RF CAS, Berlin

Frank Gerigk (CERN- RF group), 18 June - 1 July 2023

Overview

- Cavity classifications
- The first accelerating cavities
- Basic RF theory
- Cavity parameters
- The pillbox cavity
- TM, TE, and TEM mode cavities
- Lumped circuit description
- Getting power into a cavity
- New ideas

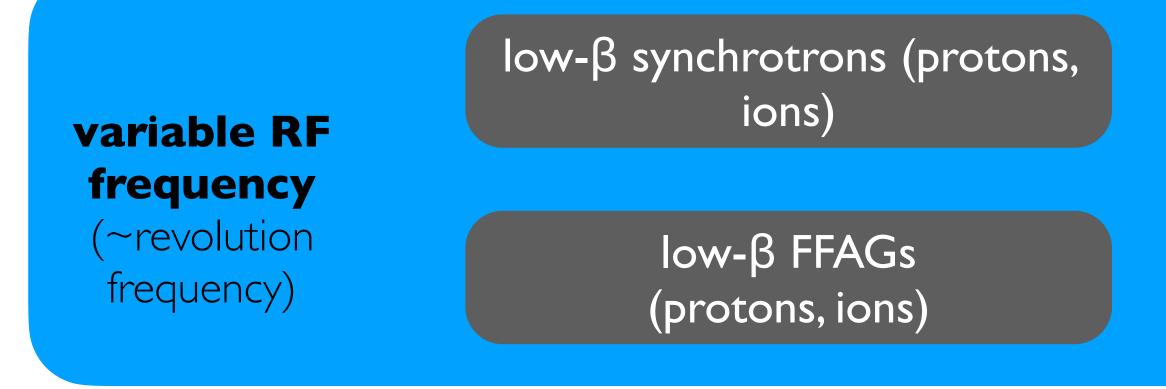
How do we classify cavities?

- Fixed/variable frequency Accelerating/non-accelerating By electromagnetic mode type Travelling wave/standing wave Normal conducting/superconducting



Accelerating cavities by fixed/variable frequency

Acceleration with changing particle velocity



cyclotrons

fixed RF frequency

low- β proton/ion linacs

Acceleration with constant particle velocity



high-β synchrotrons (electrons, protons, ions)

high-β FFAGs (electrons, protons, ions)



Accelerating cavities by fixed/variable frequency

Acceleration with changing particle velocity

variable RF frequency (~revolution

frequency)

- Materials with adjustable per in the cavity volume, e.g. ferri Finemet[®]: allows to tune f.
- Wideband RF amplifiers
- Typically low voltages, high lo

See H. Klingbeil, Magnetic Alloy / Ferri

fixed RF frequency

- In Linacs: cell length adapts to velocity
- In Cyclotrons: the particle pa becomes longer with higher e
- The same narrowband RF amplifiers for all cavities.

Acceleration with constant particle velocity

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osses te Cavities		
o particle	 The same narrowband RF amplifiers 	
ith energy.	 for all cavities. Only one type of cavity needed. Mass production. 	

High cavity gradients.



Non-accelerating cavities

RF deflection

- Beam chopping at low energies.
- Beam funnelling at low energy.
- CRAB crossing of colliding beams.

See G. Burt, Transverse deflecting cavities

Longitudinal manipulation

- Forming bunches out of a continuous beam (coasting beam or ion source beam).
- Keep bunches longitudinally confined during transport or acceleration.
- Phase rotation to reduce or increase momentum spread.
- Inducing longitudinal emittance growth.
- Bunch merging (e.g. via slip-stacking) or bunch splitting.

See Longitudinal dynamics & RF manipulations

THE FIRST ACCELERATING CAVITIES

Or why we put RF fields in a box..

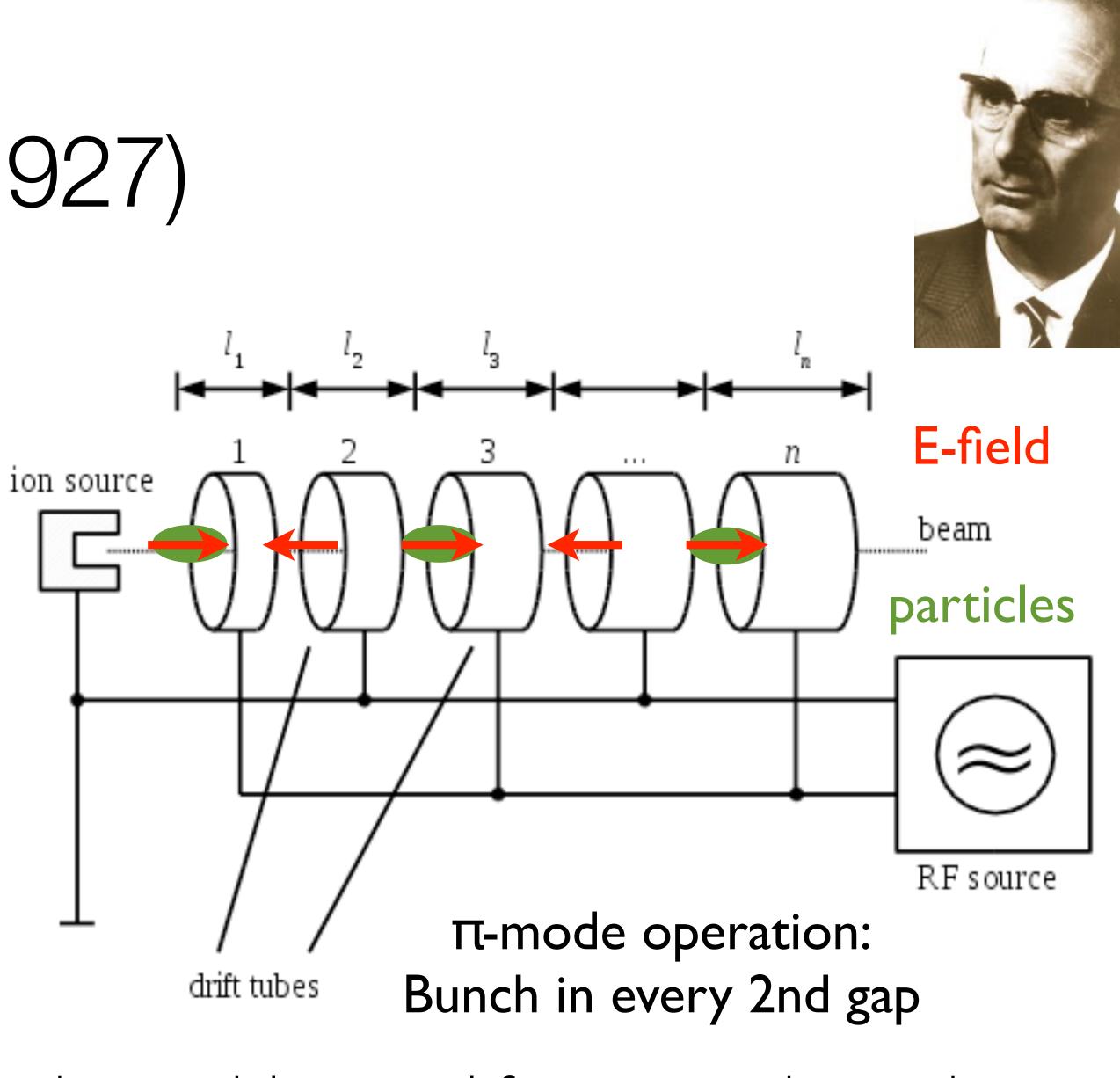
Not yet a cavity: The Wideröe Linac (1927)

energy gain: $E = e N_{gap} V_{RF}$

period length increases with $l = \frac{v}{2f}$ velocity:

Crucial inventions: RF power sources & synchronism between RF and particles

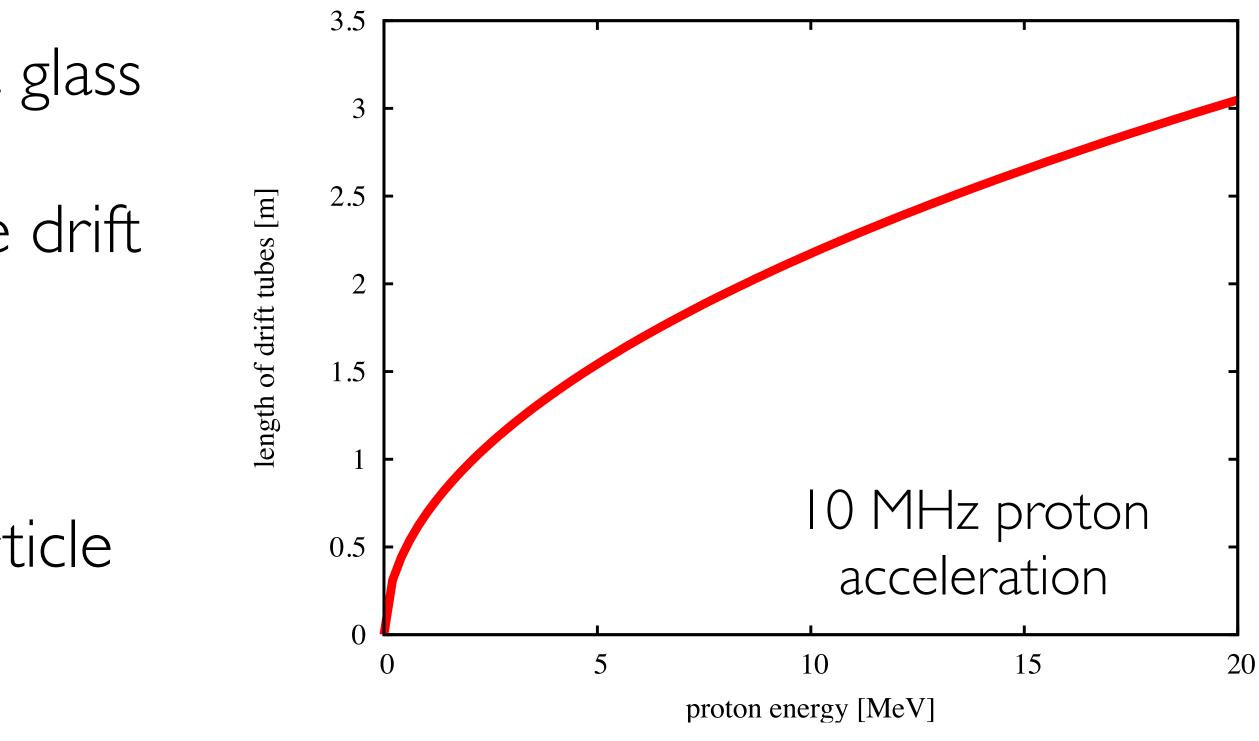
The RF phase changes by 180°, while the particles travel from one tube to the next





Why wasn't this good enough?

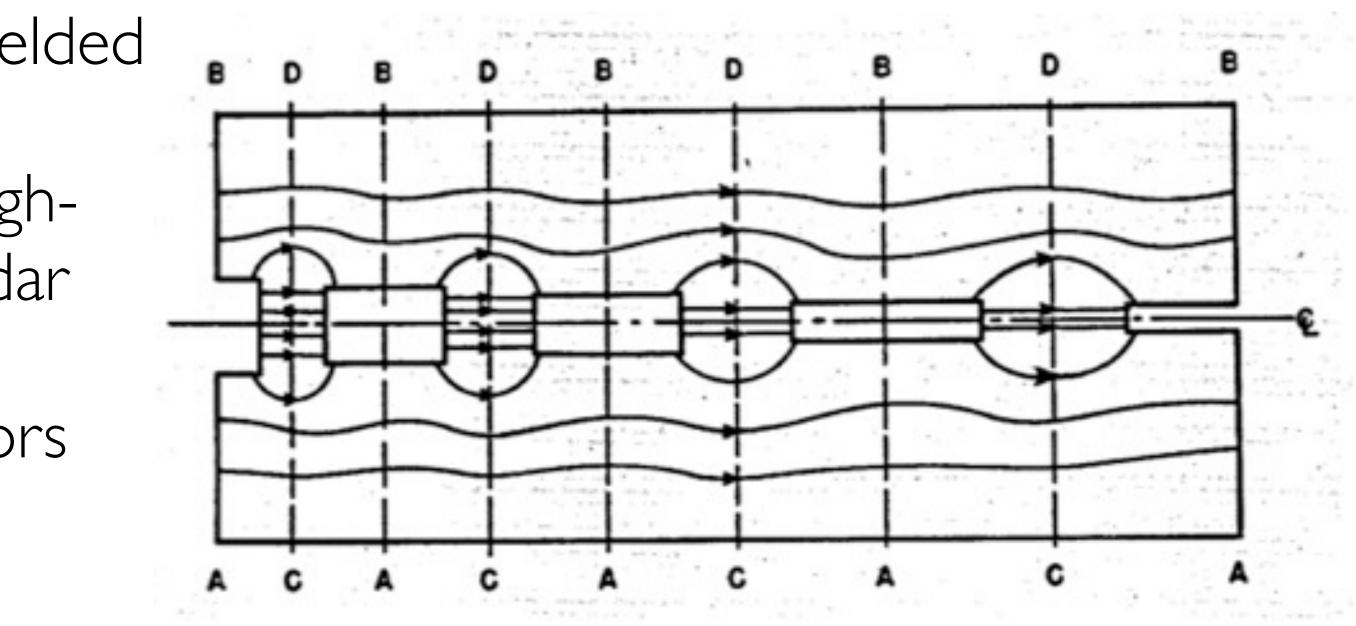
- The Wideröe Linac was enclosed by a glass tube.
- At higher frequencies (> 10 MHz), the drift tubes started radiating energy (like antennas): less power was used for acceleration.
- At low frequencies and with rising particle energy, the length of the drift tubes becomes quickly unpractical.
- ➡The Wideröe Linac was only usable for very low-velocity particles.



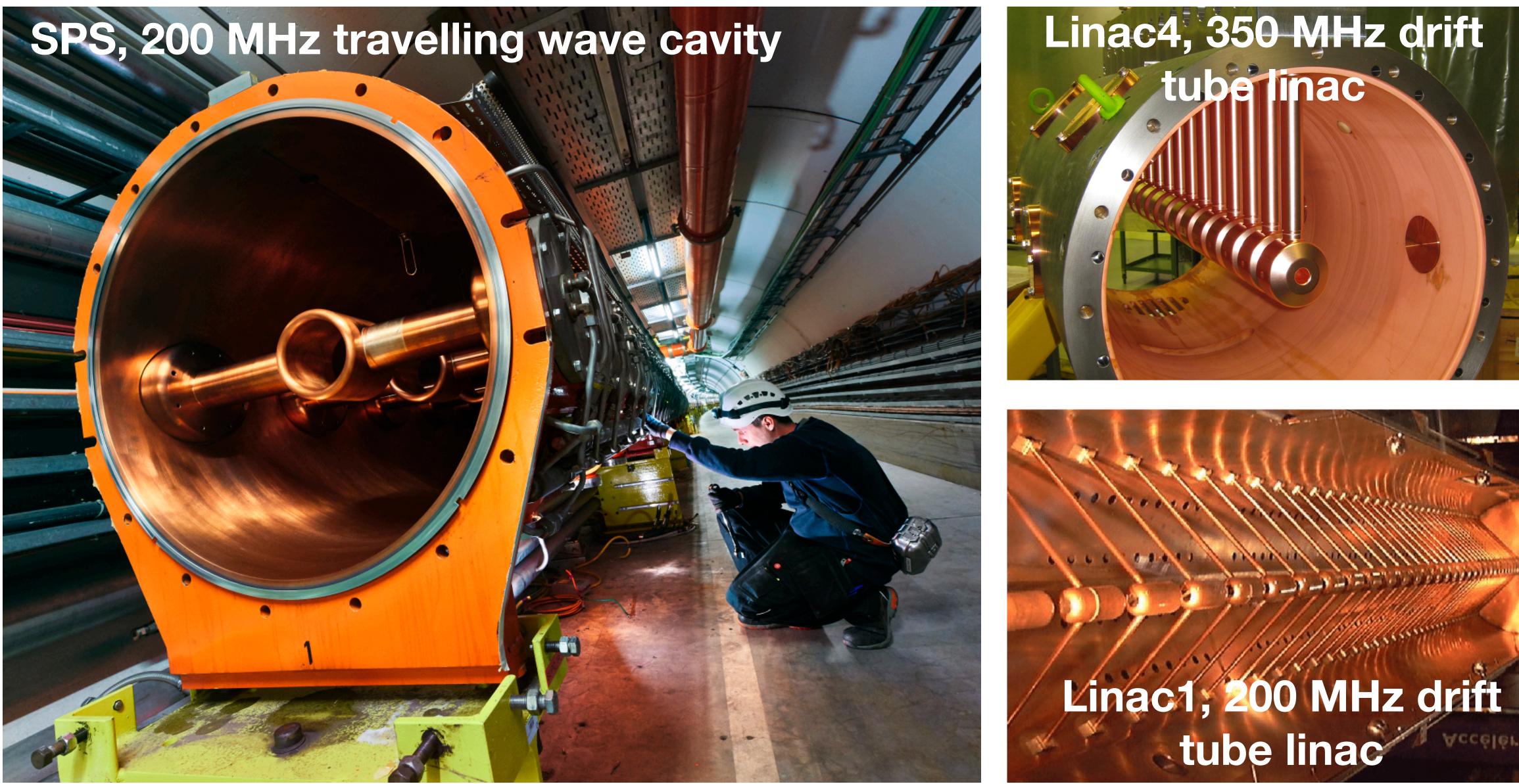
1946: Alvarez put a cavity around the Wideröe Linac and created a resonant structure

- While the resonator fields point in the "wrong" direction, the particles are shielded by the drift tubes.
- WW2 brought the development of highpower, high-frequency RF tubes for radar technology.
- Therefore most of the early accelerators operated at this frequency (including Linac I, Linac 2, and the SPS at CERN)





0-mode operation: bunch in every gap







Basic RF theory

Going from a waveguide to a RF cavity

Complex notation for time-harmonic fields

In Radio Frequency we are usually dealing with sine-waves, which are sometimes modulated in phase or in amplitude. This means we will concentrate on time-harmonic solutions of Maxwells Equations. For this purpose we introduce the time-harmonic notation, which can be used for all linear processes. (Electric and magnetic fields can be linearly superimposed.)

Let us assume a time-harmonic electric field with amp

this corresponds to the Real part of: E(t)

by defining a complex amplitude (or **phasor**): $\tilde{E} =$

we can write: E(t)

from now on we will only use complex amplitudes and write them without tilde:

 $E_0 \cos$

blitude E₀ and phase
$$\Phi$$
: $E(t) = E_0 \cos(\omega t + \varphi)$
 $= \Re \left\{ E_0 e^{i\varphi} e^{i\omega t} \right\} = \Re \left\{ \cos(\omega t + \varphi) + i \sin(\omega t + \varphi) \right\}$
 $E_0 e^{i\varphi}$
 $= \Re \left\{ \tilde{E} e^{i\omega t} \right\}$

$$s(\omega t + \varphi) \longrightarrow \tilde{E}e^{i\omega t} \longrightarrow E$$



Why should we do that?

- Simplified, shorter expressions
- Time derivations become very simple

 $\frac{d}{dt}E(t) \longrightarrow \frac{d}{dt}\tilde{E}e^{i\omega t} = i\omega\tilde{E}e^{i\omega t}$

 $\longrightarrow \frac{d}{dt}E = i\omega E$

Only valid for harmonic time dependence



Complex notation of Maxwells Equations

The use of phasors yields the following form:

$$\nabla \times \mathbf{H} = i\omega\varepsilon \left(1 - i\frac{\kappa}{\omega\varepsilon}\right) \mathbf{E} \quad (I)$$
$$\nabla \times \mathbf{E} = -i\omega\mu\mathbf{H} \quad (II)$$

The **general wave equations** become:

$$abla^2 {f E} -
abla \left(
abla \cdot {f E}
ight) = -k^2 {f E}$$
 with the wave $abla^2 {f H} = -k^2 {f H}$

Remark: in conducting media k becomes complex (with a complex dielectric constant). In non-conducting charge-free media the <u>wave equations</u> simplify to:

$$abla^2 \mathbf{E} = -k^2 \mathbf{E}$$
 $abla^2 \mathbf{H} = -k^2 \mathbf{H}$

with the **wave number**

$$k^2 = \omega^2 \mu \varepsilon = \frac{\omega^2}{c^2}$$

Solution of the wave equation

To find the electric and magnetic fields in free space, in wave-guides or in cavities, one needs to solve the wave equation in the appropriate coordinate system (cartesian, cylindric, spherical).

A common approach to **solve the wave equation for wave guides** is to define a vector potential for TE and TM waves, so that electric and magnetic fields can be calculated from:

$$\mathbf{E}^{TE} = \nabla \times \mathbf{A}^{TE}$$
$$\mathbf{H}^{TE} = \nabla \times (\nabla \times \mathbf{A}^{TE})$$

In both cases the vector potential fulfills the wave equation,

$$\nabla^2 \mathbf{A} = -k^2 \mathbf{A} \quad \text{with} \quad k^2 = \omega^2 \mu \varepsilon$$

which can then be solved for different coordinate systems for TE and TM waves and which has usually just one vector component:

and $\mathbf{H}^{TM} = \nabla \times \mathbf{A}^{TM}$) and $\mathbf{E}^{TM} = \nabla \times (\nabla \times \mathbf{A}^{TM})$

$$\mathbf{A} = A_z \mathbf{e}_z$$

Nomenclature of modes in cavities (3 indices) and waveguides (2 indices)

 TM_{mnp} -mode = E_{mnp} -mode

 TE_{mnp} -mode = H_{mnp} -mode

in a circular cavity this means:

number of full-period variations of the field components in the azimuthal-direction

${\bf E}$ or ${\bf B} \propto$

 $\cos(m\phi)$ or $\sin(m\phi)$

number of zeros of the axial field component in radial direction.

 E_z or $B_z \propto$ $J_m(x_{mn}r/R_c)$

E-field parallel to axis, $B_z = 0$, only transverse magn. (TM) components

B-field parallel to axis, $E_z = 0$, only transverse el. (TE) components

> number of half-period variations of the field components in the longitudinal-direction

> > ${f E}$ or ${f B}\propto$

 $\cos(p\pi z/l)$ or $\sin(p\pi z/l)$



Solution of the wave equation (circular wave guides)

For circular wave guides we obtain for the vector potential:

$$A_z^{TM/TE} = C J_m(k_c r) \cos(m\varphi) e^{-ik_z z} \quad \text{with} \quad k_z = \sqrt{k^2 - k_c^2}$$

 $\mathbf{H}^{TM} = \nabla \times \mathbf{A}$ and $\mathbf{E}^{TM} = \nabla \times (\nabla \times \mathbf{A})$ using

results in the following **field components for TM waves**:

$$E_{r} = \frac{i}{\omega\varepsilon} \frac{\partial H_{\varphi}}{\partial z} = -C \frac{k_{z}k_{c}}{\omega\varepsilon} J'_{m}(k_{c}r) \cos(m\varphi)$$

$$E_{\varphi} = -\frac{i}{\omega\varepsilon} \frac{\partial H_{r}}{\partial z} = C \frac{mk_{z}}{\omega\varepsilon r} J_{m}(k_{c}r) \sin(m\varphi)$$

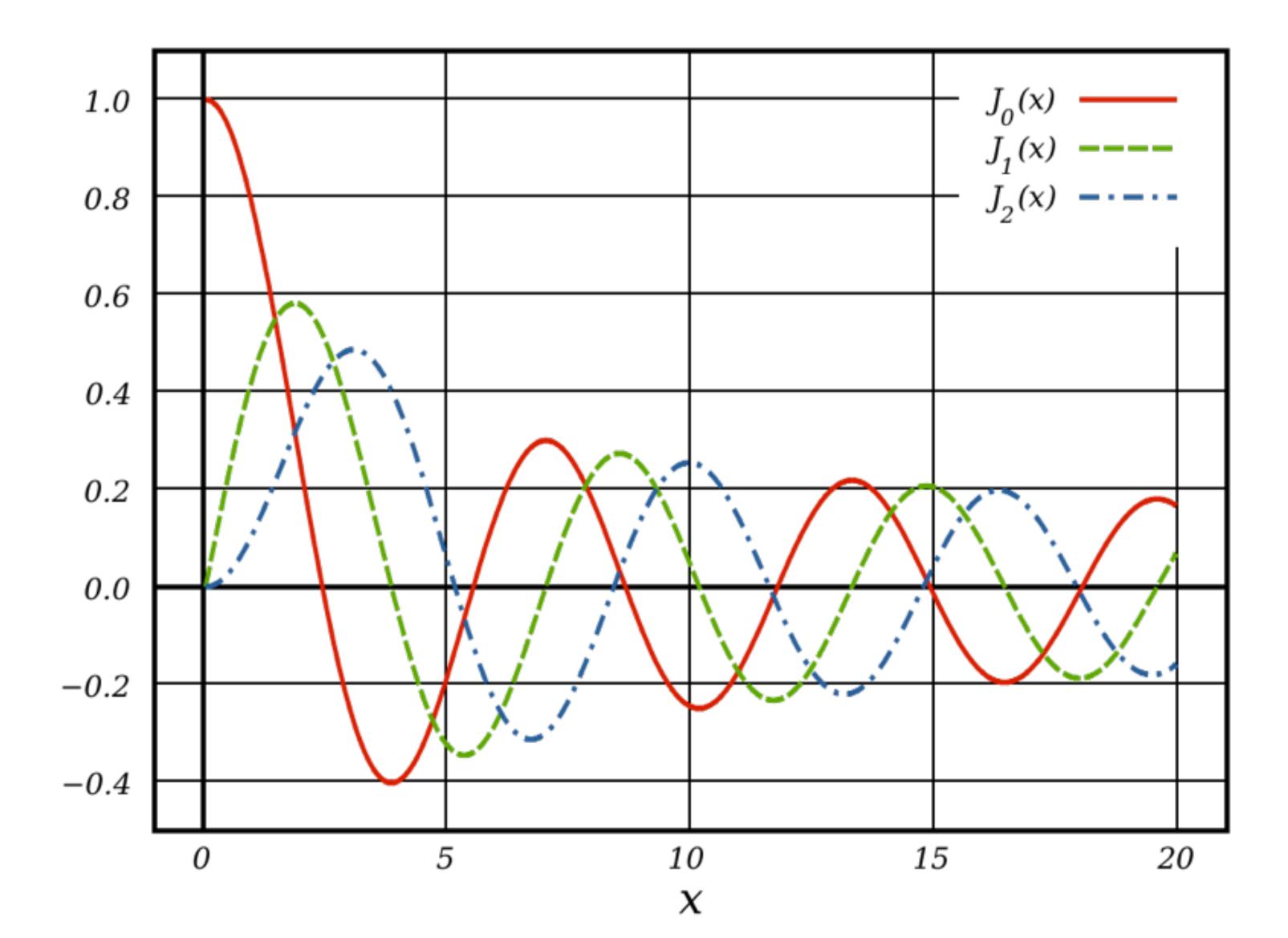
$$E_{z} = \frac{ik_{c}^{2}}{\omega\varepsilon} A_{z} = C \frac{ik_{c}^{2}}{\omega\varepsilon} J_{m}(k_{c}r) \cos(m\varphi)$$

$$H_{r} = \frac{1}{r} \frac{\partial A_{z}}{\partial \varphi} = -C \frac{m}{r} J_{m}(k_{c}r) \sin(m\varphi)$$

$$H_{\varphi} = -\frac{\partial A_{z}}{\partial r} = -Ck_{c} J'_{m}(k_{c}r) \cos(m\varphi)$$

Im are Bessel functions of the first kind and of m'th order

Bessel functions of the first kind



Wave propagation in a cylindrical pipe (conducting walls)

let us consider the simplest accelerating mode (electric field in z-direction): m=0, n=1, TM_{01}

using
$$J_0'(r) = -J_1(r)$$

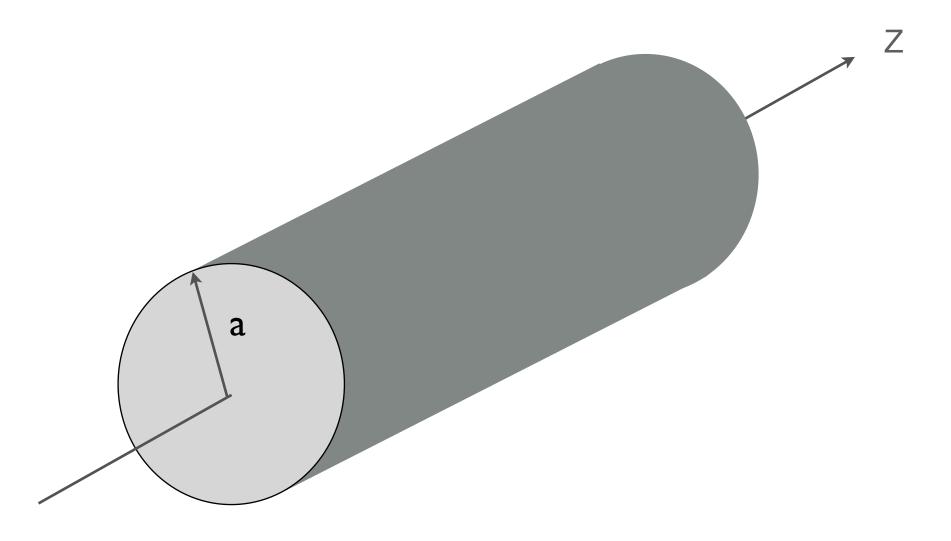
$$E_{r} = C \frac{k_{z} k_{c}}{\omega \varepsilon} J_{1}(k_{c} r)$$

$$E_{z} = -C \frac{i k_{c}^{2}}{\omega \varepsilon} J_{0}(k_{c} r)$$

$$H_{\varphi} = C k_{c} J_{1}(k_{c} r)$$

propagation constant: $k_z^2 = k^2 - k_c^2$ wave

k_c is determined by the boundary conditions of the wave-guide $\mathbf{E}_{\parallel} = 0 \Rightarrow E_z(r = a) = 0 \Rightarrow J_0(k_c a) = 0 \Rightarrow k_c a = 2.405$



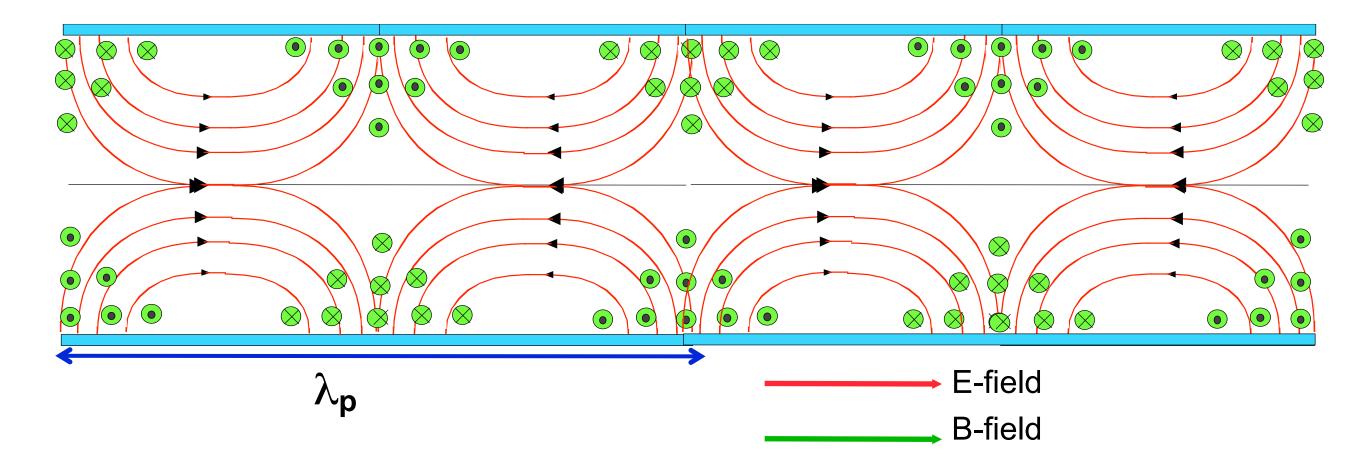
e number:
$$k = \frac{2\pi}{\lambda} = \frac{\omega}{\lambda}$$



Wave propagation in a cylindrical pipe (conducting walls)

$$k_c = \frac{2\pi}{\lambda_c} = \frac{\omega_c}{c}$$

$$\omega_c = \frac{2.405c}{a}$$



- •TM₀₁ waves propagate for: $\omega > \omega_c$
- () < ()• and are exponentially damped for:
- the phase velocity is:

$$w < \omega_c$$
$$v_{ph} = \frac{\omega}{k_z}$$

we can calculate the <u>cut-off frequency</u> for the TM_{01} mode in a cylindrical pipe

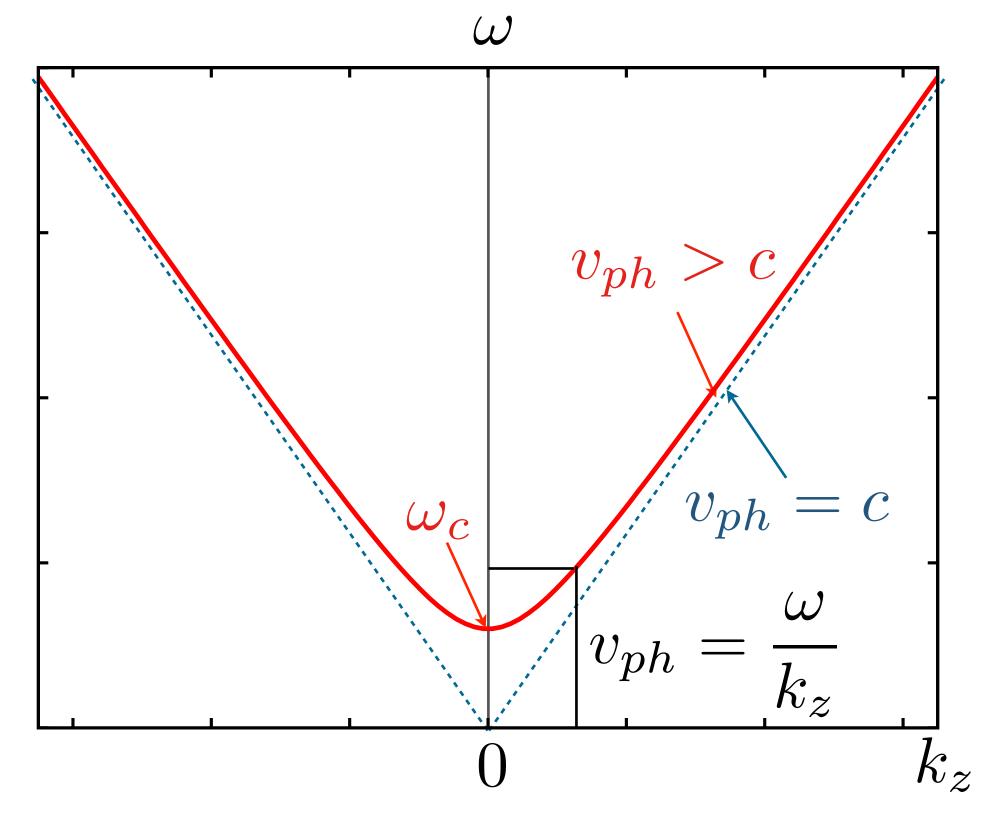
TM01 field configuration

m $k_z^2 = k^2 - k_c^2$ we also get the **dispersion relation**

$$k_z^2 = \frac{\omega^2 - \omega_c^2}{c^2} = \frac{\omega^2}{v_{ph}^2}$$



Dispersion relation (Brillouin diagram)



group velocity:

phase velocity:

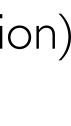
 $d\omega$ v_{gr} dk_z

 v_{ph} k_{z}

- Each frequency corresponds to a certain phase velocity,
- The phase velocity is always larger than c! (at $\omega = \omega_c: k_z = 0 \text{ and } v_{ph} = \infty),$

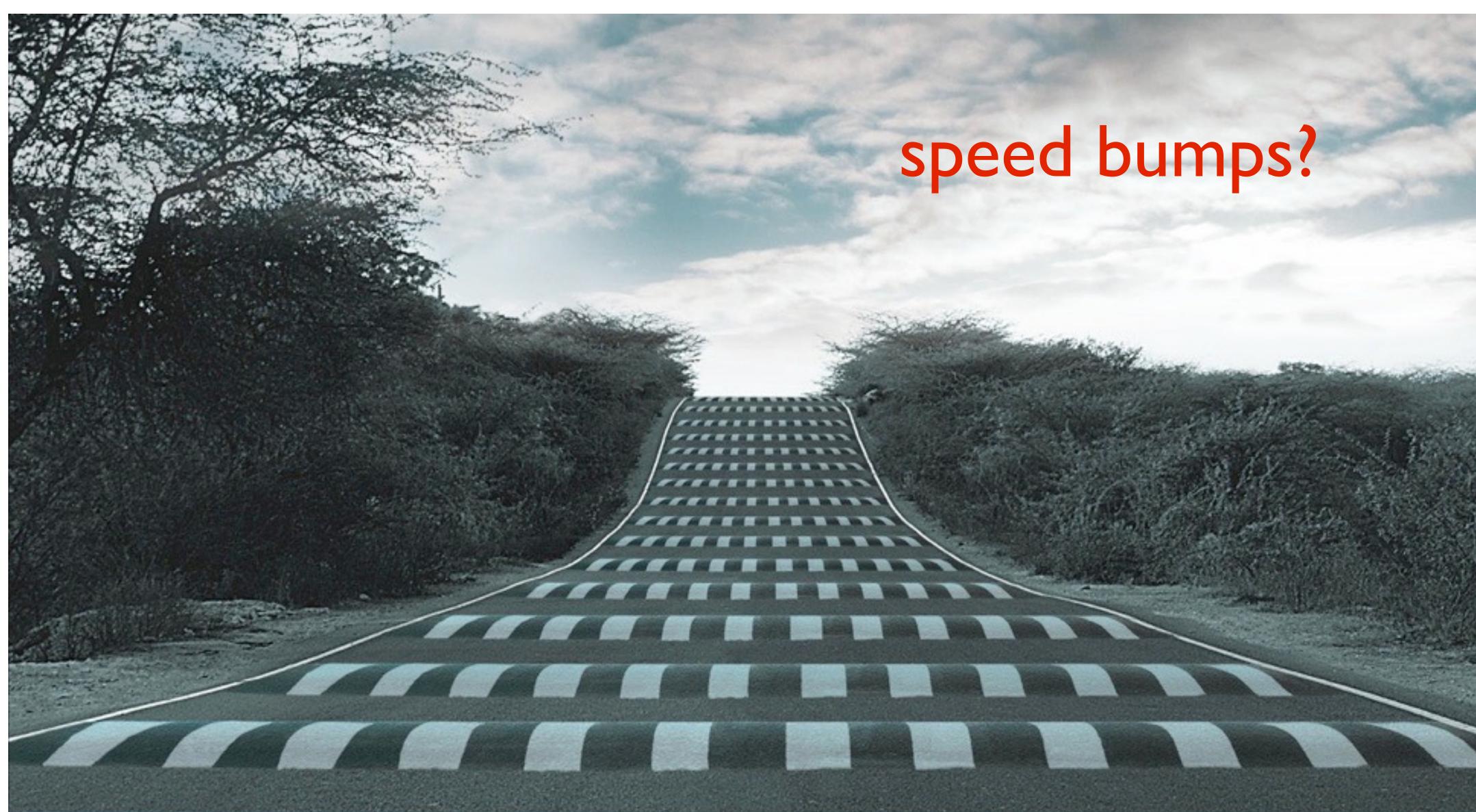
$$v_{ph}^2 = c^2 \frac{\omega^2}{\omega^2 - \omega_c^2}$$

- Synchronism with RF (necessary for acceleration) is impossible because a particle would have to travel at $v = v_{ph} > c!$
- Energy (and therefore information) travels at the group velocity v_{gr}<c,



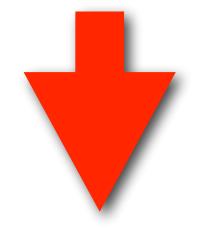


How can we slow down phase velocity?

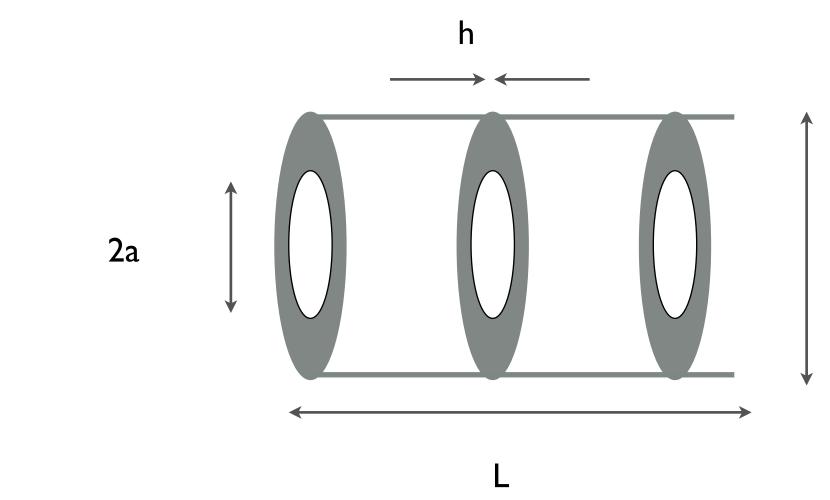


speed bumps?

How can we slow down the phase velocity?

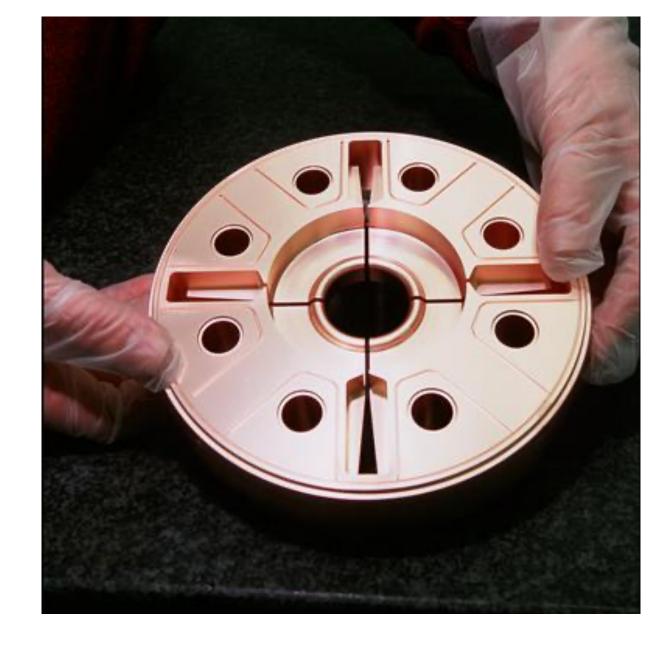


put some obstacles into the wave-guide: e.g: discs



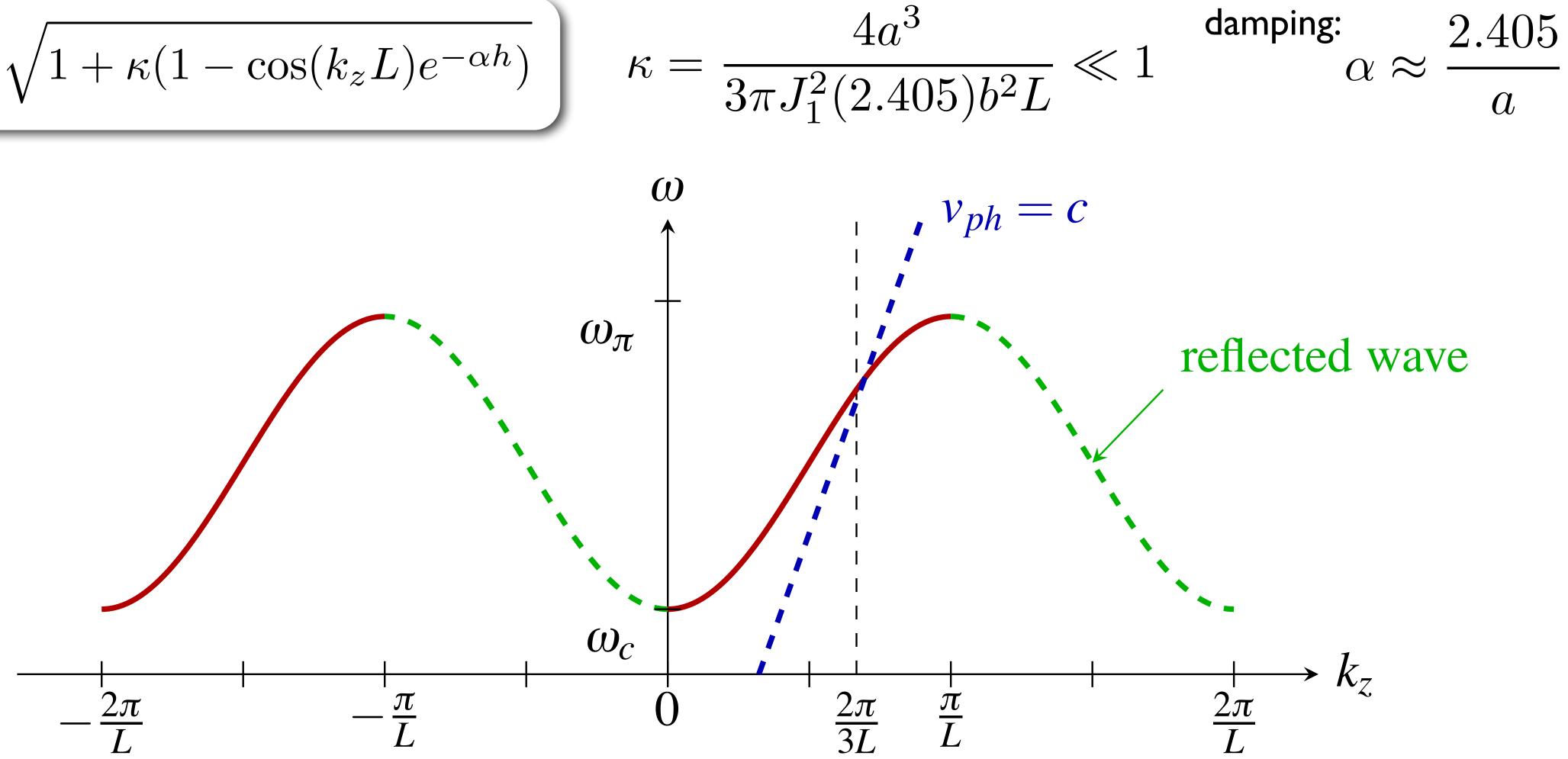
Only then can we achieve synchronism between the particles and the phase velocity of the RF wave.





Dispersion relation for disc-loaded circular wave-guides

$$\omega = \frac{2.405c}{b} \sqrt{1 + \kappa(1 - \cos(k_z L)e^{-\alpha h})}$$

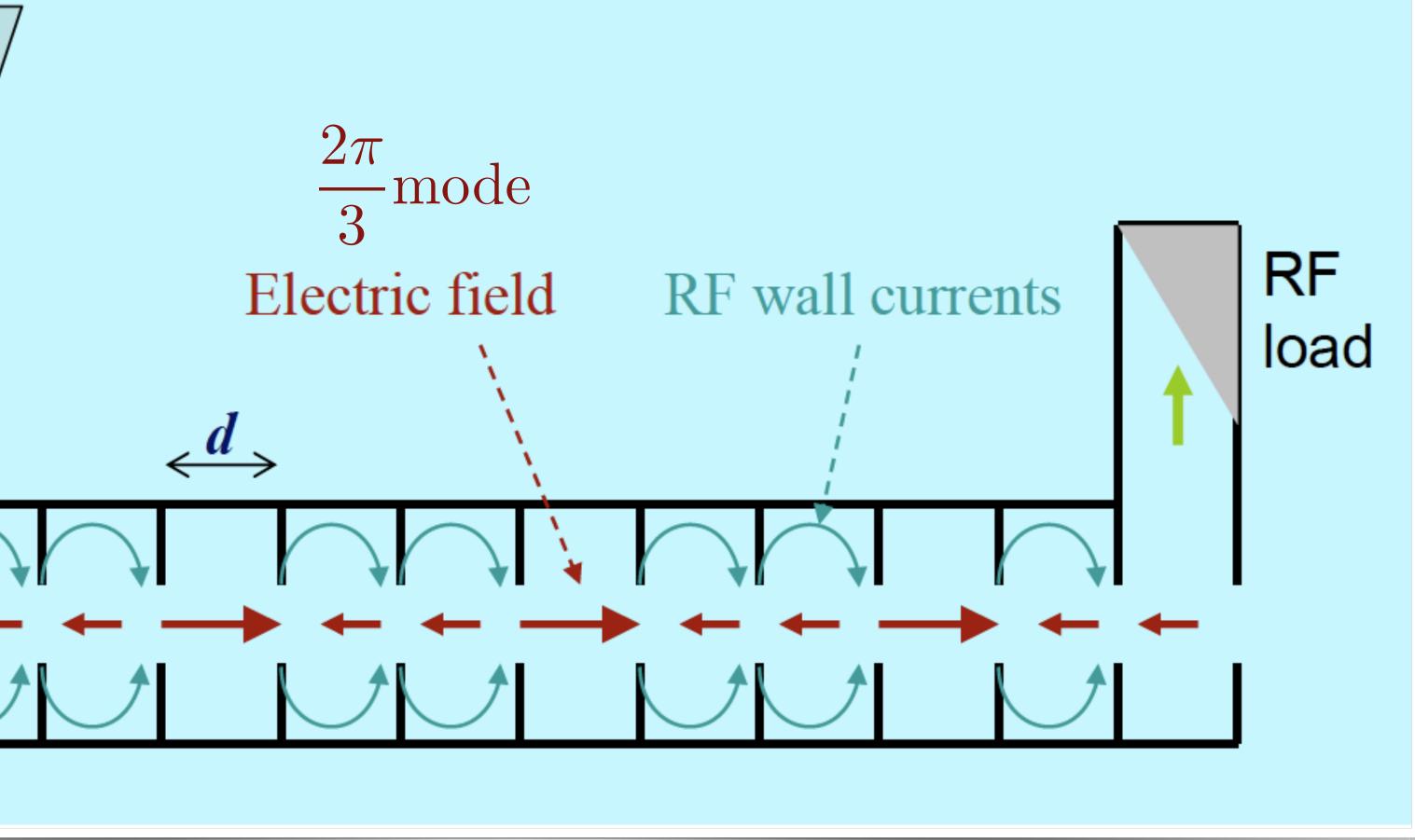


typical operating point



Example of a $2/3 \pi$ travelling wave structure $d = \frac{(\beta)\lambda}{3}$ with $\beta \approx 1$ synchronism condition: pulsed RF Power $\frac{2\pi}{3}$ mode source

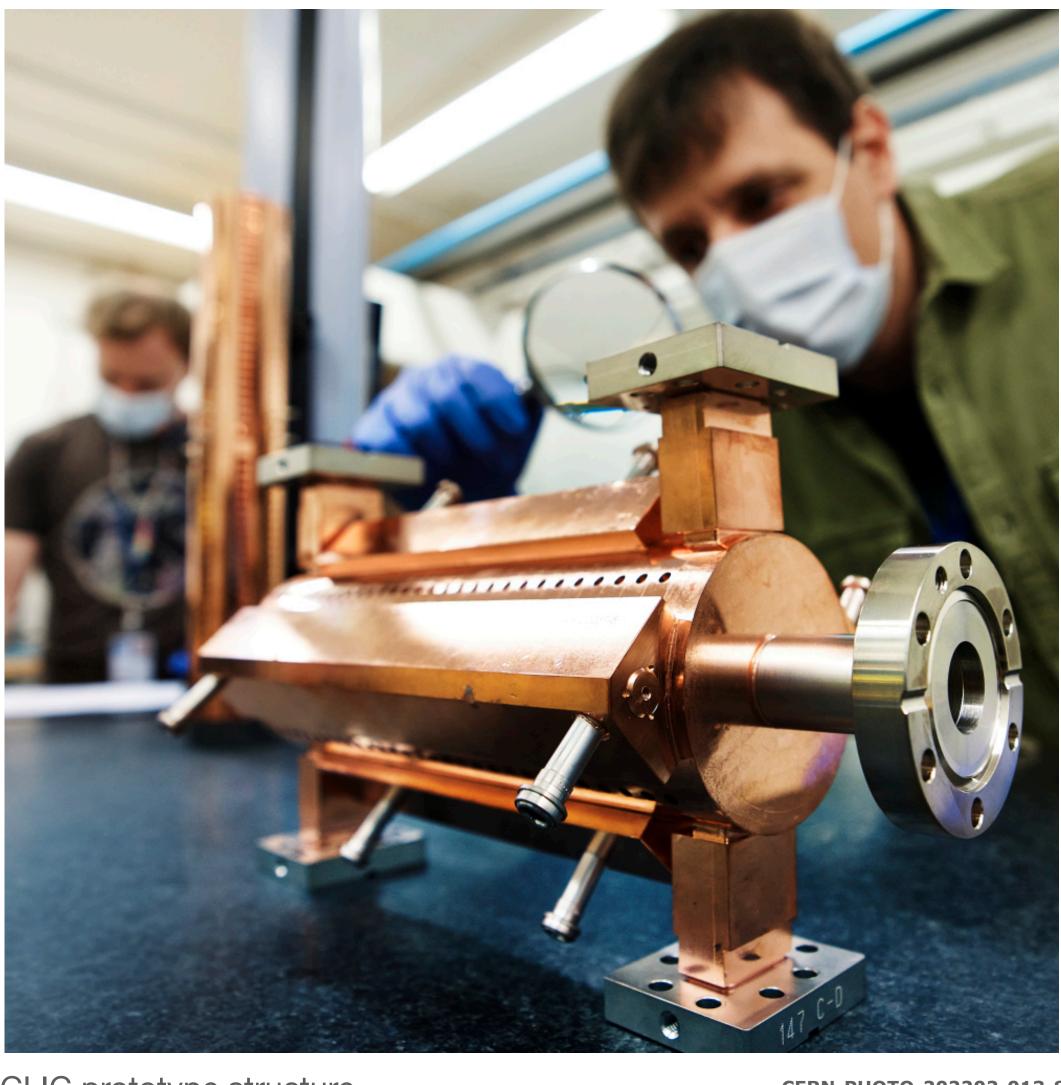




Travelling wave structures

- •Since the particles gain energy the EM-wave is damped along the structure ("constant impedance structure"). But by changing the bore diameter one can decrease the group velocity from cell to cell and obtain a "constant-gradient" structure. Here one can operate in all cells near the break-down limit and thus achieve a higher average energy gain.
- •High-gradient, high-frequency traveling wave structures are mostly used for very short (us) pulses, and can reach high efficiencies, and high accelerating gradients (up to 100 MV/m, CLIC).
- •Generally used for electrons at $\beta \approx I$,

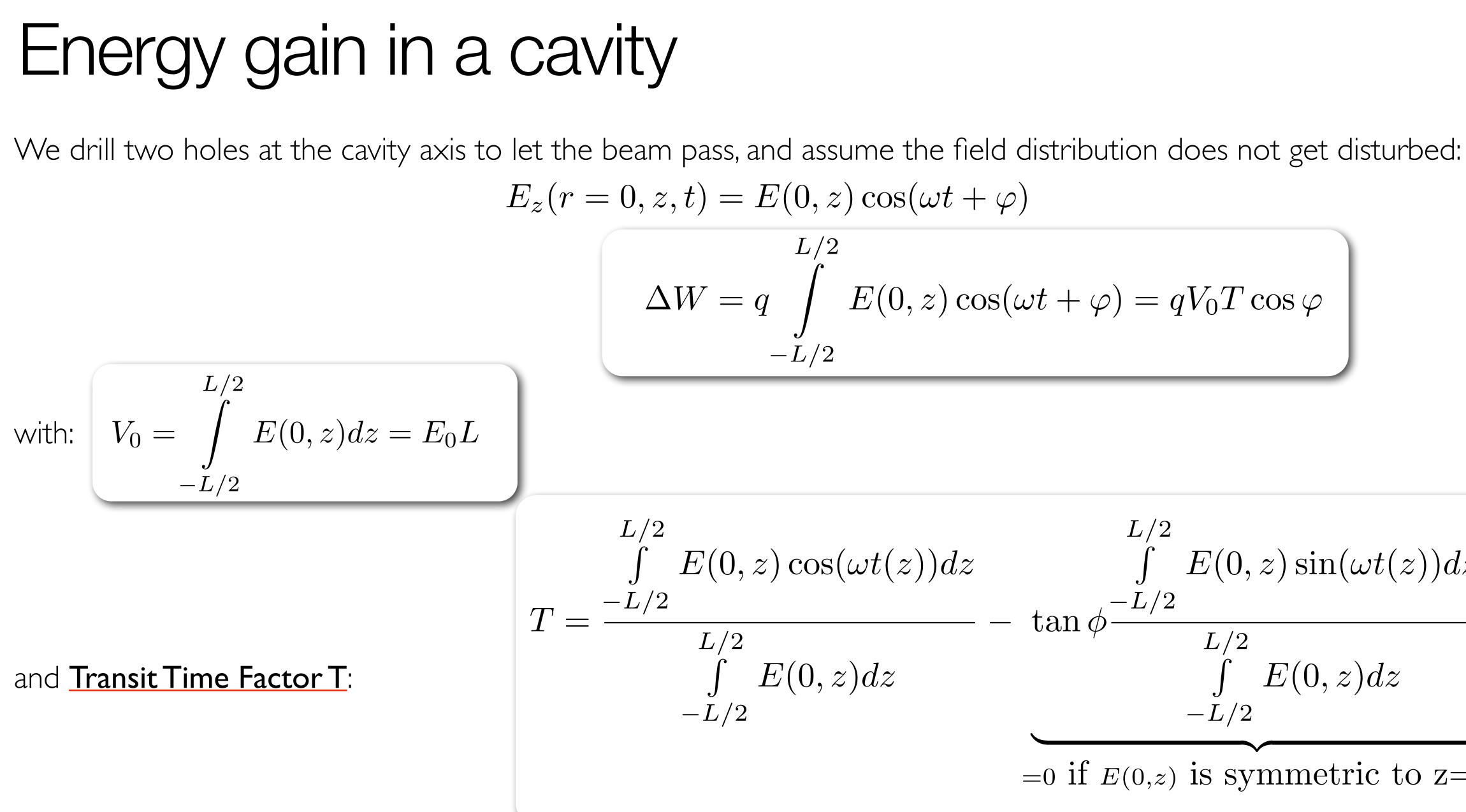




CLIC prototype structure

CERN-PHOTO-202202-013-8

Basic cavity parameters



 $E_z(r=0, z, t) = E(0, z)\cos(\omega t + \varphi)$

$$=q \int_{-L/2}^{L/2} E(0,z) \cos(\omega t + \varphi) = qV_0 T \cos \varphi$$

$$\frac{E(0,z)\cos(\omega t(z))dz}{\int_{L/2}^{L/2} E(0,z)dz} - \frac{\int_{L/2}^{L/2} E(0,z)\sin(\omega t(z))dz}{\int_{L/2}^{L/2} E(0,z)dz}$$
$$= 0 \text{ if } E(0,z) \text{ is symmetric to } z =$$



Transit Time Factor

The Panofsky equation

The Transit Time Factor gives the ratio between the energy gained in an RF field and a DC field and is therefore < 1. It takes into account that the electric field changes its phase during the passage of the beam.

If we assume that the velocity change is small, we can say that

which simplifies the Transit Time Factor to:

 $\Delta W = q E_0 T L \cos \varphi$

$$\omega t \approx \omega \frac{z}{v} = \frac{2\pi z}{\beta \lambda}$$

$$T = \frac{\int_{-L/2}^{L/2} E(0, z) \cos\left(\frac{2\pi z}{\beta \lambda}\right) dz}{\int_{-L/2}^{L/2} E(0, z) dz}$$



Shunt impedance

R_s	—	$\frac{V_0^2}{P_d}$	shunt impedance
R	—	$\frac{(V_0 T)^2}{P_d}$	effective shunt in
Z	—	$\frac{R_s}{L} = \frac{E_0^2}{P_d/L}$	shunt impedance
ZT^2	—	$\frac{R}{L} = \frac{\left(E_0 T\right)^2}{P_d/L}$	eff. shunt impeda

The shunt impedance gives a measure of how much accelerating voltage V_0 one can get with a given power P_d , which is dissipated in the cavity walls.

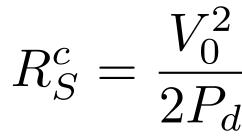
Above we have used the linac definition of the shunt impedance, but sometimes also the <u>circuit definition</u> is used (more on that later).

е

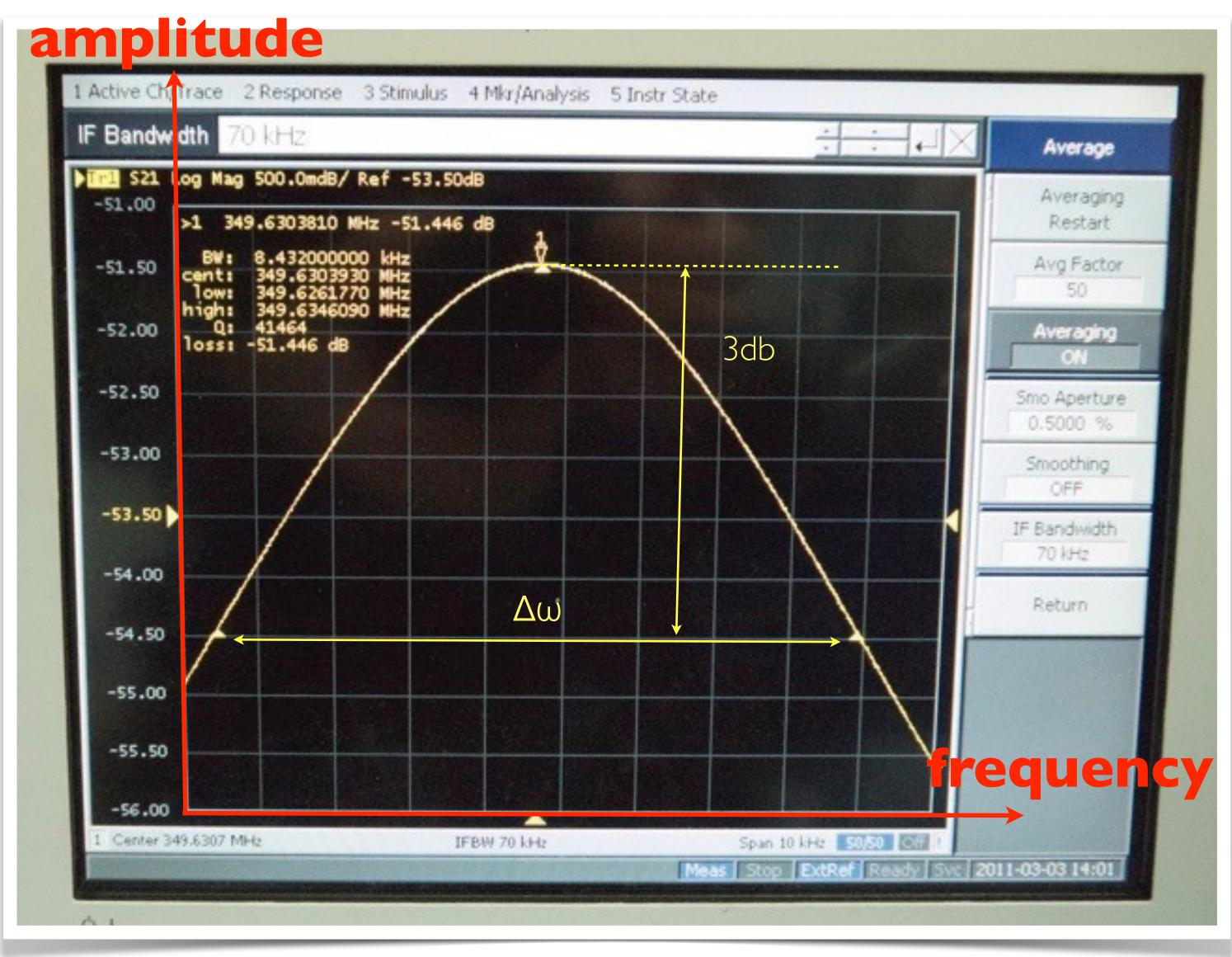
mpedance

e per unit length

lance per unit length



3 db bandwidth



Q and (R/Q)

power (stored energy) to real power lost in the cavity walls:

Together with the shunt impedance we can define another figure of merit, which is used to maximize the energy gain in a given length for a certain power loss.

 \overline{Q}

This quantity is independent from the surface losses and qualifies only the geometry of the cavity!

The Quality factor Q describes the bandwidth of a resonator and is defined as the ratio of reactive

$$Q = \frac{\omega}{\Delta\omega} = \frac{\omega W}{P_d}$$

$$\left(\frac{V_0}{\omega}\right) = \frac{(V_0T)^2}{\omega W}$$

Filling time of a cavity

The dissipated power in the cavity walls must be equal to the rate of change of the stored energy:

As a solution we find an exponential decay for the energy:

For a loaded cavity (e.g. equipped with a power coupler) the filling time constant changes to: (Q₁ will be derived later)

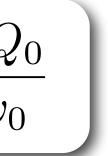
(Comment: one can also define tau as $au = rac{Q_0}{\omega_0}$), t

$$P_d = -\frac{dW}{dt} = \frac{\omega_0 W}{Q_0}$$
$$Q_0 = \frac{\omega_0 W}{P_c}$$

 $W(t) = W_0 e^{-\frac{2t}{\tau}} \quad \text{with} \quad \tau = \frac{2Q_0}{\omega_0}$

$$\tau_l = \frac{2Q_l}{\omega_0}$$

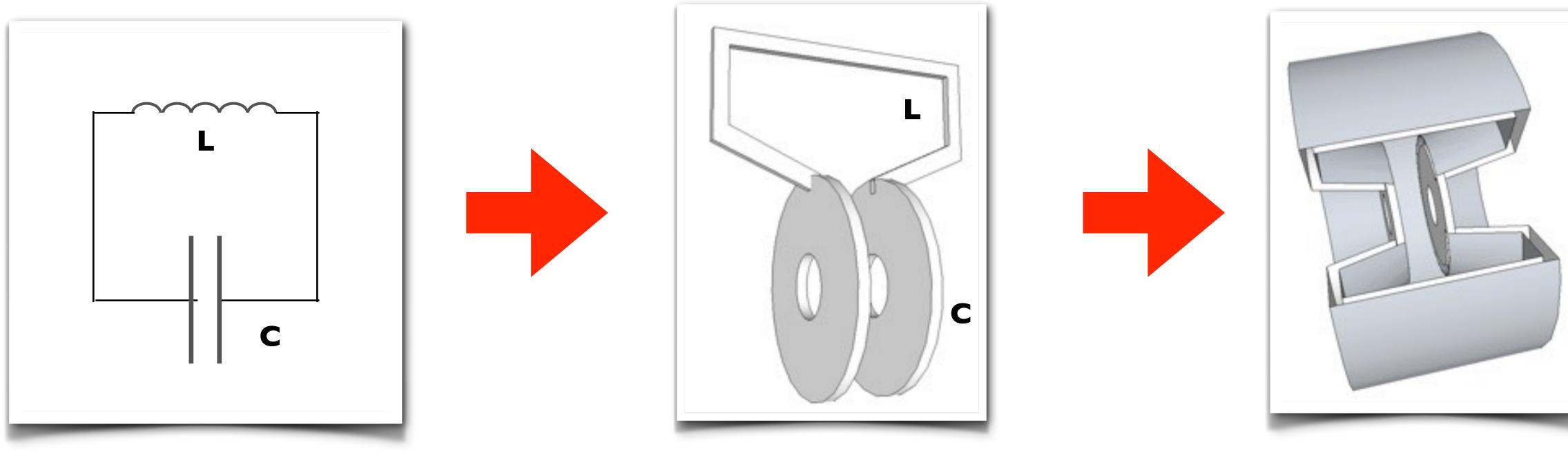
then
$$W(t) \propto e^{-rac{t}{ au}}$$



The Pillbox cavity - a typical TM mode cavity -



The pillbox cavity



$$\omega_{res} = 2\pi f_{res} = 1/\sqrt{LC}$$

A lumped element resonator transformed into a pillbox cavity

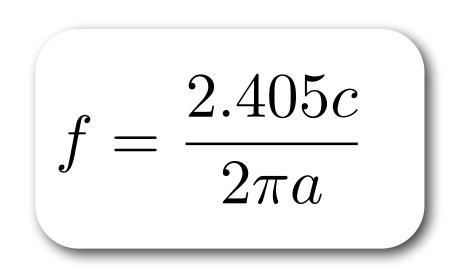


The pillbox cavity

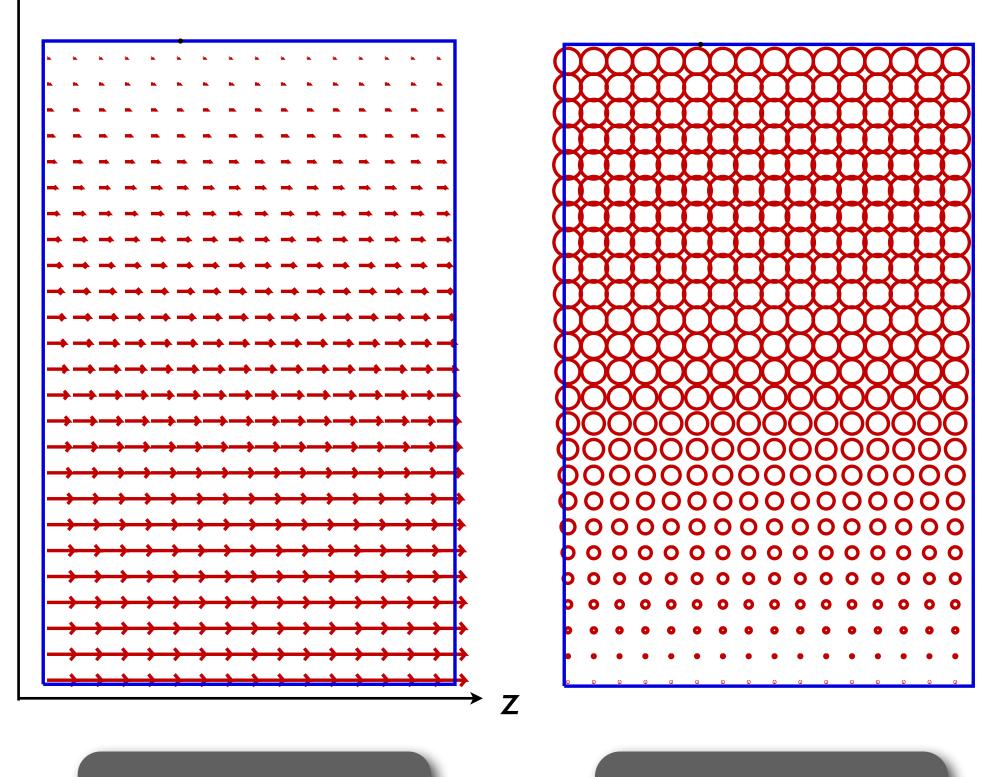
...an empty cylinder with conducting walls:

 with longitudinal electric field and transverse magnetic fields: TM₀₁₀ mode (φ,r,z),

no field dependence on z and φ,
 frequency is determined by
 radius r=a:







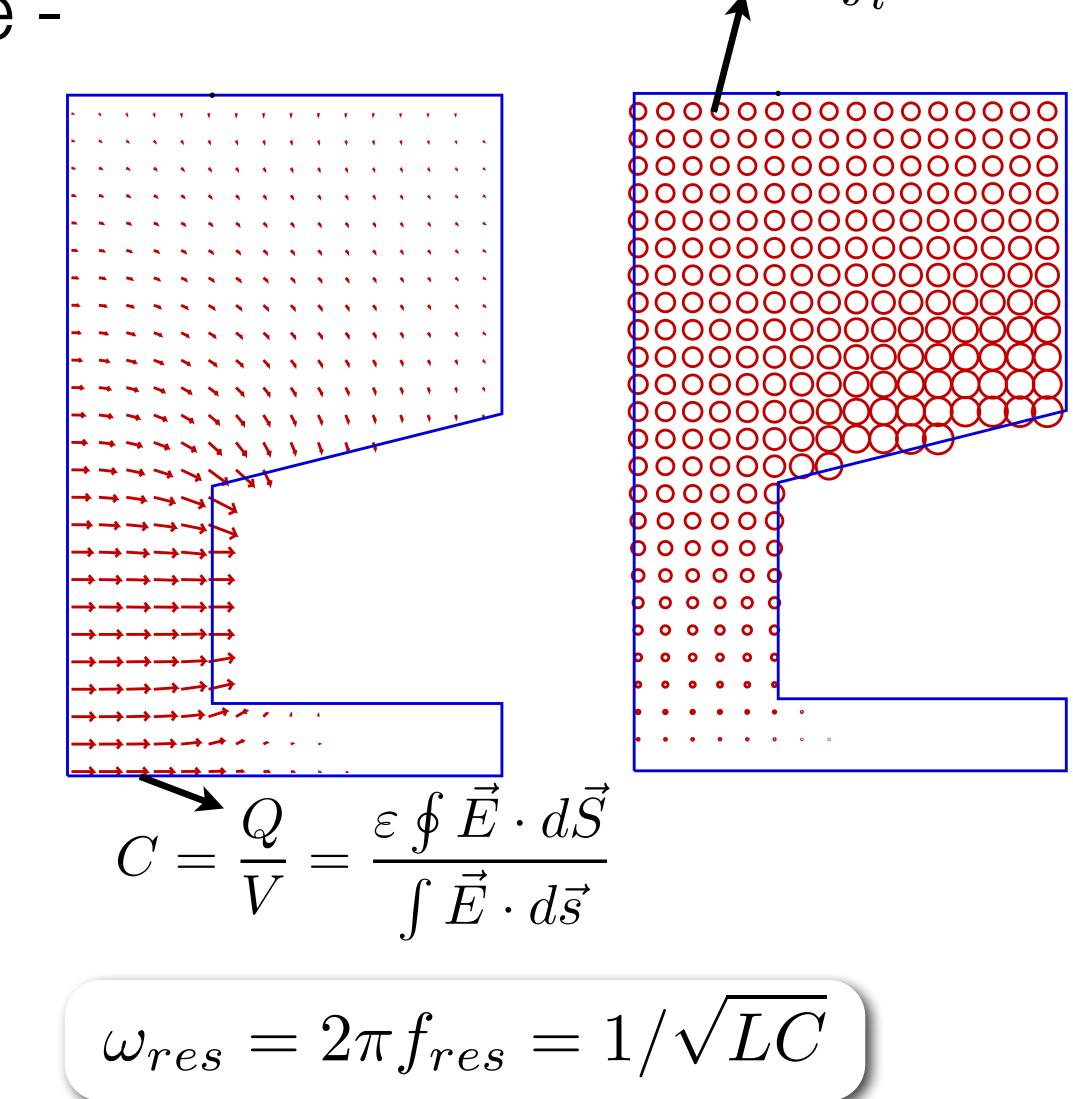
electric fields

magnetic fields

 $E_z \propto J_0(k_r r)$ $H_{\varphi} \propto J_1(k_r r)$

The typical TM mode cavity - normal conducting, standing wave -

- •usually C is increased to concentrate the electric field lines along the axis,
- •diameter of the cavities is in the order of $\lambda/2$, which makes them suitable for frequencies >100 MHz - GHz range,
- •exist as single/multi-cell, normal/ superconducting,
- •usually fixed frequency,



 $= \frac{\oint_{S} \vec{B} \cdot d\vec{S}}{\oint_{T} \vec{H} \cdot d\vec{l}}$

Field distribution in a pillbox cavity

Using again the vector potential for circular waves and superimposing 2 waves: one in positive and one in negative z-direction $A_z^{TM/TE} = C J_m(k_r r) \cos(m\varphi) \left(e^{-ik_z z} + e^{ik_z z}\right)$

We can derive all TM field $\mathbf{H}^{TM} = \nabla \times \mathbf{A}$ components using:

$$E_{r} = \frac{i}{\omega\varepsilon} \frac{\partial H_{\varphi}}{\partial z} = i2C \frac{k_{z}k_{r}}{\omega\varepsilon} J'_{m}(k_{r}r) \cos(m\varphi) \sin(k_{z}z)$$

$$E_{\varphi} = -\frac{i}{\omega\varepsilon} \frac{\partial H_{r}}{\partial z} = -i2C \frac{mk_{z}}{\omega\varepsilon r} J_{m}(k_{r}r) \sin(m\varphi) \sin(k_{z}z)$$

$$E_{z} = \frac{ik_{r}^{2}}{\omega\varepsilon} A_{z} = i2C \frac{k_{r}^{2}}{\omega\varepsilon} J_{m}(k_{r}r) \cos(m\varphi) \cos(k_{z}z)$$

$$H_{r} = \frac{1}{r} \frac{\partial A_{z}}{\partial \varphi} = -2C \frac{m}{r} J_{m}(k_{r}r) \sin(m\varphi) \cos(k_{z}z)$$

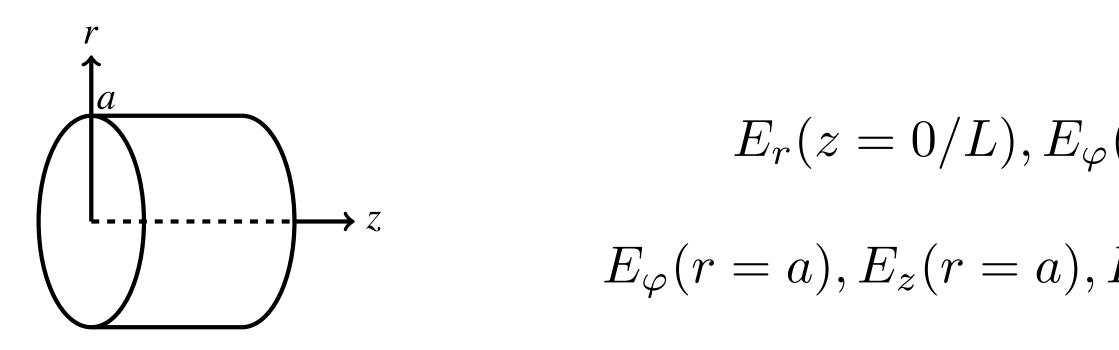
$$H_{\varphi} = -\frac{\partial A_{z}}{\partial r} = -2Ck_{r} J'_{m}(k_{r}r) \cos(m\varphi) \cos(k_{z}z)$$

$$2 J_m(k_r r) \cos(m\varphi) \underbrace{\left(e^{-ik_z z} + e^{ik_z z}\right)}_{2\cos(k_z z)}$$

$$\mathbf{E}^{TM}$$
 and $\mathbf{E}^{TM} = \nabla \times (\nabla \times \mathbf{A}^{TM})$

Field distribution in a pillbox cavity

applying the boundary conditions we can define the wave numbers:



which gives us a **discrete set of frequencies**:

$$k^{2} = \frac{\omega^{2}}{c^{2}} = k_{z}^{2} + k_{r}^{2} \qquad \Rightarrow f_{nm} = \frac{c}{2\pi} \sqrt{\left(\frac{n\pi}{L}\right)^{2} + \left(\frac{j_{m}}{a}\right)^{2}}$$

The mode with lowest frequency is the **TM₀₁₀ mode:**

$$\begin{array}{c} f = \frac{2.405c}{2\pi a} \\ H_{\varphi} &= -i2C\frac{j_{01}^2}{a^2\omega\varepsilon}J_0(\frac{j_{01}}{a}r) \\ H_{\varphi} &= 2C\frac{j_{01}}{a}J_1(\frac{j_{01}}{a}r) \\ = \frac{E_0}{Z_0}J_1(\frac{j_{01}}{a}r) \end{array}$$

$$k_{z}(z=0/L) = 0 \qquad \Rightarrow k_{z} = \frac{n\pi}{L}$$

 $H_{r}(r=a) = 0 \qquad \Rightarrow k_{r} = \frac{j_{m}}{a}$

dispersion relation

Transit time factor (pillbox cavity)

In a pillbox cavity (TM $_{010}$ mode) the accelerating field has no dependence on z, which simplifies our expression for T to:

$$T = \frac{\int_{-L/2}^{L/2} E(0, z) \cos\left(\frac{2\pi z}{\beta\lambda}\right) dz}{\int_{-L/2}^{L/2} E(0, z) dz} = \frac{\sin\left(\frac{\pi L}{\beta\lambda}\right)}{\frac{\pi L}{\beta\lambda}}$$

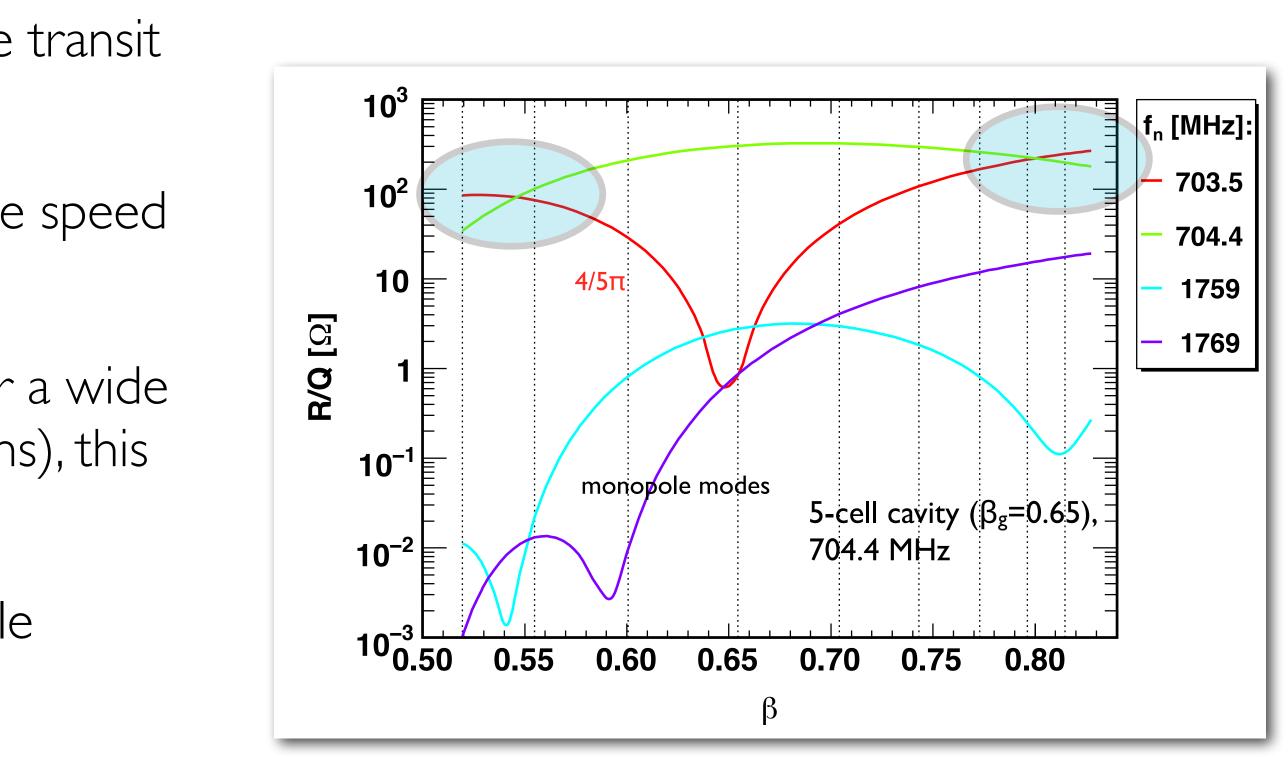
Let us assume relativistic particles ($\beta \approx I$) and a cavity length of L= $\lambda/2$ (which can be cascaded to π -mode multi-cell cavities):

$$T = \frac{2}{\pi} = 0.64$$

Accelerating voltage (pillbox)

- The accelerating voltage is a strong function of the transit time factor.
- It therefore depends on the gap length (L), and the speed of the particle (β).
- Especially in multi-cell cavities, which are used over a wide velocity range (e.g. SC multi-cell cavities for protons), this effect must be taken into account carefully.
- Also HOMs depend on the depend on the particle speed!

accelerating voltage: $V_{acc} = V_0 T = E_0 L T = E_0 L \frac{\sin(\frac{\pi L}{\beta \lambda})}{\frac{\pi L}{\beta \lambda}}$



Q₀ (pillbox)

quality factor



Wit (not

- The quality factor is a function of the material constants (el. conductance, permeability), the frequency, and the geometry of the cavity.
- Since the material is usually fixed (Cu), one can optimize the quality factor by optimizing the geometry of the cavity.
- Higher frequencies yield higher quality factors (only true for normal conducting cavities).

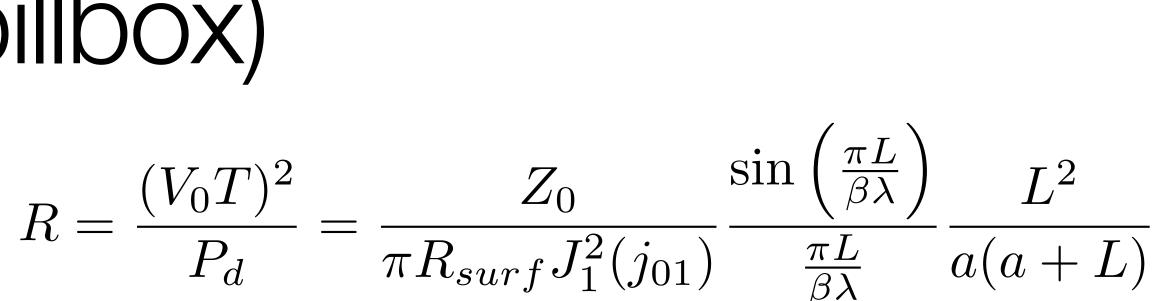
$$= \frac{\omega W}{P_d} = \frac{Z_0^2 \omega}{2R_{surf}} \frac{La}{L+a} = \frac{1}{\delta_s} \frac{La}{L+a} \propto \sqrt{\omega}$$

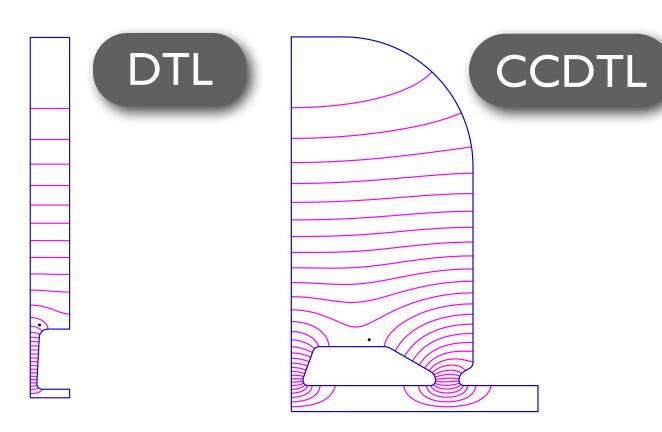
h the skin depth
c derived here)
$$\delta_s = \sqrt{\frac{2}{\omega \mu \kappa}}$$

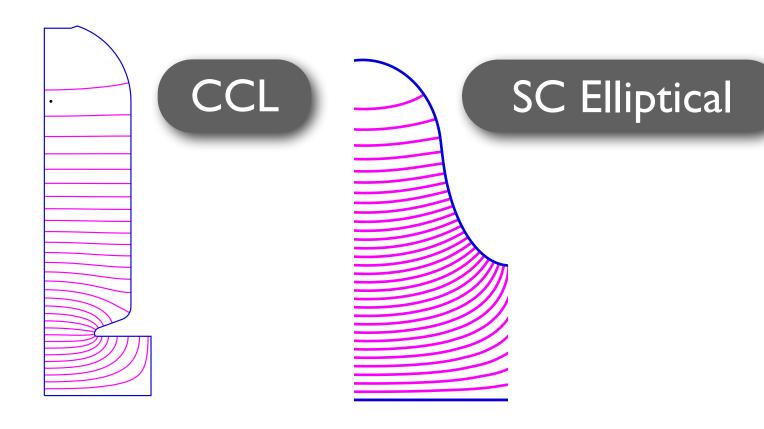
Shunt impedance (pillbox)

effective shunt impedance

- Depends on material parameters, the transit time factor and the geometry.
- This is why most normal conducting cavities have noses.
- Noses increase T and focus the electric field between them.
- Why do SC cavities not have noses?





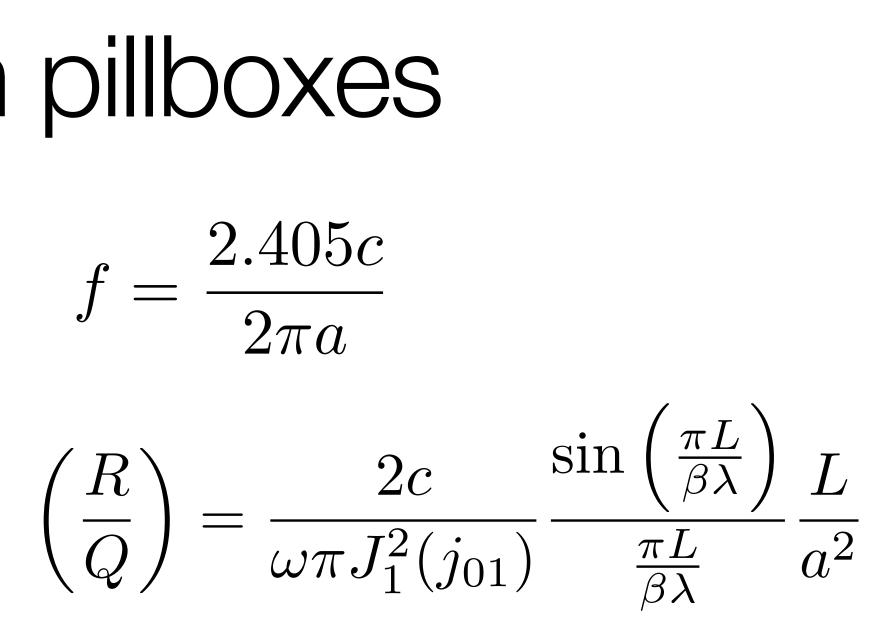


Frequency and (R/Q) in pillboxes

frequency

(R/Q)

- In all TM mode cavities, the frequency is strongly influenced by the cavity diameter.
- \bullet (R/Q) does not depend on any material parameters, but is influenced by the transit time factor and the geometry and is inversely proportional to the frequency.

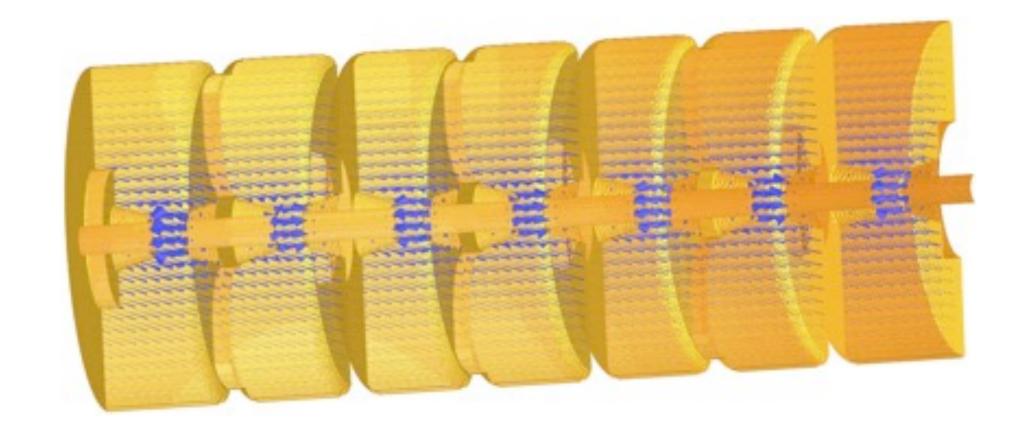


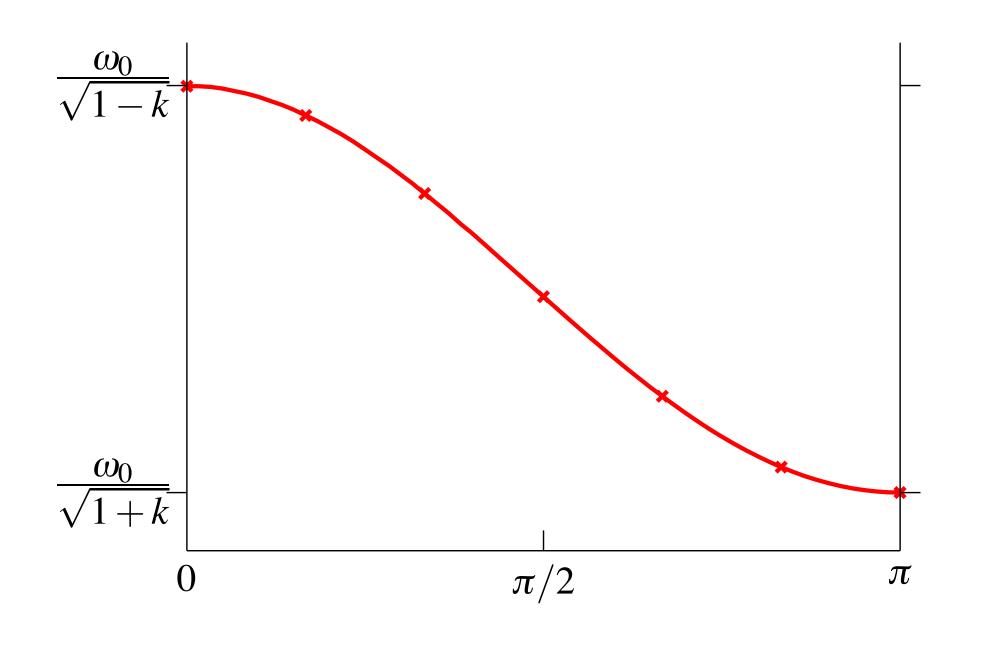
Multi-cell TM-mode cavities

- •For coupled multi-cell structures one power source can be used for many cells.
- •Here we assume a TM010 mode in each cell. •A model of equivalent LC circuits is used to introduce the coupling between cells, and can be used to determine the resulting single cell frequencies.
- •The mode names $(0, ..., \pi/2, ..., \pi)$ correspond to the phase difference between the gaps.

$$\omega_n = \frac{\omega_0}{\sqrt{1 + k\cos(n\pi/N)}}$$

dispersion relation





To be continued