Brookhaven National Laboratory

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Semi-inclusive diffractive DIS at small-x

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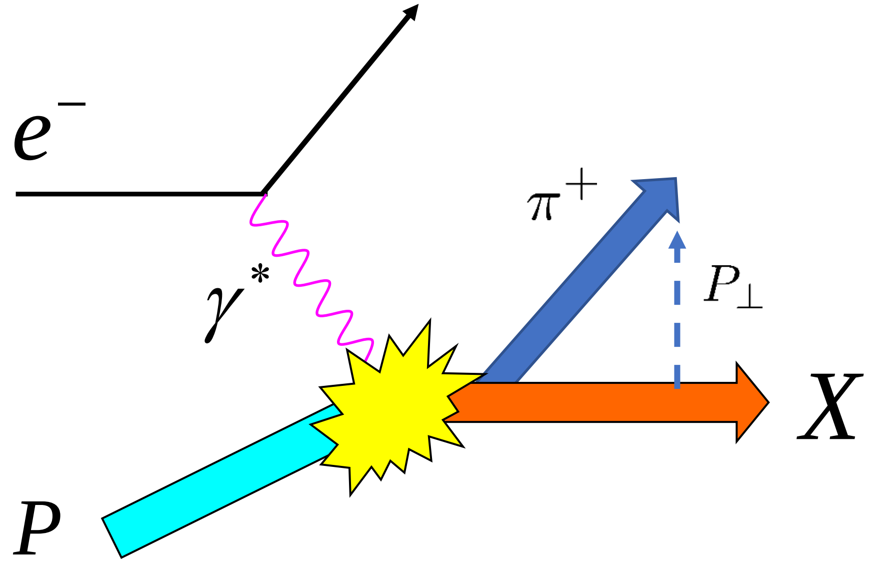
with Feng Yuan and Bowen Xiao, PRD106 (2022) 094015

Semi-inclusive DIS (SIDIS)

Tag one hadron species
with fixed transverse momentum $P_\perp$

When $P_\perp$ is small, TMD factorization

Collins, Soper, Sterman; Ji, Ma, Yuan;…



$$\frac{d\sigma}{dP_\perp} = H \otimes f(x, k_\perp) \otimes D(z, q_\perp)$$

TMD PDF TMD FF

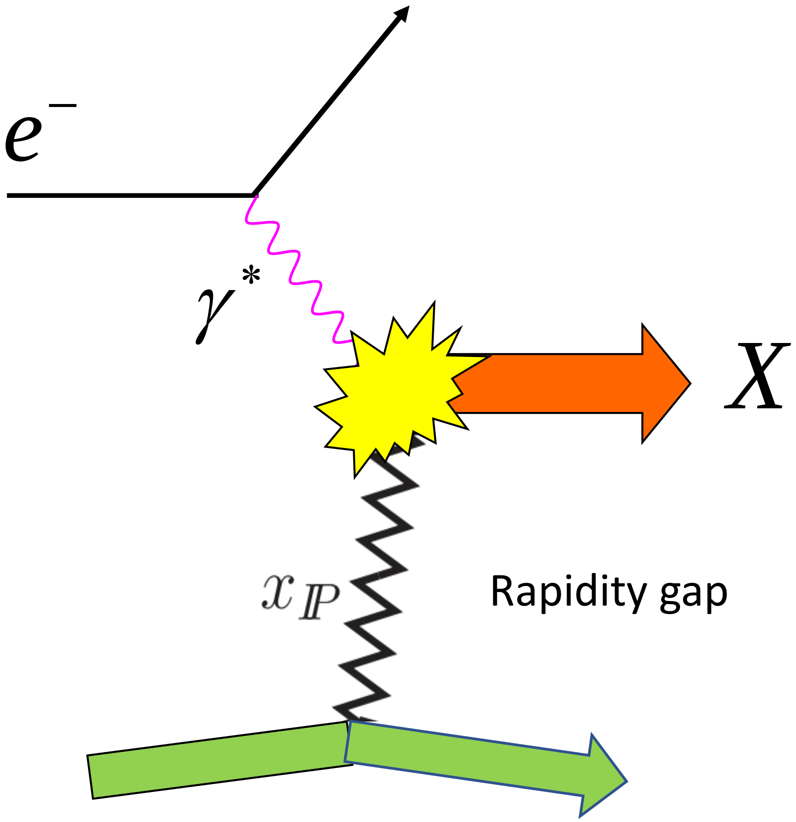
Open up a new class of observables where perturbative QCD is applicable.
Variety of novel phenomena due to intrinsic transverse momentum
(i.e., Sivers function)

Diffractive DIS

~10% of HERA events

Ideal probe of gluon saturation at small-x

Factorization theorem in terms of diffractive PDF (Collins 1998)



$$\Delta Y_\beta = \ln \frac{1}{\beta}$$

$$\Delta Y_P = \ln \frac{1}{x_P}$$

$$F_{2/L}^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) = \sum_i \int_\beta^1 \frac{dz}{z} C_{2/L,i}\left(\frac{\beta}{z}\right) f_i^D(z, x_{\mathbb{P}}; Q^2)$$

$$x_P = \frac{P^+ - P'^+}{P^+}$$

$$x_B = \beta x_P$$

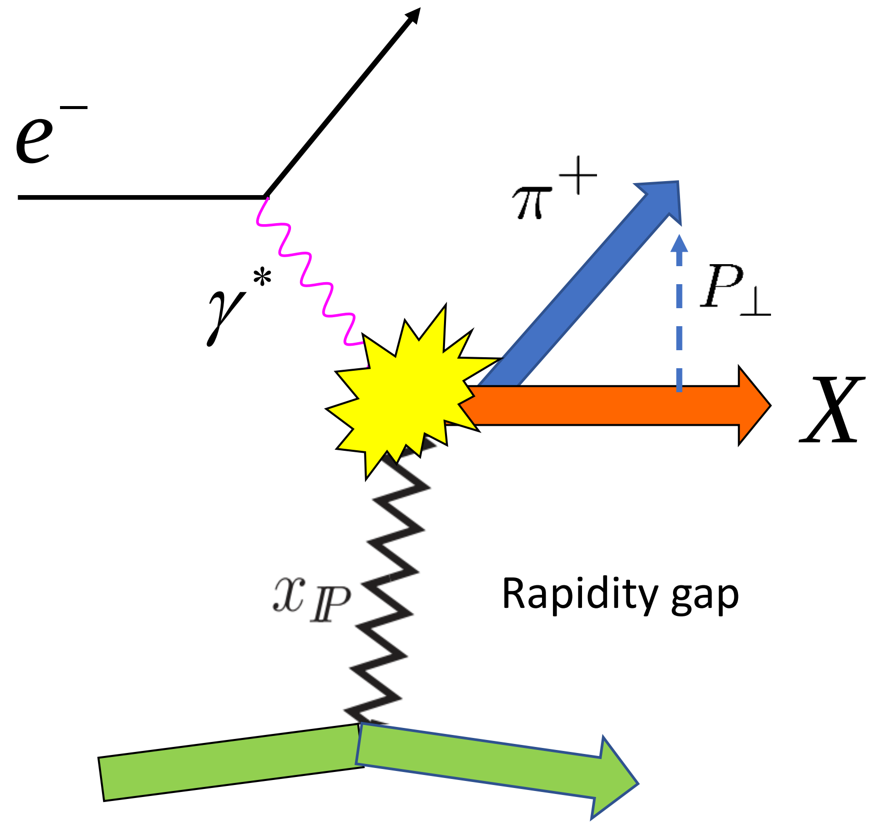
$$2E_{P'} \frac{df_q^D(x, x_P, t)}{d^3P'} = \int \frac{d\xi^-}{2(2\pi)^4} e^{-ix\xi^- P^+} \langle PS|\bar{\psi}(\xi)\gamma^+|P'X\rangle\langle P'X|\psi(0)|PS\rangle$$

Semi-inclusive diffractive DIS (SIDDIS)

$$\frac{d\sigma^{\text{SIDDIS}}(\ell p \to \ell' p' q X)}{dx_B dy d^2 k_\perp dY_{IP} dt} = \sigma_0 e_q^2 x_B \frac{df_q^D(\beta, k_\perp; x_{IP})}{dY_{IP} dt}$$

TMD version of diffractive PDF

$$2E_{P'} \frac{df_q^D(x, k_\perp; x_{IP}, t)}{d^3 P'}$$
$$= \int \frac{d\xi^- d^2 \xi_\perp}{2(2\pi)^6} e^{-ix\xi^- P^+ + i\vec{\xi}_\perp \cdot \vec{k}_\perp}$$
$$\times \langle PS|\bar{\psi}(\xi)\mathcal{L}_n^\dagger(\xi)\gamma^+|P'X\rangle\langle P'X|\mathcal{L}_n(0)\psi(0)|PS\rangle$$



2+1-jet production at the EIC

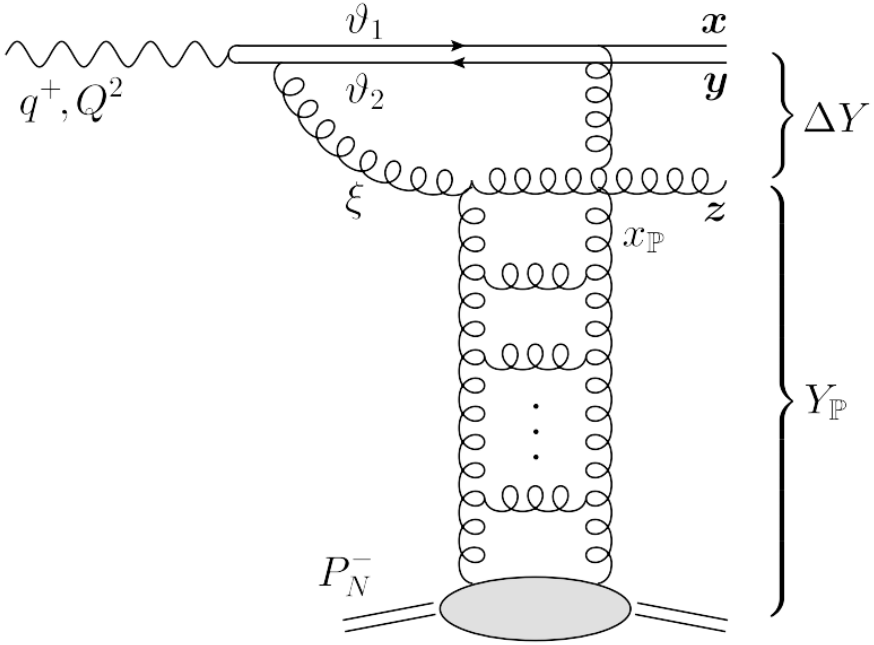
Iancu, Mueller, Triantafyllopoulos (2021)

Hard dijet plus a semi-hard jet production

$$P_\perp \gg K_\perp \sim Q_s$$

still sensitive to gluon saturation even though dijet pT is high.

Factorizes into diffractive gluon TMDPDF
a.k.a. Pomeron unintegrated gluon distribution



$$\frac{\mathrm{d}\sigma_{\mathrm{D}}^{\gamma_T^* A \to q\bar{q}A'X}}{\mathrm{d}\vartheta_1 \mathrm{d}\vartheta_2 \mathrm{d}^2\boldsymbol{P} \mathrm{d}^2\boldsymbol{K} \mathrm{d}Y_{\mathbb{P}}} = H(x_{q\bar{q}}, Q^2, P_\perp^2) \frac{\mathrm{d}\, xG_{\mathbb{P}}(x, x_{\mathbb{P}}, K_\perp^2)}{\mathrm{d}^2\boldsymbol{K}}$$

$$\int d^2K_\perp$$

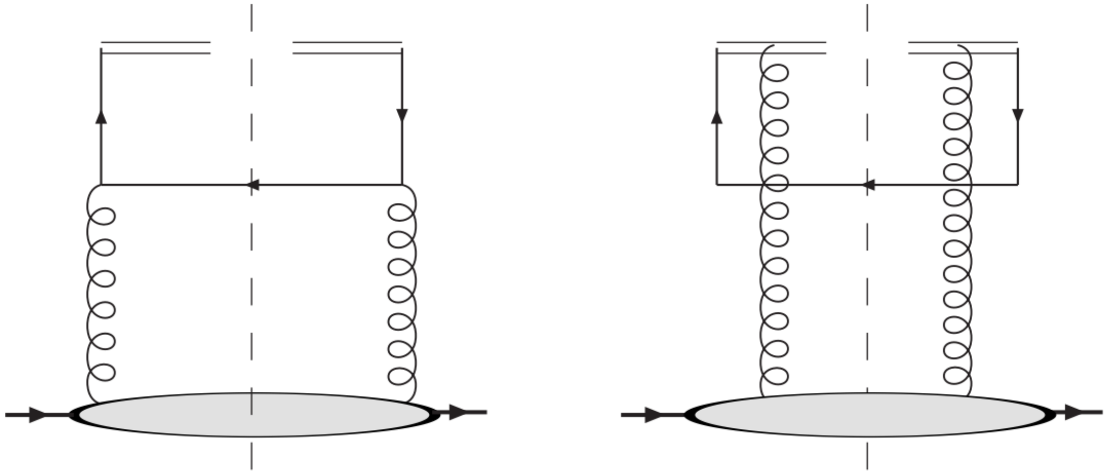
$$\frac{\mathrm{d}\sigma_{D}^{\gamma_T^* A \to q\bar{q}A'X}}{\mathrm{d}\vartheta_1 \mathrm{d}\vartheta_2 \mathrm{d}^2\boldsymbol{P} \mathrm{d}Y_{\mathbb{P}}} = H(x_{q\bar{q}}, Q^2, P_\perp^2)\, xG_{\mathbb{P}}(x, x_{\mathbb{P}}, P_\perp^2)$$

collinear factorization

Quark TMD at small-x

McLerran, Venugopalan (1994)
Mueller (1999)
Marquet, Yuan, Xiao (2009)

$$f(x,k_\perp)=\int\frac{d^3\xi}{2(2\pi)^3}e^{-ixP^+\xi^-+ik_\perp\cdot\xi_\perp}\langle P|\bar{\psi}(\xi^-,\xi_\perp)\mathcal{L}\gamma^+\psi(0)|P\rangle$$



$$=\frac{T_R}{4\pi^4}S_\perp N_c\int d^2k_{g\perp}\int_x\frac{dx_g}{x_g^2}\left(\frac{\vec{k}_\perp|k_\perp-k_{g\perp}|}{\hat{x}(k_{g\perp}-k_\perp)^2+(1-\hat{x})k_\perp^2}-\frac{\vec{k}_\perp-\vec{k}_{g\perp}}{|k_\perp-k_{g\perp}|}\right)^2\frac{\langle P|\frac{1}{N_c}\mathrm{tr}UU^\dagger(k_{g\perp})|P\rangle}{\langle P|P\rangle}$$

$$\sim\frac{1}{k_\perp^2}$$

Dipole S-matrix

Quark diffractive TMD at small-x

YH, Xiao, Yuan (2022)

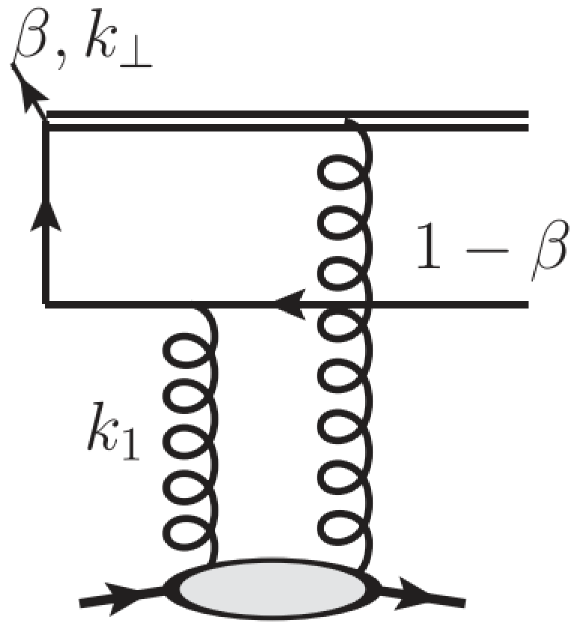
Direct calculation from the operator definition

$$x\frac{df_q^D(\beta,k_\perp;x_{IP})}{dY_{IP}dt}=\int d^2k_{1\perp}d^2k_{2\perp}\mathcal{F}_{x_{IP}}(k_{1\perp},\Delta_\perp)\times\mathcal{F}_{x_{IP}}(k_{2\perp},\Delta_\perp)\frac{N_c\beta}{2\pi}\frac{k'_{1\perp}\cdot k'_{2\perp}k_\perp^2}{[\beta k_\perp^2+(1-\beta)k'^2_{1\perp}][\beta k_\perp^2+(1-\beta)k'^2_{2\perp}]}+\cdots$$

$$k'_{1\perp}=k_\perp-k_{1\perp}$$

Dipole S-matrix (off-forward)

$$\mathcal{F}_x(q_\perp,\Delta_\perp)=\int\frac{d^2b_\perp d^2r_\perp}{(2\pi)^4}e^{iq_\perp\cdot r_\perp+i\Delta_\perp\cdot b_\perp}\times\frac{1}{N_c}\left\langle\mathrm{Tr}\left[U\left(b_\perp+\frac{r_\perp}{2}\right)U^\dagger\left(b_\perp-\frac{r_\perp}{2}\right)\right]\right\rangle_x$$

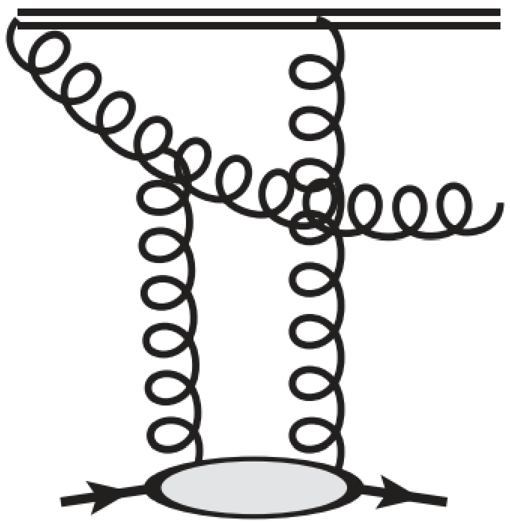


At large transverse momentum

$$\sim\frac{1}{k_\perp^4}(H_g(x_P,t))^2$$

Gluon GPD YH, Xiao, Yuan (2017)

Gluon diffractive TMD at small-x



$$x\frac{df_g^D(\beta,k_\perp;x_{IP})}{dY_{IP}dt} = \int d^2k_{1\perp}d^2k_{2\perp}\mathcal{G}_{x_{IP}}(k_{1\perp},\Delta_\perp)\mathcal{G}_{x_{IP}}(k_{2\perp},\Delta_\perp)$$
$$\times\frac{N_c^2-1}{\pi(1-\beta)}\frac{1}{[\beta k_\perp^2+(1-\beta)k_{1\perp}'^2]}\frac{1}{[\beta k_\perp^2+(1-\beta)k_{2\perp}'^2]}$$
$$\times\left[\beta(1-\beta)k_\perp^2\frac{k_{1\perp}'^2+k_{2\perp}'^2}{2}+(1-\beta)^2(k_{1\perp}'\cdot k_{2\perp}')^2+\beta^2\frac{(k_\perp^2)^2}{2}\right] + \cdots$$

$$\mathcal{G}_x(q_\perp,\Delta_\perp) = \int\frac{d^2b_\perp d^2r_\perp}{(2\pi)^4}e^{iq_\perp\cdot r_\perp+i\Delta_\perp\cdot b_\perp}\frac{1}{N_c^2-1}\left\langle\mathrm{Tr}\left[\tilde{U}\left(b_\perp+\frac{r_\perp}{2}\right)\tilde{U}^\dagger\left(b_\perp-\frac{r_\perp}{2}\right)\right]\right\rangle_x$$

Gluon dipole S-matrix

Diffractive structure functions

Wusthoff (1997)

Directly compute the cross section (diffractive structure functions)

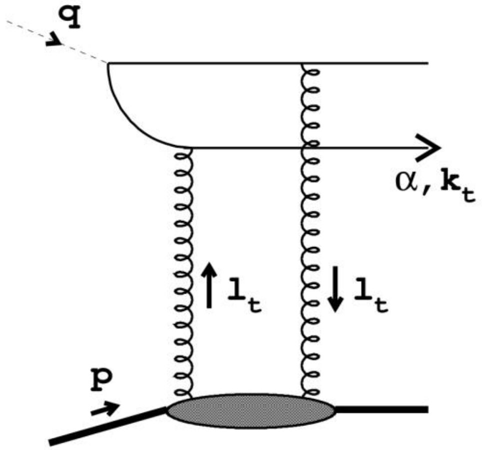
At large-Q^2, one can identify TMD DPDF in the integrand
(except for a factor of 2 discrepancy in the gluon case).

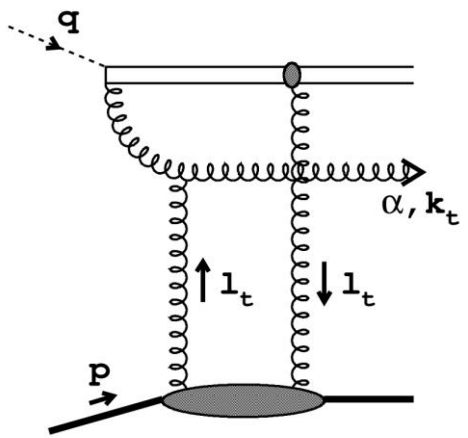
$$F^D_{\{t,q\bar{q}\}}(Q^2,\beta,x_{IP}) = Q^2\pi(1-\beta)\int_0^1 d\alpha(\alpha^2+(1-\alpha)^2)\,\frac{df_q^D(\beta,k_\perp;x_{IP})}{dY_{IP}}$$

$$x_{IP}F^D_{\{t,q\bar{q}g\}}(Q^2,\beta,x_{IP}) = \int_\beta^1 d\xi((1-\xi)^2+\xi^2)\int^{(1-\beta')Q^2}\frac{d^2k_\perp}{k_\perp^2}\frac{\alpha_s}{2\pi^2}\int^{k_\perp^2} d^2k'_\perp x'\,\frac{df_g(\beta',k'_\perp;x_{IP})}{dY_{IP}}$$

More complete calculation

Beuf, Hanninen, Lappi, Mulian, Mantysaari (2022)



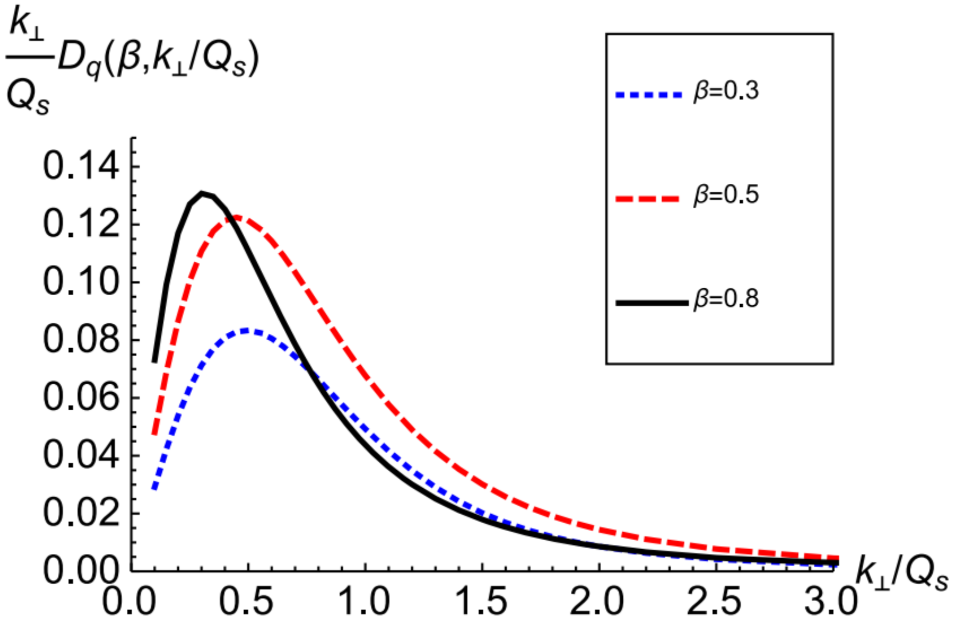


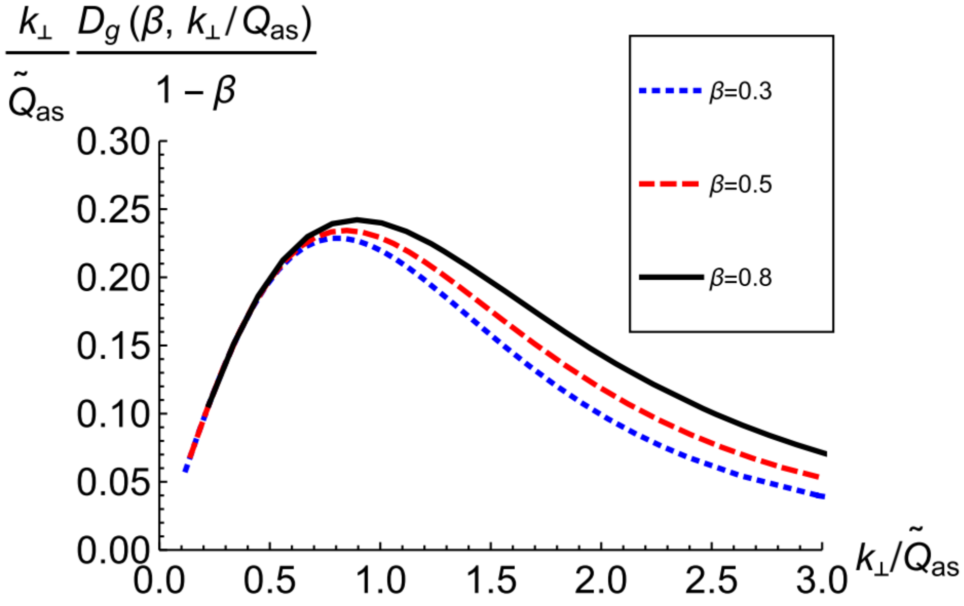
Modified geometric scaling

Iancu, Mueller, Triantafyllopoulos (2021)

$$x\frac{df^D_{q,g}(\beta,k_\perp;x_{IP})}{dY_{IP}dt} = \mathcal{N}_{q,g}D_{q,g}\left(\beta,\frac{k_\perp}{Q_{s,as}}\right) \sim D_{q,g}\left(\frac{k_\perp}{\sqrt{1-\beta}\,Q_{s,as}(x_P)}\right)$$

In our approach, easily understood from an inspection of the propagator denominator $\frac{1}{[\beta k_\perp^2 + (1-\beta)k'^2_{1\perp}]}$



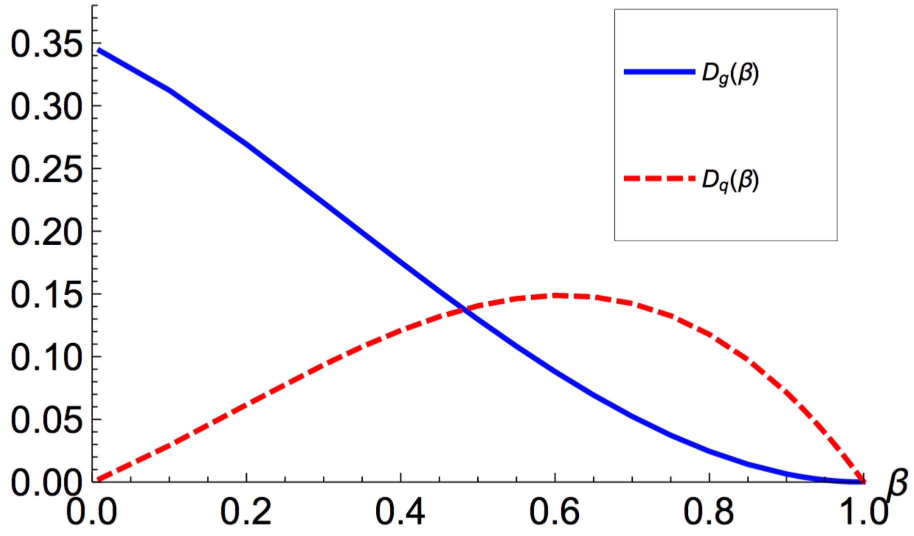


Collinear DPDF

Integrate over $k_\perp$

$$x\frac{df^D_{q,g}(\beta;x_{IP})}{dY_{IP}dt} = \mathcal{N}_{q,g}2\pi\mathcal{D}_{q,g}(\beta)Q^2_{s,as}$$

$$\mathcal{D}_q(\beta) = \beta\left(b_1(1-\beta)+b_2(1-\beta)^2\right) \qquad \mathcal{D}_g(\beta) = (a_0+a_1\beta)(1-\beta)^2$$



The end point values analytically obtained for Gaussian models.

$$b_1 = \frac{3\pi^2}{16} - 1, \quad b_2 = \frac{20-3\pi^2}{16}$$

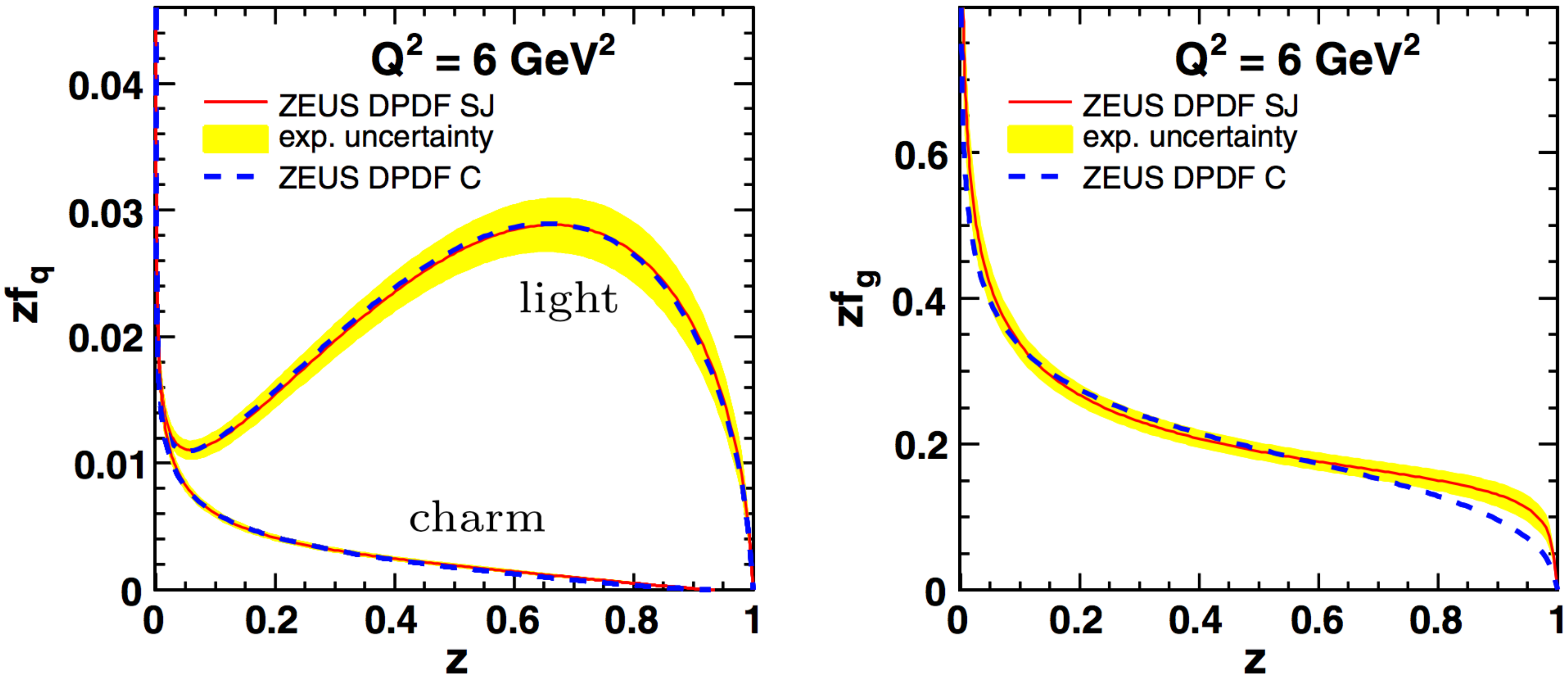
$$a_0 = \frac{\ln(2)}{2} \qquad a_1 = \frac{45\pi^2-272}{256} - \frac{\ln(2)}{2}$$

Buchmuller, Gehrmann, Hebecker (1999)

HERA data

ZEUS, NPB831, 1 (2010)

$$F_{2/L}^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) = \sum_i \int_\beta^1 \frac{dz}{z} C_{2/L,i}\left(\frac{\beta}{z}\right) f_i^D(z, x_{\mathbb{P}}; Q^2)$$



Conclusions

- Small-x expression of diffractive quark/gluon TMD derived from the operator definition.
- Modified geometric scaling in terms of $\tilde{Q}_s = \sqrt{1-\beta} Q_s$
- Semi-inclusive diffractive DIS (SIDDIS): new research avenue
- Can add spin dependence
- Additional vector $P'_\perp$ compared to SIDIS. Rich pattern of angular correlations between $P'_\perp, S_\perp, k_\perp$