Design of optical baffles and their integration in beampipes

M. Andrés-Carcasona, R. García. A. Macquet, M. Martínez*, J. Mundet





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* In close collaboration with Hiroaki Yamamoto and Alena Ananyeva (Caltech)

Outline

- Part I
 - Notes on Stray Light Calculations & Caveats
 - Considerations on tube dimensions
 - Baffle strategy
 - Stray Light contributions
 - SL noise vs ET sensitivity
 - Mechanical transfer factors
- Part II
 - Baffle prototyping
 - Baffle manufacturing
- Final notes & Next steps



Stray Light Noise Calculations

(Initial considerations)

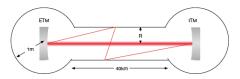
VIR-NOT-LAL-1390-123 (LIGO-890017-00-R LIGO-T940063-00-R, LIGO-T950033-00

- Our results are based on detailed analytical calculations made by LIGO (Thorne et al.) and Virgo (Vinet et al.) in the last decades + FFT simulations by H. Yamamoto
- Some of the calculations are limited accuracy/validity
- There is an extensive literature on the subject. LIGO and Virgo were built using those considerations.
- When possible we use simulations to test the validity of the analytical expressions
- There are unknowns that suggest caution in comparing SL noise with ET sensitivity curves
 - You want to be factor 100 below sensitivity
- We are using ET CDR based parameters
 - using foreseen mirror maps (O5 quality)
 - using conservative baffle BRDF (0.01 str-1)
 - using median seismic noise
 - using ground-baffle transfer factors = 1

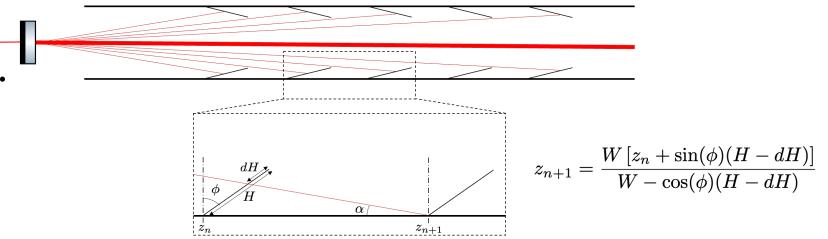
CNRS Centre National de la Recherche Scientifique	INFN Istituto Nazionale di Fisica Nucleare
(((0)))VIRC	G
Summary of scattered light and baffle design in the	long cavities
Violette Brisson, Jean-Yves	
Groupe Virgo-LAL and Groupe Laser-Op	
VIR-NOT-LAL-1390- Issue: 1	
Date : September 1st, 1	998
	LIGO-T89001
	#3
	List of 3/
Light Scattering and Proposed Baffle Config for the LIGO	guration
Second Draft, 11 January 1989 <i>Kip S. Thorne</i> * California Institute of Technology, Pasadena, Californ	ia 91125
Second Draft, 11 January 1989 Kip S. Thorne	ia 91 125

LIGO-T950033-00

On tube diameter



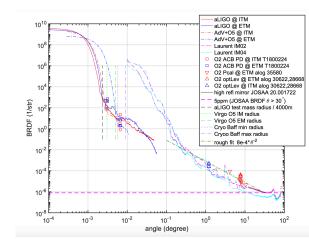
- Current (LVK) approach is to hide the bare tube from the mirrors using baffles to avoid noise from reflections and scattering on the tube walls and on UHV structures hard to model.
- The baffle aperture talks to many aspects including the cavity optics, mirror maps, the level of scattering and losses, seismic noise levels, and should also include considerations on possible beam offsets
- We established the positions of the arm baffles (defined as after the cryotraps)
 - ET CDR suggests z0 positions for first baffle of about 35 m for ET-HF and 75 m for ET-LF from mirror
 - Baffle are 55 degrees inclination (phi) —> baffle 8 cm vertical height
 - W is defined by the height at the edge of the mirror
 - dH is about 1 cm safety margin
 - Aperture is a function of losses and level of scattering / diffraction in baffle edges
 - Should avoid exposing the baffles to the "core" of the laser beam

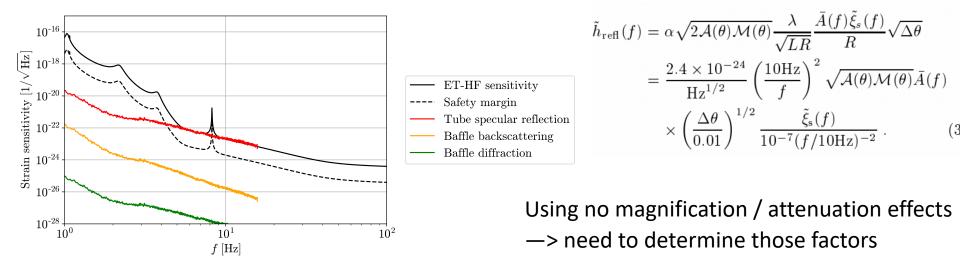


LIGO-T950101

On bare tubes

- The effect on sensitivity from a bare tube is very hard to model and here only the contribution from reflections propagating from mirror to mirror are taken into account (large dependence on mirror, tube reflectivity, # reflections, # surviving photons)
- Here we show results for ET-HF (1.2 M) and seismic noise from EMR



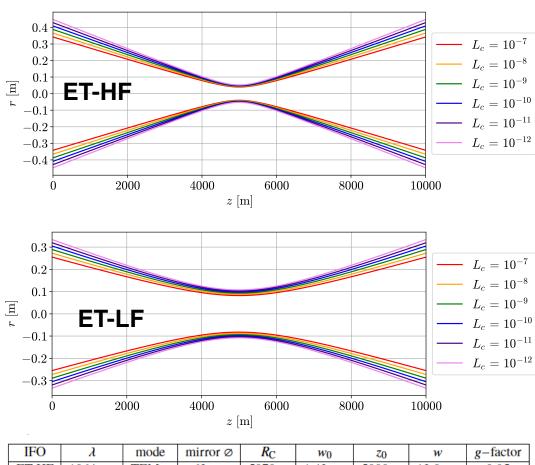


- The effect on ET sensitivity from the scattering out of tube material and structures inside the tube is not included here. The induced noise could be larger.
- —> Conclusions have to be taken with care (depends a lot of assumptions)

This is computed analytically not including mirror maps

Beam losses

-> defects will increase losses



IFO	λ	mode	mirror Ø	R _C	w_0	z_0	W	g-factor
ET-HF	1064 nm	TEM ₀₀	62 cm	5070 m	1.42 cm	5000 m	12.0 cm	0.95
ET-LF	1550 nm	TEM ₀₀	45 cm	5580 m	2.9 cm	5000 m	9.0 cm	0.63

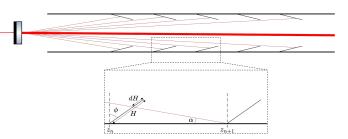
A 2000 nm laser with 16 cm waist ->1.2 m tube Adding some margin to the ET-HF \rightarrow 1.2 m tube

Adopting the approach of

- minimal losses @ level of 10⁻¹⁰
- Aperture is 0.85 m for ET-HF
- Aperture is 0.62 m for ET-LF
- Adding 2x 8 cm baffle height —> 1.0 m (0.78 m) tube for ET-HF (ET-LF)

Previous work by CE used a similar argumentation

- clipping losses below 10^{-8}
- @ 3 x beam waist + 5 cm offset/tolerance
- Aperture is 0.82 m for ET-HF
- Aperture is 0.64 m for ET-LF
- Adding 2 x 8 cm baffle height
 - -> 1.0 m diameter tube for EF-HF.
 - -> 0.8 m diameter tune for EF-LH
- ET CDR :
 - ET-LF 0.8 m —> 1.0 m tube
 - ET-HF 1.0 m —> 1.0 m tube !
- Need to review the 8 cm baffle height



Baffles

$$z_{n+1} = \frac{W[z_n + \sin(\phi)(H - dH)]}{W - \cos(\phi)(H - dH)}$$

 $ET - HF :\sim 10 m - ET - LF :\sim 50 m$

To be discussed with ISB coordinators

We do not attack the region close to mirrors

- —> there will be tower and cryotrap baffles
- -> we adopted distances to main tube as in CDR

ET-HF

Variable	Value
Baffle aperture	1.04 (R = 1.2 m) 0.85 (R = 1 m)
Baffle inclination	35
Position of first arm baffle	35 m

ET-LF

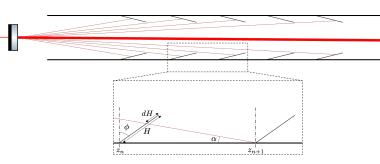
 $\sim 5 \text{ m}$

 $\sim 20 \text{ m}$

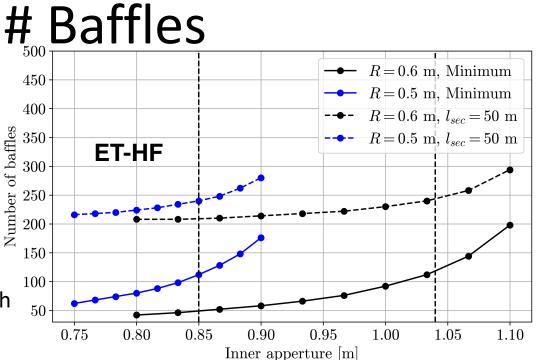
Variable	Value				
Baffle aperture	0.84 (R = 1.0 m)				
Baffle inclination	35				
Position of first arm baffle	75 m				

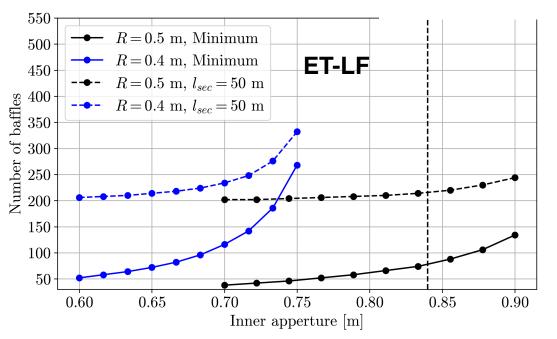
Baffle as needed (geometry) and assuming installation every sector or alternated.

Configuration	Minimum	lsec = 50 m	lsec = 100m	lsec = 150m	
ET-HF (R = 1m)	112	240	156	132	
ET-HF (R = 1.2m)	116	242	160	136	
ET-LF	78	216	128	102	

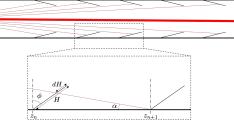


For completeness we provide information on # baffles versus tube radius and appertures and assuming minimal (geometrical) configuration and/or at the end of each 50 m section





Stray light noise

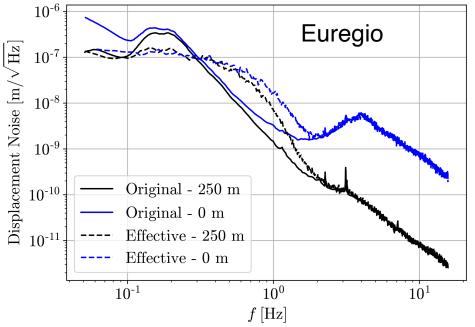


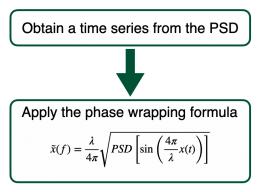
- Once the baffles are installed there are two main sources of noise that might affect the sensitivity of the interferometer
 - Backscattering from baffles to mirrors that couples with cavity

caustic

- Introduces noise in phase and radiation pressure on the mirrors
- Diffraction due to the inner apertures reaching the other mirror
 - Introduces noise in the phase of the main beam
- Effects related to scattering at the edges of the baffles is suppressed by serrating the baffle apertures (VIR-NOT-LAL-1390-123)
- The presence of shinning areas in the tube viewed by the mirrors now covered by the baffles
- In computing the noise from stray light there is a compromise among factors: optical quality of the baffle (BDRF), amount of light (optical parameters of the cavity & apertures), and baffle movement.
 - We use a conservative BRDF levels in the baffle (0.01 str-1)
 - We use median seismic noise @ ground (and transfer factors = 1)
 - We use maximum possible apertures as dictated by baffle height and beam tube diameters
 - We assume O5 quality for the mirrors (you will see also what happens if this is not achieved)

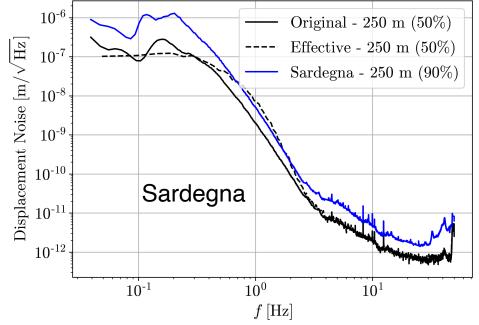
Seismic Noise





It is important to note we use at the moment the median ground noise

—> using 90% CL will boost up by ~10 the displacement

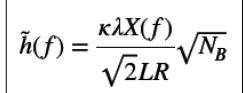




Diffraction

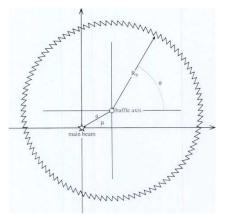
LIGO-T950101-00-R

Unserrated



Diffraction from the limited baffles apertures can coherently accumulate and propagate thru the cavity leading to a sizable noise contribution

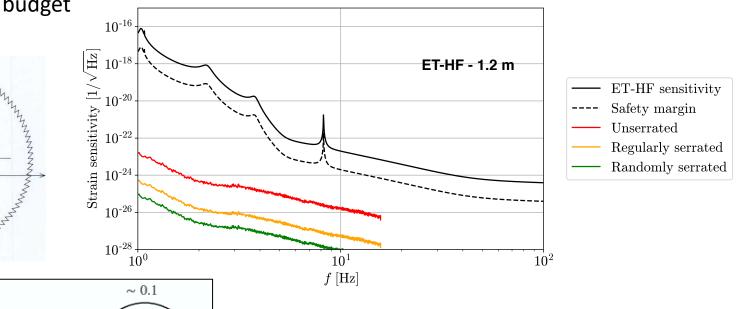
The coherence is destroyed when implementing serrated edges reducing the diffraction noise budget by magnitudes



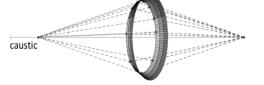
Randomly servated $\tilde{h}(f) = \left[\frac{\kappa\lambda X(f)}{\sqrt{2}LR}\sqrt{N_B}\right] \left[\frac{\sqrt{2}\lambda L}{8\pi R\Delta H}\right] \left[\frac{\sqrt{\lambda L/4}}{2\pi R}\right]^{1/2}$

Parameter	Value
λ	1064 nm
R	0.6 m
L	10 km
κ	10 ⁻⁶
ΔH	2 cm

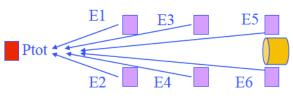
X(f) baffle displacement NB # baffles BRDF at the mirrors (κ/θ^2)



Effect from baffle edges becomes negligible



Backscattering



Taking into account both phase noise and radiation pressure to the mirror (LIGO-T1300354)

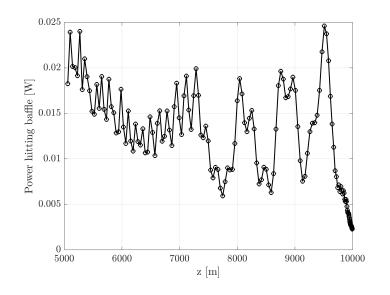
$$\tilde{h}^2(f) = \frac{1}{L^2} \left[\lambda^2 + \left(\frac{8\Gamma I_{mb}}{cM\pi f^2} \right)^2 \right] \frac{\mathrm{d}P}{\mathrm{d}\Omega_{bs}} X^2(f) \sum_i K^i$$

The parameters used are. (For ET-HF):

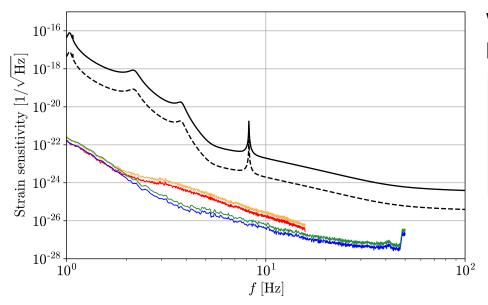
- $\Gamma = \frac{1}{1 \frac{r_{ITM} r_{SRM}}{1 \frac{r_{ITM} r_{SRM}}{1 r_{ITM} r_{SRM}}}} \approx 15.7 \text{ Cavity signal gain. Value from LIGO-T1300354.}$ $I_{mb} = 3 \text{ MW. Circulating power in the arm.}$ M = 200 kg. Mass of the mirror.
- $\frac{dP}{d\Omega_{bs}} = 0.01 \text{ str}^{-1}$. BRDF of the baffles. • $\lambda = 1064 \text{ nm}$.
- Virgo O3 Virgo O5 10 -Virgo O3 0.1Virgo O5 10^{-1} PSD [m² m] 10-0.05 Ξ -0.05 -0.1 10^{-24} -0.1 -0.05 0.05 10 10 0 0.1 -0.2 -0.1 0 0.1 0.2 Spatial frequency [1/m] x [m]x [m]ET-HI ET-LF = Fit HF = Fit LF 10^{-1} K^i $[m^{-2}]$ 10^{-1} 10^{-1} 5000 9000

 $K^{i} = \frac{1}{r_{i}^{2}} \left(\frac{\mathrm{d}P^{i}}{\mathrm{d}\Omega_{ms}} \right)^{2} \delta\Omega_{ms}^{i}$

Sum over baffles telling the probability for light scattered from the mirror at a given solid angle -> Computed analytically and using simulations -> critical dependence on mirror quality



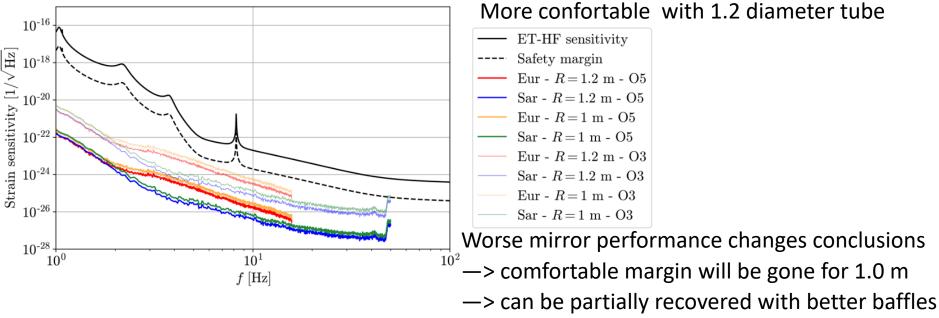
Backscattering noise ET-HF



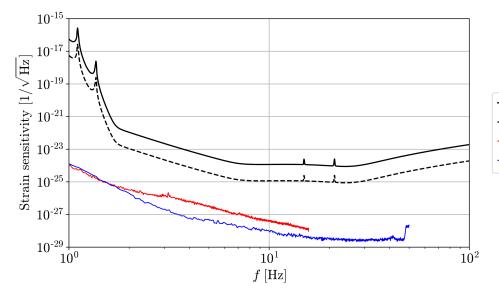
We could consider factor 100 below solid line as a rather comfortable margin

- ET-HF sensitivity
- Safety margin
- Euregio R = 1.2 m
- Sardegna R = 1.2 m
- Euregio R = 1 m
- Sardegna R = 1 m

The induced noise is well below the x10 safety margin assuming good mirrors, median seismic noise and moderate baffle BRDF

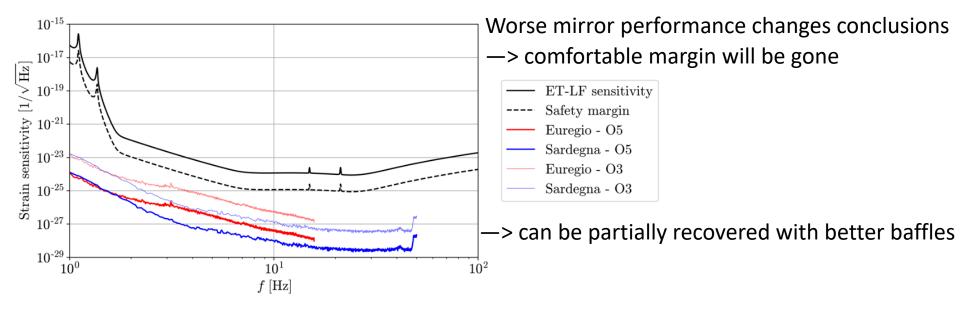


Backscattering noise ET-LF

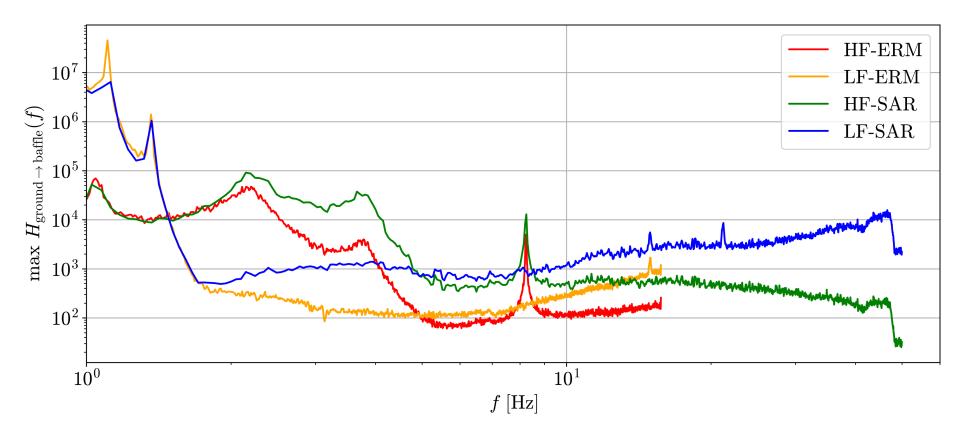


 ET-LF sensitivity
 Safety margin
 Euregio
 Sardegna

The induced noise is well below the x10 safety margin assuming good mirrors, median seismic noise and moderate baffle BRDF



Transfer factors



Based on previous plots we can provide information on the maximum mechanical transfer factor (ground to baffle) that is acceptable before affecting ET performance

In summary (beam tube size)

- From the point to view of stray light with CDR parameter for ET optics and mirrors a 1.0 m diameter tube is more than adequate for ET-LF but leaves no room for ET-HF unknowns related to larger beam offsets, larger than expected seismic noise, non-optimal mirror maps, or in view of future upgrades —> points to increase the ET-HF aperture !??
- Baffles are assumed 8 cm tall but this needs to be reviewed in case they need to hide UHV infrastructure from the mirror's point of view — > taller ones in the arm center ?
- The final decision on beam tube diameter is a multidimensional problem and Stray Light is just one piece

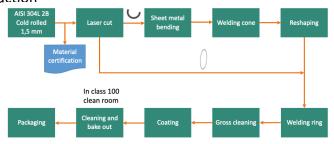
Baffle Mass Production

- We have explored two possible procedures
 - sheet metal bending

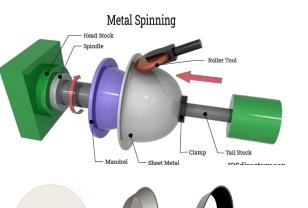


Sheet metal bending:

- Requires welding (twice)
- Welding may cause distortion, which can require additional reshaping
- Welding process may leave visible seams that require finishing
- Rollers of a sheet metal bending machine can leave small marks on surfaces
- Good for mass production



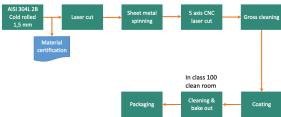
• sheet metal spinning





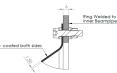
Sheet metal spinning:

- Can produce cones with high precision and surface quality
- No welding
- The inner surface of the cone remains in good condition
- Very good for mass production
- Cost efficient

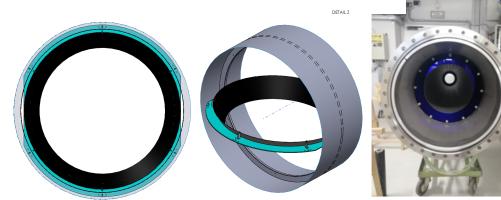


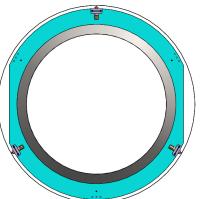
sheet metal spinning process seems a good candidate for the manufacturing of the ET beampipe baffles.

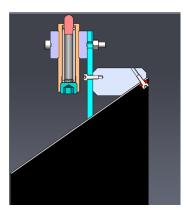
Baffle integration

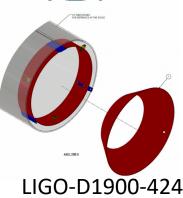


- We explored two possible models for baffle integration inside the tube
 - A. Fixed using black screws on a welded beampipe inner ring (recently used in Virgo's Filter Cavity baffles)
 - B. Fixed directly to the inner wall using springs (recently used in LIGO filter cavity)
- We believe for a brand new infrastructure designed to last for at least 50 years the solution A is probably more adequate
- At the same time the ring in B can act as damper and is a valid solution for future baffles installed a posteriori
- All the work on modal and thermal studies (next slides) is carried out for solution A

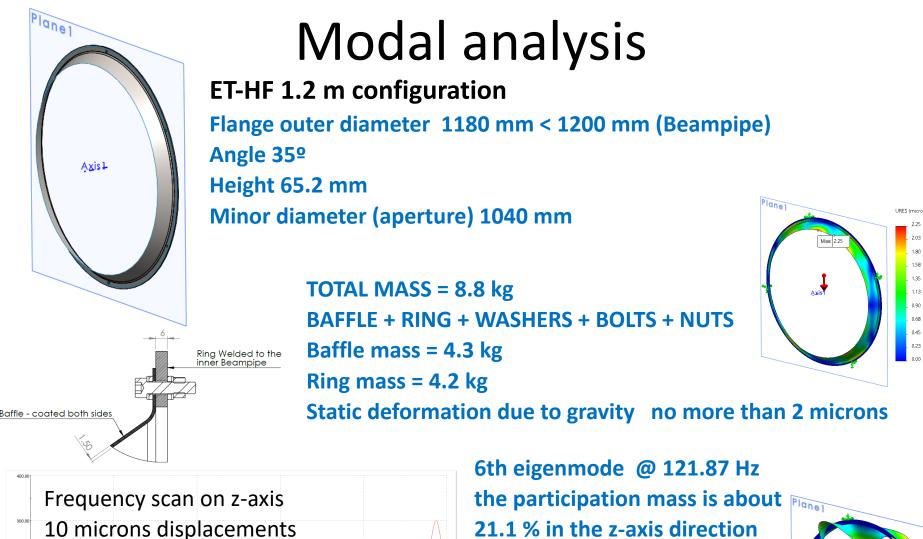












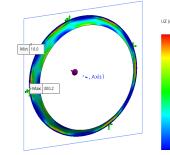
(2% damping applied)

25.40

—> Factor 30 gain @ 121,8 Hz

75 20

100 10





Modal analysis

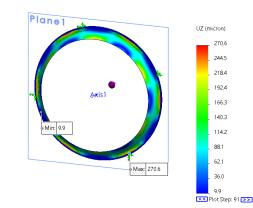
ET-LF 1.0 m configuration

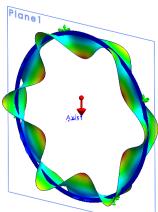
Flange outer diameter 980 mm < 1000mm (Beampipe) Angle 35° Height 65.2 mm Minor diameter (aperture) 840 mm

Baffle - coated both sides

TOTAL MASS = 8.0 kg BAFFLE + RING + WASHERS + BOLTS + NUTS Baffle mass = 3.5 kg Ring mass = 4.2 kg Static deformation due to gravity no more than 1.6 microns

> 6th eigenmode @ 156.0 Hz the participation mass is about 18.6 % in the z-axis direction





Frequency scan on z-axis 10 microns displacements (2% damping applied)

40.40

240.00

220.00

200.0

180.00

160.00

140.00

120.00

100.00

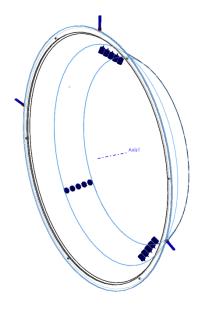
—> Factor 27 gain @ 156,0Hz

80.30

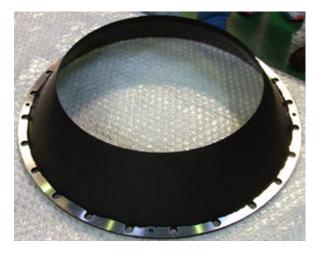
120.20

160 10

200.00



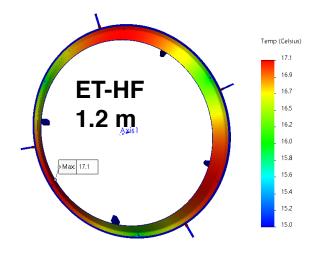
Thermal analysis

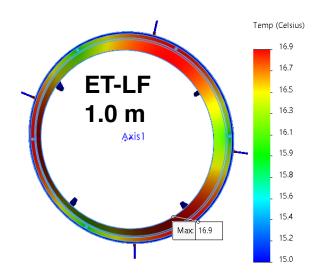


AISI 304 for all the parts

- Ring 3D mesh
- Baffle 3D mesh
- Heat power 0.05 W (x2 expected) distributed over the inner surface of a truncated cone
- Interfacial conductance between Baffle and Ring of 300 W/m²K, we analyse 3 contacts instead of 6
- Ring outer surface fixed @ 15 degrees Celsius

Bottom line: maximum variations up to 2 C





Notes on Baffle materials

• We shall profit from detailed studies made in LIGO and Virgo about the suitable materials (not only optical characteristics but also practical issues)

Materials comparison: robustness and availability vs performance

			Lowest BRDF		Specular	
	Handling	Price	8º AOI	57 º AOI	8º AOI	57 º AOI
Oxidized Stainless Steel (super #8)	P	\$	9×10 ⁻³	9×10⁻³	5×10 ⁻²	1 × 10 ⁻¹
O Black Glass	1	\$\$	3×10 ⁻⁵	1×10 ⁻⁵	5×10 ⁻¹	2×10 ⁻⁴
AR coated Black Glass (broad band coating)	P	\$\$\$	2×10 ⁻⁵	1×10 ⁻⁵	3×10 ⁻²	5×10 ⁻³
O Diamond-like Carbon on stainless steel mill finish	🖌 🔀	\$	1×10 ⁻³	1×10 ⁻³	2×10 ⁻²	1×10 ⁻³
 Black Nickel on stainless steel mill finish 	<u> </u>	\$	2×10-4		3×10 ⁻²	
Multi-layer AR (for 57 AOI) on SSTL (super #8)	🖌 🔀	\$\$	1×10 ⁻⁴	1×10 ⁻⁵	1 × 10 ⁻¹	1 × 10 ⁻²
O Chromium Oxide on stainless steel	P	\$\$	2×10 ⁻²	2×10 ⁻²	2×10⁻⁵	2×10⁻⁵
Diamond-like Carbon on Cr Oxide on SSTL	18	\$\$	6×10 ⁻²	6×10 ⁻²	4×10 ⁻⁶	1 × 10 ⁻⁶
"Black Nickel" on bead blasted SSTL	💙 🖻	\$	7×10 ⁻⁴	5×10 ⁻⁴	8×10 ⁻⁵	8×10 ⁻⁶
O Structural coating 1	X 🗣	\$\$\$\$	1×10 ⁻²	1 × 10 ⁻²	5×10-6	2×10 ⁻⁶
Structural coating 2	X 🖗	\$\$\$\$\$	1 × 10 ⁻³	1 × 10 ⁻³	5×10 ⁻⁶	2×10 ⁻⁶
Structural coating 3	\mathbf{X}	\$\$\$\$\$	9×10 ⁻⁴		2×10-6	2×10 ⁻⁷
graphite paint on aluminum	X 💧	\$	1×10 ⁻²	1 × 10 ⁻²	2×10 ⁻⁴	5×10⁻⁵
organic paint coating on aluminum	? 🖉	\$	5×10 ⁻³	5×10 ⁻³	5×10 ⁻⁶	2×10 ⁻⁶

Alena Ananyeva @ GWADW 2021

Final notes and next steps

- We are in the process of publishing all the noise calculations in a comprehensive document —> see slide 15 for our conclusions on beam tube diameter.
- We explored baffle designs and possible installation procedures in the main arms
 - More work needed to determine the strategy for instrumented baffles in arms for monitoring the mirrors and pre-alignment
- We completed the modal and thermal analysis for the baffles and determine the mechanical transfer factors of the baffle themselves and the maximum acceptable transfer factor from ground to the baffle that would preserve the ET performance.
- We are in contact with a number of companies to determine the cost of massive baffle production. At a given point we could determine the total cost including coatings, bake out, transportation and installation.
- Work is needed to fix/determine the final baffle requirements in terms of BRDF and coatings —> we will initiate a comprehensive review of suitable coatings and costs
- A coordinate discussion inside ET is needed to fix the parameters taking into account a cost/benefit and risk analysis.

