

Lepton Flavour Violation and Lepton Number Violation in B decays at LHCb

Lisa Fantini

on behalf of the LHCb Collaboration

University and INFN Perugia

ALPS 2023

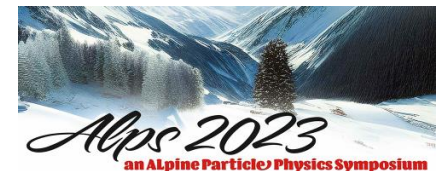
27th March 2023



UNIVERSITÀ DEGLI STUDI
DI PERUGIA



Istituto Nazionale di Fisica Nucleare



Motivations

- Lepton flavour and lepton number conservation are accidental symmetries in the Standard Model (SM) → general motivation for these searches
 - Lepton flavour violated for neutrinos via oscillations
 - No LFV process for charged leptons observed yet
 - LNV is useful to explain the origin of neutrino masses, the mechanisms for the matter-antimatter asymmetry in the Universe
- **observation of charged LFV and LNV processes would be a clear sign for New Physics (NP)**
- **several extensions of the SM predict LFV and LNV**

Recent results from LHCb

LHCb experiment provides ideal environment for searching for LFV and LNV in B meson decays

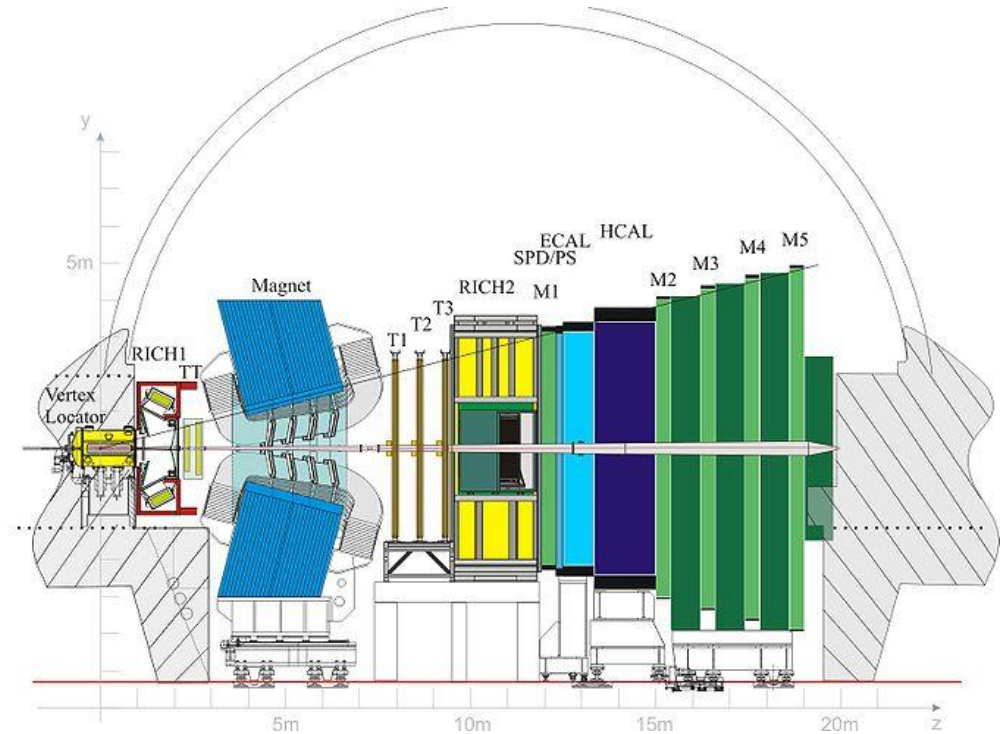
[\[Int. J. Mod. Phys. A 30, 1530022 \(2015\)\]](#)

Lepton Flavour Violation:

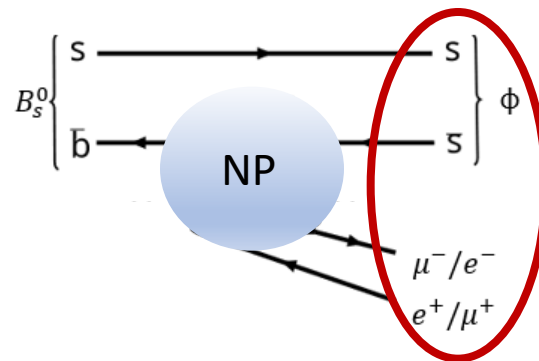
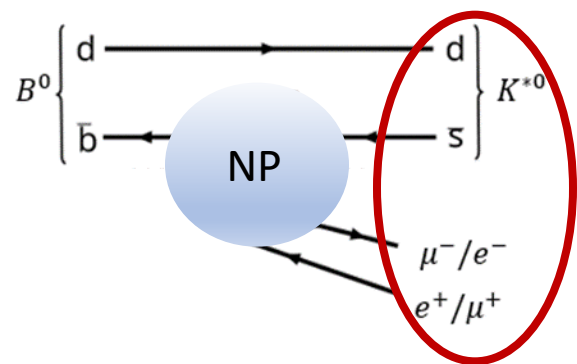
- Search for $B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ and $B_s^0 \rightarrow \phi \mu^\pm e^\mp$ [\[arXiv:2207.04005\]](#)
- Search for $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$ [\[arXiv:2209.09846\]](#)

Baryon and Lepton Number Violation:

- Search for $B_{(s)}^0 \rightarrow p \mu^-$ [\[arXiv:2210.10412\]](#)



$$B^0 \rightarrow K^{*0} \mu^\pm e^\mp \text{ and } B_s^0 \rightarrow \phi \mu^\pm e^\mp$$



LFV

Final states: $\overbrace{K^+ \pi^-}^{\text{from } K^{*0}} \mu^\pm e^\mp, \overbrace{K^+ K^-}^{\text{from } \phi} \mu^\pm e^\mp$
 \rightarrow four charged particles



$K^+ \pi^- (K^+ K^-)$ invariant mass required close to nominal $K^{*0} (\phi)$ mass

- Signature: **event excess in the invariant mass spectrum of B decay products**
- Full LHCb dataset 9 fb^{-1}
- $B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ treated separately depending on charge configuration of $K^+ \mu$
 \rightarrow NP and backgrounds differ between charge configurations

Background reduction:

- vetoes on misidentified B decays and semileptonic cascades involving D mesons
- BDT to suppress combinatorial background
- requirements on particle identification to suppress double misidentification ($B_{(s)}^0 \rightarrow (K^{*0}/\phi)\pi^+\pi^-$)

$$B^0 \rightarrow K^{*0} \mu^\pm e^\mp \text{ and } B_s^0 \rightarrow \phi \mu^\pm e^\mp$$

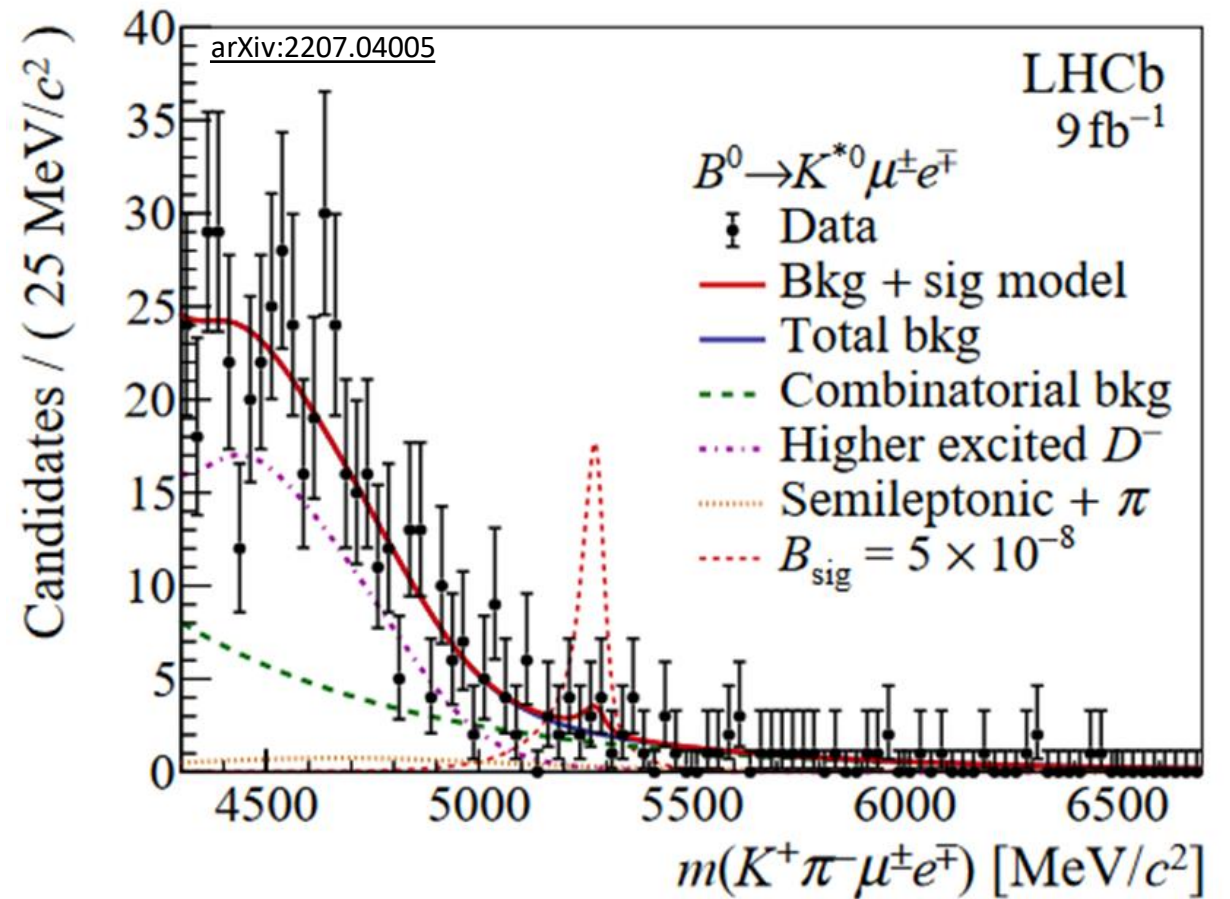
Invariant mass fit:

Background models:

- some backgrounds can pass the vetoes
→ modelled from simulations
- combinatorial background measured from same-sign leptons data samples



no significant excess found



$B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ and $B_s^0 \rightarrow \phi \mu^\pm e^\mp$: results

Set limits on branching ratios:

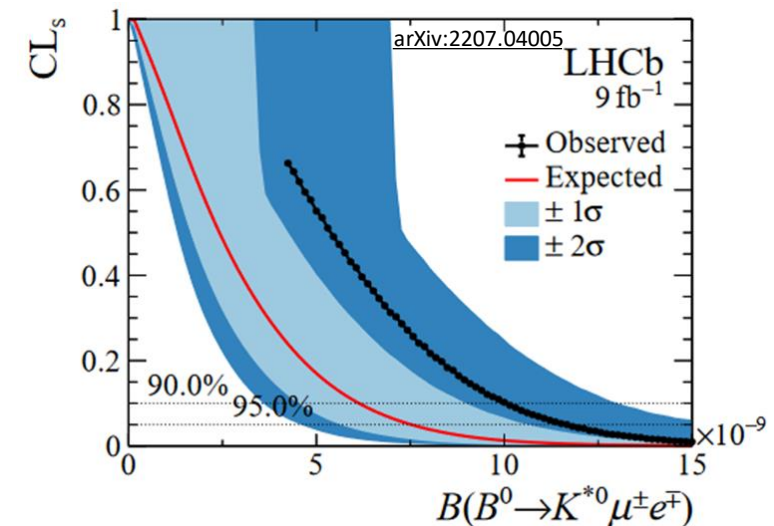
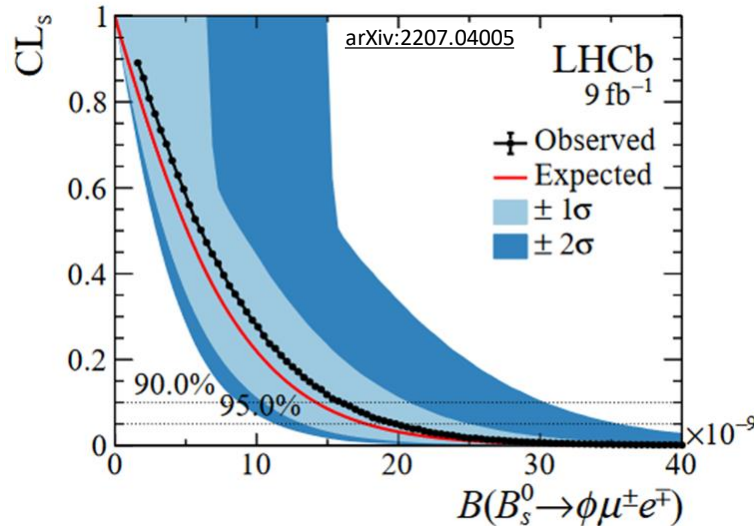
$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ e^-) < 5.7(6.9) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^- e^+) < 6.8(7.9) \cdot 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^\pm e^\mp) < 10.1(11.7) \cdot 10^{-9}$$

$$\mathcal{B}(B_s^0 \rightarrow \phi \mu^\pm e^\mp) < 16.0(19.8) \cdot 10^{-9}$$

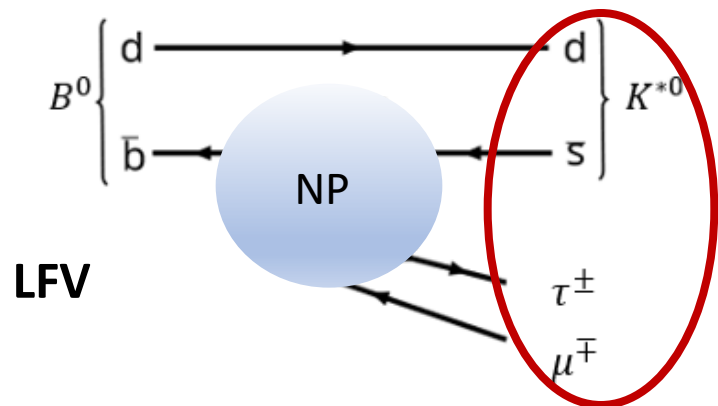
at 90(95)% confidence level



- K^{*0} channel: limit improved of one order of magnitude compared to previous searches
- ϕ channel: first limit on semileptonic LFV B_s^0 decay

Also set limits on parameters of two NP models: scalar model, left-handed model [\[EPJC 76 \(2016\) 134\]](#)

$$B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$$



Final states: $\overbrace{K^\pm \pi^\mp}^{\text{from } K^{*0}} \overbrace{\pi^+ \pi^- \pi^\pm \nu_\tau (\pi^0)}^{\text{from } \tau^\pm} \mu^\mp$
 \rightarrow six charged particles

- Signature: **event excess in the invariant mass spectrum of B decay products**
- Full LHCb dataset 9 fb^{-1}

τ reconstruction:

- τ leptons decay undetected \rightarrow reconstructed from decay products
- ν_τ and π^0 are not explicitly reconstructed \rightarrow missing momentum
- $\rightarrow m_{K^* \tau \mu}$ does not peak at B^0 mass



$$m_{corr} = \sqrt{p_\perp^2 + m_{K^* \tau \mu}^2 + p_\perp^2}$$

↓ missing momentum perpendicular to B^0 direction

Background reduction:

- Two BDT to suppress: combinatorial background, charmed mesons decays identified as τ
- Requirements on particle identification and intermediate masses, vetoes on physical backgrounds via D mesons

$$B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$$

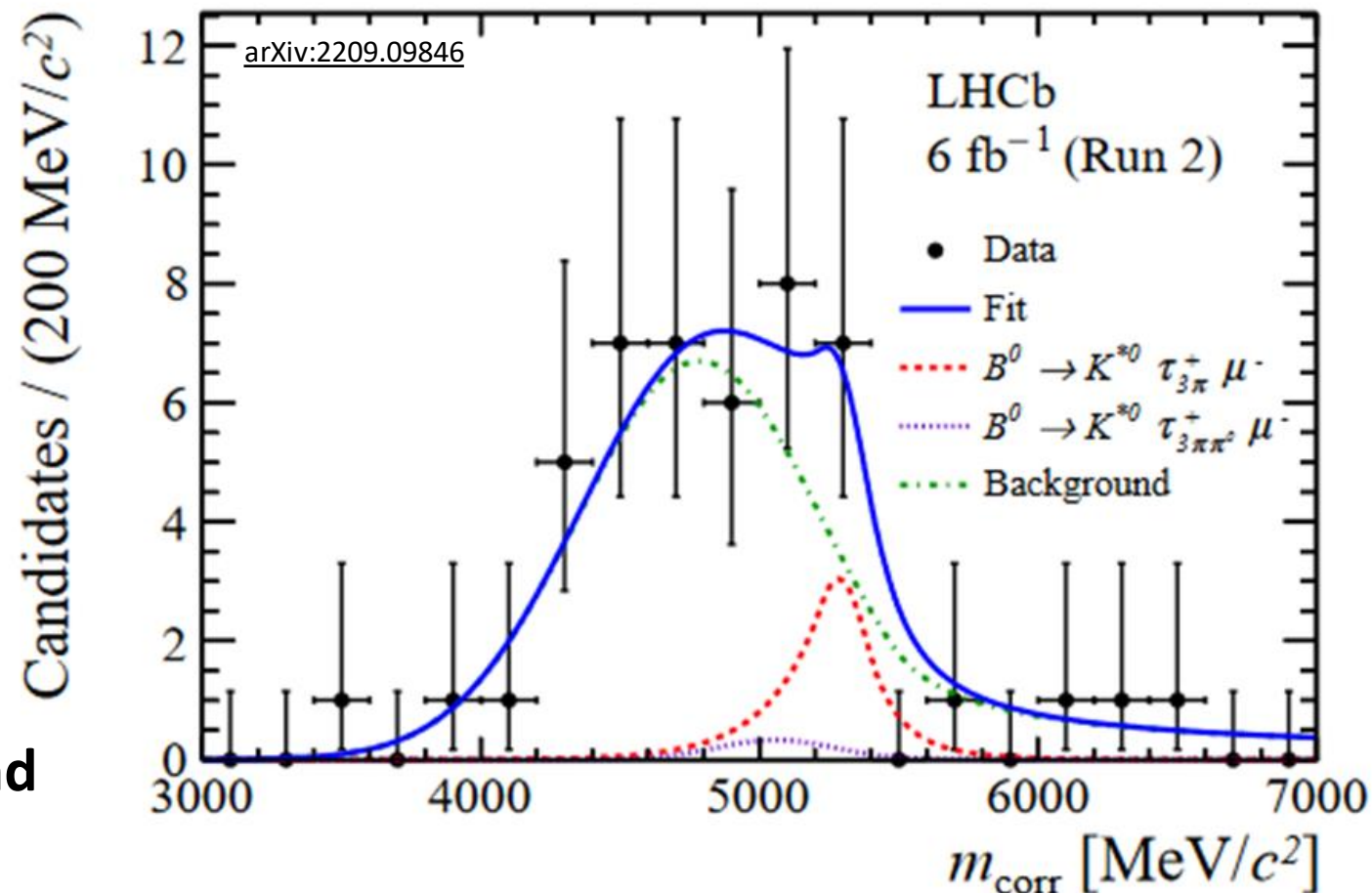
Invariant mass fit:

Background model:

- control sample with loosened combinatorial BDT



no significant excess found



$B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$: results

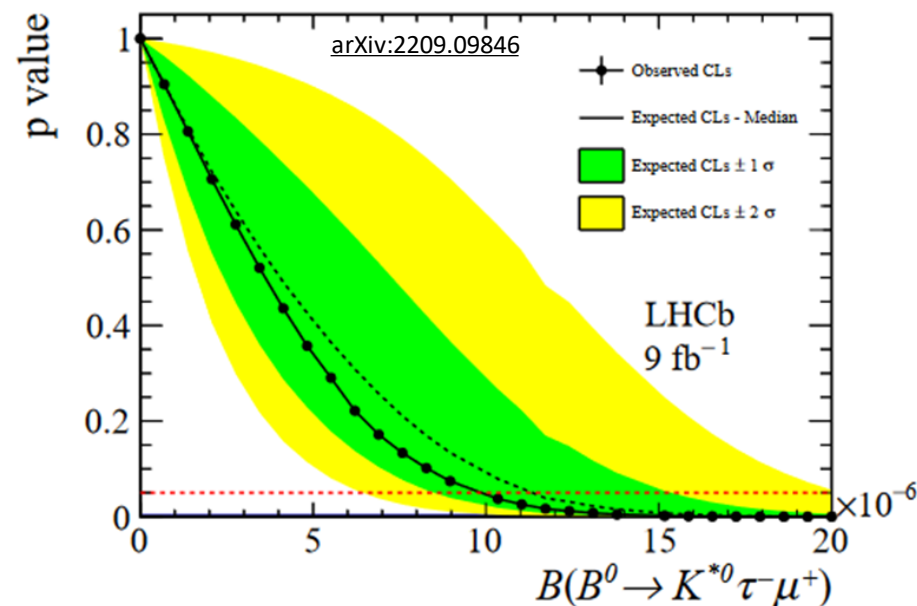
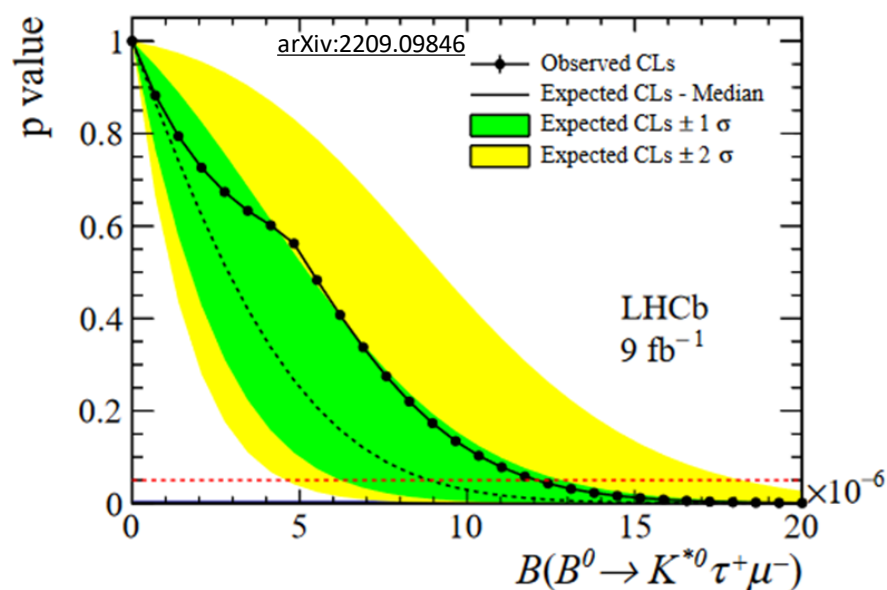
Set limits on branching ratios:

$$\begin{aligned} \mathcal{B}(B^0 \rightarrow K^{*0} \tau^+ \mu^-) &< 1.0(1.2) \cdot 10^{-5} \\ \mathcal{B}(B^0 \rightarrow K^{*0} \tau^- \mu^+) &< 8.2(9.8) \cdot 10^{-6} \end{aligned}$$

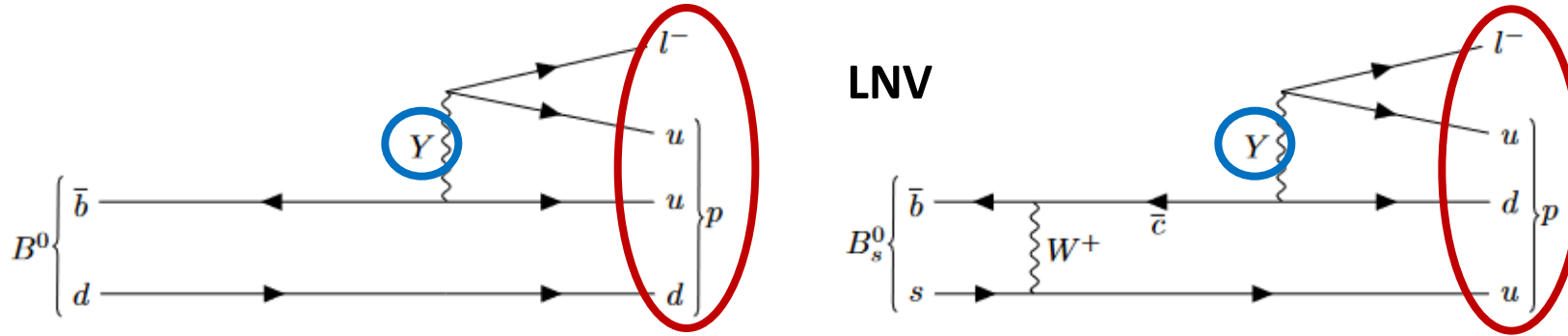


Most stringent limit on $b \rightarrow s \tau \mu$ transitions to date

at 90(95)% confidence level



$$B_{(s)}^0 \rightarrow p \mu^-$$



Hypothetical Feynman diagrams mediated by a hypothetical Y boson that couple quarks to leptons

Final state: $p\mu^-$
 \rightarrow two charged particles

- Signature: **event excess in the invariant mass spectrum of B decay products**
- Full LHCb dataset 9 fb^{-1}

Background reduction:

- Requirements on particle identification to suppress physical backgrounds
- MVA with uniform output in $[0, 1]$ to suppress combinatorial background

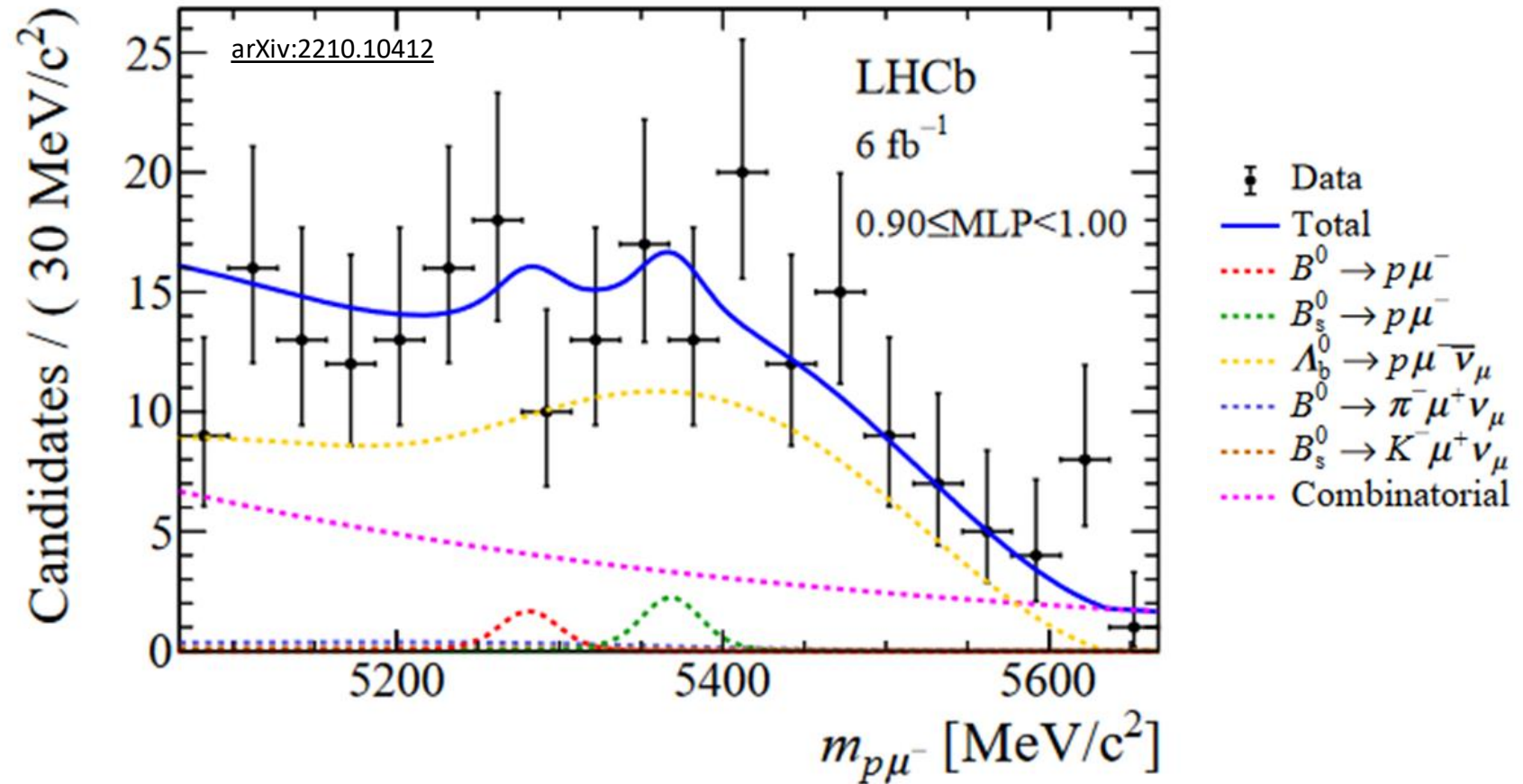
$$B_{(s)}^0 \rightarrow p\mu^-$$

Invariant mass fit:

Fit performed in 7 regions of MVA output (lowest discarded due to high background)

Background models:

- some backgrounds are not sensitive to selection requirements
→ estimated from simulations
- residual combinatorial background modelled from same-sign final state data samples



no significant excess found

$B_{(s)}^0 \rightarrow p\mu^-$: results

Set limits on branching ratios:

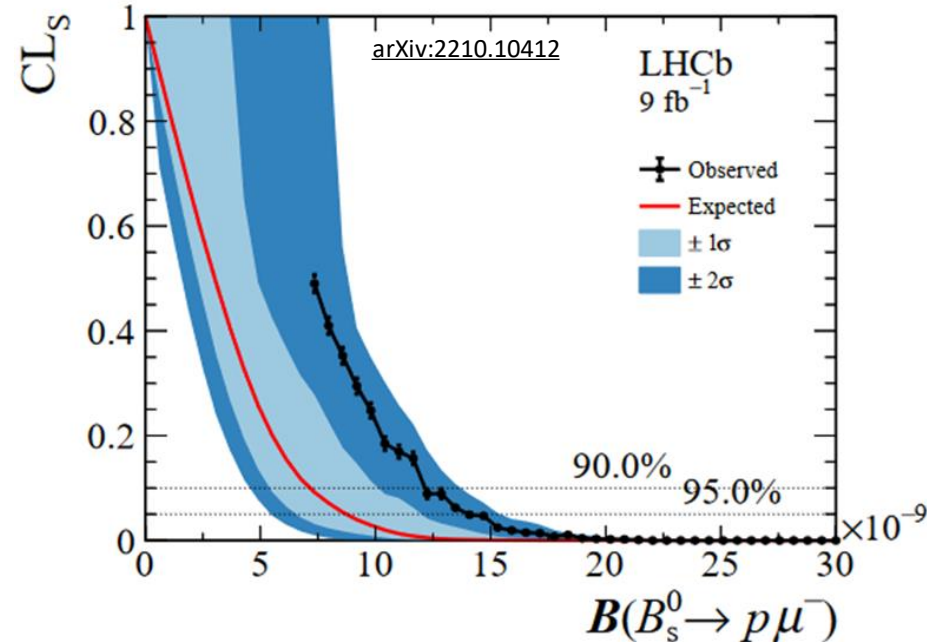
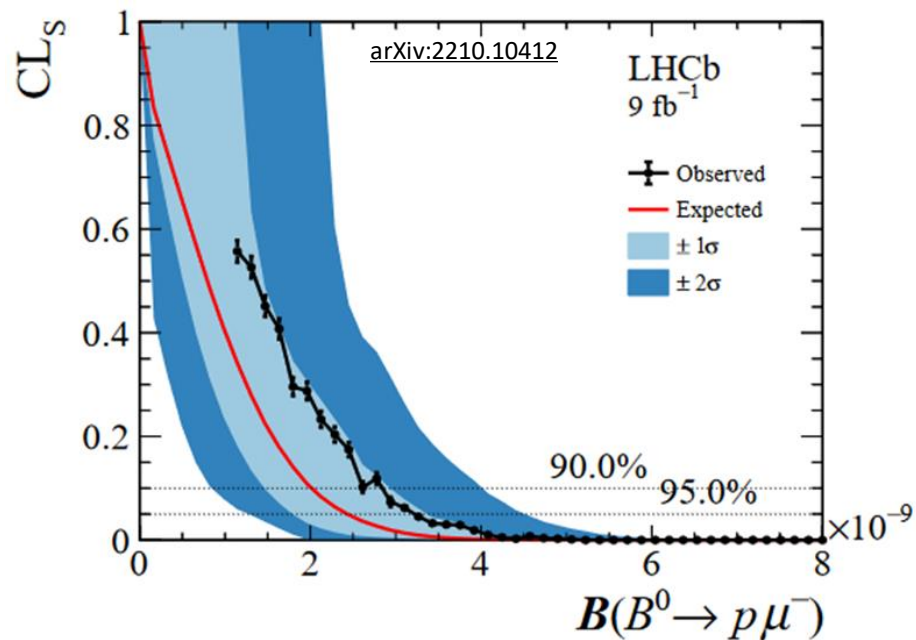
$$\mathcal{B}(B^0 \rightarrow p\mu^-) < 2.6(3.1) \cdot 10^{-9}$$

$$\mathcal{B}(B_s^0 \rightarrow p\mu^-) < 12.1(14.0) \cdot 10^{-9}$$

at 90(95)% confidence level



First limits on these decays to date



Overview of previous LHCb results

Lepton Flavour Violation:

Process	Upper limit	Data	Reference
$B^+ \rightarrow K^+ \mu^- \tau^+$	3.9×10^{-5} at 90% CL	9 fb^{-1}	JHEP 06 (2020) 129
$B^+ \rightarrow K^+ \mu^- e^+$ $B^+ \rightarrow K^+ \mu^+ e^-$	$7.0(9.5) \times 10^{-9}$ at 90(95)% CL $6.4(8.8) \times 10^{-9}$ at 90(95)% CL	3 fb^{-1}	Phys. Rev. Lett. 123 (2019) 241802
$B^0 \rightarrow \mu^\pm \tau^\mp$ $B_{(s)}^0 \rightarrow \mu^\pm \tau^\mp$	1.4×10^{-5} at 95% CL 4.2×10^{-5} at 95% CL	3 fb^{-1}	Phys. Rev. Lett. 123 (2019) 211801
$B^+ \rightarrow e^\pm \mu^\mp$	$1.0(1.3) \times 10^{-9}$ at 90(95)% CL	3 fb^{-1}	JHEP 03 (2018) 078

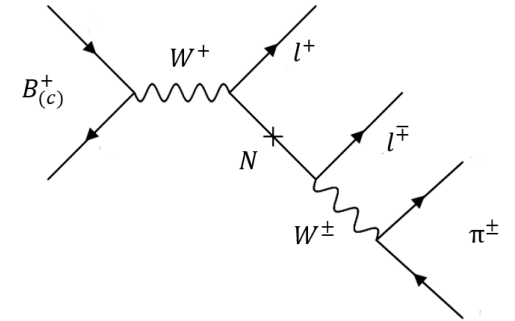
Lepton Number Violation:

Process	Upper limit	Data	Reference
$B^+ \rightarrow K^- \mu^+ \mu^+$ $B^+ \rightarrow \pi^- \mu^+ \mu^+$	5.4×10^{-8} at 95% CL 5.8×10^{-8} at 95% CL	36 pb^{-1}	Phys. Rev. Lett. 108 (2012) 101601

Future perspectives

Further searches for LFV and LNV processes are possible at LHCb and new analyses are in progress, such as:

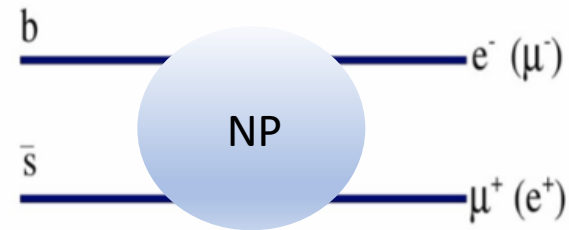
- Searches for Heavy Neutral Leptons (N), massive right-handed neutrinos, predicted by several NP theoretical models



$$B^+ \rightarrow X \mu^+ N (\rightarrow \mu^\pm \pi^\mp) \text{ (LNV)}, B^+ \rightarrow \mu^+ N (\rightarrow e^\pm \pi^\mp) \text{ (LFV and LNV)}$$

(previous results on N's: [Phys. Rev. Lett. 112 \(2014\) 131802](#), [Phys. Rev. Lett. 108 \(2012\) 101601](#), [Phys. Rev. D85 \(2012\) 112004](#))

- Search for $B_{(s)}^0 \rightarrow e^\pm \mu^\mp$ (LFV) with Run 2 data



Summary

- LFV and LNV provide an interesting probe for NP
- No evidence for LFV or LNF yet, but stringent limits set
→ based on 9 fb^{-1} of data LHCb has put:

- most stringent limit on

- $\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ e^-) < 5.7(6.9) \cdot 10^{-9}$
- $\mathcal{B}(B^0 \rightarrow K^{*0} \mu^- e^+) < 6.8(7.9) \cdot 10^{-9}$
- $\mathcal{B}(B^0 \rightarrow K^{*0} \mu^\pm e^\mp) < 10.1(11.7) \cdot 10^{-9}$

- first limit on

- $\mathcal{B}(B_s^0 \rightarrow \phi \mu^\pm e^\mp) < 16.0(19.8) \cdot 10^{-9}$
- $\mathcal{B}(B^0 \rightarrow K^{*0} \tau^+ \mu^-) < 1.0(1.2) \cdot 10^{-5}$
- $\mathcal{B}(B^0 \rightarrow K^{*0} \tau^- \mu^+) < 8.2(9.8) \cdot 10^{-6}$
- $\mathcal{B}(B^0 \rightarrow p \mu^-) < 2.6(3.1) \cdot 10^{-9}$
- $\mathcal{B}(B_s^0 \rightarrow p \mu^-) < 12.1(14.0) \cdot 10^{-9}$

at 90(95)% CL

- Several analyses with current data ongoing