



### Impedance and Wakefields

A. Mostacci, M. Migliorati, L. Palumbo





### OUTLINE

#### Impedance and Wakefields

The wakefields

Perturbative approach and main approximations

Wake functions and wake potentials

Longitudinal and transverse coupling impedance

**Examples** 

Ideas on exploiting the wakefields



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### PARTICLE INTERACTION WITH EM FIELDS

### **Beam manipulation**

Particle acceleration, deflection ...

External sources acting on the beam through EM fields.

RF devices (cavities or waveguides)

$$abla imes \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

 $\vec{E}$ 

Wakefields and coupling impedance

The beam itself is the source of EM fields

**Beam Instabilities** 

Diagnostics

Extraction of beam energy (e.g. klystron)

$$\nabla \cdot \vec{E} = \rho / \epsilon_0$$

$$\nabla \times \vec{B} = \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t} + \mu_0 \vec{J}$$

Point 
$$\vec{J} = \rho \vec{v} = \frac{Q}{2\pi r} \delta(r) \, \delta(z - vt)$$



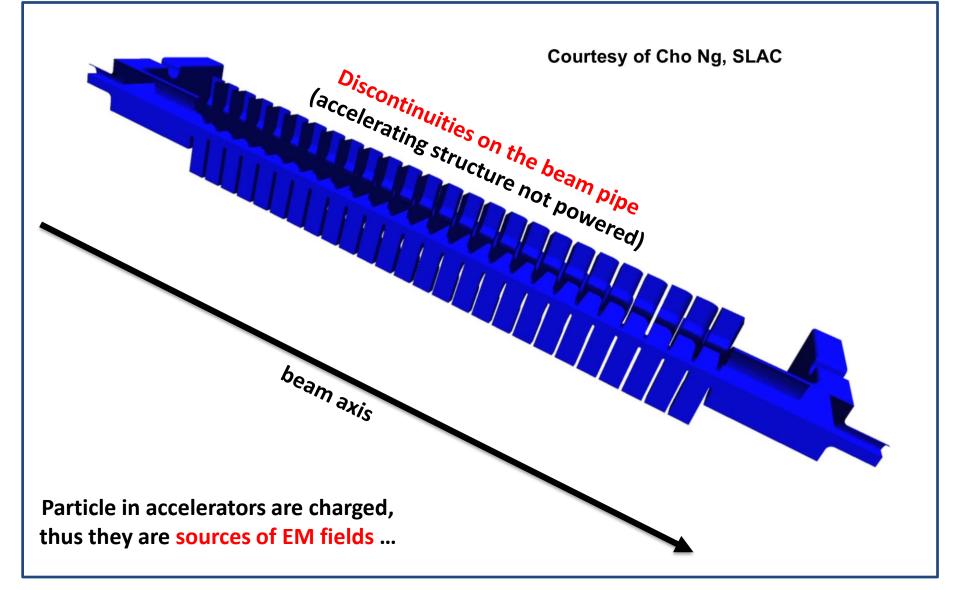
 $\partial \vec{B}$ 

Эt

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 $\vec{v}$ 

### **PARASITIC EFFECTS: THE WAKEFIELDS**





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### WAKEFIELD AND ENERGY CONSERVATION

**Courtesy of Cho Ng, SLAC** 

Wakefields extract beam energy to electromagnetic fields

The principle is used in general purpose RF sources (e.g. klystrons) as well as for direct particle acceleration (e.g. particle wakefield accelerators)



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### **A PERTURBATIVE APPROACH**

Accelerator physics is similar to plasma physics; they use, for instance, Vlasov equation. Both involve nonlinear dynamics (single-particle effects) and collective instabilities (multi-particle effects). However, there is an important difference:

```
beam self fields > external applied fields (plasma)
beam self fields << external applied fields (accelerators)</pre>
```

This difference means perturbation techniques are applicable to accelerators with

```
unperturbed motion = external fields (cavities, magnets, ...)
perturbed motion = self fields or "wakefields"
```

In fact, in accelerator physics, a first order perturbation often suffices.

It is important to appreciate the fact that instability analysis in accelerators is based on the validity of this perturbation technique.

In particular, the concept of wakefield is based on the validity of this perturbation technique as applied to high energy accelerators.

A. Chao, Lecture Notes on Special Topics in Accelerator Physics



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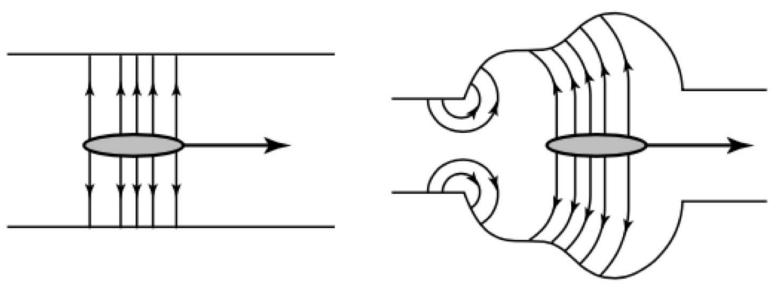
# **BEAM - STRUCTURE INTERACTION** Most wakefields are generated by beam-structure interaction.

Smooth Pipe

No wakefield

Pipe with structure

Wakefield

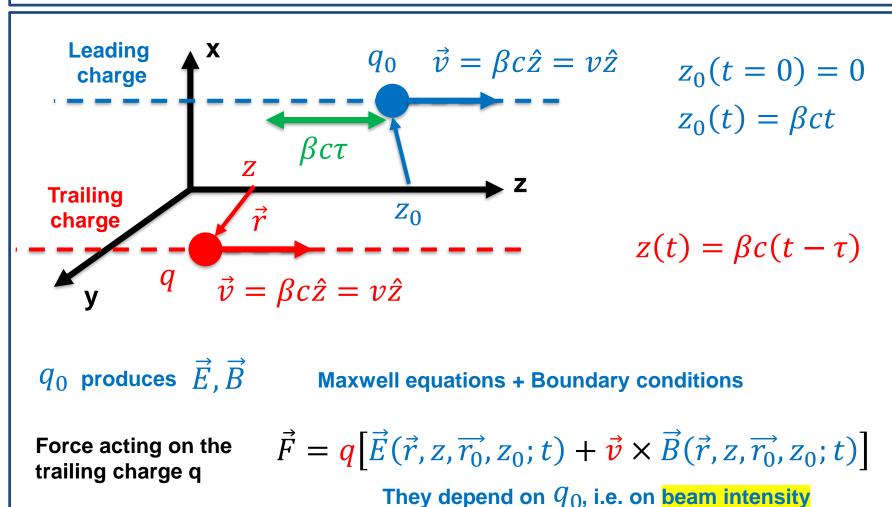


Beam-structure interaction is a difficult problem in general. Its solution often involves numerical solution using particle-in-cell (PIC) codes. Applying PIC codes is reasonable for small devices such as electron guns and klystrons, but becomes impractical for large accelerators.



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### THE PROBLEM



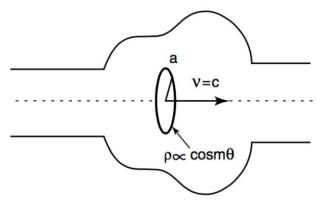
No external fields such as accelerating, focusing, deflecting ...



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### **RIGID BEAM APPROXIMATION**

At high energies, beam motion is little affected during the passage of a structure. This means one can calculate the wakefields assuming the beam shape is rigid and its motion is ultra-relativistic.

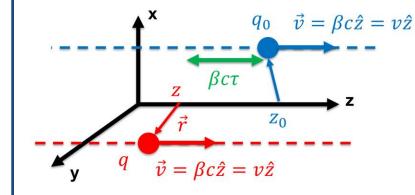


wakefield by a rigid  $cos(m\theta)$  ring beam

m = 0 is monopole moment (net charge)

m = 1 is dipole moment, ...

Wake field of a general beam can be obtained by superposition of wakefield due to the ring beams with different m indexes and different ring radii.



Rigid motion of q and  $q_0$ .

 $\beta$  doesn't change during the motion

 $z_0 - z = \beta c \tau$  constant

 $\gamma \gg 1$  High energy



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### **IMPULSE APPROXIMATION**

We need to know the impulse of the Lorentz force integrated along the unperturbed trajectory of the trailing charge q.

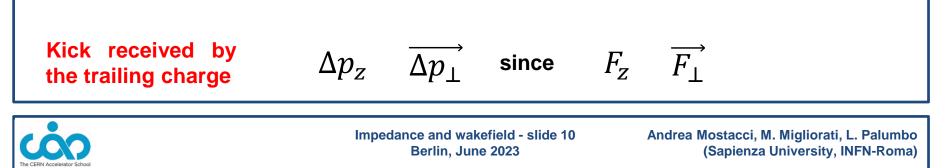
 $\beta c \tau$  is fixed Rigid beam

$$\overrightarrow{\Delta p}(\vec{r}, \overrightarrow{r_0}; \tau) = \int_{-\infty}^{+\infty} q \left[ \vec{E}(\vec{r}, z, \overrightarrow{r_0}, z_0; t) + \vec{v} \times \vec{B}(\vec{r}, z, \overrightarrow{r_0}, z_0; t) \right] dt$$

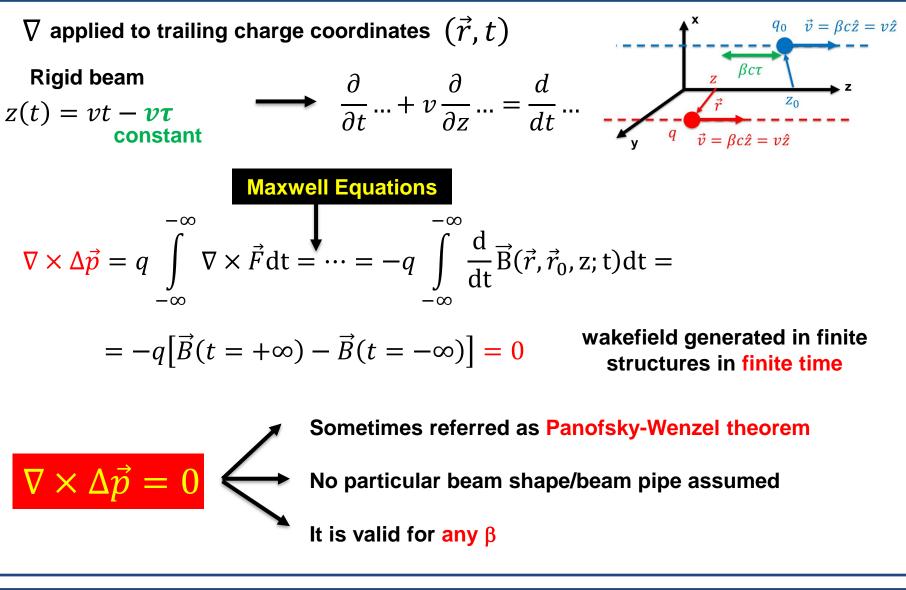
wakefield are much difficult to compute than  $\Delta p$ 

The wakefield is localized to the neighbourhood of the finite length structure in the beam pipe.

The beam is rigid during the passage,  $\Delta p$  will affect the beam motion after the passage



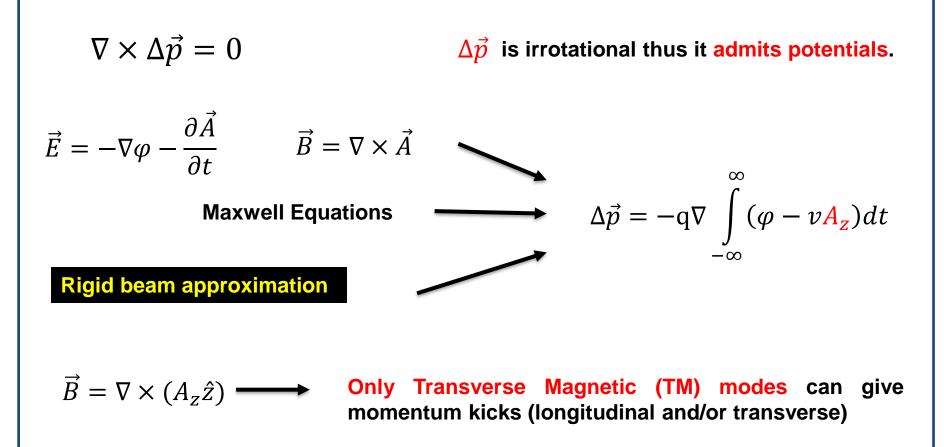
### **PROPERTIES OF LORENTZ FORCE IMPULSE**





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### **MOMENTUM KICK AND POTENTIALS**

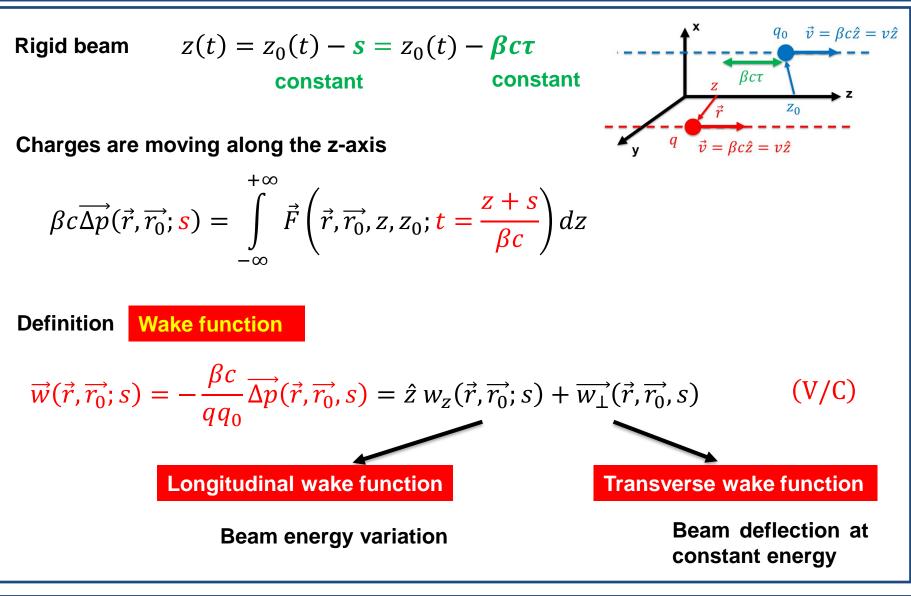


W.K.H. Panofsky and W.A. Wenzel. Some considerations concerning the transverse deflection of charged particles in radio-frequency fields. *Review of Scientific Instruments*, 27, 1956.



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### **WAKE FUNCTION**





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### WAKE FUNCTION AND WAKE POTENTIAL

$$\vec{w}(\vec{r}, \vec{r_0}; s) = -\frac{\beta c}{qq_0} \overrightarrow{\Delta p}(\vec{r}, \vec{r_0}, s) = \hat{z} \, w_z(\vec{r}, \vec{r_0}; s) + \overrightarrow{w_\perp}(\vec{r}, \vec{r_0}, s)$$

The wake function describes the effect on a point charge: it is a Green function.

$$\nabla \times \Delta \vec{p} = 0 \longrightarrow \nabla \times \Delta \vec{w} = 0 \longrightarrow \frac{\partial \vec{w_{\perp}}}{\partial z} (\vec{r}, z) = -\nabla_{\perp} w_z(\vec{r}, z)$$
 Panofsky-Wenzel theorem

$$\vec{w}(\vec{r}, \vec{r_0}; s) = -\frac{\beta c}{q_0} \vec{\Delta p}(\vec{r}, \vec{r_0}, s)$$
(V)

$$\beta c \Delta p_z(\vec{r}, \vec{r_0}, s) = -q q_0 w_z(\vec{r}, \vec{r_0}; s)$$
 (Joule) Energy gain/loss

 $\beta c \overrightarrow{\Delta p_{\perp}}(\vec{r}, \vec{r_0}, s) = -q q_0 \overrightarrow{w_{\perp}}(\vec{r}, \vec{r_0}; s) \qquad \text{(Joule)}$ 

Wake-field is not anymore used as a physical quantity

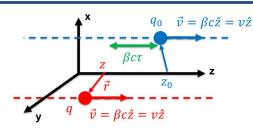


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# LONGITUDINAL WAKE FUNCTION AND ENERGY

#### Trailing charge q

Energy variation is the work done by the longitudinal electric field along the beam trajectory.



$$\beta c \Delta p_z(\vec{r}, \vec{r_0}, s) = -qq_0 w_z(\vec{r}, \vec{r_0}; s) \blacktriangleleft$$

deceleration 
$$W_Z(\vec{r}, \vec{r_0}; s) > 0$$
  
acceleration  $W_Z(\vec{r}, \vec{r_0}; s) < 0$ 

$$w_{z}(\vec{r},\vec{r_{0}};s) = -\frac{1}{q_{0}} \int_{-\infty}^{+\infty} E_{z}\left(\vec{r},\vec{r_{0}},z,z_{0};t=\frac{z+s}{\beta c}\right) dz \qquad \begin{array}{l} \text{No longitudinal} \\ \text{contribution of} \\ \vec{v} \times \vec{B} \end{array}$$

In long uniform or periodic structures

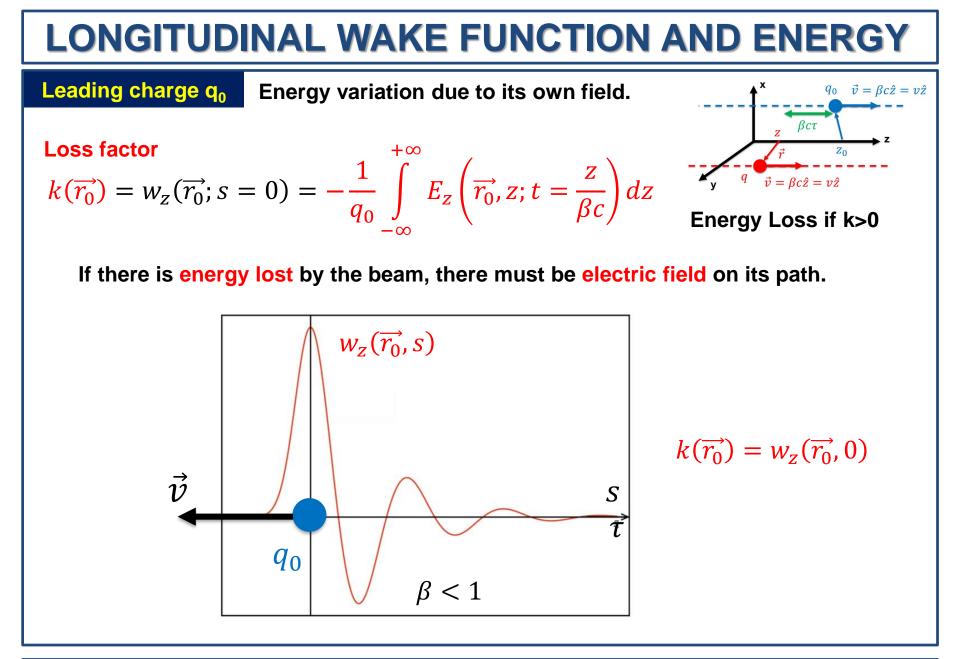
$$\frac{dw_z}{dz}(\vec{r}, \vec{r_0}; s) = -\frac{1}{q_0} E_z(\vec{r}, \vec{r_0}, s)$$



Wake function per unit length

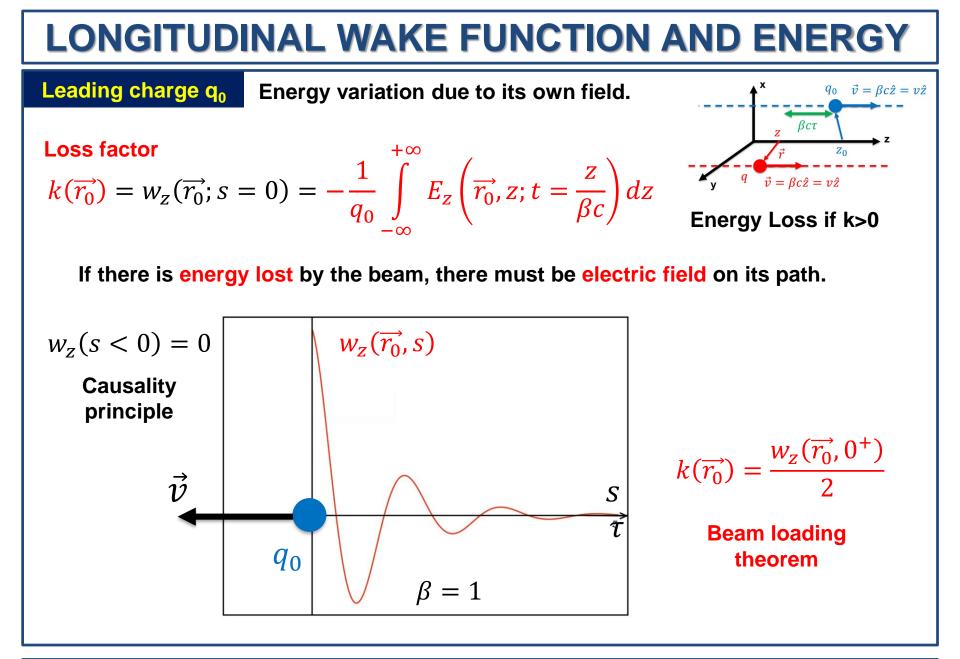


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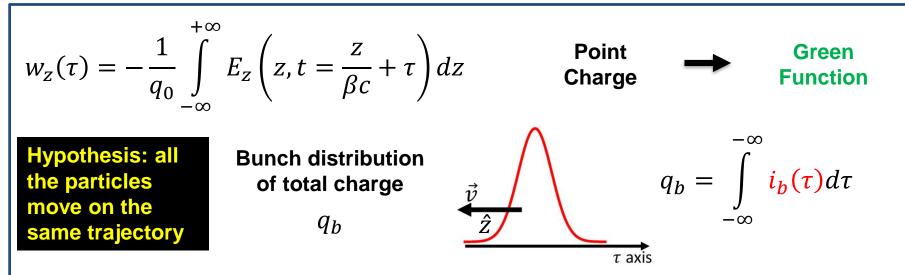
### WAKE FUNCTION AND SYNCHRONOUS FIELDS

On an infinite path only field components having the phase velocity =  $\beta$  c can exchange energy with the beam.

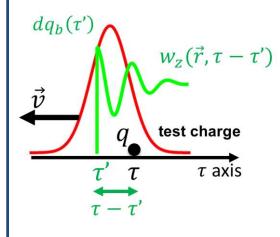


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### **WAKE FUNCTION OF CHARGE DISTRIBUTION (1)**



GOAL: Compute the wake potential versus the delay  $\tau$  from the reference particle



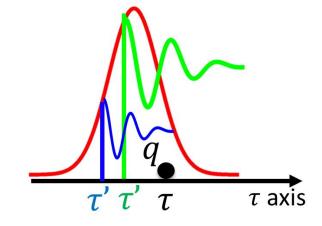
Point Charge  $dq_b(\tau') = i_b(\tau')d\tau' \longrightarrow w_z(\vec{r}, \tau - \tau')$ Energy change of the charge q because of the slice at @  $\tau'$  $dU(\vec{r}, \tau - \tau') = qi_b(\tau')w_z(\vec{r}, \tau - \tau')d\tau'$ 



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## **WAKE FUNCTION OF CHARGE DISTRIBUTION (2)**

Superposition principle to compute the energy loss/gain by the q due to bunch



Energy loss/gain of the charge q

$$U(\vec{r},\tau) = q \int_{-\infty}^{+\infty} i_b(\tau') w_z(\vec{r},\tau-\tau') d\tau'$$

Wake function of a charge distribution

$$W_{Z}(\vec{r},\tau) = \frac{U(\vec{r},\tau)}{q q_{b}} = \frac{1}{q_{b}} \int_{-\infty}^{+\infty} i_{b}(\tau') w_{Z}(\vec{r},\tau-\tau')d\tau' \quad (V/C)$$

Energy lost per unit charge

$$\frac{U(\vec{r},\tau)}{q} = q_b W_z(\vec{r},\tau) \qquad (V)$$

Wake potential versus the delay  $\tau$  of the test charge q



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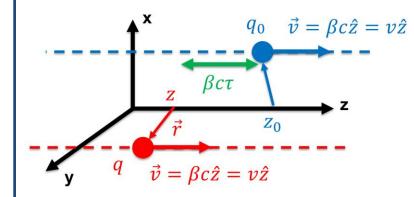
### **ENERGY LOSS OF CHARGE DISTRIBUTION**

Energy loss  
by the bunch 
$$U_{bunch} = \sum_{unit charge} \text{Energy lost per } \cdot \frac{\text{Charge of the}}{\text{bunch slice}} = \int_{-\infty}^{+\infty} q_b W_z(\vec{r}, \tau) i_b(\tau) d\tau$$
  
Loss Factor  $K(\vec{r}) = \frac{U_{bunch}}{q_b^2} = \frac{U(\vec{r})}{q_b^2} = \frac{1}{q_b} \int_{-\infty}^{+\infty} W_z(\vec{r}, \tau) i_b(\tau) d\tau$   
where  $W_z(\vec{r}, \tau) = \frac{1}{q_b} \int_{-\infty}^{+\infty} i_b(\tau') w_z(\vec{r}, \tau - \tau') d\tau'$   
If  $\beta = 1$   $w_z(\vec{r}, \tau) \neq 0$  only for  $\tau > 0 \Rightarrow \tau' < \tau$   
 $W_z(\vec{r}, \tau) = \frac{1}{q_b} \int_{-\infty}^{\tau} i_b(\tau') w_z(\vec{r}, \tau - \tau') d\tau'$   
Causality principle

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The CERN Accelerator School

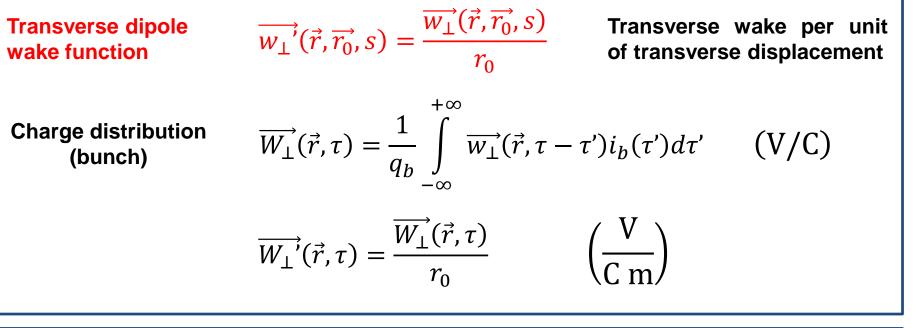
### **TRANSVERSE WAKE FUNCTION**



Transvers kick normalised to both charges

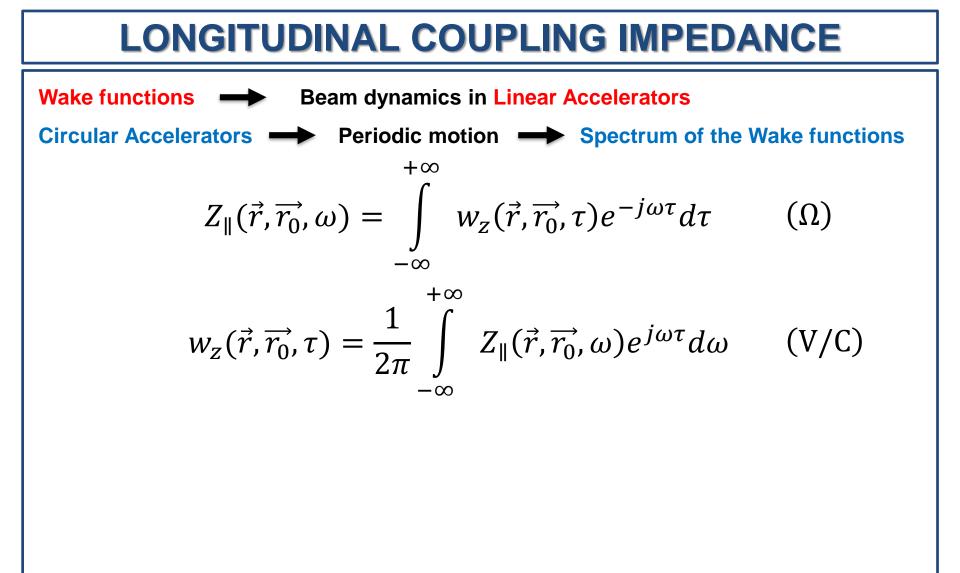
$$\overrightarrow{w_{\perp}}(\overrightarrow{r},\overrightarrow{r_{0}},s) = -\frac{\Delta \overrightarrow{p_{\perp}}(\overrightarrow{r},\overrightarrow{r_{0}},s)}{q_{0} q} \qquad (V/C)$$

The dipole transverse kick is dominant and proportional to  $\, \mathcal{T}_{0}^{\, \cdot} \,$ 



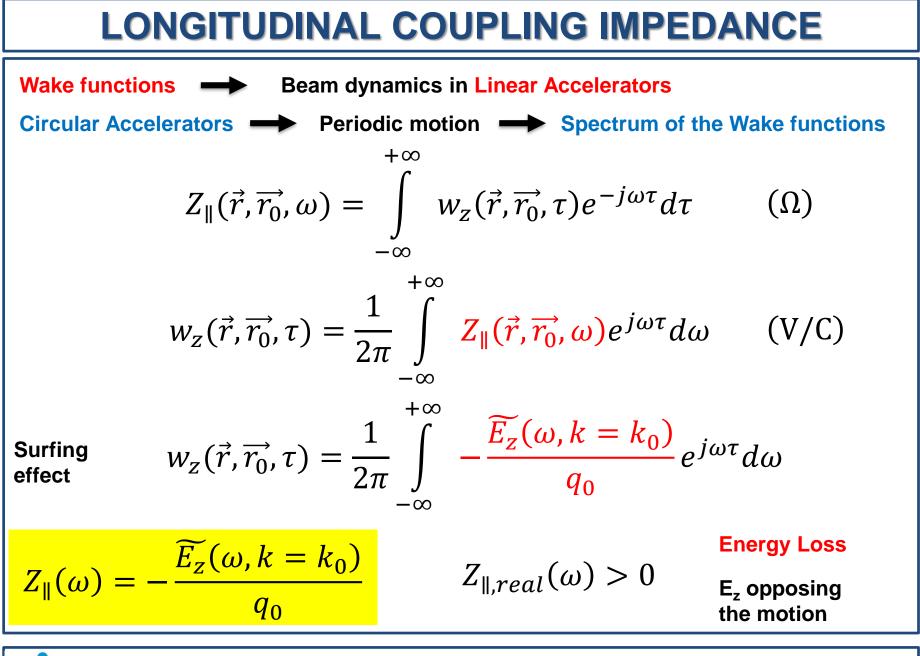


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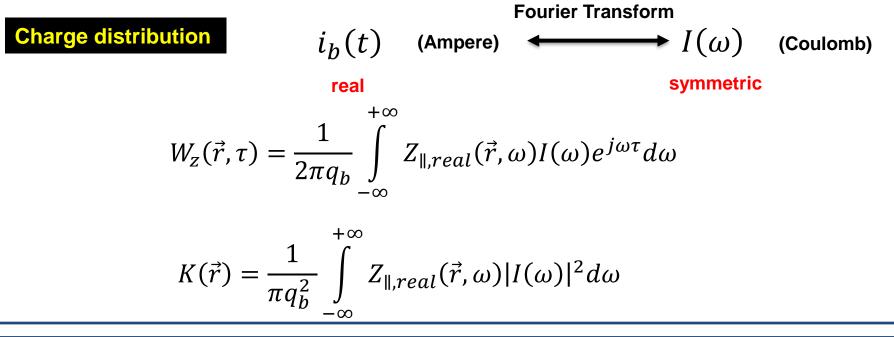


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### LONGITUDINAL IMPEDANCE AND LOSS FACTOR

$$\beta \rightarrow 1 \qquad \text{Imaginary part of the impedance is odd} \\ k(\vec{r_0}) \stackrel{\checkmark}{=} \frac{W_z(\vec{r_0}, \tau \rightarrow 0^+)}{2} = \frac{1}{4\pi} \int_{-\infty}^{+\infty} Z_{\parallel}(\vec{r_0}, \vec{r}, \omega) d\omega \stackrel{\checkmark}{=} \frac{1}{2\pi} \int_{0}^{+\infty} Z_{\parallel,real}(\vec{r_0}, \vec{r}, \omega) d\omega$$

Real part of the longitudinal coupling impedance is the power spectrum of the energy loss of a unit point charge





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### **TRANSVERSE COUPLING IMPEDANCE**

$$\overrightarrow{Z_{\perp}}(\vec{r}, \overrightarrow{r_{0}}, \omega) = j \int_{-\infty}^{+\infty} \overrightarrow{w_{\perp}}(\vec{r}, \overrightarrow{r_{0}}, \tau) e^{-j\omega\tau} d\tau$$

**Panofsky-Wenzel relation** 

$$\frac{\partial}{\partial \tau} \overrightarrow{w_{\perp}}(\vec{r}, \tau) = -c \nabla_{\perp} w_{z}(\vec{r}, \tau) \implies \overrightarrow{Z_{\perp}}(\vec{r}, \overrightarrow{r_{0}}, \omega) = \frac{c}{\omega} \nabla_{\perp} Z_{\parallel}(\vec{r}, \overrightarrow{r_{0}}, \omega)$$

**Dipole term** in cylindrical symmetry

$$\overrightarrow{Z_{\perp}}(\vec{r}, \overrightarrow{r_0}, \omega) = \frac{c}{\omega} \overline{\overline{Z_1}}(\omega) \overrightarrow{r_0}$$



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### **RESISTIVE WALL IMPEDANCE**

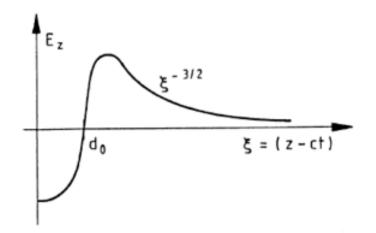


Fig. 7a The longitudinal field behind the source charge for a lossy pipe ( $\beta = 1$ )

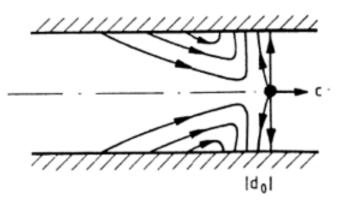


Fig. 7b Circular pipe of finite conductivity σ

 $\frac{\partial \overline{Z}_{m=0}}{\partial z} = \frac{1+j}{2\pi b} \sqrt{\frac{\omega Z_o}{2c\sigma}}$ 

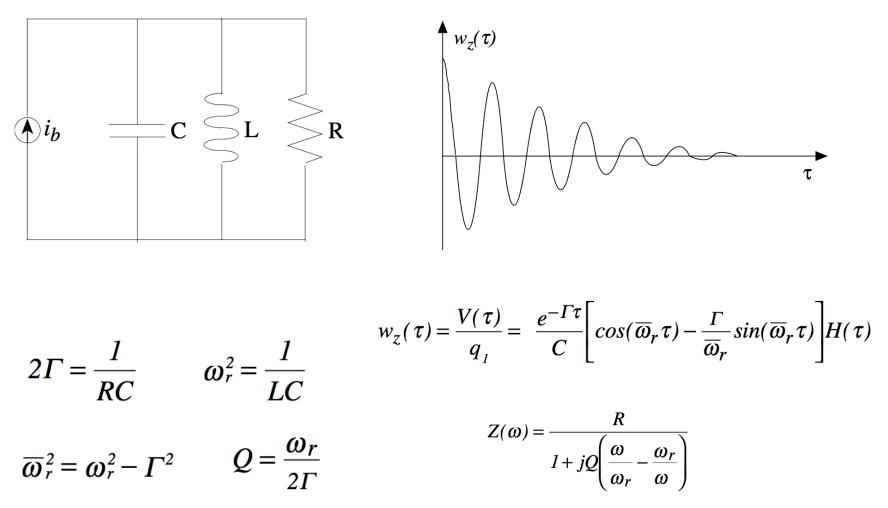
 $\frac{\partial Z_{\perp,1}}{\partial z} = \frac{l+j}{2\pi b^3} Z_o \delta$ 



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### **CAVITY HOM: IMPEDANCE AND WAKE FUNCTION**

#### Effect of high order mode (HOM) in cavities





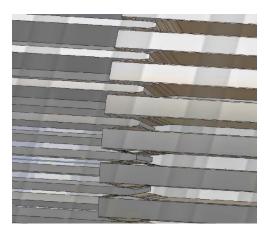
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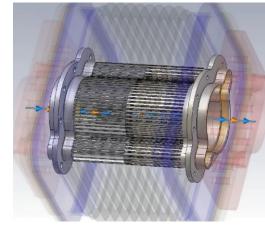
### **IMPEDANCE DUE TO ACCELERATOR DEVICES**

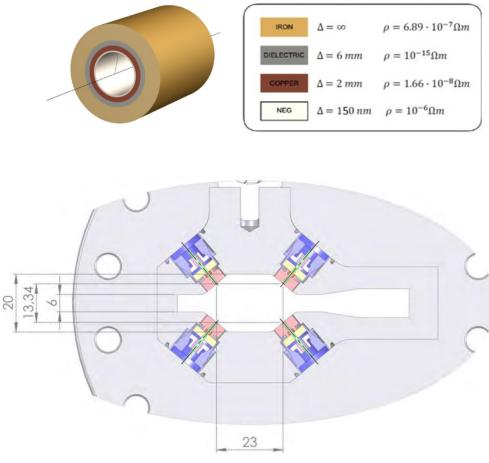
#### Impedance database for beam dynamics simulation large machines

Example

**Bellows** 





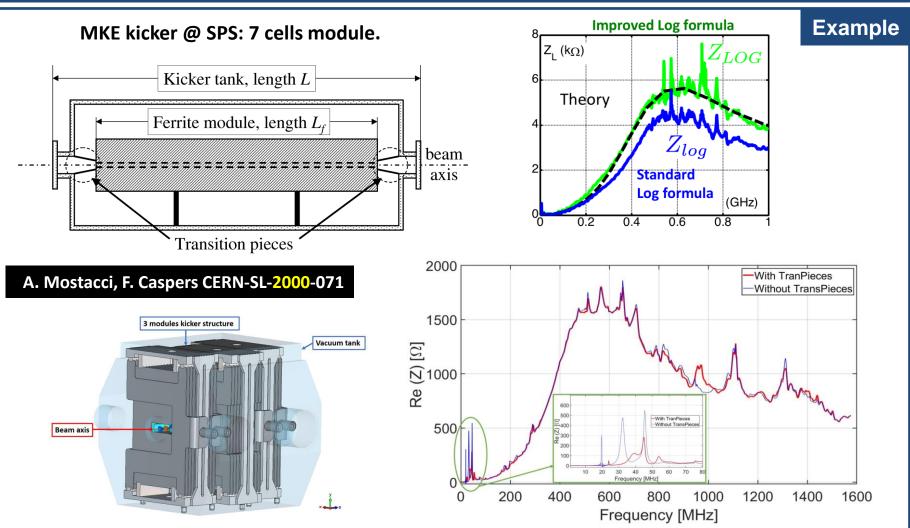


Geometry of a large BPM block (#8 is shown here), button diameter is 8mm.



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## **EXISITING MACHINES MAINTENACE @ CERN**

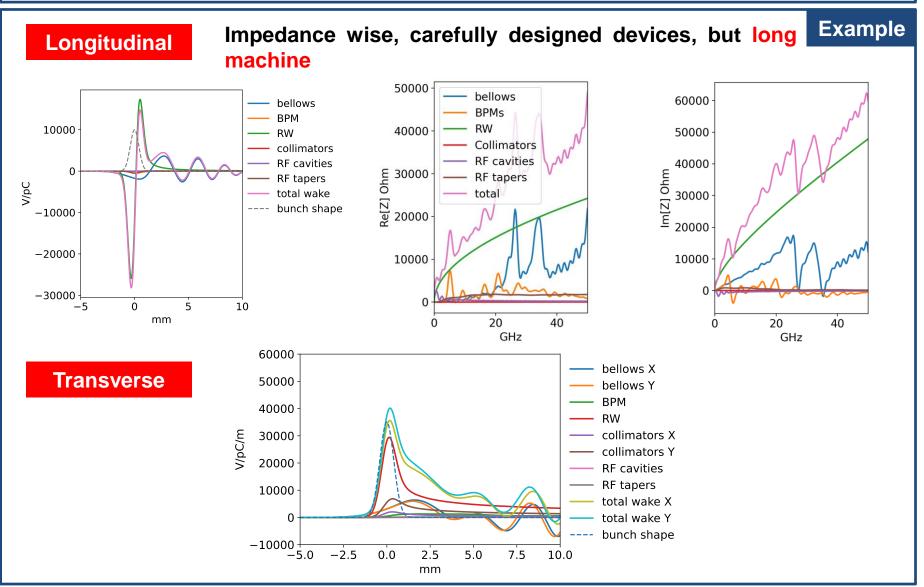


M. Neroni et al, Characterization of the longitudinal beam coupling impedance and mitigation strategy for the fast extraction kicker KFA79 in the cern PS, IPAC2023.



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### **FUTURE CIRCULAR COLLIDER CASE**





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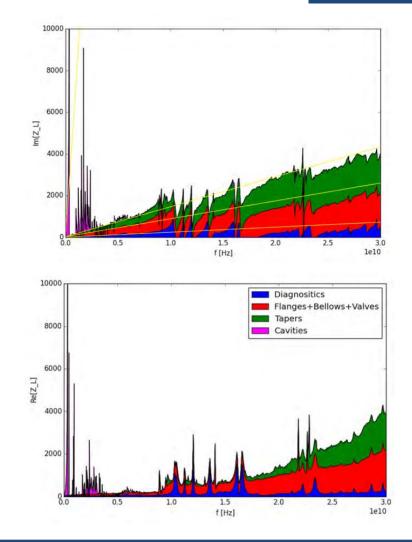
### LOW EMITTANCE RING CASE

#### **Relevant for novel advanced photon sources** with multi-bend achromat lattice

#### Example

Section	6 x 6	mm
Pipe diameter	3	mm
Copper resistivity	1.78*10 <sup>-8</sup>	Ohm m
Insulation	0.5	mm
Conductor area	28.0674	mm²
Material	1300-100	
Stacking factor	0.98	

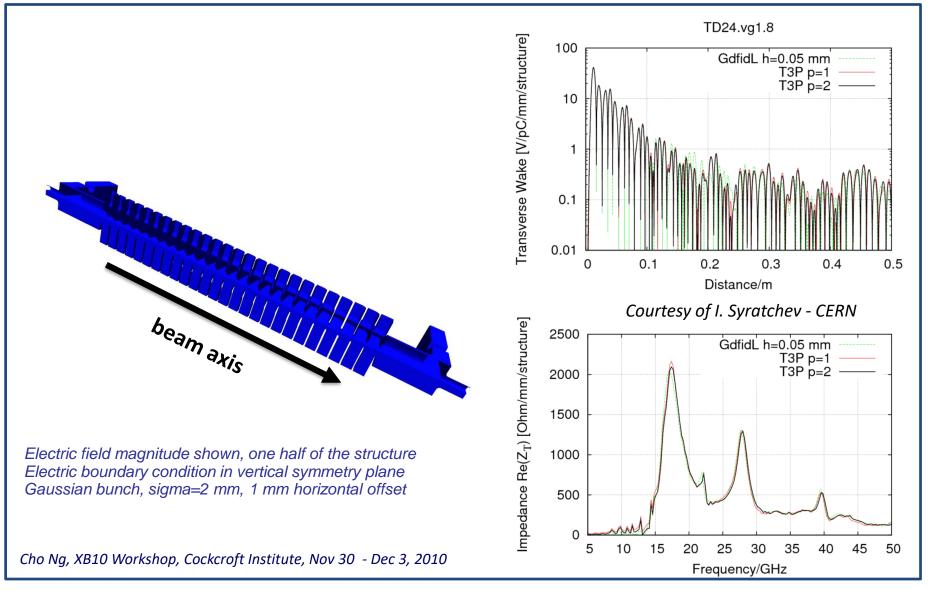
	K <sub>loss</sub> [V/pC]	Z⁄n <sub>eff</sub> [Ω]
Diagnostics	4.02	8.4 10 <sup>-3</sup>
Flanges+bellows	9.27	25 10 <sup>-3</sup>
Scrapers	?	?
Tapers	3.2	20 10 <sup>-3</sup>
Cavities	6.66	69 10 <sup>-3</sup>
Resistive wall	38.7	0.222
Total	51.85	0.344





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### **HIGH ORDER MODES IMPEDANCE**





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### **DAMPING OF HIGH ORDER MODES**

### Dissipation of wakefields in dielectric loads: eps=13, $tan(\delta)=0.2$

Electric field magnitude shown, one half of the structure Electric boundary condition in vertical symmetry plane Gaussian bunch, sigma=2 mm, 2.5 mm horizontal offset

Cho Ng, XB10 Workshop, Cockcroft Institute, Nov 30 - Dec 3, 2010



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### **DAMPING OF HIGH ORDER MODES**

### Dissipation of wakefields in dielectric loads: eps=13, $tan(\delta)=0.2$

Electric field magnitude shown, one half of the structure Electric boundary condition in vertical symmetry plane Gaussian bunch, sigma=2 mm, 2.5 mm horizontal offset

Cho Ng, XB10 Workshop, Cockcroft Institute, Nov 30 - Dec 3, 2010



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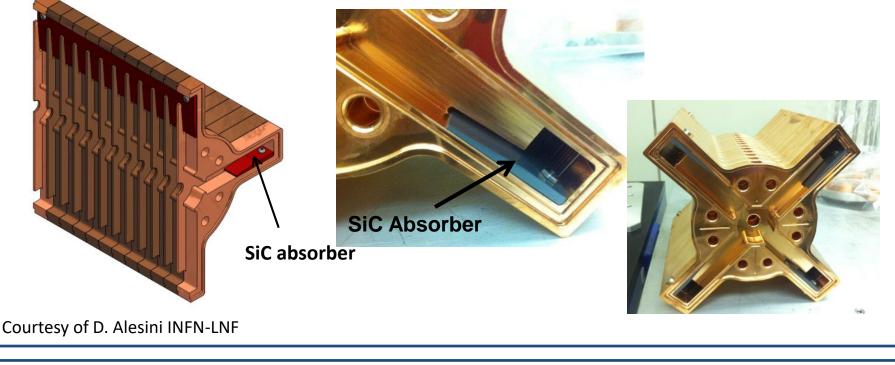
### **DAMPED C-BAND STRUCTURE**

Each cell of the structure has four waveguides that allows the excited HOMs to propagate and dissipate into silicon-carbide (SiC) RF loads.

Example

The SiC tiles have been optimized to avoid reflections and are integrated into the structure.

In each stack of 12 cells there are four SiC long absorbers, each stack is brazed and all stacks are finally assembled and brazed with the input and output couplers.





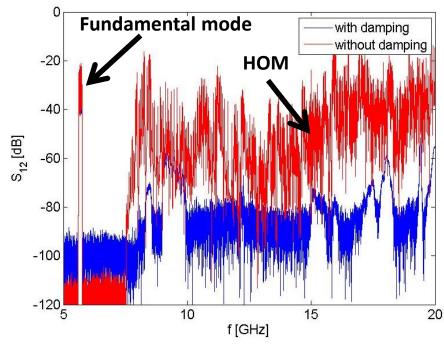
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### **RF TEST OF 12 CELLS PROTOTYPE**

Low power RF test in the 12 cells module with and without the SiC absorbers (antenna coupling).

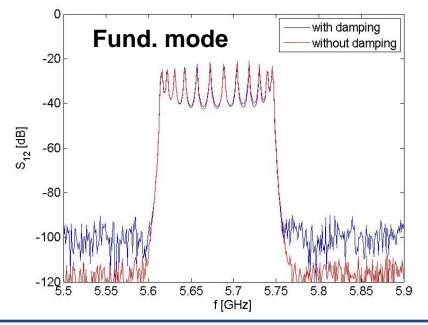
No dumpers: HOM Q factor of few 1000s

With dumpers: HOM hardly measurable.



Courtesy of D. Alesini INFN-LNF

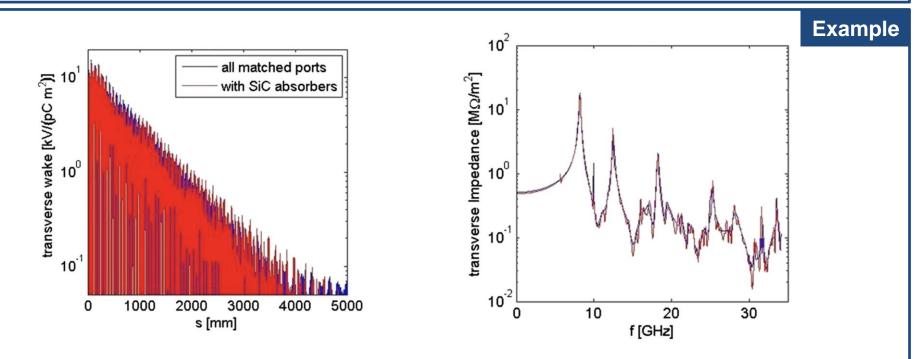






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### **DUMPED C-BAND STRUCTURE IMPEDANCE**



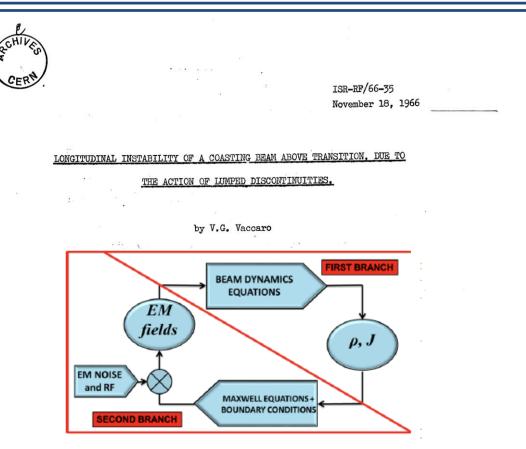
Attenuation of the transverse wakefield more than 2 orders of magnitude after 16 ns (i.e. 4.8 m). The wakefield has been obtained with a beam sigma of 3 mm, an off axis of the beam of 2 mm and all perfect matched ports.

The quality factor of the first dipole modes are below 100, as can be calculated from the decay time of the wakefield or by the bandwidth of the corresponding impedance.

D. Alesini et al., Design of high gradient, high repetition rate damped C-band rf structures, PRAB, 20, 032004 (2017)



### **HYSTORICAL PERSECTIVE**





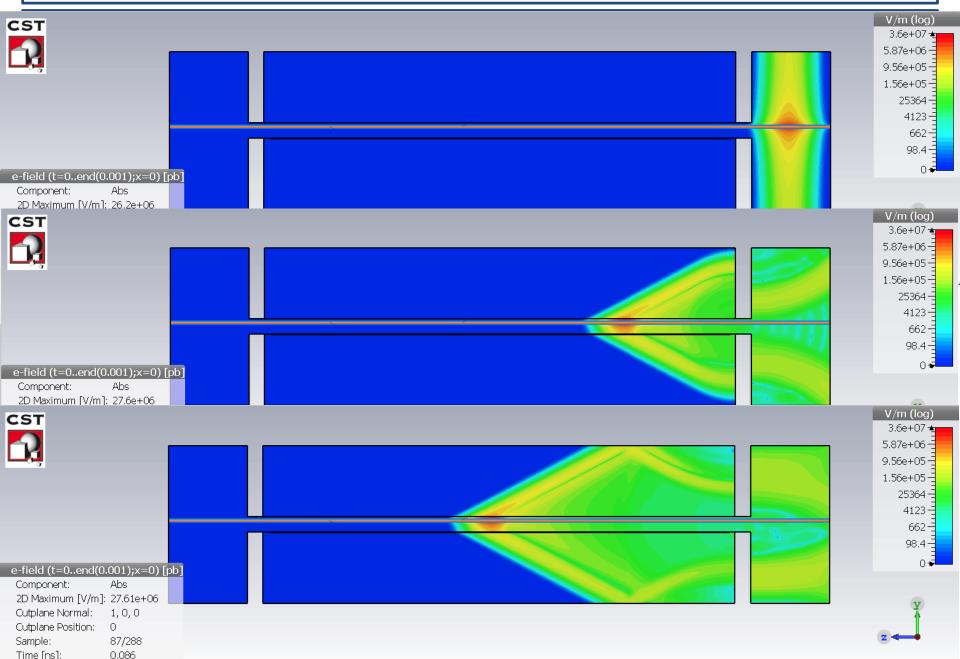


For his pioneering studies on **instabilities in particle beam physics**, the introduction of the **impedance concept** in storage rings and, in the course of his academic career, for **disseminating knowledge in accelerator physics** throughout many generations of young scientists.

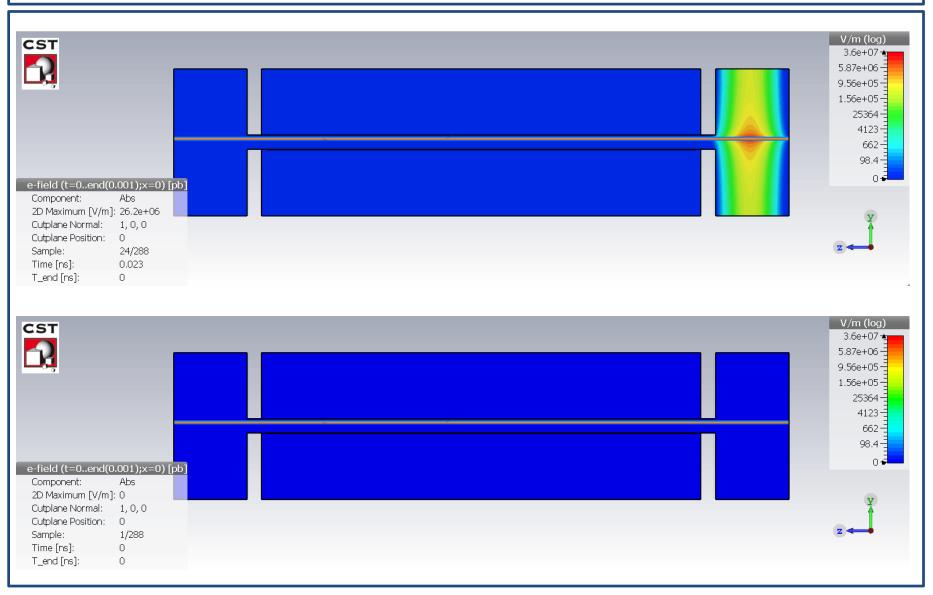


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### **WAKEFIELD IN DIELECTRIC STRUCTURES**



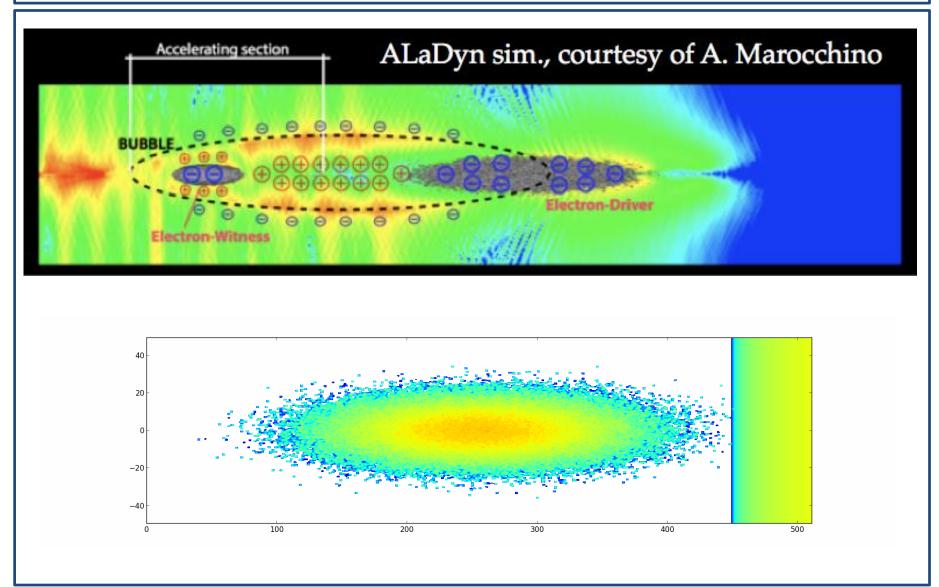
### **WAKEFIELD IN DIELECTRIC STRUCTURES**





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### **WAKEFIELD IN PLASMA**





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### CONCLUSIONS

Reminded the basics and the main approximations

Given the definitions needed for the more advanced applications

Examples:

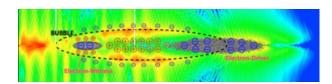
Maintenance of working machines

**Future colliders** 

**Future light sources** 

**HOM damping** 

**Novel applications** 

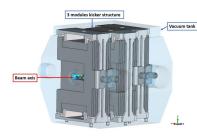




Impedance optimisation is a lively field (even beyond my expectations ...)



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### REFERENCES

SLAC-PUB-9574 November 2002

Lecture Notes on Topics in Accelerator Physics

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These are lecture notes that cover a selection of topics, some of them under current research, in accelerator physics. I try to derive the results from first principles, although the students are assumed to have an introductory knowledge of the basics. The topics covered are:

- 1. Panofsky-Wenzel and Planar Wake Theorems
- 2. Echo Effect
- 3. Crystalline Beam
- 4. Fast Ion Instability
- 5. Lawson-Woodward Theorem and Laser Acceleration in Free Space
- 6. Spin Dynamics and Siberian Snakes
- 7. Symplectic Approximation of Maps
- 8. Truncated Power Series Algebra
- 9. Lie Algebra Technique for nonlinear Dynamics

The purpose of these lectures is not to elaborate, but to prepare the students so that they can do their own research. Each topic can be read independently of the others.

Many useful comments and help at the lecturing from Gennady Stupakov of SLAC and Jeff Holmes of ORNL are greatly appreciated.

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#### Physics of Collective Beam Instabilities in High Energy Accelerators

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#### Wake Fields and Impedance

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#### Abstract

Knowledge of the electromagnetic interaction between a beam and the surrounding vacuum chamber is necessary in order to optimize the accelerator performance in terms of stored current. Many instability phenomena may occur in the machine because of the fields produced by the beam and acting back on itself as in a feedback device. Basically, these fields produce an extra voltage and energy gain, affecting the longitudinal dynamics, and a transverse momentum kick which deflects the beam. In this paper we describe the main features of this interaction with typical machine components.

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