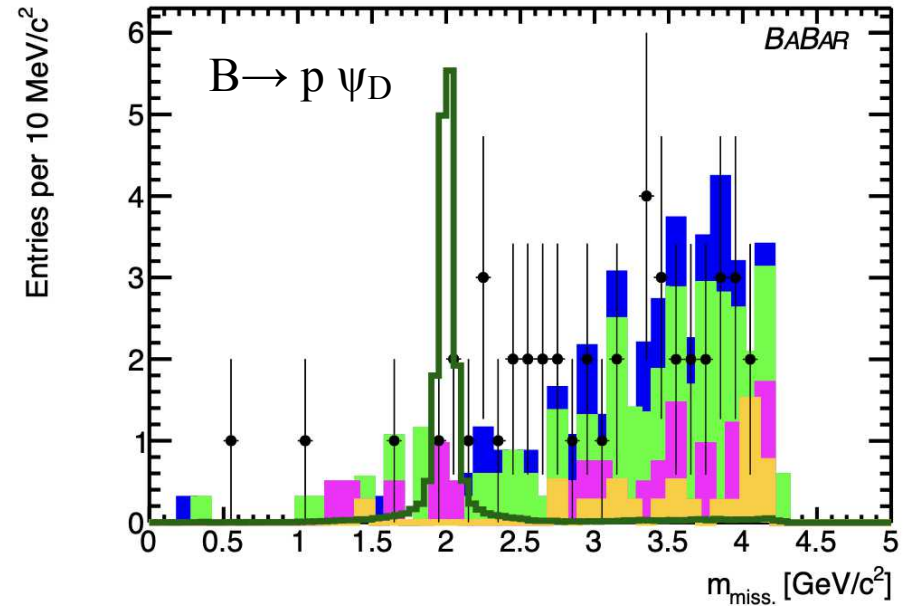
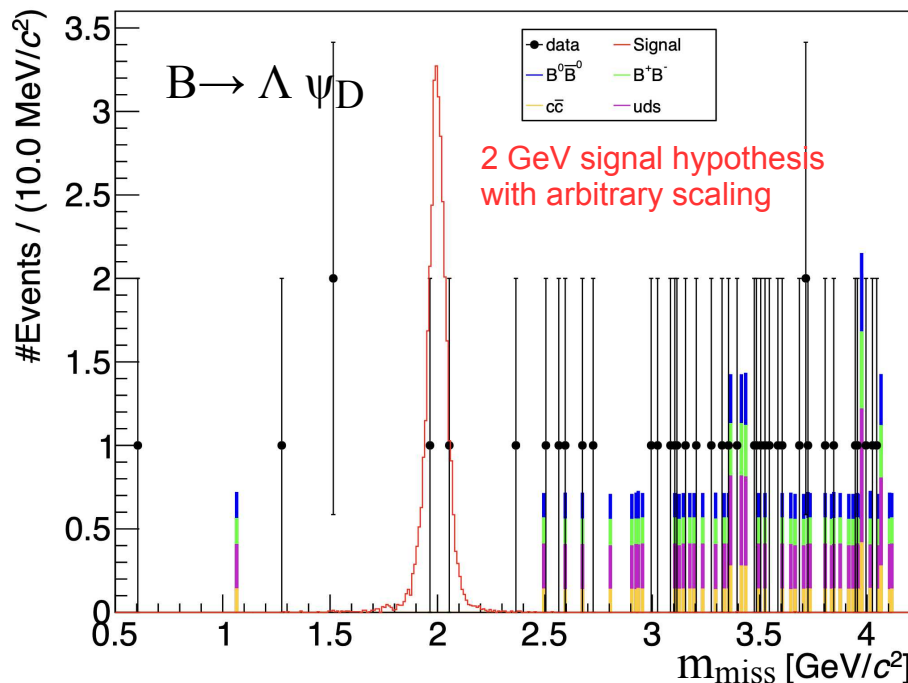


# Search for B Baryogenesis



Missing energy 4-vector of “recoil” against the p or  $\Lambda$  yields the  $\psi_D$  invariant mass

- For  $B \rightarrow p \psi_D$ ,  $m_{\text{miss}}$  resolution varies from  $\sim 110 \text{ MeV}/c^2$  (low mass) to  $\sim 11 \text{ MeV}/c^2$  (high mass)
- Background estimated directly from  $m_{\text{miss}}$  sideband data

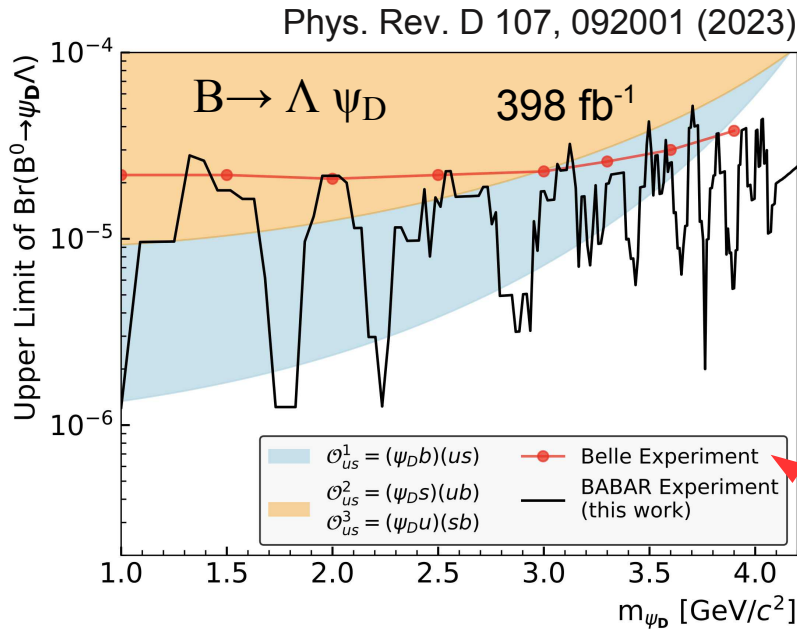
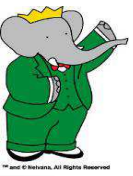


$$m_{\text{miss}} c^2 = \sqrt{(E_{B_{\text{sig}}}^* - E_p^*)^2 - |\vec{p}_{B_{\text{sig}}}^* - \vec{p}_p^*|^2 c^2}$$

- Scan the recoil  $m_{\text{miss}}$  distribution in steps of  $\sigma(m_{\text{miss}})$  for evidence of a narrow signal peak above a smoothly varying background
- For  $B \rightarrow p \psi_D$ , a total of 127 mass hypotheses are tested (193 for  $B \rightarrow \Lambda \psi_D$ )



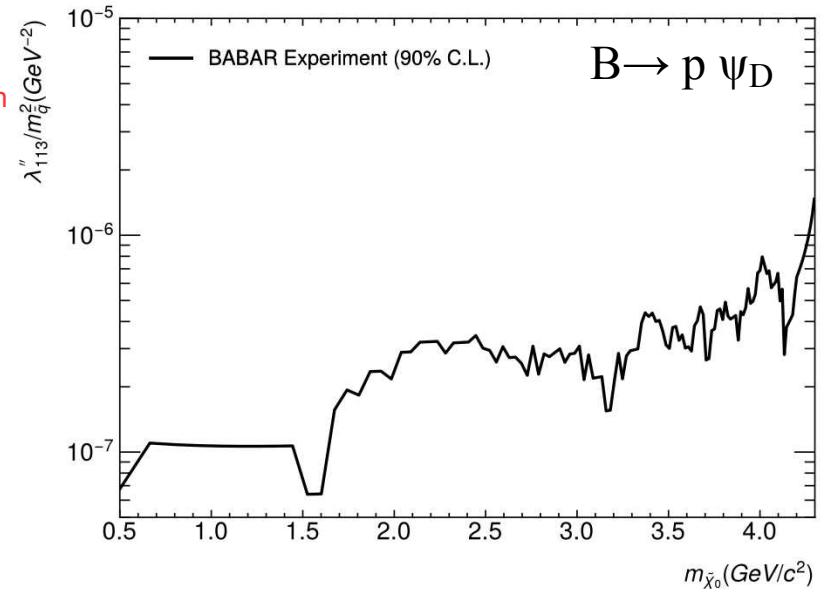
# Search for B Baryogenesis



Branching fraction 90% confidence limits obtained at level of  $10^{-6} - 10^{-5}$

- Probes effective operators  $O_{i,j} = (\psi_D b)(q_i q_j)$  with  $q_i = u, c$  and  $q_j = d, s$
- Results exclude a large fraction of the model parameter space

Belle Collaboration  
Phys. Rev. D 105,  
L051101 (2022).



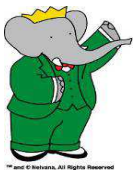
- Can alternatively be interpreted as limits on RPV coupling  $\lambda''_{113}$  assuming  $B \rightarrow \chi_0 p$  in RPV SUSY model

C. O. Dib et al.,  
JHEP 2023 (02 224 (2023))





# Heavy neutral leptons



Phys. Rev. D 107 5, 052009

## Search method based on kinematics of $\tau \rightarrow 3\pi\nu$ decays

(ALEPH neutrino mass measurement method) Eur. Phys. J.1137C 2, 395

- Treat 3-prong tau decay as 2-body process:

$$\tau^- \rightarrow h^-(E_h, \vec{p}_h) + \nu(E_\nu, \vec{p}_\nu)$$

- As HNL mass increases the phase space of the hadronic system is reduced in the  $(E_h, m_h)$  plane

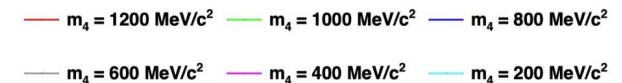
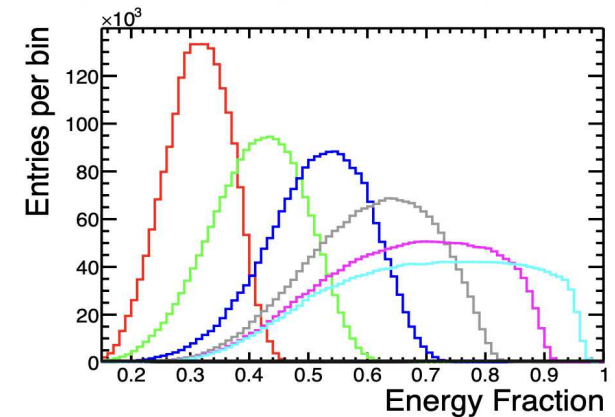
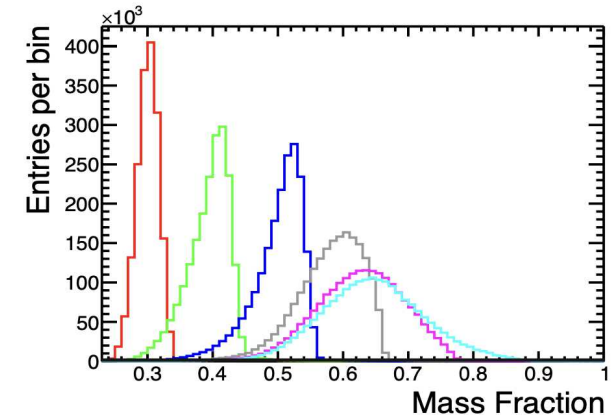
$$\left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{Total}} = |U_{\tau 4}|^2 \left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{HNL}} + (1 - |U_{\tau 4}|^2) \left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{SM}}$$

Modelling of hadronic tau decays in TAUOLA is a dominant source of systematics

- $\tau \rightarrow \pi\pi\pi\nu$  is mediated by the  $a_1$  resonance 97% of the time

Backgrounds from:

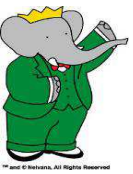
- SM tau decays misidentified as  $\tau \rightarrow 3\pi\nu$  decays (usually due to additional  $\pi^0$ 's)
- Non-tau SM sources (including  $B\bar{B}$ ,  $q\bar{q}$  and  $e^+e^- \rightarrow \mu^+\mu^-$ )



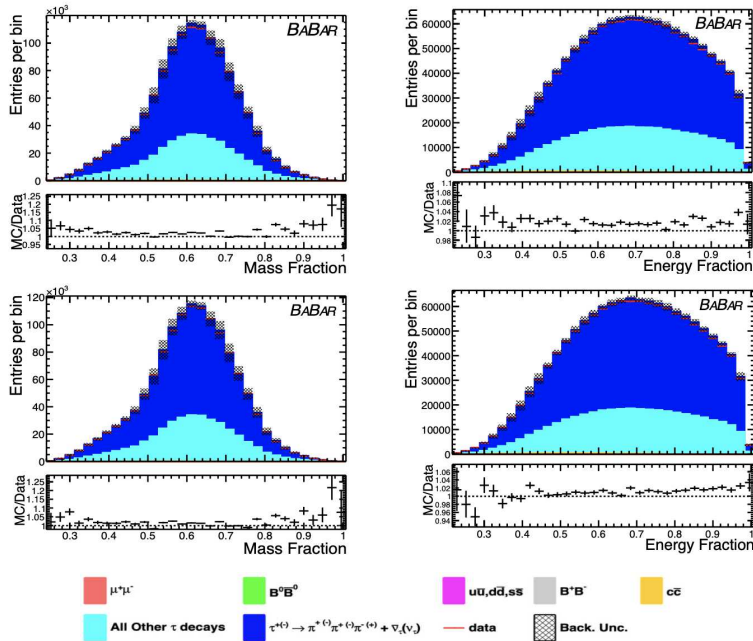




# Heavy neutral leptons



Phys. Rev. D 107 5, 052009

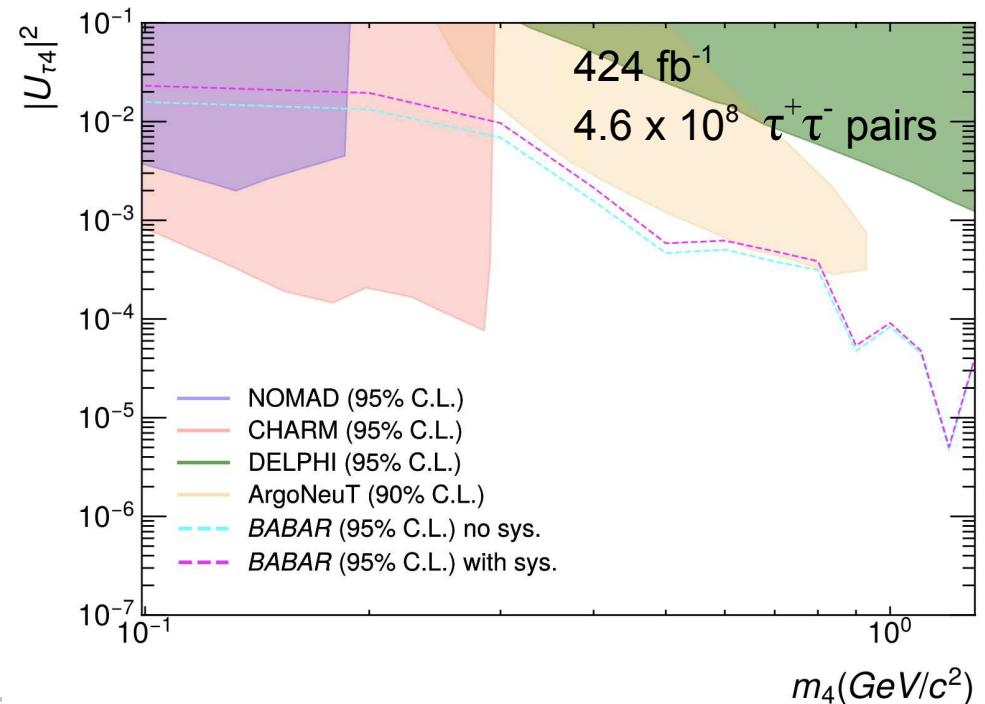


Perform a binned likelihood fit in  $(E_h, m_h)$  plane, separately for  $e$  and  $\mu$ , and for  $l^+$  and  $l^-$

- Template fit of SM+HNL kinematic distributions, weighted by  $|U_{\tau 4}|^2$
- HNL templates derived from 26 simulated signal samples [100MeV - 1300MeV]

Search sensitive to the mass range  $300 < m_4 < 1360 \text{ MeV}/c^2$  (i.e. up to the kinematic endpoint)

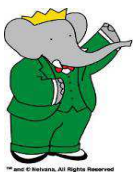
- Limits on  $|U_{\tau 4}|^2$  obtained at level of  $10^{-2} < |U_{\tau 4}|^2 < 10^{-6}$
- Maximum sensitivity for large HNL mass, where kinematics differ greatly from SM





# LFV in $\Upsilon(3S) \rightarrow e^+ \mu^-$

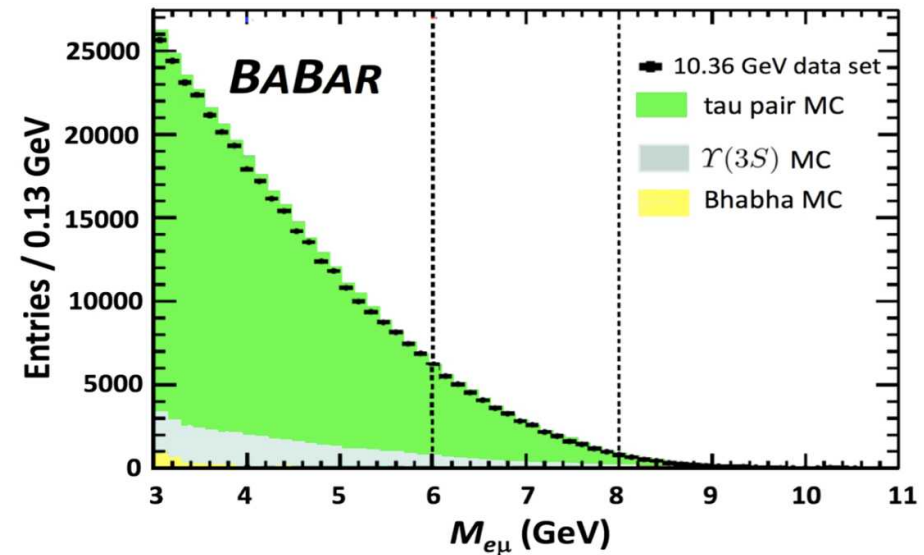
Phys. Rev. Lett.  
128, 091804 (2022)



Charged lepton flavour not explicitly conserved in SM

- Violated at loop level via neutrino mixing at rates far below experimental sensitivity
- Charged LFV would be clear sign of new physics

Previous searches for LFT in  $b\bar{b}$  bounds states in  $e^+ \tau^-$  and  $\mu^+ \tau^-$  but not in  $e^+ \mu^-$



BABAR search for  $\Upsilon(3S) \rightarrow e^+ \mu^-$  in  $27 \text{ fb}^{-1}$  of data, corresponding to  $118 \times 10^6$   $\Upsilon(3S)$  events

$\Upsilon(4S)$  data, and “offpeak”

data samples collected  $\sim 40 \text{ MeV}$  below the  $\Upsilon(3S)$  and  $\Upsilon(4S)$

$0.93 \text{ fb}^{-1}$  of  $\Upsilon(3S)$  data used for analysis validation, then discarded

- Signature is exactly 2 back-to-back tracks with opposite charge, and satisfying  $e, \mu$  particle ID
- Backgrounds originate from
  - $e^+ e^- \rightarrow \mu^+ \mu^- (\gamma)$  and  $e^+ e^- \rightarrow e^+ e^- (\gamma)$
  - $e^+ e^- \rightarrow \tau^+ \tau^-$  with leptonic  $\tau$  decays
  - generic  $\Upsilon(3S) \rightarrow f^+ f^-$  with particle mis-identification

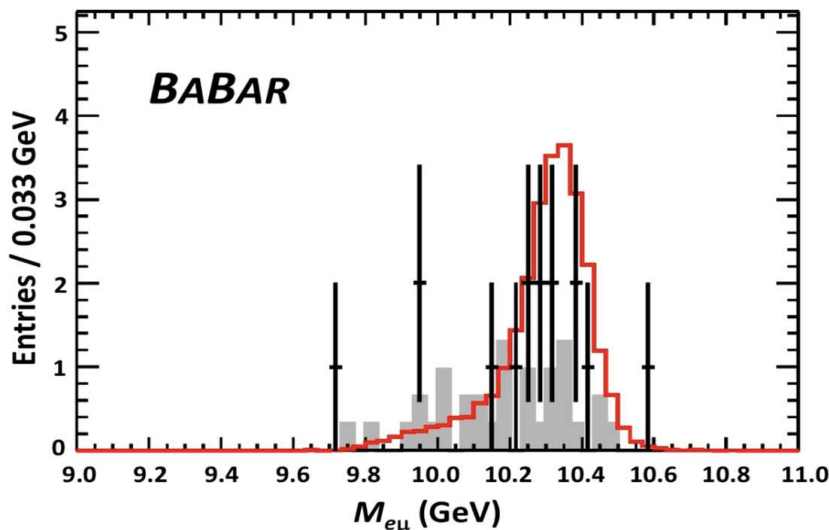
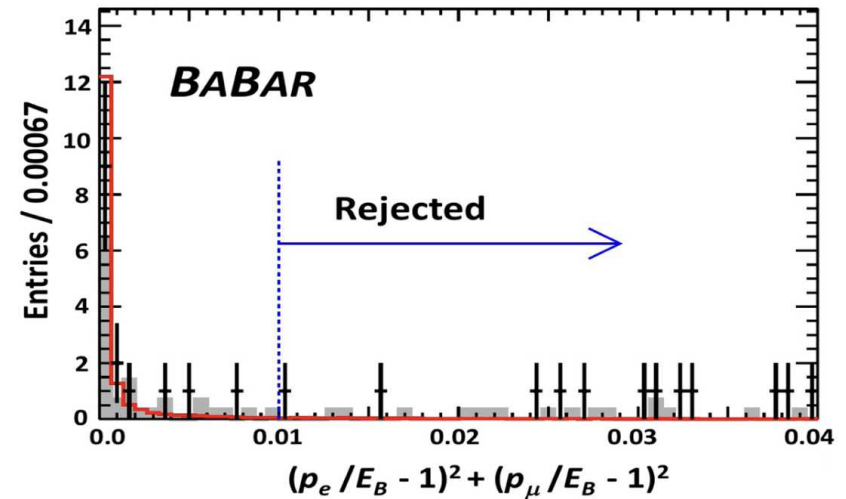


# LFV in $\Upsilon(3S) \rightarrow e^+ \mu^-$

Phys. Rev. Lett.  
128, 091804 (2022)



- Kinematic requirements strongly suppress  $\tau^+ \tau^-$ ,  $\mu^+ \mu^-$ , radiative Bhabha and 2-photon-mediated processes
  - $12.2 \pm 2.1$  background events expected from continuum
- No simulated  $\Upsilon(3S)$  background events survive the selection
  - uncertainty of 0.9 events evaluated by loosening selection requirements
- $23.4 \pm 0.8$  % signal efficiency



Observe 15 events in signal region, consistent with background expectation

Branching fraction central value:

$$B[\Upsilon(3S) \rightarrow e^\pm \mu^\mp] = [1.0 \pm 1.4(\text{stat}) \pm 0.8(\text{syst})] \times 10^{-7}$$

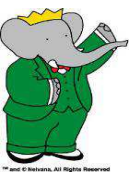
Branching fraction limit:

$$B[\Upsilon(3S) \rightarrow e^\pm \mu^\mp] < 3.6 \times 10^{-7} @ 90\% \text{ C.L.}$$

$$\Lambda_{\text{NP}}/g_{\text{NP}}^2 > 80 \text{ TeV}$$



# Conclusion



- Clean B factory environment is extremely well suited to searches for light dark sector signatures, as well as precision probes of new physics
- *BABAR* data remains an interesting and important resource for searching for physics beyond the Standard Model

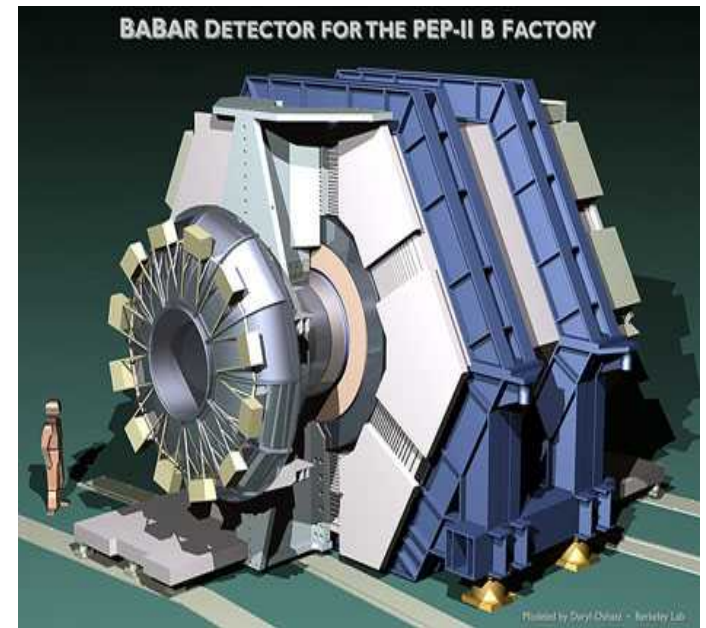
Darkonium: Phys. Rev. Lett. 128, 021802 (2022)

ALPs: Phys. Rev. Lett. 128, 131802 (2022)

B baryogenesis: Phys. Rev. D 107, 092001 (2023) and arXiv:2306.08490 [hep-ex]

HNL: Phys. Rev. D 107 5, 052009

LFV in Ups(3S): Phys. Rev. Lett. 128, 091804 (2022)



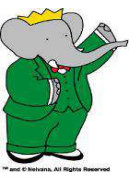


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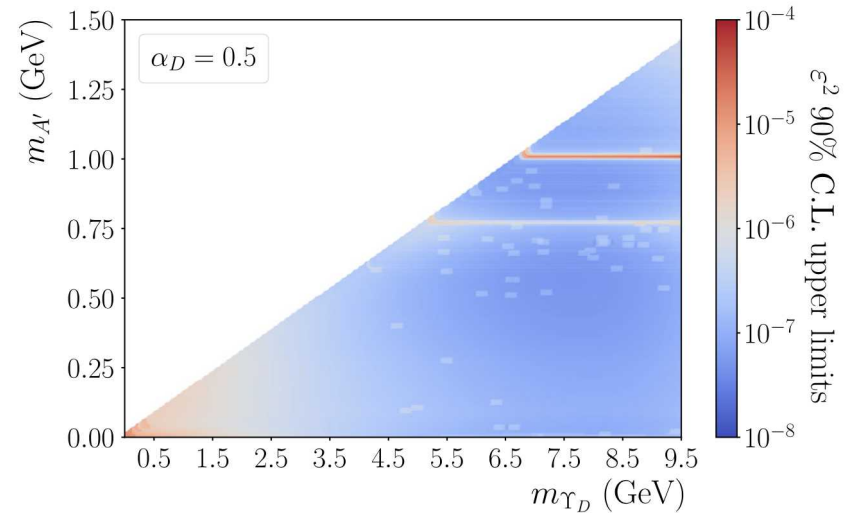
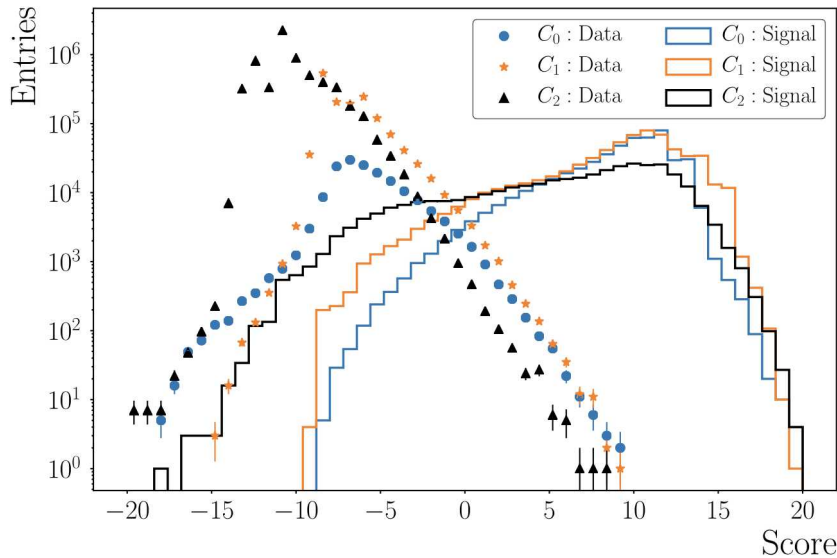
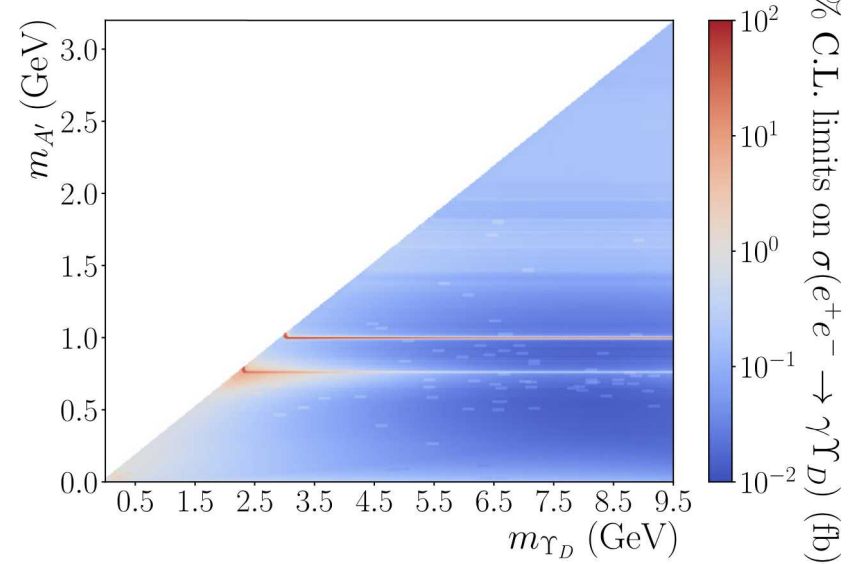
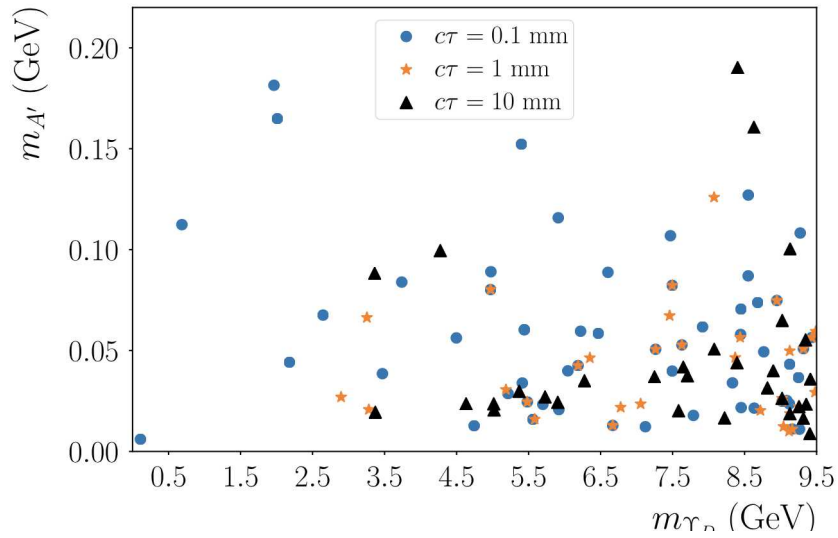
# Extra Material



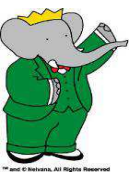
# Darkonium



PRL 128, 021802 (2022)

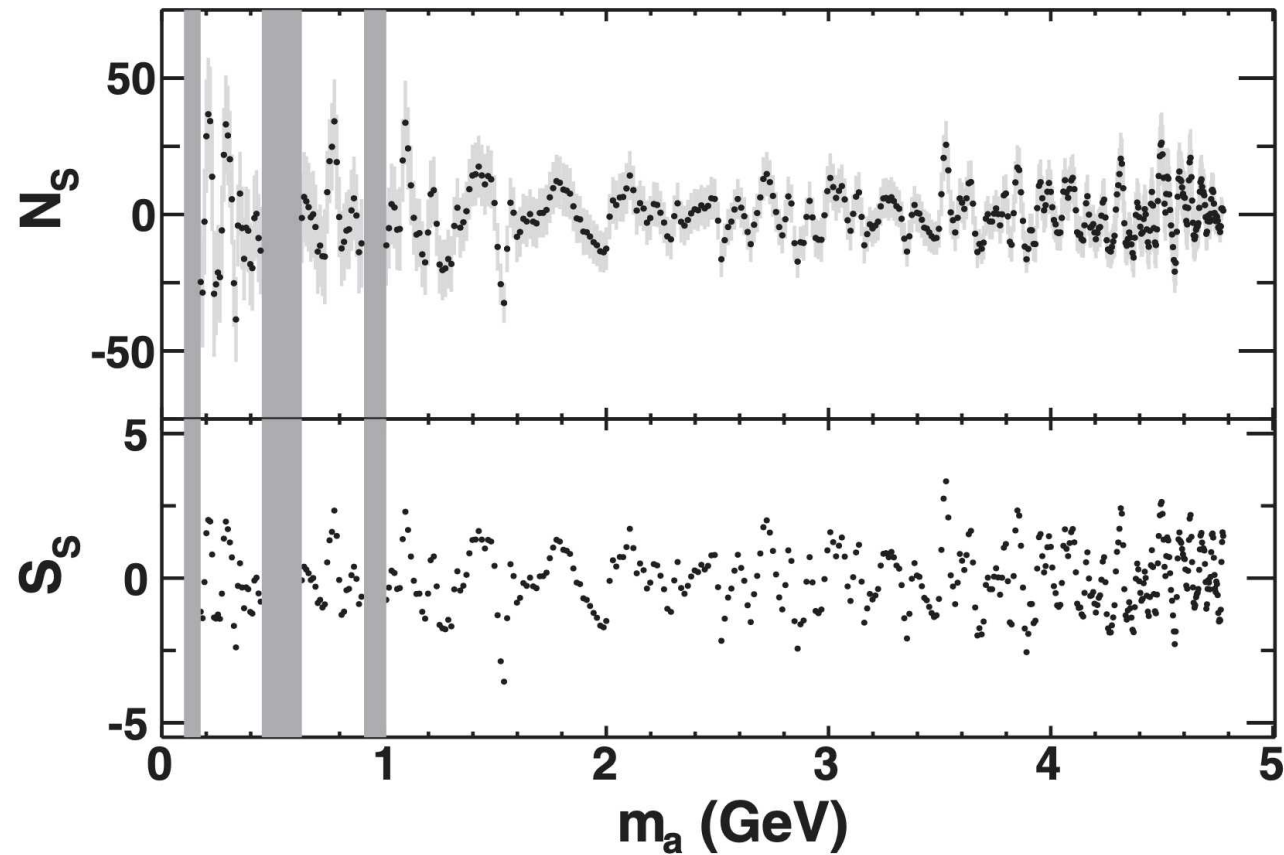






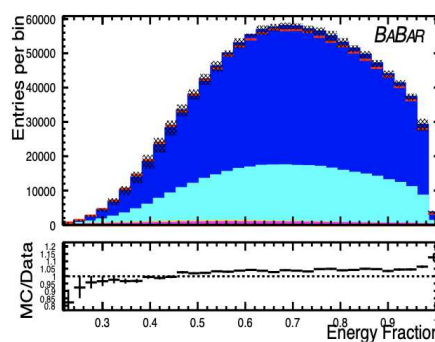
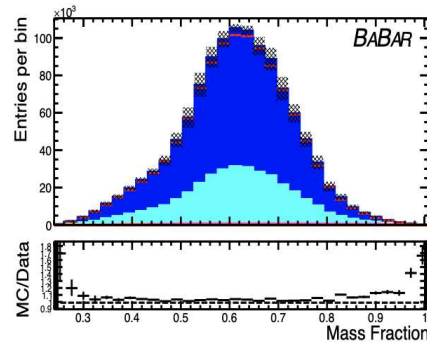
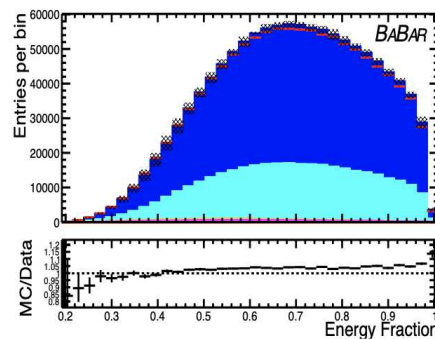
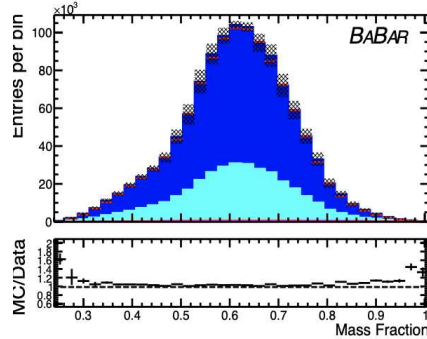
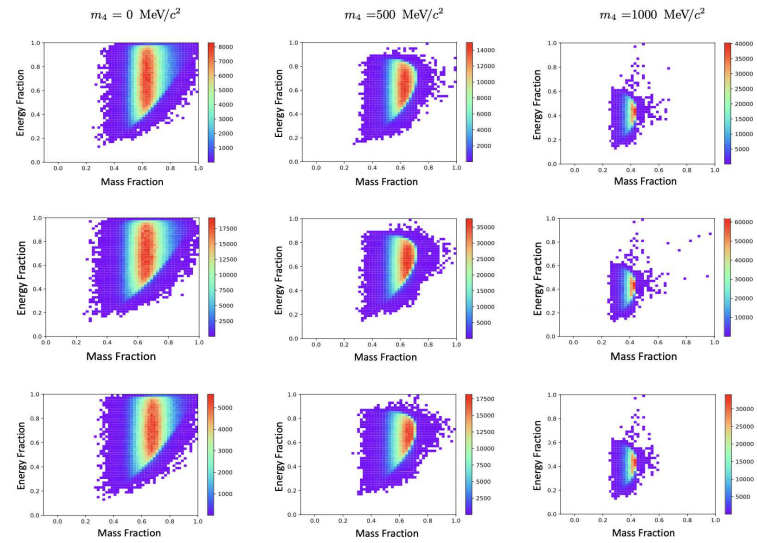
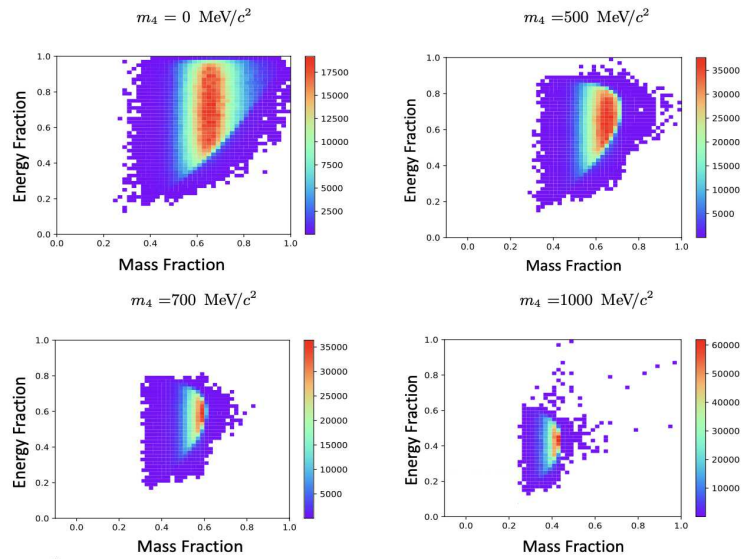
## Yield and local significance

- Grey bands are  $\pi^0$ ,  $\eta$ ,  $\eta'$  regions excluded from the search





# Heavy neutral leptons



Muon

Uncertainty	Yield Change ( $\pm$ )
Luminosity	0.44%
$\sigma(ee \rightarrow \tau\tau)$	0.31%
Branching Fractions (1 prong)	e: 0.22% $\mu$ : 0.22%
Branching Fractions (3 prong)	$3\pi$ : 0.57%
PID Efficiency	e: 2% $\mu$ : 1% $\pi$ : 3%
Bhabha Contamination $q\bar{q}$ Contamination (data)	0.2% 0.1%
Tracking Efficiency Detector Modeling	negligible negligible
Beam Energy Tau Mass	negligible negligible