



# Emittance preservation and required tolerances *for a plasma-based linear collider*

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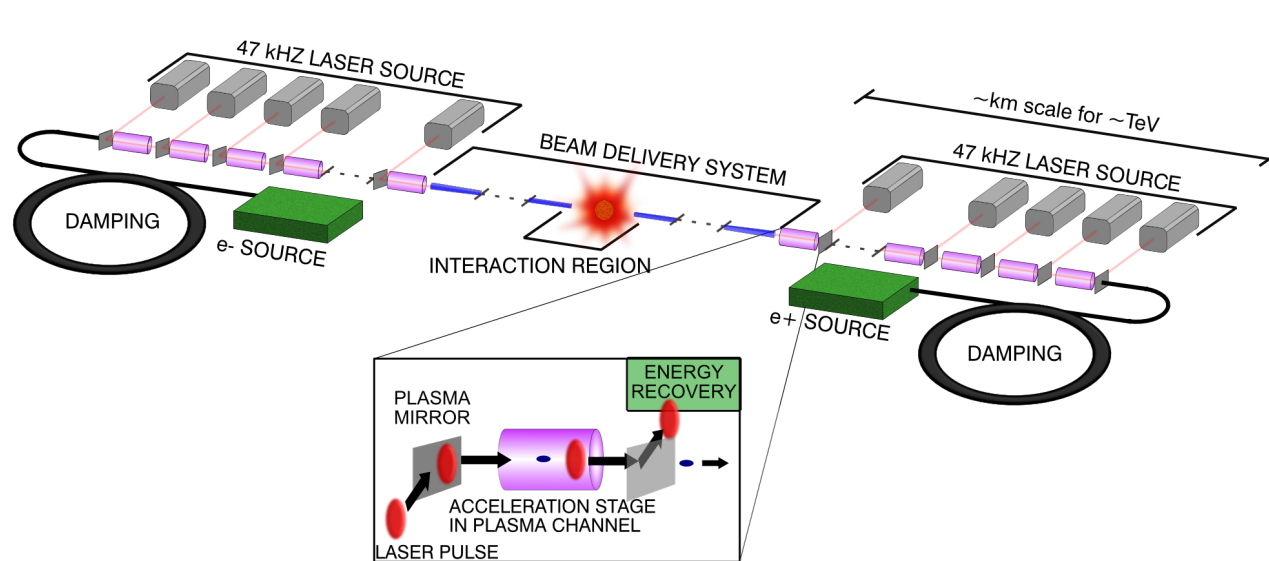
Based on

C. A. Lindstrøm & M. Thévenet

*Emittance preservation in advanced accelerators*

*JINST 17 P05016 (2022)*

# A plasma-based linear collider needs low emittance



Source: Benedetti et al., White paper (Snowmass 2021)

$$\mathcal{L} = H_D \frac{N^2 f}{4\pi\sigma_x^* \sigma_y^*}$$

Energy  $\mathcal{E} \approx 0.1 - 15$  TeV

Luminosity  $\mathcal{L} > 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

Emittance  $\epsilon_{x/y} \sim 10 - 100 \text{ nm}$

➤ Short beams, flat or round beams

W. Leemans and E. Esarey *Phys. Today* 62.3: 44-49 (2009)  
E. Adli et al. *arXiv:1308.1145* (2013)

# Emittance is a measure of the beam quality

Normalized emittance  $\epsilon \equiv \epsilon_n = \sqrt{\langle x^2 \rangle \langle u_x^2 \rangle - \langle xu_x \rangle^2}$

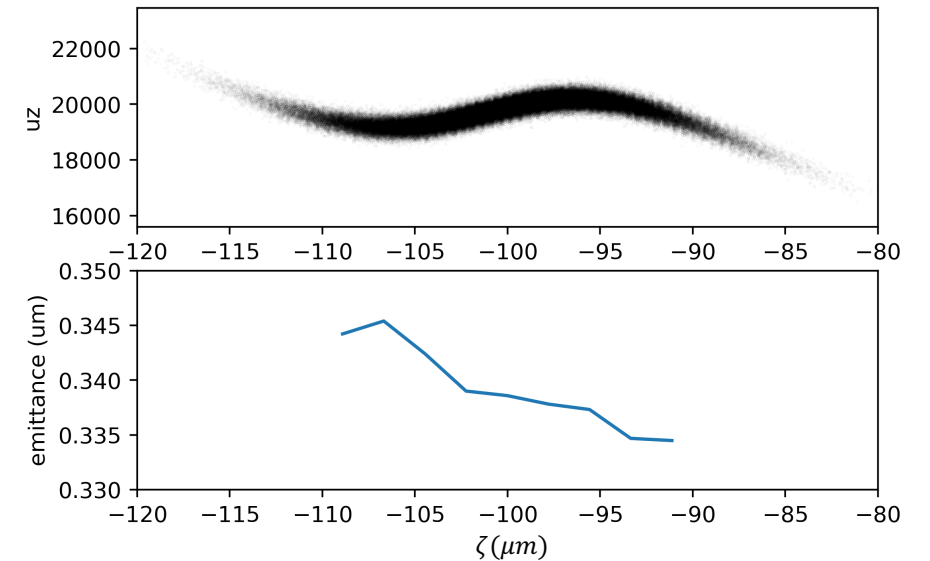
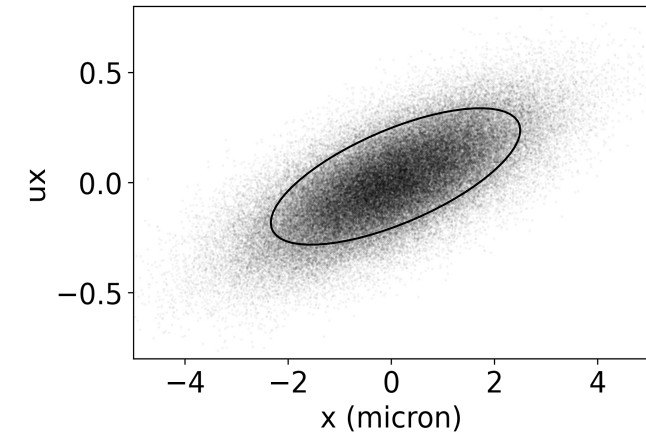
$$u_x = \frac{p_x}{m_e c}$$

Trace-space emittance  $\epsilon_{tr} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$

Low energy spread limit:  $\epsilon_n \simeq \gamma \epsilon_{tr}$

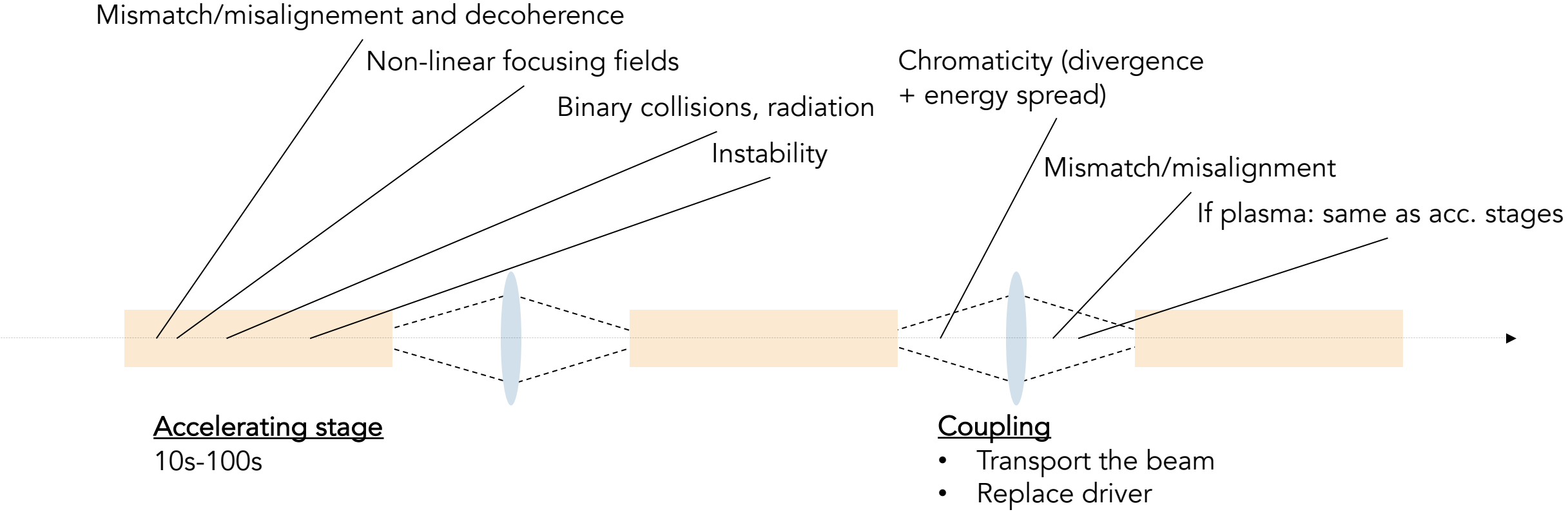
$$\beta = \frac{\langle x^2 \rangle}{\epsilon_{tr}} \simeq \gamma \frac{\langle x^2 \rangle}{\epsilon_n}$$

- Can be preserved in the presence of linear focusing fields
- Projected emittance & slice emittance



Floettmann PRSTAB 6, 034202 (2003)

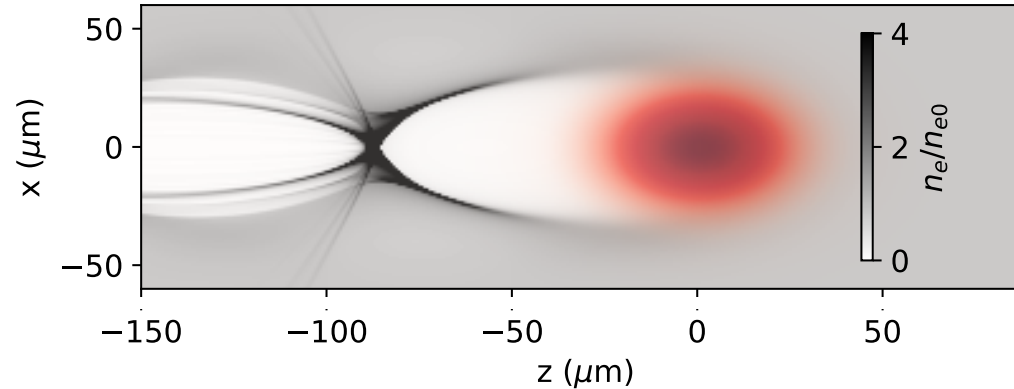
# Sources of emittance growth within a plasma-based collider





1. Energy spread and decoherence
2. Non-linear focusing fields
3. Hose instability
4. Additional sources

# Beam dynamics within a stage: betatron oscillations



## Blowout regime

Longitudinal: acceleration:  $E_0 = \frac{m_e \omega_p c}{e}$ ;  $\dot{\gamma} \simeq \omega_p$

Transverse: betatron oscillations  $\frac{d(\gamma m_e \dot{x})}{dt} = F_x$ . Full blowout, linear focusing force  $\rightarrow \omega_\beta = \frac{\omega_p}{\sqrt{2\gamma}}$

$\rightarrow$  Betatron frequency depends on particle's energy

$\rightarrow$  Betatron amplitude  $\propto \gamma^{-\frac{1}{4}}$

$\rightarrow$  Matching condition, beam envelope does not evolve:  $\beta_m = \frac{\sqrt{2\gamma}}{k_p}$  or  $\sigma_x = \left(\frac{2\epsilon^2}{\gamma k_p^2}\right)^{1/4}$  and  $\sigma_{u_x} = \left(\frac{k_p^2 \epsilon^2 \gamma}{2}\right)^{1/4}$

J. B. Rosenzweig et al. *PRA* 44.10 R6189 (1991)  
J. M. Dawson, *John Physical Review* 113.2: 383 (1959)

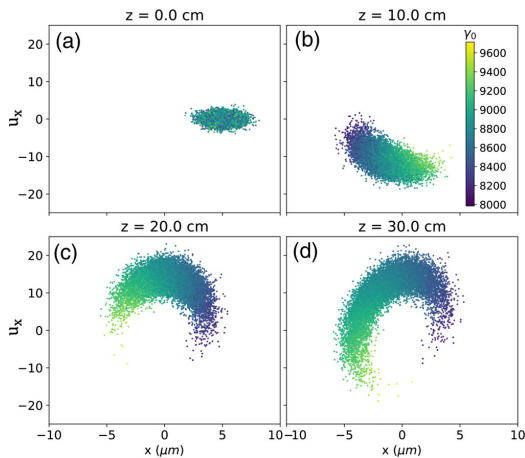
# Mismatch and misalignment result in emittance growth

Mismatch results in envelope oscillations

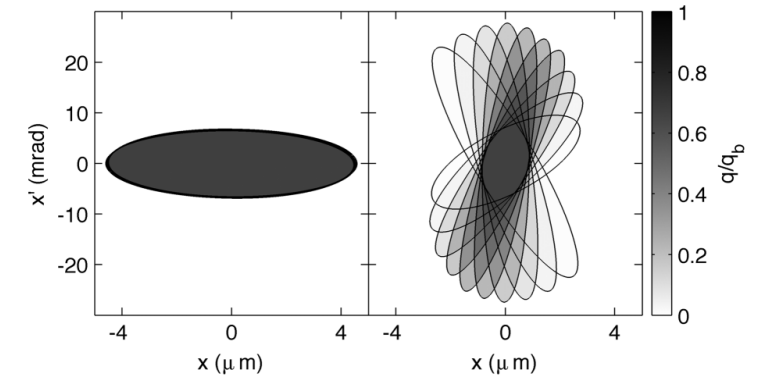
Different energy slices oscillate with different periods (decoherence)

This causes in (slice) emittance growth

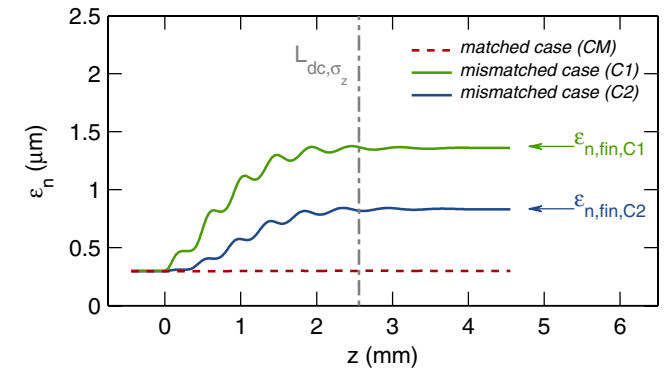
- Decoherence length  $L_{decoherence} = \frac{\lambda\beta}{\sigma_\gamma}$
- Saturated emittance  $\frac{\epsilon_{sat}}{\epsilon_{init}} = \frac{1}{2} \left( \mathcal{M} + \frac{1}{\mathcal{M}} \right)$   $\mathcal{M} = \frac{1}{2} \left( \tilde{\beta} + \tilde{\gamma} + \sqrt{(\tilde{\beta} + \tilde{\gamma})^2 - 4} \right)$



$$\Delta\epsilon_{misalign} \approx \frac{1}{2} \left( \frac{\Delta x^2}{\beta_m} + \beta_m \Delta x'^2 \right)$$



Source: [1]



[1] T. Mehrling et al. Phys. Rev. ST Accel. Beams 15 111303 (2012)  
 C. A.Lindstrøm et al., Proceedings of IPAC2016 (2016)  
 M. Thévenet et al., Phys. Rev. Accel. Beams 22: 051302 (2019)  
 S..Cheshkov, et al., PRSTAB 3: 071301 (2000)

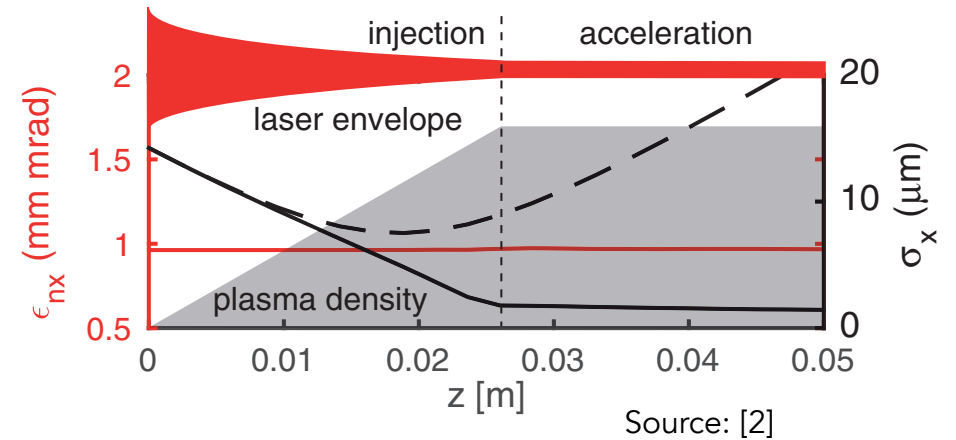
- First stages: full decoherence within 1 stage
- Later stages: (almost) no decoherence within 1 stage (but average emittance growth)
- 10 nm,  $\mu$ rad tolerances

# Adiabatic ramps mitigate emittance growth due to mismatch

- Adiabatic changes in focusing properties prevent emittance growth
- Ramp length  $\gg \lambda_\beta$
- Useful for entrance (upramp) or exit (downramp) of plasma stage

$$K(z) = \frac{K_0}{(1 + gz)^4}$$

- Ramp length unpractical for high-energy beams



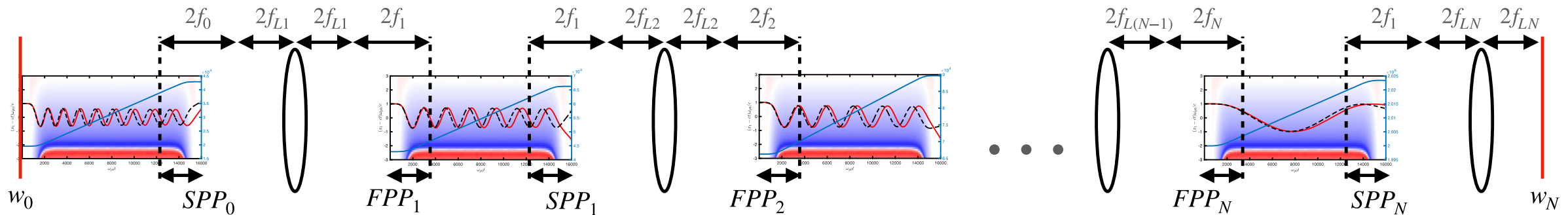
[1] K. Floettmann PRSTAB 17: 054402 (2014)  
[2] I. Dornmair et al., PRSTAB 18: 041302 (2015)



# Energy spread is a key factor in this source of emittance growth

Chromatic focusing and mismatch:

*“we find that for initial relative energy spreads below  $10^{-3}$ , energy-spread growth below  $10^{-5}$  of the energy gain per stage and normalized emittance below mm-mrad, the chromatic emittance growth can be minimal ”*



Source: A. G. R. Thomas & D. Seipt PRAB [24 104602 \(2021\)](#)

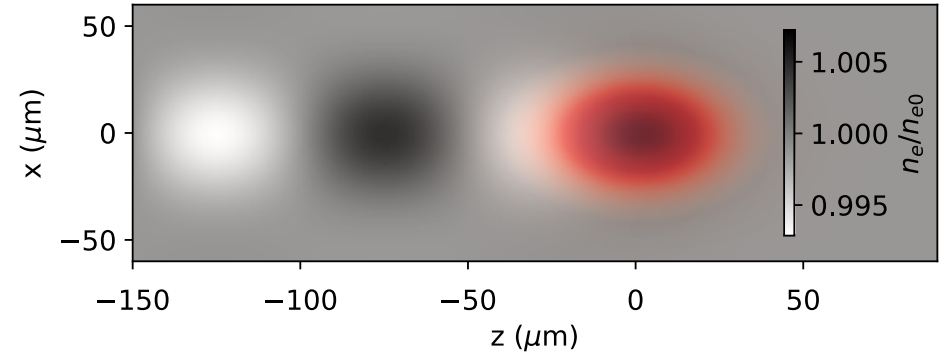
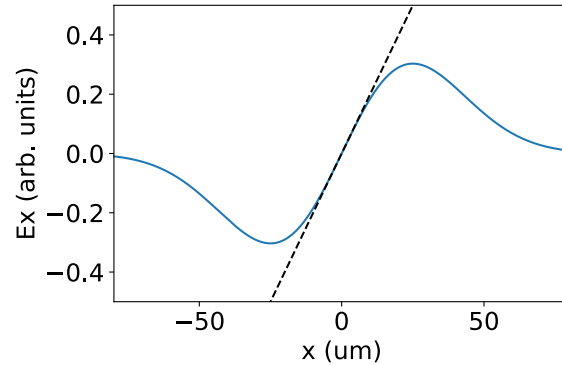


1. Energy spread and decoherence
2. Non-linear focusing fields
3. Hose instability
4. Additional sources

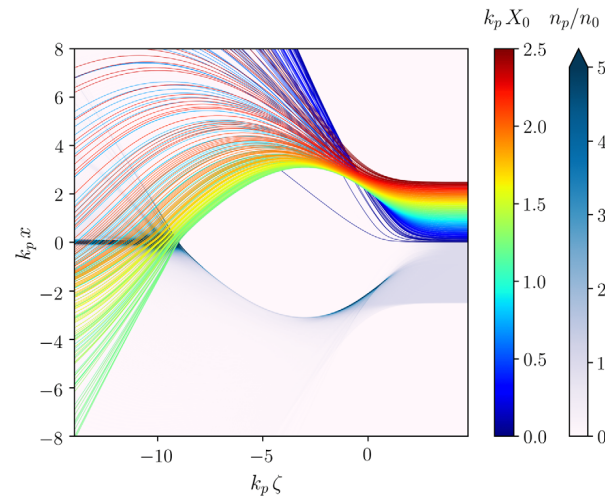
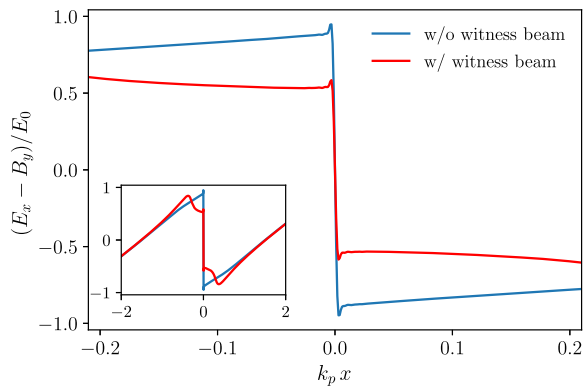
# Non-linear focusing fields can cause emittance growth

## 1/3: Non-linear plasma fields

### Case 1: linear regime



### Case 2: Positron acceleration



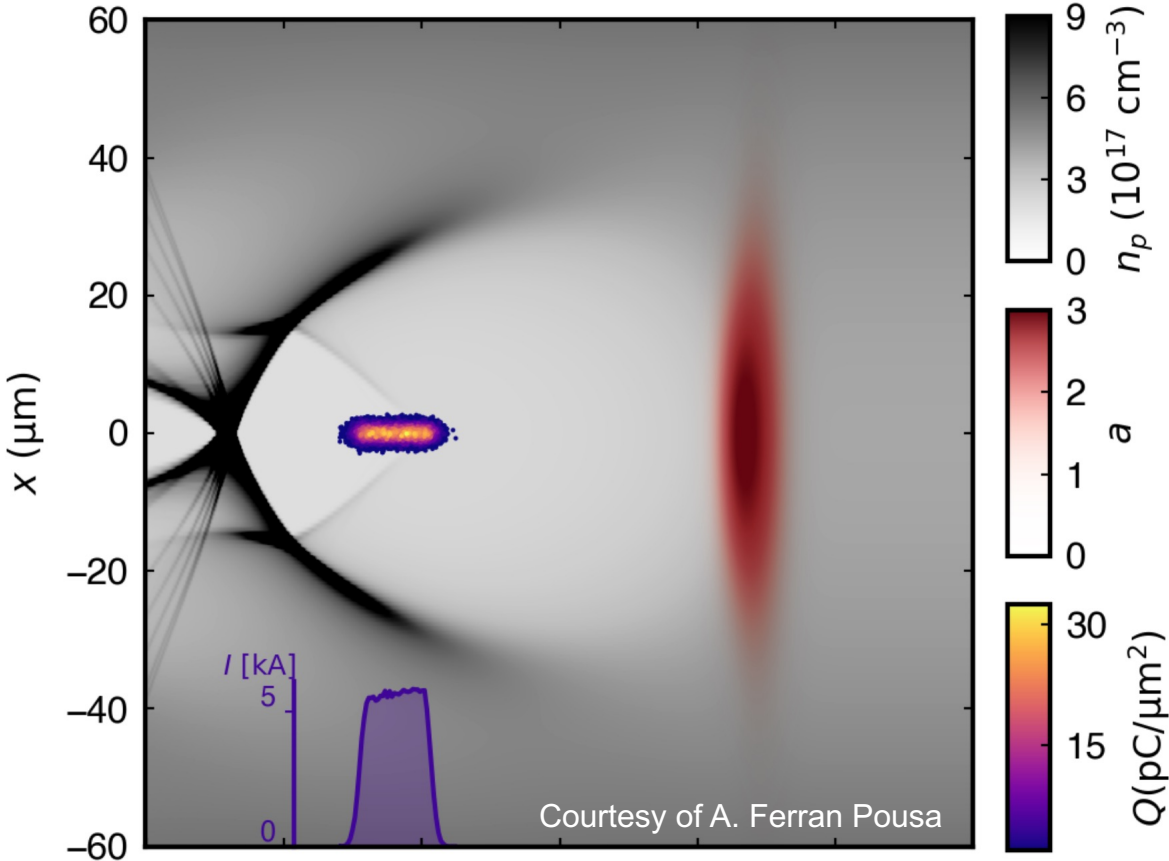
- ( $\zeta$ -dependent) Equilibrium is reached, at the price of emittance growth
- Starting from a (non-trivial) matched distribution, emittance can be preserved

Source: S. Diederichs PRAB 22, 081301 (2019)

# Non-linear focusing fields can cause emittance growth

## 2/3: Response of the plasma electrons: transverse beam loading

- Linear and non-linear (just not full blowout), plasma electrons can be present in the cavity
  - A high-density beam perturbs the electron density
  - $\zeta$ -dependent and non-linear focusing field
- This picture evolves during propagation



# Non-linear focusing fields can cause emittance growth

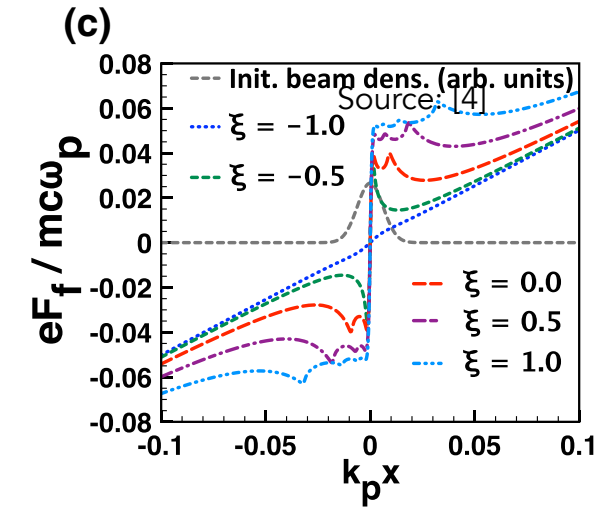
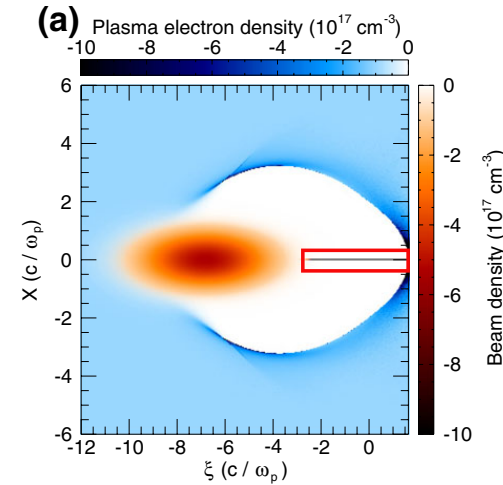
## 3/3: Response of the plasma ion: ion motion

- Strong beams perturb ions, which create an ion density spike [1].
- Non-linear, leading to  $\zeta$ -dependent emittance growth
- Mitigated with heavier ions
- Bound to happen as  $\sigma_x \propto \gamma^{-1/4}$

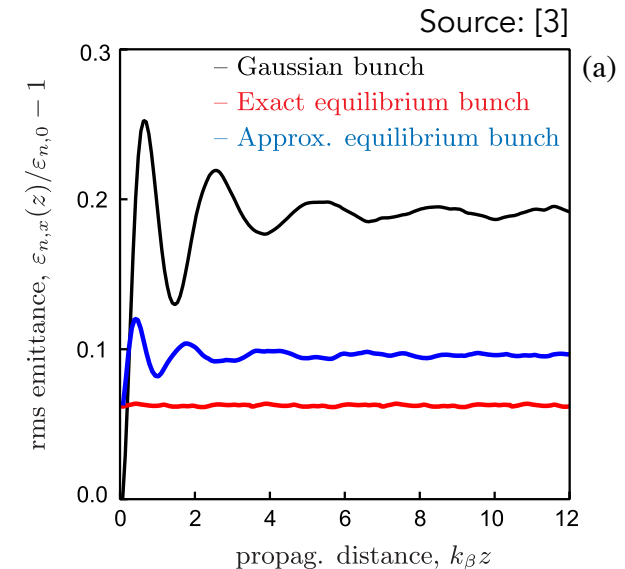
Fortunately

- A matched beam will see no emittance growth [4]
- Such a beam can be created with adiabatic matching [5]

- Advanced beam profiles (transverse and longitudinal) can preserve emittance growth
- Such profiles can be achieved & preserved with adiabatic process (modest emittance cost)
- Can these beams be transported? Does this constraint stage design?



$$\Lambda = Z_i \frac{m}{M_i} \frac{I_b}{I_A} \frac{L_b^2}{\sigma_x^2} \cong 1$$



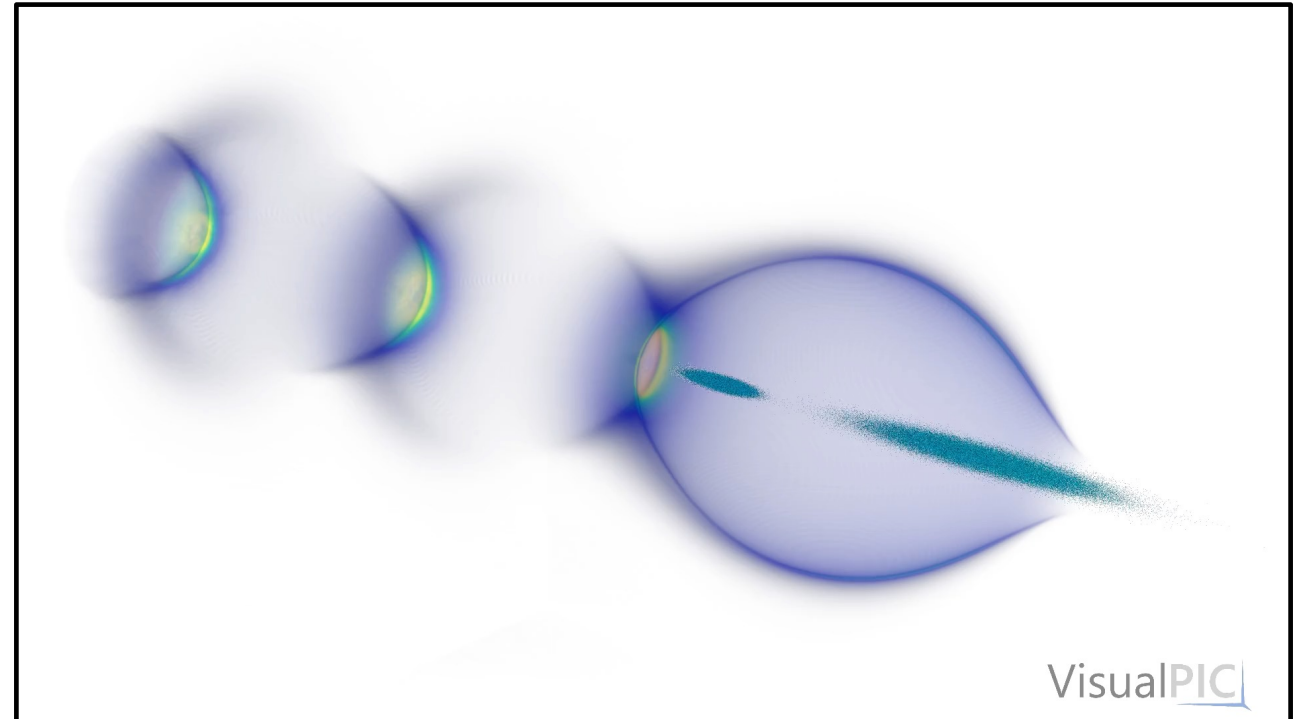
- [1] J. B. Rosenzweig et al., PRL 95 195002 (2005)
- [2] R. Golizadeh PRL 104, 155001 (2010)
- [3] C. Benedetti et al., PRAB 20, 111301 (2017)
- [4] W. An et al., PRL 118 244801 (2017)
- [5] C. Benedetti et al., Phys. Plasmas 28, 053102 (2021)



1. Energy spread and decoherence
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# Hose instability can lead to catastrophic emittance growth

- Coupled transverse oscillations of beam centroid and wake centroid
- In particular for the driver
- Originally thought dramatic [1,2]
- Similar to beam breakup instability [3]



[1] D.H. Whittum, et al., PRL 67 991 (1991)

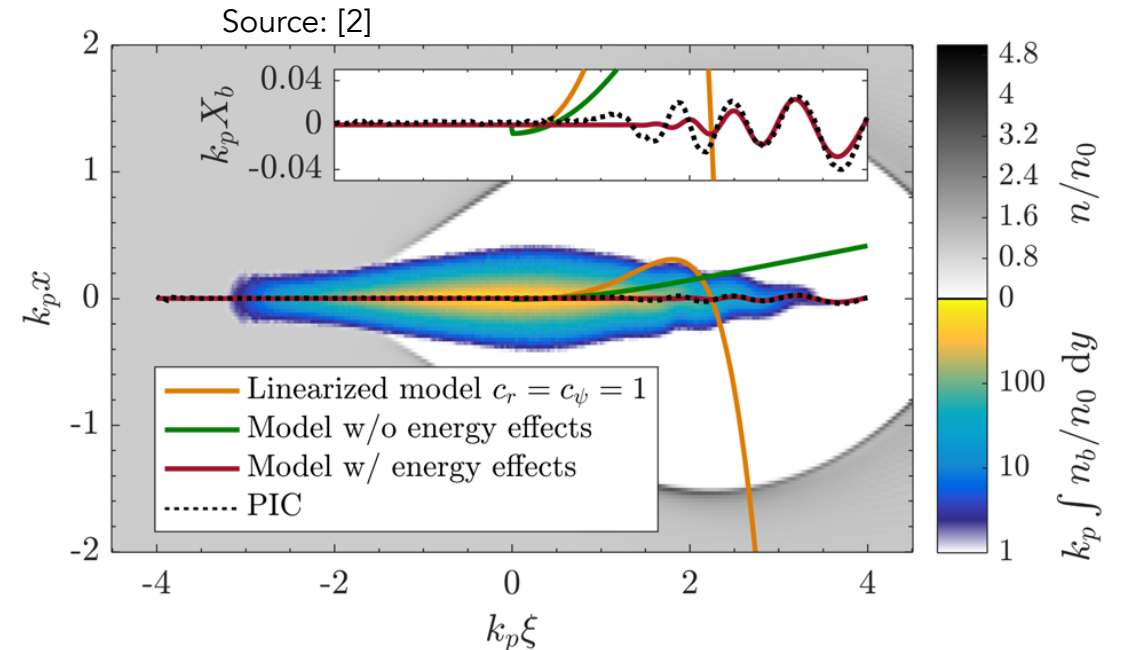
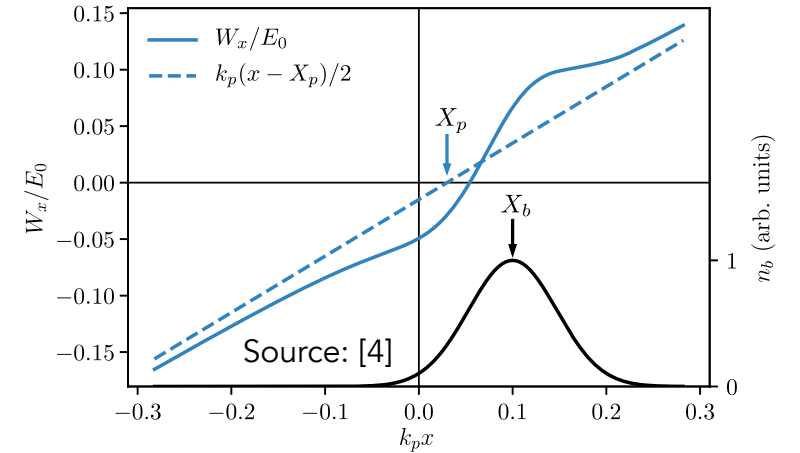
[2] C. Huang et al., PRL 99 255001 (2007)

[3] W. K. H. Panofsky and M. Bander RSI 39 206 (1968)

# Hose instability mitigation through BNS damping

$$\omega_\beta = f(\zeta)$$

- [1]: linear regime: saturates as  $F_x = f(\zeta)$  due to transverse beam loading
- [2]: blowout regime: saturates as  $\gamma = f(\zeta)$  due to strong chirp (driver)
- [3]: Large beam driver for  $F_x = f(\zeta)$
- [4]: ion motion suppresses it



- V. E. Balakin et al., Proceedings of HEACC1983, 119–120 (1983)
- [1] R. Lehe et al., PRL 119 (2017)
- [2] T. J. Mehrling et al., PRL 118 174801 (2017)
- [3] A. Martinez de la Ossa et al. PRL 121 064803 (2018)
- [4] T. J. Mehrling PRL 121: 264802 (2018)





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# Other sources/sinks of emittance within accelerator stage

## Coulomb scattering

- Increases beam divergence as

$$\frac{d\langle\theta^2\rangle}{ds} = \frac{k_p^2 r_e}{\gamma^2} \left[ Z_i^2 \ln\left(\frac{\lambda}{R_a}\right) + 1.78Z(Z+1) \ln\left(\frac{287}{\sqrt{Z}}\right) \right],$$

- And related emittance growth (matched beam)

$$\frac{d\epsilon_n}{ds} = \frac{\beta_x}{2} \frac{d\langle\theta^2\rangle}{ds} \gamma,$$

- Does not depend on matched density
- In practice, prevents the use of high-Z species
- Mitigation: hollow core plasma channels

## Radiation cooling

- Particles with larger betatron amplitude lose more energy to synchrotron radiation
- Classical radiation reaction

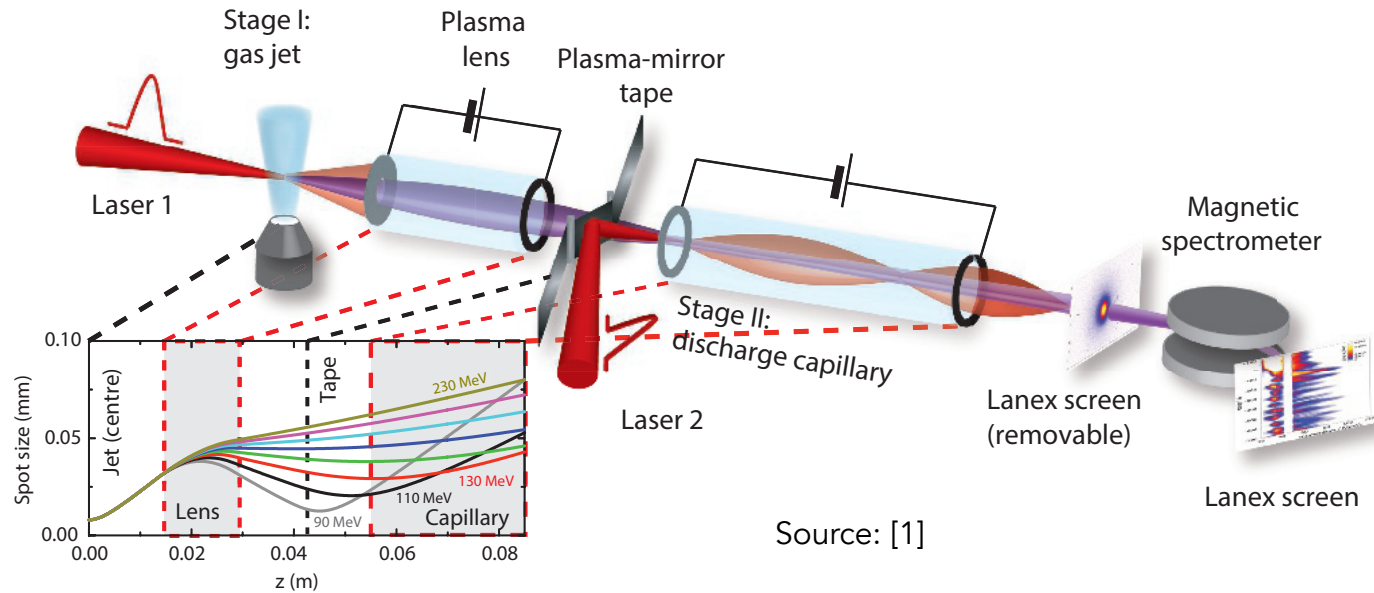
$$\ddot{x} + \tau_R c^2 K^2 \dot{x} + K^2 c^2 x / \gamma = 0$$

→ Growth in energy spread

$$\frac{\sigma_\gamma}{\gamma} \propto n_e \gamma^{\frac{3}{2}} \epsilon$$

B.W. Montague Proceedings of CAS-ECFA-INFN pp. 208–218 (1984)  
C.B. Schroeder et al., JINST 17 P05011 (2022)  
C. B. Schroeder et al., PRSTAB 13, 101301 (2010)  
P. Michel et al., PRE 74, 026501 (2006)

# Inter-stage coupling is also a source of emittance growth



- Relatively large divergence and large energy spread beams
- Sources of emittance growth during coupling:
  - Drift space + energy spread
  - Chromatic focusing  $\frac{\Delta(\epsilon^2)}{\epsilon_{\text{init}}^2} = \frac{4L^2}{\beta_m^2} \sigma_\delta^2$
  - For plasma-based focusing optics: all of the above
  - Mismatch in the following stage
- Mitigated with advanced beam optics

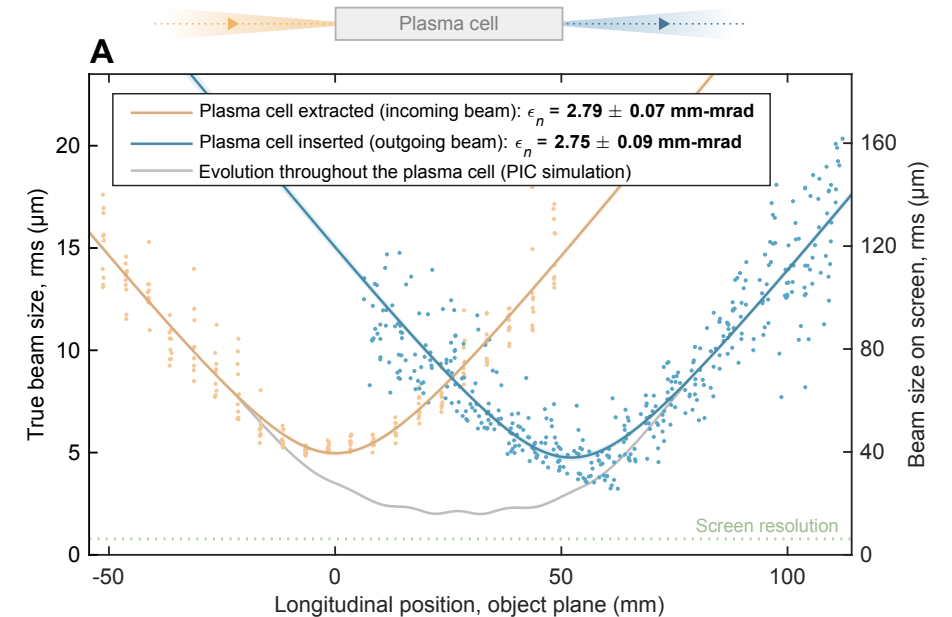
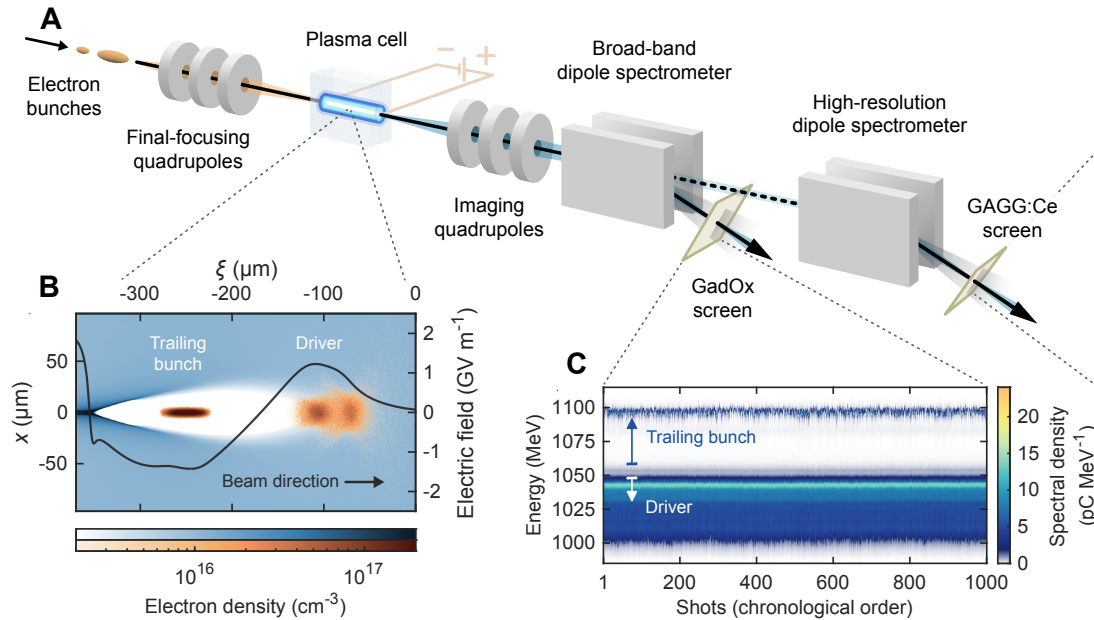
[1] S. Steinke et al., Nature 530 190 (2016)

[2] M. Migliorati et al. PRSTAB 16 011302 (2013)

# Encouraging: recent demonstration of emittance preservation

Material provided by Carl A. Lindstrøm, Univ. Oslo

Experiment at [FLASHForward](#) ▶



Experimental setup: 1 GeV beam driver with 400 pC charge  
50 mm plasma cell: peak density  $\sim 1.2 \times 10^{16} \text{ cm}^{-3}$  (with ramps)

Stable operating point: 40 MeV energy gain, 22% transfer efficiency  
(1.4 GV/m estimated peak field)

Preservation of: **Charge** (40 pC), in 41% of shots  
**Energy spread** (0.12% FWHM or lower), in 62% of shots  
**Emittance** in x direction

Lindstrøm, Carl Andreas, et al. "Preservation of beam quality in a plasma-wakefield accelerator." (2022).

# Conclusion

- Various effects cause emittance growth, but mitigation methods exist
  - Misalignment/mismatch, non-linear focusing fields, Coulomb collisions, coupling
  - Preliminary studies suggest tolerances on the order of 10 nm and 1 μrad (can be relaxed, e.g. plasma shaping)
- Energy spread can also lead to emittance growth via phase mixing
  - Sources of energy spread must also be monitored
  - Preliminary studies suggest tolerances on the order of  $10^{-3}$  (initial) and  $10^{-5}$  (per stage)
- Recent results show encouraging results
  - Hose instability can be mitigated, with a potential cost on emittance/shaping
  - Emittance preservation in a full plasma stage was demonstrated
- Interplay between different effects would need to be scrutinized
  - Understanding and mitigation methods for separate sources of emittance growth
  - Coupling & transversely tailored profiled; coupling and longitudinally-tailored profile; ...

Thank you for your attention