

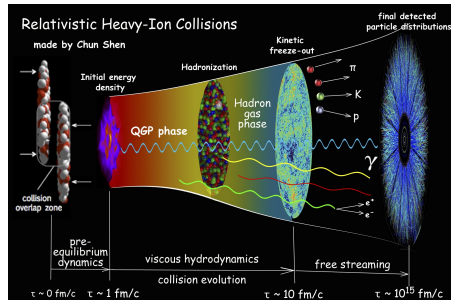
Maximum entropy freezeout of fluctuations

M. Stephanov



with Maneesha Pradeep: 2211.09142

Heavy-ion collisions and freezeout



- **Hydrodynamics** describes evolution of HIC fireball.
- However, experiments do not measure hydrodynamic variables, but particle multiplicities.
- **Freezeout** is an essential step connecting theory with experiment.

Standard procedure (Cooper-Frye)

• In each hydro cell at freezeout local $T(x)$, $\mu(x)$, $u(x)$

is translated into

local phase space distribution $f_A(x) = e^{\alpha(x)q_A + \beta(x)u(x) \cdot p_A}$.

$\alpha = \mu/T$ and $\beta = 1/T$,

A – set of particle quantum numbers
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- f_A gives us **single**-particle observables.

For **fluctuations** we need (at least) $\langle \delta f_A \delta f_B \rangle$.

Fluctuations

- Hydrodynamics is intrinsically stochastic: dissipation means there are fluctuations.
- Fluctuations, non-gaussian, in particular, are essential for mapping QCD phase diagram and locating QCD critical point.
- Hydrodynamic evolution of fluctuations
— a lot of recent progress — a subject for a different talk.
- This talk — [freezeout of fluctuations](#).

Earlier work, problems and questions

● “Fluctuating Cooper-Frye:”

Kapusta-Muller-MS 2011

$$\delta f_A = \left(\delta\alpha \frac{\partial}{\partial\alpha} + \delta\beta \frac{\partial}{\partial\beta} + \delta u \frac{\partial}{\partial u} \right) f_A(\alpha, \beta, u)$$

Then, multiplicity fluctuation correlator:

$$\langle \delta f_A \delta f_B \rangle = \underbrace{\langle \delta\alpha \delta\alpha \rangle}_{\text{from hydro}} \left(\frac{\partial}{\partial\alpha} f_A \right) \left(\frac{\partial}{\partial\alpha} f_B \right) + \dots \quad (*)$$

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● Problem:

consider ideal gas, **no correlations**, i.e. $\langle f_A f_B \rangle = \delta_{AB} f_A$

but there are fluctuations of $\delta\alpha$, $\delta\beta$, etc. even in ideal gas \Rightarrow equation (*) produces incorrect result: spurious correlations.

Source of the problem and a solution

- Pairs of correlated particles erroneously include “pairs” made of the same particle counted twice.
- A solution *Li-Springer-MS '13, Plumberg-Kapusta '20*
for charge fluctuations – subtract the contribution of ideal gas to $\langle \delta n \delta n \rangle$ in hydrodynamics and apply equation (*) only to the remainder:

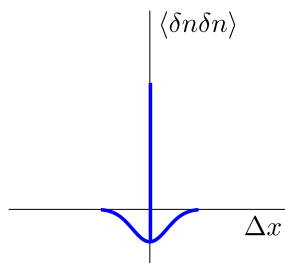
$$\langle \delta n \delta n \rangle \equiv \langle \delta n \delta n \rangle_{\text{ideal}} + \Delta \langle \delta n \delta n \rangle$$

$$\langle \delta f_A \delta f_B \rangle = \delta_{AB} f_A + \underbrace{\Delta \langle \delta n \delta n \rangle \left(\frac{\partial}{\partial n} f_A \right) \left(\frac{\partial}{\partial n} f_B \right)}_{\text{balance function}}$$

- Similarly, for critical contribution to fluctuations, $\langle \delta \sigma \delta \sigma \rangle_{\text{critical}} \sim \xi^2$ translates into deviation from baseline:

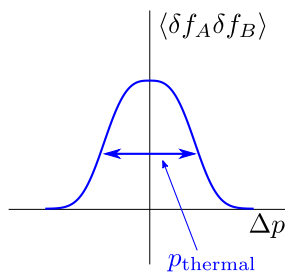
$$\langle \delta f_A \delta f_B \rangle = \underbrace{\delta_{AB} f_A}_{\text{baseline}} + \underbrace{\mathcal{O}(\xi^2)}_{\text{critical contribution}} \quad \text{MS-Rajagopal-Shuryak 1999}$$

Thermal smearing and “self-correlations”

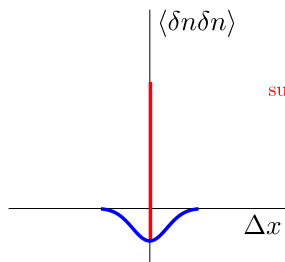


hydrodynamics

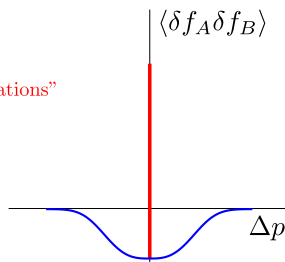
incorrect



particle correlations



subtract "self-correlations"



Open questions

How to deal with

- Temperature, velocity fluctuations?
- Non-critical fluctuations?
- Non-gaussian fluctuations?

Maximum entropy freezeout: *Pradeep-MS [2211.09142](#)*

Revisit one-point/single-particle observables

- Locally matching conserved quantities before/after freezeout:

$$n(x) = \sum_A q_A f_A(x) \text{ and } \epsilon(x)u^\mu(x) = \sum_A p_A^\mu f_A(x).$$

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- Which solution **maximizes Boltzmann entropy**?

$$S_0 = - \sum_A f_A \log f_A$$

Answer: $f_A = e^{\alpha_A q_A + \beta u \cdot p_A}$ — Cooper-Frye.

- Matching also dissipative viscous stress and diffusive current

gives $f_A = e^{\alpha_A q_A + \beta u \cdot p_A} + \underbrace{\Delta f_A}_{\text{non-equilibrium correction}}$. (Everett-Chattohadhyay-Heinz 2021)

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- Fluctuations?**

Pradeep-MS 2022

Maximum entropy freezeout of fluctuations

- We want to match fluctuations of $\{n, \epsilon, u^\mu\} \equiv \Psi_a$,
to fluctuations of f_A so that $\Psi_a = \sum_A P_a^A f_A$ **event-by-event**

i.e., $G_{AB} \equiv \langle \delta f_A \delta f_B \rangle$ must obey $(P_a^A = \{q_A, p_A, \dots\})$

$$\underbrace{\langle \delta \Psi_a \delta \Psi_b \rangle}_{H_{ab}} = \sum_{AB} P_a^A P_b^B \underbrace{\langle \delta f_A \delta f_B \rangle}_{G_{AB}}$$

Again, for G_{AB} , there are infinitely many solutions.

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- Entropy? Is a functional of fluctuations, i.e., of G_{AB} , G_{ABC} , etc.
E.g,

$$S_2 = S_0 + \underbrace{\frac{1}{2} \text{Tr} [\log GC + GC + 1]}_{\text{relative entropy, } G = -C^{-1} \equiv \bar{G}}, \text{ where } C_{AB} = \frac{\delta^2 S}{\delta f_A \delta f_B}.$$

Maximum entropy solution

- Relative entropy is maximized (subject to constraints) by

$$G_{AB}^{-1} = \bar{G}_{AB}^{-1} + (H^{-1} - \bar{H}^{-1})_{ab} P_A^a P_B^b$$

- Also for non-gaussian correlators (*Pradeep-MS 2022*).

- Note: when $H = \bar{H} \rightarrow G_{AB} = \bar{G}_{AB} = f_A \delta_{AB}$.

- Linearizing in $\Delta H \equiv H - \bar{H}$ we obtain the desired generalization of earlier results:

$$G = \underbrace{\bar{G}}_{\text{baseline}} + \underbrace{(\bar{H}^{-1} P \bar{G})^T \Delta H (\bar{H}^{-1} P \bar{G})}_{\text{correlations}}$$

Non-gaussian correlators ($n \geq 3$ particles)

Linearized equations are simple and intuitive:

$$G_{AB} = \bar{G}_{AB} + \Delta G_{AB}, \quad H_{ab} = \bar{H}_{ab} + \Delta H_{ab},$$

$$G_{ABC} = \left[\underbrace{\bar{G}_{ABC}}_{\substack{A \bullet \bullet B \\ C}} + \underbrace{3\Delta G_{AD}\delta_{DBC}}_{\substack{A \bullet \text{wavy} \bullet B \\ C}} + \underbrace{\hat{\Delta}G_{ABC}}_{\text{irreducible correlation}} \right]_{\overline{ABC} \leftarrow \text{permutation average}}$$

$$H_{abc} = \left[\bar{H}_{abc} + 3\Delta H_{ad}\delta_{dbc} + \hat{\Delta}H_{abc} \right]_{\overline{abc}}$$

Maximum entropy method gives:

$$\Delta G_{AB} = \Delta H_{ab} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b$$

$$\hat{\Delta}G_{ABC} = \hat{\Delta}H_{abc} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b (\bar{H}^{-1} P \bar{G})_C^c$$

Critical fluctuations

- The contribution of critical fluctuations matches the simple model often used in the literature (*MS 2011*):

$$\delta f_A^{\text{critical}} = \delta\sigma \left(\frac{\partial}{\partial\sigma} f_A \right)$$

where critical field σ couples to mass so that $\delta m_A = g_A \delta\sigma$.

$$\text{Thus } \langle \delta f_A \delta f_B \rangle = \underbrace{\delta_{AB} f_A}_{\text{Poisson baseline}} + \underbrace{\langle \delta\sigma \delta\sigma \rangle \left(\frac{\partial}{\partial\sigma} f_A \right) \left(\frac{\partial}{\partial\sigma} f_B \right)}_{\text{critical contribution } \sim g_A g_B}$$

- Now, within maximum entropy approach, we can determine the couplings g_A of the critical mode from the equation of state.

Concluding summary

- Maximum entropy approach for single-particle observables = traditional Cooper-Frye freezeout.
- Maximum entropy approach solves the problem of freezing out of hydrodynamic fluctuations.
- The method is very general and works for gaussian and non-gaussian, for critical and non-critical fluctuations.
- Agrees with existing methods where such are available.
- Allows determination of critical field coupling parameters crucial to predicting the magnitude of CP signatures in terms of the EOS parameters.