

# Test of Lorentz and CPT violation with MiniBooNE excesses

## Outline

1. MiniBooNE neutrino oscillation experiment
2. Test of Lorentz violation with neutrino oscillations
3. Lorentz violation with MiniBooNE neutrino data
4. Lorentz violation with MiniBooNE anti-neutrino data
5. Conclusion

Teppei Katori

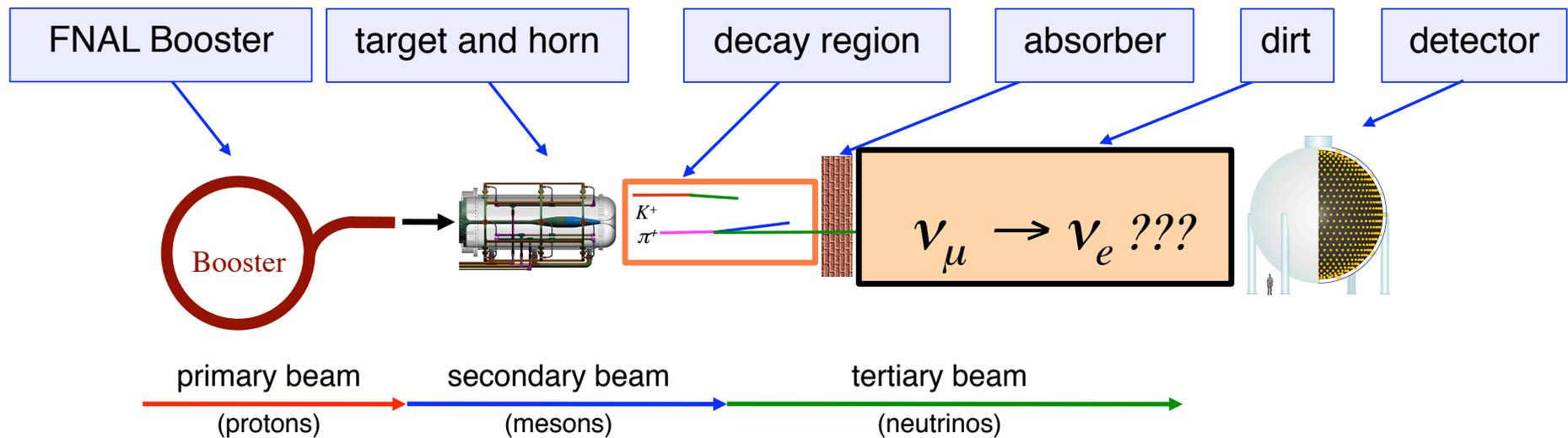
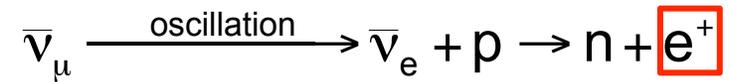
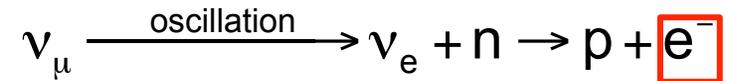
Massachusetts Institute of Technology

Phenomenology 2011 symposium (Pheno11), Madison, WI, May 9, 2011

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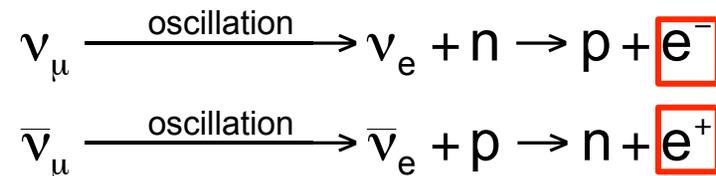
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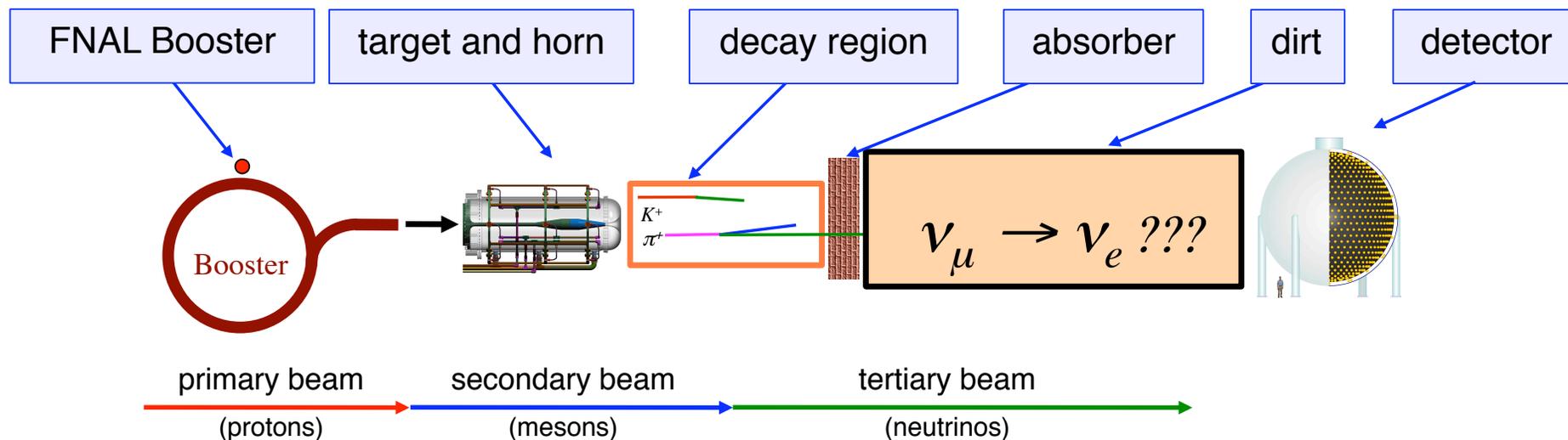


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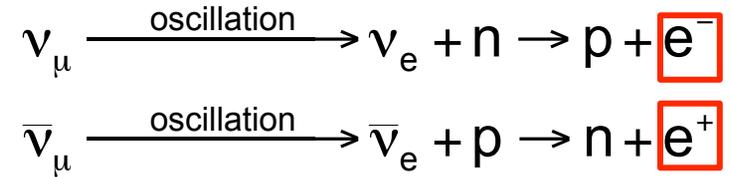
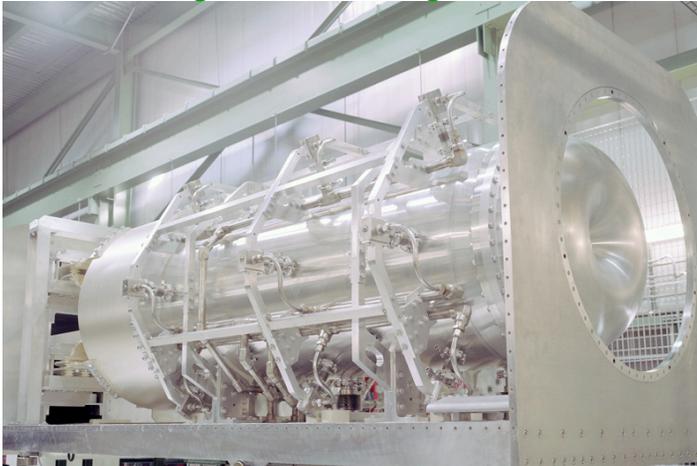
MiniBooNE extracts beam from the 8 GeV Booster



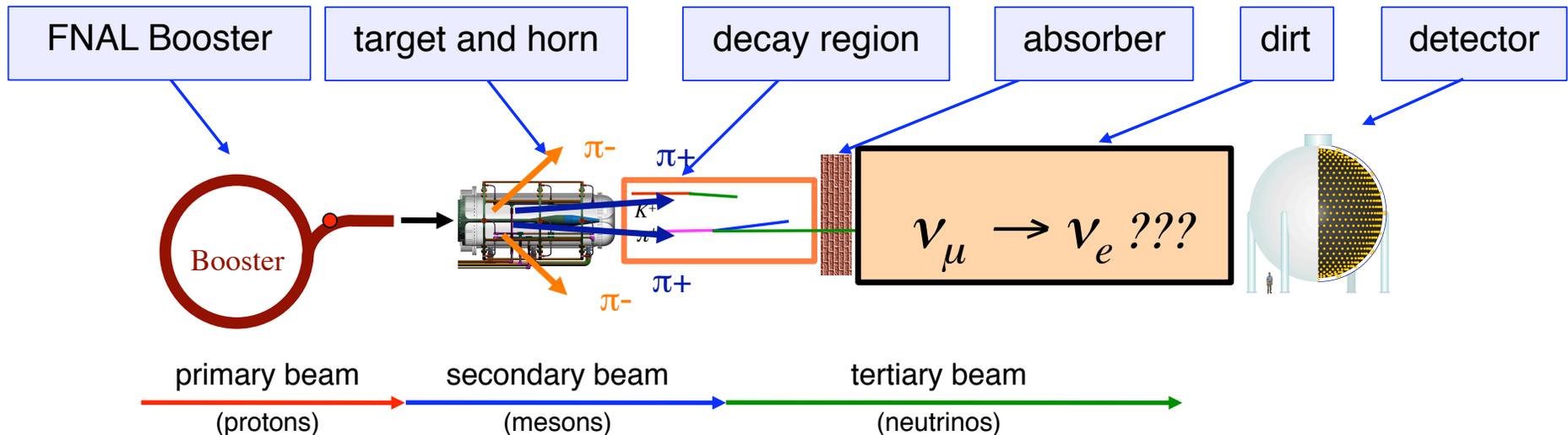
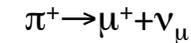
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Magnetic focusing horn

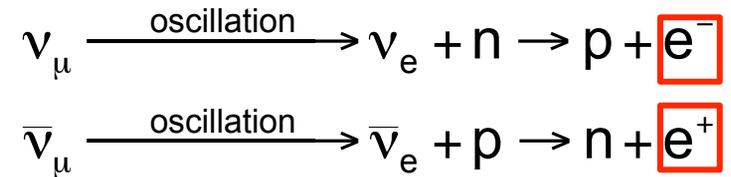
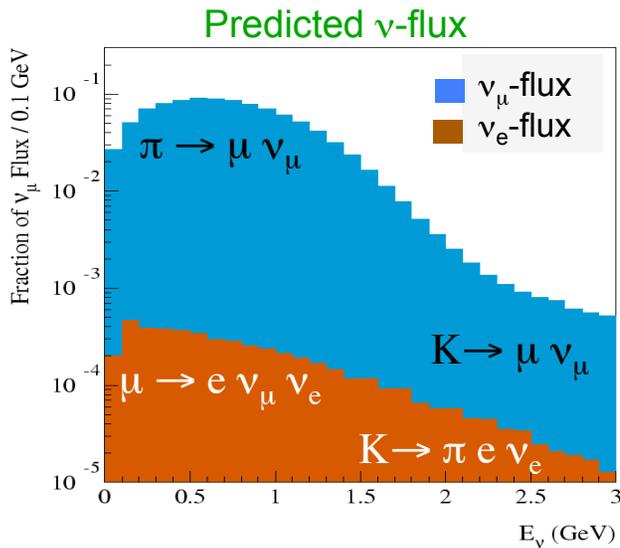


8GeV protons are delivered to Be target in a magnetic focusing horn to produce secondary mesons.

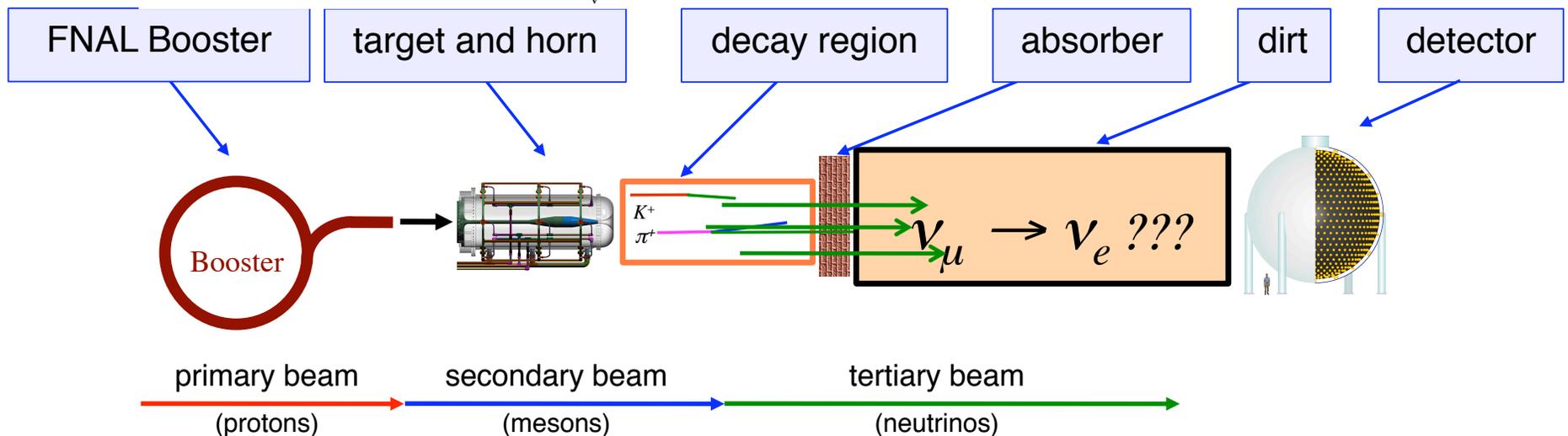


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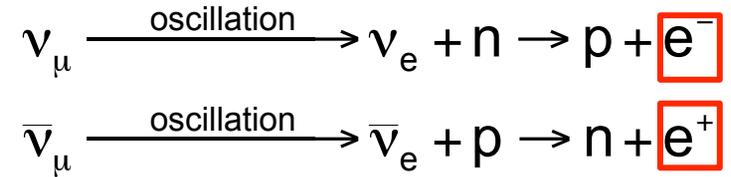
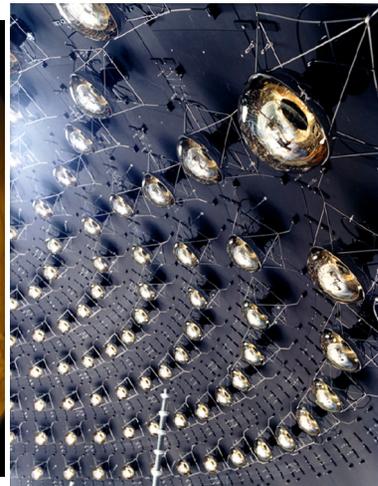


MiniBooNE intrinsic  $\nu_e$  background is predicted 0.6%. This prediction is constrained from data.



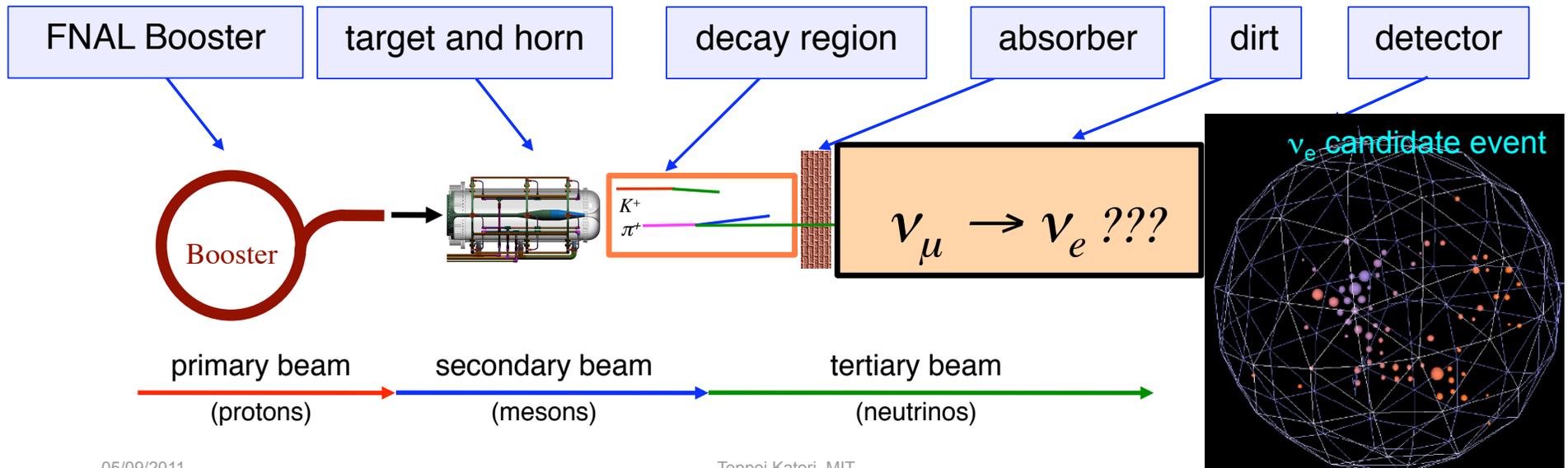
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Spherical Cherenkov detector

- $\nu$ -baseline is  $\sim 520\text{m}$
- filled with 800t mineral oil
- 1280 of 8" PMT in inner detector



1. MiniBooNE neutrino oscillation experiment
2. Test of Lorentz violation with neutrino oscillations
3. Lorentz violation with MiniBooNE neutrino data
4. Lorentz violation with MiniBooNE anti-neutrino data
5. Conclusion

## 2. Test of Lorentz violation with neutrino oscillations

### How to detect Lorentz violation?

Lorentz violation is realized as a coupling of particle fields and the background fields, so the basic strategy is to find the Lorentz violation is;

- (1) choose the coordinate system to compare the experimental result
- (2) write down Lagrangian including Lorentz violating terms under the formalism
- (3) write down the observables using this Lagrangian

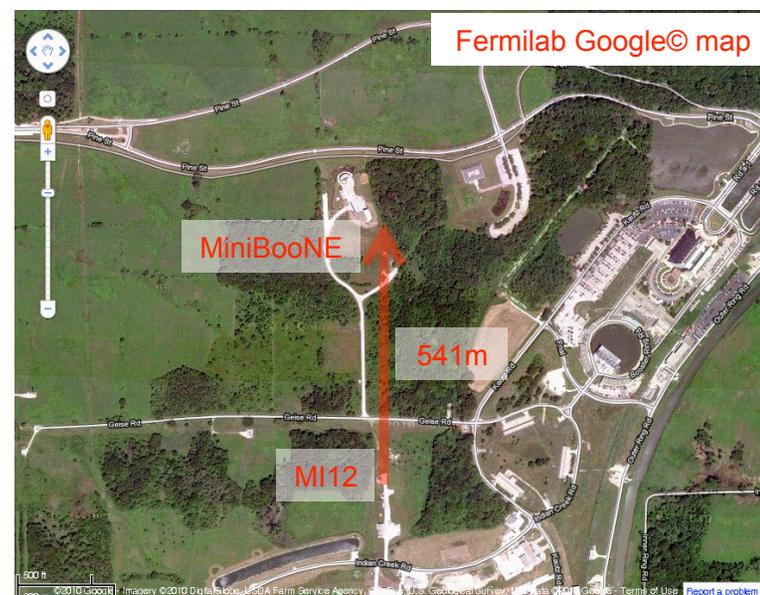
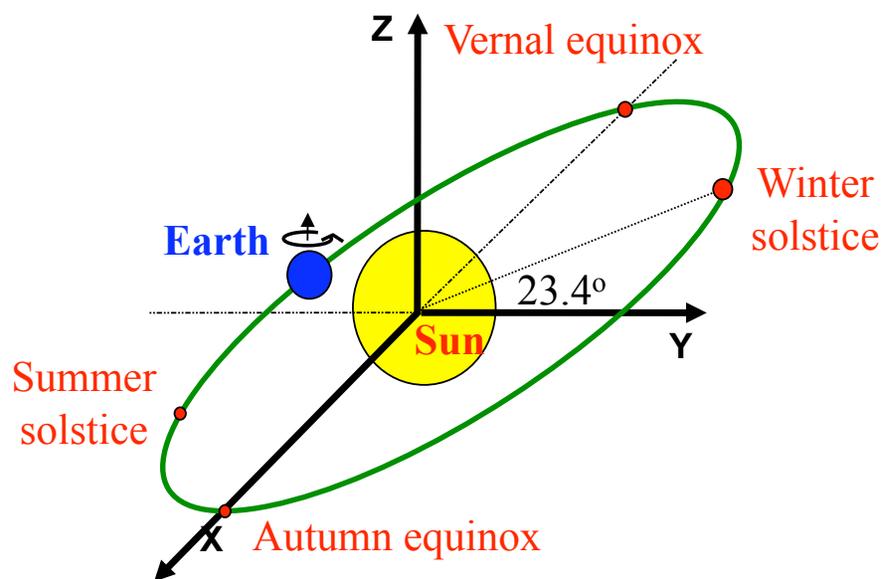
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The standard choice of the coordinate is **Sun-centred celestial equatorial coordinates**



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As a standard formalism for the general search of Lorentz violation, **Standard Model Extension (SME)** is widely used in the community. SME is self-consistent low-energy effective theory with Lorentz and CPT violation within conventional QM (minimum extension of QFT with Particle Lorentz violation)

### Modified Dirac Equation (MDE) for neutrinos

$$i(\Gamma_{AB}^\nu \partial_\nu - M_{AB})\nu_B = 0$$

### SME parameters

$$\Gamma_{AB}^\nu = \gamma^\nu \delta_{AB} + c_{AB}^{\mu\nu} \gamma_\mu + d_{AB}^{\mu\nu} \gamma_\mu \gamma_5 + e_{AB}^\nu + i f_{AB}^\nu \gamma_5 + \frac{1}{2} g_{AB}^{\lambda\mu\nu} \sigma_{\lambda\mu}$$

$$M_{AB} = m_{AB} + i m_{5AB} \gamma_5 + a_{AB}^\mu \gamma_\mu + b_{AB}^\mu \gamma_5 \gamma_\mu + \frac{1}{2} H_{AB}^{\mu\nu} \sigma_{\mu\nu}$$

$c_{AB}^{\mu\nu}$  ← 4X4 Lorentz indices  
← 6X6 flavor indices

Lorentz and CPT violating term  
 $a^\mu, b^\mu, e^\mu, f^\mu, g^{\mu\nu\lambda}$

Lorentz violating term  
 $c^{\mu\nu}, d^{\mu\nu}, H^{\mu\nu}$

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The observables can be, energy spectrum, frequency of atomic transition, neutrino oscillation probability, etc. Among the non standard phenomena predicted by Lorentz violation, the smoking gun is the **sidereal time dependence** of the observables.

ex) Sidereal variation of MiniBooNE signal

$$P_{\nu_e \rightarrow \nu_\mu} \sim \frac{|(h^{\text{eff}})_{e\mu}|^2 L^2}{(\hbar c)^2}$$

sidereal frequency  $\omega_{\oplus} = \frac{2\pi}{23\text{h}56\text{m}4.1\text{s}}$

sidereal time  $T_{\oplus}$

$$= \left( \frac{L}{\hbar c} \right)^2 | (C)_{e\mu} + (A_s)_{e\mu} \sin \omega_{\oplus} T_{\oplus} + (A_c)_{e\mu} \cos \omega_{\oplus} T_{\oplus} + (B_s)_{e\mu} \sin 2\omega_{\oplus} T_{\oplus} + (B_c)_{e\mu} \cos 2\omega_{\oplus} T_{\oplus} |^2$$

**Sidereal variation analysis for MiniBooNE is 5 parameter fitting problem**

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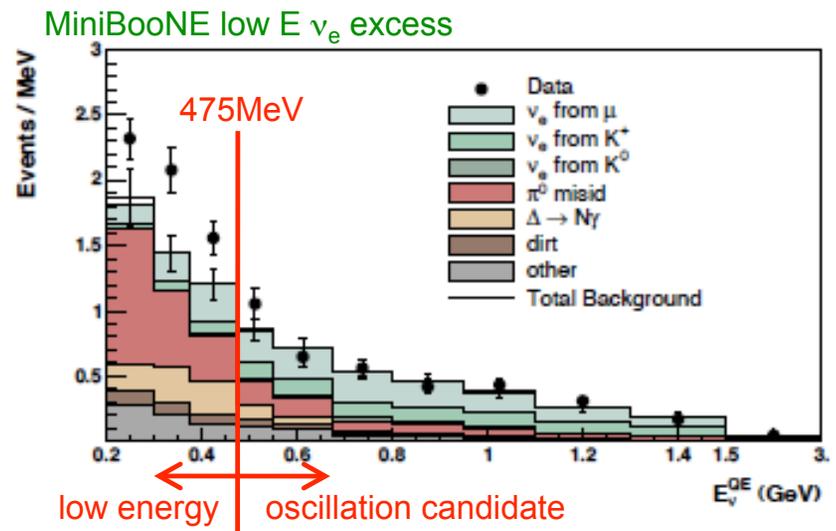
### 3. Lorentz violation with MiniBooNE neutrino data

#### Neutrino mode low energy excess

MiniBooNE didn't see the signal at the region where LSND data suggested under the assumption of standard 2 massive neutrino oscillation model, **but MiniBooNE did see the excess where neutrino standard model doesn't predict the signal.**

The energy dependence of MiniBooNE is reproducible by Lorentz violation motivated model, such as Puma model (next talk).

The low energy excess may have sidereal time dependence.



All backgrounds are measured in other data sample and their errors are constrained

### 3. Lorentz violation with MiniBooNE neutrino data

#### Flatness test

The flatness hypothesis is tested in 2 ways, Pearson's  $\chi^2$  test ( $\chi^2$  test) and unbinned Kolmogorov-Smirnov test (K-S test).

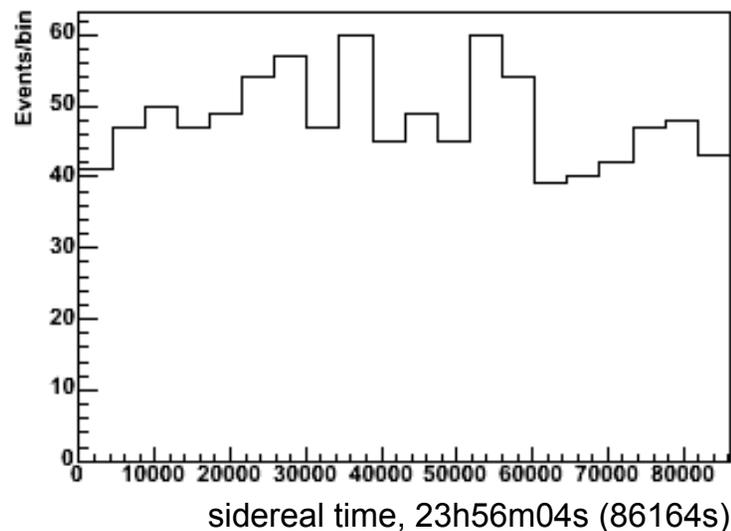
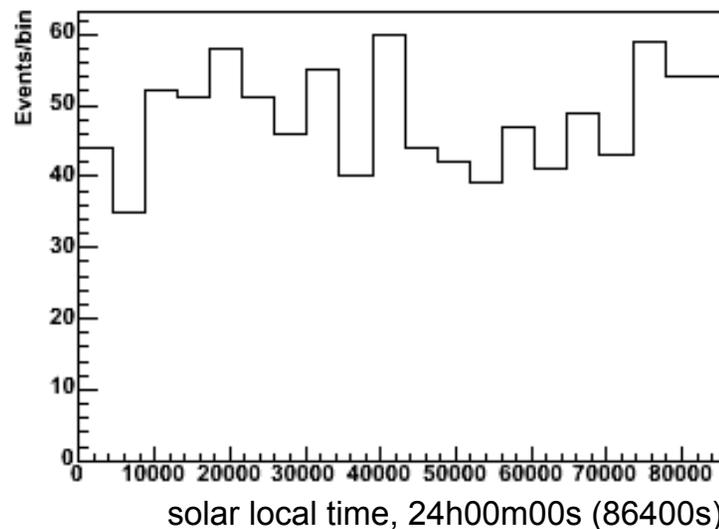
Flat hypothesis (solar time)

$P(\chi^2)=0.71$ ,  $P(K-S)=0.64$

Flat hypothesis (sidereal time)

$P(\chi^2)=0.92$ ,  $P(K-S)=0.14$

Neutrino mode excess is compatible with flat hypothesis.



### 3. Lorentz violation with MiniBooNE neutrino data

Unbinned loglikelihood method

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu} = \left( \frac{L}{\hbar c} \right)^2 \left| (C)_{\bar{e}\bar{\mu}} + (A_s)_{\bar{e}\bar{\mu}} \sin w_{\oplus} T_{\oplus} + (A_c)_{\bar{e}\bar{\mu}} \cos w_{\oplus} T_{\oplus} \right|^2$$

This method utilizes the highest statistical power

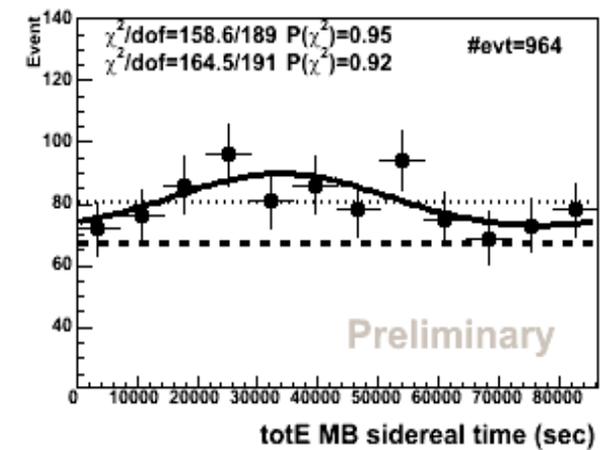
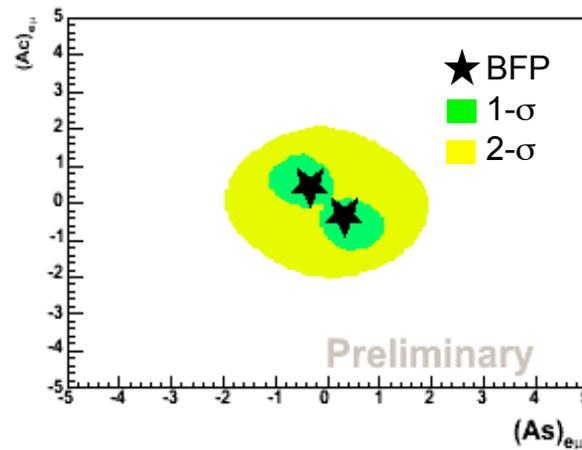
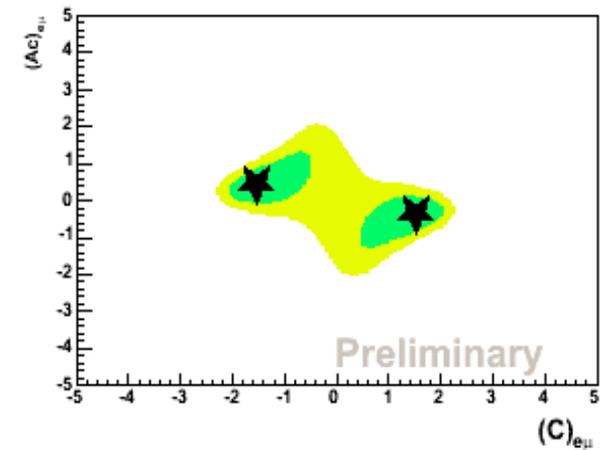
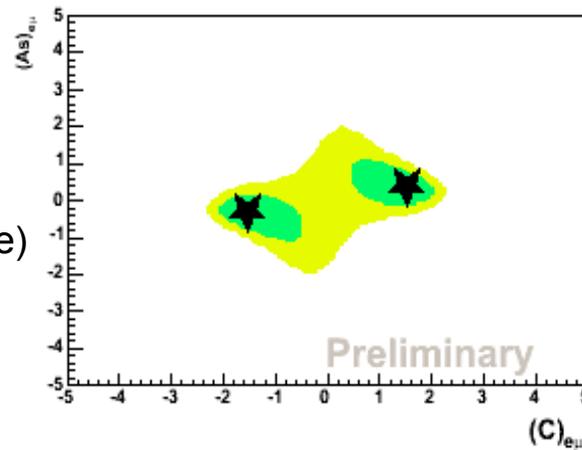
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Flat hypothesis (sidereal time)  
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After fit (sidereal time)  
 $P(\chi^2)=0.95$ ,  $P(K-S)=0.98$

C-parameter is statistically significant value, but this is sidereal independent parameter.

Solution discovered by fit improve goodness-of-fit, but flat hypothesis is already a good solution.



### 3. Lorentz violation with MiniBooNE neutrino data

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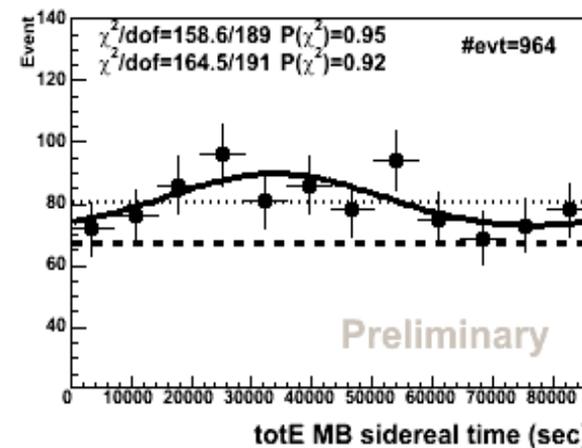
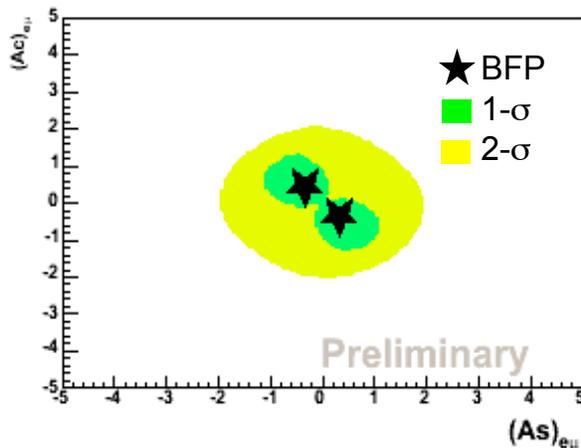
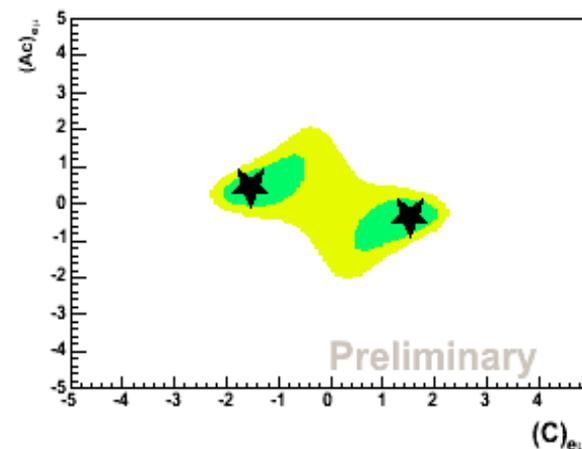
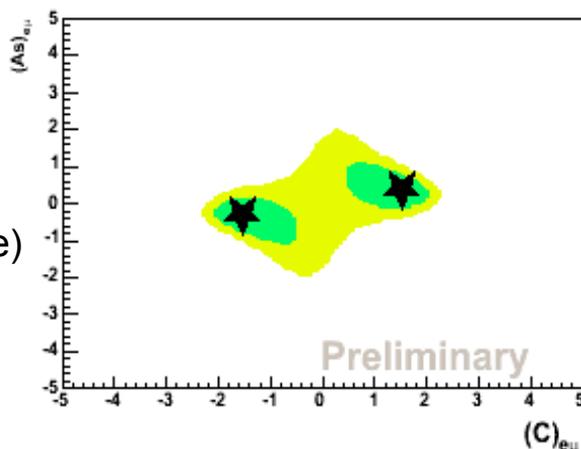
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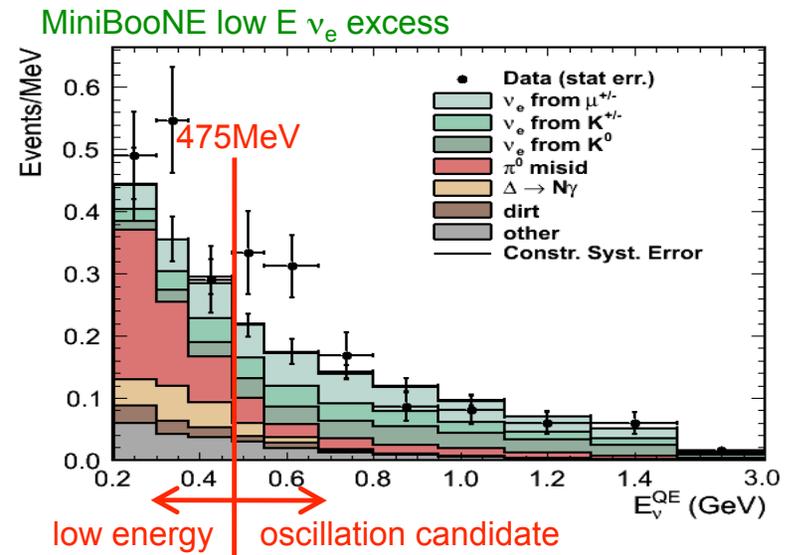
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## 4. Lorentz violation with MiniBooNE anti-neutrino data

### Anti-neutrino mode low energy excess

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If the excess were Lorentz violation, the excess may have sidereal time dependence.



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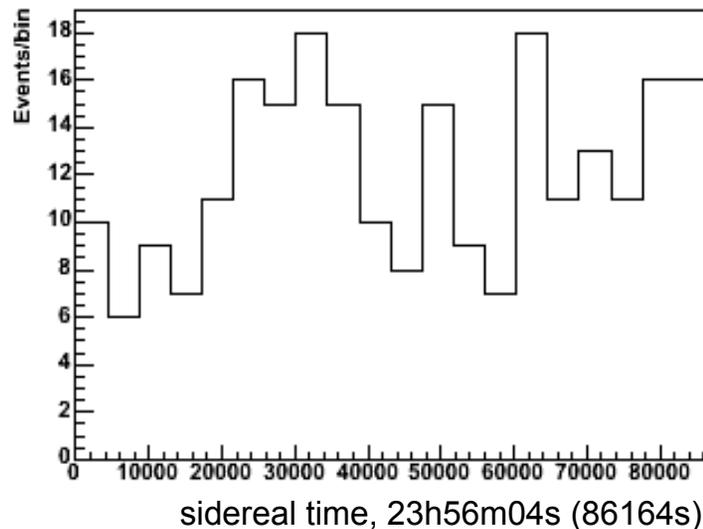
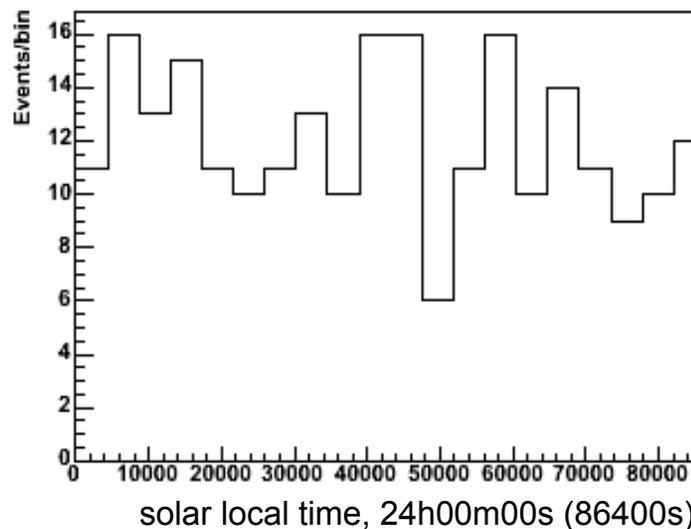
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$P(\chi^2)=0.50$ ,  $P(\text{K-S})=0.69$

Flat hypothesis (sidereal time)

$P(\chi^2)=0.10$ ,  $P(\text{K-S})=0.08$

Neutrino mode excess is compatible with flat hypothesis.



## 4. Lorentz violation with MiniBooNE anti-neutrino data

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$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu} = \left( \frac{L}{\hbar c} \right)^2 \left| (C)_{\bar{e}\bar{\mu}} + (A_s)_{\bar{e}\bar{\mu}} \sin w_{\oplus} T_{\oplus} + (A_c)_{\bar{e}\bar{\mu}} \cos w_{\oplus} T_{\oplus} \right|^2$$

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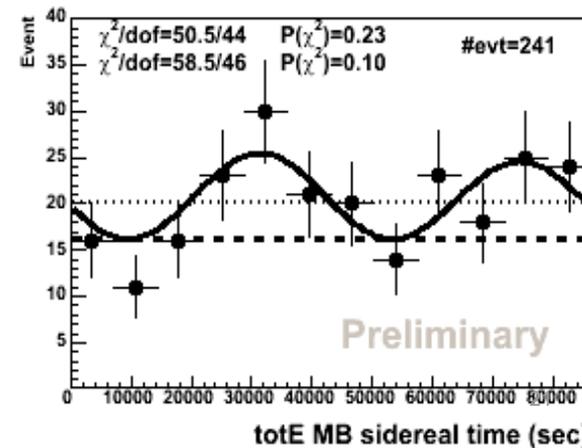
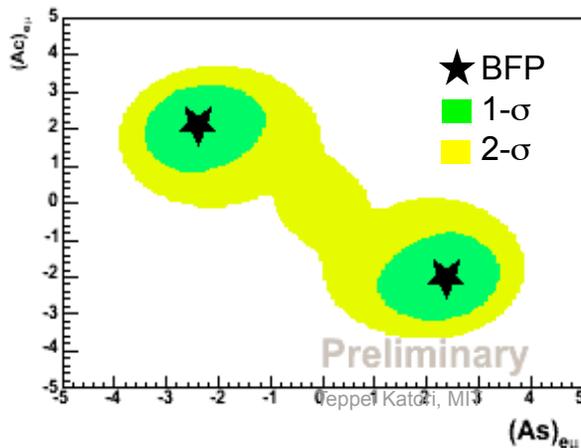
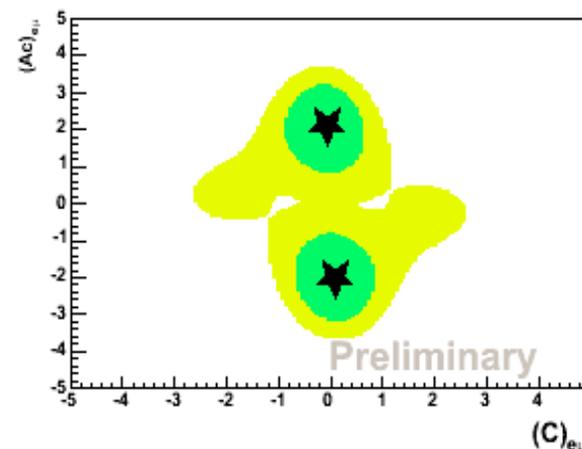
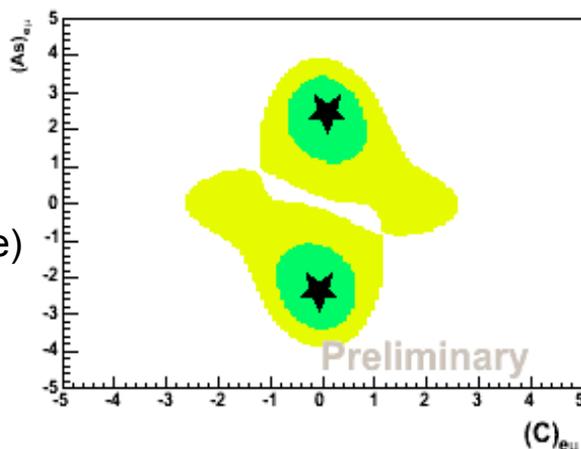
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After fit (sidereal time)

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Large  $A_s$ - and  $A_c$ - terms are preferred within  $1\text{-}\sigma$  (sidereal time dependent solution).

$2\text{-}\sigma$  contour encloses large  $C$ -term (sidereal time independent solution).



## 4. Lorentz violation with MiniBooNE anti-neutrino data

### Unbinned loglikelihood method

This method utilizes the highest statistical power

For anti-neutrino mode,  $P(K-S)=8\%$  before fit, so data is consistent with no sidereal variation hypothesis. After fit,  $P(K-S)=63\%$ , also, the best fit point has strong signal on  $As$ - and  $Ac$ -term (sidereal time dependent)

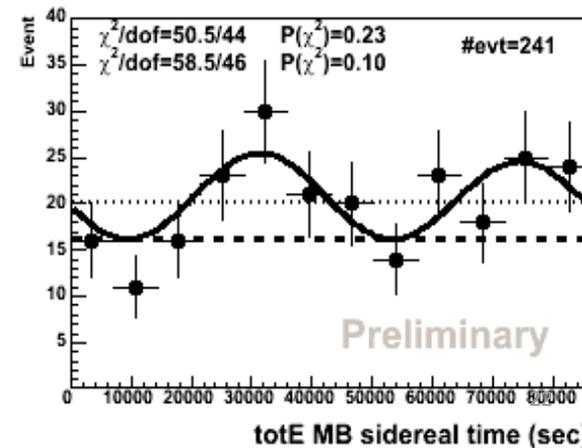
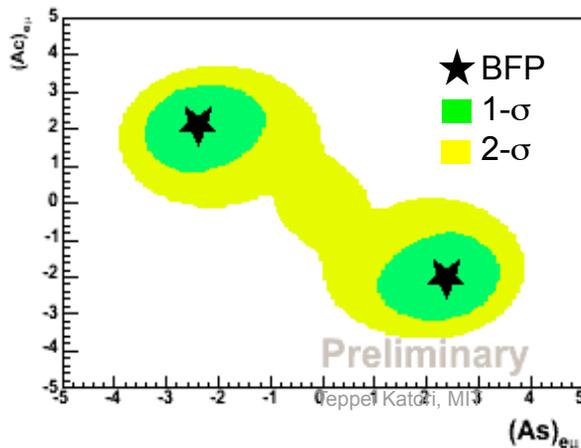
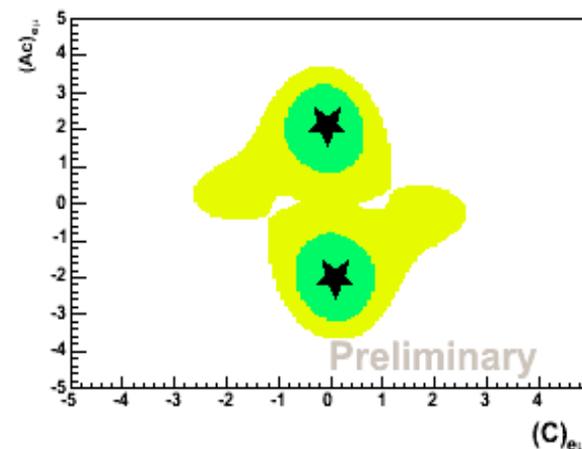
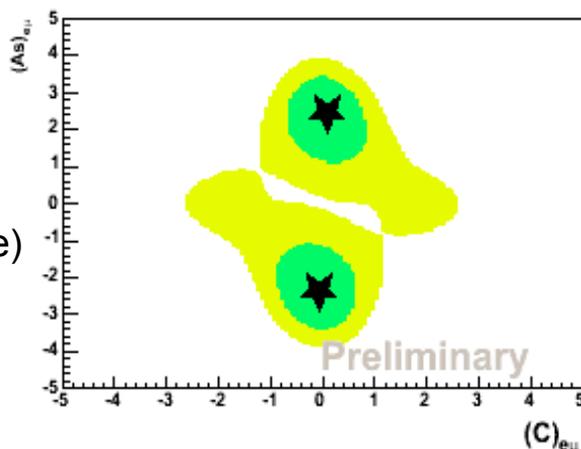
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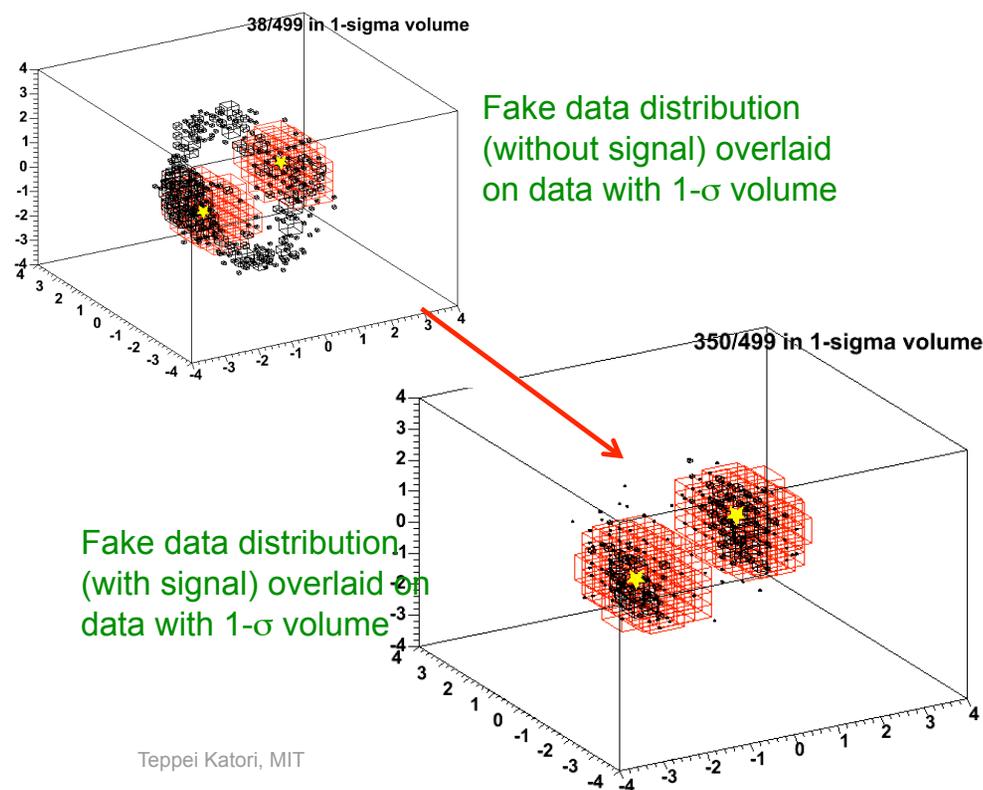
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Large  $A_s$ - and  $A_c$ - terms are preferred within  $1-\sigma$  (sidereal time dependent solution).

$2-\sigma$  contour encloses large C-term (sidereal time independent solution).

Fake data  $\Delta\chi^2$  study says there is 3% chance this signal is by random fluctuation.



## 5. Conclusions

Lorentz and CPT violation has been shown to occur in Planck scale physics.

LSND and MiniBooNE data suggest Lorentz violation is an interesting solution of neutrino oscillation.

### MiniBooNE neutrino mode summary

$P(K-S)=14\%$  before fit, so data is consistent with no sidereal variation hypothesis. After fit,  $P(K-S)=98\%$ , however, the best fit point has strong signal on C-term (not sidereal time dependent).

### MiniBooNE anti-neutrino mode summary

$P(K-S)=8\%$  before fit, so data is consistent with no sidereal variation hypothesis. After fit,  $P(K-S)=63\%$ , also, the best fit point has strong signal on  $A_s$ - and  $A_c$ -term (sidereal time dependent).

Extraction of SME coefficients is undergoing.

# BooNE collaboration

University of Alabama  
Bucknell University  
University of Cincinnati  
University of Colorado  
Columbia University  
Embry Riddle Aeronautical University  
Fermi National Accelerator Laboratory  
Indiana University  
University of Florida

Los Alamos National Laboratory  
Louisiana State University  
Massachusetts Institute of Technology  
University of Michigan  
Princeton University  
Saint Mary's University of Minnesota  
Virginia Polytechnic Institute  
Yale University



**Thank you for your attention!**

# Backup

## 2. Test of Lorentz violation with neutrino oscillations

Sidereal variation of neutrino oscillation probability for MiniBooNE (5 parameters)

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_\mu} = \left( \frac{L}{\hbar c} \right)^2 \left| (C)_{\bar{e}\bar{\mu}} + (A_s)_{\bar{e}\bar{\mu}} \sin w_{\oplus} T_{\oplus} + (A_c)_{\bar{e}\bar{\mu}} \cos w_{\oplus} T_{\oplus} + (B_s)_{\bar{e}\bar{\mu}} \sin 2w_{\oplus} T_{\oplus} + (B_c)_{\bar{e}\bar{\mu}} \cos 2w_{\oplus} T_{\oplus} \right|^2$$

Expression of 5 observables (14 SME parameters)

$$(C)_{\bar{e}\bar{\mu}} = (a_L)_{\bar{e}\bar{\mu}}^T - N^Z (a_L)_{\bar{e}\bar{\mu}}^Z + E \left[ -\frac{1}{2} (3 - N^Z N^Z) (c_L)_{\bar{e}\bar{\mu}}^{TT} + 2N^Z (c_L)_{\bar{e}\bar{\mu}}^{TZ} + \frac{1}{2} (1 - 3N^Z N^Z) (c_L)_{\bar{e}\bar{\mu}}^{ZZ} \right]$$

$$(A_s)_{\bar{e}\bar{\mu}} = N^Y (a_L)_{\bar{e}\bar{\mu}}^X - N^X (a_L)_{\bar{e}\bar{\mu}}^Y + E \left[ -2N^Y (c_L)_{\bar{e}\bar{\mu}}^{TX} + 2N^X (c_L)_{\bar{e}\bar{\mu}}^{TY} + 2N^Y N^Z (c_L)_{\bar{e}\bar{\mu}}^{XZ} - 2N^X N^Z (c_L)_{\bar{e}\bar{\mu}}^{YZ} \right]$$

$$(A_c)_{\bar{e}\bar{\mu}} = -N^X (a_L)_{\bar{e}\bar{\mu}}^X - N^Y (a_L)_{\bar{e}\bar{\mu}}^Y + E \left[ 2N^X (c_L)_{\bar{e}\bar{\mu}}^{TX} + 2N^Y (c_L)_{\bar{e}\bar{\mu}}^{TY} - 2N^X N^Z (c_L)_{\bar{e}\bar{\mu}}^{XZ} - 2N^Y N^Z (c_L)_{\bar{e}\bar{\mu}}^{YZ} \right]$$

$$(B_s)_{\bar{e}\bar{\mu}} = E \left[ N^X N^Y \left( (c_L)_{\bar{e}\bar{\mu}}^{XX} - (c_L)_{\bar{e}\bar{\mu}}^{YY} \right) - (N^X N^X - N^Y N^Y) (c_L)_{\bar{e}\bar{\mu}}^{XY} \right]$$

$$(B_c)_{\bar{e}\bar{\mu}} = E \left[ -\frac{1}{2} (N^X N^X - N^Y N^Y) \left( (c_L)_{\bar{e}\bar{\mu}}^{XX} - (c_L)_{\bar{e}\bar{\mu}}^{YY} \right) - 2N^X N^Y (c_L)_{\bar{e}\bar{\mu}}^{XY} \right]$$

$$\begin{pmatrix} N^X \\ N^Y \\ N^Z \end{pmatrix} = \begin{pmatrix} \cos \chi \sin \theta \cos \phi - \sin \chi \cos \theta \\ \sin \theta \sin \phi \\ -\sin \chi \sin \theta \cos \phi - \cos \chi \cos \theta \end{pmatrix}$$

coordinate dependent direction vector  
(depends on the latitude of FNAL, location  
of BNB and MiniBooNE detector)

### 3. Lorentz violation with MiniBooNE neutrino data

#### Proton on target day-night variation

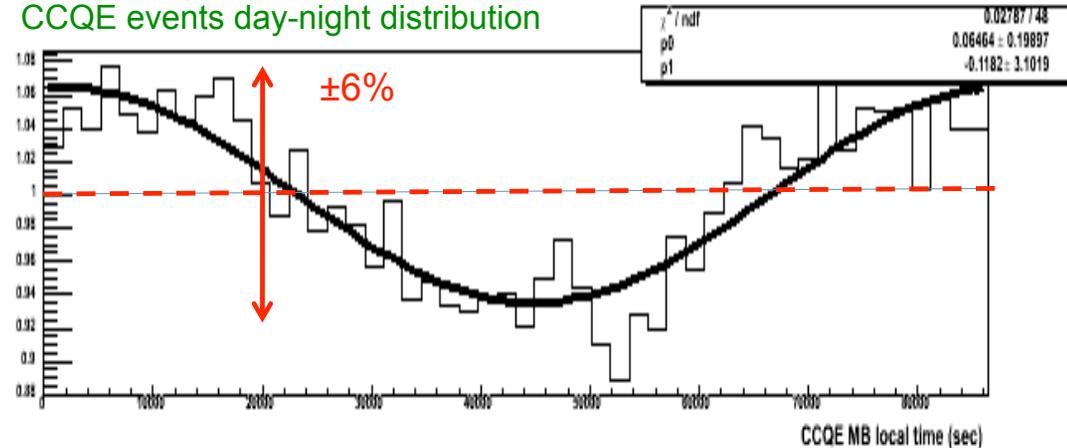
Since beam is running almost all year, any solar time structure, mainly POT day-night variation, is washed out in sidereal time.

Time dependent systematic errors are evaluated through observed CCQE events. The dominant source is POT variation.

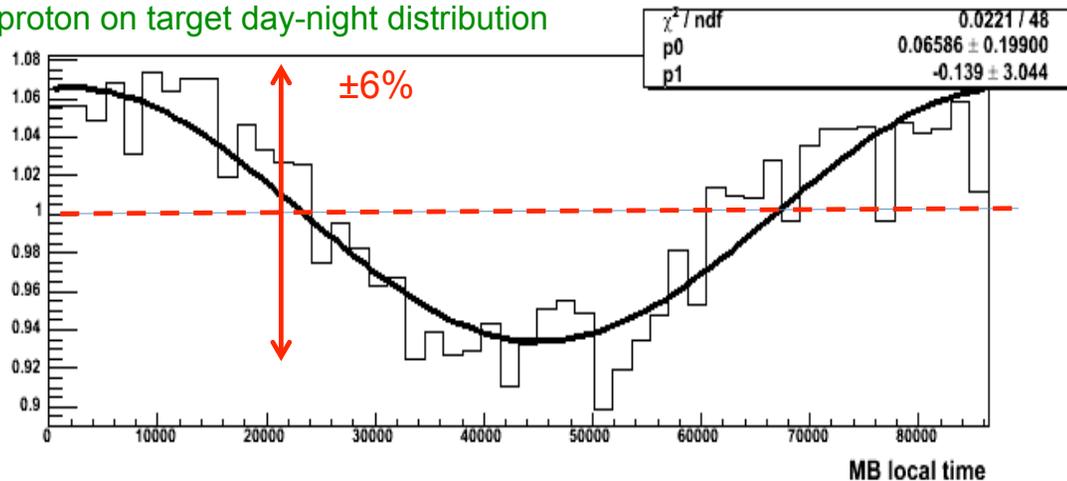
POT makes 6% variation, but including this gives negligible effect in sidereal time distribution.

Therefore later we ignore all time dependent systematic errors.

CCQE events day-night distribution



proton on target day-night distribution



## 5. Lorentz violation with MiniBooNE neutrino data

### Unbinned extended maximum likelihood fit

- It has the maximum statistic power
- Assuming low energy excess is Lorentz violation, extract Lorentz violation parameters (SME parameters) from unbinned likelihood fit.

likelihood function

$$\Lambda = \frac{e^{-(\mu_s + \mu_b^v)}}{N!} \prod_{i=1}^N (\mu_s \mathcal{F}_s^i + \mu_b^v \mathcal{F}_b^i) \times \frac{1}{\sqrt{2\pi\sigma_b^2}} \exp\left(-\frac{(\mu_b^v - \mu_b)^2}{2\sigma_b^2}\right) \quad (22)$$

$N$  total number of event

$\mu_s$  predicted signal event number, function of fitting parameters

$\mu_b$  predicted background event number

$\mathcal{F}_s$  probability distribution of signal, function of sidereal time and fitting parameters

$\mathcal{F}_b$  probability distribution of background, not function of sidereal time

$\sigma_b$  the  $1 - \sigma$  error of predicted the background

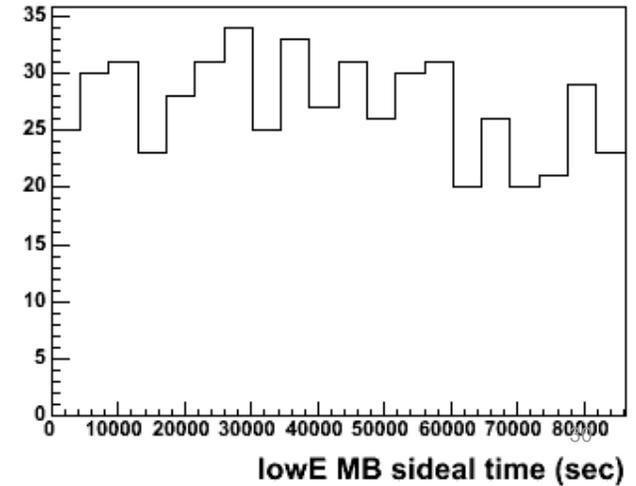
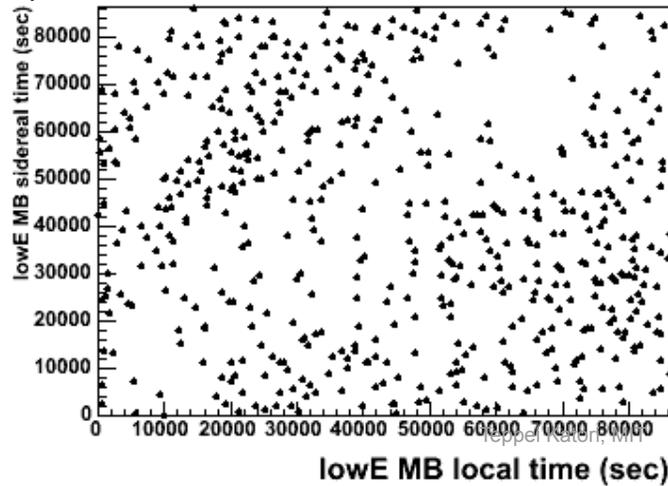
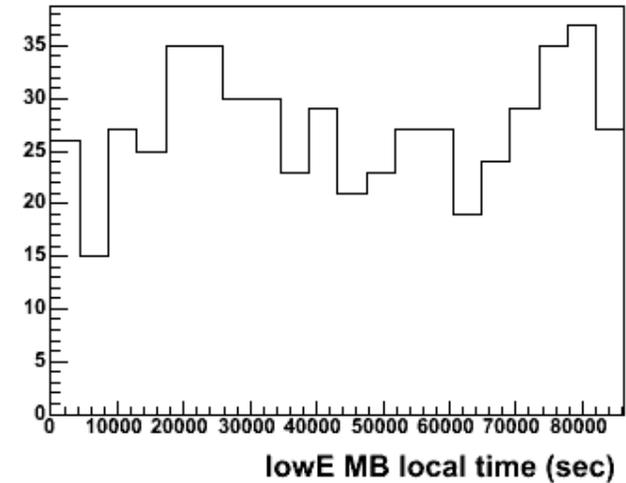
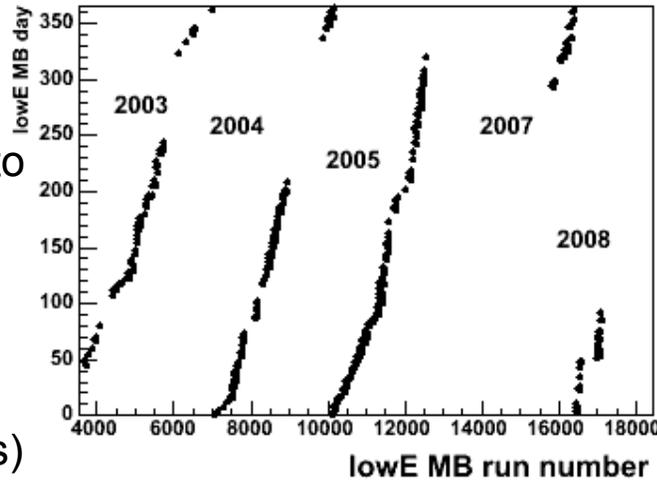
$\mu_b^v$  floating background event number floating within  $1 - \sigma$

# 5. Lorentz violation with MiniBooNE neutrino data

## Time distribution of MiniBooNE neutrino mode low energy region

MiniBooNE data taking is reasonably uniform, so all day-night effect is likely to be washed out in sidereal time distribution.

solar local time  
24h00m00s (86400s)  
sidereal time  
23h56m04s (86164s)



## 5. Lorentz violation with MiniBooNE neutrino data

### Null hypothesis test

The flatness hypothesis is tested in 2 ways, Pearson's  $\chi^2$  test ( $\chi^2$  test) and unbinned Kolmogorov-Smirnov test (K-S test). K-S test has 3 advantages;

1. unbinned, so it has the maximum statistical power
2. no argument with bin choice
3. sensitive with sign change, called "run"

None of the tests shows any statistically significant results.

All data sets are compatible with flat hypothesis, but none of them are excluded either.

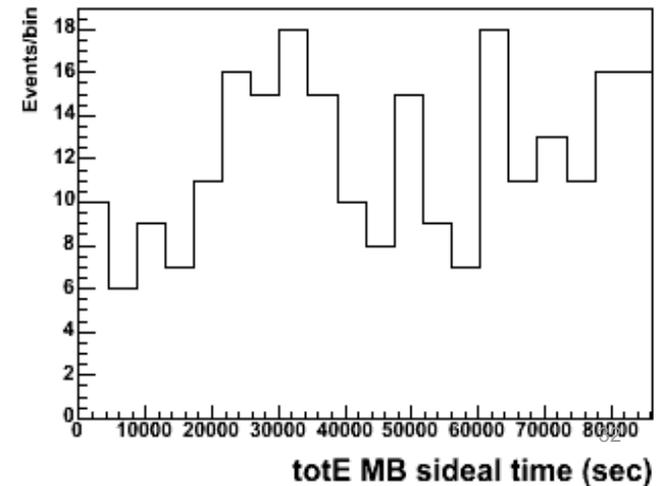
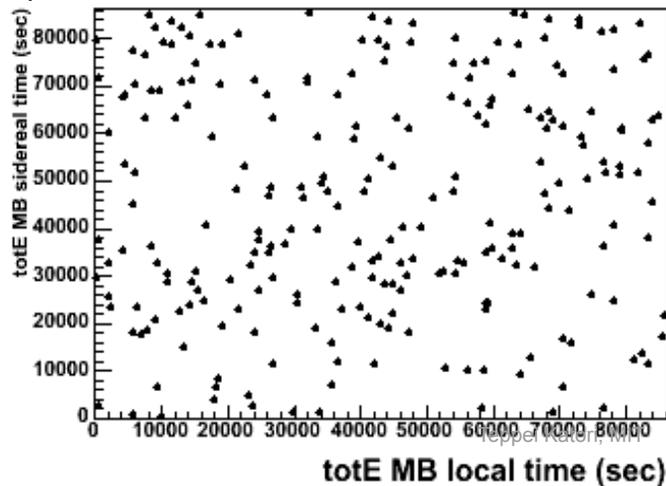
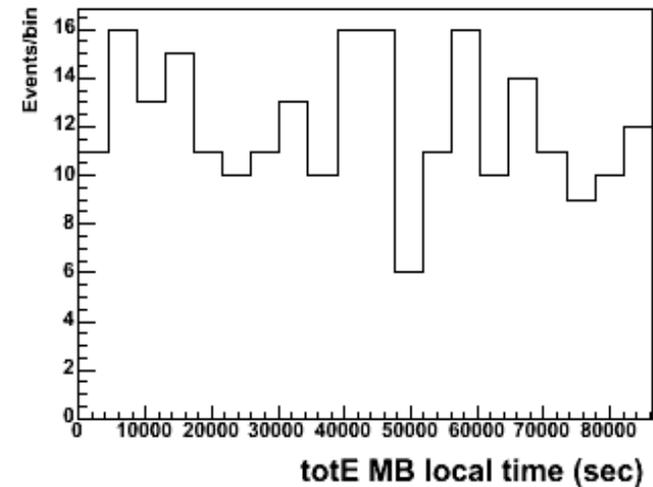
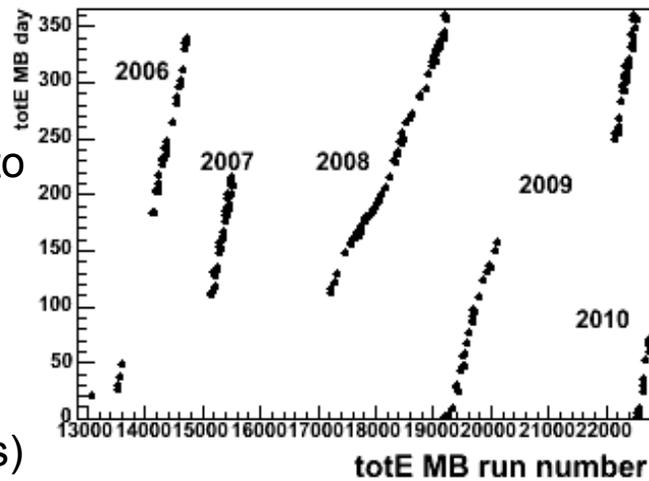
Preliminary	null hypothesis tests for neutrino mode					
	low energy		oscillation energy		total	
	solar	sidereal	solar	sidereal	solar	sidereal
# of events	544		420		964	
<b>Pearson's <math>\chi^2</math>:</b>						
$N_{d.o.f}$	107	107	83	82	191	191
$\chi^2$	107.6	106.0	69.6	76.2	179.6	164.5
$P(\chi^2)$	0.47	0.51	0.85	0.66	0.71	0.92
<b>Kolmogorov-Smirnov:</b>						
$P(KS)$	0.42	0.13	0.81	0.64	0.64	0.14

## 6. Lorentz violation with MiniBooNE anti-neutrino data

### Time distribution of MiniBooNE antineutrino mode oscillation region

MiniBooNE data taking is reasonably uniform, so all day-night effect is likely to be washed out in sidereal time distribution.

solar local time  
24h00m00s (86400s)  
sidereal time  
23h56m04s (86164s)



## 6. Lorentz violation with MiniBooNE anti-neutrino data

### Null hypothesis test

The flatness hypothesis is tested in 2 ways, Pearson's  $\chi^2$  test ( $\chi^2$  test) and unbinned Kolmogorov-Smirnov test (K-S test). K-S test has 3 advantages;

1. unbinned, so it has the maximum statistical power
2. no argument with bin choice
3. sensitive with sign change, called "run"

None of the tests shows any statistically significant results.

All data sets are compatible with flat hypothesis, but none of them are excluded either.

Preliminary	null hypothesis tests for anti-neutrino mode					
	low energy		oscillation energy		total	
	solar	sidereal	solar	sidereal	solar	sidereal
# of events	119		122		241	
<b>Pearson's <math>\chi^2</math>:</b>						
$N_{d.o.f}$	21	22	23	23	47	46
$\chi^2$	18.3	23.4	13.0	18.9	46.4	58.5
$P(\chi^2)$	0.63	0.38	0.95	0.71	0.50	0.10
<b>Kolmogorov-Smirnov:</b>						
$P(KS)$	0.62	0.15	0.79	0.39	0.69	0.08