

# CERN Neutrino Platform Pheno Week 2023

## Neutrino Interactions and Strangeness

Luis Alvarez Russo



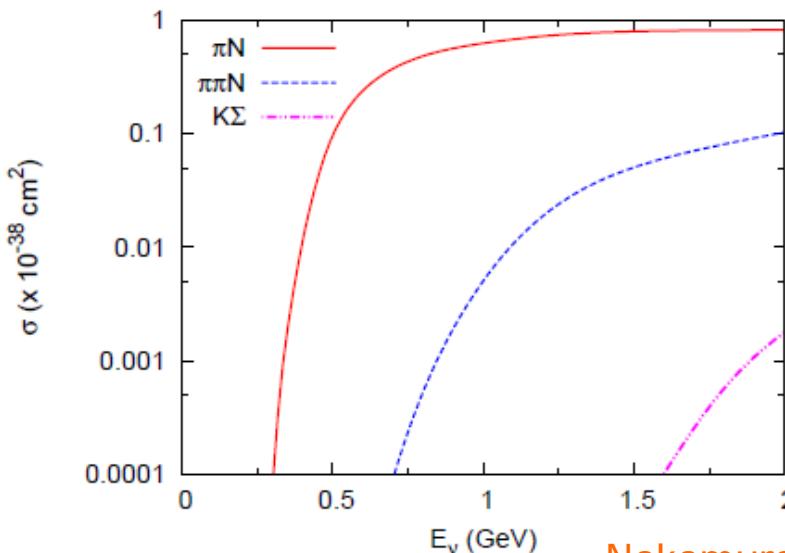
M. Benítez Galán<sup>1</sup>, F. Alvarado<sup>2</sup>, M. Rafi Alam<sup>3</sup>,  
E. Oset<sup>2</sup>, X. Ren<sup>4</sup>, I. Ruiz Simó<sup>1</sup>, M. Vicente Vacas<sup>2</sup>

<sup>1</sup>U. Granada, <sup>2</sup>IFIC, <sup>3</sup>Aligarh M. U., <sup>4</sup>U. Bohum

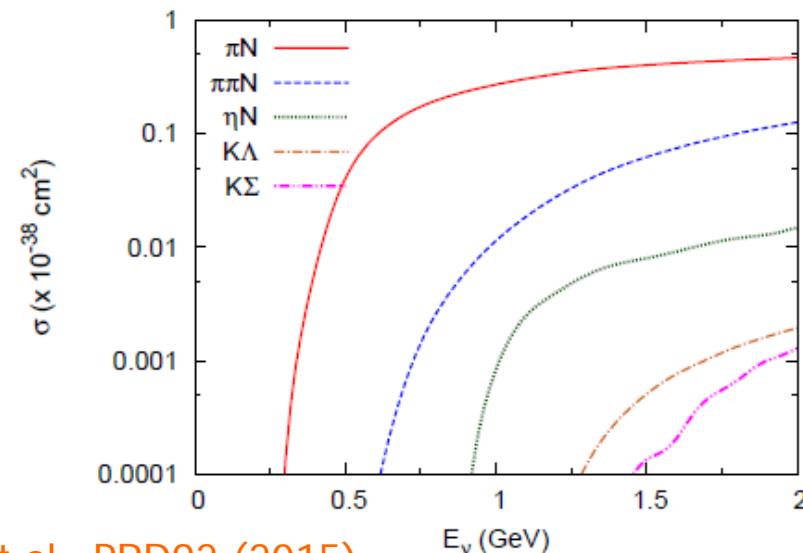
# Weak Strangeness production

## ■ $\Delta S = 0$ : $\bar{s}s$ production

- CC but also NC and EM processes
  - e.g.  $\nu_l p(n) \rightarrow l^- K^+ \Sigma^+(\Lambda)$
- High threshold:  $W \geq 1.6 \text{ GeV} \Leftrightarrow E_\nu \geq 0.9 \text{ GeV}$



Nakamura et al., PRD92 (2015)



- Predominantly through  $\Delta$ ,  $N^*$  excitation
- Unitarization in coupled channels required
  - e.g. ANL-Osaka Dynamical Coupled Channel (DCC) Model

# Weak Strangeness production

- $\Delta S = \pm 1$ 
  - CC; FCNC are suppressed in the **SM**
  - Cabibbo reduced ( $V_{us} = 0.23$ ) but with lower thresholds vs  $\Delta S = 0$

# Weak Strangeness production

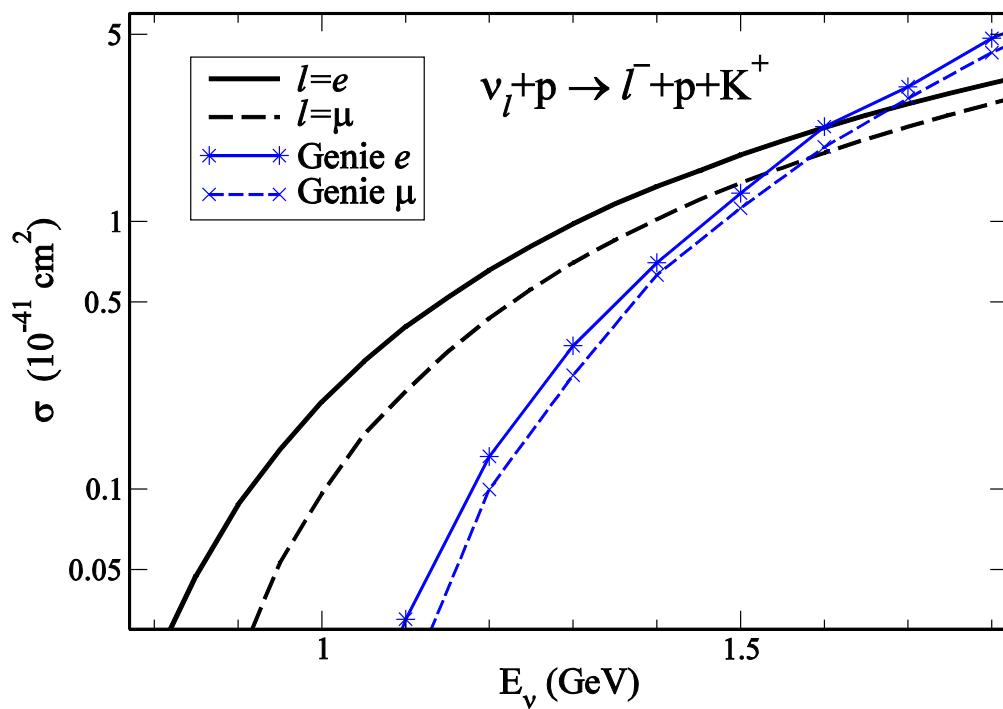
■  $\Delta S = 1: W^+ \bar{u} \rightarrow \bar{s}$

■ Low threshold:  $W \geq 1.4 \text{ GeV} \Leftrightarrow E_\nu \geq 0.6 \text{ GeV}$

■  $\text{K}: \nu_l p \rightarrow l^- K^+ p$

$\nu_l n \rightarrow l^- K^0 p$

$\nu_l n \rightarrow l^- K^+ n$



vs  $\Delta S = 0$  from GENIE  
Rafi Alam et al., PRD82

# Weak Strangeness production

- $\Delta S = 1$ :  $W^+ \bar{u} \rightarrow \bar{s}$ 
  - Low threshold:  $W \geq 1.4 \text{ GeV} \Leftrightarrow E_\nu \geq 0.6 \text{ GeV}$
  - $\text{K}$ :  
 $\nu_l p \rightarrow l^- K^+ p$   
 $\nu_l n \rightarrow l^- K^0 p$   
 $\nu_l n \rightarrow l^- K^+ n$
  - No baryon resonances  $\Leftrightarrow$  weak  $\text{K FSI}$  in nuclei

# Weak Strangeness production

- $\Delta S = -1$ :  $W^- u \rightarrow s$
- Quasielastic:
  - Lowest threshold:  $W \geq 1.1 \text{ GeV} \Leftrightarrow E_\nu \geq 0.2 \text{ GeV}$
  - $\Upsilon$ :  $\bar{\nu}_l p \rightarrow l^+ \Sigma^0(\Lambda)$   
 $\bar{\nu}_l n \rightarrow l^+ \Sigma^-$

# Weak Strangeness production

- $\Delta S = -1$ :  $W^- u \rightarrow s$

- Inelastic:

- $\bar{K}$ :  $\bar{\nu}_l p \rightarrow l^+ K^- p$

$$\bar{\nu}_l p \rightarrow l^+ \bar{K}^0 n$$

$$\bar{\nu}_l n \rightarrow l^+ K^- n$$

- Low threshold:  $W \geq 1.4 \text{ GeV} \Leftrightarrow E_v \geq 0.6 \text{ GeV}$

- $\gamma\pi$ :  $\bar{\nu}_l p \rightarrow l^+ \Sigma^0(\Lambda) \pi^0$     $\bar{\nu}_l n \rightarrow l^+ \Sigma^0(\Lambda) \pi^-$

$$\bar{\nu}_l p \rightarrow l^+ \Sigma^+ \pi^- \quad \bar{\nu}_l n \rightarrow l^+ \Sigma^- \pi^0$$

$$\bar{\nu}_l p \rightarrow l^+ \Sigma^- \pi^+$$

- Lower threshold:  $W \geq 1.3 \text{ GeV} \Leftrightarrow E_v \geq 0.4 \text{ GeV}$

- can proceed through the excitation of  $\Lambda$  or  $\Sigma$  resonances

- in particular:  $\Sigma^*(1385)$ ,  $\Lambda(1405)$

# Interest

- $K$  production by atmospheric  $\nu$  is a potential **background** for  $p \rightarrow \nu K^+$
- Via  $Y \rightarrow \pi N$ ,  $Y$  are a **source** of low energy  $\pi$  in  $\bar{\nu}$  scattering
- QE  $Y$  production could be used to constrain  $\bar{\nu}$  **contamination** in  $\nu$  beams
- $YN$  **form factors** encode interesting information about:
  - 2<sup>nd</sup> class currents, T violation, SU(3) breaking corrections
- Inelastic processes can provide information about **strange** baryon resonances
  - Two-pole structure of the  $\Lambda(1405)$  could be studied in  
 $\bar{\nu}_l p \rightarrow l^+ \Lambda(1405) \rightarrow l^+ \Sigma \pi$   
without **distortion** due to  $K \Lambda(1405)$  interactions in strong or em prod.
- Sensitivity to nuclear dynamics in the presence of **strangeness**
  - $K$ -nucleus and  $Y$  nuclear potential
- $\Delta S = 1$ :  $W^+ \bar{u} \rightarrow \bar{s}$  and  $\Delta S = -1$ :  $W^- u \rightarrow s$  are **very different**
  - Relevant for oscillations? Maybe not but insufficiently investigated

# Theoretical studies

- QE  $\gamma$  production on nucleons and nuclei:
  - Cabibbo & Chilton, PR 137 (1965)
  - Singh and Vicente Vacas, PRD74 (2006)
  - Mintz and Wen, EPJA33 (2007)
  - Kuzmin and Naumov, Phys.Atom.Nucl. 72 (2009)
  - Sobczyk et al., PRC99 (2019)
  - Thorpe et al., PRC104 (2021)
- $K, \bar{K}$  production on nucleons and nuclei:
  - Dewan, PRD24 (1981)
  - Rafi Alam et al., PRD82 (2010); PRD85 (2012)
  - Lalakulich, K. Gallmeister, and U. Mosel, PRC86 (2012)
  - LAR et al., PRC87 (2013)
- $\gamma K$  production on nucleons:
  - Shrock, PRD12 (1975)
  - Adera et al. PRC82 (2010)
- $\gamma\pi$  production on nucleons:
  - Dewan, PRD24 (1981)
  - Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)
  - Benitez Galan, Rafi Alam, Ruiz Simo, PRD 104 (2021)

# Experiments

## ■ XX Century:

- Fermilab 15', SKAT, Gargamelle, BNL 7' bubble chambers
- broad band high energy beams
- observation **strange** particle production, yields, multiplicites, ratios,...
- a few low statistics **cross section** measurements:  $\Upsilon$  and  $\Upsilon K$

## ■ XXI Century:

- NOMAD: Naumov et al., NPB (2004); Chukanov et al., EPJC (2006)

- Neutral **strange** hadron yields
  - $K^*(892)$  yields and spin alignments
  - $\Lambda$  polarizations

- MINERvA:

- $K^+$  production on **CH** using NUMI low-energy  $\nu$  beam

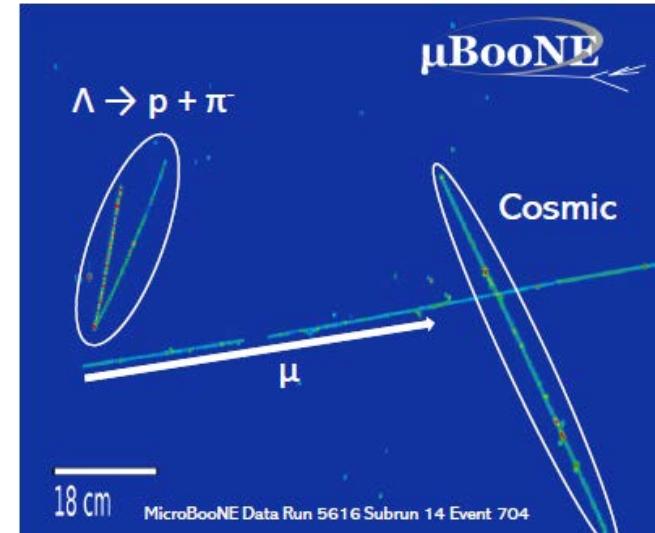
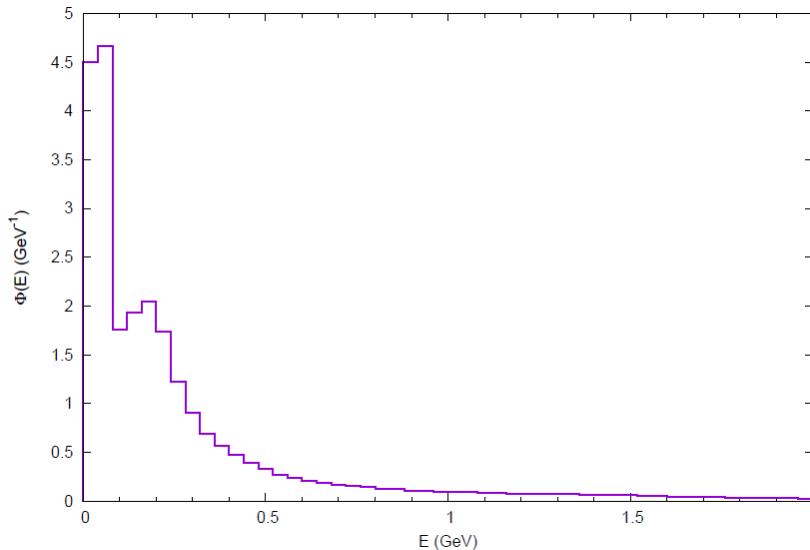
Marshall et al., PRD92 (2016)

- Observation of **coherent**  $K^+$  production

Wang et al., PRL 117 (2016)

# MicroBooNE measurement

- First (modern) measurement of  $\bar{\nu} \text{ Ar} \rightarrow \mu^+ \Lambda X$  arXiv:2212.07888
  - $X$ =additional final state particles with no strangeness



Area-normalized accumulated off-axis NUMI flux.

Courtesy of C. Thorpe.

- 5 events, but more to come...
- Phase-space restricted averaged cross section:

$$\sigma_* = 2.0^{+2.1}_{-1.6} \times 10^{-40} \text{ cm}^2/\text{Ar}$$

# QE Y production

$$\bar{\nu}_l \, p \rightarrow l^+ \Sigma^0(\Lambda)$$

$$\bar{\nu}_l \, n \rightarrow l^+ \Sigma^-$$

- Following Cabibbo & Chilton, PR 137 (1965)

$$J^\alpha = \bar{u}_Y(p') \Gamma^\alpha u(p) = V^\alpha - A^\alpha$$

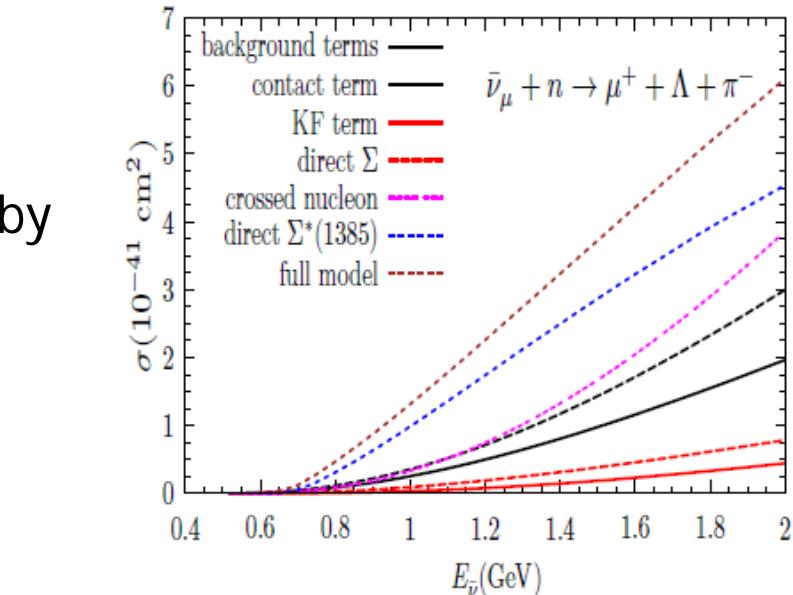
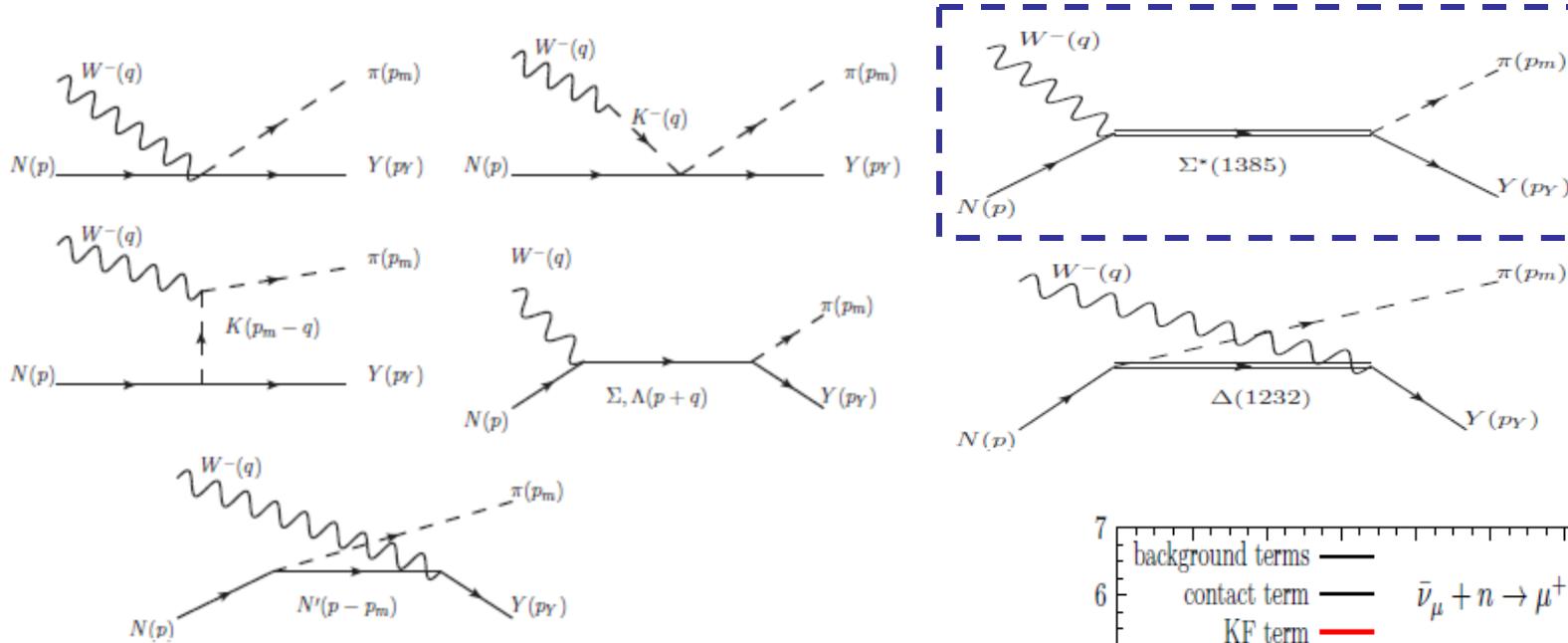
$$V^\alpha = \bar{u}_Y(p') \left[ \gamma^\alpha f_1(q^2) + i\sigma^{\alpha\beta} \frac{q_\beta}{M + M_Y} f_2(q^2) + \frac{q^\alpha}{M_Y} f_3(q^2) \right] u(p)$$

$$A^\alpha = \bar{u}_Y(p') \left[ \gamma^\alpha g_1(q^2) + i\sigma^{\alpha\beta} \frac{q_\beta}{M + M_Y} g_2(q^2) + \frac{q^\alpha}{M_Y} g_3(q^2) \right] \gamma_5 u(p)$$

- SU(3) flavor symmetry: NY transition form factors can be related to the em and axial nucleon counterparts
- G parity invariance:  $f_3 = g_2 = 0$

# $\bar{Y}\pi$ production

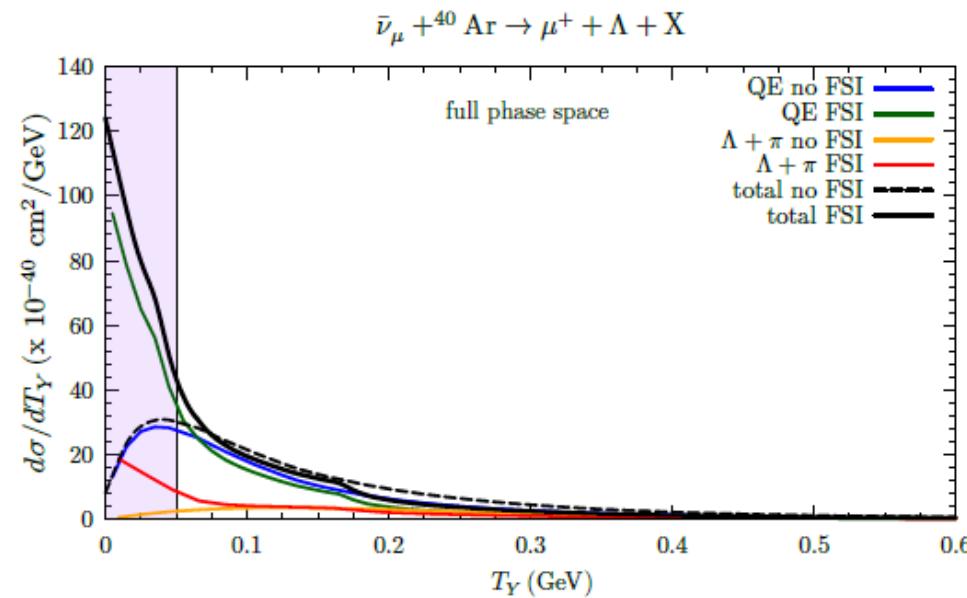
- Benitez Galan, Rafi Alam, Ruiz Simo, PRD 104 (2021)



- Born terms at threshold determined by chiral symmetry
- SU(3) symmetry used to relate form factors to measured quantities

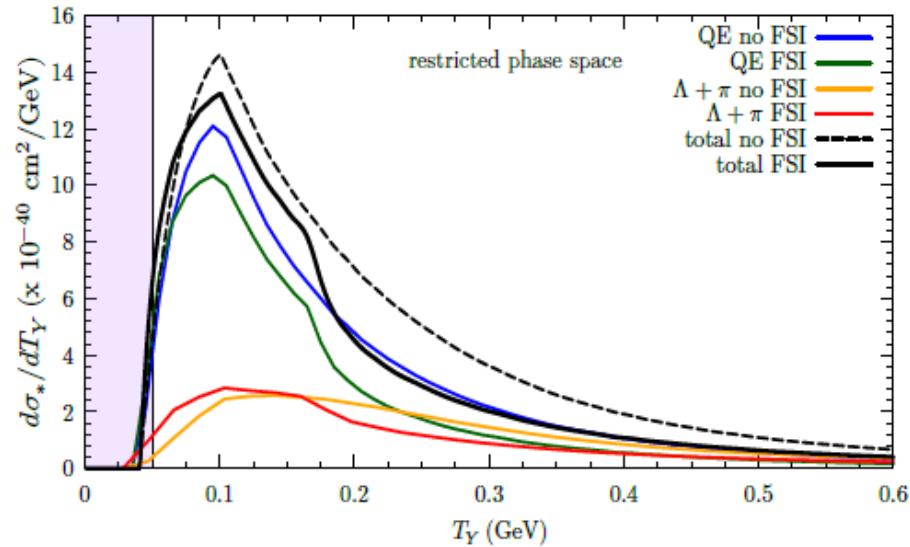
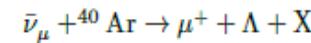
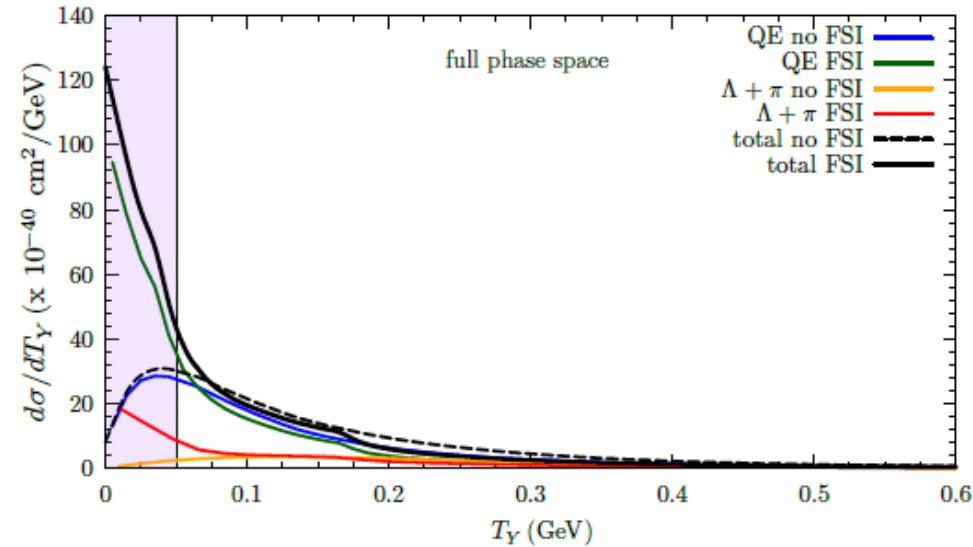
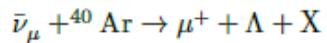
- Intranuclear cascade: semiclassical Monte-Carlo simulation
  - Experimental input for  $Y\ N \rightarrow Y'\ N'$  cross sections (poorly known)
  - Isotropic angular distributions in the CM frame
  - Pauli blocking
  - Real part of the  $Y$  nuclear potential neglected
    - Typically assumed to be  $V(r) \approx -30 \frac{\rho(r)}{\rho(0)}$  MeV
- Enhancement of  $\Sigma \rightarrow \Lambda$  conversion

# Comparison to MicroBooNE

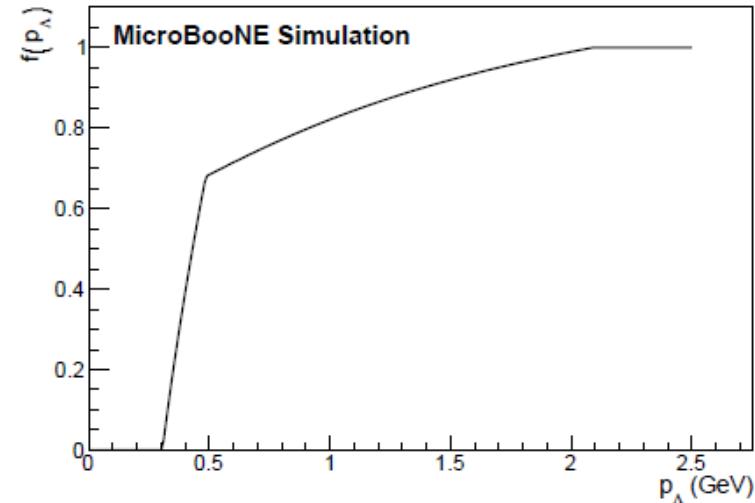


- Before phase space restrictions:
- Large enhancement at  $T_\Lambda < 50$  MeV due to FSI (absent in GENIE but present in NuWro)
- $\sim 15\%$  contribution from  $\Lambda\pi$

# Comparison to MicroBooNE

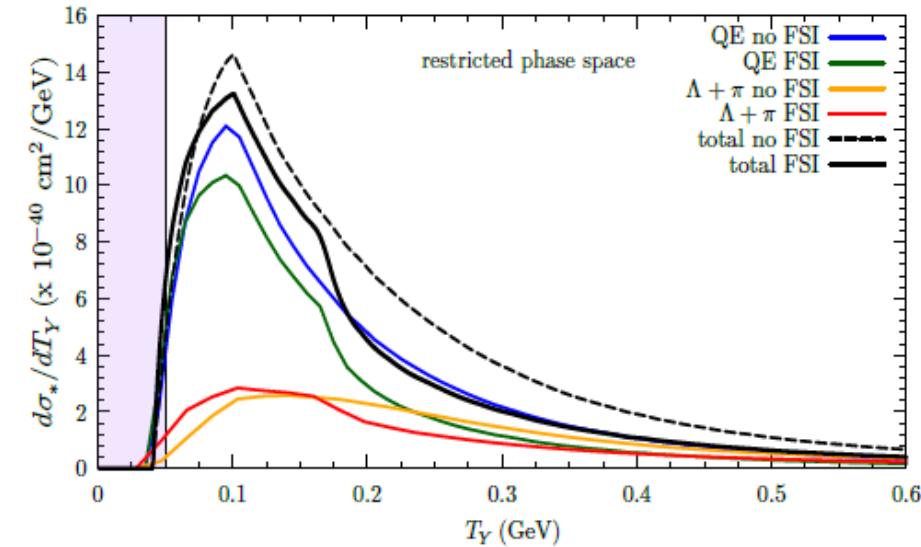
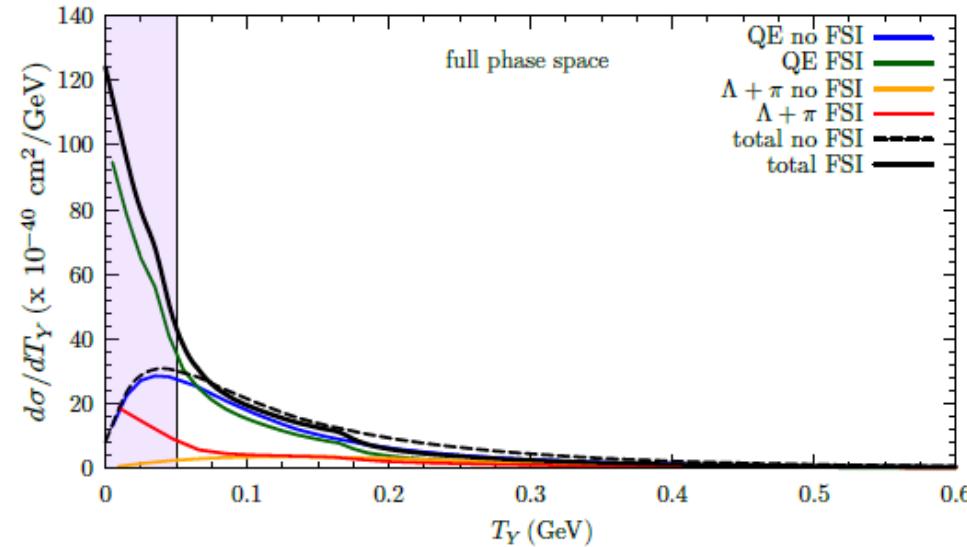
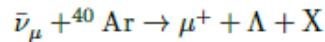


- After phase space restrictions:
- Large c. s. reduction
- Small impact of FSI



MicroBooNE, arXiv:2212.07888

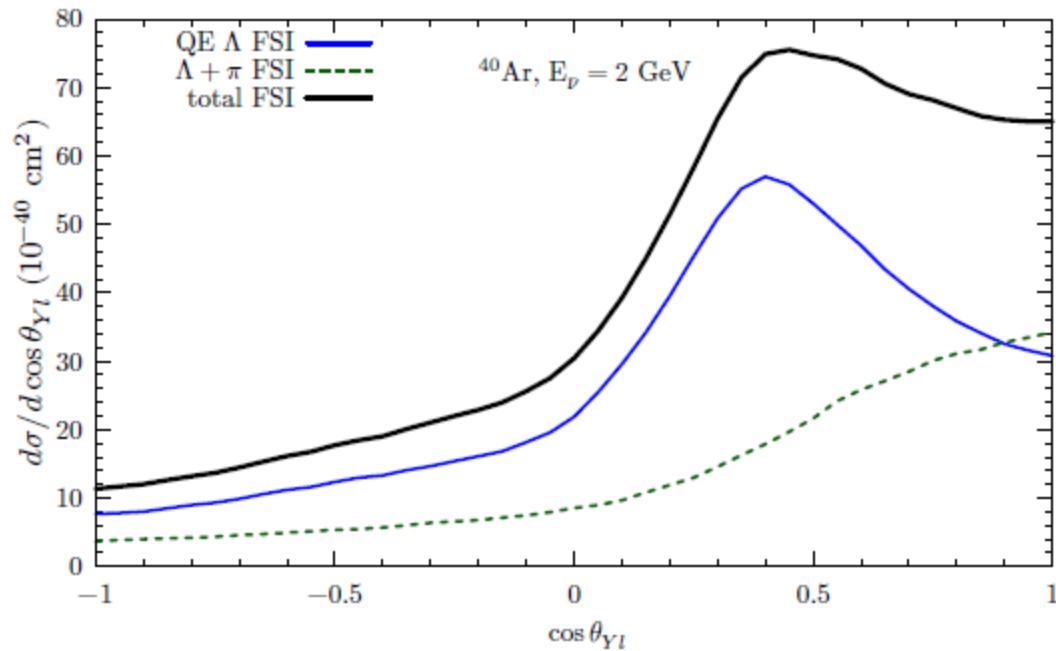
# Comparison to MicroBooNE



- After phase space restrictions:
- Large c. s. reduction
- Small impact of FSI
- ~ 33% contribution from  $\Lambda\pi$ 
  - dominated by  $\Sigma^*(1385)$
  - absent in GENIE & NuWro

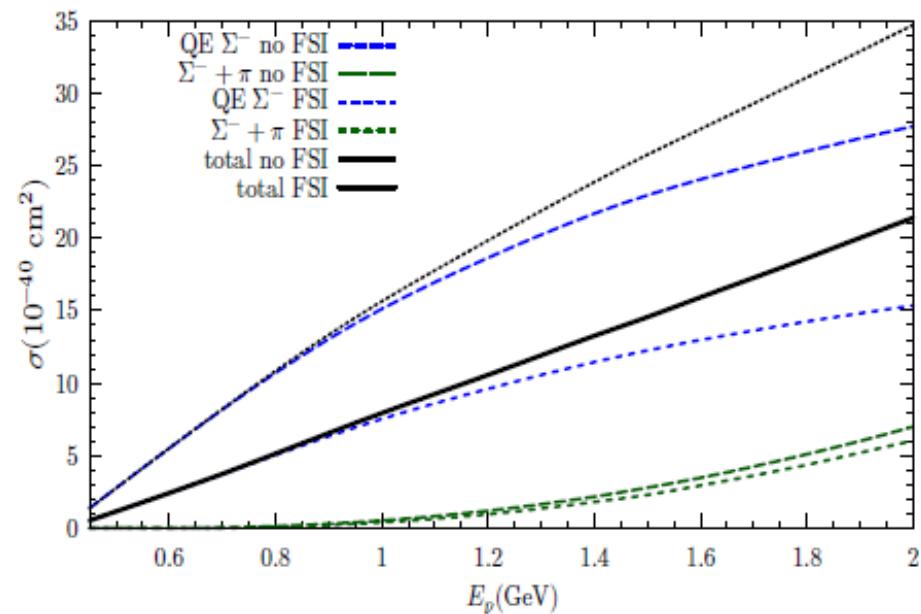
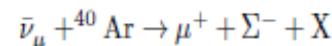
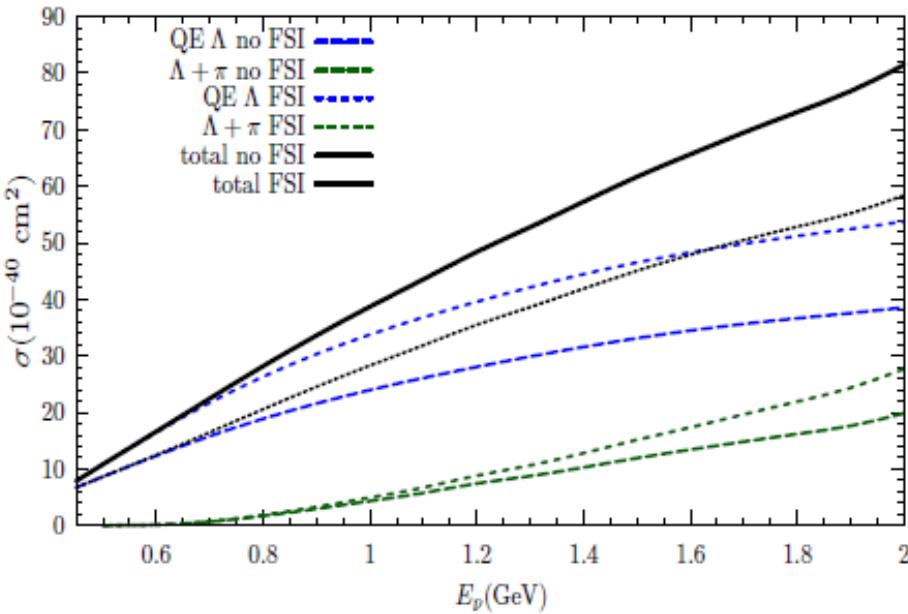
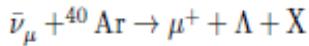
	$\sigma_* (\times 10^{40} \text{ cm}^2/\text{Ar})$
MicroBooNE	$2.0^{+2.1}_{-1.6}$
QE + $Y\pi$ , full model	2.21
QE contr.	1.49
$Y\pi$ contr.	0.72

# Angular distributions



- Distinctive **hyperon-lepton** angular distributions for QE and  $\Lambda\pi$
- Can help to experimentally **disentangle** the two processes

# $\Sigma$ production



- $\sigma(\Sigma)$  smaller than  $\sigma(\Lambda)$  before FSI
- $\sigma(\Sigma)$  even smaller than  $\sigma(\Lambda)$  after FSI

# Inelastic $\Sigma$ production

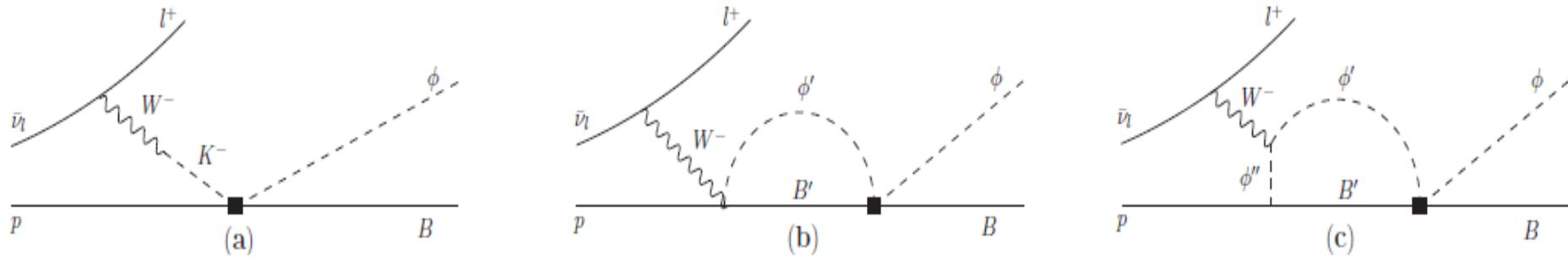
- $\Sigma \pi$ :  $\bar{\nu}_l p \rightarrow l^+ \Sigma^0 \pi^0$      $\bar{\nu}_l n \rightarrow l^+ \Sigma^0 \pi^-$   
 $\bar{\nu}_l p \rightarrow l^+ \Sigma^+ \pi^-$      $\bar{\nu}_l n \rightarrow l^+ \Sigma^- \pi^0$   
 $\bar{\nu}_l p \rightarrow l^+ \Sigma^- \pi^+$
- can proceed through the excitation of  $\Lambda(1405)$

# $\Lambda(1405)$

- $\bar{\nu}_l \, p \rightarrow l^+ \phi \, B$  Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)

$$\phi \, B = K^- \, p, \bar{K}^0 \, n, \pi^0 \, \Lambda, \pi^0 \, \Sigma^0, \eta \, \Lambda, \eta \, \Sigma^0, \pi^+ \, \Sigma^-, \pi^- \, \Sigma^+, K^+ \, \Xi^-, K^0 \, \Xi^0$$

- Unitarization in coupled channels



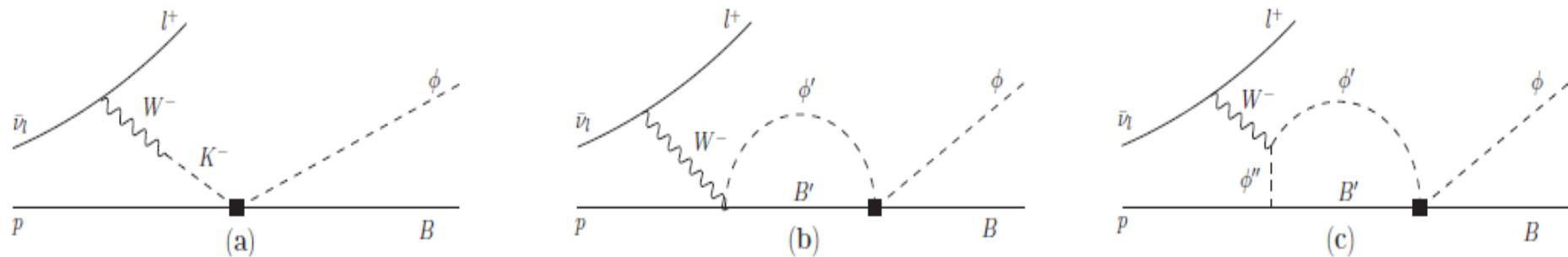
- $\Lambda(1405)$  dynamically generated
- Two poles:  $M \approx 1385$  MeV,  $\Gamma \approx 150$  MeV  
 $M \approx 1420$  MeV,  $\Gamma \approx 40$  MeV
- Suggested by Dalitz et al. (60ies) and obtained in many theoretical studies
- Consistent with data:  
 $K^- \, p \rightarrow \phi \, B$ ,  $K^- \, p \rightarrow \pi^0 \, \pi^0 \, \Sigma^0$ ,  $p \, p \rightarrow p \, K^- \, \Lambda(1405)$ ,  $\gamma \, p \rightarrow K^+ \, \pi \, \Sigma$ ,  
 $e \, p \rightarrow e' \, K^+ \, \Lambda(1405)$

# $\Lambda(1405)$

- $\bar{\nu}_l p \rightarrow l^+ \phi B$  Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)

$\phi B = K^- p, \bar{K}^0 n, \pi^0 \Lambda, \pi^0 \Sigma^0, \eta \Lambda, \eta \Sigma^0, \pi^+ \Sigma^-, \pi^- \Sigma^+, K^+ \Xi^-, K^0 \Xi^0$

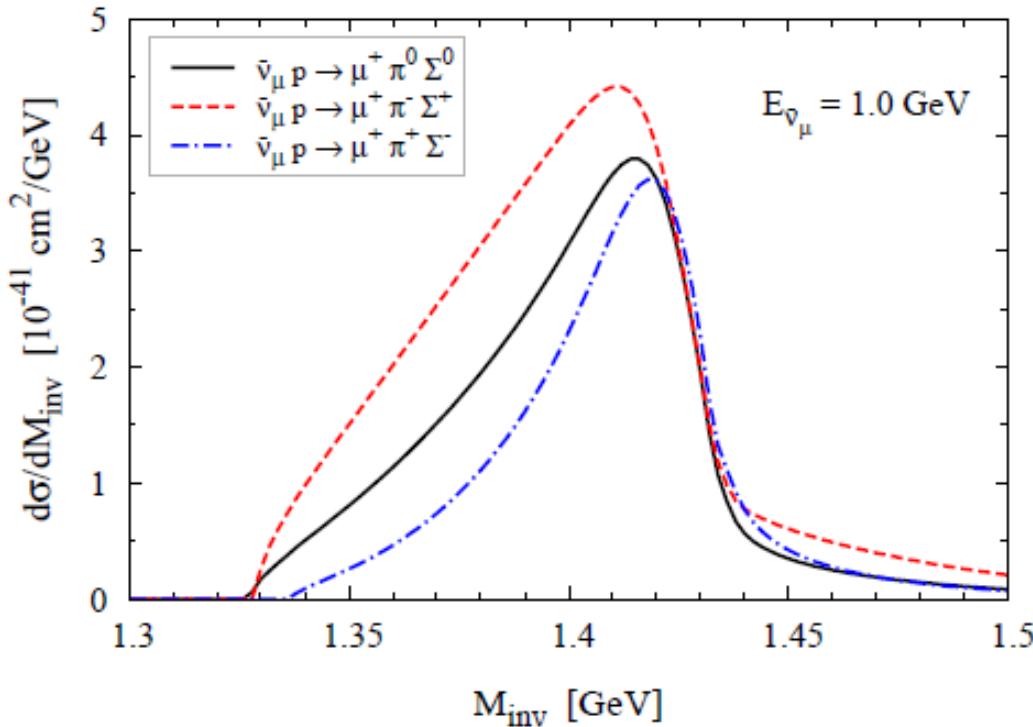
- Unitarization in coupled channels



- $\Lambda(1405)$  dynamically generated
- Two poles:  $M \approx 1385$  MeV,  $\Gamma \approx 150$  MeV  
 $M \approx 1420$  MeV,  $\Gamma \approx 40$  MeV
- $\bar{\nu}_l p \rightarrow l^+ \Lambda(1405)$  vs  $\gamma p \rightarrow K^+ \pi \Sigma$ ,  $e p \rightarrow e' K^+ \Lambda(1405)$ , etc
  - no lineshape distortion due to  $K^+ \Lambda(1405)$  interactions
  - but weak and Cabibbo suppressed

# $\Lambda(1405)$

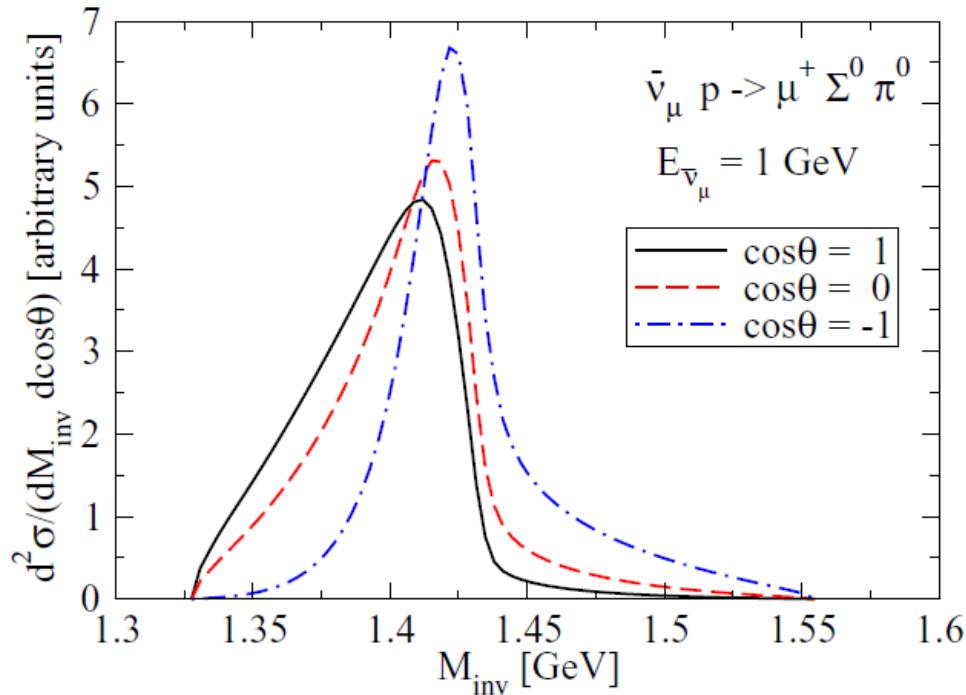
- $\bar{\nu}_l p \rightarrow l^+ \Sigma \pi$  Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)



- Two poles:  $M \approx 1385 \text{ MeV}, \Gamma \approx 150 \text{ MeV}$   
 $M \approx 1420 \text{ MeV}, \Gamma \approx 40 \text{ MeV}$
- Single asymmetric peak with more weight from the 1420 MeV pole

# $\Lambda(1405)$

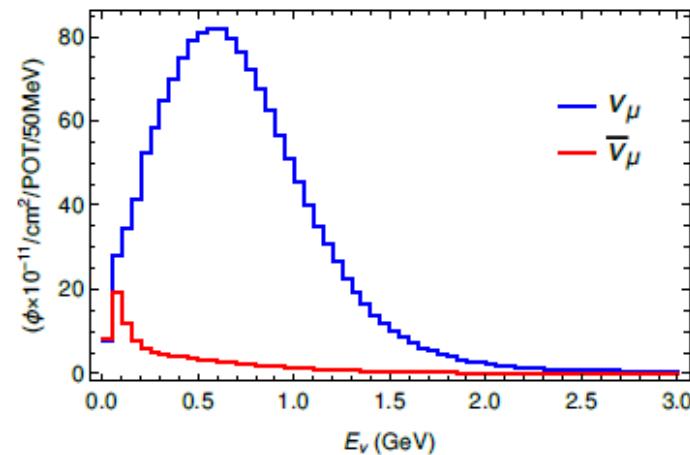
- $\bar{\nu}_l p \rightarrow l^+ \Sigma \pi$  Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)



- Single asymmetric peak with more weight from the 1420 MeV pole
- Backwards:  $\sim$  Breit-Wigner resonance with  $M \approx 1420 \text{ MeV}$ ,  $\Gamma \approx 40 \text{ MeV}$
- Although  $d^2\sigma(\cos\theta = -1) \sim d^2\sigma(\cos\theta = 1)/14$

# SBND

- 8000  $\Lambda$  and 4500  $\Sigma$  are expected from  $\bar{\nu}_\mu$   
Brailsford, Neutrino 2016 Proceedings



# FCNC @ SBND?

- 8000  $\Lambda$  and 4500  $\Sigma$  are expected from  $\bar{\nu}$

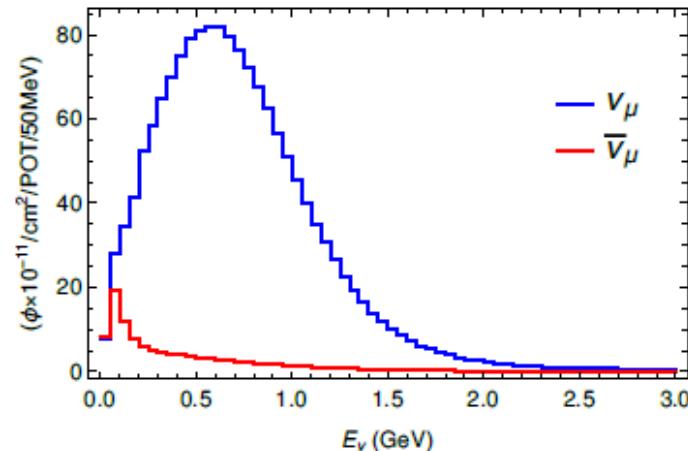
Brailsford, Neutrino 2016 Proceedings

- $d \rightarrow s$  using  $\nu_l n \rightarrow \nu_l \Lambda$   
 $\nu_l p \rightarrow \nu_l \Sigma^+$

- Effective Hamiltonian:

$$\mathcal{H} = \frac{G_F}{\sqrt{2}} \{ \epsilon_V [\bar{s} \gamma_\mu d] + \epsilon_A [\bar{s} \gamma_\mu \gamma_5 d] \} [\bar{\nu} \gamma_\mu (1 - \gamma_5) \nu]$$

- Only left handed  $\nu$ ; real  $\epsilon_{V/A}$
- Constraints from  $K$  decays: Geng, Martin Camalich, Shi, JHEP 02 (2022) 178
  - $K \rightarrow \pi \nu \bar{\nu}$   $\epsilon_V \approx 0$
  - $K \rightarrow \pi \pi \nu \bar{\nu}$   $|\epsilon_A| < 7 \times 10^{-3}$
  - $\frac{(n \rightarrow \Lambda) \text{ events}}{(p \rightarrow \Lambda) \text{ events}} \approx 0.02 \Rightarrow$  up to 180 events (preliminary, without FSI)



# Summary

- Weak strangeness production encompasses several relevant but still poorly understood processes
- Experimental constrains and guidance are needed
- 8000  $\Lambda$  and 4500  $\Sigma$  are expected at SBND with  $\bar{\nu}$
- Possibility to constrain FCNC using QE  $Y$  production with  $\nu$

# Experiments

- Early low-statistics bubble-chamber measurements:
  - Fermilab 15': Ammosov et al., Z. Phys. C (1987)
    - filled with a heavy neon-hydrogen mixture
    - high energy (5-100 GeV)  $\bar{\nu}$
    - observation of neutral **strange particles**
  - SKAT: Brunner et al., Z. Phys. C (1990)
    - filled with freon ( $\text{CF}_3\text{Br}$ )
    - 3-30 GeV beam (Serpukhov)
    - **hyperon** production
  - Gargamelle: Eichten et al., PLB (1972); Erriquez et al., NPA (1978)
    - filled with freon, propane
    - CERN-PS
    - **hyperon** and **strange particle** production

# $\Lambda(1405)$

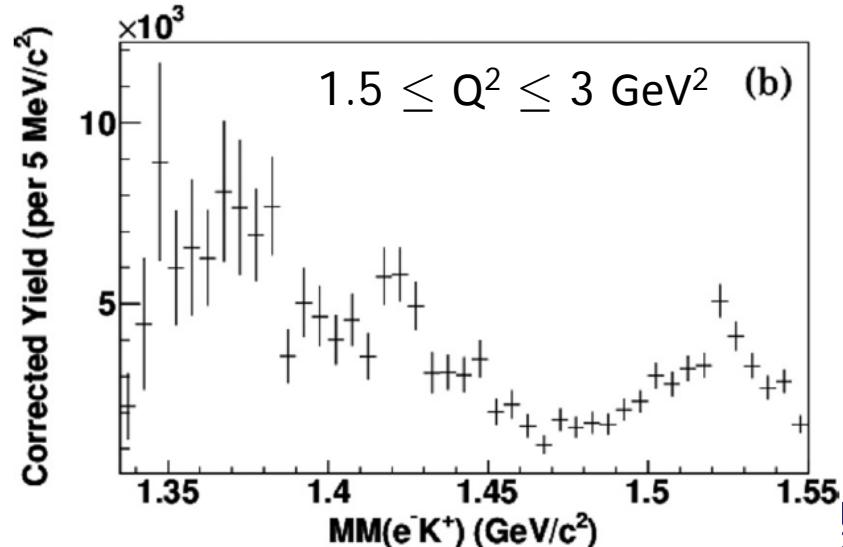
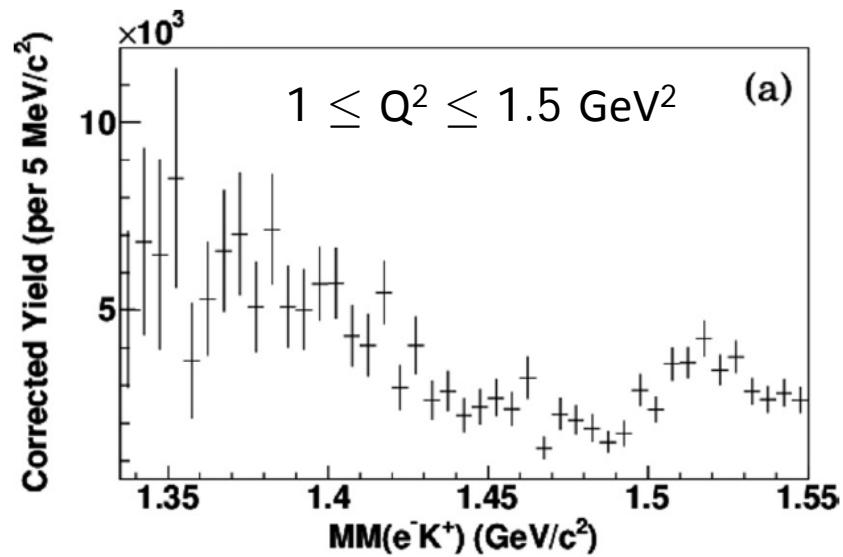
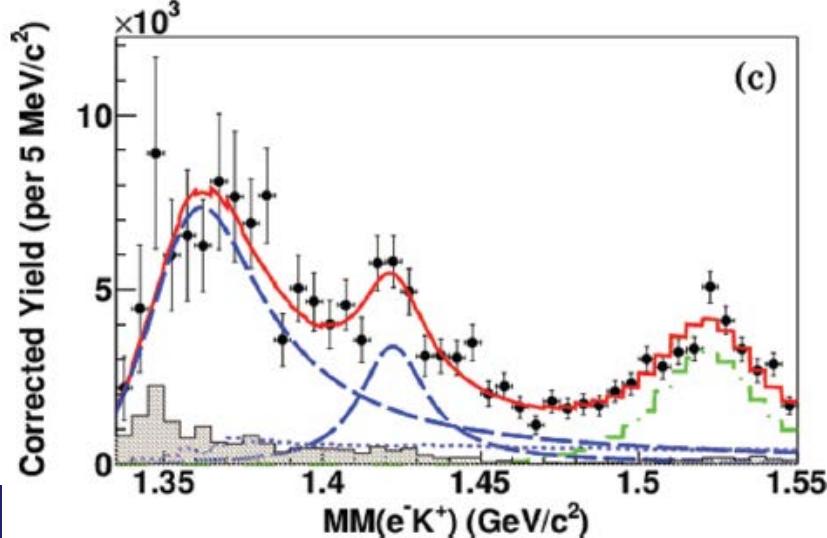
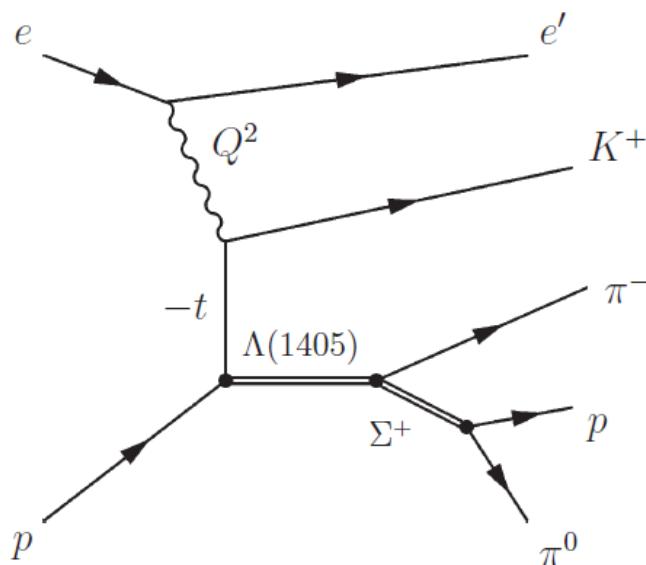
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- SU(3) symmetric chiral Lagrangian
- Physical hadron masses
- Couplings depend on  $V_{us}$  and  $D, F, f_\pi \leftarrow$  fixed by semileptonic decays
- Global dipole form factor

$$F(q^2) = \left(1 - \frac{q^2}{M_F^2}\right)^{-2} \quad M_F = 1 \pm 0.1 \text{ GeV}$$

- s-wave projection
- Unitarization in coupled channels

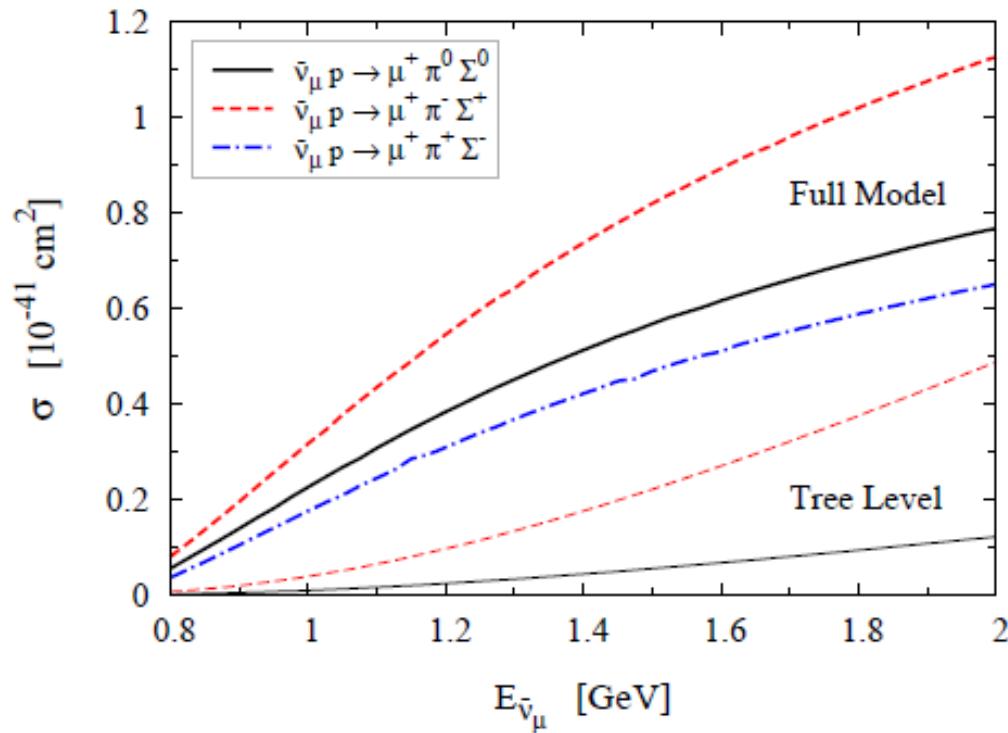
# $\Lambda(1405)$

■  $e p \rightarrow e' K^+ \Lambda(1405)$  Lu et al. (CLAS), PRC88(2013)



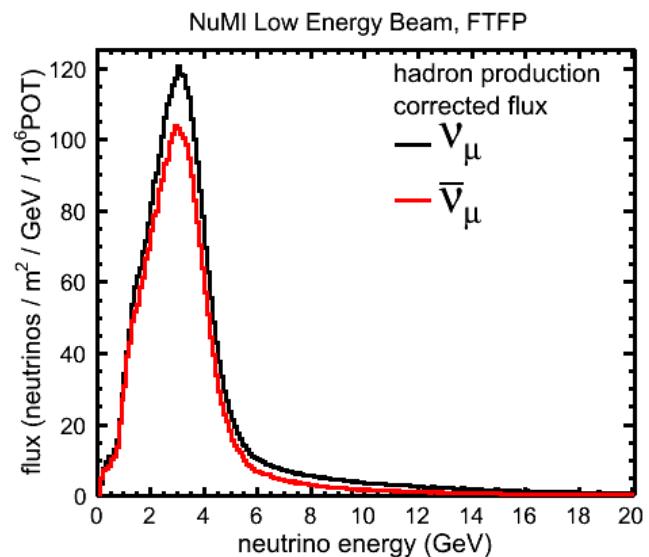
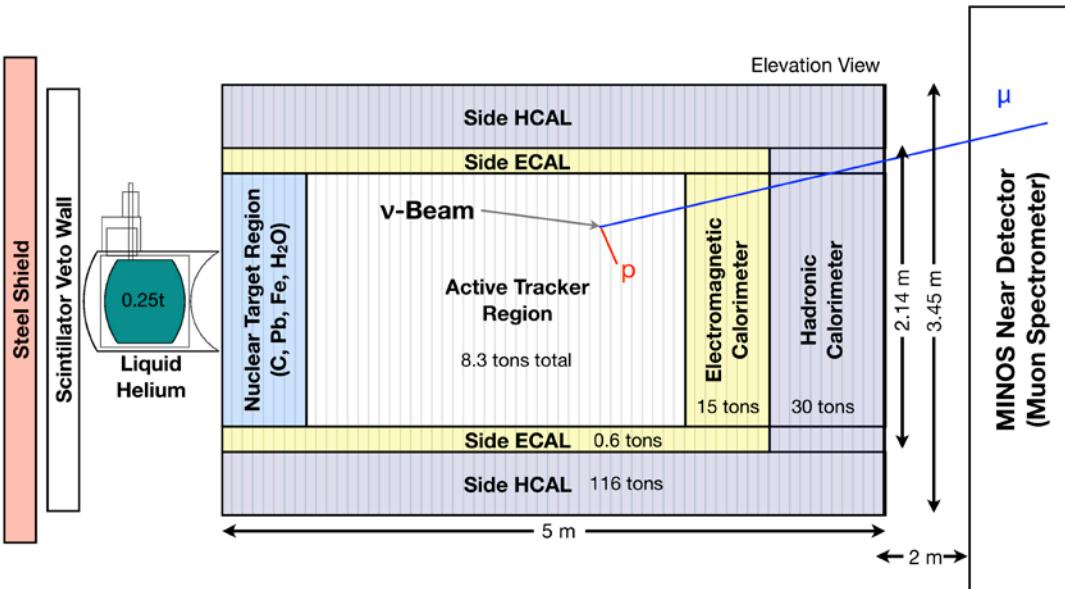
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- $\bar{\nu}_l \, p \rightarrow l^+ \Sigma \pi$  Ren, Oset, LAR, Vicente Vacas, PRC91 (2015)



# $\Lambda(1405)$

- $\bar{\nu}_l p \rightarrow l^+ \Sigma \pi$  @ MINERvA (FNAL)



- $\approx 2000 \pi\Sigma$  pairs @ scintillator