

Turbo: A Physical-Minded Approach to Generalized Autoencoders

Slava Voloshynovskiy

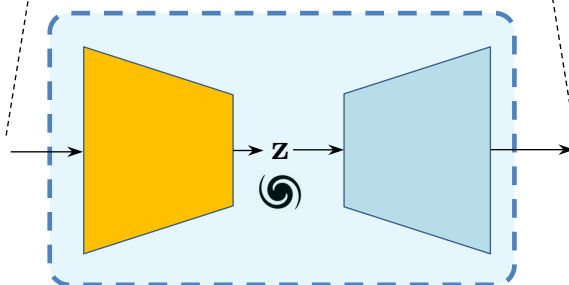
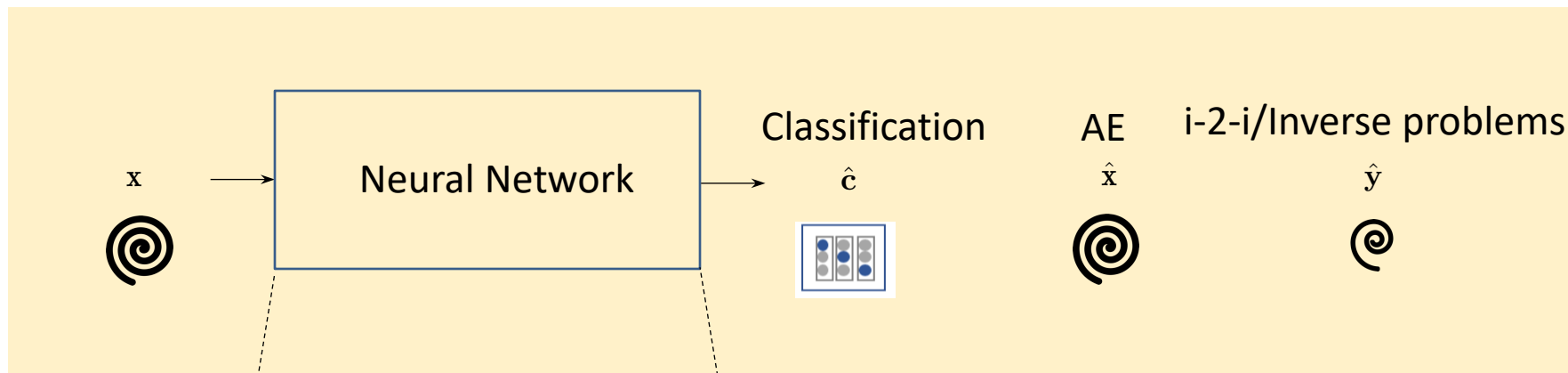
in collaboration with Guillaume Quétant, Vitaliy Kinach, Mariia Drozdova and Olga Taran



Agenda

- **What is information bottleneck (IBN)?**
- **Generalization of existing methods based on IBN**
 - VAE, InfoVAE, VAE/GAN, BIB-AE
- **Restrictions of IBN**
- **TURBO: physical-driven latent space AE**
- **Generalization based on TURBO**
 - AAE, SR-GAN, pix2pix, CycleGAN
- **Regression problems**
 - HEP translation
 - Hubble-to-Webb translation
 - Inverse problems in physics
- **Conclusions**

Given a neural network



Latent space can be:

- A single vector/tensor
- Hierarchical (Markov) vectors/tensors
- Multi-vector/Multi-tensor

Deterministic: $f_\phi(\mathbf{x}) \rightarrow g_\theta(\mathbf{z})$

Stochastic: $q_\phi(\mathbf{z}|\mathbf{x}) \rightarrow p_\theta(\bullet|\mathbf{z})$

FLows: $f_\phi(\mathbf{x}) \quad g_\theta(\mathbf{z}) = f_\phi^{-1}(\mathbf{z})$

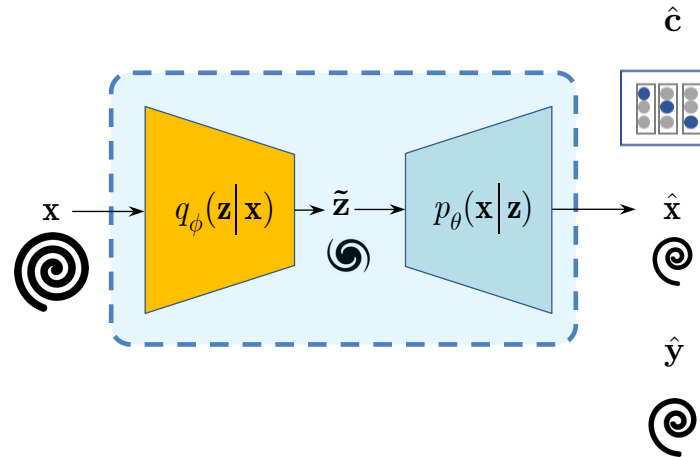
Definition of Information Bottleneck

- The Information Bottleneck (IBN) theory is a framework for understanding the trade-off between the amount of information that is preserved in a representation and the amount of “compression” that is achieved
- The **IBN theory proposes** that a good representation is one that preserves **the most relevant information while discarding all irrelevant information for a targeted task**

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- Open problems

Information Bottleneck: IBN-AE



Lagrangian formulation

$$(\hat{\phi}, \hat{\theta}) = \arg \min_{\phi, \theta} \mathcal{L}_{\text{IBN-AE}}(\phi, \theta)$$

$$\mathcal{L}_{\text{IBN-AE}}(\phi, \theta) = I_{\phi}(\mathbf{X}; \mathbf{Z}) - \beta I_{\phi, \theta}(\mathbf{Z}; \mathbf{X})$$

$$I_{\phi}(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{q_{\phi}(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_{\phi}(\mathbf{z}|\mathbf{x})}{\tilde{q}_{\phi}(\mathbf{z})} \right]$$

$$I_{\phi, \theta}(\mathbf{Z}; \mathbf{X}) = \mathbb{E}_{q_{\phi}(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_{\theta}(\mathbf{x}|\mathbf{z})}{p_{\mathbf{x}}(\mathbf{x})} \right]$$

Variational decomposition of terms

$$I_\phi(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_\phi(\mathbf{z}|\mathbf{x}) p_{\mathbf{z}}(\mathbf{z})}{\tilde{q}_\phi(\mathbf{z}) p_{\mathbf{z}}(\mathbf{z})} \right] = \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [D_{\text{KL}}(q_\phi(\mathbf{z}|\mathbf{X}=\mathbf{x}) \| p_{\mathbf{z}}(\mathbf{z}))]}_{\mathcal{D}_{\mathbf{z}|\mathbf{x}}} - \underbrace{D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_{\mathbf{z}}(\mathbf{z}))}_{\mathcal{D}_{\tilde{\mathbf{z}}}}$$

$$I_\phi(\mathbf{Z}; \mathbf{X}) = \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_\phi(\mathbf{x}|\mathbf{z}) p_\theta(\mathbf{x}|\mathbf{z})}{p_{\mathbf{x}}(\mathbf{x}) p_\theta(\mathbf{x}|\mathbf{z})} \right] = \underbrace{\mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z})}{p_{\mathbf{x}}(\mathbf{x})} \right]}_{I_{\phi, \theta}(\mathbf{Z}; \mathbf{X})} + \underbrace{\mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\frac{q_\phi(\mathbf{x}|\mathbf{z})}{p_\theta(\mathbf{x}|\mathbf{z})} \right]}_{D_{\text{KL}}(q_\phi(\mathbf{x}|\mathbf{z}) \| p_\theta(\mathbf{x}|\mathbf{z})) \geq 0} \geq I_{\phi, \theta}(\mathbf{Z}; \mathbf{X})$$

$$\begin{aligned} I_{\phi, \theta}(\mathbf{Z}; \mathbf{X}) &= \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z}) \hat{p}_\theta(\mathbf{x})}{p_{\mathbf{x}}(\mathbf{x}) \hat{p}_\theta(\mathbf{x})} \right] \\ &= -\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\log \hat{p}_\theta(\mathbf{x})] - \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} \left[\log \frac{p_{\mathbf{x}}(\mathbf{x})}{\hat{p}_\theta(\mathbf{x})} \right] + \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})]] \\ &= \underbrace{H(p_{\mathbf{x}}(\mathbf{x}); \hat{p}_\theta(\mathbf{x}))}_{\geq 0} - D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \hat{p}_\theta(\mathbf{x})) - H_{\phi, \theta}(\mathbf{X}|\mathbf{Z}) \end{aligned}$$

$$I_{\phi, \theta}^{\text{L}}(\mathbf{Z}; \mathbf{X}) \triangleq \underbrace{-H_{\phi, \theta}(\mathbf{X}|\mathbf{Z})}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})} - \underbrace{D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \hat{p}_\theta(\mathbf{x}))}_{\mathcal{D}_{\hat{\mathbf{x}}}}$$

$$p_\theta(\mathbf{x}|\mathbf{z}) \propto \exp(-\lambda \|\mathbf{x} - g_\theta(\mathbf{z})\|_1)$$

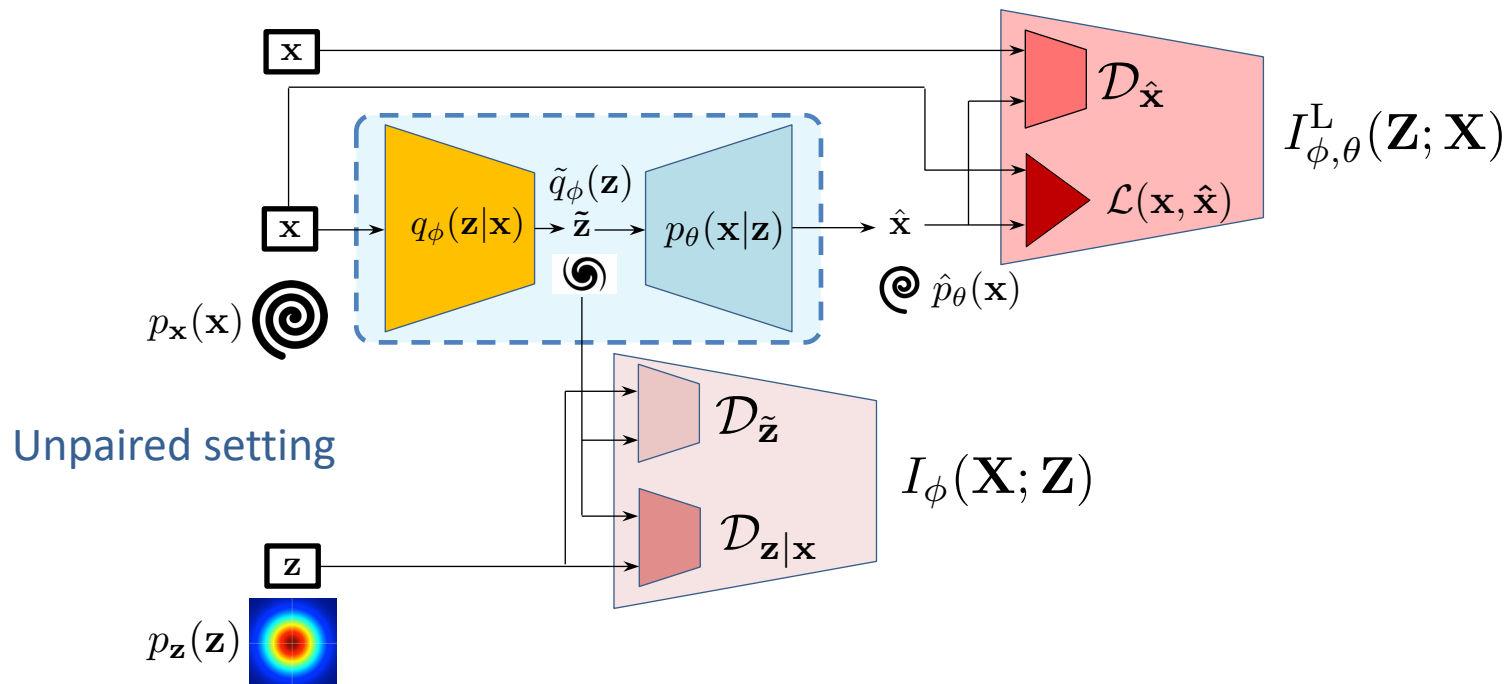
$$I_{\phi, \theta}^{\text{L}}(\mathbf{Z}; \mathbf{X}) = -\lambda \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\|\mathbf{x} - g_\theta(\mathbf{z})\|_1]]}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})} - \underbrace{D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \hat{p}_\theta(\mathbf{x}))}_{\mathcal{D}_{\hat{\mathbf{x}}}}$$

Bounded Information Bottleneck (BIB) Autoencoder [BIB-AE]

$$\mathcal{L}_{\text{BIB-AE}}(\phi, \theta) = I_\phi(\mathbf{X}; \mathbf{Z}) - \beta I_{\phi, \theta}^L(\mathbf{Z}; \mathbf{X})$$

$$I_\phi(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} \left[\log \frac{q_\phi(\mathbf{z}|\mathbf{x}) p_{\mathbf{z}}(\mathbf{z})}{\tilde{q}_\phi(\mathbf{z}) p_{\mathbf{z}}(\mathbf{z})} \right] = \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [D_{\text{KL}}(q_\phi(\mathbf{z}|\mathbf{X}=\mathbf{x}) \| p_{\mathbf{z}}(\mathbf{z}))]}_{\mathcal{D}_{\mathbf{z}|\mathbf{x}}} - \underbrace{D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_{\mathbf{z}}(\mathbf{z}))}_{\mathcal{D}_{\tilde{\mathbf{z}}}}$$

$$I_{\phi, \theta}^L(\mathbf{Z}; \mathbf{X}) \triangleq \underbrace{-H_{\phi, \theta}(\mathbf{X}|\mathbf{Z})}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})} - \underbrace{D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \hat{p}_\theta(\mathbf{x}))}_{\mathcal{D}_{\hat{\mathbf{x}}}}$$



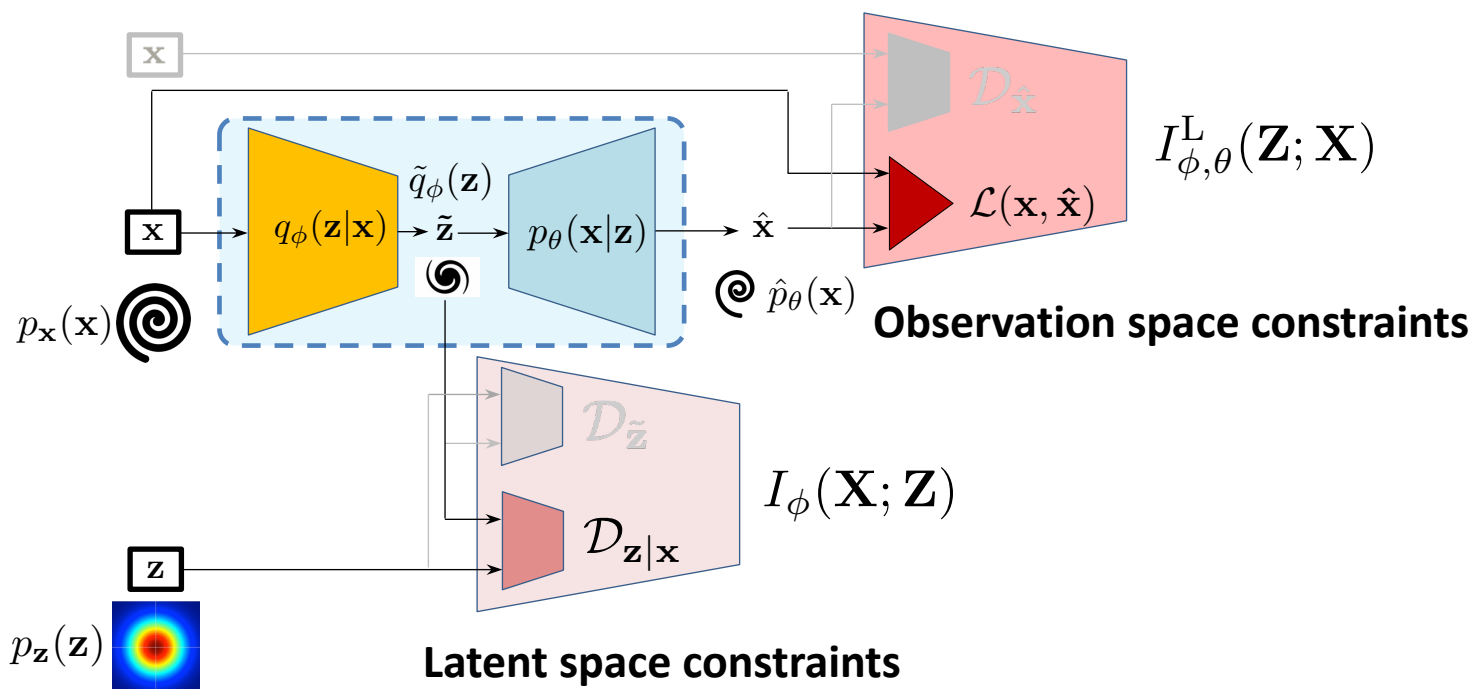
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IBN: generalization of existing schemes

VAE and β -VAE: Variational Autoencoder

$$\mathcal{L}_{\beta\text{-VAE}}(\phi, \theta) = \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [D_{\text{KL}}(q_{\phi}(\mathbf{z}|\mathbf{X}=\mathbf{x})||p_{\mathbf{z}}(\mathbf{z}))]}_{\mathcal{D}_{\mathbf{z}|\mathbf{x}}} - \beta \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} [\log p_{\theta}(\mathbf{x}|\mathbf{z})]]}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})}$$



Kingma and Welling. Auto-encoding variational Bayes, 2014

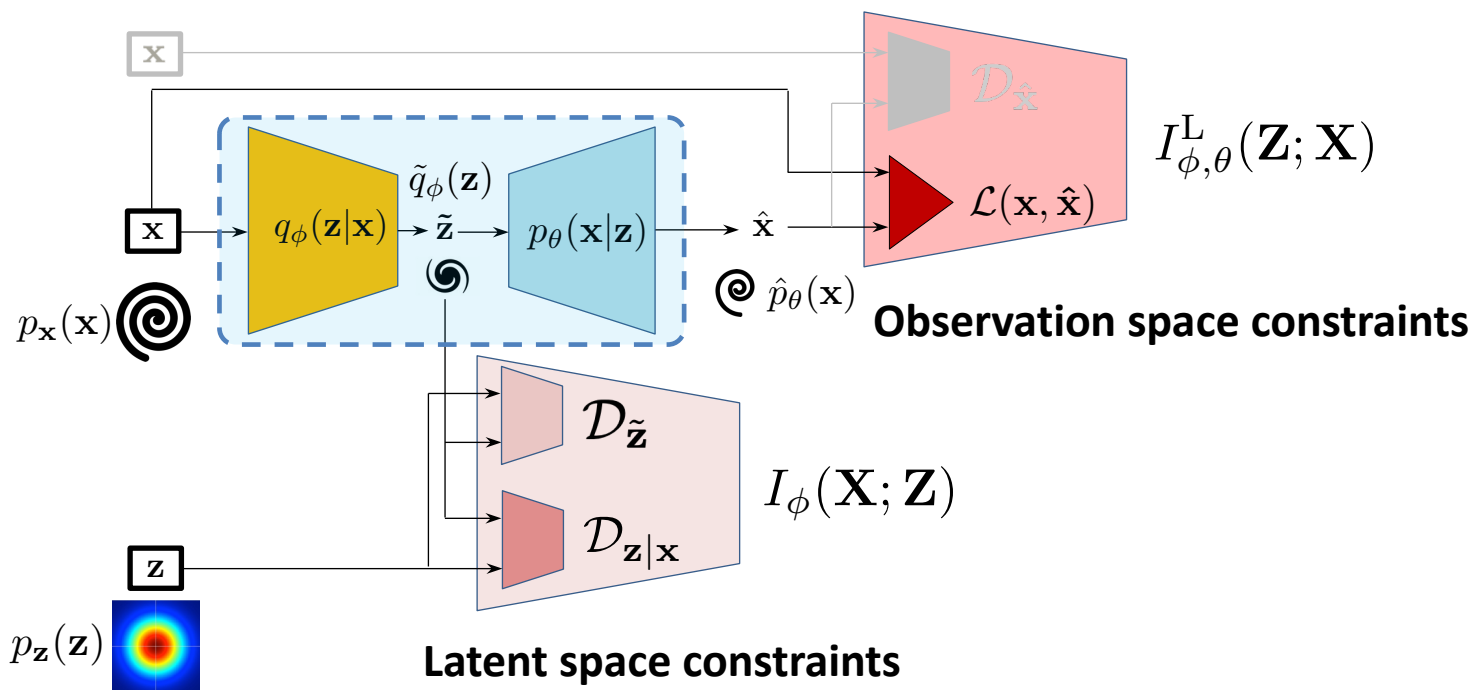
Rezende, Mohamed, and Wierstra. Stochastic backpropagation and approximate inference in deep generative models., 2014

Higgins, Matthey, Pal, Burgess, Glorot, Botvinick, Mohamed, and Lerchner. beta-VAE: Learning basic visual concepts with a constrained variational framework, 2017

BIB: generalization of existing schemes

InfoVAE:

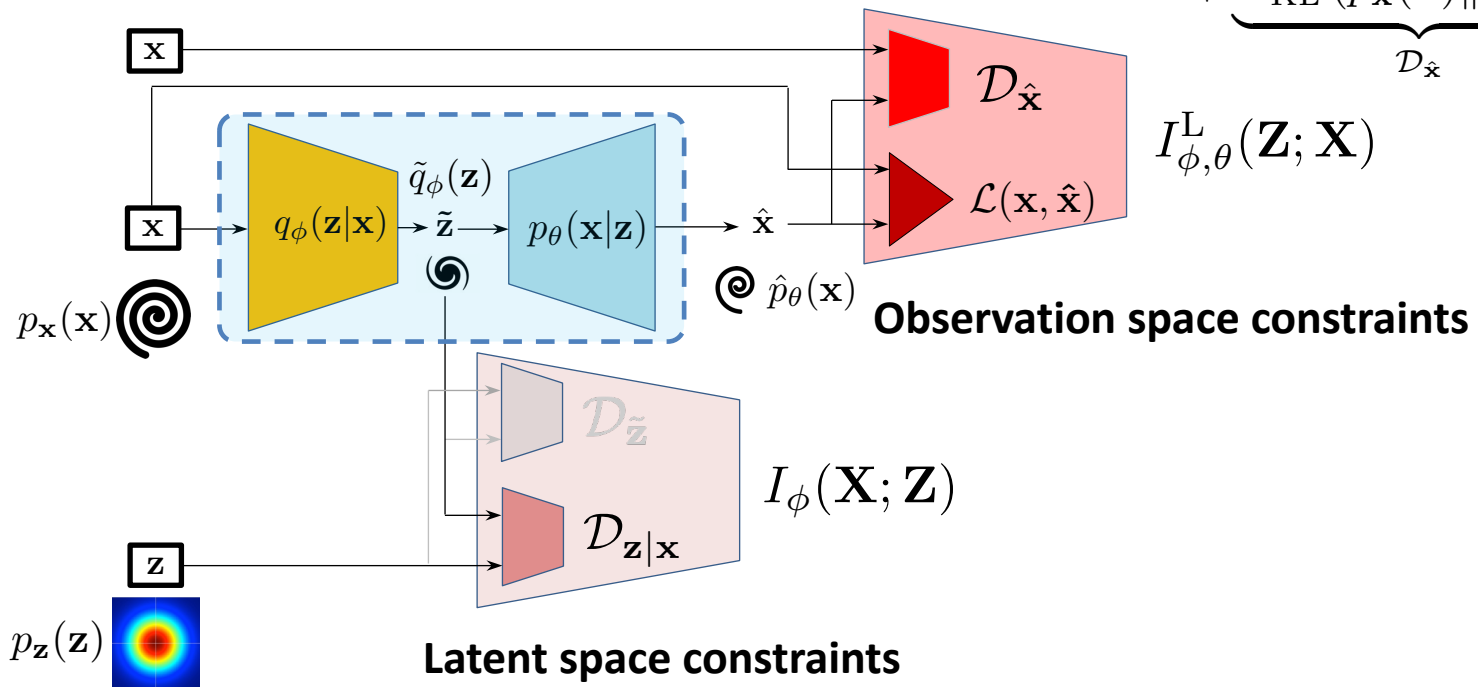
$$\mathcal{L}_{\text{InfoVAE}}(\phi, \theta) = I_\phi(\mathbf{X}; \mathbf{Z}) - \beta \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})]]}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})}$$



BIB: generalization of existing schemes

VAE/GAN

$$\mathcal{L}_{\text{VAE/GAN}}(\phi, \theta) = \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [D_{\text{KL}}(q_{\phi}(\mathbf{z}|\mathbf{X}=\mathbf{x})\|p_{\mathbf{z}}(\mathbf{z}))]}_{\mathcal{D}_{\mathbf{z}|\mathbf{x}}} - \beta \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} [\log p_{\theta}(\mathbf{x}|\mathbf{z})]]}_{\mathcal{L}(\mathbf{x}, \hat{\mathbf{x}})} + \underbrace{D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x})\|\hat{p}_{\theta}(\mathbf{x}))}_{\mathcal{D}_{\hat{\mathbf{x}}}}$$



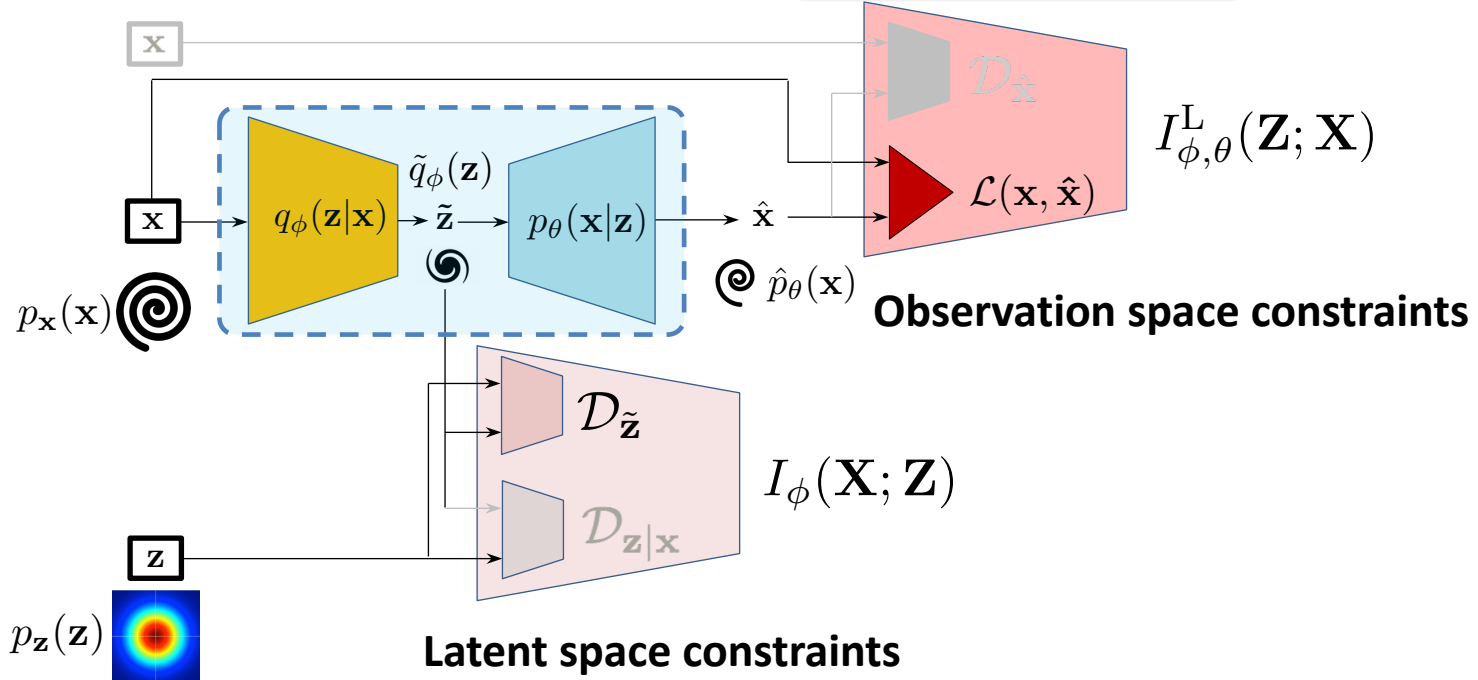
- The information bottleneck (IB) theory posits that a neural network can be trained to **extract the most relevant information from its inputs** while **discarding task irrelevant information**
- However, it has **a number of restrictions**:
 - IBN does not have **any meaningful latent space** that would correspond to the physics of underlying phenomena
 - The latent space **does not correspond to typical physical observation or measurement models**
 - IBN **does not explain** systems such as AAE, CycleGAN, Probabilistic AE and many others
 - IBN **does not envision an optimization** of detectors, sensors and antennas as “physical encoders”

BIB: generalization of existing schemes

AAE: Adversarial Autoencoder – Not a case!

$$\mathcal{L}_{\text{AAE}}(\phi, \theta) = D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_{\mathbf{z}}(\mathbf{z})) - \beta \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [\mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})]]$$

$$I_\phi(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} [D_{\text{KL}}(q_\phi(\mathbf{z}|\mathbf{X}=\mathbf{x}) \| p_{\mathbf{z}}(\mathbf{z}))] - D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_{\mathbf{z}}(\mathbf{z}))$$



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Main difference with IBN:

- Fundamental IBN task-irrelevance concept at the encoder is replaced by a concept of satisfaction of “relevance” to physical constraints on the latent space

Main consequences

- Impose meaningful physical priors on latent space
- Incorporate a fact the that data and latent space representation can be dependent
- Consider all options of paired, unpaired and partially paired data
- Consider two-way propagation of information (TURBO):
 - Encoding (generation) from both data and latent spaces
 - Link to CycleGAN-like architectures

IBN

$$(\hat{\phi}, \hat{\theta}) = \arg \min_{\phi, \theta} \mathcal{L}_{\text{IBN-AE}}(\phi, \theta)$$

$$\mathcal{L}_{\text{IBN-AE}}(\phi, \theta) = I_{\phi}(\mathbf{X}; \mathbf{Z}) - \beta I_{\phi, \theta}(\mathbf{Z}; \mathbf{X})$$

TURBO

$$(\hat{\phi}, \hat{\theta}) = \arg \max_{\phi, \theta} \mathcal{L}^{\text{Direct}}(\phi, \theta)$$

$$\mathcal{L}^{\text{Direct}}(\phi, \theta) = \mathcal{I}_{\phi}^{\mathbf{z}}(\mathbf{X}; \mathbf{Z}) + \lambda_1 \mathcal{I}_{\phi, \theta}^{\mathbf{x}}(\mathbf{Z}; \mathbf{X})$$

Link between data and latent space

unpaired

$$p_{\mathbf{x}}(\mathbf{x}) \quad p_{\mathbf{z}}(\mathbf{z})$$

paired

$$p(\mathbf{x}, \mathbf{z})$$

one-way

$$\mathbf{X} \xrightarrow[\text{encoder}]{q_{\phi}(\mathbf{z}|\mathbf{x})} \tilde{\mathbf{Z}} \xrightarrow[\text{decoder}]{p_{\theta}(\mathbf{x}|\mathbf{z})} \hat{\mathbf{X}}$$

Data encoding/generation

two-way

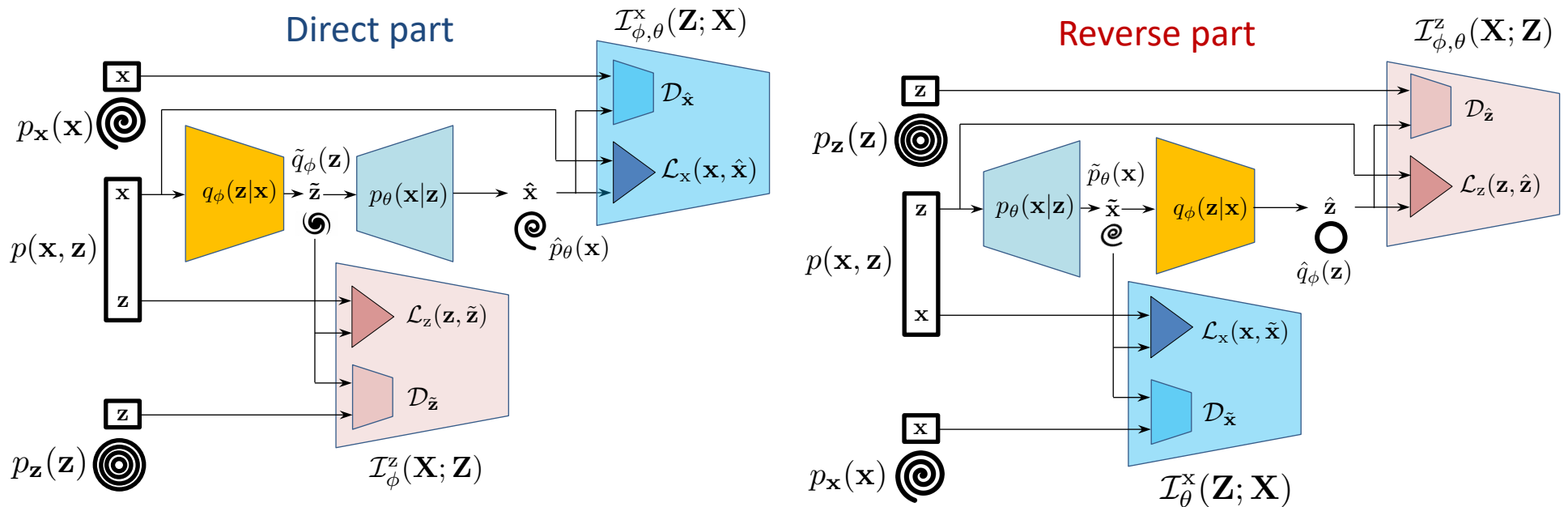
$$\begin{array}{ccc} \mathbf{X} & \xrightarrow[\text{encoder}]{q_{\phi}(\mathbf{z}|\mathbf{x})} & \tilde{\mathbf{Z}} & \xrightarrow[\text{decoder}]{p_{\theta}(\mathbf{x}|\mathbf{z})} & \hat{\mathbf{X}} \\ \mathbf{Z} & \xrightarrow[\text{decoder}]{p_{\theta}(\mathbf{x}|\mathbf{z})} & \tilde{\mathbf{X}} & \xrightarrow[\text{encoder}]{q_{\phi}(\mathbf{z}|\mathbf{x})} & \hat{\mathbf{Z}} \end{array}$$

Type of latent space

“virtual” latent space

physically meaningful latent space

TURBO



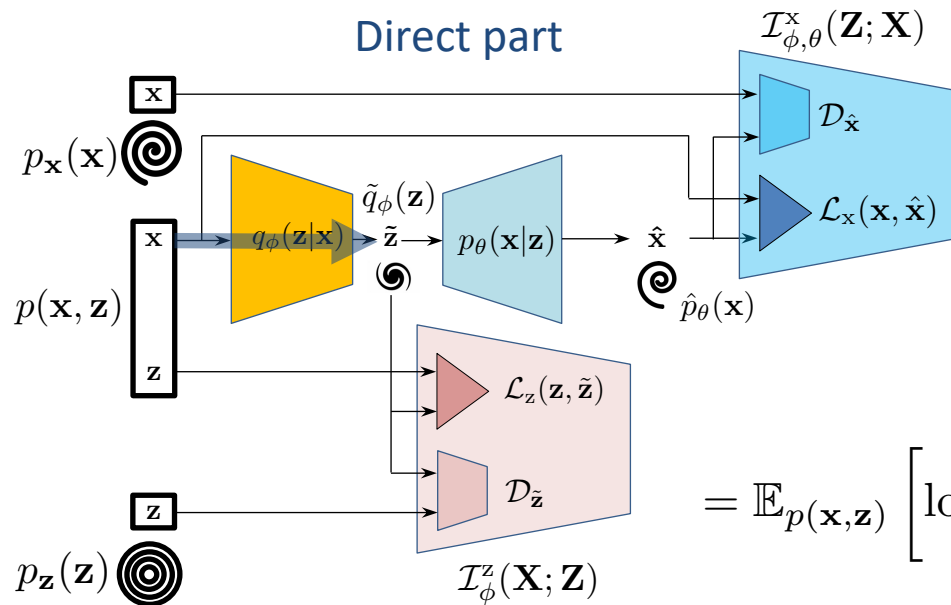
Lagrangian formulation

$$(\hat{\phi}, \hat{\theta}) = \arg \max_{\phi, \theta} \mathcal{L}_{\text{TURBO}}(\phi, \theta)$$

$$\mathcal{L}_{\text{TURBO}}(\phi, \theta) = \mathcal{L}^{\text{Direct}}(\phi, \theta) + \alpha \mathcal{L}^{\text{Reverse}}(\phi, \theta)$$

$$\mathcal{L}^{\text{Direct}}(\phi, \theta) = \mathcal{I}_\phi^z(\mathbf{X}; \mathbf{Z}) + \lambda_1 \mathcal{I}_{\phi, \theta}^x(\mathbf{Z}; \mathbf{X})$$

$$\mathcal{L}^{\text{Reverse}}(\phi, \theta) = \mathcal{I}_\theta^x(\mathbf{Z}; \mathbf{X}) + \lambda_2 \mathcal{I}_{\phi, \theta}^z(\mathbf{X}; \mathbf{Z})$$



Encoder loss

$$I(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p(\mathbf{z}|\mathbf{x}) q_{\phi}(\mathbf{z}|\mathbf{x})}{p_{\mathbf{z}}(\mathbf{z}) q_{\phi}(\mathbf{z}|\mathbf{x})} \right]$$

$$\geq \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_{\phi}(\mathbf{z}|\mathbf{x})}{p_{\mathbf{z}}(\mathbf{z})} \right]$$

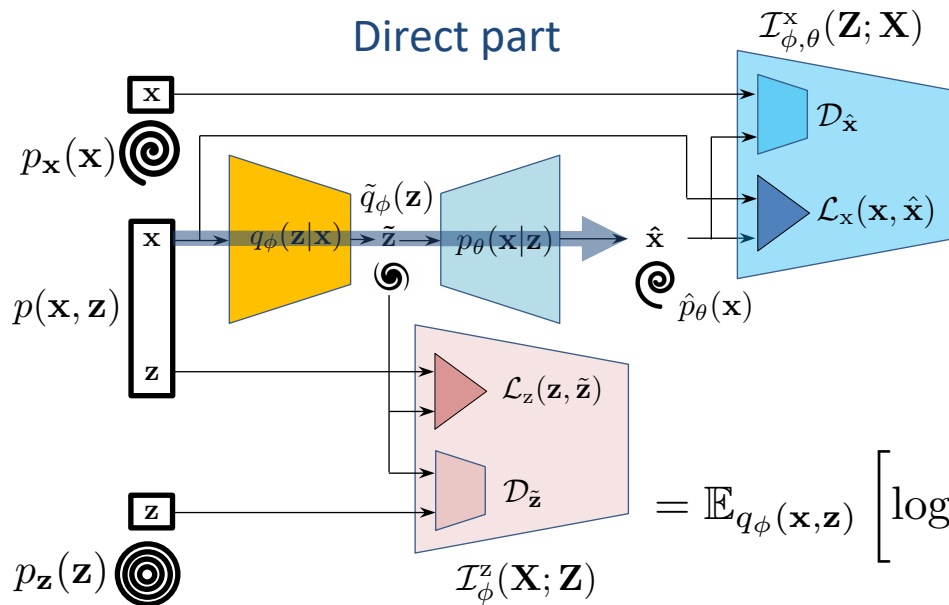
$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_{\phi}(\mathbf{z}|\mathbf{x})}{p_{\mathbf{z}}(\mathbf{z})} \frac{\tilde{q}_{\phi}(\mathbf{z})}{\tilde{q}_{\phi}(\mathbf{z})} \right]$$

$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log q_{\phi}(\mathbf{z}|\mathbf{x})] - \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_{\mathbf{z}}(\mathbf{z})}{\tilde{q}_{\phi}(\mathbf{z})} \right] - \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log \tilde{q}_{\phi}(\mathbf{z})]$$

$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log q_{\phi}(\mathbf{z}|\mathbf{x})] - D_{\text{KL}}(p_{\mathbf{z}}(\mathbf{z}) \| \tilde{q}_{\phi}(\mathbf{z})) + H(p_{\mathbf{z}}(\mathbf{z}); \tilde{q}_{\phi}(\mathbf{z}))$$

$$\geq \underbrace{\mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log q_{\phi}(\mathbf{z}|\mathbf{x})]}_{\mathcal{L}_z(\mathbf{z}, \tilde{\mathbf{z}})} - \underbrace{D_{\text{KL}}(p_{\mathbf{z}}(\mathbf{z}) \| \tilde{q}_{\phi}(\mathbf{z}))}_{D_{\tilde{\mathbf{z}}}}$$

$$=: \mathcal{I}_{\phi}^z(\mathbf{X}; \mathbf{Z})$$



Decoder loss

$$I_\phi(\mathbf{Z}; \mathbf{X}) = \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_\phi(\mathbf{x}|\mathbf{z}) \frac{p_\theta(\mathbf{x}|\mathbf{z})}{p_\mathbf{x}(\mathbf{x})}}{\frac{p_\theta(\mathbf{x}|\mathbf{z})}{p_\mathbf{x}(\mathbf{x})}} \right]$$

$$\geq \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z})}{p_\mathbf{x}(\mathbf{x})} \right]$$

$$= \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z}) \hat{p}_\theta(\mathbf{x})}{p_\mathbf{x}(\mathbf{x}) \hat{p}_\theta(\mathbf{x})} \right]$$

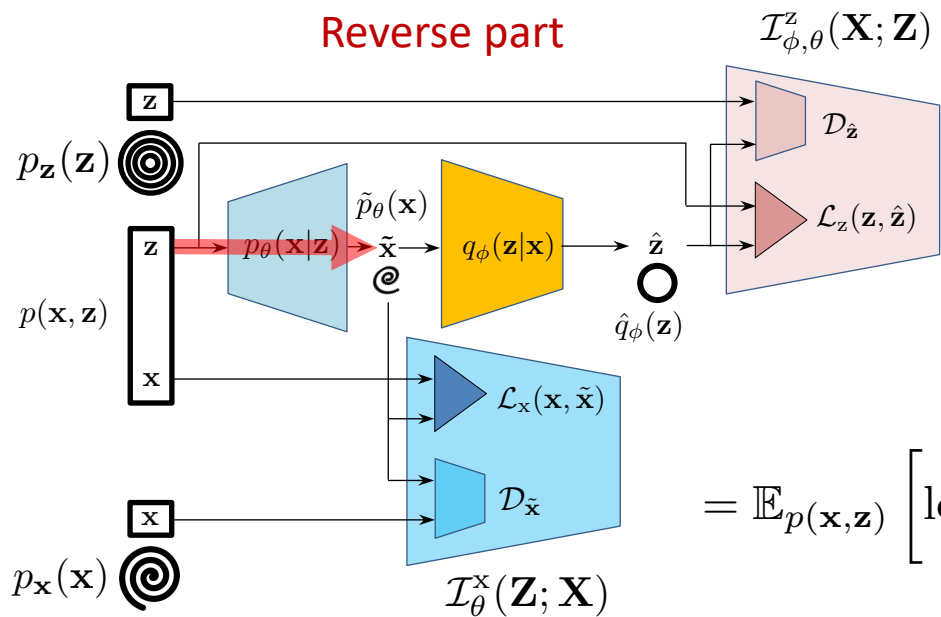
$$= \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\mathbf{x}(\mathbf{x})}{\hat{p}_\theta(\mathbf{x})} \right] - \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} [\log \hat{p}_\theta(\mathbf{x})]$$

$$= \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\mathbf{x}(\mathbf{x})}{\hat{p}_\theta(\mathbf{x})} \right] + H(p_\mathbf{x}(\mathbf{x}); \hat{p}_\theta(\mathbf{x}))$$

$$\geq \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - \mathbb{E}_{q_\phi(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\mathbf{x}(\mathbf{x})}{\hat{p}_\theta(\mathbf{x})} \right]$$

$$= \mathbb{E}_{p_\mathbf{x}(\mathbf{x})} \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - D_{\text{KL}}(p_\mathbf{x}(\mathbf{x}) \| \hat{p}_\theta(\mathbf{x}))$$

$$=: \mathcal{I}_{\phi, \theta}^{\mathbf{x}}(\mathbf{Z}; \mathbf{X})$$



Decoder loss

$$I(\mathbf{X}; \mathbf{Z}) = \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p(\mathbf{x}|\mathbf{z}) p_\theta(\mathbf{x}|\mathbf{z})}{p_{\mathbf{x}}(\mathbf{x}) p_\theta(\mathbf{x}|\mathbf{z})} \right]$$

$$\geq \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z})}{p_{\mathbf{x}}(\mathbf{x})} \right]$$

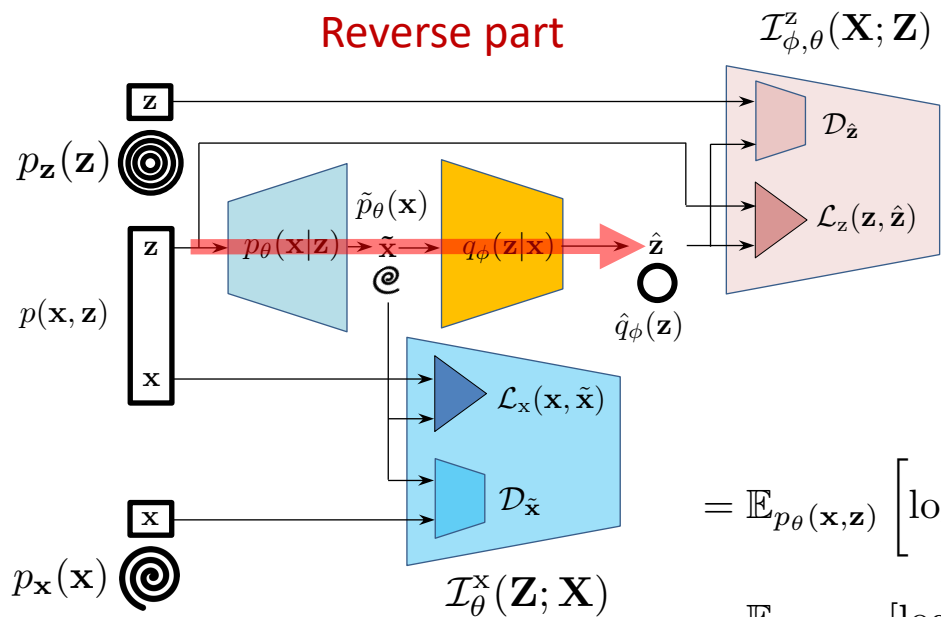
$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{x}|\mathbf{z}) \tilde{p}_\theta(\mathbf{x})}{p_{\mathbf{x}}(\mathbf{x}) \tilde{p}_\theta(\mathbf{x})} \right]$$

$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_{\mathbf{x}}(\mathbf{x})}{\tilde{p}_\theta(\mathbf{x})} \right] - \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log \tilde{p}_\theta(\mathbf{x})]$$

$$= \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \tilde{p}_\theta(\mathbf{x})) + H(p_{\mathbf{x}}(\mathbf{x}); \tilde{p}_\theta(\mathbf{x}))$$

$$\geq \mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \| \tilde{p}_\theta(\mathbf{x}))$$

$$=: \mathcal{I}_\theta^x(\mathbf{Z}; \mathbf{X})$$



Encoder loss

$$\begin{aligned}
 I_\theta(\mathbf{X}; \mathbf{Z}) &= \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_\theta(\mathbf{z}|\mathbf{x})}{p_z(\mathbf{z})} \frac{q_\phi(\mathbf{z}|\mathbf{x})}{q_\phi(\mathbf{z}|\mathbf{x})} \right] \\
 &\geq \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_\phi(\mathbf{z}|\mathbf{x})}{p_z(\mathbf{z})} \right] \\
 &= \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{q_\phi(\mathbf{z}|\mathbf{x})}{p_z(\mathbf{z})} \frac{\hat{q}_\phi(\mathbf{z})}{\hat{q}_\phi(\mathbf{z})} \right] \\
 &= \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} [\log q_\phi(\mathbf{z}|\mathbf{x})] - \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_z(\mathbf{z})}{\hat{q}_\phi(\mathbf{z})} \right] - \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} [\log \hat{q}_\phi(\mathbf{z})] \\
 &= \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} [\log q_\phi(\mathbf{z}|\mathbf{x})] - \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_z(\mathbf{z})}{\hat{q}_\phi(\mathbf{z})} \right] + \mathbb{E}_{p_\theta(\mathbf{x}|\mathbf{z})} [H(p_z(\mathbf{z}); \hat{q}_\phi(\mathbf{z}))] \\
 &\geq \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} [\log q_\phi(\mathbf{z}|\mathbf{x})] - \mathbb{E}_{p_\theta(\mathbf{x}, \mathbf{z})} \left[\log \frac{p_z(\mathbf{z})}{\hat{q}_\phi(\mathbf{z})} \right] \\
 &= \mathbb{E}_{p_z(\mathbf{z})} \mathbb{E}_{p_\theta(\mathbf{x}|\mathbf{z})} [\log q_\phi(\mathbf{z}|\mathbf{x})] - D_{\text{KL}}(p_z(\mathbf{z}) \parallel \hat{q}_\phi(\mathbf{z})) \\
 &=: \mathcal{I}_{\phi, \theta}^z(\mathbf{X}; \mathbf{Z})
 \end{aligned}$$

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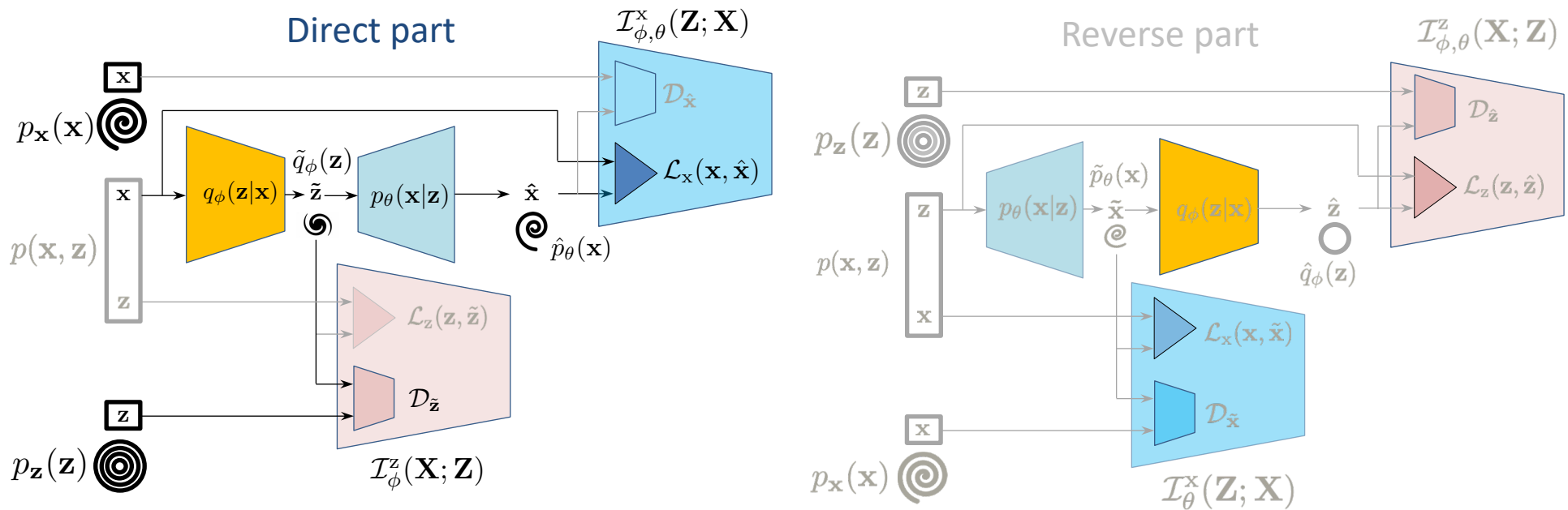
TURBO: generalization of existing schemes

AAE

$$\mathcal{L}_{AAE}(\phi, \theta) = D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_{\mathbf{z}}(\mathbf{z})) - \beta \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})]$$

$$\mathcal{L}^{\text{Direct}}(\phi, \theta) = D_{\text{KL}}(p_{\mathbf{z}}(\mathbf{z}) \| \tilde{q}_\phi(\mathbf{z})) - \beta \mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})] \quad \text{in minimization form}$$

TURBO

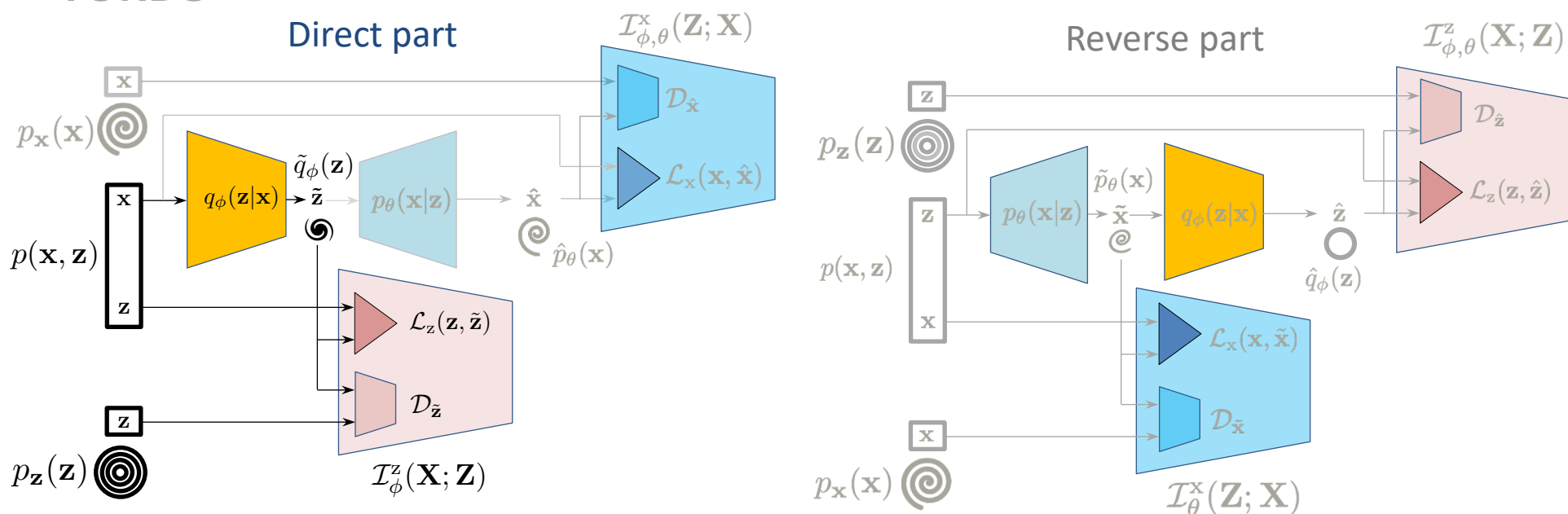


TURBO: generalization of existing schemes

Pix2Pix (paired setup) and SRGAN

$$\mathcal{L}_{\text{Pix2Pix}}(\theta) = \underbrace{\mathbb{E}_{p(\mathbf{x}, \mathbf{z})} [\log q_\phi(\mathbf{z}|\mathbf{x})]}_{\mathcal{L}_z(\mathbf{z}, \tilde{\mathbf{z}})} - \underbrace{D_{\text{KL}}(\tilde{q}_\phi(\mathbf{z}) \| p_z(\mathbf{z}))}_{\mathcal{D}_{\tilde{\mathbf{z}}}}$$

TURBO



Phillip Isola, Jun-Yan Zhu, Tinghui Zhou, Alexei A. Efros, Image-to-Image Translation with Conditional Adversarial Networks, CVPR, 2017

Ledig, C., Theis, L., Huszár, F., Caballero, J., Cunningham, A., Acosta, A., Aitken, A., Tejani, A., Totz, J., Wang, Z. and Shi, W., Photo-realistic single image super-resolution using a generative adversarial network. CVPR 2017

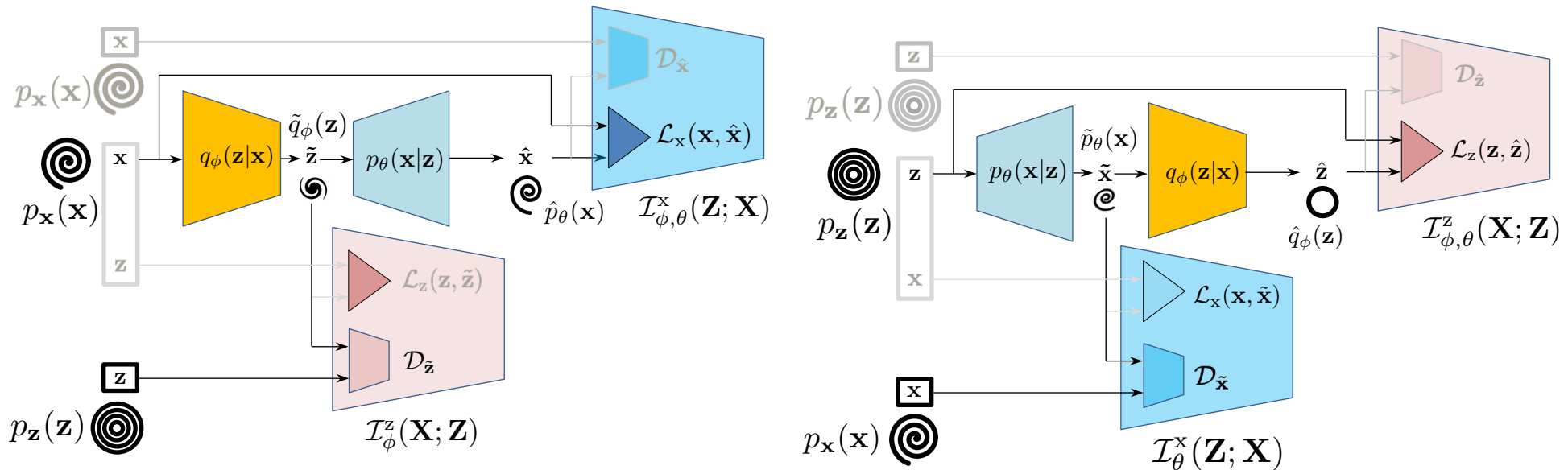
TURBO: generalization of existing schemes

CycleGAN (unpaired setup)

$$\mathcal{L}_{\text{CycleGAN}}(\phi, \theta) = \underbrace{-D_{\text{KL}}(p_{\mathbf{z}}(\mathbf{z}) \parallel \tilde{q}_{\phi}(\mathbf{z}))}_{\mathcal{D}_{\tilde{\mathbf{z}}}} + \underbrace{\mathbb{E}_{p_{\mathbf{x}}(\mathbf{x})} \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} [\log p_{\theta}(\mathbf{x}|\mathbf{z})]}_{\mathcal{L}_{\mathbf{x}}(\mathbf{x}, \hat{\mathbf{x}})}$$

$$- \underbrace{D_{\text{KL}}(p_{\mathbf{x}}(\mathbf{x}) \parallel \tilde{p}_{\theta}(\mathbf{x}))}_{\mathcal{D}_{\tilde{\mathbf{x}}}} + \underbrace{\mathbb{E}_{p_{\mathbf{z}}(\mathbf{z})} \mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{z})} [\log q_{\phi}(\mathbf{z}|\mathbf{x})]}_{\mathcal{L}_{\mathbf{z}}(\mathbf{z}, \hat{\mathbf{z}})}$$

TURBO



Agenda

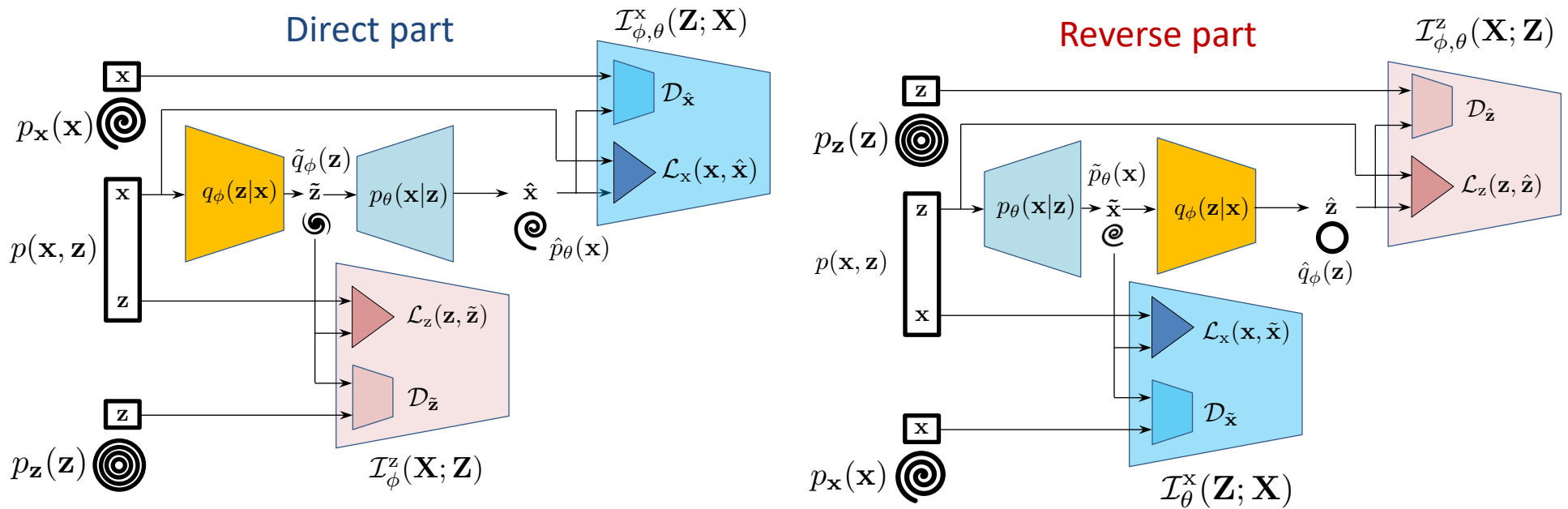
- What is information bottleneck (IBN)?
- IBN based autoencoding
- Generalization of existing methods based on IBN
 - VAE, InfoVAE, VAE/GAN, BIB-AE
- Restrictions of IBN
- **TURBO: physical-driven latent space**
- **Generalization based on TURBO**
 - AAE, SR-GAN, pix2pix, CycleGAN, Probabilistic AE
- **Regression problems**
 - HEP translation
 - Hubble-to-Webb translation
 - Inverse problems in physics
- **Conclusions**
- **Open problems**

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HEP translation problem

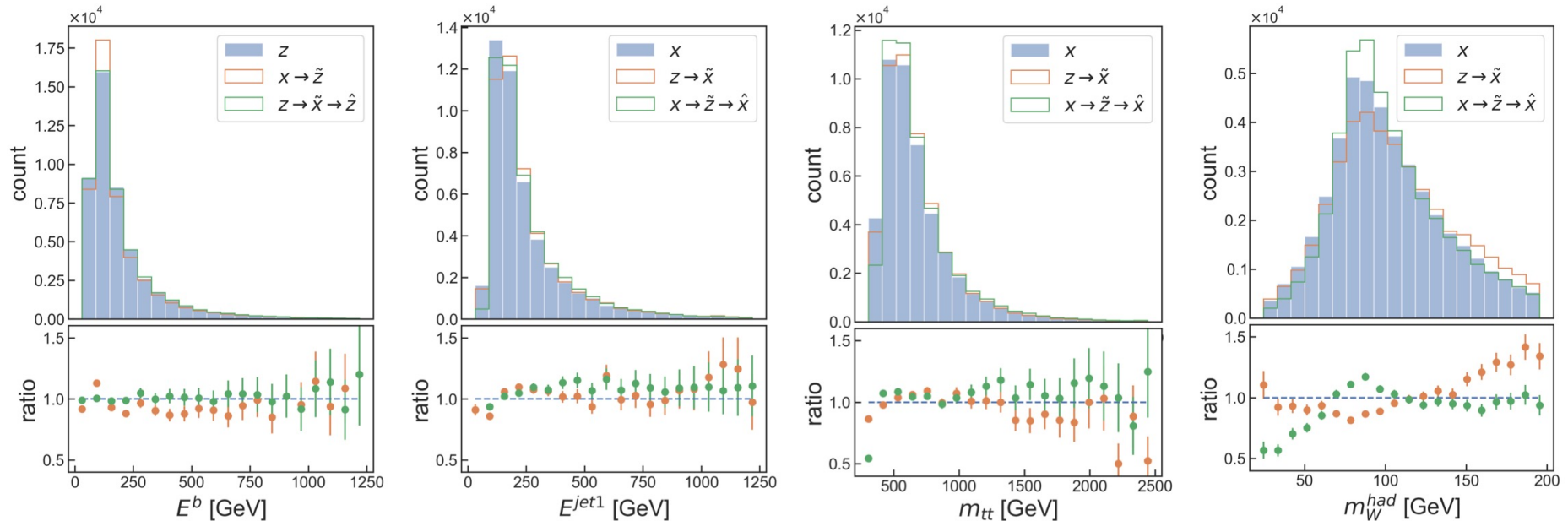
TURBO



Physical meaningful latent space

\mathbf{Z} is the theory space, i.e. right after the collision, before any interaction with the detector
 \mathbf{X} is the experiment space, i.e. after reconstructing the detector signal

HEP translation problem



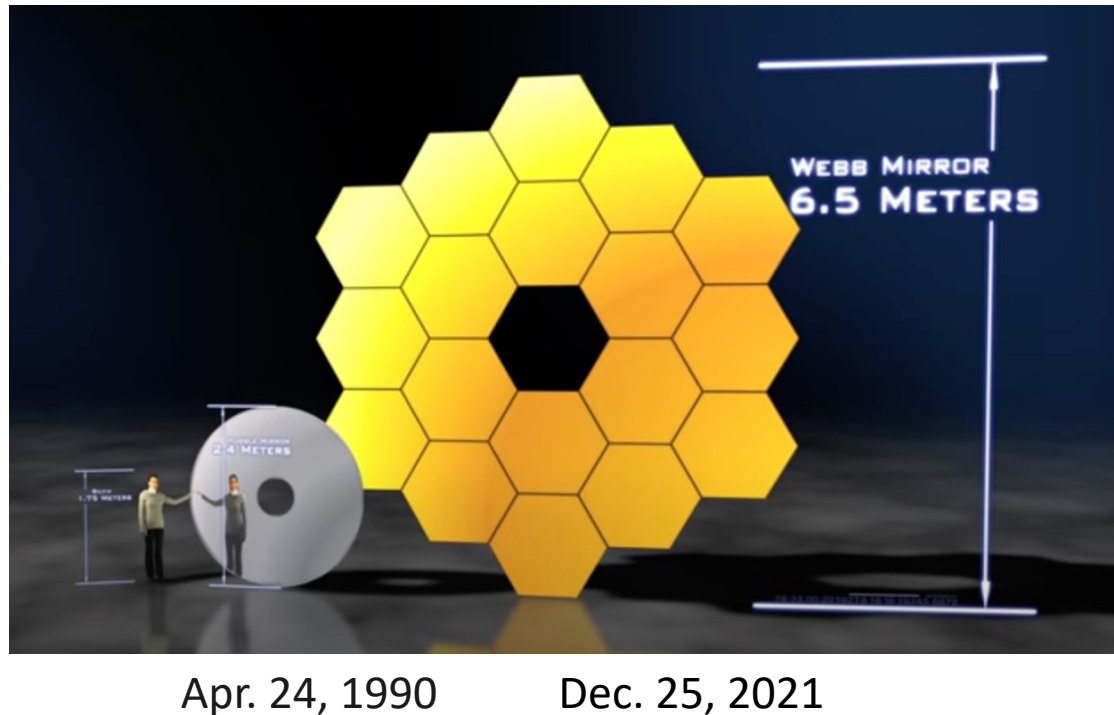
Conclusions:

- Much sense in maximising mutual information since X and Z are very correlated
- Competitive with state-of-the-art, outperforming it in some tasks
- Trained for both generation and inference at the same time

Agenda

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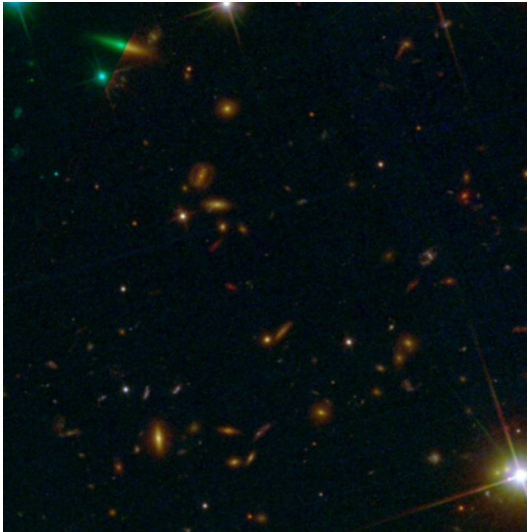
Hubble-to-Webb translation problem



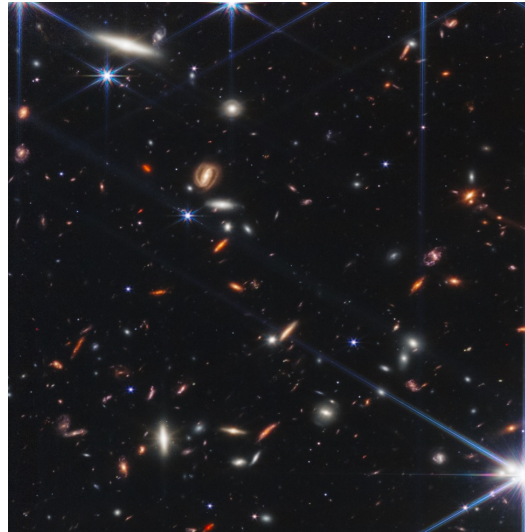
- Different size of mirrors: 6,5 m Webb vs 2,2 m of Hubble
- Different bands
 - Hubble: ultraviolet light, visible light and a small slice of infrared
 - Webb: optimized for infrared but can see red, orange, and gold visible light.
- Different resolutions, sensitivities and captures different phenomena

Hubble-to-Webb translation problem

Results of prediction



Hubble



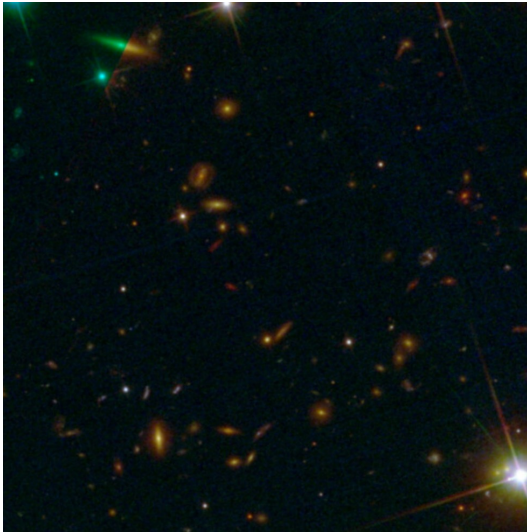
Webb



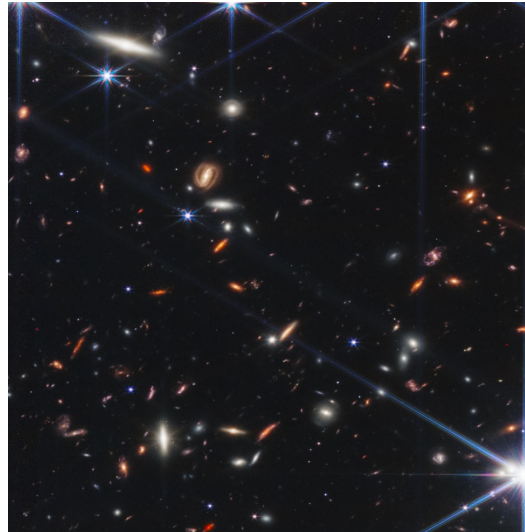
Predicted Webb

Hubble-to-Webb translation problem

Results of prediction



Hubble



Webb



Predicted Webb

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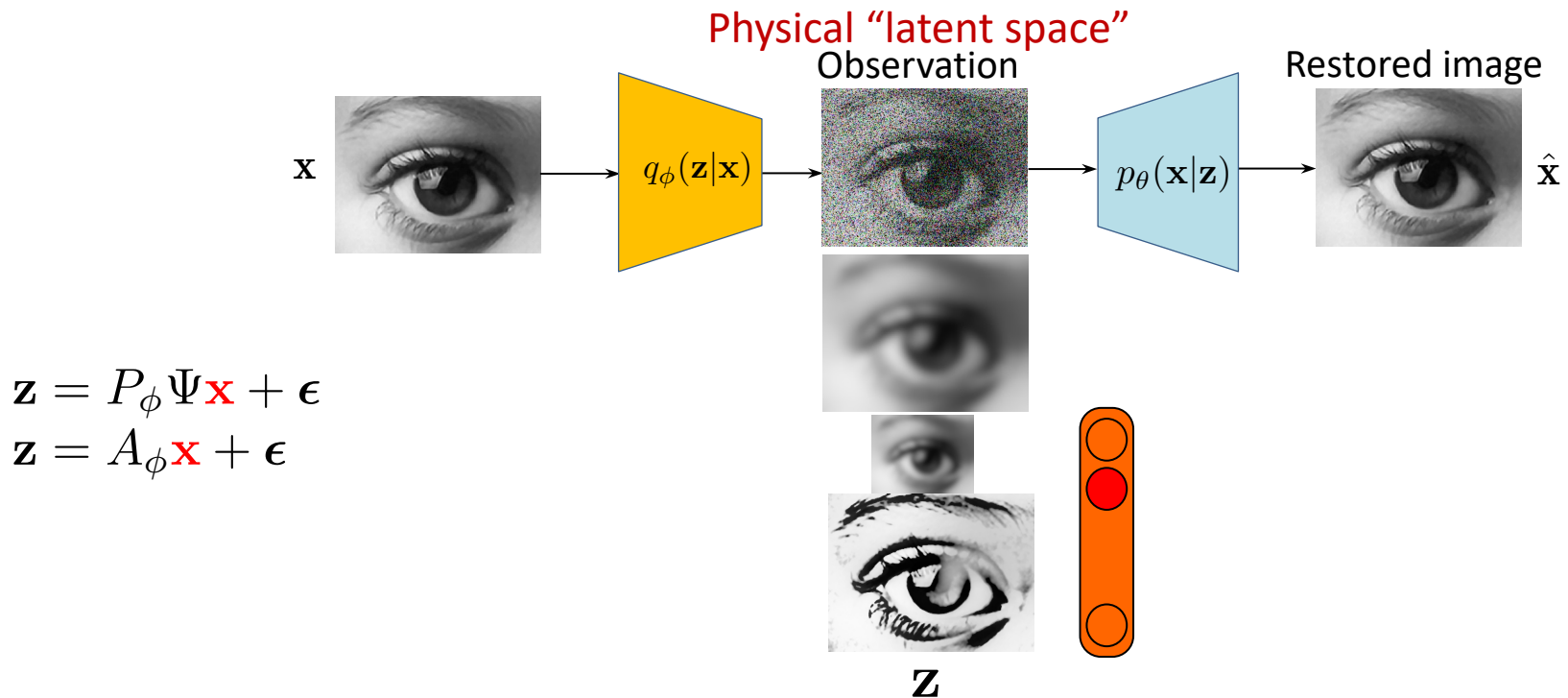
Inverse problems

a common basis
for most of imaging problems

$$\mathbf{z} = f_{\phi}(\mathbf{x}, \epsilon)$$

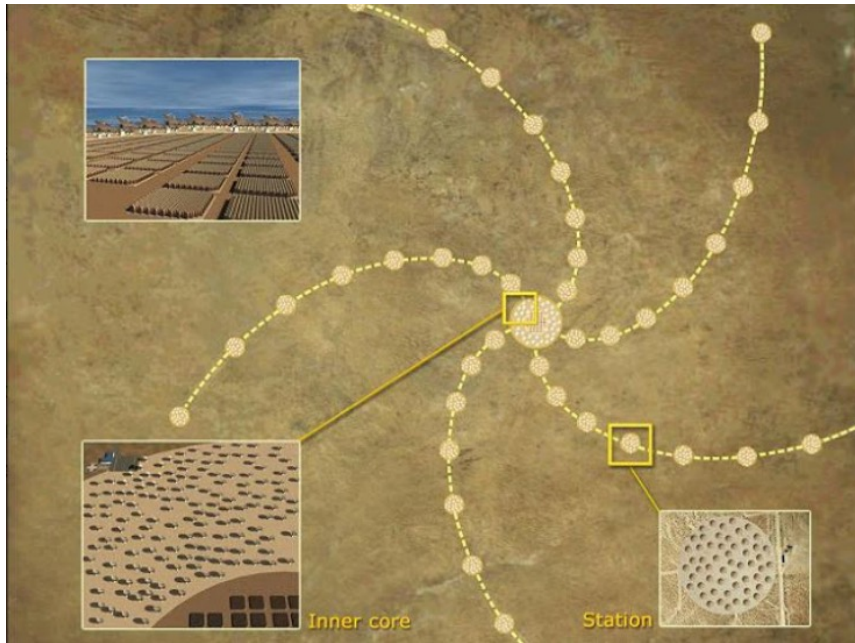
- Known
- Unknown

- Sampling: fMRI (k-space), arrays (uv-plane)
- Compressive sensing
- Learnable compressive sampling
- Denoising
- Restoration and reconstruction
- Superresolution
- Inpainting



$$\mathbf{z} = P_{\phi} \Psi \mathbf{x} + \epsilon$$

$$\mathbf{z} = A_{\phi} \mathbf{x} + \epsilon$$



Square Kilometer Array (SKA) – imaging tool of the 21st century:

- Huge amount of data (expected data are about 1 PB per day)
- Problems with Big Data:
 - Reconstruction (where? and how?)
 - Intercontinental data exchange
 - Storage
 - Analytics and Science

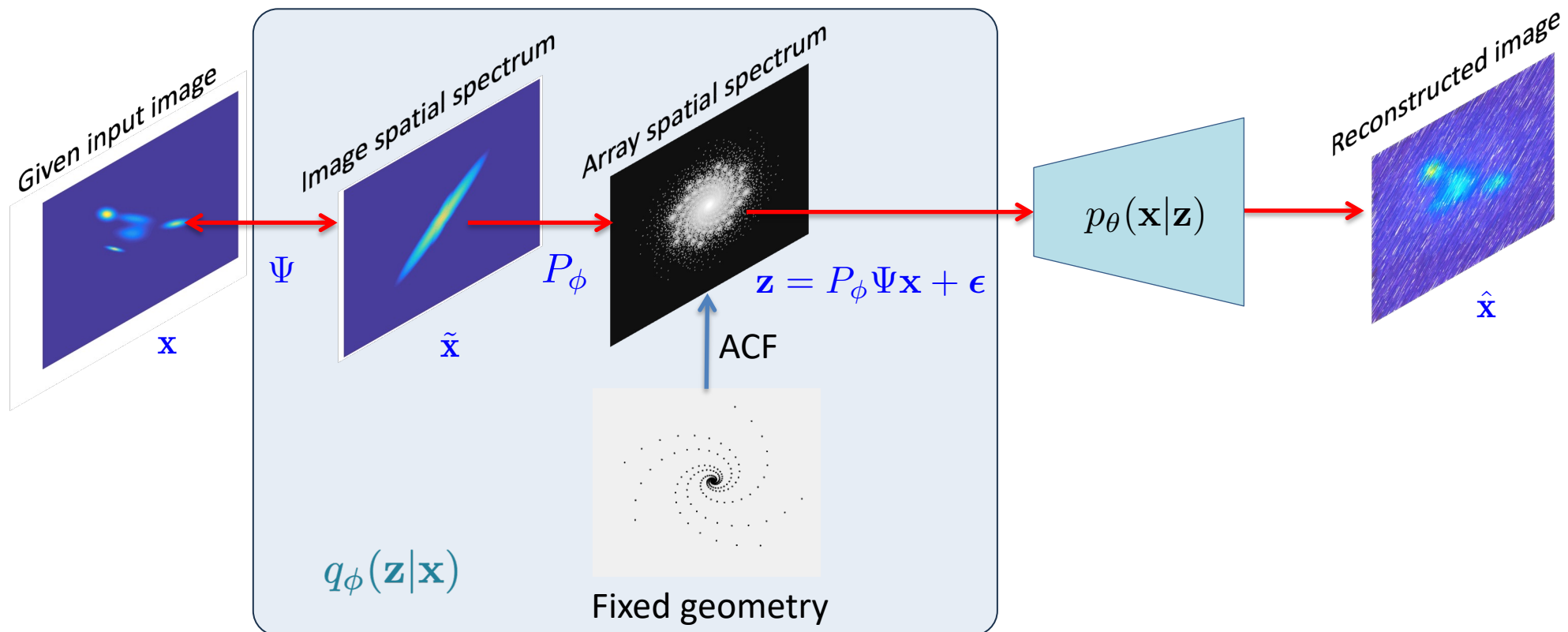
Similar problems in fMRI, CT, computational photography, etc.

$$\mathbf{z} = P_\phi \Psi \mathbf{x} + \epsilon$$

$$\mathbf{z} = A_\phi \mathbf{x} + \epsilon$$

Ψ Fourier transform operator

P_ϕ Sampling operator



Problems:

- Proper image priors $\Omega(\mathbf{x})$
- Joint optimization of sampling operator P_ϕ (encoder $q_\phi(\mathbf{z}|\mathbf{x})$) and decoder $p_\theta(\mathbf{x}|\mathbf{z})$.

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- **Conclusions**

- We considered IBN in the variational formulation
- IBN is a useful tool for the analysis and generalization of existing schemes but it has its own restrictions
- TURBO can fulfill the gap in physical applications where data should have some meaningful latent space
- TURBO can generalize schemes not governed by IBN and envision new architectures
- **Not covered problems:**
 - Extension to Probabilistic AE (latent space with FLOW)
 - Extension to Diffusion-type models (latent space with Markov chain)
 - Extension to score based models (linking MAP with physical models)

- You can find more details about Turbo



Article

TURBO: The Swiss Knife of Auto-Encoders

Guillaume Quétant *^{ID}, Yury Belousov ^{ID}, Vitaliy Kinakh ^{ID} and Slava Voloshynovskiy *^{ID}

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Abstract: We present a novel information-theoretic framework, termed as TURBO, designed to systematically analyse and generalise auto-encoding methods. We start by examining the principles of information bottleneck and bottleneck-based networks in the auto-encoding setting and identifying their inherent limitations, which become more prominent for data with multiple relevant, physics-related representations. The TURBO framework is then introduced, providing a comprehensive derivation of its core concept consisting of the maximisation of mutual information between various data representations expressed in two directions reflecting the information flows. We illustrate that numerous prevalent neural network models are encompassed within this framework. The paper underscores the insufficiency of the information bottleneck concept in elucidating all such models, thereby establishing TURBO as a preferable theoretical reference. The introduction of TURBO contributes to a richer understanding of data representation and the structure of neural network models, enabling more efficient and versatile applications.

Keywords: information bottleneck; TURBO; generalisation; auto-encoder; variational approximation; lower bound; mutual information; physical latent space; representations; Kullback–Leibler divergence

Thank you!