

# Status and perspectives of ICARUS at the Fermilab Short Baseline Neutrino program

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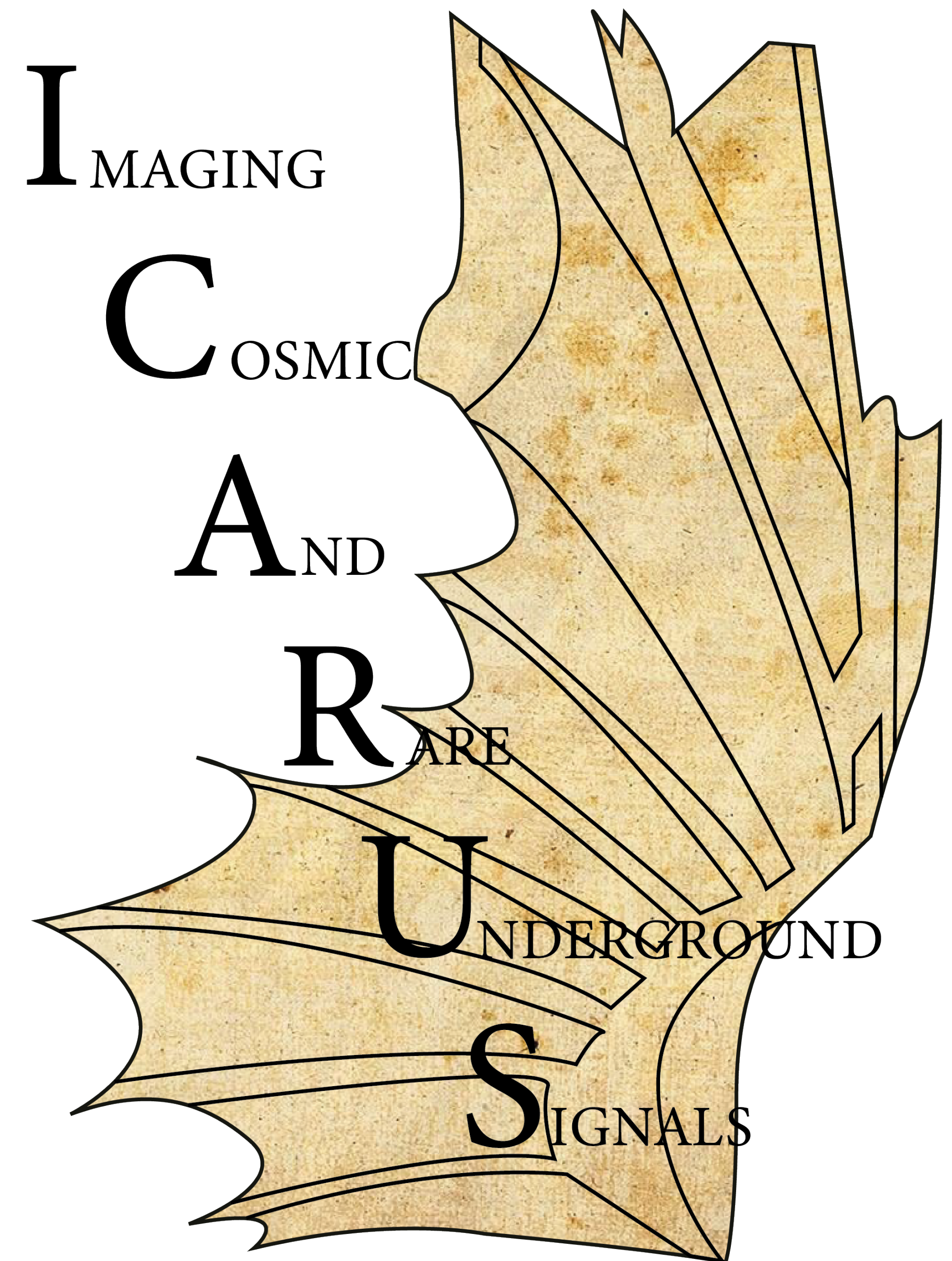
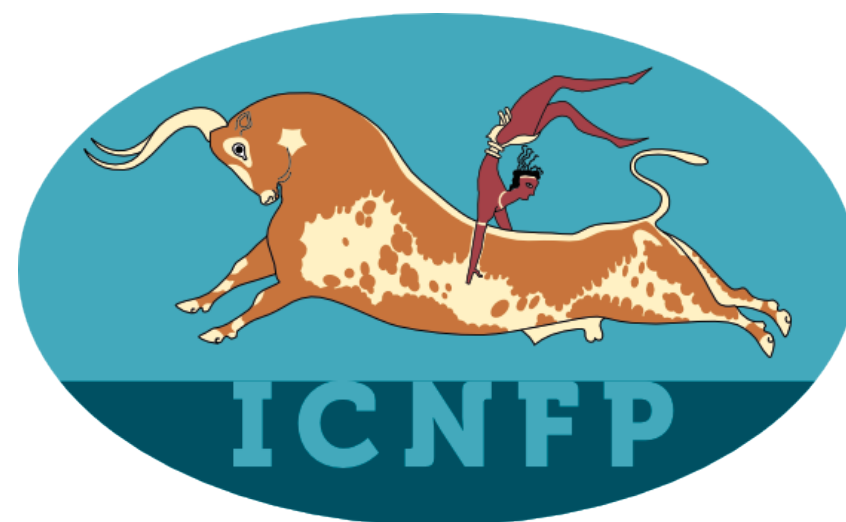
Alice Campani

on behalf of the ICARUS Collaboration

Università di Genova & INFN Sezione di Genova

XII International Conference  
on New Frontiers in Physics

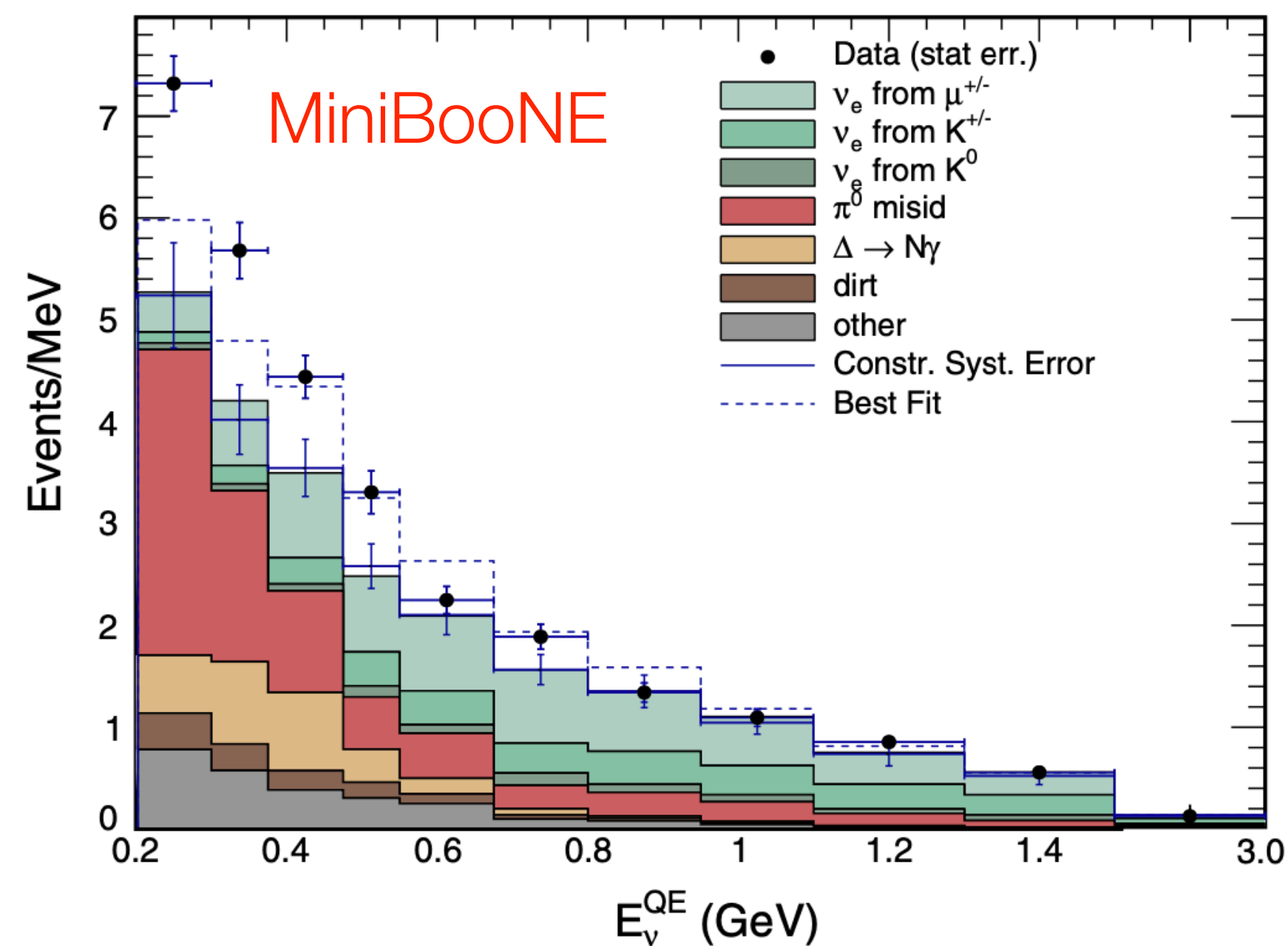
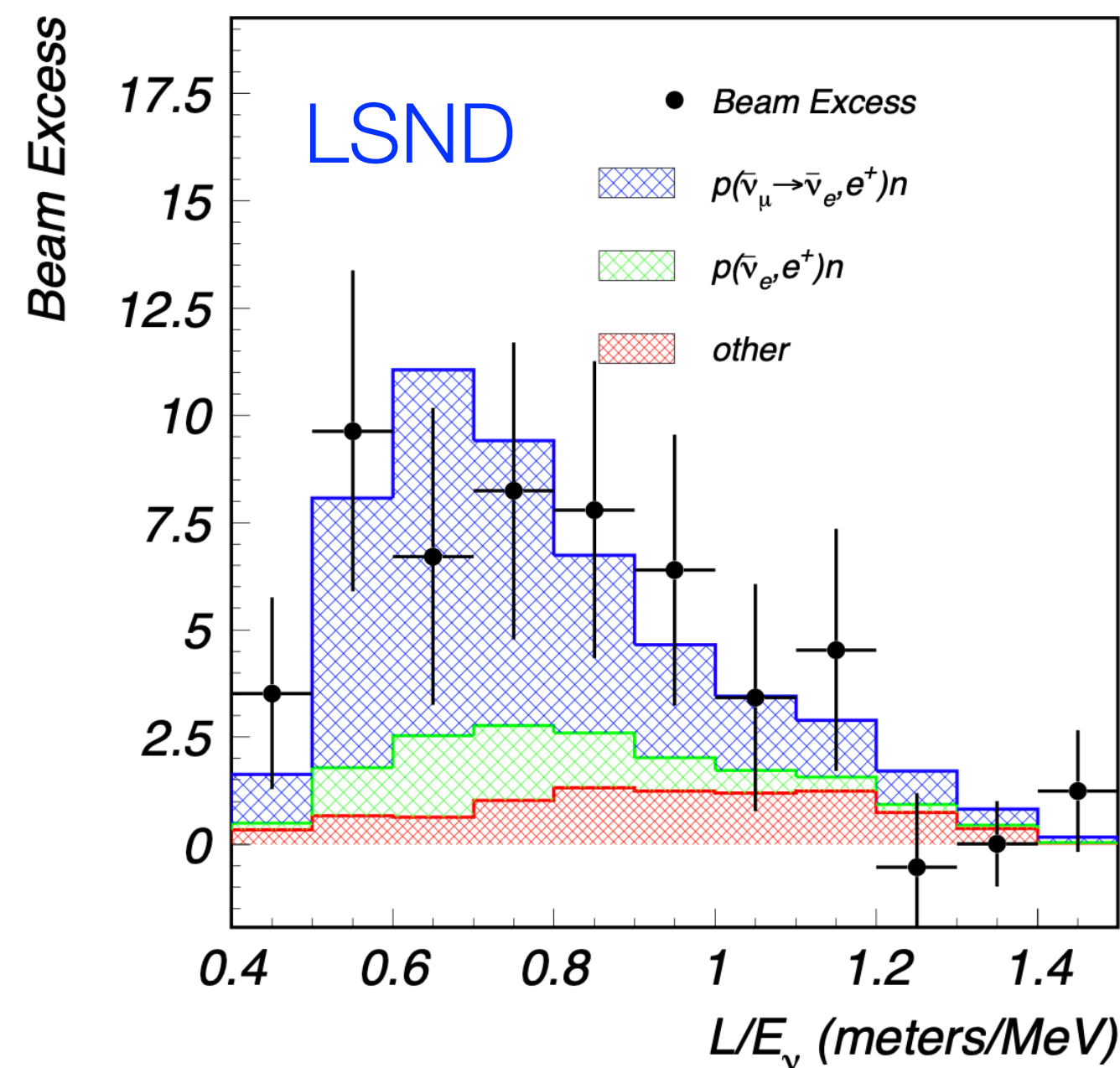
Kolymbary, 13 July 2023





# Neutrino physics: oscillations and the sterile neutrino puzzle

- **LSND** and **MiniBooNE** reported anomalous signals of  $\nu_e$  excess at low E: this could imply an additional term  $\Delta m_{\text{new}}^2 \sim 1.0 \text{ eV}^2$  driving  $\nu_\mu \rightarrow \nu_e$  oscillations at small distances and pointing towards the possible existence of non-standard heavier sterile neutrino(s)



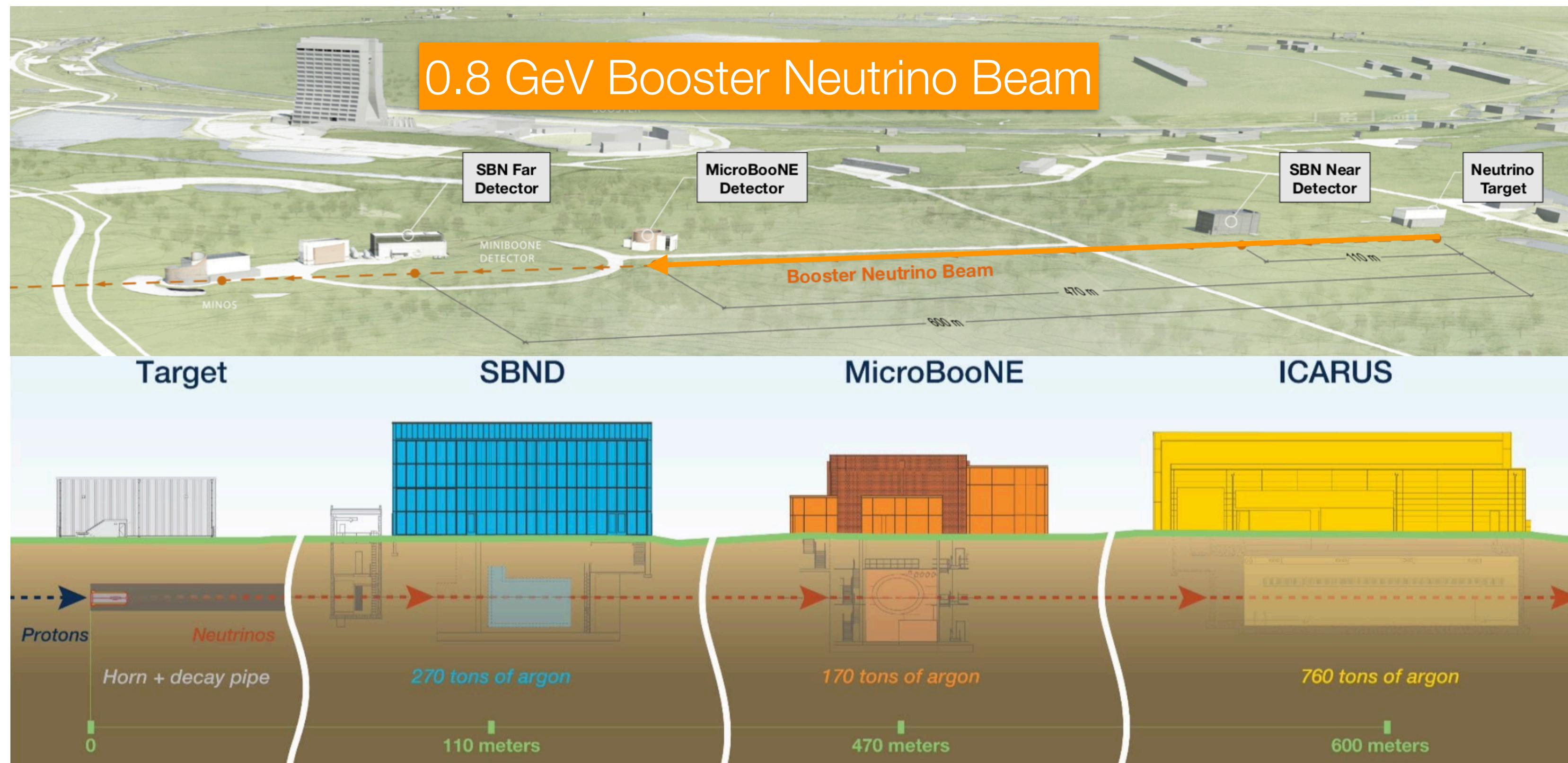
- A clear tension between appearance and disappearance results is also observed so the possibility to measure both channels with the same experiment is extremely helpful to understand the current physics scenario

Phys. Rev. D 64, 112007 (2001)

Phys. Rev. D 103, 052002 (2021)



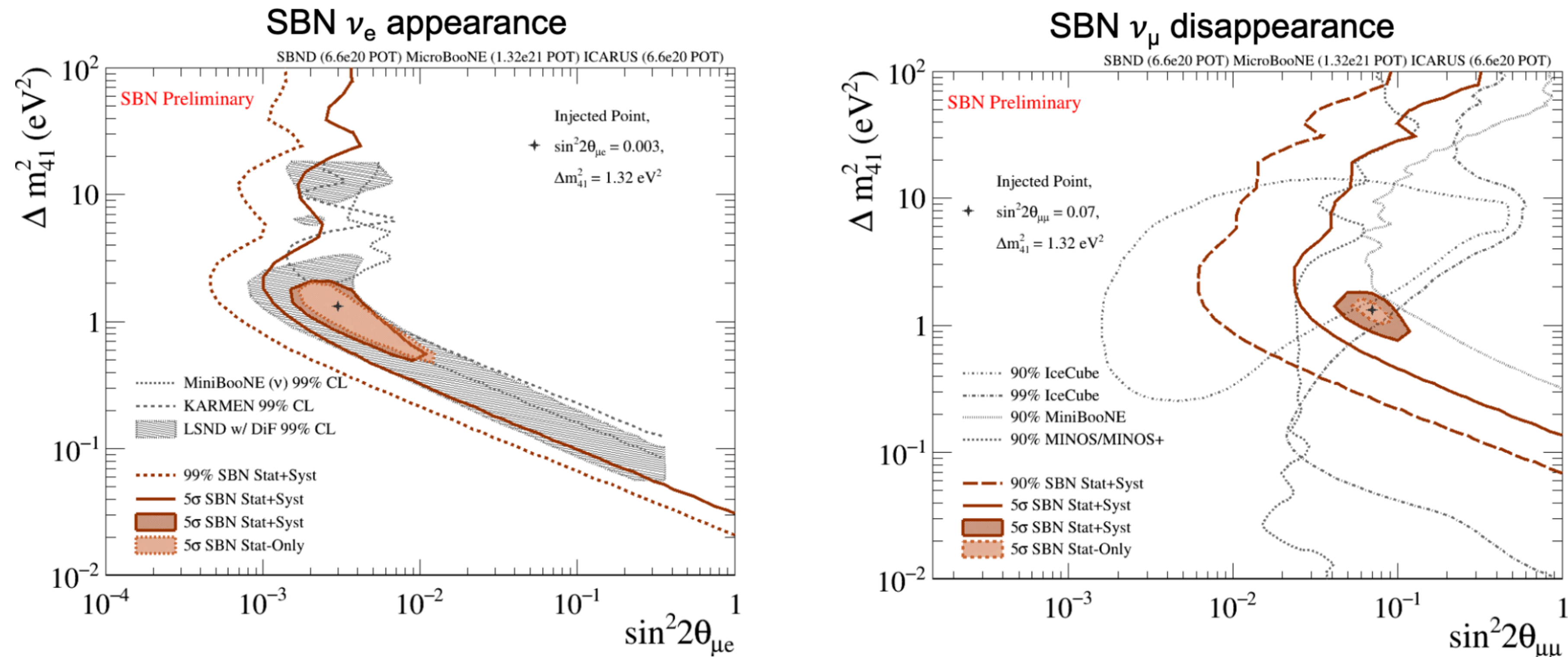
# The Short Baseline Neutrino (SBN) physics program



- The main goal is a precision search for **1 eV mass scale sterile  $\nu$**  to confirm/rule out previous anomalies from past experiments
- Sensitive searches for  $\nu_{\mu}$  disappearance and  $\nu_e$  appearance channels
- High statistics measurements of  $\nu$ -Argon cross sections relevant for DUNE
- Search for Beyond Standard Model (BSM) physics
- **SBND** is the near detector, whereas **ICARUS** is the far detector: using the same detector technology will greatly reduce systematics - **BNB** beam, ICARUS is also exposed to NuMI beam (6 degrees off-axis)



# The Short Baseline Neutrino (SBN) physics program




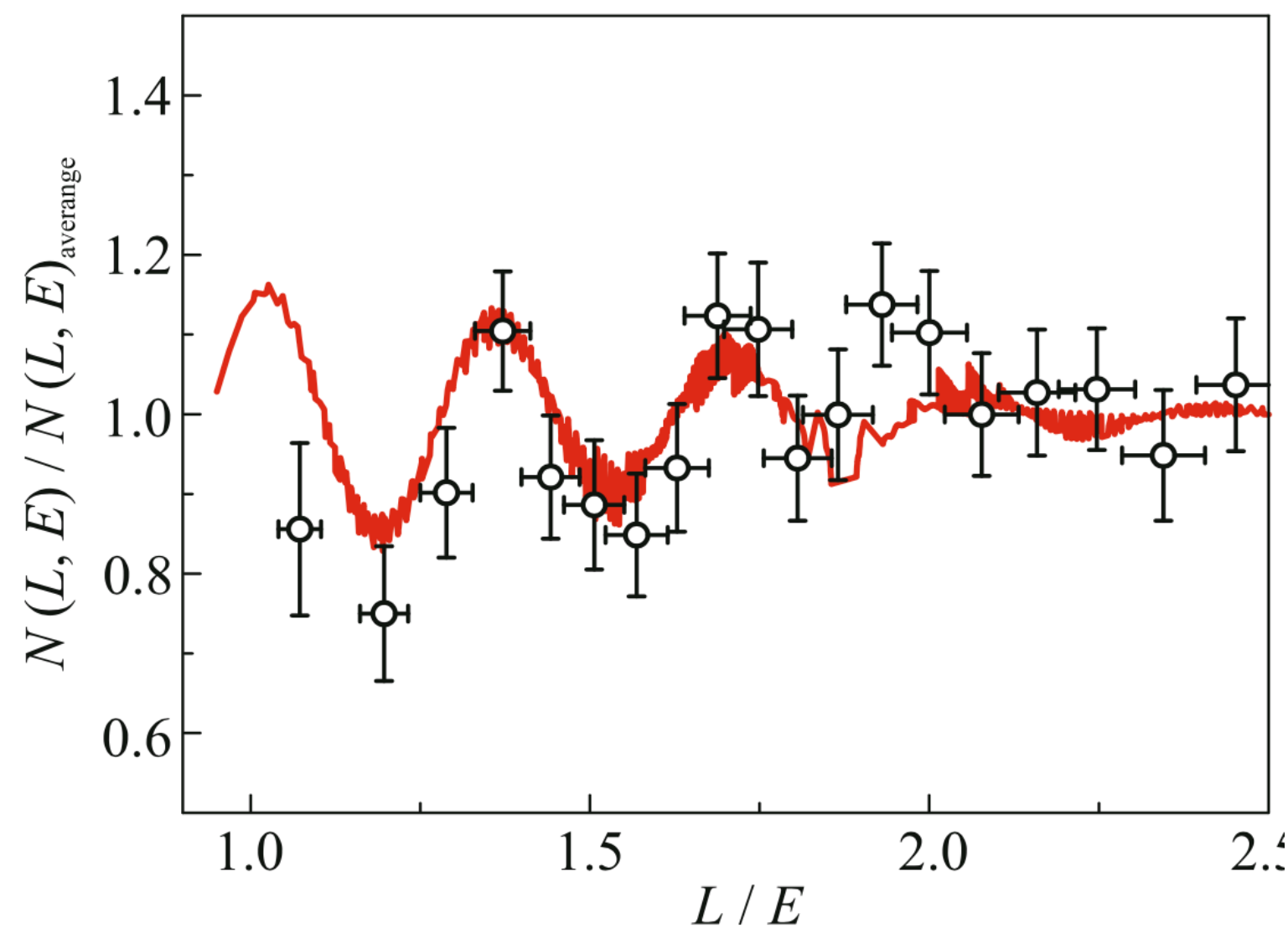
The combined analysis of near and far detector will allow a sensitive search with **5 $\sigma$**  sensitivity in both appearance and disappearance channels in 3 years of data taking

 [Ann.Rev.Nucl.Part.Sci. 69 363-387 \(2019\)](#)



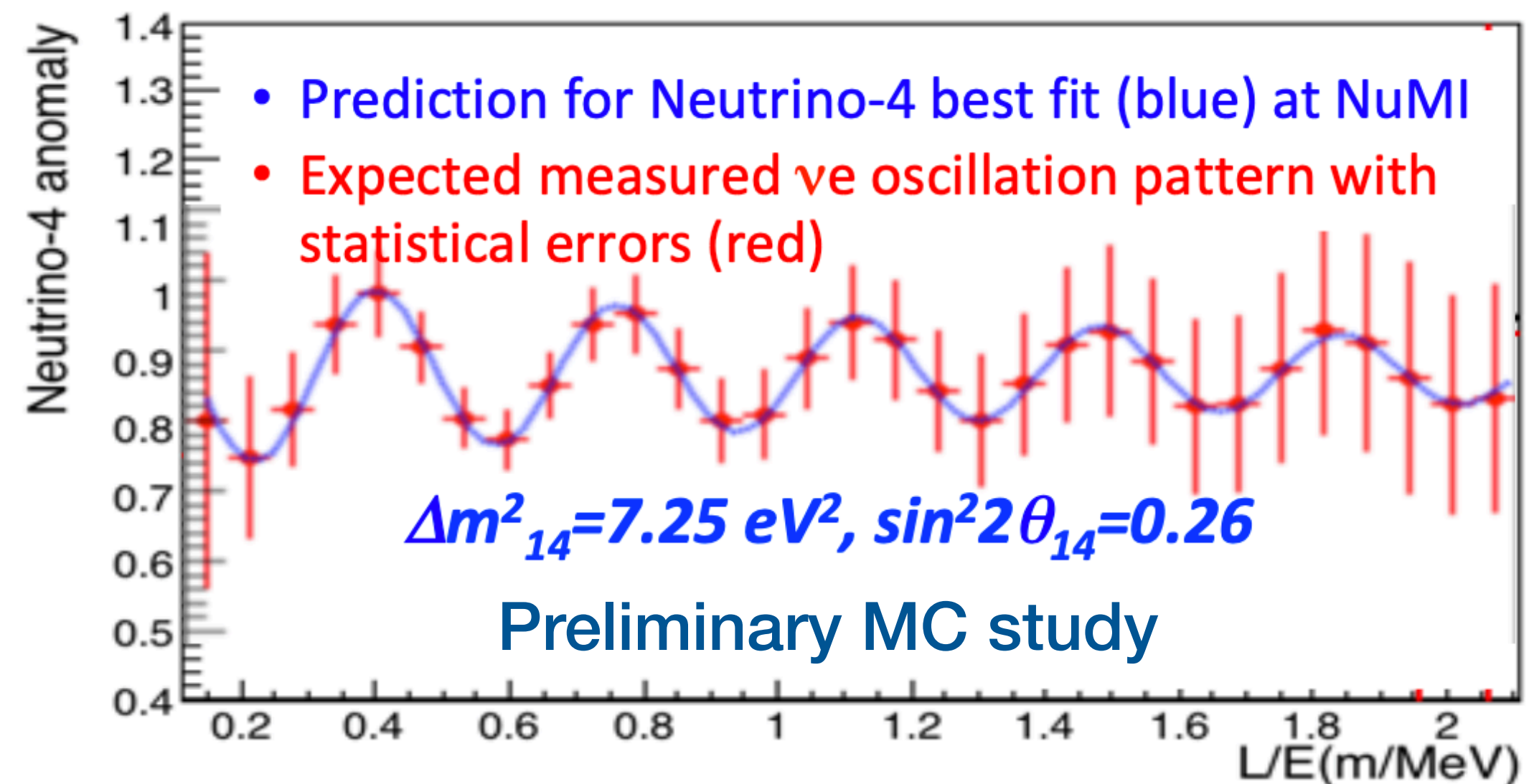
# Testing Neutrino-4 claim with ICARUS

- **Neutrino-4** collaboration recently reported a possible hint of an oscillatory signature with parameters  $\Delta m^2 \sim 7.3 \text{ eV}^2$  and  $\sin^2(2\theta) \sim 0.36$   [Jep Latt. 116, 669–682 \(2022\)](#)



- NuMI  $\nu_e$  disappearance is a relevant channel where **ICARUS** could test this claim since it works in the same L/E range of 1-3 m/MeV with 100 times higher E but L large and constant

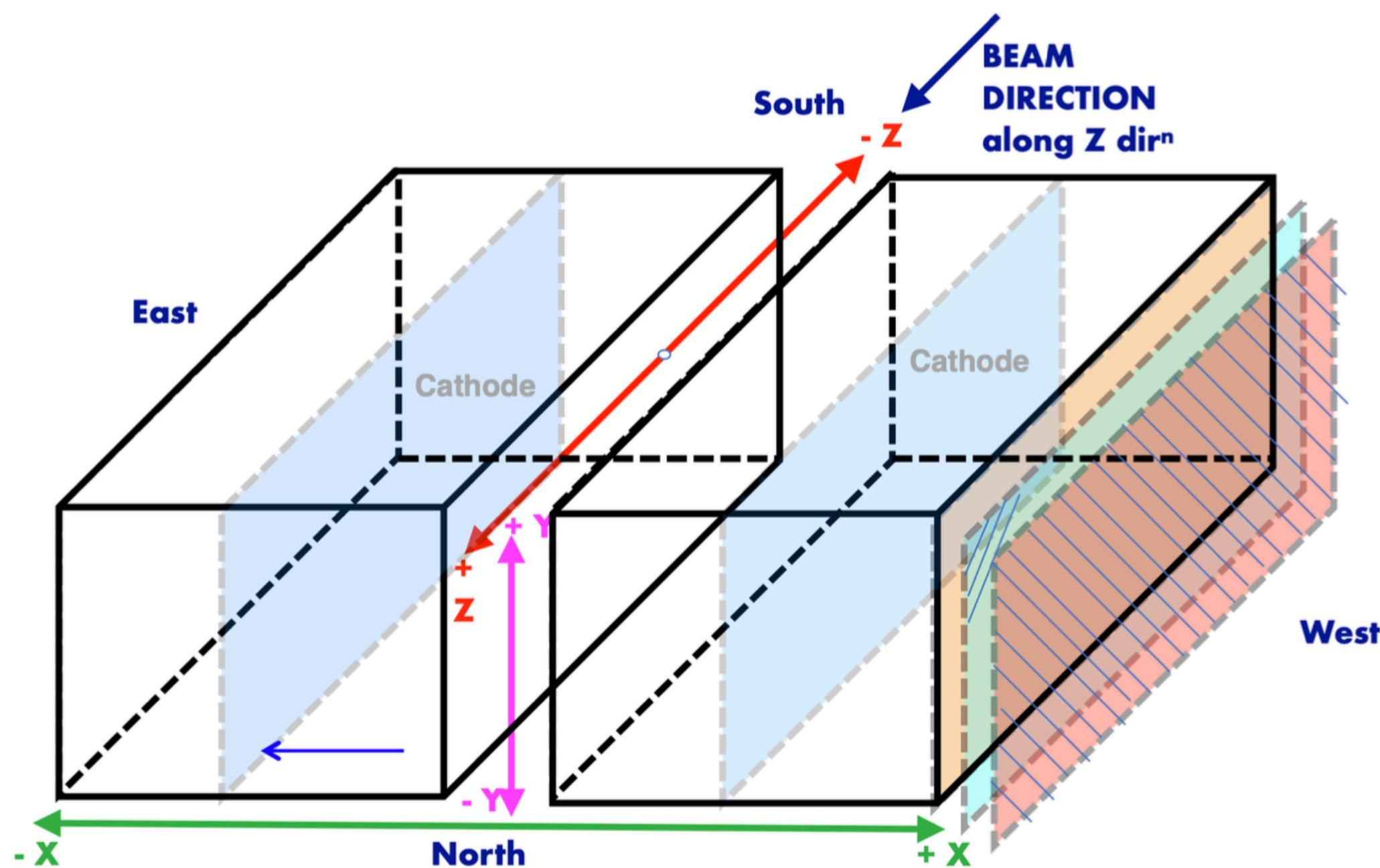
- We expect to have  $\sim 5200$  QE events with an electromagnetic shower contained in **1 year of data taking** ( $6 \cdot 10^{20}$  POT) from Monte Carlo simulations





# ICARUS detector in a nutshell

- Liquid Argon Time Projection Chambers (LAr-TPCs) proposed by C. Rubbia in 1977 are high granularity, continuously sensitive, self-triggering detectors with 3D imaging and calorimetric reconstruction capabilities, ideal for  $\nu$  interaction studies in a wide energy range
- ICARUS T600 is the first large scale LAr-TPC: two identical cryostats ( $3.6 \times 3.9 \times 19.6 \text{ m}^3$ ) housing two TPC each, 760 tons of ultra pure liquid argon for a total active mass of 470 tons

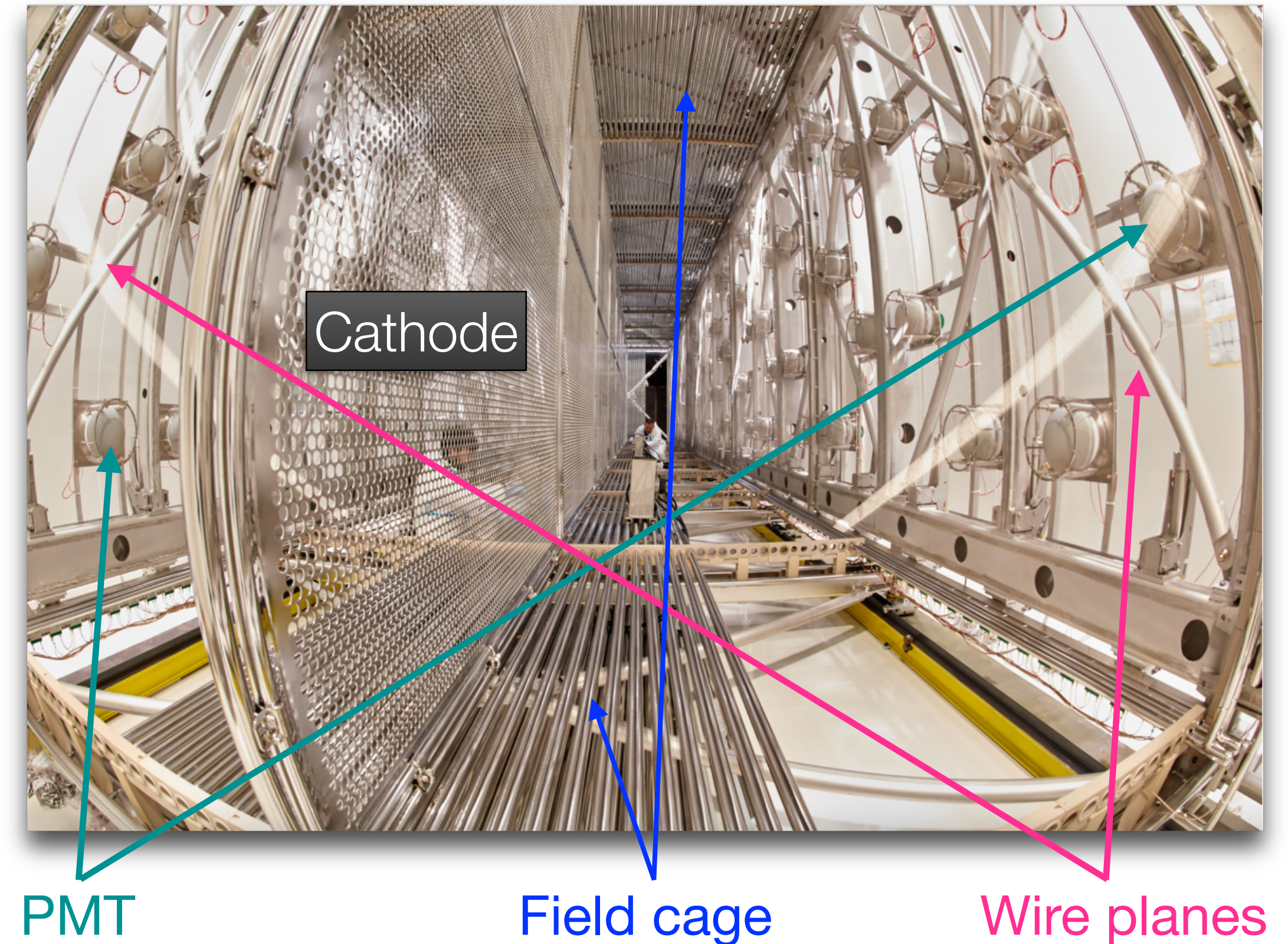


- $E_{\text{drift}} = 500 \text{ V/cm}$  gives  $t_{\text{drift}} \sim 1 \text{ ms}$ ,  
 $v_{\text{drift}} \sim 1.6 \text{ mm}/\mu\text{s}$
- Ionization charge is read by **3 wire planes** with different orientation: Induction1 ( $0^\circ$ ), Induction 2 ( $+60^\circ$ ) and Collection ( $-60^\circ$ ), 53248 wires in total
- **360 PMTs** coated with TPB located behind the wires to collect scintillation light and provide  $t_0$  timing and detector trigger



# ICARUS detector from LNGS to FNAL

- After the first operations at LNGS an intensive overhauling at CERN was made before shipping the detector to Fermilab to upgrade
  - the cryogenics,
  - the LAr purification system,
  - the TPC readout electronics,
  - the light collection system.

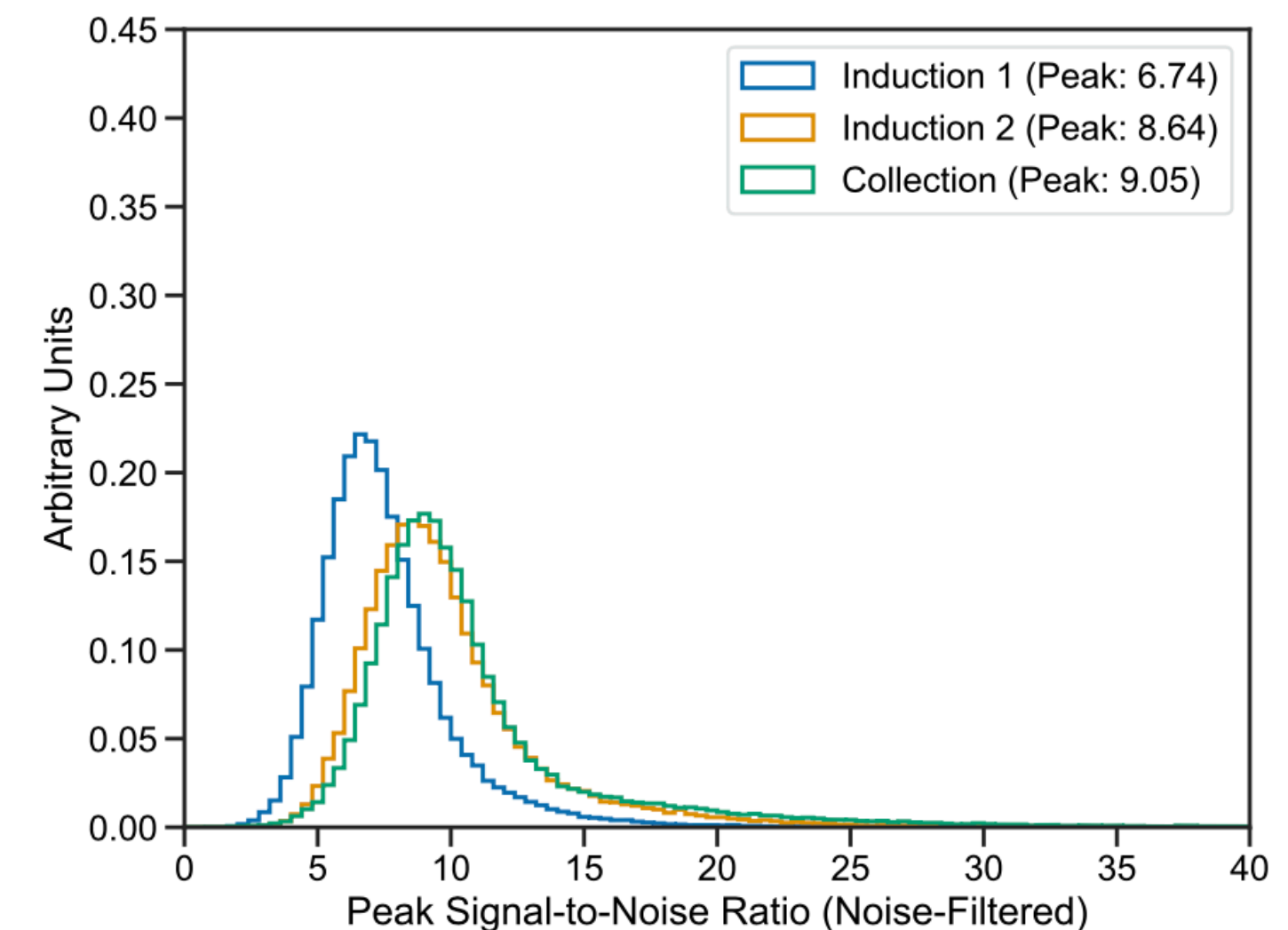
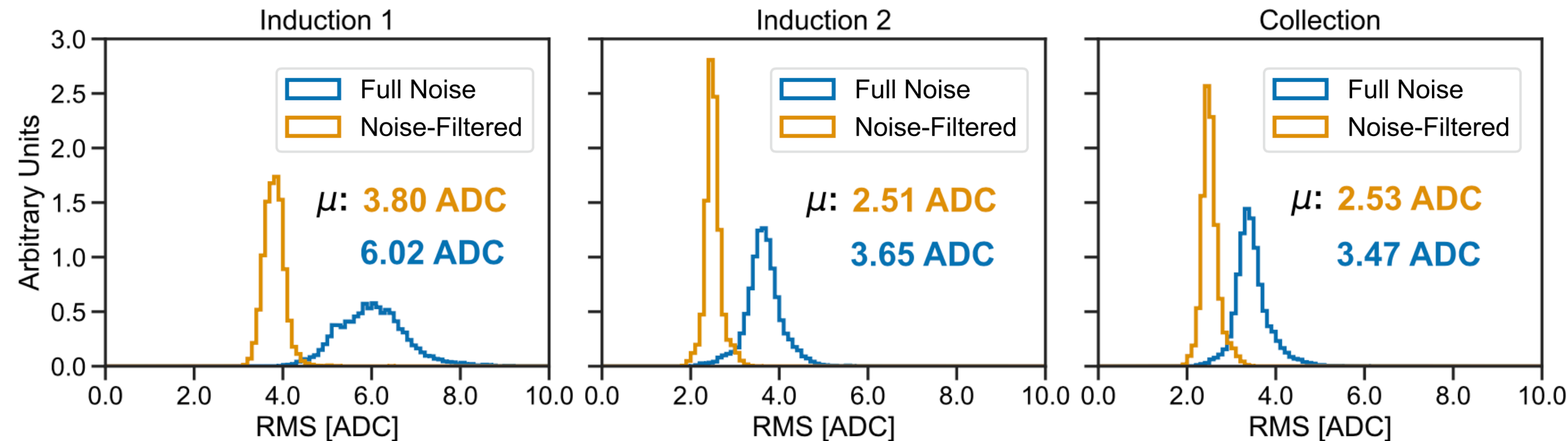
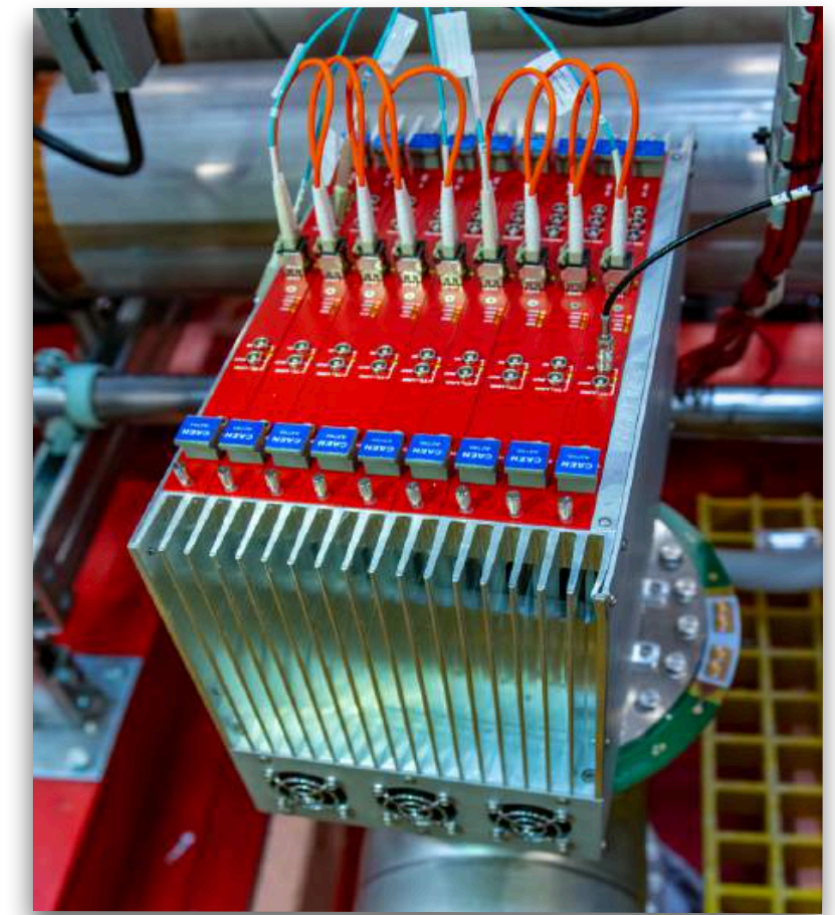




# The TPC and upgrades of the electronics readout

- New, higher performance TPC readout electronics compliant with **higher data rates** at shallow depths at FNAL compared to LNGS
- Same modularity/architecture, but integration on a special custom crate: more **compact layout** with analog & digital components in the same board
- Anomalous coherent **noise** inside the 64 channels board found upon detector activation attributed to the ancillary cryogenics instrumentation reduced after several interventions

 [Eur. Phys. J. C 83:467 \(2023\)](#)





# The light collection system

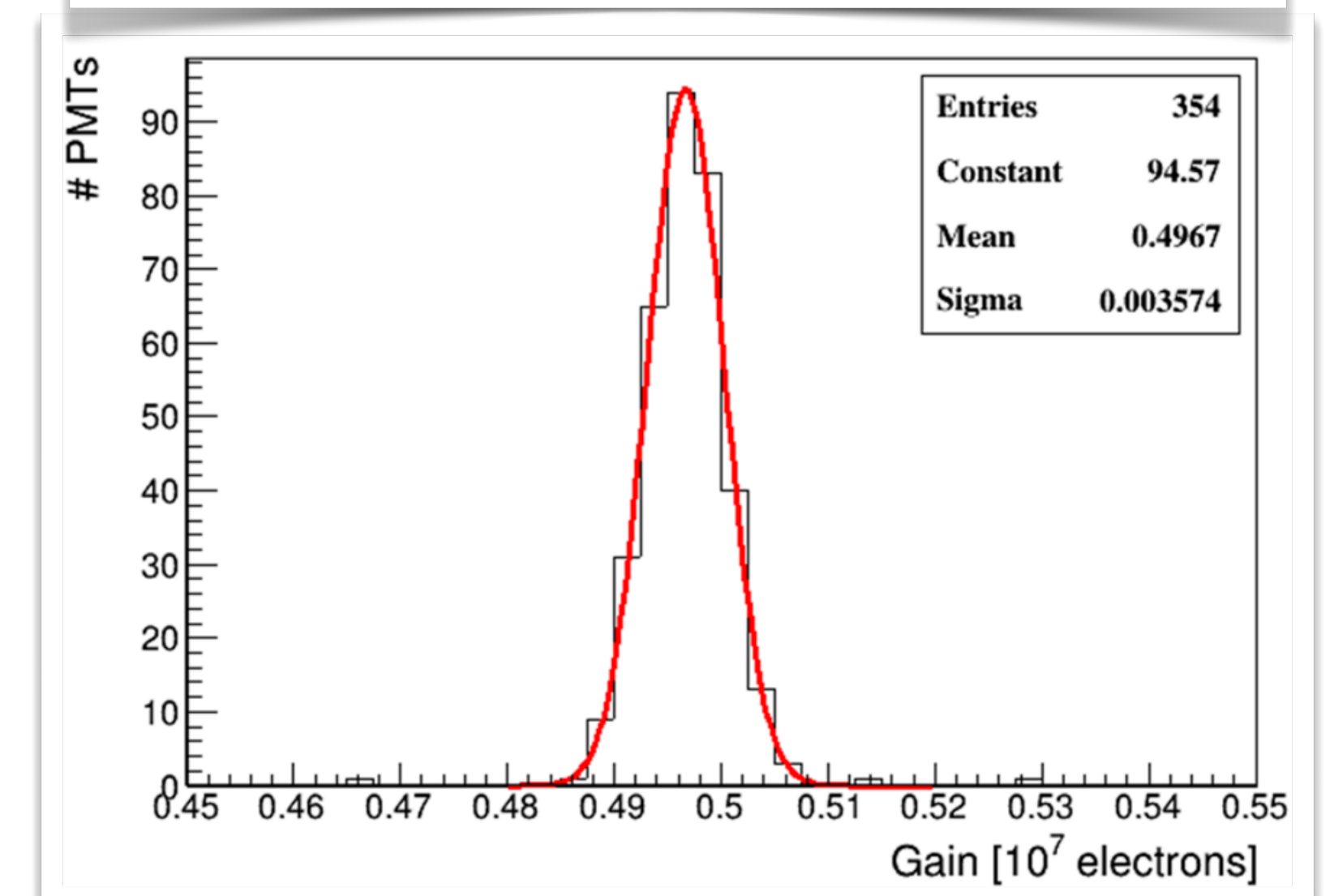
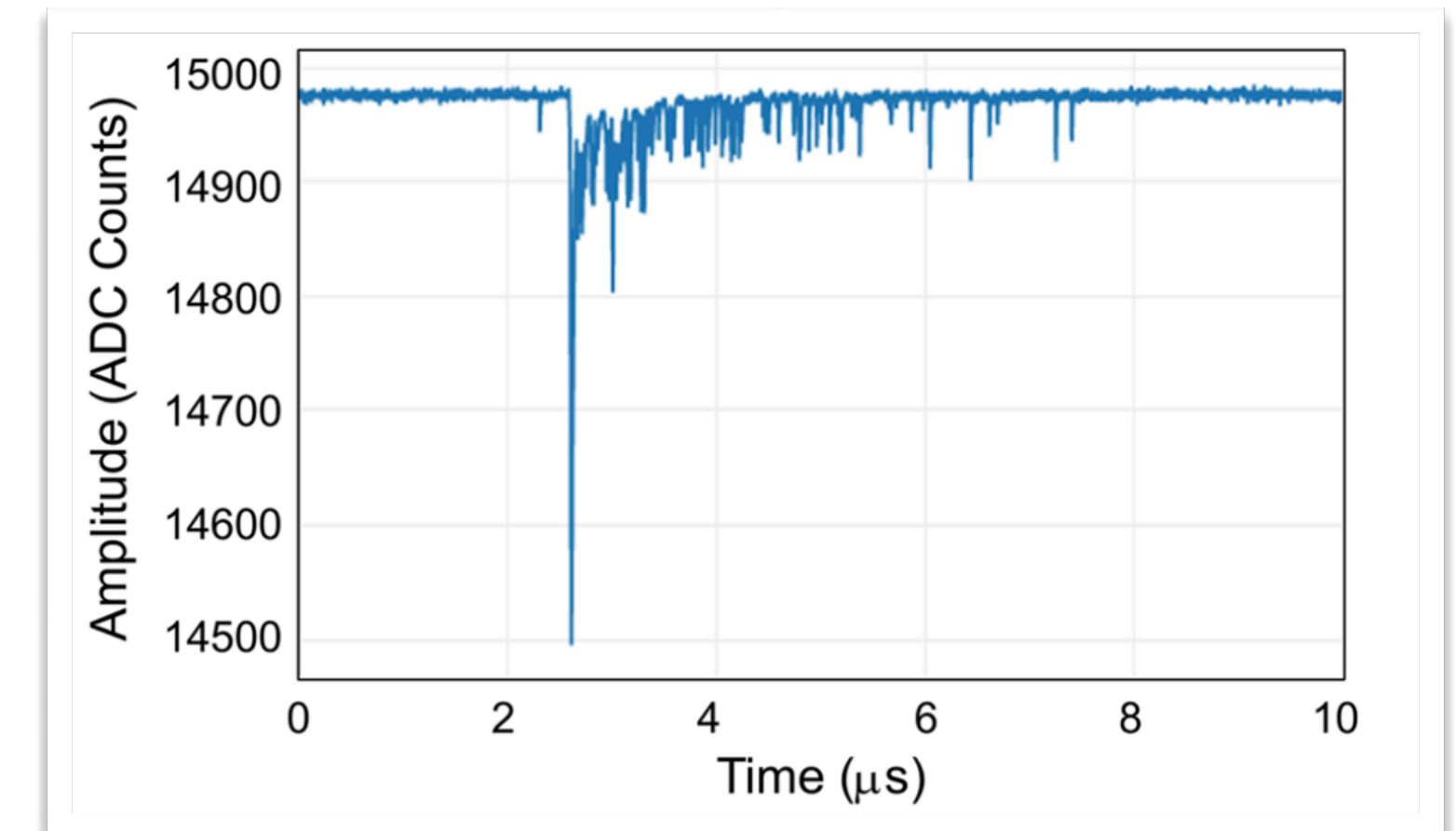
- Fundamental contribution to cosmic rays background rejection since ICARUS is currently operated at shallow depths
- It consists of 360 8" Hamamatsu PMTs coated with TPB, 90 per plane installed behind the TPC wire planes



- PMT gains calibrated with laser ( $\lambda \sim 405$  nm) and equalized to  $G = 5 \cdot 10^6$  with spread  $< 1\%$
- Trigger logic based on PMTs signal in a limited TPC region

Further details in

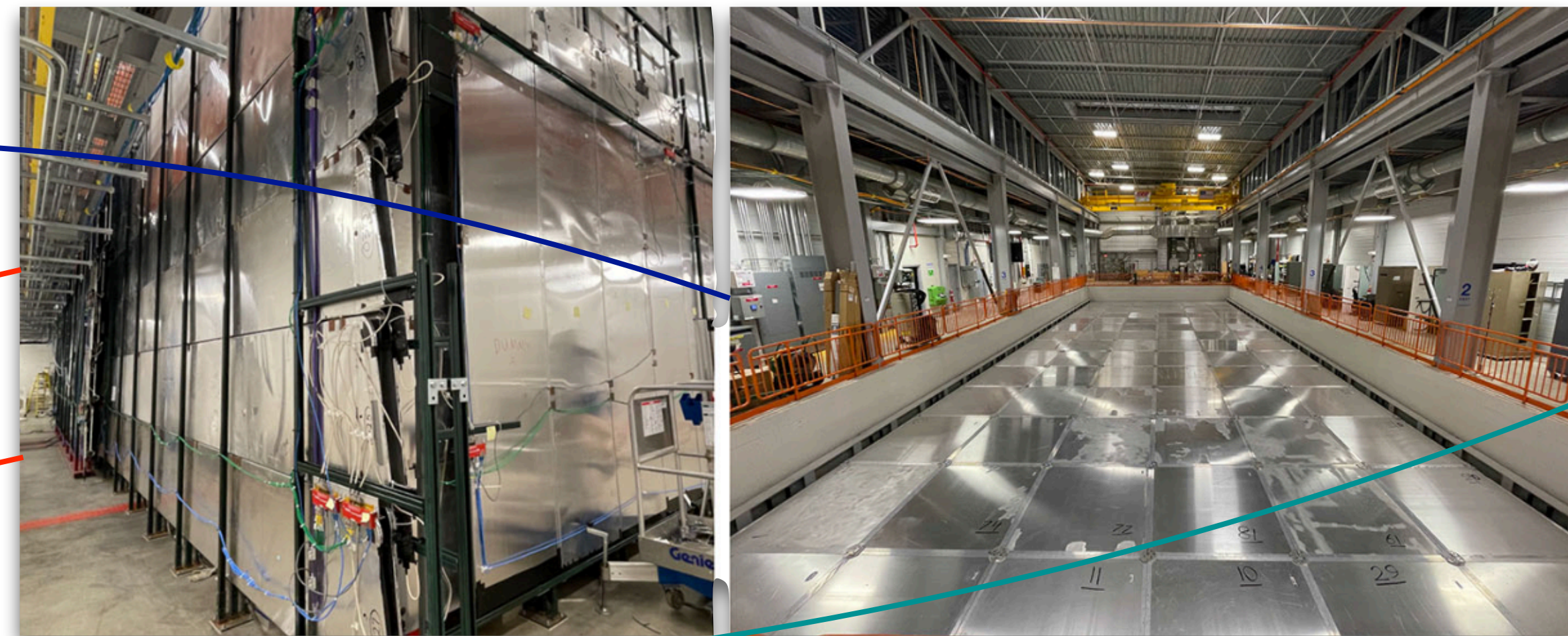
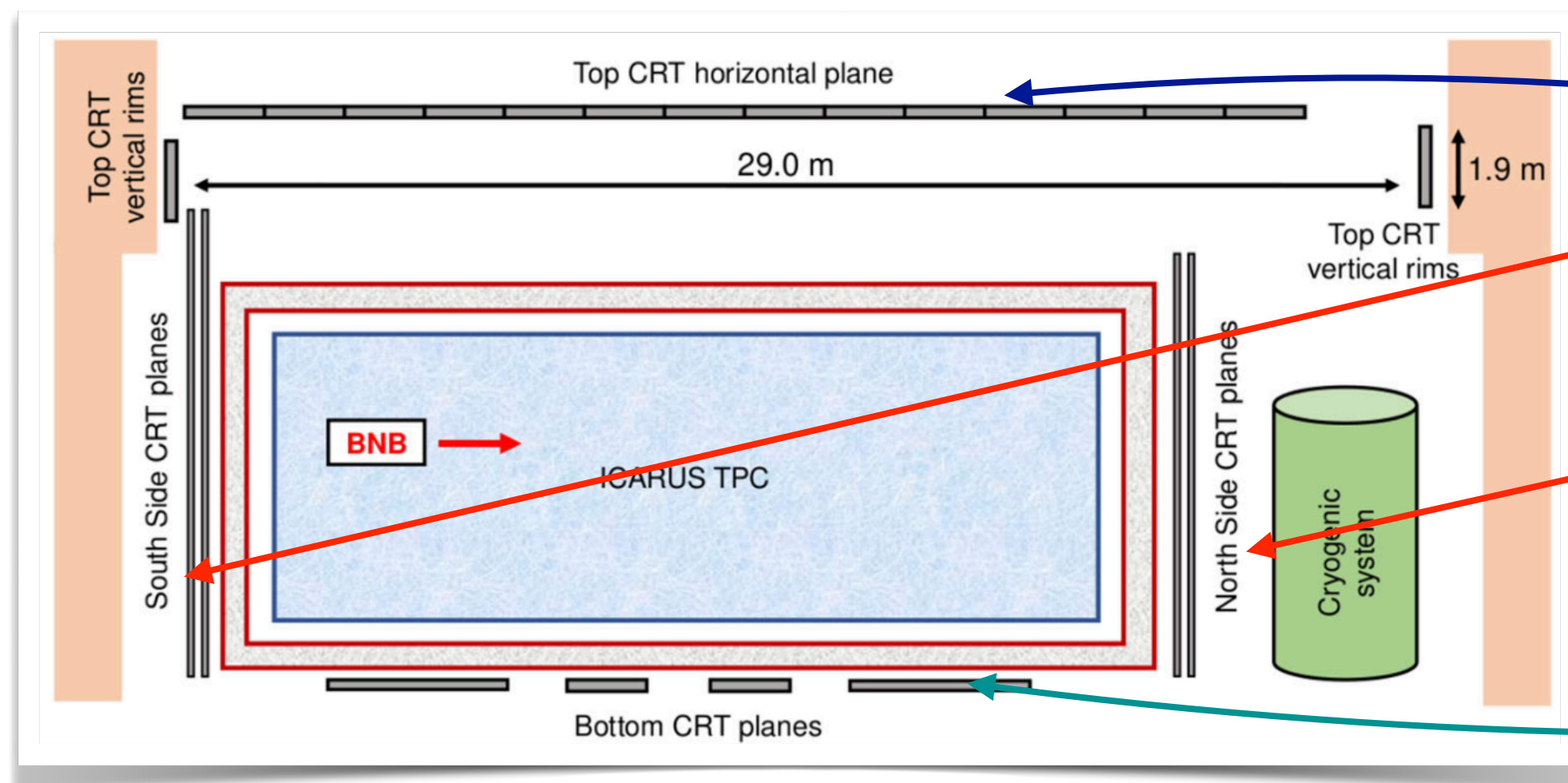
 [Eur. Phys. J. C 83:467 \(2023\)](#)





# The CRT for cosmic rays background mitigation

- More challenging experimental conditions compared to LNGS require countermeasures to identify  $\nu$  interactions amongst 11 kHz of cosmic rays:
  - 2.85 m (6 m w.e.) concrete overburden on top of CRT to remove charged hadrons and  $\gamma$ s
  - We have 11  $\mu$  tracks per triggering event in 1 ms TPC drift time, their  $\gamma$ s are a background for  $\nu_e$  since  $e^-$  from Compton/pair production can mimic  $\nu_e$  CC events: an external  $4\pi$  Cosmic Ray Tagger (CRT) to identify muons passing through cryostats helps us distinguishing externally originated interactions



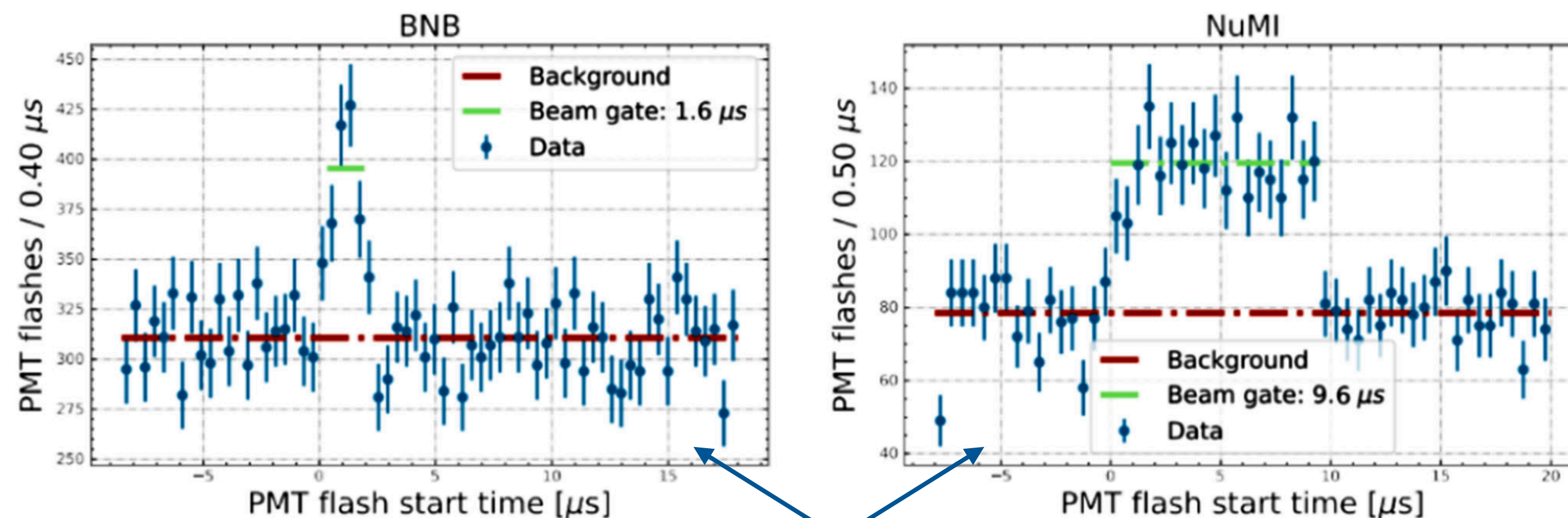
Side CRT (scintillation panels from MINOS)      Top CRT

Additional  
Bottom  
CRT  
(modules  
from  
Double  
Chooz)



# ICARUS trigger system

- Main trigger signal generated by the presence of light signals from PMT in coincidence with BNB ( $1.6 \mu\text{s}$ ) and NuMI ( $9.5 \mu\text{s}$ ) beam spill gates
- For every global trigger light and CRT activity within 2 ms are recorded to tag cosmic rays crossing ICARUS during the  $1 \text{ ms } e^-$  drift time
- **Additional trigger signals** are generated in correspondance with beam spills w/o any request on the light and outside of the beam spills to the detect CR interactions for **calibration** and **background** studies for the  $\nu$  oscillation searches

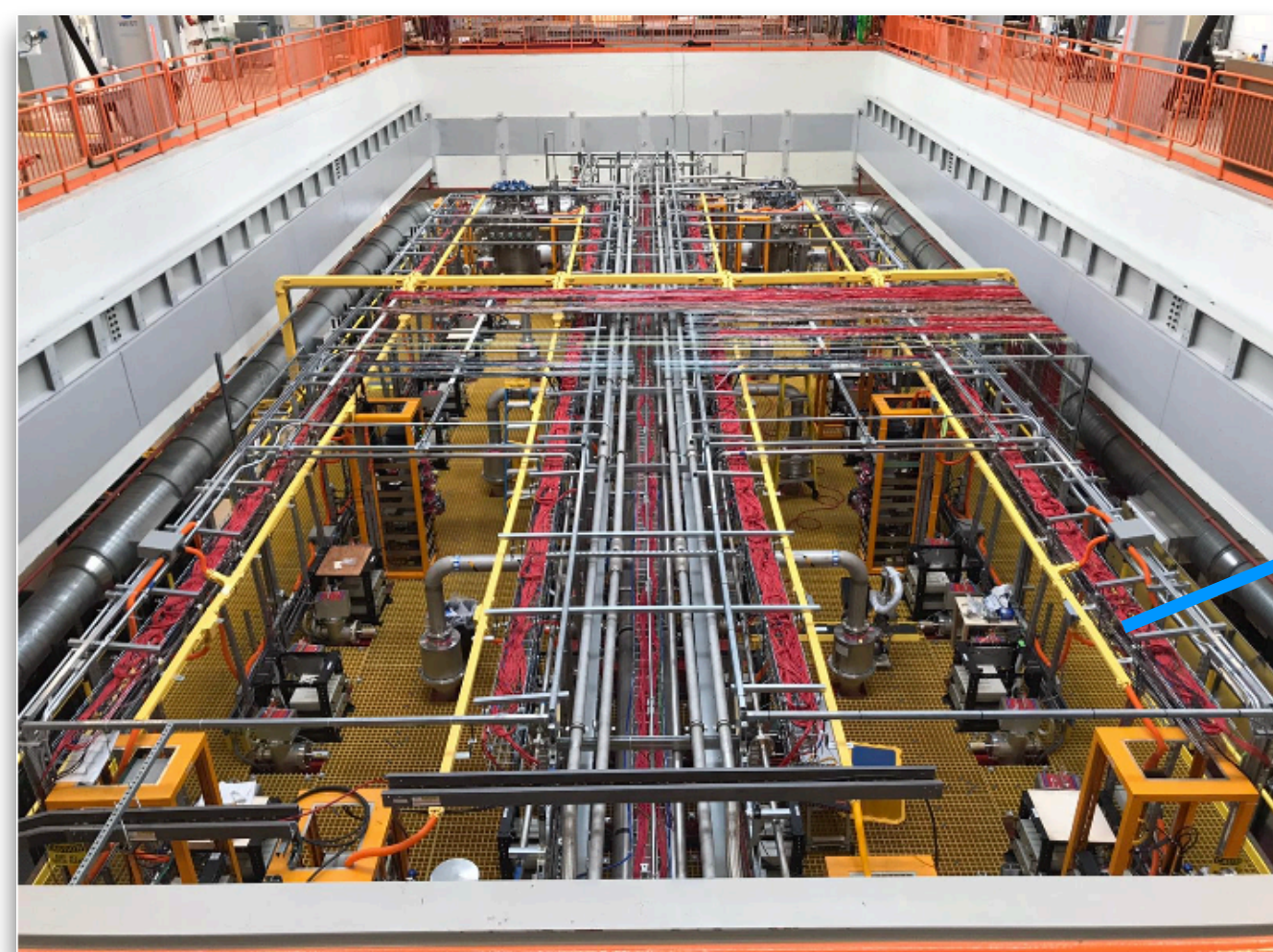


Light signal excess visible in PMT signals

- 1.5 ms ( $30 \mu\text{s}$ ) acquisition window for the TPC (PMT) signals
- Trigger rate is  $\sim 0.7 \text{ Hz}$  (0.3, 0.15 and 0.25 Hz for BNB, NuMI and off beam respectively)
- Trigger efficiency is now under investigation on data



# ICARUS detector evolution during commissioning

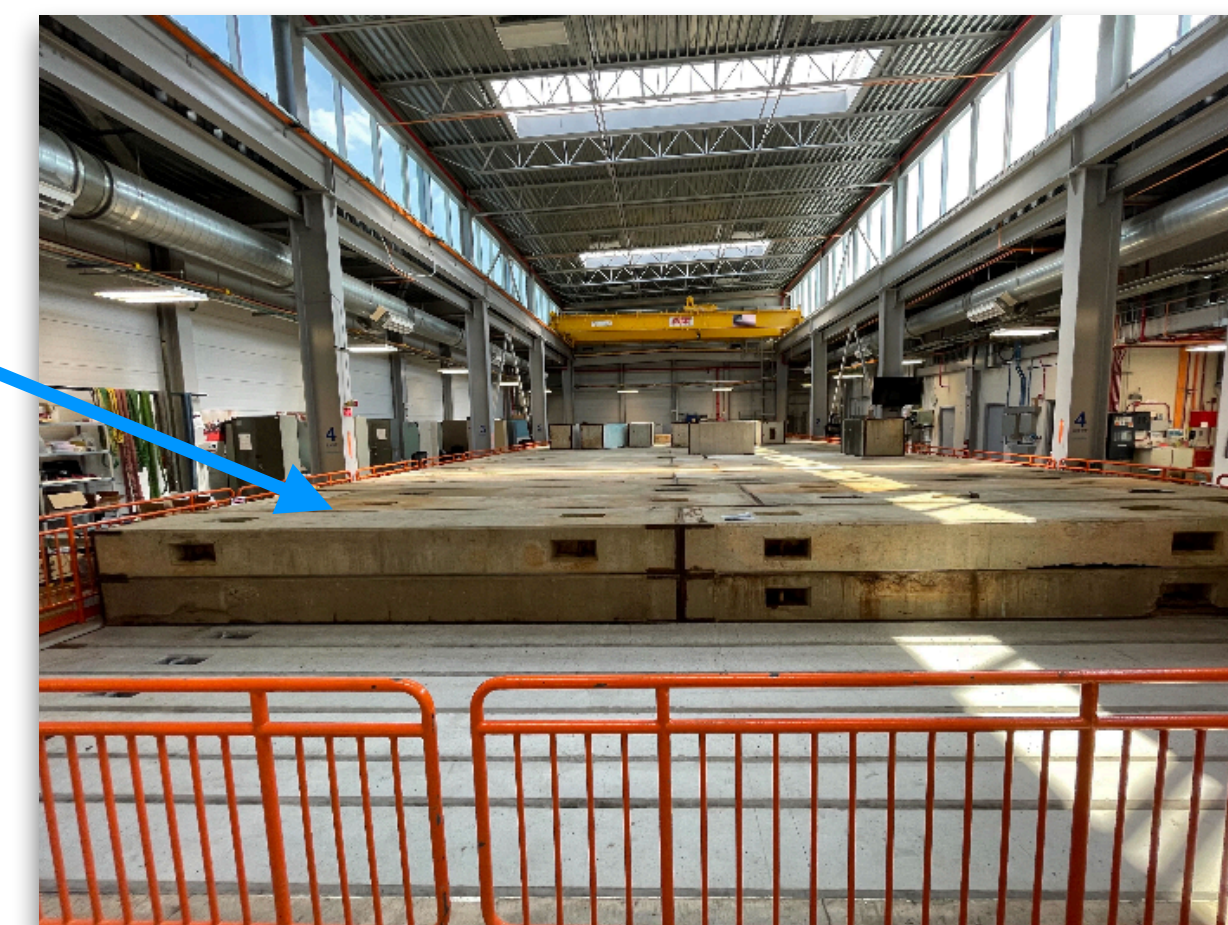


September 2020



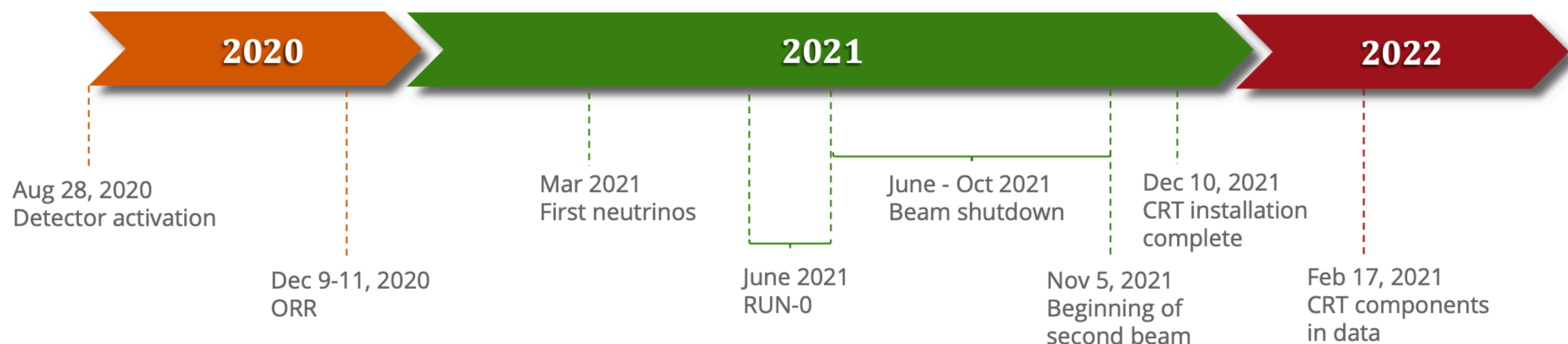
December 2021

Top CRT panels were mounted



May 2022

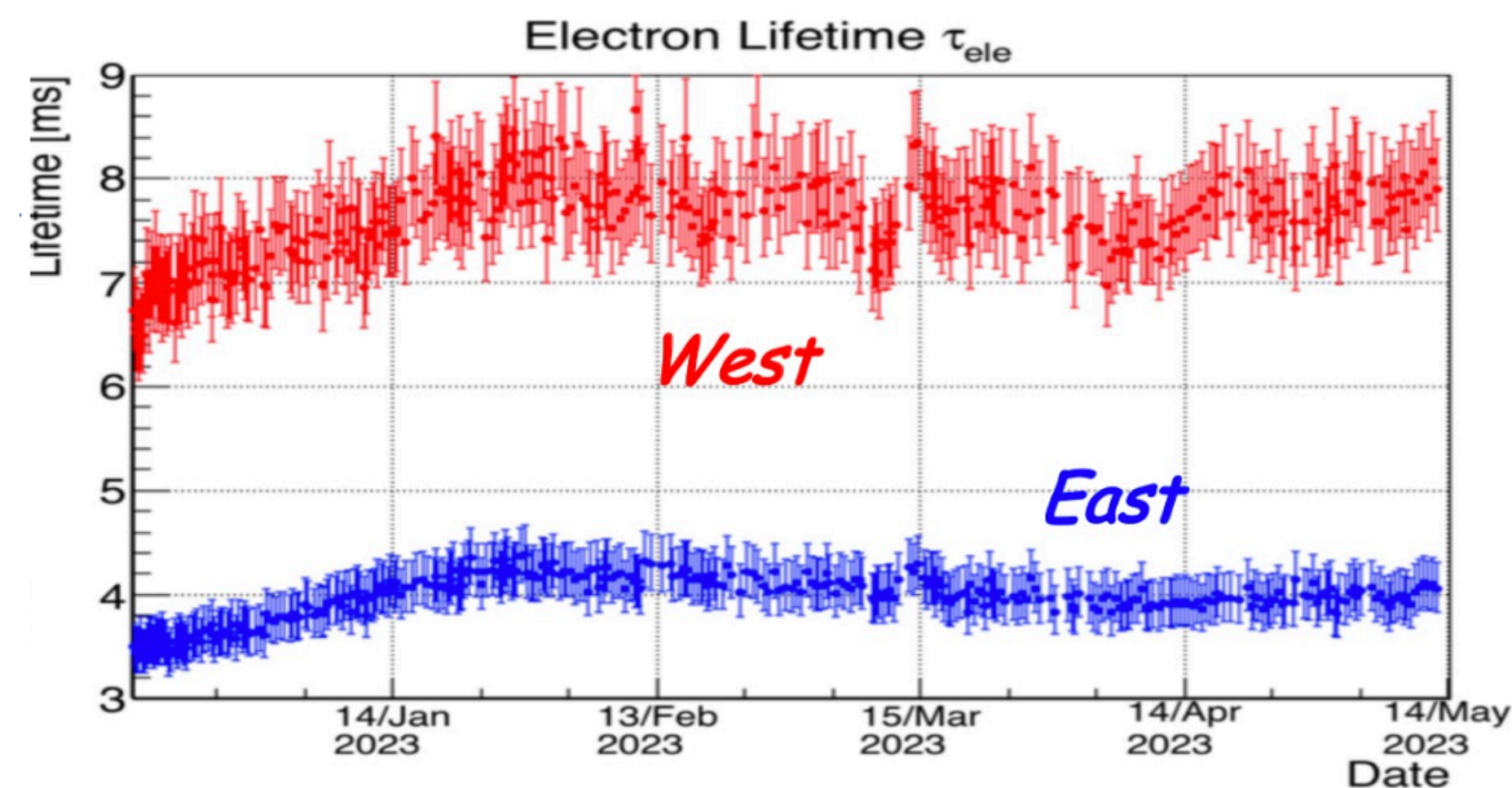
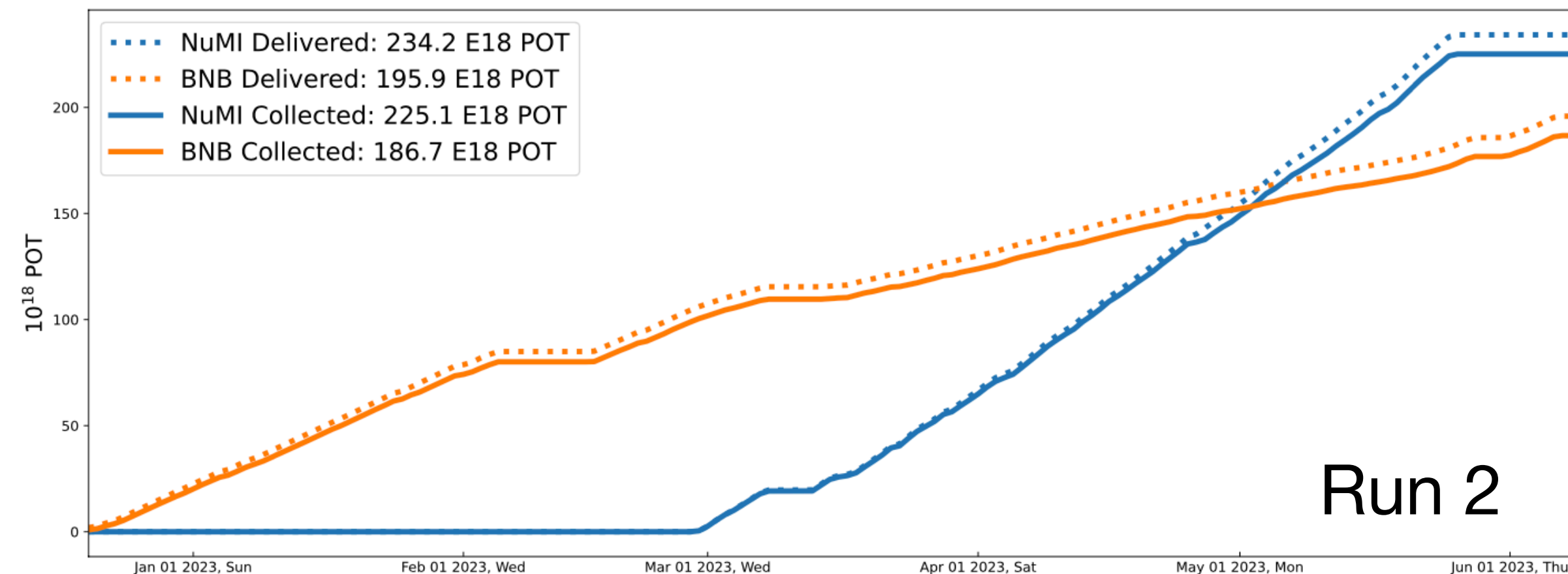
Overburden installation was completed





# Data taking and overall detector performance

- First data set in parallel with commissioning activities: **data taking started in March 2021**, data collection devoted to **physics started in June 2022** - two physics runs since then
- **Run 1: June 9 - July 10, 2022**
  - $4.1 \cdot 10^{19}$  POT BNB
  - $6.8 \cdot 10^{19}$  POT NuMI
- **Run 2: December 2022 - present**
  - $1.9 \cdot 10^{20}$  POT BNB
  - $2.2 \cdot 10^{20}$  POT NuMI

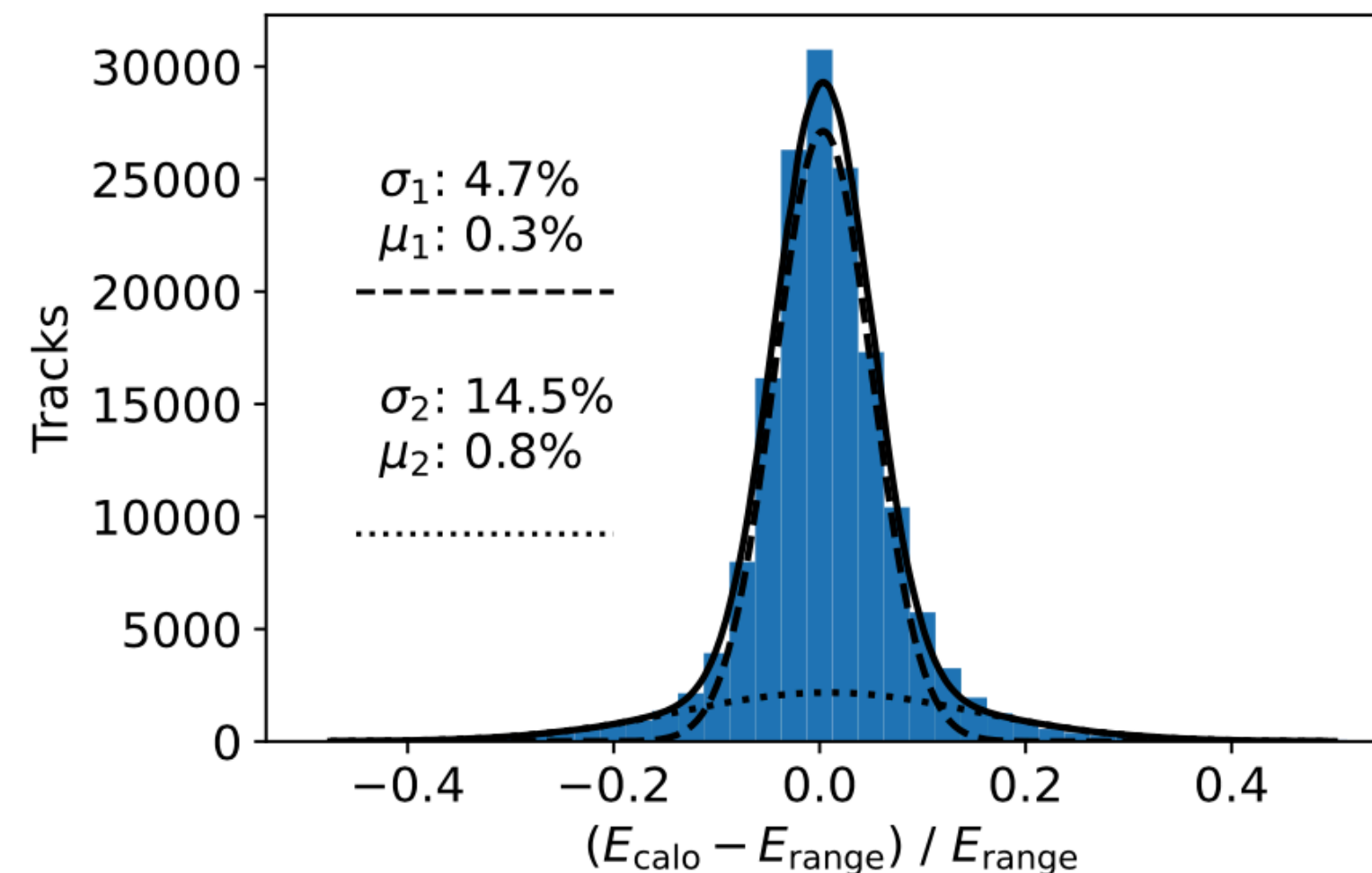
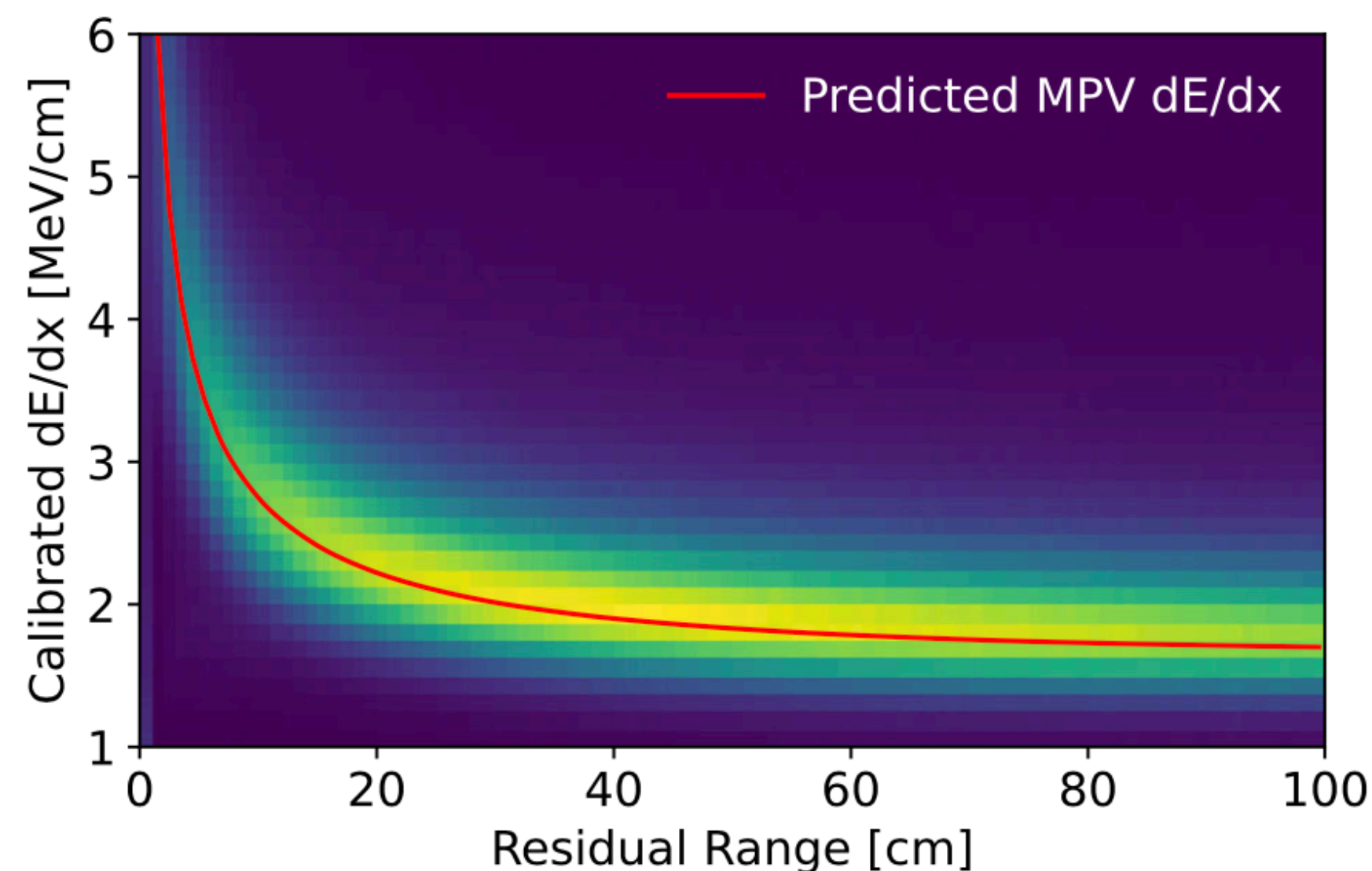


- **Steady data taking** with DAQ uptime  $\sim 93\%$ , excellent stability on long runs at BNB rates  $> 4$  Hz
- Liquid argon **purity level** continuously monitored measuring signal attenuation along drift direction of  $\mu$  tracks: regeneration of the filters for the east cryostat to increase electron lifetime  $\tau_{ele}$  and improve uniformity



# Detector calibration

- TPC calibration is based on the study of the ionization energy loss per unit length ( $dE/dx$ ) versus residual range, i.e. distance from the end of the reconstructed TPC track, for cosmic muons (MIP) crossing the cathode and stopping/decaying in the active LAr volume
- Good agreement between calibrated data and predictions ( $<1\%$  for  $dE/dx < 4$  MeV/cm) for all TPCs



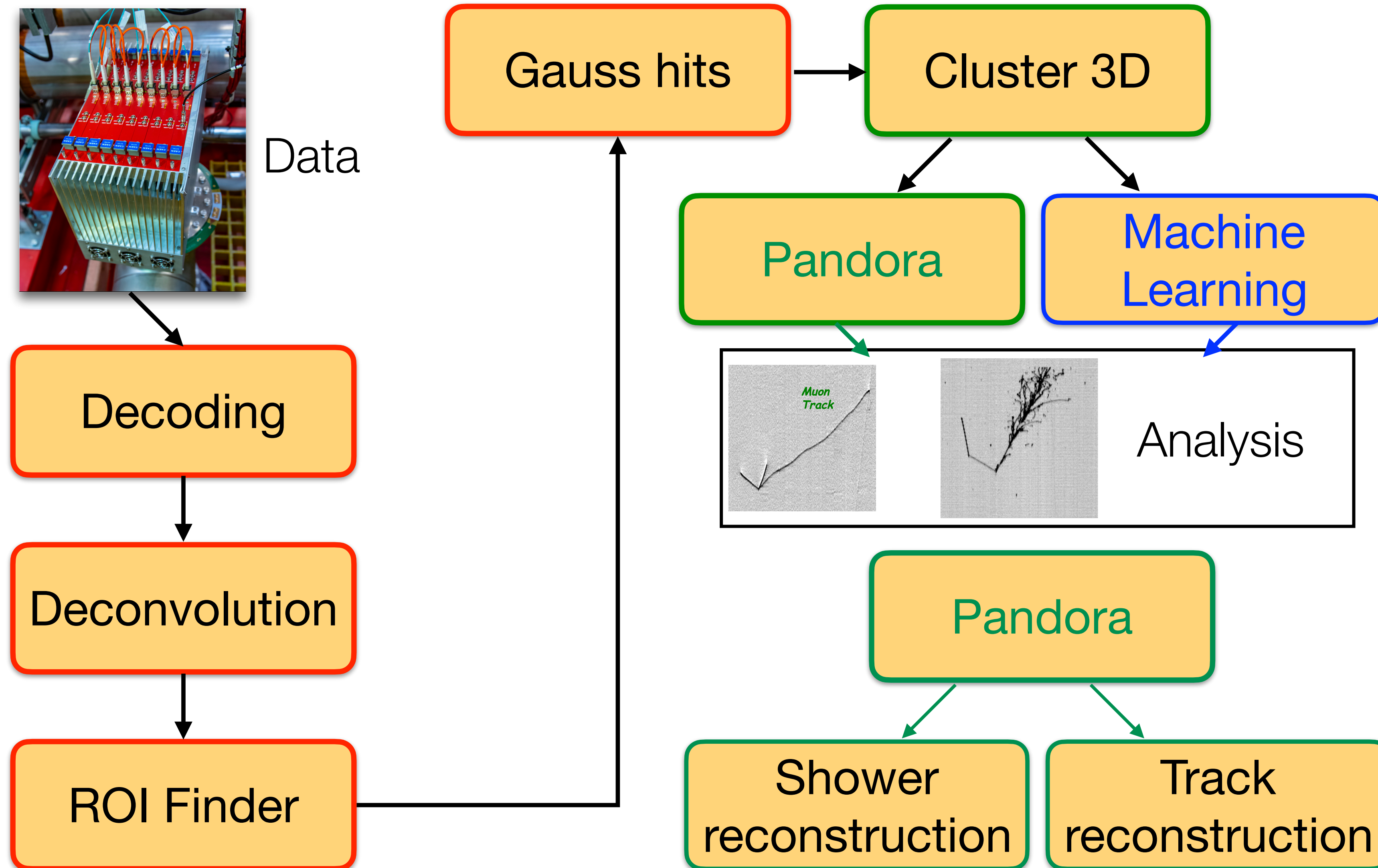
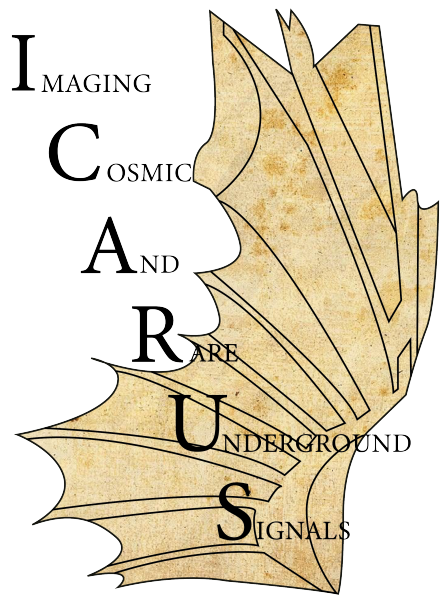
- Ongoing effort to tune TPC signal response to improve data/Monte Carlo agreement and to include the spatial variations observed in detector response to CR muons

East TPC, West Cryostat - Collection Plane

Further details in [Eur. Phys. J. C 83:467 \(2023\)](#)

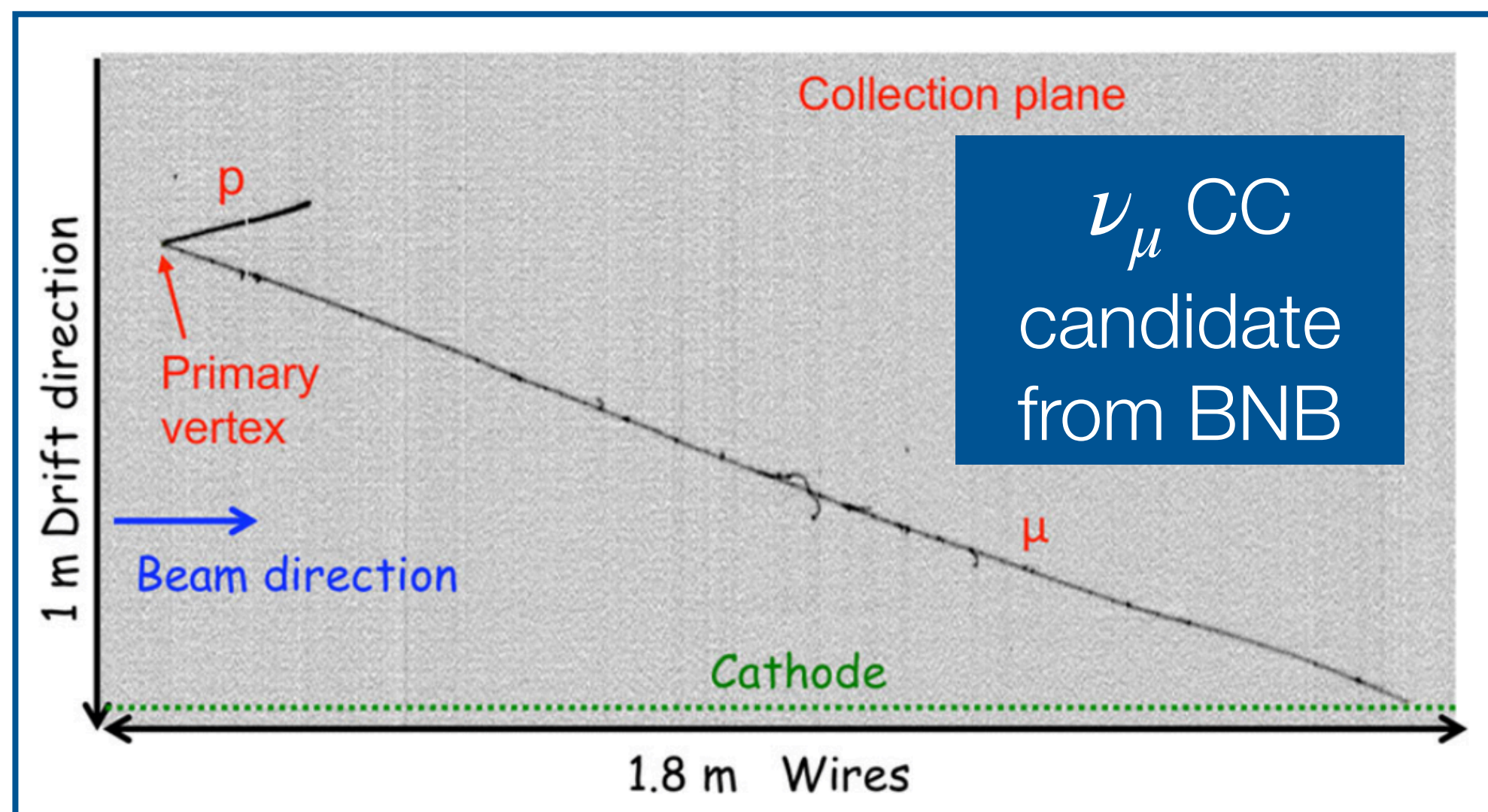


# The ICARUS event reconstruction chain

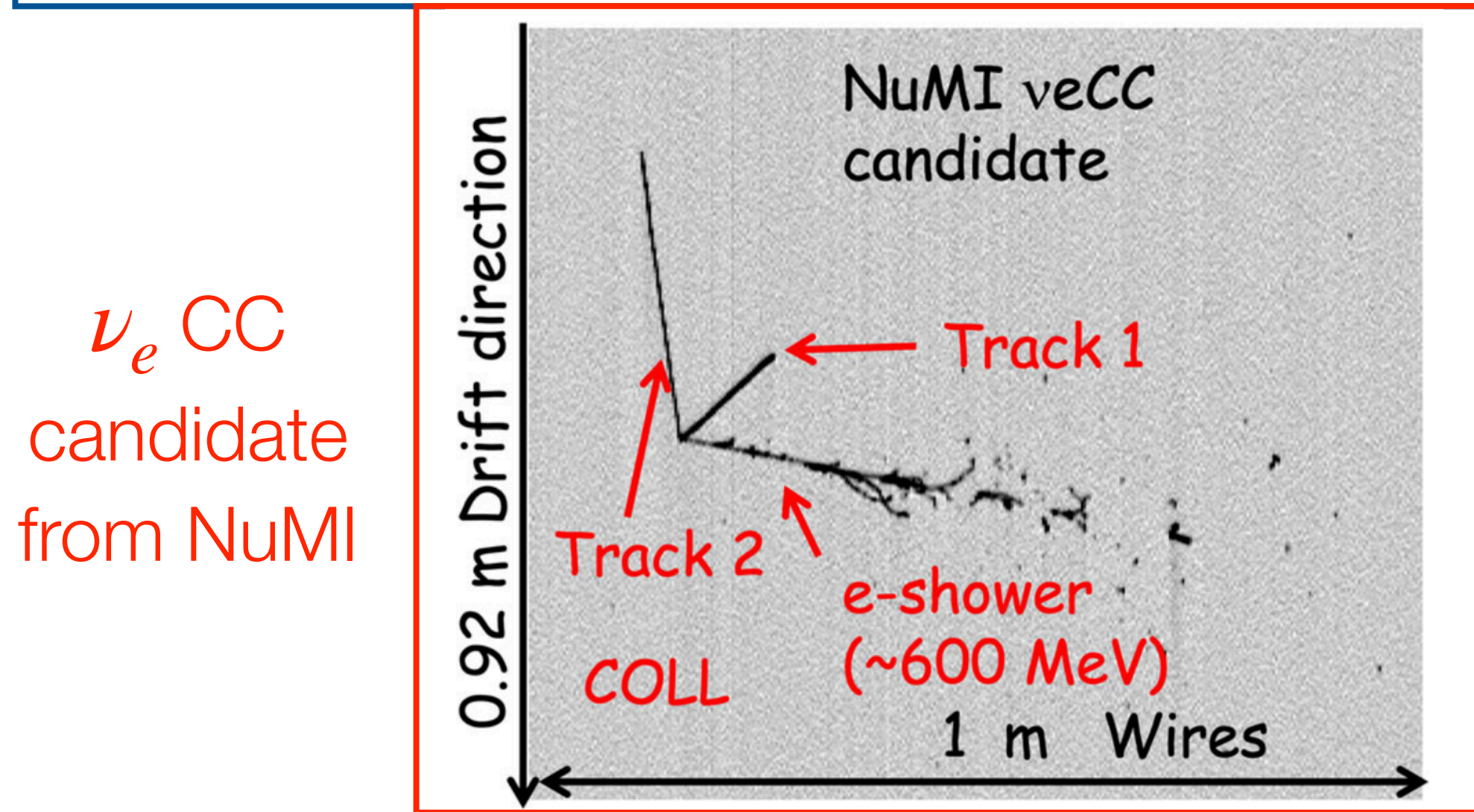




# Visual event study and TPC reconstruction performance

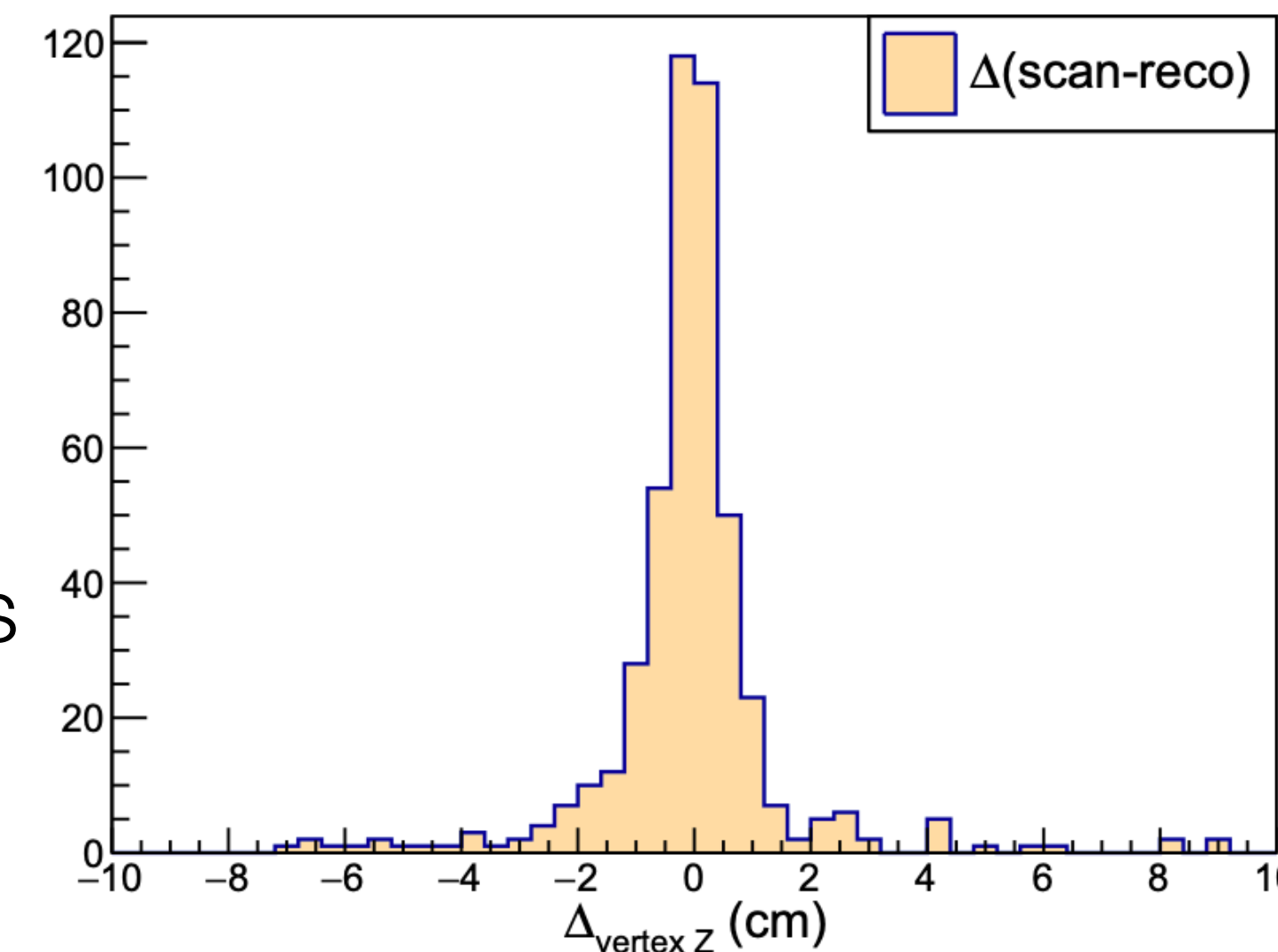


- Standard reconstruction uses Pandora (<https://github.com/PandoraPFA>), a pattern recognition software commonly used in LAr-based detectors
- We employ visual scan event selection and Monte Carlo simulations to identify pathologies, explore reconstruction improvements and tune our selection algorithms



$\nu_e$  CC candidate from NuMI

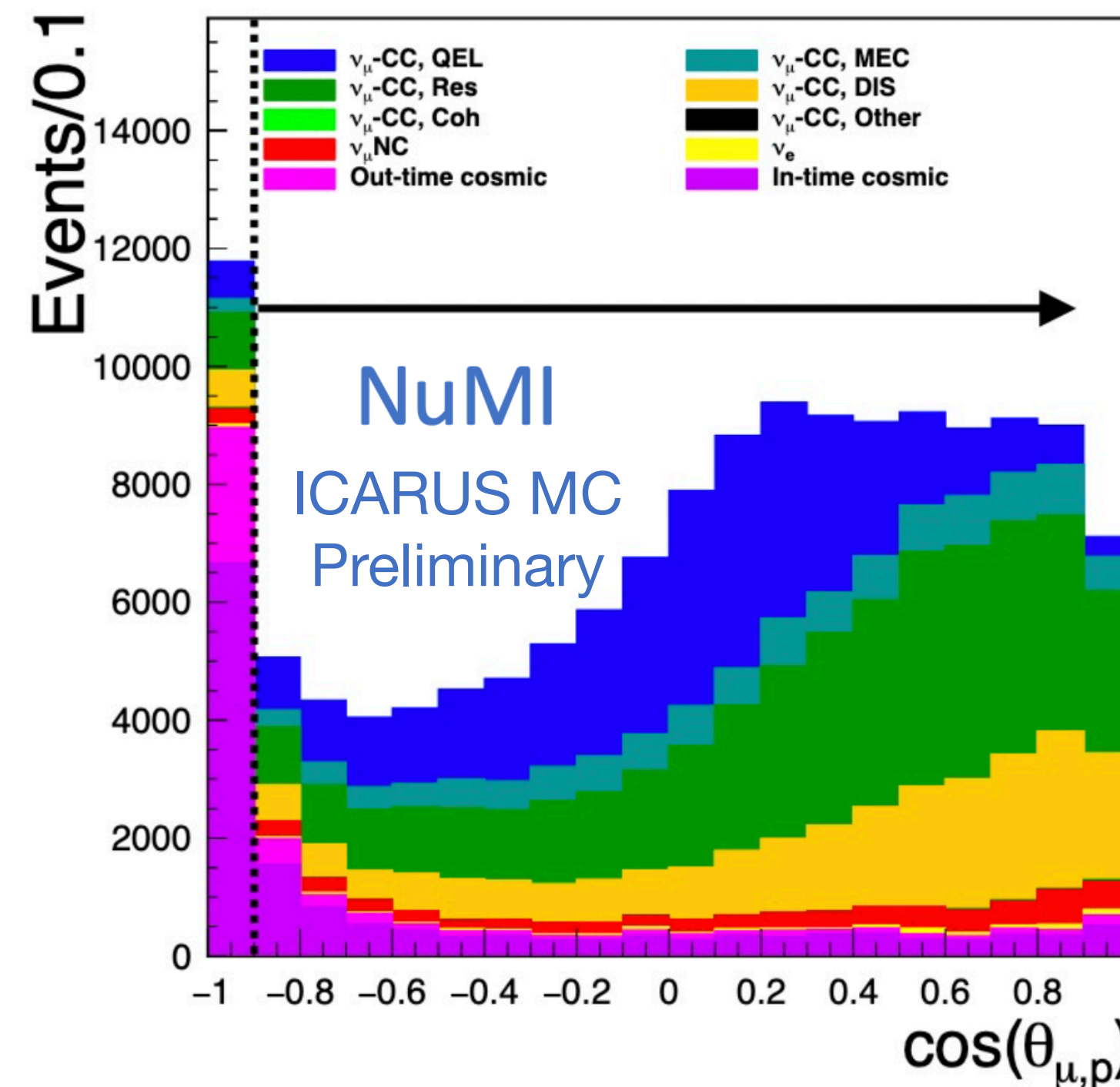
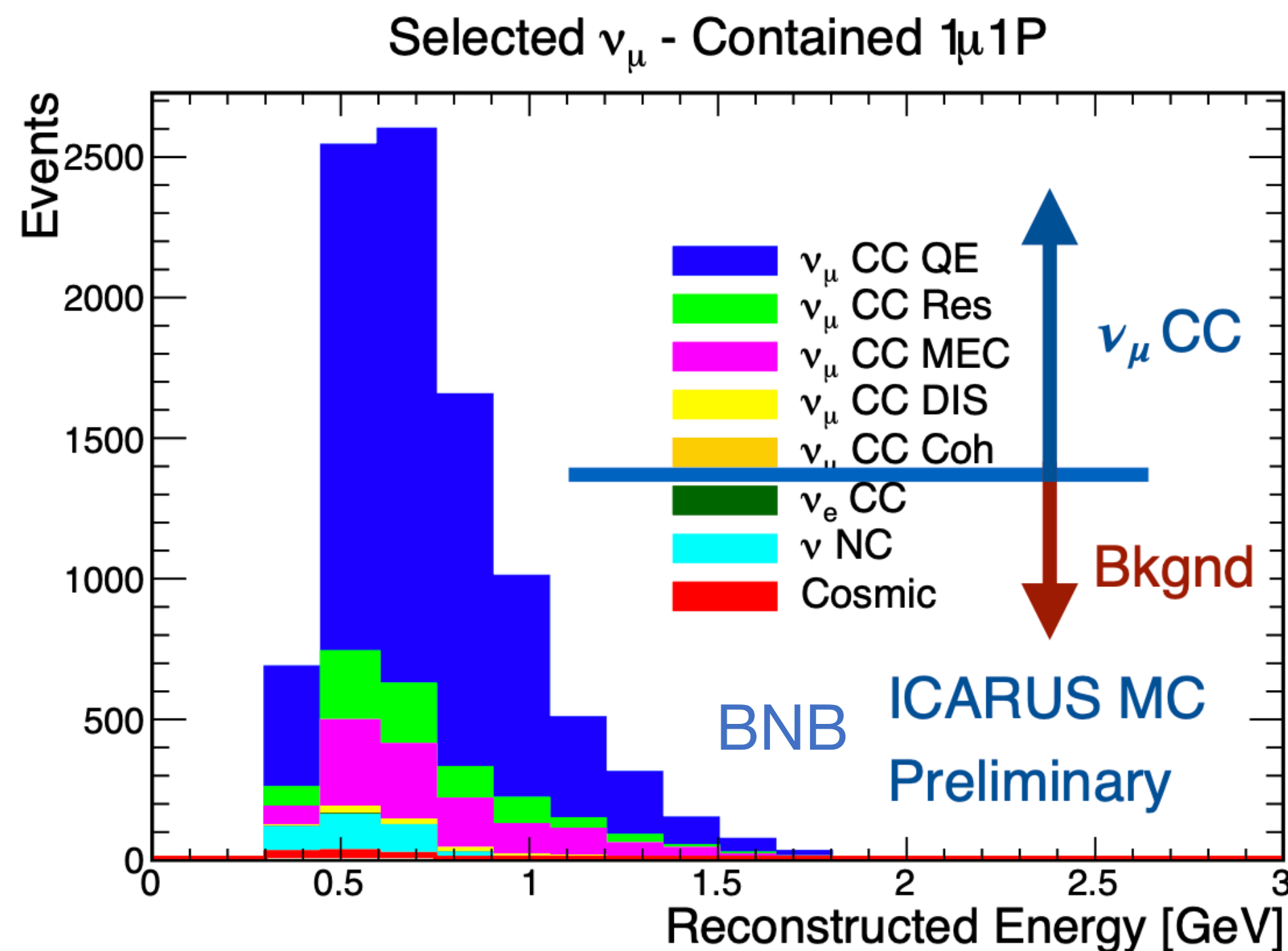
$\Delta(\text{scan} - \text{reco})\text{vertex}_z$   
in the beam direction  
for 476  $\nu_\mu$  CC candidates  
from visual scan





# Status of event selection for oscillation analyses

- Initial studies focusing on a sample of events with 1 muon and 1 proton in the final states to perform an ICARUS-only  $\nu_\mu$  disappearance measurement - similar selection in BNB and NuMI to discard clear cosmic events, include a FV + containment cut and the requirement to have two reconstructed tracks consistent with a muon and a proton (PID)



- The short term physics goals include also cross section measurements on argon in the few MeV to few GeV energy range significant for DUNE profiting of the off axis  $\nu$  flux from NuMI and BSM searches



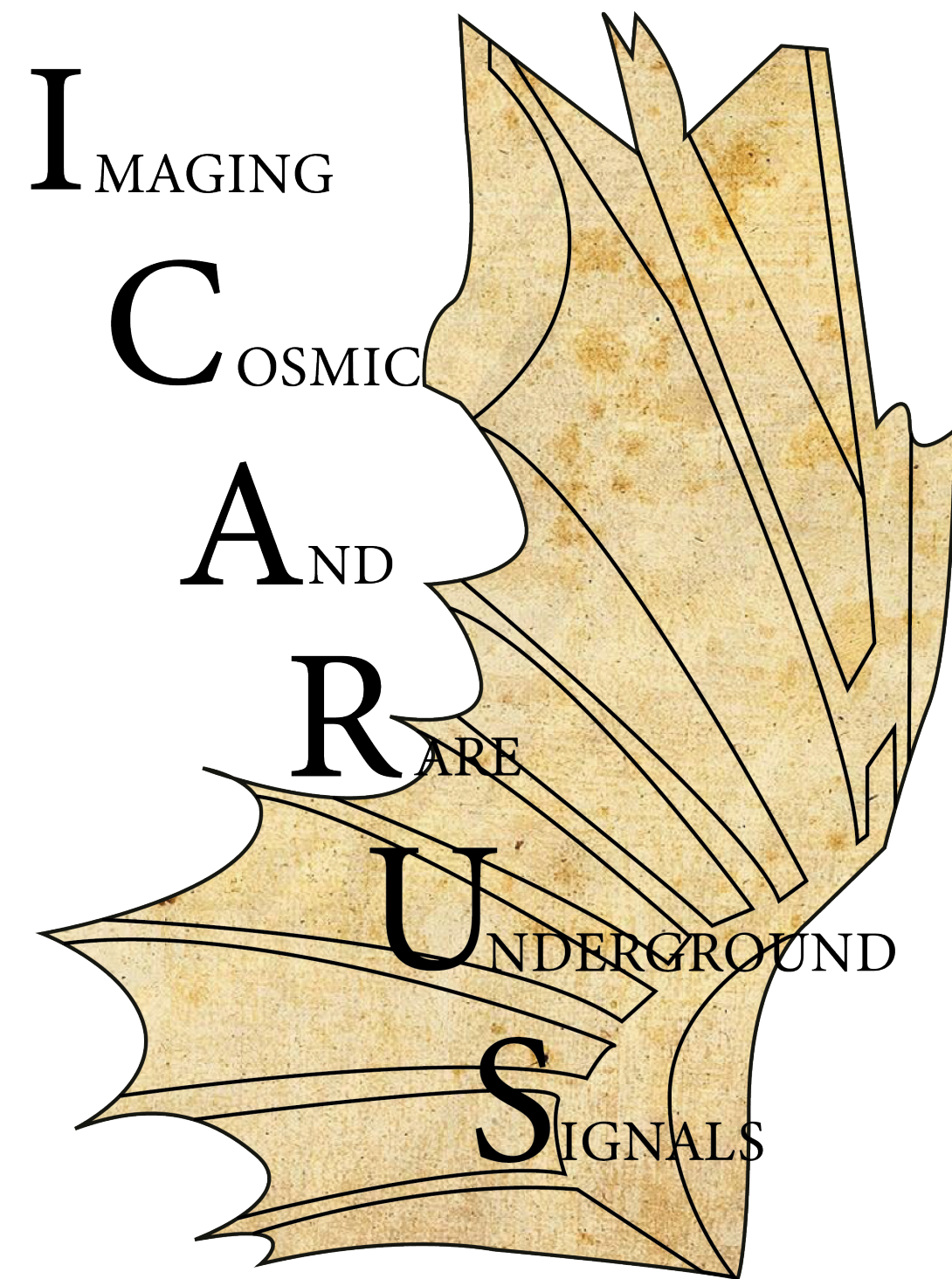
# Conclusions and next steps

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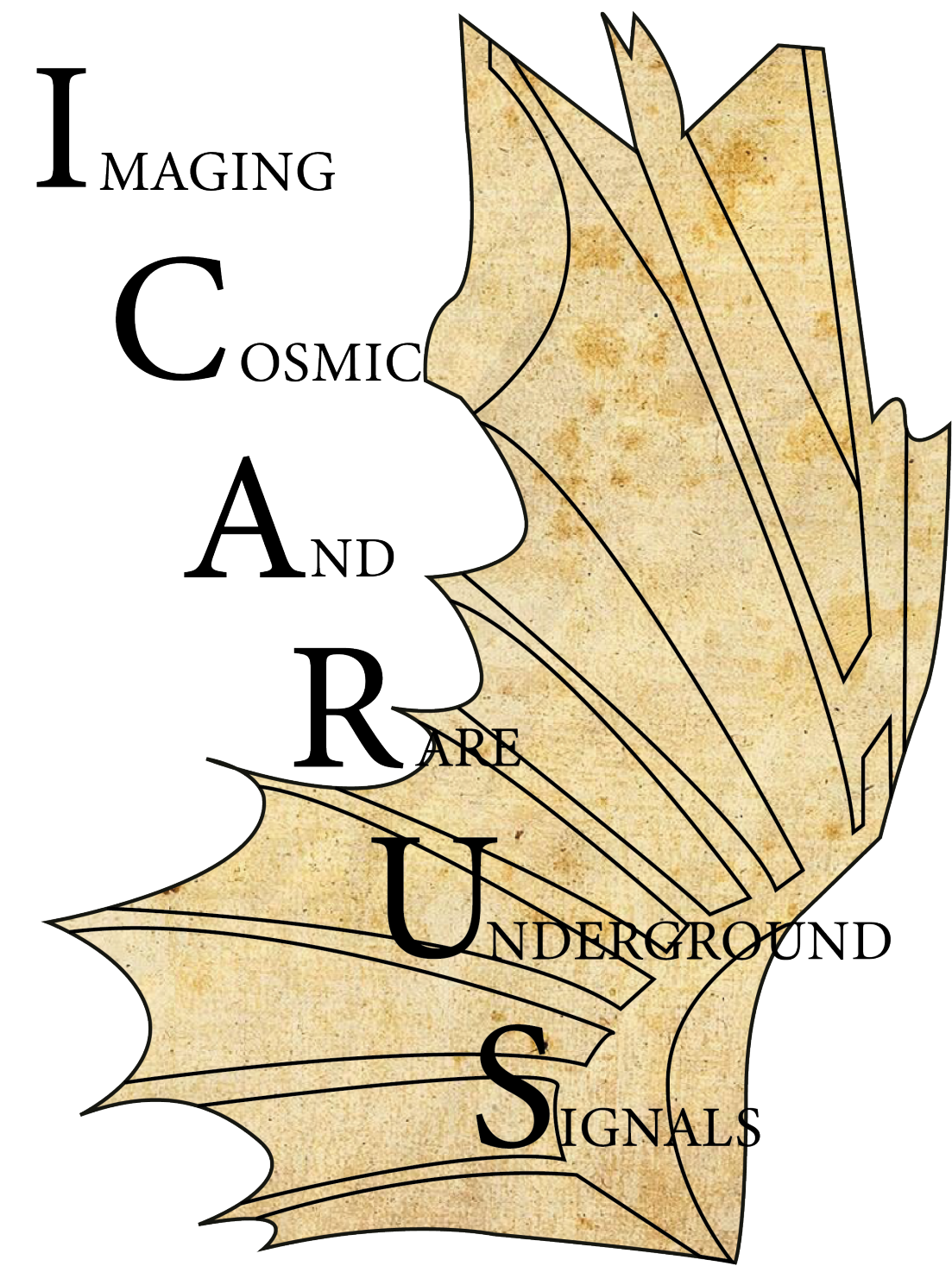
- The ICARUS experiment is steadily operating at Fermilab as part of the SBN program and is currently taking data for physics analyses after the completion of the commissioning stage
- Detector characterization has reached a good stage, some space of improvement remains
- We are currently devoting major efforts to tune our reconstruction and selection algorithms using Monte Carlo simulations and data profiling of the increasing statistics we gain
- Early ICARUS data represent an opportunity to perform a variety of physics analyses, including oscillation studies as well as searches for BSM physics
- The experience we are acquiring with ICARUS data/analysis will be extremely useful to accelerate SBN physics analyses



Thank you on behalf of the ICARUS collaboration



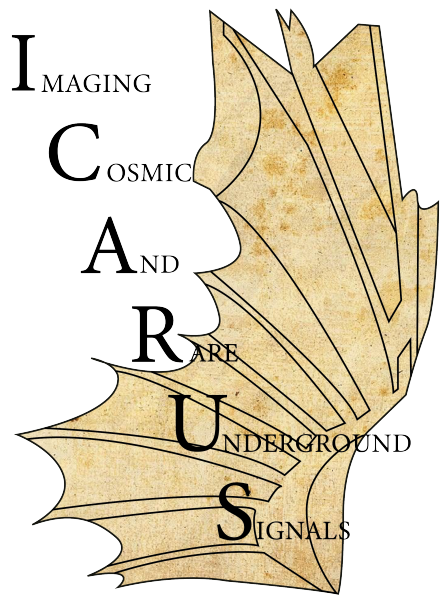




Backup slides



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4. Colorado State University, USA
5. Fermi National Accelerator Lab., USA
6. INFN Bologna and University, Italy
7. INFN Catania and University, Italy
8. INFN Genova and University, Italy
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10. INFN LNGS, Assergi, Italy
11. INFN LNS, Catania, Italy
12. INFN Milano, Milano, Italy
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14. INFN Napoli, Napoli, Italy
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16. INFN Pavia and University, Italy
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24. University of Texas (Arlington), USA
25. INFN Pisa and University, Italy
26. Ramanujan Faculty Phys. Res. India
27. Virginia Tech Institute

12 INFN groups, 12 US institutions, CERN,  
1 Mexican institution, 1 Indian Institution

**Spokesperson: C. Rubbia, GSSI**

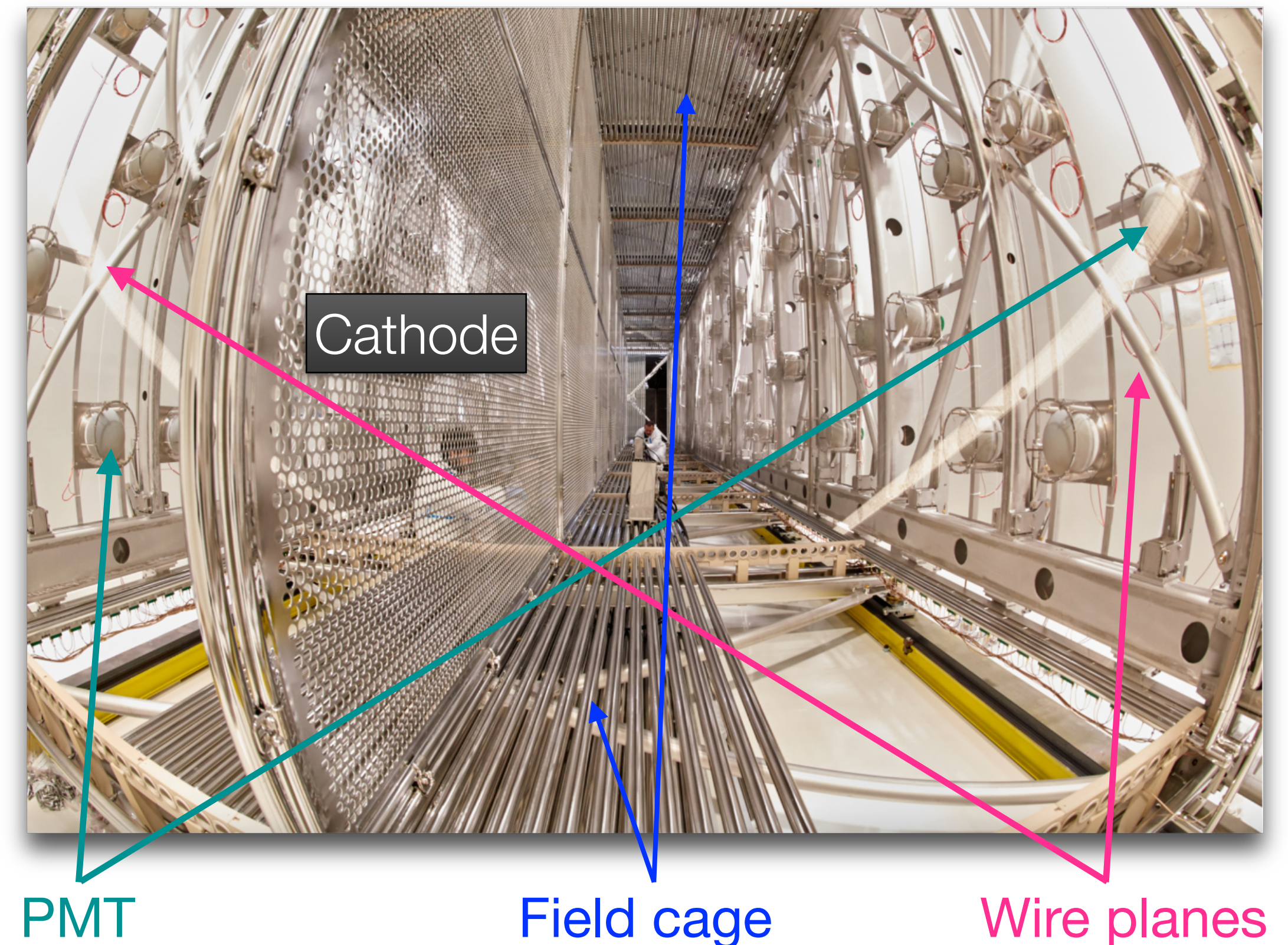
*a On Leave of Absence from INFN Padova*

*b On Leave of Absence from INFN Pavia*



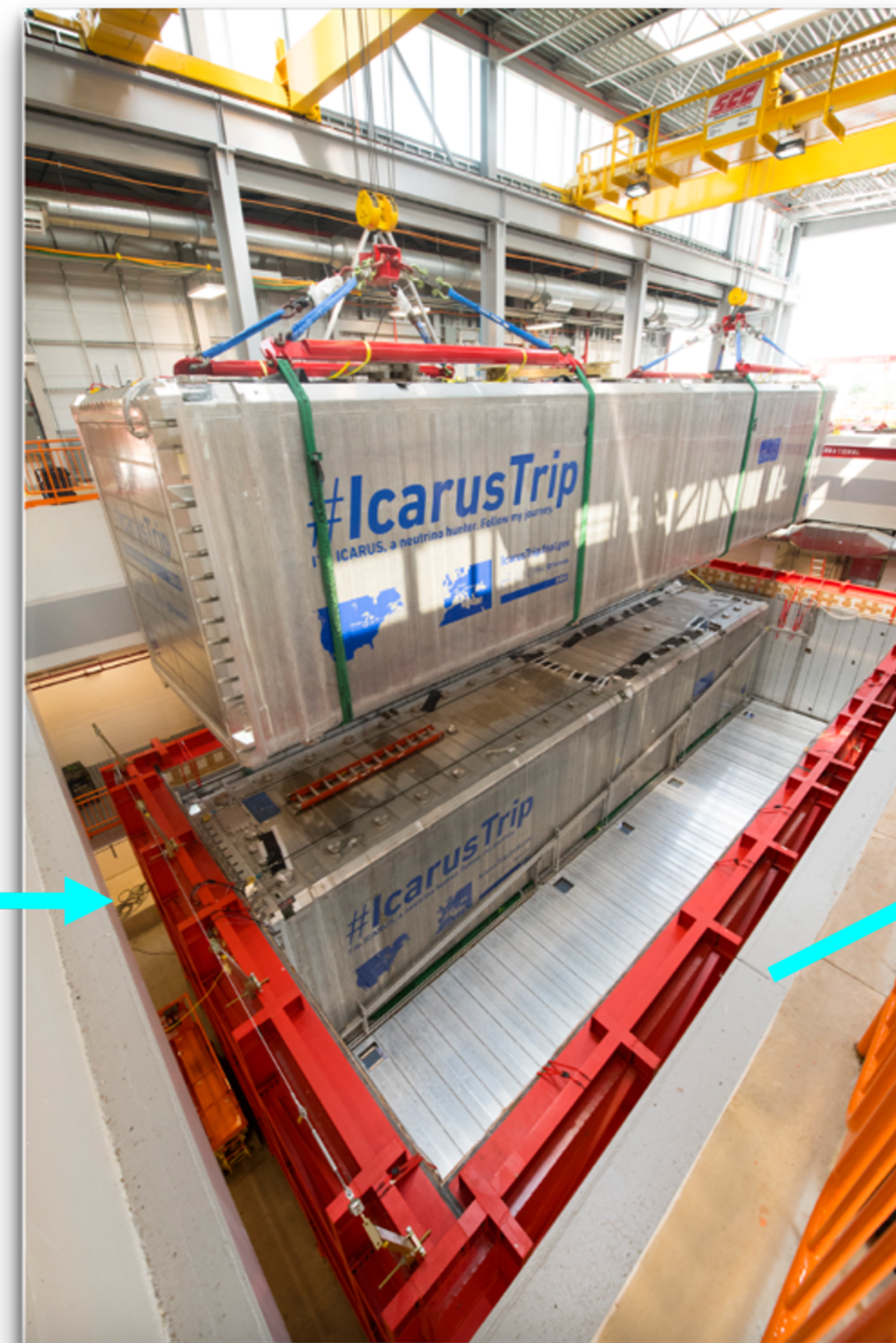
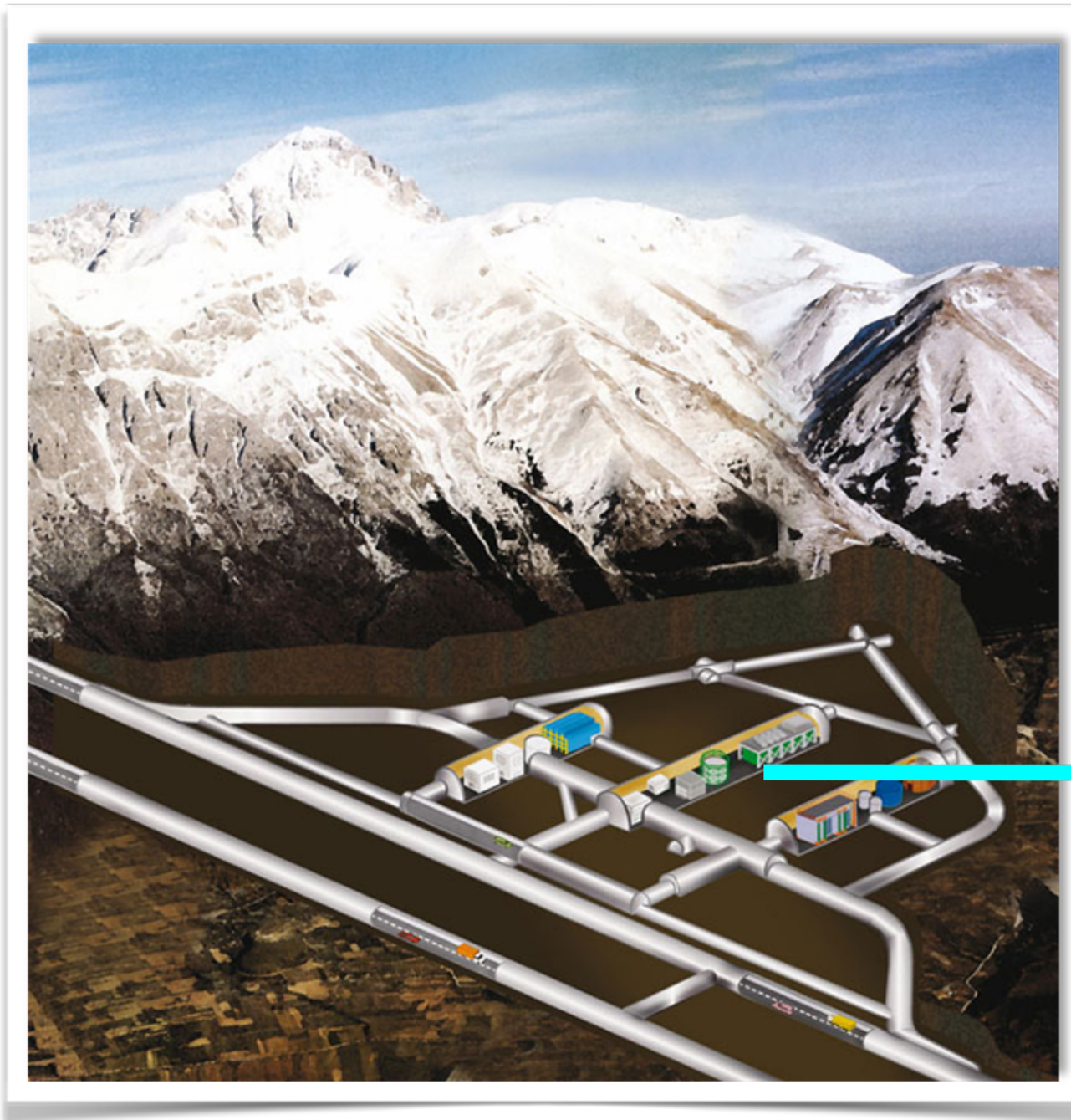
# ICARUS detector from LNGS experience to FNAL

- Sensitive search for a  $\nu_e$  excess made at LNGS with the CERN to LNGS  $\nu_\mu$  beam completed in 2013, with remarkable  $e/\gamma$  separation and 15% momentum resolution in range 0.4-4 GeV/c:
- 7 electron-like events observed against the  $(8.5 \pm 1.1)$  expected from standard 3-flavor oscillations: LSND signal constrained to  $\sin^2(2\theta) \sim 0.005$ ,  $\Delta m^2 < 1 \text{ eV}^2$
- Intensive overhauling at CERN before shipping to Fermilab in order to upgrade the cryogenics, LAr purification system, TPC readout electronics and light collection system





# ICARUS detector trip from LNGS to FNAL

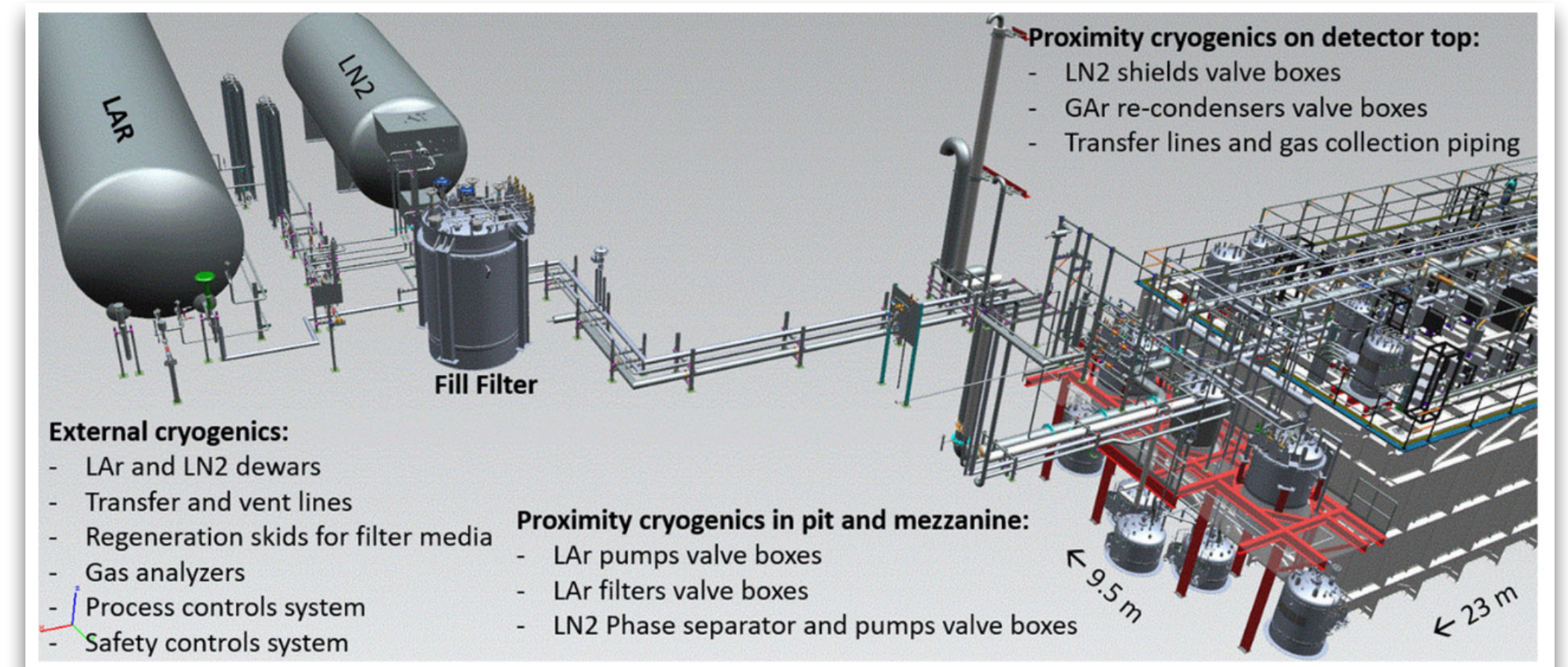


From Hall B of Laboratori Nazionali del Gran Sasso (Italy) to Fermilab (US)



# The cryogenic system

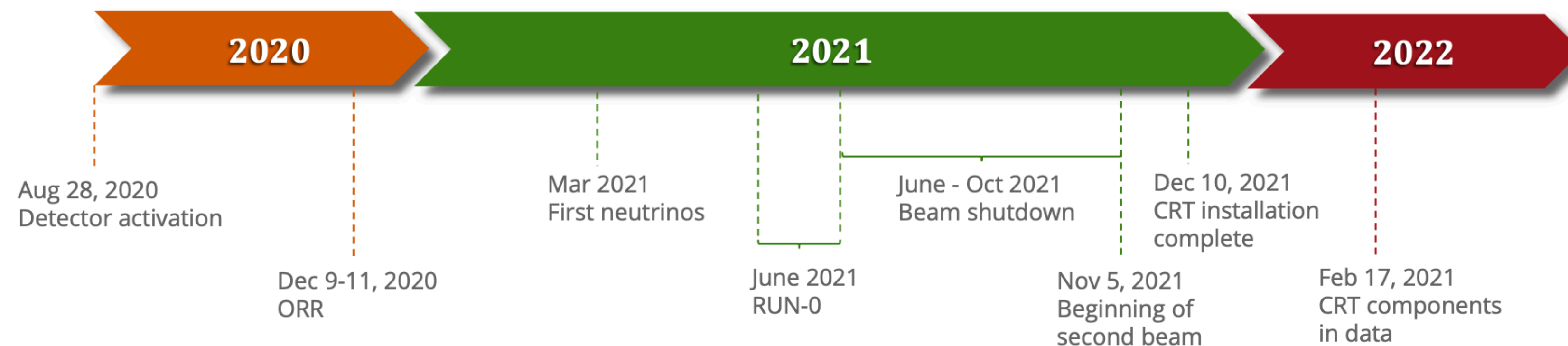
- New cryogenic/purification system built according the LNGS design except for the choice of an open loop cooling circuit
- Installation completed in July 2019, stabilization phase ended in ~May 2020: all of the subsystems running steadily since detector activation, with parameters meeting design values
- Few upgrades during summer 2021 and 2022:
  - Installation of warm filters to improve the gas recirculation system
  - Regeneration of the liquid recirculation filters of the west module
- Free electrons lifetime  $\tau_{ele} \sim 7$  (4) ms in west (east) cryostat (large improvement in west cryostat after filter regeneration), within design but lower than at LNGS and unequal between the two cryostats





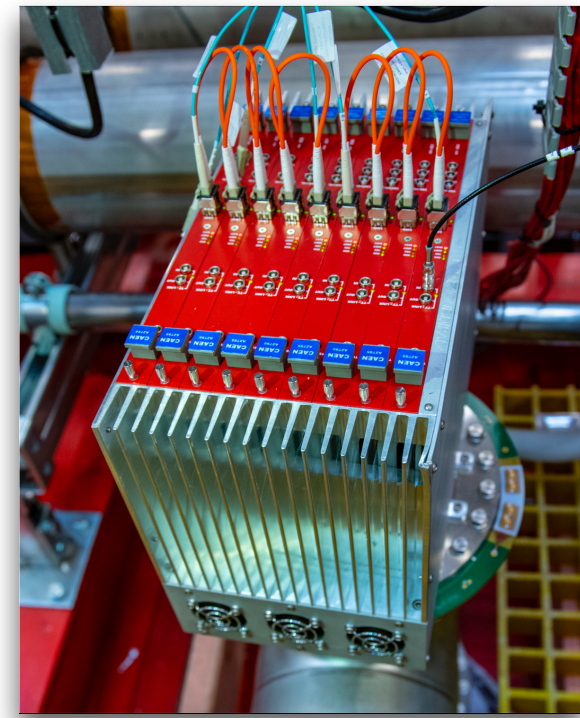
# ICARUS detector commissioning

- After the activities at CERN, ICARUS was shipped to FNAL in July 2017. The cryostats were deployed in August 2018, feedthrough flanges for TPC/PMT were installed by December 2018, PMTs rate and gain were characterized, TPC electronics/power supplies were installed and tested
- Cryogenic plant installation ended in July 2019 and the cooldown/filling started in February 2020
- Detector activation took place in August 2020: TPC and cathode HV were set to -75 kV voltage
- TPC commissioning ended in May 2022 and included characterization of noise levels, peak SNR for MIP  $\mu$ s, measurement of the drift velocity across the 4 TPCs and space charge effects
- CRT installation was completed in December 2021 and the overburden installation in June 2022



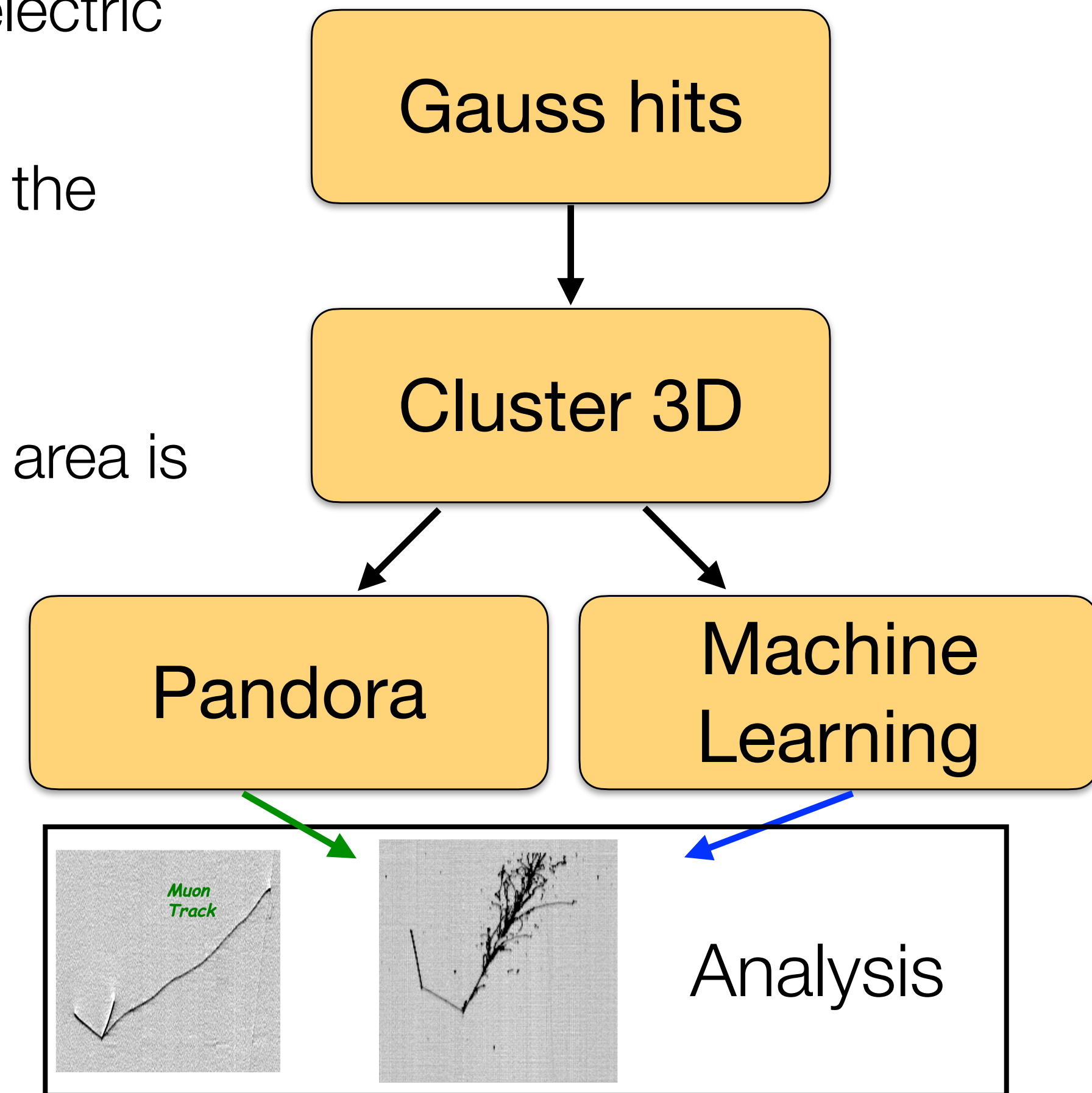
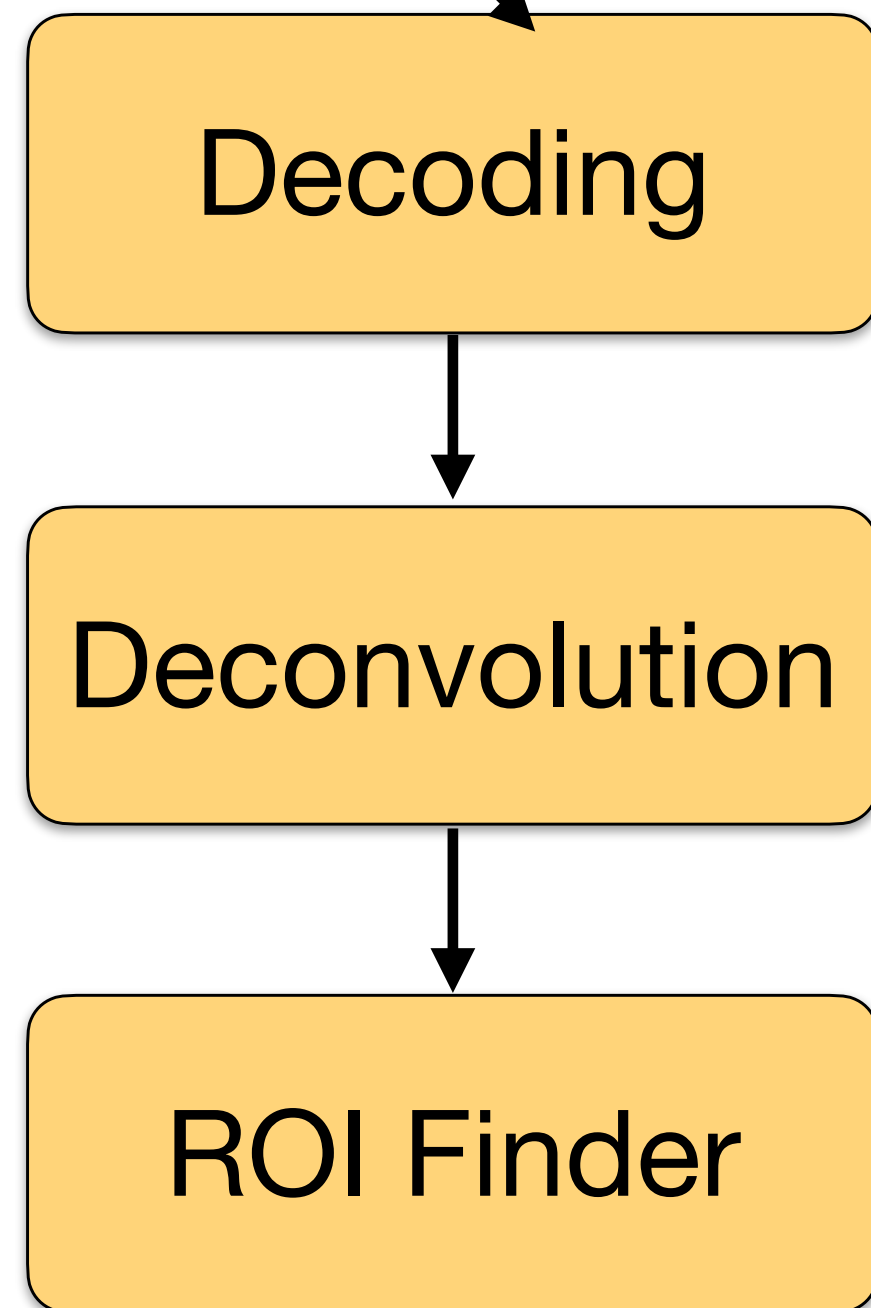


# The ICARUS event reconstruction chain



Data

- **Decoding:** Unpack data and turn it into a raw waveform
- **Deconvolution:** 1D deconvolution to remove electric field distortions and electronic shaping effects
- **ROI Finder:** Threshold based algorithm to find the regions with *hits*, i.e. segments of waveforms corresponding to signal
- **Gauss hits:** Fit each signal hit with Gaussians: area is proportional to  $n_{electrons}$  that generated it.
- **Cluster 3D:** Keep only the hits consistent with 3D points to reduce the noise contribution
- **Pandora:** reconstruction based on cluster, slice, i.e. interaction, and pattern recognition
- **Machine Learning:** reconstruction fully based on machine learning approach



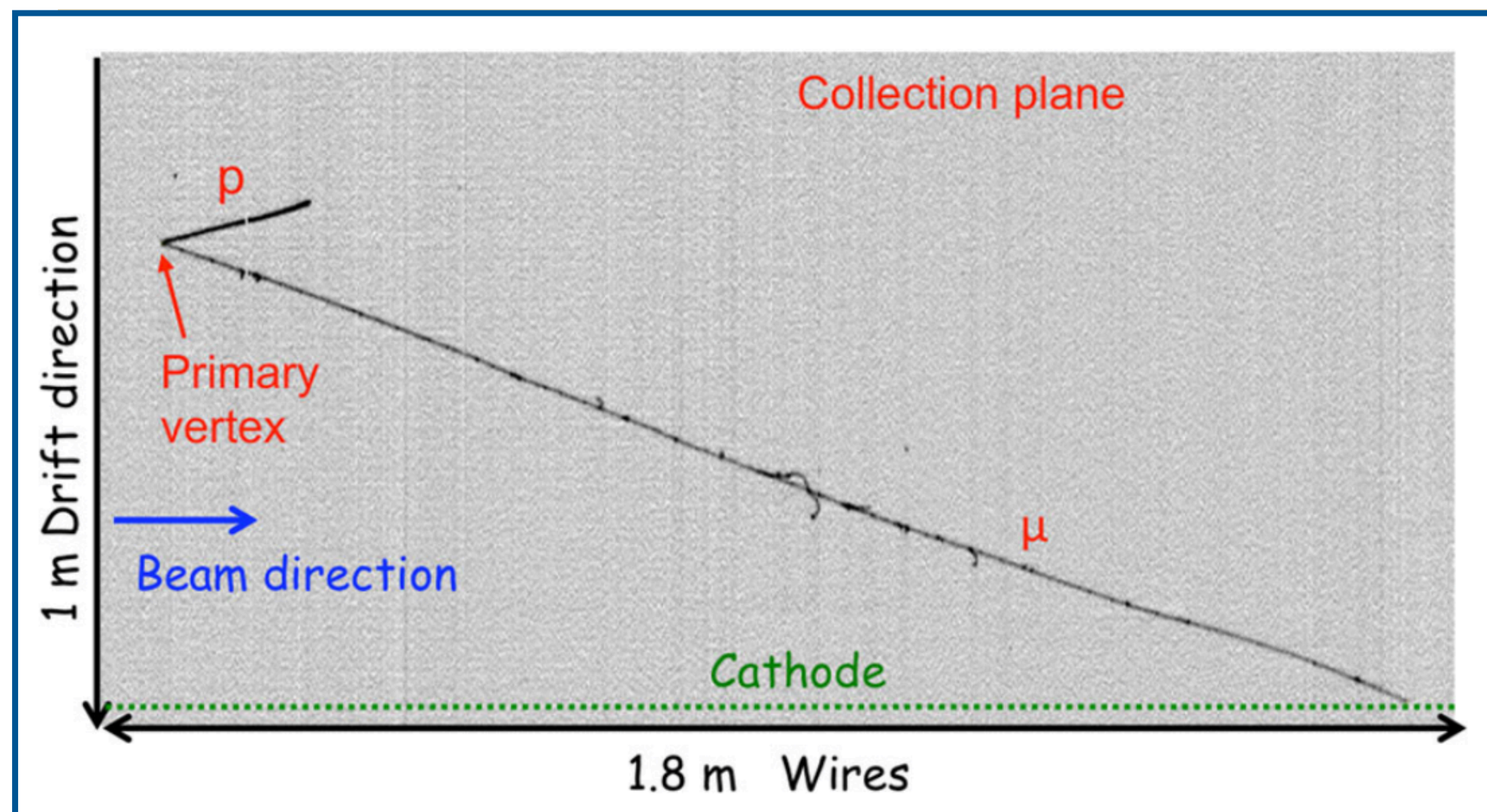


# TPC event reconstruction

- Standard reconstruction uses Pandora (<https://github.com/PandoraPFA>), a pattern recognition software commonly used in detectors based on LAr technology to:
  - **Cluster** the objects together into reconstructed particles in 3D by joining information (hits) from the TPC wire planes;
  - Reconstruct the **interaction vertex**, i.e. the common point where reconstructed particles originate and thus the point where the  $\nu$  candidate interacted;
  - Reconstruct **particle hierarchy**;
  - Classifies particles as **track-like** ( $\mu$ ,  $\rho$ ,  $\pi^\pm$ , ...) or **shower-like** ( $e$ ,  $\gamma$ ...)
- We employ **visual scan event selection** and **Monte Carlo** simulations to identify pathologies, explore reconstruction improvements and tune our selection algorithms:
  - Primary effort so far focused on  $\nu_\mu$  CC events and track reconstruction (vertex, start/end points, length, PID), but other activities ongoing to improve track vs shower discrimination, shower reconstruction and to integrate TPC information with signals from PMT and CRT



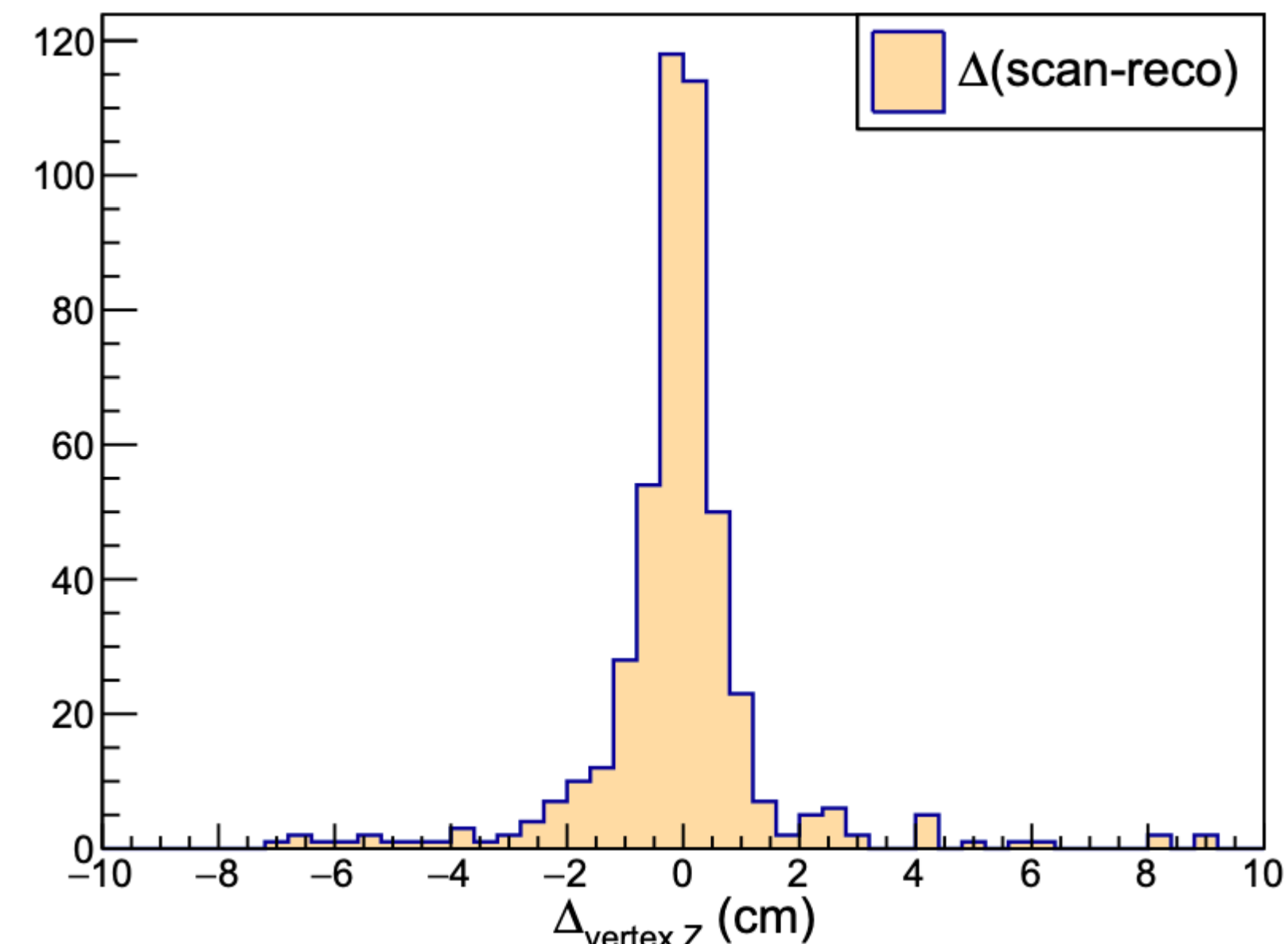
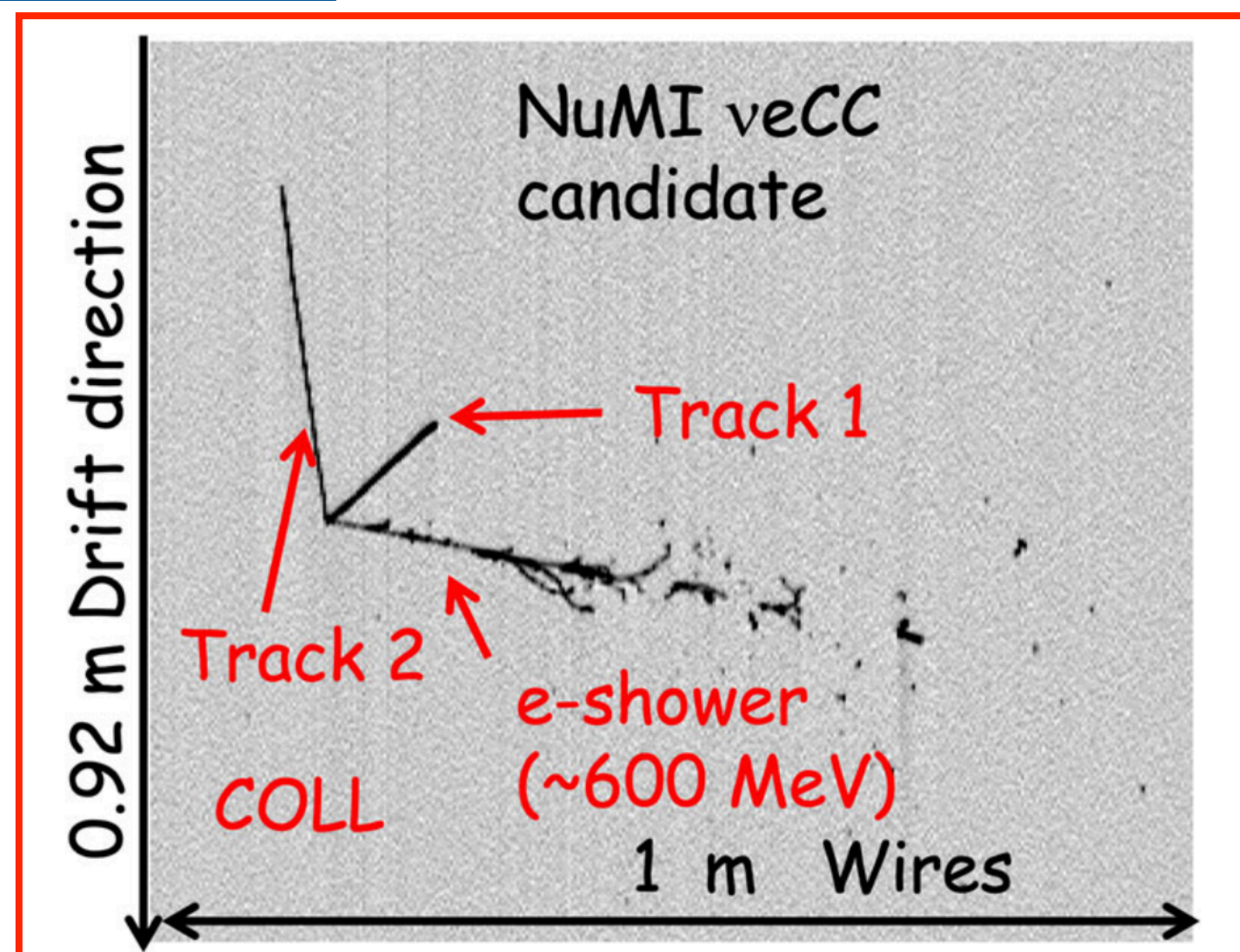
# Visual event study and TPC reconstruction performance



Comparison of the outcome of the visual scan event selection and the automatic reconstruction proved extremely useful to study event reconstruction

Visually selected  $\nu_\mu$  CC candidate from BNB beam

Visually selected  $\nu_e$  CC candidate from NuMI beam



Difference in the beam direction  $\Delta z$  for 476  $\nu_\mu$  CC candidates from visual scan