

*CP violation and mixing
in beauty and charm*

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Motivation

- ◇ The CKM matrix encodes the quark flavour structure of the SM
 - * Source of CP violation due to irreducible phase
 - Probably too small to explain matter-antimatter asymmetry
 - * No FCNC because of GIM mechanism
 - Meson mixing can only arise at one-loop order
- ◇ For heavy hadrons H_Q , systematic framework: the HQE
 - $m_Q \gg \Lambda_{\text{QCD}}$
- ◇ Aim at precise theoretical and experimental determinations
 - * To test the SM and the framework used
 - * To perform indirect NP searches

The heavy quark expansion (HQE)

- ◇ Lifetime $\tau = \Gamma^{-1}$ is a fundamental property of particles
- ◇ Can be systematically computed within the HQE [Shifman, Voloshin '85]
see also review [Lenz '14]

$$\Gamma(H_Q) = \underbrace{\underbrace{\Gamma_3}_{\Gamma(Q)} + \Gamma_5 \frac{\langle \mathcal{O}_5 \rangle}{m_Q^2} + \Gamma_6 \frac{\langle \mathcal{O}_6 \rangle}{m_Q^3} + \dots}_{\delta\Gamma(H_Q)} + 16\pi^2 \left[\tilde{\Gamma}_6 \frac{\langle \tilde{\mathcal{O}}_6 \rangle}{m_Q^3} + \tilde{\Gamma}_7 \frac{\langle \tilde{\mathcal{O}}_7 \rangle}{m_Q^4} + \dots \right]$$

- * $\Gamma_d, \tilde{\Gamma}_d$ - short distance coefficients
- * $\mathcal{O}_d, \tilde{\mathcal{O}}_d$ - local operators bilinear in the heavy quark field
- * $\Gamma(Q)$ - total decay width of free Q quark
- * $\delta\Gamma(H_Q)$ - effects due to non perturbative interactions

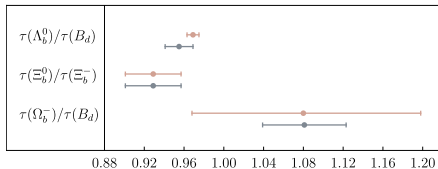
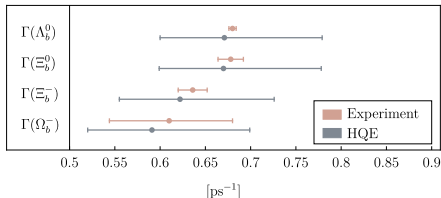
Lifetimes in beauty sector

- Both the expansion parameters of the HQE are small

$$\alpha_s(m_b) \sim 0.22$$

$$\frac{\Lambda_{QCD}}{m_b} \sim 0.10$$

- Very good agreement of HQE predictions with data



[Gratex, Lenz, Melić, Nišandžić, MLP, Rusov '23]

- Dominant theoretical uncertainties due to
 - Scale variation - for the total widths
 - Non-perturbative inputs - for the lifetime ratios

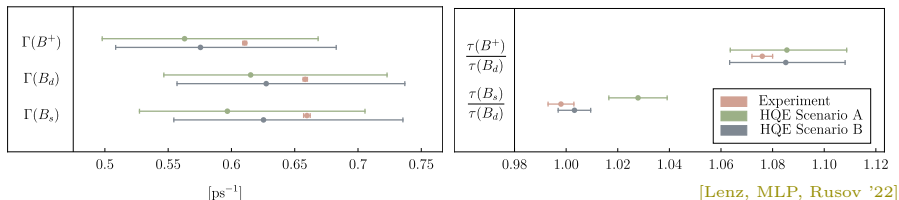
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Tension between extraction of ρ_D^3 from inclusive B -decays!

[Bordone et al. '21; Bernlochner et al. '22]

Lifetimes in charm sector

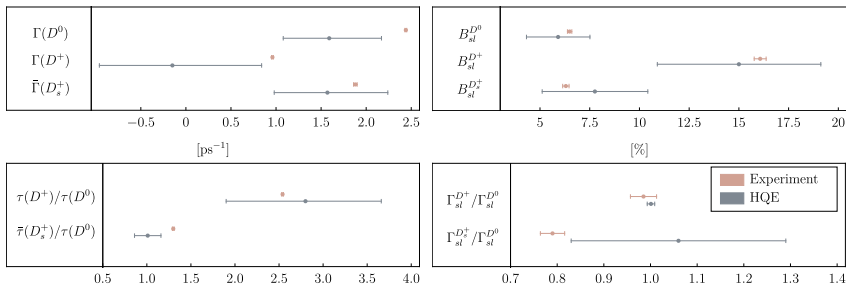
- Are the expansion parameters of the HQE small enough?

$$\alpha_s(m_c) \sim 0.33$$

$$\frac{\Lambda_{QCD}}{m_c} \sim 0.30$$

- The HQE can successfully explain the observed pattern

But with very large uncertainties!



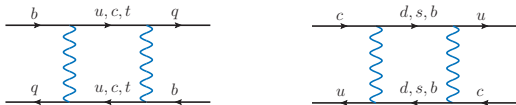
[King, Lenz, MLP, Rauh, Rusov, Vlahos '21]

- Results confirmed by recent analyses, studied also baryons

[Gratex, Melić, Nišandžić '22; Dulibič, Gratex, Melić, Nišandžić '23]

Meson mixing

- Neutral mesons mix with their antiparticles via box diagrams



- Evolution described by 2×2 Hamiltonian matrix

$$i \frac{d}{dt} \begin{pmatrix} |\mathcal{M}^0(t)\rangle \\ |\overline{\mathcal{M}}^0(t)\rangle \end{pmatrix} = \left(\hat{M} - i \frac{\hat{\Gamma}}{2} \right) \begin{pmatrix} |\mathcal{M}^0(t)\rangle \\ |\overline{\mathcal{M}}^0(t)\rangle \end{pmatrix} \Rightarrow \begin{cases} |\mathcal{M}_L\rangle = p |\mathcal{M}^0\rangle + q |\overline{\mathcal{M}}^0\rangle \\ |\mathcal{M}_H\rangle = p |\mathcal{M}^0\rangle - q |\overline{\mathcal{M}}^0\rangle \end{cases}$$

- Define mixing observables

$$\Delta M = M_H - M_L$$

$$\Delta \Gamma = \Gamma_L - \Gamma_H$$

- And the dimensionless ratios

$$x = \Delta M / \Gamma$$

$$y = \Delta \Gamma / 2\Gamma$$

with

$$\Gamma = (\Gamma_H + \Gamma_L) / 2$$

Meson mixing

- ◇ Theoretically determine mixing observables as

e.g. see reviews [Artuso, Borissov, Lenz '15; Lenz, Wilkinson '20]

$$\Delta M \approx 2|M_{12}|$$

$$\Delta\Gamma \approx 2|\Gamma_{12}|$$

$$\phi_{12} = \arg(-M_{12}/\Gamma_{12})$$

Expanding in the small parameters $|\Gamma_{12}|/|M_{12}|$ and/or ϕ_{12}

- ◇ M_{12} corresponds to dispersive part of $\mathcal{M}^0 \rightarrow \overline{\mathcal{M}}^0$ amplitude
 - * Directly sensitive to heavy NP particles
- ◇ Γ_{12} corresponds to absorptive part of $\mathcal{M}^0 \rightarrow \overline{\mathcal{M}}^0$ amplitude
 - * Directly sensitive to light NP particles
- ◇ CP violation in mixing encoded in parameter a_{fs}

$$\left| \frac{q}{p} \right| \approx 1 - \frac{a_{\text{fs}}}{2}$$

$$a_{\text{fs}} = \left| \frac{\Gamma_{12}}{M_{12}} \right| \sin \phi_{12}$$

Mixing in beauty sector

- ◇ Off-shell contributions to the box diagrams

$$M_{12} = \lambda_u^2 (F_{cc} - 2F_{uc} + F_{uu}) + 2\lambda_u \lambda_t (F_{cc} - F_{uc} + F_{ut} - F_{ct}) + \lambda_t^2 (F_{cc} - 2F_{ct} + F_{tt})$$

- * Described in terms of $\Delta B = 2$ effective Hamiltonian

- ◇ On-shell contributions to the box diagrams

$$\Gamma_{12} = \lambda_u^2 (\tilde{F}_{cc} - 2\tilde{F}_{uc} + \tilde{F}_{uu}) + 2\lambda_u \lambda_t (\tilde{F}_{cc} - \tilde{F}_{uc}) + \lambda_t^2 \tilde{F}_{cc}$$

- * Described by double insertion of $\Delta B = 1$ effective Hamiltonian

Can be computed within the HQE

$$\lambda_u^d \sim \lambda_c^d \sim \lambda_t^d$$

$$\lambda_u^s \ll \lambda_c^s \sim \lambda_t^s$$

$$\lambda_x^q = V_{xb} V_{xq}^*$$

- ◇ GIM and CKM suppressions go in the same direction!

Mixing in beauty sector

◇ Current theoretical status

[Lenz, Tetlalmatzi-Xolocotzi '19; Gerlach, Nierste, Shtabovenko, Steinhauser '22]

$$\Delta\Gamma_d^{\text{SM}} = (2.6 \pm 0.4) \cdot 10^{-3} \text{ps}^{-1} \quad \Delta M_d^{\text{SM}} = (0.543 \pm 0.029) \text{ps}^{-1} \quad a_{\text{fs},d}^{\text{SM}} = (-4.73 \pm 0.42) \cdot 10^{-4}$$

$$\Delta\Gamma_s^{\text{SM}} = (7.6 \pm 1.7) \cdot 10^{-2} \text{ps}^{-1} \quad \Delta M_s^{\text{SM}} = (18.77 \pm 0.86) \text{ps}^{-1} \quad a_{\text{fs},s}^{\text{SM}} = (2.06 \pm 0.18) \cdot 10^{-5}$$

* NLO and NNLO corrections at dim-6, LO at dim-7

[Buras et al. '99; Beneke et al. '98, '03; Ciuchini et al. '98; Lenz, Nierste '06]

[Asatrian et al. '17, '20; Beneke et al. '96; Dighe et al. '01]

* Dim-6 and dim-7 bag parameters

HQET SR: [Kirk, Lenz, Rauh '17; King, Lenz, Rauh '19]

Fermilab/MILC 1602.03560, RBC/UKQCD 1812.08791, HPQCD 1907.0102, 1910.00970

◇ Compare with experimental status [HFLAV '23]

$$(\Delta\Gamma_d/\Gamma_d)^{\text{exp.}} = 0.001 \pm 0.010 \quad \Delta M_d^{\text{exp.}} = (0.5065 \pm 0.0019) \text{ps}^{-1} \quad a_{\text{fs},d}^{\text{exp.}} = (-21 \pm 17) \cdot 10^{-4}$$

$$\Delta\Gamma_s^{\text{exp.}} = (7.4 \pm 0.6) \cdot 10^{-2} \text{ps}^{-1} \quad \Delta M_s^{\text{exp.}} = (17.765 \pm 0.006) \text{ps}^{-1} \quad a_{\text{fs},s}^{\text{exp.}} = (-60 \pm 280) \cdot 10^{-5}$$

Mixing in charm sector

- ◇ Strong interplay of CKM and GIM suppression

$$\lambda_d \sim \lambda_s \sim \lambda \gg \lambda_b \sim \lambda^5 \quad \lambda_x = V_{cx} V_{ux}^*$$

$$M_{12} = \lambda_s^2 (F_{ss} - 2F_{ds} + F_{dd}) + 2\lambda_s \lambda_b (F_{bs} - F_{bd} + F_{dd} - F_{sd}) + \lambda_b^2 (F_{bb} - 2F_{bd} + F_{dd})$$

$$\Gamma_{12} = -\lambda_s^2 (\tilde{F}_{ss} - 2\tilde{F}_{ds} + \tilde{F}_{dd}) + 2\lambda_s \lambda_b (\tilde{F}_{sd} - \tilde{F}_{dd}) - \lambda_b^2 \tilde{F}_{dd}$$

- * All terms are of similar size, pronounced cancellations
- * Dominated by double insertion of $\Delta C = 1$ effective Hamiltonian

Can be computed within the HQE?

Mixing in charm sector

- ◇ Experimentally both x and y are measured quite precisely

[HFLAV '22]

$$x^{\text{exp.}} = (0.407 \pm 0.044) \%$$

$$y^{\text{exp.}} = (0.647 \pm 0.024) \%$$

- ◇ Determine y within the HQE

$$y^{\text{HQE}} \sim 10^{-4} y^{\text{exp.}} !$$

- ◇ Complete failure of HQE for charm mixing?

However HQE successful for charm lifetimes

- * Alternative renormalisation scale setting can lift GIM cancellation

[Lenz, MLP, Vlahos '20]

- * Potential large impact of higher dimensional operators

[Georgi '92; Ohl et al. '93; Bigi, Uraltsev '00]

- ◇ Use different method: lattice determination, exclusive approach

[Hansen, Sharpe '12]

see e.g. [Falk et al. '01]

CP asymmetries

- ◇ Flavour-specific CP asymmetry:

$$A_{\text{fs}} = \frac{\Gamma(\overline{\mathcal{M}}(t) \rightarrow f) - \Gamma(\mathcal{M}(t) \rightarrow \bar{f})}{\Gamma(\overline{\mathcal{M}}(t) \rightarrow f) + \Gamma(\mathcal{M}(t) \rightarrow \bar{f})} = a_{\text{fs}}$$

$$\mathcal{A}_{\bar{f}} = 0, \bar{\mathcal{A}}_f = 0, \text{ also assume } \mathcal{A}_f = \bar{\mathcal{A}}_{\bar{f}}$$

- * Observation of $a_{\text{fs}}(\bar{B}_s \rightarrow D_s^+ \pi^-) - a_{\text{fs}}(\bar{B}_s \rightarrow D_s^+ \ell^- \bar{\nu}) \neq 0$ clear sign of NP

[Gershon, Lenz, Rusov, Skidmore '21; Fleischer, Vos '17]

- ◇ Mixing-induced CP asymmetry:

$$A_{\text{ind}} = \frac{\Gamma(\overline{\mathcal{M}}(t) \rightarrow f) - \Gamma(\mathcal{M}(t) \rightarrow f)}{\Gamma(\overline{\mathcal{M}}(t) \rightarrow f) + \Gamma(\mathcal{M}(t) \rightarrow f)}$$

$$\text{e.g. } \bar{B}_s \rightarrow J/\psi \phi, \quad \bar{B}_d \rightarrow J/\psi K_S$$

- * Exp. precision for β_s close to th. uncertainty of **penguin pollution**

Still not known from first principles!

- ◇ Direct CP asymmetry:

$$A_{\text{dir}} = \frac{\Gamma(\overline{\mathcal{M}}(t) \rightarrow \bar{f}) - \Gamma(\mathcal{M}(t) \rightarrow f)}{\Gamma(\overline{\mathcal{M}}(t) \rightarrow \bar{f}) + \Gamma(\mathcal{M}(t) \rightarrow f)}$$

$$\text{e.g. } \Delta A_{\text{CP}} = A_{\text{dir}}(D^0 \rightarrow K^+ K^-) - A_{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-)$$

- * Theory very hard, it is crucial to control penguin contributions

Non-leptonic decays are challenging

- ◇ The tree-level decays $\bar{B}_{(s)} \rightarrow D_{(s)}^+ K^- (\pi^-)$ are th. “clean”, and
 - * Tension between QCDF predictions and data ranging $(2 - 7)\sigma$
[Bordone, Gubernari, Huber, Jung, van Dyk '20]
 - * Investigated explanation in terms of NP in $b \rightarrow c\bar{u}d(s)$ transitions
[Cai, Deng, Li, Yang '21]
 - * Significant modification of CKM angle γ
[Brod, Lenz, Tetslalmatzi-Xolocotzi, Wiebusch '14]
 - * Potential additional constraints from $\tau(B^+)/\tau(B_d)$ and a_{fs}^d
[Lenz, Müller, MLP, Rusov '22]
 - * Most likely, tension due to underestimated hadronic effects
[MLP, Rusov (in progress)]
- ◇ For more complicated decays with peng. and annih. contributions
 - * Still a long way to go ...
In the beauty sector and even more in the charm sector!

Conclusions

- ◇ HQE powerful tool for inclusive observables of heavy hadrons
- ◇ Good agreement for lifetimes and B -mixing
- ◇ Satisfactory description of D -mixing still missing
- ◇ Future improvements are challenging but doable
 - * Particularly with more, and more precise data!

a_{fs} , inclusive SL B - and B_s -decays, cross-checks of size of penguin pollution ...

Thanks for the attention