

bla

Reflections on Supergravity

celebrating Pietro Fré

Università degli Studi di Torino, 7 October 2022

Hermann Nicolai

MPI für Gravitationsphysik, Potsdam

(Albert Einstein Institut)



A common thread

- Geometry, Symmetries and Supergravity
- First encounter in Erice (1981): highlighting the ‘Torino approach’ to supergravity !



Our only joint work

Class. Quantum Grav. **2** (1985) 133–145. Printed in Great Britain

Multiplet structure and spectra of $N = 2$ supersymmetric compactifications

A Ceresole^{†§}, P Fré[‡] and H Nicolai[‡]

[†] Istituto di Fisica Teorica Università di Torino, Italy

[‡] CERN, Geneva, Switzerland

Received 13 September 1984

Abstract. We use properties of the $Osp(2, 4)$ algebra to determine the spectra of $N = 2$ supersymmetric compactifications. The correspondence with the results obtained by harmonic expansions is established.

Supergravity

When supergravity was discovered in 1976

[Ferrara, Freedman, van Nieuwenhuizen(1976); Deser, Zumino(1976)]

it came with **great expectations**:

- Perturbatively finite quantum gravity?
- Unification of fundamental interactions?

How have these expectations worked out after 46 years?

→ enormous progress on many fronts but still no hint from experiment or observation whether and how Nature might make use of this theoretical framework.

In this talk: a personal (and perhaps unconventional) perspective on the present state of the art.

$N=8$ supergravity: to be or not to be?

Goroff-Sagnotti counterterm (for pure gravity) does not admit superextension, but supersymmetric counterterm exists at three loops \rightarrow no hope for an ‘easy’ proof of finiteness! **Nevertheless:**

We now know that $N=8$ supergravity is more finite than expected: behaves like $N=4$ super-Yang-Mills up to four loops [Bern,Carrasco,Dixon,Johansson, Roiban, PRL103(2009)081301]

- However: recent computation at five loops shows divergence at $D = \frac{24}{5} = 2 + \frac{14}{L} < \frac{26}{5} = 4 + \frac{6}{L}$ (for $L = 5$)

[Bern,Carrasco,Chen,Edison,Johansson,Parra-Martinez,Roiban,PRD98(2018)086021]

Although no fully supersymmetric and fully $E_{7(7)}$ invariant counterterm known, finiteness would probably still require novel (so far hidden) symmetries...

Thus: question of finiteness is still up in the air!

Superstring Finiteness?

Superstring: $N=1(\frac{1}{2})$ conformal supergravity in $D=2!$

The string magic: quantum gravity path integral reduces to (sum over) *finite*-dimensional integrals:

$$\text{amplitude} = \sum_{g \geq 0} g_s^{2-2g} \int_{(\mathcal{S})\mathcal{M}_{g,n}} d\mu_{g,n} \langle V_1(P_1) \cdots V_n(P_n) \rangle_{\Sigma_g}$$

where

- $\langle \cdots \rangle =$ CFT correlator on Riemann surface Σ_g of genus g
- $(\mathcal{S})\mathcal{M}_{g,n} =$ moduli or supermoduli space of n -punctured Riemann surface of genus g with suitable measure $d\mu_{g,n}$.

No UV divergences, but in presence of tachyons there are IR divergences \equiv integral over $\mathcal{M}_{g,n}$ does not converge at cusp(s) \Leftrightarrow *supersymmetry is essential!*

Depending on your point of view question of finiteness remains unsettled, especially noting that supersymmetry must be broken.

Phenomenology: early (failed) attempts

1. Focus on vector-like $SU(3) \times U(1) \subset SO(8)$, with identifications $SU(3) \equiv SU(3)_c$ and $U(1) \equiv U(1)_{em}$ [Gell-Mann(1978)]
→ does not work: color sextets and octets
2. Following a suggestion by Cremmer and Julia: elevate (chiral) R symmetry $SU(8)$ to a *dynamical* symmetry → $3 \times (\bar{5} \oplus 10)$ fermions of $SU(5)$ GUT + much more [Ellis, Gaillard, Zumino(1981)]

Prevailing view (since about 1982): $N=8$ supergravity is *obviously not* a good candidate for quantum gravity and the unification of all interactions!

Alternatively (→ Pietro's work!)

- Compactification of $D = 11$ SUGRA [Freund, Rubin(1982)]
- \mathcal{M}_{pqr} spaces and $SU(3) \times SU(2) \times U(1)$ symmetry
[Castellani, D'Auria, Fré, NPB239(1984)610]
- But: chiral fermions? Huge negative cosmological constant?

→ no obvious path to Standard Model physics !

The Heterotic String (1985)

“... Although much work remains to be done there seem to be no insuperable obstacles to **deriving all of known physics from the $E_8 \times E_8$ heterotic string.**”

[Gross,Harvey,Martinec,Rohm, Nucl.Phys.B256(1985)253]

“We study candidate vacuum configurations in ten-dimensional $O(32)$ and $E_8 \times E_8$ supergravity and superstring theory that have unbroken $N = 1$ supersymmetry in four dimensions. This condition *permits only a few possibilities*, all of which have vanishing cosmological constant...”

[Candelas,Horowitz,Strominger,Witten, NPB258(1985)46]

So the hope for an (almost) *unique* path from the $E_8 \times E_8$ heterotic string to the Standard Models of particle physics and cosmology, and thus to our four-dimensional real world, was clearly there....

A huge step away from uniqueness

Following [Narain(1985)]: *Chiral Four-Dimensional Heterotic Strings from Selfdual Lattices* [Lerche,Lüst,Schellekens, NPB287(1987)477]

→ Proliferation of string vacua via lattice compactifications!

... all of which lead to different physics (gauge groups, particle multiplets, *etc.*) in 4D low energy world.

Meanwhile this number has gotten even larger: flux compactifications, orbi- and orientifolds, brane constructions, F theory,...

- *Big Numbers in String Theory* [A.Schellekens,1601.02462 [hep-th]]
- *Scanning the skeleton of the 4D F-theory landscape*
[W.Taylor,Y.N.Wang, JHEP 01 (2018) 111] → 10^{272000} vacua? Or even more?

Current strategy: try to recover SM physics with some extension of MSSM, with $N = 1$ low energy supersymmetry motivated by hierarchy problem. But problems remain, in particular:

- Extra ingredients (superpartners, additional multiplets,...).
- No fully satisfactory mechanism to break supersymmetry.

(No) News from LHC

ATLAS SUSY Searches* - 95% CL Lower Limits				ATLAS Preliminary		
December 2017				Status: July 2017		
Model	\sqrt{s} , τ , γ	Jets	E_{T}^{miss} [GeV]	Mass limit	$\sqrt{s} = 7, 8 \text{ TeV}$	$\sqrt{s} = 13 \text{ TeV}$
Motivated SUSY	g_1, g_2, g_3	0, 1-4, 5	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	710 GeV	1.57 TeV	1.57 TeV
100% production	g_1, g_2, g_3	0, 1-4, 5	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	710 GeV	1.57 TeV	1.57 TeV
100% annihilation	g_1, g_2, g_3	0, 1-4, 5	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	710 GeV	1.57 TeV	1.57 TeV
EW	g_1, g_2, g_3	0, 1-4, 5	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	710 GeV	1.57 TeV	1.57 TeV
Landau pole	g_1, g_2, g_3	0, 1-4, 5	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	710 GeV	1.57 TeV	1.57 TeV

ATLAS Exotics Searches* - 95% CL Upper Exclusion Limits				ATLAS Preliminary		
Status: July 2017				Status: July 2017		
Model	\sqrt{s} , τ , γ	Jets	E_{T}^{miss} [GeV]	Limit	$\sqrt{s} = 7, 8, 13 \text{ TeV}$	$\sqrt{s} = 8, 13 \text{ TeV}$
Extra dimensions	ADD $G_{\mu\nu}$ + g_2	2 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700, 750, 800, 850, 900, 950, 1000, 1100, 1200, 1300, 1400, 1500, 1600, 1700, 1800, 1900, 2000, 2100, 2200, 2300, 2400, 2500, 2600, 2700, 2800, 2900, 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, 3900, 4000, 4100, 4200, 4300, 4400, 4500, 4600, 4700, 4800, 4900, 5000	7.5 TeV	8.8 TeV	8.8 TeV
Gravitational bosons	SM Z + g_2	2 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	2.8 TeV	3.6 TeV	3.6 TeV
CI	CI (μ)	0	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	2.3 TeV	2.8 TeV	2.8 TeV
DM	Neutralino mediator (Dirac DM)	0 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	1.9 TeV	2.3 TeV	2.3 TeV
LO	Scalar LQ 1 st gen	2 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	1.5 TeV	1.8 TeV	1.8 TeV
Heavy quarks	VLQ T1 - H + X	0 or 1 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	1.2 TeV	1.5 TeV	1.5 TeV
Exotic fermions	Exotic quark $q' = ug$	0 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	3.3 TeV	4.1 TeV	4.1 TeV
Other	LFQM g_2 + g_3 + g_1	0 γ	20, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 150, 180, 200, 250, 300, 350, 400	1.5 TeV	1.8 TeV	1.8 TeV

Exclusion limits, nothing but exclusion limits, ...

- No hints whatsoever of new physics
- RG Evolution of (slightly amended) SM couplings: no Landau poles, no instabilities of effective potential up to Planck scale

Conclusion (so far, at least): Standard Model could survive more or less *as is* all the way to Planck scale !

A strange coincidence?

$SO(8) \rightarrow SU(3) \times U(1)$ breaking and ‘family-color locking’

$$\begin{array}{llll}
 (u, c, t)_L : & \mathbf{3}_c \times \bar{\mathbf{3}}_f \rightarrow \mathbf{8} \oplus \mathbf{1}, & +\frac{1}{2} = \frac{2}{3} - q \\
 (\bar{u}, \bar{c}, \bar{t})_L : & \bar{\mathbf{3}}_c \times \mathbf{3}_f \rightarrow \mathbf{8} \oplus \mathbf{1}, & -\frac{1}{2} = -\frac{2}{3} + q \\
 (d, s, b)_L : & \mathbf{3}_c \times \mathbf{3}_f \rightarrow \mathbf{6} \oplus \bar{\mathbf{3}}, & -\frac{1}{6} = -\frac{1}{3} + q \\
 (\bar{d}, \bar{s}, \bar{b})_L : & \bar{\mathbf{3}}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{6}} \oplus \mathbf{3}, & +\frac{1}{6} = \frac{1}{3} - q \\
 (e^-, \mu^-, \tau^-)_L : & \mathbf{1}_c \times \mathbf{3}_f \rightarrow \mathbf{3}, & -\frac{5}{6} = -1 + q \\
 (e^+, \mu^+, \tau^+)_L : & \mathbf{1}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{3}}, & +\frac{5}{6} = 1 - q \\
 (\nu_e, \nu_\mu, \nu_\tau)_L : & \mathbf{1}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{3}}, & -\frac{1}{6} = 0 - q \\
 (\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau)_L : & \mathbf{1}_c \times \mathbf{3}_f \rightarrow \mathbf{3}, & +\frac{1}{6} = 0 + q
 \end{array}$$

Realized at $SU(3) \times U(1)$ stationary point with residual unbroken $N=2$ supersymmetry [Warner,HN, NPB259(1985)412]

Supergravity and Standard Model assignments agree if spurion charge is chosen as $q = \frac{1}{6}$ [Gell-Mann (1983)]

Fixing the U(1) mismatch

[Meissner,HN: Phys.Rev.D91(2015)065029]

Spurion charge shift can be realised as $\exp(\frac{1}{6}\omega\mathcal{I})$ with

$$\mathcal{I} = \frac{1}{2}(T \wedge \mathbf{1} \wedge \mathbf{1} + \mathbf{1} \wedge T \wedge \mathbf{1} + \mathbf{1} \wedge \mathbf{1} \wedge T + T \wedge T \wedge T) \Rightarrow \mathcal{I}^2 = -1$$

acting on 56 fermions χ^{ijk} in $\mathbf{8} \wedge \mathbf{8} \wedge \mathbf{8}$ of SU(8), with

$$T = \begin{pmatrix} 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad T^2 = -1$$

However: \mathcal{I} *not* in $SU(8) \equiv K(E_7) \Rightarrow$ mismatch can *not* be fixed *within* $N = 8$ supergravity \rightarrow requires going all the way to $K(E_{10})$ (and thus E_{10} !) [Kleinschmidt,HN: PLB747(2015)]

Idea: $N=8$ supergravity not quite but ‘almost’ right...

Curious Gravitinos

Gravitinos are the telltale signature of supergravity!

Under $SU(3)_c \times U(1)_{em}$ gravitinos transform as

$$\left(\mathbf{3}_c, \frac{1}{3}\right) \oplus \left(\bar{\mathbf{3}}_c, -\frac{1}{3}\right) \oplus \left(\mathbf{1}_c, \frac{2}{3}\right) \oplus \left(\mathbf{1}_c, -\frac{2}{3}\right)$$

Unusual features: [\[K.Meissner,HN:PRD100\(2019\)035001\]](#)

- Spurion shift of electric charges must be included
- strong and electromagnetic interactions
→ very different from $N=1$ MSSM gravitinos!
- stable against decay into SM matter because of peculiar quantum numbers \Rightarrow (superheavy) Dark Matter candidates?
- Possibly interesting (real physics!) applications: UHECRs and seeds for primordial black holes?

Explaining UHECRs?

[K.Meissner, HN: JCAP1909(2019)041]

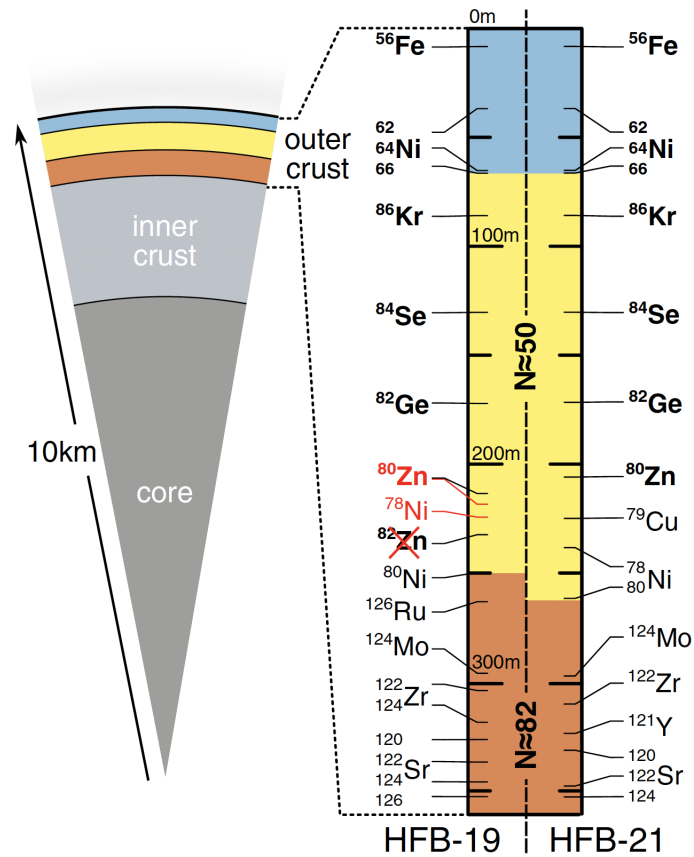
New mechanism: color triplet gravitinos could explain observed UHECR events via gravitino-antigravitino annihilation in the ‘skin’ of neutron stars, provided

- Gravitinos (or ‘gravimesons’) get absorbed into stars ...
- ... and get ‘compressed’ in neutron stars so as to enable them to annihilate in appreciable rates

New features:

- Annihilation of Planck mass particles into 10^6 (mostly hadronic) particles $\rightarrow 10^{-6} \times 10^{18} \text{ GeV} \sim 10^{21} \text{ eV}$ per ejectum
- Ejection from ‘skin’ of neutron star could explain observed dominant appearance of ions towards very highest energies
- with some ‘reasonable’ assumptions calculated event rates come close to the ones observed at Pierre Auger Observatory (in Argentina) \sim one UHECR event per month and per 3000 km^2 .

Hints of supergravity from neutron stars?



[Diagram from: R.N.Wolf et al., PRL110(2013)041101]

Outlook

- Supergravity is a beautiful theoretical framework – it is hard to believe that Nature would not make use of it (cf. Yang-Mills theory in the 50ies.)
- But ultimate framework not clear: IIA, IIB and heterotic superstrings not the most symmetric (maximally extended) worldsheet theories
→ is supermembrane theory a better ansatz?

Outlook

- Supergravity is a beautiful theoretical framework – it is hard to believe that Nature would not make use of it (cf. Yang-Mills theory in the 50ies.)
- But ultimate framework not clear: IIA, IIB and heterotic superstrings not the most symmetric (maximally extended) worldsheet theories
→ is supermembrane theory a better ansatz?

Caro Pietro: grazie per i tanti anni di
amicizia e di ispirazione – ti auguro
ogni bene per il futuro!