

# Searches for Supersymmetry with heavy object tagging

GIOVANNA SALVI  
(RAL & University of Southampton)

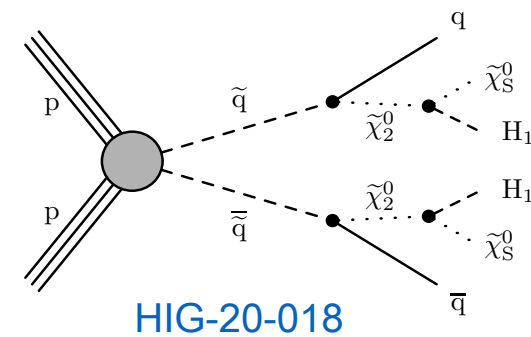
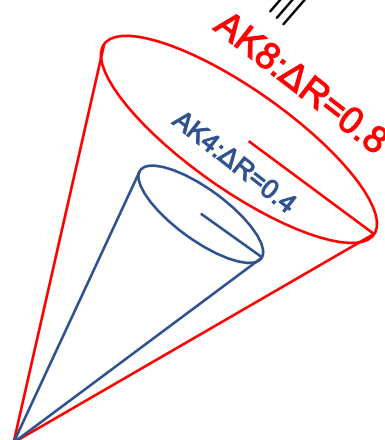
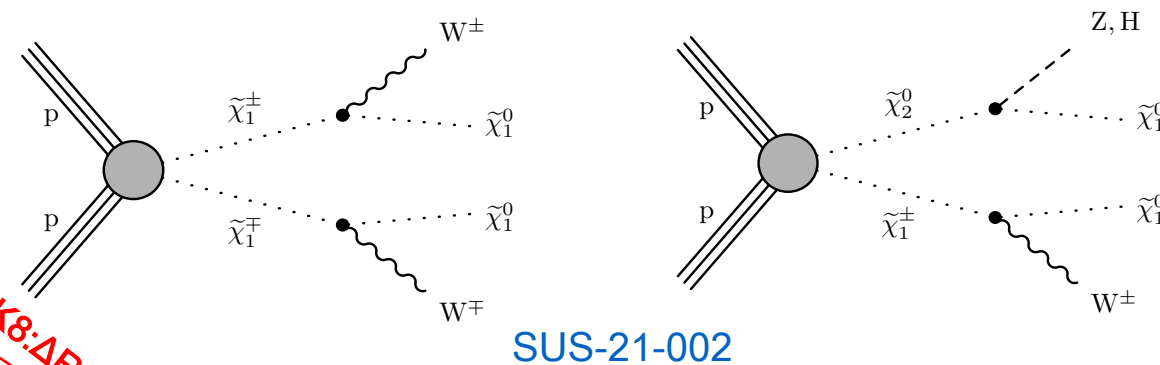
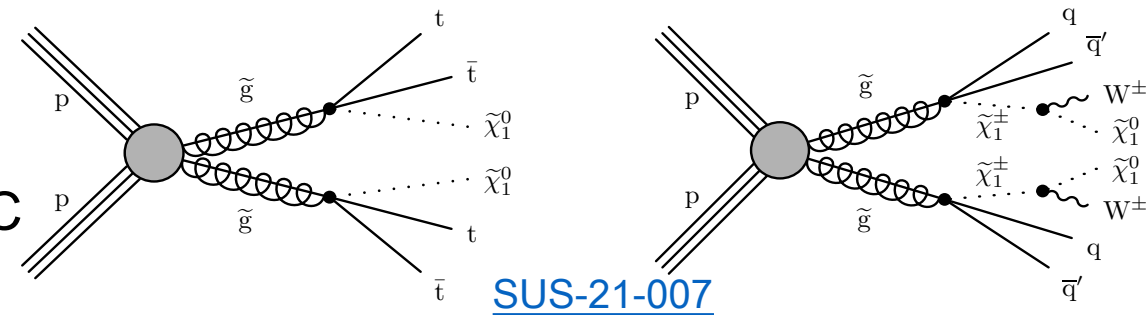
On behalf of the CMS collaboration

# Introduction:

- Heavy objects (W/Z/H/top) are reconstructed with different sized jets (**AK8** & **AK4**)
- Different Machine Learning algorithms have been developed in the CMS experiment revolutionizing tagging approaches and searches for SUSY at the LHC
- Heavy objects tagging techniques are widely used in CMS SUS Analyses ([SUS-21-007](#), [SUS-21-002](#), [SUS-20-004](#), [SUS-20-003](#), [SUS-19-010](#) etc)

and this talk will focus on 3 recent ones!

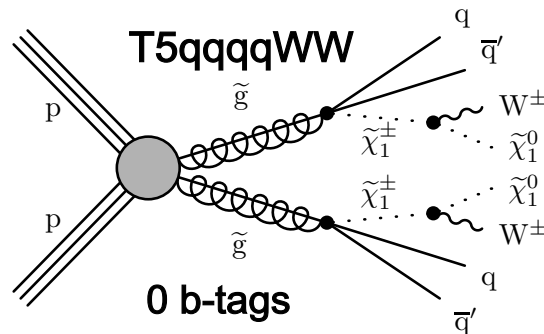
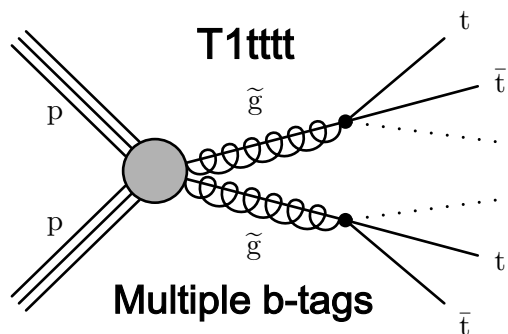
Analysis	$N_{\text{Leptons}}$	$N_{\text{Jets}}$	MET
1-Lep $\Delta\Phi$ <a href="#">SUS-21-007</a>	1 Lepton	$\gg 1$ AK4&AK8 Jets	high
NMSSM <a href="#">HIG-20-018</a>	Fully hadronic	2AK8 Jets	NO
WX <a href="#">SUS-21-002</a>	Fully hadronic	2AK8 Jets	high



# 1) 1-Lep $\Delta\Phi$ Analysis (SUS-21-007)

# 1-Lep $\Delta\Phi$ Analysis

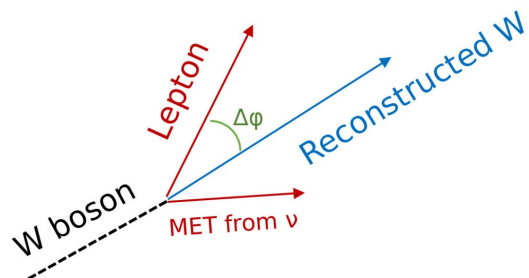
The analysis targets SUSY in events with **1 lepton, a large number of jets and high MET** in two different signal regions



- Two simplified SUSY models with gluino production
- The 1 lepton is produced in the W boson decay, which originates either from a top decay (multi-b) or chargino decay (0b)

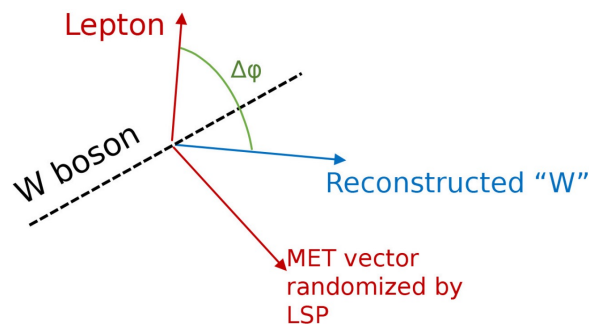
The main discriminating observable to distinguish signal from background is  $\Delta\Phi$ , which is the angle between the lepton and the reconstructed "W boson" (vector sum of the lepton and MET)

## SM events

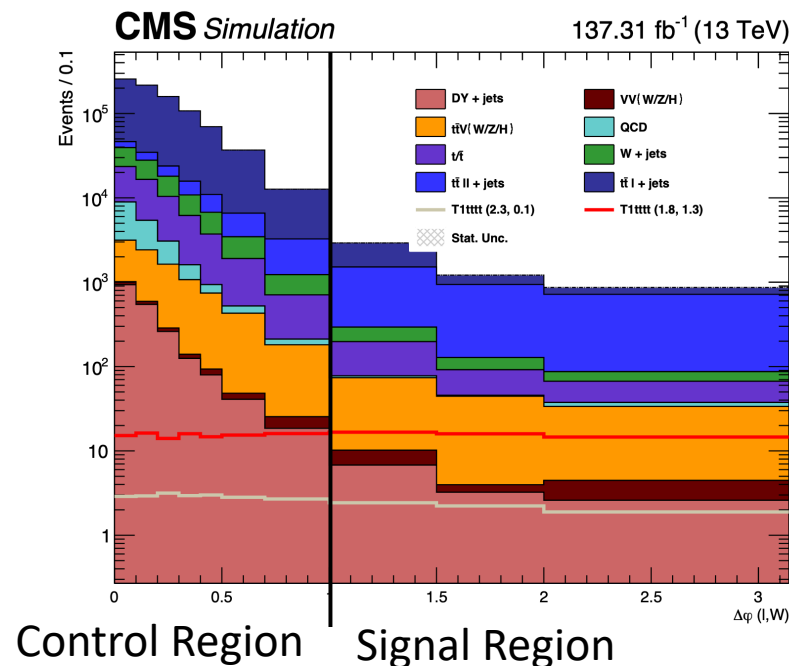


$\Delta\Phi$  between the lepton and MET is usually small

## SUSY events



$\Delta\Phi$  distribution is flat due to additional MET from two LSPs



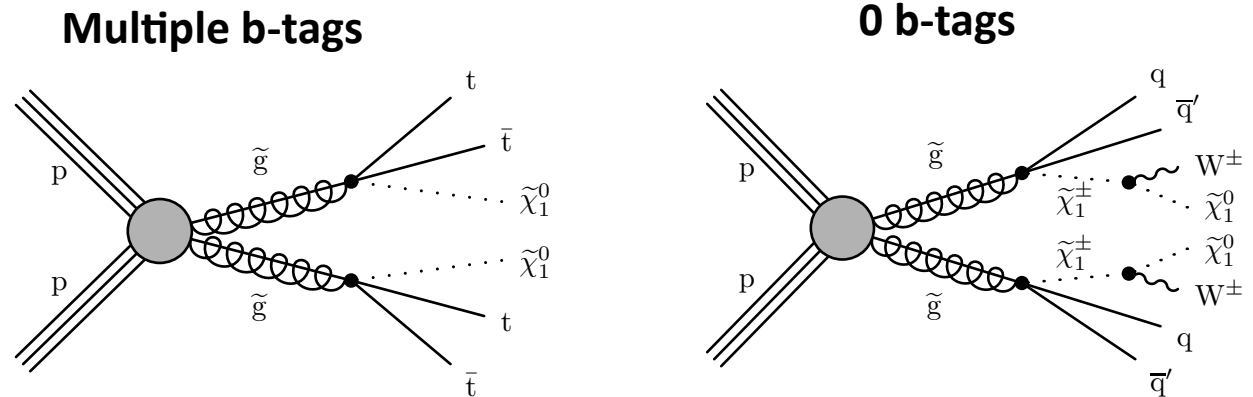
## Analysis regions binned in:

- $HT = (\sum p_T \text{ jets})$
- $LT = |p_T(\text{lep})| + |\text{MET}|$
- $N_{\text{jets}}$
- nb-tag (multi-b), ntop-tag (multi-b)
- nW-tag (0b)

## The main backgrounds are:

- 1)  $t\bar{t} \rightarrow 1 \text{ lep}$  (semileptonic  $t\bar{t}\bar{b}$ )
- 2)  $t\bar{t} \rightarrow 2 \text{ lep}$  (dileptonic  $t\bar{t}\bar{b}$  where one lepton is missing)
- 3) W+Jets

- In the **Search Regions** (defined by  $\Delta\Phi$ ), the background is dominated by dileptonic  $t\bar{t}\bar{b}$  :  $t\bar{t}(2\text{lep})$
- By requiring  $\geq 1$  hadronic top tag, we expect significant **suppression of  $t\bar{t}(2\text{lep})$  background** with relatively small decrease in signal efficiency

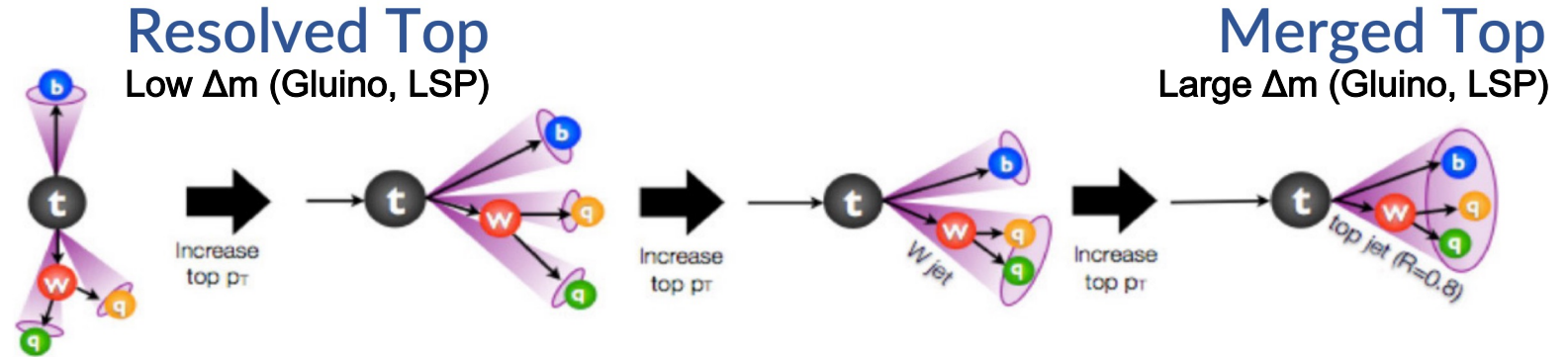


# Multi-b analysis with Top Tagging 0-b Analysis with W Tagging

In the **multi-b** signal there are 3 hadronic top decays and one semileptonic top decay

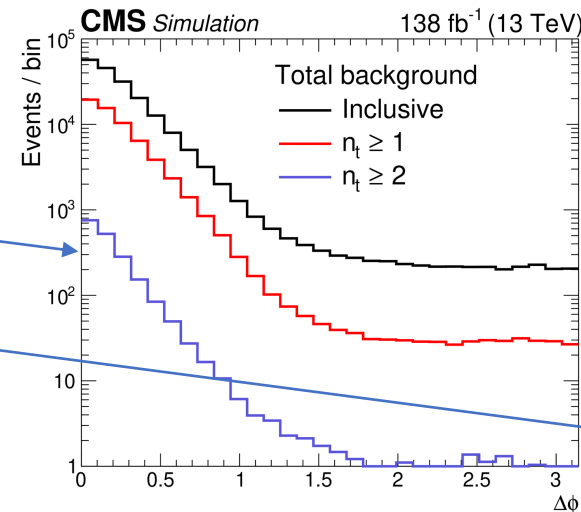
We use a combination of:

- **DeepAK8 top tagger**
  - DNN-based, **uses AK8 jets**
  - For **merged** tops ( $pT\ top > 400\ GeV$ )
- **Resolved top tagger**
  - BDT-based, **uses triplets of AK4 jets**
  - For **resolved** tops ( $pT\ top \leq 400\ GeV$ )

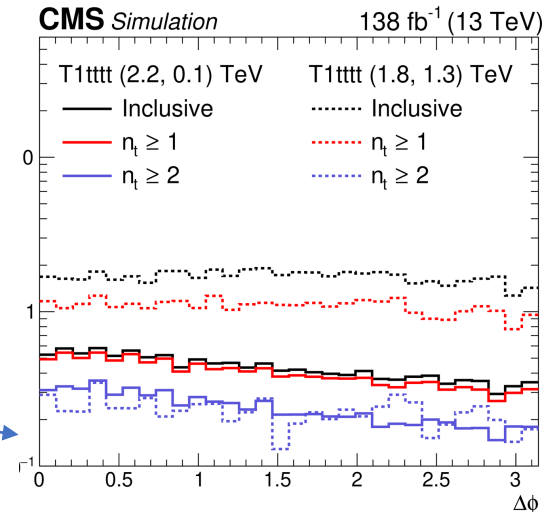


In **Zero-b** to identify hadronically decaying  $W$  bosons, we employ the **DeepAK8 tagger**

Applying cuts on  $n_{top-tag}$   
**reduces significantly background**  
**and**  
**maintains good signal efficiency**  
for both compressed and  
uncompressed Signal Regions!



SUSY2023



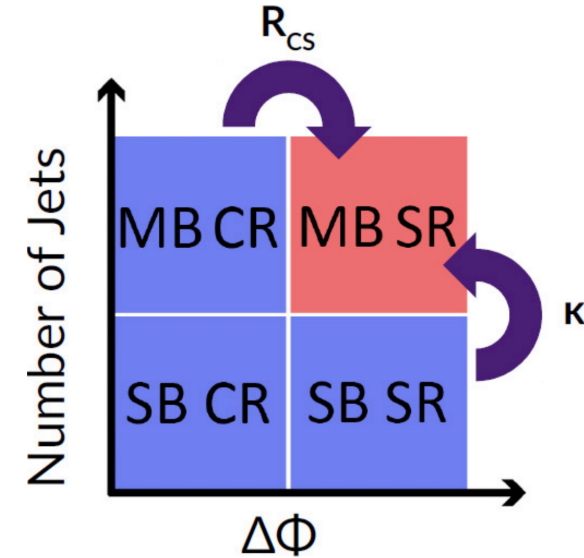
# Backgrounds

## Multi-b

All backgrounds except QCD are estimated using the data-driven  $R_{CS}$  method

- The phase space is divided in the mainband (MB) and the sideband (SB) based on the  $N_{jets}$  and the control region (CR) and the search region (SR) based on the  $\Delta\Phi$  value
- The RCS factor is determined in the SB in data and defined as the ratio  $N_{SR} / N_{CR}$

$$R_{CS}^{data} = \frac{N_{SB}^{bkg}(SR)}{N_{SB}^{bkg}(CR) - N_{SB}^{QCD\ pred}(CR)}$$



- The predicted bkg yield in the Signal Region is  $N_{MB}^{pred}(SR) = R_{CS}^{data} \cdot \kappa_{EW} \cdot [N_{MB}^{data}(CR) - N_{MB}^{QCD\ pred}(CR)]$

- $\kappa$  is used to correct potential differences in  $R_{CS}$  between SB and MB determined in MC, using only the electroweak (EW) backgrounds

$$\kappa_{EW} = \frac{R_{CS}^{MC}(MB, EW)}{R_{CS}^{MC}(SB, EW)}$$

- QCD is calculated with a different data driven prediction method

## Zero-b

- Two separate  $R_{CS}$  factors are used: one for  $TTbar$  and another for  $W+Jets$

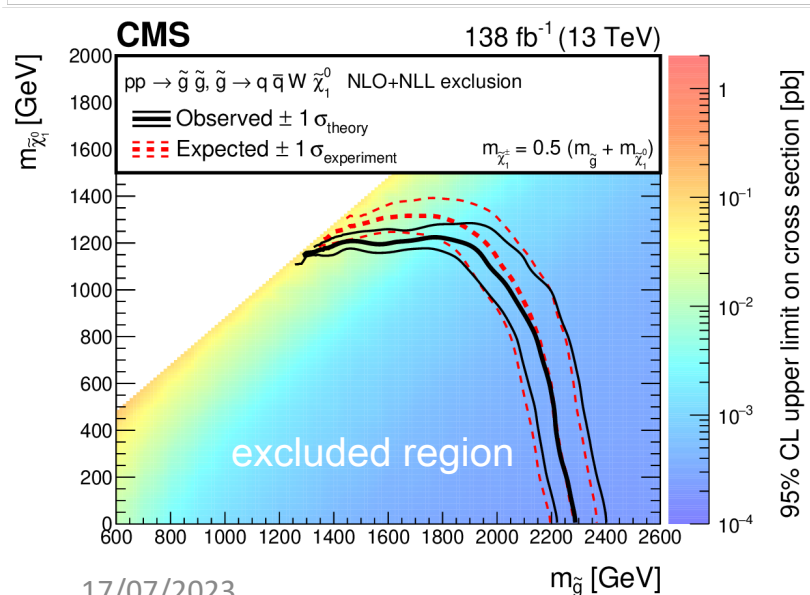
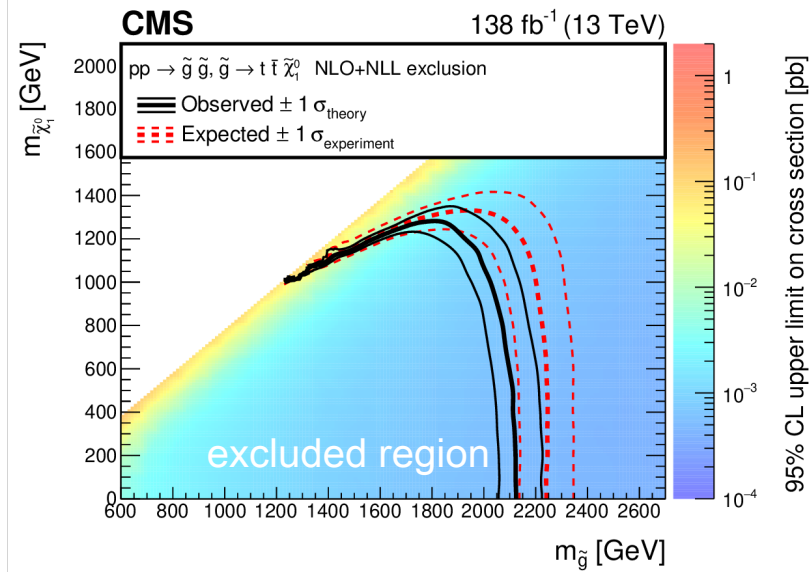
Limits set using maximum likelihood fit to analysis regions (HT, LT, njet, nb-tag (multi-b), ntop-tag (multi-b), nW-tag (0b))

## Limits – Multi-b (T1tttt)

- $m_{\tilde{g}} < 2130$  GeV and
- $m_{\text{LSP}} < 1270$  GeV are excluded

## Limits – Zero-b (T5qqqqWW)

- $m_{\tilde{g}} < 2280$  GeV excluded
- $m_{\text{LSP}} < 1200$  GeV excluded

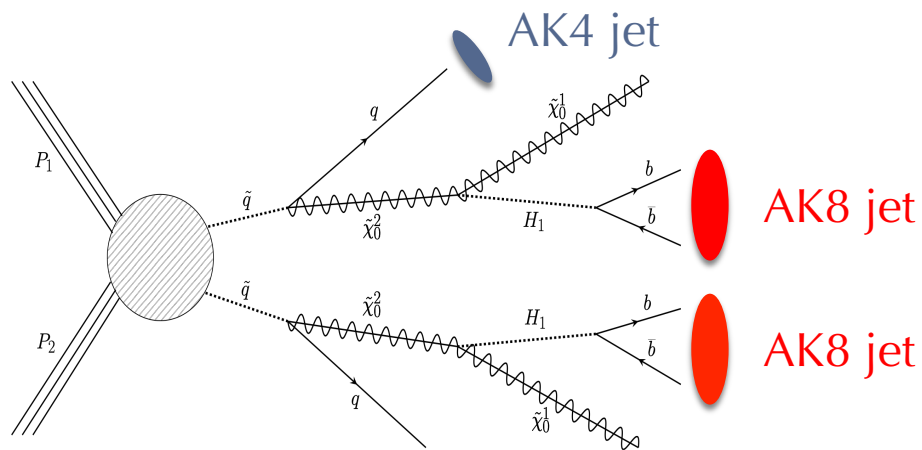




## 2. NMSSM (HIG-20-018)

# NMSSM Signal & Event Selection

- Search for two light boosted Higgs bosons in all-hadronic events:  $H_1 \rightarrow b\bar{b}$



## ➤ Negligible MET

1° time for CMS or ATLAS probing 2 light Higgses and NO MET analysis

- In the **NMSSM** there are 7 higgses and the lightest higgs can be lighter than the SM higgs!
- **LSP** is light (Singlino-like) and phase space for NLSP decay is small
- $H_1$  inherits most of the momentum from the NLSP, so it's highly boosted (**AK8 jets**)

- Signal selection based on properties of AK8 jets (Mass reco with **softdrop** & double-btag-discriminant)
- Use HT bins

## Event Selection:

- Two **AK8 jets** (FatJets)
  - o  $p_T > 300 \text{ GeV}$
  - o  $|\eta| < 2.4$
- At least one **AK4 jet**
  - o  $p_T > 300 \text{ GeV}$
  - o  $|\eta| < 3.0$
  - o  $\Delta R > 1.4$  from selected **AK8 jets** to ensure no overlap

# Backgrounds

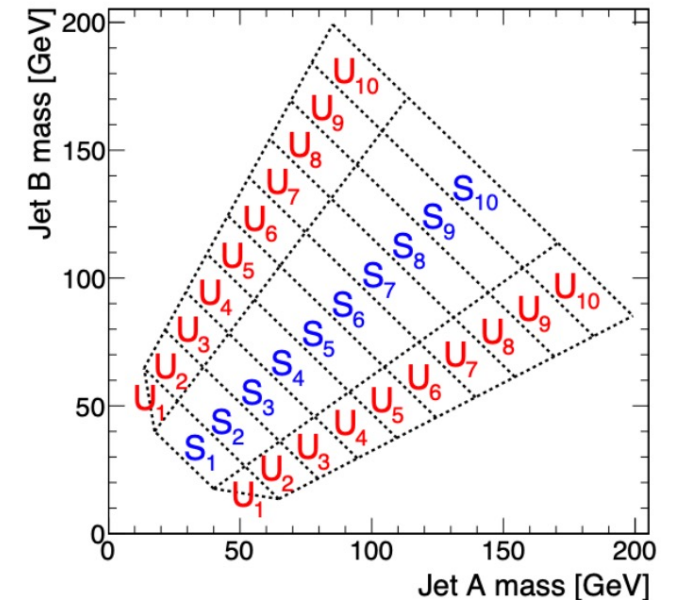
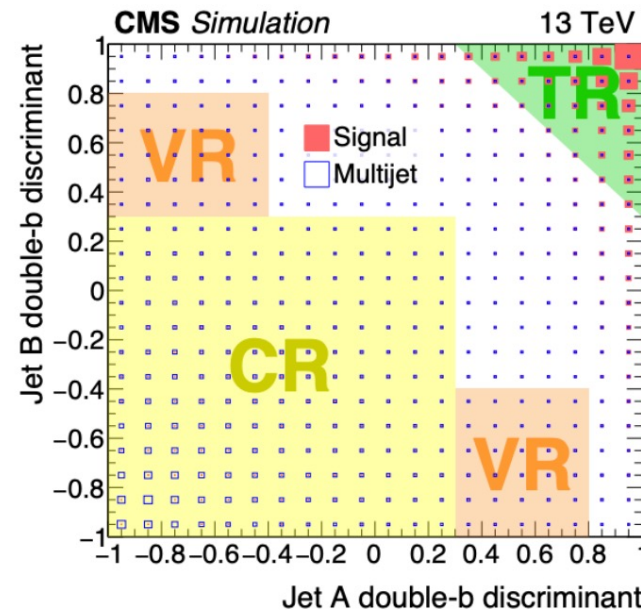
- Data-driven background estimation method used for QCD (dominant bckg)
- other backgrounds from MC simulation

- For the double-b tag discriminant we define a **CR** and a **Tag Region (TR)** and for the mass we define **main-bands**( $S_i$ ) and a **side-bands**( $U_i$ )

- mass sidebands CRs ( $U_i$ ) designed to have similar yield as the corresponding SRs ( $S_i$ )

$$\hat{S}_i^{\text{TR}} = \frac{\hat{S}_i^{\text{CR}}}{\hat{U}_i^{\text{CR}}} \hat{U}_i^{\text{TR}}$$

Background-subtracted mass sideband yield in the TR



Mass and b-tag discriminant must be **uncorrelated** to apply ABCD method!

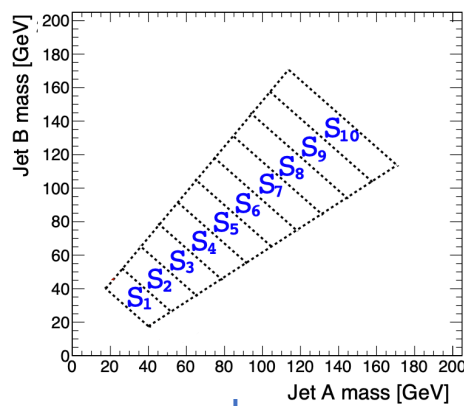
# HT Bins

- 3  $H_T$  bins and 10  $S_i$  regions map onto a 30-bin distribution

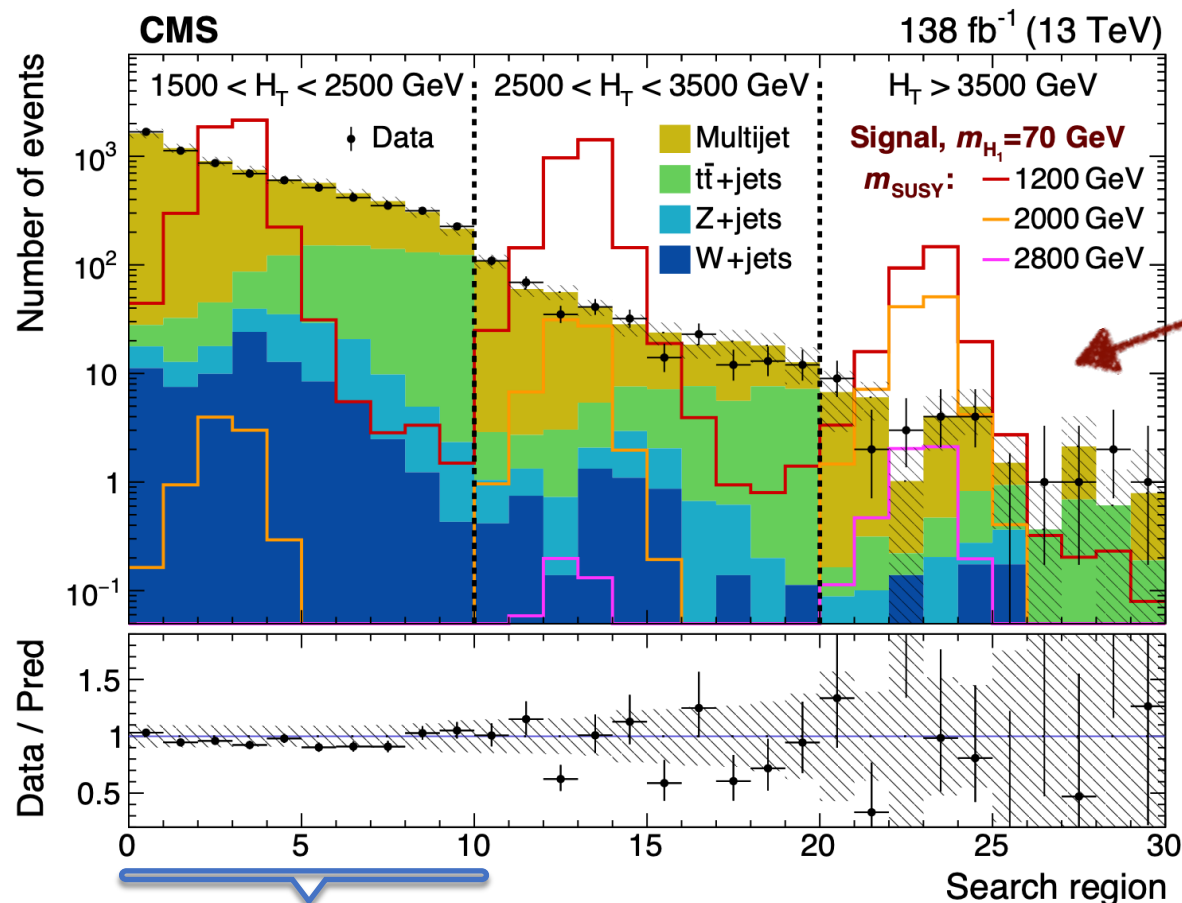
Backgrounds in solid colours & Signals in coloured lines

$m_{H_1}$  is fixed at 70 GeV and  $m_{\text{SUSY}}$  is varying

There is greater signal yields at large  $H_T$  for large  $m_{\text{SUSY}}$



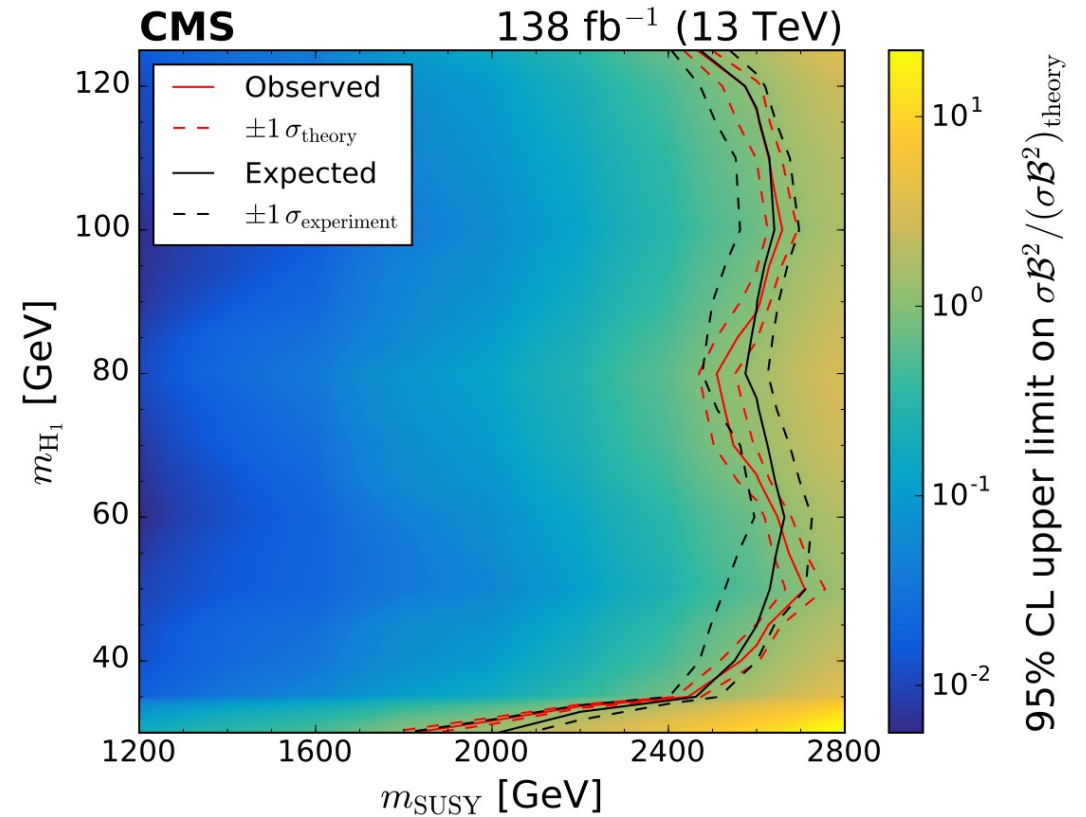
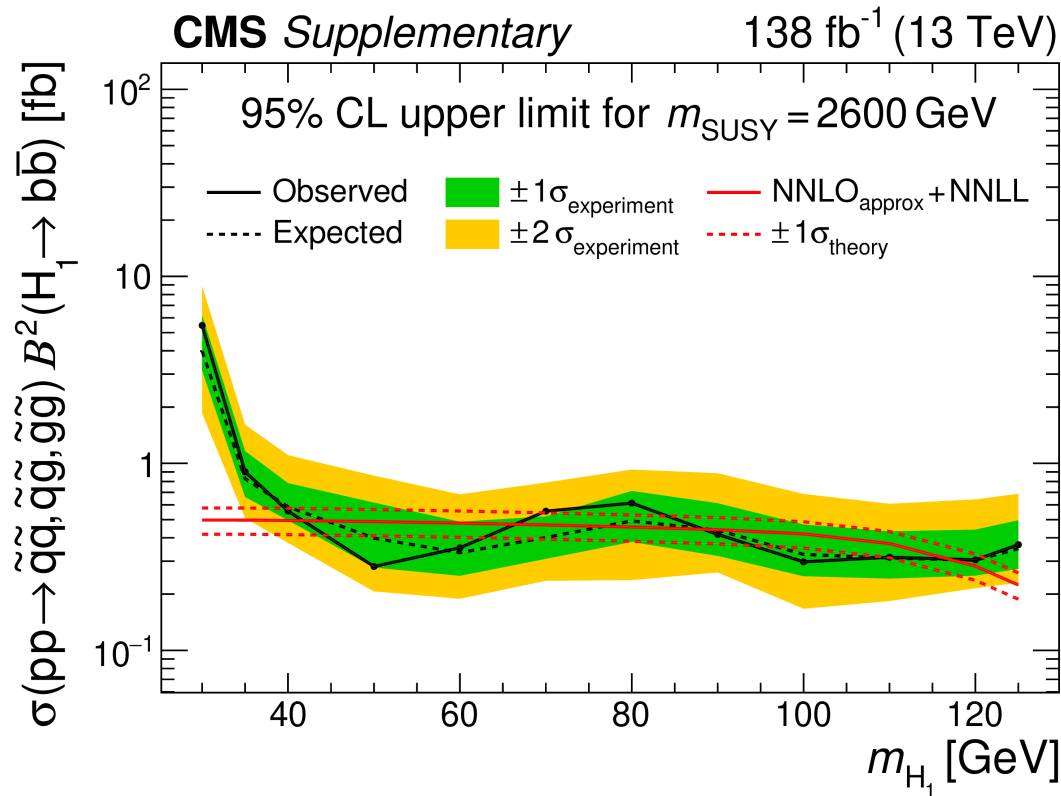
Mass SRs repeats 3 times for the 3  $H_T$  bins



No statistically significant excess observed

95% CL upper limits on  $\sigma \times \text{Br}^2$  are set **as a function of  $m_{H_1}$  for fixed  $m_{\text{SUSY}}$**

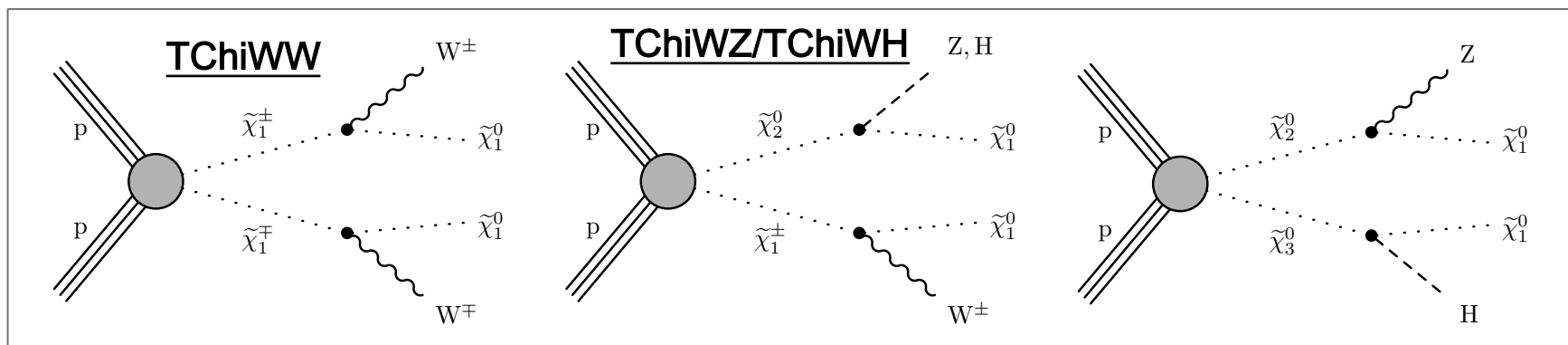
- Mass exclusion contours calculated using theoretical xsecs and  $H_1 \rightarrow b\bar{b}$  Brs
- $H_1$  bosons with masses in the range **40-120 GeV** arising from the decays of **Squarks or Gluinos** with a mass of **1200-2500 GeV** are excluded at 95% confidence level



### 3. WX Search (SUS-21-002)

# WX Signal Model

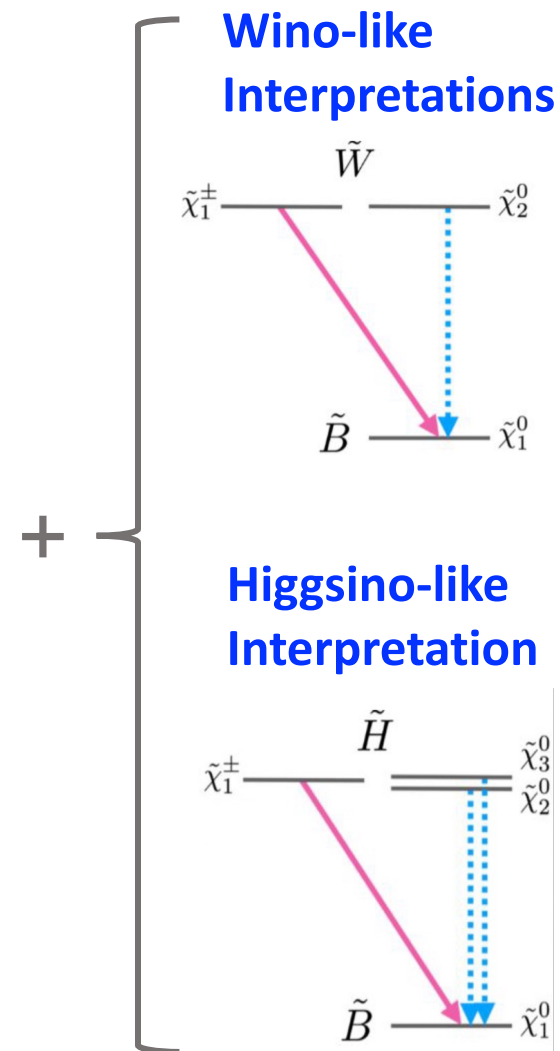
- 3 Simplified models : TChiWW, TChiWZ, TChiWH
- Wino-Like and Higgsino-Like interpretation
- State of interest: MET from LSP, 0 leptons (fully hadronic), 2 AK8Jets
  - Larger NLSP-LSP mass difference  $\Rightarrow$  high  $p_T$  LSP  $\Rightarrow$  high  $p_T^{\text{miss}}$  (MET)
  - Larger  $m_{\text{NLSP}} \Rightarrow$  high  $p_T$  H/W/Z  $\Rightarrow$  taggable boosted AK8 jets



TChiWW: 0 b-tags

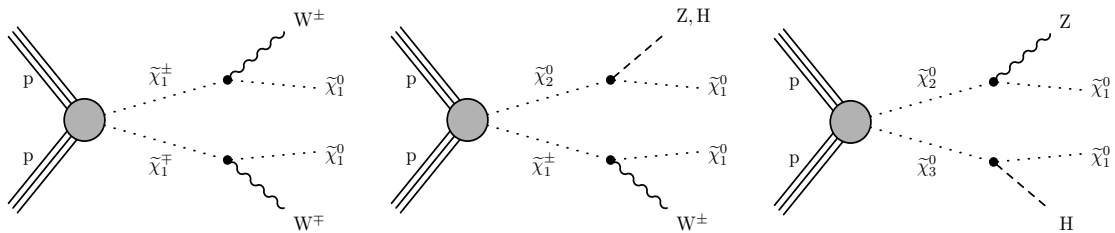
TChiWZ: 0 b-tags when  $Z \rightarrow qq$   
 $\geq 1$  b-tags when  $Z \rightarrow bb$

TChiWH:  $\geq 1$  b-tags from  $H \rightarrow bb$

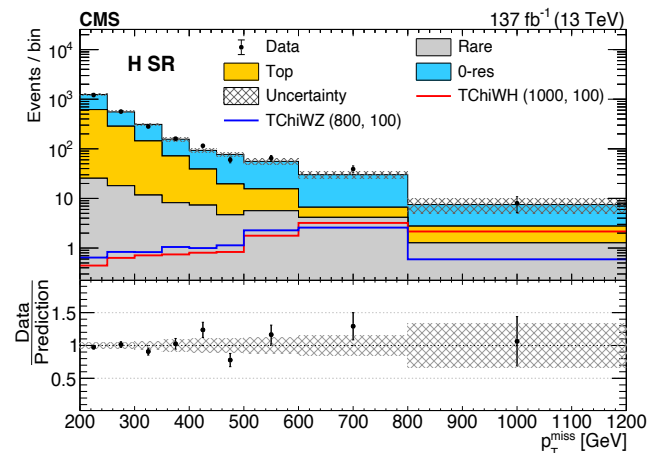
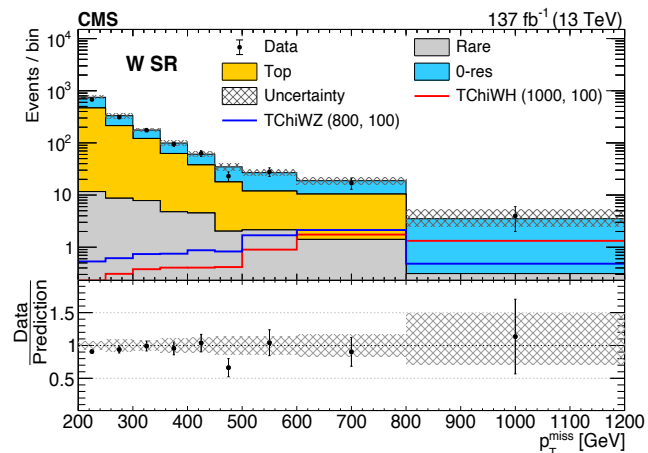
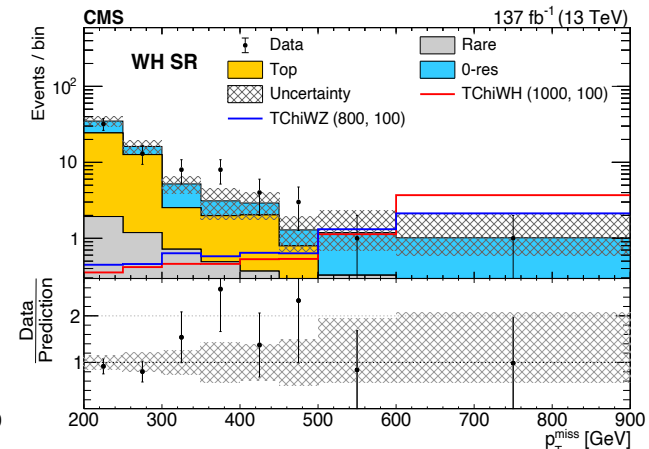
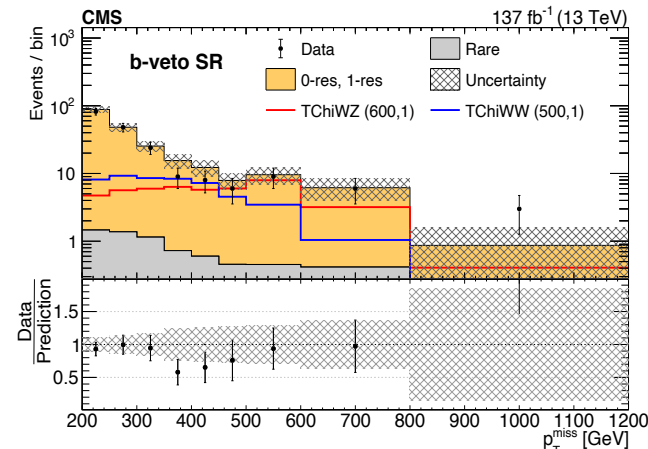




# Event Selection & Signal Regions



- There is a common baseline event selection for every benchmark and there are common signal regions for different models (table)
- Desired phase space requires : Hight  $p_T^{\text{miss}}$  and  $H_T$
- Lepton vetos reject major leptonic W decay backgrounds
- **DeepAK8** and **DeepCSV** tagger cuts on **AK8** and **AK4** jets



Signal Regions	Requirements
b-veto SR (TChiWW & TChiWZ)	$\geq 1$ V-tagged jet & $\geq 1$ W-tagged jet & $\geq 2$ V- or W-tagged jets
b-tag WH SR (TChiWH & TChiWZ)	$\geq 1$ W-tagged jet & $\geq 1$ bb-tagged jet
b-tag W SR (TChiWH & TChiWZ)	$\geq 1$ W-tagged jet & 0 bb-tagged jet
b-tag H SR (TChiWH & TChiWZ)	0 W-tagged jet & $\geq 1$ bb-tagged jet



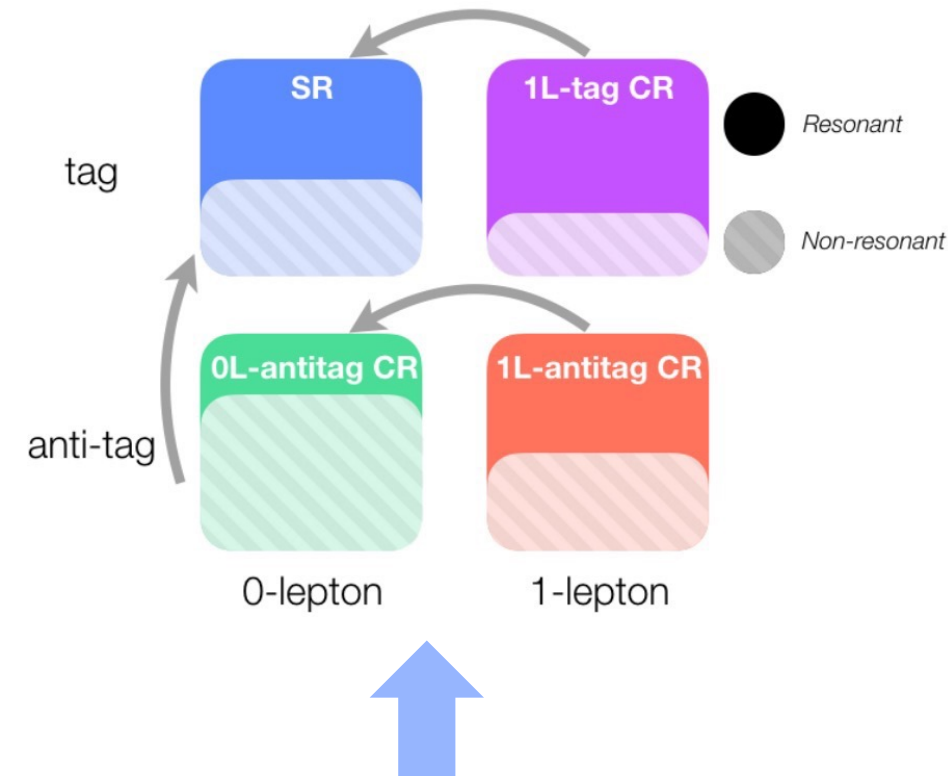
# Backgrounds

## In the b-veto SRs

- **0-resonant bkg** (no  $W/Z \rightarrow qq$ ):  $W(l\nu)$ +jets,  $Z(\nu\nu)$ +jets, QCD [77%]
  - **1-resonant bkg** (1  $W/Z \rightarrow qq$ ):  $t\bar{t}$ bar, single top, diboson [20%]
- Predictions of **0- and 1-resonant backgrounds** are based on two CRs they are estimated on Montecarlos and normalized to the CRs

$$N_{\text{SR}}^{\text{data}} = \frac{N_{\text{SR},0\&1\text{-res}}^{\text{MC}}}{N_{\text{CR},0\&1\text{-res}}^{\text{MC}}} (N_{\text{CR}}^{\text{data}} - N_{\text{CR},2\text{-res}}^{\text{MC}}) + N_{\text{SR},2\text{-res}}^{\text{MC}}$$

- **2-resonant bkg** (2  $W/Z \rightarrow qq$ ): triboson,  $t\bar{t}X$  ( $X=W,Z,H$ ) [3%] → taken from MC



## In the b-tag SRs

- Top resonant backgrounds: ( $t\bar{t}$ , single  $t$  &  $t\bar{t}H$ ) are estimated **from 1 lepton regions CR** (horizontal solid extrapolation)
- Non resonant backgrounds ( $W \rightarrow l\nu$ ,  $Z \rightarrow \nu\nu$  & QCD) are estimated **by inverting the tagging requirements-antitag CR** (vertical striped extrapolation)
- Other rare backgrounds are taken from MC

# Limits

The search is sensitive to a large class of electroweakino models (**TChiWW**, **TChiWZ** and **TChiWH**)

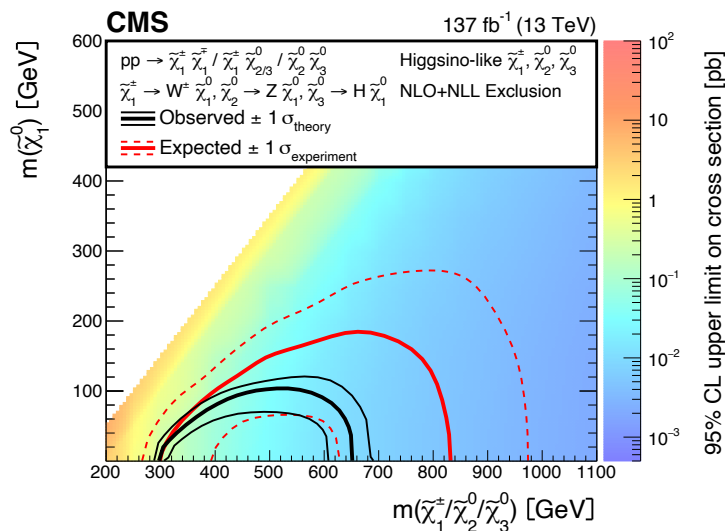
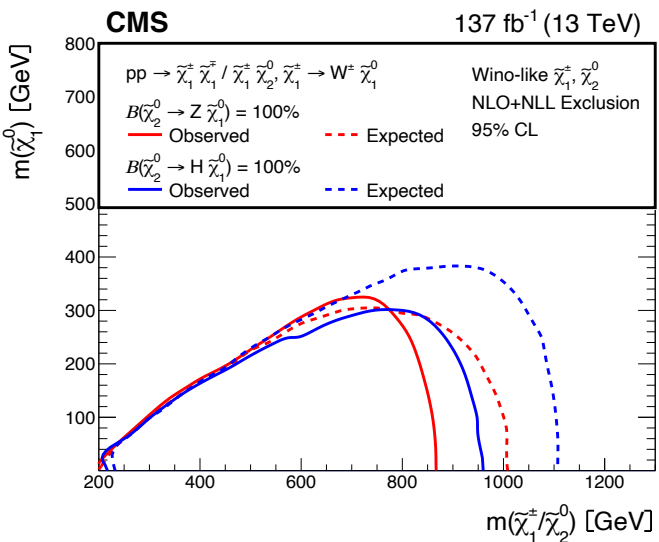
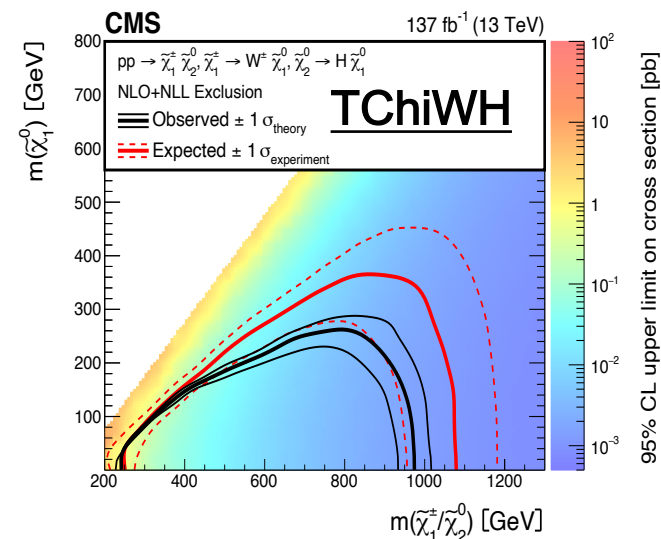
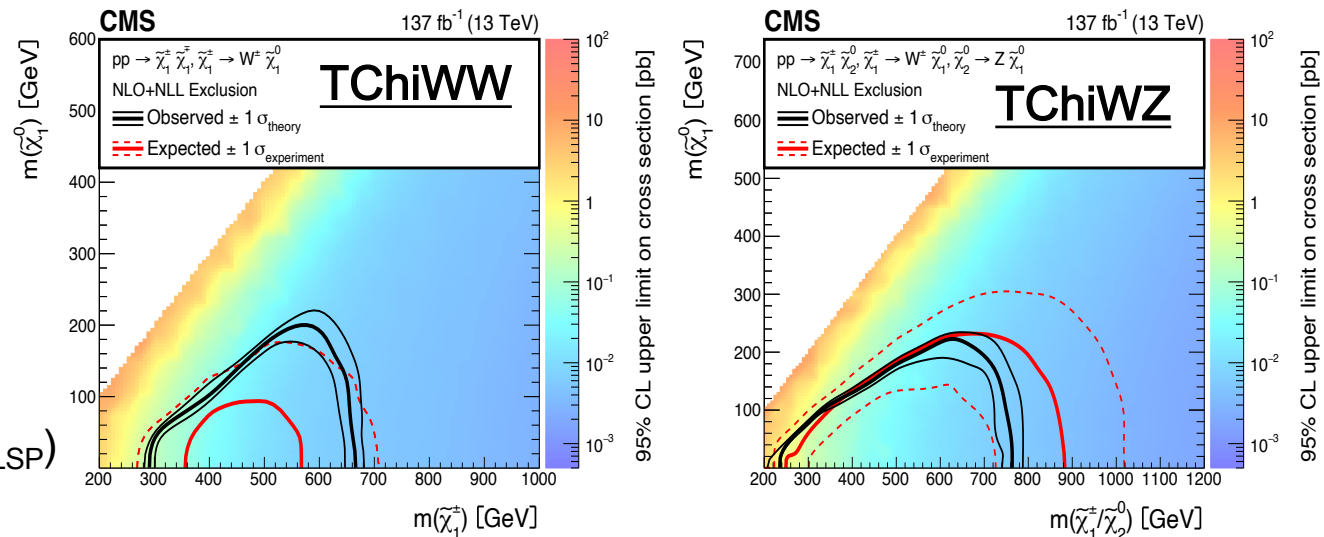
Most stringent limits placed on phase space by CMS

## Realistic Wino Interpretations:

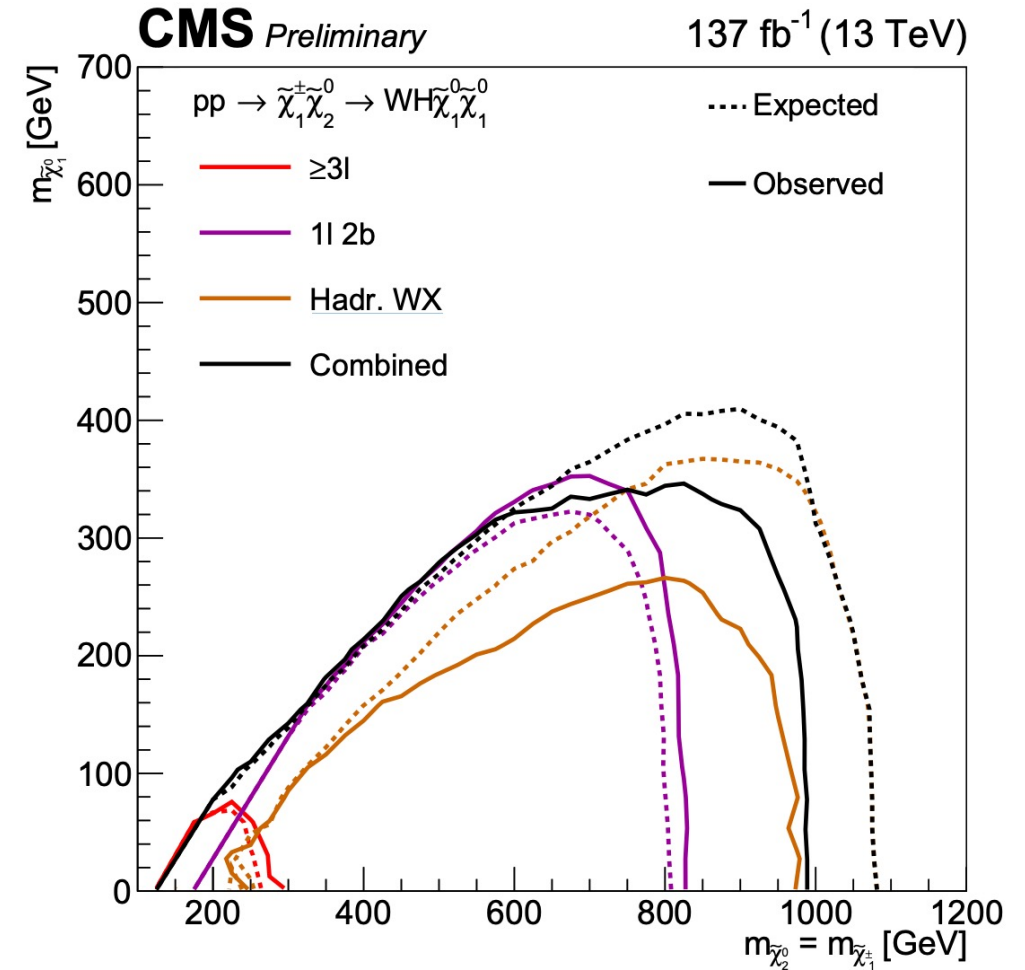
- Assume  $\text{Br}(\tilde{\chi}_2^0 \rightarrow Z\tilde{\chi}_1^0) = 100\%$  or  $\text{Br}(\tilde{\chi}_2^0 \rightarrow H\tilde{\chi}_1^0) = 100\%$
- Pushes exclusion up to 870 and 960 GeV respectively ( $m_{\text{NLSP}}$ )

## Higgsino-like Interpretation

- First significant phase space exclusion of higgsino-bino MSSM scenario for CMS  $\rightarrow$  exclusion of 300-650 GeV ( $m_{\text{NLSP}}$ )



- [SUS-21-008](#) is a Combination of Analysis with also WX analysis included
- It shows that WX analysis with heavy object tagging really boosts the sensitivity to large  $\Delta M$  ( $M_{\text{NLSP}} - M_{\text{LSP}}$ ) cases
- WX is complementary to other analysis
- The Combination is useful because it merges together complementary analysis improving the observed exclusion limit!

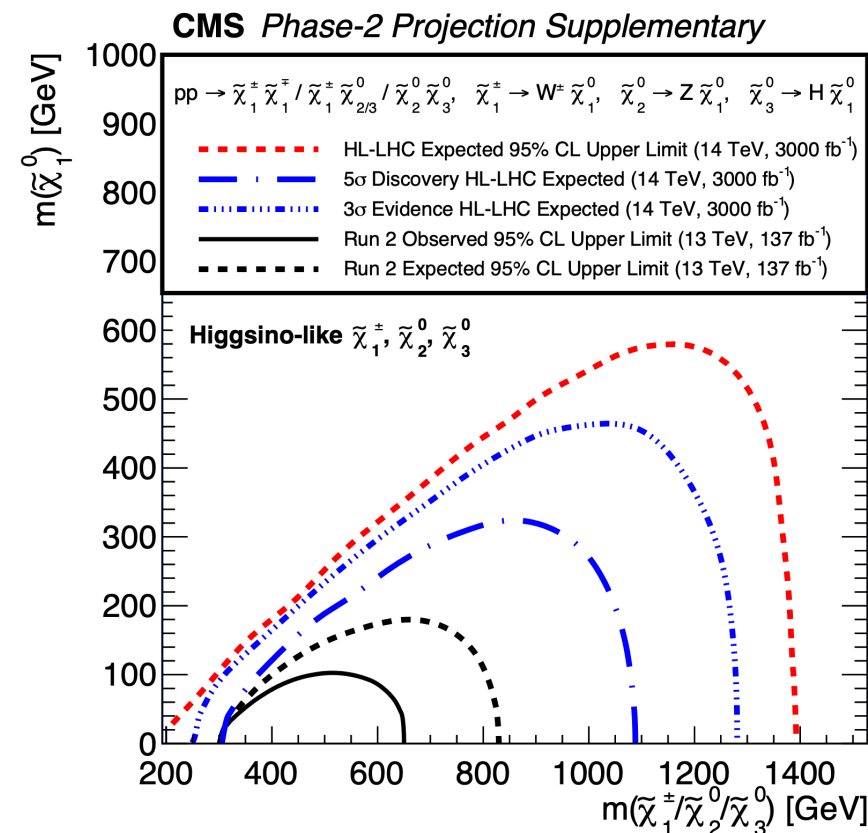


# Conclusion

A wide variety of SUSY searches have been performed by CMS with heavy object tagging. This talk mainly presented 3 recent searches from CMS:

1. The new 1 lep  $\Delta\phi$  analysis extends both the gluino mass up to 2280 GeV and the LSP mass up to 1220 GeV
  2. NMSSM two light Higgses (no MET) puts the 1st limits on this signature at the LHC
  3. WX+  $p_T^{\text{miss}}$  analysis is sensitive to wide range of pair production of electroweakino models and excludes a significant range of higgsino masses as well
- No signs of BSM physics yet but significant range of phase-space is excluded.
  - In the future Run3 analyses, the use of new neural network taggers and ML based analysis techniques would yield much exciting results

- Hadronic analyses show promise to push discovery reach further in HL-LHC era



Higgsino-like WX Search reach projected to HL-LHC  
([ATL-PHYS-PUB-2022-018](#))

Thank you for your attention!

# Backup

# Backgrounds

- Data-driven background estimation method used for QCD (dominant bckg)
- other backgrounds from MC simulation

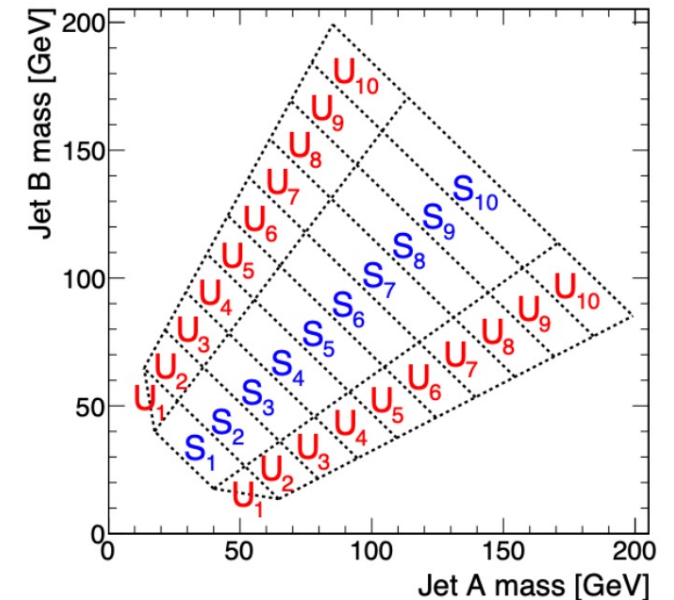
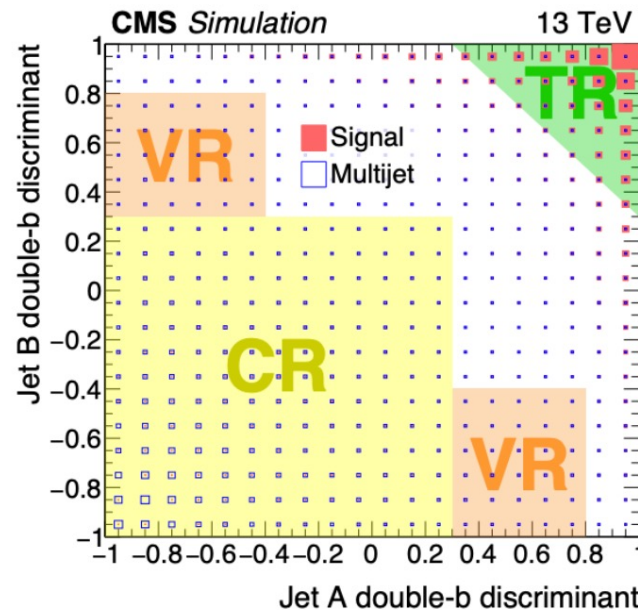
- For the double-b tag discriminant we define a **CR** and a **Tag Region (TR)** and for the mass we define **main-bands**( $S_i$ ) and a **side-bands**( $U_i$ )

- mass sidebands CRs ( $U_i$ ) designed to have similar yield as the corresponding SRs ( $S_i$ )

AK8 JetA and AK8 JetB are randomly allocated so that the distribution is symmetric

$$\hat{S}_i^{\text{TR}} = \frac{\hat{S}_i^{\text{CR}}}{\hat{U}_i^{\text{CR}}} \hat{U}_i^{\text{TR}}$$

Background-subtracted mass sideband yield in the TR



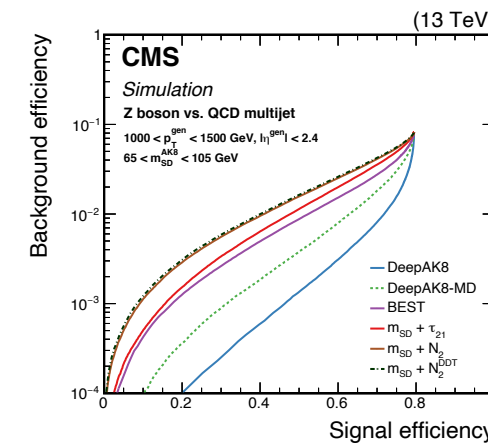
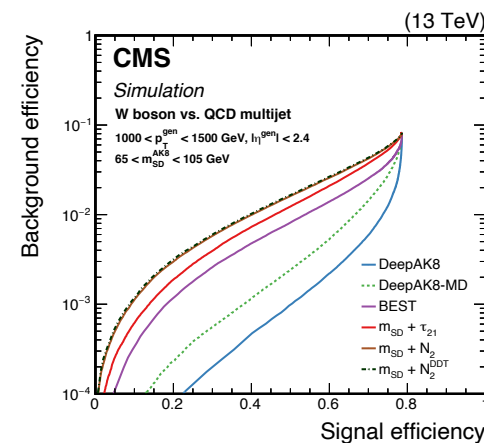
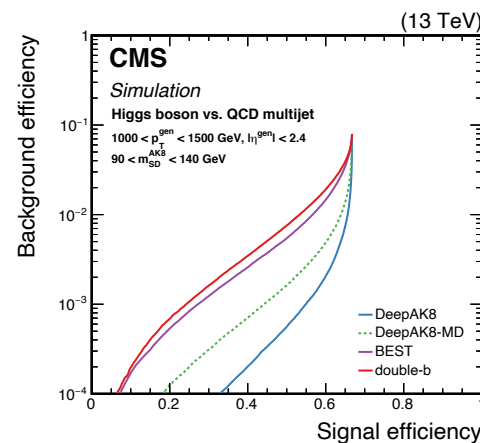
Mass and b-tag discriminant must be **uncorrelated** to apply ABCD method!



# Heavy flavour Tagging

## ➤ DeepAK8 (+MassDecorrelation)–H/W/Z-tagging

- Use of jet substructure and flavour signatures - NEW
- Inputs: up to 100 PF candidates + up to 5 SVs
- PFs and SVs processed in separate 1D CNNs, then combined in fully connected layer
- Adversarial training used to decorrelate mass

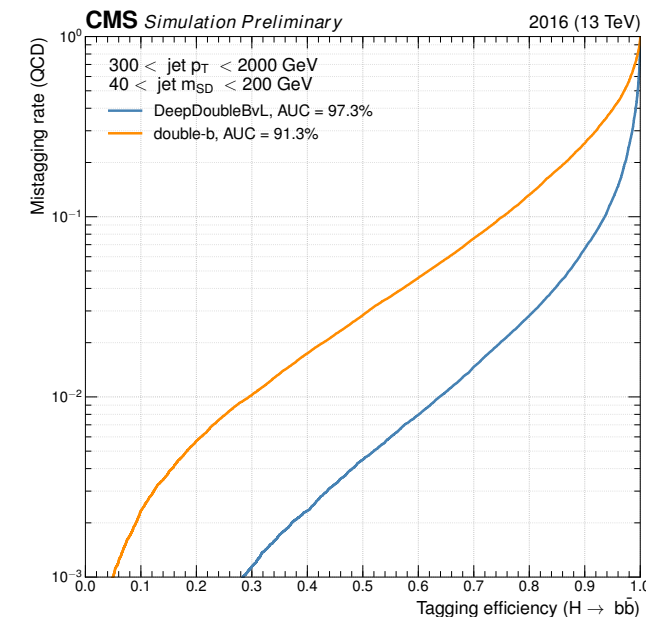
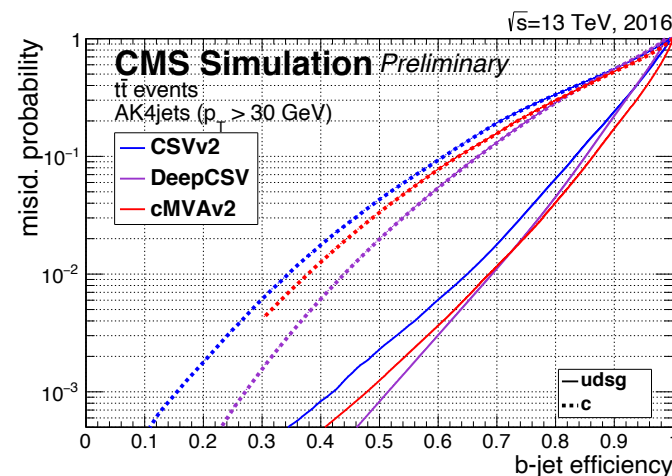


## ➤ DeepDoubleB (DDBvL)–H-tagging

- PF and vertex inputs handled separately, then fed through 50 dense layers with track inputs

## ➤ DeepCSV Algorithm–b-tagging

- 6-layer, 100-node DNN
- Extension of CSVv2 tagger
- Additional charged particle tracks - NEW



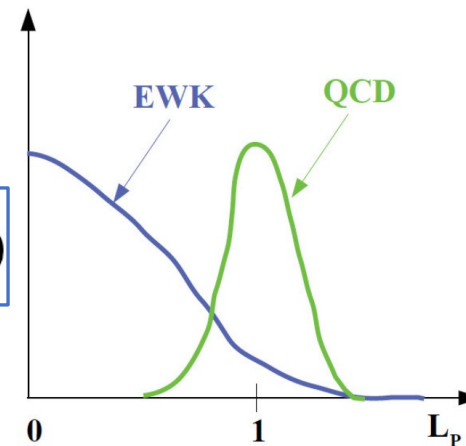


- QCD needs to be subtracted (a jet can be misidentified as a prompt lepton)
- We use  $L_P$  to separate QCD from other bckg because it reflects lepton polarization in W decays: QCD peaks at 1, EWK falls from 0 to 1

$$L_P = \frac{p_T^l}{p_T^W} \cos(\Delta\phi)$$

Multi-b

0b

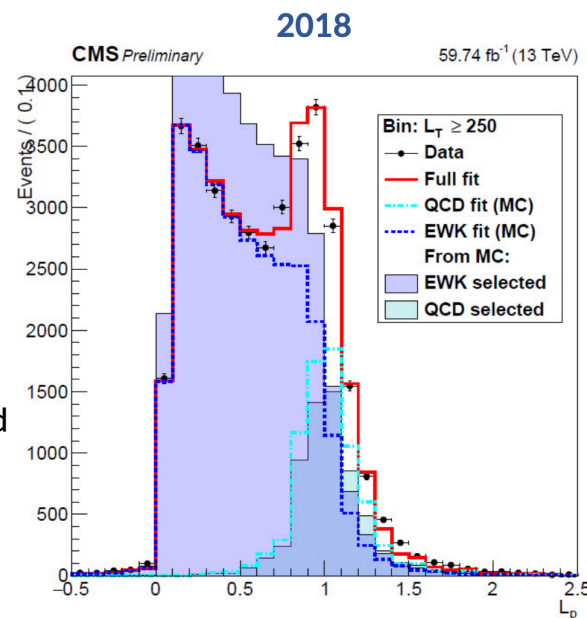


## Background Estimation of QCD

- Estimate QCD by inverting the lepton selection and determining selected to anti-selected ratio in QCD enriched region

$$F_{\text{sel-to-anti}} = \frac{N_{\text{QCD selected}}^{\text{fit}}}{N_{\text{QCD anti-selected}}^{\text{data}}}$$

- To estimate the number of selected QCD events, data is scaled in the anti-selected region (QCD enriched) by the  $F_{\text{sel-to-anti}}$  ratio
- For the  $F_{\text{sel-to-anti}}$  ratio extraction:
  - Fit on the  $L_P$  shape performed in data in  $n_{\text{jet}}=[3,4]$   $n_{b\text{-tag}} = 0$  sideband
  - EWK/QCD templates from "selected" MC
  - Determined separately for the different  $L_T$  bins used in the analysis



# Event Selection 1-Lep $\Delta\Phi$ Analysis

- Exactly 1 lepton (e or  $\mu$ )
- $HT = \sum pT \text{ jets} > 500 \text{ GeV}$
- $LT = |pT(\text{lep})| + |MET| > 250 \text{ GeV}$
- Sub-leading jet  $pT > 80 \text{ GeV}$
- $n_{\text{jet}} \geq 3$

For multi-b:  $nb\text{-tag} \geq 1$  and  $ntop\text{-tag} \geq 1$

For 0-b:  $nb\text{-tag} = 0$

Analysis regions binned in HT, LT,  $n_{\text{jet}}$ ,  $nb\text{-tag}$  (multi-b),  $ntop\text{-tag}$  (multi-b),  $nW\text{-tag}$  (0b).

- Additional MET filters are applied
- Isolated track veto: reduces  $t\bar{t}(2\text{lep})$  background with one missing lepton

- Electrons:  $pT > 25 \text{ GeV}$   $|\eta| < 2.4$  Cut-based ID, tight WP  $\text{minilso} < 0.1$
- Muons:  $pT > 25 \text{ GeV}$   $|\eta| < 2.4$  Cut-based ID, medium WP  $\text{minilso} < 0.2$
- Jets: AK4 with  $|\eta| < 2.4$  Latest JEC applied b-tagging: DeepCSV, medium WP

Data-driven bckg estimation split into:

## ❑ 2 categories for b-veto SR prediction

- Resonant Hadronic W bckg
  - Ttbar, singlet t, ttbarH contain real signal like W peak
  - Derived in 1l data CR due to missed-l W decays in SR
- Non resonant bckg
  - $W \rightarrow lv$ ,  $Z \rightarrow vv$ , and QCD-bckg from fake W-tagged objects
  - Derived in anti-tag data CR, using known fake W-tag rate

## ❑ 2 categories for b-tag SR prediction

- Fake Hadronic W background (0+1resonance) estimated from 0-tag and 1-tag CR
- 2 real Hadronic boson background from tri-boson events derived from MC

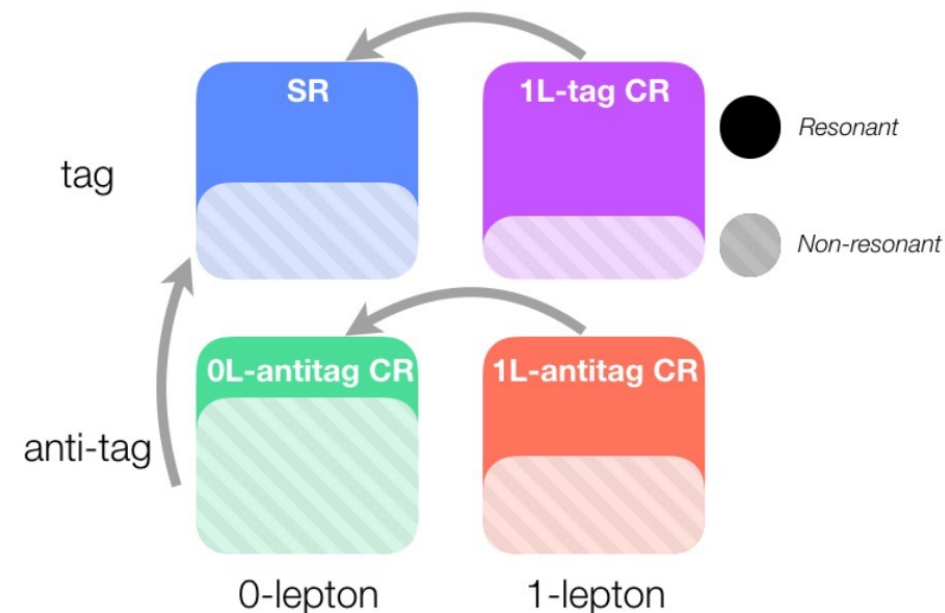
### b-tag SRs

$$N_{0\ell, \text{res}}^{\text{data}} = (N_{0\ell, \text{res}}^{\text{MC}} / N_{1\ell, \text{all}}^{\text{MC}}) \times N_{1\ell}^{\text{data}}$$

$$N_{\text{non-res}}^{\text{pred}} = \frac{N_{\text{tag}}^{\text{MC}}}{N_{\text{!tag}}^{\text{MC}}} (N_{\text{!tag}}^{\text{data}, 0\ell} - N_{\text{!tag, res}}^{\text{pred}} - N_{\text{!tag, rare}}^{\text{MC}}) \times \text{SF}_{\text{pass/fail}}$$

### b-veto SR

$$N_{\text{SR}}^{\text{data}} = \frac{N_{\text{SR}, 0\&1\text{-res}}^{\text{MC}}}{N_{\text{CR}, 0\&1\text{-res}}^{\text{MC}}} (N_{\text{CR}}^{\text{data}} / N_{\text{CR}, 2\text{-res}}^{\text{MC}}) + N_{\text{SR}, 2\text{-res}}^{\text{MC}}$$



In b-tag region, resonant BG (solid) in SR estimated from 1l CR, non-resonant BG (hashed) in SR estimated from 0l anti-tag CR

**Antitag (!tag) regions:**  
All H/W/Z candidates are not tagged

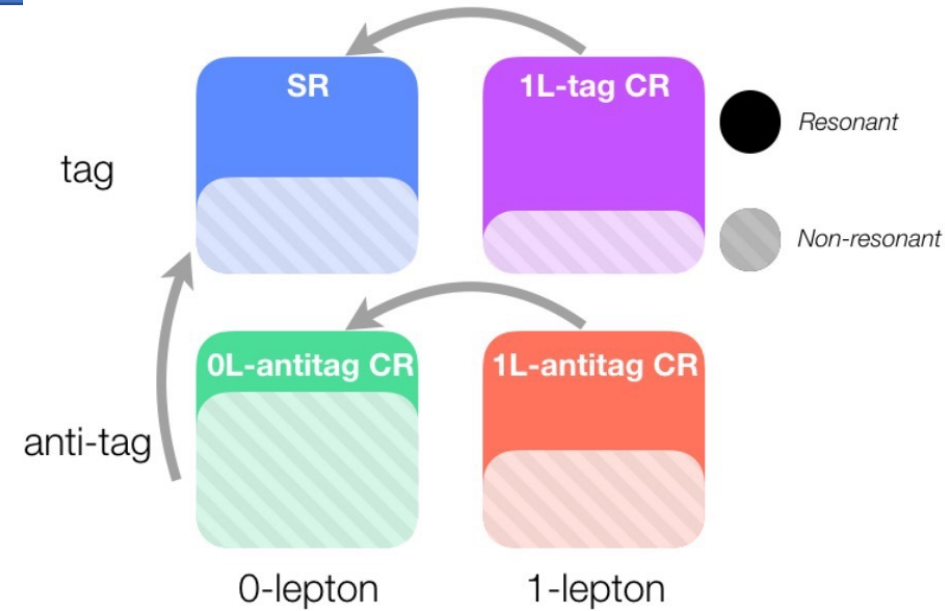
# Backgrounds

**In the b-veto SRs** (*~97% of the background is due to at least one mistagged AK8*)

- **0-resonant bkg** (no  $W/Z \rightarrow qq$ ):  $W(l\nu)$ +jets,  $Z(\nu\nu)$ +jets, QCD [77%]
- **1-resonant bkg** (1  $W/Z \rightarrow qq$ ):  $t\bar{t}$ bar, single top, diboson [20%]
- **2-resonant bkg** (2  $W/Z \rightarrow qq$ ): triboson,  $t\bar{t}X$  ( $X=W,Z,H$ ) [3%] → taken from MC

➤ Predictions of **0- and 1-resonant backgrounds** are based on two CRs they are estimated on Montecarlos and normalized to the CRs

$$N_{\text{SR}}^{\text{data}} = \frac{N_{\text{SR},0\&1\text{-res}}^{\text{MC}}}{N_{\text{CR},0\&1\text{-res}}^{\text{MC}}} (N_{\text{CR}}^{\text{data}} - N_{\text{CR},2\text{-res}}^{\text{MC}}) + N_{\text{SR},2\text{-res}}^{\text{MC}}$$



We use the CR with 1 lepton to predict resonant background in the SR, and the CR with antitag for non-resonant background

## In the b-tag SRs

- Top (resonant) backgrounds: ( $t\bar{t}$ , single t &  $t\bar{t}H$ ) are estimated from 1 lepton regions
- Non resonant backgrounds ( $W \rightarrow l\nu$ ,  $Z \rightarrow \nu\nu$  & QCD) are estimated by inverting the tagging requirements
- Other rare backgrounds are taken from MC

Horizontal (solid) extrapolations:

$$N_{0\ell,\text{res}}^{\text{data}} = (N_{0\ell,\text{res}}^{\text{MC}} / N_{1\ell,\text{all}}^{\text{MC}}) \times N_{1\ell}^{\text{data}}$$

Vertical (striped) extrapolations:

$$N_{\text{non-res}}^{\text{pred}} = \frac{N_{\text{tag}}^{\text{MC}}}{N_{\text{!tag}}^{\text{MC}}} (N_{\text{!tag}}^{\text{data},0\ell} - N_{\text{!tag,res}}^{\text{pred}} - N_{\text{!tag,rare}}^{\text{MC}})$$

# Heavy object tagging evolution

