

Neutrino physics Beyond the SM

Enrique Fernández-Martínez



ν mass from right-handed neutrinos

All SM fermions acquire Dirac masses via Yukawa couplings

$$Y_f \bar{f}_R \phi f_L \xrightarrow[\langle \phi \rangle = \frac{Y_f v}{\sqrt{2}}]{\text{SSB}} \frac{Y_f v}{\sqrt{2}} \bar{f}_R f_L \quad m_D = \frac{Y_f v}{\sqrt{2}}$$

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 To be searched for at experiments!!

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$$m_\nu = \begin{pmatrix} 0 & m_D^t \\ m_D & M_N \end{pmatrix} \longrightarrow U^t \begin{pmatrix} 0 & m_D^t \\ m_D & M_N \end{pmatrix} U = \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix}$$

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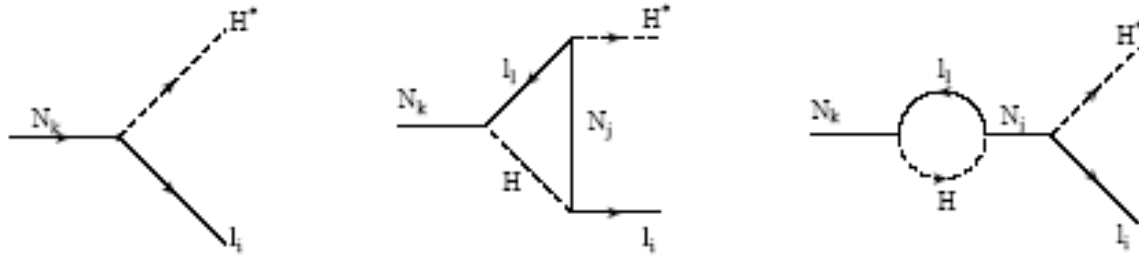


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Leptogenesis

This simplest **SM** extension may connect to other open problems:

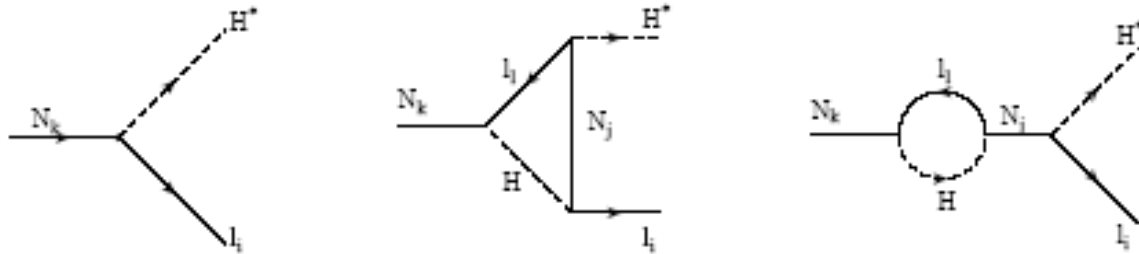


M. Fukugita and T. Yanagida 1986

-L is produced in the heavy N decays

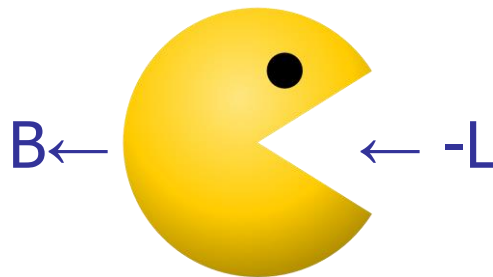
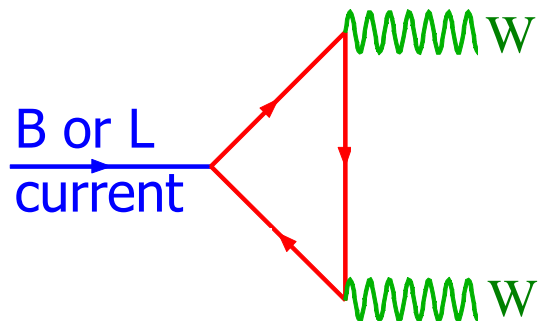
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-L is produced in the heavy **N** decays



and partially converted to **B** by the **SM sphalerons**

A new physics scale

But a very high M_N worsens the Higgs hierarchy problem

Lightness of ν masses could also come naturally from an approximate symmetry (B-L)

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$$m_D \bar{N}_R \nu_L + M_N \bar{N}_R N_L$$

$$\begin{pmatrix} 0 & m_D^t & 0 \\ m_D & 0 & M_N \\ 0 & M_N & 0 \end{pmatrix}$$

So that $m_\nu = 0$ even if $\Theta \approx m_D^\dagger M_N^{-1}$ is large

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$$m_D \bar{N}_R \nu_L + M_N \bar{N}_R N_L + \mu \bar{N}_L^c N_L$$

$$\begin{pmatrix} 0 & m_D^t & 0 \\ m_D & 0 & M_N \\ 0 & M_N & \mu \end{pmatrix}$$

“inverse Seesaw”

R. Mohapatra and J. Valle 1986

Small $m_\nu \approx \mu \frac{m_D^2}{M_N^2}$ even if $\Theta \approx m_D^\dagger M_N^{-1}$ is large and M_N low

Links with other open problems

With lower M_N possible connections with other open problems are easier to probe

ARS leptogenesis and DM possible in the ν MSM

E. K. Akhmedov, V. A. Rubakov and A. Yu. Smirnov hep-ph/9803255

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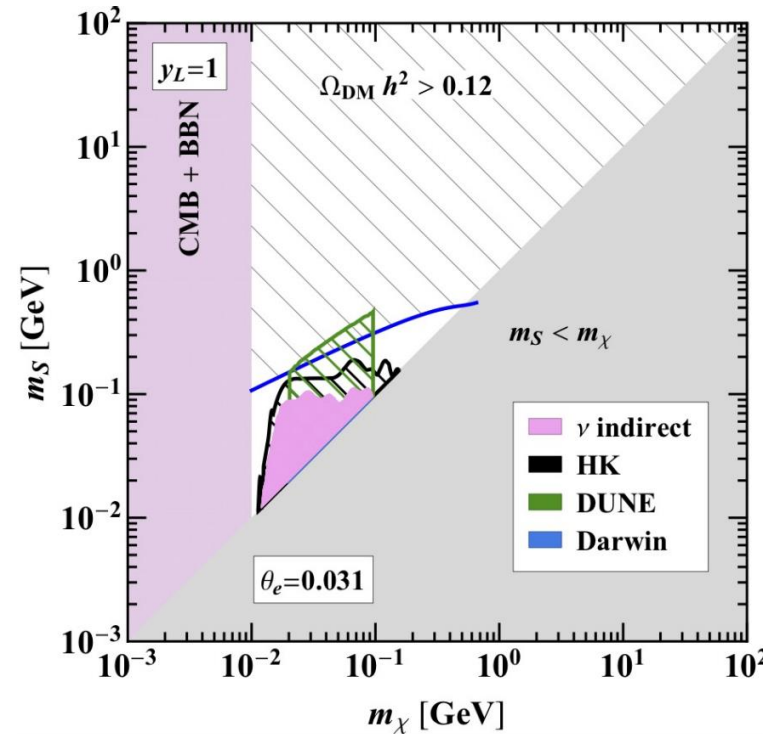
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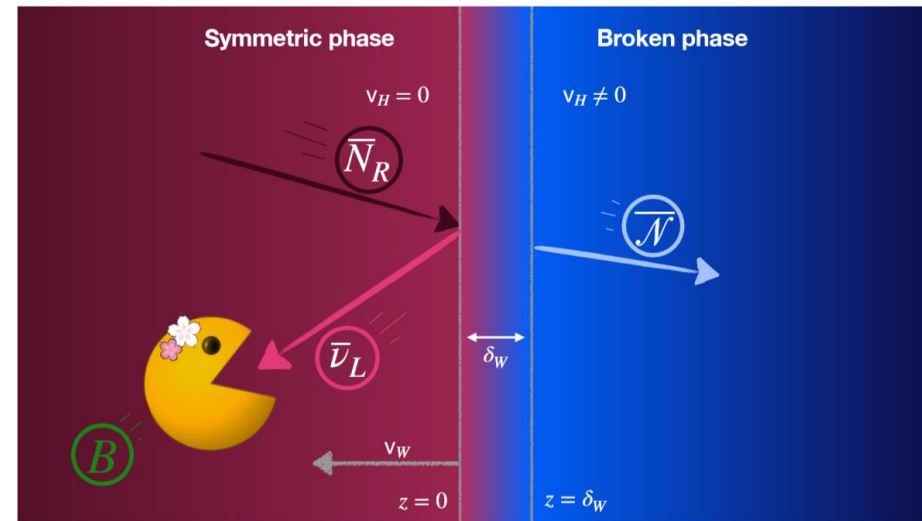
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Or other baryogenesis scenarios

See talk by Salvador Rosauero



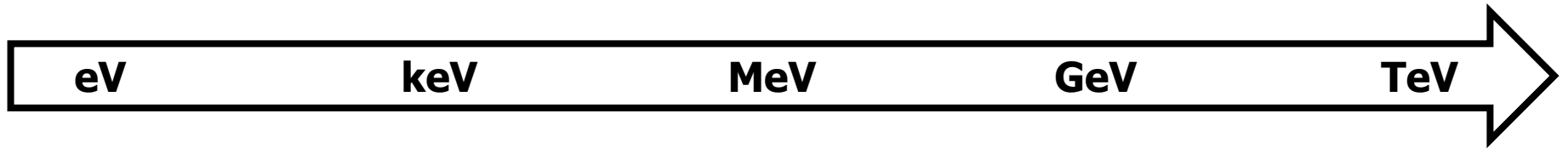
EFM, J. López-Pavón, T. Ota, S. Rosauero-Alcaraz arXiv: 2007.11008

also Stefan Sander, Garv Chauhan, Xunjie Xu, Kai Schmitz...

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But a very high M_N worsens the Higgs hierarchy problem

Lightness of ν masses could also come naturally from an approximate symmetry (B-L)

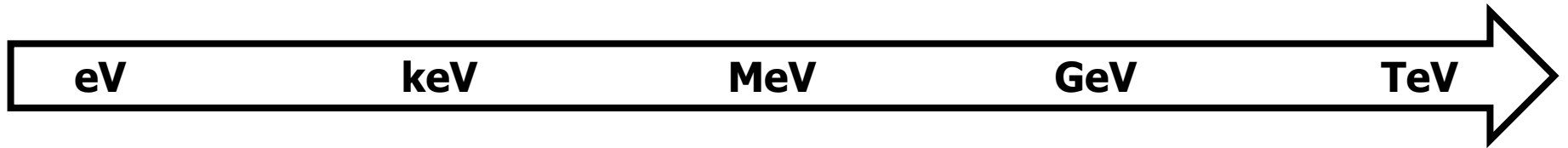


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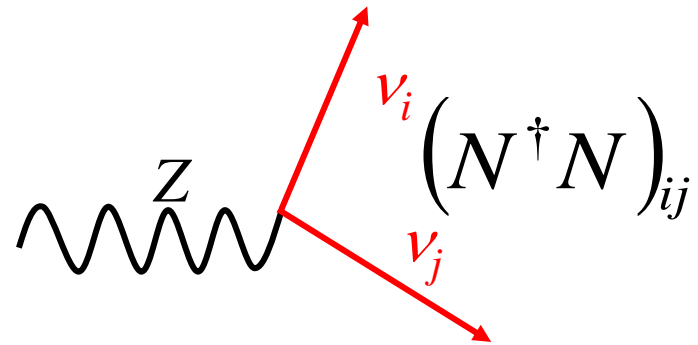
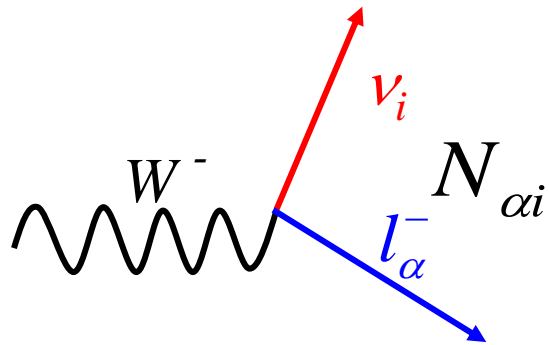
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Very different phenomenology at different scales

Looking for N_R : Non-Unitarity

$$U^t \begin{pmatrix} 0 & m_D^t \\ m_D & M_N \end{pmatrix} U \approx \begin{pmatrix} N^t & -\Theta^* \\ \Theta^t & X^t \end{pmatrix} \begin{pmatrix} 0 & m_D^t \\ m_D & M_N \end{pmatrix} \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix} = \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix}$$

The 3×3 submatrix N of active neutrinos will **not** be unitary

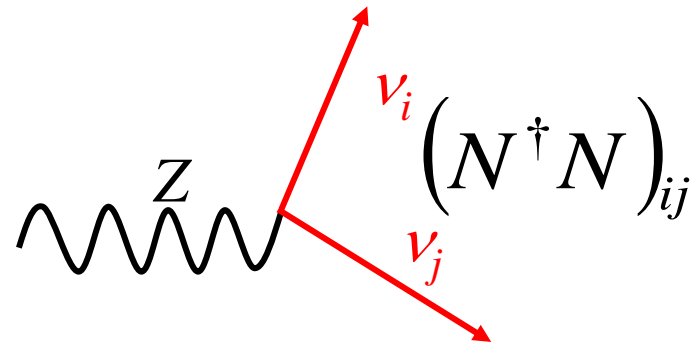
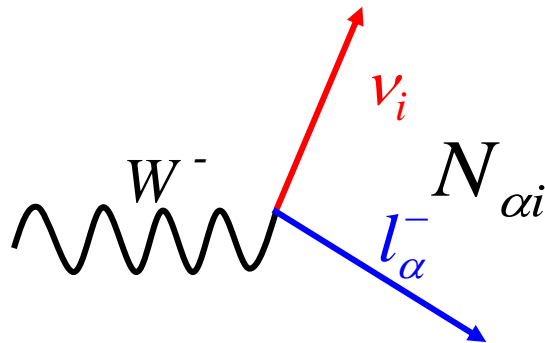


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Effects in **weak interactions**...

When the **W** and **Z** are integrated out to obtain the Fermi theory **NSI** are recovered!

see e.g. M. Blennow, P.Coloma, EFM, J. Hernandez-Garcia and J. Lopez-Pavon arXiv:1609.08637 for the dictionary

Non-unitarity in oscillations

Just replace U by N

$$P_{\alpha\beta}(L) = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-\Delta m_{ij}^2 L}{2E}}$$

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The “zero distance effect” will also be present in the data used to estimate the flux and cross section

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The real observable is the **number of events**

The measured **probability** $\hat{P}_{\mu e}(L)$ is the ratio of the events over the prediction from the **flux** and **cross section** in **absence of oscillations**

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For instance, if the prediction for $P_{\mu e}$ comes from **near detector data** on $P_{\mu\mu}$:

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Notice that, in general, this is **different to normalizing** as

$$|\nu_\alpha\rangle = \frac{N_{\alpha i} |\nu_i\rangle}{\sqrt{(NN^\dagger)_{\alpha\alpha}}}$$

M. Blennow, P.Coloma, EFM, J. Hernandez-Garcia and J. Lopez-Pavon arXiv:1609.08637

Non-unitarity in oscillations

Also, **no zero distance effect** in disappearance channels!!

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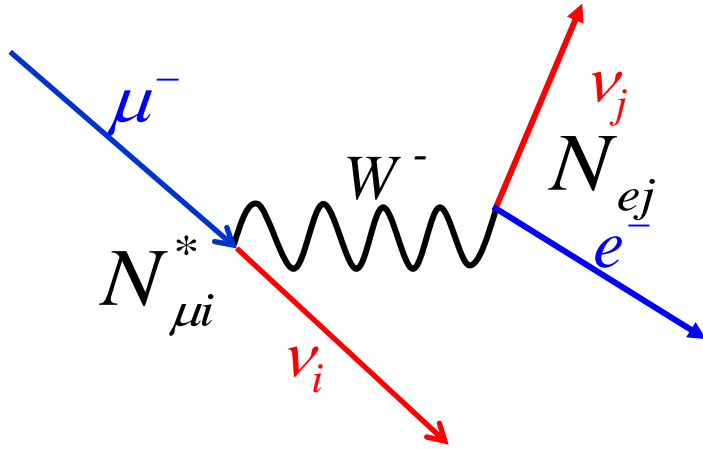
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But these are more efficiently constrained from **LFU bounds**, from instance π decay ratios, no need to also detect the ν ...

Non-unitarity beyond oscillations

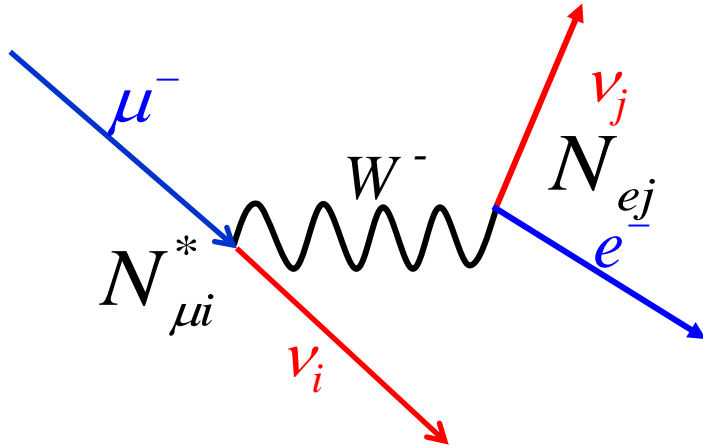
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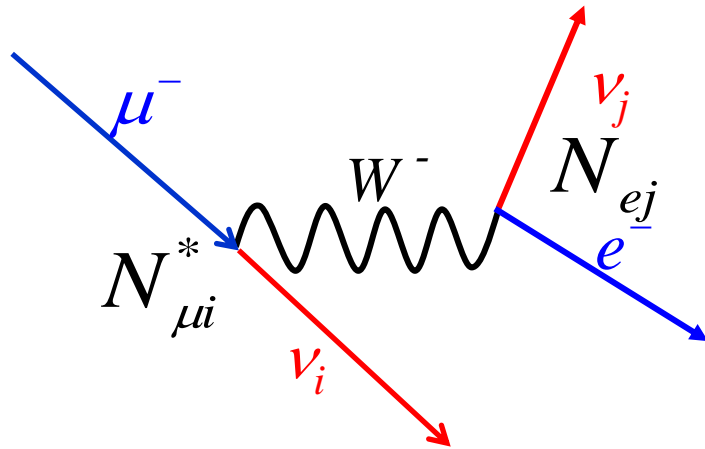


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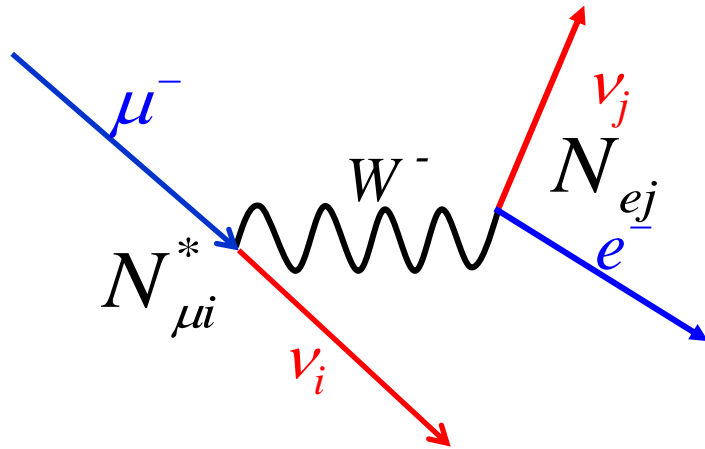
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From ratios of π , K , and lepton decays

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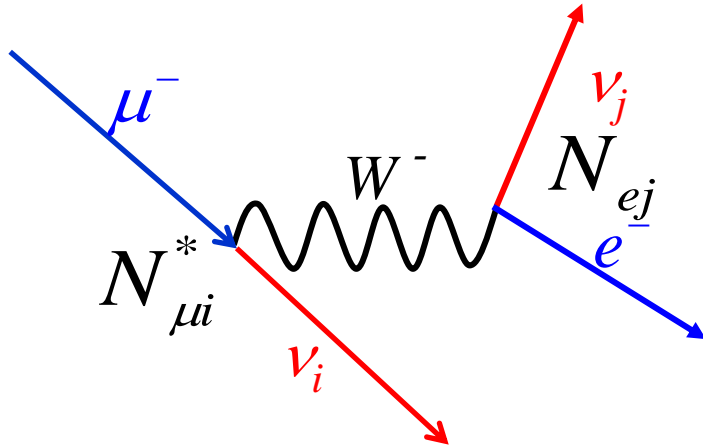
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And LFV processes such as $\mu \rightarrow e \gamma$ since the GIM cancellation is lost

Looking for N_R : Non-Unitarity

Bounds from a **global fit** to **flavour** and **Electroweak** precision data

	“flavor+electroweak” $m > \text{EW}$ (2σ limit)
α_{ee}	$1.4 \cdot 10^{-3}$
$\alpha_{\mu\mu}$	$1.4 \cdot 10^{-4}$
$\alpha_{\tau\tau}$	$8.8 \cdot 10^{-4}$
$ \alpha_{\mu e} $	$7.8 \cdot 10^{-4}$ ($2.4 \cdot 10^{-5}$)
$ \alpha_{\tau e} $	$1.8 \cdot 10^{-3}$
$ \alpha_{\tau\mu} $	$4.8 \cdot 10^{-4}$

with

$$N = \begin{pmatrix} 1 - \alpha_{ee} & 0 & 0 \\ -\alpha_{\mu e} & 1 - \alpha_{\mu\mu} & 0 \\ -\alpha_{\tau e} & -\alpha_{\tau\mu} & 1 - \alpha_{\tau\tau} \end{pmatrix} U$$

Z.-z. Xing 0709.2220 and 1110.0083.
F. J. Escrihuela, D. V. Forero, O. G. Miranda, M. Tortola, and J. W. F. Valle 1503.08879.

M. Blennow, EFM, J. Hernandez-Garcia, X. Marcano and D. Naredo-Tuero and J. Lopez-Pavon in preparation

See also P. Langaker and D. London 1988; S. M. Bilenky and C. Giunti hep-ph/9211269 ; E. Nardi, E. Roulet and D. Tommasini hep-ph/9503228; D. Tommasini, G. Barenboim, J. Bernabeu and C. Jarlskog hep-ph/9503228; S. Antusch, C. Biggio, EFM, B. Gavela and J. López Pavón hep-ph/0607020; S. Antusch, J. Baumann and EFM 0807.1003; D. V. Forero, S. Morisi, M. Tortola, and J. W. F. Valle 1107.6009; S. Antusch and O. Fischer 1407.6607; F.J. Escrihuela, D.V. Forero, O.G. Miranda, M. Tórtola, J.W.F. Valle 1612.07377, EFM, J. Hernandez-Garcia and J. Lopez-Pavon 1605.08774...

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	“flavor+electroweak” $m > \text{EW}$ (2σ limit)	Oscillations (from zero distance effects in disappearance, 90%)
α_{ee}	$1.4 \cdot 10^{-3}$	$8.4 \cdot 10^{-3}$ [55]
$\alpha_{\mu\mu}$	$1.4 \cdot 10^{-4}$	$5.0 \cdot 10^{-3}$ [15]
$\alpha_{\tau\tau}$	$8.8 \cdot 10^{-4}$	$6.5 \cdot 10^{-2}$ [56]
$ \alpha_{\mu e} $	$7.8 \cdot 10^{-4}$ ($2.4 \cdot 10^{-5}$)	$9.2 \cdot 10^{-3}$
$ \alpha_{\tau e} $	$1.8 \cdot 10^{-3}$	$1.4 \cdot 10^{-2}$
$ \alpha_{\tau\mu} $	$4.8 \cdot 10^{-4}$	$1.1 \cdot 10^{-2}$

From C. Argüelles et al Snowmass Whitepaper arXiv:2203.10811 and M. Blennow, EFM, J. Hernandez-Garcia, X. Marcano and D. Naredo-Tuero and J. Lopez-Pavon in preparation

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$$N = \begin{pmatrix} 1 - \alpha_{ee} & 0 & 0 \\ -\alpha_{\mu e} & 1 - \alpha_{\mu\mu} & 0 \\ -\alpha_{\tau e} & -\alpha_{\tau\mu} & 1 - \alpha_{\tau\tau} \end{pmatrix} U$$

Looking for N_R : Non-Unitarity

It has become common to call them:

“Indirect” or “charged leptons”

“Direct” or “neutrinos”

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α_{ee}	$1.4 \cdot 10^{-3}$	$8.4 \cdot 10^{-3}$ [55]
$\alpha_{\mu\mu}$	$1.4 \cdot 10^{-4}$	$5.0 \cdot 10^{-3}$ [15]
$\alpha_{\tau\tau}$	$8.8 \cdot 10^{-4}$	$6.5 \cdot 10^{-2}$ [56]
$ \alpha_{\mu e} $	$7.8 \cdot 10^{-4}$ ($2.4 \cdot 10^{-5}$)	$9.2 \cdot 10^{-3}$
$ \alpha_{\tau e} $	$1.8 \cdot 10^{-3}$	$1.4 \cdot 10^{-2}$
$ \alpha_{\tau\mu} $	$4.8 \cdot 10^{-4}$	$1.1 \cdot 10^{-2}$

From C. Argüelles et al Snowmass Whitepaper arXiv:2203.10811 and M. Blennow, EFM, J. Hernandez-Garcia, X. Marciano and D. Naredo-Tuero and J. Lopez-Pavon in preparation

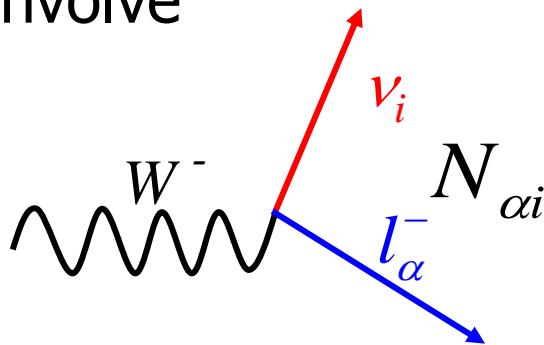
Looking for N_R : Non-Unitarity

It has become common to call them:

“Indirect” or “charged leptons”

“Direct” or “neutrinos”

But they all involve



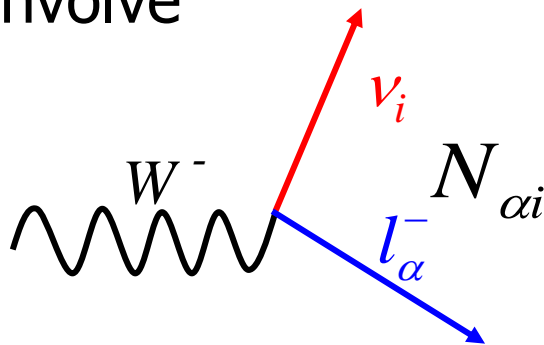
Looking for N_R : Non-Unitarity

It has become common to call them:

“Indirect” or “charged leptons”

“Direct” or “neutrinos”

But they all involve



it's where the sensitivity comes from...

So they are all equally “direct” and they all have a neutrino and a charged lepton...

Looking for N_R : Non-Unitarity

Which one is more robust/model-independent?

“Indirect” or “charged leptons”

“Direct” or “neutrinos”

Looking for N_R : Non-Unitarity

Which one is more robust/model-independent?

“Indirect” or “charged leptons”

“Direct” or “neutrinos”



Introducing an **NSI** operator with **u** and **d** quarks the **zero distance effect** could be **cancelled**

Looking for N_R : Non-Unitarity

Which one is more robust/model-independent?

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Introducing an **NSI** operator with **u** and **d** quarks the **zero distance effect** could be **cancelled**
They also come from **zero-distance effect...**

Looking for N_R : Non-Unitarity

Which one is more robust/model-independent?

“Indirect” or “charged leptons”



G_F from μ decay compared to from M_W , measurements of $\sin\theta_w$ at different energies (Moller, colliders) and β and K decays. Very different physics!

“Direct” or “neutrinos”

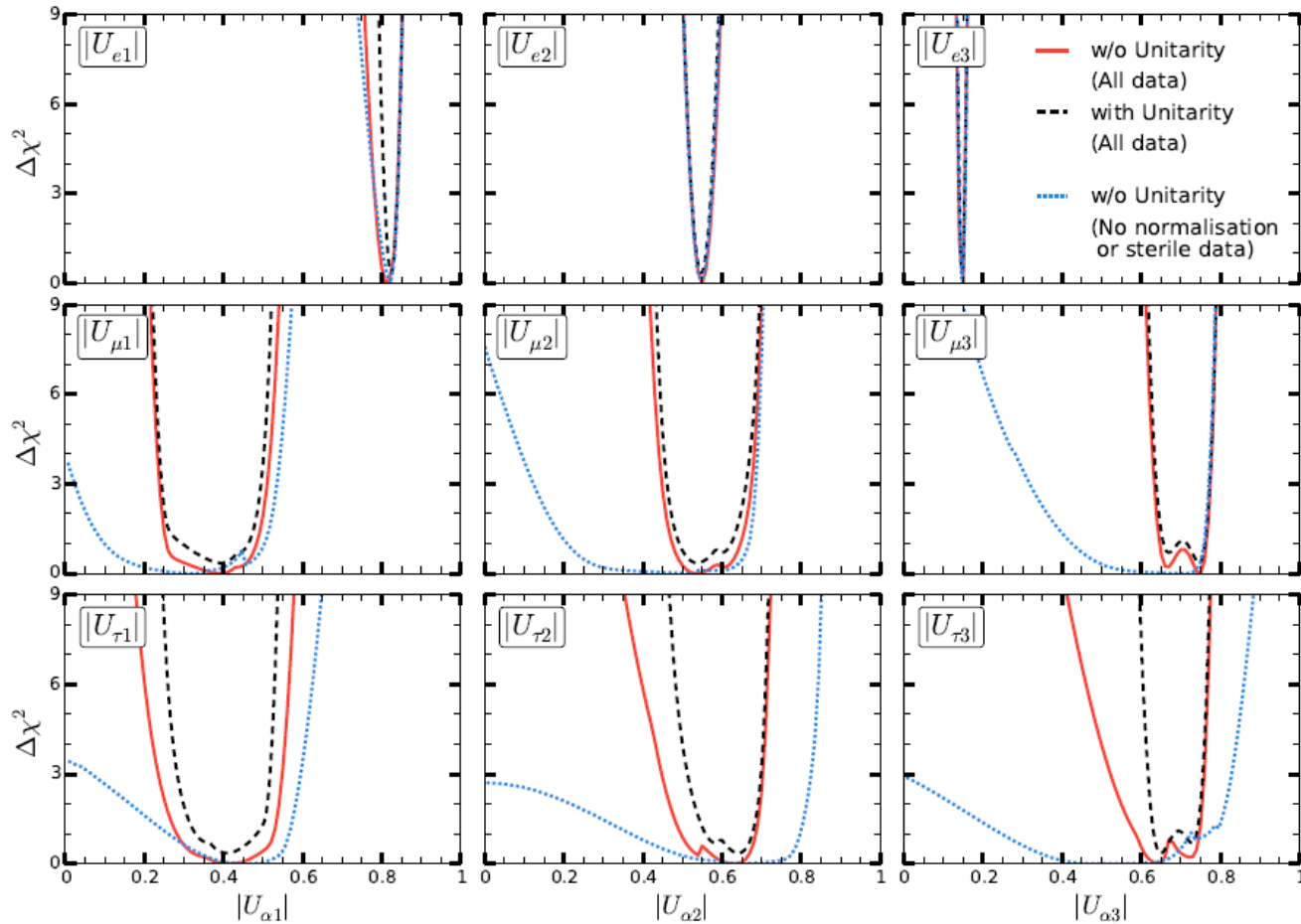


Introducing an NSI operator with u and d quarks the zero distance effect could be cancelled
They also come from zero-distance effect...

But in the literature the “neutrino” bounds are assumed to be more robust...

The only? Way out: lighter Steriles

For very light ($< \text{keV}$) extra neutrinos these strong constraints are lost and ν oscillations are our best probe of this scale.



A new physics scale

Short and long
baseline
 ν oscillations

eV

keV

MeV

GeV

TeV

Precision
electroweak
and flavour
violation



Steriles vs NU

$$U = \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix}$$

“Heavy ν ” Non-Unitarity

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

Steriles vs NU

$$U = \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix}$$

“Heavy ν ” Non-Unitarity

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

“Light ν ” Steriles

$$\begin{aligned} P_{\alpha\beta} &= \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}} \\ &+ \sum_{I,J} \Theta_{\beta I} \Theta_{\alpha I}^* \Theta_{\alpha J} \Theta_{\beta J}^* e^{\frac{-i\Delta m_{IJ}^2 L}{2E}} \\ &+ \sum_{i,J} N_{\beta i} N_{\alpha i}^* \Theta_{\alpha J} \Theta_{\beta J}^* e^{\frac{-i\Delta m_{iJ}^2 L}{2E}} \end{aligned}$$

Steriles vs NU

$$U = \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix}$$

“Heavy ν ” Non-Unitarity

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

“Light ν ” Steriles

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

If $\frac{\Delta m_{ij}^2 L}{2E} \gg 1$ oscillations too fast to resolve and only see average effect

$$+ \sum_{I,J} \Theta_{\beta I} \Theta_{\alpha I}^* \Theta_{\alpha J} \Theta_{\beta J}^* e^{\frac{-i\Delta m_{IJ}^2 L}{2E}}$$

~~$$+ \sum_{i,J} N_{\beta i} N_{\alpha i}^* \Theta_{\alpha J} \Theta_{\beta J}^* e^{\frac{-i\Delta m_{iJ}^2 L}{2E}}$$~~

Steriles vs NU

$$U = \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix}$$

“Heavy ν ” Non-Unitarity

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

“Light ν ” Steriles

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

$$+ \sum_{I,J} \Theta_{\beta I} \Theta_{\alpha I}^* \Theta_{\alpha J} \Theta_{\beta J}^* e^{\frac{-i\Delta m_{IJ}^2 L}{2E}}$$

At leading order “heavy” non-unitarity and **averaged-out** “light” steriles have the same impact in oscillations

Steriles vs NU

$$U = \begin{pmatrix} N & \Theta \\ -\Theta^\dagger & X \end{pmatrix}$$

“Heavy ν ” Non-Unitarity

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

“Light ν ” Steriles

$$P_{\alpha\beta} = \sum_{i,j} N_{\beta i} N_{\alpha i}^* N_{\alpha j} N_{\beta j}^* e^{\frac{-i\Delta m_{ij}^2 L}{2E}}$$

If $\frac{\Delta m_{ij}^2 L}{2E} \ll 1$ at the **near detector** or in the data to estimate the **flux** and **cross section**, the **zero distance effect** is recovered and bounds apply

A new physics scale

Short and long
baseline
 ν oscillations

eV

keV

MeV

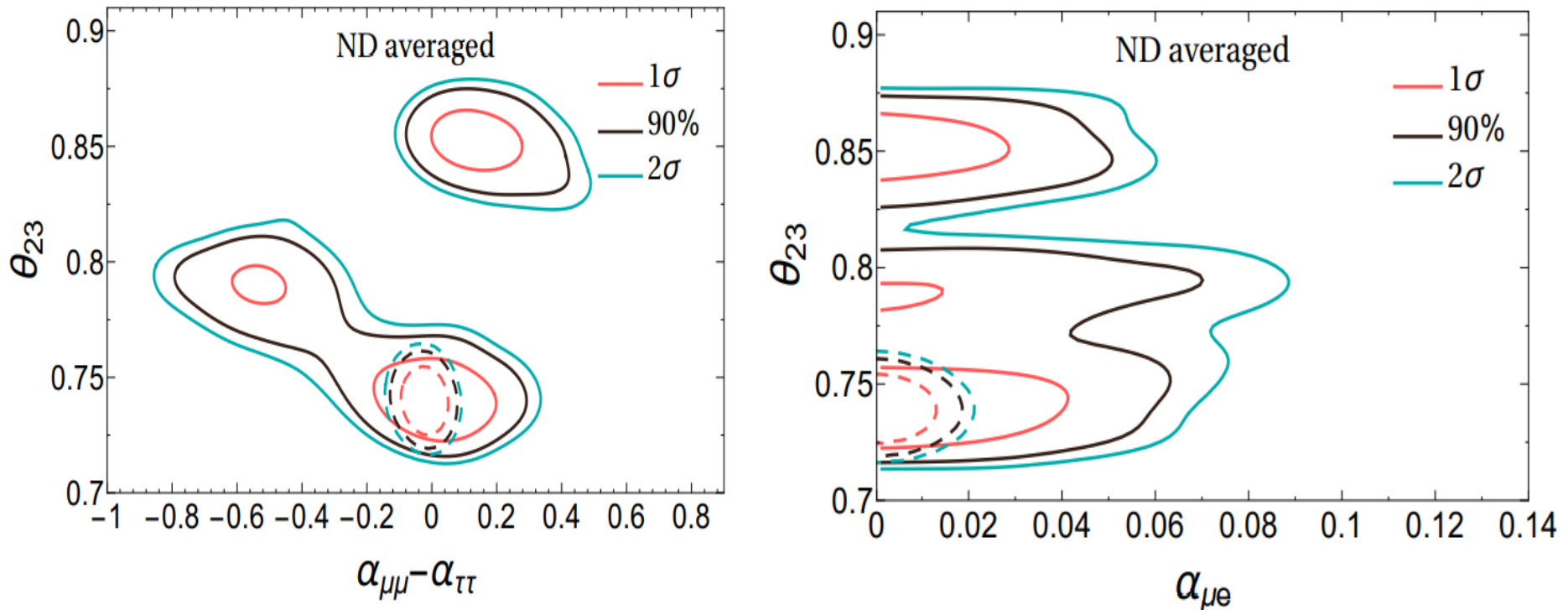
GeV

TeV

Precision
electroweak
and flavour
violation



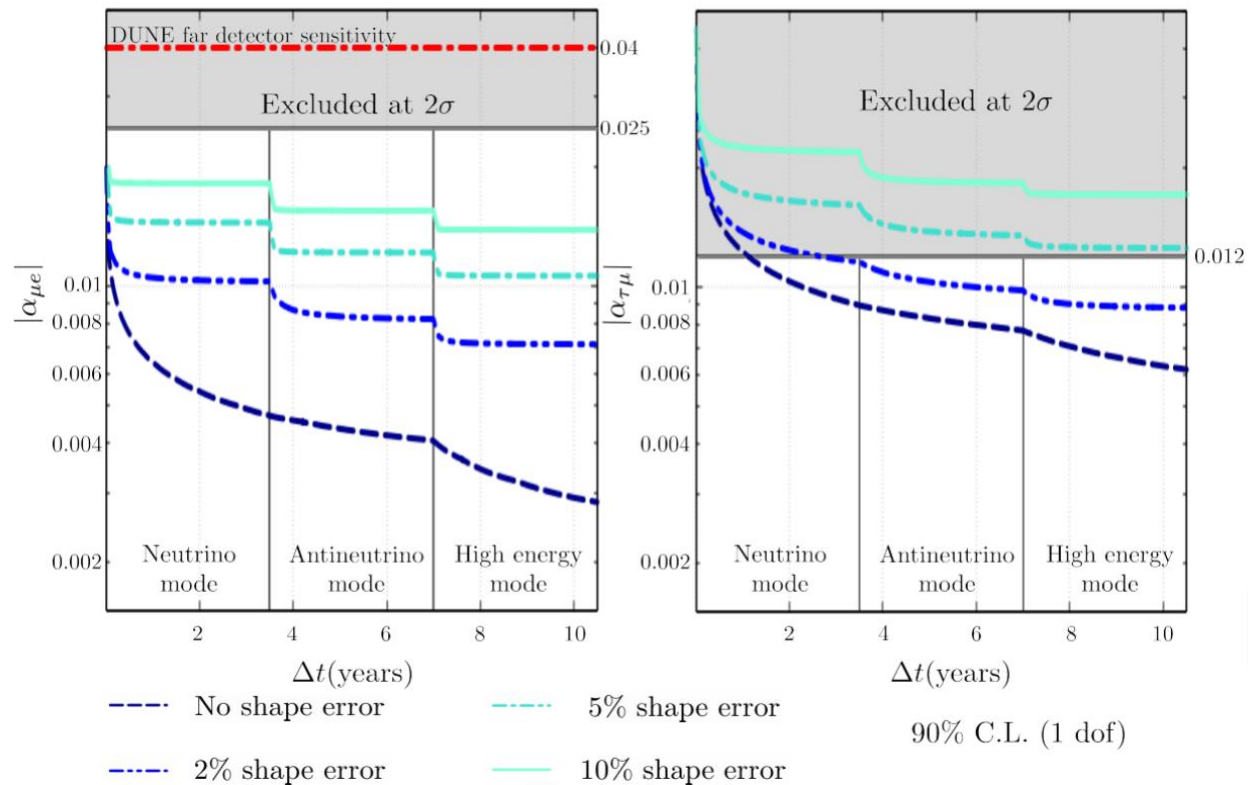
Non-unitarity at DUNE



The **far detector** would suffer from degeneracies but they are lifted with present bounds

M. Blennow, P. Coloma, EFM, J. Hernandez-Garcia and J. Lopez-Pavon arXiv:1609.08637

Non-unitarity at DUNE



The possible improvements by the **near detector** depend critically on the level of **systematic uncertainties**, particularly affecting the **shape of the spectra**

A new physics scale

Short and long
baseline
 ν oscillations

eV

keV

MeV

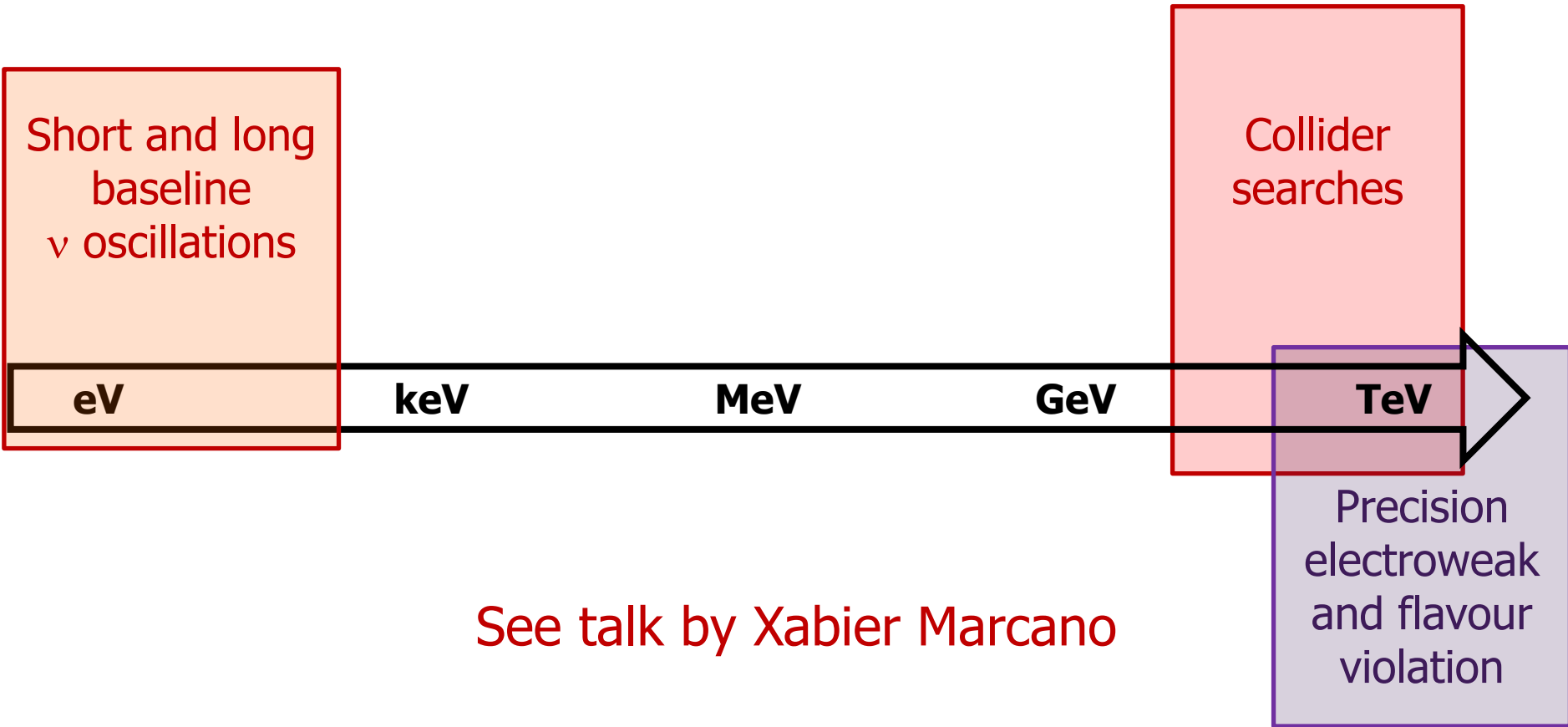
GeV

TeV

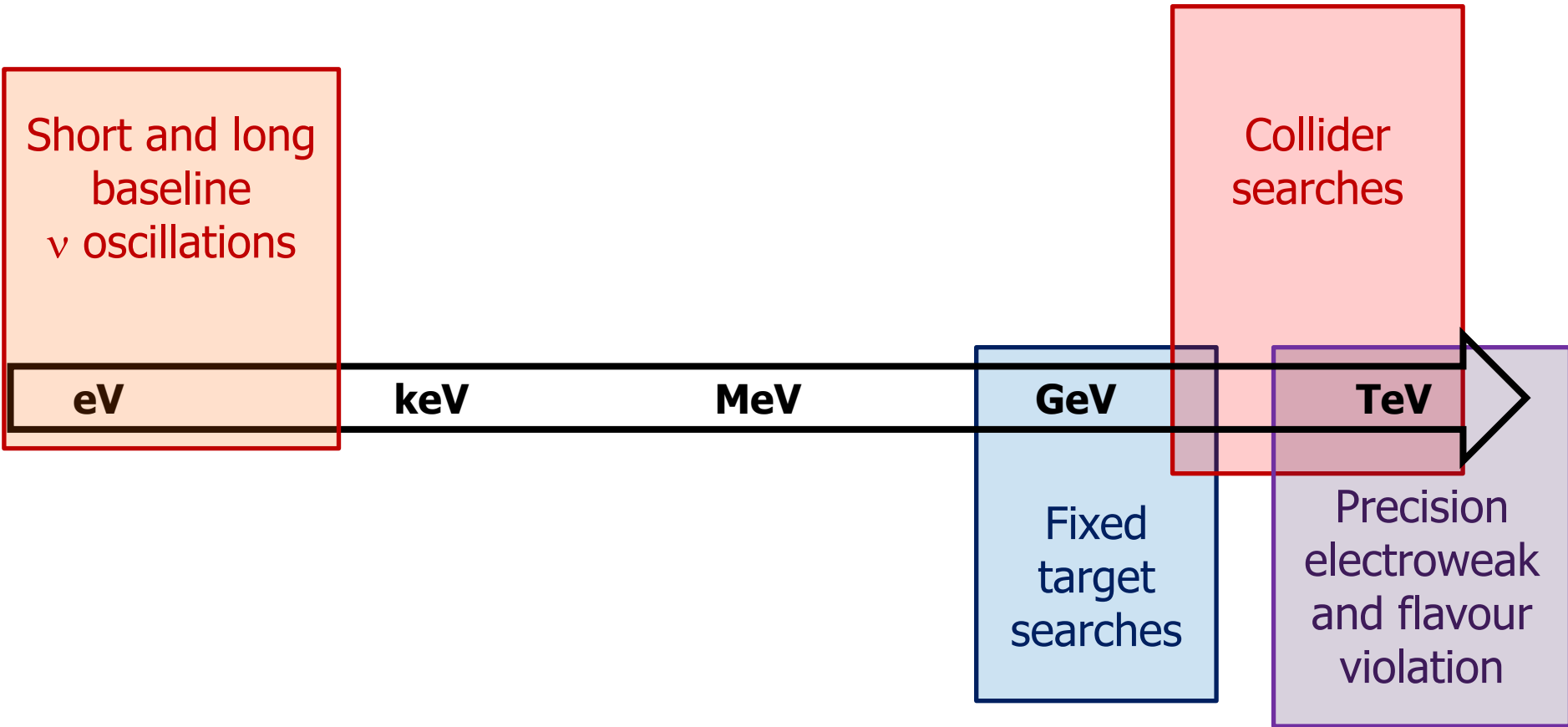
Precision
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A new physics scale

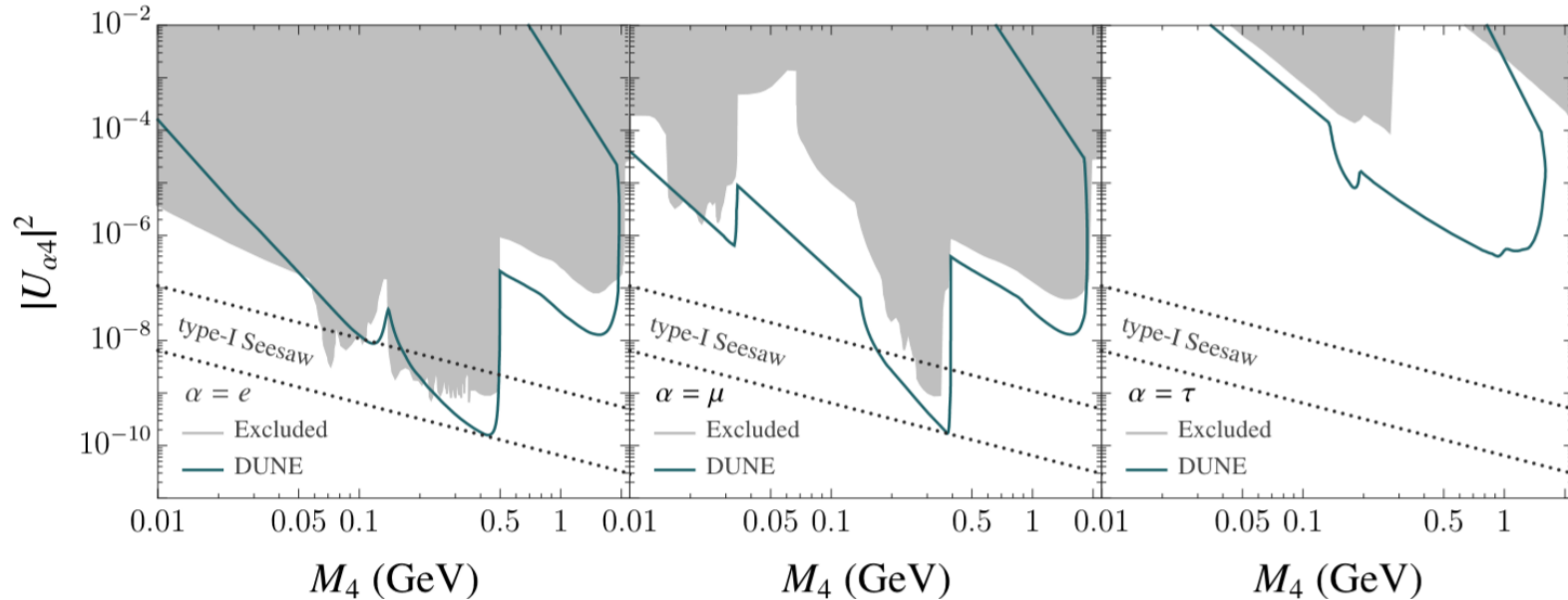


A new physics scale



Looking for N_R : Beam Dumps

Sensitivity of DUNE ND to N_R



P. Coloma, EFM, M. González-López, J. Hernández-García arXiv:2007.03701

A FeynRules file with interactions between mesons and N_R (HNLs) is provided

See also: P. Ballett, T. Boschi, and S. Pascoli arXiv:1905.00284

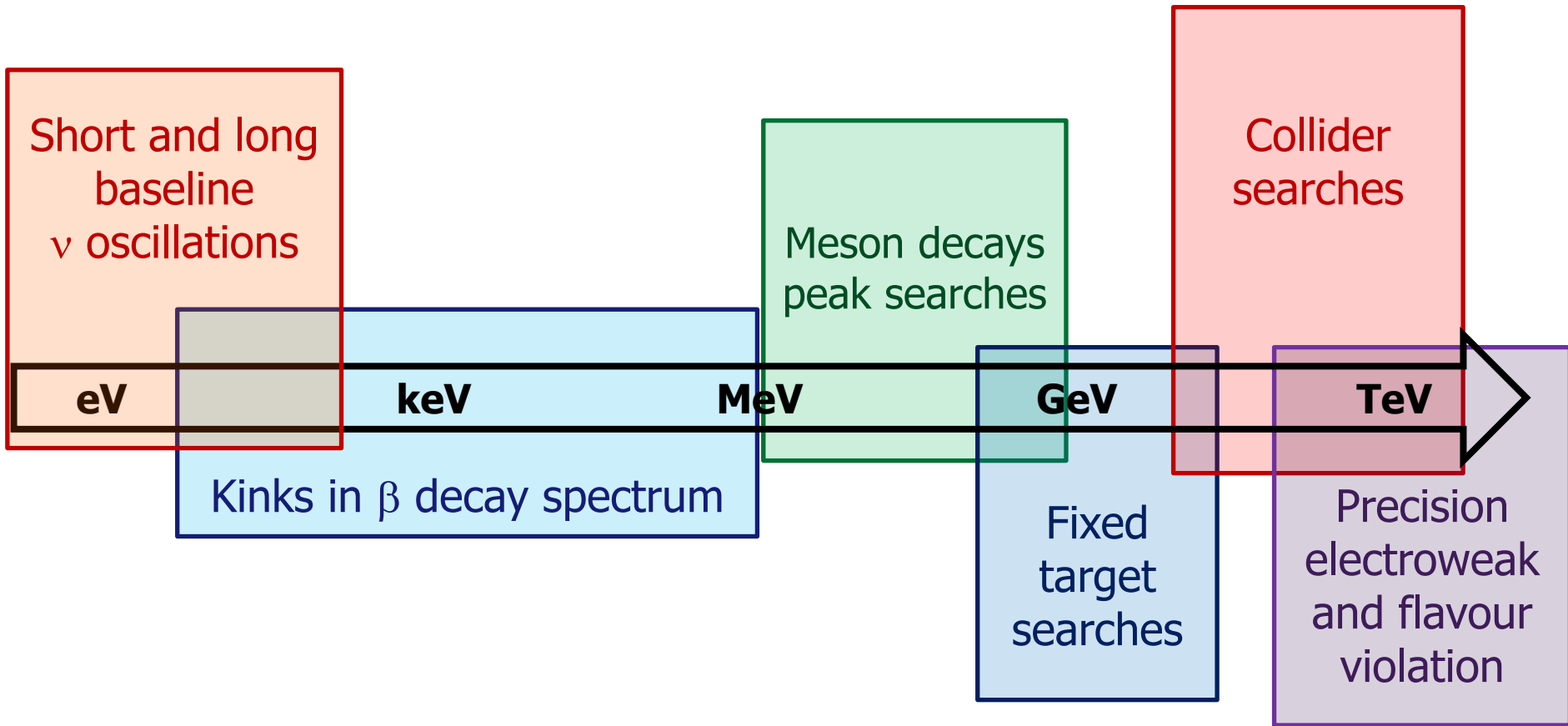
J. M. Berryman, A. de Gouvea, P. J. Fox, B. J. Kayser, K. J. Kelly, and J. L. Raaf arXiv:1912.07622

I. Krasnov arXiv:1902.06099

M. Breitbach, L. Buonocore, C. Frugiuele, J Kopp, L. Mittnacht arXiv:2102.03383

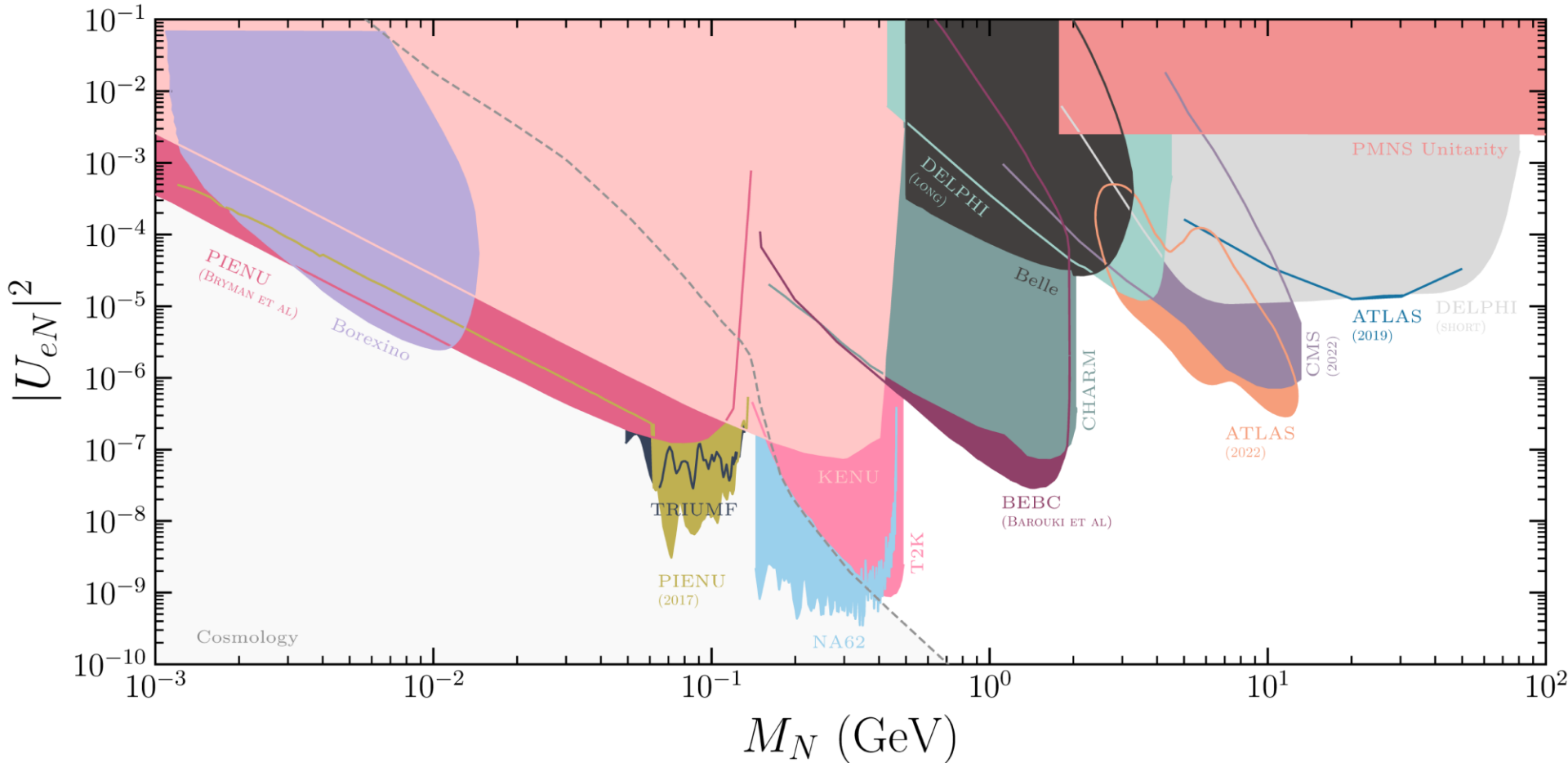
A. M. Abdullahi, P. Barham Alzas et al. arXiv:2203.08039

A new physics scale



Looking for N_R

All together:



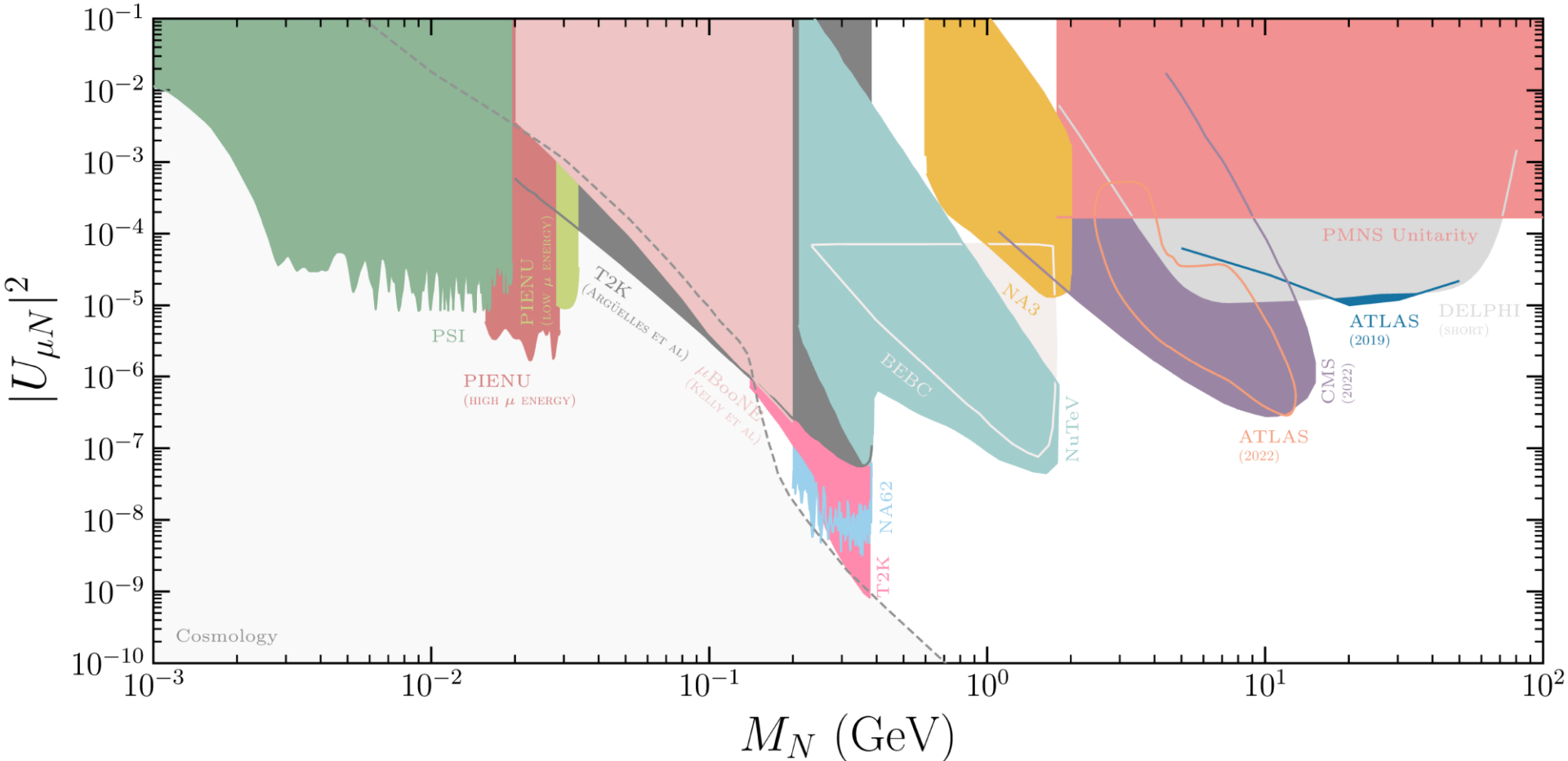
EFM, M. González-López, J. Hernández-García, M. Hostert, J. López-Pavón arXiv:2303.XXXXX

<https://github.com/mhostert/Heavy-Neutrino-Limits>

See also: P. D. Bolton, F. F. Deppisch and P. S. B. Dev arXiv:1912.03058

Looking for N_R

All together:



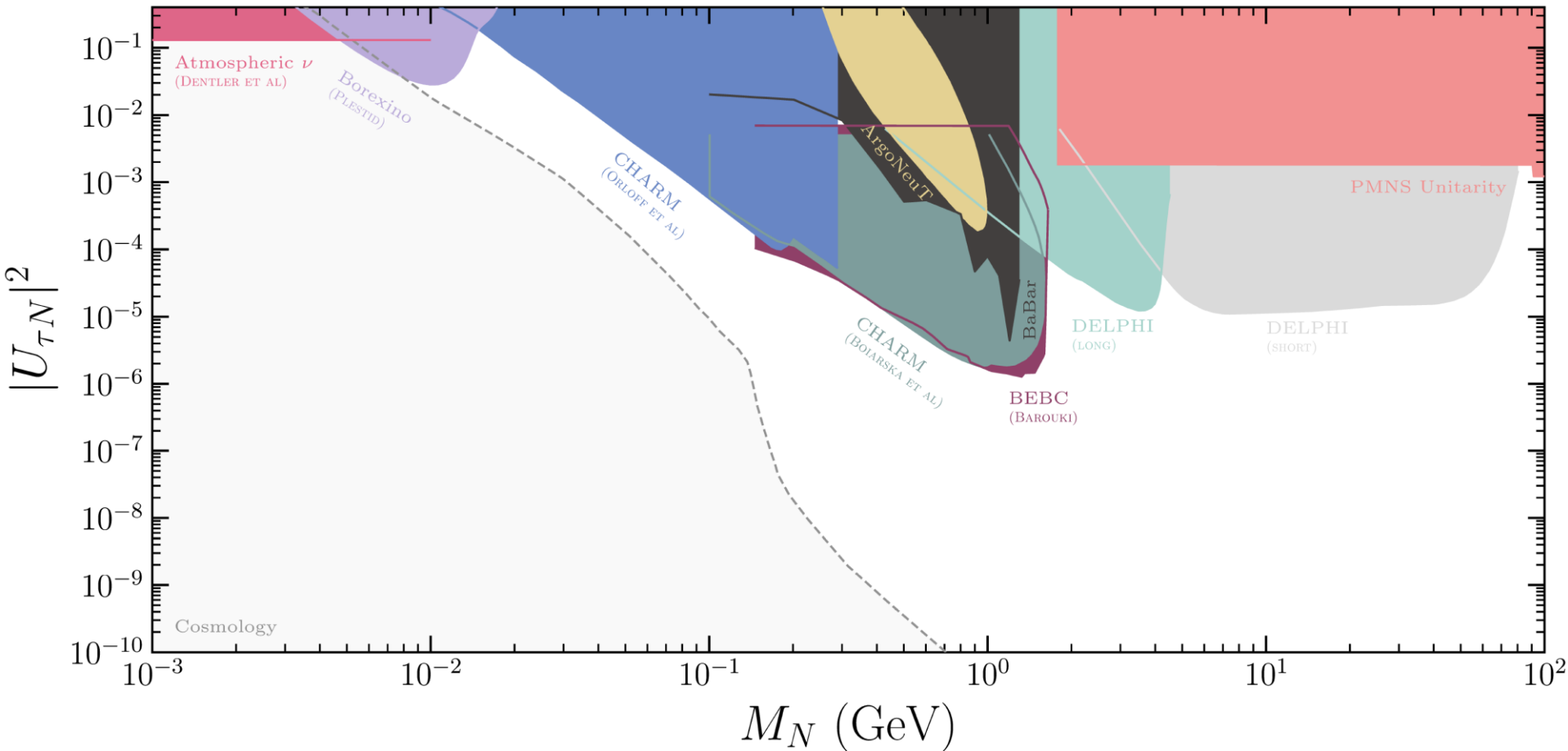
EFM, M. González-López, J. Hernández-García, M. Hostert, J. López-Pavón arXiv:2303.XXXXX

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Looking for N_R

All together:

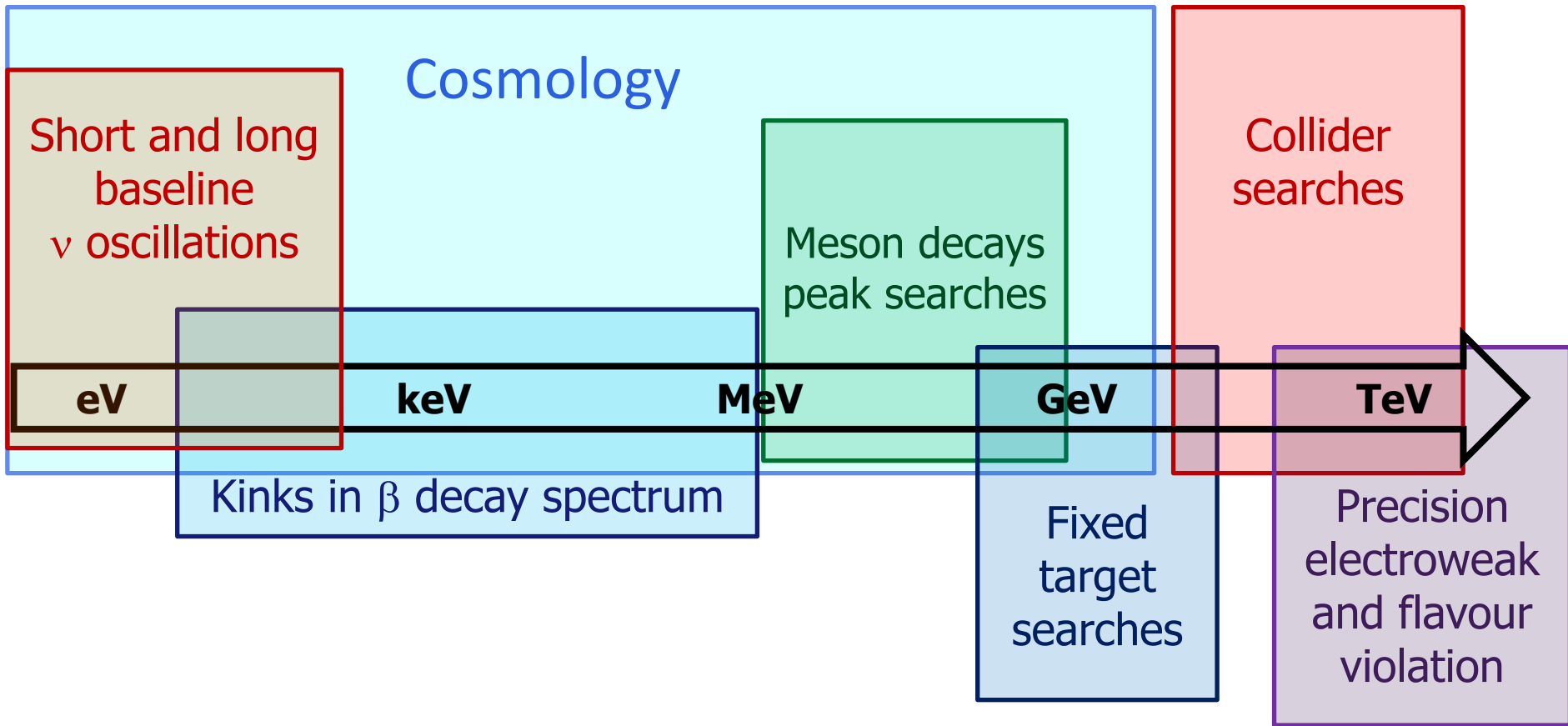


EFM, M. González-López, J. Hernández-García, M. Hostert, J. López-Pavón arXiv:2303.XXXXX

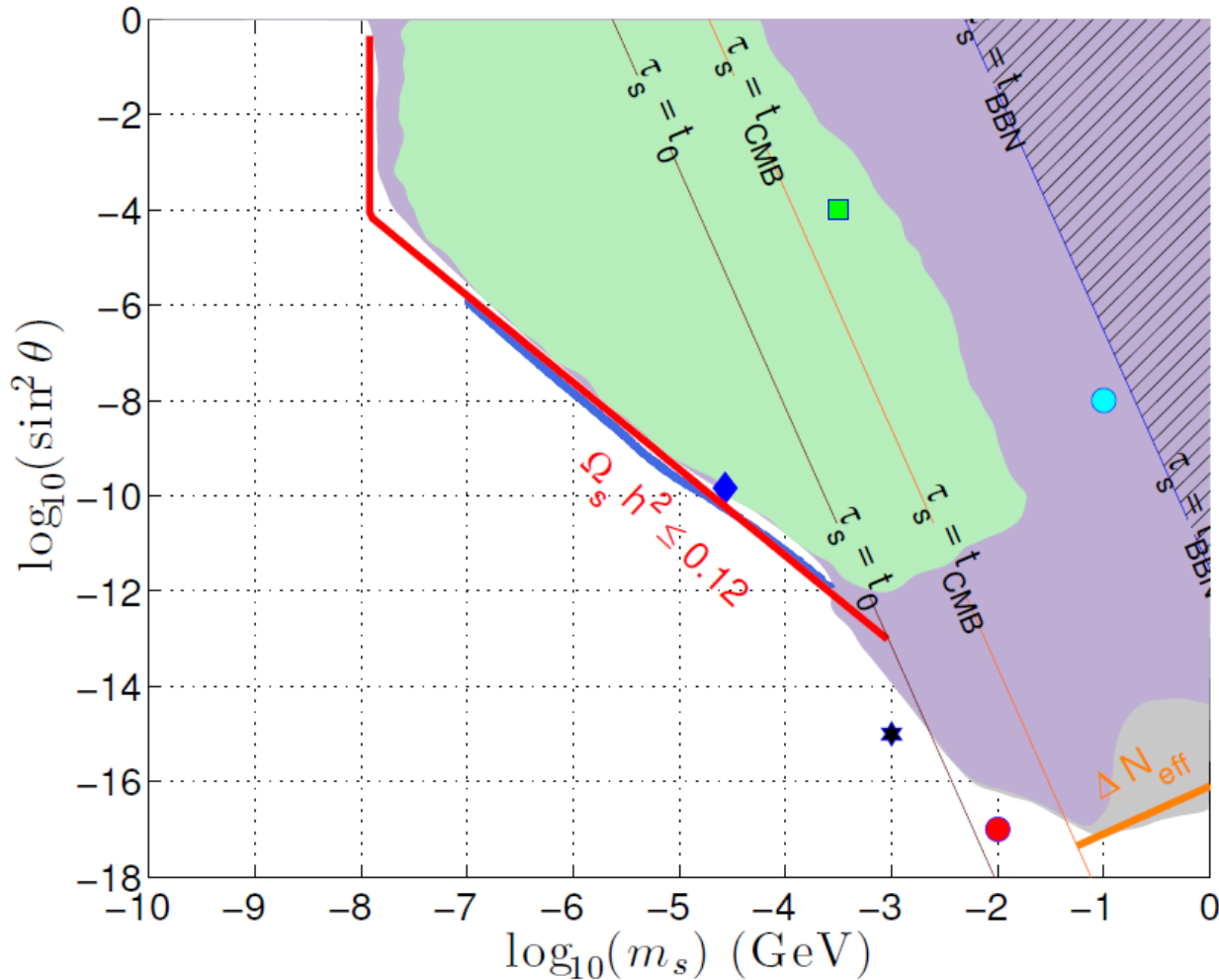
<https://github.com/mhostert/Heavy-Neutrino-Limits>

See also: P. D. Bolton, F. F. Deppisch and P. S. B. Dev arXiv:1912.03058

A new physics scale

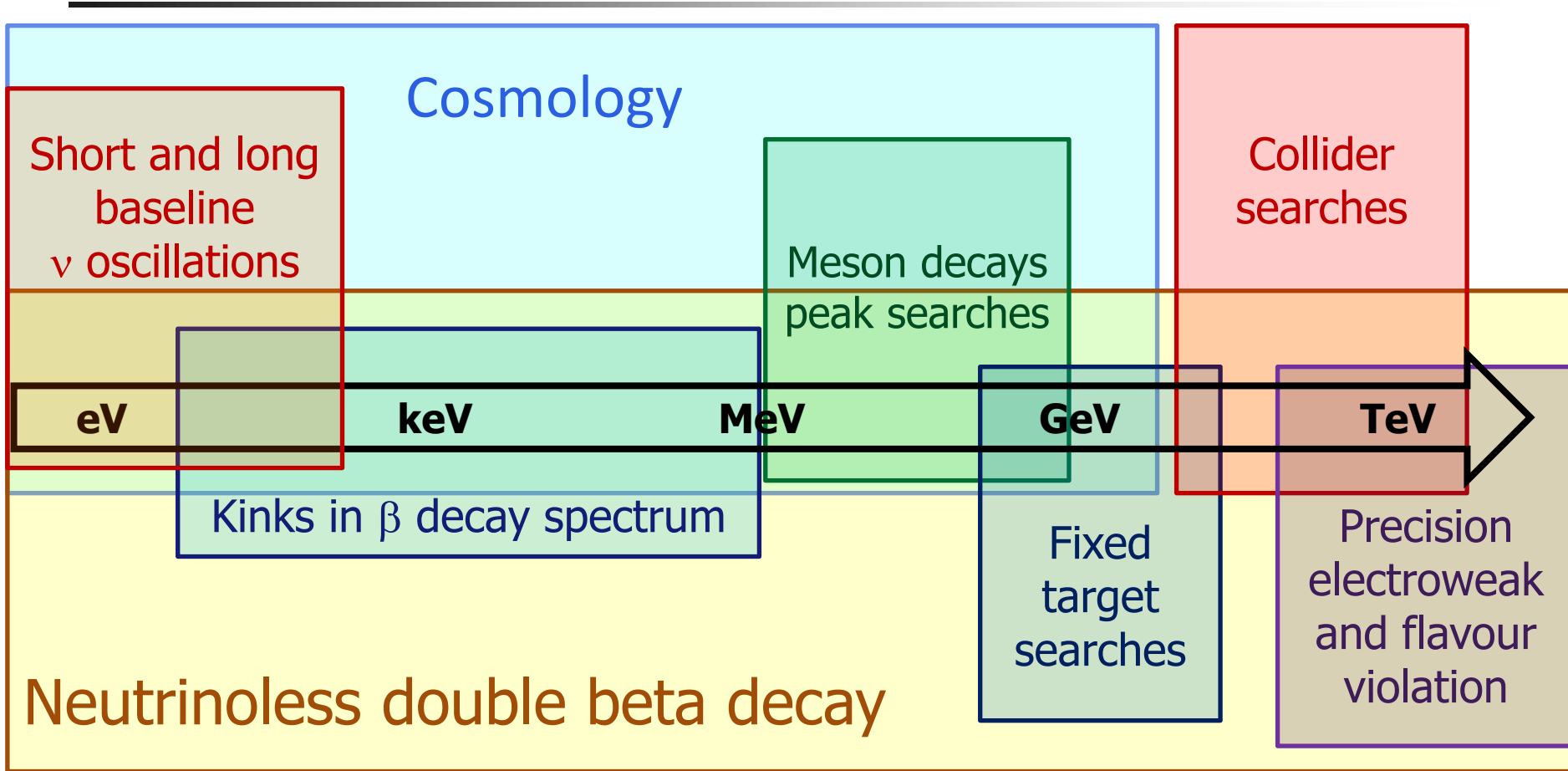


Cosmology



A. C Vincent, EFM, P. Hernandez, M. Lattanzi and O. Mena arXiv:1408.1956
See also K. Langhoff, N. J. Outmezguine, and N. L. Rodd arXiv:2209.06216

A new physics scale

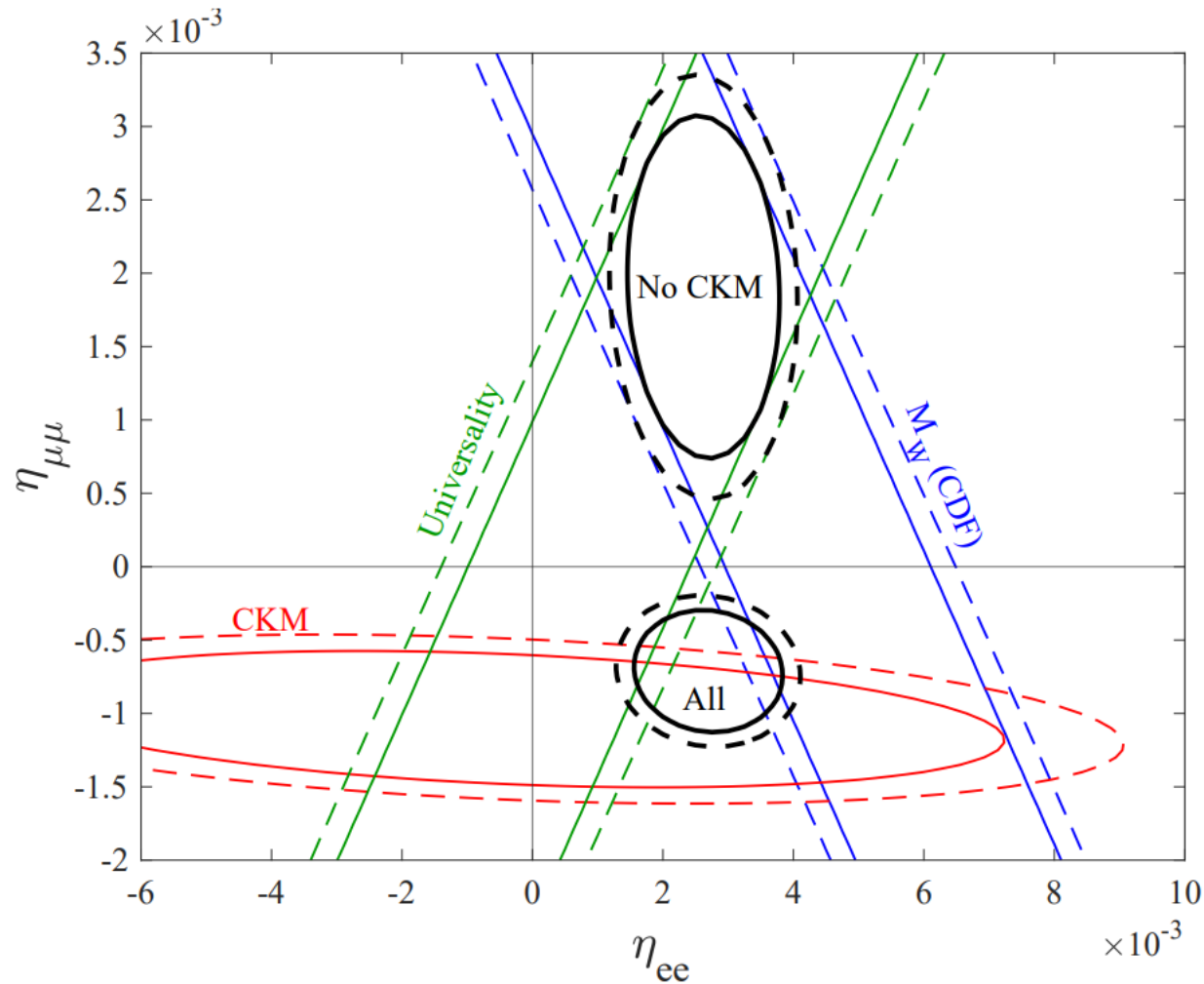


See talk by Patrick Bolton

Conclusions

- Neutrino masses and mixings imply new **BSM** physics
- The simplest extension, **right-handed neutrinos**, already imply a lot of new **phenomenology** to search for:
 - **Non-unitarity**, searches at colliders, fixed targets, cosmology, $0\nu\beta\beta$,...
- Also offers conexions to other open problems of the SM
 - **Baryogenesis**, **Dark Matter**, **Flavour puzzle**...

Non-unitarity and M_W from CDF



Looking for N_R : Non-Unitarity

Or $N = (1 - \alpha) \cdot U_{PMNS}$ with $(1 - \alpha) = U_{36} U_{26} U_{16} U_{35} U_{25} U_{15} U_{34} U_{24} U_{14}$

$$\alpha \simeq \begin{pmatrix} \frac{1}{2}(s_{14}^2 + s_{15}^2 + s_{16}^2) & 0 & 0 \\ \hat{s}_{14}\hat{s}_{24}^* + \hat{s}_{15}\hat{s}_{25}^* + \hat{s}_{16}\hat{s}_{26}^* & \frac{1}{2}(s_{24}^2 + s_{25}^2 + s_{26}^2) & 0 \\ \hat{s}_{14}\hat{s}_{34}^* + \hat{s}_{15}\hat{s}_{35}^* + \hat{s}_{16}\hat{s}_{36}^* & \hat{s}_{24}\hat{s}_{34}^* + \hat{s}_{25}\hat{s}_{35}^* + \hat{s}_{26}\hat{s}_{36}^* & \frac{1}{2}(s_{34}^2 + s_{35}^2 + s_{36}^2) \end{pmatrix}$$

Triangular structure more convenient for oscillations

Z.-z. Xing 0709.2220 and 1110.0083.

F. J. Escrihuela, D. V. Forero, O. G. Miranda, M. Tortola, and J. W. F. Valle 1503.08879.

$$\begin{pmatrix} \alpha_{ee} & 0 & 0 \\ \alpha_{\mu e} & \alpha_{\mu\mu} & 0 \\ \alpha_{\tau e} & \alpha_{\tau\mu} & \alpha_{\tau\tau} \end{pmatrix} \stackrel{\text{Dictionary}}{=} \begin{pmatrix} \eta_{ee} & 0 & 0 \\ 2\eta_{e\mu}^* & \eta_{\mu\mu} & 0 \\ 2\eta_{e\tau}^* & 2\eta_{\mu\tau}^* & \eta_{\tau\tau} \end{pmatrix}$$

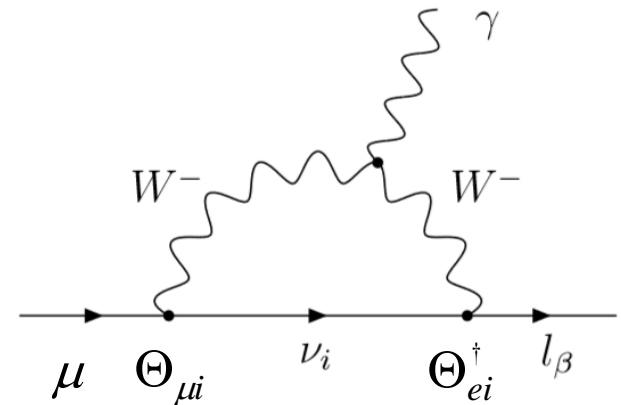
$$\begin{aligned} \epsilon_{\beta\alpha}^{s*} &= \epsilon_{\alpha\beta}^d = -\alpha_{\alpha\beta} & \epsilon_{ee} &= -\alpha_{ee} & \epsilon_{\mu\mu} &= \alpha_{\mu\mu} & \epsilon_{\tau\tau} &= \alpha_{\tau\tau} \\ \epsilon_{e\mu} &= \frac{1}{2}\alpha_{\mu e}^* & \epsilon_{e\tau} &= \frac{1}{2}\alpha_{\tau e}^* & \epsilon_{\mu\tau} &= \frac{1}{2}\alpha_{\tau\mu}^* \end{aligned}$$

M. Blennow, P. Coloma, EFM, J. Hernandez-Garcia and J. Lopez-Pavon 1609.08637

Probing the Seesaw: Non-Unitarity

All constraints are for the limit of very heavy extra neutrinos
OK for all processes except maybe the loop LFV

Cancellations of these diagrams explored in:
D.V. Forero, S. Morisi,
M. Tortola, J.W.F. Valle 1107.6009

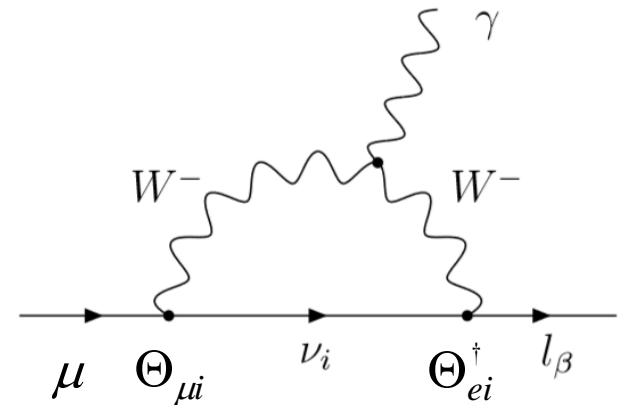


$$\Gamma \propto \sum_i \Theta_{\mu i} \Theta_{ei}^\dagger f\left(\frac{M_i^2}{M_W^2}\right)$$

Probing the Seesaw: Non-Unitarity

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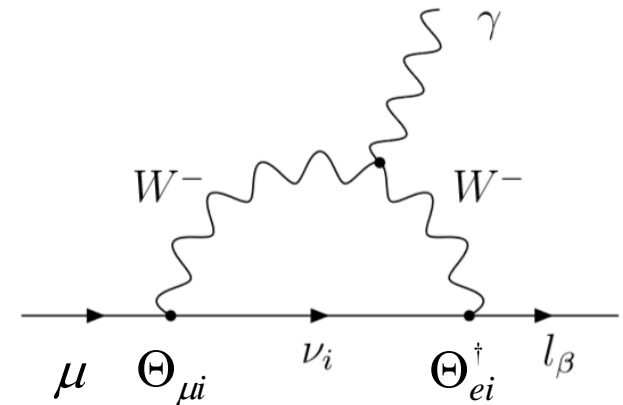
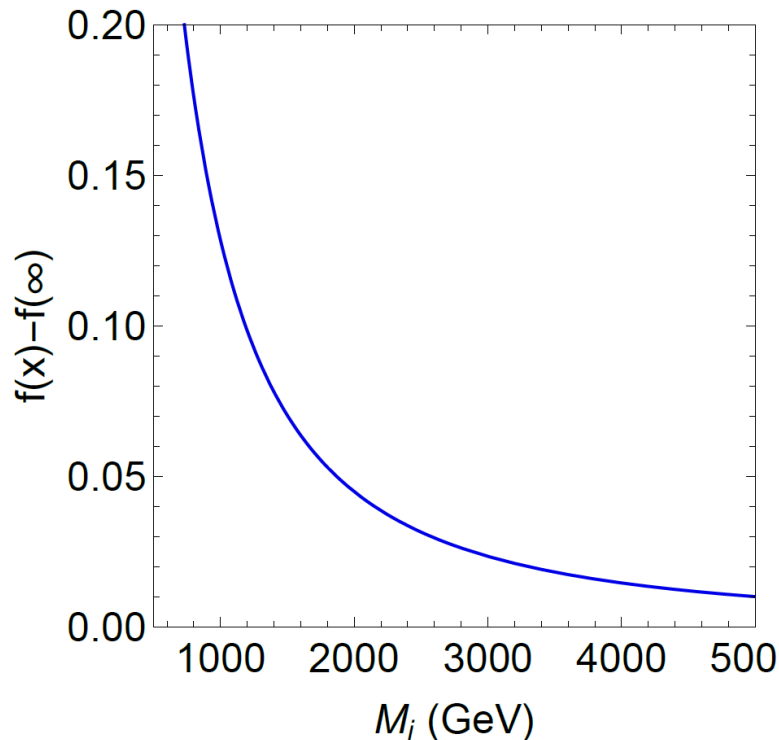
Cancellations of these diagrams explored in:
 D.V. Forero, S. Morisi,
 M. Tortola, J.W.F. Valle 1107.6009



$$\Gamma \propto \sum_i \Theta_{\mu i} \Theta_{ei}^\dagger f\left(\frac{M_i^2}{M_W^2}\right) = 2\eta_{e\mu} f(\infty) + \sum_i \Theta_{\mu i} \Theta_{ei}^\dagger \left(f\left(\frac{M_i^2}{M_W^2}\right) - f(\infty) \right)$$

Probing the Seesaw: Non-Unitarity

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$$\Gamma \propto \sum_i \Theta_{\mu i} \Theta_{ei}^\dagger f\left(\frac{M_i^2}{M_W^2}\right) = 2\eta_{e\mu} f(\infty) + \sum_i \Theta_{\mu i} \Theta_{ei}^\dagger \left(f\left(\frac{M_i^2}{M_W^2}\right) - f(\infty) \right)$$

Cosmology and lab constraints

At intermediate scales very strong constraints from direct searches and cosmology

