

# Charge Symmetry Violation in the Valence Parton Distributions and Fragmentation Functions



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# Introduction

## What is Charge symmetry?

**Charge symmetry** (CS) is a specific rotation in **isospin space**. It is the invariance with respect to rotation of  $\pi$  about the T2 axis.

$$[H, P_{CS}] = 0$$
$$P_{CS} = \exp(i\pi T_2)$$

$$P_{CS} |d\rangle = |u\rangle$$
$$P_{CS} |u\rangle = -|d\rangle$$

## Low Energy: CS in nuclei

CS operator interchanges neutrons and protons

- pp and nn scattering lengths are nearly the same
- $M_n \simeq M_p$
- $B(n, {}^3\text{He}) \simeq B(p, {}^3\text{H})$  and energy levels in other mirror nuclei are equal (to 1%)
- $m({}^3\text{He}) \simeq m({}^3\text{H})$

After electromagnetic corrections CS respected down to  $\sim 1\%$

## QCD: Quark level

- $u^p(x, Q^2) = d^n(x, Q^2)$   
 $d^p(x, Q^2) = u^n(x, Q^2)$
- Origin of CS violations:
  - Electromagnetic interaction
  - $\delta m = m_d - m_u$

Naively, one would expect CSV would be on the order of  $(m_d - m_u)/\langle M \rangle$ , where  $\langle M \rangle$  is roughly 0.5 – 1.0 GeV

→ CSV effect about 1%

# Motivation

- **Charge symmetry violation** is an important ingredient for pushing the **precision frontier in the partonic structure of the nucleon**
- Charge symmetry is often assumed in extracting PDFs from data – where the data is limited in sensitivity to CS violation
- The validity of charge symmetry is a necessary condition for many relations between structure functions and sum rules
- Flavor symmetry violation extraction  $\bar{u}(x) \neq \bar{d}(x)$  relies on the implicit assumption of charge symmetry (in the sea quarks)
- Charge symmetry violation viable part of explanation for the anomalous value of the Weinberg angle extracted by NuTeV experiment
- CSV is related to our understanding of the flavor dependence of the quark masses (one of the key unsolved problems in Physics – why is  $m_d \sim m_u \neq m_s \neq m_c \neq m_b \neq m_t$  )

# Upper Limits on CSV

## Theoretical Limits

### Charge Symmetry Violation

$$CSV(x) = \delta d - \delta u \neq 0$$

where

$$\delta u(x) = u^p(x) - d^n(x)$$

$$\delta d(x) = d^p(x) - u^n(x)$$

Model by Sather:

$$\delta d(x) \sim 2 - 3\%, \quad \delta u(x) \sim 1\%$$

$$\delta d_v(x) = -\frac{\delta M}{M} \frac{d}{dx} [x d_v(x)] - \frac{\delta m}{M} \frac{d}{dx} d_v(x)$$

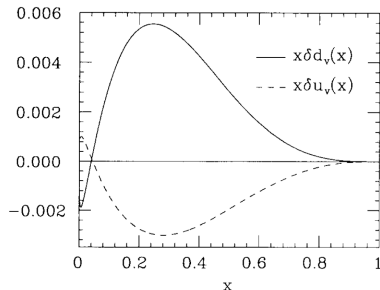
$$\delta u_v(x) = \frac{\delta M}{M} \left( -\frac{d}{dx} [x u_v(x)] + \frac{d}{dx} u_v(x) \right)$$

where  $M$  is the  $n$ - $p$  mass difference,

$\delta M = 1.3 \text{ MeV}$ , and  $\delta m = m_{dd} - m_{uu} \sim 4 \text{ MeV}$

is the down-up quark mass difference.

E. Sather, Phys. Lett. B274, 433 (1992)

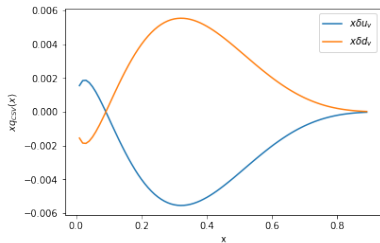


Model by Rodionov, Thomas and Londergan  $\delta d(x)$  could reach up to 10% at high  $x$

E. N. Rodionov, A. W. Thomas and J. T. Londergan, Mod. Phys. Lett. A 9, 1799 (1994)

# Upper Limits on CSV

## Phenomenological limits

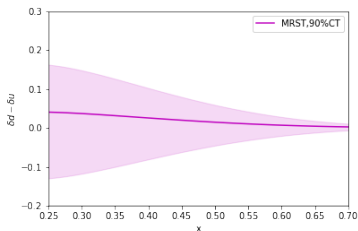


$$\delta u_v(x) = -\delta d_v(x) = \kappa f(x)$$

$$f(x) = (1-x)^4 x^{-0.5} (x - 0.0909)$$

Using the uncertainties in PDFs studied by MRST Group, CSV is constrained to less than 9%

The MRST group has included CSV in a phenomenological evaluation of PDFs. They used a wide range of high-energy data to get a global fit of PDFs  
Eur. Phys. J.35(2004)325



# Upper Limits on CSV

## Lattice QCD

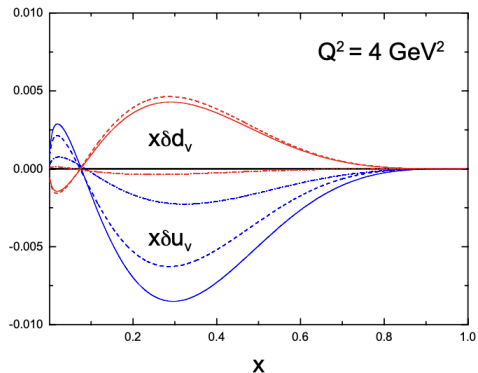
The charge symmetry violation via lattice simulation:

$$\delta U = \int_0^1 dx x \delta u(x) = 0.0023(7)$$

$$\delta D = \int_0^1 dx x \delta d(x) = 0.0017(4)$$

The dash-dotted, dashed and solid curves represent pure QED, pure QCD and the total contributions. The results is comparable to the MRST prediction.

Physics Letters B, 753:595-599



# Upper Limits on CSV

## Experimental Limits

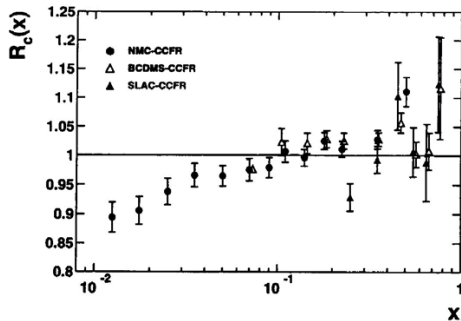
- Upper limit obtained by combining neutral and charged current data on isoscalar targets
- $F_{2\nu}$  by CCFR collaboration at FNAL (Fe data)
- $F_{2\gamma}$  by NMC collaboration using muons (D target)
- $0.1 \leq x \leq 0.4 \rightarrow$  **9% upper limit for CSV effect!**

## “Charge Ratio”

$$R_c(x) = \frac{F_2^\gamma(x) + x[s(x) + \bar{s}(x) - c(x) - \bar{c}(x)]/6}{5\bar{F}_2^{W(x)}/18}$$

$$\simeq 1 + \frac{3(\delta u(x) + \delta \bar{u}(x) - \delta d(x) - \delta \bar{d}(x))}{10\bar{Q}(x)}$$

$$\bar{Q}(x) = \sum_{u,d,s} (q(x) + \bar{q}(x))$$



Londergan and Thomas, Progress in Nuclear and Particle Physics 41 (1998) 49-12

# SIDIS Formalism

## Charge Symmetry Violation

In the PDFs:

$$\delta d(x) = d^p(x) - u^n(x), \delta u(x) = u^p(x) - d^n(x). \\ CSV(x) = \delta d - \delta u$$

In Fragmentation Functions

$$\delta D(z) = \frac{D_u^{\pi^+} - D_d^{\pi^-}}{D_u^{\pi^+}}$$

Leading order methodology for iso-scalar targets (Londergan, Pang, and Thomas PRD54(1996)3154)

$$R_{meas}^D(x, z) = \frac{4N^{D\pi^-}(x, z) - N^{D\pi^+}(x, z)}{N^{D\pi^+}(x, z) - N^{D\pi^-}(x, z)} = \frac{4R_Y(x, z) - 1}{1 - R_Y(x, z)} \quad (1)$$

where  $N^{D\pi^\pm}(x, z)$  is the **measured yield** of  $\pi^\pm$  electroproduction on a deuterium target,  $R_Y = N^{D\pi^-}/N^{D\pi^+}$  is the yield ratio, and we rely the following:

## Factorization

$$N^{Nh} \propto \sum_i e_i^2 q_i^N(x) D_i^h(z)$$

## Impulse Approximation

$$N^{D\pi^\pm}(x, z) = N^{p\pi^\pm}(x, z) + N^{n\pi^\pm}(x, z)$$



# CSV in the Valence Region

Leading order experimental analysis → will need higher order global analysis

Londergan, Pang and Thomas PRD54(1996)3154

$$D(z) R(x, z) + A(x) CSV(x) + F(z) \delta D(z) = B(x, z)$$

$$D(z) = \frac{1 - \Delta(z)}{1 + \Delta(z)}, \Delta(z) = \frac{D_u^{\pi^-}(z)}{D_u^{\pi^+}(z)}$$

$$CSV(x) = \delta d - \delta u$$

$$R(x, z) = \frac{5}{2} + R_{meas}^D$$

$$A(x) = \frac{-4}{3(u_v + d_v)}$$

$$F(z) = \frac{4 + \Delta(z)}{3(1 - \Delta^2(z))}$$

$A(x)$  and  $B(x, z)$  are computed from PDF and FF fits

$$B(x, z) = \frac{5}{2} + R_{sea-S}^D(x, z) + R_{sea-NS}^D(x)$$

$$R_{sea-NS}^D(x) = \frac{5(\bar{u}^P(x) + \bar{d}^P(x))}{[u_v^P(x) + d_v^P(x)]}$$

$$R_{sea-S}^D(x, z) = \frac{\Delta_s(z)[s(x) + \bar{s}(x)]/(1 + \Delta(z))}{[u_v^P(x) + d_v^P(x)]}$$

$$\Delta_s(z) = \frac{D_s^-(z) + D_s^+(z)}{D_u^+(z)}$$

## CSV

Extract simultaneously  $D(z)$  and  $CSV(x)$  from each  $(Q^2, x)$  setting

# Experiment in Hall C – E12-09-002

Measurements:  $D(e, e' \pi^+)$  and  $D(e, e' \pi^-)$



## Setup

- 11 GeV  $e^-$  beam
- 10 cm LD<sub>2</sub> target
- SHMS  $\rightarrow \pi^\pm$ , HMS  $\rightarrow e'$

Each **x setting** has 4 **z measurements**

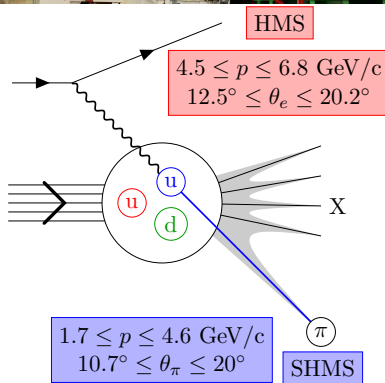
$$z_j = 0.4, 0.5, 0.6, 0.7$$

$$R_Y(x, z) = Y^{D\pi^-}(x, z) / Y^{D\pi}(x, z)$$

$$R_{\text{Meas}}^D(x, z) = \frac{4R_Y(x, z) - 1}{1 - R_Y(x, y)}$$

$$Q^2 = 3.5 \text{ GeV}^2 \rightarrow x = 0.30, 0.35, 0.40, 0.45$$

$$Q^2 = 5.1 \text{ GeV}^2 \rightarrow x = 0.45, 0.50, 0.55, 0.60$$



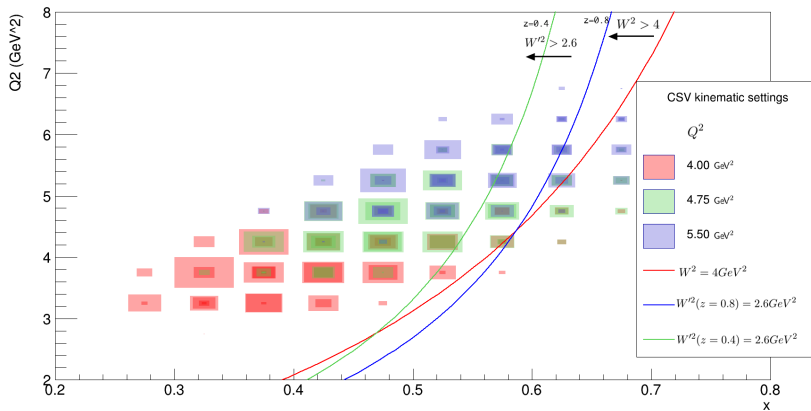
Assuming  $\delta D(z) = 0$ , for each  $Q^2$  we have 16 equations and 8 unknowns:  $D(z_j)$  and  $CSV(x_i)$

$$D(z) R(x, z) + A(x) CSV(x) = B(x, z)$$

# Experiment E12-09-002

## Kinematic Coverage

*Charge Symmetry Violating Quark Distributions via Precise Measurement of  $\pi^+/\pi^-$  Ratios in Semi-inclusive Deep Inelastic Scattering.*



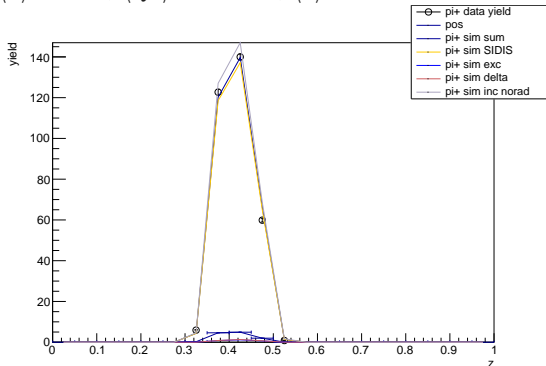
$$W'^2 = M^2 + Q^2(1 - z)(1/x - 1)$$

# Measured Yields

## Radiative Corrections and Background Subtractions

Looking at a single setting:

$$\langle x \rangle = 0.35, \langle Q^2 \rangle = 4 \text{ GeV}^2, \langle z \rangle = 0.4$$



## Backgrounds

- $Y_{\text{Dummy}}$ : Target window subtraction from dummy target
- $Y_{\text{exc}}$ : Exclusive radiative tail
- $Y_{\Delta}$ :  $\Delta$  production background
- $Y_{\rho}$ : Symmetric  $\rho$  production background  
 $\rho \rightarrow \pi^+ \pi^-$

**The dominant systematic the uncertainty**

## Radiative corrections

$$R_c = \frac{Y_{\text{norad}}}{Y_{\text{rad}} + Y_{\text{exc}} + Y_{\Delta} + Y_{\rho}}$$

where  $Y_{\text{rad/norad}}$  are simulated yields with radiative effects turned on/off.

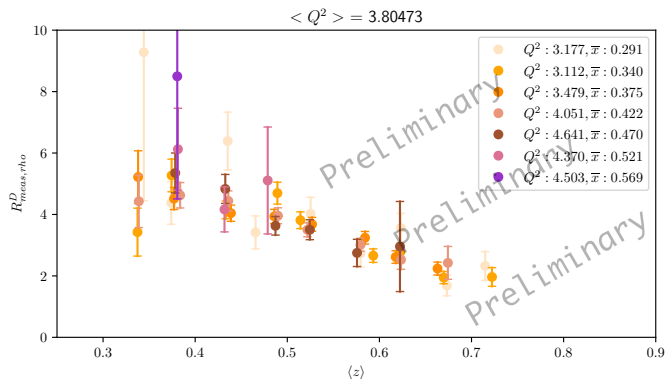
$$Y_D(x, z) = R_c(Y_{\text{corr}}^D - 0.245 Y_{\text{Dummy}})$$

# $R_{meas}^D$ Results

$$D(z) R(x, z) + A(x) CSV(x) = B(x, z)$$

$$R(x, z) = \frac{5}{2} + R_{meas}^D(x, z)$$

$R_{meas}^D(x, z)$  for  $Q^2 = 4 \text{ GeV}^2$   
 bin projected on  $z$  axis.  
 $R_{meas}^D(x, z) = \frac{4R_Y(x, z) - 1}{1 - R_Y(x, z)}$



# Simultaneous Extraction of $\Delta(z)$ and $CSV(x)$

Fragmentation ratio and valence CSV parton distribution

## Four parameter fit

$$\Delta(z) \equiv \frac{D_u^{\pi^-}(z)}{D_u^{\pi^+}(z)} = z^\alpha(1-z)^\beta$$

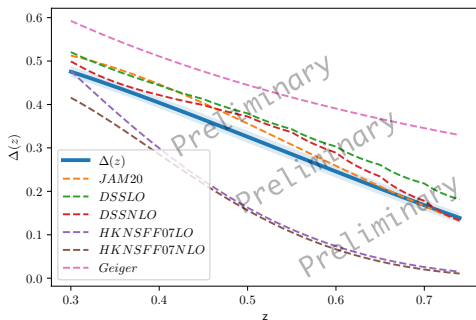
$$CSVx \equiv \delta d - \delta u = x^a(1-x)^b(x-c)$$

$c$  is determined from the constraint:  $\int_0^1 CSV(x)dx = 0$

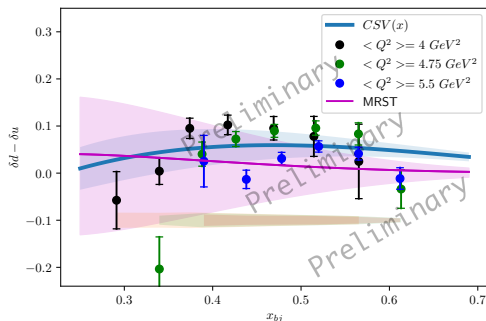
$$c = \frac{\int_0^1 x^{(a+1)}(1-x)^b}{\int_0^1 x^a(1-x)^b} = \frac{B(a+2, b+1)}{B(a+1, b+1)}, B(x, y) = \frac{\Gamma(x)\Gamma(y)}{\Gamma(x+y)}$$

$$R_{fit}^D(x, z) = \frac{B(x, z) - A(x)CSV(x)}{D(z)} - \frac{5}{2}$$

# Results after standard $\rho$ background subtraction



$$\Delta(z) \equiv D_u^{\pi^-}(z)/D_u^{\pi^+}(z) = z^\alpha(1-z)^\beta$$

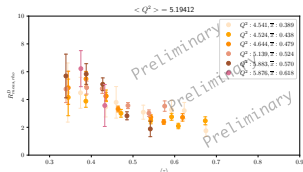
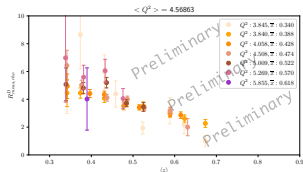
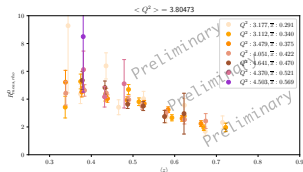


$$CSVx \equiv \delta d - \delta u = x^a(1-x)^b(x-c)$$

The data points on the right are plotted using the extracted  $\Delta$ ,  $R_{meas}^D(x, z)$ , and the equation below

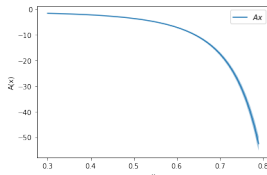
$$CSV(x) = \frac{B(x, z) - D(z) R(x, z)}{A(x)}$$

# Preliminary $R_{meas}^D$

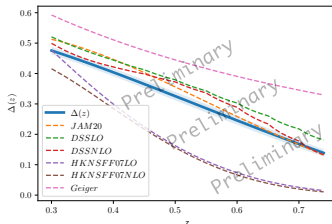


$$D(z) \left[ \frac{5}{2} + R_{meas}^D(x, z) \right] + A(x) CSV(x) = B(x, z)$$

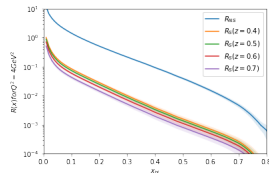
$$\leftarrow R_{meas}^D(x, z) = \frac{4N^{D\pi^-}(x, z) - N^{D\pi^+}(x, z)}{N^{D\pi^+}(x, z) - N^{D\pi^-}(x, z)}$$



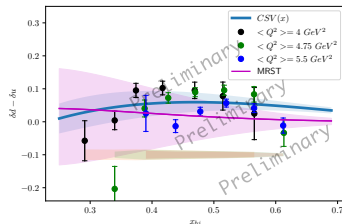
$$A(x) = \frac{-4}{3(u_v + d_v)}$$



Model inputs:



$$B(x, z) = \frac{5}{2} + R_{sea.S}^D(x, z) + R_{sea.NS}^D(x)$$



From Shuo Jia



# Using Fragmentation Functions from Global Fits

Directly compute  $CSV(x)$  from data

$$CSV(x) = \frac{B(x, z) - D(z) R(x, z)}{A(x)}$$

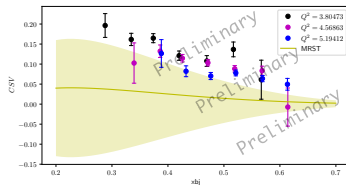
$$D(z) = \frac{1 - \Delta(z)}{1 + \Delta(z)}$$

$$\Delta(z) = D_u^{\pi^-}(z)/D_u^{\pi^+}(z)$$

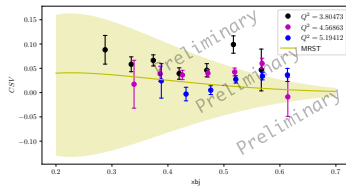
## Where is the CS violation?

- Using FFs without CSV there is a tension with previous analyses/limits
  - Insufficient  $\rho$  background subtraction
  - High order corrections
- FFs with CSV lead to much better agreement with  
→ CSV in FFs

## JAM PDFs and FFs



## JAM PDFs and DSS FFs

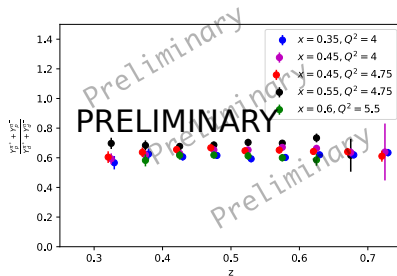
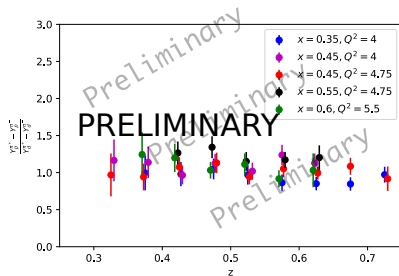


# Factorization

## Charge Ratio Sum and Differences

$$\frac{\sigma_p^{\pi^+} - \sigma_p^{\pi^-}}{\sigma_d^{\pi^+} - \sigma_d^{\pi^-}} = \frac{4u_v(x) - d_v(x)}{3(u_v(x) + d_v(x))} = R^-$$

$$\frac{d_v}{u_v} = \frac{4 - 3R^-}{3R^- + 1}$$



Ratios should not depend on  $z$ .

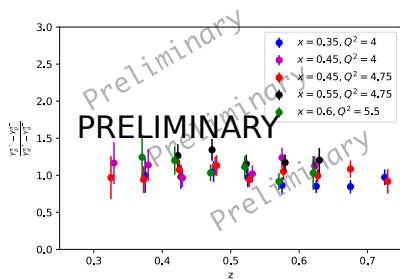
$$\frac{\sigma_p^{\pi^+} + \sigma_p^{\pi^-}}{\sigma_d^{\pi^+} + \sigma_d^{\pi^-}} = \frac{4u + 4\bar{u} + d + \bar{d}}{5(u + \bar{u} + d + \bar{d})}$$

# Factorization

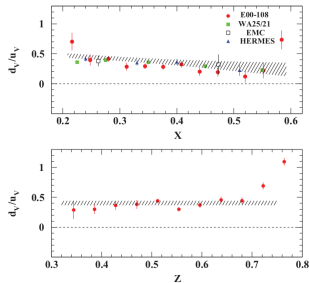
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$$\frac{\sigma_p^{\pi^+} - \sigma_p^{\pi^-}}{\sigma_d^{\pi^+} - \sigma_d^{\pi^-}} = \frac{4u_v(x) - d_v(x)}{3(u_v(x) + d_v(x))} = R^-$$

$$\frac{d_v}{u_v} = \frac{4 - 3R^-}{3R^- + 1}$$



Ratios should not depend on  $z$ .



Previous 6-GeV era JLab experiment in Hall-C observed nearly  $z$ -independent ratio. JLab E00-108: PRC 85, 015202 (2012)

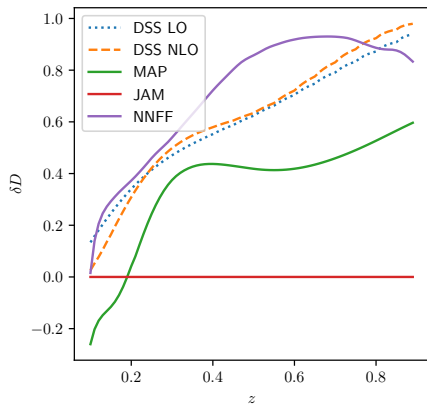
# Summary

- Conducted precision semi-inclusive measurements of the  $\pi^-/\pi^+$  ratio on a deuterium target
- Extracted the LO valence CSV parton distribution and fragmentation function ratio
- Using different FF models input suggests a CSV contribution from the fragmentation functions should be considered in a global analysis
- Results for the CSV parton distribution are consistent with MRST limits.
- Some CSV in the fragmentation functions improves shapes of fits and leads to good agreement with nominal  $\rho$  BG subtraction

Thank you!

## Backups

# CSV in Fragmentation Function



2007 HKNS - no CSV

2007 DSS - CSV

2014 DEHSS - no CSV

2017 NNFF - CSV

2020 JAM - no CSV

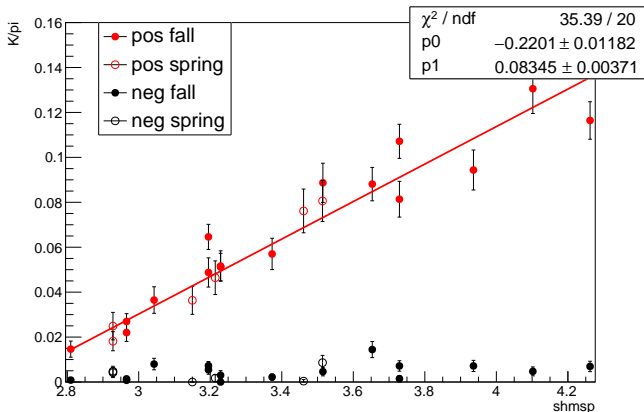
2021 MAPFF - CSV

Update to DSS, DEHSS finds  $\delta D = 0$ .

# Kaon/Pion Ratio

$$R_{K/\pi} = \frac{N_{antiHGC}^K}{N_{withHGC}^\pi + N_{antiHGC}^\pi}$$

One point for each Run-group,  
plotted as a function of momentum.  
The kaon to pion ratio increases  
with high momentum, which is  
higher  $z$ . There are more  $K^+$  than  
 $K^-$



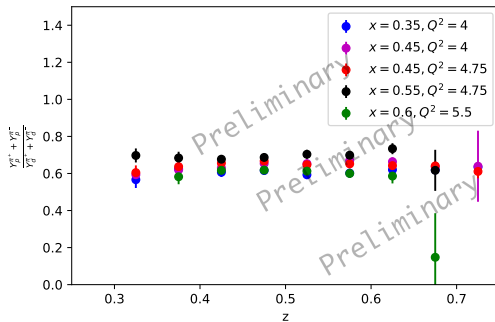
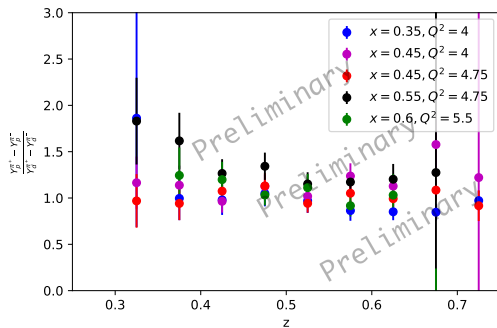


# H<sub>2</sub> runs results

H<sub>2</sub> runs are taken for some kinematic to test the assumption of factorization.

$$\frac{\sigma_p^{\pi^+} - \sigma_p^{\pi^-}}{\sigma_d^{\pi^+} - \sigma_d^{\pi^-}} = \frac{4u_v - d_v}{3(u_v + d_v)}$$

$$\frac{\sigma_p^{\pi^+} + \sigma_p^{\pi^-}}{\sigma_d^{\pi^+} + \sigma_d^{\pi^-}} = \frac{4u + 4\bar{u} + d + \bar{d}}{5(u + \bar{u} + d + \bar{d})}$$



# Charge Symmetry in QPM

## Charge-conjugation symmetry

Relates quarks and anti-quarks

$$D_{\bar{u}}^{\pi^{\pm}} = D_{\bar{u}}^{\pi^{\mp}} \quad (2)$$

$$D_{\bar{d}}^{\pi^{\pm}} = D_{\bar{d}}^{\pi^{\mp}} \quad (3)$$

## Charge Symmetry

$$D_u^{\pi^+} = D_d^{\pi^-} \quad D_{\bar{u}}^{\pi^+} = D_{\bar{d}}^{\pi^-}$$

$$D_d^{\pi^+} = D_u^{\pi^-} \quad D_{\bar{d}}^{\pi^+} = D_{\bar{u}}^{\pi^-}$$

## Gottfried Sum Rule

$$\begin{aligned} S_G &= \int_0^1 dx \left[ \frac{F_2^p - F_2^n}{x} \right] \\ &= \frac{1}{3} + \frac{2}{9} \int_0^1 dx \left[ 4\bar{u}^p + \bar{d}^p - 4\bar{u}^n - \bar{d}^n \right] \\ &\stackrel{\text{CS}}{=} \frac{1}{3} + \frac{2}{3} \int_0^1 dx \left[ \bar{u}^p - \bar{d}^p \right] \end{aligned}$$

Londergan and Thomas. Prog. Part. Nucl. Phys. 41 (1998) 49-124