Frequency-based decay electron spectroscopy to measure neutrino mass and exotic interactions





- Prof. Dr. Martin Fertl
- Searching for New Physics at the Quantum Technology Frontier
 - CSF, Ascona
 - July 6th, 2023

JOHANNES GUTENBERG UNIVERSITÄT MAINZ





Short introduction to neutrino masses

- The current state of the art: KATRIN and its latest results
- Project 8: Narrow-range CRES for a neutrino mass measurement
- He-6: Broad-band CRES to search for chirality flipping interactions
- Summary

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Outline



Non-zero neutrino masses are firmly established ...

Standard Model of Elementary Particles



Figure adapted and updated from https://commons.wikimedia.org/wiki/File:Standard_Model_of_Elementary_Particles_Anti.svg

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... through neutrino flavor oscillation experiments, ...

... but neutrinos remain only SM particle without measured mass ...

... and the mass generation mechanism remains unclear.





With <u>neutrino mixing</u> and nuclear recoil for T_{nuc}:

$$\frac{dN}{dE_{\rm e}} = \frac{G_{\rm F}^2 m_{\rm e}^5 \cos^2 \theta_{\rm C}}{2\pi^3 \hbar^7} |M_{\rm nuc}|^2 F(Z, E_{\rm e}) p_{\rm e} (E_{\rm e} + m_{\rm e}) \sum_i |U_{\rm ei}|^2 \times \sqrt{(E_{\rm max} - E_{\rm e})^2 - m_{\nu \rm i}^2} \cdot \Theta (E_{\rm max} - E_{\rm e} - m_{\nu \rm i})$$

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 $^{2}\left(E_{\mathrm{max}}-E_{\mathrm{e}}\right)$



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$$BR \approx \left(\frac{\delta E}{E_0}\right)^3$$







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Tritium $E_0(T_A) = 18.59201(7) \text{ keV}$ Super allowed transition $T_{1/2} = 12.32 \text{ y}$ BR (1eV) = 2×10^{-13}

Myers et al, PRL 114, 013033, 2015





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- Source decay rate > 10^{11} Bq
- Tritium suppression > 10^{12}
- MAC-E filter width: 0.93 eV @ 18.6 keV
- Main spectrometer at < 10⁻¹⁰ mbar \bullet
- Exquisite MC model of experiment \bullet

Source: Direct neutrino-mass measurement with sub-electronvolt sensitivity, The KATRIN Collaboration, Nature Physics, volume 18, pages 160–166 (2022)

- Electron
- T₂
- ³HeT⁺
- Radon atom
- Rydberg atom Positive ion







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Neutrino mass signature: change of shape and shift of endpoint





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oility! dpoint





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Project 8 A frequency-based approach towards the measurement of the neutrino mass using ultra cold atomic tritium with 40 meV/c² sensitivity 2 6











- Cyclotron radiation from single electrons
- Source transparent to microwave radiation
- No e- transport from source to detector
- Highly precise frequency measurement

$$f_{\rm c} = \frac{f_{{\rm c},0}}{\gamma} = \frac{1}{2\pi} \frac{eB}{m_{\rm e} + E_{\rm kin}/c^2}$$



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Novel approach: J. Formaggio and B. Monreal, Phys. Rev D 80:051301 (2009)





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$$\frac{e^4}{4c^5}B^2 \left(E_{\rm kin}^2 + 2E_{\rm kin}\,m\,c^2\right)\sin^2\theta$$

Small but readily detectable with state-of-the-art detectors





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 $P(17.8 \text{ keV}, 90^\circ, 0.04 \text{ T}) = 1 \text{ aW} @ 1 \text{ GHz}$

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Small but readily detectable with state-of-the-art detectors

Atomic physics drives us to lower fields \rightarrow need for quantum amplifiers!



Demonstrate the path to an electron neutrino mass experiment step by step!



Proof of principle to show the feasibility of CRES: Use mono-energetic conversion electrons from ^{83m}Kr gas in waveguide

20	2021	2022	2023	2024	2025





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2015 2016 2017 2018 2019 202

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Amplification, digitization, mixing, and Fourier transformation

Phase I



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Very first CRES spectrum of ^{83m}Kr







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^{83m}Kr commissioning run: Observation of single 17.8 keV CE electrons on real time spectrum analyzer





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85 MHz window around 1.4 GHz central frequency





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Unique features of CRES:

Pile-up(?)


CRES compared to classical spectroscopy

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 - Distinct signal start times
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Very different set of cut parameters compared to classical e- spectroscopy!



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Project 8 phase II: CRES application to a continuous spectrum

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Project 8 phase II: CRES application to a continuous spectrum

Demonstrate the path to an electron neutrino mass experiment step by step!

2015	2016	2017	2018	2019	202	
Phase II	Construction			Data taking		

Goals:

- 1st application of CRES to continuous β spectrum
- 1st frequency-based neutrino mass limit
- Demonstration of:
 - high energy resolution
 - zero background
 - control of systematic effects









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"Shallow trap" configuration with:

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Development of line shape model:

- Kr decay physics: shake-up and shake-off
 - ^{83m}Kr used in many other experiment too New paper: H. Robertson and V. Venkatapathy, Phys. Rev. C 102, 035502, 2020
- e⁻ scattering in (high-density) gas column, background gases, missed first track





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Measured line width: $(2.8 \pm 0.1) \text{ eV}$ Instrumental width: $(1.7 \pm 0.2) \text{ eV}$





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- (32153.6 ± 2.4) eV • Determine energy of 32-keV γ -line: Excellent agreement with literature value: (32151.7±0.5) eV Venos et al., NIM A 560, 2, 352-359, 2006







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Esfahani, et al, arXiv:2303.12055





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Detector response model verified for deep trap configuration!

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Detector response is frequency dependent!

Sweep position of 17.8 keV ^{83m}Kr across frequency ROI by changing the background field!

$$f_{\rm c} = \frac{1}{2\pi} \frac{eB}{m_{\rm e} + E_{\rm kin}/c^2}$$





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Notch in detection efficiency:

- TM01 mode interaction in the waveguide "cavity" due to imperfections
- Characterized, quantitatively understood and accounted in the spectral analysis









The complete analysis flow



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The waveguide prototype setup revealed a lot of signal features that were unknown at the time of the waveguide cell construction

 \rightarrow Development of a complex signal model to reflect

- Instrumental RF properties
- Instrumental thermodynamic properties
- Change of gas composition (³He build-up)

 \rightarrow Completely new analysis approach for new type of data!





Project 8 phase II: results from molecular tritium

T₂ endpoint consistent with literature value

First frequency-based neutrino mass measurement

Extremely low background rate, no events beyond the endpoint region

Frequentist and Bayesian analyses:

T2 endpoint:

$$E_{0}^{\text{Freq.}} = (18548^{+19}_{-19}) \text{ eV } (1\sigma)$$

$$E_{0}^{\text{Bay.}} = (18553^{+18}_{-19}) \text{ eV } (1\sigma)$$
Neutrino mass:

$$m_{\beta}^{\text{Freq.}} \leq 152 \text{ eV/c}^{2} (90 \% \text{ C. L.})$$

$$m_{\beta}^{\text{Bay.}} \leq 155 \text{ eV/c}^{2} (90 \% \text{ C. I.})$$

Background rate: $\leq 3 \times 10^{-10} \, \text{eV}^{-1} \text{s}^{-1} (90 \,\% \, \text{C.I.})$





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Development of cold atomic hydrogen/tritium sources







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Phase III aims to establish the scaling relations to design an experiment with 40 meV mass sensitivity:

- Signal detection in a small RF cavity instead of a waveguide \Rightarrow Cavity CRES Apparatus (CCA)
- Scaling of the gas volume from mm³ to m³ and in low field \Rightarrow Low field Apparatus (LUCKEY/LFA)
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Phase IV: Ultimate sensitivity phase with (then) established technology





Phase III: development of all required technologies





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Phase III Cavity CRES apparatus (CCA)




Physically open ended cavity with coupling to waveguide





Physically open ended cavity with coupling to waveguide



- Need to establish the signal model for e in cavity
- Need to demonstrate sufficient power collection
- Need to demonstrate the analysis capabilities



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This is our next apparatus to come online!





Phase III: Atomic Tritium Demonstrator

Need to confine cold atomic hydrogen/tritium!



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figure: Alec Lindman



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Central CRES field should be rather low to reduce dipolar spin flip losses!



Ad Lagendijk, Isaac F. Silvera, and Boudewijn J. Verhaar Phys. Rev. B 33, 626(R), 1986

figure: Alec Lindman

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figure credit: RGH Robertson

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Technology development with H₍₂₎ and D₍₂₎ Later transfer to $T_{(2)}$ infrastructure.

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Surface accommodation: Cold surfaces ...-2025





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Magnetic evaporative cooling beamline



Thermal cracker: $H_2 \rightarrow 2 H$, hot, now!

2200 K

Surface accommodation: Cold surfaces ...-2025



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Magnetic evaporative cooling beamline

Atomic beam diagnostics: Wire detector, now!









Thermal cracker: $H_2 \rightarrow 2 \; H$







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for AMU 1-10 on z-translator (Hiden)



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Mass 1 signal of mass spectrometer



MS in beam gas flow on

MS out of beam gas flow on





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Mass 1 signal of mass spectrometer



MS in beam gas flow on

MS out of beam gas flow on

Biggest challenge: Derive absolute cracking efficiency Understand and control H₂ bkgd! Work in progress!



JGU

- Idea: measure resistance of 50 µm thick wire when hit by H beam
 - \rightarrow recombination to H₂ releases heat
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Preliminary estimate of cracking efficiency for the first time!











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<u>Appeal:</u> Small foot print detectors along beam line as diagnostic tools Only electrical measurements involved.









<u>Problem</u>: Accommodation on surfaces not possible to mK temperatures \rightarrow Recombination of atomic hydrogen Possible Mitigation: evaporative cooling of gas in decreasing trapping potential

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JGU

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Thermalization: large H density to maintain thermal equilibrium

Evaporation: Radial confinement fields decrease along the beam line \rightarrow only coldest (slowest) atoms remain for injection



Hot atoms

evaporate as

confining field drops

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Radial confinement of atomic H gas: electron magnetic moment and radial gradient field (multipole)



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Radial confinement of atomic H gas: electron magnetic moment and radial gradient field (multipole)

 \rightarrow H₂ and helium has no significant magnetic moment

 \rightarrow H₂ and helium contaminants are not confined and leave radially

 \rightarrow Fully integrated design with SC and permanent magnets

 \rightarrow cryogenics, UHV, magnetic fields, total gas load



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→ Fully integrated design with SC and permanent magnets → cryogenics, UHV, magnetic fields, total gas load ¬rtl - Ascona, July 6th 2023





Project 8 summary



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Phase II: First CRES-based neutrino mass limit

T2 endpoint:
$$E_0^{\text{Freq.}} = (18548^{+19}_{-19}) \text{ eV} (1\sigma)$$

 $E_0^{\text{Bay.}} = (18553^{+18}_{-19}) \text{ eV} (1\sigma)$
Neutrino mass: $m^{\text{Freq.}} \leq 152 \text{ eV}/c^2 (90\% \text{ C})$

INEULIIIU IIIa55.

$$m_{\beta}^{\text{Freq.}} \le 152 \,\text{eV/c}^2 \left(90 \,\% \,\text{C.L.}\right)$$

 $m_{\beta}^{\text{Bay.}} \le 155 \,\text{eV/c}^2 \left(90 \,\% \,\text{C.I.}\right)$

Background rate: $\leq 3 \times 10^{-10} \, \text{eV}^{-1} \text{s}^{-1} \, (90 \,\% \, \text{C.I.})$



Project 8 summary





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Background rate:

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Phase III: Intense R&D program to establish the scaling relations to design an experiment with 40 meV mass sensitivity:

- Signal detection in a small RF cavity instead of a waveguide
- Scaling of the gas volume from mm³ to m³ and in low field
- Production of trapped cold atomic hydrogen/tritium


Fierz term contribution to differential decay rate $w(\langle \mathbf{J} \rangle | E_e, \Omega_e, \Omega_\nu) dE_e d\Omega_e d\Omega_\nu = \frac{F(\pm Z, E_e)}{(2\pi)^5} p_e E_e (E_0 - E_e)^2 dE_e d\Omega_e d\Omega_\nu \times$ $\xi \left\{ 1 + a \frac{\mathbf{p}_e \cdot \mathbf{p}_\nu}{E_e E_\nu} + b \frac{m_e}{E_e} + \frac{\langle \mathbf{J} \rangle}{J} \cdot \left[A \frac{\mathbf{p}_e}{E_e} + B \frac{\mathbf{p}_\nu}{E_\nu} + D \frac{\mathbf{p}_e}{E_\nu} \right] \right\}$

$$\left. \frac{e \times \mathbf{p}_{\nu}}{E_e E_{\nu}} \right] \right\} ,$$



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$$(-E_e)^2 dE_e d\Omega_e d\Omega_\nu \times e^{\langle \mathbf{p}_\nu \rangle}$$

$$\frac{e \wedge \mathbf{P}_{\nu}}{E_e E_{\nu}} \bigg] \bigg\} ,$$

$$\frac{C_{\rm S} + C_{\rm S}'}{C_{\rm V}} + \left| M_{\rm GT} \right|^2 \frac{C_{\rm T} + C_{\rm T}'}{C_{\rm A}} \right)$$



Fierz term contribution to differential decay rate

 $w(\langle \mathbf{J} \rangle | E_e, \Omega_e, \Omega_\nu) dE_e d\Omega_e d\Omega_\nu = \frac{F(\pm Z, E_e)}{(2\pi)^5} p_e E_e(E_0 - \xi \left\{ 1 + a \frac{\mathbf{p}_e \cdot \mathbf{p}_\nu}{E_e E_\nu} + b \frac{m_e}{E_e} + \frac{\langle \mathbf{J} \rangle}{J} \cdot \left[A \frac{\mathbf{p}_e}{E_e} + B \frac{\mathbf{p}_\nu}{E_\nu} + D \frac{\mathbf{p}_e}{E_\nu} \right] \right\}$ First order sensitivity to new physics: $b \propto \text{Re} \left(\left| M_{\text{F}} \right|^2 \frac{C_{\text{S}}}{2} \right)^2$



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<u>6He:</u>

- 1. 100 % Gamow-Teller transition $\Rightarrow C_{\rm T}$ sensitivity
- 2. No γ emission with β^- decay
- 3. Short half-life time: 807 ms
- 4. Theoretically well understood

⁶He-CRES

Neutrons:

Most fundamental semi-leptonic weak decay July 6th 2023

M. Gonzalez-Alonso and O Navilliat-Cuncic, PRC 94, 035503 (2016)





arXiv:2209.02870



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arXiv:2209.02870





Very high-density of ⁶He tracks at 2T



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arXiv:2209.02870



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Two ¹⁹Ne tracks in detail





arXiv:2209.02870



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Two ¹⁹Ne tracks in detail



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¹⁹Ne track affected by waveguide





arXiv:2209.02870v2

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arXiv:2209.02870v2 Established viability of CRES across the full beta-decay energy range!





- spectroscopy.

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Summary

•CRES established as promising technique for next generation neutrino mass experiment

 Project 8 Phase II demonstrated background-free operation, control of systematics, first CRES m_{β} limit

•Work ongoing toward key technology demonstrations on the path to the 40 meV experiment

 First cyclotron radiation emission signals from MeV-scale e[±] pave the way for wide-application frequency based precision



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PROJEL N. Buzinsky, W. Byron, W. DeGraw, B. Dodson, A. Garcia, G. Garvey, B. Graner, H. Harrington, K.S. Khaw, K, Knutsen, E. Novitski, R.G.H. Robertson, G. Rybka, E. Smith, M. Sternberg, D.W. Storm, H.E. Swanson, X. Zhu University of Washington M. Fertl Johannes Gutenberg University Mainz M. Guigue, X. Huyan, N. S. Oblath, J.R. Tedeschi, B.A. VanDevender Pacific Northwest National Laboratory L. Hayen, D.D. Stancil, A. Young North Carolina State University L. Hayen, A. Young The Triangle Universities Nuclear Laboratory, Durham D. McClain, D. Melconian ⁶He-CRES Texas A&M University P. Müller, G. Savard, **Argonne National Laboratory** F. Wietfeldt Tulane University

B. Monreal, R. Mohiuddin, Y.-H. Sun Case Western Reserve University C.-Y. Liu University of Illinois, Urbana-Champaign W. Pettus Indiana University S. Böser, M. Fertl, A. Lindman, Ch. Matthé, B. Mucogllava, R. Reimann, F. Thomas, L. A. Thorne Johannes Gutenberg University Mainz **T. Thümmler** Karlsruhe Institute of Technology A. Poon, Lawrence Berkeley National Laboratory K. Kazkaz Lawrence Livermore National Laboratory J. A. Formaggio, M. Li, J.I. Peña, J. Stachurska, W. Van De Pontseele Massachusetts Institute of Technology J. K. Gaison, N. S. Oblath, D. Rosa de Jesus, J. R. Tedeschi, B. A. VanDevender Pacific Northwest National Laboratory P.T. Surukuchi University of Pittsburgh **B. Jones** University of Texas, Arlington M. C. Carmona-Benitez, L. de Viveiros, R. Mueller, A. Ziegler Pennsylvania State University C. Claessens, P. J. Doe, S. Enomoto, A. Marsteller, E. Novitski, R. G. H. Robertson, G. Rybka University of Washington K. M. Heeger, J. A. Nikkel, L. Saldaña, P. L. Slocum, P.T. Surukuchi, A. B. Telles, T. E. Weiss

Yale University

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We are looking for new group members to join our efforts in Mainz



To strengthen its neutrino physics research program, the University of Mainz offers

1 PhD position (EG13/2)

at the Cluster of Excellence PRISMA+ to work on "Project 8", a next generation neutrino mass experiment (<u>http://www.project8.org</u>).

Neutrino oscillations provide a clear indication that neutrinos are not massless as assumed in the Standard Model of particle physics. Yet the masses of the neutrinos are several orders of magnitude lower than those of other fermions, and only upper limits have been set so far. Today, the most sensitive method to observe neutrino masses in the laboratory is the observation of the tritium β -decay spectrum endpoint region.

Towards this goal, the Project 8 collaboration has developed the novel method of Cyclotron Radiation Emission Spectroscopy (CRES), in which the electron energy is determined by its radio frequency emission when trapped in a magnetic field. Recently, we have succeeded in measuring the tritium spectrum with a small volume inside a waveguide, read out by a single antenna. In order to scale up to the final experiment, several techniques will need to be developed and tested.

