

The FoCal project

Sami Räsänen^{1,2} for the ALICE FoCal Collaboration

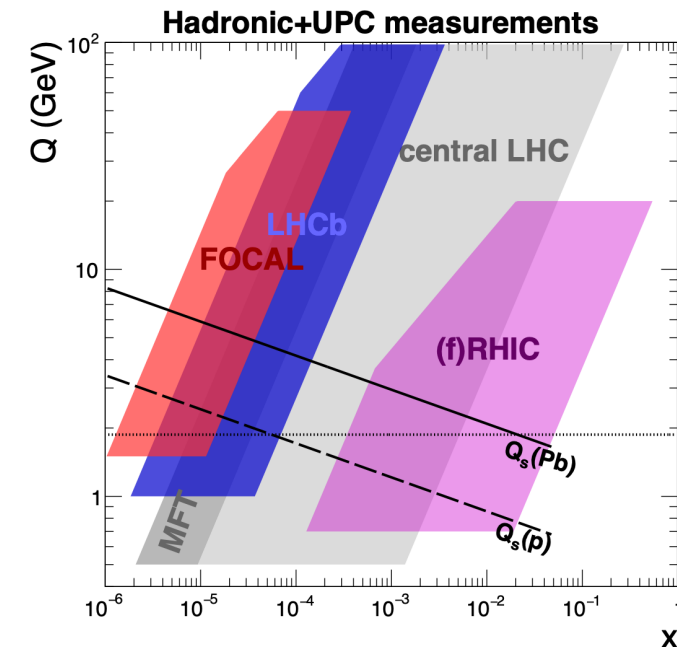
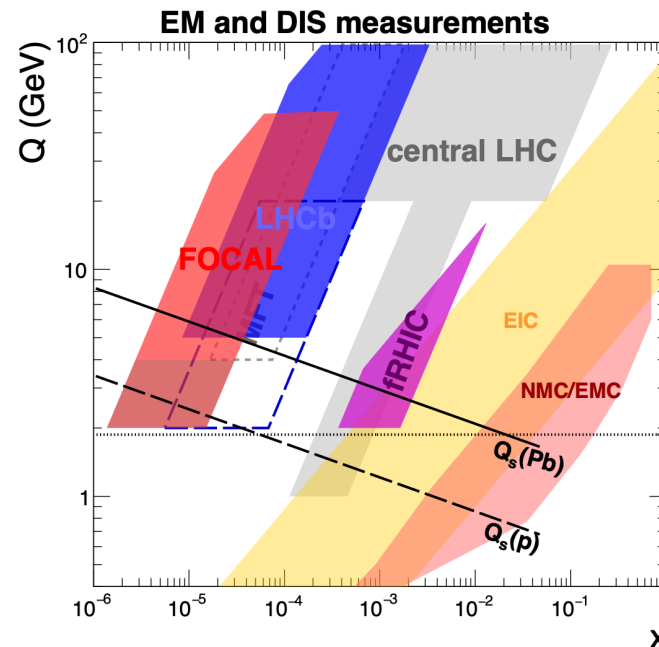
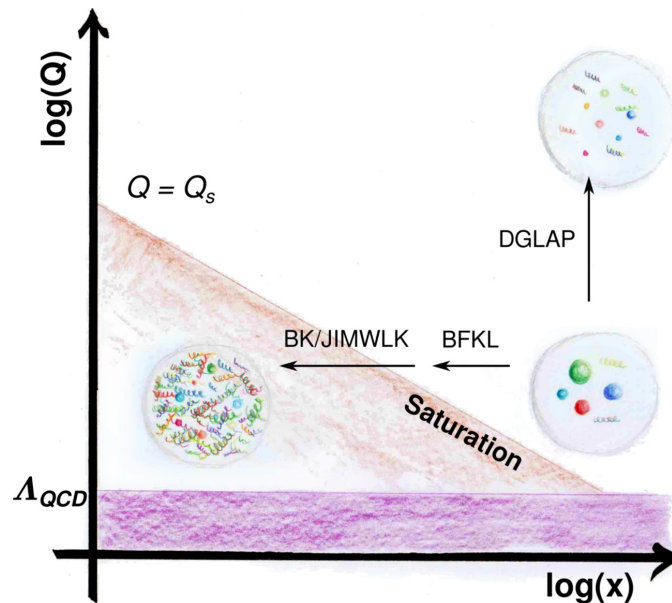
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LHC Forward Physics meeting
24-25 Oct 2022, CERN

Pursue towards low- x – kinematics of FoCal



Two main motivations:

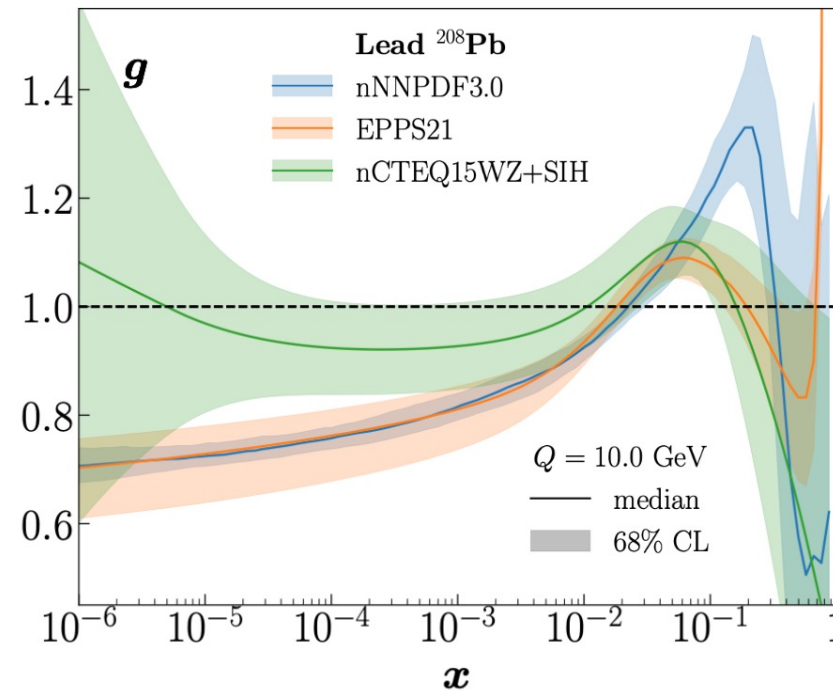
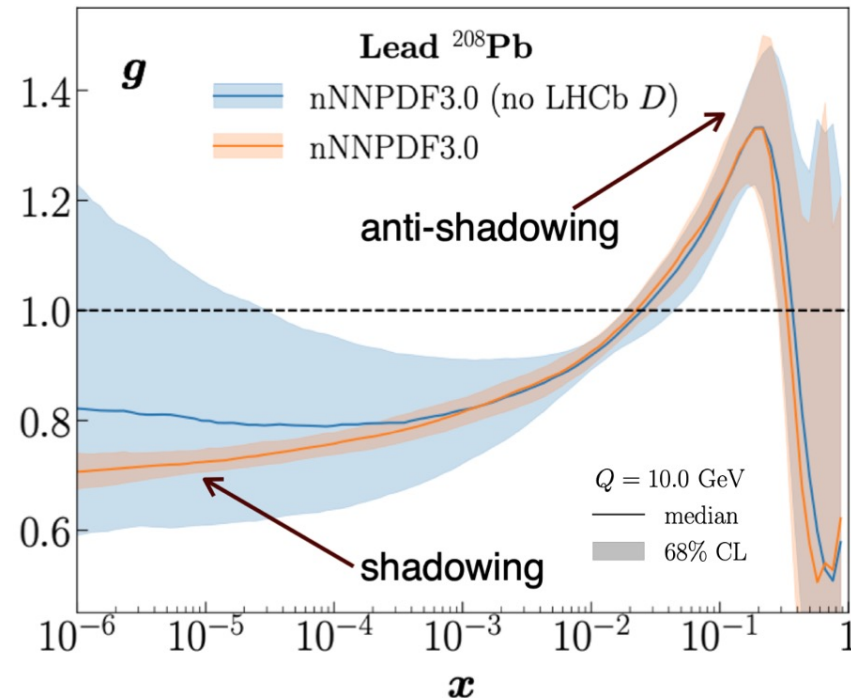
- Study nucleons and nuclei at unprecedentedly low Bjorken x
- Probe gluon saturated matter with a wide set of experimental observables

Saturation is not “on-off” phenomenon → To observe onset, need:

- many observables and large phase-space coverage in (x, Q^2)
- “calibrate” models in regions where they would be expected to agree
- search observables and regions in phase space where differences

Latest nuclear parton distribution functions, nPDF's

[nNNPDF3.0, EPJ.C82 \(2022\) 6, 507](#) ; [EPPS21, EPJ.C82 \(2022\) 5, 413](#)



New data included to global analysis:

- nNNPDF3.0: isolated photons (ATLAS), dijets (CMS), w/w-o D-mesons (LHCb)
- EPPS21: dijets (CMS) and D-mesons (LHCb)
 - D-meson data gives significant constraints to small- x

Overview of FoCal physics program

1. Nuclear modification of the gluon density at small- x

- isolated photons in pp and pPb collisions

2. Non-linear QCD evolution

- measurements of forward azimuthal correlations;
 $(\pi^0, \gamma^{\text{isol}}, \text{jet})_{\text{trigg}} \times (\pi^0, \text{jet})_{\text{assoc}}$
- Quarkonia in UPC

3. Long-range flow-like correlations

- azimuthal correlations using FoCal and central ALICE or muon arm

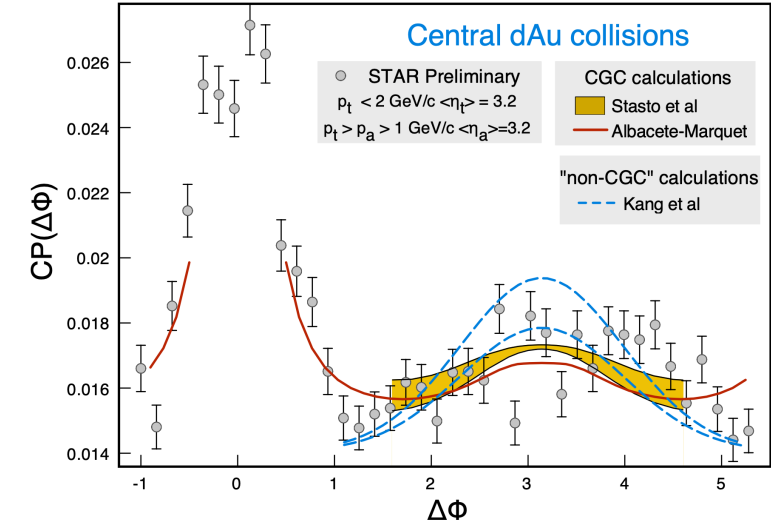
4. Jet quenching at forward rapidities

- high- p_T neutral pion in PbPb

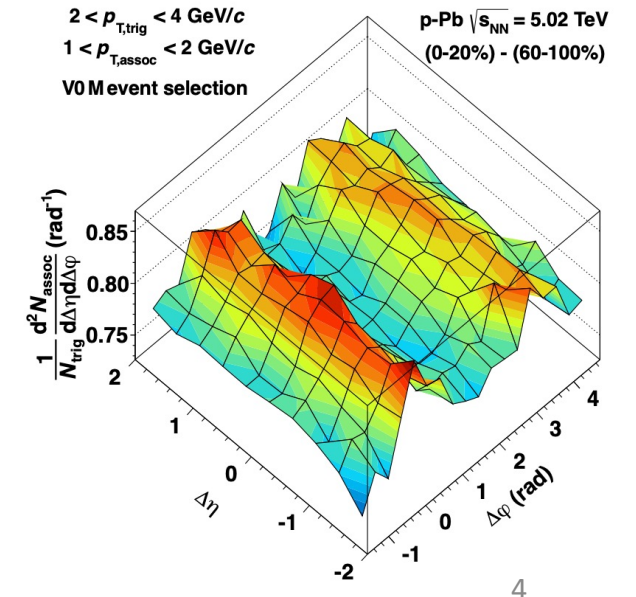
5. More measurements being studied for the TDR

- Photon and pion HBT
- Weak bosons in pp/pPb
- direct/isolated photons in PbPb

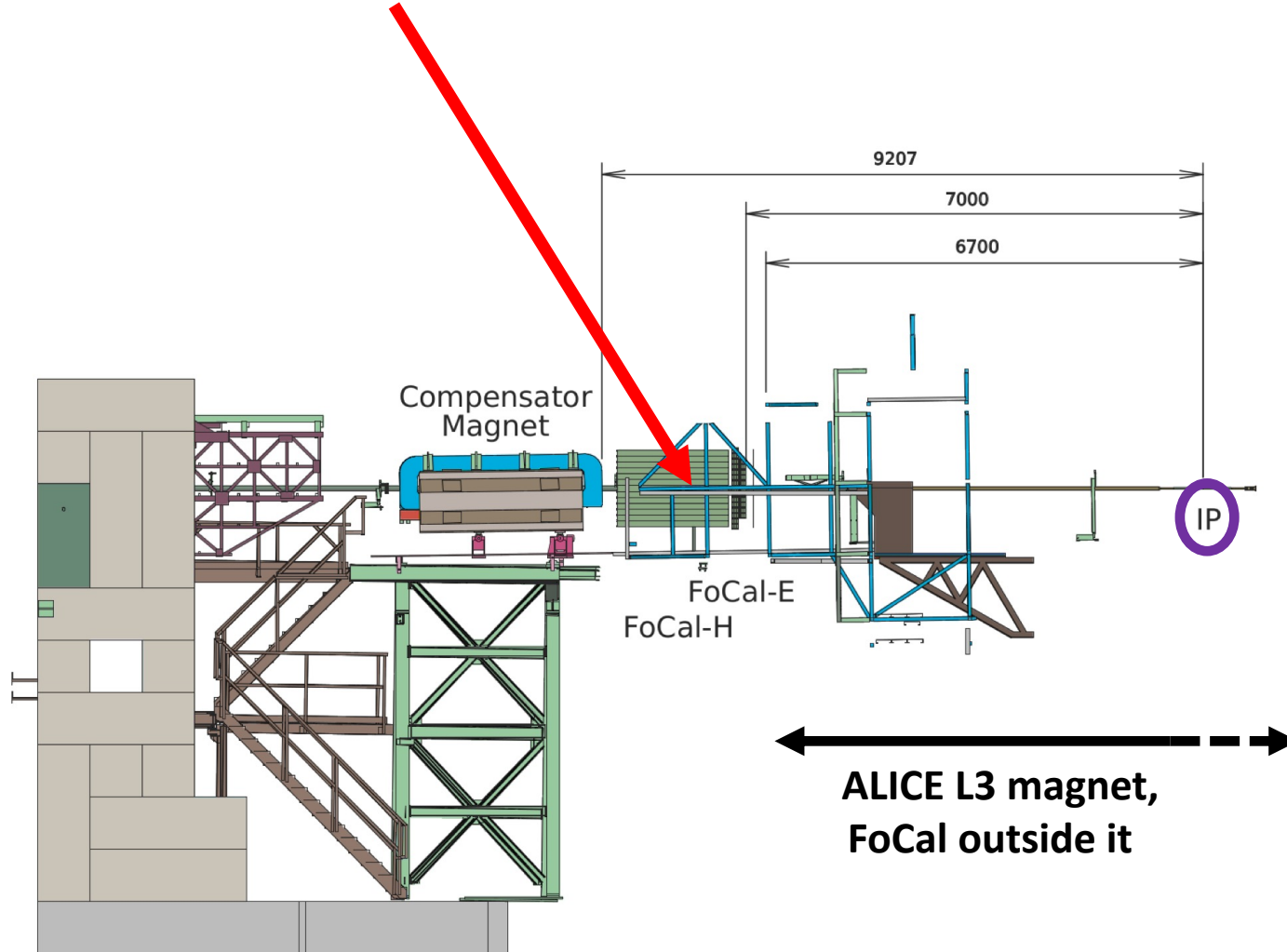
2. non-linear QCD



3. long-range correlations



Forward Calorimeter (FoCal) in ALICE



FoCal [Letter of Intent \(LoI\)](#)

Constraints for design:

- distance to interaction point 7 m, limited by the compensator magnet
- available longitudinal space ~ 130 cm
- physics program requires both EM and hadronic calorimeters:

Electromagnetic calorimeter FoCal – E

- Compact, length ~ 20 cm

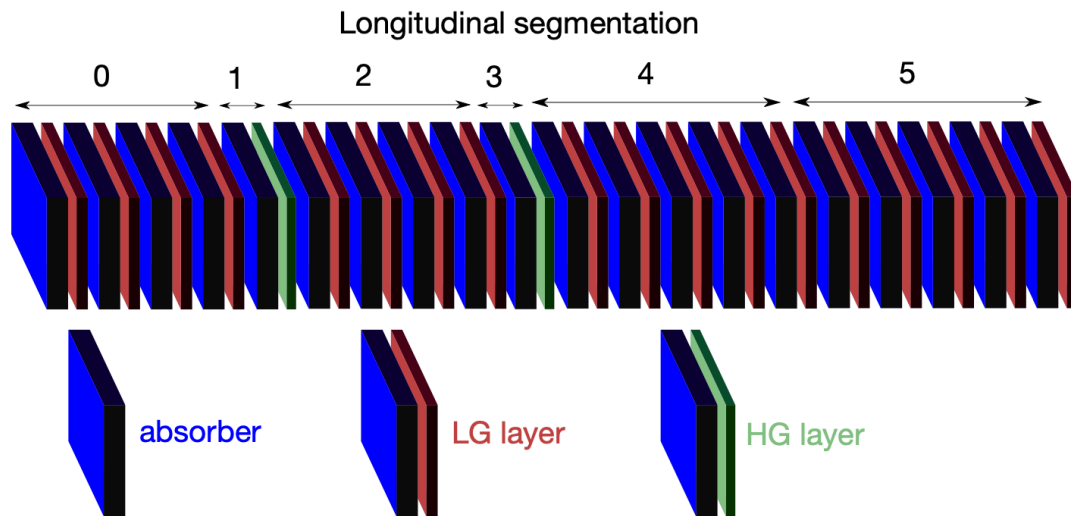
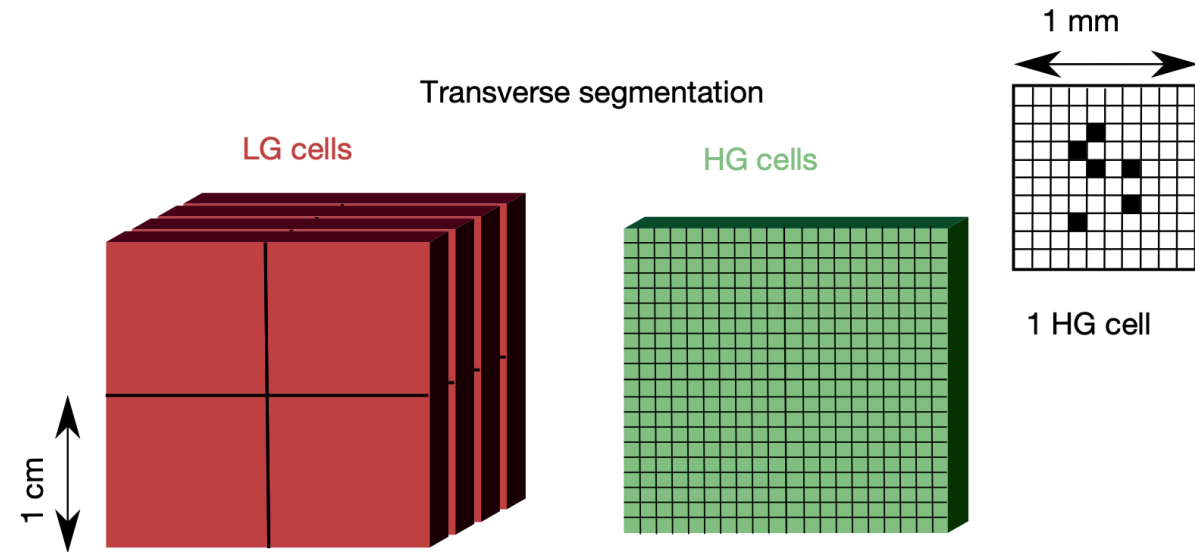
Hadronic calorimeter FoCal – H

- Length ~ 110 cm

Geometric acceptance

$$3.4 < \eta < 5.8$$

Electromagnetic Si+W sampling calorimeter, FoCal – E



Design targets:

- Small Molière radius \rightarrow tungsten
- Shower separation \rightarrow high-granularity
- Reduce costs and amount of data
 \rightarrow low granularity

20 x 3.5 mm thick tungsten layers, each $\sim 1 X_0$

2 x high-granularity layers:

- CMOS pixels, size 30 x 30 μm
- Two-shower separation, position resolution

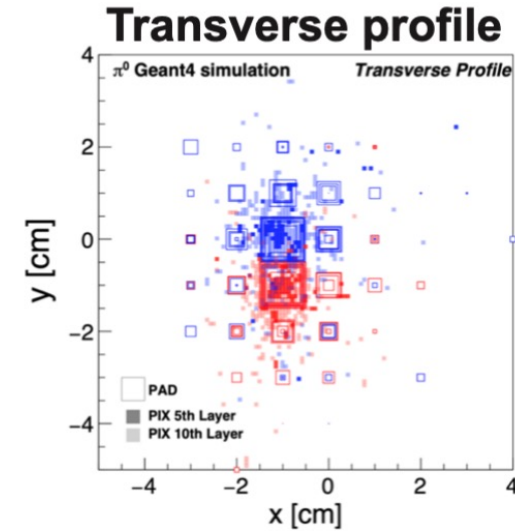
18 x low granularity layers

- PAD sensors, size 1 x 1 cm
- Energy measurement, timing

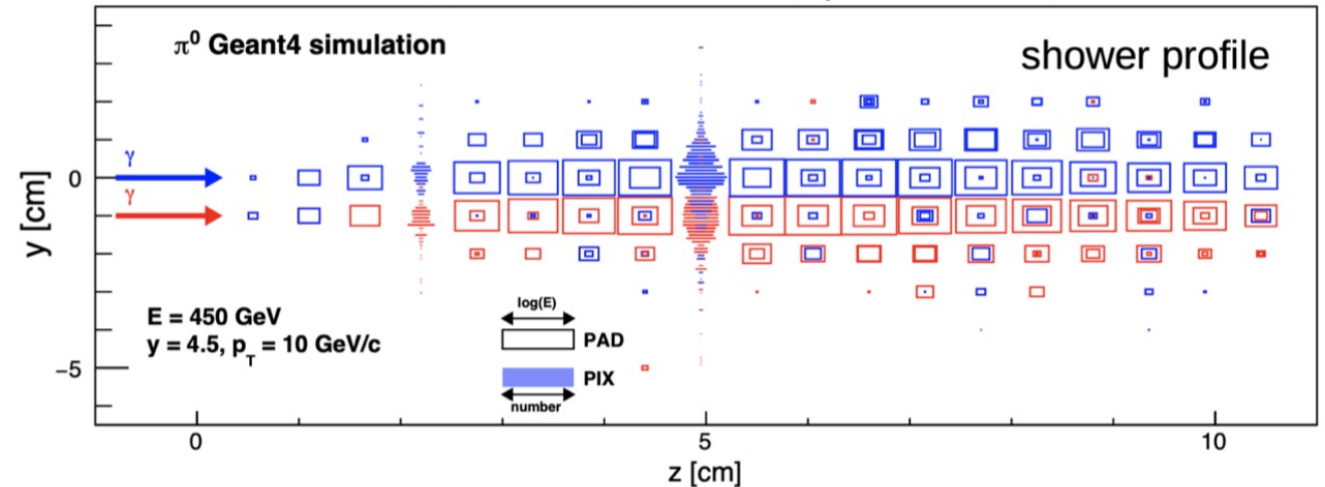
FoCal – E : shower separation with high-granularity layers



FoCal-E prototype, SPS test beam Sep 2022



Longitudinal profile (2 γ showers)



In-coming decay photon $\Delta_{\gamma\gamma} = 1$ cm

Hadronic spaghetti calorimeter, FoCal – H

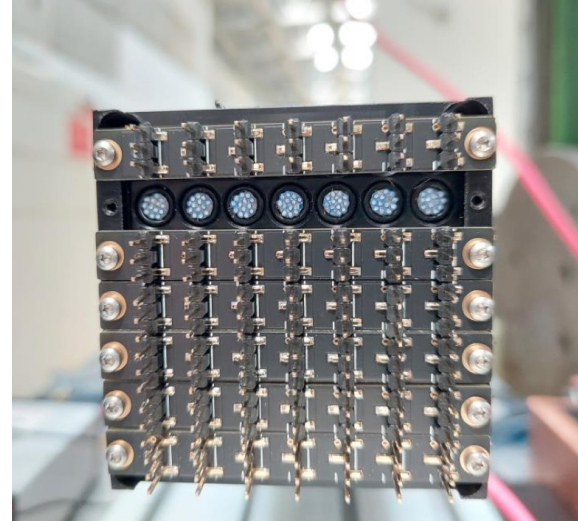


FoCal – H prototype, SPS test beam Sep 2022
9 x (19.5 x 19.5 x 110 cm³)

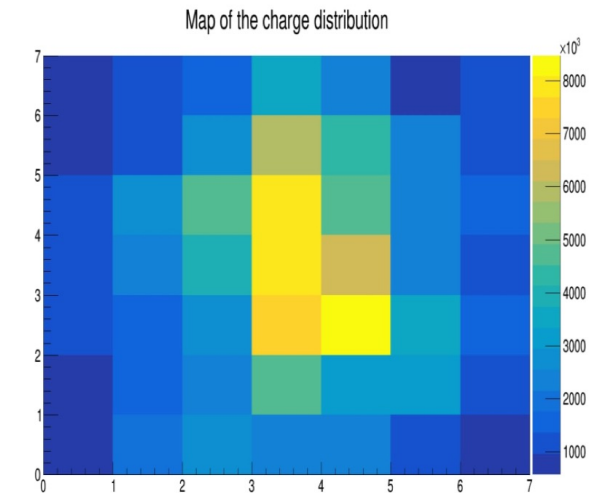
Copper capillary tubes, length 110 cm $\sim 7 \lambda_0$
(Length limited by space before compensator magnet)

1 mm scintillating fibres inside 2.5 mm Cu tubes

Bundle fibres and readout with SiPM



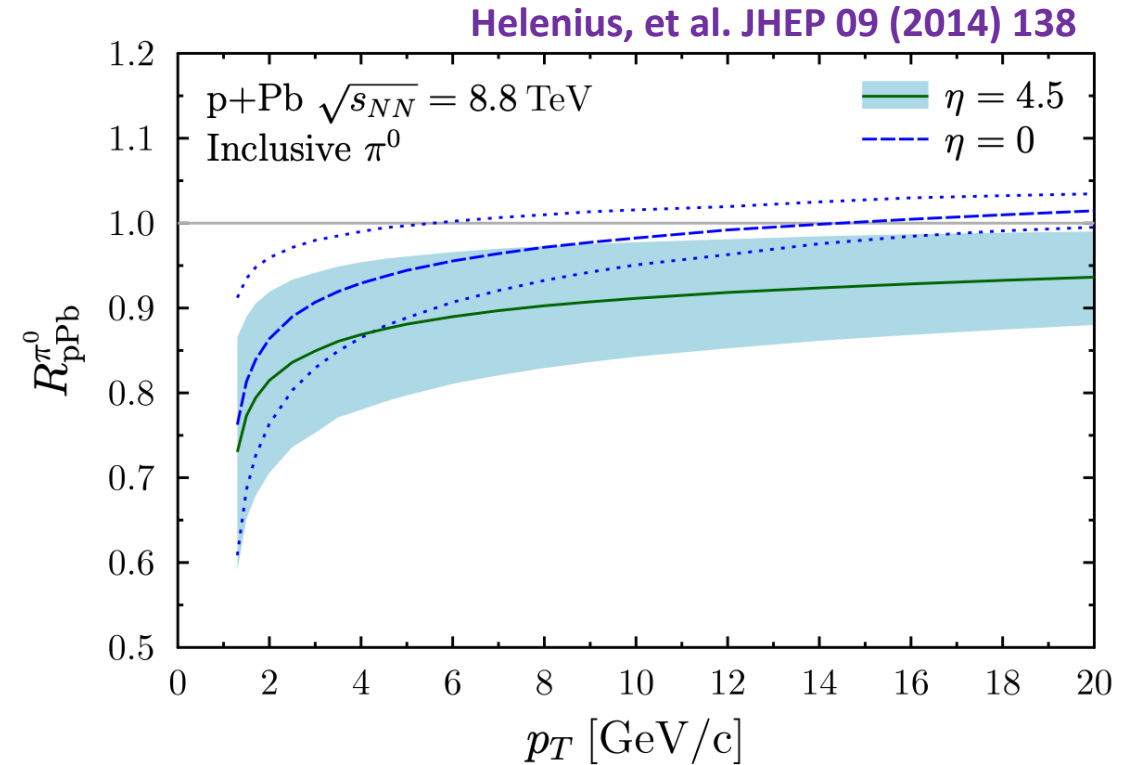
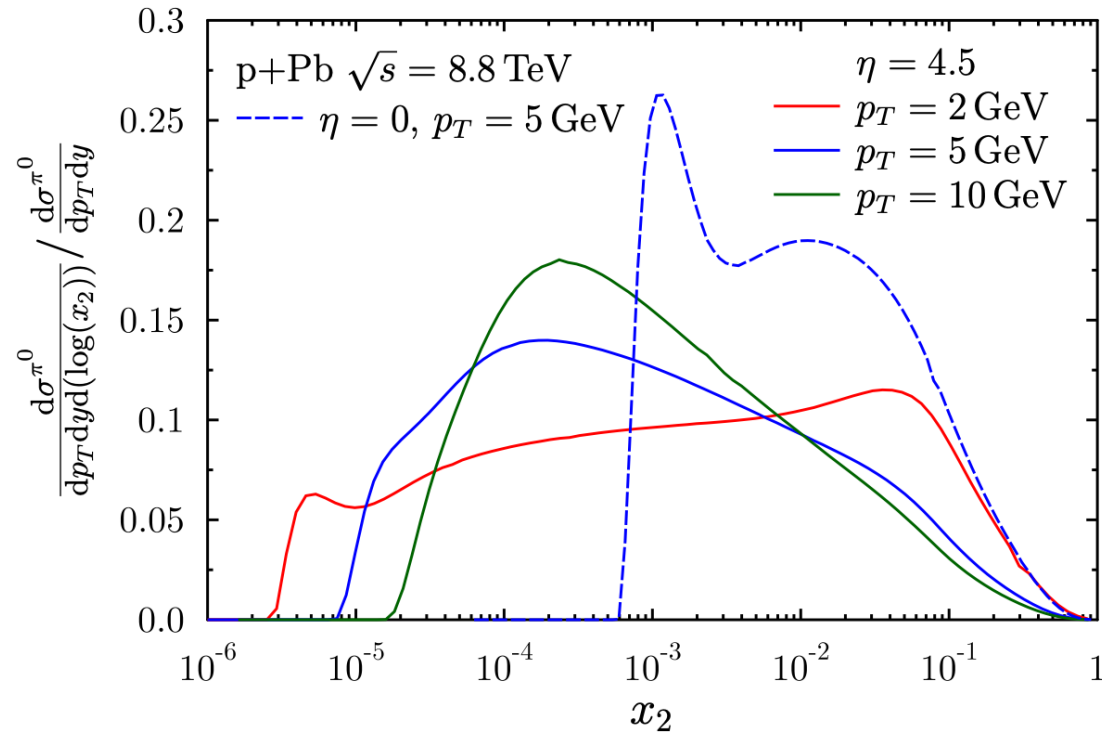
Smaller prototype, PS test beam Jun 2021



Some case studies for FoCal performance in proposed measurements

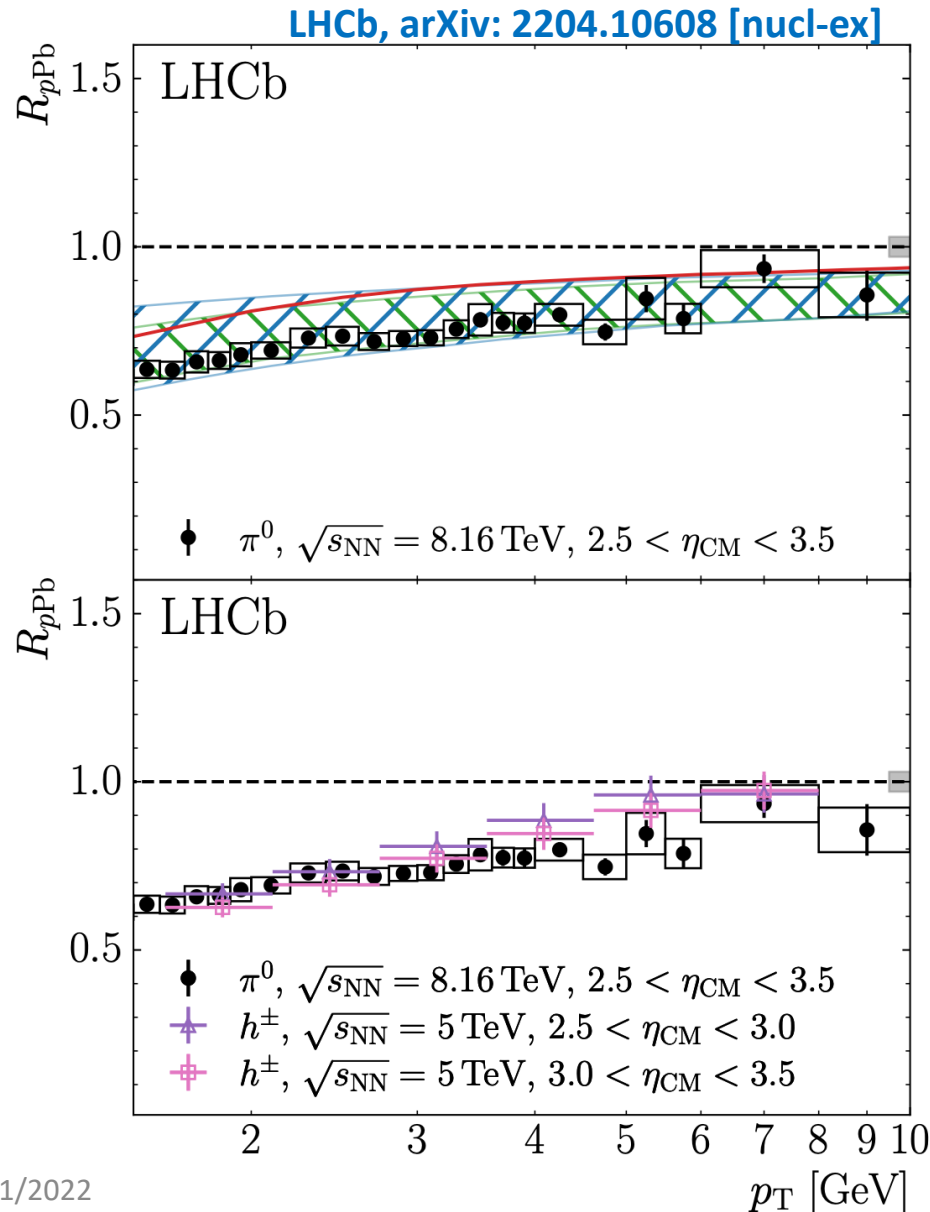
Case 1 : neutral pions in FoCal

Inclusive neutral pion R_{pPb} – nPDF's + pQCD



- Compared to mid-rapidity (dotted), forward π^0 's probe smaller x
- Stronger shadowing in forward as compared to mid-rapidity
- However, the x -distributions for pions are wide

Inclusive neutral pion R_{pPb} – LHCb measurement



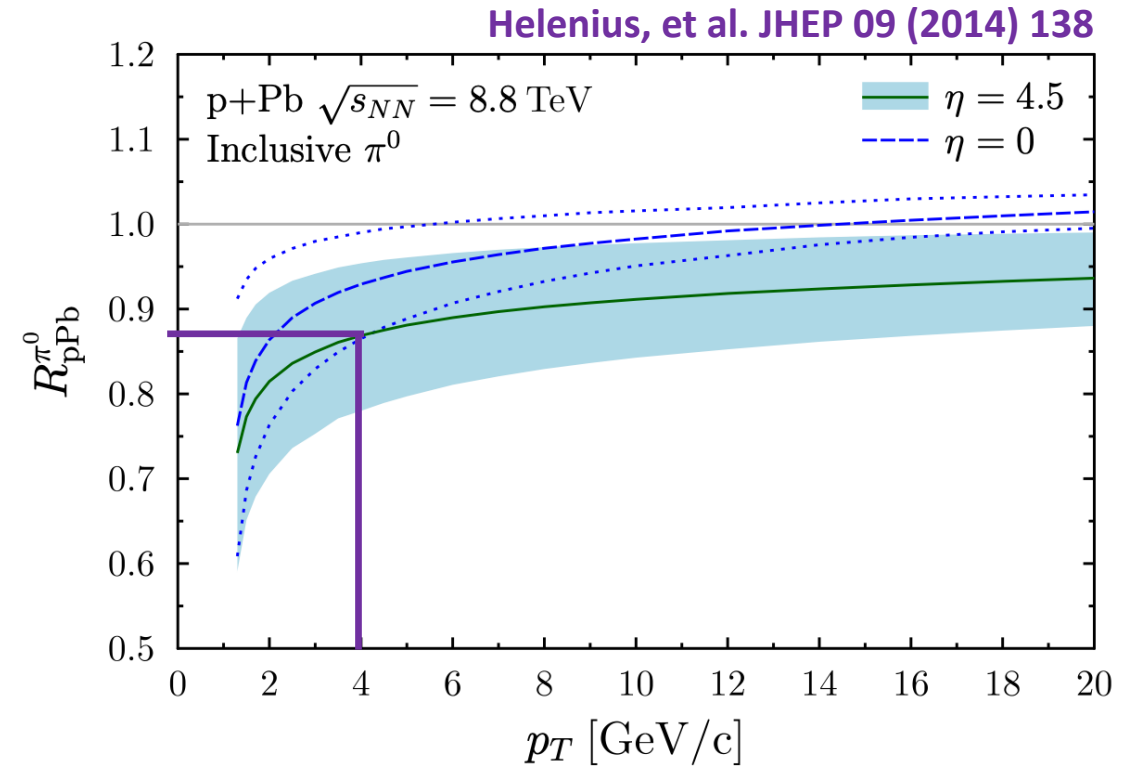
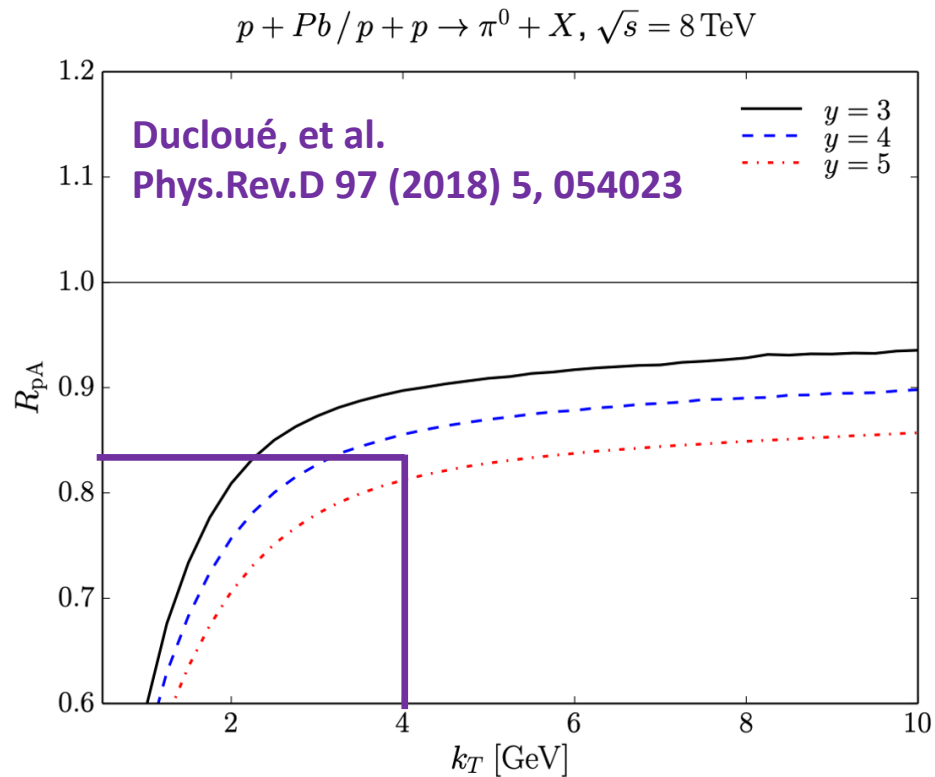
New LHCb measurement for forward π^0 ,

Neutral pions compatible with charged hadrons

Suppression clear, lower limits in theory

FoCal goes still more forward!
+ independent measurement

Inclusive neutral pion R_{pPb} – saturation vs nPDF's + pQCD

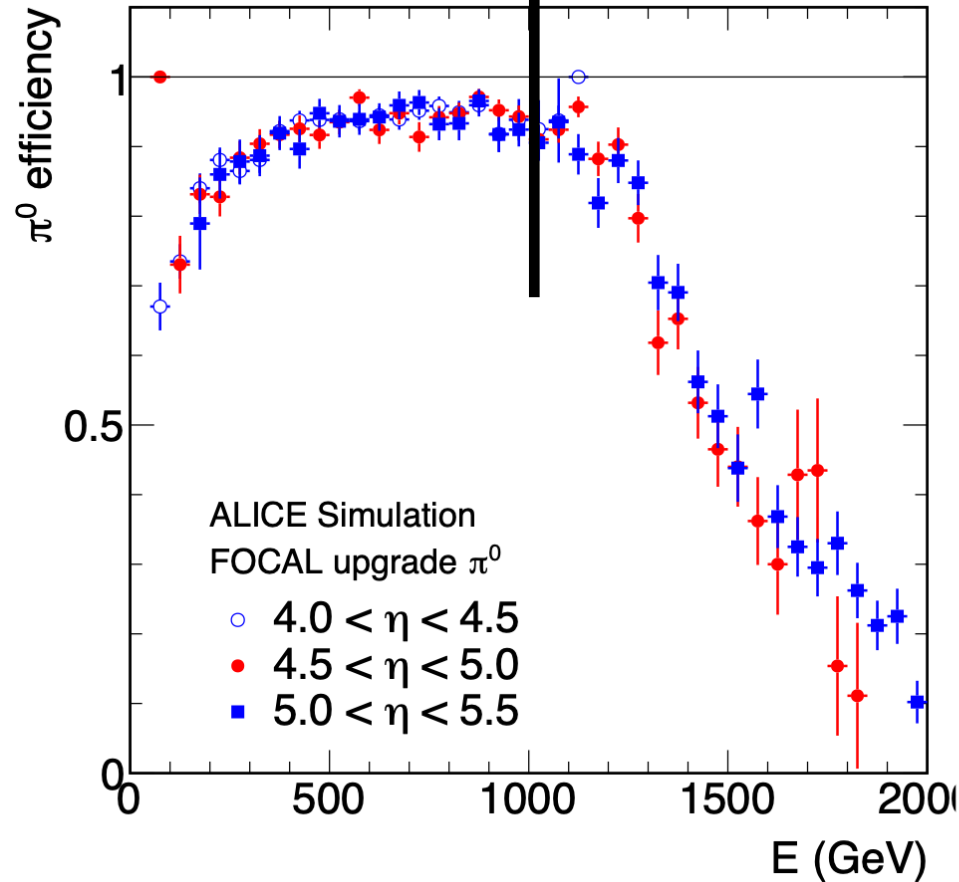


- Both calculations expect suppression of yield, around 10-20%
- Difference within model uncertainties (?). Is p_T dependence different?
- Gives a baseline: models agree with inclusive
 → as much as possible, fix freedom in models and search differences with other observables

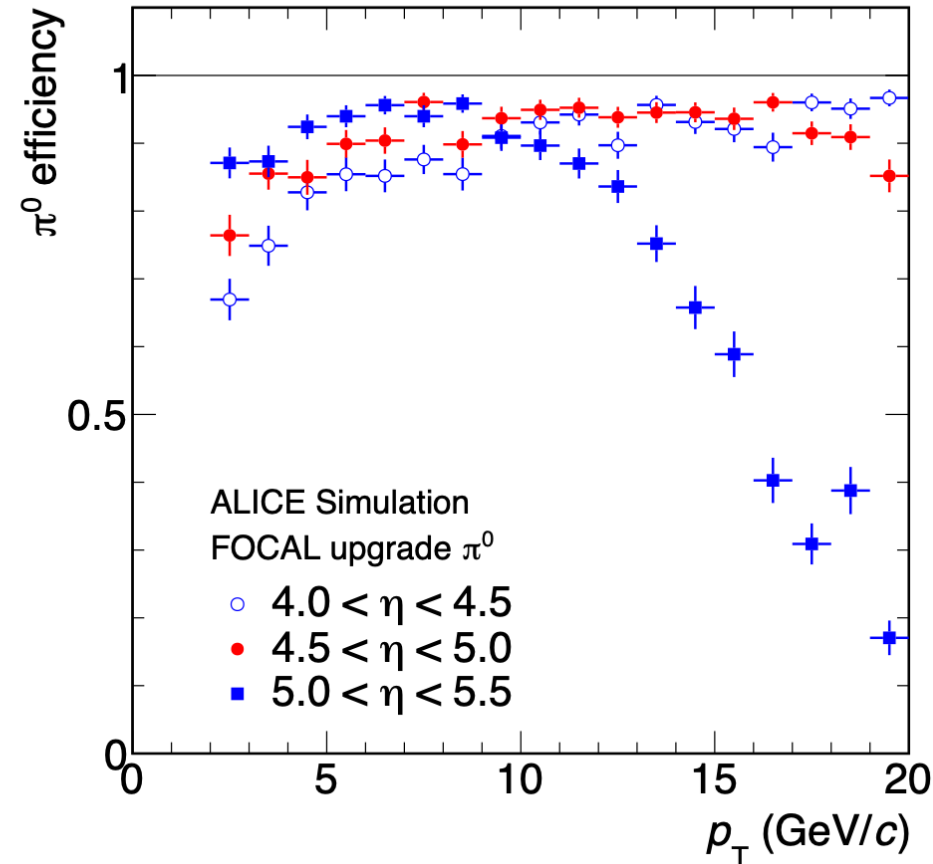
FoCal – E performance : neutral pions

LoI, LHCC-I-036 (2020)

After $E \sim 1$ TeV, the two-photon separation starts to limit



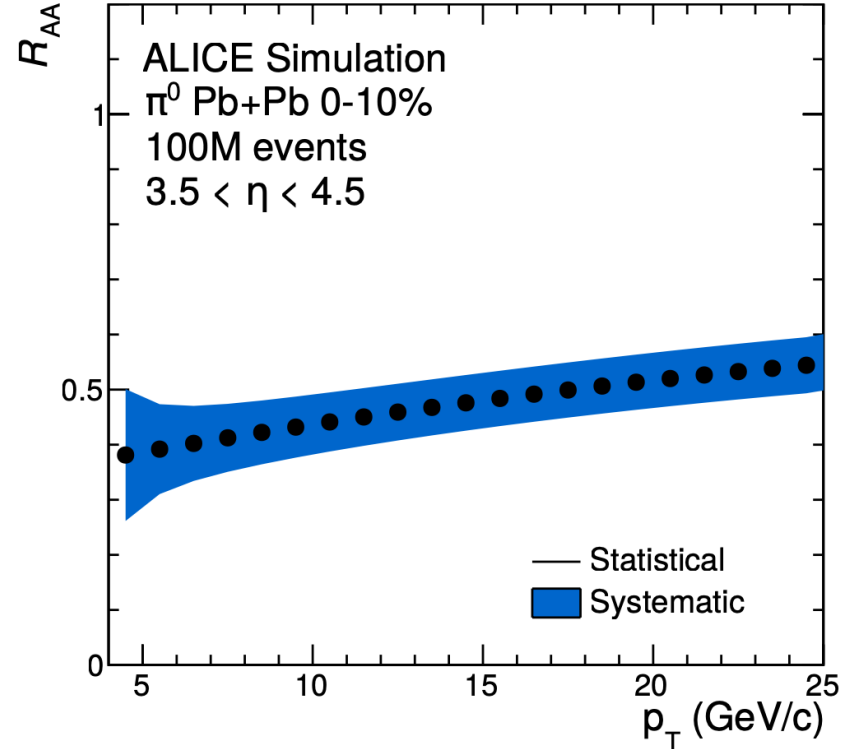
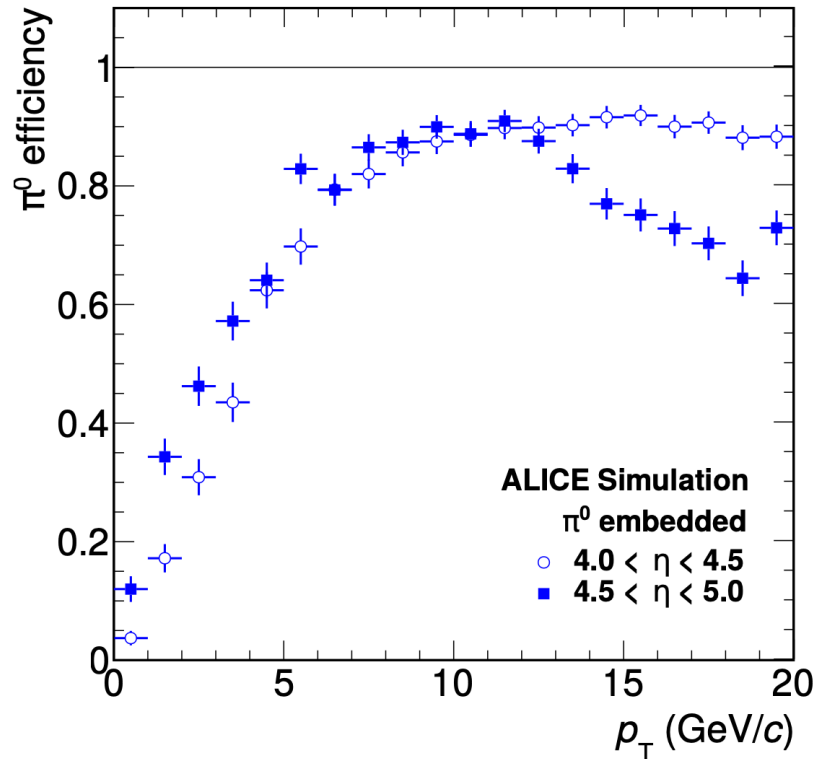
Reach in E_π same in all rapidities,
energy dictates 2- γ opening angle



Reach in p_T depends on the rapidity range

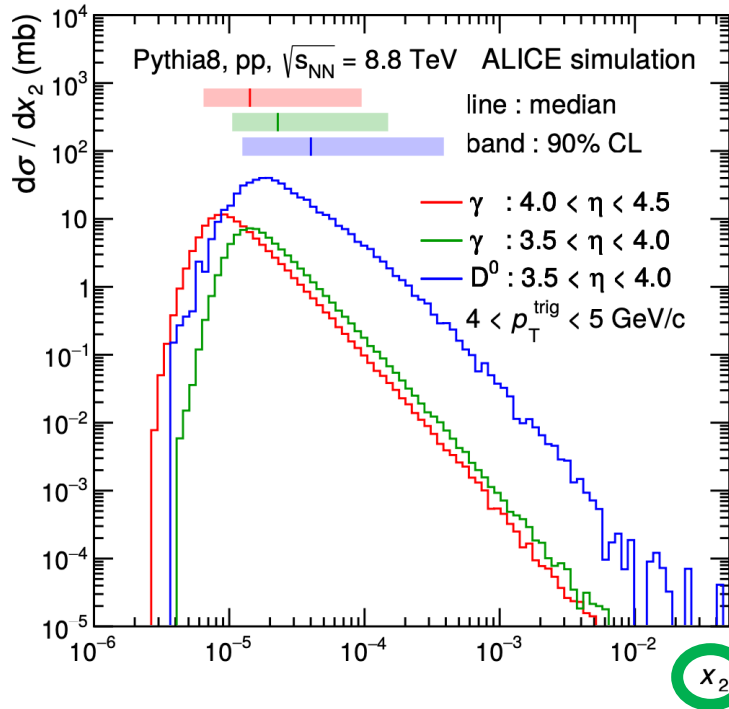
$$p_T = \frac{E_\pi}{\cosh \eta}$$

FoCal – E performance : π^0 's in Pb+Pb

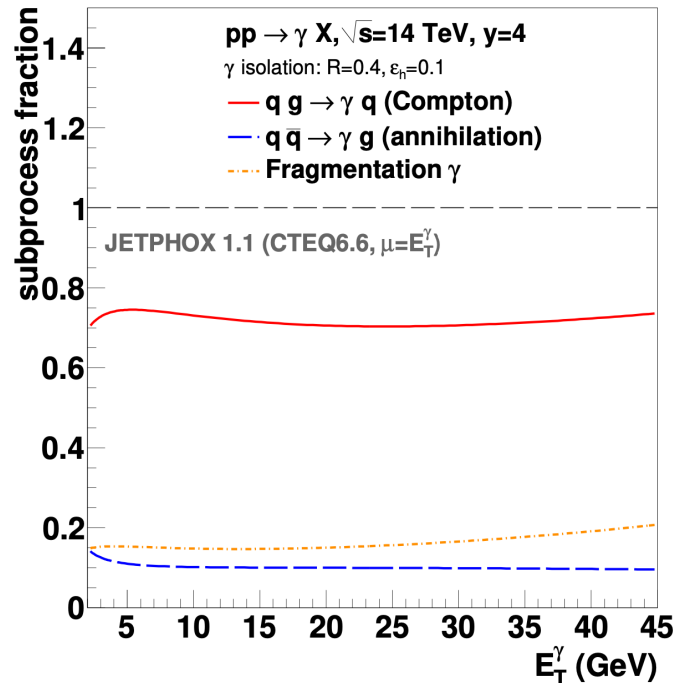
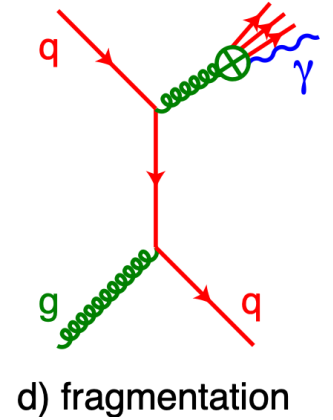
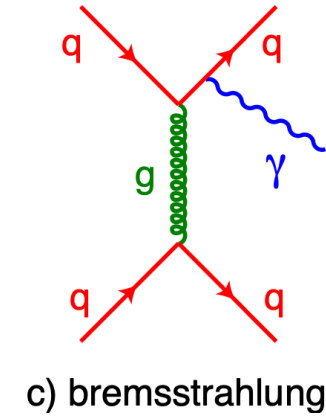
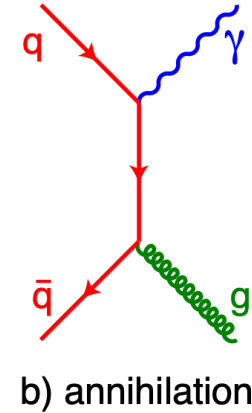
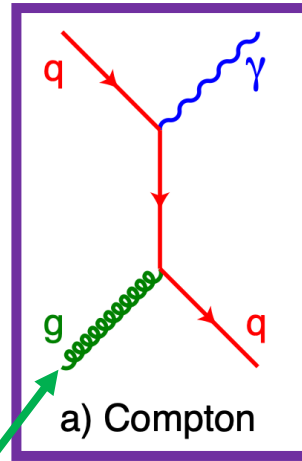


- Neutral pion efficiency is slightly lower in PbPb compared to pp/pPb
- We expect good neutral pion measurement in all collision systems

Case 2 : isolated photons in FoCal



Direct photons at forward rapidity:

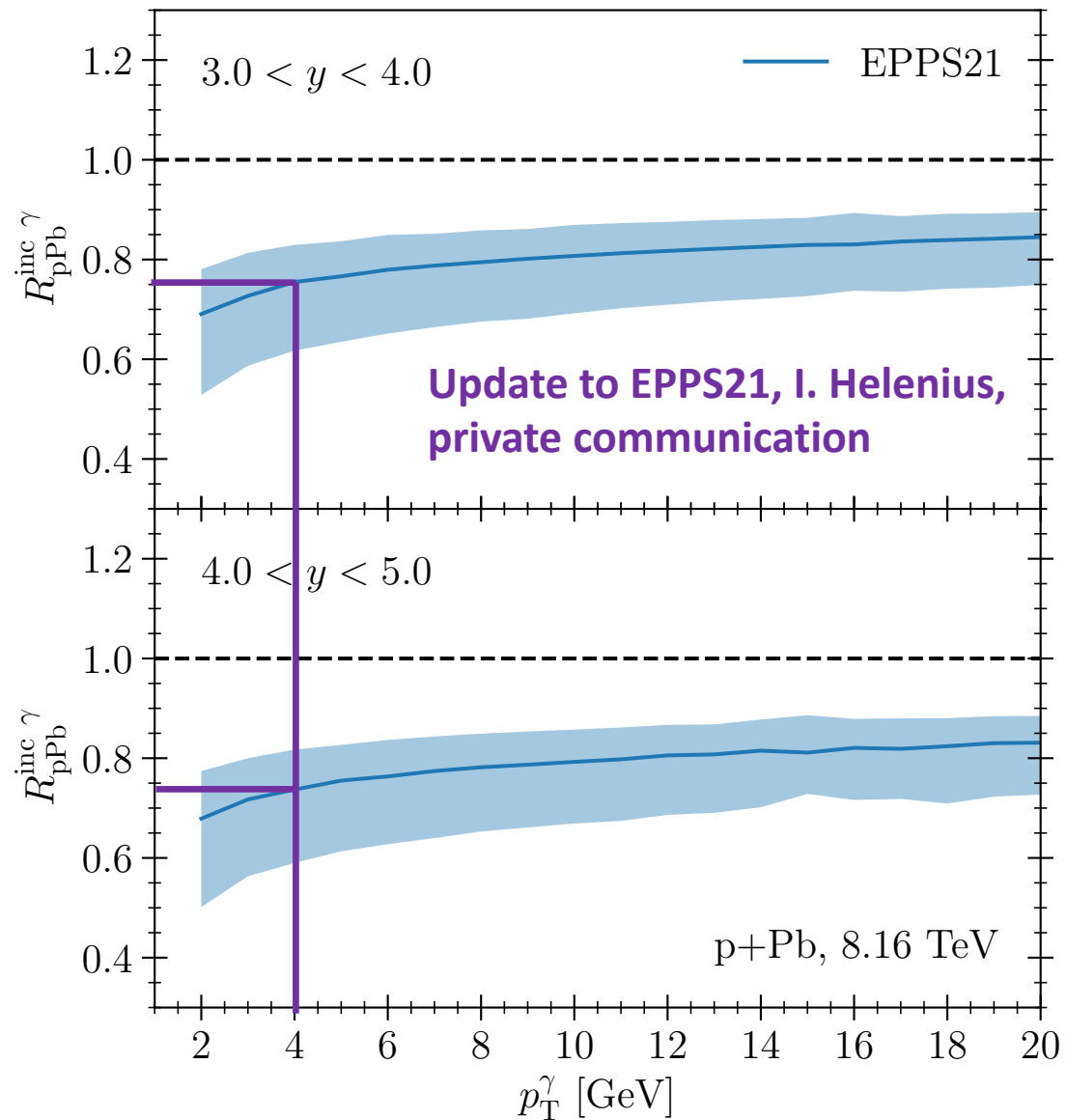


At forward rapidity

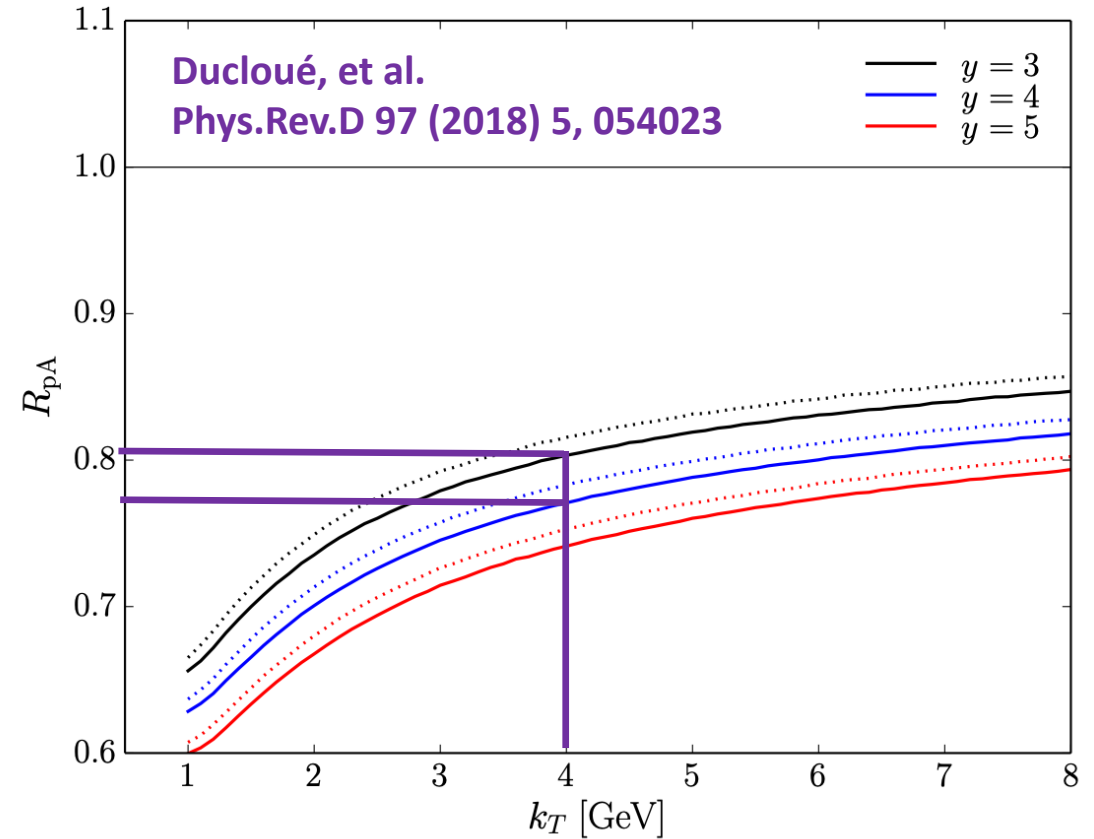
- QCD Compton channel dominates,
- Compared to π^0 's, x_2 –distributions are narrower, and
- average $\langle x_2 \rangle$ smaller

Direct photon R_{pPb} - compare nPDF's + pQCD and saturation

Helenius, et al. JHEP 09 (2014) 138

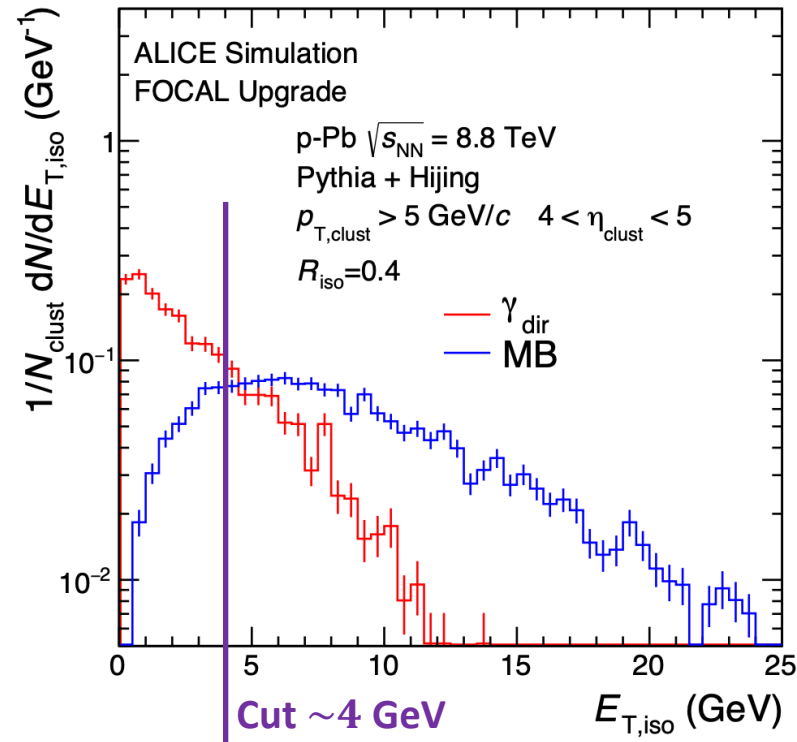
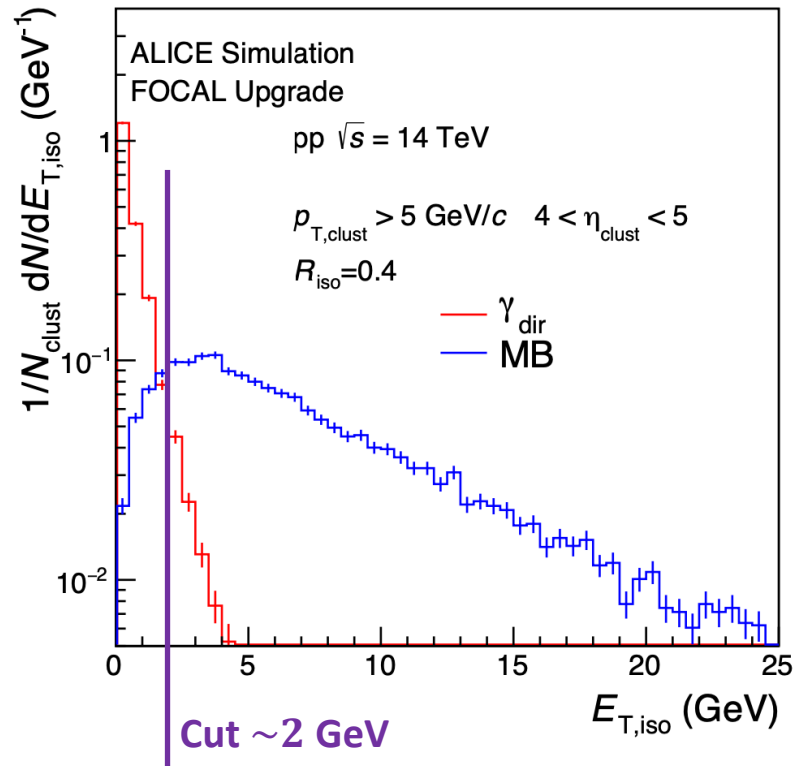


$p + Pb / p + p \rightarrow \gamma + X, \sqrt{s} = 8 \text{ TeV}$



- Rapidity and p_T dependence in saturation model stronger. Significant?

FoCal – E performance : isolated photons



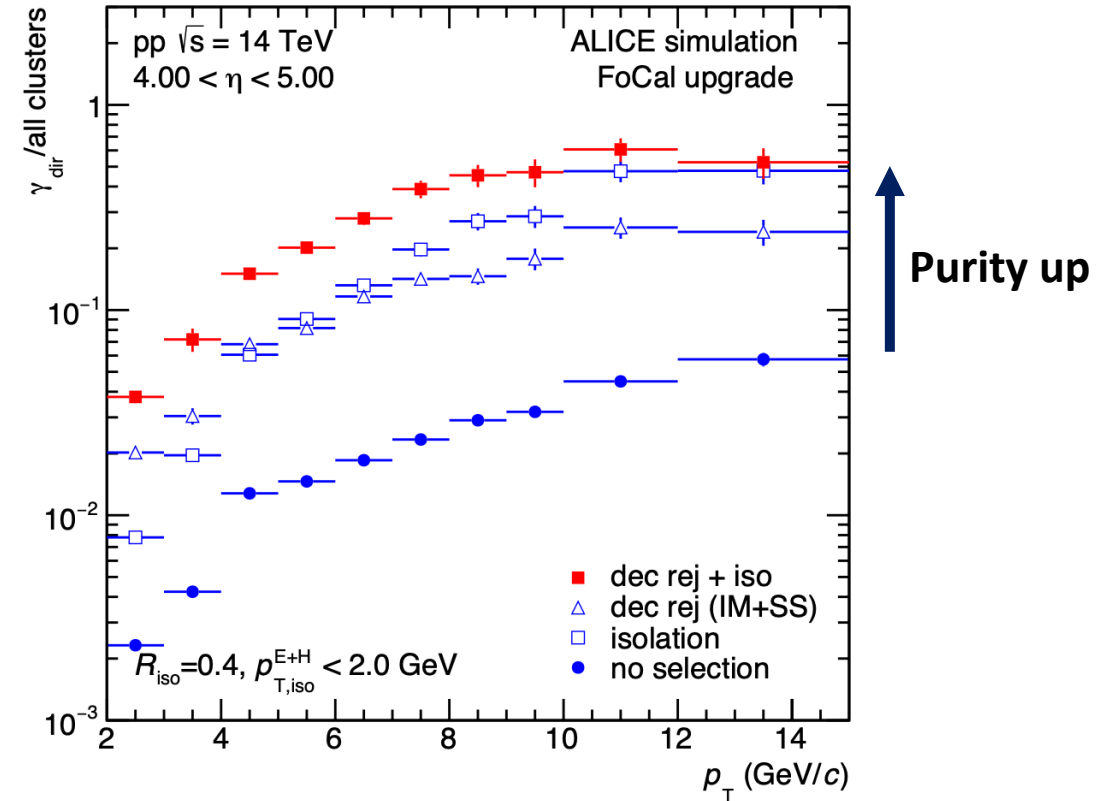
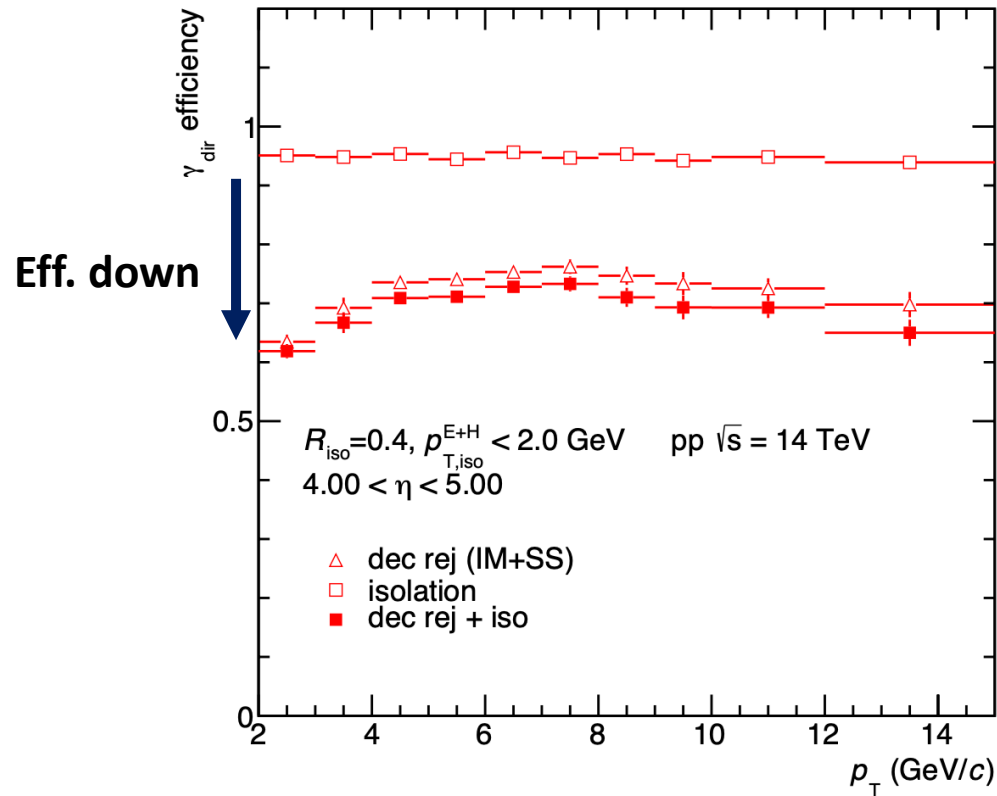
One cannot measure prompt photons experimentally

→ experimental observable: isolated photons

Require an upper limit of activity, energy in the isolation cone $R = 0.4$ around ECal cluster.

→ enrich the prompt photons over all photons

FoCal – E performance : isolated photons



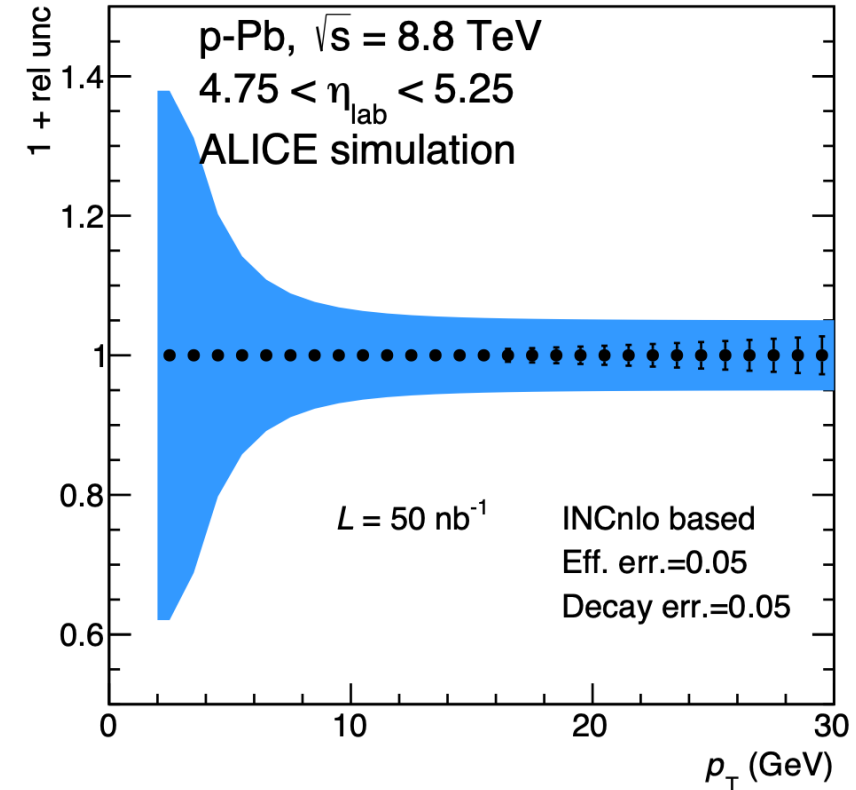
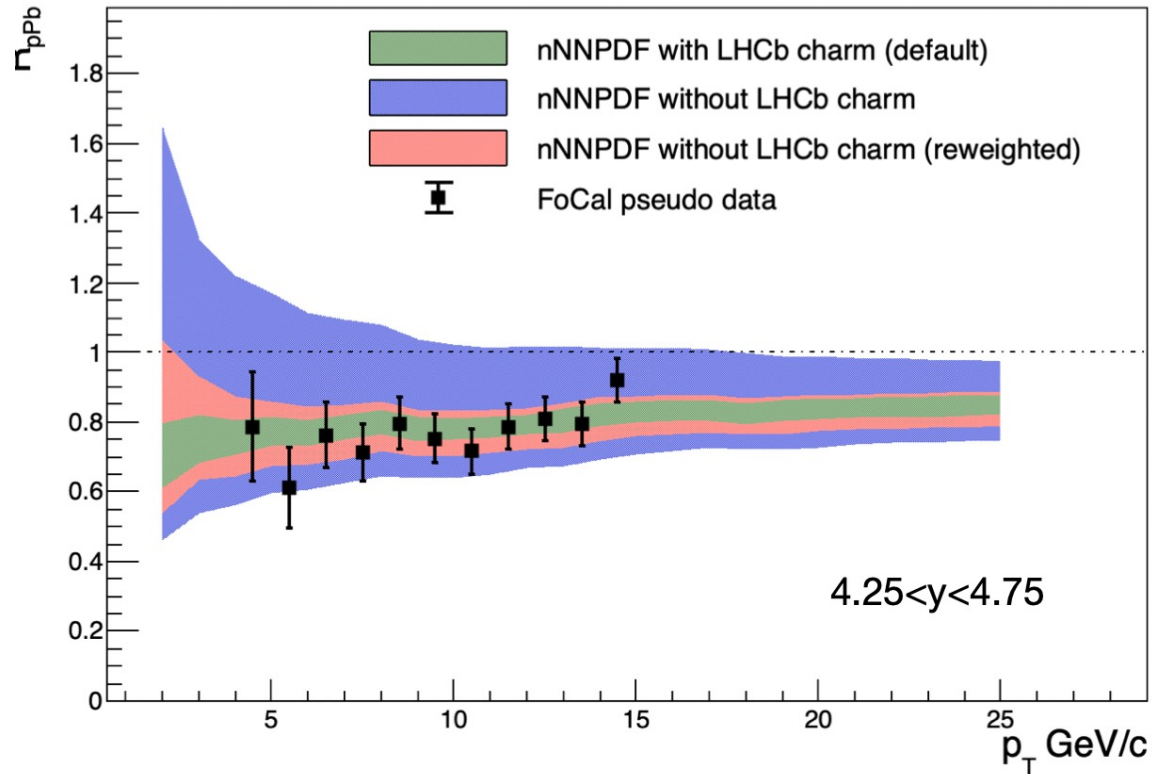
On top of the isolation cut, invariant mass (IM) and shower shape (SS) cuts
→ reject particularly decay photons

Purity increases (right) with a price of loosing efficiency (left)

These cuts to be optimised

Isolated photons with FoCal – impact to nPDF's

Suppressed photon yield (toy-model)

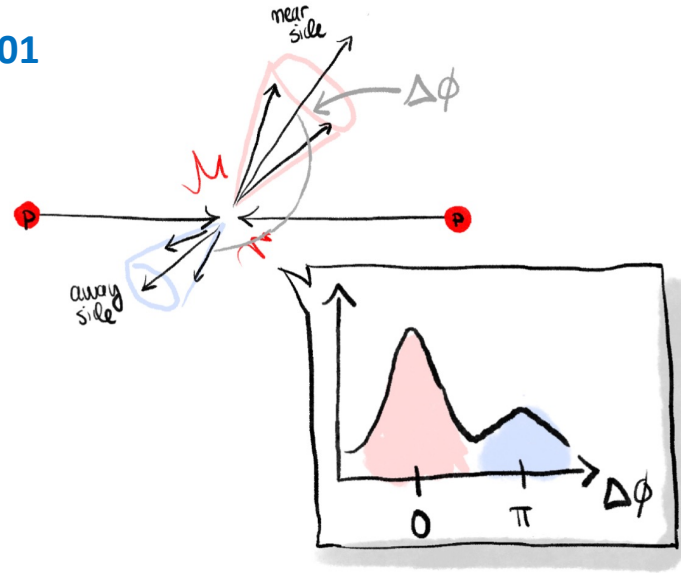
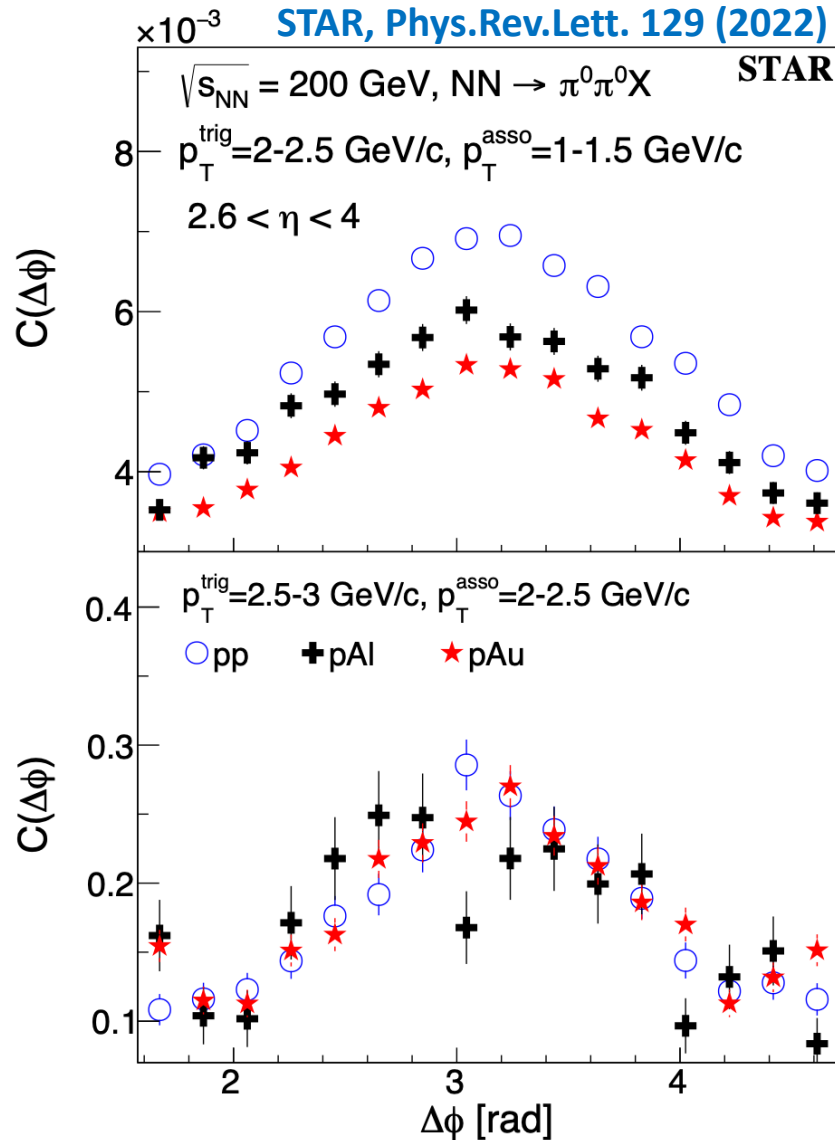


With the FoCal data

- Validation of factorization/universality; no fragmentation in the final state
- Improve constraints and improve fit qualities
→ important in search of subtle differences

Case 3 : Forward azimuthal correlations

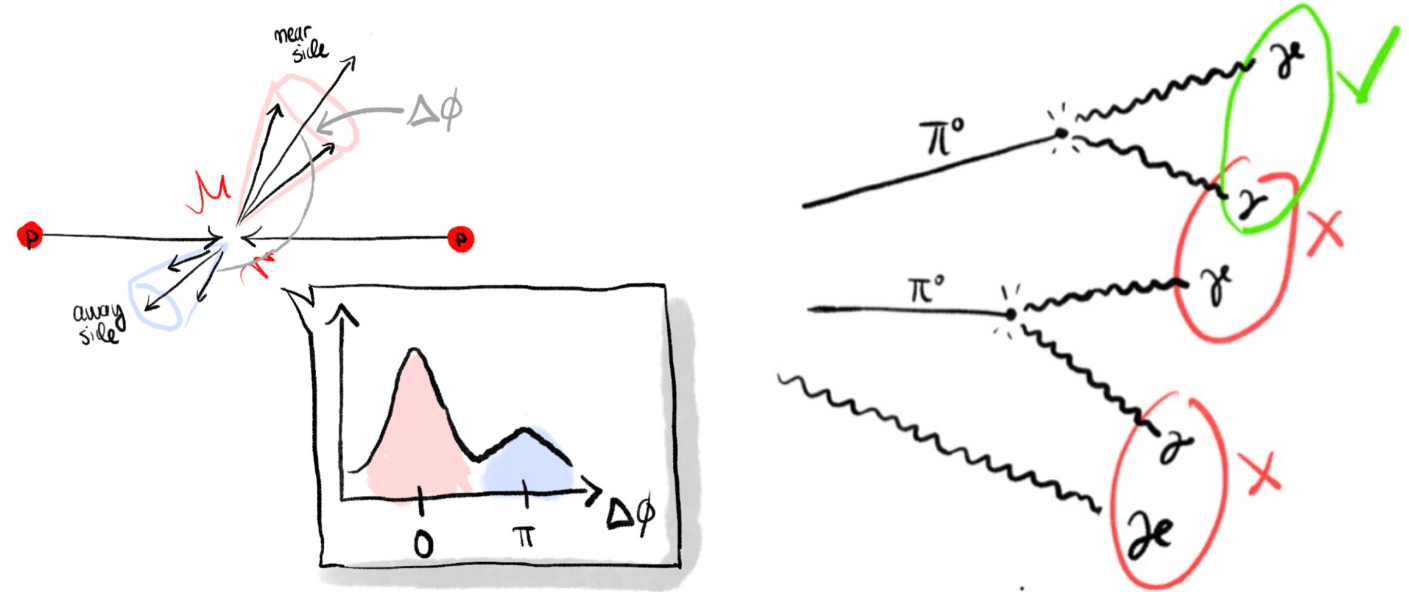
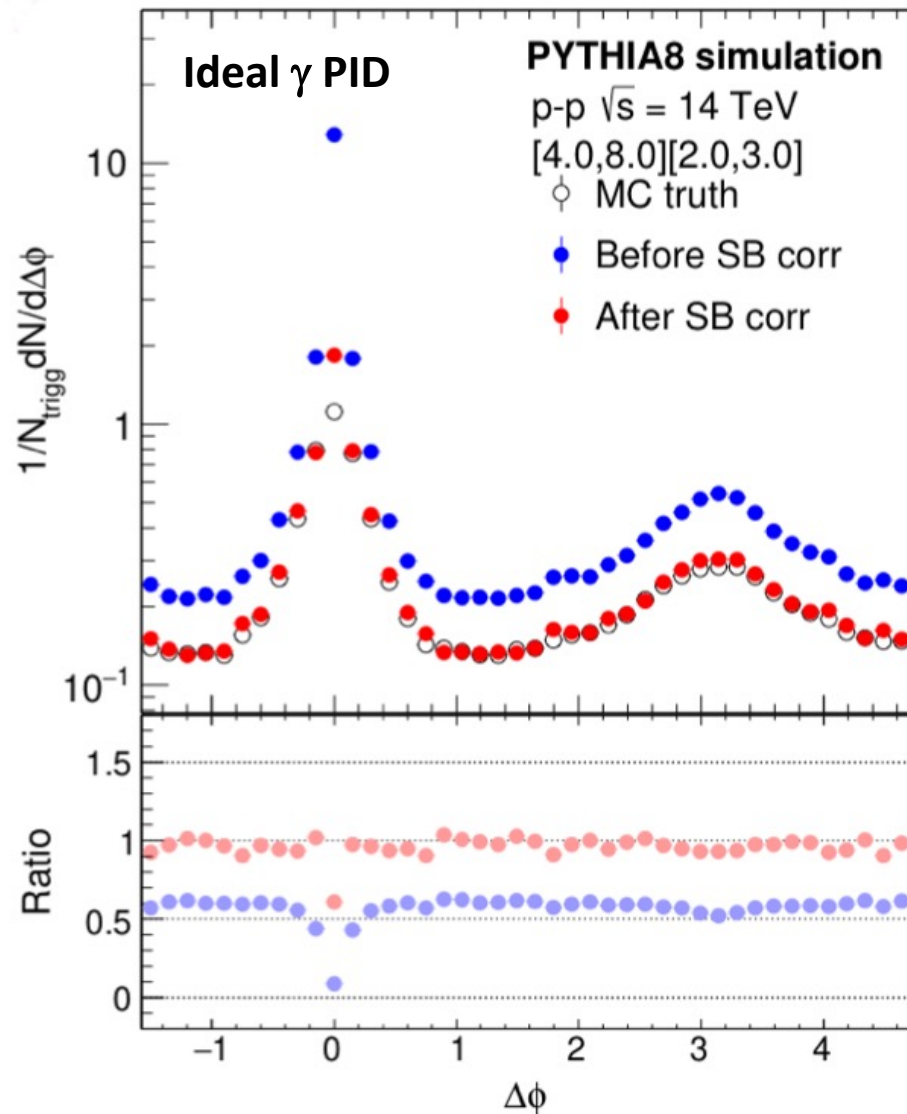
Azimuthal π^0 - π^0 correlations by STAR @ $\sqrt{s} = 200$ GeV



STAR measures forward π^0 - π^0 in pp, p-Al and p-Au

- Clear suppression of back-to-back yields with increasing mass number at low $p_{T,trigg}$ and $p_{T,assoc}$
- Correlation width does not depend on nucleus

PYTHIA8 simulation : forward π^0 - π^0 correlations @ 14 TeV



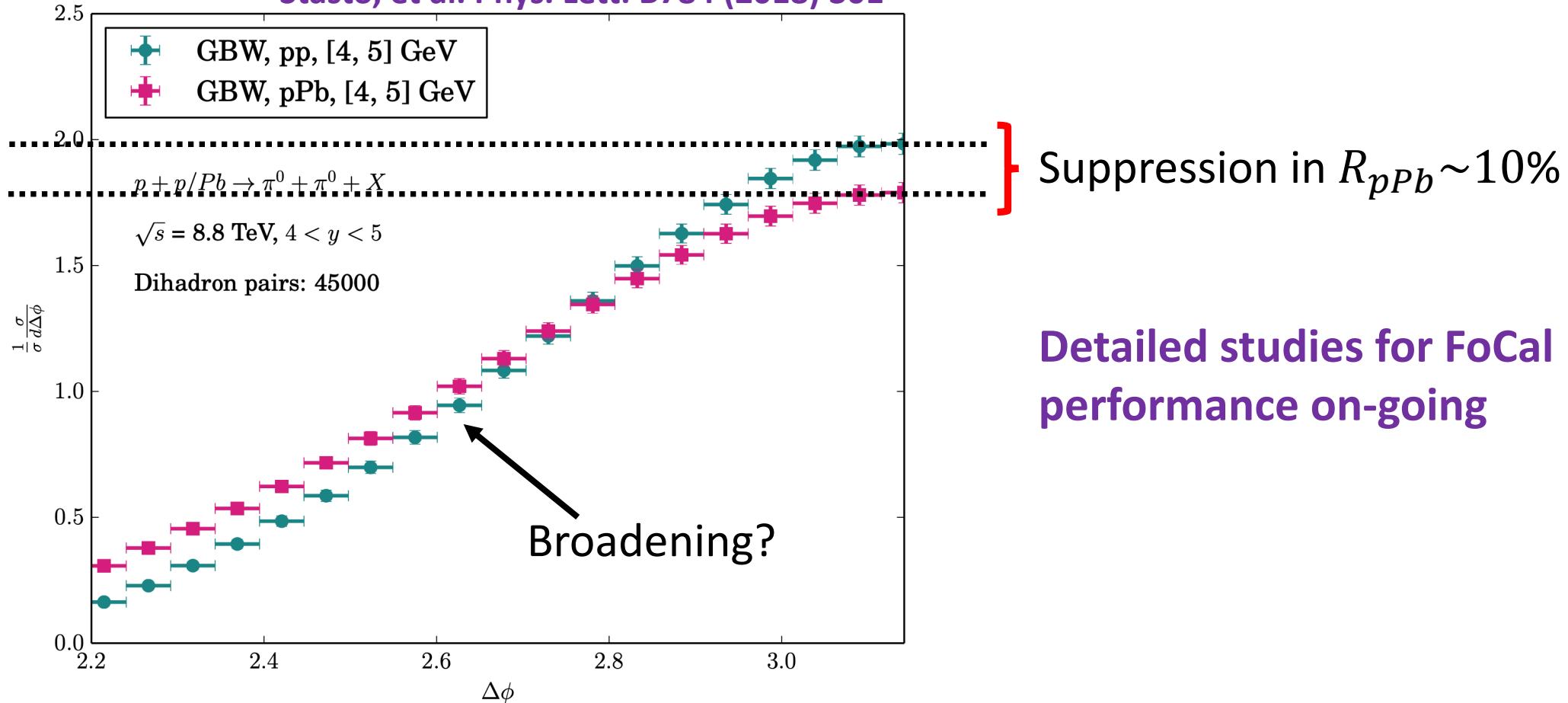
Experimentally, ECal measures clusters, mainly γ 's and e 's
 → reconstruct neutral pion *candidates* with $m_{\gamma\gamma} \approx m_{\pi}$;
 some real, some combinatorial fake π^0 's
 → untrivial and significant correlated background
 → subtract using side-band method

Currently studying how low p_T 's we can reach

H. Rytkönen, on-going PhD

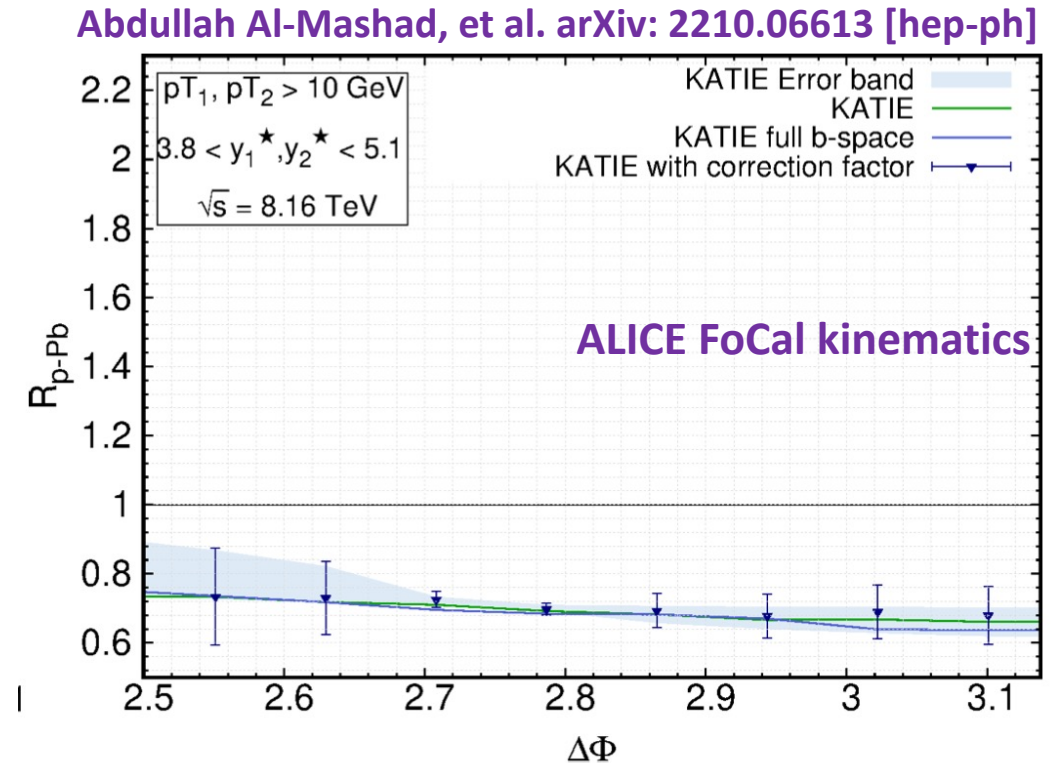
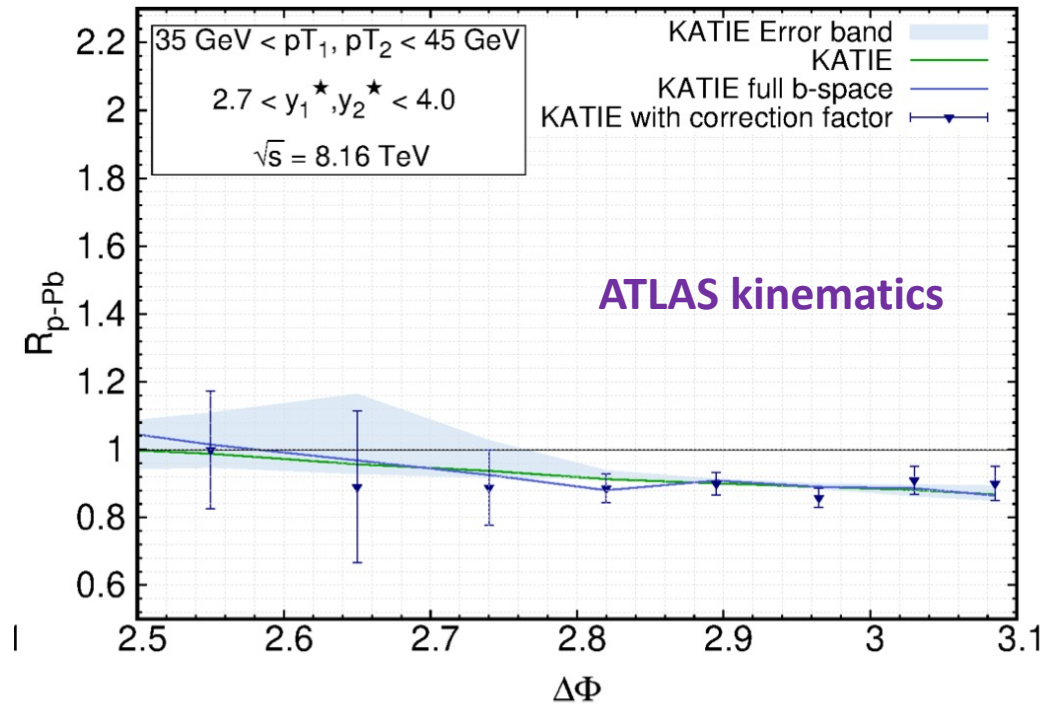
Forward π^0 - π^0 correlations – saturation model

Stasto, et al. Phys. Lett. B784 (2018) 301



Detailed studies for FoCal
performance on-going

Forward dijet correlations – saturation model



Dijet azimuthal correlations – different experimental challenges:

- ATLAS kinematics, suppression < 10%
- ALICE kinematics, suppression may reach ~20-50%

⇔ very important to push down jet analysis down to $p_{T,jet} > 10$ GeV

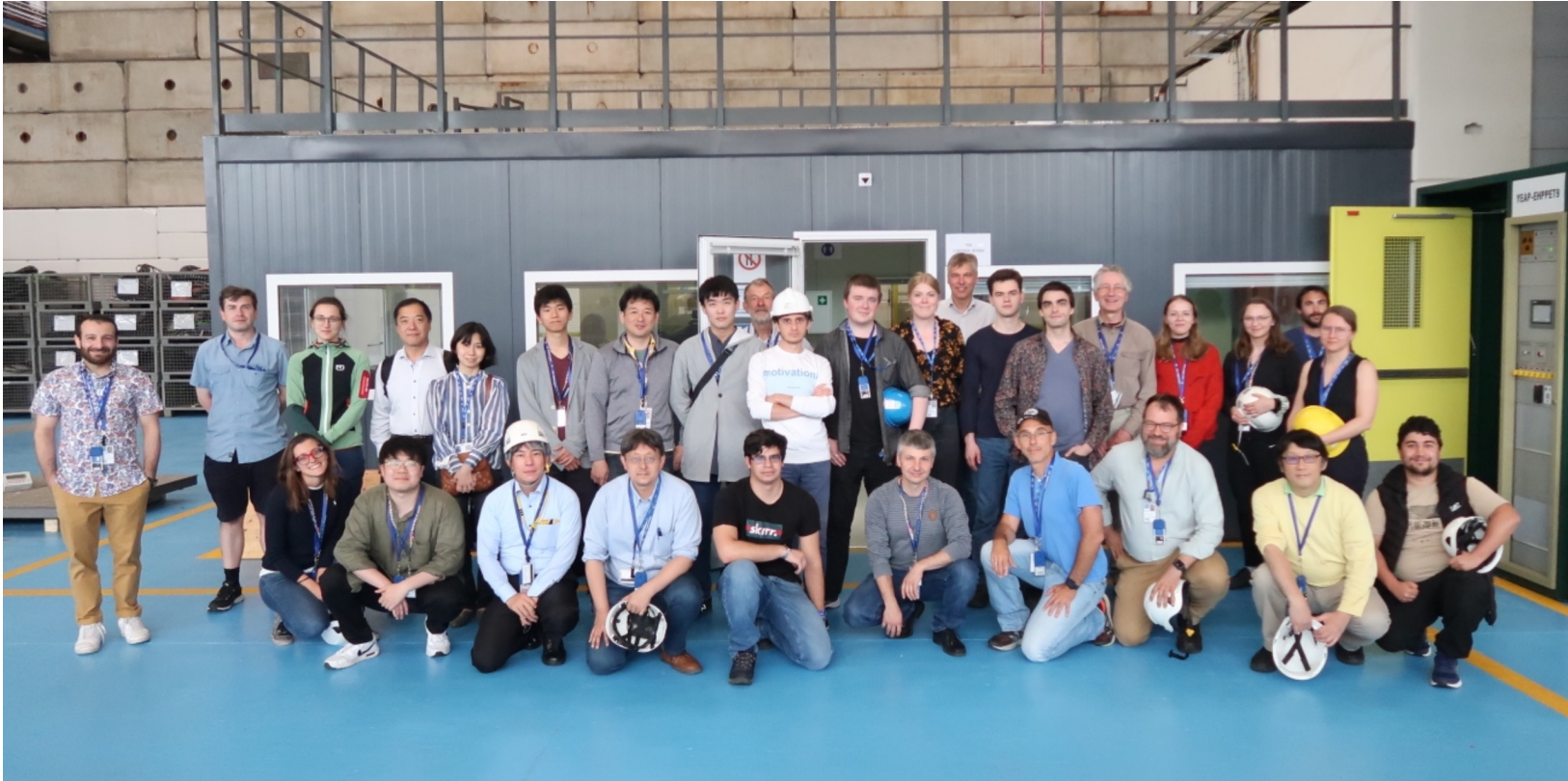
On-going FoCal simulation on physics analysis performance

On-going FoCal performance studies, not yet advanced enough to show:

- Jets and dijets in FoCal,
- Quarkonia and weak bosons,
- direct photon and neutral pion triggered correlations, and
- Long-range correlations

Targetting the TDR

Huge effort in FoCal test beam campaigns



FoCal test beam @ PS, 6-21th June 2022

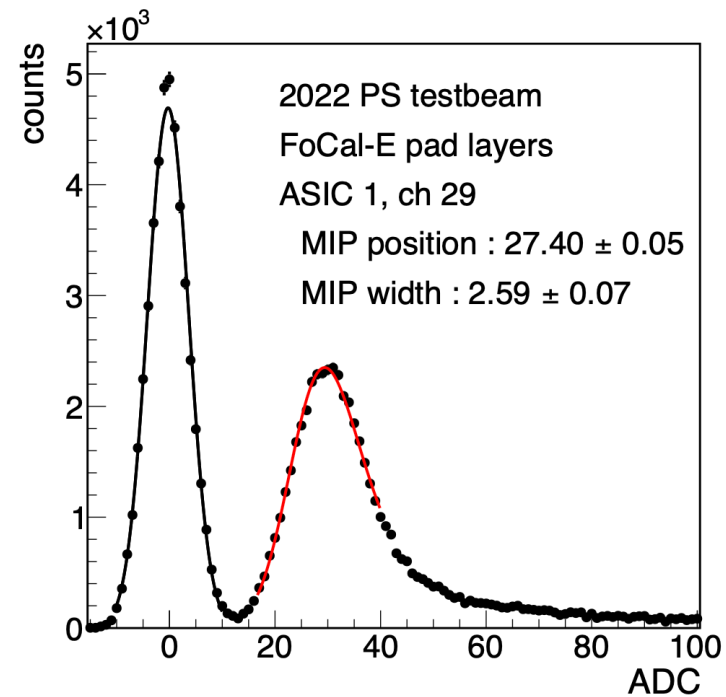
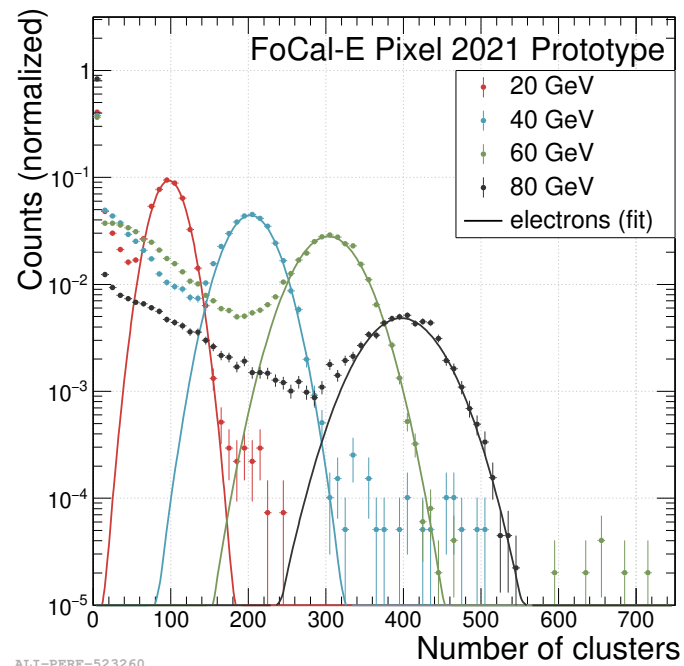
SPS @ Sep 2021

PS @ Jun 2022

SPS @ Sep 2022

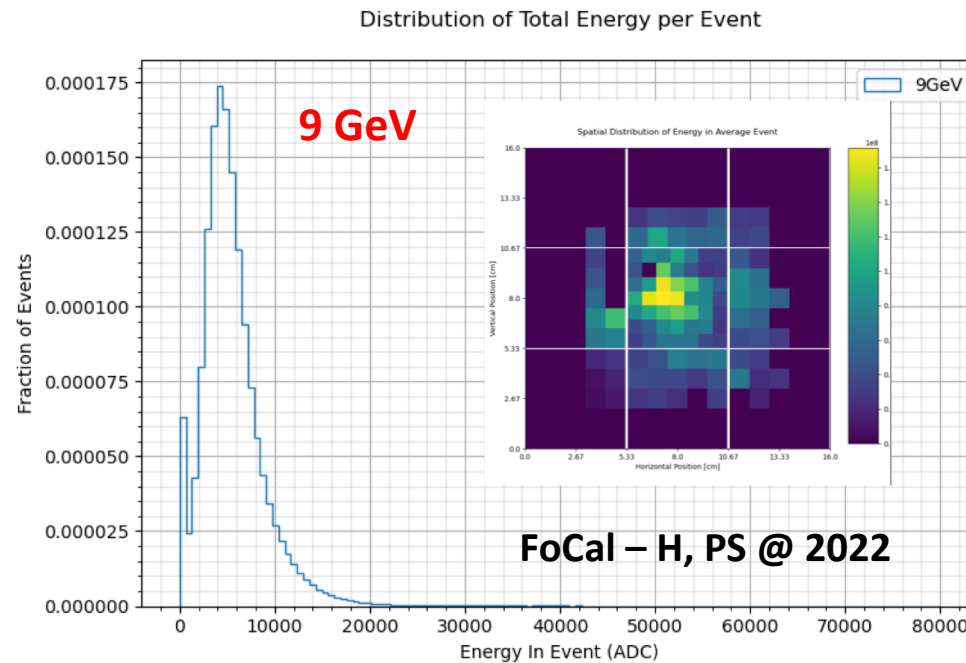
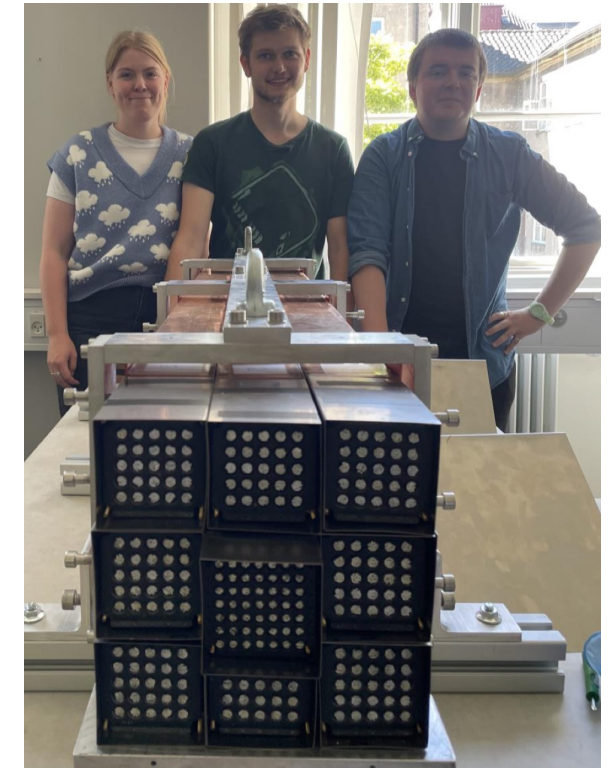
PS @ Sep 2022

SPS @ Nov 2022

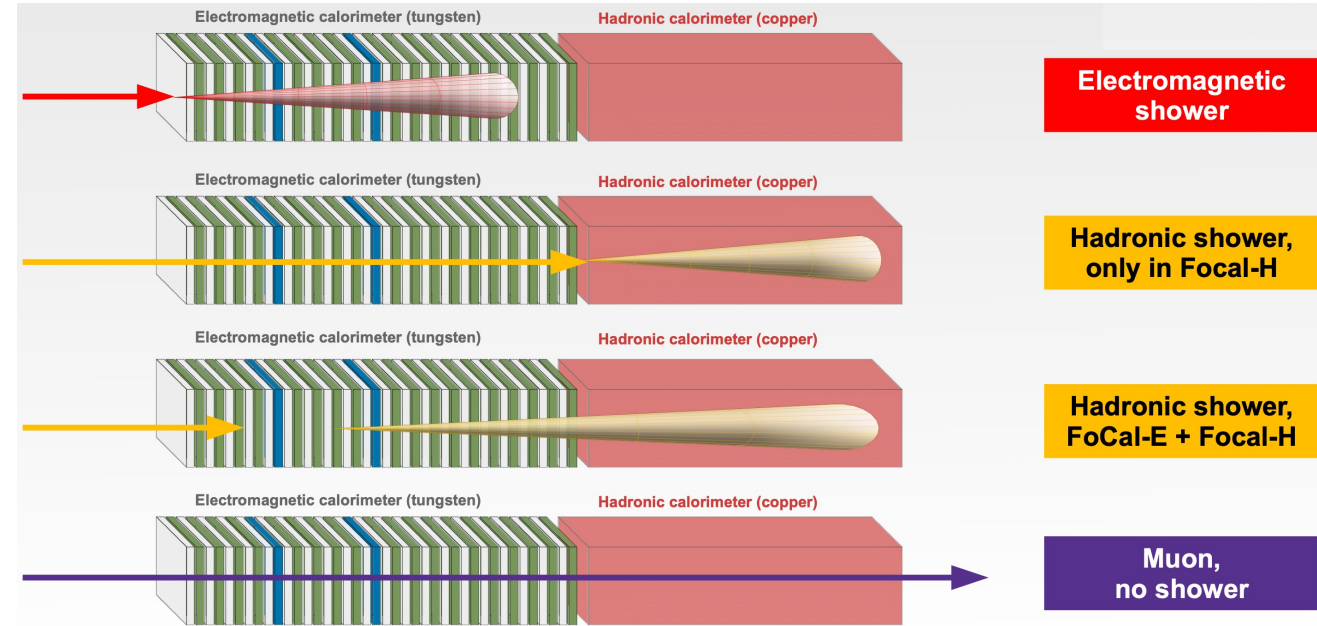
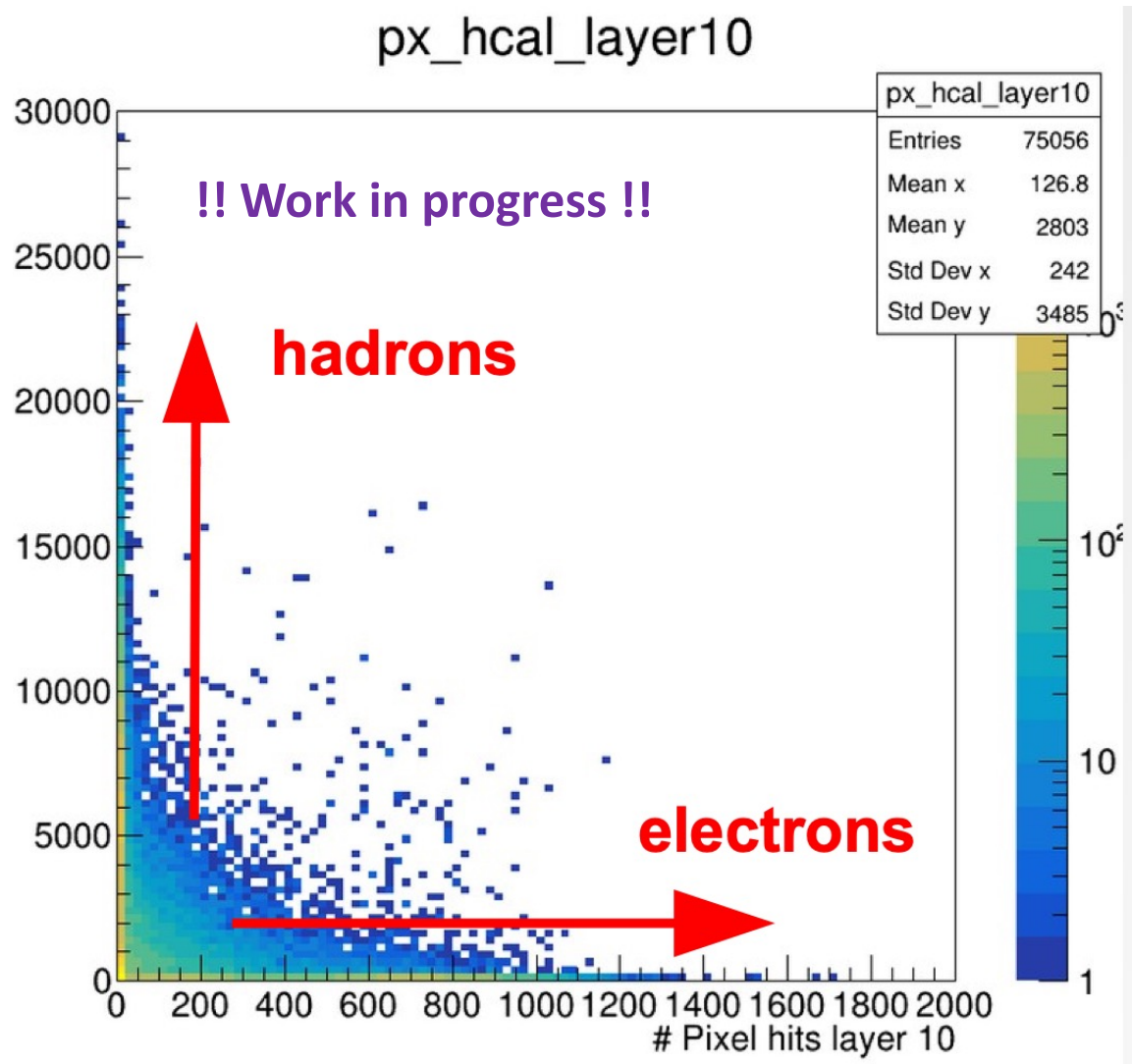


FoCal – E and FoCal – H prototypes tested

Building the FoCal-H prototype
by Copenhagen students



FoCal – E and FoCal – H prototypes working together @ Sep 2022



FoCal E and H prototypes working together

x –axis : number of fired pixels in FoCal-E

y –axis : scintillation light seen by FoCal-H

Electrons typically do not reach FoCal-H,
hadrons leave smaller signal in FoCal-E

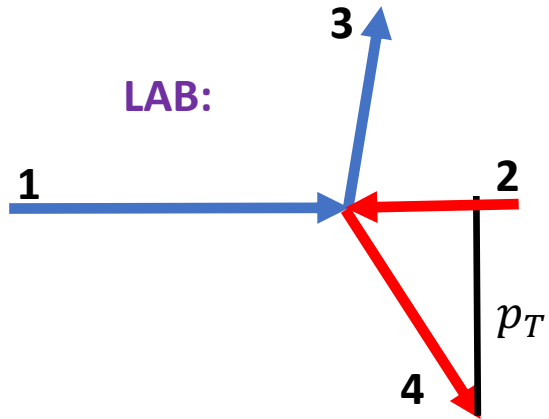
Summary:

- FoCal has a unique and extensive forward physics program at high energies:
 - nPDF's
 - non-linear QCD evolution
 - long-range correlations
 - parton energy loss at forward rapidity
- Performance on inclusive observables (still) most advanced, but performance in physics analysis on-going
- Intensive test beam campaign on-going

Backup:

Reaching low- x : kinematics of hard 2-to-2 partonic process

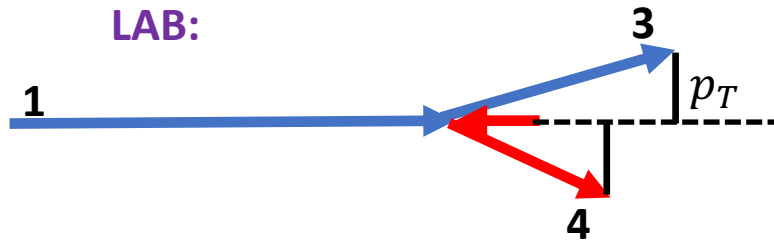
Conservation of energy and longitudinal momentum:



$$x_1 = \frac{p_T}{\sqrt{s}} (e^{\eta_3} + e^{\eta_4})$$

$$x_2 = \frac{p_T}{\sqrt{s}} (e^{-\eta_3} + e^{-\eta_4})$$

Rule of a thumb: when $\eta_3 \approx \eta_4 \equiv \eta \gg 1$, “large” and “small” x



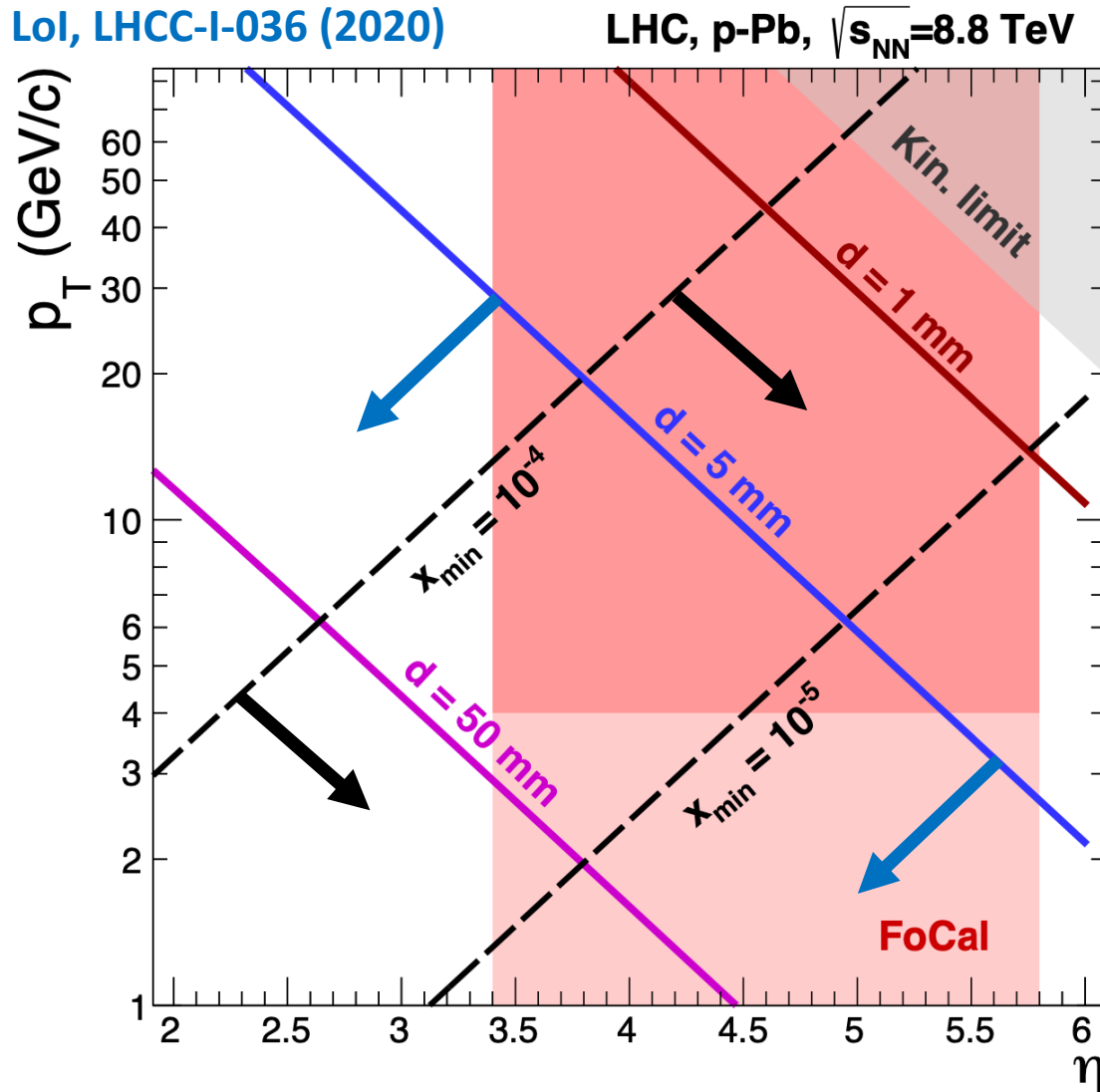
$$x_1 \approx \frac{2p_T}{\sqrt{s}} e^{+\eta} \gg 0$$

$$x_2 \approx \frac{2p_T}{\sqrt{s}} e^{-\eta} \ll 1$$

Target: high collision energy, low- p_T and large rapidity.

Need an excellent two-photon separation in FoCal – E

LoI, LHCC-I-036 (2020)



Experimentally, π^0 's detected via 2- γ decay
 $\pi^0 \rightarrow \gamma + \gamma$

Asymptotically, two-photon opening angle
 $\theta \sim \frac{2}{\gamma}$, where gamma factor $\gamma = \frac{E_\pi}{m_\pi} \sim \frac{p_T}{2m_\pi} e^\eta$

=> cluster distance $d \sim \theta \times (7 \text{ meters})$

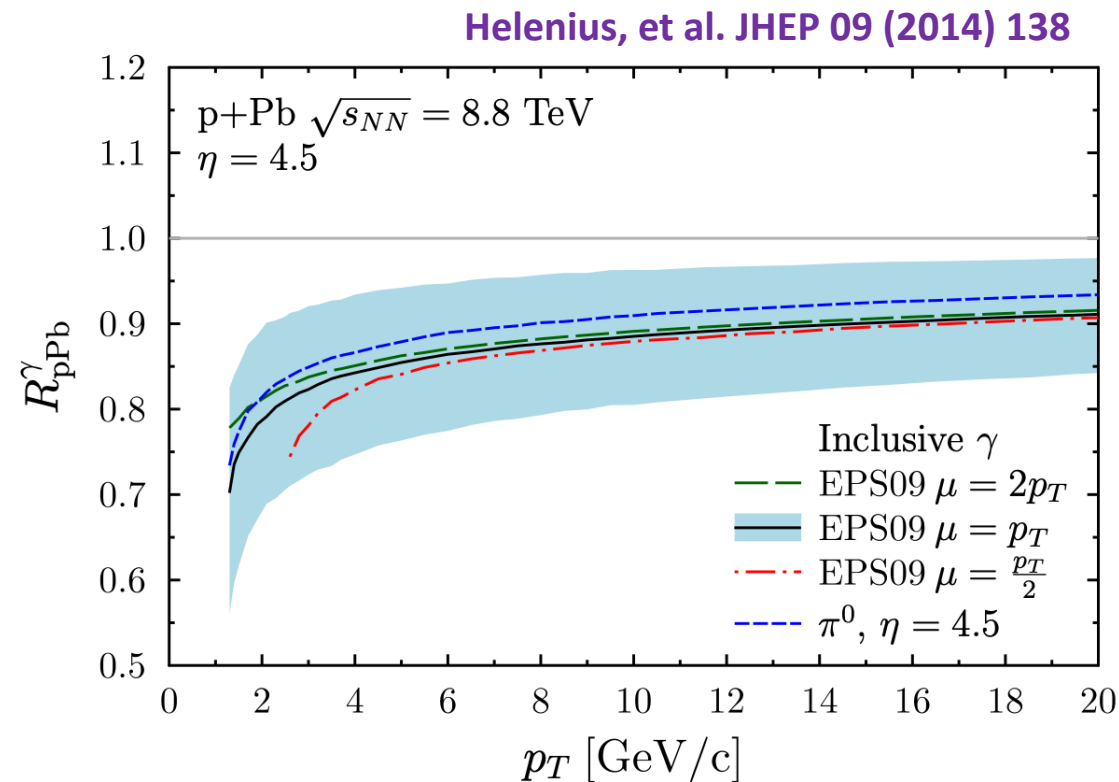
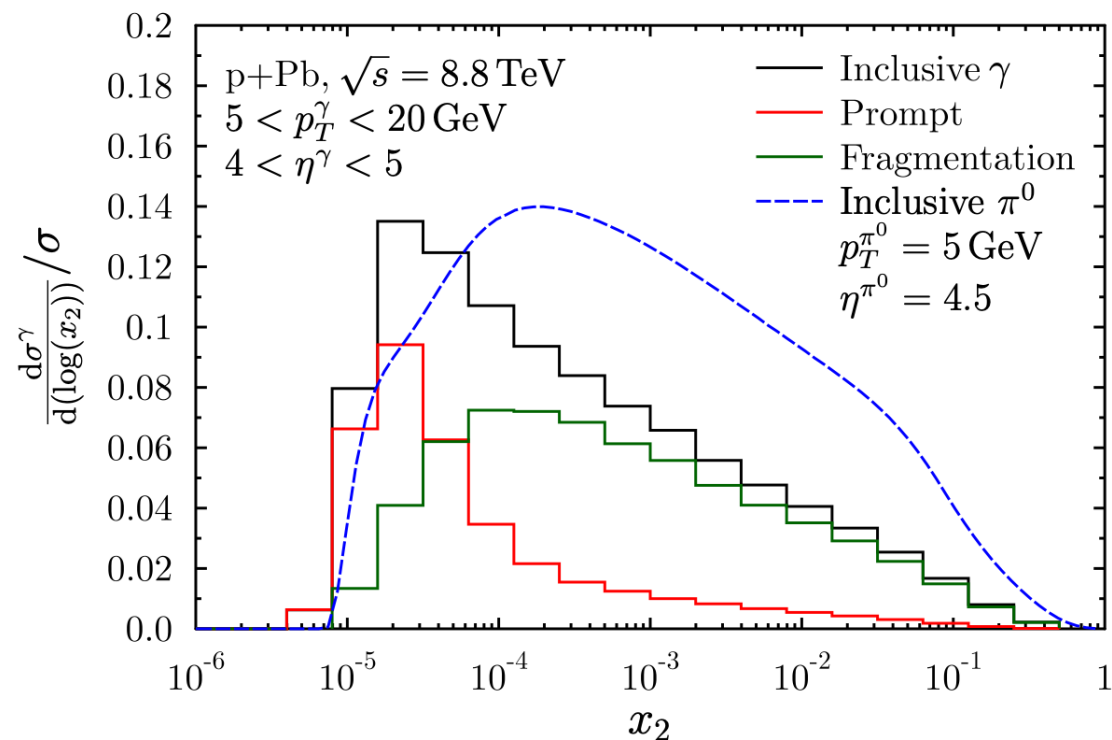
Illustration: given minimum cluster distance d_{min}

$$p_{T,max} \sim \frac{4 \times (7 \text{ m})}{d_{min}} m_\pi e^{-\eta}$$

or desired typical x -scale to be probed

$$p_{T,max} \sim \frac{x_{min} \sqrt{s}}{2} e^{+\eta}$$

Direct photon R_{pPb} – nPDF's + pQCD

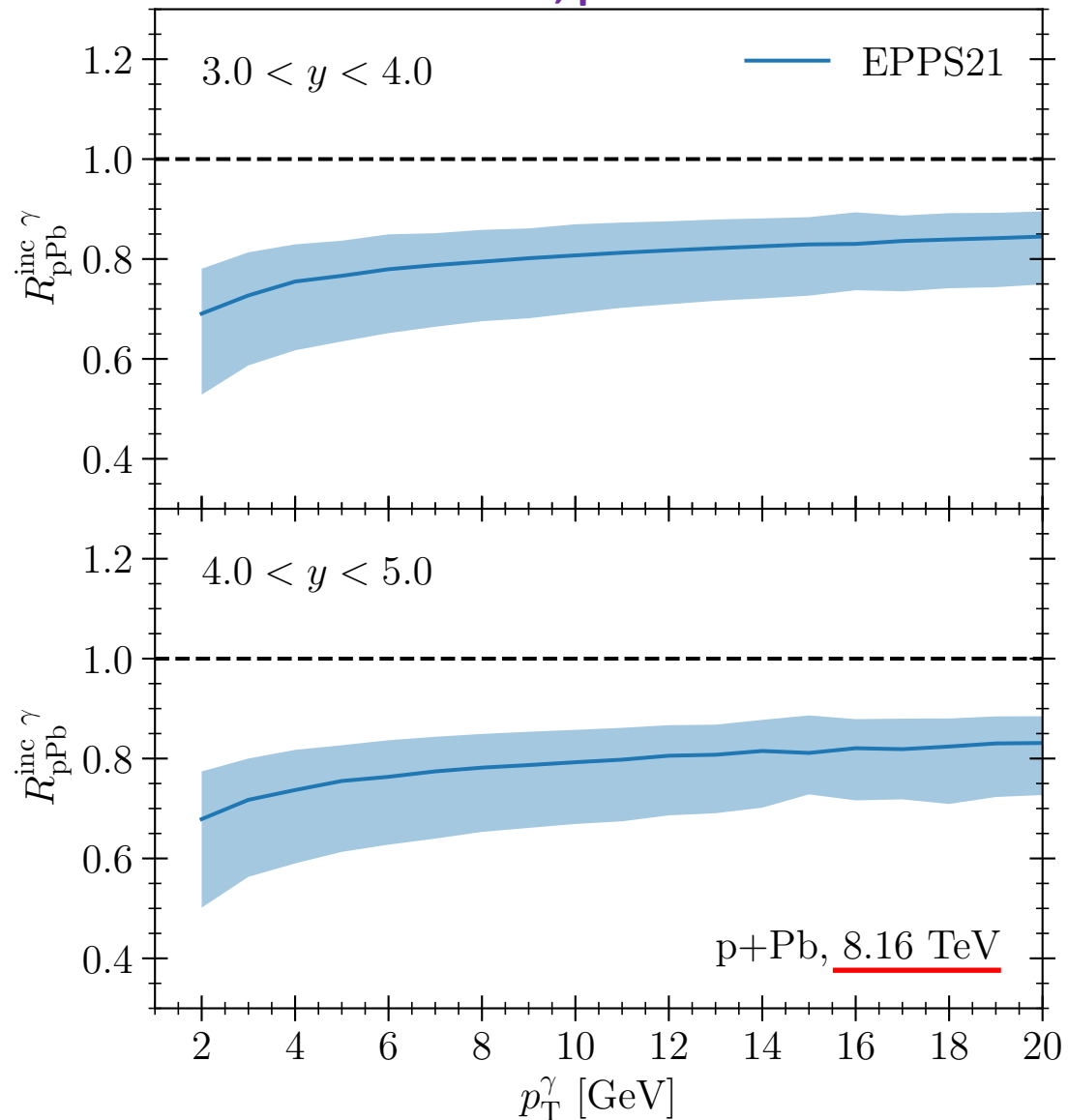


Note: here “inclusive γ ” = inclusive direct = prompt + fragmentation

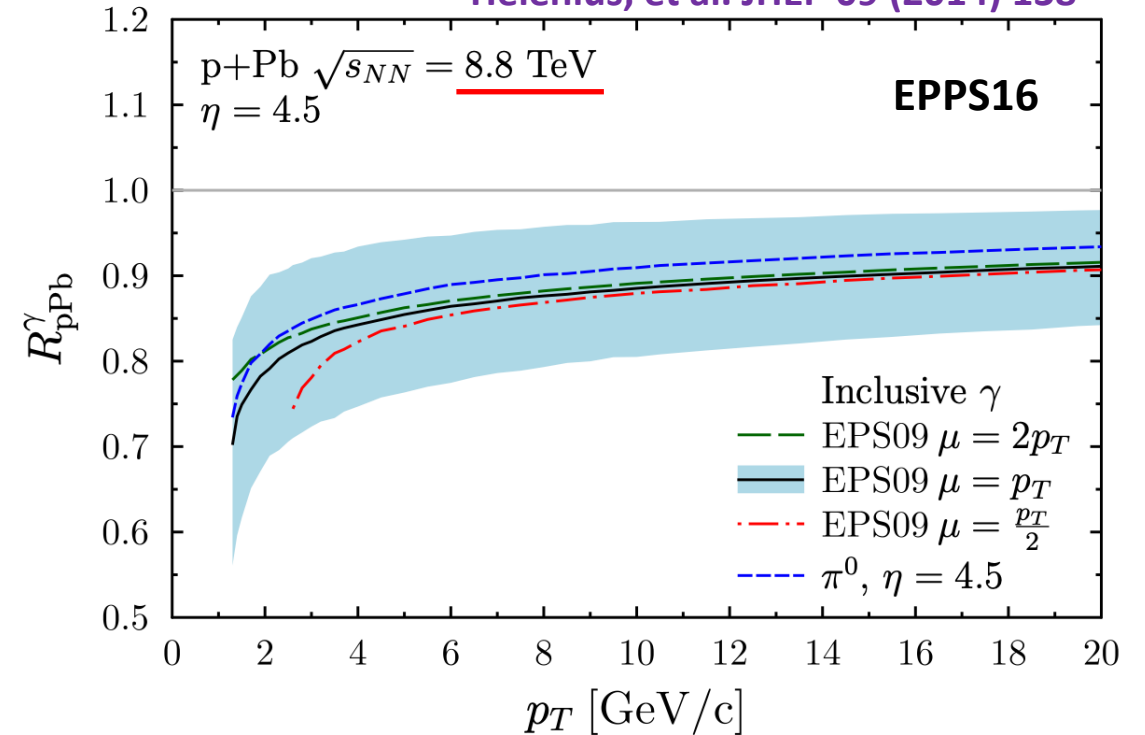
Prompt photons have, on the average, smaller average $\langle x_2 \rangle$ compared to fragmentation photons

Direct photon R_{pPb} with nPDF's + pQCD – update to EPPS21:

I. Helenius, private communication



Helenius, et al. JHEP 09 (2014) 138



Here: “inclusive γ ” = prompt + fragmentation,
i.e. decay photons not included

(At tree level: prompt = Compton + annihilation)

Level of suppression similar to π^0 's