

# Recent results from NA61/SHINE on the onset of deconfinement studies and particle production

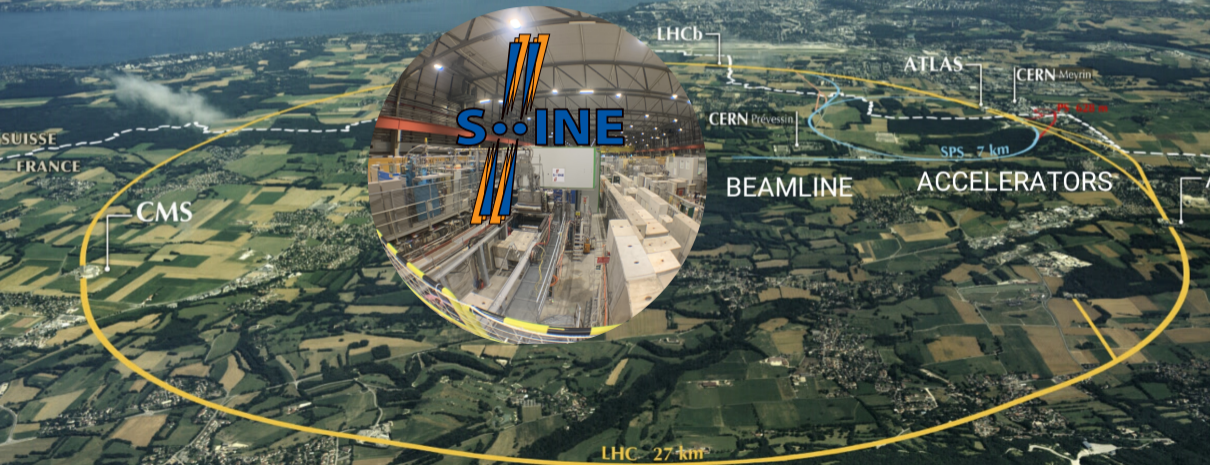
Maja Maćkowiak-Pawłowska  
for the NA61/SHINE Collaboration



**Faculty  
of Physics**

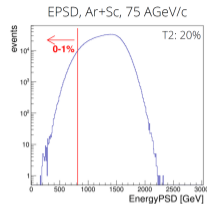
WARSAW UNIVERSITY OF TECHNOLOGY

# NA6I/SHINE - UNIQUE MULTIPURPOSE FACILITY: Hadron production in hadron-nucleus and nucleus-nucleus collisions at high energies



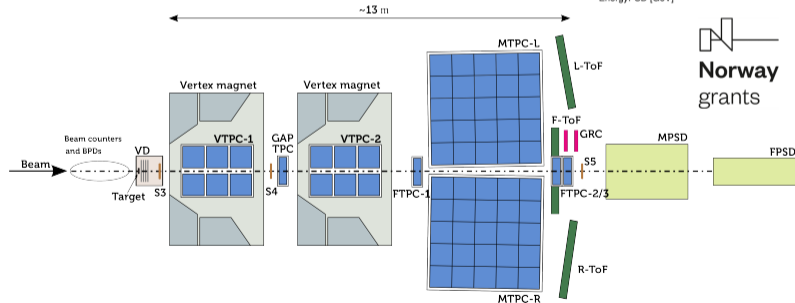
# NA61/SHINE detector setup

- General purpose hadron spectrometer
- Fixed target configuration  $\rightarrow$  large acceptance of produced charged particle (up to 50%) with high tracking efficiency  
 $p_T \gtrsim 0$
- Precise centrality selection based on forward energy measured in Projectile Spectator Detector



## New stage of SHINE!

Setup upgraded in 2022 by set of new detectors as well as new electronics which allow an increase of data rate up to 1.7 kHz



# NA61/SHINE program

SHINE stands for **SPS Heavy Ion and Neutrino Experiment**

- **Strong interactions**

- ▶ Onset of deconfinement & onset of fireball
- ▶ Properties of QCD matter (EoS)
- ▶ QCD critical point – see Haradhan A. poster

- **Neutrino physics**

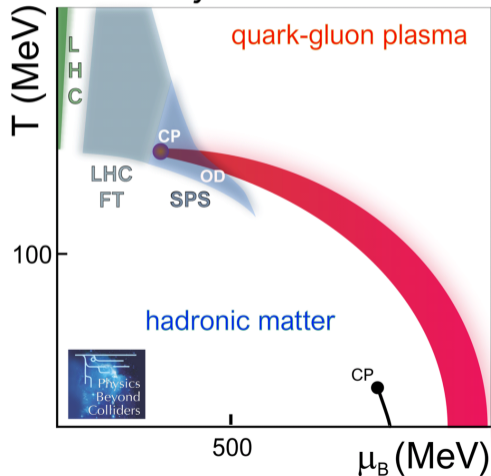
- ▶ Hadron production cross sections for neutrino flux predictions

- **Cosmic-rays**

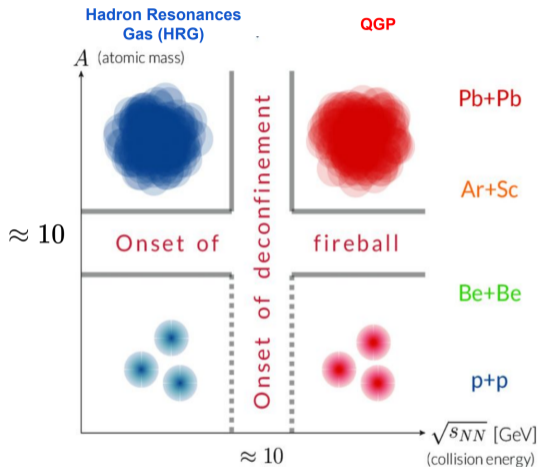
- ▶ Hadron production cross sections for air-shower modelling as well as fragmentation cross-section

**This talk focuses on the strong-interactions program**

## heavy ions at CERN



# Onset of deconfinement & onset of fireball



- Onset of deconfinement

QGP formation by heating up the QCD matter with increasing collision energy

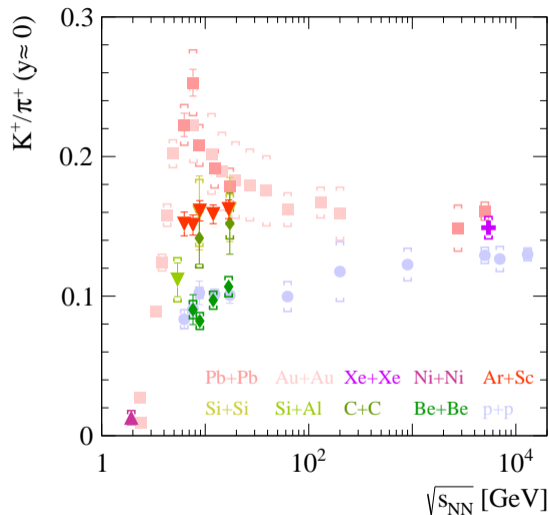
- ▶ temperature (plateau-like structures)
- ▶ increase of entropy (new degrees of freedom)
- ▶ strangeness to entropy (non-monotonic energy dependence)

- Onset of fireball

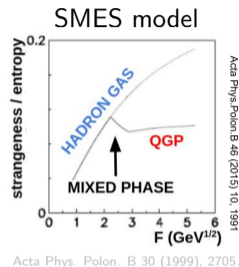
QGP formation as a large equilibrated cluster of the QCD matter with increasing size of colliding nuclei

**Requires two-dimensional scan in collision energy and system size**

# Onset of deconfinement: horn



p+p: EPJC 77 (2017) 10,671; Be+Be: EPJC 81 (2021) 1,73  
 Ar+Sc: M. Kuich, SQM'21; Pb+Pb: PRC 66 (2002) 054902



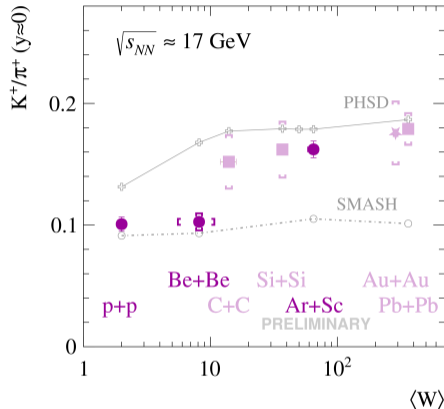
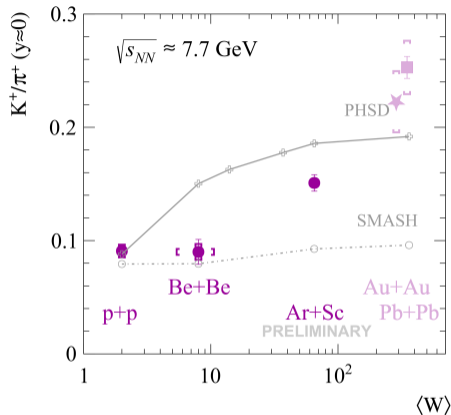
$$F = \frac{(\sqrt{s_{NN}} - 2m_N)^{3/4}}{(\sqrt{s_{NN}})^{1/4}} \approx s_{NN}^{1/4}$$

- Rapid change of  $K^+/\pi^+$  in Pb+Pb collisions indicated onset of deconfinement in the SPS energy range
- No *horn* structure in Ar+Sc collisions  $\rightarrow$  onset of fireball?
- Be+Be very close to  $p + p$

# Onset of fireball: system size dependence of $K/\pi$ ratio

Statistical models

Dynamical models

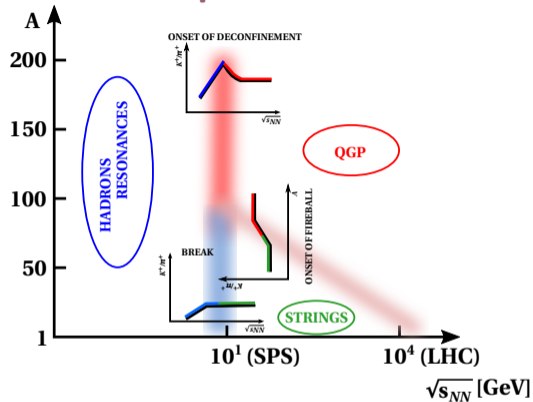


None of the models reproduces  $K^+/\pi^+$  ratio in the whole  $\langle W \rangle$  range

PHSD: EPJA 56 (2020) 9, 223  
SMASH: J.Phys.G 47 (2020) 6, 065101

p+p: EPJC 77 (2017) 10,671  
Be+Be: EPJC 81 (2021) 1,73  
Ar+Sc: M. Kuich, SQM'21  
Pb+Pb: PRC 66 (2002) 054902

# Possible explanations - references



## Onset of strings:

- PHSD: PRC, 78, 034919, 200; and NPA, 831, 215–242, 2009
- SMASH: PRC, 94, 5, 054905, 2016 and J. Phys. G, 47, 6, 065101, 202
- UrQMD: Prog. Part. Nucl. Phys., 41, 255–369, 1998 and NPA, 936, 1–5, 2015

## Onset of deconfinement:

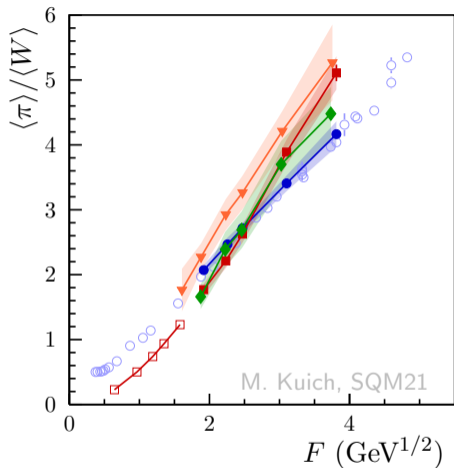
- SMES: Acta Phys. Polon. B30 (1999) 2705; PHSD: PRC, 78, 034919, 200; and NPA, 831, 215–242, 2009

## Onset of QGP fireball:

- colour ropes: NPB, 245, 449–468, 1984.
- string fusion: NPB, 390, 542–558, 1993; PLB, 287, 154–158, 1992; EPJA, 51, 4, 44, 2015; Phys. Rep., 599, 1–50, 2015; and PRD, 103, 9, 094029, 2021.
- core fragmentation: PRL., vol. 98, p. 152301, 2007.
- string melting: PRC, 72, 064901, 2005.
- percolation: EPJC, 32, 547–553, 2004; and PLB, 640, 96–100, 2006.
- AdS/CFT duality: PRC, 90, 1, 014901, 2014; PRD, 90, 2, 025031, 2014; PRC, 92, 1, 014011, 2015



# Onset of deconfinement/fireball: kink



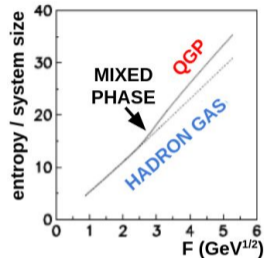
NA61/SHINE

- ▼ Ar+Sc
- ◆ Be+Be
- N+N

World

- Pb+Pb (NA49)
- Au+Au

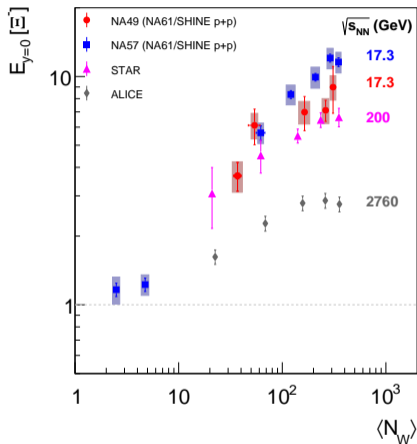
SMES model



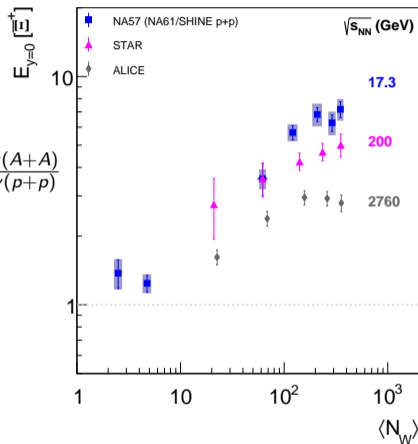
$$F = \frac{(\sqrt{s_{NN}} - 2m_N)^{3/4}}{(\sqrt{s_{NN}})^{1/4}} \approx S_{NN}^{1/4}$$

- Entropy to system's volume should increase when the ndf increases ( $\sim ndf^{1/4}$ )
- Ar+Sc systematically higher than other systems
- Ar+Sc close to Pb+Pb at higher energies
- Not conclusive with current data uncertainties

# Onset of deconfinement: strangeness enhancement factors



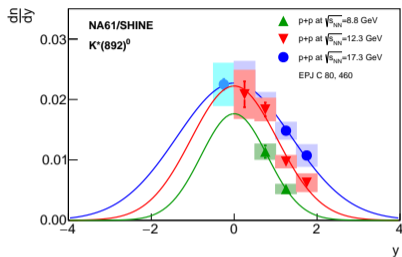
$$E = \frac{2}{\langle N_W \rangle} \frac{dn/dy(A+A)}{dn/dy(p+p)}$$



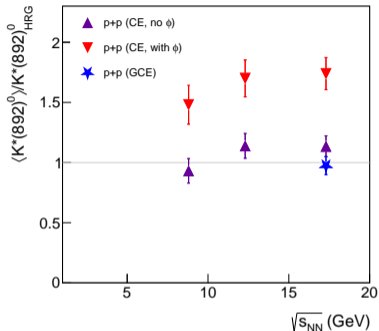
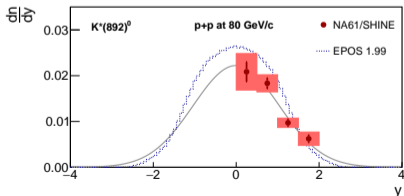
The enhancement based on the new  $\Xi$  reference from NA61/SHINE

Eur.Phys.J.C 80 (2020) 9, 833; Nucl. Phys. B111 (1976) 461; J. Phys. G 32 (2006) 427-442

# $K^*$ in p+p at 40-158 GeV/c



Eur. Phys. J. C (2022) 82:322



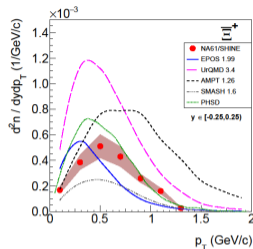
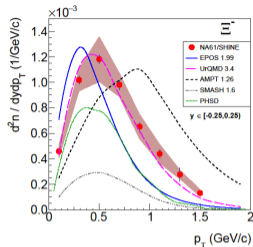
Ratio of  $K^*$  to  $K^-/K^+$  sensitive to freeze-out and properties of hadron phase in A+A (p+p - reference):

$$\frac{K^*}{K} \Big|_{kinetic} [A + A] = \frac{K^*}{K} \Big|_{chemical} [p + p]$$

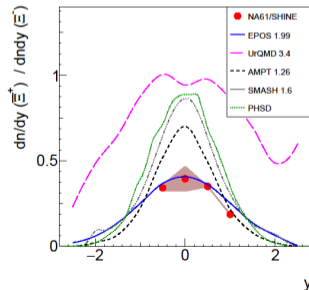
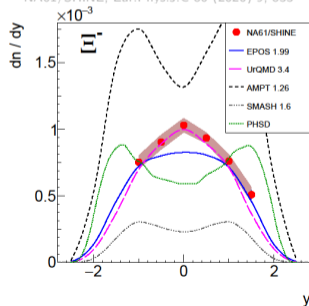
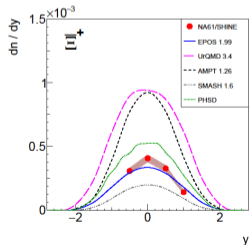
Ratio well fitted by GCE whereas CE fits only with  $\phi$  excluded.

# $\Xi$ in p+p

Many transport models fail to describe NA61/SHINE results on  $\Xi$  production in p+p

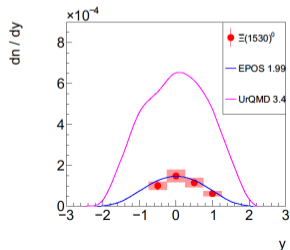
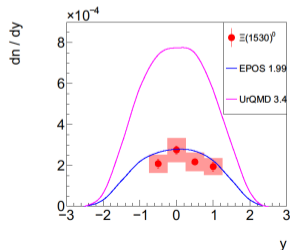
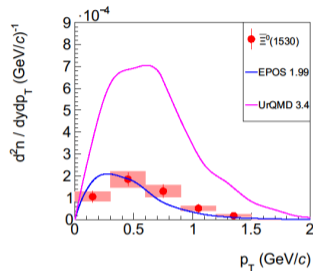
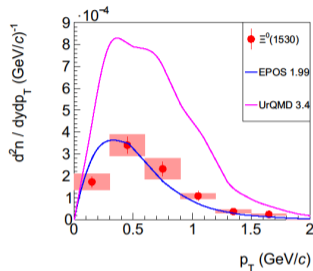


NA61/SHINE, Eur.Phys.J.C 80 (2020) 9, 833



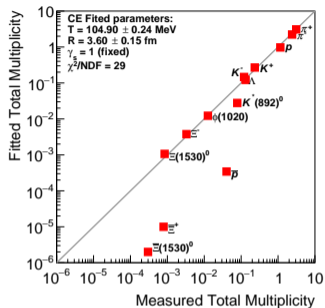
# $\Xi(1530)^0$ in p+p

Considerable differences between models. Data ( $\Xi(1530)^0$  and  $\bar{\Xi}(1530)^0$ ) is described by EPOS but not UrQMD.

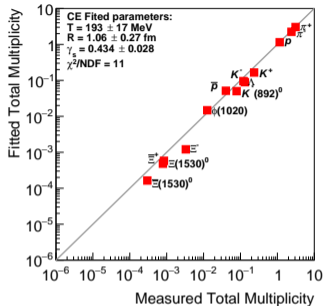


Eur.Phys.J.C 81 (2021) 10, 911

# HRG model in the CE formulation and $p + p$ data



Canonical Ensemble with  
fixed  $\gamma_s = 1$

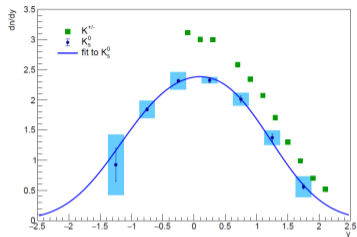


Canonical Ensemble with  
fitted strangeness saturation  
parameter  $\gamma_s$

Fit by different variants of  
the HRG model (THERMAL-  
FIST1.3) Comput.Phys.Commun.244 (2019)295

The statistical model fails when fixed  $\gamma_s$ . The fit with free  $\gamma_s$  finds  $0.434 \pm 0.028$  and reproduces the measurements well - a suppression of strange particle production in  $p+p$  collisions at CERN SPS energies

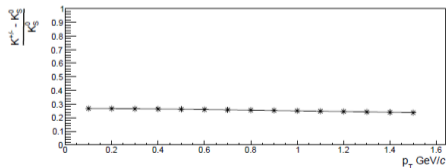
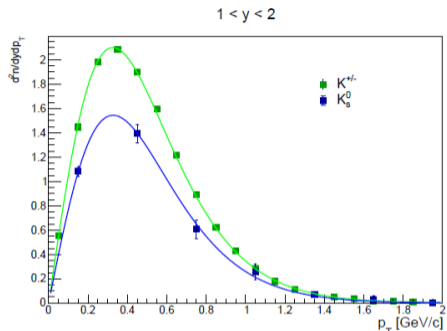
# Charged/neutral kaon-ratio puzzle in Ar+Sc



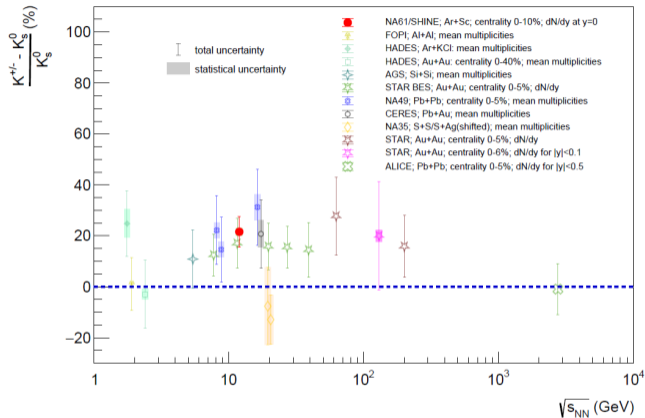
$$\langle K_S^0 \rangle = 6.25 \pm 0.09 \pm 0.073$$

in Ar+Sc at 75A GeV/c

Around 25% difference between charged and neutral kaons in forward rapidity and whole  $p_T$  range.



# Charged/neutral kaon-ratio - world data



CERES: M. Kalisky, PhD thesis 2007,  
<https://cds.cern.ch/record/1497739>  
 STAR BES: Phys. Rev. C **102** (2020) no.3, 034909  
 Phys. Rev. C **96** (2017) no.4, 044904  
 STAR: Phys. Lett. B **595** (2004), 143-150  
 Phys. Rev. C **83** (2011), 024901  
 Phys. Rev. Lett. **108** (2012), 072301  
 Phys. Rev. C **79** (2009), 034909  
 ALICE: Phys. Rev. Lett. **111** (2013), 222301  
 Phys. Rev. C **88** (2013), 044910  
 AGS and NA35: Z. Phys. C **71** (1996), 55-64  
 Z. Phys. C **64** (1994), 195-207  
 Z. Phys. C **58** (1993), 367-374  
 NA49: C. Strabel, PhD thesis 2006,  
<https://edms.cern.ch/document/2693436/1>  
 HADES: H. Schuldes, PhD thesis 2016,  
<https://publikationen.ub.uni-frankfurt.de/frontdoor/index/index/docId/42489>  
 Phys. Lett. B **793** (2019), 457-463  
 Phys.Rev.C **80** (2009) 025209  
 Phys.Rev.C **82** (2010) 044907  
 FOPI: Eur.Phys.J.A **52** (2016) 6, 177  
 Phys.Rev.C **81** (2010) 061902

$\frac{K^\pm - K_S^0}{K_S^0}$  ratio significantly higher than 1 – unexpected isospin symmetry violation?



# Summary

- Unique 2D scan in system size and the collision energy is completed (keep in touch as analysis are still ongoing)
- Large difference between small (p+p and Be+Be) and large systems (Ar+Sc)
- Unexpected system-size dependence was revealed - onset of (QGP) fireball?
  - ▶  $p+p \approx Be+Be \neq Ar+Sc \leq Pb+Pb$
  - ▶ further studies needed
- So-called horn structure does not appear in p+p, Be+Be, and Ar+Sc
- Unique results on multi-strange baryons production in p + p interactions in SPS
- None of the present theoretical models can explain results from NA61/SHINE
- Charged/neutral kaon-ratio puzzle indicates unexpected isospin symmetry violation.

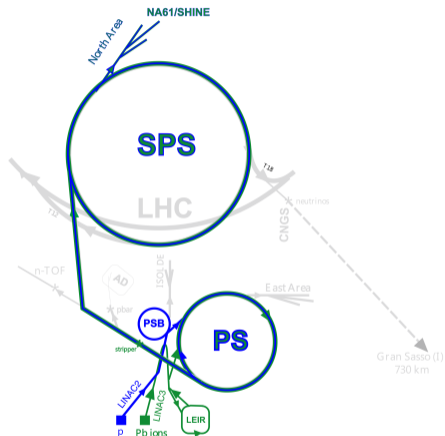
**Thank you**

Supported by WUT ID-UB

# NA61/SHINE detector setup@CERN



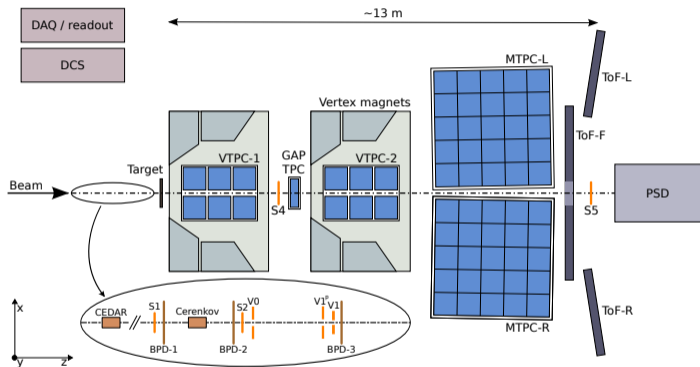
<https://shine.web.cern.ch/>



NA61/SHINE, JINST 9 (2014) P06005

NA stands for **N**orth **A**rea of the CERN accelerator complex connected with SPS accelerator.

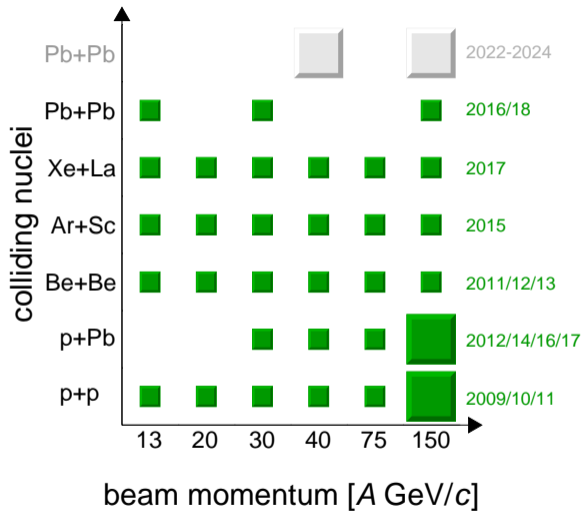
# NA61/SHINE: detector layout



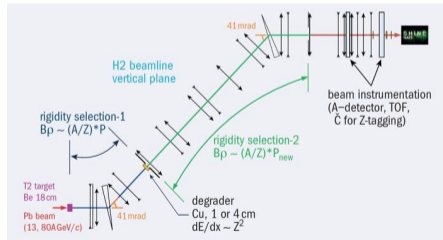
<https://shine.web.cern.ch/>  
 NA61/SHINE, JINST 9 (2014) P06005

- beams: hadrons and ions with  $p_{beam} = 13(8)A - 400 \text{ GeV}/c$
- large acceptance detector which covers full forward hemisphere from  $p_T \approx 0$
- precise centrality selection based on forward energy

# Unprecedented scan in system size and energy



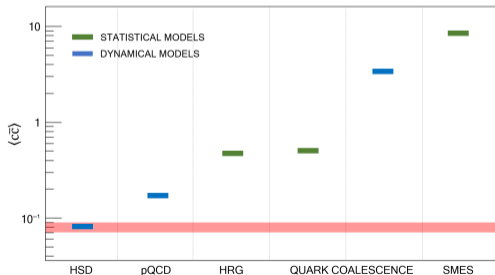
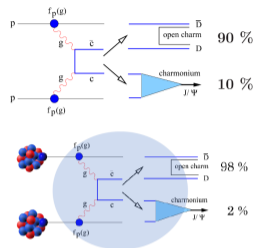
- primary *Ar*, *Xe* and *Pb*
  - ▶ *Ar* beam in parallel, in SPS, with protons for LHC
  - ▶ NA61/SHINE requested *Xe* which went up to LHC
- secondary and fragmented beams (*p*, *Be*)



D. Manglunki

# NA61/SHINE in 2022-2024: motivation

- What is the mechanism of open charm production?
- How does the onset of deconfinement impact open charm production?
- How does the formation of quark-gluon plasma impact  $J/\psi$  production?



- first measurement of open charm at SPS energies
- large discrepancy between models  
 ← expected data precision (magenta band)

# Fluctuations - Intensive quantities

Independent of volume  $V$  in Ideal Boltzmann Grand Canonical Ensemble (IB-GCE)

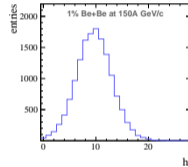
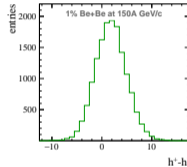
$$\omega[N] = \frac{\kappa_2[N]}{\kappa_1[N]}, \quad S\sigma[N] = \frac{\kappa_3[N]}{\kappa_2[N]}, \quad \kappa\sigma^2[N] = \frac{\kappa_4[N]}{\kappa_2[N]}$$

where  $\kappa_i$  stands for  $i$ 'th order cumulant of the distribution

There are **two reference values**:

- 1 for Poisson distribution (e.g. IB-GCE)
- 0 for no fluctuations

Begin and MMP, arxiv:1705.01110[nucl-th]



MMP, CPOD'21

Experimentally we are only able to narrow centrality of the registered events and consider events from a given centrality class. Thus, intensive quantities contain also fluctuations of the system size e.g. *Gazdzicki et al., arXiv:2102.11186*

- For **net-charge reference** distribution is **Skellam** not Poisson  $\rightarrow$
- Centrality selection differs between experiments and for not the most central events it leads to different sets of events
- The simplest dependence is for  $\omega[N] = \omega[N]_V + \langle n \rangle \frac{\text{Var}[V]}{\langle V \rangle}$ , where  $n$  stands for particle density

Intensive for net-charge

$$\frac{\kappa_2[h^+ - h^-]}{(\kappa_1[h^+] + \kappa_1[h^-])}, \quad \frac{\kappa_3[h^+ - h^-]}{\kappa_1[h^+ - h^-]}$$

# Data and analysis acceptance

Presented results refers to charged hadrons produced in strong and electromagnetic processes in:

- **p+p – inelastic interactions** corrected for trigger bias, detector inefficiency and feed-down  
ω - NA61/SHINE, EPJC(2016)76:635; MMP, CPOD2016
- **Be+Be – 1% most central collisions** uncorrected with estimate of systematic bias (e.g. feed-down, detector inefficiency, beam and target impurity)  
ω - Seryakov, WPCF2017

All considered results have statistical uncertainty obtained either via sub-sample or bootstrap methods.

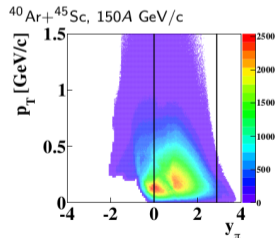
Acceptance: **forward rapidity with  $p_T < 1.5$  GeV/c:**

- p+p acceptance - full acceptance of NA61/SHINE  
<https://edms.cern.ch/document/1549298/1>
- Be+Be acceptance: acceptance with additional rapidity cut:  
 $0 < y_\pi < y_{beam}$   
<https://edms.cern.ch/document/2487456/1>

Accepted fraction of particles

$$x[h^-] = \frac{h_{accepted}^-}{h_{4\pi}^-} \longrightarrow \begin{array}{cccccc} \sqrt{s_{NN}} \text{ (GeV)} & 6.1 & 7.6 & 8.7 & 11.9 & 16.8 \\ x[h^-] & 0.27 & 0.3 & 0.3 & 0.4 & 0.5 \end{array}$$

Note: non-uniform acceptance in  $\phi$

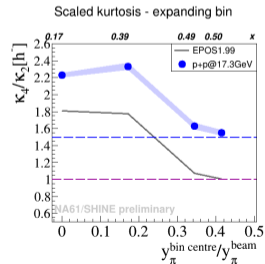
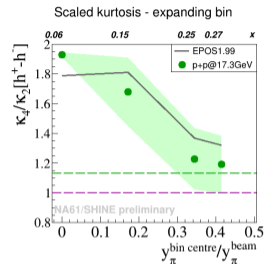




# Search for QCD critical point: baseline fluctuations

When measured fluctuations are grand-canonical?

- Fluctuations of particle number in acceptance  $\Delta Y_{accept}$  around midrapidity
- Scales:  $\Delta Y_{accept}$  - analysis acceptance;  $\Delta Y_{total}$  - full space;  $\Delta Y_{kick,corr}$  - diffusion and smearing
- ideal case:  $\Delta Y_{total} \gg \Delta Y_{accept} \gg \Delta Y_{kick,corr}$
- NA61/SHINE as large acceptance experiment ideal for such studies
- p+p interactions as a reference for A+A studies  $\rightarrow$
- Note redefinition of intensive quantity to  $\kappa_4/\kappa_2[h^-]$



A. Borucka, Lomonosov Conf. '21

# Search for QCD critical point: net-charge fluctuations

- $\langle N^2 \rangle \sim \xi^2$      $\langle N^4 \rangle \sim \xi^7$

Special interest is devoted to net-charges as:

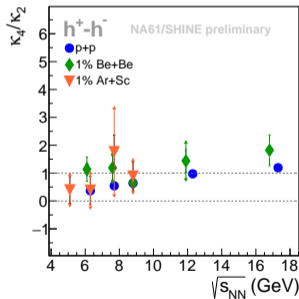
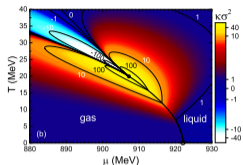
Cumulant generating  
function

Grand partition  
function

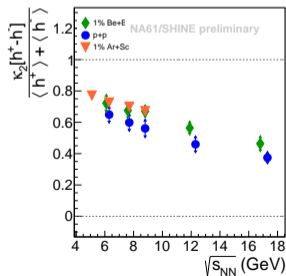
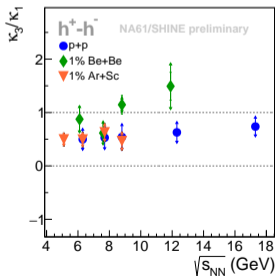
$$\longrightarrow \kappa_n \propto \frac{\delta^n (\ln Z^{GCE})}{\delta \mu^n} \longleftarrow$$

In order to study fluctuations in systems of different size one needs intensive

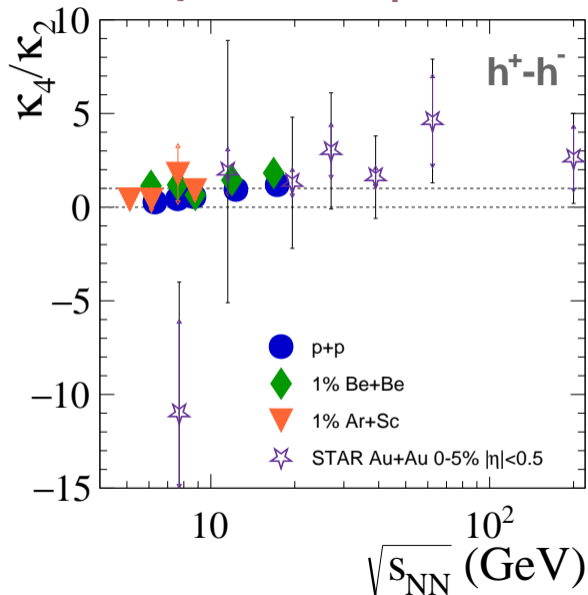
quantities:  $\frac{\kappa_2[h^+ - h^-]}{\kappa_1[h^+] + \kappa_1[h^-]}$ ,  $\frac{\kappa_3}{\kappa_1} [h^+ - h^-]$ ,  $\frac{\kappa_4}{\kappa_2} [h^+ - h^-] = \kappa \sigma^2$



MMP, PANIC2021



# Search for QCD critical point: net-charge fluctuations



- no non-monotonic signal observed
- qualitative agreement with STAR data
- Note: different acceptance and centrality determination

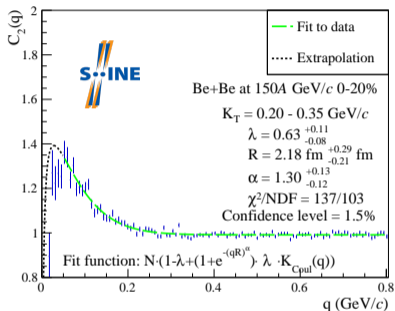
# Search for QCD critical point: femtoscopic correlations

Measurements suggest Gaussian  $\rightarrow$  Lévy-stable source distribution

$$\mathcal{L}(\alpha, R, r) = \frac{1}{(2\pi)^3} \int d^3q e^{iqr} e^{-\frac{1}{2}|qR|^\alpha}$$

- From generalization of Gaussian, power-law tail:  
 $\sim r^{-(d-2+\alpha)}$
- The shape of the correlation function with Lévy source:  $C(q) = 1 + \lambda e^{-(qR)^\alpha}$ ,  
where  $\alpha = 1 \rightarrow$  exponential and  $\alpha = 2 \rightarrow$  Gaussian
- We expect spatial power-law correlations at the CP ( $\sim r^{-(d-2+\eta)}$ )  $\rightarrow$  Lévy-exponent  $\alpha$  identical to correlation exponent  $\eta$

Csorgo, Hegyi, Zajc, EPJC36 (2004) 67

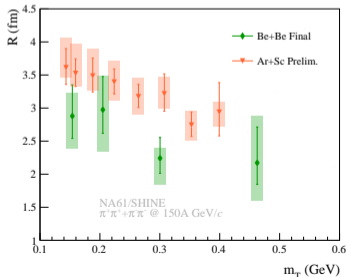
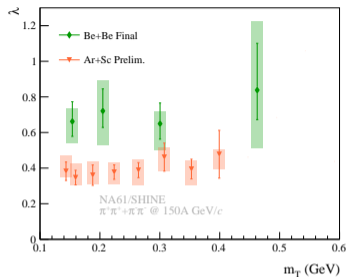
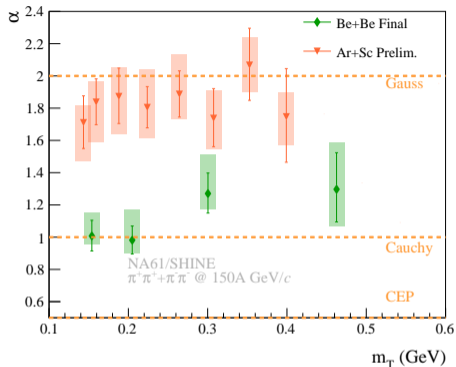


arXiv:2302.04593

- Fit function: Bowler-Sinyukov  
 $C(q) = 1 - \lambda + 1(1 + e^{-|qR|^\alpha}) \cdot \lambda \cdot K(q)$

Y.Sinyukov et al., Phys. Lett. B432 (1998) 248, M.G. Bowler, Phys. Lett. B270 (1991) 69

# Search for QCD critical point: femtoscopic correlations



- $\alpha$ : far from CP prediction (0.5)

Rieger, Phys.Rev.B52 (1995) 6659

Be+Be  $\alpha \sim 1.5 \rightarrow$  anomalous diffusion

Ar+Sc  $\alpha \approx 2$  Gaussian source?

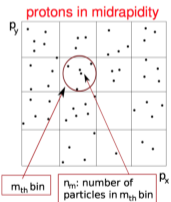
- $R$ : Visible  $m_T$  dependence - sign of transverse flow
- $\lambda$ : no  $m_T$  dependence

# Search for QCD critical point: proton intermittency

Second factorial moment as function of momentum bin size

CP  $\rightarrow$  scale invariance  $\rightarrow$  power-law form of correlation function for large distances  $\Leftrightarrow$  small momentum transfer  $\Delta\vec{k}$

Wosiek, Acta Phys. Polon. B 19, 863-869; Bialas and Hwa, PLB 253, 436-438; Diakonov et al., PoS (CPOD2006)010; Hatta and Stephanov, PRL 91, 102003



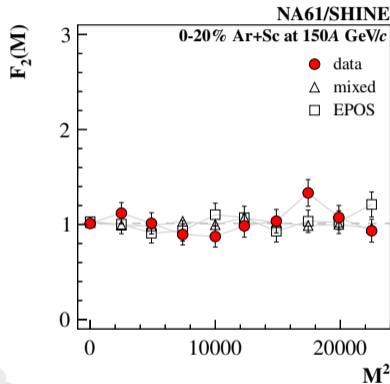
$$F_2(M) = \left\langle \frac{1}{M^2} \sum_{i=1}^{M^2} n_i(n_i - 1) \right\rangle / \left\langle \frac{1}{M^2} \sum_{i=1}^{M^2} n_m \right\rangle^2,$$

where  $\langle \dots \rangle$  indicates averaging over events

power-law dependence on  $M$ :

$$F_2(M) \sim (M^2)^{\phi_2}$$

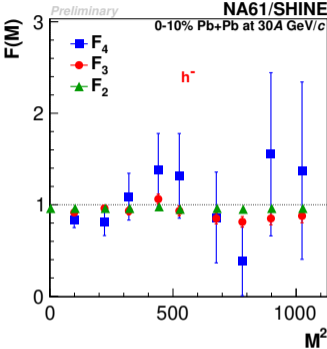
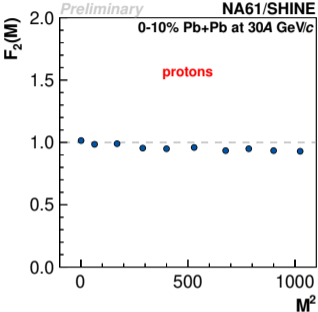
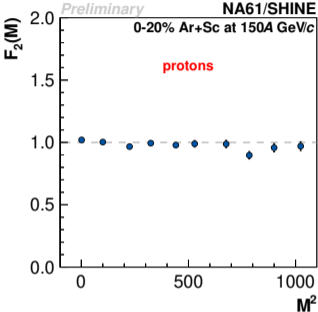
Expected intermittency index  $\phi_2$  at CP is  $5/6$  assuming the 3-D Ising universality class of QCD.



arXiv:2305.07557

No indication for power-law increase with bin size

# Search for QCD critical point: proton intermittency



Czopowicz, FANI21

No indication for power-law increase with bin size

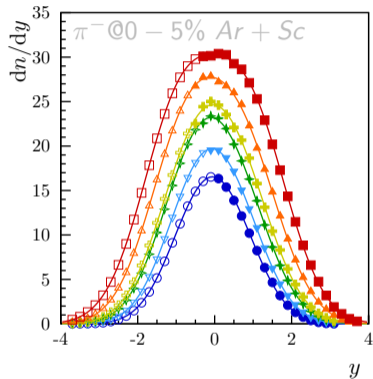
There is a disagreement between NA61/SHINE and STAR measurements J. Wu, ISMD'21 - comparison of analysis procedures ongoing

# Properties of the QCD matter: softening of EoS

Relation between rapidity width and sound velocity:  $\sigma^2 = \frac{8}{3} \frac{c_s^2}{1-c_s^4} \ln\left(\frac{\sqrt{s_{NN}}}{2m_p}\right)$

E.V.Shuryak, Yad.Fiz., 16, 395–405, 1972

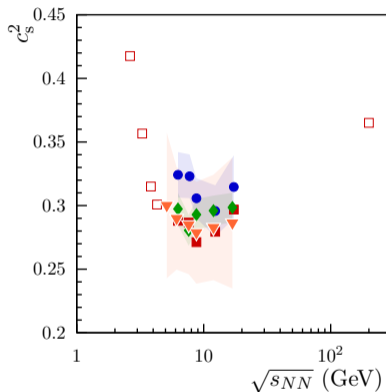
M. Bleicher, PoS CPOD 2006, 025 (2006)



NA61/SHINE, Eur.Phys.J.C 81 (2021) 5, 397

Minimum in energy dependence indicate possible softening of EoS

Need to extend data to lower energies



NA61/SHINE

▼ Ar+Sc

◆ Be+Be

● N+N

World

■ Pb+Pb (NA49)

□ Au+Au