



# Use of deep learning generative models for Monte Carlo event simulation in the context of LHC experiments

**Raúl Balanzá García**

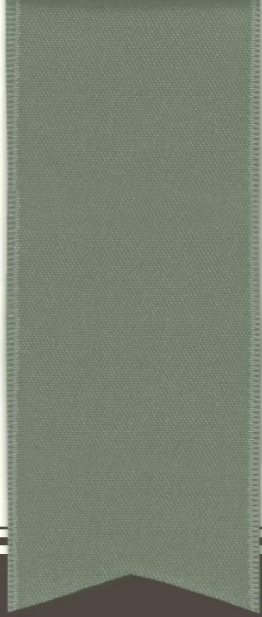
*Cotutors:* Jon Ander Gómez Adrián, José Francisco Salt Cairols, Roberto Ruiz de Austri Bazan

3rd Workshop on Compact Objects, Gravitational Waves and Deep Learning  
University of Minho

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# INTRODUCTION

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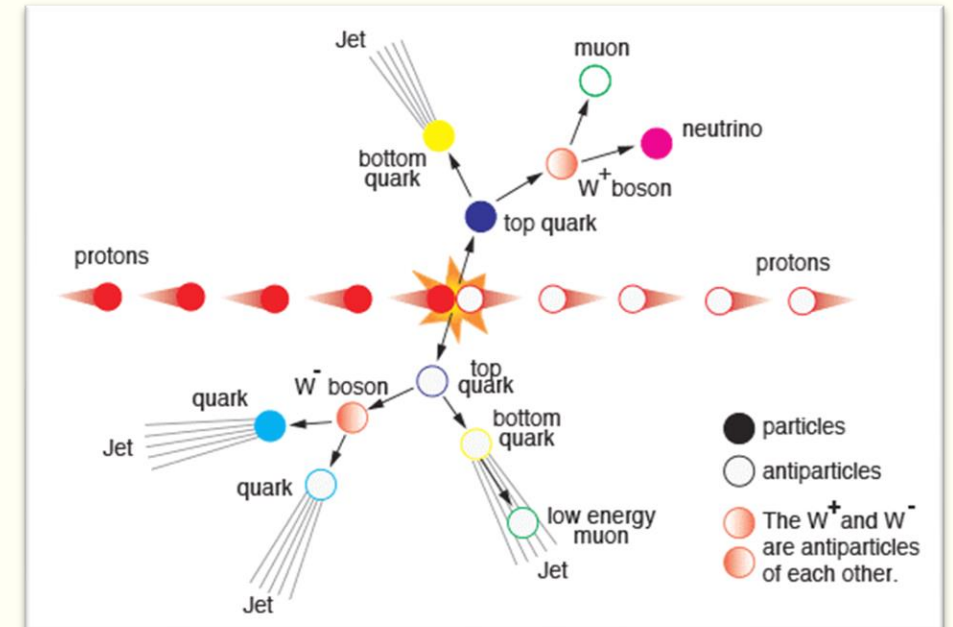
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# 1. Introduction

## Problem definition

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- **Standard Model:** current theoretical physics framework
  - Does not explain some key aspects of the behaviour of matter
- LHC (CERN) → experimentation looking for new models
  1.  $p$ - $p$  collisions are produced to obtain **real data**
  2. **Simulated data** is generated based on new models: *New Physics*
  3. Both data are compared → verify new model

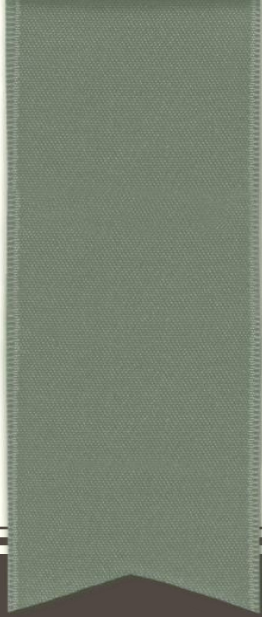


# 1. Introduction

## Objectives

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- Generating simulated events is very costly
  - Traditional methods (Monte Carlo) consume lots of **time** and **energy**
  - Future experiments → billions of events → not feasible with current models
  - *Solution:* generative models → generate events in an **efficient** way
- **Objectives**
  - Create generative models to generate events based on data that was generated through simulation by the Monte Carlo method
  - Accelerate the generation process to reduce the energy and time costs
  - Keep the accuracy of generated events
  - Determine which is the best model



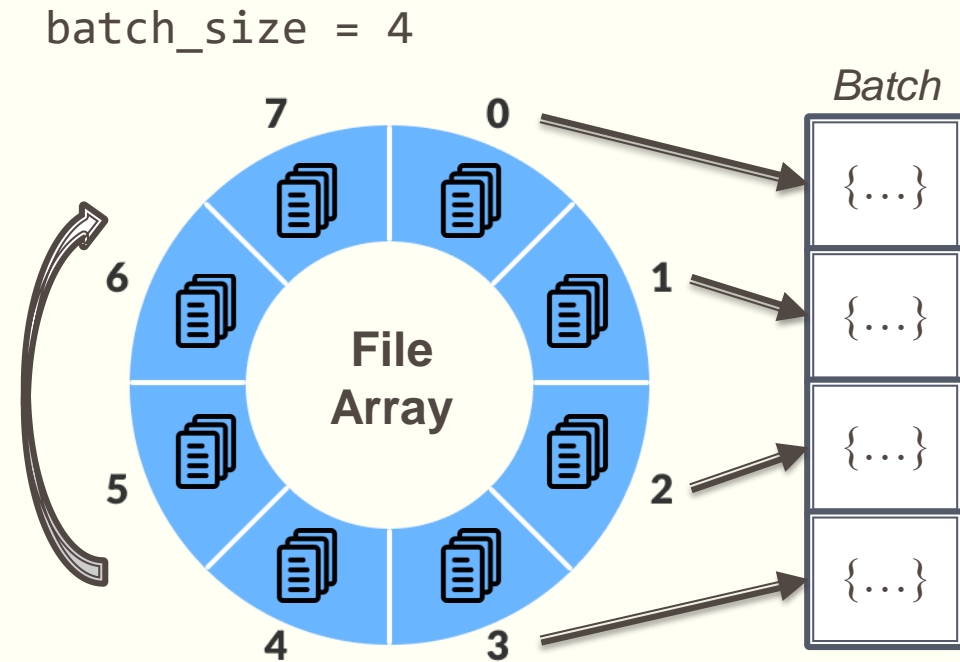
DATA

### 3. Data

# Physics processes

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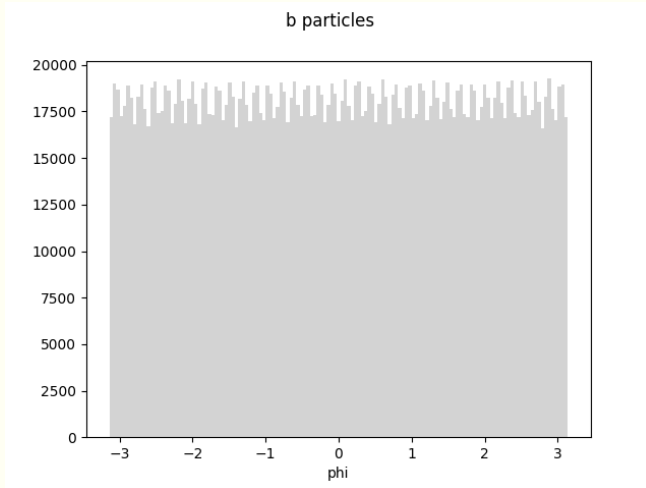
- *Dataset* with processes from the **Standard Model** and **New Physics**
  - Contains generated events → traditional methods
- Information per **event**:
  - MET
  - $\text{MET}\phi$
  - Per each particle (max. 19):
    - $E, \eta, \phi, p_T$
- Used processes:
  - Standard Model: *t $\bar{t}$*
  - New Physics: *stop\_02*
- Huge amount of information
  - Impossible to load in main memory
  - *Solution*: circular buffer



### 3. Data

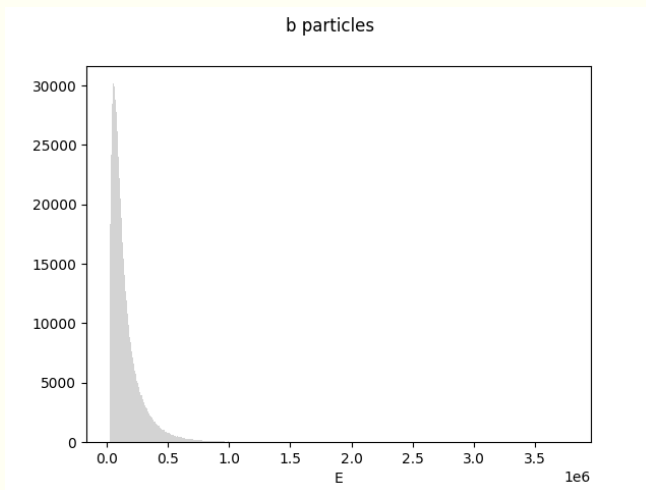
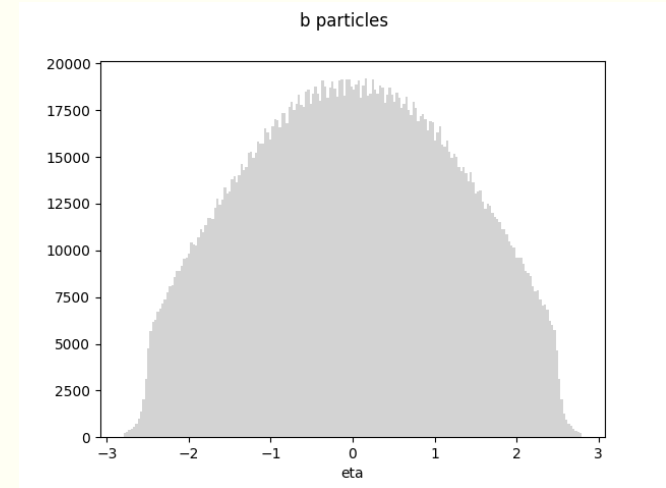
# Probability distributions

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←  $\phi$  y  $MET\phi$ : uniform

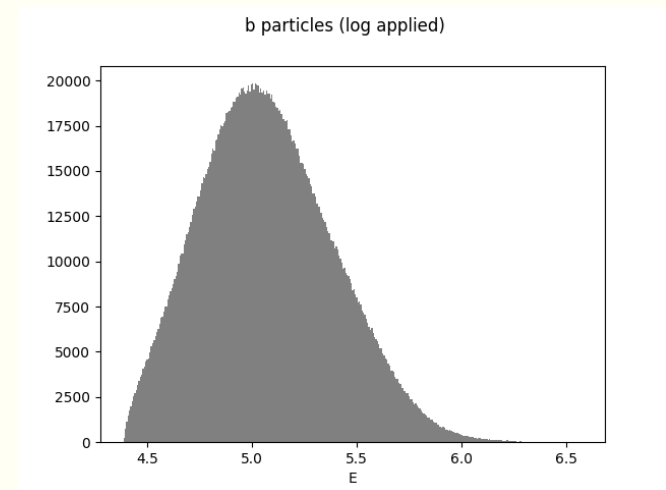
$\eta$ : similar to gaussian with trimmed extreme values →



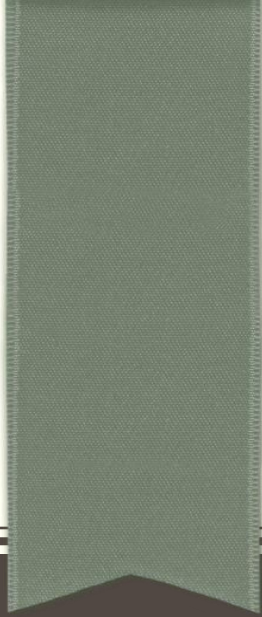
■  $MET, E$  y  $p_T$ : log-normal



■ Applied logarithm to resemble a normal distribution → makes learning easier







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# MODELS

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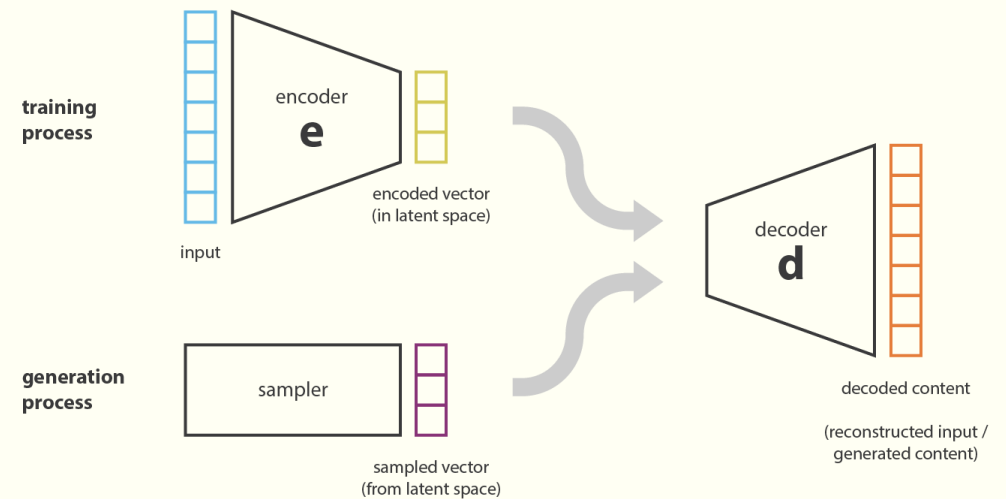
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## 2. Models

# Variational Autoencoders

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- Generative model
- Training/generation:
  1. Encode the input to the *latent space*
  2. Generate data following its probability distribution\*
  3. Decode data to input dimensions
- Variants:  $\beta$ -VAE and  $\alpha$ -VAE



## 2. Models

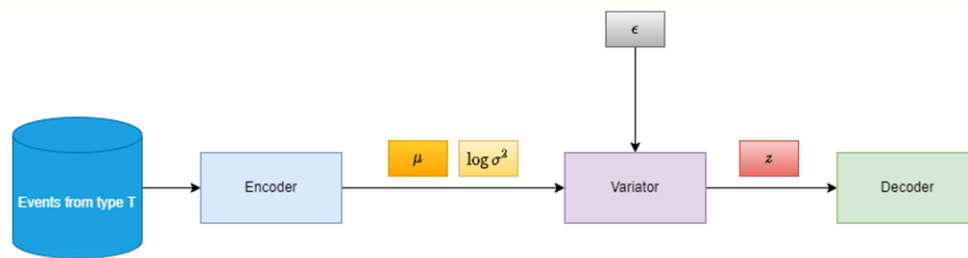
# VAE variants

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### $\beta$ -VAE

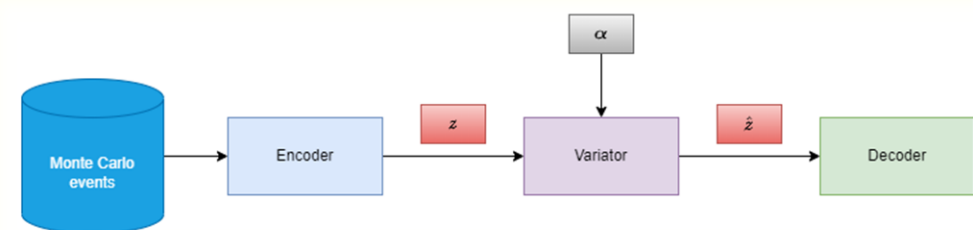
- *Loss function* weights are proportional to  $\beta$ :  
$$L_{VAE} = (1 - \beta)MSE + \beta KL$$
- Encoding:  $z = \epsilon * e^{\frac{1}{2} \log \sigma^2} + \mu$ 
  - $\epsilon \sim \mathcal{N}(\mu = 0, \sigma = 1)$



### $\alpha$ -VAE

- Gaussian noise is added to the encoding with the following distribution:

$$\mathcal{N}(\mu = 0, \sigma = \alpha)$$

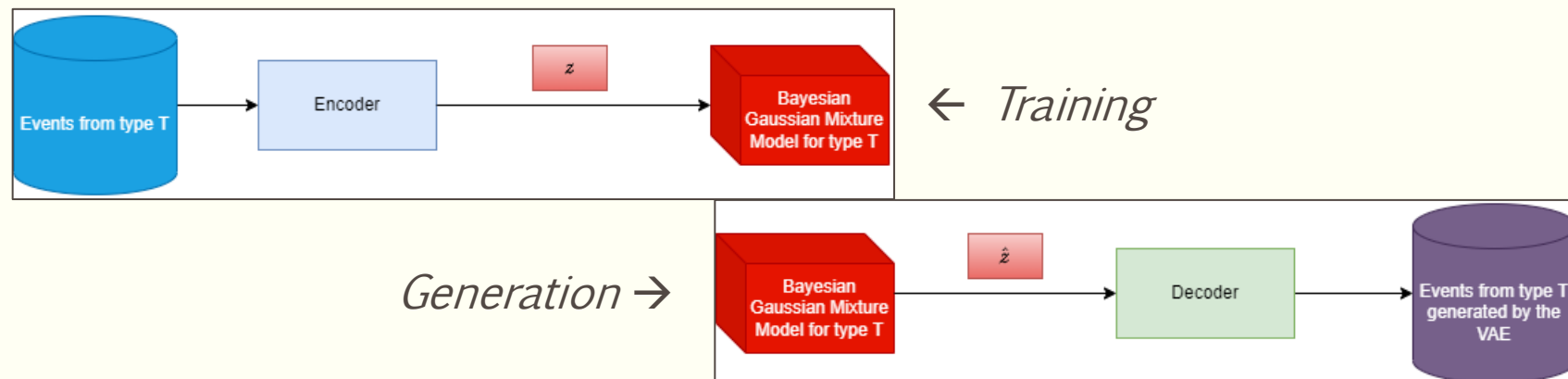


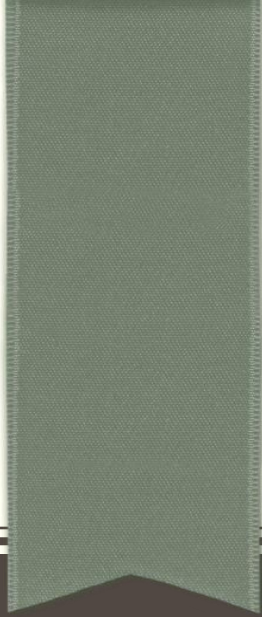
## 2. Models

# Bayesian Gaussian Mixture Models

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- Unsupervised learning model
- Assumes: data can be described by a normal distribution
- Has  $\gamma$  components
  - Each one is a multivariate Gaussian distribution
  - Has its own mean vector and covariance matrix
- Generates data based on the learned distribution.





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# EXPERIMENTATION

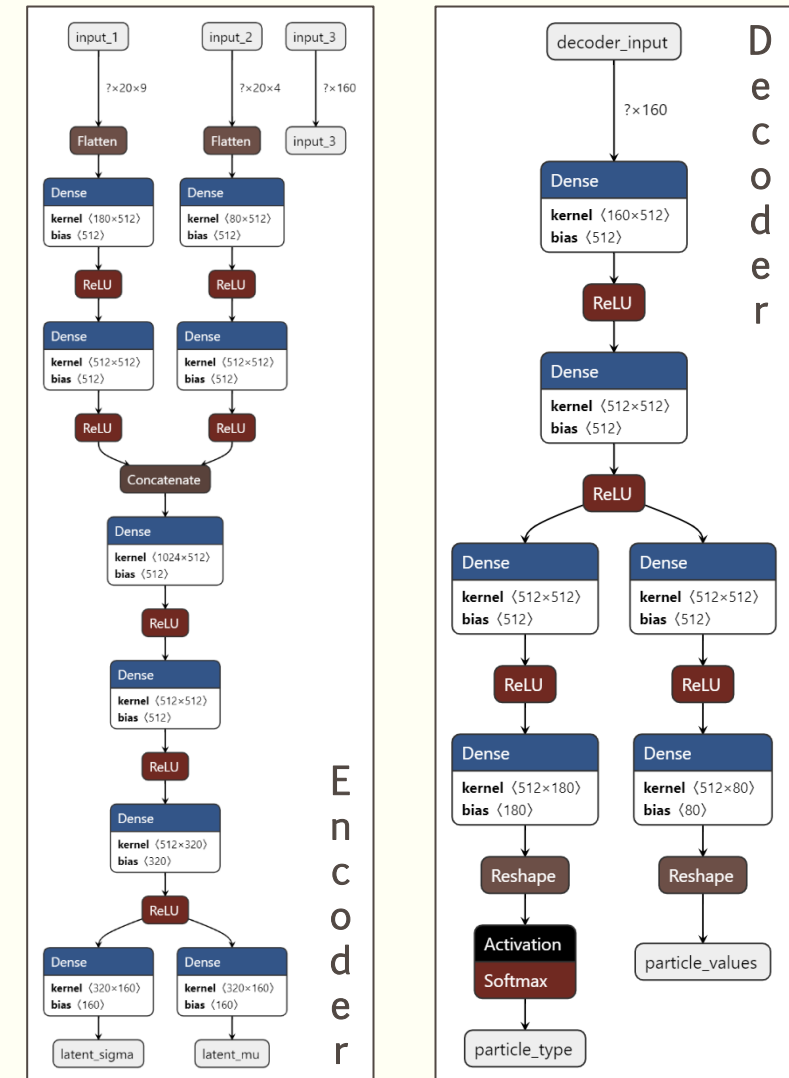
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## 4. Experimentation

# Experiment I: Initial model

- $MET + MET\phi$  considered one more particle
- Input/output data  $\rightarrow$  2 capas
  - Particle identifier (1-Hot vector)
  - Particle properties ( $E, \eta, \phi, p_T$ )
- Physics process:  $t\bar{t}$  (Standard Model)
- Model:  $\beta$ -VAE + BGMM
  - $\beta \in \{0.0, 0.001, 0.01, 0.1, 0.2, 0.5\}$
  - $\gamma \in \{10, 50, 100\}$

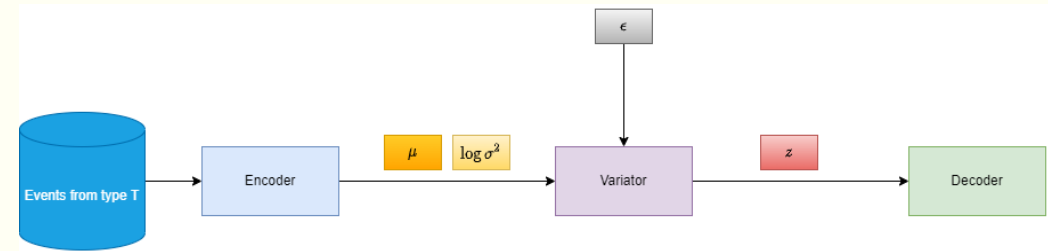


## 4.1. Experiment I

# Training and generation

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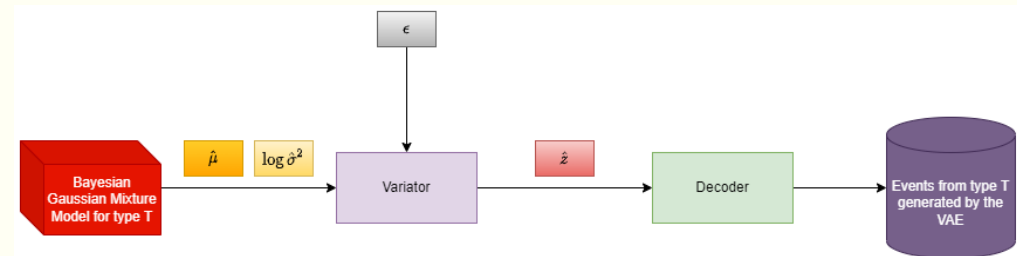
1. Training the  $\beta$ -VAE with original data



2. Training the BGMM with encodings of the *already trained encoder*

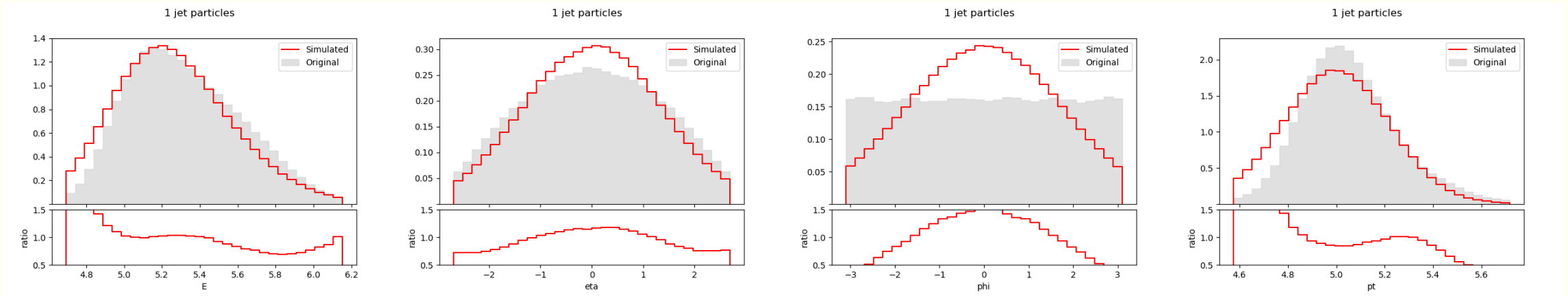
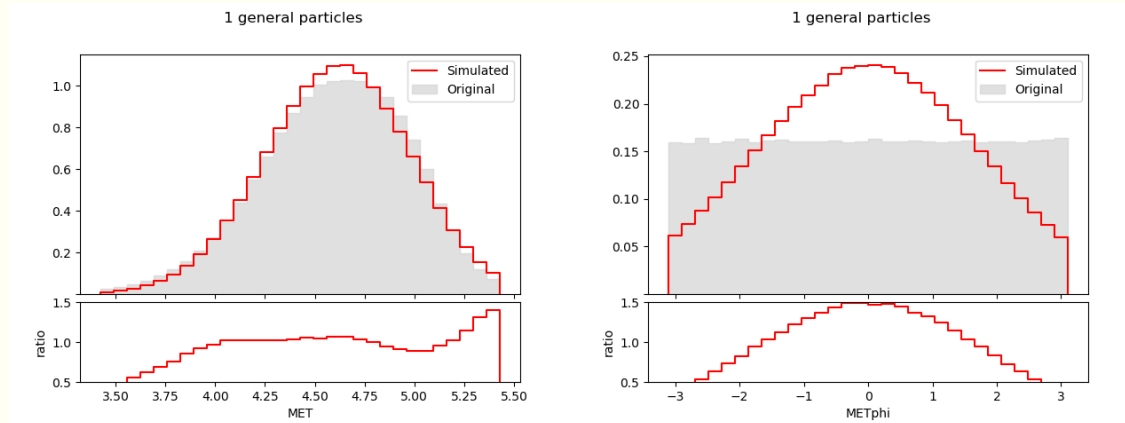


3. Generation of events taking the BGMM as the starting point



# 4.1. Experiment I

## Results ( $\beta = 0.01, \gamma = 50$ )



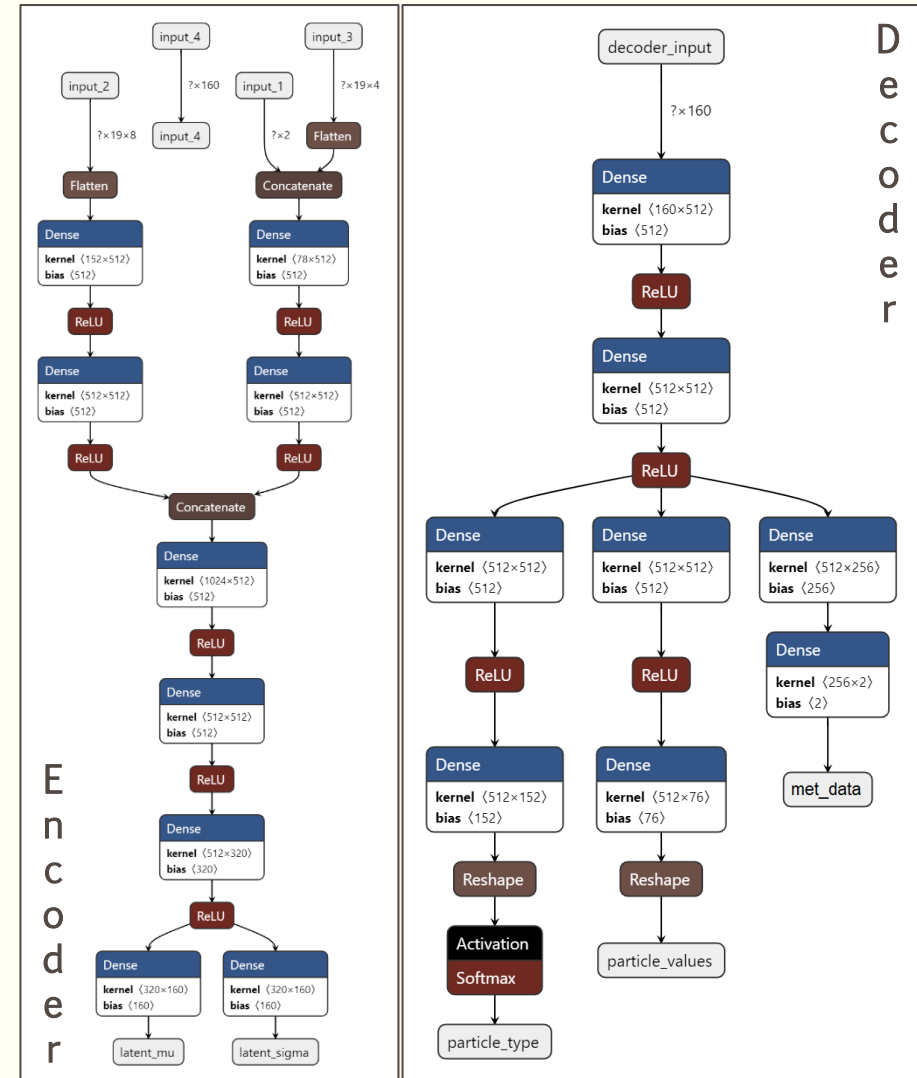
- *Problem:* uniform distributions.



## 4. Experimentation

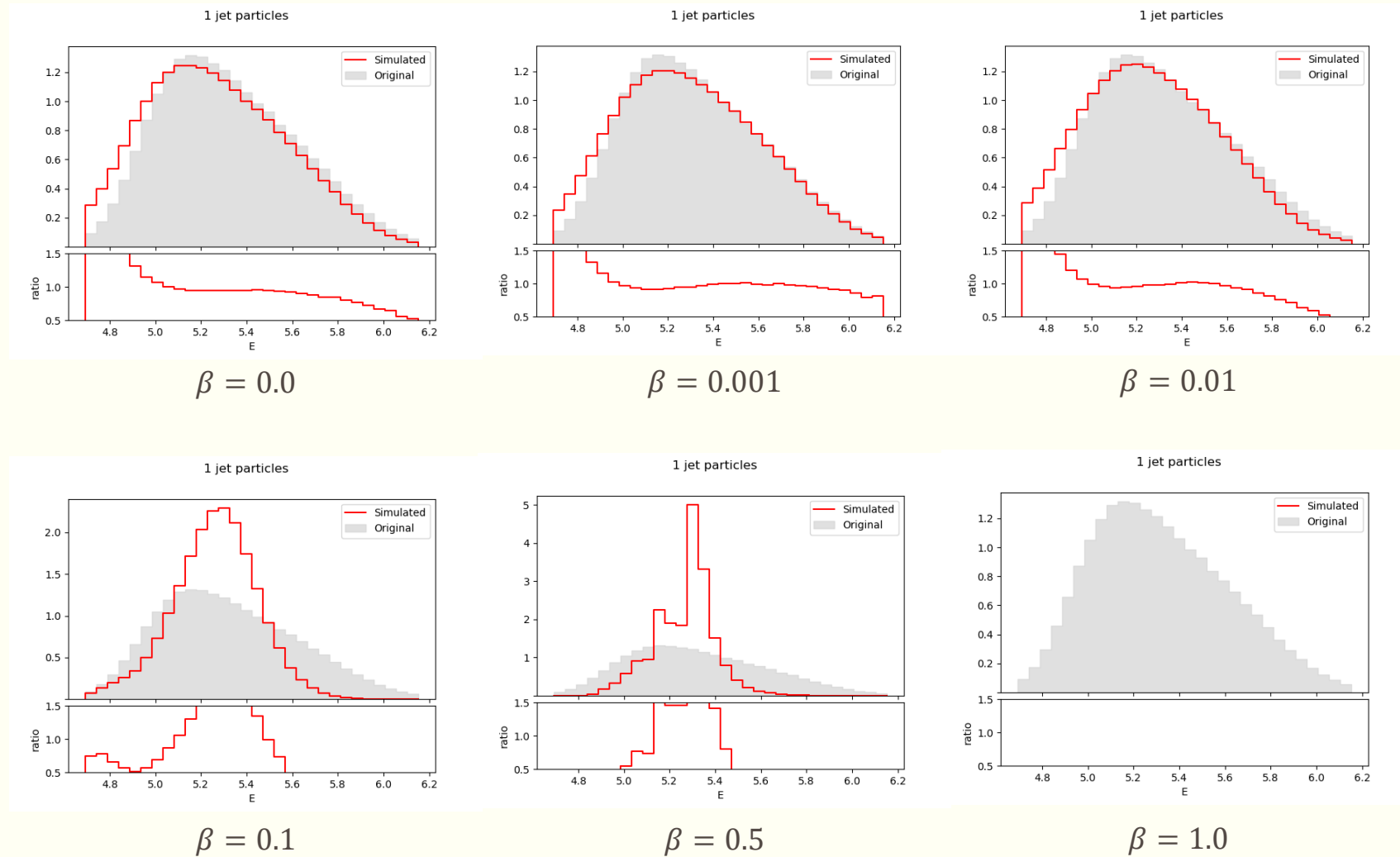
# Experiment II: Input splitting

- Split  $MET + MET\phi$  in a separate layer
- Same training process
- Physics process:  $t\bar{t}$  (Standard Model)
- Input/output data  $\rightarrow$  3 layers
  - Particle identifier (1-Hot vector)
  - $MET + MET\phi$
  - Particle properties ( $E, \eta, \phi, p_T$ )
- Model:  $\beta$ -VAE (+ BGMM)
  - $\beta \in \{0.0, 0.001, 0.01, 0.1, 0.2, 0.5, 0.7, 1\}$
  - $\gamma = 100$



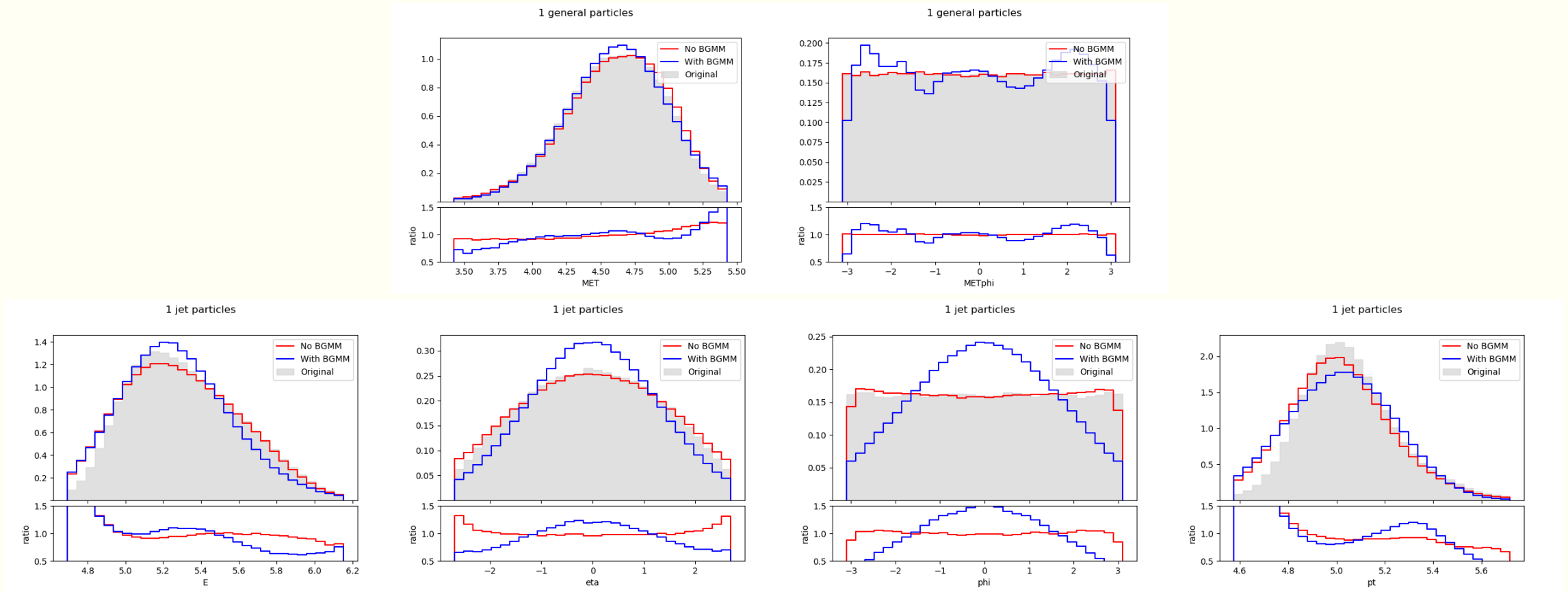
## 4.2. Experiment II

# Results – comparing $\beta$ in attribute $E$ of the 1<sup>st</sup> jet



## 4.2. Experiment II

# Results ( $\beta = 0.001, \gamma = 100$ )

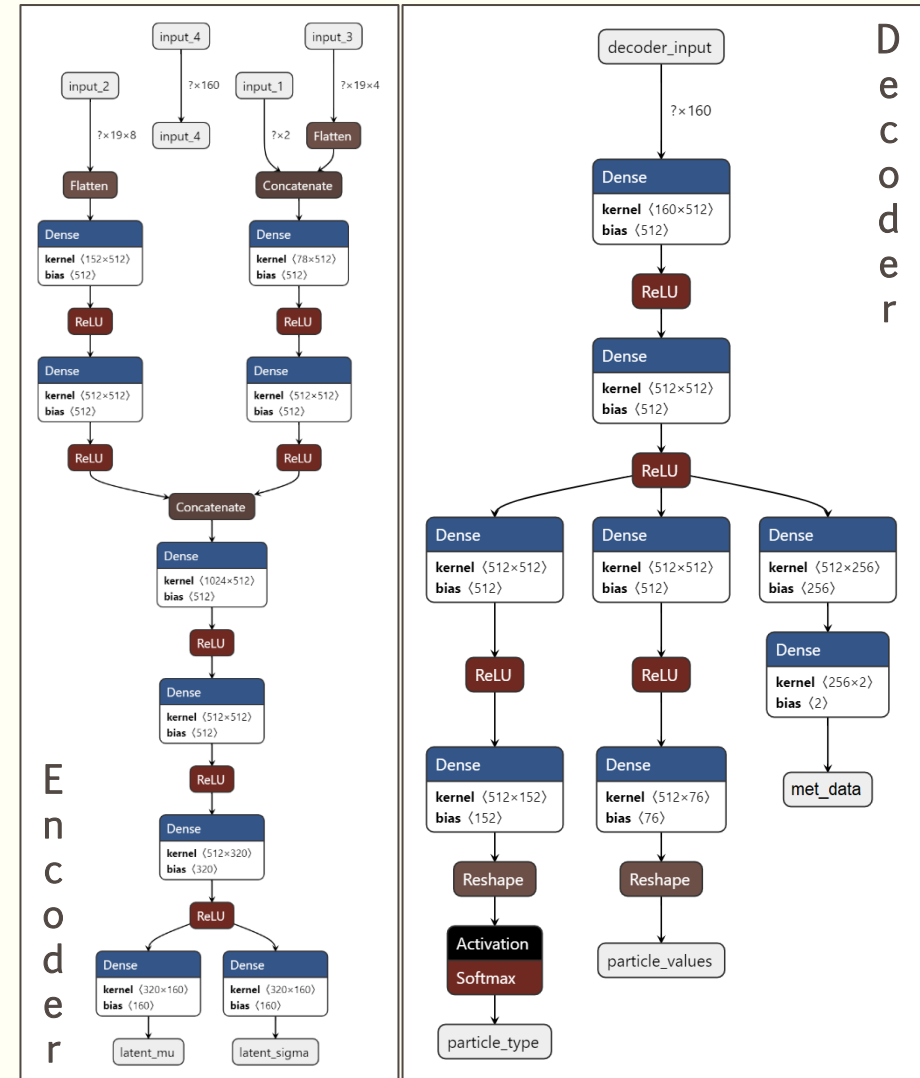


- *Observation:* uniform distributions are correct in the model without BGMM.

## 4. Experimentation

# Experiment III: Introducing the $\alpha$ -VAE

- Same VAE architecture from experiment II
  - The *variator* changes
- Physics process: *ttbar* (Standard Model)
- Model:  $\alpha$ -VAE (+ BGMM)
  - $\alpha \in \{0.1, 0.2, 0.3\}$
  - $\gamma = \{10, 20, 50, 100\}$

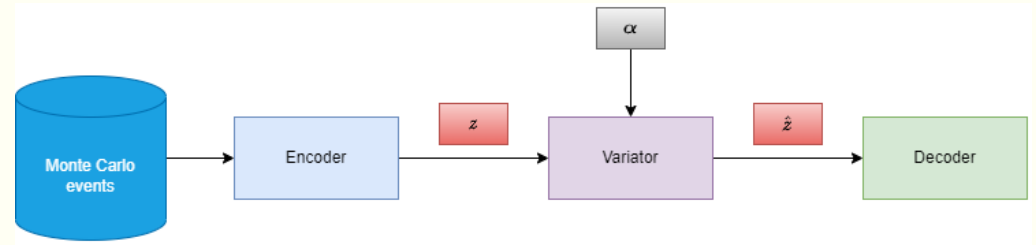


### 4.3. Experiment III

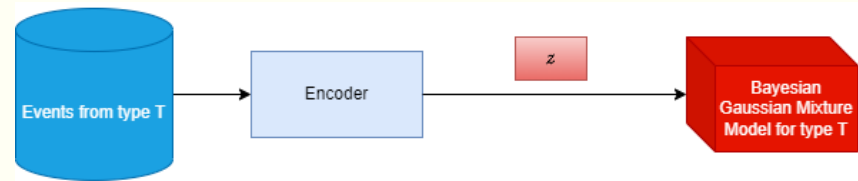
# Training and generation

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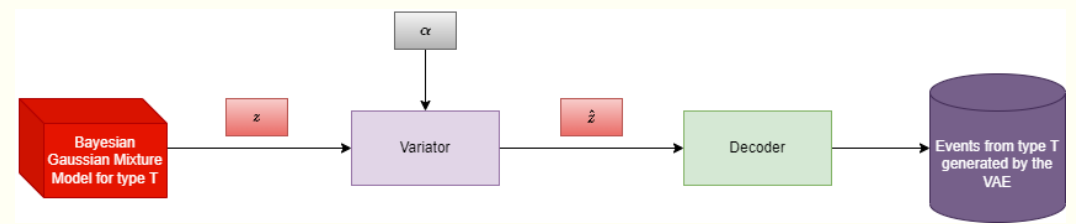
1. Training the  $\alpha$ -VAE with original data



2. Training the BGMM with encodings of the *already trained encoder*



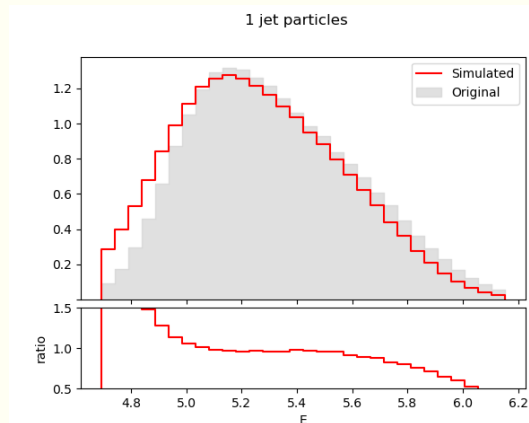
3. Generation of events taking the BGMM as the starting point



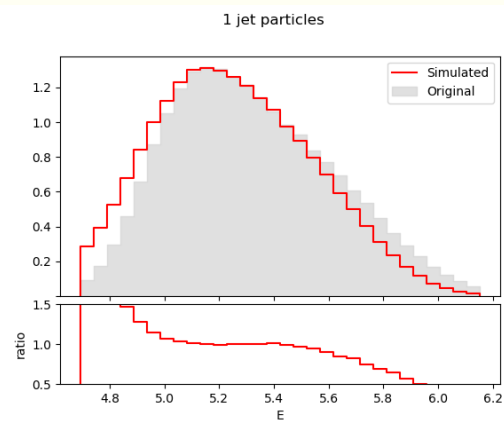
### 4.3. Experiment III

# Results – comparing $\alpha$

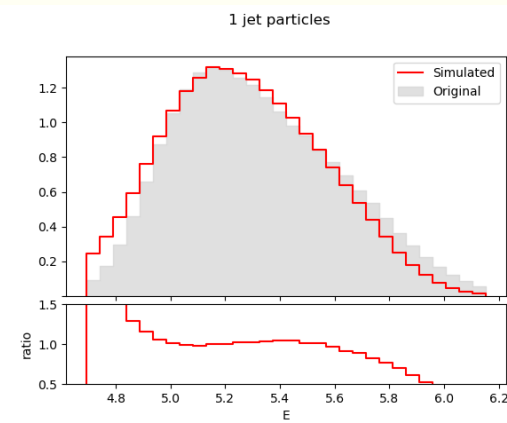
1st jet  
Attribute  $E$



$\alpha = 0.1$

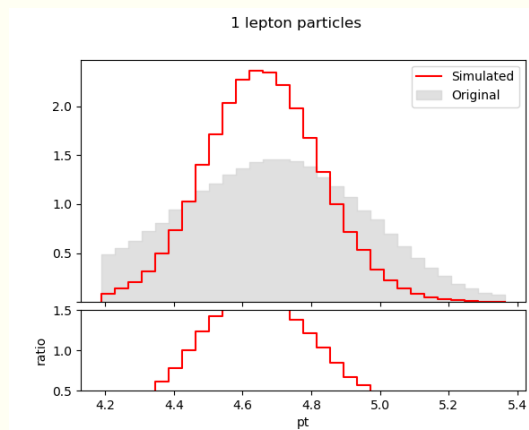


$\alpha = 0.2$

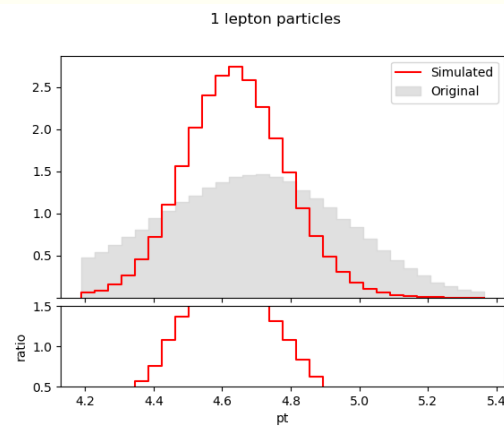


$\alpha = 0.3$

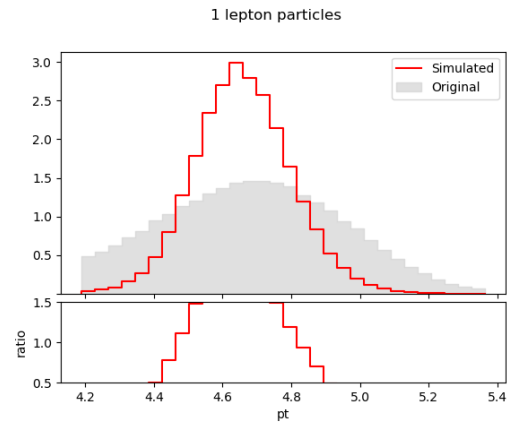
1st lepton  
Attribute  $p_T$



$\alpha = 0.1$



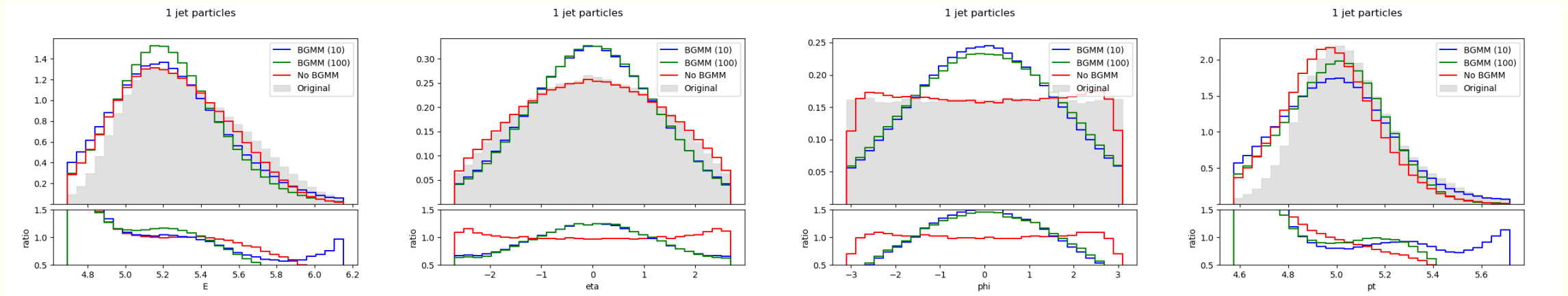
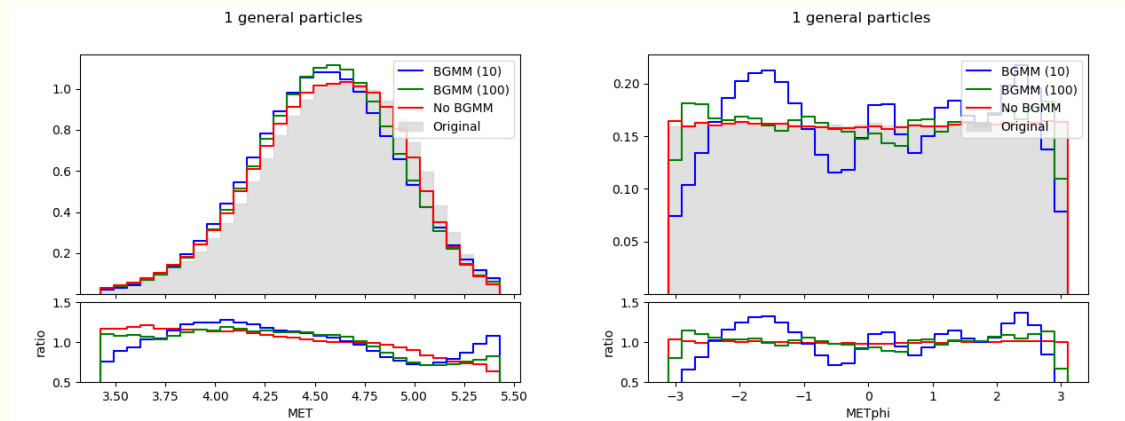
$\alpha = 0.2$



$\alpha = 0.3$

### 4.3. Experiment III

## Results ( $\alpha = 0.2, \gamma \in \{10, 100\}$ )



- *Observation:* BGMM keeps obtaining a worse result.

## 4. Experimentation

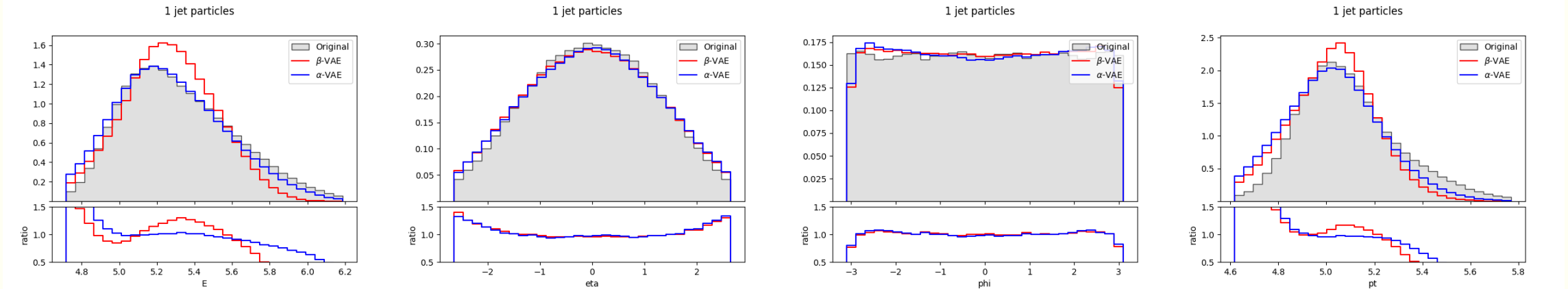
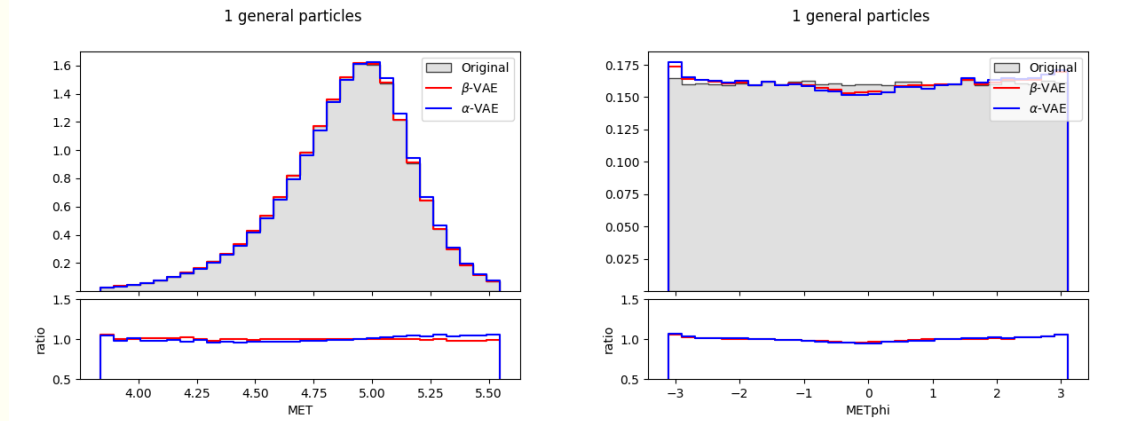
### New Physics processes (BSM)

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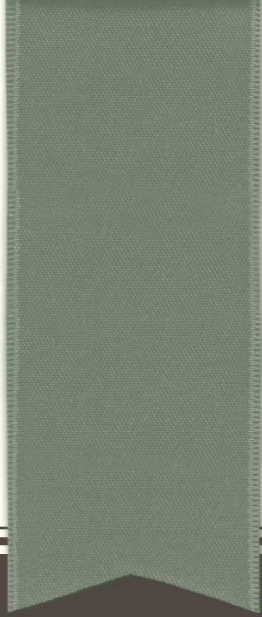
- After selecting the best model → training with a BSM process
- Physics process: *stop\_02* (New Physics)
- Selected models:
  - $\beta$ -VAE without BGMM ( $\beta = 0.001$ )
  - $\alpha$ -VAE without BGMM ( $\alpha = 0.2$ )
- We did not test models with BGMM → lack of time



## 4.4. New Physics Results ( $\beta = 0.001, \alpha = 0.2$ )



- *Observation:* Similar results,  $\alpha$ -VAE adjusts better in some cases



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# CONCLUSIONS

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## 4. Conclusions

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- Achieved objectives: more efficient event generation
- 2 models with promising results:  $\beta$ -VAE and  $\alpha$ -VAE without BGMM
  - We should keep adjusting model parameters to improve results
- BGMM does not obtain the expected results
  - ¿Requires more components?
  - It will be required to perform additional experimentation

## 4.1. Future work

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- Generating events in a fast way is a need of **critical** importance
  - Further research is required
- Proposals
  - Experiment the use of more components in BGMMs
  - Creation of advanced metrics
  - Usage of other types of models: GAN, *Flow models...*



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