

Features of factorization studied in a Yukawa field theory

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Based on

- **Basics of factorization in a Scalar Yukawa field theory ([2212.00757](#))**
(accepted for publication to PRD)
(F. Aslan, L. Gamberg, J. O. Gonzalez-Hernandez, T.Rainaldi, T. C. Rogers)
- **The resolution to the problem of consistent large transverse momentum in TMDs ([2303.04921](#))**
(J. O. Gonzalez-Hernandez, T.Rainaldi, T. C. Rogers)

Motivation

- Explicitly confirm factorization
- What is the difference between different treatments of TMD factorization?
 - $W + Y$: leading power accurate point-by-point in q_T
 - Power law correction to TMD factorization ($1/Q^2$ corrections)
- Different pdf definitions?
 - Renormalization or cutoff? ("[On the normalization of integrated parton densities](#)" (Guiot), "[The complete tree-level result up to order \$1/Q\$ for polarized deep-inelastic leptoproduction](#)" (Mulders, Tangerman))
 - Positivity of pdfs? ("[Positivity and renormalization of parton densities](#)" (Collins, Rogers, Sato) , "[Can MS bar parton distributions be negative](#)"(Candido, Forte, Hekhorn))
 - Parton model interpretation?
- Test transverse coordinate TMD PDF techniques
 - b_* prescription
 - Unconstrained or constrained g functions?
 - Hadron Structure Oriented (HSO) approach ("[Combining nonperturbative transverse momentum dependence with TMD evolution](#)" (Gonzalez-Hernandez, Rogers, Sato))

Yukawa

- No gluons
- Known asymptotic states
- No asymptotic freedom

QCD

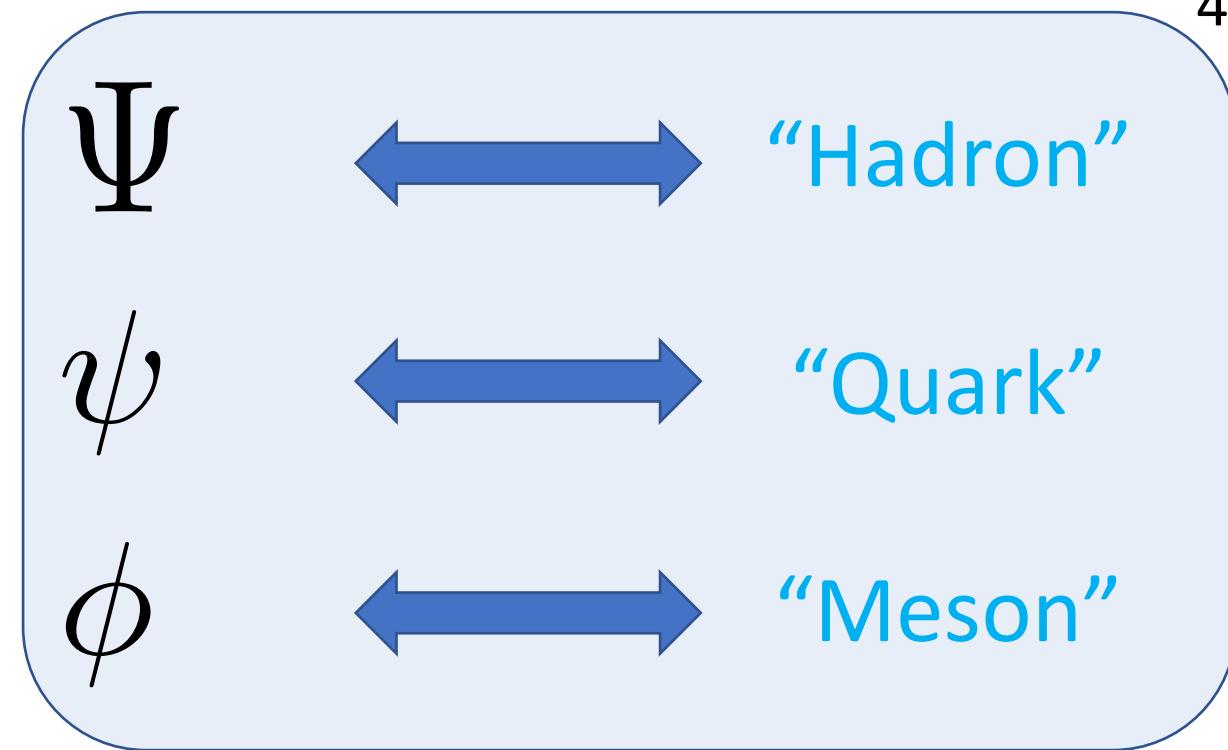
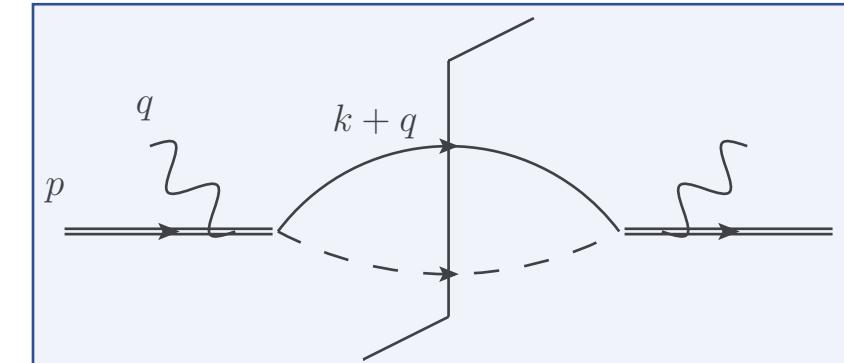
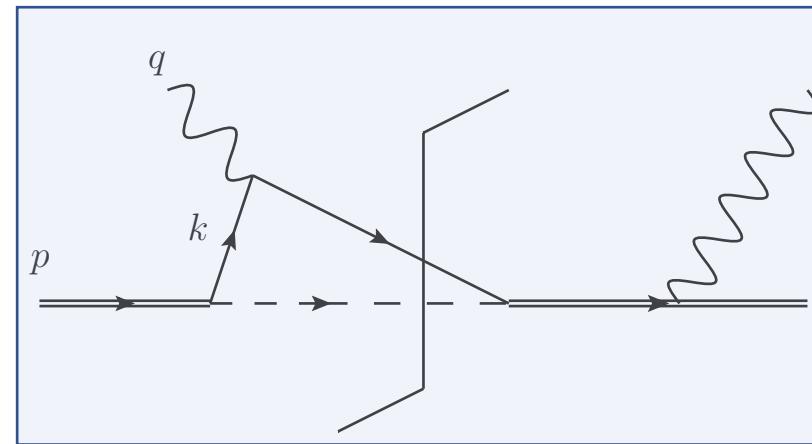
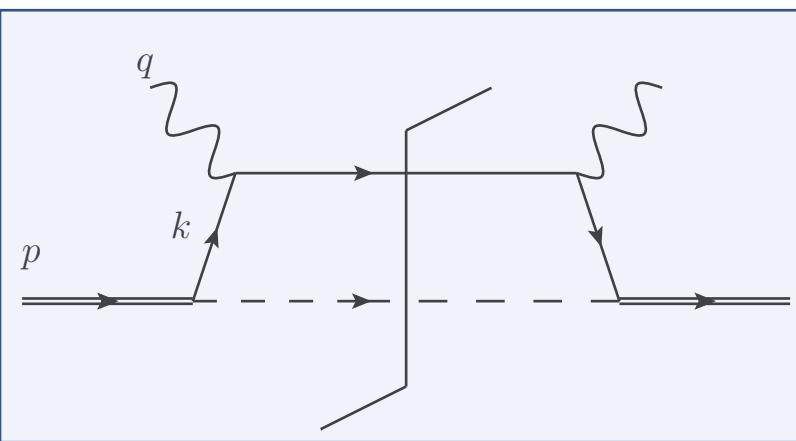
- Renormalizable
 - Finite range
 - Factorization theorems

- Gluons

- Confinement
- Asymptotic freedom

Scalar Yukawa theory

$$\mathcal{L}_I = -\lambda \bar{\Psi} \psi \phi + \text{h.c.}$$



$$\sigma = \sum_{\text{partons}} \hat{\sigma} \otimes f + \mathcal{O}\left(\frac{m^2}{Q^2}\right)$$

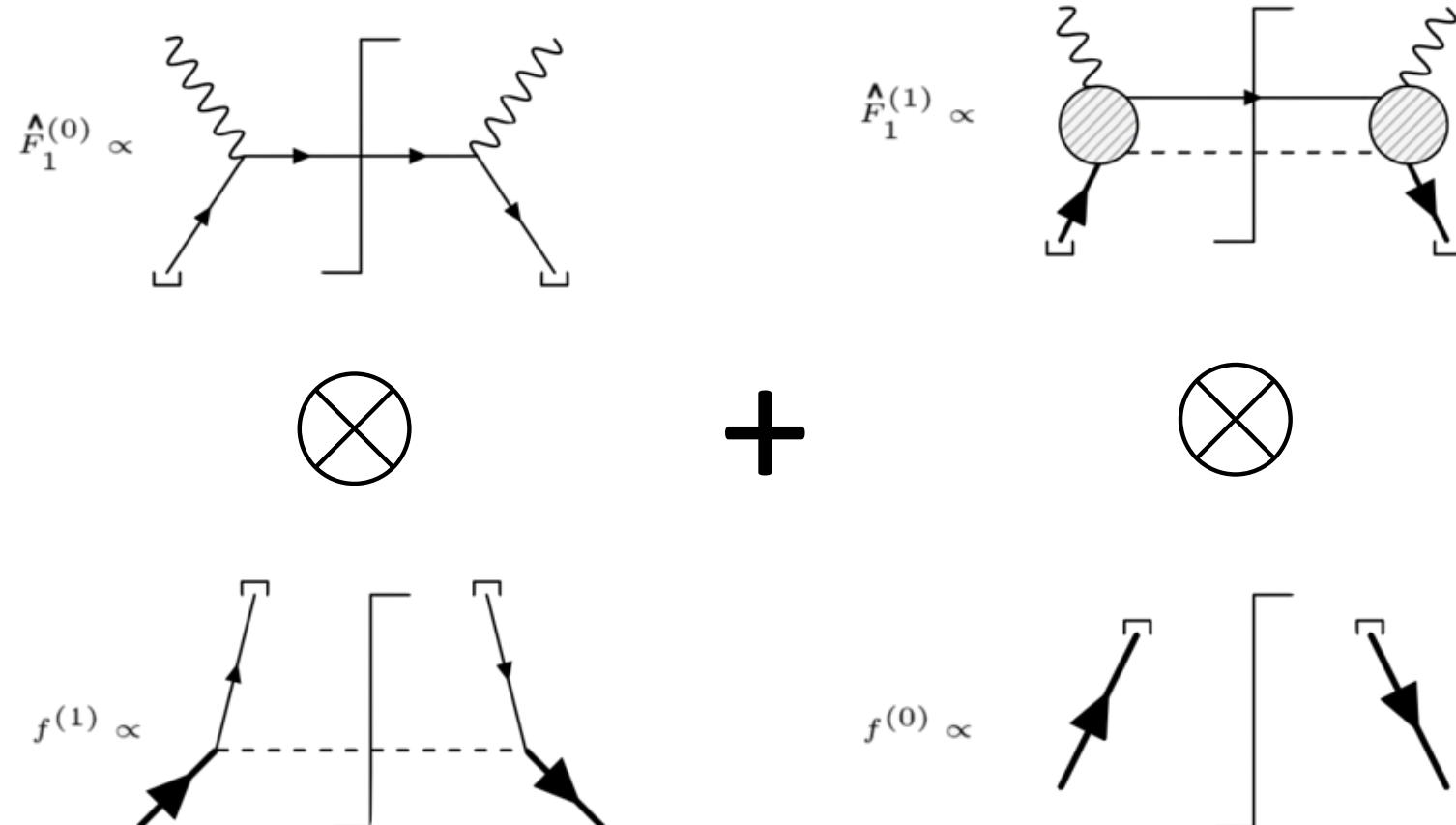
pQCD Non Perturbative

How Factorization works

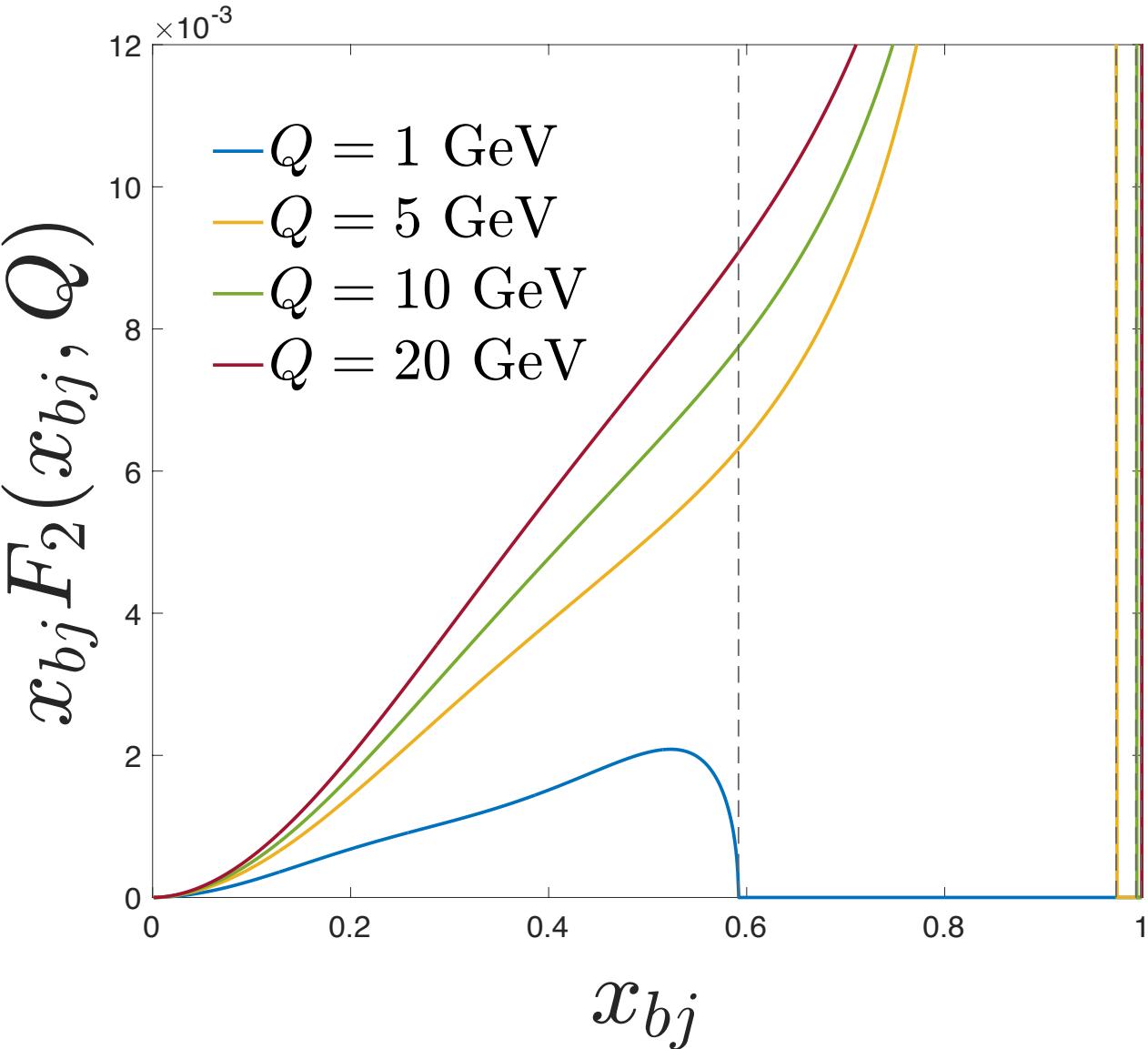
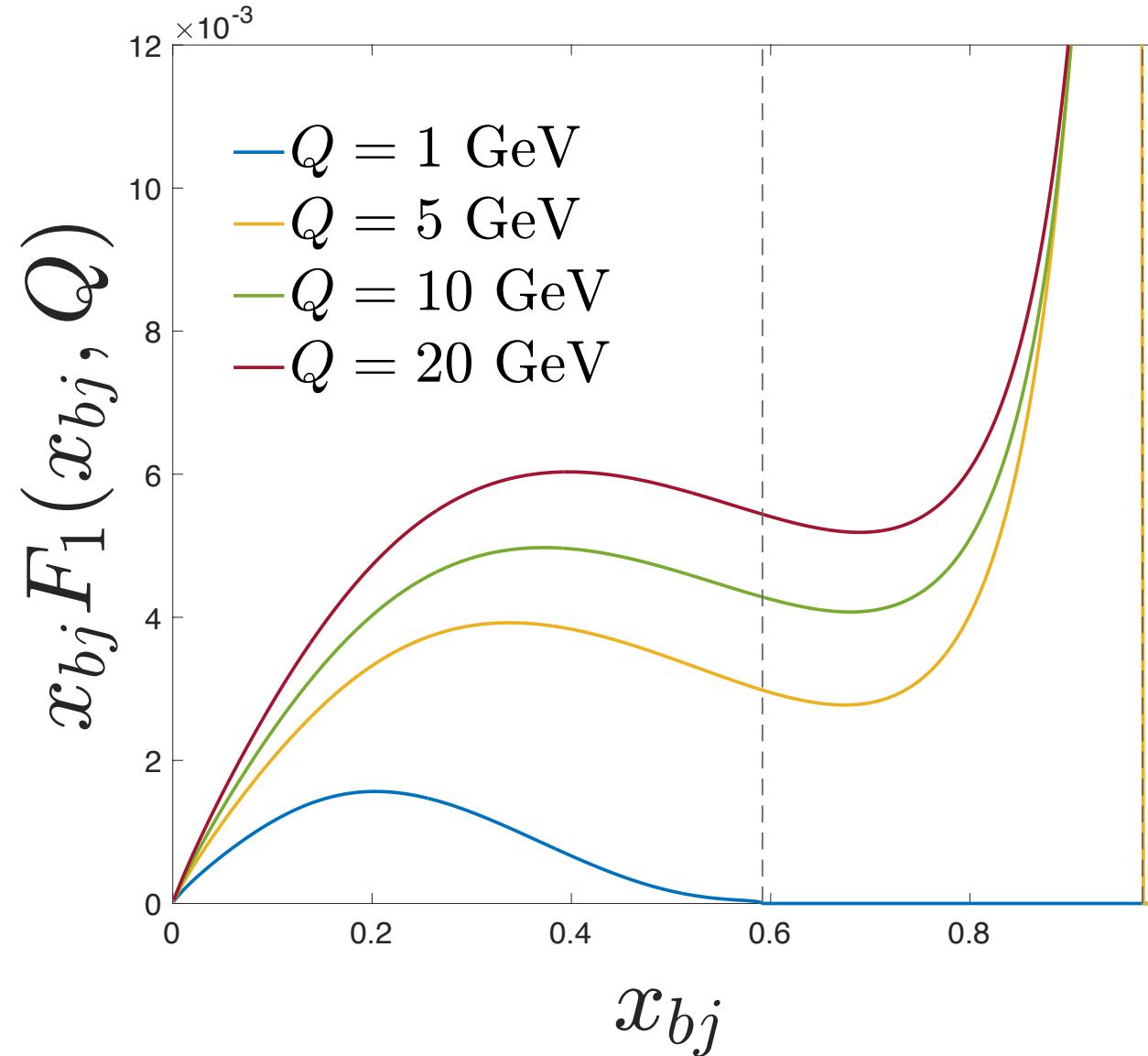
$$F_1 = \left(\hat{F}_1^{(0)} + \hat{F}_1^{(1)} + \dots \right) \otimes \left(f^{(0)} + f^{(1)} + \dots \right)$$

**Massless
partonic
physics**

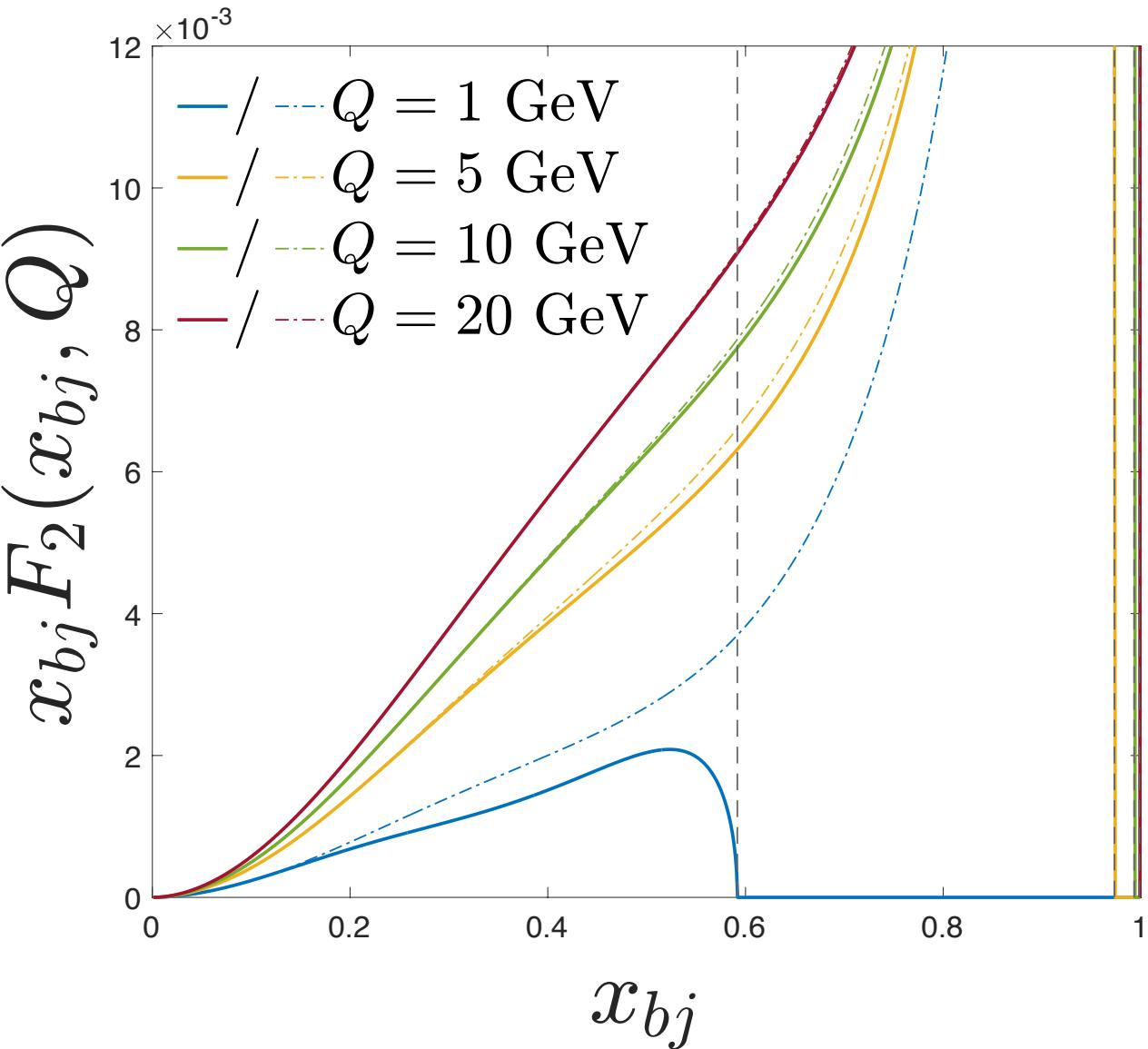
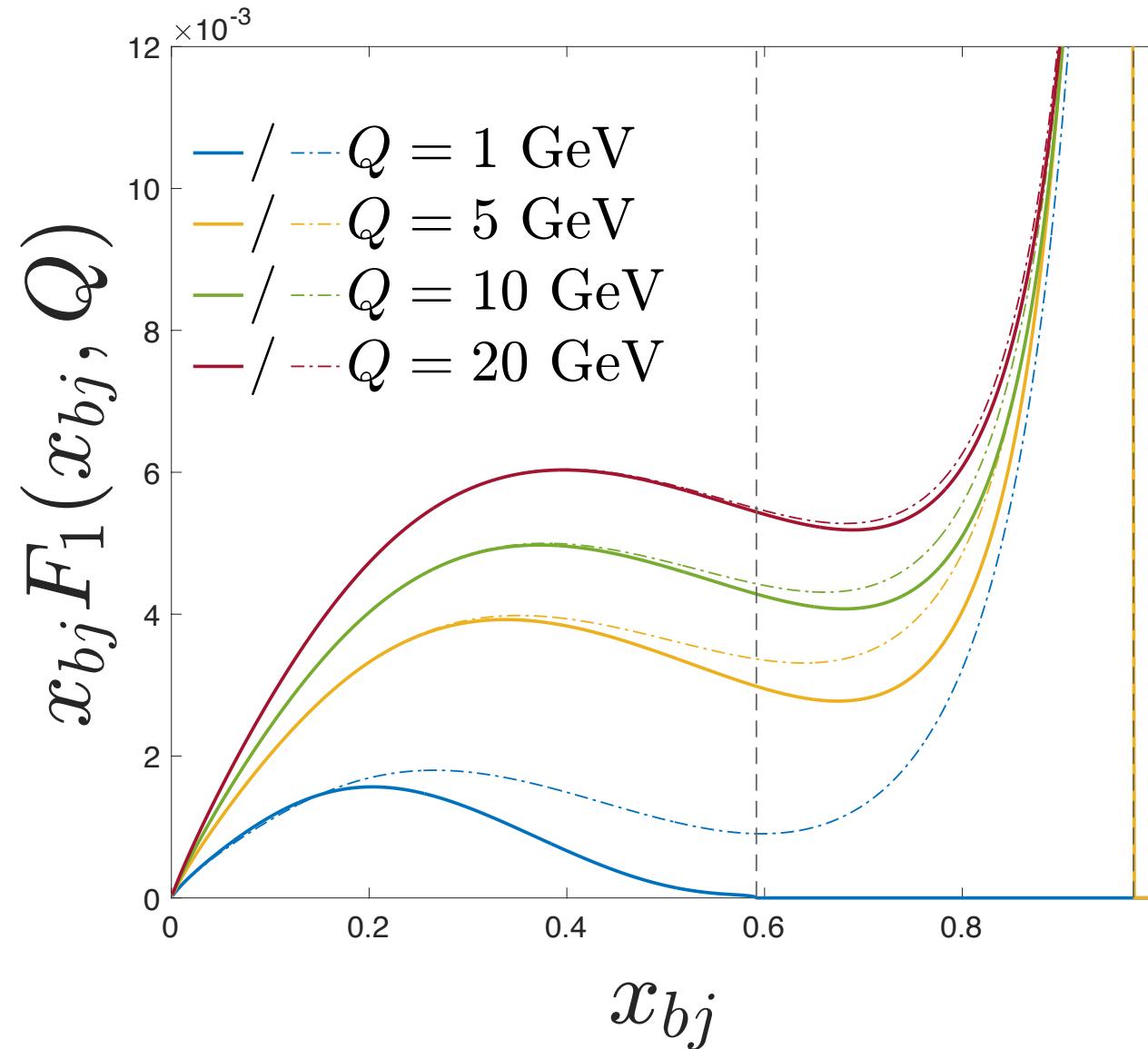
**Intrinsic
hadronic
physics**



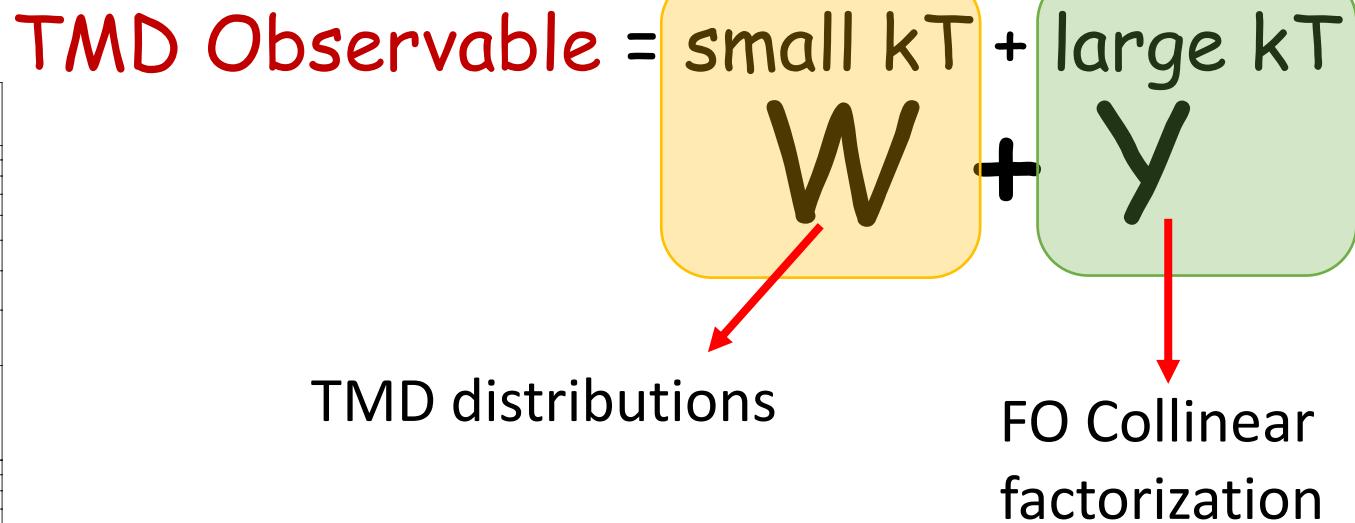
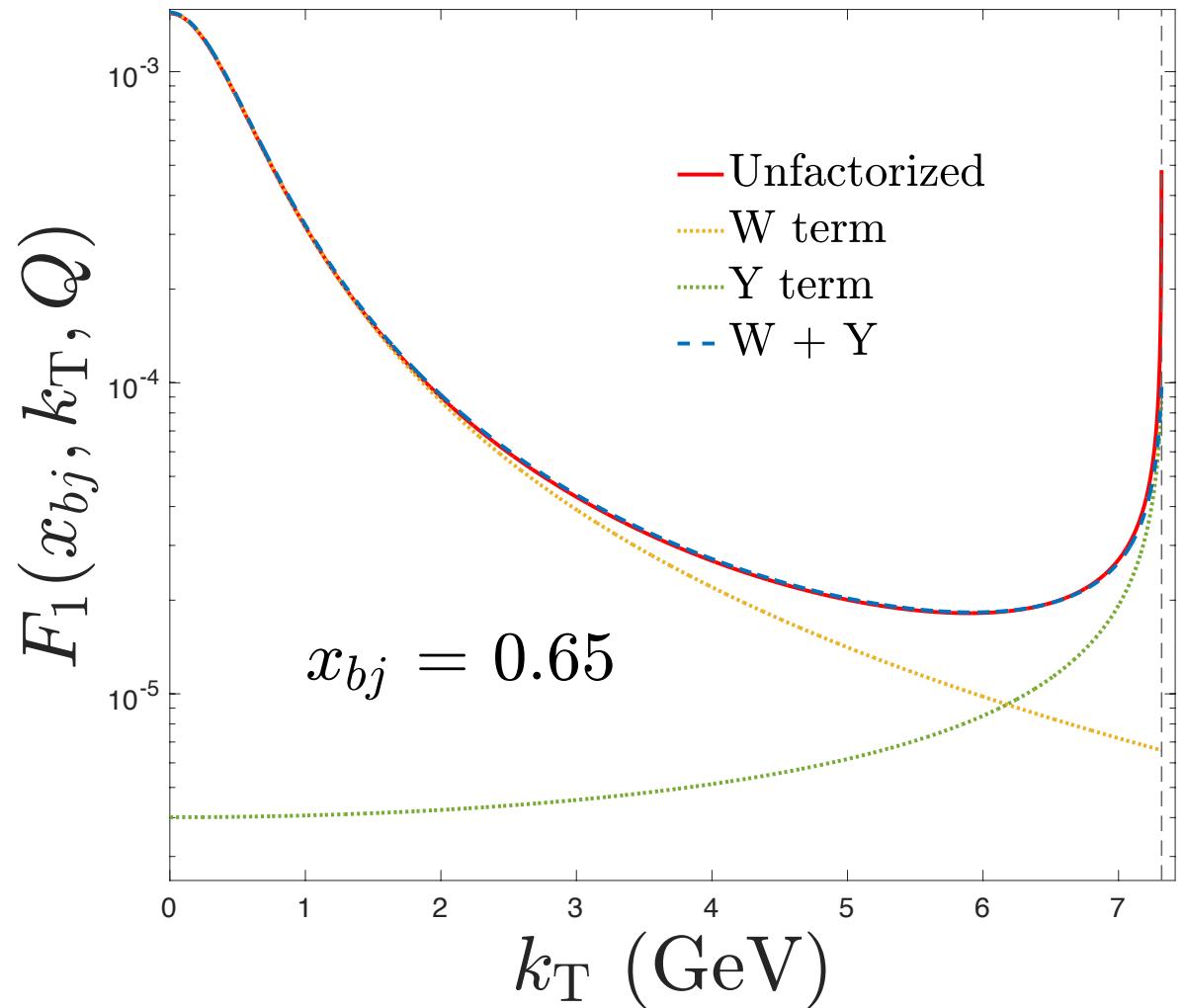
Unfactorized structure functions



With factorized results



TMD W and Y terms contributions



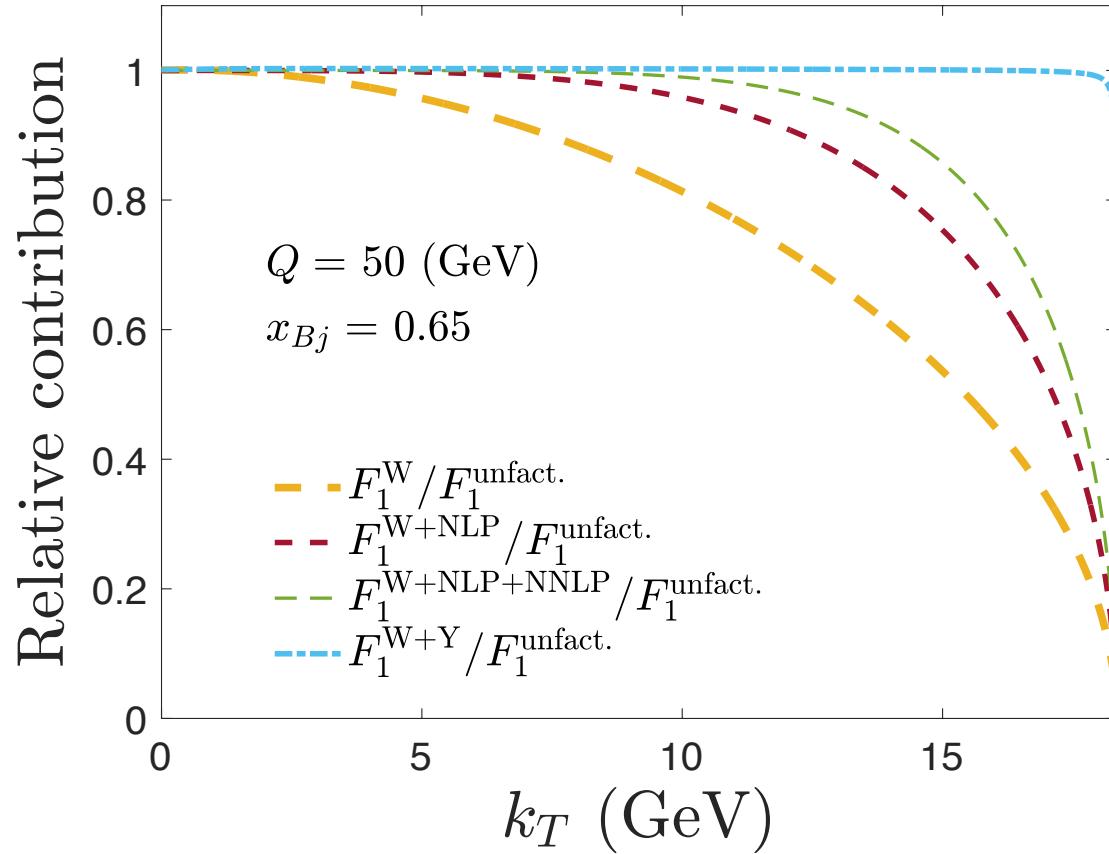
Collinear from TMD

$$f(x; \mu) \stackrel{??}{=} \int d^2 \mathbf{k}_T f(x, \mathbf{k}_T; \mu)$$

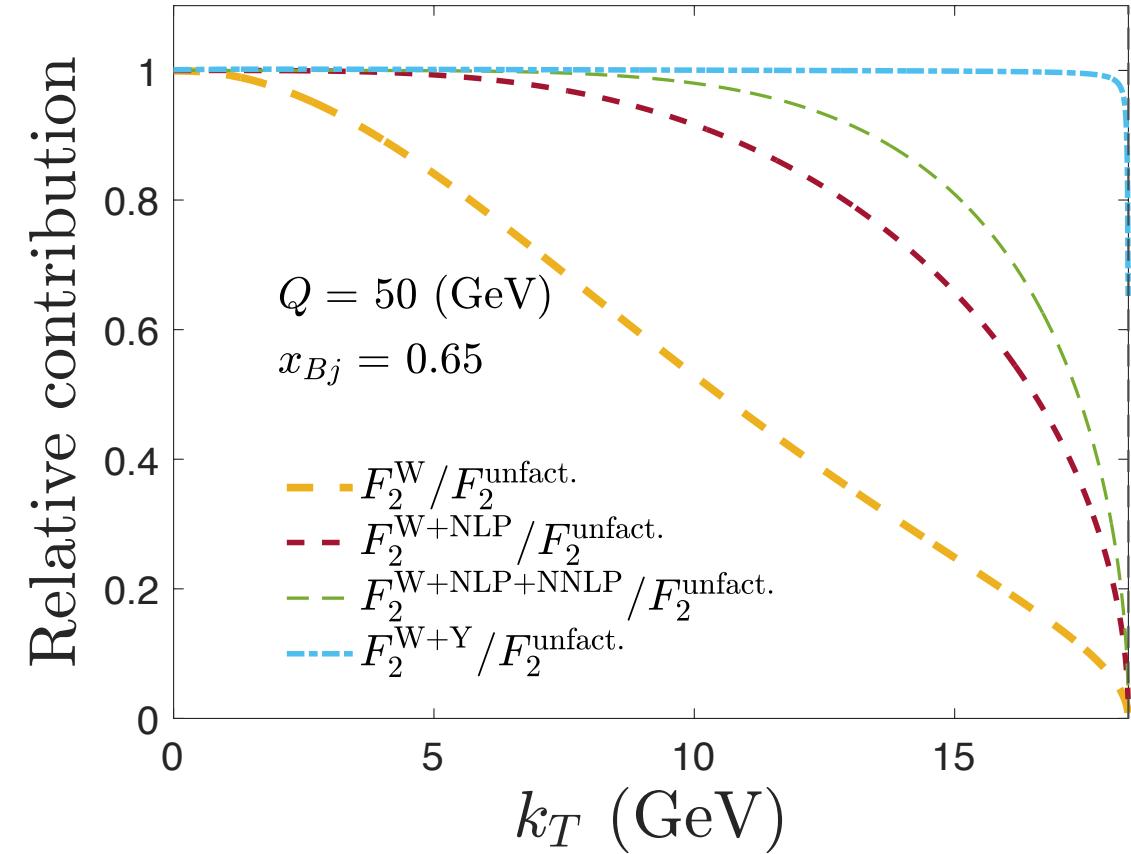
Both are necessary !!

W+Y and subleading power suppressed terms

small $k_T \leftrightarrow$ large k_T
interpolation



$\frac{1}{Q^2}$ expansion



PDFs can be negative

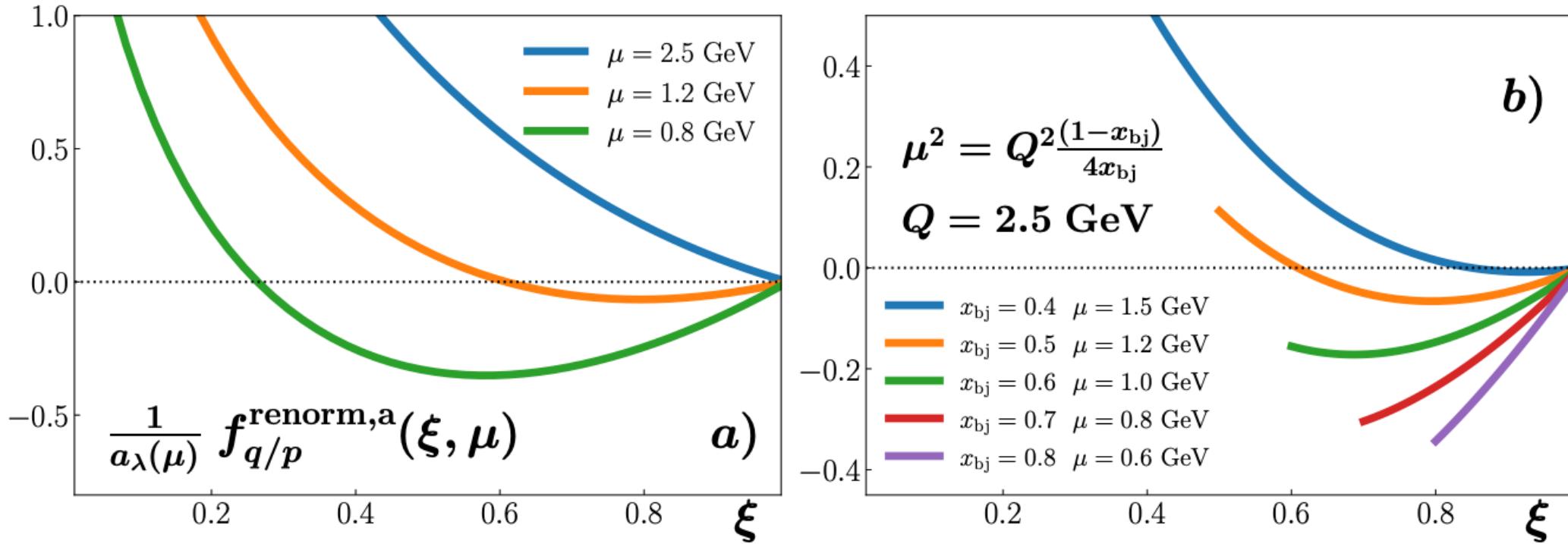
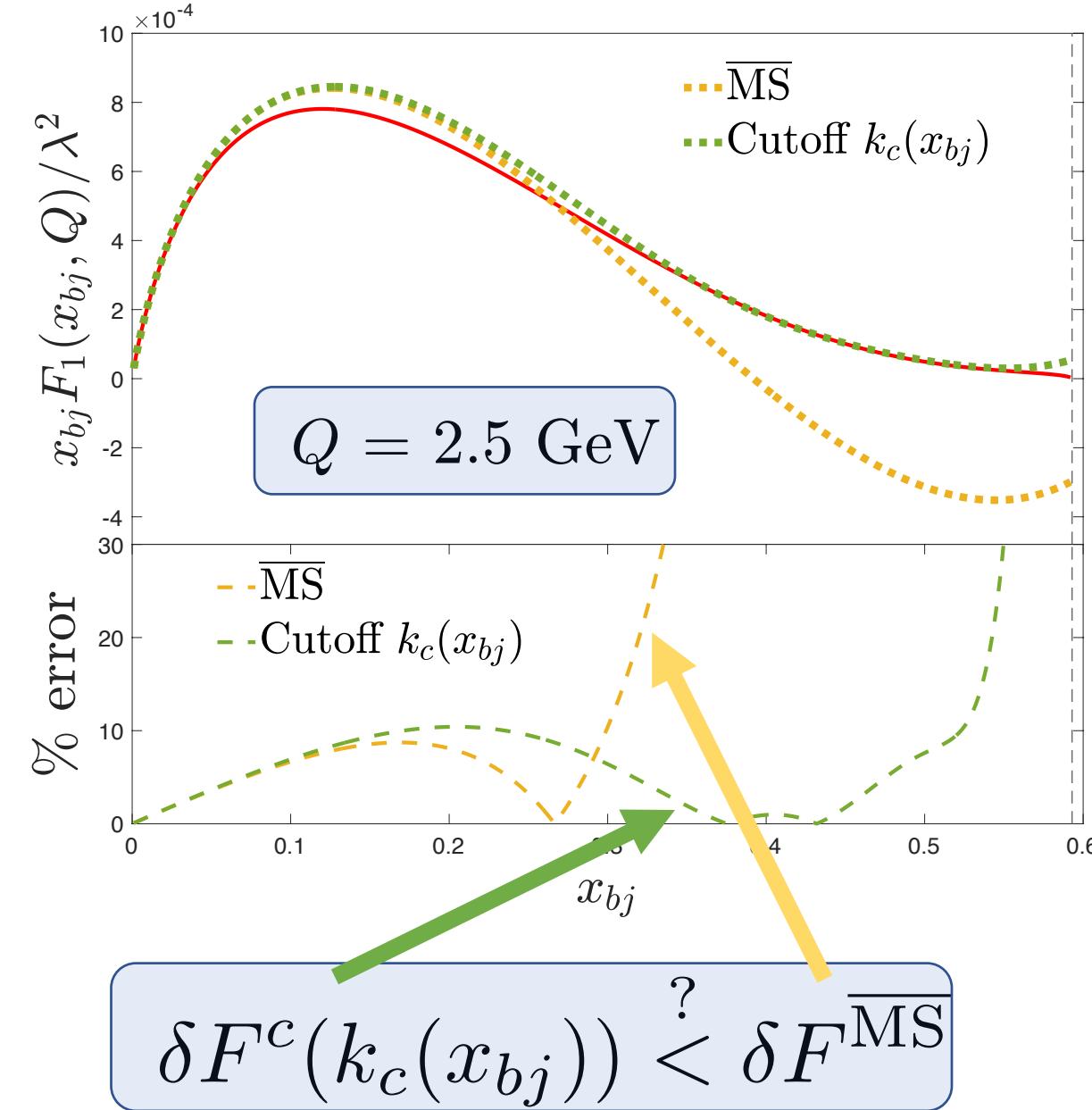


FIG. 2. (a) An example of the $\overline{\text{MS}}$ quark-in-proton pdf in Eq. (55) for several values of μ , with $m_q = 0.3 \text{ GeV}$, $m_p = 1.0 \text{ GeV}$, and $m_s = 1.5 \text{ GeV}$. (b) Similar curves but in a form applicable to use in factorization for DIS with $\mu^2 = \hat{s}/4$, given $Q = 2.5 \text{ GeV}$ and several values for x_{bj} . The pdfs are only used in the range $x_{\text{bj}} \leq \xi \leq 1$, so the curves are restricted to this region.



Different pdf definitions ?
Factorization at low Q

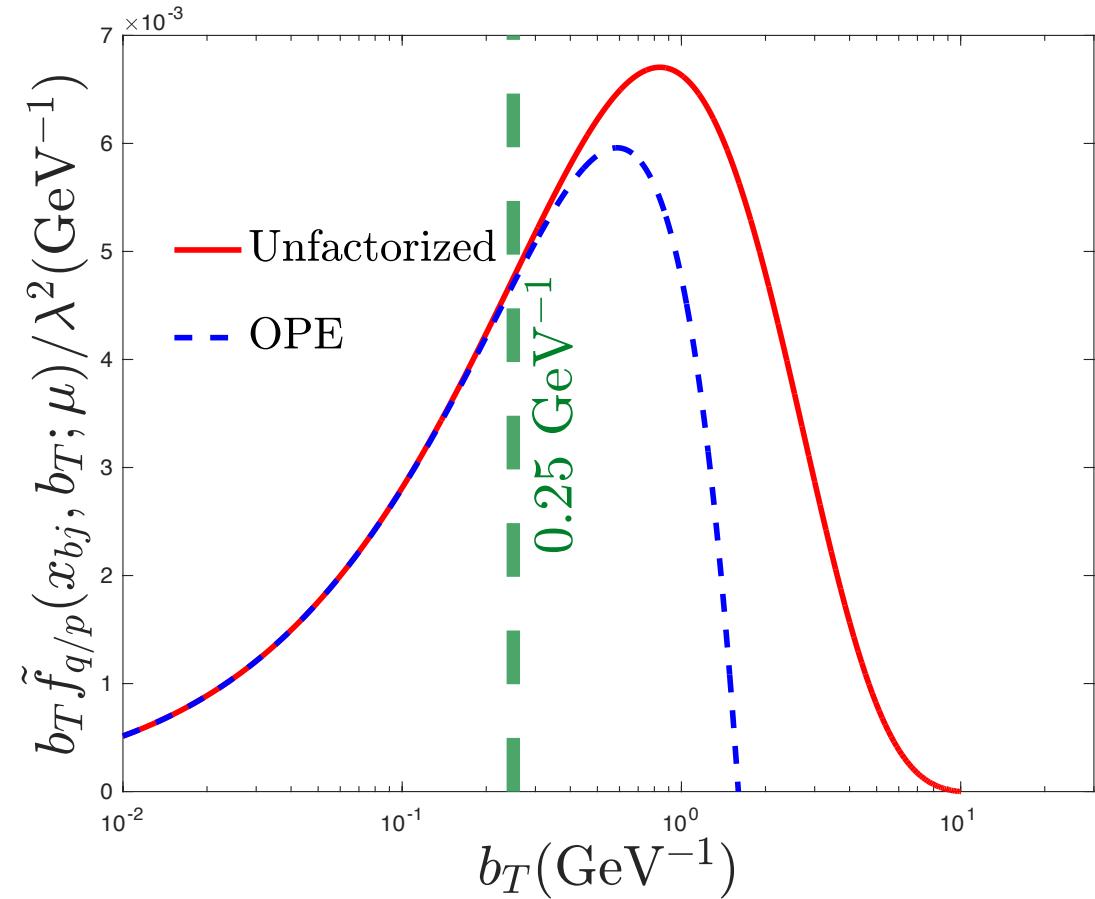
$$f^c(\xi; \mu) \equiv 2\pi \int_0^{k_c} dk_T k_T f(\xi, k_T; \mu)$$

recover $\overline{\text{MS}}$

$$\frac{dF}{d \log k_c} = \mathcal{O}\left(\frac{m^2}{k_c^2}, \alpha^2(\mu)\right)$$

Coordinate space pdf

$$\tilde{f}(x_{bj}, \mathbf{b}_T; \mu) = \int d^2 \mathbf{k}_T e^{i \mathbf{k}_T \cdot \mathbf{b}_T} f(x_{bj}, \mathbf{k}_T; \mu)$$



Collinear perturbation theory
(OPE) fails at large b_T

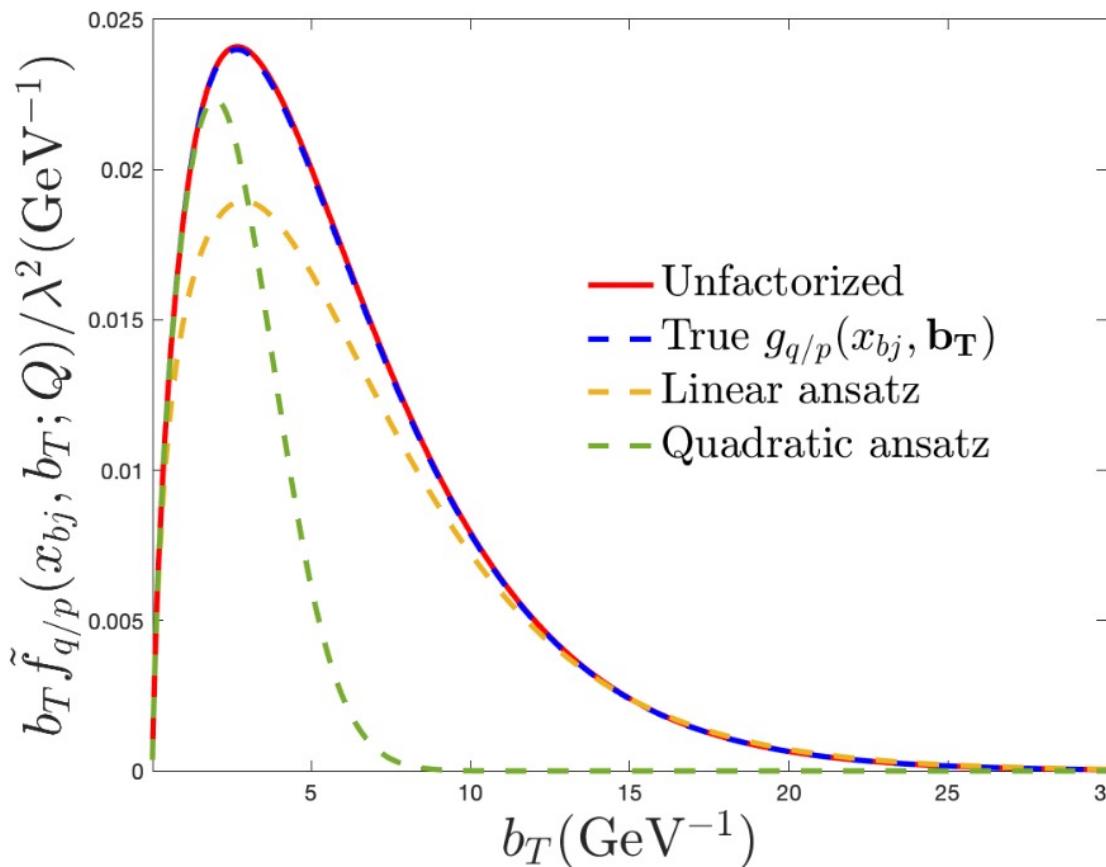
$$f(x_{bj}, b_T) = \begin{cases} f^{\text{OPE}}(x_{bj}, b_*) & b_T \ll b_{\max} \\ f^{\text{OPE}}(x_{bj}, b_*) e^{-g(x_{bj}, b_T)} & b_T > b_{\max} \end{cases}$$

Non Perturbative

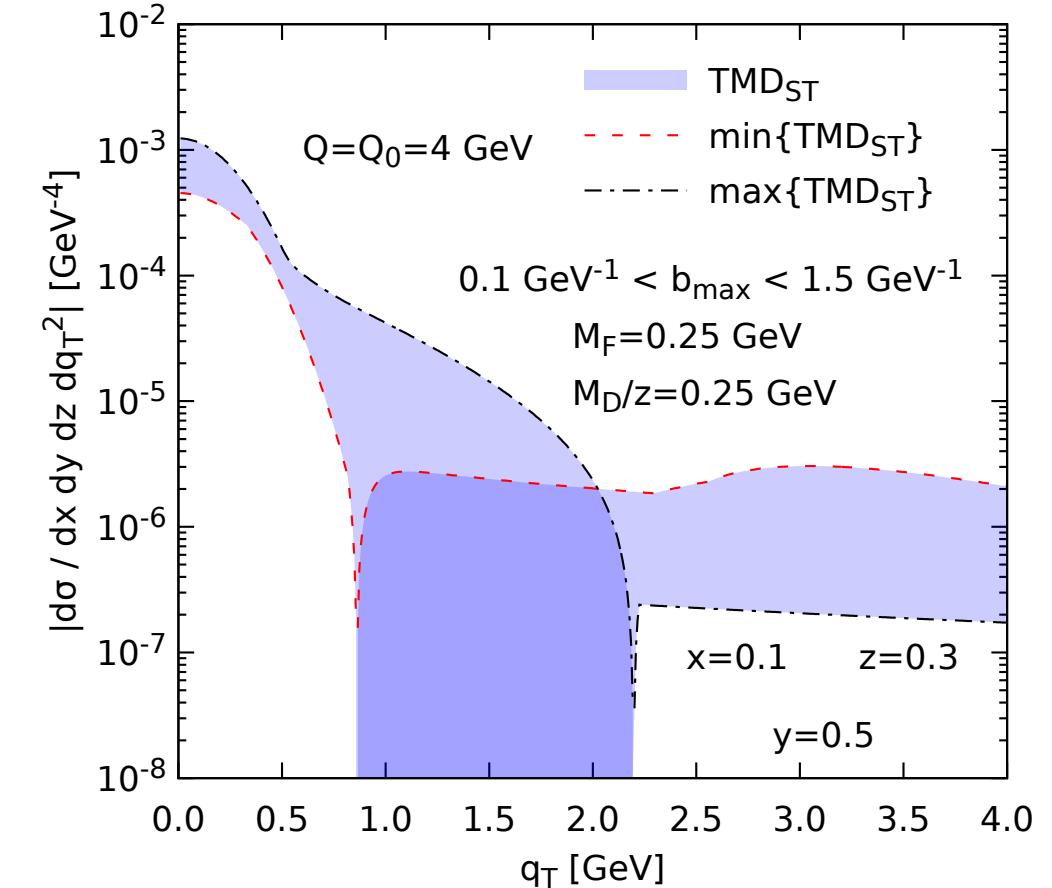
$$\frac{d\tilde{f}(x_{bj}, b_T)}{db_{\max}} = \mathcal{O}(mb_{\max})$$

Common issues

Unconstrained g functions



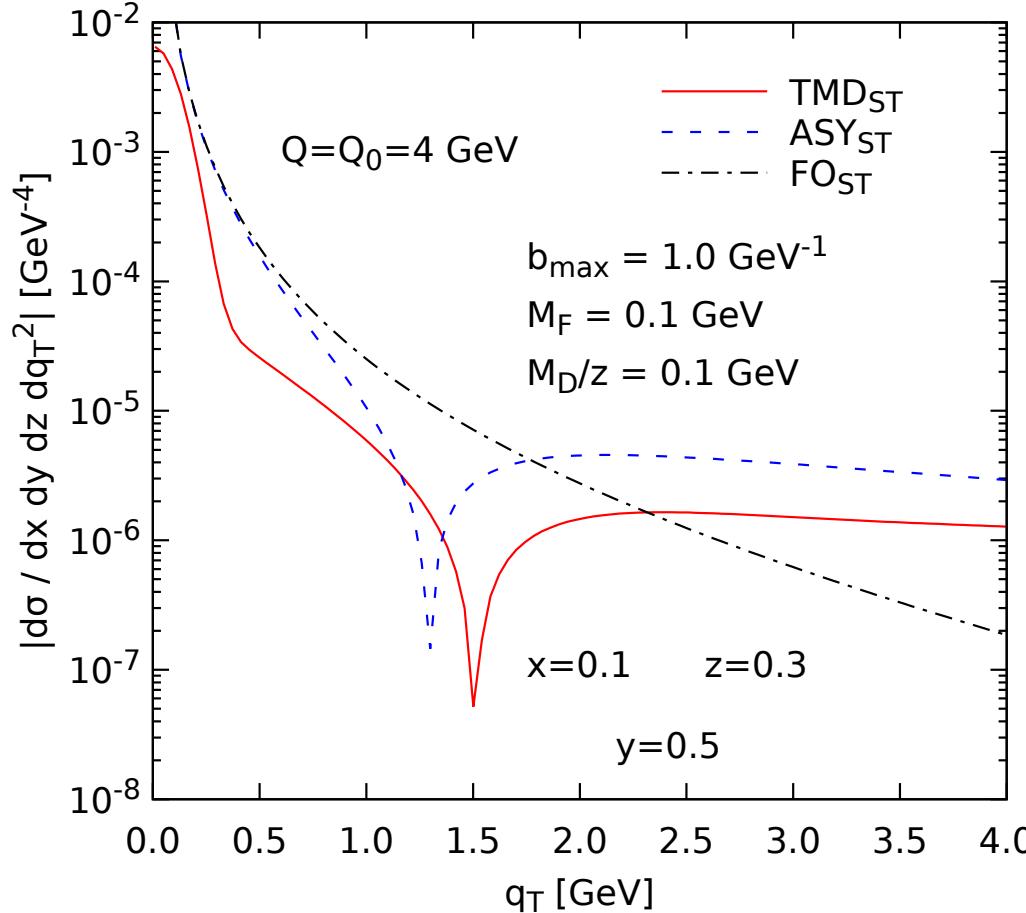
Large b_{\max} dependence (QCD)



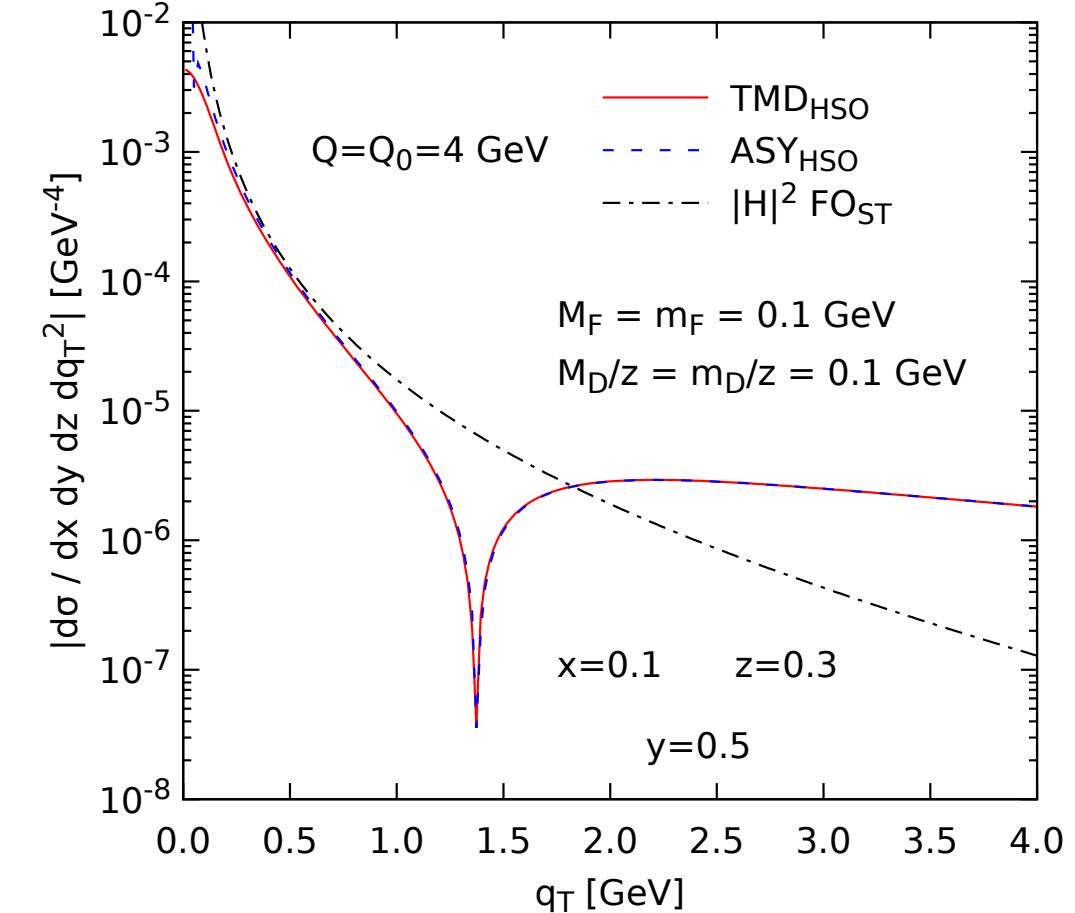
(from “[2303.04921](#)” J. O. Gonzalez-Hernandez,
T. Rainaldi, T. C. Rogers)

Cutoff defined pdfs and constrained g functions (QCD SIDIS cross section)

Conventional



HSO



(from "2303.04921" J. O. Gonzalez-Hernandez, T. Rainaldi, T. C. Rogers)

(See Ted C. Rogers talk WG2)

Summary

- Factorization theorem statement verified
- Differences between W+Y and power suppressed terms in TMDs
- Different pdf definitions might push factorization to lower Q and provide a consistent TMD parametrization
- Test b_T space techniques and avoid sources of large errors

Thank you !

Additional slides

Hadronic structure: scattering experiments

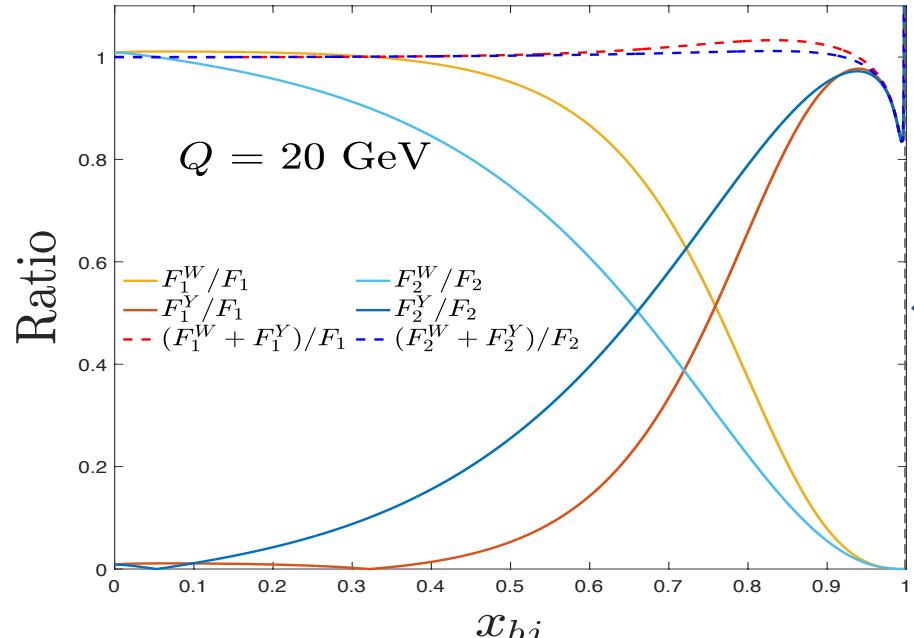
Statement of factorization :

$$\sigma = \sum_{\text{partons}} \hat{\sigma} \otimes f + \mathcal{O}\left(\frac{m^2}{Q^2}\right)$$

The equation shows the factorization of the total cross-section σ into a sum over partons of the product of the parton-level cross-section $\hat{\sigma}$ and the parton distribution function f , plus higher-order corrections $\mathcal{O}\left(\frac{m^2}{Q^2}\right)$. Two arrows point from the terms in the equation to the corresponding parts below: a red arrow points from $\hat{\sigma} \otimes f$ to the label "pQCD", and a blue arrow points from $\mathcal{O}\left(\frac{m^2}{Q^2}\right)$ to the label "Non Perturbative".

The role of the integrated W and Y terms

19



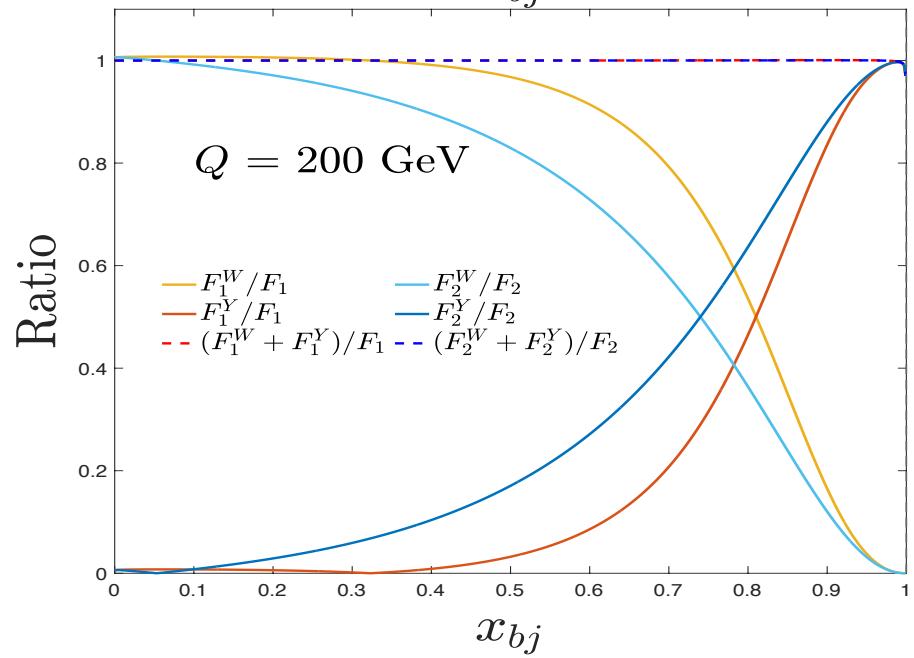
No “good” factorization

Fixed Q

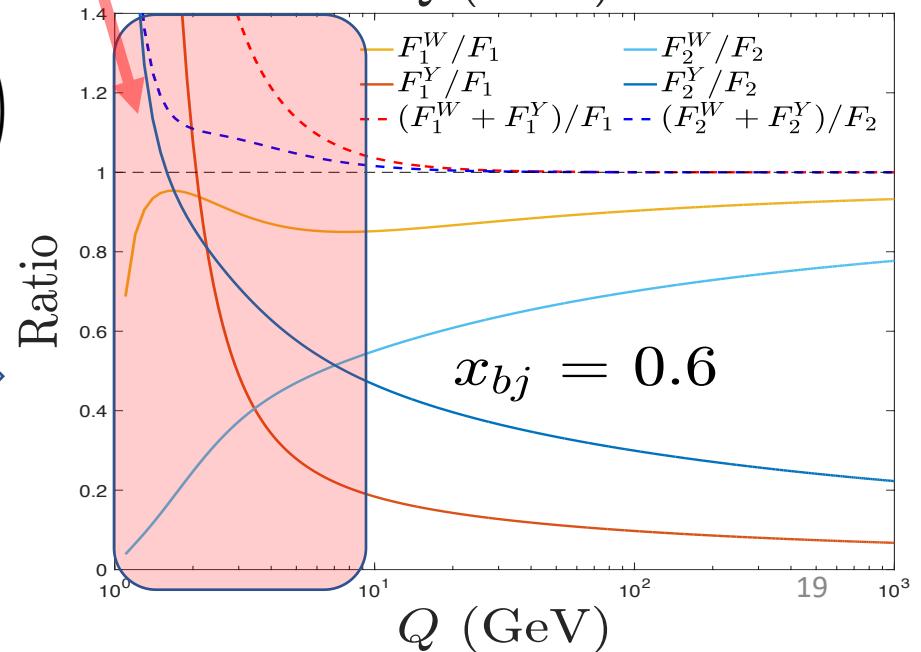
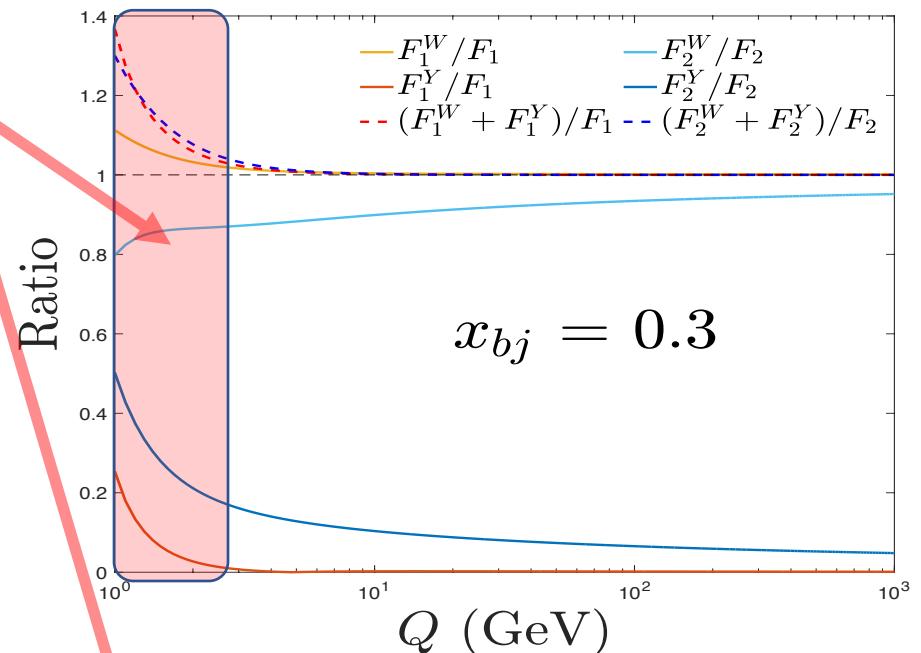
$$F_1(x_{Bj}, Q) =$$

$$\int d^2 k_T F_1(x_{Bj}, k_T, Q) =$$

$$\int d^2 k_T (W + Y) + \mathcal{O}\left(\frac{m^2}{Q^2}\right)$$

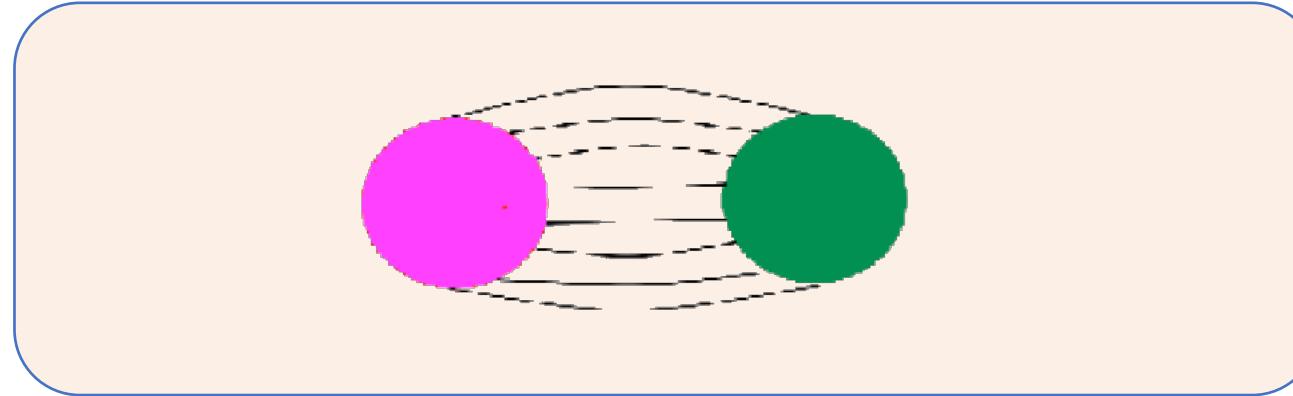


Fixed x_{Bj}

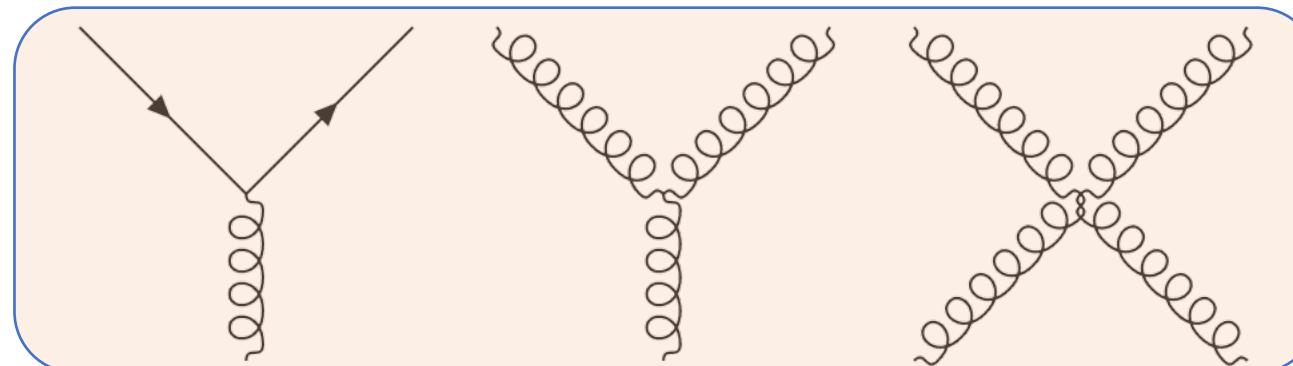


The difficulties with QCD

- Quark and gluons are never observed (**color confinement**)



- The interaction is **strong** (of order 1)
- Unlike photons, **gluons interact with themselves**



DIS Cross Section

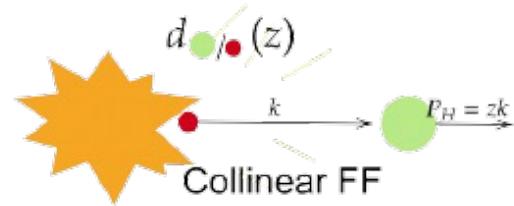
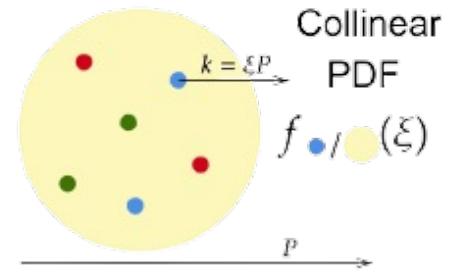
$$\frac{d\sigma_{\text{DIS}}}{dx dy d\psi} = \frac{y \alpha_{\text{em}}^2}{Q^4} L_{\mu\nu} W_{\text{DIS}}^{\mu\nu}$$

$$L^{\mu\nu} = 2(l_1^\mu l_2^\nu + l_1^\nu l_2^\mu - (l_1 \cdot l_2)g^{\mu\nu})$$

↓

$$\begin{aligned}
 W^{\mu\nu} = & \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1 \\
 & + \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) \frac{F_2}{P \cdot q} \\
 & + i\epsilon^{\mu\nu\alpha\beta} q^\alpha S^\beta \frac{g_1}{P \cdot q} \\
 & + i\epsilon^{\mu\nu\alpha\beta} q^\alpha [(P \cdot q) S^\beta - (S \cdot q) P^\beta] \frac{g_2}{(P \cdot q)^2}
 \end{aligned}$$

Collinear Momentum

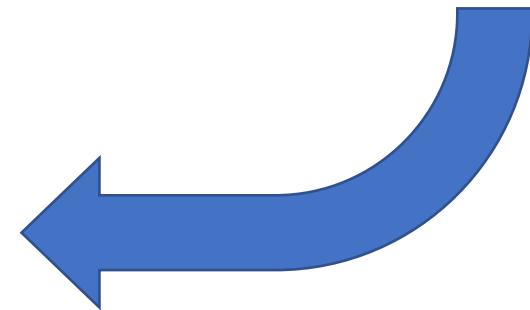


Parton Distribution Function:
Probability distribution to find parton j in hadron H carrying momentum fraction ξ

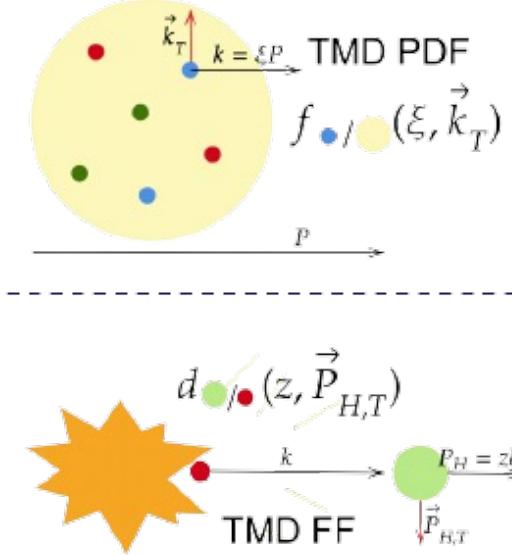
$$f_{j/H}(\xi)$$

Fragmentation Function:
Probability distribution to produce hadron H from parton j carrying momentum fraction z

$$d_{H/j}(z)$$



Transverse Momentum



Transverse Momentum Dependence (TMD)

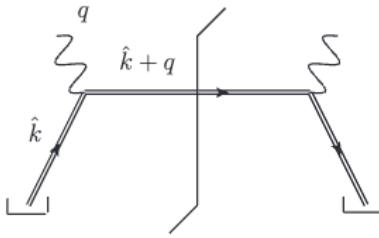
The partons will generally also move in the transverse direction:

$$f_{j/H}(\xi, \mathbf{k}_T)$$

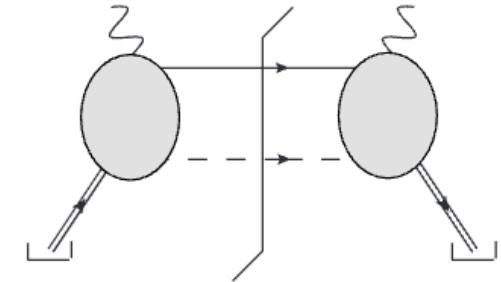
Similarly for the produced hadron

$$d_{H/j}(z, \mathbf{P}_{H,T})$$

$$\hat{F}_{1,p/p}^{(0)}(\xi/x_{\text{bj}}, Q) = \frac{1}{2}\delta(1 - x_{\text{bj}}/\xi) =$$

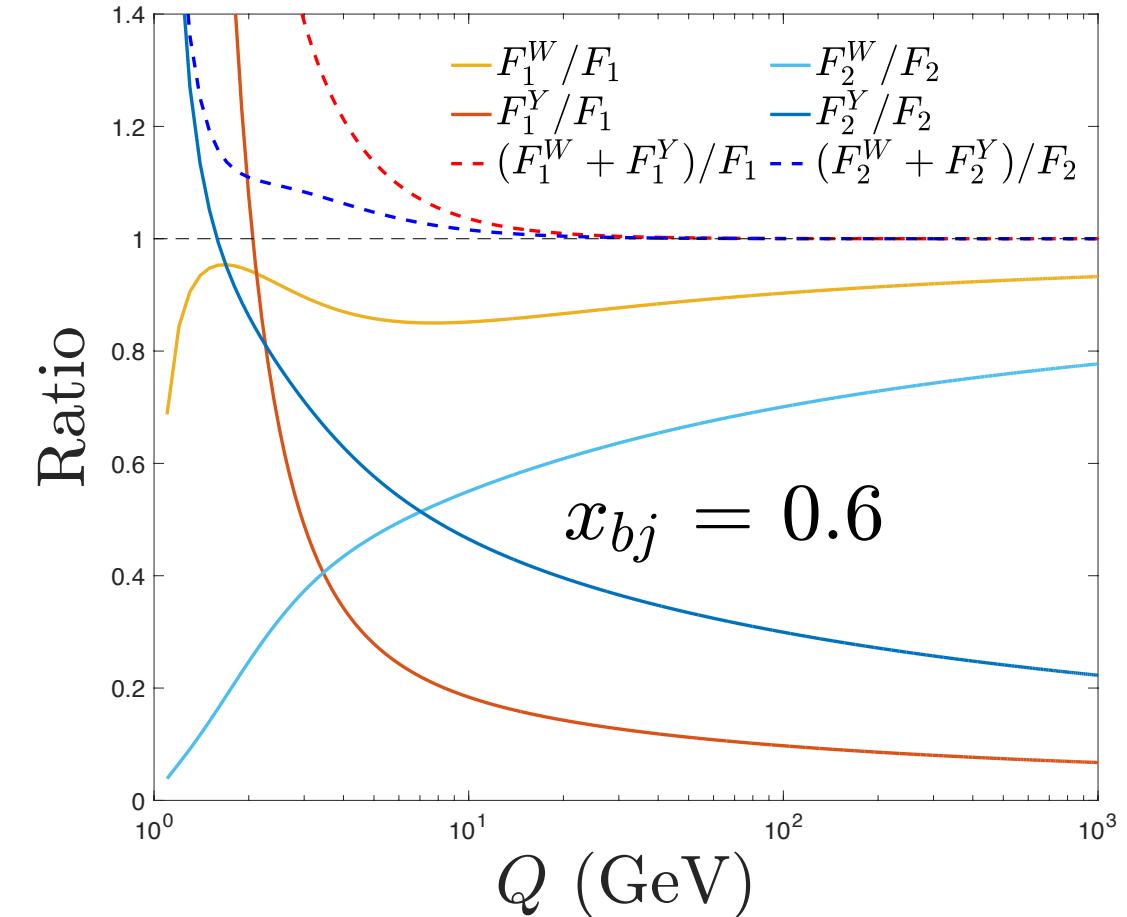
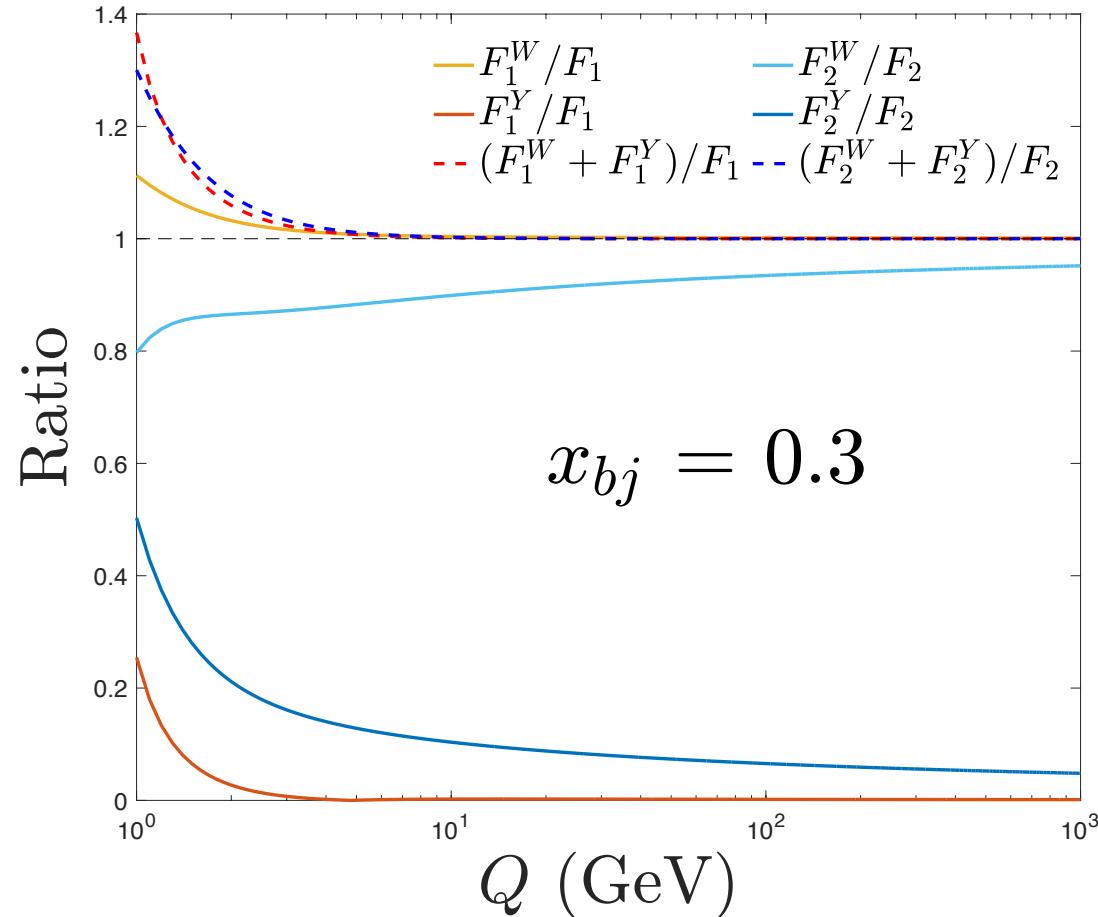


$$\hat{F}_{1,q/p}^{(1)}(\xi, Q; \mu)_{\text{unsub}} =$$

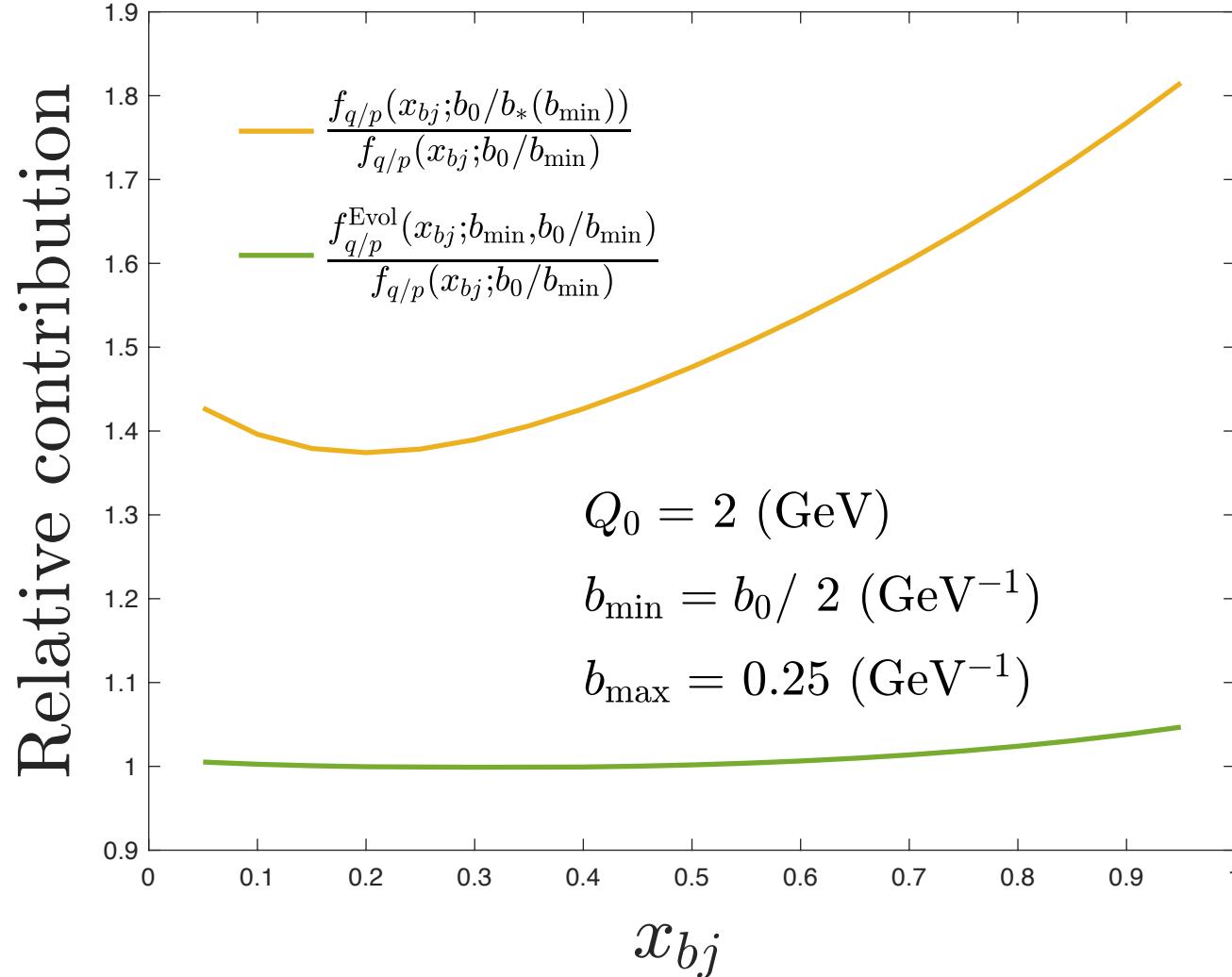


$$f_{q/p}^{(1)}(\xi; \mu) \stackrel{\xi \neq 1}{=} \int \frac{dk^- d^{2-2\epsilon} \mathbf{k}_T}{(2\pi)^{4-2\epsilon}} \text{Tr} \left[\frac{\gamma^+}{2} \begin{array}{c} \text{Feynman diagram} \\ \text{with } p \text{ and } k \text{ lines} \end{array} \right] + \overline{\text{MS}} \text{ C.T. } f_{p/p}^{(0)}(\xi; \mu) = \int \frac{dk^- d^{2-2\epsilon} \mathbf{k}_T}{(2\pi)^{4-2\epsilon}} \text{Tr} \left[\frac{\gamma^+}{2} \begin{array}{c} \text{Feynman diagram} \\ \text{with } p \text{ and } k \text{ lines} \end{array} \right]$$

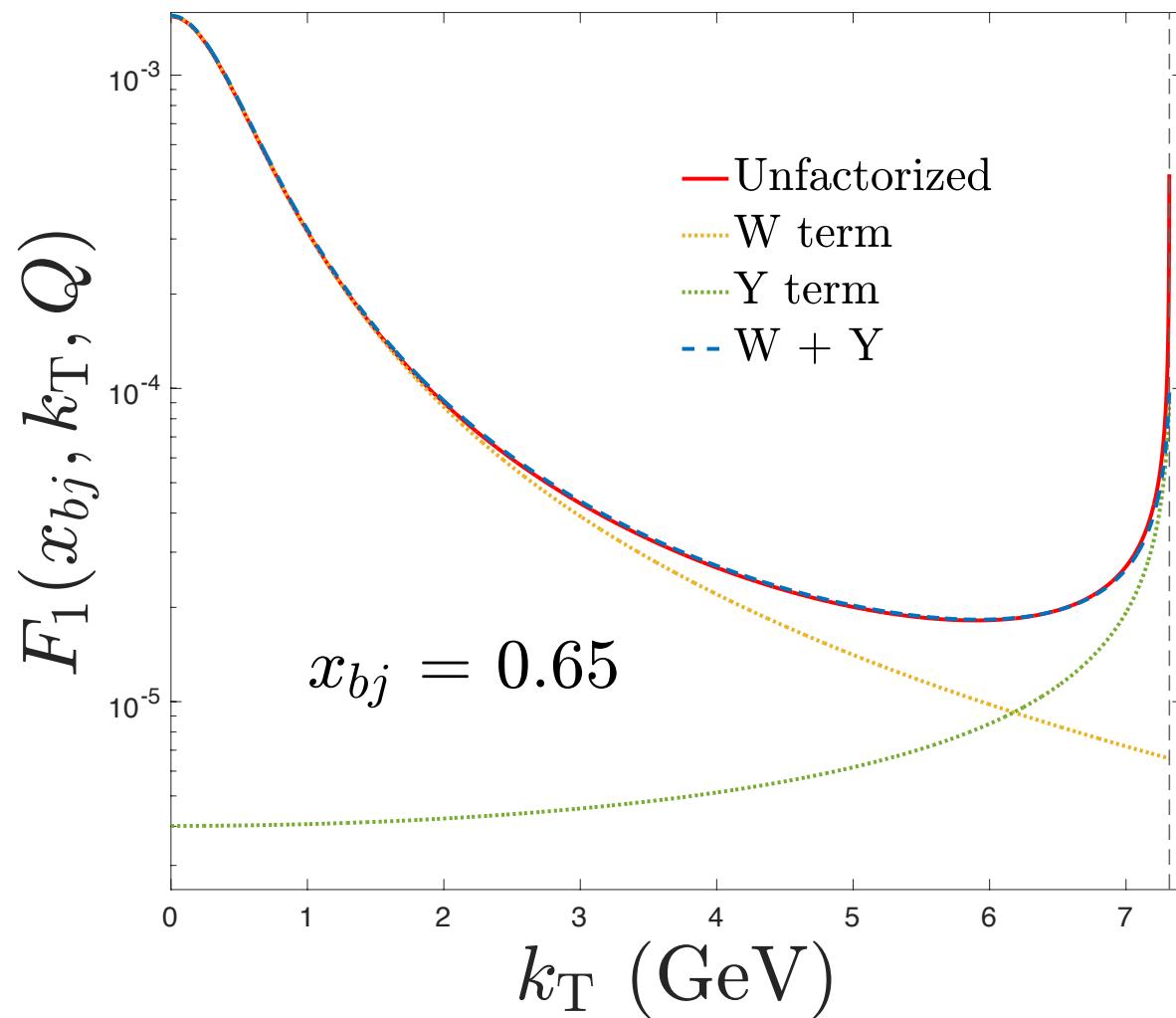
For fixed x Bjorken



Recovering collinear pdfs from TMD pdfs?

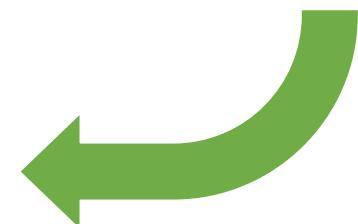


TMD W and Y terms contributions



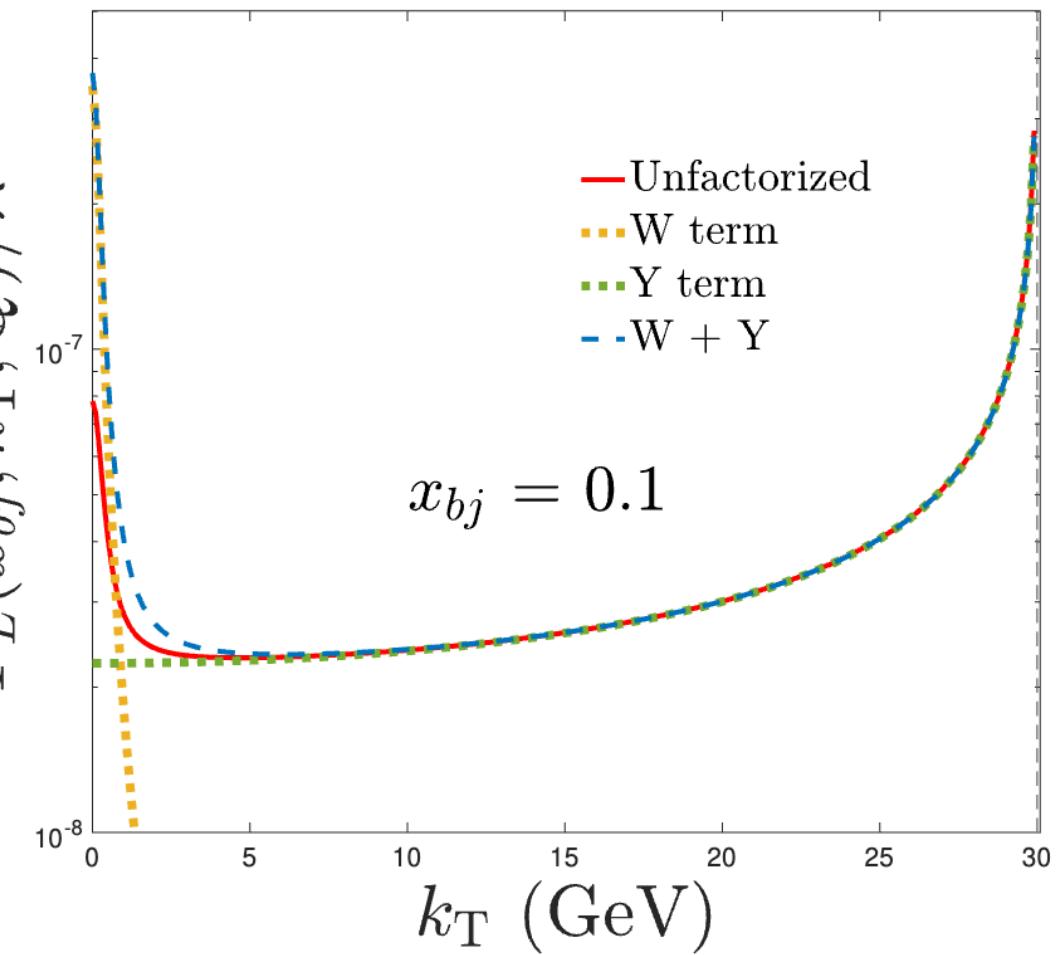
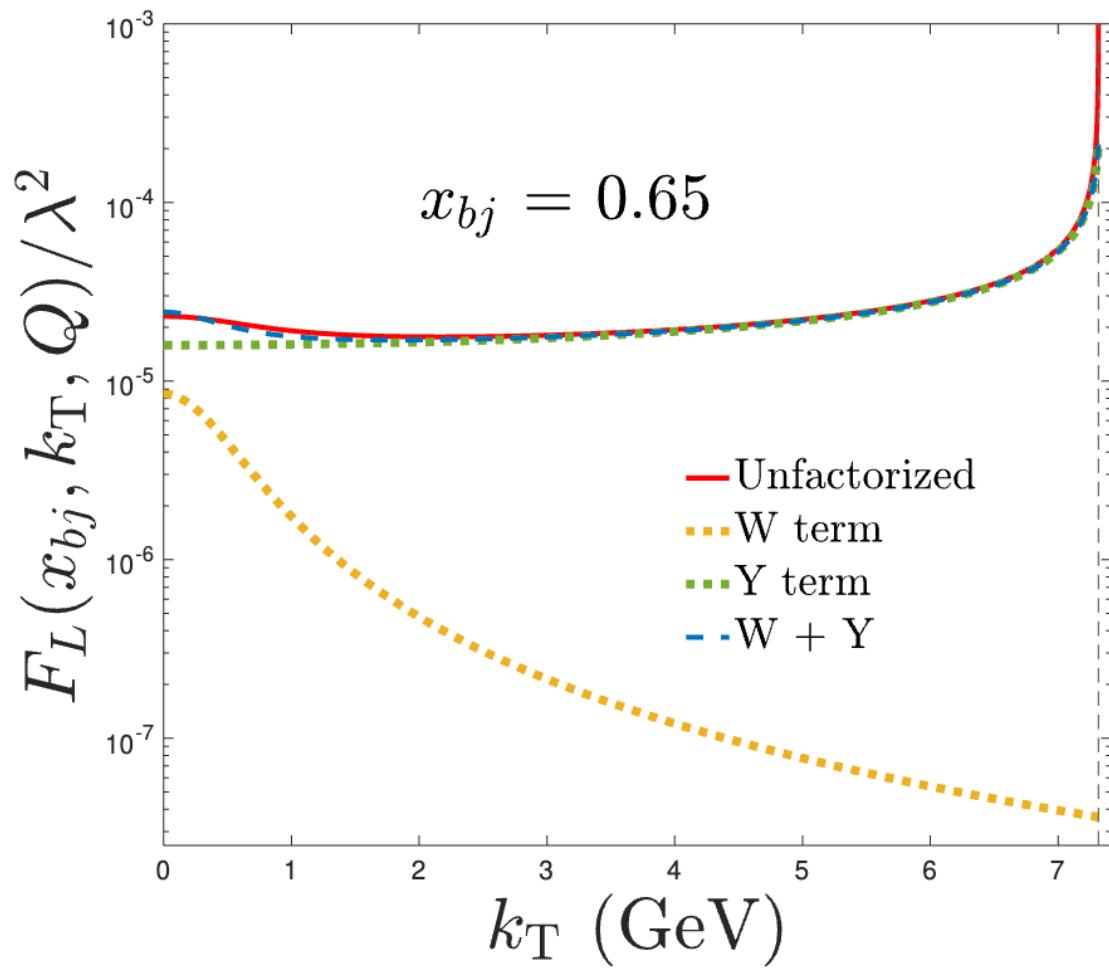
$$\text{TMD Observable} = \begin{array}{c} \text{small } k_T \\ \boxed{W} \\ + \end{array} \begin{array}{c} \text{large } k_T \\ \boxed{Y} \end{array}$$

Sometimes is
ignored

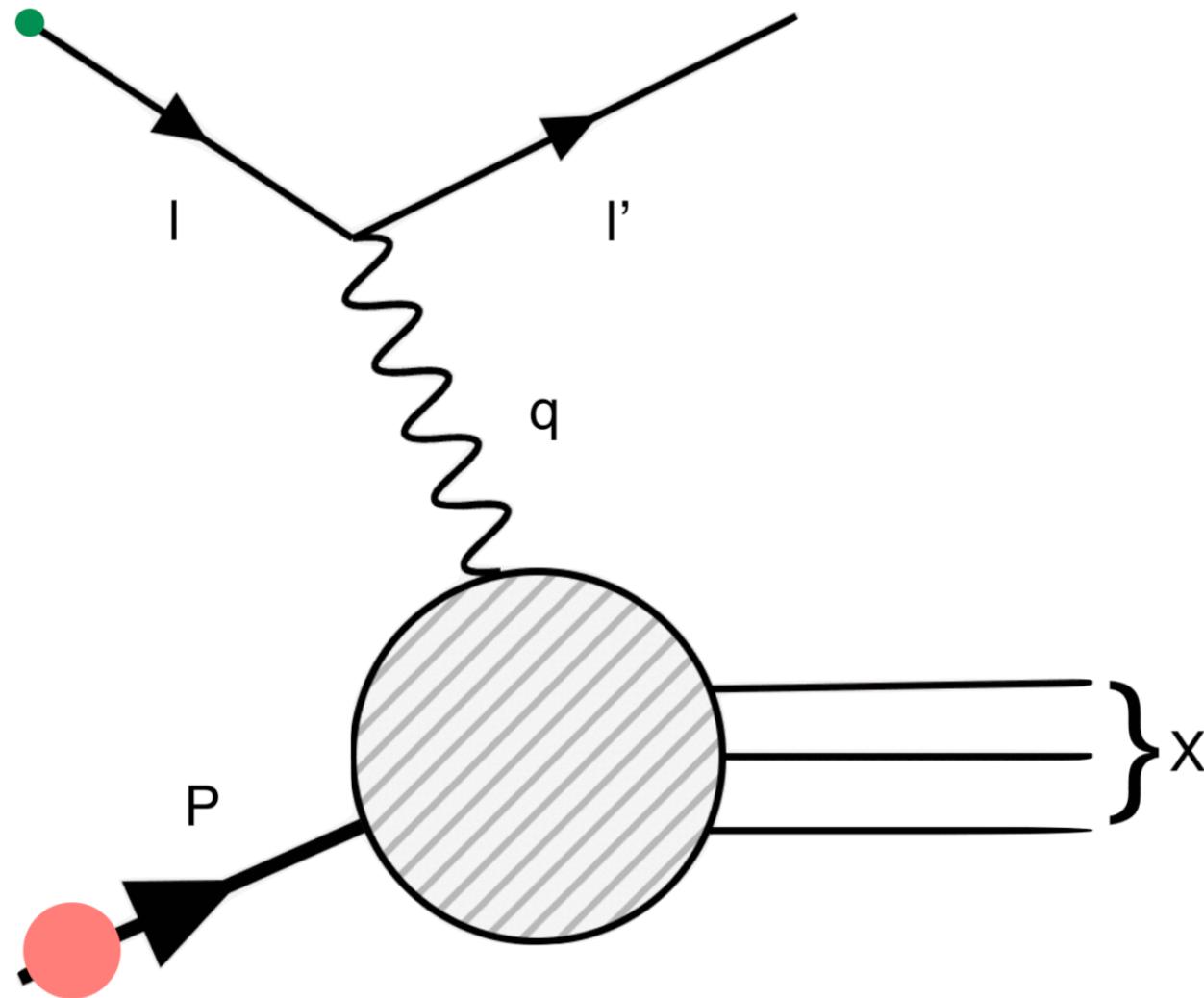


Both are necessary !!

W + Y for F_L



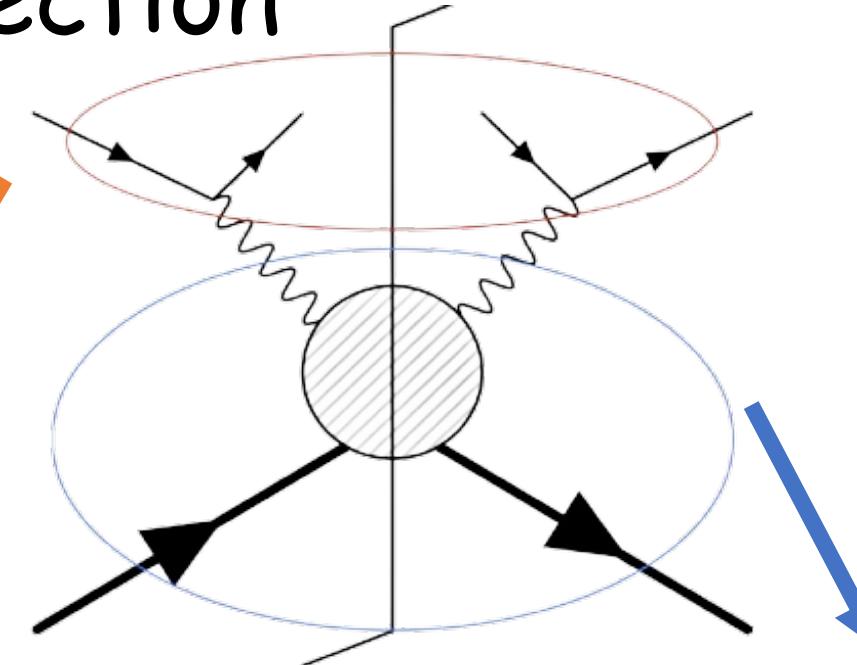
Typical scattering experiment



DIS
(Deep Inelastic Scattering)

DIS Cross Section

$$\frac{d\sigma_{\text{DIS}}}{dx dy d\psi} \propto$$



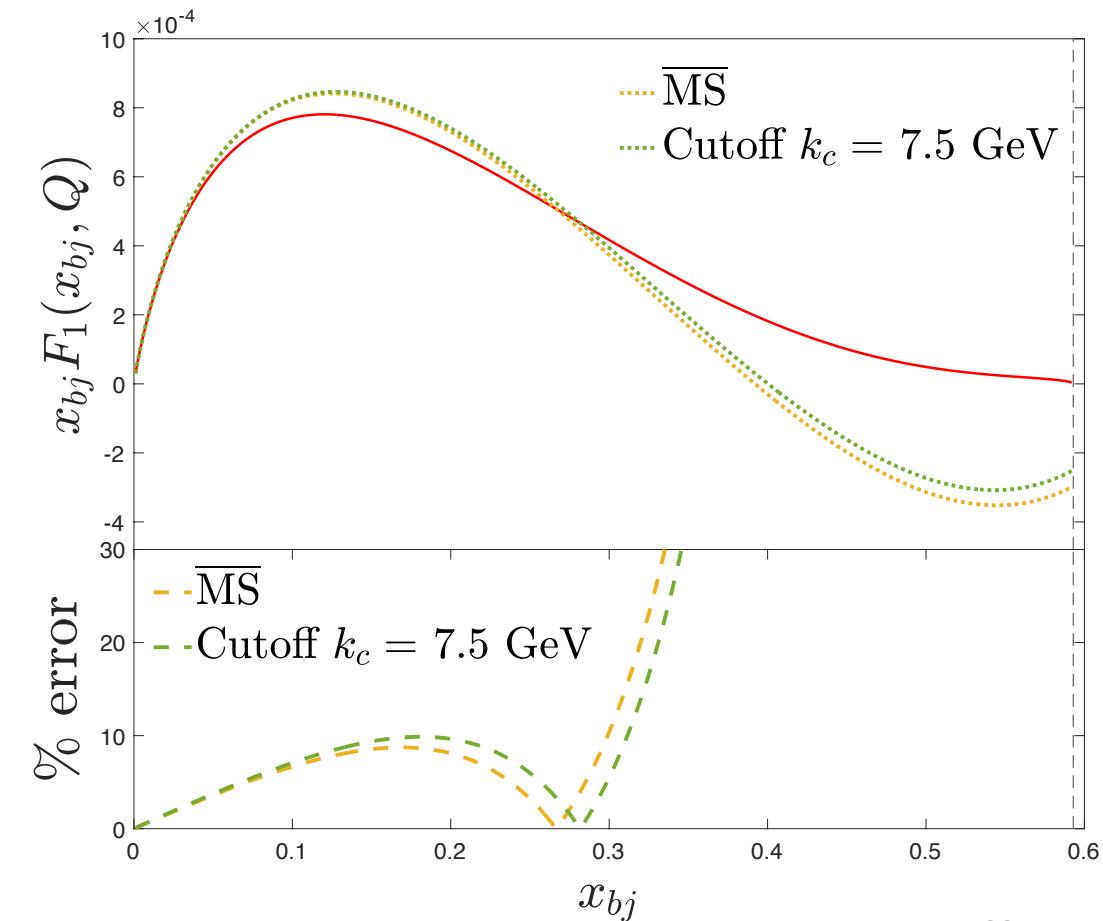
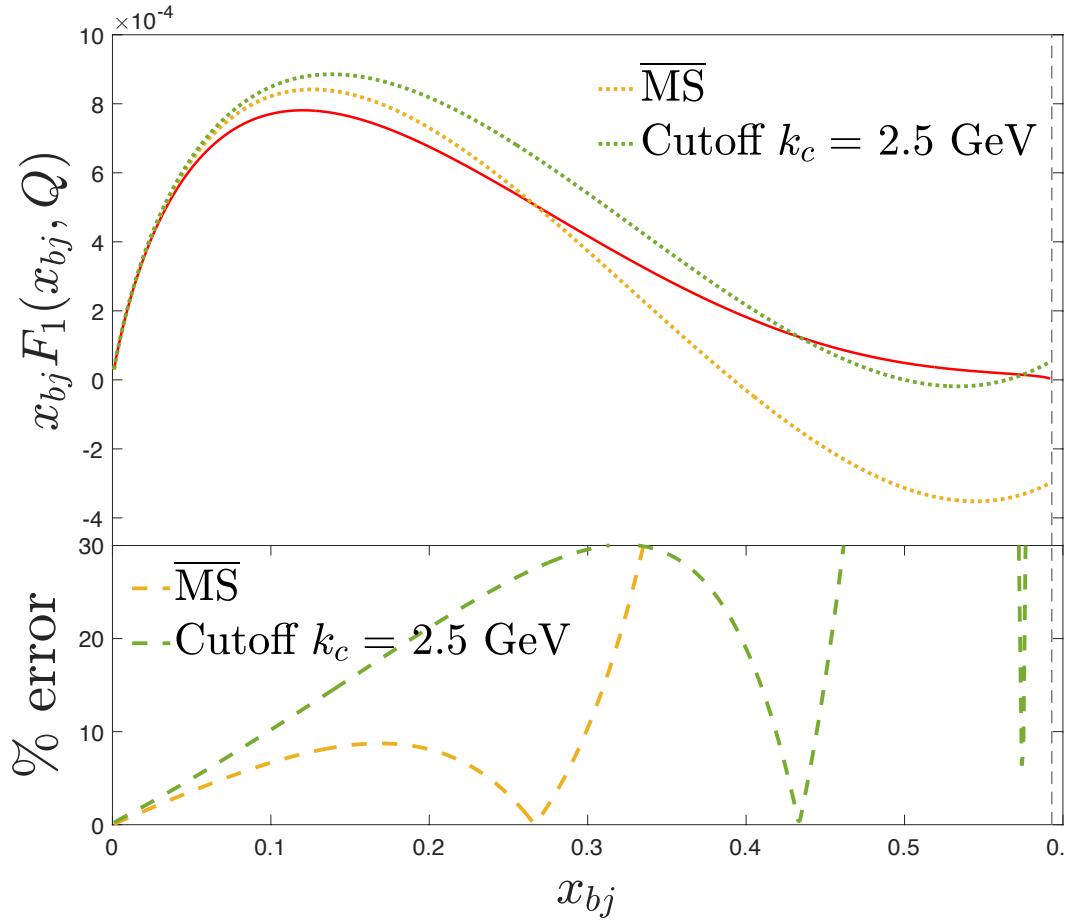
$$L^{\mu\nu} = 2(l_1^\mu l_2^\nu + l_1^\nu l_2^\mu - (l_1 \cdot l_2)g^{\mu\nu})$$

$$\begin{aligned}
 W^{\mu\nu} = & \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1 \\
 & + \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) \frac{F_2}{P \cdot q} \\
 & + i\epsilon^{\mu\nu\alpha\beta} q^\alpha S^\beta \frac{g_1}{P \cdot q} \\
 & + i\epsilon^{\mu\nu\alpha\beta} q^\alpha [(P \cdot q) S^\beta - (S \cdot q) P^\beta] \frac{g_2}{(P \cdot q)^2}
 \end{aligned}$$

The role of different definitions

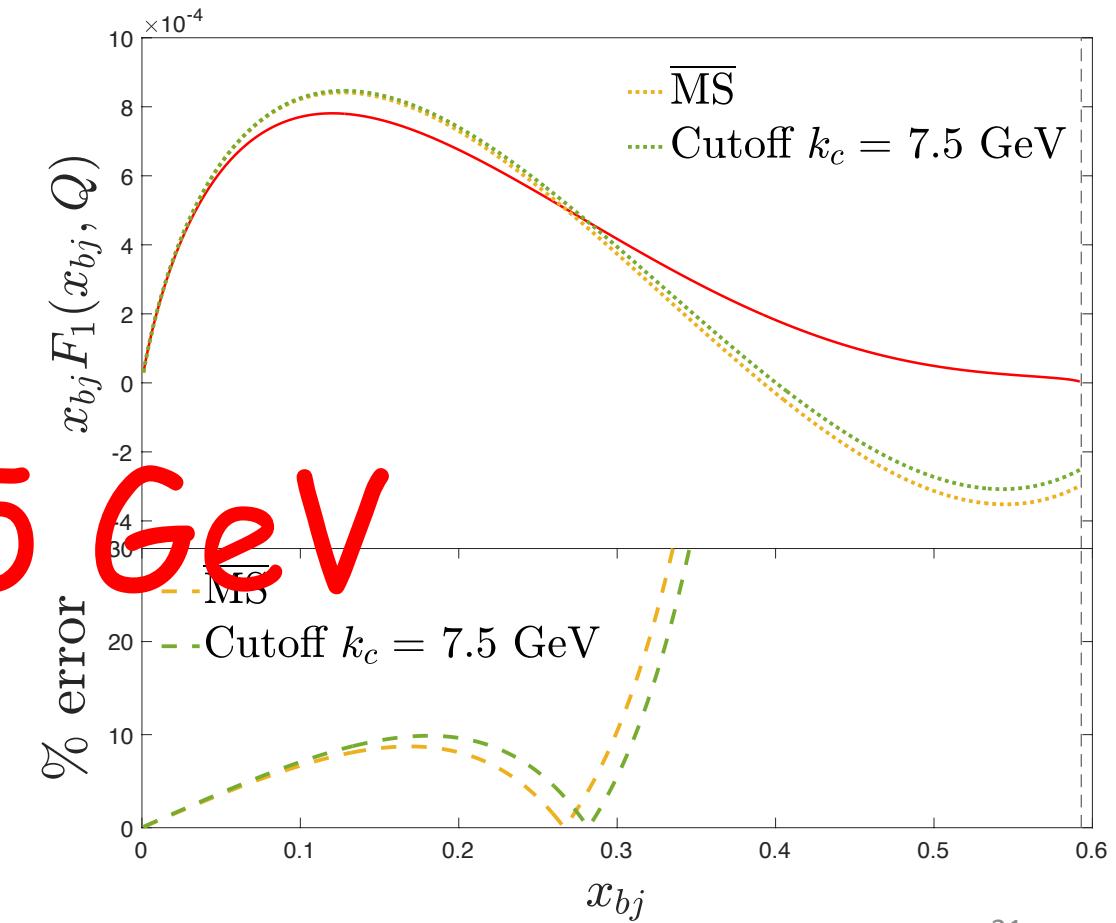
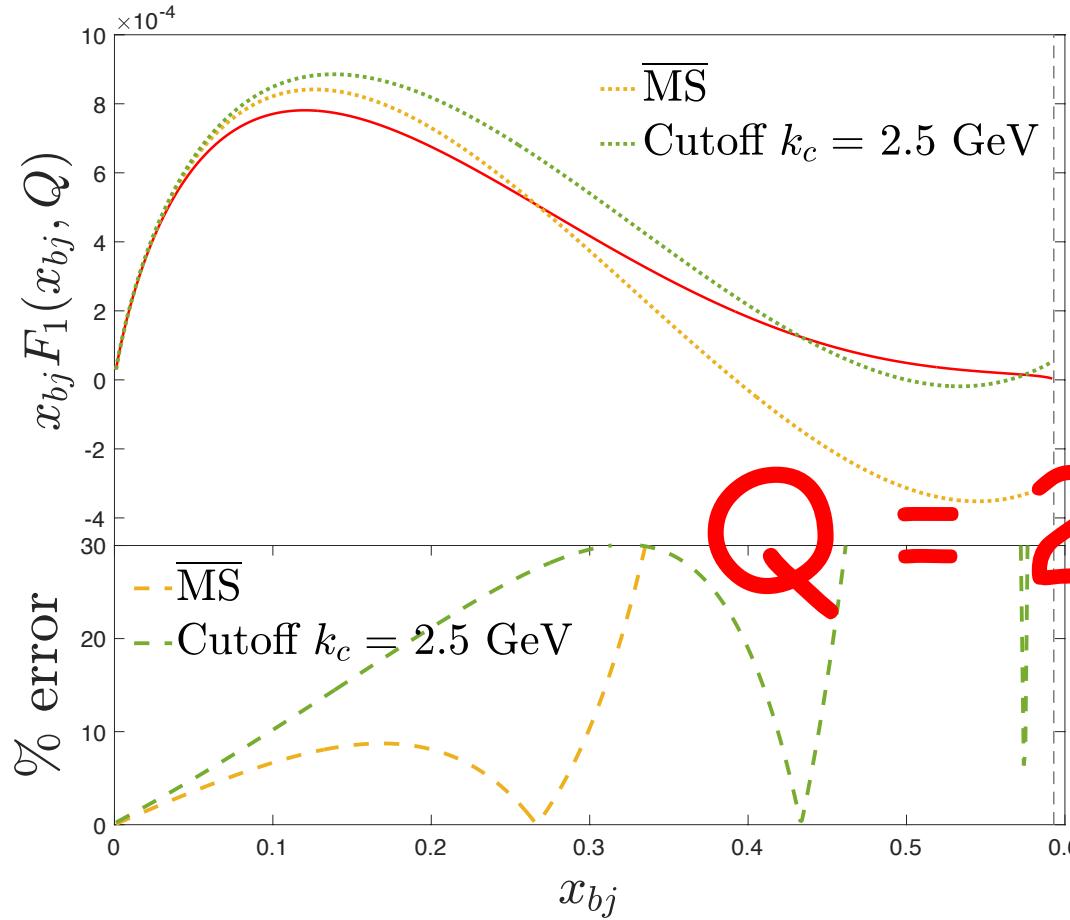
$$\delta F^{\text{Cutoff}}(k_c) < \delta F^{\overline{\text{MS}}}$$

Can happen !!



The role of different definitions

We can push factorization to smaller input scales



How do we get it?

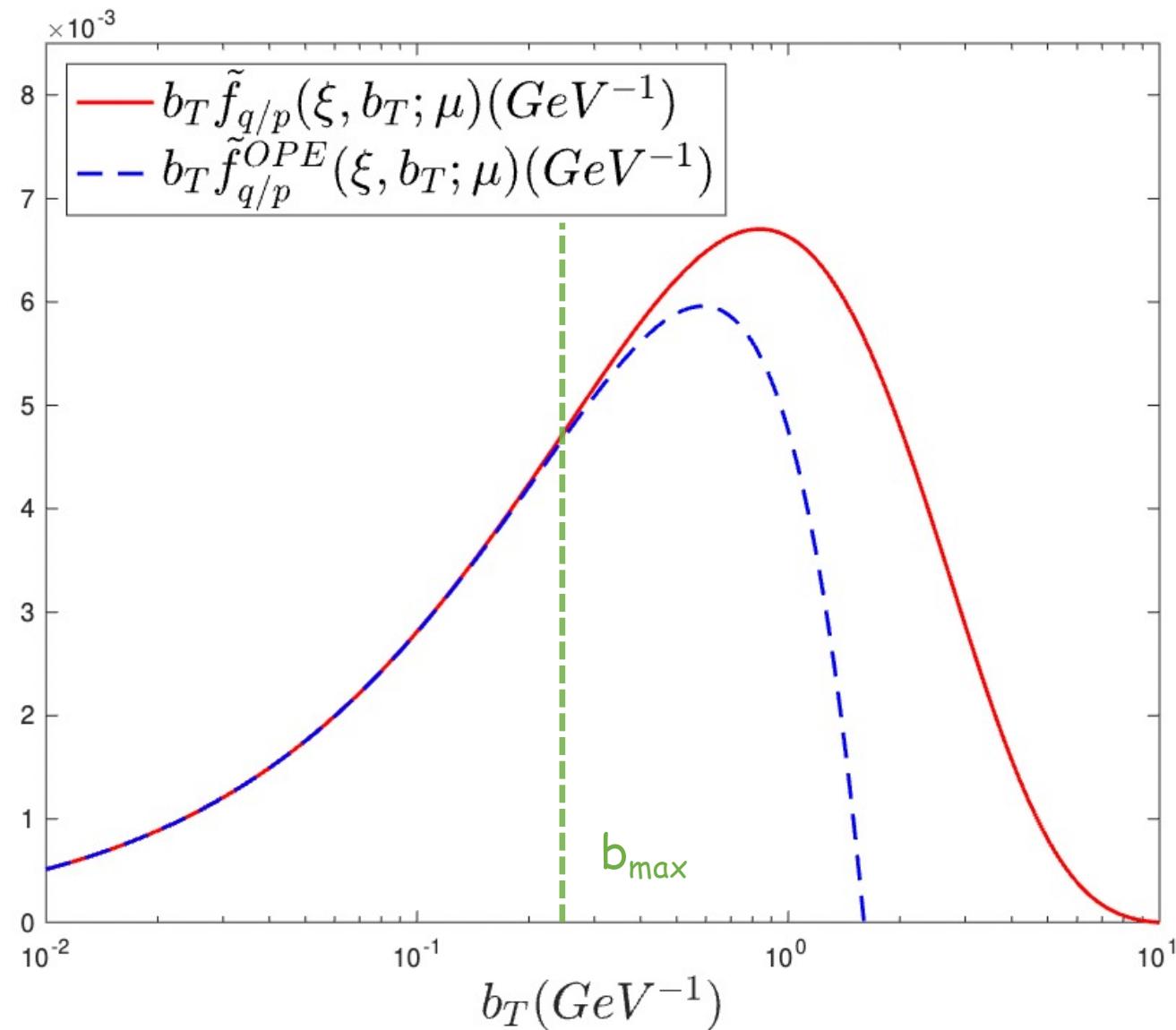
For small b_T we can use collinear factorization because:

$$\tilde{f}(x_{bj}, \mathbf{b}_T; \mu) = \underbrace{\tilde{C}(\xi, \mathbf{b}_T)}_{\text{pQCD}} \otimes \underbrace{\tilde{f}(\xi; \mu)}_{\text{Experiments/pheno}} + \mathcal{O}(mb_T)$$

$$\tilde{f}(x_{bj}, \mathbf{b}_T; \mu) = f(x_{bj}, \mu) - a_\lambda(\mu)(1 - x_{bj}) \ln \left(\frac{\mu^2 b_T^2 e^{2\gamma_E}}{4} \right) + \dots + \mathcal{O}(mb_T)$$

How is it extended to all b_T ?
With the power of RG equations

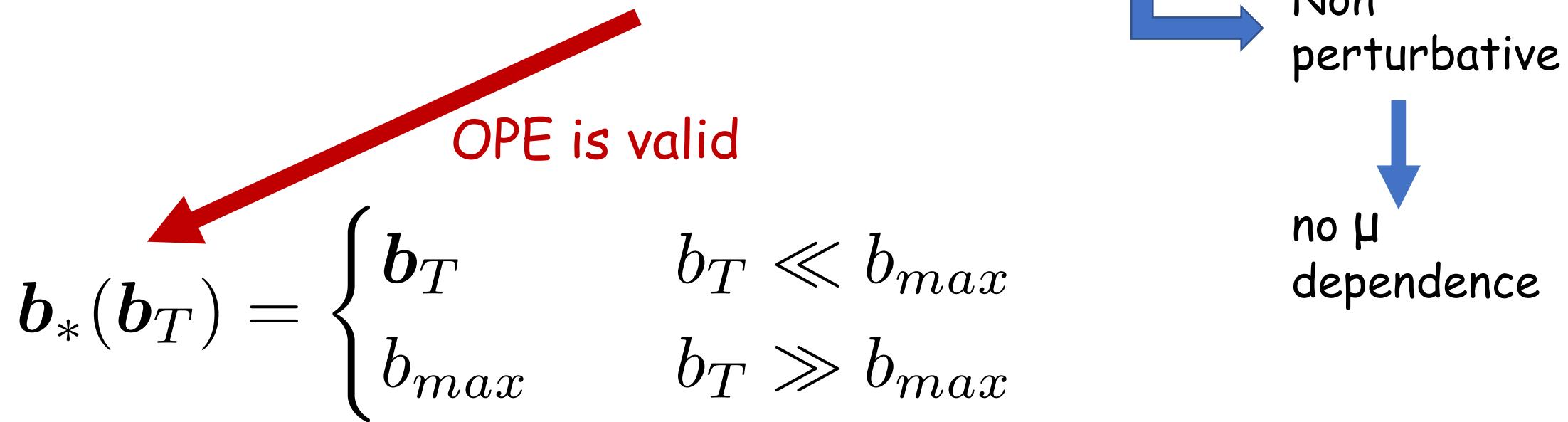
OPE vs Unfactorized



Trick:

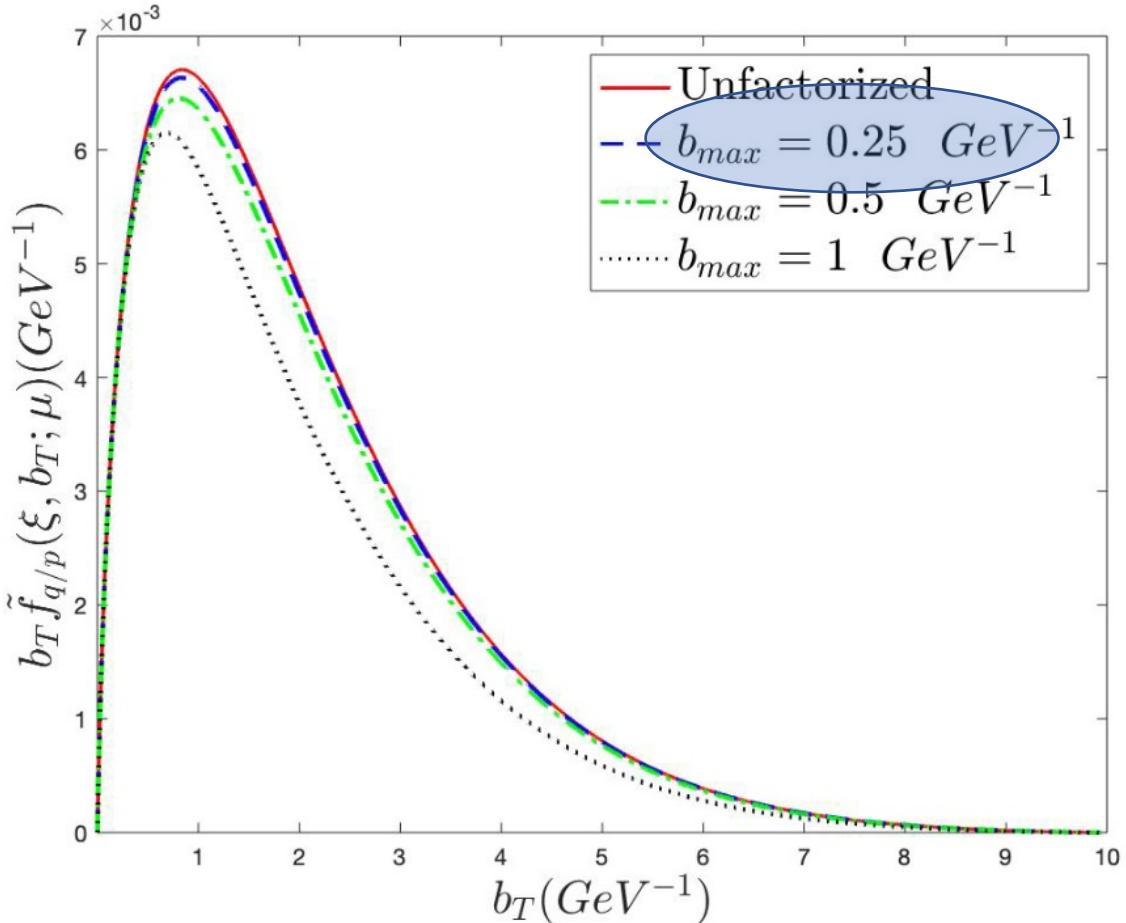
$$\tilde{f}(x_{bj}, \mathbf{b}_T; \mu) = \tilde{f}(x_{bj}, \mathbf{b}_*; \mu) \frac{\tilde{f}(x_{bj}, \mathbf{b}_T; \mu)}{\tilde{f}(x_{bj}, \mathbf{b}_*; \mu)}$$

$$= \tilde{f}(x_{bj}, \mathbf{b}_*; \mu) e^{-g(x_{bj}, \mathbf{b}_T)}$$



Optimization of f and calibration of b_{\max} ³⁵

$$\tilde{f}^{\text{Evol}}(x_{bj}, \mathbf{b}_T; \mu = Q) = f(x_{bj}; \mu_{b_*}) \exp -2 \int_{\mu_{b_*}}^Q \frac{d\mu}{\mu} \gamma_2(a_\lambda(\mu)) - g(x_{bj}, \mathbf{b}_T)$$



How large should it be?

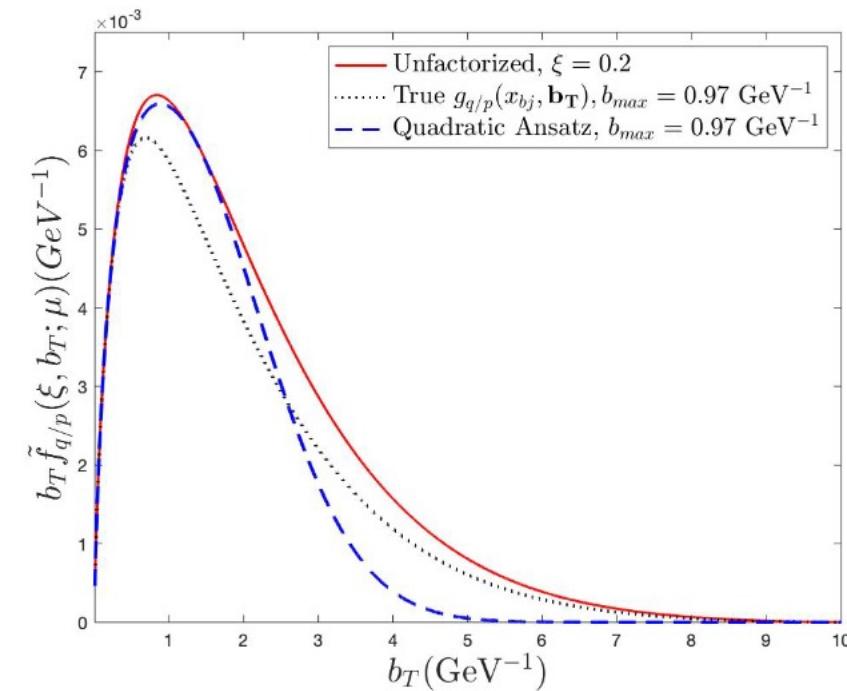
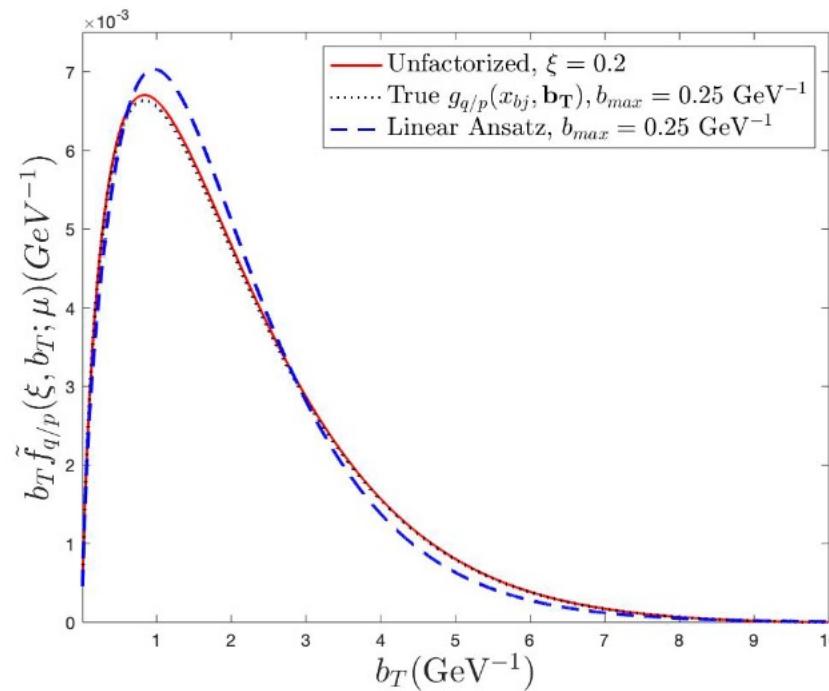
For sufficiently small b_{\max}

$$\frac{d}{db_{\max}} \tilde{f}^{\text{Evol}}(x_{bj}, \mathbf{b}_T; \mu = Q) \rightarrow 0$$

It is often chosen too large

We use RG equation to optimize OPE and fit g functions³⁶

$$\tilde{f}^{Evol}(x_{bj}, \mathbf{b}_T; \mu = Q) = f(x_{bj}; \mu_{b_*}) \exp -2 \int_{\mu_{b_*}}^Q \frac{d\mu}{\mu} \gamma_2(a_\lambda(\mu)) - g(x_{bj}, \mathbf{b}_T)$$



Parton model:

$$\pi \int_0^\infty dk_T^2 f(x_{bj}, k_T) = f(x_{bj})$$

QCD and Yukawa:

$$\pi \int_0^\infty dk_T^2 f(x_{bj}, k_T) = \infty$$

Same for b_T case

Recovering the parton model

$$\int_0^\infty d^2\mathbf{k}_T \int_0^\infty \frac{d^2\mathbf{b}_T}{(2\pi)^2} e^{i\mathbf{k}_T \cdot \mathbf{b}_T} \tilde{f}^{Evol}(x_{bj}, \mathbf{b}_c(\mathbf{b}_T, b_{min}); Q) = \boxed{\tilde{f}^{Evol}(x_{bj}, b_{min}; Q)}$$

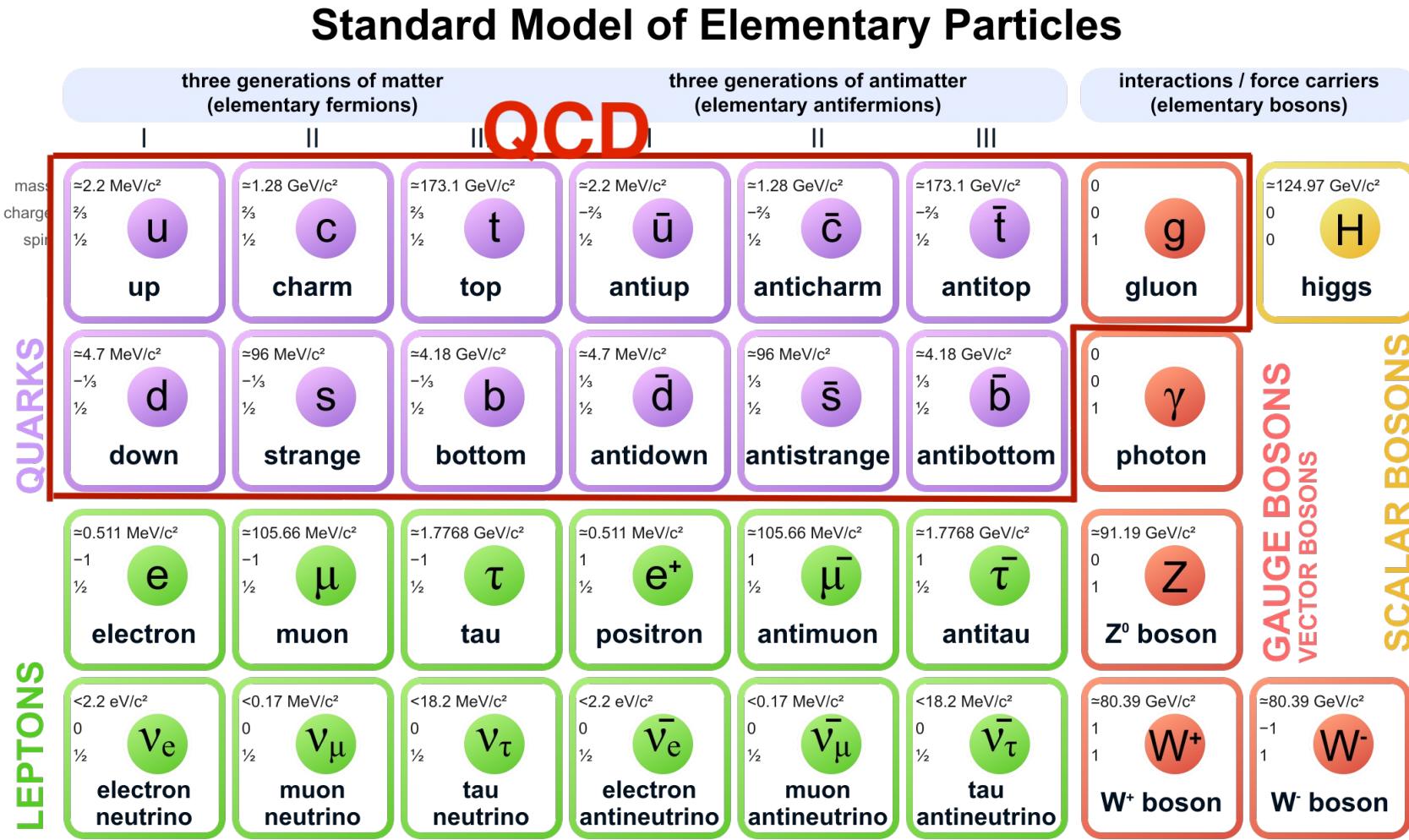
$$f(x_{bj}, b_0/b_*(b_{min})) \exp \left(-2 \int_{b_0/b_*(b_{min})}^Q \frac{d\mu}{\mu} \gamma_2(a_\lambda(\mu)) - g(x_{bj}, b_{min}) \right)$$

Collinear PDF

ONLY if
 $b_{min}/b_{max} \ll 1$
 or equivalently
 $Q_0/Q \ll 1$

$$f(x_{bj}, b_0/b_{min}) + \mathcal{O}\left(\frac{b_{min}}{b_{max}}, mb_{min}, mb_{max}, a_\lambda^2\right)$$

The constituents of the hadrons



$$\begin{aligned} \frac{d\sigma_{\text{DIS}}}{dx dy d\psi} = & \frac{2y\alpha_{\text{em}}^2}{Q^2} \left[\left(F_1 + \left(1 - y - \frac{\gamma^2 y^2}{4}\right) \frac{F_2}{x_{bj} y^2} \right) \right. \\ & \left. - \frac{2s_e x_{bj}}{y Q^2} \left[\frac{(y-2)}{(1+\gamma^2)} (q \cdot S) g_L - 2y g_T \left((S \cdot l') - \frac{(1-y) - \frac{\gamma^2}{2} y}{y(1+\gamma^2)} (q \cdot S) \right) \right] \right] \end{aligned}$$