

A novel Vlasov approach for modelling electron cloud instabilities

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Introduction

Simulation Model

Linearized model of electron cloud forces
The Vlasov Equation

Results with zero chromaticity

Results with chromaticity



Outline

Introduction

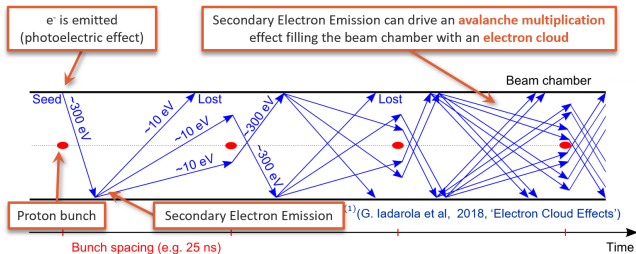
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Electron clouds

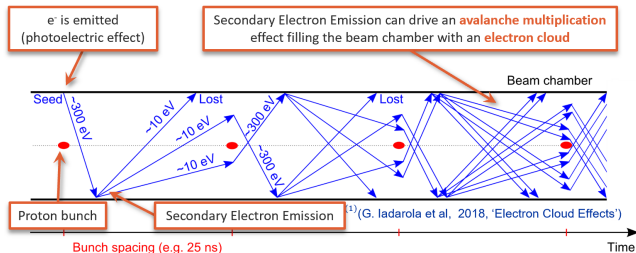


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Depends on:

- Beam Chamber
- Beam Configuration
- Magnetic fields

Electron clouds



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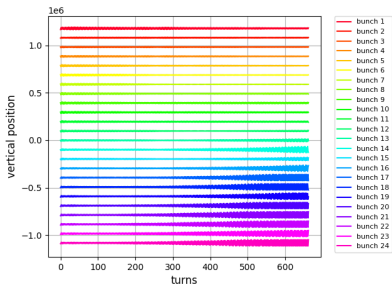
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Unwanted effects:

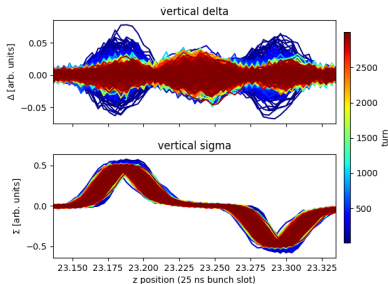
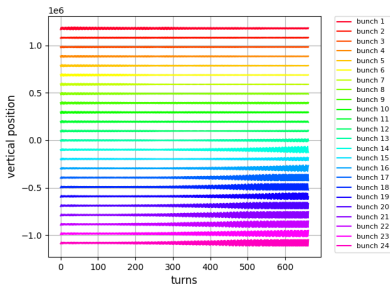
- Transverse instabilities
- Transverse emittance blow-up
- Particle losses
- Heat Loads
- Vacuum Degradation

Instabilities driven by e-cloud.



- Electron clouds can drive transverse instabilities

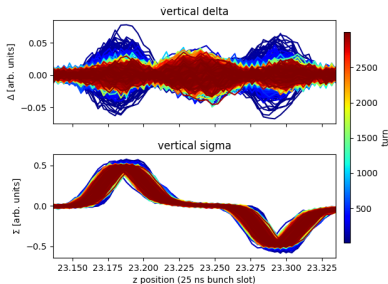
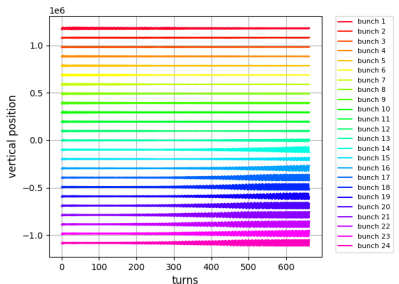
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LHC measurements, June 2022

- Electron clouds can drive transverse instabilities, which cannot be mitigated by transverse feedback system due to strong intra-bunch motion. [6] F. Zimmermann, 2004, *Review of Single bunch instabilities driven by electron cloud*

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- Conventional simulations using macroparticle tracking together with the PIC method for e-cloud beam interaction, are very computationally heavy. [7] G. Iadarola, et al., 2017, *Evolution of Python Tools for the Simulation of Electron Cloud Effects*

Instabilities driven by electron cloud

- Instabilities driven by impedance effects have been modelled using the linearised Vlasov Equation, which identifies the instability growth rate and betatron frequency shift for each instability mode. [8] N. Mounet, 2017, *Vlasov Solvers and Macroparticle Simulations*

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Previous attempts of using the Vlasov method to model e-cloud driven instabilities have not included these points together. [10] K. Ohmi et al, 2001, *Wake-Field and Fast Head-Tail Instability Caused by an Electron Cloud.*, [11] E. Perevedentsev, 2002, *Head-Tail Instability Caused by Electron Cloud*

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General description of e-cloud forces

Begin by describing the dipolar e-cloud forces:

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Choose a set of **sinusoid beam distortions**, $h_n(z)$ where z is the position along the bunch. The sinusoid test functions satisfy the **orthogonality condition**: $\int h_n(z)h_{n'}(z) = H_n^2\delta_{n,n'}$

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Each distortion, h_n , corresponds to a response function k_n calculated from the interaction with e-cloud using **single-pass PIC simulations**.

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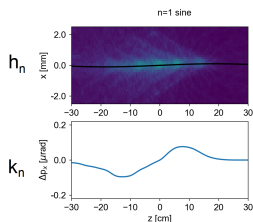


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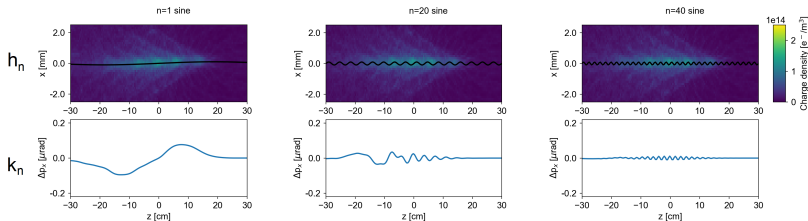
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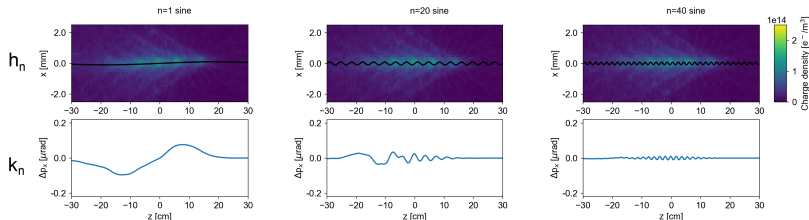
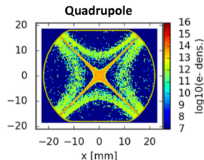
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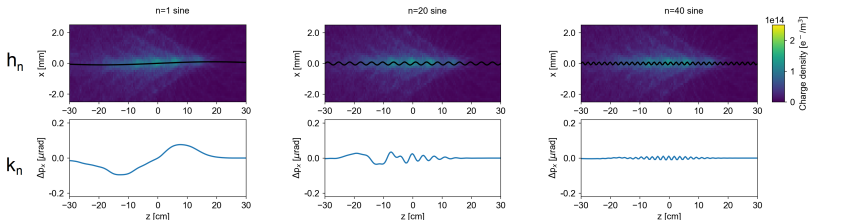
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Linear model of e-cloud - Dipolar Forces

Describe the **transverse centroid** along the bunch, $\bar{x}(z)$, as a **linear combination** of test functions h_n :

$$\bar{x}(z) = \sum_{n=0}^{\infty} a_n h_n(z); \quad a_n = \frac{1}{H_n^2} \int \bar{x}(z) h_n(z) dz \quad (1)$$

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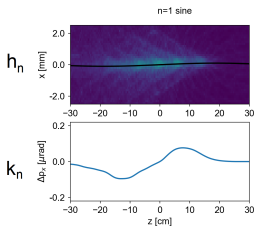


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k_n is the resulting **electron cloud kick** from a bunch distortion h_n .



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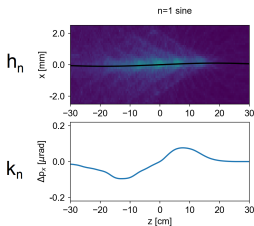
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From simulation we verify **linear behaviour** such that the kick, $\Delta x'$, of arbitrary distribution $\bar{x}(z)$ is:

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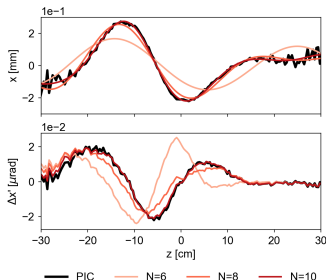
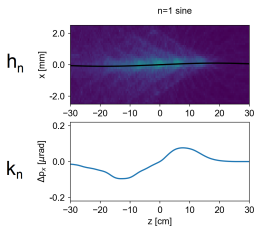
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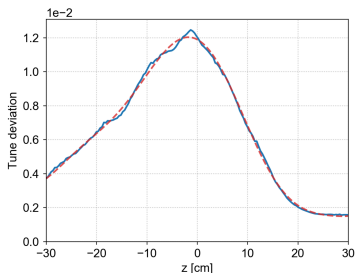


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Linear model of electron clouds - Quadrupolar forces

Model detuning using a polynomial

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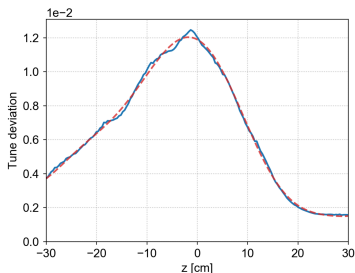
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Generalize by adding chromaticity

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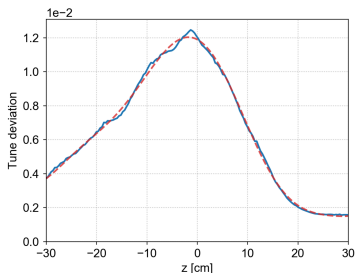
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Including only linear chromaticity:

$$\Delta Q(z, \delta) = Q' \delta + \sum_{n=0}^{N_p} A_n z^n \quad (5)$$



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The Linearized Vlasov Equation - introduction

- ψ_0 is a distribution of particles where each individual particle obeys a Hamiltonian H_0 .

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- Introduce a perturbation, $\Delta\mathbf{H}$ and $\Delta\psi$, which means that the total Hamiltonian is $\mathbf{H} = \mathbf{H}_0 + \Delta\mathbf{H}$ and the total distribution is $\psi = \psi_0 + \Delta\psi$

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- This leads to the Linearized Vlasov Equation, which truncated to first order and expressed with Poisson brackets is:

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- The electron cloud forces are contained in ΔH
- The distortion $\Delta\psi$ is the impact of the perturbation and the unknown

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H_0 from equations of motion

$\Delta H = -\frac{x F_x^{coh}(z, t)}{m_0 \gamma v}$ from integrating Hamilton's equations

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switch to polar coordinates
 $(x, x', z, \delta) \rightarrow (J_x, \theta_x, r, \phi)$

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Factorize unperturbed bunch distribution, $\psi_0 = \frac{\eta v}{\omega_0} f_0(J_x) g_0(r)$

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Factorize unperturbed bunch distribution, $\psi_0 = \frac{\eta v}{\omega_0} f_0(J_x) g_0(r)$

$$\frac{\partial \Delta \Psi}{\partial t} - \omega_0 (Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta \Psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta \Psi}{\partial \phi} = -\frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{coh}(z, t)$$

reds are unknowns and greens come from e-cloud forces



E-cloud in the Vlasov Equation - Dipolar Forces

The coherent force can be expressed using the responses k_n introduced earlier.



E-cloud in the Vlasov Equation - Dipolar Forces

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Assuming the force is distributed uniformly in the accelerator:

$$F_x^{coh}(z, t) = \frac{m_0 \gamma v^2}{2\pi R} \Delta x' \quad (7)$$

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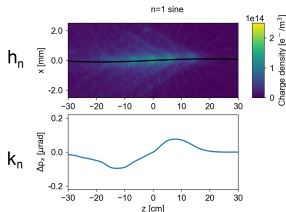
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$\Delta x'$ is a linear combination of the responses k_n using the coefficients calculated from the projection of h_n on $\bar{x}(z, t)$, the average transverse position at longitudinal position z .

$$\Delta x' = \sum_{n=0}^N a_n k_n(z); \quad a_n = \frac{1}{H_n^2} \int \bar{x}(z, t) h_n(z) dz \quad (8)$$

Note that $\Delta\psi$ is used to calculate $\bar{x}(z, t)$



Solving the Vlasov Equation - Ansatz of $\Delta\psi$

Equation to solve:

$$\frac{\partial \Delta\psi}{\partial t} - \omega_0(Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta\psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta\psi}{\partial \phi} = - \frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{coh}(z, t)$$



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detuning from e-cloud

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 detuning from e-cloud

 dipolar forces from e-cloud

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↑ detuning from e-cloud ↑ dipolar forces from e-cloud

First ansatz: $\Delta\psi(J_x, \theta_x, r, \phi, t) = e^{j\Omega t} \Delta\psi(J_x, \theta_x, r, \phi)$.

Solving the Vlasov Equation - Ansatz of $\Delta\psi$

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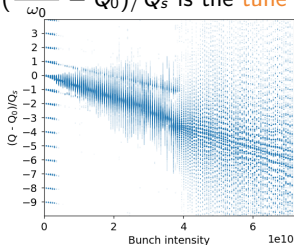
$$\frac{\partial \Delta\psi}{\partial t} - \omega_0(Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta\psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta\psi}{\partial \phi} = - \frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{coh}(z, t)$$

↑
detuning from e-cloud

↑
dipolar forces from e-cloud

First ansatz: $\Delta\psi(J_x, \theta_x, r, \phi, t) = e^{j\Omega t} \Delta\psi(J_x, \theta_x, r, \phi)$.

$(\frac{Re(\Omega)}{\omega_0} - Q_0)/Q_s$ is the **tune shift**



Solving the Vlasov Equation - Ansatz of $\Delta\psi$

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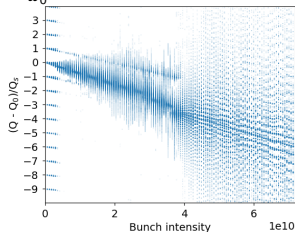
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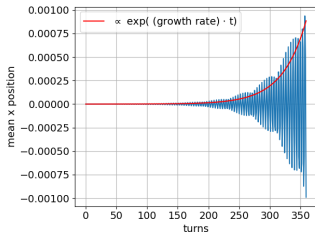
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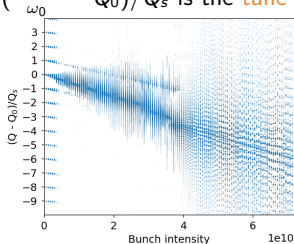
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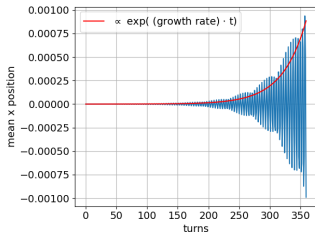
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Beam instabilities are characterized by these qualities.



ω_0 is the angular revolution frequency, Q_0 is the unperturbed tune and Q_s is the synchrotron frequency

Solving the Vlasov Equation - Ansatz of $\Delta\psi$

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$$\frac{\partial \Delta\psi}{\partial t} - \omega_0(Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta\psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta\psi}{\partial \phi} = - \frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{coh}(z, t) \quad (9)$$

[2] G. Iadarola, et. al. 2020, *Linearized method for the study of transverse instabilities driven by electron clouds*



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Expand ansatz:

$$\Delta\psi(J_x, \theta_x, r, \phi, t) = e^{j(\Omega t - \Delta\Phi(r, \phi))} f_1(J_x) e^{j\theta_x} \sum_{l=-\infty}^{\infty} e^{-jl\phi} W_l(r) \sum_{m=0}^{+\infty} b_{lm} f_{lm}(r)$$

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Phase shift term to be chosen

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Detuning from e-cloud can be divided into a **detuning w longitudinal amplitude** and a **head-tail phase shift**

$$\Delta Q(z, \delta) = \Delta Q(r, \phi) = Q' \delta + \sum_{n=0}^{N_p} A_n z^n = \Delta Q_R(r) + \Delta Q_\Phi(r, \phi) \quad (11)$$

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Solving the Vlasov Equation

The linearized Vlasov Equation now becomes an **eigenvalue problem**:

$$b_{lm}(\Omega - Q_{x0}\omega_0 - l\omega_s) = \sum_{l'm'} (\mathbf{M}_{lm,l'm'} + \tilde{\mathbf{M}}_{lm,l'm'}) b_{l'm'} \quad (12)$$

Unknowns in red and terms including electron cloud forces in green

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Solved by computing the matrices $\mathbf{M}_{lm,l'm'}$ and $\tilde{\mathbf{M}}_{lm,l'm'}$ and solve for "eigenvalue" Ω and mode b_{lm} using standard linear algebra packages.

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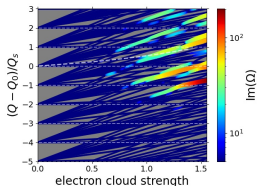
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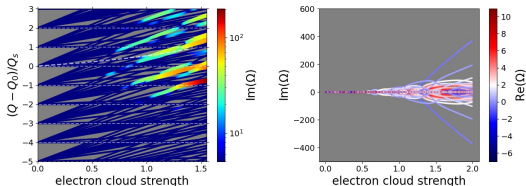
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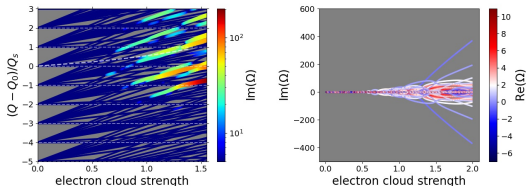
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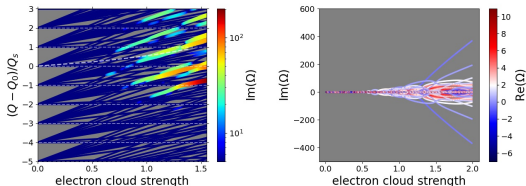
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The Vlasov equation is solved for one e-cloud strength at a time yielding a set of Ω which corresponds to a vertical line in the plots.

Outline

Introduction

Simulation Model

Results with zero chromaticity

Results with chromaticity



Benchmark against macro-particle simulations

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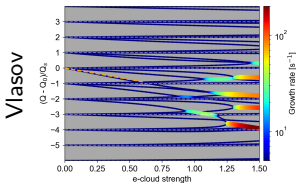
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Will now compare the results from macro-particle tracking and the Vlasov approach, both using the linear model of e-cloud forces.

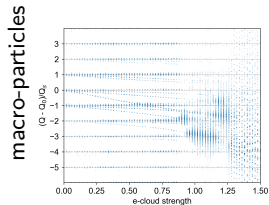


Results with zero chromaticity - Phase Shift

$$\Delta Q_R = 0, \Delta Q_\Phi = 0$$

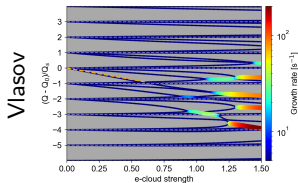


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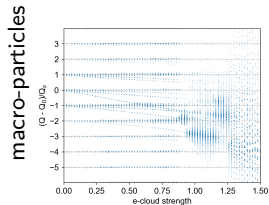


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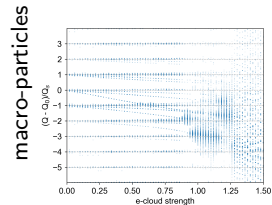
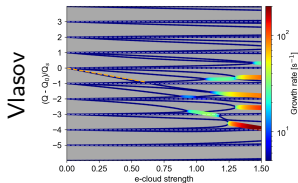


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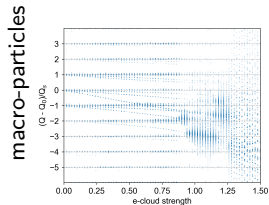
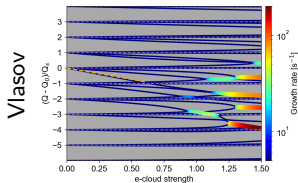
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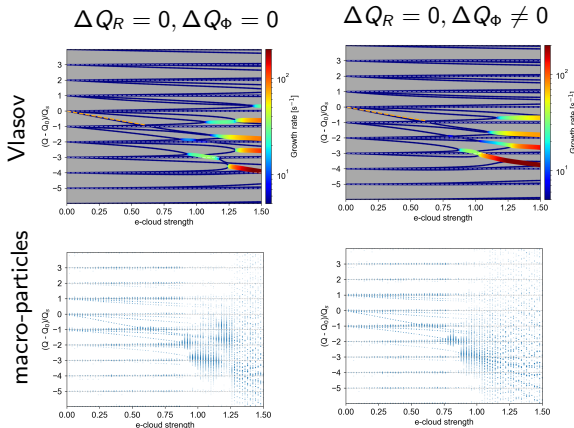
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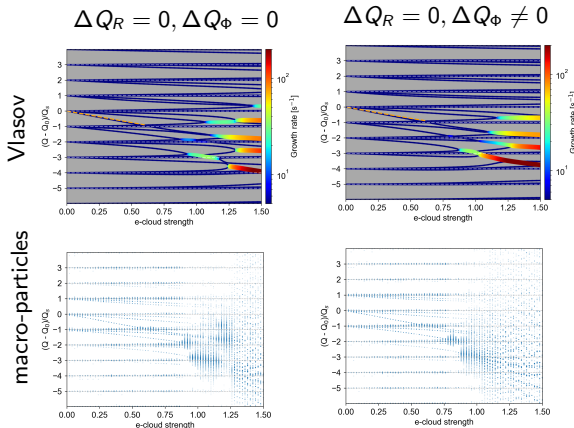
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- The same modes are visible in the results from the Vlasov approach and the macro-particle tracking.

Results with zero chromaticity - Phase Shift



Now the head-tail phase shift ΔQ_ϕ , is included.

Results with zero chromaticity - Phase Shift



Now the head-tail phase shift ΔQ_ϕ , is included.

The same modes are visible in both plots!

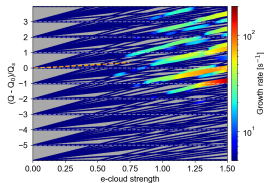
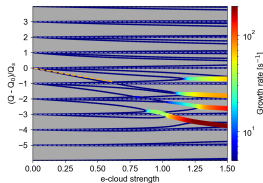
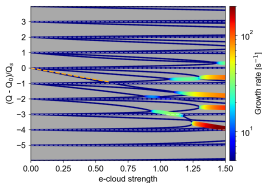
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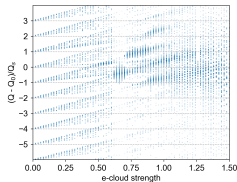
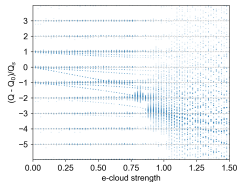
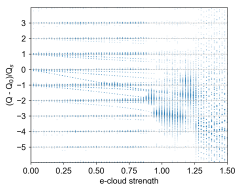
$$\Delta Q_R = 0, \Delta Q_\phi \neq 0$$

$$\Delta Q_R \neq 0, \Delta Q_\phi \neq 0$$

Vlasov



macro-particles



Include also detuning with longitudinal amplitude.

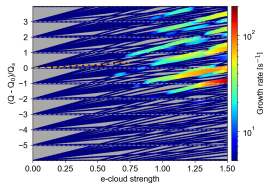
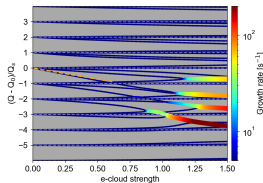
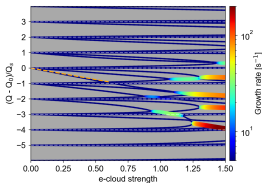
Results with zero chromaticity - Phase Shift

$$\Delta Q_R = 0, \Delta Q_\Phi = 0$$

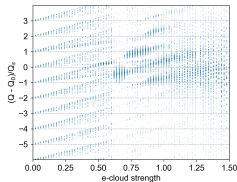
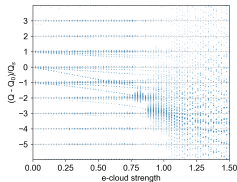
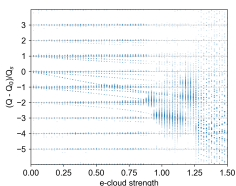
$$\Delta Q_R = 0, \Delta Q_\Phi \neq 0$$

$$\Delta Q_R \neq 0, \Delta Q_\Phi \neq 0$$

Vlasov



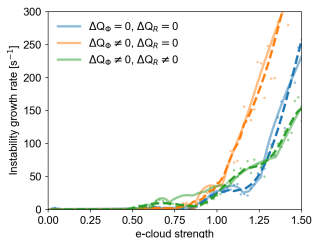
macro-particles



Include also detuning with longitudinal amplitude.

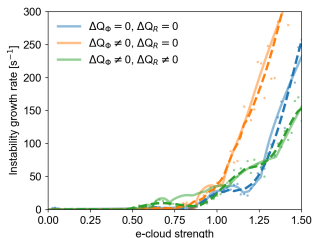
Modes agree when full detuning from e-cloud are included!

Results with zero chromaticity - Growth Rate



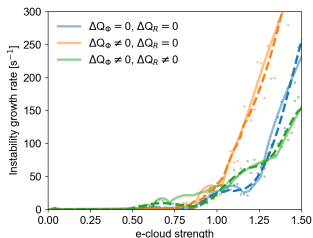
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Results with zero chromaticity - Growth Rate



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Results with zero chromaticity - Growth Rate

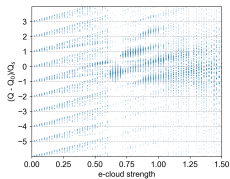


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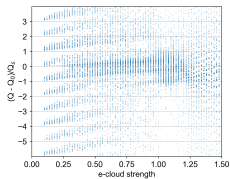
The instability growth rates agree well!

Benchmark linear model with PIC simulations

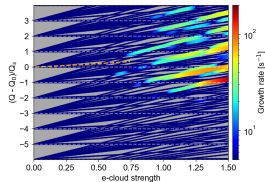
linear model



PIC simulation

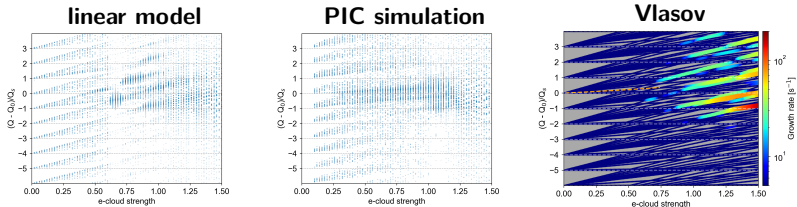


Vlasov



The two left plots are made with **macro-particle simulations**.

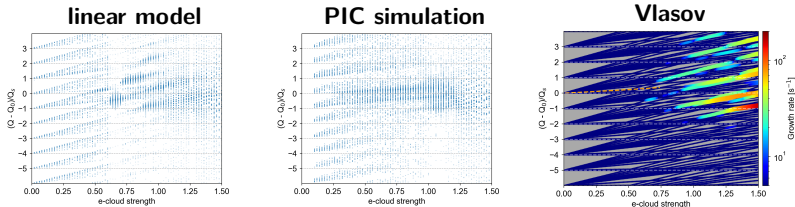
Benchmark linear model with PIC simulations



The two left plots are made with **macro-particle simulations**.

All simulations methods have **fans of modes** for low e-cloud strengths.

Benchmark linear model with PIC simulations

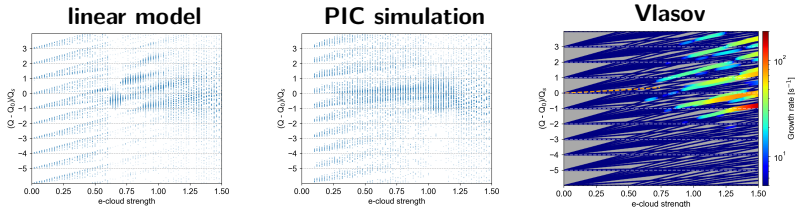


The two left plots are made with **macro-particle simulations**.

All simulations methods have **fans of modes** for low e-cloud strengths. **Non-linear effects** from e-cloud are present in middle plot which have a **stabilizing effect**.

Modes with a tune shift $\Delta Q/Q_s > 0$ are **not visible in the PIC simulations**.

Benchmark linear model with PIC simulations



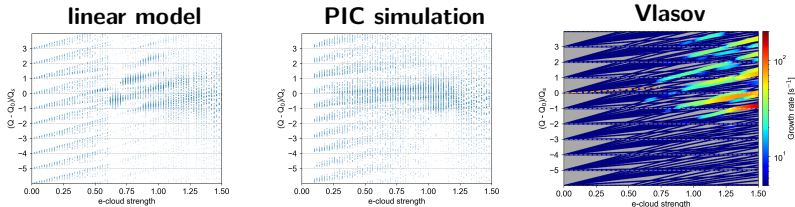
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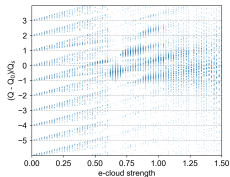
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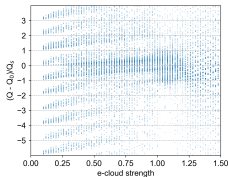
For **high e-cloud strength** (>1.25), both macro-particle simulation methods give **similar results** and the **worst Vlasov mode** also have a tune shift between $-1 < (Q - Q_0)/Q_s < 0$.

Benchmark linear model with PIC simulations

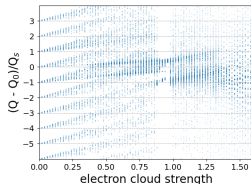
linear model



PIC simulation



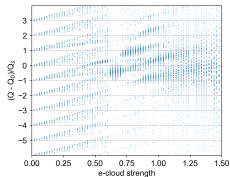
linear model +
static non-linear map



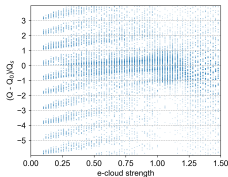
A **static non-linear map**, independent on z , can be made by removing the linear forces from the field map of the electron pinch and averaging along z .

Benchmark linear model with PIC simulations

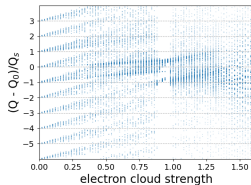
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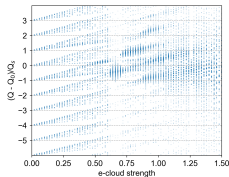


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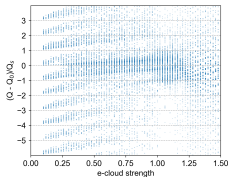
This map is then **added to the linear e-cloud model**.

Benchmark linear model with PIC simulations

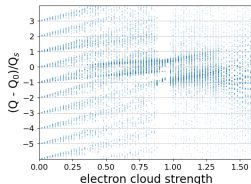
linear model



PIC simulation



linear model +
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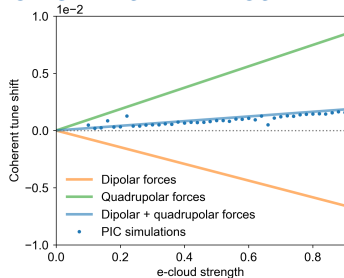


A **static non-linear map**, independent on z , can be made by removing the linear forces from the field map of the electron pinch and averaging along z .

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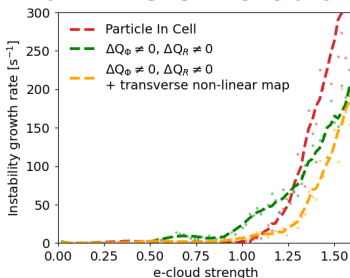
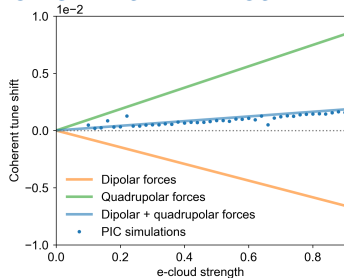
The results using the linear model + a static non-linear map are **similar to the results using PIC**: modes with $\Delta Q/Q_s > 0$ are stabilized.

Benchmark linear model with PIC simulations



An excellent agreement of tune shift between the Vlasov approach using **both** dipolar and quadrupolar forces and the **PIC** method.

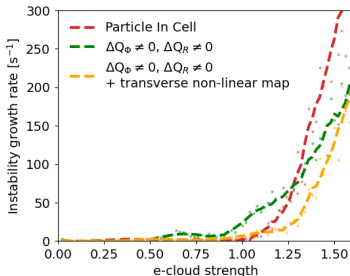
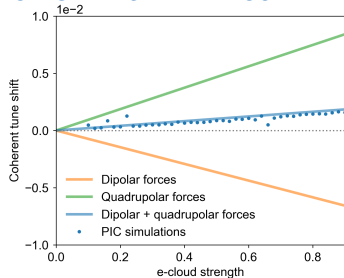
Benchmark linear model with PIC simulations



An excellent agreement of tune shift between the Vlasov approach using **both** dipolar and quadrupolar forces and the **PIC** method.

The instability growth rate from **PIC** has **similar behaviour** to the instability growth rate from Vlasov and macro-particle simulations using the **linear model** of e-cloud.

Benchmark linear model with PIC simulations



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The instability growth rate from PIC has **similar behaviour** to the instability growth rate from Vlasov and macro-particle simulations using the **linear model** of e-cloud.

The static non-linear map has a **stabilizing effect** on the instability growth rate for low e-cloud strengths and the behaviour is **slightly more similar to the PIC** instability growth rate.

Outline

Introduction

Simulation Model

Results with zero chromaticity

Results with chromaticity



Chromaticity in Vlasov

Chromaticity is included in Vlasov together with the detuning caused by e-cloud forces:

$$\Delta Q(z, \delta) = Q' \delta + \sum_{n=0}^{N_p} A_n z^n \quad (13)$$

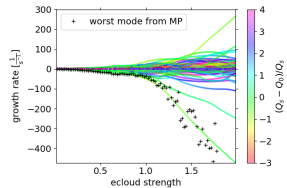
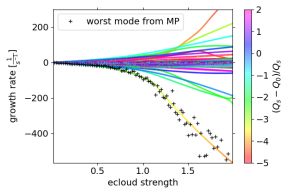
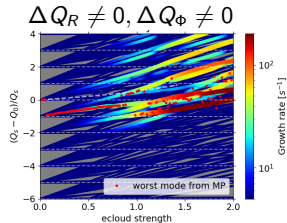
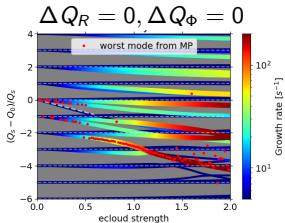
which is included in the phase shift term $\Delta\Phi$ used in the ansatz of the distortion $\Delta\psi$:

$$\Delta\psi(J_x, \theta_x, r, \phi, t) = e^{j\Omega t} \sum_{p=-\infty}^{\infty} f^p(J_x) e^{jp[\theta_x - \Delta\Phi(r, \phi)]} \sum_{l=-\infty}^{\infty} R_l^p(r) e^{-jl\phi} \quad (14)$$

Equation to solve:

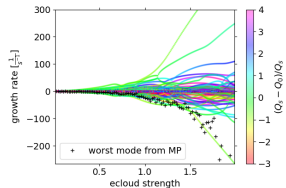
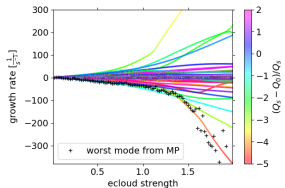
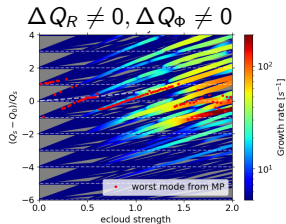
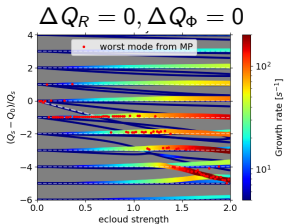
$$\frac{\partial \Delta\psi}{\partial t} - \omega_0(Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta\psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta\psi}{\partial \phi} = - \frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{\text{coh}}(z, t) \quad (15)$$

Chromaticity = -5



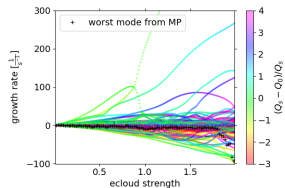
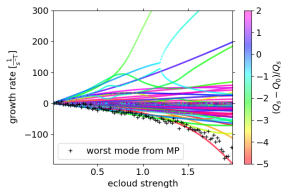
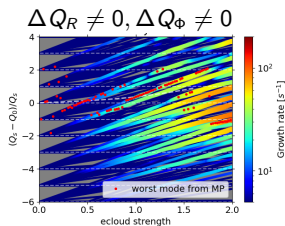
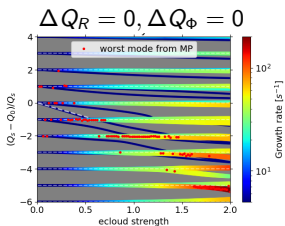
The macro-particle simulation results follow the behaviour of the worst mode from Vlasov.

Chromaticity = 5



Good agreement also for low and positive chromaticity.
The instability growth rates are lower compared to chromaticity -5

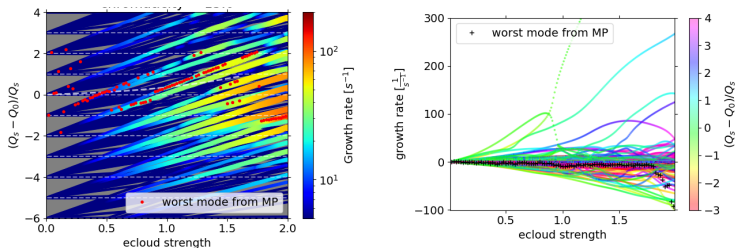
Chromaticity = 15



Good agreement when **only dipolar forces** are included

Chromaticity = 15

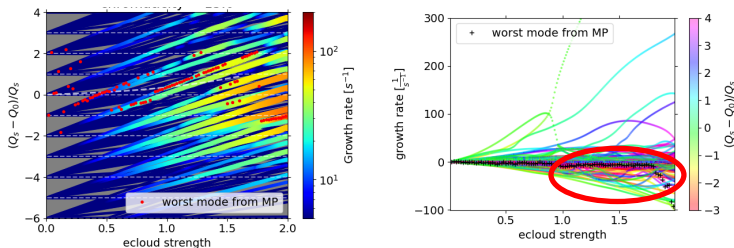
$$\Delta Q_R \neq 0, \Delta Q_\phi \neq 0$$



The tune shift from macro-particle simulations does **not** follow the worst mode, **but always follow an existing Vlasov mode.**

Chromaticity = 15

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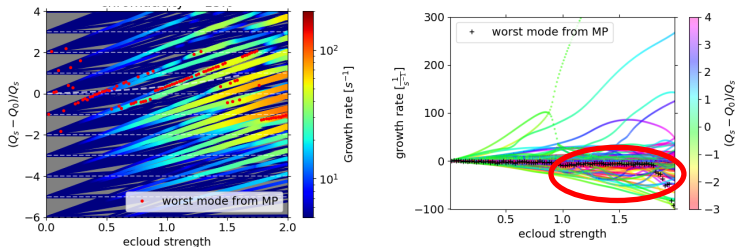


The tune shift from macro-particle simulations does **not** follow the worst mode, **but always follow an existing Vlasov mode.**

Instabilities in the macro-particle simulations for e-cloud strengths < 1.8 are damped.

Chromaticity = 15

$$\Delta Q_R \neq 0, \Delta Q_\phi \neq 0$$



The tune shift from macro-particle simulations does **not** follow the worst mode, **but always follow an existing Vlasov mode.**

Instabilities in the macro-particle simulations for e-cloud strengths < 1.8 are damped.

This is **not true** for the Vlasov modes.

Summary and Conclusions

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Further Work

- Benchmark simulations with data from the LHC, especially the dependence on chromaticity.
- Include multiple collective effects in the Vlasov simulations and study the combined effect of impedance, e-cloud and beam-beam.

References

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- [11] E. Perevedentsev, 2002, *Head-Tail Instability Caused by Electron Cloud*



Thank you for your attention!



e-cloud in Vlasov Equation - quadrupolar forces

Ansatz of $\Delta\psi$:

- Assume time dependence contained in $e^{j\Omega t}$
- transverse Fourier decomposition (angle θ_x)
- extract **phase shift term** $e^{-jp\Delta\Phi(r,\phi)}$
- longitudinal Fourier decomposition (angle ϕ)

$$\Delta\psi(J_x, \theta_x, r, \phi, t) = e^{j\Omega t} \sum_{p=-\infty}^{\infty} f^p(J_x) e^{jp[\theta_x - \Delta\Phi(r,\phi)]} \sum_{l=-\infty}^{\infty} R_l^p(r) e^{-jl\phi} \quad (16)$$

where the **unknown** terms are Ω and $f^p(J_x)$ and $R_l^p(r)$.

Choose $\Delta\Phi$ in the **phase shift term** so that: $\frac{\partial\Delta\Phi}{\partial\phi} = -\frac{\omega_0}{\omega_s} \Delta Q_\Phi(r, \phi)$ (17)

The total detuning from e-cloud forces and chromaticity can be divided into **transverse detuning with longitudinal amplitude**, ΔQ_R , and **head-tail phase shift**, ΔQ_Φ :

$$\Delta Q(z, \delta) = \Delta Q(r, \phi) = Q' \delta + \sum_{n=0}^{N_p} A_n z^n = \Delta Q_R(r) + \Delta Q_\Phi(r, \phi)$$

Solving the linearized Vlasov Equation

$$\frac{\partial \Delta \Psi}{\partial t} - \omega_0(Q_{x0} + \Delta Q(r, \phi)) \frac{\partial \Delta \Psi}{\partial \theta_x} + \omega_s \frac{\partial \Delta \Psi}{\partial \phi} = - \frac{\eta g_0(r)}{\omega_s m_0 \gamma} \frac{df_0}{dJ_x} \sqrt{\frac{2J_x R}{Q_{x0}}} \sin \theta_x F_x^{coh}(z, t) \quad (18)$$

Using the ansatz of the distortion:

$$\Delta \psi(J_x, \theta_x, r, \phi, t) = e^{j\Omega t} \sum_{p=-\infty}^{\infty} f^p(J_x) e^{jp[\theta_x - \Delta \Phi(r, \phi)]} \sum_{l=-\infty}^{\infty} R_l^p(r) e^{-jl\phi} \quad (19)$$

after an additional decomposition of the radial functions

$R_l(r) = W_l(r) \sum_{m=0}^{\infty} b_{lm} f_{lm}$ where $f_{lm}(r)$ are orthogonal and $W_l(r)$ is arbitrary.

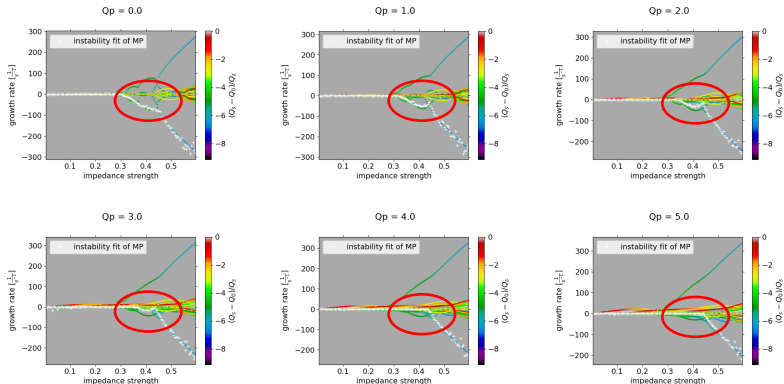
The linearized Vlasov Equation now becomes an **eigenvalue problem**:

$$b_{lm}(\Omega - Q_{x0}\omega_0 - l\omega_s) = \sum_{l'm'} (\mathbf{M}_{lm, l'm'} + \tilde{\mathbf{M}}_{lm, l'm'}) b_{l'm'} \quad (20)$$

Unkowns in red and terms including electron cloud forces in green

Solved by computing the matrices $\mathbf{M}_{lm, l'm'}$ and $\tilde{\mathbf{M}}_{lm, l'm'}$ and solve for "eigenvalue" Ω and mode b_{lm} using standard linear algebra packets

Discrepancy between simulations results



As chromaticity increases the vlasov mode for medium impedance strength is no longer visible in the macroparticle simulations results.