Rabi's scenarios for neutrino mass and a "big picture"



A. Yu. Smirnov

Max-Planck-Institut fur Kernphysik, Heidelberg, Germany

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Scenario for neutrino mass

L-R Seesaw GUT (or kind of the q -l unification) SUSY (in some form)



Neutrinos are Majorana

Rabi's criteria Natural Plausible Testable

Understanding neutrino mass and mixing requires larger framework





L-R seesaw and its incarnations

Embedding into big picture

Status, challenges and perspectives

R.N. Mohapatra , AYS,

Seesaw and incarnations

From L-R to see-saw

R. N. Mohapatra and G. Senjanovic, PRL 44, 14 (1980) 912

Explanation of smallness of neutrino mass in SU(2)_L × SU(2)_R × U(1)_{B-L} Key: Higgs triplets Δ_L , Δ_R instead of doublets

$$\Delta_{L} \underbrace{v_{L}} \underbrace{\Phi}_{R} \underbrace{v_{R}}_{R} \Delta_{R}$$

$$m_{D} = h_{1} \langle \Phi \rangle M_{R} = h_{3} \langle \Delta_{R} \rangle$$

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$$M_{R} = h_{3} \langle \Delta_{R} \rangle$$

$$M_{R} = h_{3} \langle \Delta_{R} \rangle$$

$$M_{R} \gg m_{D} \langle \Delta_{L} \rangle = 0$$

$$m_{W_{R}} = g \langle \Delta_{R} \rangle$$

$$M_{R} \gg m_{D} \langle \Delta_{L} \rangle = 0$$

$$m_{V} = -\frac{(h_{1} \langle \Phi \rangle)^{2}}{M_{R}} = \frac{g (h_{1} \langle \Phi \rangle)^{2}}{h_{3} m_{W_{R}}}$$

Seesaw scale is determined by the scale of L-R symmetry violation.

Smallness of neutrino mass is related to the suppression of the RH weak interactions

L-R seesaw Minimal?

If $<\Delta_L > \neq 0 \rightarrow$ seesaw type II In general: seesaw type I + II more parameters/ freedom

Where are Higgs doublets? Why Nature starts with higher representation – should be a good reason?

Possible explanation: no elementary scalars Higgses – composite states made of fermion doublets $F_L,\,F_R$

 $\Delta_{L} = (F_{L} F_{L}), \ \Delta_{R} = (F_{R} F_{R}), \ \Phi = (F_{L} F_{R})$

But in the Nambu Jona-Lasinio approach – no parity violation

E. K. Akhmedov, et al PRD 53 (1996) 2752, PLB 368 (1996) 270

New fermions instead Higgs triplets: Scheme: L, R doublets (+ bi-doublet) + new fermions

Extended seesaws

New fermions - singlets of L-R symmetry



R. N. Mohapatra, PRL 56, 6, (1986) 561

R. N. Mohapatra and J W F Valle PRD 34, 5, (1986) 1642

 $M_R \gg m_D$

No representations/interactions which give Majorana mass of v_{R}

In M paper S = gauge fermion: mixture of SUSY partner of W_{3R} and Z_{B-L} . μ can be generated radiatively in 2 loops? In M -V paper: S is singlet of $E_6 \times E_6$

One needs to start with superstrings, Calabi-Yao compactification, Ten dimensional $E_8 \times E_8$ Yang-Mills theory, symmetry breaking ... to arrive at neutrino mass matrix

Double seesaw and inverse seesaw

Mass matrix in the basis (v, v^c, S) :

$$\begin{pmatrix} \mathbf{0} & \mathbf{m}_{\mathsf{D}}^{\mathsf{T}} & \mathbf{0} \\ \mathbf{m}_{\mathsf{D}} & \mathbf{0} & \mathbf{M}_{\mathsf{D}}^{\mathsf{T}} \\ \mathbf{0} & \mathbf{M}_{\mathsf{D}} & \boldsymbol{\mu} \end{pmatrix}$$

for 3 generations three S or 3 combinations of many S

 $\mu \gg M_D$ - double seesaw

RH neutrinos get mass via see-saw $M_R = M_D^T \mu^{-1} M_D$

 $\mu \sim M_{PI}, M_D \sim M_{GUT}$

$\mu \leftrightarrow M_D$ - inverse seesaw

 $\nu^{\rm c}\,,\, {\rm S}\,$ form pseudoDirac neutrino with mass M_D

In both cases for light neutrinos $m_v = m_D^T M_D^{-1T} \mu M_D^{-1} m_D$

If
$$m_D = A M_D$$
 (A = const) $\rightarrow m_v = A^2 \mu$

M. Lindner, M. Schmidt, A.S.

Structure of m_{ν} is determined by $~\mu,$ it does not depend on the Dirac mass matrix structure (Dirac screening)

LHC-filic L-R models

 $SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times P$

with q-l similarity $m_q \sim m_l \sim m_{D_v}$

P.S.Bhupal Dev, R.N. Mohapatra, 0910.3924 [hep-ph] with GUT embeddings

V.Brdar, A. Yu.S JHEP 02 (2019) 045



Model with L and R singlets

 $SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times P$

V.Brdar, A. Yu.S JHEP 02 (2019) 045 1809.09115 [hep-ph]



keV scale sterile neutrino - DM



Local or Global? Majoron

In L-R $U(1)_{L+R} = U(1)_{B-L}$ local symmetry

R.N. Mohapatra and R.E. Marshak PRL 44, 20 (1980) 1316

Y. Chikashige R.N. Mohapatra and R. D. Peccei PLB, 4 (1981) 265, PRL 45, 24 (1980) 1926

Lepton number is spontaneously broken global symmetry

 \rightarrow Goldstone boson = Majoron

Rich phenomenology, long range forces, neutrino decay, $\beta\beta_{0v}$ - decay, Supernova neutrinos, DM ...

Neutrino decay into light or massless scalar

A.Y.S. Sov.J.Nucl. Phys. 34(6) (1981), 859

How heavy are neutrinos? A. Yu. Smirnov Institute of Nuclear Research, USSR Academy of Sciences (Submitted 19 March 1981) Conditions are analysed under which masses of the v_{μ} and v_{τ} neutrinos may exceed the cosmological bound Yad. Fiz. 34, 1547-1553 (December 1981) $\sum m(v_a) \leq 50$ eV and attain the upper laboratory limits. The most preferable variant is as follows: a light scalar particle x° exists $[m(x^{\circ}) < m(v_{\mu})]$, has only off-diagonal interactions with the neutrinos, and is responsible for sufficiently rapid decays $v_{\mu} \rightarrow v_{\nu} x^{0}, v_{\nu} \rightarrow v_{\mu} x^{0}, \dots$ The special status of the neutrinos among other fermions is related here to the fact that v is the only particle that may have a Majorana-type mass.

Let us examine the following potential of the fields φ and Φ :

 $-\eta^2 \varphi^2 + \frac{1}{3} \varphi \varphi^4 - \mu^2 \Phi^2 + \frac{1}{3} \lambda \Phi^4 + \delta \Phi^2 (\varphi^+ + \varphi).$

In the case $\delta = 0$ the total Lagrangian of the model will be invariant with respect to the continuous transformations $\varphi \rightarrow e^{2i\alpha}\varphi$; $(\nu, l) \rightarrow e^{-i\alpha}(\nu, l)$, so that upon spontaneous symmetry breaking the field ζ_1 is massless (i.e.,

(4)

Seesaw and lepton mixing

Mixing pattern can be the key for understanding neutrino mass

Two facts: Large lepton mixing with certain pattern: ~TBM Can be related

Weak mass hierarchy, if any

Seesaw enhancement of lepton mixing

Smallness of neutrino mass and large mixing have the same origin - seesaw

AYS, Phys.Rev.D 48 (1993) 3264

> E. Akhmedov, M. Frigerio, AYS

Mixing \rightarrow structure of neutrino mass matrix, properties, symmetries of $m_v = L_u - L_\tau$

Mixing, mass hierarchy (flavor physics), demand further extensions, big picture

A Big picture







Quarks and Leptons. QLC

Similarity of mass hierarchy of quarks and charged leptons Famous equality $m(b) = m(\tau)$ at GUT scale

Puzzle: strongly different patterns of quarks and lepton mixings

But relations:

$$\theta_{12}^{|} \sim \pi/4 - \theta_{12}^{q}$$

 $\theta_{23}^{|} \sim \pi/4 - \theta_{23}^{q}$

data

33.4° ~ 45° - 13°

Lepton mixing ~ maximal minus quark mixing Quark - lepton complementarity

H. Minakata , AYS

$$\mathbf{1} \rightarrow \mathbf{\theta}_{13} \rightarrow \mathbf{\theta}_{13}$$

The relations are approximate and at low energy scale. Renormalization, corrections ...



Q - L unification, GUT

CKM physics: hierarchy of masses and mixings, relations between masses and mixing may have special symmetries which lead to BM or TBM mixing

 $U_X = U_{BM}$, U_{TBM}

→ easy to realize flavor symmetry

Prediction

for the 1-3 leptonic mixing: $\sin^2\theta_{13} \sim \frac{1}{2} \sin^2\theta_C$

 $\sin^2\theta_{13} = \sin^2\theta_{23} \sin^2\theta_{\mathcal{C}} (1 + O(\lambda^2))$



 $\theta_{\mathcal{C}}$ - Cabibbo angle

Difference can be due to deviation of θ_{12} from θ_c related to difference of q and I- masses

Renormalization effects from GUT to low energies

Predictions for δ_{CP}

B. Dasgupta, A Y.S. , N.P. B884 (2014) 357 1404.0272 [hep-ph]

If $U_X = U_{BM}$, U_{TBM} , then $U_{lept} \sim V_{CKM}$ can be the only source of CP violation. Leads to the relation

$$\begin{array}{c|c} & & & \\ \hline & & \\ & &$$

sin
$$\delta_{CP}^{I} \sim \lambda^2 \sim 0.046$$
 or $\delta_{CP}^{I} = 2.6^{\circ}$

CP phase: ~ -
$$\delta_{CP}^{I}$$
 or π + δ_{CP}^{I}

Leptonic CP is small because the leptonic 1-3 mixing is large

Experiment: CP-phase is close to π **?**

NOvA-T2K 2σ tension NOvA: $\delta_{CP} = 0.82\pi$, T2K $\delta_{CP} = 1.5\pi$





even closer to π

A scenario

From double seesaw to neutrino portal and Dark sector



Realization in SO(10) GUT

Dark sector Visible sector Portal Ux $G_{dark} = S_4$ UCKM 16_{F} 1_{H} 16_H Basis 10_н fixing Non-trivial (0, 1)symmetry (0, 1) (1, 0) (1, 0)charges $Z_2 \times Z_2$ (1, 1)(1, 1)45_H $M_{\rm D} \sim < 16_{\rm H} > \sim M_{GUT}$ $M_{\rm S} \sim M_{\rm Pl}$ mD S_4 spontaneously mass hierarchy no mixing broken by flavons $1_{\rm H}$ CKM mixing δ_{CP} = 144 - 210° (NO) down to $Z_2 \times Z_2$ additional $M_{s} \sim M_{BM} \rightarrow BM$ mixing structure

Xun-Jie Xu , A.Y.S.

1803.07933 [hep-ph]

Status, challenges perspectives

Neutrino data and neutrino mass

Scenarios are in agreement with most of the data

Cosmology: ∑ _i m _{vi} < (0.09 - 012) eV	Quasi degenerate spectrum is excluded, m ₂ /m ₃ < 0.5 (NO), IO spectrum - disfavored
KamLAND-Zen , S. Abe et al.	m _{ee} ⁰ < (0.05 - 0.156) eV (90% C.L.)
2203.02139 [hep-ex]	touching IO band

NuFIT 5.1 (2021), www.nu-fit.org

 $sin^2\theta_{12}$ closer to 0.3, θ_{12} = 33° consistent with the QLC value 32° Normal mass ordering is preferable by (2 - 3 σ)

Deviation from maximal $|\Delta \sin^2\theta_{23}| = 0.05$, $|\Delta\theta_{23}| = 3^{\circ}$ Maximal mixing is disfavored at 2σ . First octant is preferred?

No dependence of neutrino parameters on neutrino energy, time, environment has been found

Sterile "damage". eV seesaw



Correction to the mass matrix of active neutrinos

 $\delta m = sin^2 \theta_s m_s \sim (0.02 - 0.3) eV$

- at the level of largest elements (~ 0.03 eV) of the 3v mass matrix

 v_s effect is not a small perturbation of 3v picture and its symmetries It requires cancellations, fine tuning (cancellation), and should be included in theory/symmetry constructions from the beginning

Rabi: smallest damage, if v_s are mirror neutrinos

On the other hand it allows to explain difference of the quark and lepton mixings, large lepton mixing

Perspectives

High scale L-R seesaw

Indirect confirmations

No new physics at low scales

Proton decay

Low scale L-R seesaw

> Inverse seesaw

Discovery of N $_{\rm R}$, W $_{\rm R}$, $\Delta^{\text{++}}$

PseudoDirac heavy leptons

Confirmation of anomalies and their interpretations

Non-unitarity, non-universality _vMSM

Alternative mechanisms

Radiative Sneutrino condensate

Discovery of new particles involved in v mass generation

Completely different

Another nature of neutrino mass

Effective mass due to refraction: v scattering on background Modification of dispersion relations \rightarrow oscillations

Scenarios based on NSI with heavy mediators are excluded, New possibilities - light mediators and light scatterers

Tests:

Dark Matter identification Discovery very light scalars, dark photons

Neutrino condensation <vv>

may emerge e.g. if gravity contains a non-zero topological vacuum susceptibility

Search for time, space dependence of oscillation parameters

Vacuum and properties of oscillations

Neutrino vacuum condensate due to gravity. Order parameter

G.Dvali , L Funcke,

1602.03191 [hep-ph]

 $\langle \Phi_{\alpha\beta} \rangle = \langle v_{\alpha}^{T} C v_{\beta} \rangle \sim \Lambda_{G} = \text{meV} - 0.1 \text{ eV}$

Cosmological phase transition at T ~ Λ_G

Neutrinos get masses, in flavor basis $m_{\alpha\beta} \sim \langle \Phi_{\alpha\beta} \rangle$ (charged leptons mass generated by usual Higgs field)

m ~ $U(\theta)^{T} \langle \Phi \rangle U(\theta)$

< Φ > = diag (Φ_{11} , Φ_{22} , Φ_{33}), U(θ) - mixing matrix

 $\mathsf{T} \boldsymbol{\cdot} \Lambda_{G}$

Relic neutrinos form bound states $\phi = (v_{\alpha}^{T}v_{\beta})$ decay and annihilate into ϕ (neutrinoless Universe)

Symmetry of system, SU(3)xU(1), spontaneously broken by neutrino condensate - ϕ are goldstone bosons

 $\phi\,$ get small masses due explicit symmetry breaking by WI via loops

Mixing and topological defects

G.Dvali , L Funcke, T Vachaspati 2112.02107 [hep-ph]

string-wall

network

Symmetry breaking: $SU(3) \rightarrow Z_2 \times Z_2 \rightarrow I$

Length scale of strings ~ inter-string separation $\xi = 10^{14} \text{ m} (\lambda/a_G) \left(\frac{\Lambda_G}{1 \text{ meV}}\right)^{7/2}$

(self-coupling of string field Φ /scale factor of phase transition)

Travelling around string winds VEV < Φ > by the SU(3) transformation: $\langle \Phi(\theta_{s}) \rangle = \omega(\theta_{W})^{T} \langle \Phi \rangle \omega(\theta_{W})$

 $ω(θ_W)$ path - O(3) transformation with angles $θ_W = (θ_W^{12}, θ_W^{13}, θ_W^{23})$. After the path ω mixing changes as $U = U(θ) ω(θ_W)$ over length $ξ: θ_W = O(1)$

Solar system moves through frozen string-DW background with v = 230 km/sec. For 6 years (operation of Daya Bay) d = vt = 4 x 10^{13} m - comparable with expected ξ







Rabi's scenarios of neutrino mass alive and flourishing



Backup



R.N. Mohapatra and R.E. Marshak PRL 44, 20 (1980) 1316

In L-R U(1) can be interpreted as

 $U(1)_{L+R} = U(1)_{B-L}$

Quark-lepton correspondence

$$SU(2)_L \times SU(2)_R \times SU(4)$$

 $SU(3)_c \times U(1)_{B-L} \rightarrow$ unification of color and B-L



Rates close to experimental bounds for $~~<\Delta_{\rm R}$ > $\sim 10^4~GeV$

Features

Neutrino masses from the Double seesaw with

 $M_{S} \sim M_{PL}, M_{D} \sim \langle 16_{H} \rangle \sim M_{GUT}$ $m_{D}, M_{D} = diag$

 $\delta_{CP} = 144 - 210^{\circ}$ (NO)

Similar non-SUSY structure with 10_H , 16_H , 45_H (responsible for gauge symmetry breaking) *M. Zantedeschi*, 2201.02785[hep-ph]

High dimensional operators, correspond to the integrated out Dark sector but with Λ ~ 10 M_{GUT} , M_{GUT} ~ 4 $10^{15}~GeV$ M_D ~ <16_H > ~ M_I ~ 5 $10^{14}~GeV$

Unification is achieved by strong mass splitting in 45_{H} with weak tripled and color octet, as well as in 10_{H} with scalar quark doublet having masses below 10 TeV

accessible to LHC?

Bounds on parameters



Allowed values:

Ki-Young Choi, Eung Jin Chun, Jongkuk Kim, 2012.09474 [hep-ph]

Green band: $\Delta m_{eff}^2 = \Delta m_{atm}^2$

Upper bounds on y from scattering of neutrinos from SN1987A on DM ϕ with zero C- asymmetry and two different masses of mediator f

Similar bound from $Ly\alpha$ (relic neutrinos).

the corresponding resonance energy $E_R = 0.01 \text{ MeV}$

Cosmological bound is satisfied

Summary

Rabi's scenarios of neutrino mass alive and flourishing

Practically nothing is excluded yet, although crucial tests can be around the corner

Any new observation can affect the picture

Gallium anomaly, BEST, LSND/MB: light sterile neutrinos representatives of light dark sector?

Neutrino interactions with light dark sector, resonance refraction at low energies, medium induced neutrino mass

δ_{CP} : NOvA - T2K tension?



2108.08219 [hep-ex] NOvA: δ_{CP} = 0.82π, disfavors δ_{CP} = 1.5π by 2σ

NOvA-T2K difference can be related to different baselines and matter effects Reconcile with NSI Sterile neutrinos do not help? S. Chatterje, A. Palazzo, 2008.04161 [hep-ph], 2005.103338 [hep-ph]

Statistical fluctuations/systematics? Global fit: $\delta_{CP} \rightarrow \pi$

No tension in the case of inverted ordering

Effective neutrino mass and cosmology

- \star Due to dependence on energy and number density of scatterers m_{eff} can be different in different space-time points
- ★ Red shift dependence

 $m_{eff}(z) \sim \sqrt{n(z)}$ $n(z) = n_0 (1 + z)^3$

- effective mass increased in the past in contrast to standard mass generated by coupling with VEV (does not depend on z).

$m_{eff}(z) \sim [\xi (1 + z)^3]^{1/2} m_{eff}(loc)$

 $1/\xi \sim 10^5$ - local (near the Earth) over-density of the background.

Bound on sum of neutrino masses from structure formation is satisfied m_{eff} dependence on E for not very small E_R resolve the problem

See-saw and L-R



Naturally embedded into $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$



Historically: getting neutrino mass in LR

LSND

Seesaw scale is determined by the scale of L-R symmetry violation $<\Delta_L > \neq 0 \rightarrow \text{type II}$ Type I + II

LHC-filic scenarios

Small neutrino mass with small masses of RH neutrinos

J Kersten, AYS, Phys.Rev.D 76 (2007) 073005, 0705.3221 [hep-ph]

Inverse seesaw

Low scale seesaw, a la vMSM

 $\Delta m_{41}^2 = 1 - 2 eV^2$

Bounds on the eV sterile neutrinos BEST, reactors, LSND/MB

 $m_{ee} = m_{ee}^0 - \frac{1}{4} \sin^2 2\theta_{41} \sqrt{\Delta m_{41}^2}$

 $\beta \beta_{0v}$ - decay



 m_{ee}^{0} should be large enough to cancel large induced mass $(m_{ee}^{0})^{2}/m_{ss}^{0} \sim sin^{2} \theta_{41} m_{4}$

sterile neutrino

After decoupling of sterile neutrino:

oscillations of active neutrinos, Cosmology

 $\sin^2 2\theta_{41} = 0.4$

$$\Delta m_{41}^2 \leftarrow \frac{16(m_{ee}^0 - m_{ee})^2}{\sin^4 2\theta_{41}}$$

 m_{ee}^{0} < 0.156 eV (90% C.L.)

KamLAND-Zen , S. Abe et al. 2203.02139 [hep-ex]

For NH: $m_{ee} \sim m_2 \sin^2 \theta_{21} = 2.5 \ 10^{-3} \ eV$ $\Delta m_{41}^2 < 2.4 \ eV^2$ For quasi-degenerate: $m_{ee} < 0.03 \ eV$ $\Delta m_{41}^2 < 1.6 \ eV^2$

disfavour BEST, Neutrino-4