



ECAL Upgrade II Workshop @IJCLab, 12 December 2022

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Motivation

- The conventional (parametric) Reco performs very well on Run1-2 data
- We assume that this might not work at high-pileup conditions
- Using additional information (5x5 clusters) and ML regressors may enhance the performance at high pile-up
- ML-based Reco based on 3 sets of regressors to estimate:
 - Position
 - Energy
 - Time
- Training using single photons sample (single readout and FTDR for ECAL Run 5)
- Repeat training on the reference physics sample $B_s^0 \rightarrow J/\psi(\rightarrow \mu^+ \mu^-) \pi^0(\rightarrow \gamma\gamma)$
(at given pileup conditions)

After clustering

- Search for local maxima in 3x3 ECAL cells
 - Define seed
- Consider 5x5 ECAL cells around the seed
- Recalculate hit and barycenter positions w.r.t the center of the seed
- Until converge:
 - Define a projection of the hit position in a depth (Z-direction)
 - Find the minimum of RMSE (3D) of the hit and barycenter
- Train the regressor to minimise spatial resolution

Regressor's raw features

	0	1	2	3	4	
	5	6	7	8	9	
	10	11	12	13	14	
	15	16	17	18	19	
	20	21	22	23	24	

5 cells * 5 cells * 2 layers = 50 raw features

50 raw features of energy deposits for:

- Spatial regressor
- Energy regressor

50 raw features of energy deposits &

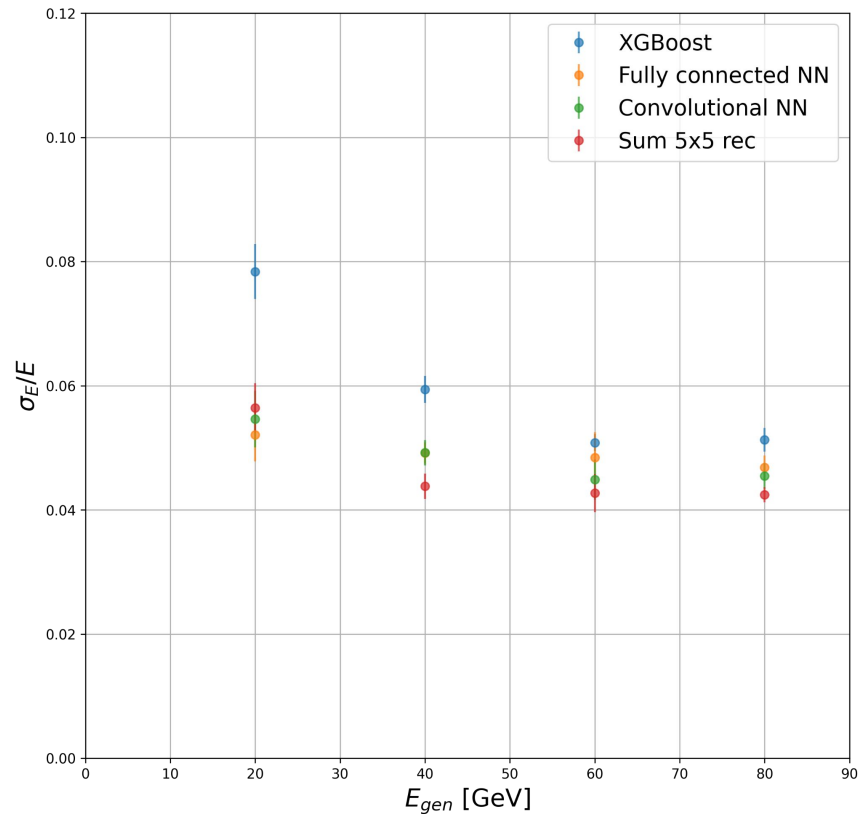
50 raw features of timing in cells:

- Timing regressor

Number of ML regressors were compared:

- XGBoost
- Fully-Connected NN
- Convolutional NN

Total sum of energy deposited in 5x5(x2)
ECAL cells was used as a reference.

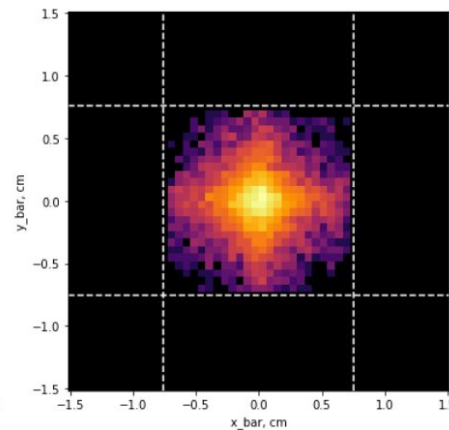
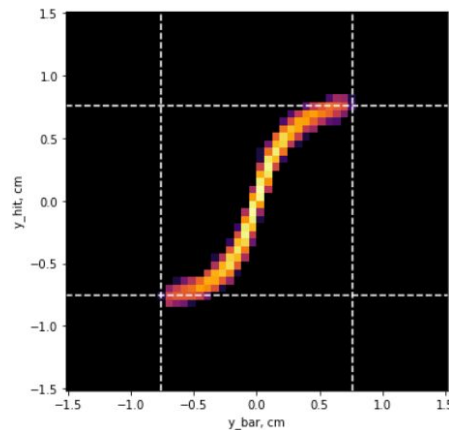
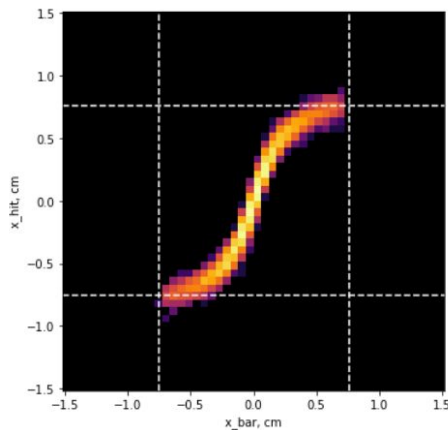




LAMBDA • HSE

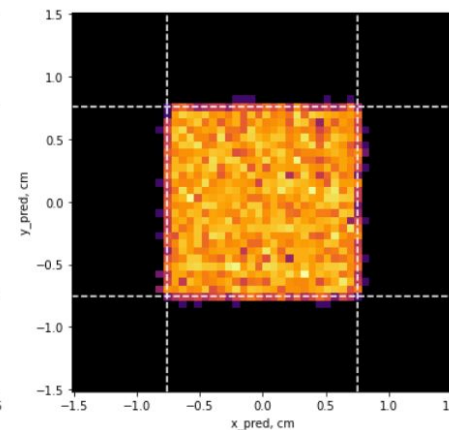
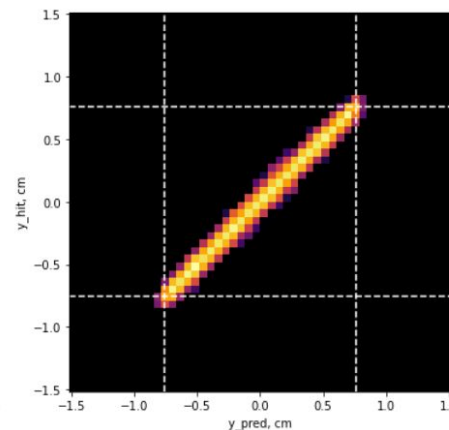
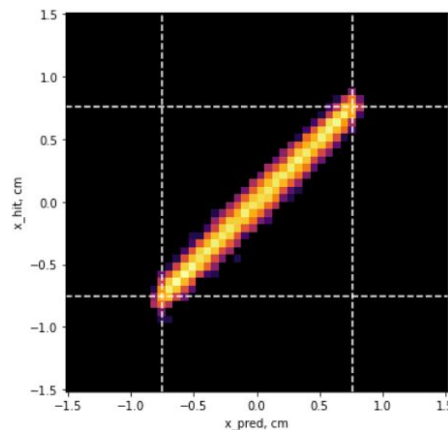
Regressors performance: spatial resolution

Barycenter-based

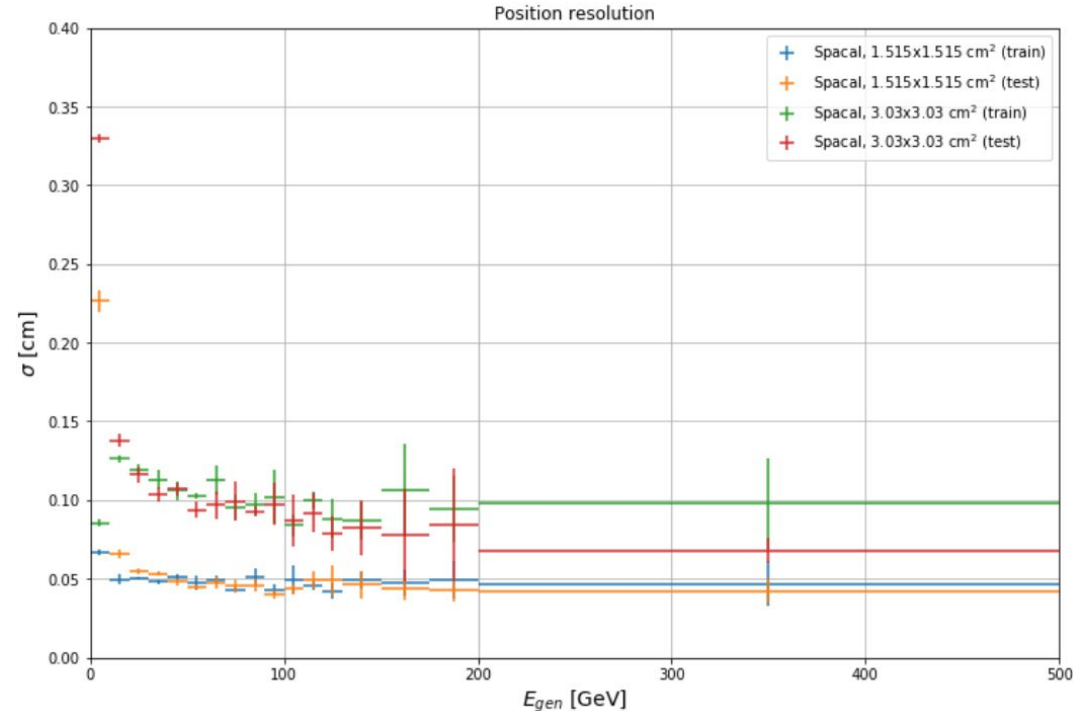


Spacal W/GAGG
(1.515x1.515 cm² cells)

Predicted by the regressor



Regressors performance: Spatial resolution



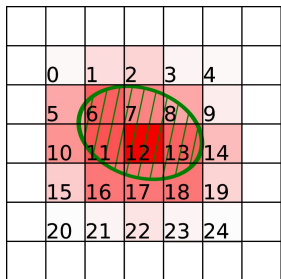
- Spacal modules W/GAGG
- Separate regressors on (Rec - Gen) RMSE for x & y positions of the hit
- Consider 5x5(x2) cells as features for the regressors

Spatial resolutions for the Spacal W/GAGG and Pb/Polystyrene modules are flat above 50 GeV. Stat. uncertainties are low.

Approach for timing information

Suppose that there are 3 background contributions in the 5x5 cells vicinity of the seed cell and $t^{bkg1} < t^{sig} < t^{bkg2} < t^{bkg3}$.

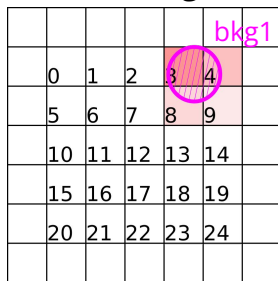
Signal energy deposits and shower spot



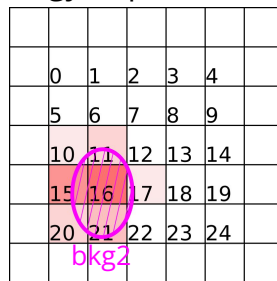
$$t_i = t^{sig}_{MC}$$



Background energy deposits and showers' spots



$$t_i^1 = t^{bkg1}_{MC}$$



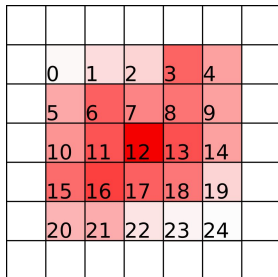
$$t_i^2 = t^{bkg2}_{MC}$$



$$t_i^3 = t^{bkg3}_{MC}$$

Resulting energy deposits:

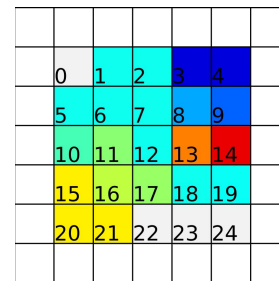
$$E_i = E_i^{sig} + E_i^{bkg1} + E_i^{bkg2} + E_i^{bkg3}$$



(Used as raw features for position and energy regressors)

Resulting time of cells:

$$t_i = \frac{\sum_{i,j} t_i^j E_i^j}{\sum_{i,j} E_i^j}$$

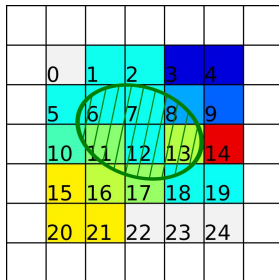


Calculated cell time



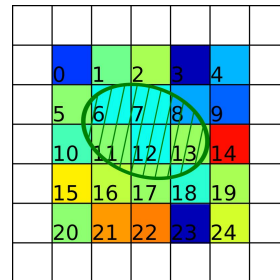
MC time t^{bkg1} t^{sig} t^{bkg2} t^{bkg3}

Regressors performance: timing resolution

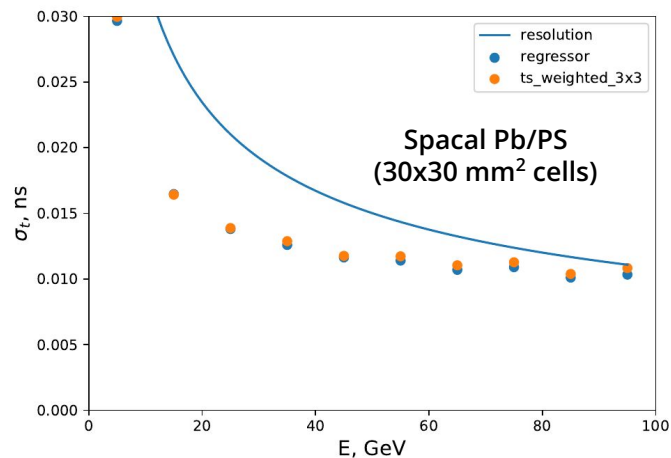
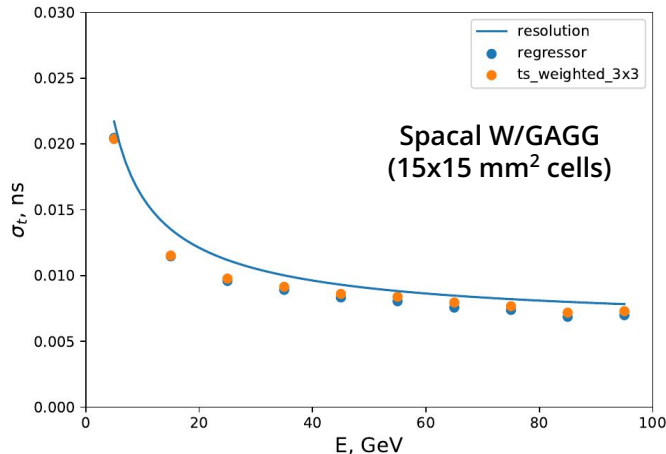
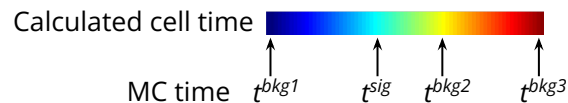


apply module
time resolution

$$\sigma_t = A/\sqrt{E} \oplus B$$



(Used as raw features
for time regressor)



What we have so far

- Single ECAL module:
 - ML reco performance is compatible with conventional Reco performance using detailed simulation inputs
- Full ECAL
 - Requires geometrical irregularities
 - There are 4 borders between the regions of different granularity
 - Some modules have to be rotated due to technology limitations

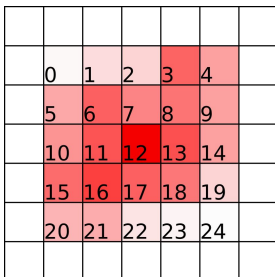
**How does that fit in with the fact that
reco algorithm needs to be geometry agnostic?**

Strategies to have geometry agnostic inputs



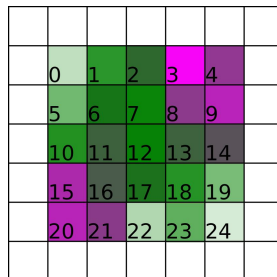
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energy deposits

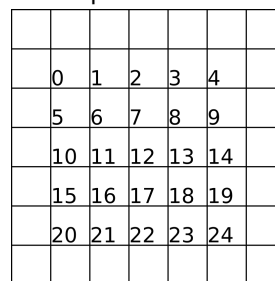


+

timing information

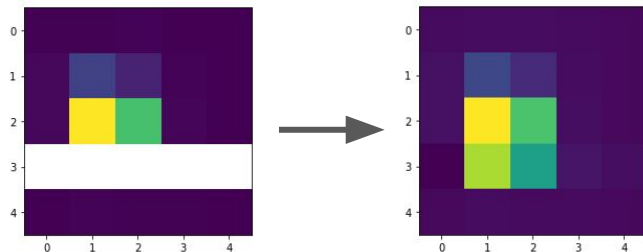


cell position info.



Better strategy:

- Cell position matrix as addition input to ML regressors
- Interpolation of non-existing energy deposits in missing 'virtual' cells
- Interpolation of cells for equalization of granularity on both sides of the border





Energy reconstruction on geometry agnostic inputs

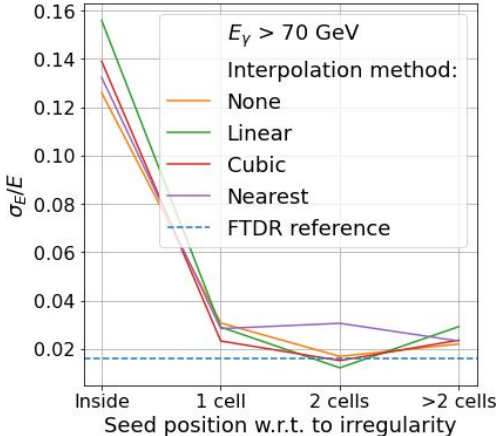
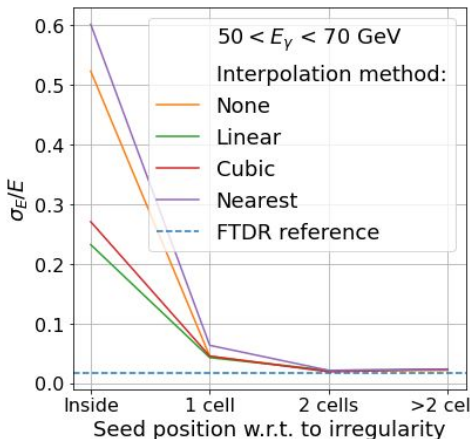
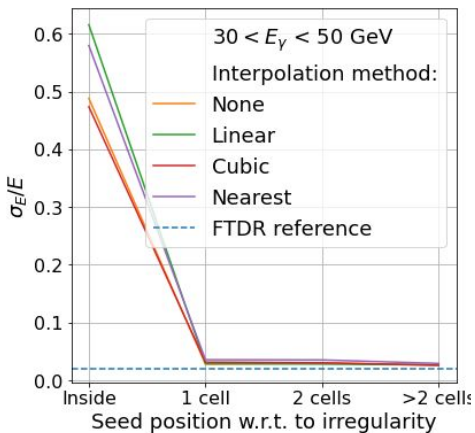
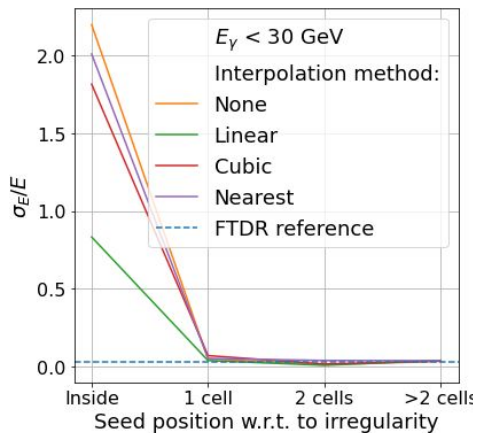
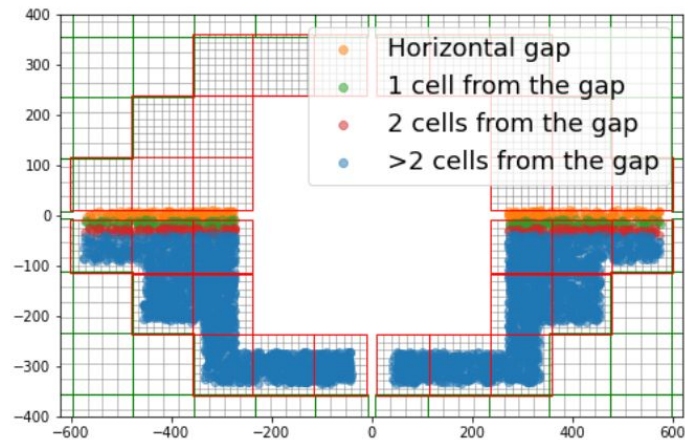
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XGB-based ML regressor

- 5x5 matrix of energy deposits
 - Missing cells recovered using

Linear, Cubic and Nearest-neighbor interpolation

- Cell position info
- Additional features



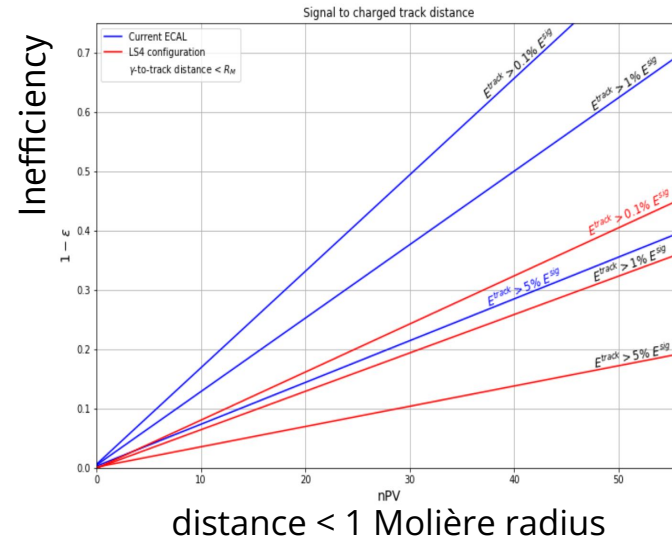
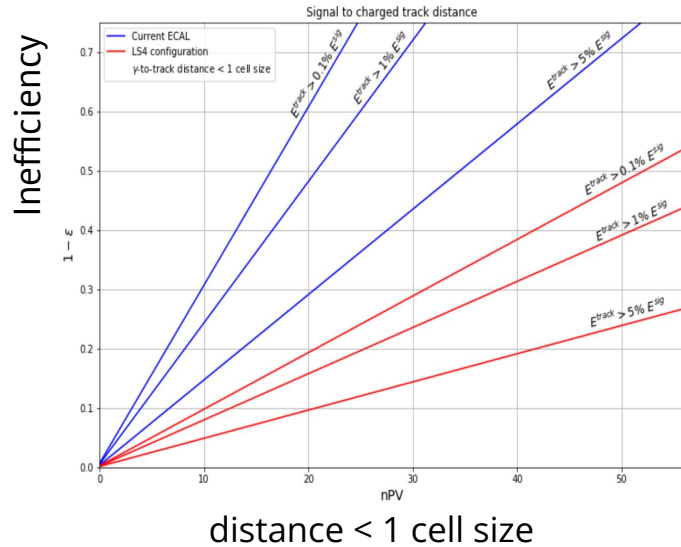
Conclusions

- The R&D process requires time consuming computation steps to evaluate physics performance for different detector techniques and configurations.
- ML reco is consistent with conventional reconstruction for single ECAL module and regular geometry
- ML reco is able to handle detailed simulation inputs using cell position matrix, interpolation of missing cells, and interpolation of low granularity cells close to high granularity cells
- Automatic training speeds up the turnover for the performance studies and ensures consistency and uniformity of obtained results

Backup slides

Charged tracks veto

Photon candidate requires no charged track nearby



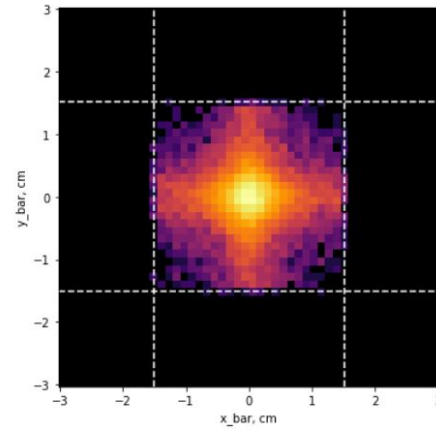
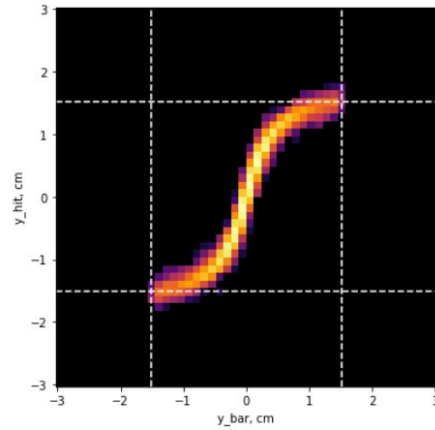
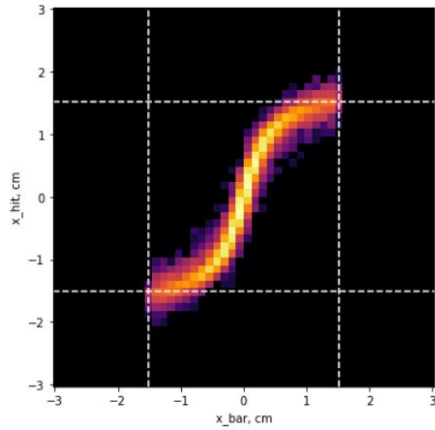
- 1 : Outer region, cell size = $12.12 \times 12.12 \text{ cm}^2$
 - 2 : Middle region, cell size = $6.06 \times 6.06 \text{ cm}^2$
 - 3 : Inner region, cell size = $4.04 \times 4.04 \text{ cm}^2$
- } $R_M = 35.0 \text{ mm}$

- 4 : cell size = $3.03 \times 3.03 \text{ cm}^2$ $R_M = 14.5 \text{ mm}$
- 5 : cell size = $1.515 \times 1.515 \text{ cm}^2$ $R_M = 29.5 \text{ mm}$

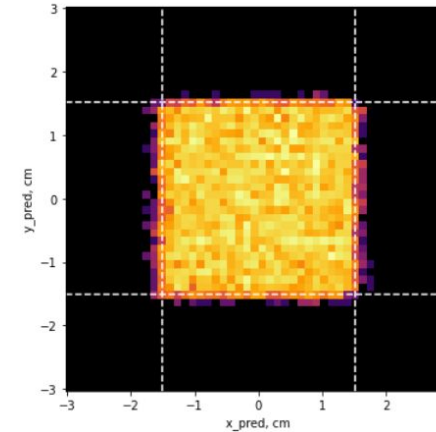
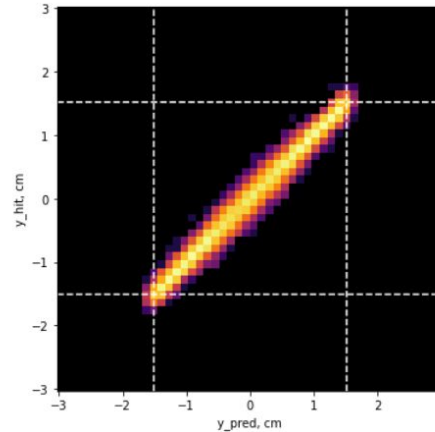
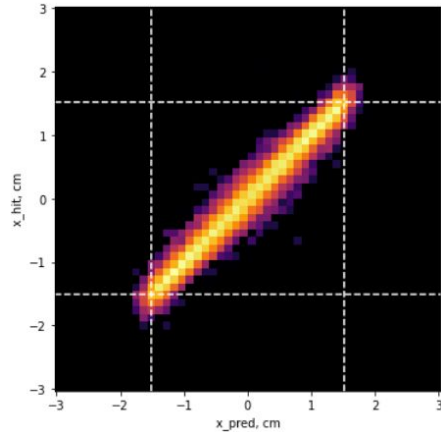
S-shape curves (downscope option)

Spacal Pb/PS
(3.03x3.03 cm² cells)

Barycenter
-based



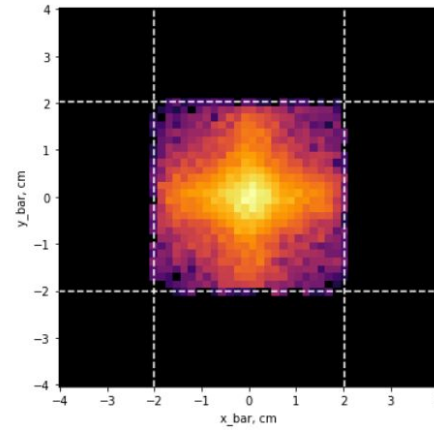
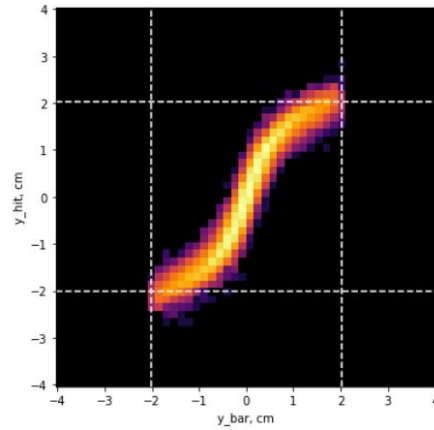
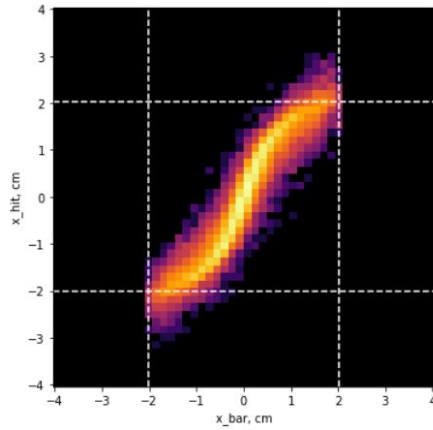
Predicted
by the
regressor



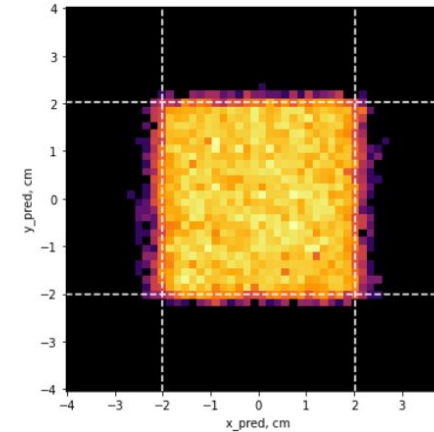
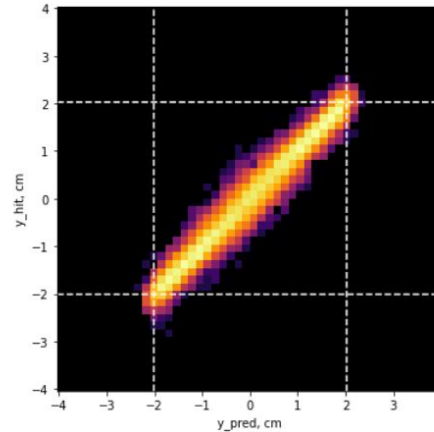
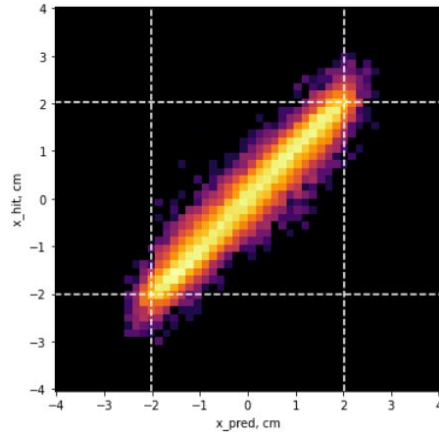
S-shape curves (downscope option)

Shashlik inner
(4.04x4.04 cm² cells)

Barycenter
-based



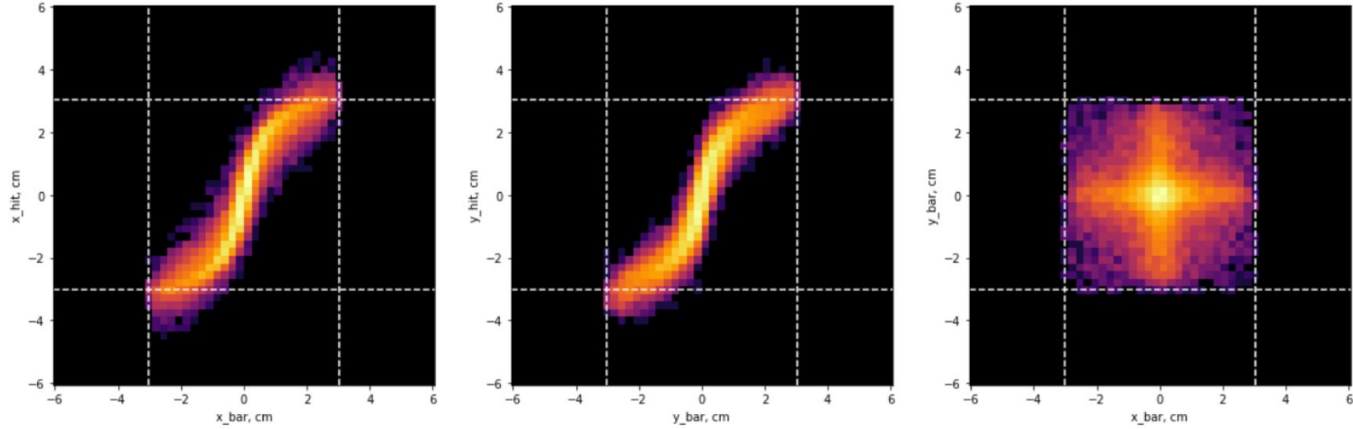
Predicted
by the
regressor



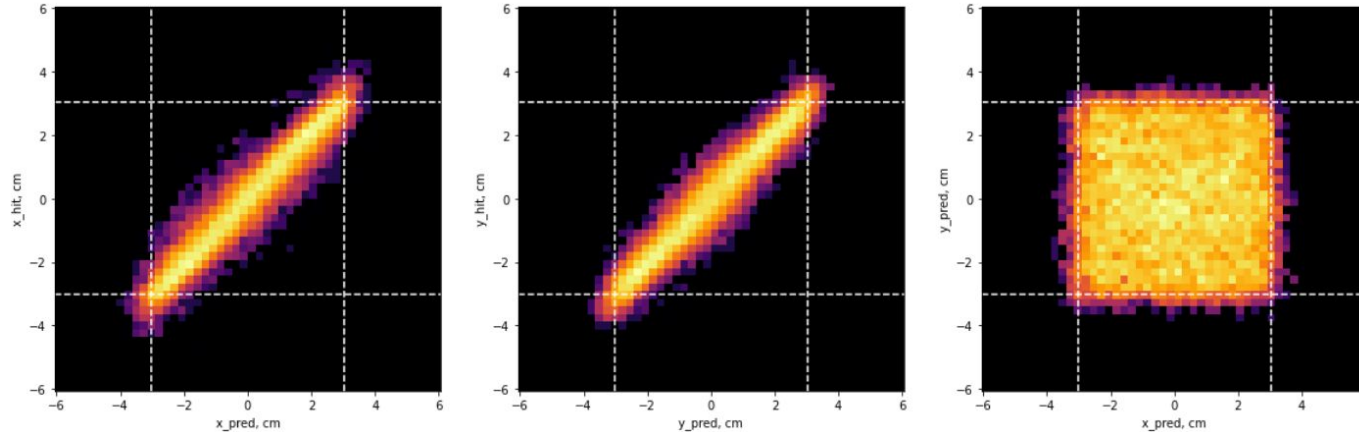
S-shape curves (downscope option)

Shashlik middle
(6.06x6.06 cm² cells)

Barycenter
-based



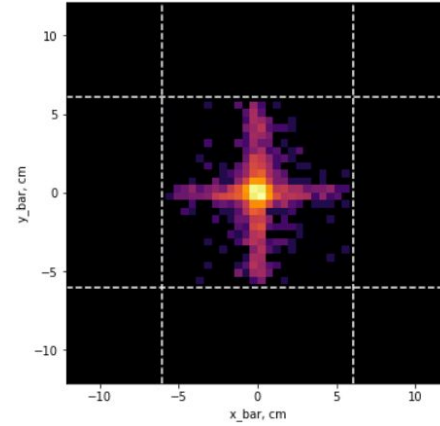
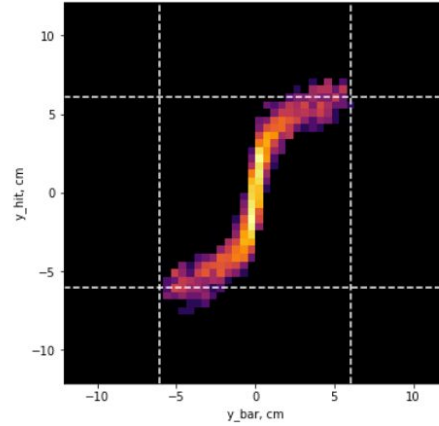
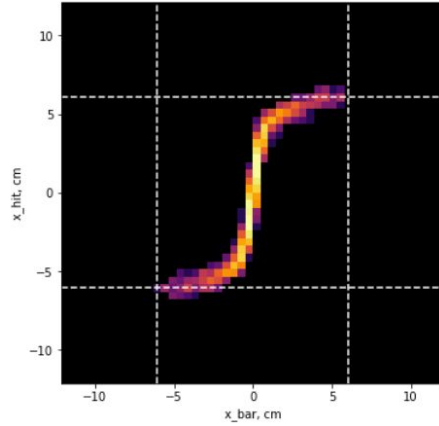
Predicted by the
regressor



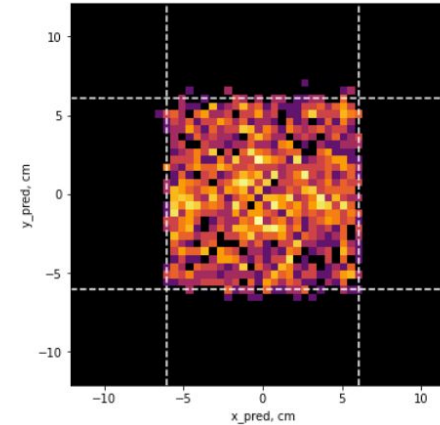
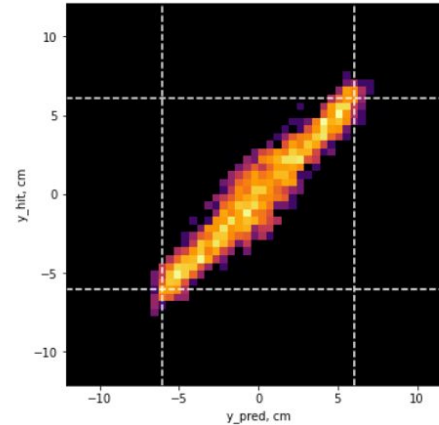
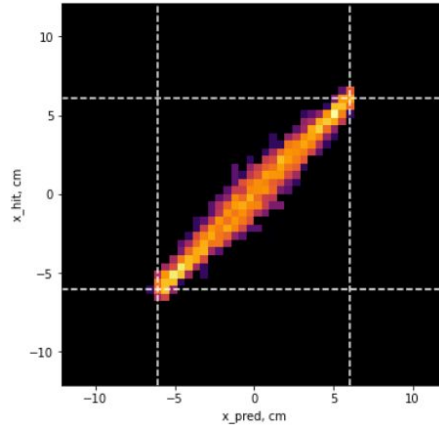
S-shape curves (downscope option)

Shashlik outer
(12.12x12.12 cm² cells)

Barycenter
-based



Predicted
by the
regressor



Regressors performance: Timing resolution

Features used:

- Energy and position reco:
 - Energy deposits are calibrated using [Log fitting](#)
 - Energy deposits of 25 cells around the seed cell (2*25 in case of long.-segmented modules)
 - Barycenter position
 - Sums, squared sums, rings, etc. of energy deposits
- Timing regressor:
 - All of the above + 25(50) timing cells

before:

energy deposits

0	1	2	3	4	
5	6	7	8	9	
10	11	12	13	14	
15	16	17	18	19	
20	21	22	23	24	

timing information

0	1	2	3	4	
5	6	7	8	9	
10	11	12	13	14	
15	16	17	18	19	
20	21	22	23	24	

now:

energy deposits

0	1	2	3	4	
5	6	7	8	9	
10	11	12	13	14	
15	16	17	18	19	
20	21	22	23	24	

timing information

0	1	2	3	4	
5	6	7	8	9	
10	11	12	13	14	
15	16	17	18	19	
20	21	22	23	24	

+

cell position info.

0	1	2	3	4	
5	6	7	8	9	
10	11	12	13	14	
15	16	17	18	19	
20	21	22	23	24	

Features used:

- All of the above (barycenter position is updated)
- 25 position cells