



#### Precise SMEFT predictions for di-Higgs production

Higgs Physics: Part 2, Thursday  $\sim$ 4:12 pm

Jannis Lang mainly based on [2204.13045] with Gudrun Heinrich and Ludovic Scyboz | May 25, 2023

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## What do we mean by "precise SMEFT predictions"?





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Benchmark study

Power counting and  $\mathcal{O}_{tG}$ 

Summary

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## What do we mean by "precise SMEFT predictions"?

- Higher order terms in (defining) EFT expansion parameter
- Only dim-6 operators considered (leading order in  $\Lambda^{-2}$ )
- Assigning additional hierarchy to EFT Wilson coefficients (UV assumption)
- Stringent Flavor assumption ( $m_t := 0$ , except  $m_t$ ),  $\rightarrow$ differentiating potentially tree- with strictly loop-induced operators (implicit loop factor in Wilson coefficient)

0.

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_{i} rac{\mathcal{C}_{i}^{(6)}}{\Lambda^{2}} \mathcal{O}_{i}^{(6)} + \mathcal{O}\left(\Lambda^{-4}
ight)$$

 $U_{l}(3) \times U_{e}(3) \times U_{O}(2) \times U_{t}(2) \times U_{d}(3)$ 





#### Two bottom-up EFT systematics: SMEFT vs. HEFT



**SMEFT:** Linear Higgs sector: light Higgs contained in EW doublet field  $\phi(x)$ 

• Canonical counting, truncate expansion at  $\Lambda^{-2}$  (only CP even operators)

$$\mathcal{L}_{SMEFT}^{(Warsaw)} \supset \frac{C_{H\Box}}{\Lambda^{2}} \left( \phi^{\dagger} \phi \right) \Box \left( \phi^{\dagger} \phi \right) + \frac{C_{HD}}{\Lambda^{2}} \left( \phi^{\dagger} D_{\mu} \phi \right) \left( \phi^{\dagger} D^{\mu} \phi \right) + \frac{C_{H}}{\Lambda^{2}} \left( \phi^{\dagger} \phi \right)^{3} + \frac{C_{uH}}{\Lambda^{2}} \left( \left( \phi^{\dagger} \phi \right) \bar{q}_{L} \tilde{\phi} t_{R} + \text{h.c.} \right) + \frac{C_{HG}}{\Lambda^{2}} \left( \phi^{\dagger} \phi \right) G_{\mu\nu}^{a} G^{a \ \mu\nu} + \frac{C_{uG}}{\Lambda^{2}} \left( \bar{q}_{L} \sigma^{\mu\nu} T^{a} G_{\mu\nu}^{a} \tilde{\phi} t_{R} + \text{h.c.} \right)$$

- **HEFT**: Non-linear theory (EW $\chi$ L), motivation as analogue to chiral pert. theory
  - Light Higgs is EW gauge singlet
  - Expansion in  $\frac{f^2}{\Lambda^2} \sim \frac{1}{16\pi^2}$  ( $\Rightarrow$  loop counting)

$$\mathcal{L}_{\textit{HEFT}} \supset \underbrace{-m_t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) \overline{t}t - c_{\textit{hhhh}} \frac{m_h^2}{2v} h^3}_{\subset \mathcal{L}_{\textit{HEFT}}^{LO}} + \underbrace{\frac{\alpha_s}{8\pi} \left( c_{ggh} \frac{h}{v} + c_{gghh} \frac{h^2}{v^2} \right) G_{\mu\nu}^a G^{a \ \mu\nu}}_{\subset \mathcal{L}_{\textit{HEFT}}^{NLO}}$$

 $\Rightarrow$  Classically non-renormalisable, but consistent if truncations are considered at each step!

subdominant (UV assumption)  $\rightarrow$  last part

## Two bottom-up EFT systematics: SMEFT vs. HEFT



SMEFT:  

$$\mathcal{L}_{SMEFT}^{(Warsaw)} \supset \frac{C_{H\Box}}{\Lambda^2} \left( \phi^{\dagger} \phi \right) \Box \left( \phi^{\dagger} \phi \right) + \frac{C_{HD}}{\Lambda^2} \left( \phi^{\dagger} D_{\mu} \phi \right) \left( \phi^{\dagger} D^{\mu} \phi \right) + \frac{C_{H}}{\Lambda^2} \left( \phi^{\dagger} \phi \right)^3 \\
+ \frac{C_{uH}}{\Lambda^2} \left( \left( \phi^{\dagger} \phi \right) \bar{q}_L \tilde{\phi} t_R + \text{h.c.} \right) + \frac{C_{HG}}{\Lambda^2} \left( \phi^{\dagger} \phi \right) G^a_{\mu\nu} G^{a \ \mu\nu}$$

HEFT:

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$$\mathcal{L}_{\textit{HEFT}} \supset - m_t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) \overline{t}t - c_{\textit{hhh}} \frac{m_h^2}{2v} h^3 + \frac{\alpha_s}{8\pi} \left( c_{\textit{ggh}} \frac{h}{v} + c_{\textit{gghh}} \frac{h^2}{v^2} \right) G^a_{\mu\nu} G^{a\ \mu\nu}$$

Naive translation SMEFT ↔ HEFT after field redefinition up to  $\mathcal{O}(\Lambda^{-2})$  in Lagrangian  $(C_{H kin} = C_{H\Box} - 4C_{HD})$ 

However, formally:

$$\sim \mathcal{O}(1) ext{ possible } \leftrightarrow ext{ } rac{E^2}{\Lambda^2} C_i \ll 1$$

 $\Rightarrow$  Not generally applicable in practical calculations (fits, bounds, ...)

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HFFT Warsaw  $1 - 2 \frac{v^2}{\Lambda^2} \frac{v^2}{m^2} C_H + 3 \frac{v^2}{\Lambda^2} C_{H,kin}$ Chhh  $\frac{v^2}{\Lambda^2} C_{H,\rm kin} -$ C<sub>t</sub>  $-\frac{v^2}{\Lambda^2}\frac{3v}{2\sqrt{2}m_t}$ Ctt  $8\pi$ CHG Caah  $\frac{v^2}{\Lambda^2} \frac{4\pi}{\alpha_s(\mu)}$  $C_{HG}$ **C**gghh

Benchmark study

Power counting and  $\mathcal{O}_{tG}$ 

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Summary

### **SMEFT** truncation





⇒ Double operator insertion same order as (neglected) dimension 8 operators (and field redefinition)!

 $\Rightarrow$  In HEFT the complete anomalous coupling enters at each vertex with no additional truncation

## SMEFT truncation of cross section



$\sigma \simeq \left\{ \right.$	$\sigma_{SM} + \sigma_{SM  imes \dim 6}$	Truncation at leading order of expansion of (a) powers in $\Lambda^{-2}$ of cross section $\Rightarrow$ applicable choice			
	$\sigma_{(SM+\dim 6) imes(SM+\dim 6)}$	Truncation at leading order of expansion of (b) powers in $\Lambda^{-2}$ of amplitude $\Rightarrow$ applicable choice			
	$\sigma_{(SM+\dim 6) \times (SM+\dim 6)} + \sigma_{SM \times \dim 6^2}$	$(c)$ Truncate cross section at $\mathcal{O}\left(\Lambda^{-4}\right)$ from all dim6 operator insertions (ambiguous definition)			
	$\sigma_{(SM+\dim 6+\dim 6^2)\times(SM+\dim 6+\dim 6^2)}$	$(d)$ Complete insertion, naive translation SMEFT $\leftrightarrow$ HEFT			

- Truncation (a) formally most consistent, however, negative (differential) cross section can appear for too large Wilson coefficients
- $\Rightarrow$  Perform analysis for truncation (a) and (b) separately!

### NLO cross section heatmaps in SMEFT



Generated at  $\sqrt{s} = 13$  TeV with  $\Lambda = 1$  TeV



- Large area of negative cross section for truncation (a)
- Flat directions differ substantially

Non-trivial shape for HEFT-like option (d)

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# Public implementations



#### HTL = Heavy top limit ( $m_t \rightarrow \infty$ )

I O and NI O OCD HTL HPATE.

[Gröber,Mühlleitner,Spira,Streicher '15]

Full m<sub>t</sub> NLO QCD POWHEG-BOX-V2/ggHH

[Heinrich.Jones.Kerner.Luisoni.Vrvonidou '17] [Buchalla,Capozi,Celis,Heinrich,Scyboz '18] [Heinrich, Jones, Kerner, Luisoni, Scyboz '19] [Heinrich.Jones.Kerner.Scyboz '20]  $\leftarrow$ 

[Borowka, Greiner, Heinrich, Jones, Kerner, Schlenk, Zirke '16]

Non-public state-of-the-art NNLO' (HTL NNLO, full m, NLO)

SMEFT

HEFT

- I O and NLO QCD HTL HPAIR [Gröber.Mühlleitner.Spira.Streicher '15]
  - LO (1-loop) including chromo-magnetic operator SMEFT@NLO + MG5\_aMC@NLO
- LO including chromo-magnetic operator
- SMEETsim + MG5 aMC@NLO



SMEET and HEET Status of EFT calculations in  $aa \rightarrow hh$ 

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[Degrande.Durieux.Maltoni.Mimasu.Vrvonidou.Zhang '20]

[Brivio.Jiang.Trott '17] [Brivio '20]

#### [Heinrich.JL.Scvboz '22]

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[de Florian, Fabre, Heinrich, Mazitelli, Scyboz '21]

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## Naive benchmark translation



Consider HEFT benchmark points with following characteristic  $m_{hh}$  shapes

- Benchmark 1\*: enhanced low m<sub>hh</sub> region
- Benchmark 6\*: close-by double peaks or shoulder left



benchmark	Chhh		•	•		_			•	C	C	<b>C</b>	C	۸
(* = modified)		Ct	a Cu	Cggh	Cgghh	<b>U</b> <i>H</i> ,kin	Сн	$C_{uH}$	СнG	~				
SM	1	1	0	0	0	0	0	0	0	1 TeV				
1*	5.105	1.1	0	0	0	4.95	-6.81	3.28	0	1 TeV				
6*	-0.684	0.9	$-\frac{1}{6}$	0.5	0.25	0.561	3.80	2.20	0.0387	1 TeV				

[Capozi, Heinrich '19] [https://cds.cern.ch/record/2843280]

SMEFT expansion based on  $E^2 \frac{C_i}{\Lambda^2} \ll 1$  justified?  $\Rightarrow$ 

 $C_{HG}$  obtained using  $lpha_s(m_Z)=0.118$ 

oo

SMEFT and HEFT

Status of EFT calculations in  $gg \rightarrow hh$ 

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#### Naive benchmark translation



 $\Rightarrow$  SMEFT expansion based on  $E^2 \frac{C_i}{\Lambda^2} \ll 1$  justified?

Status of EFT calculations in  $qq \rightarrow hh$ 

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# Invariant mass distributions at NLO QCD ( $\sqrt{s} = 13$ TeV)





Truncation (a): negative cross sections

- Shape approaches SM for increasing Λ
- $\Rightarrow$  Valid HEFT point invalid in SMEFT after direct translation

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# Invariant mass distributions at NLO QCD ( $\sqrt{s} = 13$ TeV)





No negative cross section

• Shape indistinguishable from SM for  $\Lambda = 4$  TeV within scale uncertainties

No shoulder left

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## Estimating theory uncertainties



$$\Delta \sigma \sim \frac{+\Delta_{\rm scale +}}{-\Delta_{\rm scale -}} + \frac{+\Delta_{m_l}}{-\Delta_{m_l}} \frac{\pm \Delta_{\rm num. grid}}{\pm \Delta_{\rm num. grid}} (\pm \Delta_{\rm EFT \ trunc.}) \pm \Delta_{\rm PDF+\alpha_s} \pm \Delta_{\rm EW}$$

- Δ<sub>EW</sub>: Full NLO EW unknown, only partial results of top Yukawa [Davies,Mishima,Schönwald,Steinhauser,Zhang '22] [Mühlleitner,Schlenk,Spira '22]
- $\Delta_{\text{PDF}+\alpha_s} \approx 3\%$  ( $\sqrt{s} = 13 \text{ TeV}$ ): B.I. NNLO HTL and employing PDF4LHCNNLO [twiki *hh* cross group] stable for  $c_{hhh}$  variation, but might rise if tail enhanced
- $\Delta_{\text{EFT trunc.}}$ : No quantitative prescription, qualitative observation of truncation options
- $\Delta_{\text{scale }\pm}$ : Determined by 7-point variation of  $\mu_R$ ,  $\mu_F = \{0.5, 1, 2\} \cdot \mu_0$  $\mathcal{O}(15\%)$  for NLO QCD SM, 15 - 20% for NLO QCD SMEFT truncation (b) benchmark 1\*& 6\*
- Δ<sub>m<sub>l</sub> scheme ±</sub>: In principle needs determination for each point in EFT parameter space! (not yet available) [Baglio et al '18] [Baglio et al '20] [Baglio et al '20]
- Anum. grid: Numerical uncertainty of grids for virtual contribution, not covered by Monte Carlo statistical uncertainty of POWHEG!

## Loop counting in SMEFT ("weak" UV assumption)



Assuming UV is renormalisable QFT leads to: [Arzt, Einhorn, Wudka '94] [Buchalla, Heinrich, Müller-Salditt, Pandler '22] ( $\kappa$  generic weak coupling,  $d_{\chi}(\partial, \bar{\psi}\psi, \kappa) = 1$ )



 $\Rightarrow$  Chromomagnetic operator enters at same order as 2-loop 4-fermion operator contribution:



## Effects of chromomagnetic operator (PRELIMINARY)



 SM with C<sub>tG</sub> variation using O (Λ<sup>-2</sup>) constraints from [SMEFiT Collaboration, Ethier et al '21]:

$C_{tG}$		
individual	marginalised	
<i>g</i> s [0.007, 0.111]	<i>g</i> <sub>s</sub> [-0.127, 0.403]	

Better constrained by other processes



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#### Summary



- Status of SMEFT precision in di-Higgs (ggF)
- SMEFT and HEFT both valid EFT approaches based on different assumptions
- BM study: Naive translation from HEFT  $\rightarrow$  SMEFT can lead out of validity of  $\frac{1}{\Lambda^2}$  expansion
- $\Rightarrow$  We advocate to study both EFT representations separately
- More information about this project: [Heinrich,JL,Scyboz '22]
- More information about EFT in Higgs pair production: [https://cds.cern.ch/record/2843280]
- ⇒ In progress: Inclusion of chromo-magnetic and 4-fermion operator contributions, RGE evolution of Wilson coefficients (expected to be relevant, see e.g. [2212.05067] [2109.02987]...)
- $\Rightarrow$  Further outlook:  $y_b$  effects and EW corrections when SM results are available, ...

#### m<sub>t</sub> renormalisation scheme uncertainty



[Baglio,Campanario,Glaus,Mühlleitner,Spira,Streicher '18] [Baglio,Campanario,Glaus,Mühlleitner,Ronca,Spira,Streicher '20] [Baglio,Campanario,Glaus,Mühlleitner,Ronca,Spira '20]



- Prediction depends on *m<sub>t</sub>* scheme (on-shell vs. *MS* with varying scale)
- Uncertainty sensitive to choice of  $c_{hhh} = \kappa_{\lambda}$
- Sensitivity to variations of c<sub>t</sub>, c<sub>tt</sub> expected

Uncertainties • O Jannis Lang – Precise SMEFT in *hh* production

EFT systematics: canonical vs. loop

ggHH\_SMEFT implementation

HEFT benchmarks

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## Numerical grids uncertainty





- Low (and high) m<sub>hh</sub> region very sparsely populated in virtual grids, due to small contribution in SM
- $\Rightarrow O(12\%)$  uncertainty for SM in first bin not represented by Monte Carlo statistical uncertainty in POWHEG
- $\Rightarrow$  Uncertainty much worse for scenarios with enhanced low  $m_{hh}$  region

Uncertainties ○●	EFT systematics: canonical vs. loop o	ggHH_SMEFT implementation		HEFT benchmarks O
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## EFT systematics: canonical vs. loop counting





#### [Buchalla,Catà,Krause 14']



• Loop counting in columns, valid if  $\xi \sim 1$ 

Uncertainties EFT systematics: canonical vs. loop

ggHH\_SMEFT implementation

HEFT benchmarks

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### Amplitude evaluation in $ggHH\_SMEFT$



$$\mathcal{M}_{gg
ightarrow hh}=\epsilon(p_1)_{\mu}\epsilon(p_2)_{
u}\left(\mathcal{F}_1\cdot\mathcal{T}_1^{\mu
u}+\mathcal{F}_2\cdot\mathcal{T}_2^{\mu
u}
ight)$$
 [Glover,van der Bij '87]

**Born:** Analytic expressions for form factors  $\mathcal{F}_1$  and  $\mathcal{F}_2$  (tree and 1-loop contributions)

**Real:**  $|\mathcal{M}_{gg \to hhg}|^2$ ,  $|\mathcal{M}_{qg \to hhq}|^2$ ,  $|\mathcal{M}_{q\bar{q} \to hhg}|^2$  and crossings evaluated using (private) modified version of **GoSam** 1-loop ME generator

**Virtual:** 2-loop diagrams in HEFT are similar to SM  $\Rightarrow$  reweighting HEFT virtuals are available as function of 23 grids  $a_i$ 

$$\begin{split} |\mathcal{M}_{gg \rightarrow hh}^{\textit{NLO}}|^2 = & a_1 \cdot c_t^4 + a_2 \cdot c_{tt}^2 + a_3 \cdot c_t^2 c_{hhh}^2 + a_4 \cdot c_{ggh}^2 c_{hhh}^2 + a_5 \cdot c_{gghh}^2 + a_6 \cdot c_{tt} c_t^2 + a_7 \cdot c_t^3 c_{hhh} \\ + & a_8 \cdot c_{tt} c_t c_{hhh} + a_9 \cdot c_{tt} c_{ggh} c_{hhh} + a_{10} \cdot c_{tt} c_{gghh} + a_{11} \cdot c_t^2 c_{ggh} c_{hhh} + a_{12} \cdot c_t^2 c_{gghh} \\ + & a_{13} \cdot c_t c_{hhh}^2 c_{ggh} + a_{14} \cdot c_t c_{hhh} c_{gghh} + a_{15} \cdot c_{ggh} c_{hhh} c_{gghh} + a_{16} \cdot c_t^3 c_{ggh} \\ + & a_{17} \cdot c_t c_{tt} c_{ggh} + a_{18} \cdot c_t c_{ggh}^2 c_{hhh} + a_{19} \cdot c_t c_{ggh} c_{gghh} + a_{20} \cdot c_t^2 c_{ggh}^2 \\ + & a_{21} \cdot c_{tt} c_{ggh}^2 + a_{22} \cdot c_{ggh}^3 c_{hhh} + a_{23} \cdot c_{ggh}^2 c_{gghh} \end{split}$$

⇒ Grids can be directly reused for SMEFT (considering translation and truncation) up to counter terms and special treatment for truncation (b), where additional 1-loop contributions are added

Uncertainties	EFT systematics: canonical vs. loop	ggHH_SMEFT implementation		HEFT benchmarks
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#### Virtual grids for ggHH\_SMEFT



Split matrix in kinematic part times coupling coefficient for HEFT and SMEFT

$$\begin{split} \mathcal{M}_{LO} &:= m_1 \cdot c_t^2 + m_2 \cdot c_t c_{hhh} + m_3 \cdot c_{tt} + m_4 \cdot c_g c_{hhh} + m_5 \cdot c_{gg} \\ &= m_1 + m_2 + \frac{1}{\Lambda^2} \left( 2m_1 \cdot C_t' + m_2 \cdot (C_t' + C_{hhh}') + m_3 \cdot C_{tt}' + m_4 \cdot C_g' + m_5 \cdot C_{gg}' \right) + \frac{1}{\Lambda^4} \left( m_1 \cdot C_t'^2 + m_2 \cdot C_t' C_{hhh}' \right) \\ \mathcal{M}_{NLO} &:= \mathcal{M}_1 \cdot c_t^2 + \mathcal{M}_2 \cdot c_t c_{hhh} + \mathcal{M}_3 \cdot c_{tt} + \mathcal{M}_4 \cdot c_g c_{hhh} + \mathcal{M}_5 \cdot c_{gg} + \mathcal{M}_6 \cdot c_g^2 + \mathcal{M}_7 \cdot c_g c_t \\ &= \mathcal{M}_1 + \mathcal{M}_2 + \frac{1}{\Lambda^2} \left( 2\mathcal{M}_1 \cdot C_t' + \mathcal{M}_2 \cdot (C_t' + C_{hhh}') + \mathcal{M}_3 \cdot C_{tt}' + \mathcal{M}_4 \cdot C_g' + \mathcal{M}_5 \cdot C_{gg}' + \mathcal{M}_7 \cdot C_g' \right) \\ &+ \frac{1}{\Lambda^4} \left( \mathcal{M}_1 \cdot C_t'^2 + \mathcal{M}_2 \cdot C_t' C_{hhh}' + \mathcal{M}_6 \cdot C_g'^2 + \mathcal{M}_7 \cdot C_g' C_t' \right) \end{split}$$

The virtual grids, given as kinematic coefficients  $a_i$  of the squared matrix element

$$\begin{split} \left|\mathcal{M}_{\textit{NLO}}\right|^2 = & a_1 \cdot c_t^4 + a_2 \cdot c_t^2 + a_3 \cdot c_t^2 c_{\textit{hhh}}^2 + a_4 \cdot c_{\textit{ggh}}^2 c_{\textit{hhh}}^2 + a_5 \cdot c_{\textit{gghh}}^2 + a_6 \cdot c_{tt} c_t^2 + a_7 \cdot c_t^3 c_{\textit{hhh}} + a_8 \cdot c_{tt} c_t c_{\textit{hhh}} + a_9 \cdot c_{tt} c_{\textit{ggh}} c_{\textit{hhh}} + a_{10} \cdot c_{tt} c_{\textit{gghh}} + a_{11} \cdot c_t^2 c_{\textit{ggh}} c_{\textit{hhh}} + a_{12} \cdot c_t^2 c_{\textit{gghh}} + a_{13} \cdot c_{t} c_{\textit{hhh}}^2 c_{\textit{ggh}} + a_{14} \cdot c_{t} c_{\textit{hhh}} c_{\textit{gghh}} + a_{15} \cdot c_t^2 c_{\textit{gghh}} + a_{16} \cdot c_t^3 c_{\textit{$$

can be understood as combinations of  $m_i \times M_i$  obtained from  $\mathcal{M}_{I,O} \times \mathcal{M}_{NI,O}$ . After rearrangement, the squared matrix elements entering the truncated cross sections in SMEFT (slide 7) are expressed in terms of the same ai, except for truncation (b), where

$$\Delta \sigma_{\text{(b)}} = m_2 \times M_4 \cdot \frac{C'_{ggh}(C'_{hhh} - C'_t)}{\Lambda^4} + m_4 \times M_7 \frac{C'_{ggh}}{\Lambda^4}$$

needs to be added

Uncertainties 00	EFT systematics: canonical vs. loop	ggHH_SMEFT implementation $\bigcirc$		HEFT benchmarks O
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## **Updated HEFT benchmarks**

[https://cds.cern.ch/record/2843280]



benchmark	C <sub>hhh</sub>	Ct	C <sub>tt</sub>	C <sub>ggh</sub>	C <sub>gghh</sub>
SM	1	1	0	0	0
1*	5.105	1.1	0	0	0
2*	6.842	1.033	$\frac{1}{6}$	$-\frac{1}{3}$	0
3	2.21	1.05	$-\frac{1}{3}$	0.5	0.5
4*	2.79	0.9	$-\frac{1}{6}$	$-\frac{1}{3}$	$-\frac{1}{2}$
5	3.95	1.17	$-\frac{1}{3}$	<u>1</u> 6	$-\frac{1}{2}$
6*	-0.684	0.9	$-\frac{1}{6}$	0.5	0.25
7	-0.10	0.94	1	$\frac{1}{6}$	$-\frac{1}{6}$

- Shape clusters defined using unsupervised ML
- Benchmarks chosen with clear shape features and satisfying experimental constraints
- \* denotes updated benchmark point, new constraints:  $0.83 \le c_t \le 1.17$  (and  $|c_{tt}| \le 0.05$  for 1\*)

EFT systematics: canonical vs. loop



Uncertainties