

# Holographic QCD Equation of State Modeling in the Bayesian Era

Maurício Hippert,

In collaboration with J. Grefa, I. Portillo, J. Noronha,  
J. Noronha-Hostler, C. Ratti and R. Rougemont

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38<sup>th</sup> Winter Workshop on Nuclear Dynamics



**I** | Illinois Center for Advanced Studies of the Universe

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no OAC-2103680.

# Introduction

- Lattice QCD: First-principles EoS for small  $\mu$ .
- Strongly coupled, nearly inviscid behavior of the QGP: Holographic model via AdS black-hole dual.

P. Kovtun, D. T. Son, A. O. Starinets, PRL **94** (2005)

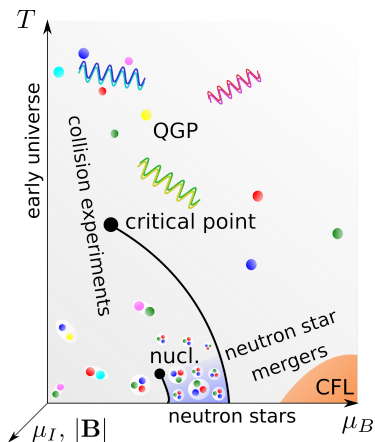
- “Black-hole engineering”:  
Extrapolate from lattice results to make predictions on phase diagram.

S. S. Gubser and A. Nellore, PRD **78** (2008)

O. DeWolfe, S. S. Gubser and C. Rosen, PRD **83** (2011)

R. Critelli, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **96** (2017)

J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **104** (2021)



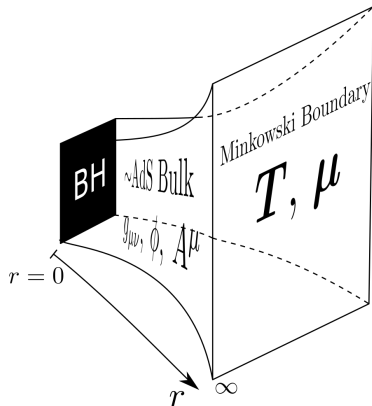


# Black-Hole Engineering: Theory

- Gauge-gravity duality.

J. M. Maldacena, *Adv. Theor. Math. Phys.* **2** (1998)

- 5D bulk: Classical gravity with asymptotically Anti-deSitter ( $\text{AdS}_5$ ) geometry.
- 3+1D Boundary: Strongly coupled fluid in Minkowski spacetime.
- AdS radius  $r$ :  $\sim$  RG energy scale.
- Black-hole horizon at  $r = 0$ : Infrared. Hawking temperature.



## Limitations

- No asymptotic freedom and no quasi-particle excitations.
- Poor description of hadronic phase.

## Advantages

- Able to handle out-of-equilibrium physics and predict transport properties.

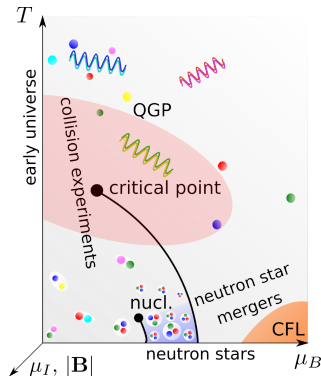
S. S. Gubser, A. Nellore, S. S. Pufu and F. D. Rocha,  
PRL **101**, (2008)

- Bulk viscosity (non-conformal effect) compatible with heavy-ion analyses.

J. Grefa, M. Hippert, J. Noronha, J. Noronha-Hostler,  
I. Portillo, C. Ratti and R. Rougemont, PRD **106** (2022)

- Can predict critical point and first-order line.

O. DeWolfe, S. S. Gubser and C. Rosen, PRD **83** (2011)



## Einstein-Maxwell-Dilaton model

- Breaking of conformal symmetry: dilaton field  $\phi$ .
- Dual to baryon chemical potential  $\mu$ : Abelian gauge field  $A^\mu$ .
- Action:

$$S = \frac{1}{2\kappa_5^2} \int_{\mathcal{M}_5} d^5x \sqrt{-g} \left[ R - \frac{(\partial_\mu \phi)^2}{2} - V(\phi) - \frac{f(\phi) F_{\mu\nu}^2}{4} \right],$$

- Two potentials,  $V(\phi)$  and  $f(\phi)$ , tweaked to fit lattice QCD results.

S. S. Gubser and A. Nellore, PRD **78** (2008)

O. DeWolfe, S. S. Gubser and C. Rosen, PRD **83** (2011)

R. Critelli, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **96** (2017)

J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **104** (2021)

# Phenomenological holographic potentials

## Polynomial-Hyperbolic Parametrization

- Interpolates between [arXiv:1706.00455](#) and [arXiv:2201.02004](#)

$$V(\phi) = -12 \cosh(\gamma \phi) + b_2 \phi^2 + b_4 \phi^4 + b_6 \phi^6$$

$$f(\phi) = \frac{\operatorname{sech}(c_1 \phi + c_2 \phi^2 + c_3 \phi^3)}{1 + d_1} + \frac{d_1}{1 + d_1} \operatorname{sech}(d_2 \phi)$$

## Parametric Approach

- Similar shapes, more interpretable parameters

$$V(\phi) = -12 \cosh \left[ \left( \frac{\gamma_1 \Delta \phi_V^2 + \gamma_2 \phi^2}{\Delta \phi_V^2 + \phi^2} \right) \phi \right]$$

$$f(\phi) = 1 - (1 - A_1) \left[ \frac{1}{2} + \frac{1}{2} \tanh \left( \frac{\phi - \phi_1}{\delta \phi_1} \right) \right] - A_1 \left[ \frac{1}{2} + \frac{1}{2} \tanh \left( \frac{\phi - \phi_2}{\delta \phi_2} \right) \right]$$

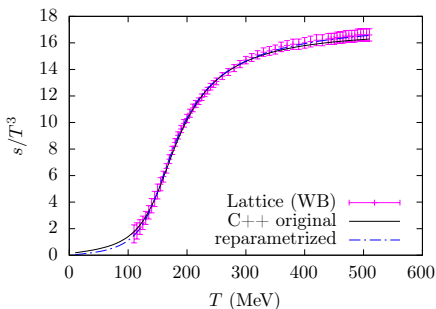
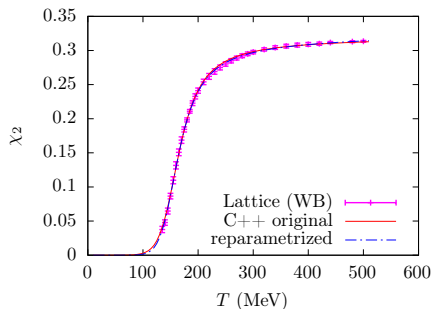
## New C++ code within MUSES Framework

- Development within the **MUSES Framework**: Multi-institutional collaboration for a unified solver for the equation of state, bridging models and applications.
- Support and advising by cyberinfrastructure and computer-science experts T. Andrew Manning and Roland Haas.
- Improved method to extract asymptotic UV scalings and thermodynamics.
- Large boost in performance and numerical stability.



Claudia Ratti — Thursday 11:30

# Model calibration: Zero-density EoS



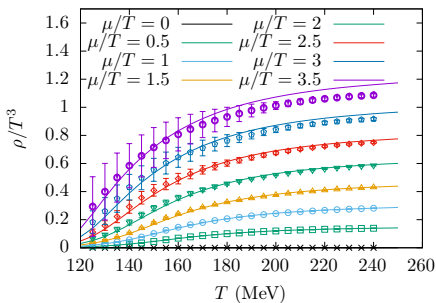
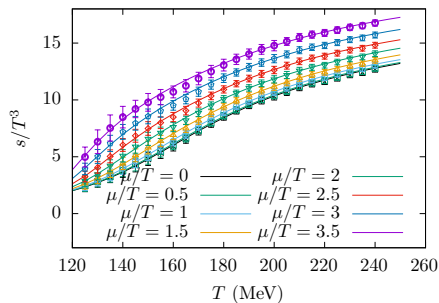
- Baryon susceptibility and entropy density from the lattice used to refit model.
- Also used as inputs in Bayesian analysis further on.

S. Borsanyi, Z. Fodor, C. Hoelbling, S. D. Katz, S. Krieg and K. K. Szabo, PRL **730** (2014)

Bellwied, Borsanyi, Fodor et al., PRD **92** (2015)

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

# Postdictions: Finite-density EoS



Borsányi, Fodor, Guenther et al., PRL **126** (2021)

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

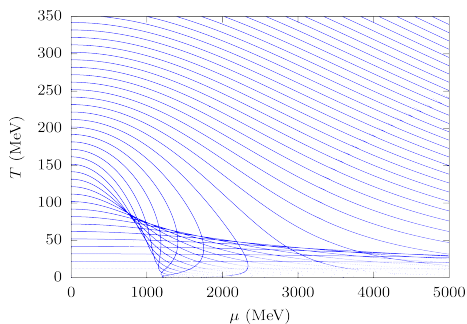
# Phase diagram

- Metastable solutions  $\rightarrow$  phase transition.
- Initial conditions

$$\begin{cases} \phi_0 \equiv \phi(0) \\ \Phi_1 \equiv \Phi'(0) \end{cases}$$

for dilaton and (bulk) electric field.

- Constant  $\phi_0$  lines, varying  $\Phi_1$ .





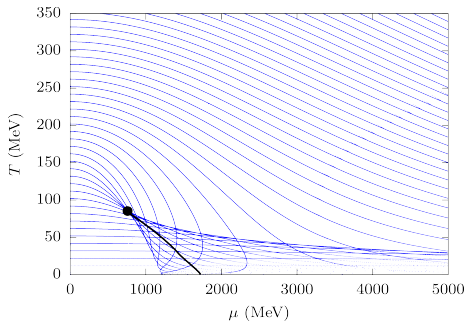
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for dilaton and (bulk) electric field.

- Constant  $\phi_0$  lines, varying  $\Phi_1$ .
- Critical endpoint (CEP) at crossing point.



- Powerful, flexible model capable of describing crossover region and beyond.

S. S. Gubser and A. Nellore, PRD **78** (2008)

R. Critelli, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **96** (2017)

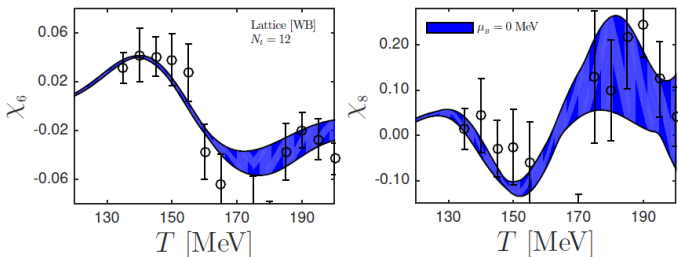
J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **104** (2021)

- Accurate prediction of  $\chi_8$  supported by lattice results.

R. Critelli, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **96** (2017)

S. Borsanyi, Z. Fodor, J. N. Guenther, S. K. Katz, K. K. Szabo, A. Pasztor, I. Portillo and  
C. Ratti, JHEP **10** (2018)

R. Rougemont, R. Critelli and J. Noronha, PRD **98** (2018)



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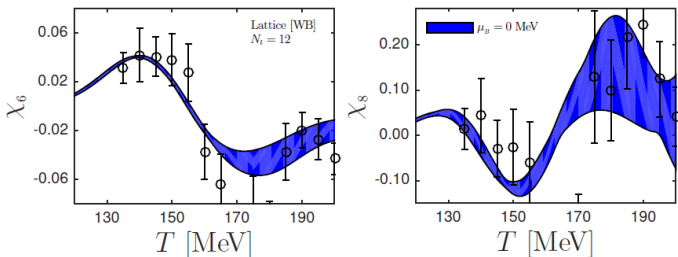
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C. Ratti, JHEP **10** (2018)

R. Rougemont, R. Critelli and J. Noronha, PRD **98** (2018)



- $\gtrsim 10$  parameters. What is their role in predictions?
- Do lattice results favor a critical point?

# Bayesian analysis

## Predictions

- Full EoS compatible with lattice results at  $\mu = 0$ .
- Critical point, first order line and metastable phases.
- Good prediction of  $T_{\mu}^{\mu}$  and finite density EoS.

## Uncertainties

- How strong are constraints from low-density EoS?
- Statistical error from lattice results?
- Systematic fit: optimal set of parameters?

*Use tools of Bayesian inference!*

# Probabilities

## Bayes' Theorem

$$\underbrace{P(\text{model} \mid \text{results})}_{\text{posterior } \mathcal{P}} \times P(\text{results}) = \underbrace{P(\text{results} \mid \text{model})}_{\text{likelihood } \mathcal{L}} \times \underbrace{P(\text{model})}_{\text{prior knowledge}}$$

## Gaussian Likelihood

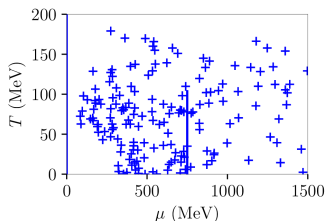
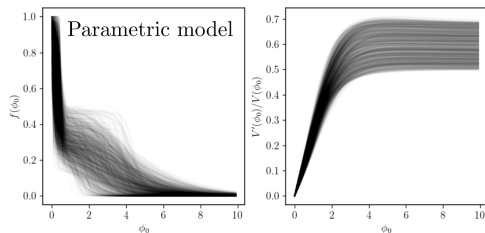
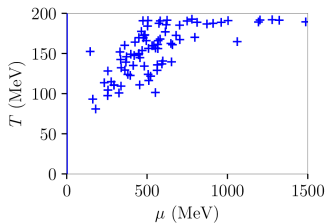
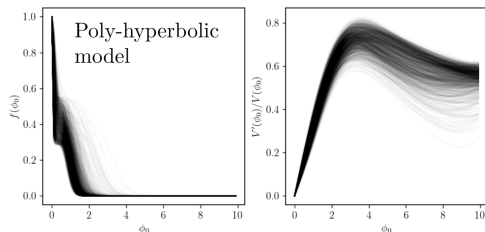
$$\mathcal{L} = \exp \left\{ -\frac{1}{2} \boldsymbol{\delta x}^T \boldsymbol{\Sigma}^{-1} \boldsymbol{\delta x} - \frac{1}{2} \log \det \boldsymbol{\Sigma} + \text{constant} \right\}$$

- $\boldsymbol{\delta x}$ : deviation for  $s(T)$  and  $\chi_2^{(B)}(T)$  at  $\mu = 0$ .
- Correlation  $\Gamma \equiv \exp(-\Delta T / \xi_T)$  between neighboring points  
→ extra model parameter.

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

# Priors

Parametric model leads to more uniform coverage of critical point positions and potential values.



## Markov Chain Monte-Carlo (MCMC)

- Start from prior (here, uniform).
- Random evolution to sample from posterior.
- Transition probabilities such that  $\mathcal{P}$  is stationary limit.

### Metropolis-Hastings algorithm

- 1 Make small random changes to parameters.
- 2 Compute  $\mathcal{P}$  from model EoS.
  - If  $\mathcal{P}/\mathcal{P}_0 > 1$ , transition to new parameters.
  - Otherwise, accept transition with probability  $\mathcal{P}/\mathcal{P}_0$ .
- 3 Repeat.

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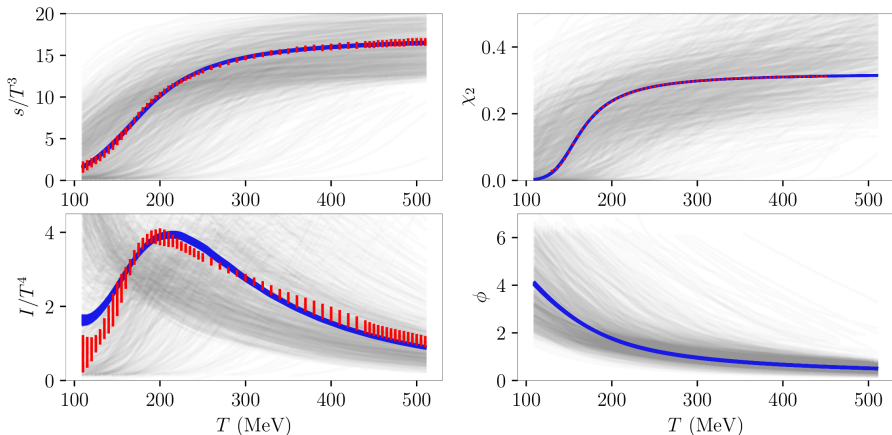
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- 3 Repeat.

**Inputs:** Baryon susceptibility and entropy density from the lattice.

S. Borsanyi, Z. Fodor, C. Hoelbling, S. D. Katz, S. Krieg and K. K. Szabo, PRL **730** (2014)  
 Borsányi, Fodor, Guenther et al., PRL **126** (2021)



# Posterior distribution: Equation of State



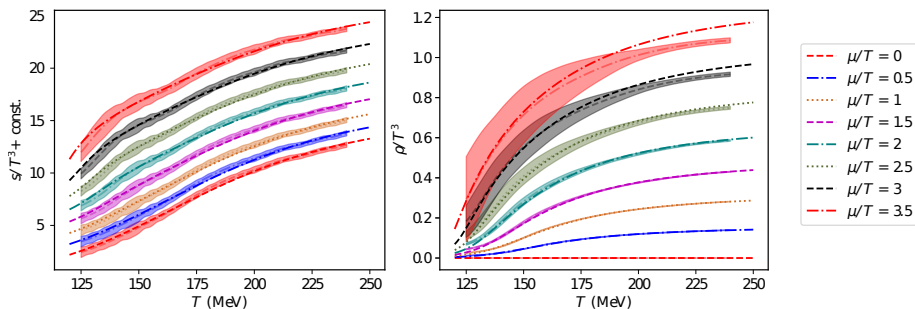
- Very tight constraints on entropy density and baryon susceptibility.

Bellwied, Borsanyi, Fodor et al., PRD **92** (2015)  
 Borsányi, Fodor, Guenther et al., PRL **126** (2021)

## Validation: MAP postdictions

- Excellent postdictions of lattice results at zero and *finite density*.
- Parametric model yields best MAP fit.

(model selection to be performed)

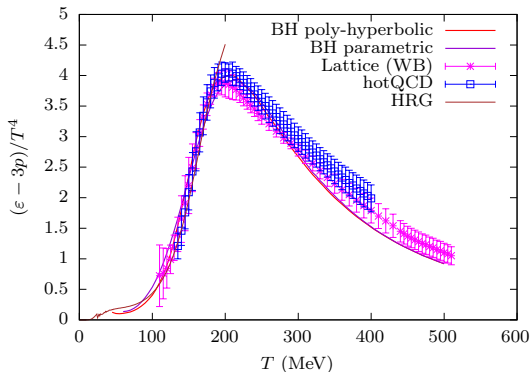


Borsányi, Fodor, Guenther et al., PRL **126** (2021)

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# Validation: MAP postdictions

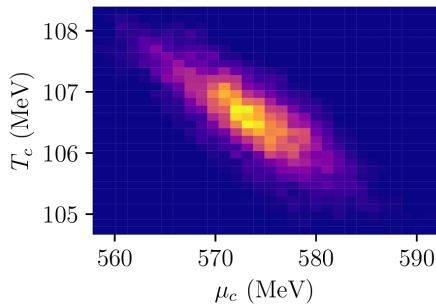
- Slightly worse agreement with the trace anomaly.



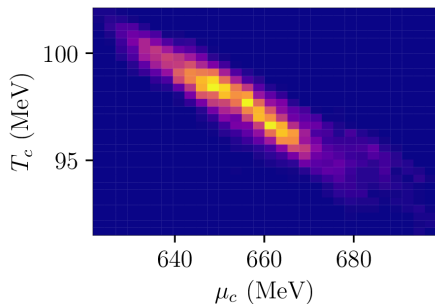
S. Borsanyi, Z. Fodor, C. Hoelbling, S. D. Katz, S. Krieg and K. K. Szabo, PRL **730** (2014)  
 MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

# Finding the critical point

Parametric Model



Polynomial-Hyperbolic Model

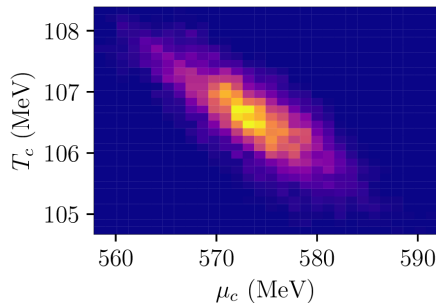


- Critical point successfully found in 88.8% – 99.9% of samples.

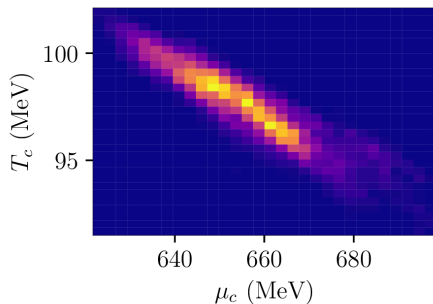
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# Finding the critical point

Parametric Model



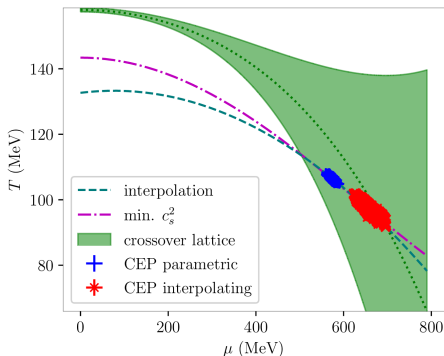
Polynomial-Hyperbolic Model



- Critical point successfully found in 88.8% – 99.9% of samples.
- In prior, only found in  $\sim 10 - 13\%$  of samples.

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

# Predictions for the CEP



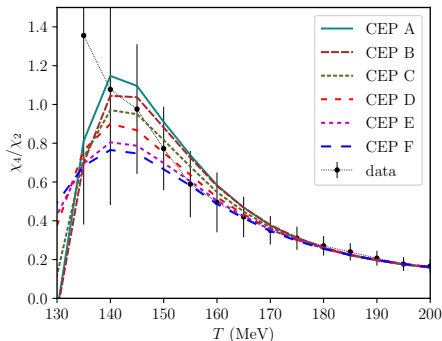
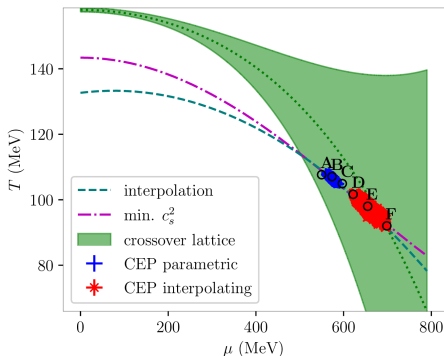
Parametric model  
 $(573 \pm 5, 106.6 \pm 0.6)$  MeV

Poly-hyperbolic model  
 $(655 \pm 13, 97.6 \pm 1.7)$  MeV

- Tight constraints. Compatible with lattice pseudo-critical line.
- Most of the uncertainty along same curve.

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.  
 J. Grefa, MH, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti and R. Rougemont, PRD **106** (2022)  
 S. Borsanyi et al., PRL **125** (2020)

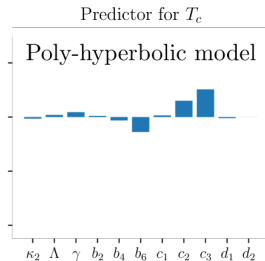
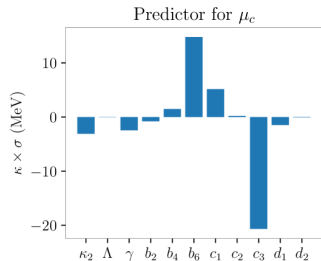
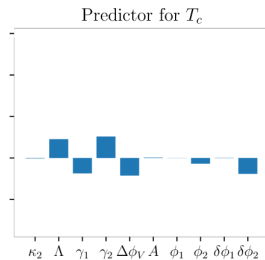
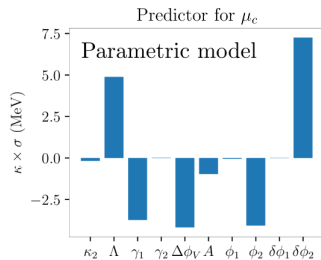
# Predictions for the CEP



- Tight constraints. Compatible with lattice pseudo-critical line.
- Most of the uncertainty along same curve.
- Degeneracy may be broken by results on  $\chi_4$  near the crossover.

MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.  
 J. Grefa, MH, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti and R. Rougemont, PRD **106** (2022)  
 S. Borsanyi et al., PRL **125** (2020)

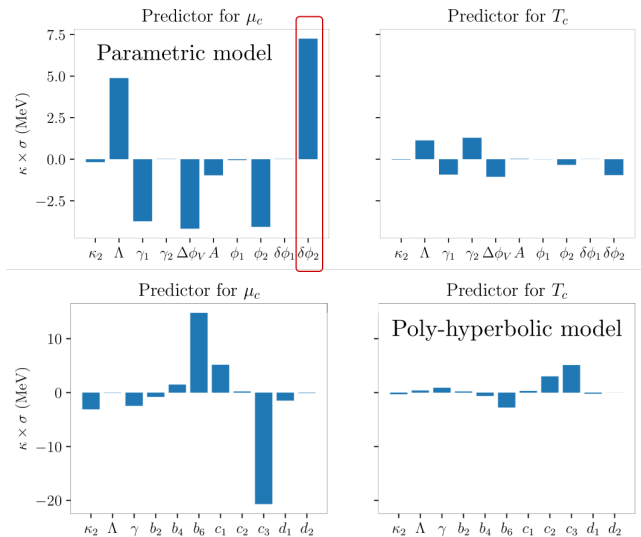
## Parameter sensitivity — Linear predictors





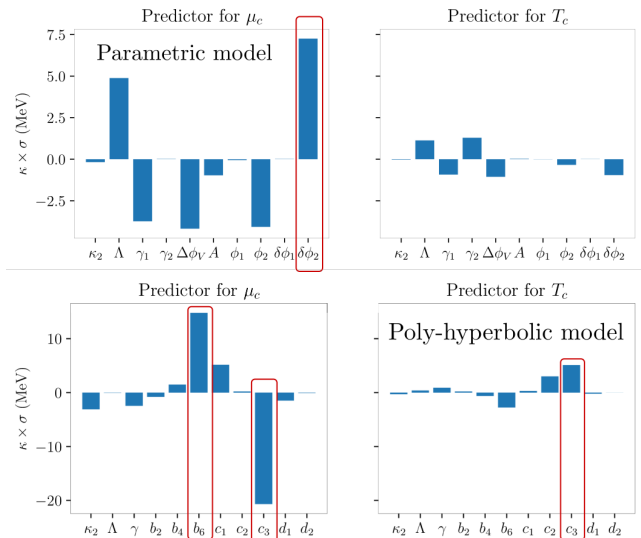
# Parameter sensitivity — Linear predictors

- Role of  $f(\phi)$  IR falloff.



# Parameter sensitivity — Linear predictors

- Role of  $f(\phi)$  IR falloff.
- Highest-order polynomial terms.



# Outlook

- Signatures of the critical point in and outside of equilibrium.
- How do lattice results determine location of the critical point?  
Can predictions be made more precise?
- Ensemble of models available to elucidate physical meaning of potentials.

## More...

- Integration to [MUSES framework](#) and merging with other models.
- Public EoS code expected this year!
- More conserved charges: isospin and strangeness.

# Conclusions

- 1 Powerful description of the strongly coupled QGP, matching *finite-density* lattice results.

Borsányi, Fodor, Guenther et al., PRL **126** (2021)

J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, PRD **104** (2021)

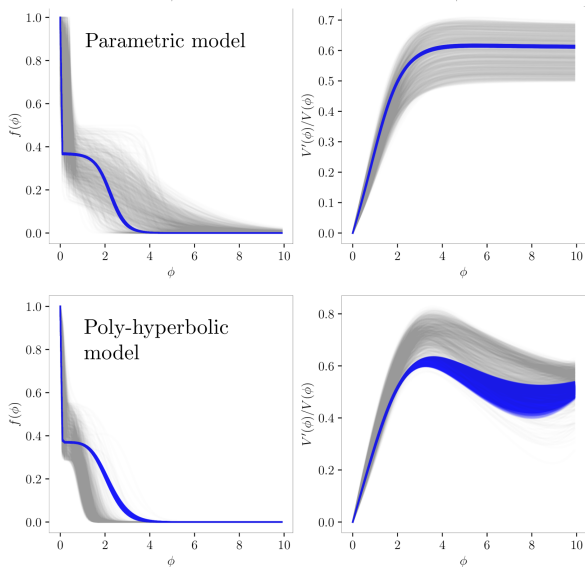
J. Grefa, M. Hippert, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti and R. Rougemont,  
PRD **106** (2022)

- 2 Bayesian inference: *ensemble* of equations of state favored by lattice results.
- 3 Large statistical preference for a CEP, to be more precisely quantified.
- 4 CEP in range  $\mu_c \approx 550 - 700$  MeV and  $T_c \approx 90 - 110$  MeV, within  $\sim 1$  MeV-wide band.

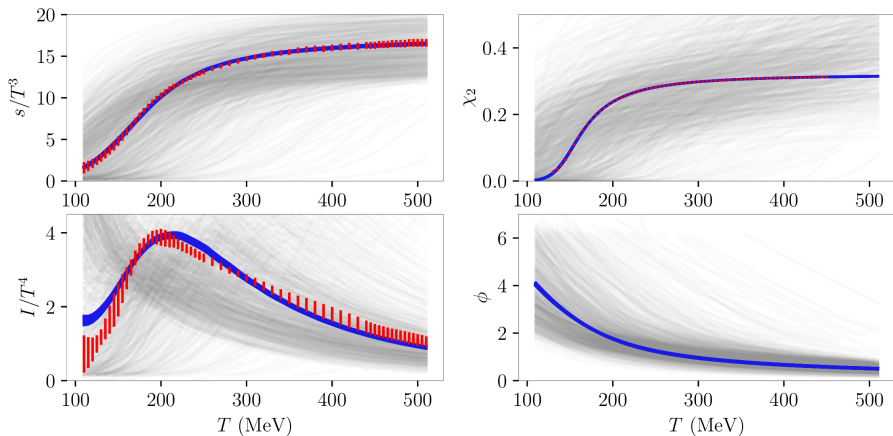
MH, J. Grefa, J. Noronha, J. Noronha-Hostler, I. Portillo, C. Ratti, R. Rougemont, to appear.

Backup slides...

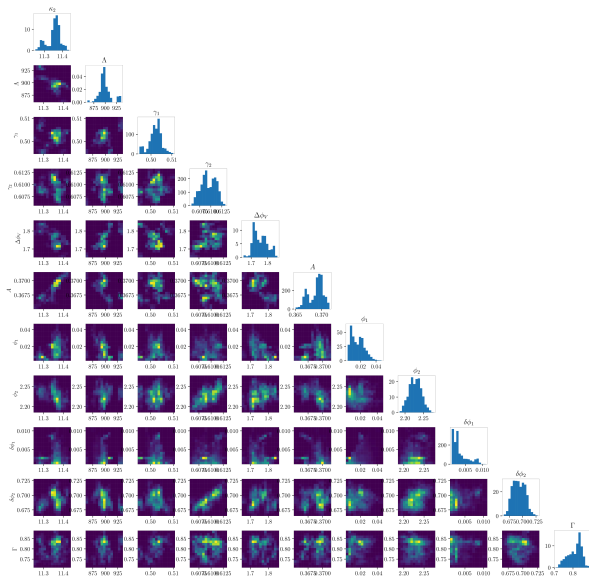
# Posterior distribution



# Posterior distribution: Equation of State

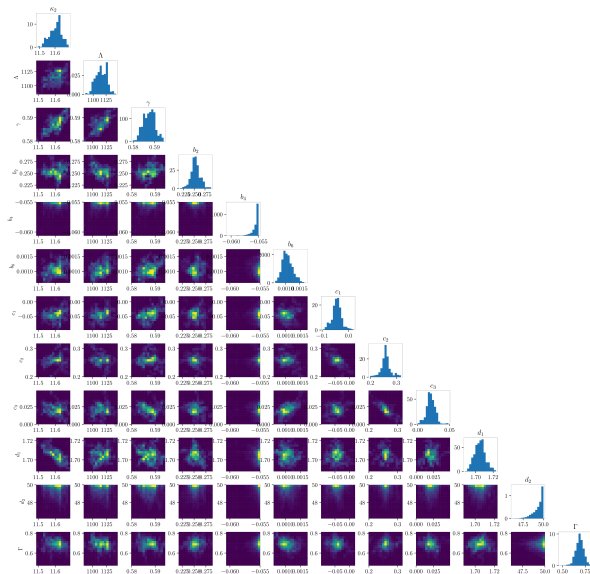


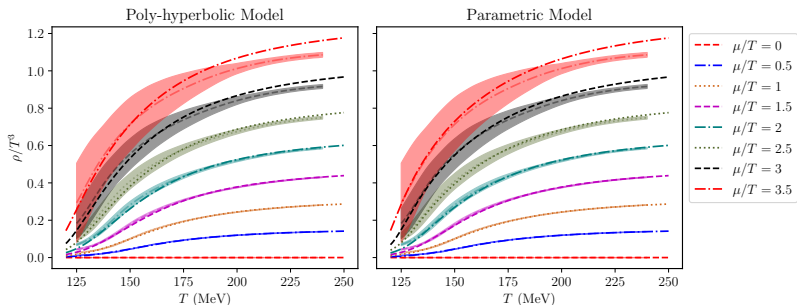
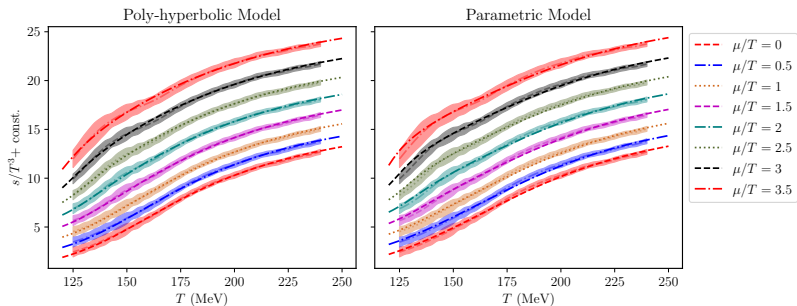
## Posterior: Parametric Model





# Posterior: Polynomial-Hyperbolic Model





# Equations of motion

$$\phi''(r) + \left[ \frac{h'(r)}{h(r)} + 4A'(r) - B'(r) \right] \phi'(r) - \frac{e^{2B(r)}}{h(r)} \left[ \frac{\partial V(\phi)}{\partial \phi} + \frac{e^{-2[A(r)+B(r)]} \Phi'(r)^2}{2} \frac{\partial f(\phi)}{\partial \phi} \right] = 0,$$

$$\Phi''(r) + \left[ 2A'(r) - B'(r) + \frac{d[\ln f(\phi)]}{d\phi} \phi'(r) \right] \Phi'(r) = 0,$$

$$A''(r) - A'(r)B'(r) + \frac{\phi'(r)^2}{6} = 0,$$

$$h''(r) + [4A'(r) - B'(r)]h'(r) - e^{-2A(r)} f(\phi) \Phi'(r)^2 = 0,$$

$$h(r)[24A'(r)^2 - \phi'(r)^2] + 6A'(r)h'(r) + 2e^{2B(r)}V(\phi) + e^{-2A(r)} f(\phi) \Phi'(r)^2 = 0,$$

# Extraction of Thermodynamics

- Thermodynamics extracted from scalings after conversion to physical units.
- Requires near-boundary scalings,

$$\phi \sim \phi_A e^{-\nu A(r)}, \quad \Phi \sim \Phi_0^{\text{far}} + \Phi_2^{\text{far}} e^{-2A(r)}, \quad A \sim A_{-1}^{\text{far}} r + A_0^{\text{far}}$$

- Inversion to find  $\phi_A$  and  $\Phi_2^{\text{far}}$ :  
large coefficient  $\times$  tiny number = pure noise.

# Thermodynamics

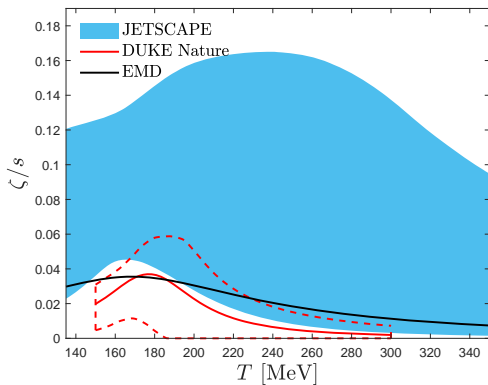
$$T = \frac{1}{4\pi\phi_A^{1/\nu} \sqrt{h_0^{\text{far}}}} \Lambda,$$

$$\mu_B = \frac{\Phi_0^{\text{far}}}{\phi_A^{1/\nu} \sqrt{h_0^{\text{far}}}} \Lambda,$$

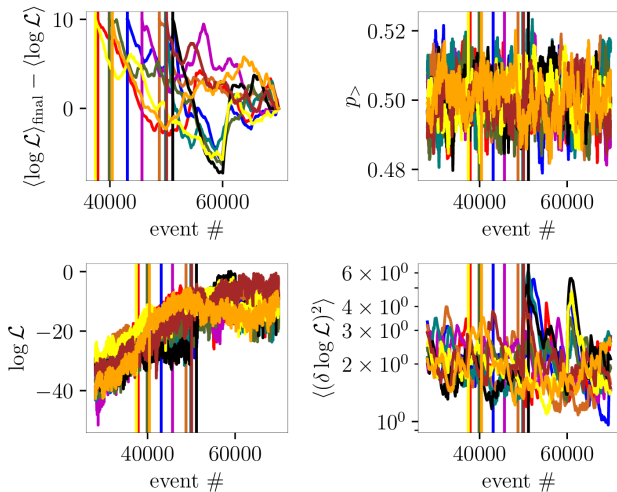
$$s = \frac{2\pi}{\kappa_5^2 \phi_A^{3/\nu}} \Lambda^3,$$

$$\rho_B = -\frac{\Phi_2^{\text{far}}}{\kappa_5^2 \phi_A^{3/\nu} \sqrt{h_0^{\text{far}}}} \Lambda^3.$$

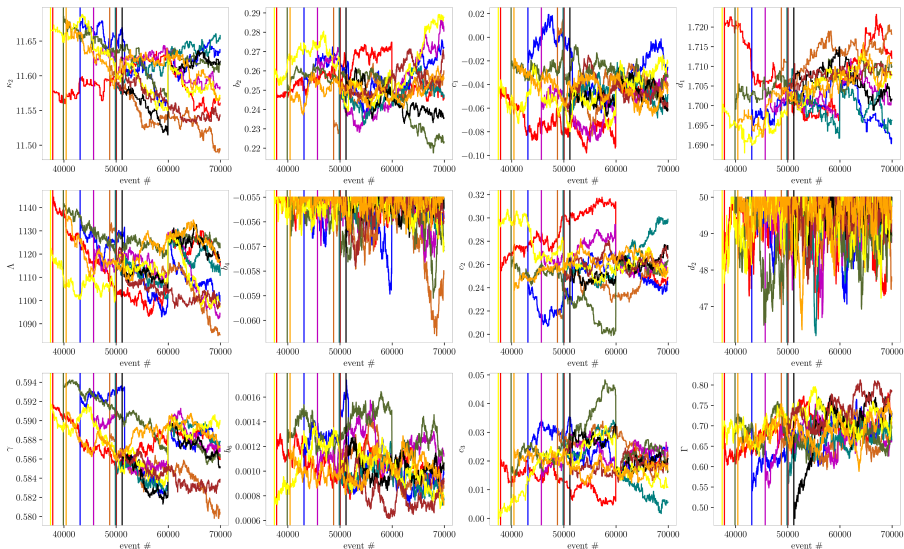
# Bulk Viscosity



## MCMC



## MCMC: Polynomial-Hyperbolic Model





## MCMC: Parametric Model

