

How a real-world C++ compiler works

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Background

- High expectations of compilers
 - Past:
 - Take this source file and compile it into correct and efficient code
 - Now:
 - Take this source file and compile it into correct and efficient code
 - + apply generic (but also machine-dependent) optimizations
 - + excellent diagnostics of syntax/semantic error, ideally with fix-it hints
 - + static analysis (detect buggy code)
 - + automatic instrumentation (AddressSanitizer, ...)
 - + compiler as a library powering code completions
 - ... all of that while keeping up with the constant language evolution

Background

- How do compiler authors manage the complexity?
 - Wizardry?



Compilation pipeline

- Chosen example – Clang (nice modular design, top 3 compilers along with GCC & MSVC)
- Compilation pipeline
 1. Preprocessing
 2. Lexical, syntactic and semantic analysis
 3. Intermediate code generation and optimization
 4. Code generation for target architecture
 5. Linking
- Orchestrated by **compiler driver**
(this is what the `clang` program actually is)

Compilation pipeline

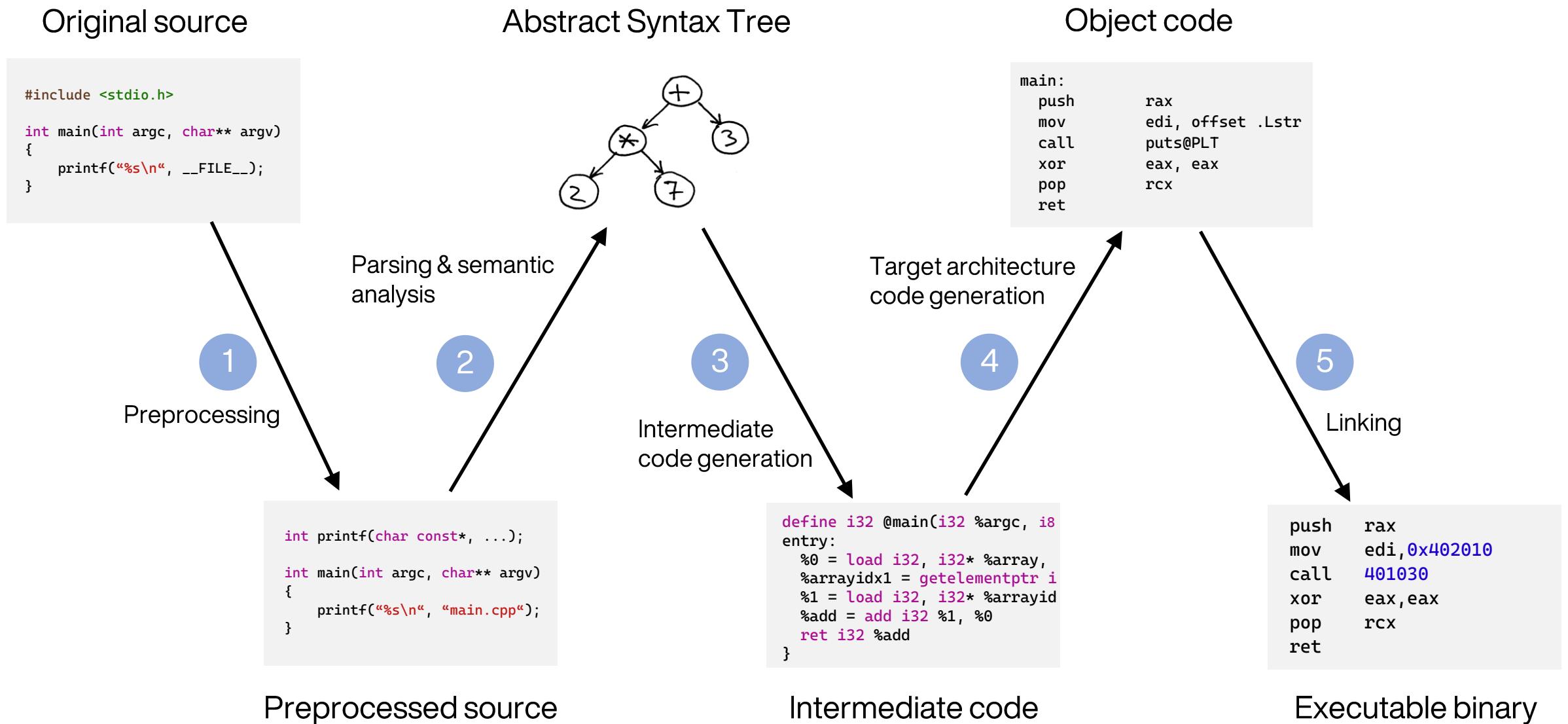
- Chosen example – Clang (nice modular design, top 3 compilers along with GCC & MSVC)
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 1. Preprocessing
 2. Lexical, syntactic and semantic analysis
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- Orchestrated by **compiler driver**
(this is what the `clang` program actually is)

Front-end

Back-end

Linker

Compilation pipeline





Preprocessing



Lexical, syntactic &
semantic analysis



Intermediate
code generation



Code generation for
target architecture



Linking

1 | Preprocessing

Input: original source code
Output: preprocessed source code

- **Q:** How does the compiler learn about functions, types and other symbols defined in other compilation units? (ignoring C++20 modules)
 - **A:** `#include` directive – equivalent to verbatim inclusion of given “header” file
- Other facilities:
 - `#define` directive – macros
 - Constants that can be used anywhere, defined in code, build system, or compiler built-in
 - “Functions” (superficially) that can be used anywhere
 - Custom syntactic constructs
 - Conditional compilation – `#if` directive
- Preprocessor *expands* these directives away
 - Weird exception: `#pragma`
- Limited understanding of syntax, no understanding of semantics

1 | Preprocessing

Input: original source code
Output: preprocessed source code

```
1 #include <iostream>
2
3 // silly macro for illustration
4 #define STD(x) std::x
5
6 int main() {
7     STD(cout) << "Hello, world" << STD endl;
8 }
```



Included files with their respective dependencies are effectively inserted into the code in full

```
31446
31447 }
31448 # 2 "hello.cpp" 2
31449
31450
31451
31452 int main() {
31453     std::cout << "Hello, world" << std::endl;
31454 }
```

special markers allow the compiler to reconstruct original source locations (for diagnostics / debug info)

comments and macro definitions are discarded

macros are expanded without a trace*

Note: during normal compilation, some information about macros is in fact retained, allowing the compiler to produce better error messages

1 | Preprocessing

Input: original source code
Output: preprocessed source code

Try this at home!

```
clang -E main.cpp -o main.ii
```

```
1 #include <iostream>
2
3 // silly macro for illustration
4 #define STD(x) std::x
5
6 int main() {
7     STD( cout ) << "Hello, world" << STD( endl );
8 }
```



Included files with their respective dependencies are effectively inserted into the code in full

```
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1 | Preprocessing

Input: original source code
Output: preprocessed source code

- Dangers of macros

- No regard for language syntax rules

```
#define product(a, b)  a * b
int foo = product(2, 1 + 3);    → 2 * 1 + 3 → WRONG!
```

- Literal substitution of arguments – risk of multiple evaluation

```
#define min(a, b)      (a < b ? a : b)
int amount_to_withdraw = min(user_input(),
                             account_balance);
```

→ (user_input() < account_balance ? user_input()
: account_balance) → WRONG!



Preprocessing



Lexical, syntactic &
semantic analysis



Intermediate
code generation



Code generation for
target architecture



Linking

2 | Lexical analysis

Input: preprocessed source code
Output: token stream

- Language grammar is defined in terms of tokens – pieces of source code that cannot be meaningfully subdivided
- Examples:
 - `while` keyword token
 - `main` identifier token
 - minus operator token
 - > greater-than operator token
 - > dereference (arrow) operator token
- Tokenization generally ignorant of parser grammar – independent sets of rules
- One interesting corner case in C++: ‘`>>`’ character sequence
 - `int mask = (0x100 >> 8)`
 - `std::array<int, 1024>>2>` ← valid until C++03
 - `std::vector<std::array<int, 4>>` ← valid from C++11
 - In Clang: always greedily tokenized as ‘`>>`’ operator and special-case handled in parser

2 | Lexical analysis

Input: preprocessed source code
Output: token stream

```
31452 int main() {  
31453     std::cout << "Hello, world" << std::endl;  
31454 }
```



int 'int'	[StartOfLine]	Loc=<hello.cpp:6:1>
identifier 'main'	[LeadingSpace]	Loc=<hello.cpp:6:5>
l_paren '('		Loc=<hello.cpp:6:9>
r_paren ')'		Loc=<hello.cpp:6:10>
l_brace '{'	[LeadingSpace]	Loc=<hello.cpp:6:12>
identifier 'std'	[StartOfLine] [LeadingSpace]	Loc=<hello.cpp:7:5 <Spelling=hello.cpp:4:16>>
coloncolon '::'		Loc=<hello.cpp:7:5 <Spelling=hello.cpp:4:19>>
identifier 'cout'		Loc=<hello.cpp:7:5 <Spelling=hello.cpp:7:9>>
lessless '<<'	[LeadingSpace]	Loc=<hello.cpp:7:15>
string_literal '"Hello, world"'	[LeadingSpace]	Loc=<hello.cpp:7:18>
lessless '<<'	[LeadingSpace]	Loc=<hello.cpp:7:33>
identifier 'std'	[LeadingSpace]	Loc=<hello.cpp:7:36 <Spelling=hello.cpp:4:16>>
coloncolon '::'		Loc=<hello.cpp:7:36 <Spelling=hello.cpp:4:19>>
identifier 'endl'		Loc=<hello.cpp:7:36 <Spelling=hello.cpp:7:40>>
semi ';'		Loc=<hello.cpp:7:45>
r_brace '}'	[StartOfLine]	Loc=<hello.cpp:8:1>
eof ''		Loc=<hello.cpp:8:2>

2 | Lexical analysis

Input: preprocessed source code
Output: token stream

```
31452 int main() {  
31453     std::cout << "Hello, world" << std::endl;  
31454 }
```



Try this at home!

```
clang -fsyntax-only -Xclang -dump-tokens main.cpp
```

int 'int'	[StartOfLine]	Loc=<hello.cpp:6:1>
identifier 'main'	[LeadingSpace]	Loc=<hello.cpp:6:5>
l_paren '('		Loc=<hello.cpp:6:9>
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2 | Syntactic and semantic analysis

- **Syntactic analysis:**

```
auto a = 3;
```

→ (define variable *a*: type *auto*, initialize with literal 3)

```
auto b = ("hello" * a);
```

→ (define variable *b*: type *auto*, initialize with (multiplication of literal "hello" with identifier *a*))

→ syntactically **valid** program

Input: token stream

Output: Abstract Syntax Tree

2 | Syntactic and semantic analysis

- **Syntactic analysis:**

```
auto a = 3;
```

→ (define variable *a*: type *auto*, initialize with literal 3)

```
auto b = ("hello" * a);
```

→ (define variable *b*: type *auto*, initialize with (multiplication of literal "hello" with identifier *a*))

→ syntactically **valid** program

- **Semantic analysis:**

```
auto a = 3;
```

→ (define variable *a*: type *auto*, initialize with literal 3)

→ effective type of *a* is *int*

```
auto b = ("hello" * a);
```

→ (define variable *b*: type *auto*, initialize with (multiplication of literal "hello" with identifier *a*))

→ effective type of *b* is... **error!** cannot multiply *string literal* with *int*

→ semantically **invalid** program

Input: token stream

Output: Abstract Syntax Tree

2 | Syntactic and semantic analysis

- Ideally: two distinct steps
- C++: intertwined processes

Input: token stream
Output: Abstract Syntax Tree

Context matters for parsing! Different ways to parse the same expression:

A.

```
int a, b;
```

```
auto c = (a) * b;      → multiply a and b
```

B.

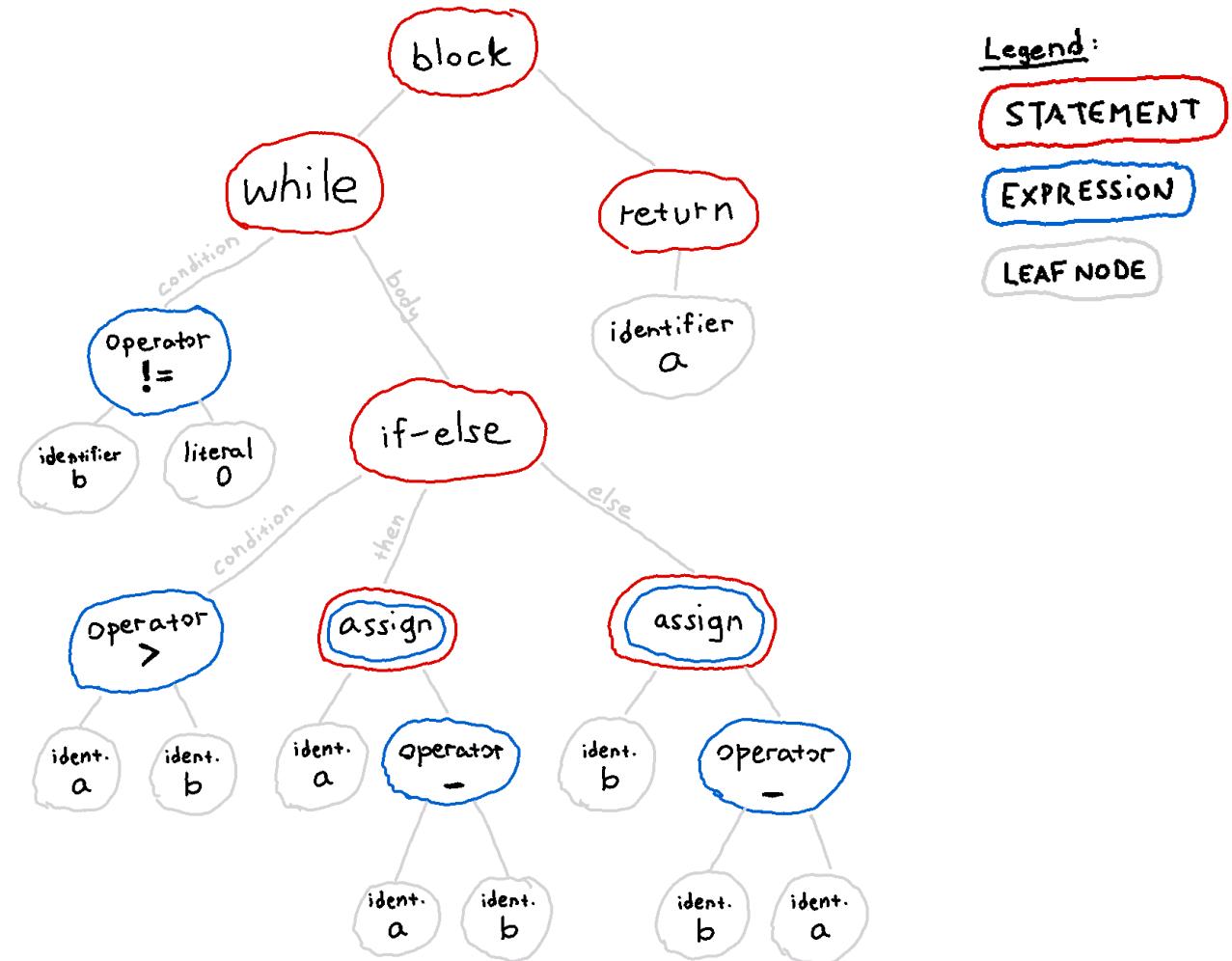
```
typedef short a;  
int* b;
```

```
auto c = (a) * b;      → dereference b, cast to type a
```

2 | Abstract Syntax Tree – textbook example

```
while (b != 0) {
    if (a > b)
        a = a - b;
    else
        b = b - a;
}
return a;
```

(Euclidean algorithm)



2 | Abstract Syntax Tree – real example

```
1 #include <stdio.h>
2
3 int main(int argc, char** argv) {
4     puts("Hello, world!");
5 }
```

```
TranslationUnitDecl <<invalid sloc>> <invalid sloc>
`-FunctionDecl <hello-stdio.cpp:3:1, line:5:1> line:3:5 main 'int (int, char **)'
| -ParmVarDecl <col:10, col:14> col:14 argc 'int'
| -ParmVarDecl <col:20, col:27> col:27 argv 'char **'
`-CompoundStmt <col:33, line:5:1>
  `-CallExpr <line:4:5, col:25> 'int'
    |-ImplicitCastExpr <col:5> 'int (*)(const char *)' <FunctionToPointerDecay>
      | `-DeclRefExpr <col:5> 'int (const char *)' lvalue Function 'puts' 'int (const char *)'
      `-ImplicitCastExpr <col:10> 'const char *' <ArrayToPointerDecay>
        `-StringLiteral <col:10> 'const char[14]' lvalue "Hello, world!"
```

Not shown here: parts of AST generated by the included header (hundreds of lines!)

2 | Abstract Syntax Tree – real example

```
1 #include <stdio.h>
2
3 int main(int argc, char** argv) {
4     puts("Hello, world!");
5 }
```

Try this at home!

```
clang -fsyntax-only -Xclang -ast-dump main.cpp
```

```
TranslationUnitDecl <<invalid sloc>> <invalid sloc>
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Preprocessing



Lexical, syntactic &
semantic analysis



Intermediate
code generation



Code generation for
target architecture



Linking

3 | Intermediate code generation

Input: Abstract Syntax Tree
Output: intermediate code

- Why intermediate code?
 - First compilers: parse one language, emit code for one CPU architecture
 - How to deal with M languages and N architectures?
 - One possibility: implement a special compiler for every combination $\rightarrow M \times N$
 - Alternatively: Implement a front-end for every language, a common IR and a backend for each CPU architecture $\rightarrow M + N$
- LLVM Intermediate Representation – LLVM IR
- Static Single Assignment form (SSA)
 - Every variable in SSA is assigned exactly once
- Advantageous also for implementation of optimization passes
 - Easier to track value lifetime in SSA

3 | Intermediate code generation

Input: Abstract Syntax Tree
Output: intermediate code

```
int sum_els(int const* array) {  
    return array[0] + array[1];  
}
```



```
define i32 @sum_els(i32* %array) {  
entry:  
    %0 = load i32, i32* %array, align 4  
    %arrayidx1 = getelementptr i32, i32* %array, i64 1  
    %1 = load i32, i32* %arrayidx1, align 4  
    %add = add i32 %1, %0  
    ret i32 %add  
}
```

- Functions are still functions
- Most other high-level structure is lost
 - Nested expressions are decomposed into a series of operations
 - Introduction of additional temporary variables
 - Control flow expressed in terms of basic blocks (not shown here)

3 | Intermediate code generation

Input: Abstract Syntax Tree
Output: intermediate code

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    %1 = load i32, i32* %arrayidx1, align 4  
    %add = add i32 %1 %0
```

Try this at home!

```
clang -S -emit-llvm -fno-discard-value-names main.cpp -o main.ll
```

- Functions are still functions
- Most other high-level structure is lost
 - Nested expressions are decomposed into a series of operations
 - Introduction of additional temporary variables
 - Control flow expressed in terms of basic blocks (not shown here)

3 | Optimization

```
int deref(int const* pointer) {
    return *pointer;
}
```

-O0 (no optimization)

```
define i32 @deref(i32* %pointer) {
entry:
    %pointer.addr = alloca i32*, align 8
    store i32* %pointer, i32** %pointer.addr, align 8
    %0 = load i32*, i32** %pointer.addr, align 8
    %1 = load i32, i32* %0, align 4
    ret i32 %1
}
```

Notice the pointless copy of the parameter

-O1 (basic optimization)

```
define i32 @deref(i32* %pointer) {
entry:
    %0 = load i32, i32* %pointer, align 4
    ret i32 %0
}
```

It's a feature, not a bug!

- By design, compiler generates naïve code with the assumption that it can be optimized easily
- This lets the compiler implementation be simpler and better maintainable



Preprocessing



Lexical, syntactic &
semantic analysis



Intermediate
code generation



Code generation for
target architecture

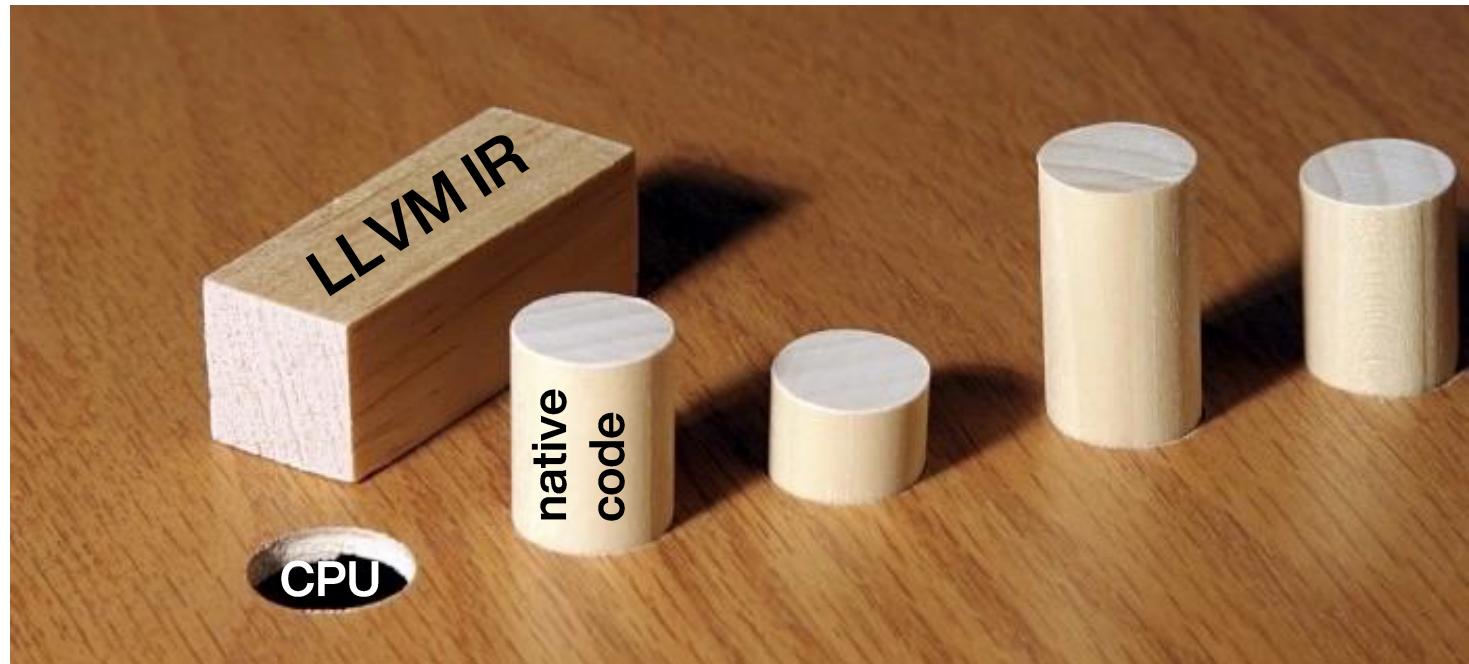


Linking

4 | Code generation for target architecture

- Intermediate code has many advantages, but ultimately, we need to run on a real CPU
- Architecture-specific optimizations may be applied during this phase
- Intermediate code is still abstract (even naïve) in many ways
 - Instruction set
 - Register allocation
 - Local variables
 - Calling convention

Input: intermediate code
Output: object code for a specific combination of architecture + OS



4 | Code generation for target architecture

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 - Calling convention

```
void foo(int a, int* b) {  
    bar(a, b[1]);  
}
```

```
define void @foo(i32 %a, i32* %b) {  
entry:  
    %arrayidx = getelementptr i32, i32* %b, i64 1  
    %0 = load i32, i32* %arrayidx, align 4  
    call void @bar(i32 %a, i32 %0)  
    ret void  
}
```

LLVM IR

Input: intermediate code
Output: object code for a specific combination of architecture + OS

x86_64

```
foo:  
    push    rbp  
    mov     rbp, rsp  
    sub     rsp, 16  
    mov     dword ptr [rbp - 4], edi  
    mov     qword ptr [rbp - 16], rsi  
    mov     edi, dword ptr [rbp - 4]  
    mov     rax, qword ptr [rbp - 16]  
    mov     esi, dword ptr [rax + 4]  
    call    bar  
    add    rsp, 16  
    pop    rbp  
    ret
```

Generally higher-level instructions operating on variables

Operating on registers or memory locations

4 | Code generation for target architecture

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```
void foo(int a, int* b) {  
    bar(a, b[1]);  
}
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```
define void @foo(i32 %a, i32* %b) {  
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Input: intermediate code
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x86_64

foo:	
push	rbp
mov	rbp, rsp
sub	rsp, 16
mov	dword ptr [rbp - 4], edi
mov	qword ptr [rbp - 16], rsi
mov	edi, dword ptr [rbp - 4]
mov	rax, qword ptr [rbp - 16]
mov	esi, dword ptr [rax + 4]
call	bar
add	rsp, 16
pop	rbp
ret	

Arbitrary number of temporary variables, assigned only once

Fixed set of CPU registers; must be re-used

4 | Code generation for target architecture

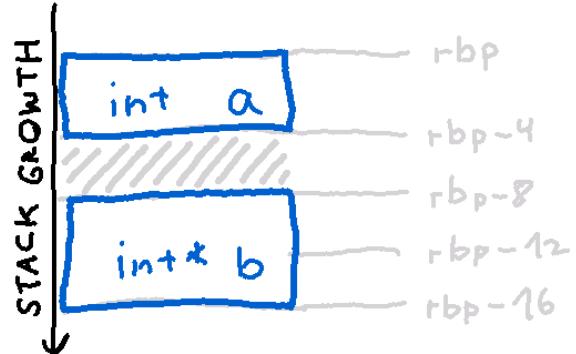
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void foo(int a, int* b) {  
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    ret void  
}
```

LLVM IR

Input: intern
Output: objc
comb



foo:

push	rbp
mov	rbp, rsp
sub	rsp, 16
mov	dword ptr [rbp - 4], edi
mov	qword ptr [rbp - 16], rsi
mov	edi, dword ptr [rbp - 4]
mov	rax, qword ptr [rbp - 16]
mov	esi, dword ptr [rax + 4]
call	bar
add	rsp, 16
pop	rbp
ret	

No regard for storage location of temporaries

Concrete layout of stack frame

4 | Code generation for target architecture

- Intermediate code has many advantages, but ultimately, we need to run on a real CPU
- Architecture-specific optimizations may be applied during this phase
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 - Instruction set
 - Register allocation
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 - Calling convention

```
void foo(int a, int* b) {  
    bar(a, b[1]);  
}
```

```
define void @foo(i32 %a, i32* %b) {  
entry:  
    %arrayidx = getelementptr i32, i32* %b, i64 1  
    %0 = load i32, i32* %arrayidx, align 4  
    call void @bar(i32 %a, i32 %0)  
    ret void  
}
```

LLVM IR

Input: intermediate code
Output: object code for a specific combination of architecture + OS

x86_64

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foo:  
push    rbp  
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sub    rsp, 16  
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mov     qword ptr [rbp - 16], rsi  
mov     edi, dword ptr [rbp - 4]  
mov     rax, qword ptr [rbp - 16]  
mov     esi, dword ptr [rax + 4]  
call    bar  
add    rsp, 16  
pop    rbp  
ret
```

Arguments explicitly listed in call instruction

Arguments in registers or on stack (per calling conv.)

4 | Code generation for target architecture

- Intermediate code has many advantages, but ultimately, we need to run on a real CPU
- Architecture-specific optimizations may be applied during this phase
- Intermediate code is still abstract (even naïve) in many ways
 - Instruction set
 - Register alloc
 - Local variab
 - Calling conv

```
define void @foo
entry:
%arrayidx = getelementptr i32, i32* %b, i64 1
%0 = load i32, i32* %arrayidx, align 4
call void @bar(i32 %a, i32 %0)
ret void
}
```

Input: intermediate code
Output: object code for a specific combination of architecture + OS

foo:

x86_64

```
[rbp - 4], edi
[rbp - 16], rsi
d ptr [rbp - 4]
qword ptr [rbp - 16]
esi, dword ptr [rax + 4]
bar
rsp, 16
rbp
ret
```

Try this at home!

```
clang -c -S -masm=intel main.cpp -o main.s
```

Arguments explicitly listed in call instruction

Arguments in registers or on stack (per calling conv.)



Preprocessing



Lexical, syntactic &
semantic analysis



Intermediate
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Code generation for
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Linking

5 | Linking

- Discrepancy between
 1. Source code: function and variable names
 2. Machine code: addresses within code and data regions
- How to ensure that the entire program is composed meaningfully, and all references are correct?
 - Including dynamically linked libraries
- Job of the linker

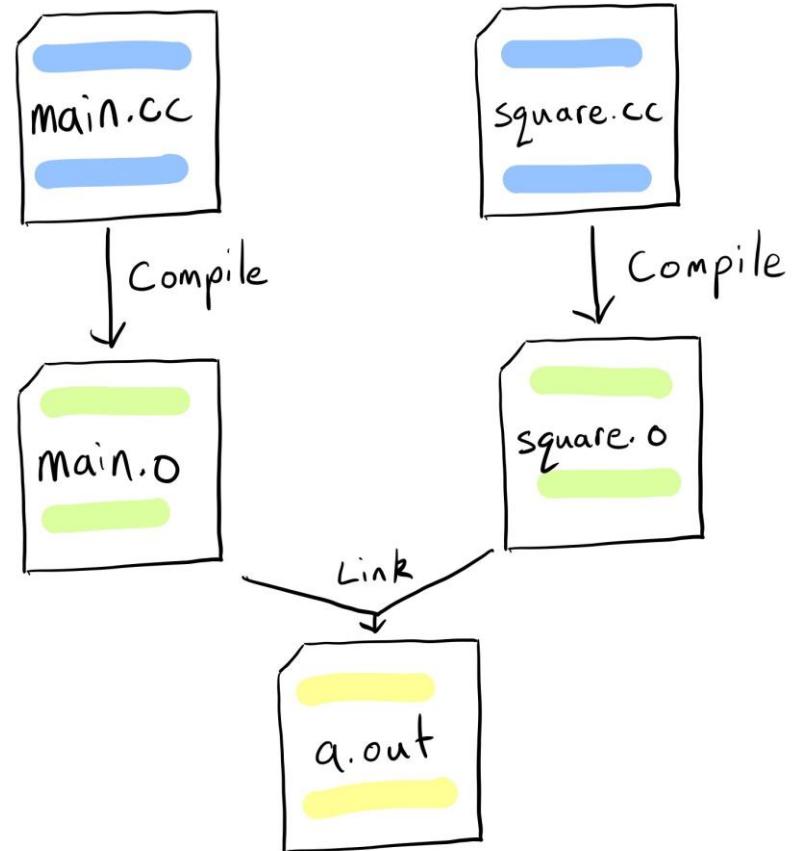


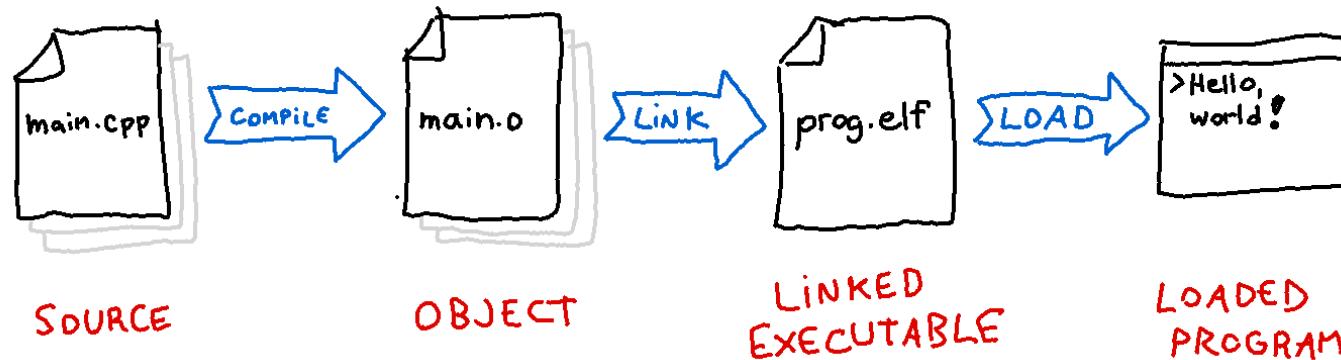
Figure: <https://joellaity.com/2020/01/25/linking.html>

5 | Linking

- Reality: Additional intermediate steps

1. Source code: function and variable names
2. Object code: relative references where possible (branches within function, local variables), global names otherwise
3. Linked program: addresses within code and data regions
 - If linked statically: fully self-contained
 - If linked dynamically: includes references to functions in shared libraries
4. Machine code: addresses within code and data regions

Seen in Chapter 4



5 | Linking

```
#include <stdio.h>

int main(int argc, char** argv) {
    puts("Hello, world!");
}
```

Input: object files
Output: linked program (e.g., ELF format)

main:

```
push    rax
mov     edi, offset .Lstr
call    puts@PLT
xor    eax, eax
pop    rcx
ret
```

.section .rodata

.Lstr:

```
.asciz  "Hello, world!"
```

Object code

00401130 <main>:

```
401130: 50          push    rax
401131: bf 10 20 40 00  mov    edi, 0x402010
401136: e8 f5 fe ff ff  call   401030
40113b: 31 c0        xor    eax, eax
40113d: 59          pop    rcx
40113e: c3          ret
```

...

```
402010: 48 65 6c 6c 6f 2c 20 77 6f 72 6c 64 21 00
```

Linked program

Symbolic references

Absolute addresses

5 | Linking

```
#include <stdio.h>

int main(int argc, char** argv) {
    puts("Hello, world!");
}
```

Input: object files
Output: linked program (e.g., ELF format)

Object code	
main:	
push	rax
mov	edi, offset .Lstr
call	puts@PLT
xor	eax, eax
pop	rcx
ret	
 .section .rodata	
.Lstr:	
.asciz	"Hello, world!"

Linked program	
00401130 <main>:	
401130: 50	push rax
401131: bf 10 20 40 00	mov edi, 0x402010
401136: e8 f5 fe ff ff	call 401030
40113b: 31 c0	xor eax, eax
40113d: 59	pop rcx
40113e: c3	ret
...	
402010: 48 65 6c 6c 6f 2c 20 77 6f 72 6c 64 21 00	

Some references may still be relative (making such code position-independent)
In this case: $0xFFFFFEF5 \triangleq -267$

5 | Linking

```
#include <stdio.h>

int main(int argc, char** argv) {
    puts("Hello, world!");
}
```

Input: object files
Output: linked program (e.g., ELF format)

Object code	
main:	
push	rax
mov	edi, offset .Lstr
call	puts@PLT
xor	eax, eax
pop	rcx
ret	
 .section .rodata	
.Lstr:	
.asciz	"Hello, world!"

Linked program		
00401130 <main>:		
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Question for ABI experts in the audience:
What's up with this pair of instructions?

5 | Linking

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Output: linked program (e.g., ELF format)

main:

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push    rax
mov     edi, offset .Lstr
call    puts@PLT
xor    eax, eax
pop    rcx
ret
```

.section .rodata

.Lstr:

```
.asciz  "Hello, world!"
```

Object code

00401130 <main>:

Try this at home!
objdump -Mintel -D program

40113e: c3

...

402010: 48 65 6c 6c 6f 2c 20 77 6f 72 6c 64 21 00

Linked program

```
push    rax
mov     edi, 0x402010
call    401030
xor    eax, eax
pop    rcx
ret
```

Question for ABI experts in the audience:
What's up with this pair of instructions?

5 | Static vs dynamic linking

Input: object files
Output: linked program (e.g., ELF format)

- **Static:** we have all the code and include it in the binary
- **Dynamic:** we know where the function resides, but we only include a reference to it
 - Advantages
 - Reduced program size
 - Reduced memory usage (single loaded instance of library for multiple programs)
 - Library can be updated independently
 - Drawbacks
 - May complicate distribution of program to user
 - Library can be updated independently – not always a win
 - Mechanism (Linux/ELF)
 - 2 data structures: **Procedure Linkage Table** and **Global Offset Table**
 - Managed at runtime by the **dynamic linker**
 - By default: lazy linking – a function's address is only resolved when it is called for the first time

Summary & insights

- We scratched the surface of how the compilation pipeline works, using Clang/LLVM as an example
- The rabbit hole goes much deeper, though:
 - parsing the C++ language is sometimes not trivial
 - recovery from syntax errors
 - optimization passes, etc...
- Modular design helps tackle the complexity (*divide and conquer*)
 - e.g., language front-end does not need to produce optimal code
- Impressive amount of code processed to compile a 5-line Hello World program
- You can try this at home, all examples generated with off-the-shelf tools
 - Great online tools also available: Compiler Explorer – <https://godbolt.org/>
 - C++ Insights – <https://cppinsights.io/>

Thank you for your attention!

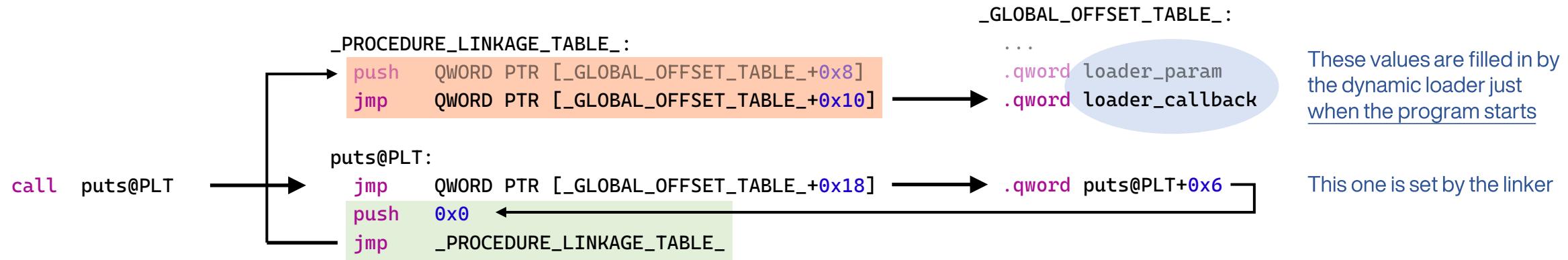
References & credits

- LLVM source code (incl. Clang) – <https://github.com/llvm/llvm-project>
- The Clang AST - a Tutorial – <https://youtu.be/VqCkCDFLSsc>
- LLVM Language Reference Manual – <https://llvm.org/docs/LangRef.html>
- Clang design docs – <https://clang.llvm.org/docs/Toolchain.html>
- Resources used in preparing the presentation
 - Safety sign generator – <https://observatory.db.erau.edu/generators/signs/>
 - Syntax highlighting in slides – <https://stratus3d.com/blog/2022/01/01/syntax-highlighting-in-powerpoint/>
 - Cover slide – <https://unsplash.com/photos/w95Fb7EEcjE>
- Thanks to
 - my mentor Javier Lopez Gomez for invaluable feedback and suggestions
 - my home section SY-EPC-CCS and my supervisor Raul Murillo Garcia
- Find me on GitHub: <https://github.com/mcejp>

Command cheatsheet

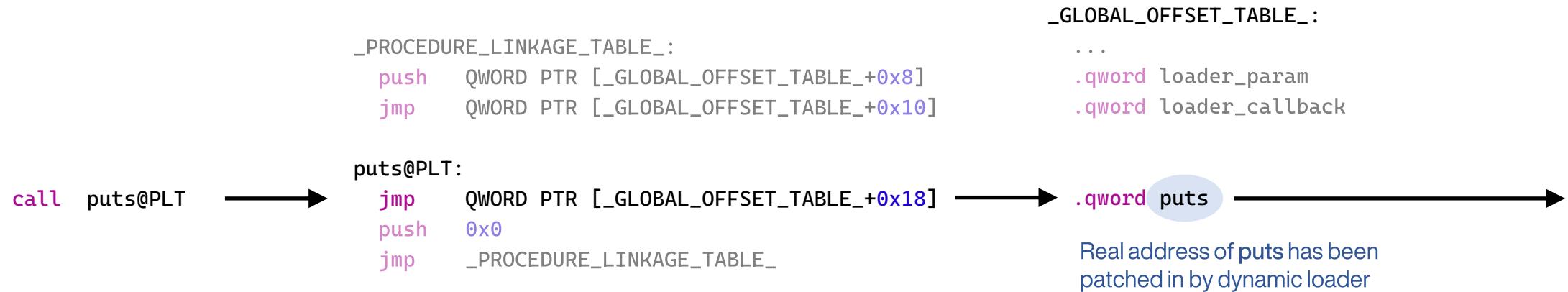
- Preprocess only
 - `clang -E main.cpp -o main.ii`
- Dump tokens
 - `clang -fsyntax-only -Xclang -dump-tokens main.cpp`
- Dump AST
 - `clang -fsyntax-only -Xclang -ast-dump main.cpp`
- Dump LLVM IR
 - `clang -S -emit-llvm -fno-discard-value-names main.cpp -o main.ll`
- Dump assembly
 - `clang -c -S -masm=intel main.cpp -o main.s`
- Disassemble executable
 - `objdump -Mintel -D program`

Lazy dynamic linking



- First call to `puts@PLT`
 - GOT entry for `puts` is preloaded with address of `initialization thunk`
 - Jump to initialization thunk, from there jump to the `1st PLT entry`, which in turns calls into the dynamic loader
 - Dynamic loader resolves address of `puts` function and places it into the GOT
- Subsequent calls
 - GOT entry for `puts` now points directly to the function in the shared library
 - Initialization thunk for `puts` is never used again
 - `1st PLT entry` may be used again by a first call to *another* dynamically linked function

Lazy dynamic linking



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 - GOT entry for `puts` is preloaded with address of initialization thunk
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Q: What's the deal with push eax / pop ecx?

A: Stack alignment before the call to *puts*! See

<https://stackoverflow.com/a/37774474>

Q: Why does GetElementPtr exist?

A: <https://llvm.org/docs/GetElementPtr.html#how-is-gep-different-from-ptrtoint-arithmetic-and-inttoptr>