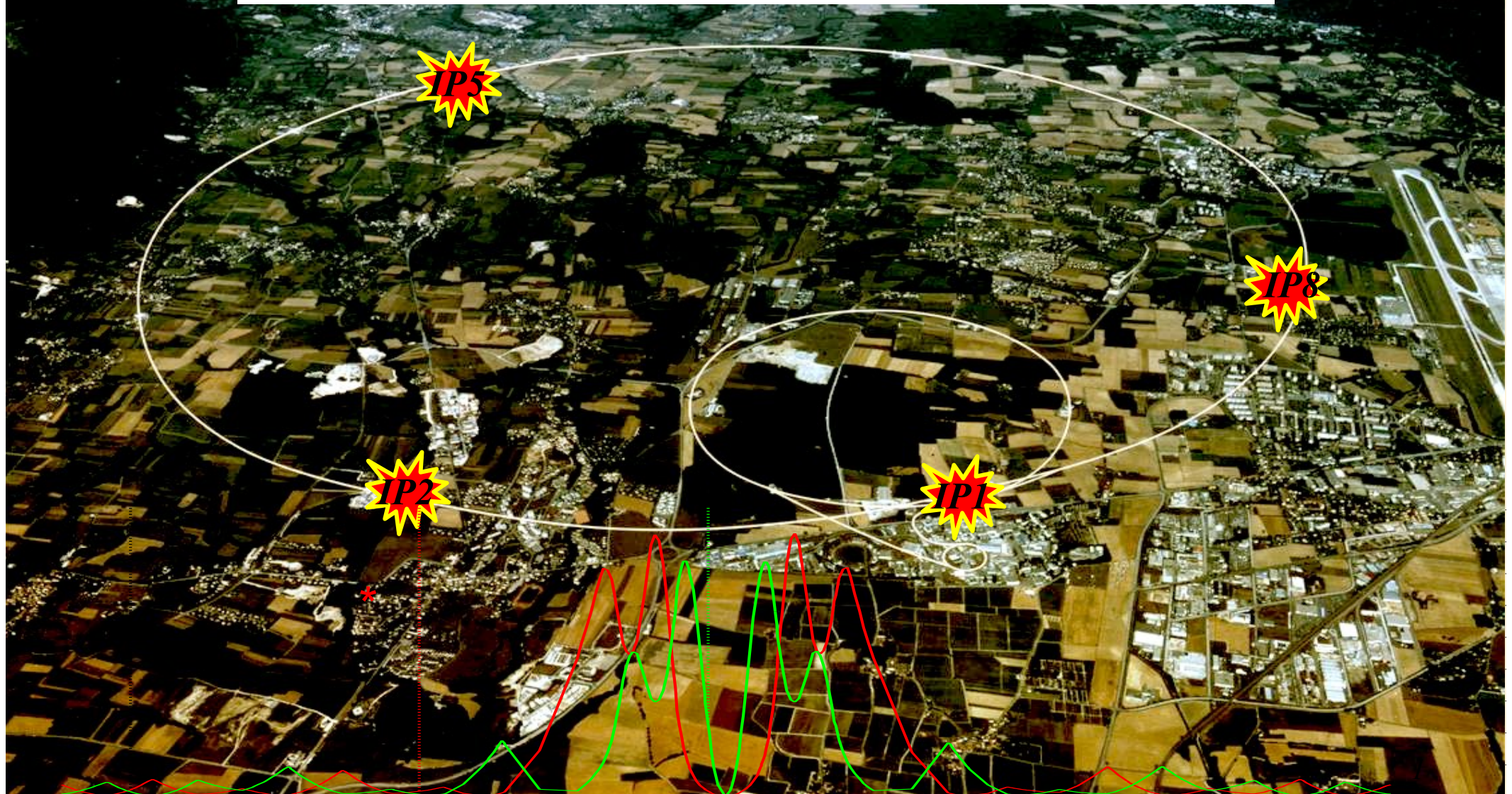


Introduction to „Transverse Beam Dynamics“

Bernhard Holzer



Transverse Beam Dynamics III

I) Linear Beam Optics

Single Particle Trajectories

Magnets and Focusing Fields

Tune & Orbit

II) The State of the Art in High Energy Machines:

The Beam as Particle Ensemble

Emittance and Beta-Function

Colliding Beams & Luminosity

III) Errors in Field and Gradient:

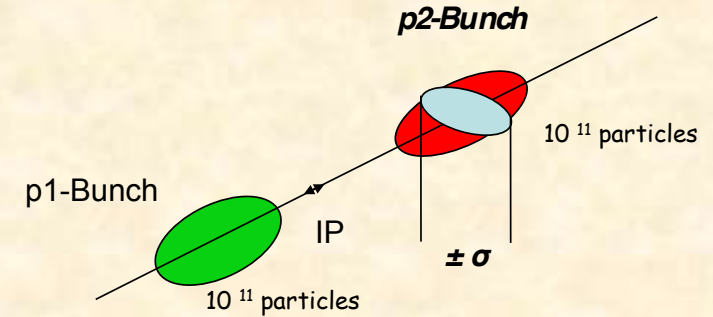
Liouville during Acceleration

The $\Delta p/p \neq 0$ problem

Dispersion

Chromaticity

Luminosity



Example: Luminosity at LHC

$$\beta_{x,y}^* = 0.55 \text{ m}$$

$$f_0 = 11.245 \text{ kHz}$$

$$\varepsilon_{x,y} = 5 * 10^{-10} \text{ rad m}$$

$$n_b = 2808$$

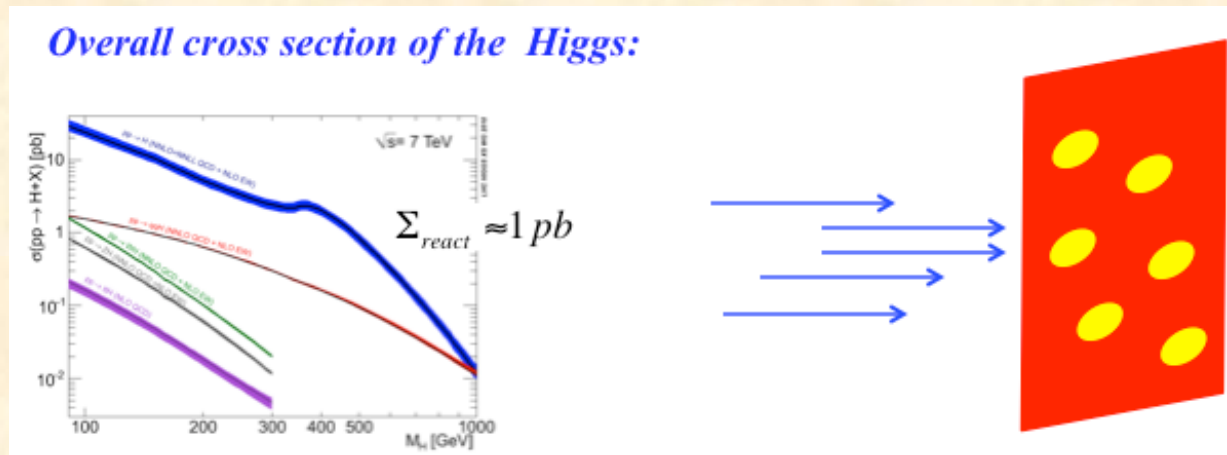
$$\sigma_{x,y} = 16 \text{ } \mu\text{m}$$

$$I_p = 584 \text{ mA}$$

$$L = \frac{1}{4\pi e^2 f_0 n_b} * \frac{I_{p1} I_{p2}}{\sigma_x \sigma_y}$$

$$\sqrt{\varepsilon \beta}$$

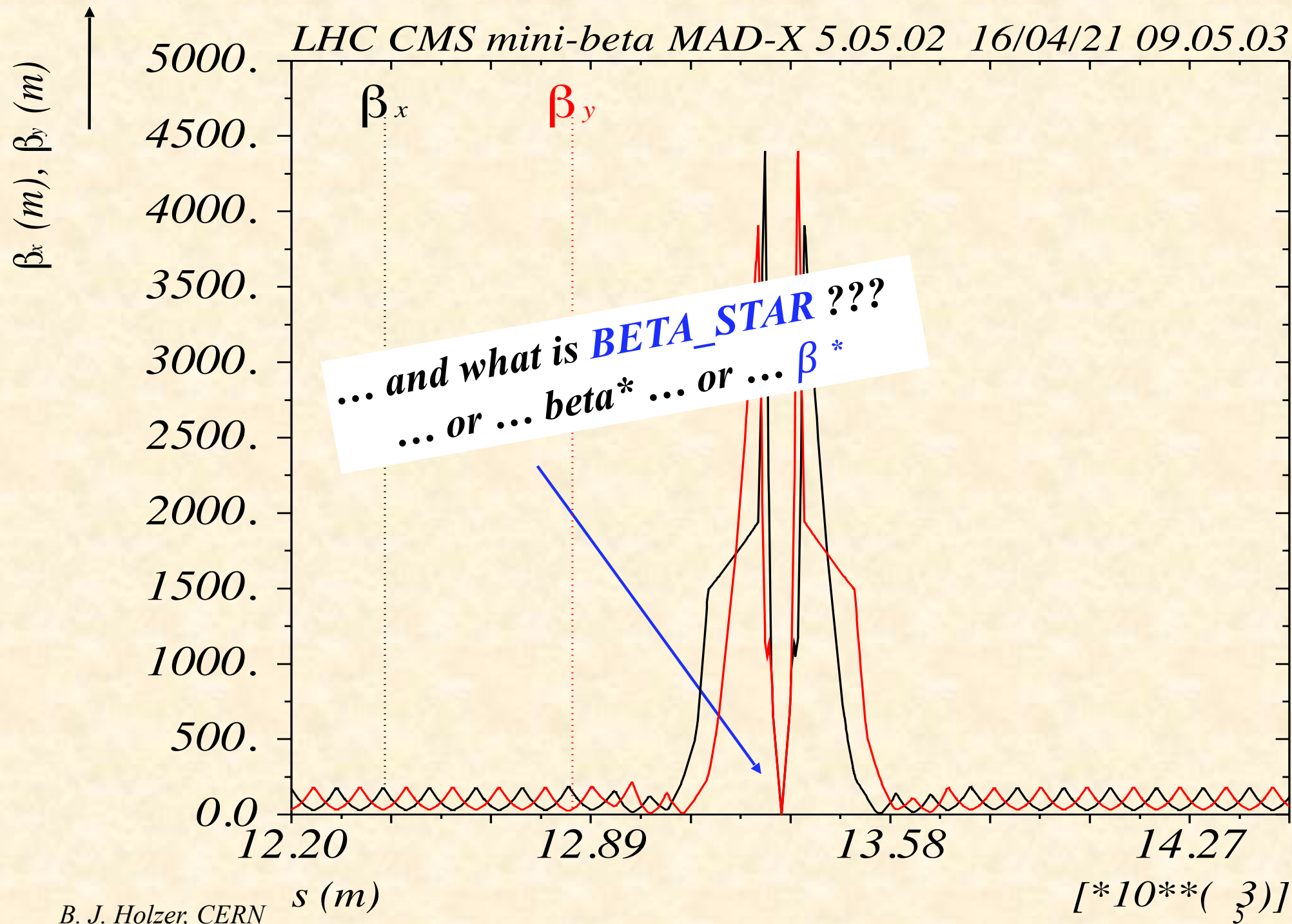
$$L = 1.0 * 10^{34} \text{ } 1/\text{cm}^2 \text{ s}$$



Make β^* as small as possible to achieve μm beam size at the IP !!!

What is a μm ?

12.) Insertions



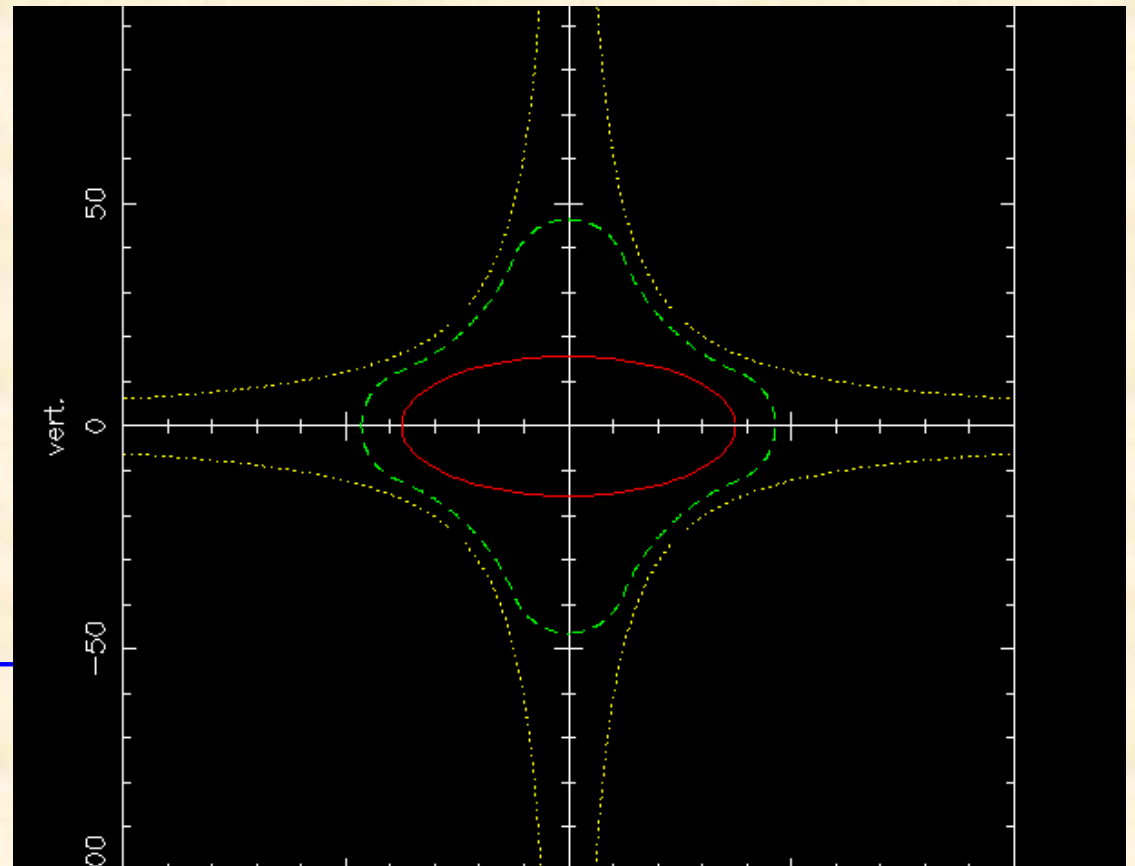
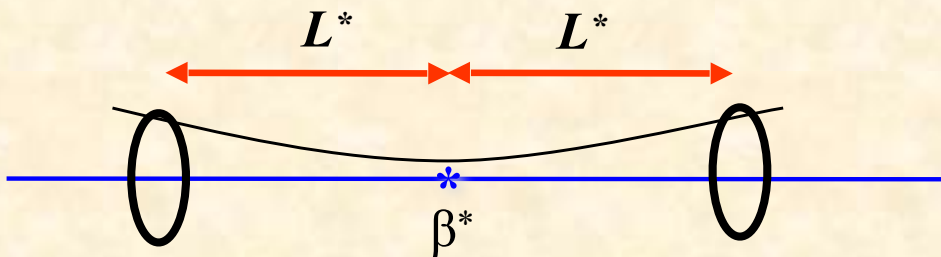
β -Function in a Drift

In a drift, without focusing, the β -function is increasing quadratically.
At the end of a long symmetric drift space *the beta function reaches its maximum value* in the complete lattice.

-> here we get the largest beam dimension.

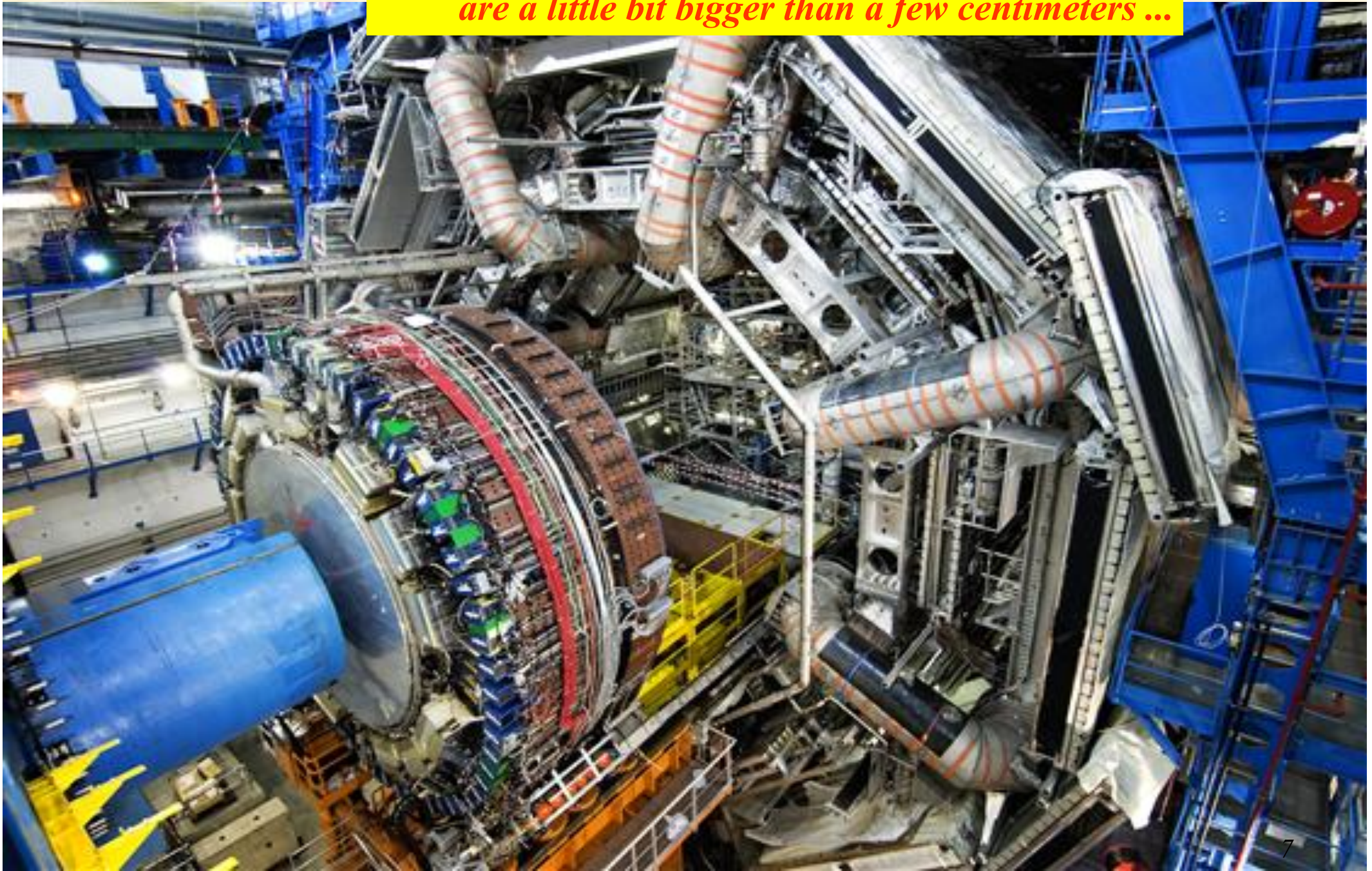
-> keep L^* as small as possible

$$\beta(L) = \beta^* + \frac{L^2}{\beta^*}$$

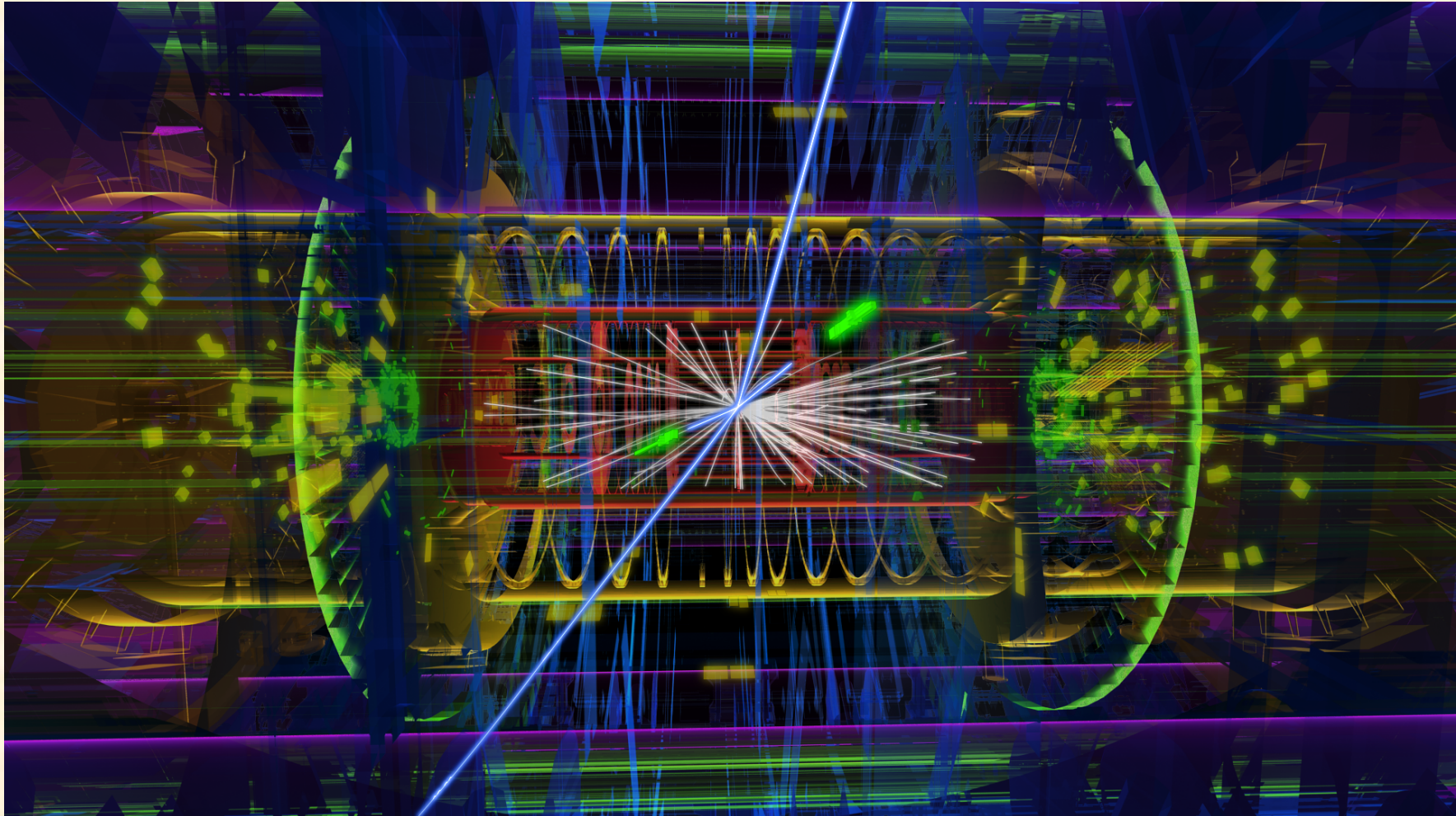


... clearly there is an

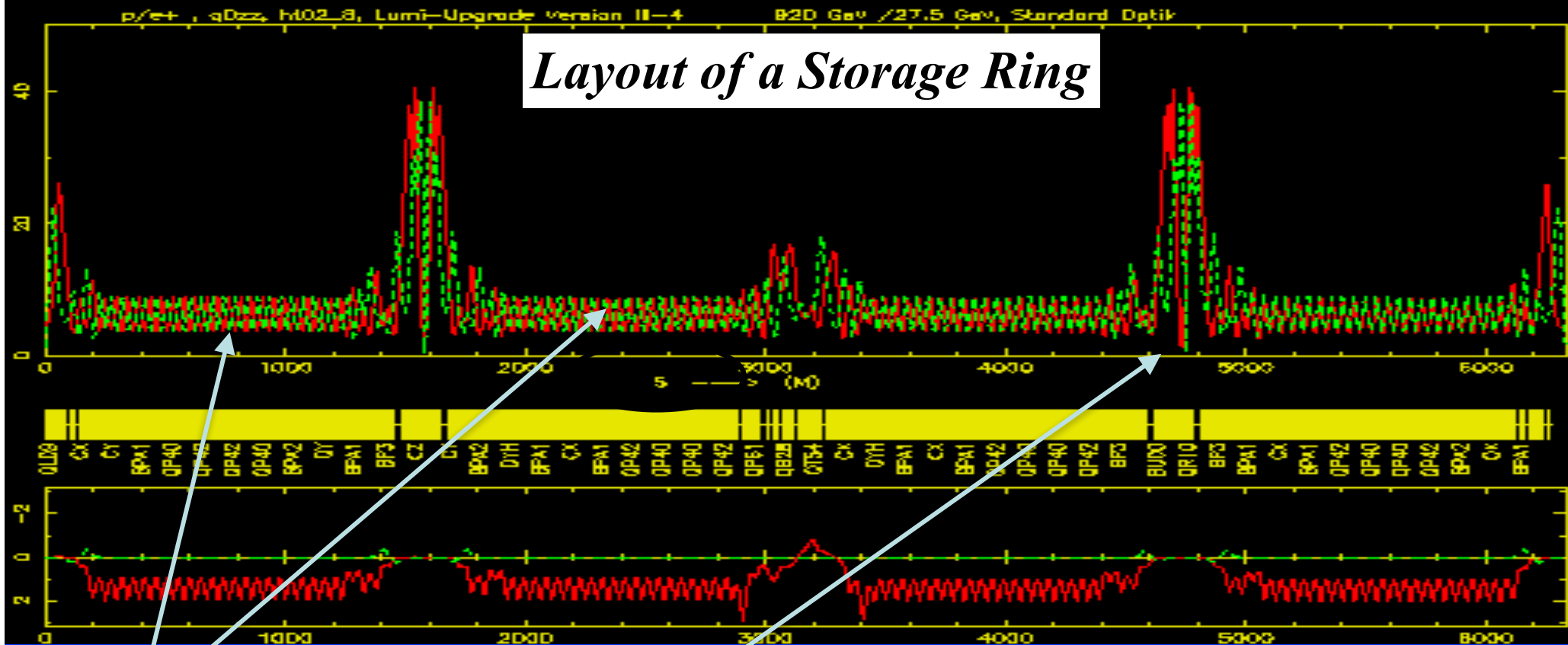
... unfortunately ... in general
high energy detectors that are
installed in that drift spaces
are a little bit bigger than a few centimeters ...



yes ... yes ... there is NO talk without it ...
The Higgs



ATLAS event display: Higgs \Rightarrow two electrons & two muons



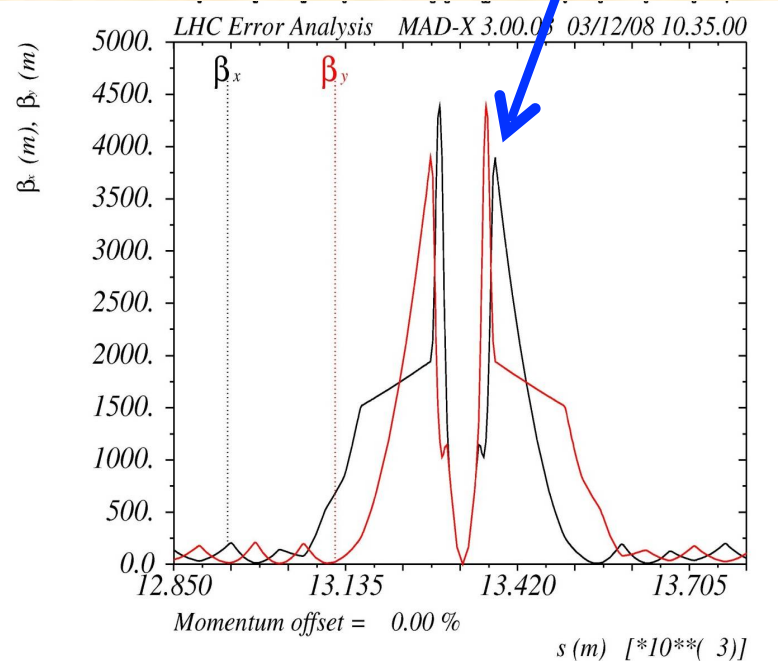
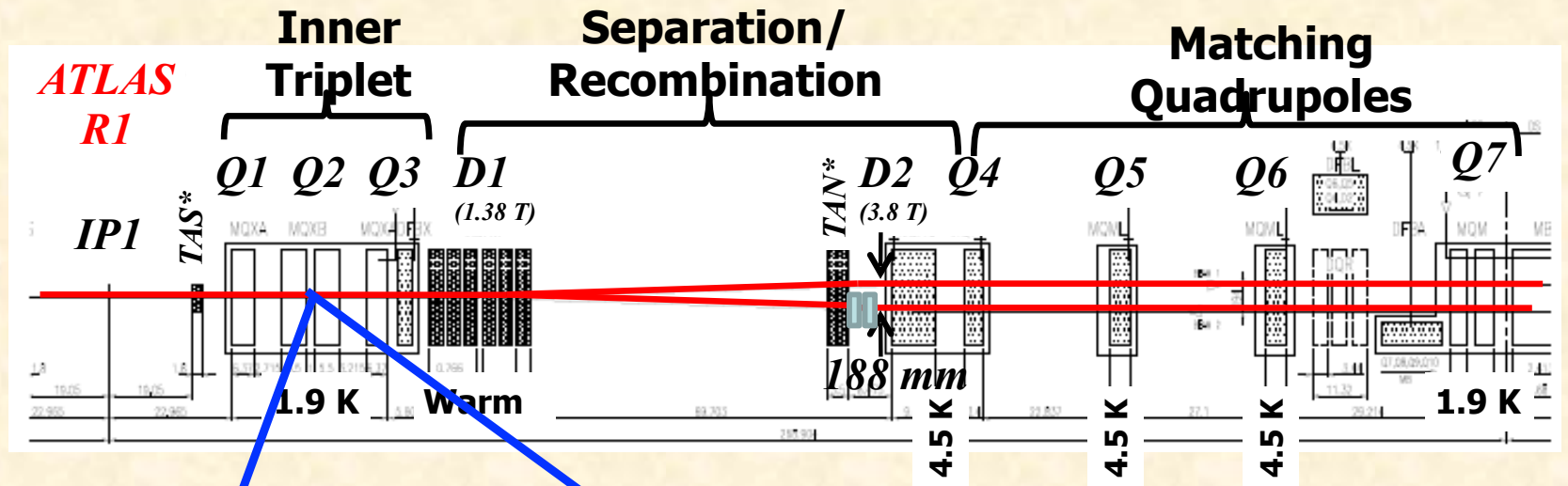
Arc: regular (periodic) magnet structure:

*bending magnets B define the energy of the ring
main focusing & tune control, chromaticity correction,
multipoles for higher order corrections*

Straight sections: *drift spaces for injection, dispersion suppressors,
low beta insertions, RF cavities, etc....*

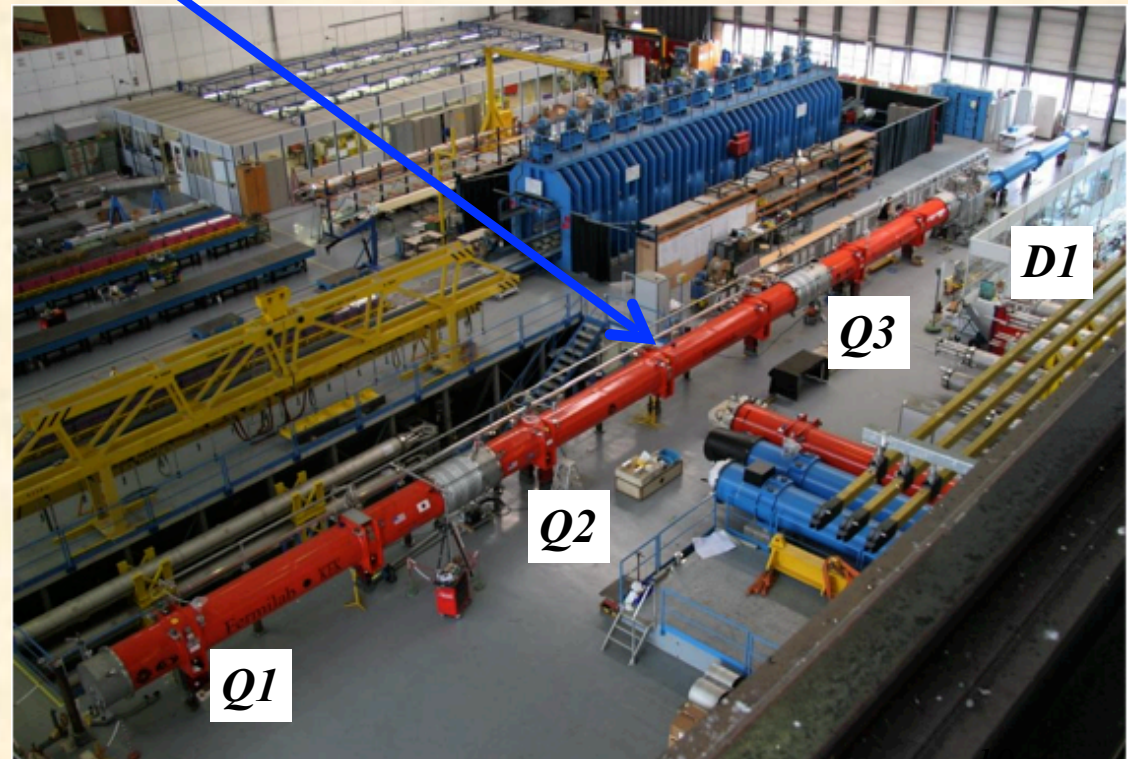
... and the high energy experiments if they cannot be avoided

The LHC Insertions

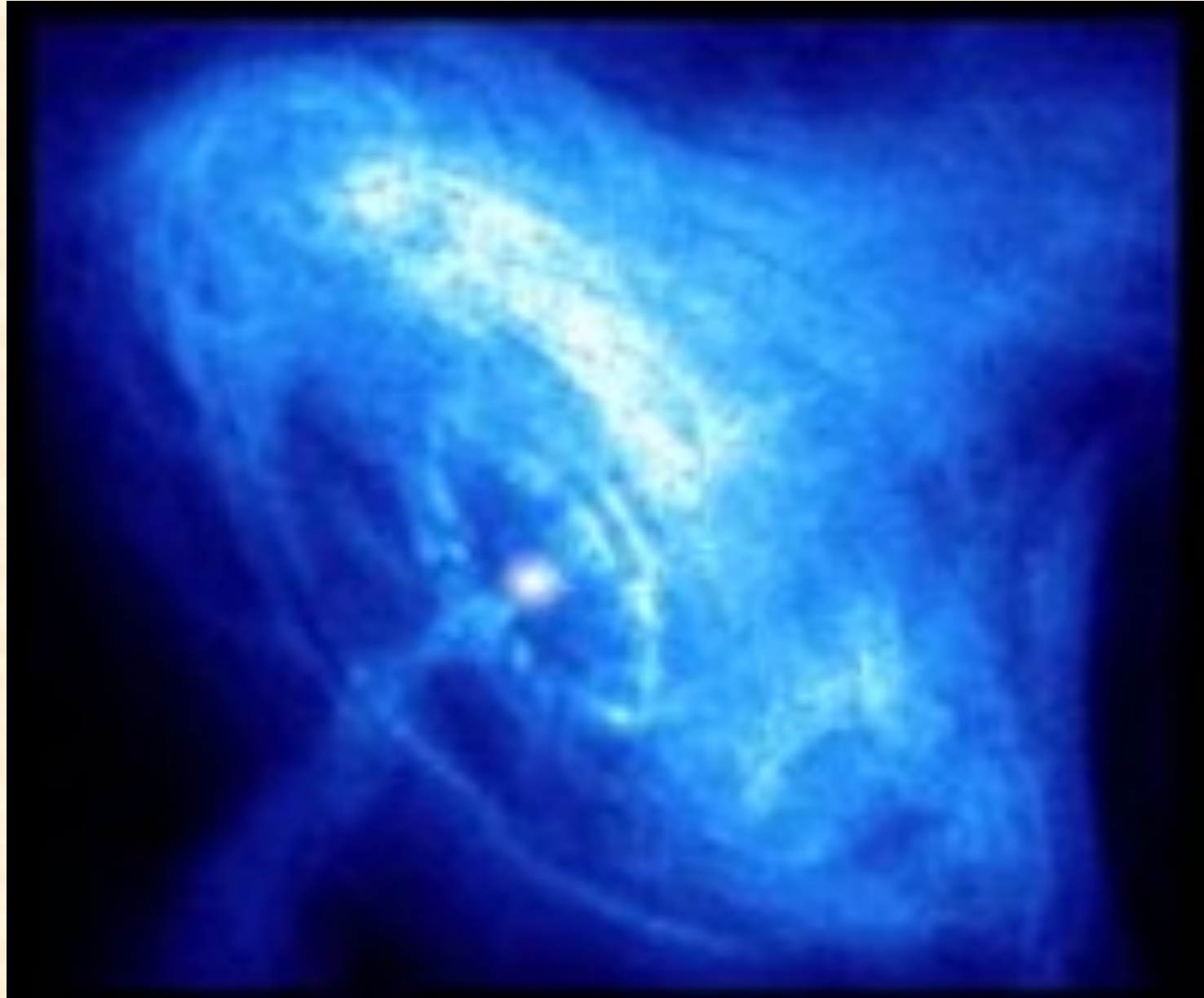


mini β optics

B. J. Holzer, CERN



... finally ... let's talk about acceleration



crab nebula,

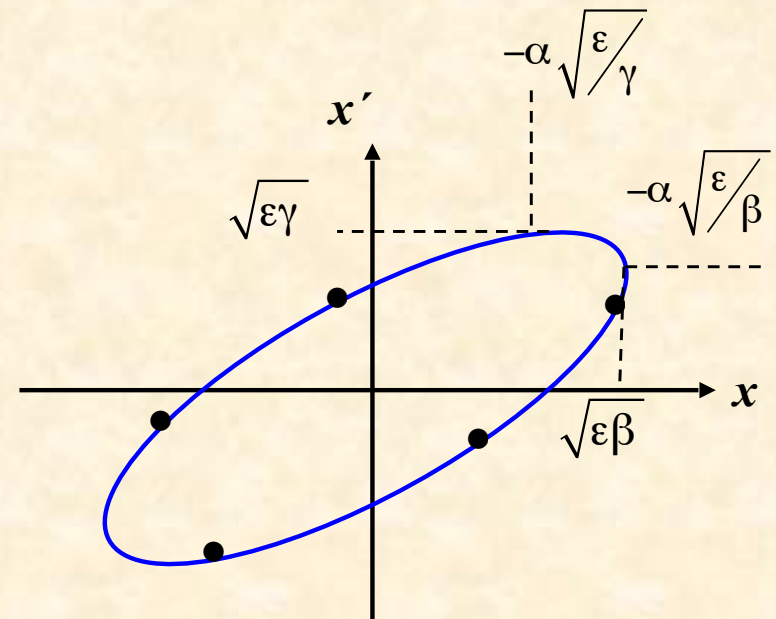
*burst of charged
particles $E = 10^{20} \text{ eV}$*

14.) Liouville during Acceleration

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

Beam Emittance corresponds to the area covered in the x, x' Phase Space Ellipse

Liouville: Area in phase space is constant.



But so sorry ... $\varepsilon \neq \text{const} !$

Classical Mechanics:

phase space = diagram of the two canonical variables
position & *momentum*

x

p_x

*According to Hamiltonian mechanics:
phase space diagram relates the variables q and p*

Liouville's Theorem:

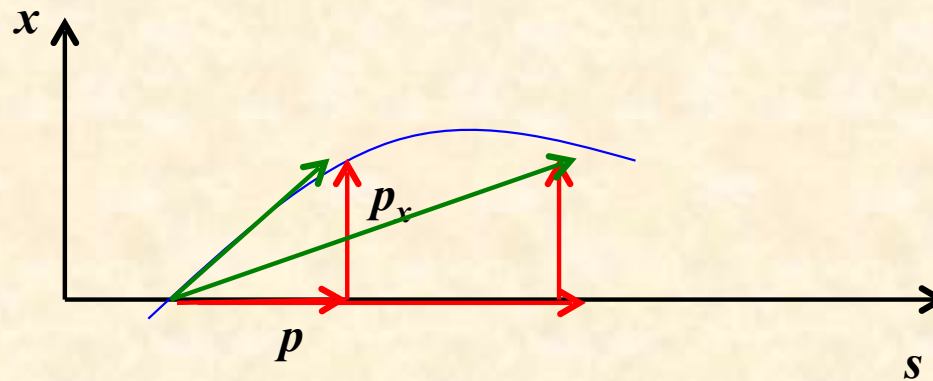
$$\int p dq = \text{const}$$

... referring to the hor. plane

$$\int p_x dx = \text{const}$$

*for convenience (i.e. because we are lazy bones) we use
in accelerator theory:*

$$x' = \frac{dx}{ds} = \frac{dx}{dt} \frac{dt}{ds} = \frac{\beta_x}{\beta} = \frac{p_x}{p}$$



$$\underbrace{\int x' dx}_{\varepsilon} = \frac{\int p_x dx}{p} \propto \frac{\text{const}}{m_0 c \gamma \beta}$$

$$\Rightarrow \varepsilon = \int x' dx \propto \frac{1}{\beta \gamma}$$

*the beam emittance shrinks during
acceleration $\varepsilon \sim 1 / \gamma$*

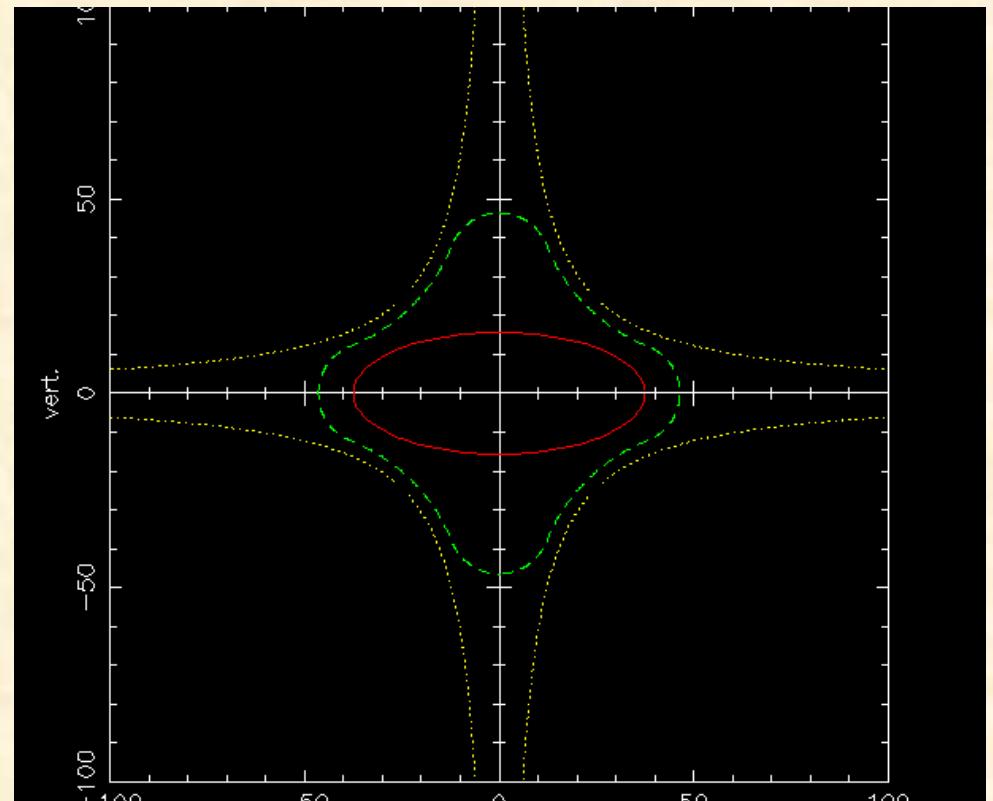
$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\beta_x = \frac{v_x}{c}$$

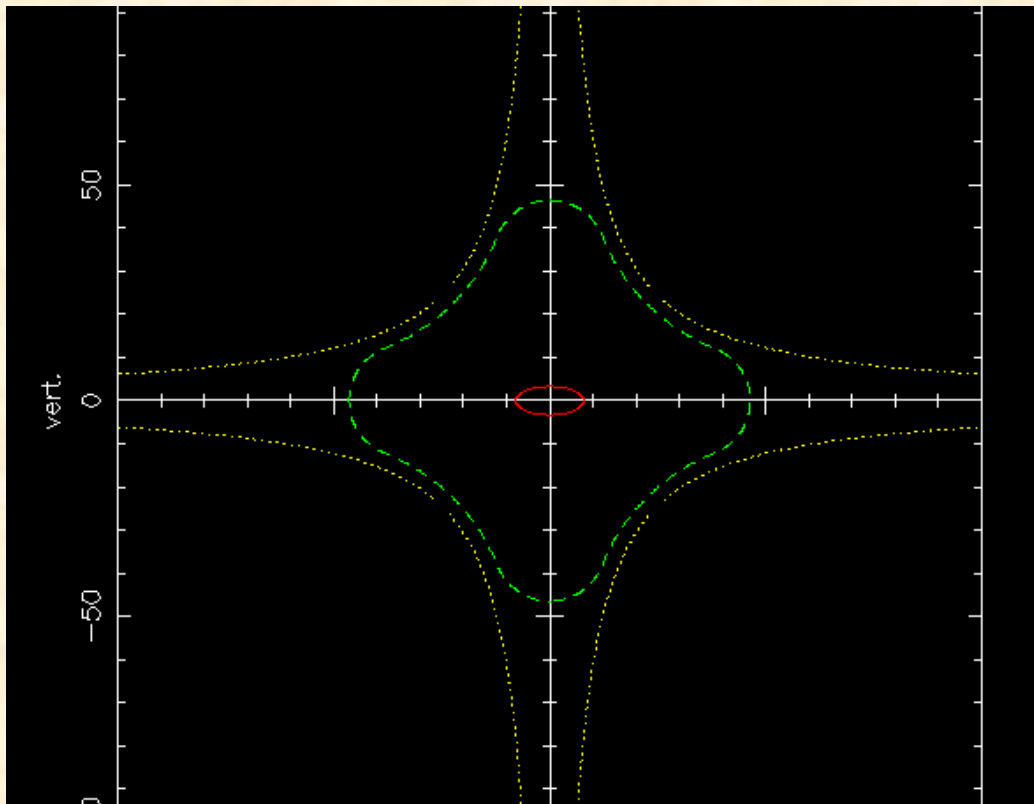
Example: HERA proton ring

injection energy: 40 GeV $\gamma = 43$
flat top energy: 920 GeV $\gamma = 980$

*emittance ε (40 GeV) = $1.2 * 10^{-7}$*
 *ε (920 GeV) = $5.1 * 10^{-9}$*



7 σ beam envelope at E = 40 GeV



... and at E = 920 GeV

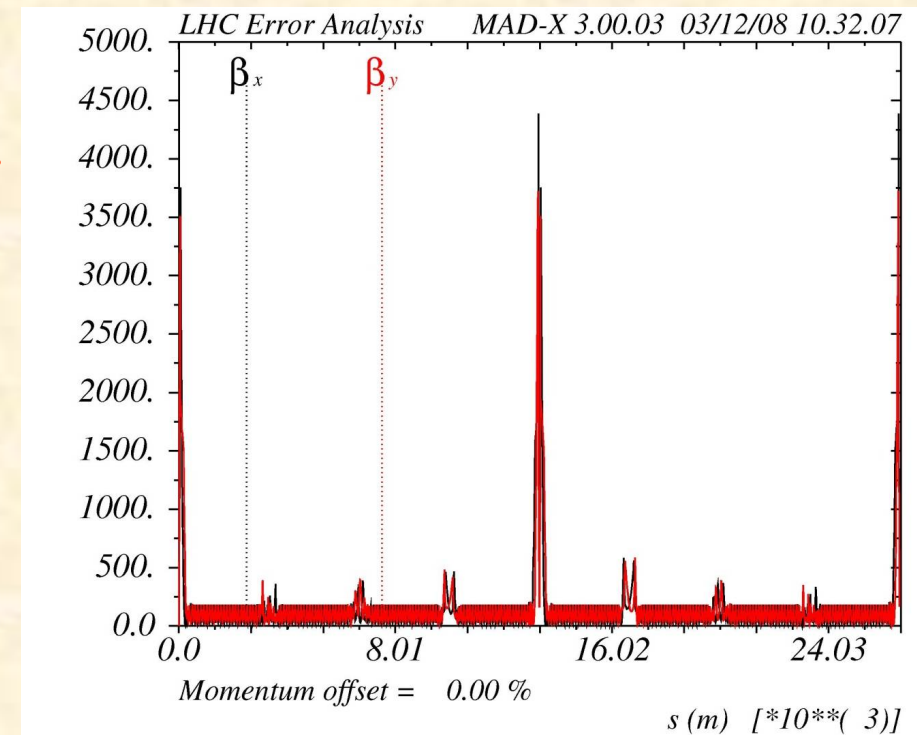
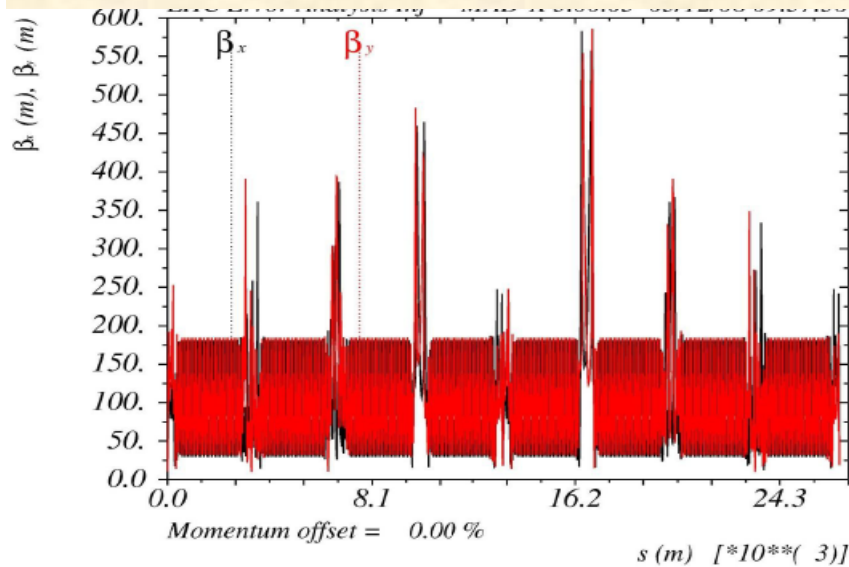
Nota bene:

- 1.) A proton machine ... or an electron linac ... needs the highest aperture at injection energy !!!
as soon as we start to accelerate the **beam size shrinks as $\gamma^{-1/2}$** in both planes.

$$\sigma = \sqrt{\varepsilon \beta}$$

- 2.) At lowest energy the machine will have the major aperture problems,
→ here we have to **minimise $\hat{\beta}$**

- 3.) we need **different beam optics** adopted to the energy:
A Mini Beta concept will only be adequate at flat top.



**LHC mini beta
optics at 7000 GeV**

**LHC injection
optics at 450 GeV**

Liouville during Acceleration

Protons

... shrink during acceleration

ATTENTION !!!

Electron beams *in a storage ring are determined by light emission and behave completely different.*

... they grow.

The „ not so ideal world “

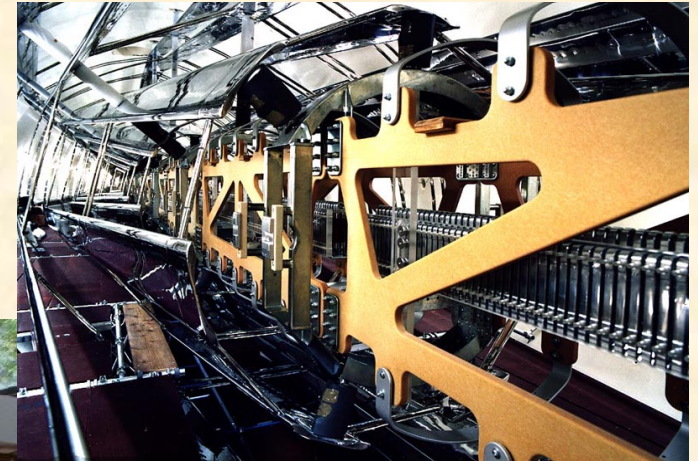
15.) The „ $\Delta p / p \neq 0$ “ Problem

*ideal accelerator: all particles will see the **same accelerating voltage.***

$$\rightarrow \Delta p / p = 0$$

„nearly ideal“ accelerator: Cockroft Walton or van de Graaf

$$\Delta p / p \approx 10^{-5}$$



Vivitron, Straßbourg, inner structure of the acc. section

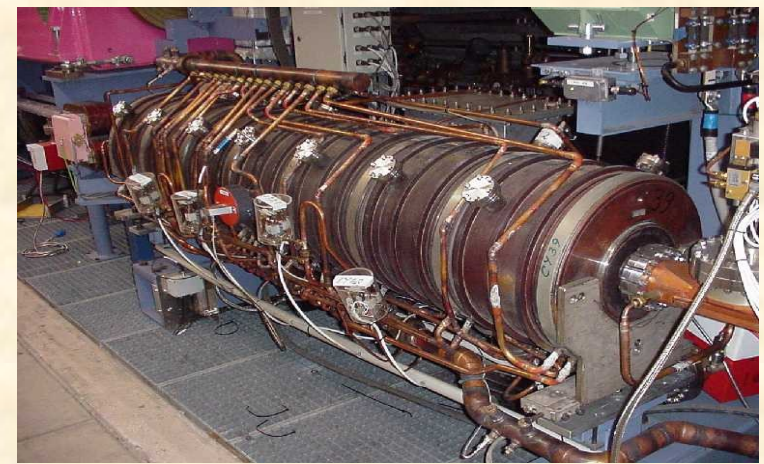


MP Tandem van de Graaf Accelerator at MPI for Nucl. Phys. Heidelberg

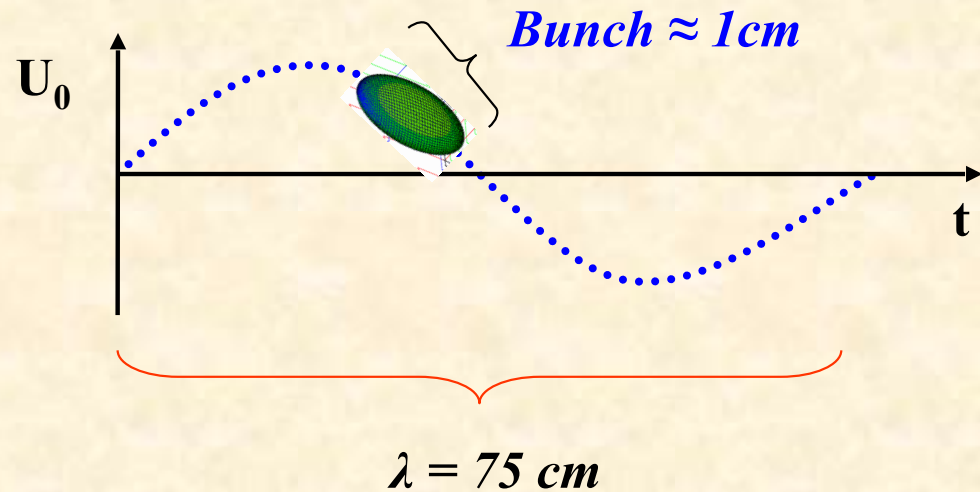
RF Acceleration-Problem: panta rhei !!!

(Heraklit: 540-480 v. Chr.)

just a stupid (and a little bit wrong) example)



storage ring rf cavity



$$\left. \begin{array}{l} \nu = 400 MHz \\ c = \lambda \nu \end{array} \right\} \lambda = 75 cm$$

$$\sin(90^\circ) = 1$$

$$\sin(84^\circ) = 0.994$$

$$\frac{\Delta U}{U} = 6.0 \cdot 10^{-3}$$

typical momentum spread of an electron bunch:

$$\frac{\Delta p}{p} \approx 1.0 \cdot 10^{-3}$$

Dispersive and Chromatic Effects: $\Delta p/p \neq 0$



Are there any Problems ???

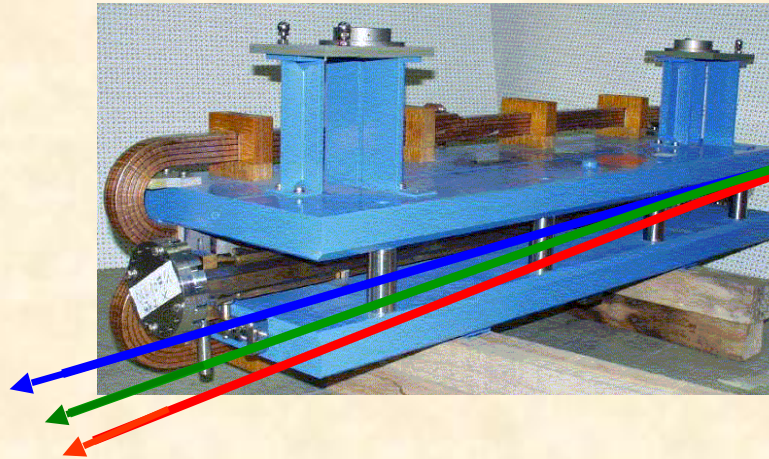
Sure there are !!!

*font colors due to
pedagogical reasons*

16.) Dispersion and Chromaticity: Magnet Errors for $\Delta p/p \neq 0$

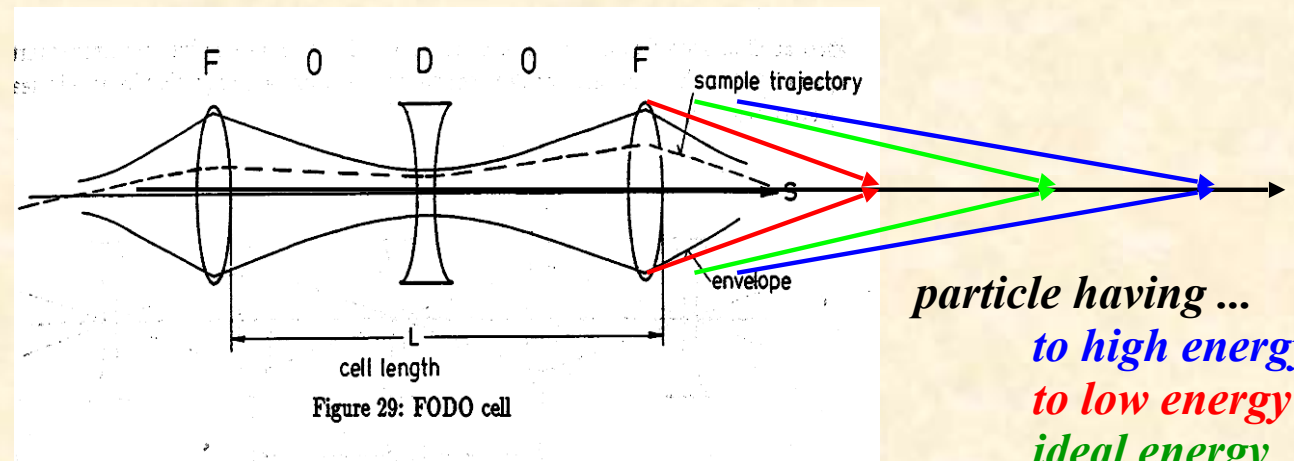
Influence of external fields on the beam: *prop. to magn. field & prop. zu $1/p$*

dipole magnet $\alpha = \frac{\int B \, dl}{p/e}$



$$x_D(s) = D(s) \frac{\Delta p}{p}$$

focusing lens $k = \frac{g}{p/e}$



Dispersion

the typical Formula 1 effect:

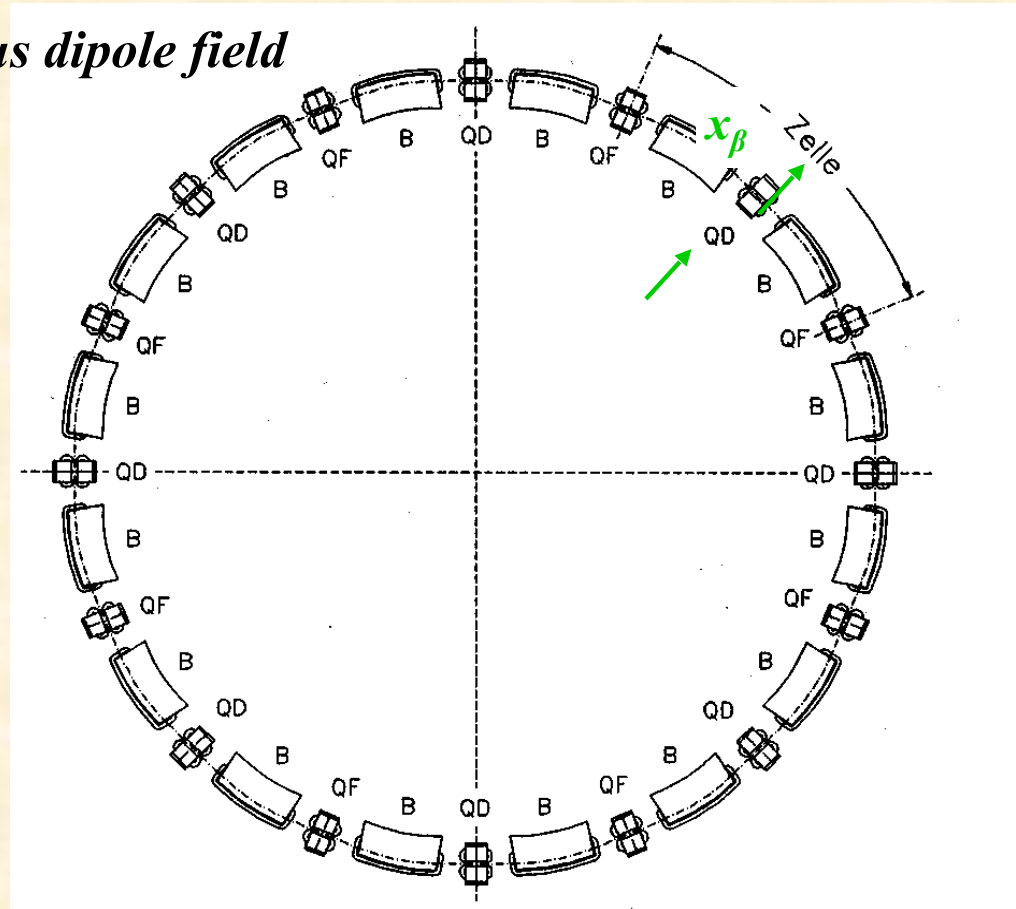
*Those who are faster (have higher momentum) ...
... are running on a larger circle.*

BUT

they are focused nevertheless.

Dispersion

Example: homogeneous dipole field



dit for $\Delta p/p > 0$

$$D(s) \cdot \frac{\Delta p}{p}$$

Matrix formalism:

$$x(s) = x_\beta(s) + D(s) \cdot \frac{\Delta p}{p}$$

$$x(s) = C(s) \cdot x_0 + S(s) \cdot x'_0 + D(s) \cdot \frac{\Delta p}{p}$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_0 + \frac{\Delta p}{p} \begin{pmatrix} D \\ D' \end{pmatrix}_0$$

or expressed as 3x3 matrix

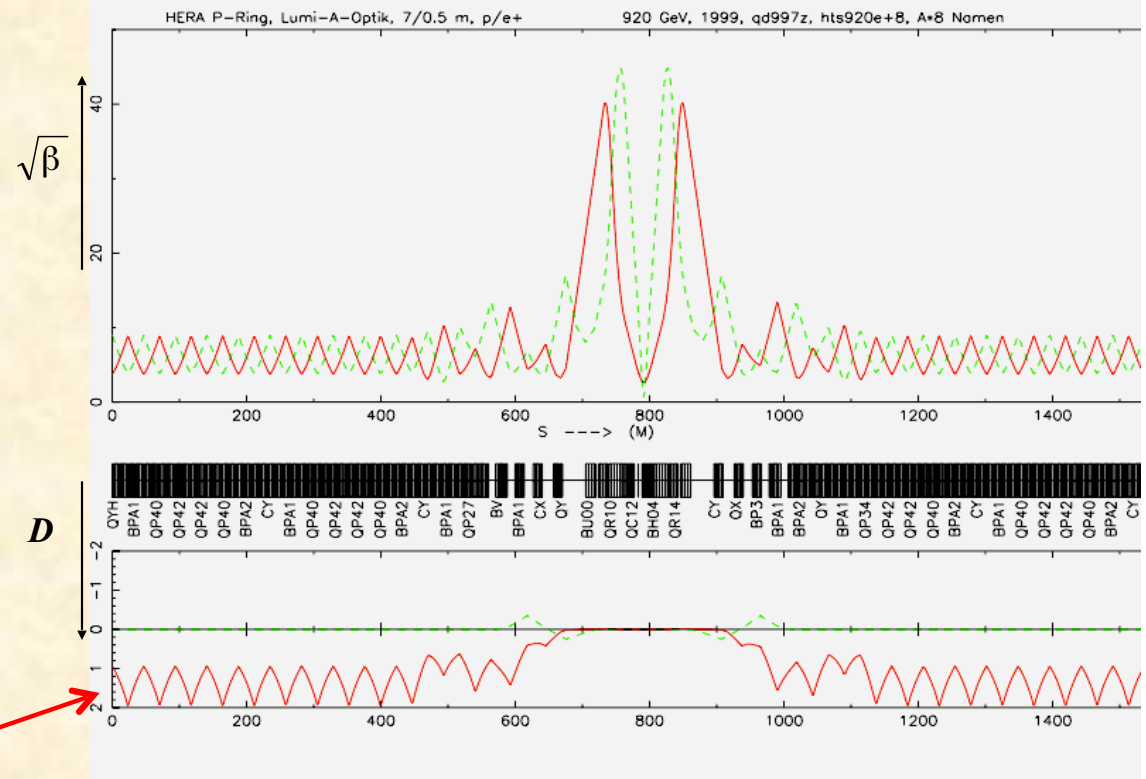
$$\begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_0$$

Example

$$x_\beta = 1 \dots 2 \text{ mm}$$

$$D(s) \approx 1 \dots 2 \text{ m}$$

$$\Delta p/p \approx 1 \cdot 10^{-3}$$



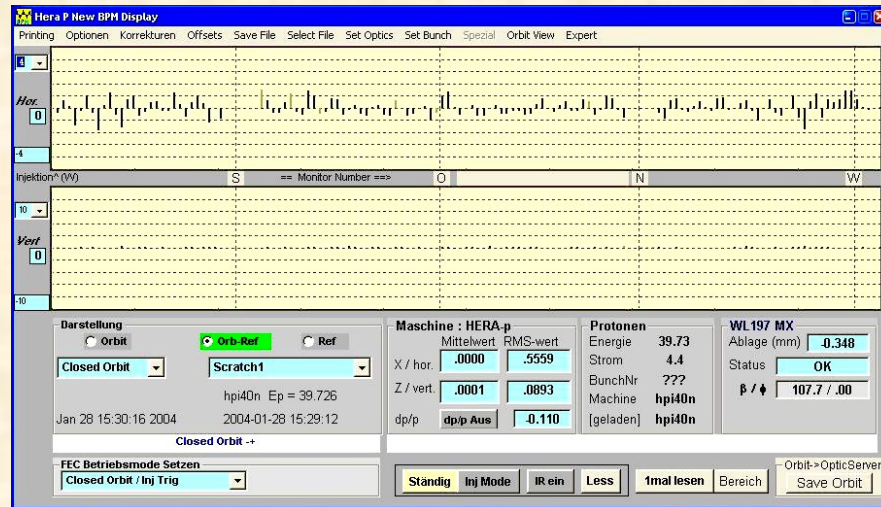
Amplitude of Orbit oscillation
contribution due to Dispersion \approx beam size
 \rightarrow Dispersion must vanish at the collision point



Calculate D, D' : ... takes a couple of sunny Sunday evenings !

$$D(s) = S(s) \int_{s_0}^{s_1} \frac{1}{\rho} C(\tilde{s}) d\tilde{s} - C(s) \int_{s_0}^{s_1} \frac{1}{\rho} S(\tilde{s}) d\tilde{s}$$

Dispersion is visible



HERA Standard Orbit

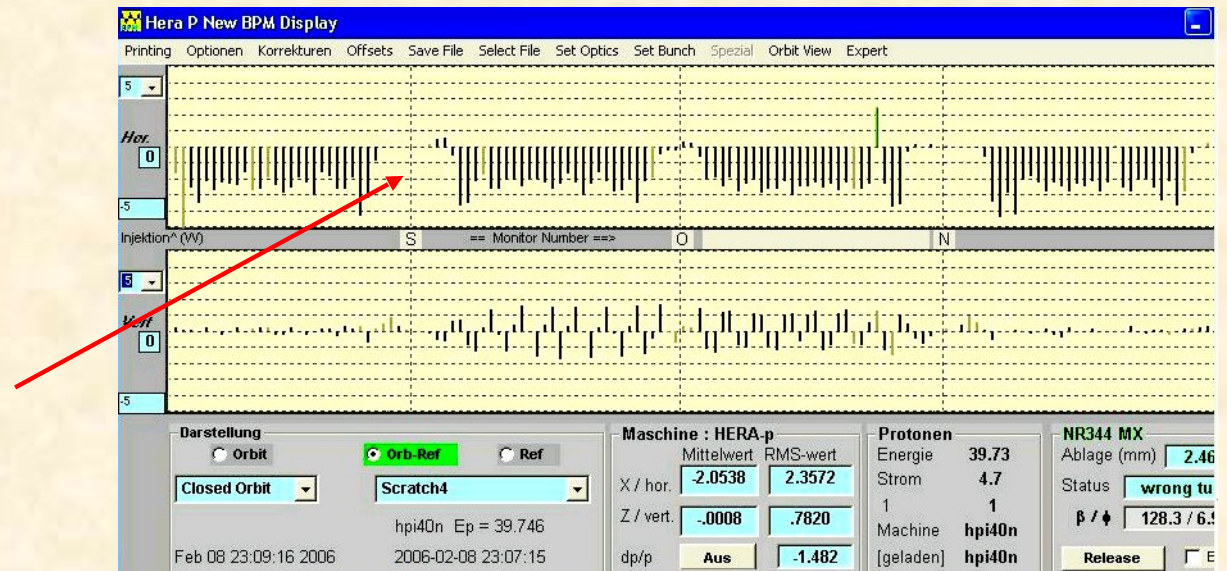
dedicated energy change of the stored beam

→ closed orbit is moved to a
dispersions trajectory

$$x_d = D(s) * \frac{\Delta p}{p}$$

**Attention: at the Interaction Points
we require $D=D'=0$**

HERA Dispersion Orbit



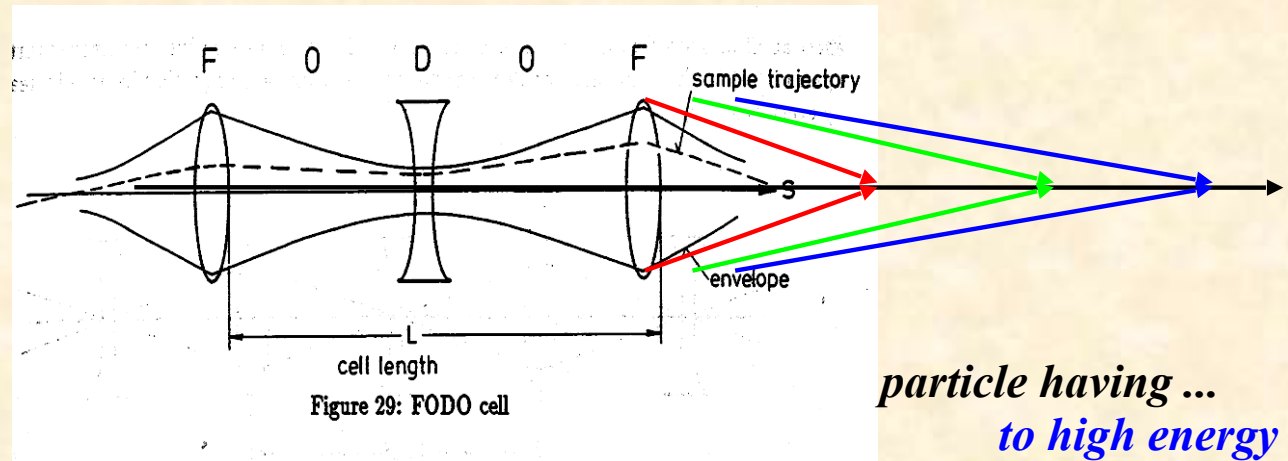
17.) Chromaticity:

A Quadrupole Error for $\Delta p/p \neq 0$

Influence of external fields on the beam: *prop. to magn. field & prop. zu $1/p$*

Remember the normalisation
of the external fields:

focusing lens $k = \frac{g}{p/e}$



a *particle that has a higher momentum* feels a weaker quadrupole gradient and *has a lower tune*.

$$k \rightarrow k - \Delta k \quad Q \rightarrow Q - \Delta Q$$

definition of chromaticity:

$$\Delta Q = Q' \frac{\Delta p}{p}$$

... what is wrong about Chromaticity:

Every individual particle has an individual momentum and thus an individual tune.

*Q' is a **number** indicating the **size of the tune spot** in the working diagram,
 Q' is always created if the beam is focussed*

*→ it is determined by the focusing strength **k** of all quadrupoles*

$$\Delta Q = -\frac{1}{4\pi} \frac{\Delta p}{p_0} k_0 \beta(s) ds \qquad Q' = -\frac{1}{4\pi} \oint k(s) \beta(s) ds$$

k = quadrupole strength

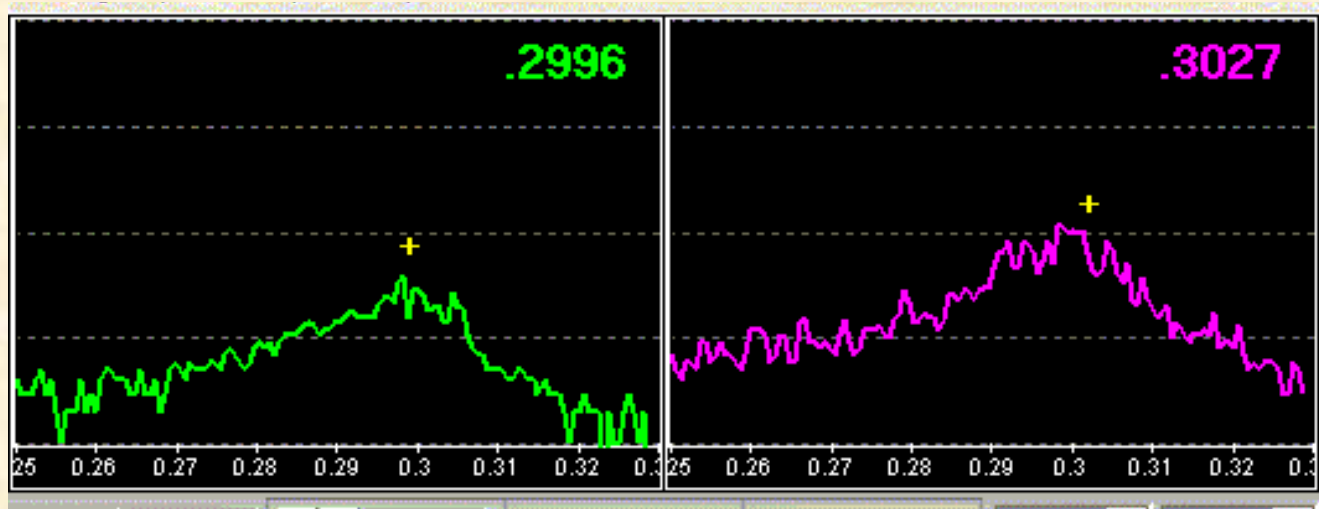
*β = **betafunction** indicates the beam size ... and even more the **sensitivity of the beam to external fields***

Example: LHC

$$\begin{aligned} Q' &= 250 \\ \Delta p/p &= \pm 0.2 \cdot 10^{-3} \\ \Delta Q &= 0.256 \dots 0.36 \end{aligned}$$

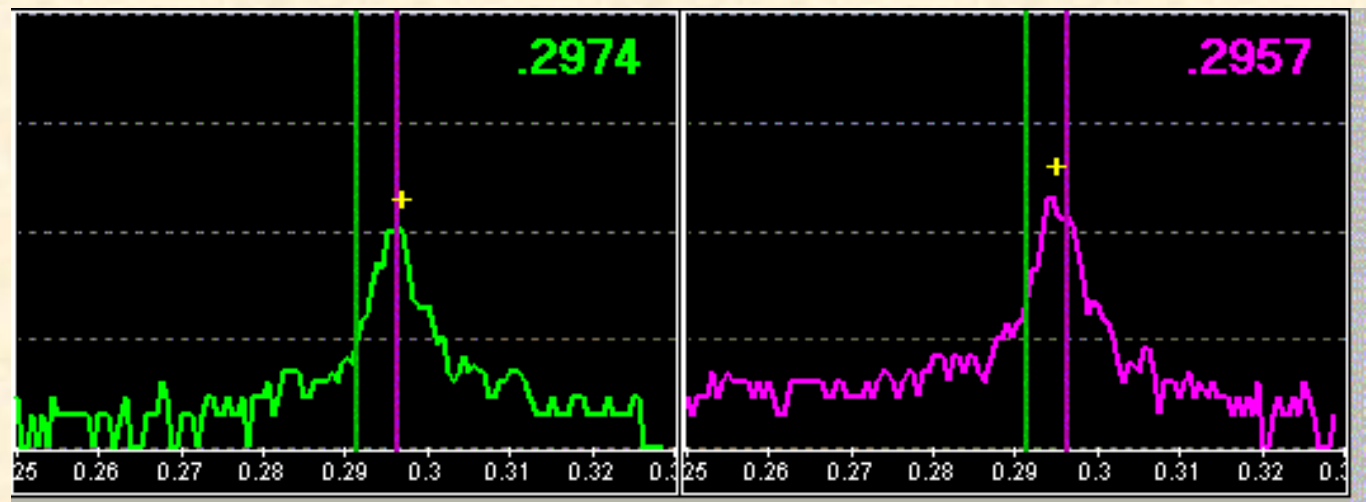
→ Some particles get very close to resonances and are lost

*in other words: the tune is not a point
it is a **pancake***



Tune signal for a nearly
uncompensated chromaticity
($Q' \approx 20$)

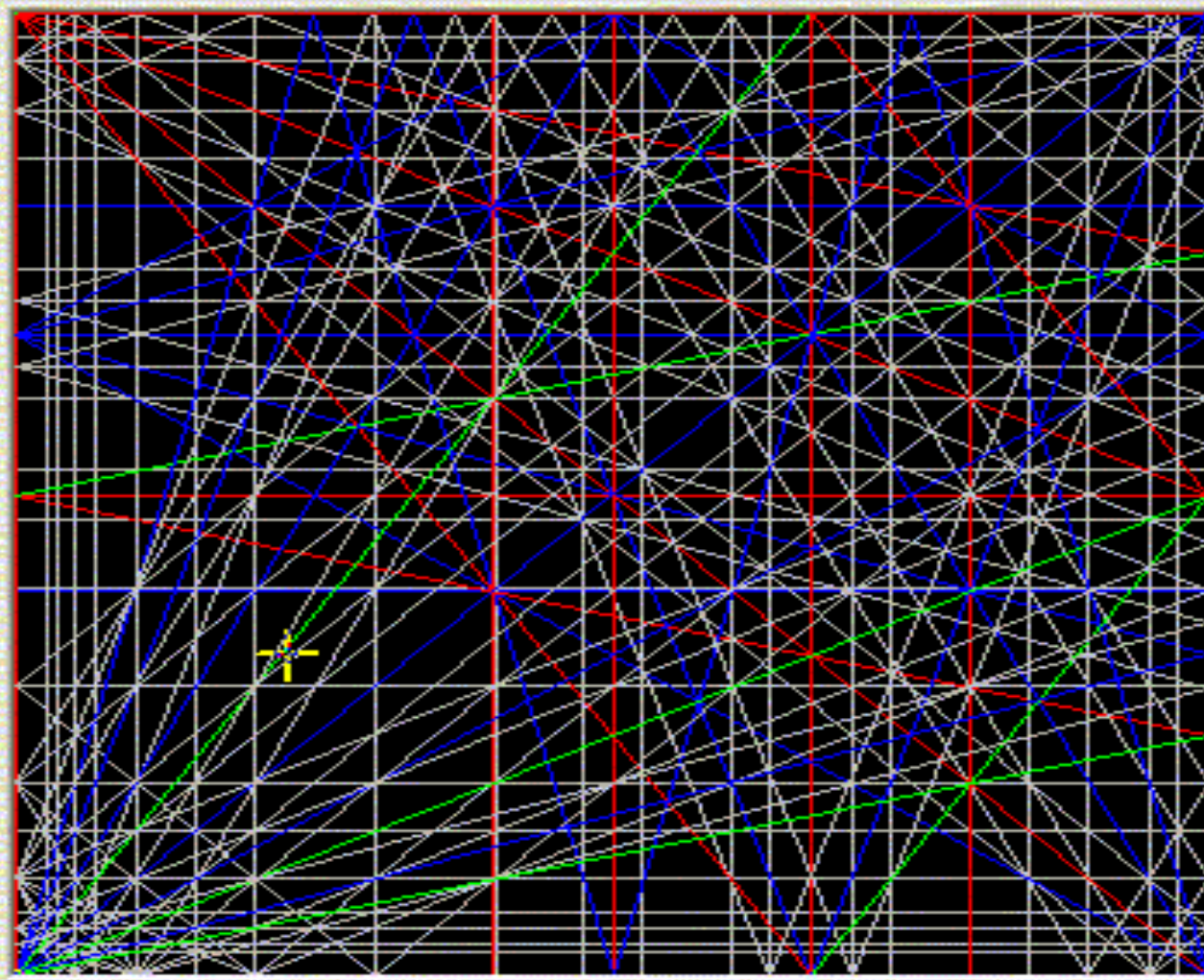
Ideal situation: chromaticity well corrected,
($Q' \approx 1$)



Tune and Resonances

$$m*Q_x + n*Q_y + l*Q_s = \text{integer}$$

Tune diagram up to 3rd order



... and up to 7th order

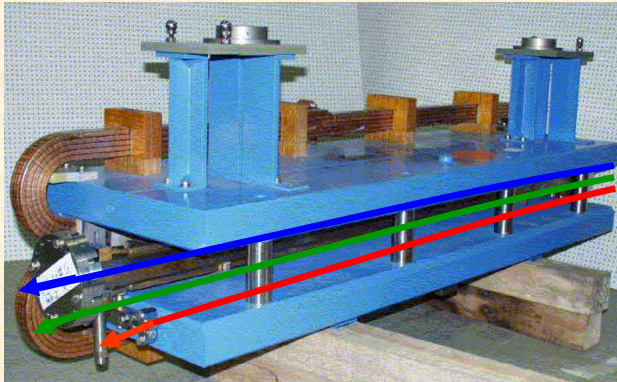
Homework for the operators:
find a nice place for the tune
where against all probability
the beam will survive

Correction of Chromaticity:

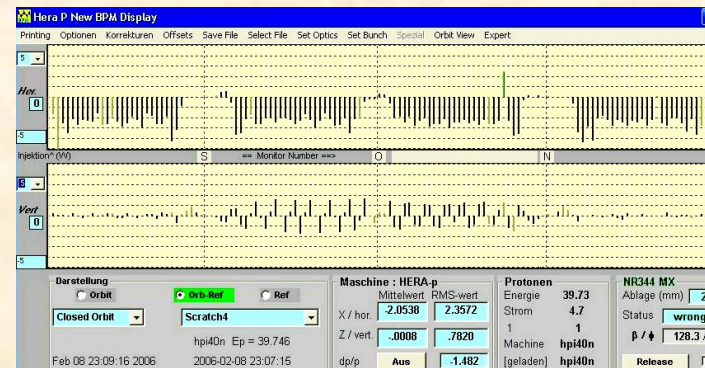
Need: additional quadrupole strength for each momentum deviation $\Delta p/p$

1.) *sort the particles according to their momentum*

$$x_D(s) = D(s) \frac{\Delta p}{p}$$



... using the dispersion function



2.) *apply a magnetic field that rises quadratically with x (sextupole field)*

$$\left. \begin{aligned} B_x &= \tilde{g}xy \\ B_y &= \frac{1}{2}\tilde{g}(x^2 - y^2) \end{aligned} \right\} \quad \frac{\partial B_x}{\partial y} = \frac{\partial B_y}{\partial x} = \tilde{g}x$$

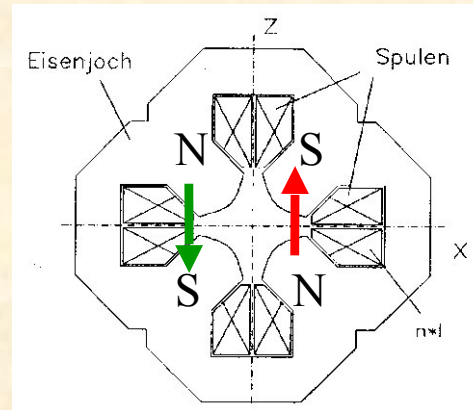
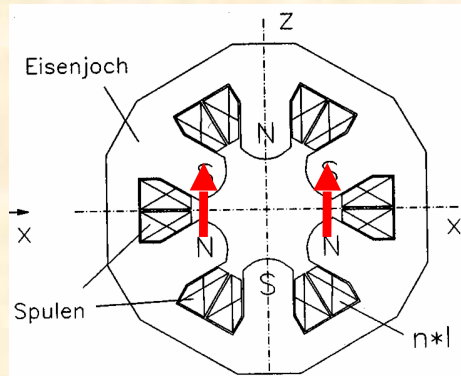
—> amplitude dependent gradient

Correction of Q' :

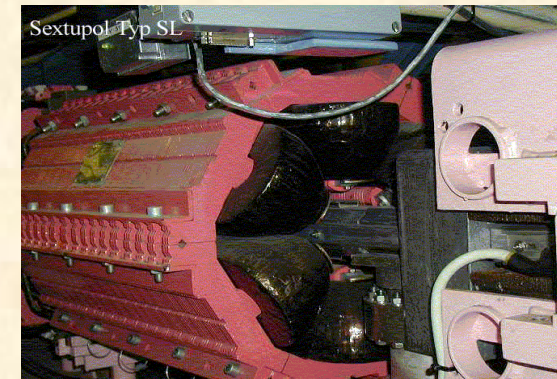
k_1 normalised quadrupole strength

k_2 normalised sextupole strength

Sextupole Magnets:



$$k_1(\text{sext}) = \frac{\tilde{g}x}{p/e} = k_2 * x$$
$$= k_2 * D \frac{\Delta p}{p}$$



Combined effect of „natural chromaticity“ and Sextupole Magnets:

$$Q' = -\frac{1}{4\pi} \left\{ \int k_1(s) \beta(s) ds + \int k_2(s) D(s) \beta(s) ds \right\}$$

*You only should not forget to correct Q' in both planes ...
and take into account the contribution from quadrupoles of both polarities.*

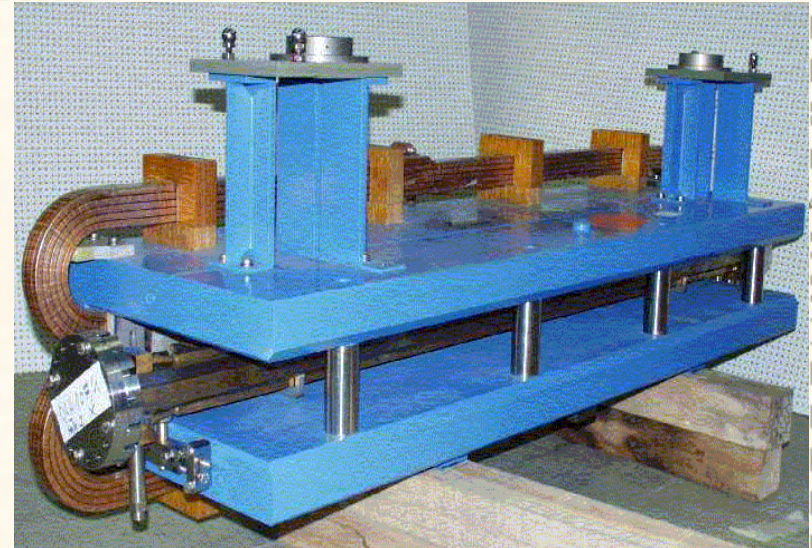
A word of caution: keep non-linear terms in your storage ring low.

bn at injection

```

b1M_MQXCD_inj := 0.0000 ; b1U_MQXCD_inj :=
b2M_MQXCD_inj := 0.0000 ; b2U_MQXCD_inj :=
b3M_MQXCD_inj := 0.0000 ; b3U_MQXCD_inj :=
b4M_MQXCD_inj := 0.0000 ; b4U_MQXCD_inj :=
b5M_MQXCD_inj := 0.0000 ; b5U_MQXCD_inj :=
b6M_MQXCD_inj := 0.0000 ; b6U_MQXCD_inj :=
b7M_MQXCD_inj := 0.0000 ; b7U_MQXCD_inj :=
b8M_MQXCD_inj := 0.0000 ; b8U_MQXCD_inj :=
b9M_MQXCD_inj := 0.0000 ; b9U_MQXCD_inj :=
b10M_MQXCD_inj := 0.5000 ; b10U_MQXCD_inj :=
b11M_MQXCD_inj := 0.0000 ; b11U_MQXCD_inj :=
b12M_MQXCD_inj := 0.0000 ; b12U_MQXCD_inj :=
b13M_MQXCD_inj := 0.0000 ; b13U_MQXCD_inj :=
b14M_MQXCD_inj := -0.2700 ; b14U_MQXCD_inj :=
b15M_MQXCD_inj := 0.0000 ; b15U_MQXCD_inj :=

```

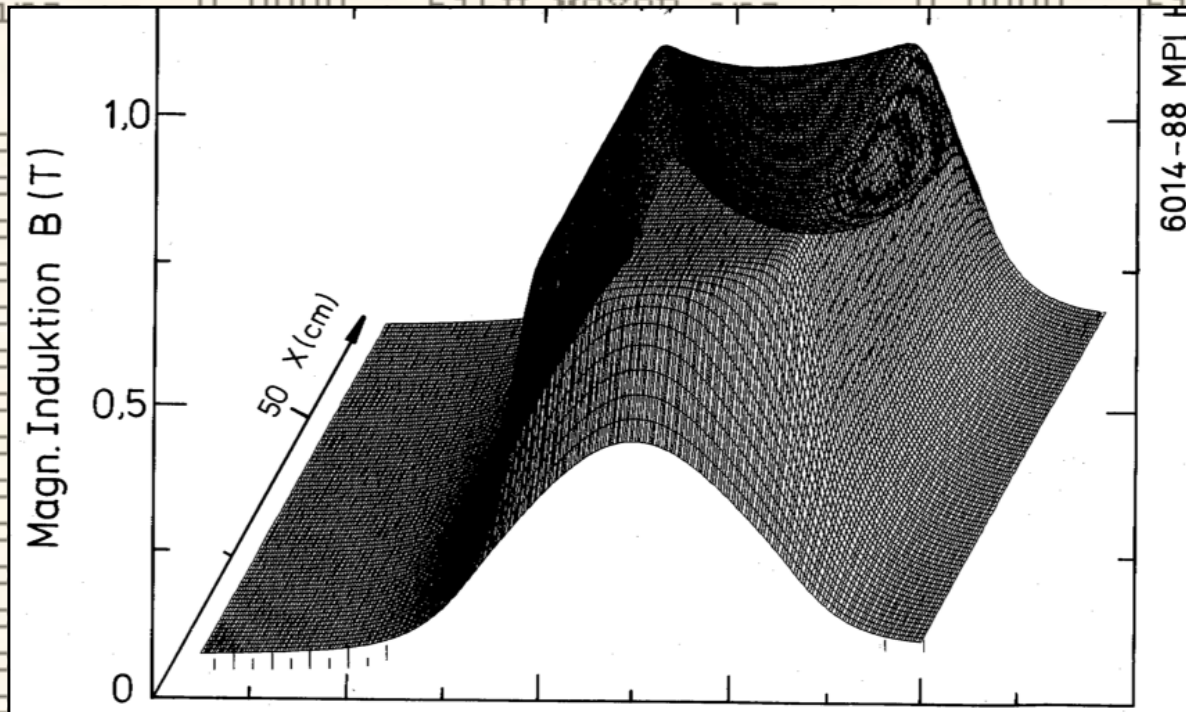


```

0000
0000
8900
6400
4600
2800
2100
1600
0800
0600
0300
0200
0100
0100
0000

```

$$B_y + iB_x = B_{ref} * \sum_{n=1}^{\infty} (b_n + ia_n) \left(\frac{x + iy}{r_0} \right)^{n-1}$$



6014-88 MPI

```

b1M_MQXCD_col := 0.0000 ; b1U_MQXCD_col := 0.0000 ; b15R_MQXCD_col := 0.0000
b2M_MQXCD_col := 0.0000 ; b2U_MQXCD_col := 0.0000 ; b15R_MQXCD_col := 0.0000
b3M_MQXCD_col := 0.8900 ; b3U_MQXCD_col := 0.8900 ; b15R_MQXCD_col := 0.8900
b4M_MQXCD_col := 0.6400 ; b4U_MQXCD_col := 0.6400 ; b15R_MQXCD_col := 0.6400
b5M_MQXCD_col := 0.3030 ; b5U_MQXCD_col := 0.3030 ; b15R_MQXCD_col := 0.3030
b6M_MQXCD_col := 0.9600 ; b6U_MQXCD_col := 0.9600 ; b15R_MQXCD_col := 0.9600
b7M_MQXCD_col := 0.2001 ; b7U_MQXCD_col := 0.2001 ; b15R_MQXCD_col := 0.2001
b8M_MQXCD_col := 0.1600 ; b8U_MQXCD_col := 0.1600 ; b15R_MQXCD_col := 0.1600
b9M_MQXCD_col := 0.0800 ; b9U_MQXCD_col := 0.0800 ; b15R_MQXCD_col := 0.0800
b10M_MQXCD_col := 0.0600 ; b10U_MQXCD_col := 0.0600 ; b15R_MQXCD_col := 0.0600
b11M_MQXCD_col := 0.0300 ; b11U_MQXCD_col := 0.0300 ; b15R_MQXCD_col := 0.0300
b12M_MQXCD_col := 0.0200 ; b12U_MQXCD_col := 0.0200 ; b15R_MQXCD_col := 0.0200
b13M_MQXCD_col := 0.0100 ; b13U_MQXCD_col := 0.0100 ; b15R_MQXCD_col := 0.0100
b14M_MQXCD_col := 0.0100 ; b14U_MQXCD_col := 0.0100 ; b15R_MQXCD_col := 0.0100
b15M_MQXCD_col := 0.0000 ; b15U_MQXCD_col := 0.0000 ; b15R_MQXCD_col := 0.0000

```

```

R_MQXCD_col := 0.0000
R_MQXCD_col := 0.0000
R_MQXCD_col := 0.8900
R_MQXCD_col := 0.6400
R_MQXCD_col := 0.3030
R_MQXCD_col := 0.9600
R_MQXCD_col := 0.2001
R_MQXCD_col := 0.1600
R_MQXCD_col := 0.0800
R_MQXCD_col := 0.0600
R_MQXCD_col := 0.0300
R_MQXCD_col := 0.0200
R_MQXCD_col := 0.0100
R_MQXCD_col := 0.0100
R_MQXCD_col := 0.0000

```

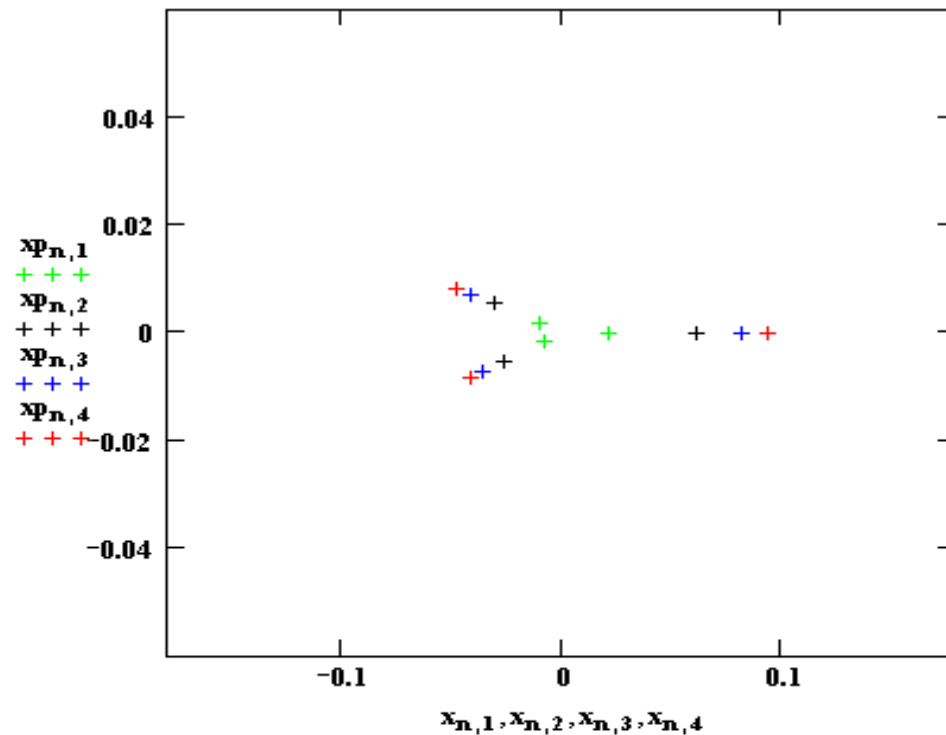
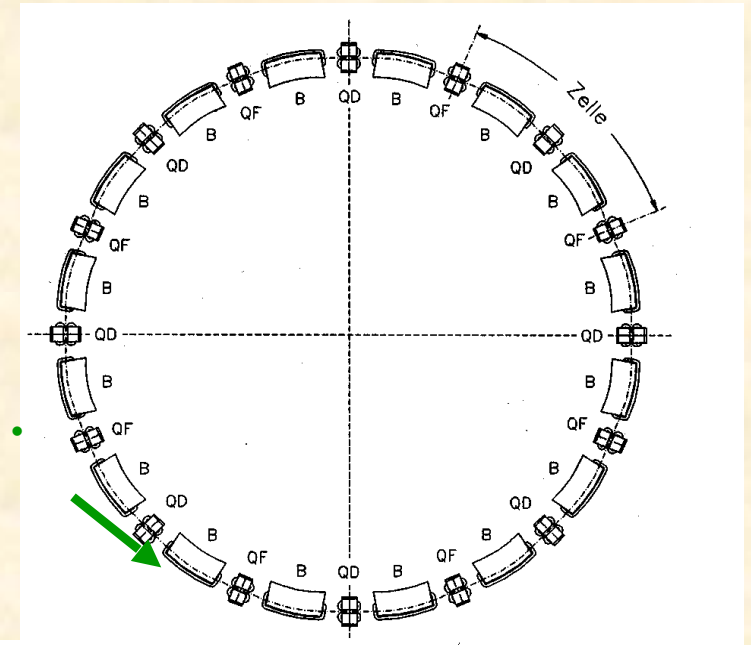

Clearly there is another problem ...

... if it were easy everybody could do it

Again: the phase space ellipse

for each turn write down - at a given position „s“ in the ring - the single particle amplitude x and the angle x' ... and plot it.

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s1} = M_{turn} * \begin{pmatrix} x \\ x' \end{pmatrix}_{s0}$$



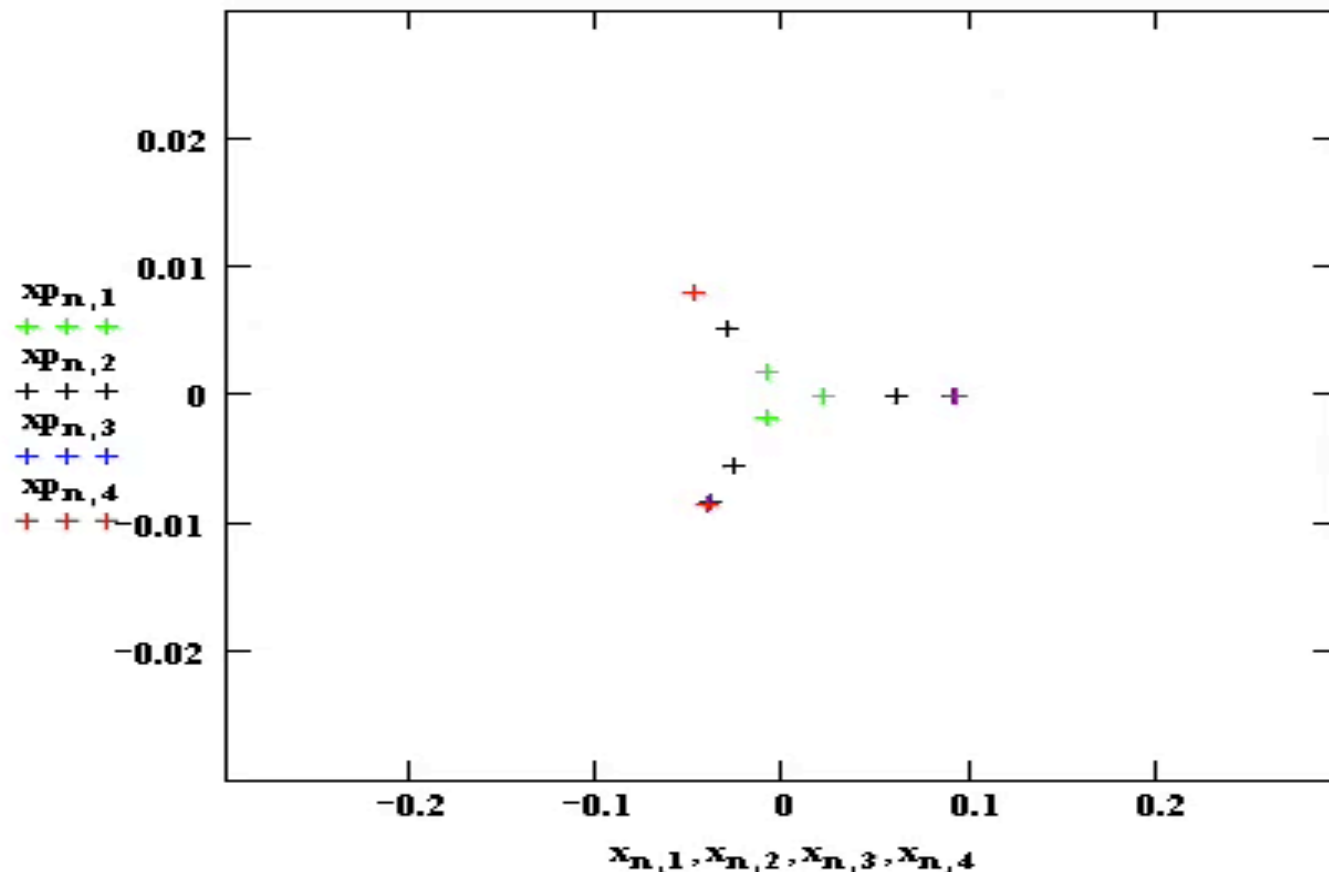
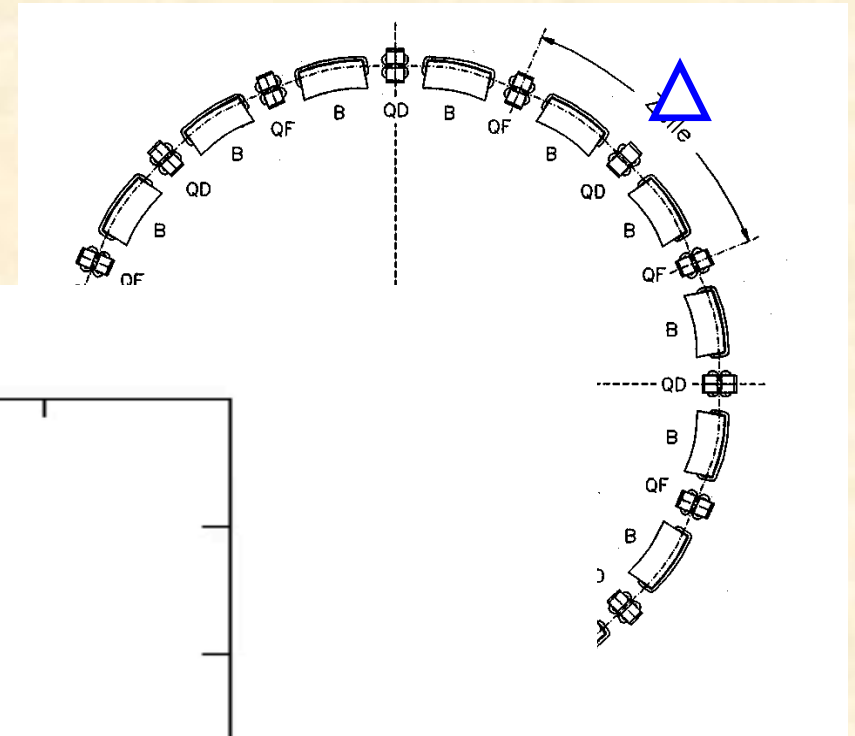
A beam of 4 particles

– each having a slightly different emittance:

Installation of a weak (!!!) sextupole magnet

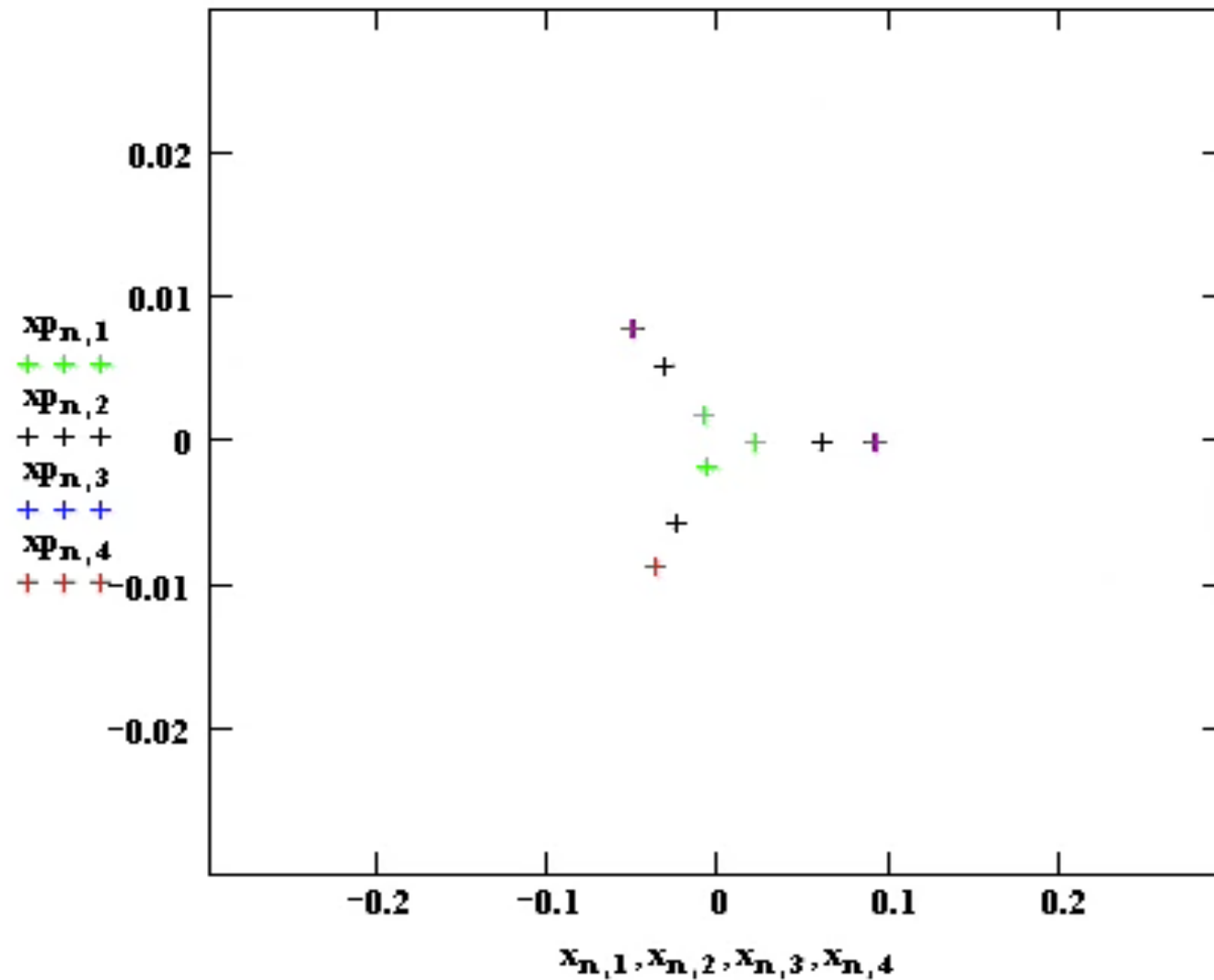
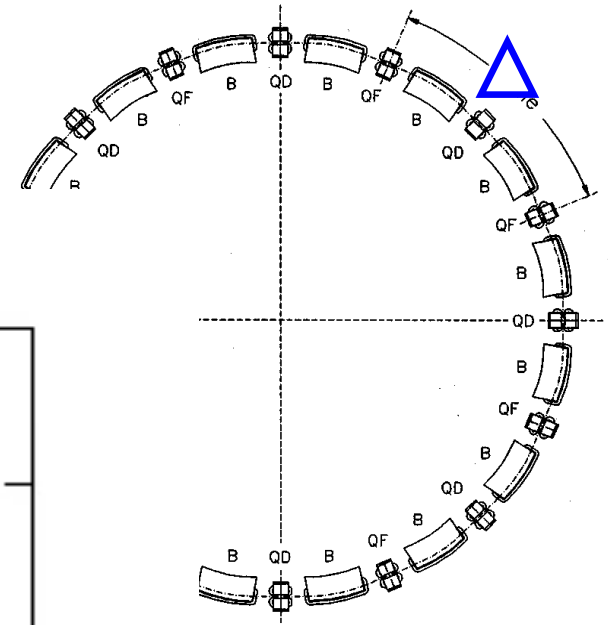
The good news: sextupole fields in accelerators cannot be treated analytically anymore.

→ no equations; instead: Computer simulation „particle tracking“



Effect of a strong (!!!) Sextupole ...

→ *Catastrophy !*



„dynamic aperture“

The Mini-Beta scheme ...

... focusses strongly the beams to achieve smallest possible beam sizes at the IP. The obtained small beta function at the IP is called β^* .

Don't forget the cat.

Beam dimension during acceleration: A proton beam shrinks during acceleration in both ytransverse dimensions. We call it unfortunately „adiabatic shrinking“.

Nota bene: An electron beam in a ring is growing with energy !!

Dispersion ...

... is the particle orbit for a given momentum difference.

Chromaticity ...

... is a focusing problem. Different momenta lead to different tunes

→ attention ... resonances !!

Sextupoles ...

have non-linear fields and are used to compensate chromaticity. However we have to be careful: Strong non-linear fields can lead to particle losses (dynamic aperture)

A visualization of the cosmic web, showing a dense network of red and orange filaments and nodes against a black background. The filaments represent the distribution of dark matter and galaxies in the universe, with brighter nodes indicating clusters of galaxies.

Merci