

# Bounding/Characterizing String Geometries

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Based on work with James Gray and Callum Brodie

arXiv:2211.05804,23xx.xxxxx

Gray, Patil, Scanlon (In progress)

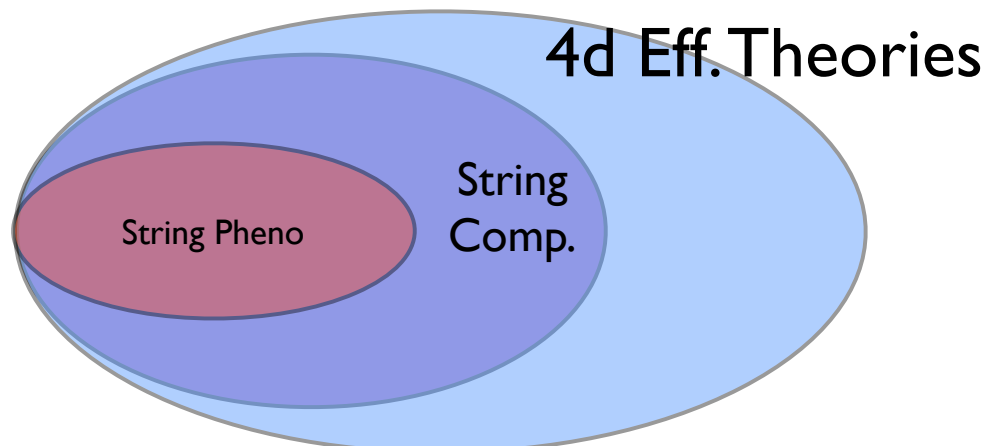


# Motivation

- String theory is a powerful extension of quantum gravity, but extracting the effective 4D physics is challenging:

Higher dimensional geometry  $\rightarrow$  **String Comp.**  $\rightarrow$  **4D Physics?**

- Need a robust toolkit in any corner of string theory to extract the full low energy physics
- In string compactifications: which field theories  $\leftrightarrow$  which geometries?
- What can learned from the top down setting?

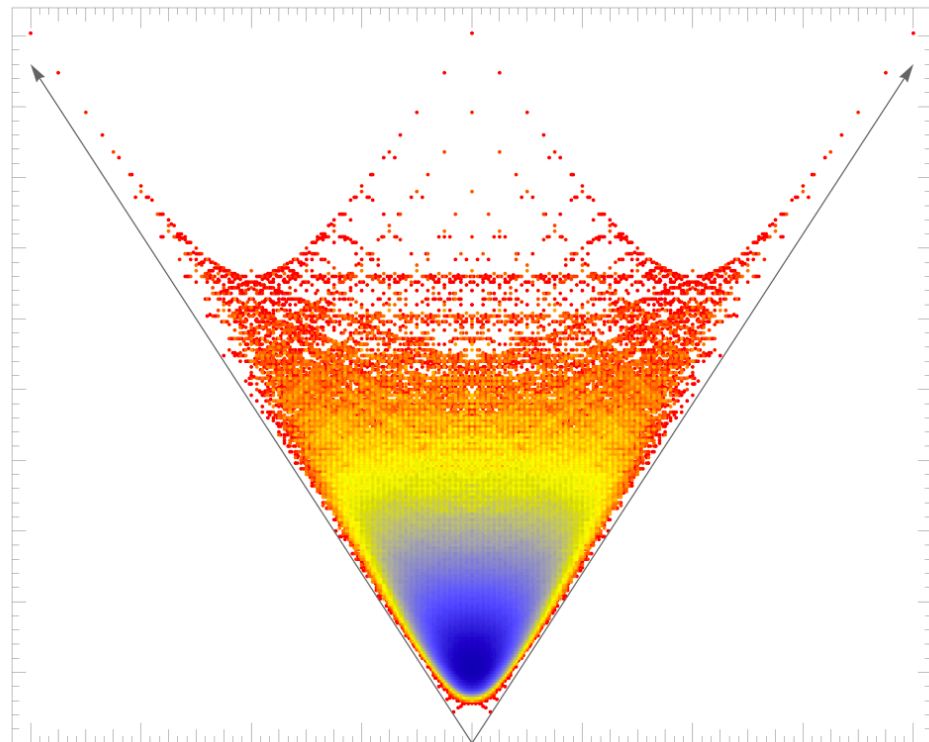


# Which theories/geometries?

- In string compactifications: **which field theories  $\leftrightarrow$  which geometries?**
- Broad goal: bound and characterize background geometries.
- What “geometry” matters? Geometries vs. topologies?
- **Bounds?** Field theoretic nature?
- Different answers for different theories (i.e.  $N=1,2,\dots$ , bundles, branes/singularities, fluxes, etc).

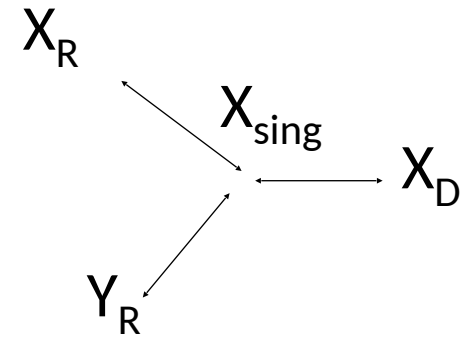
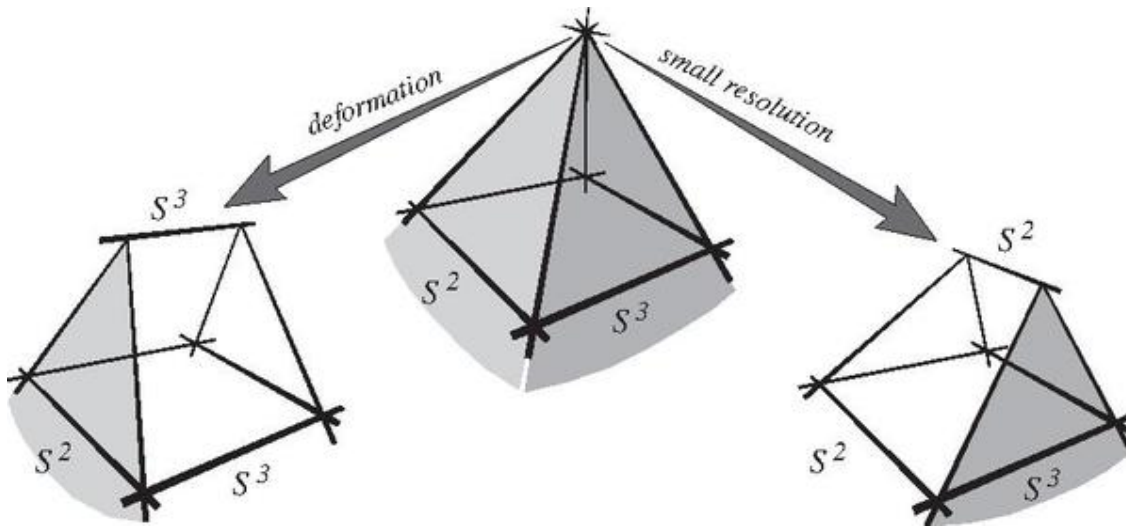
# CY Manifolds

- Finite?
- How to tell if two manifolds are the same? **Wall's data:**  
 $(h^{1,1}, h^{2,1}, c_2(X), d_{rst})$   
different then  $X, X'$  not diffeomorphic.
- **Geometric Transitions:**
  - Connect (most) Known CY manifolds
  - All CY manifolds? All  $SU(3)$  Structure manifolds?? **(Reid)**



# Geometric Transitions

- Topology changing transitions: Conifolds and Flops




- Geometrically these transitions are often well understood.
- They are also well understood field-theoretically in some contexts (i.e. Type II). But not in 4D,  $N=1$  theories...

- What about in the N=1 context?
- In  $E_8 \times E_8$  **heterotic string theory** things are more difficult. The theory has a Bianchi Identity:

$$dH = -\alpha' \text{tr} F \wedge F + \alpha' \text{tr} R \wedge R$$

  
Three-form

  
Negative sources from gauge and  
positive sources from gravitational  
sectors

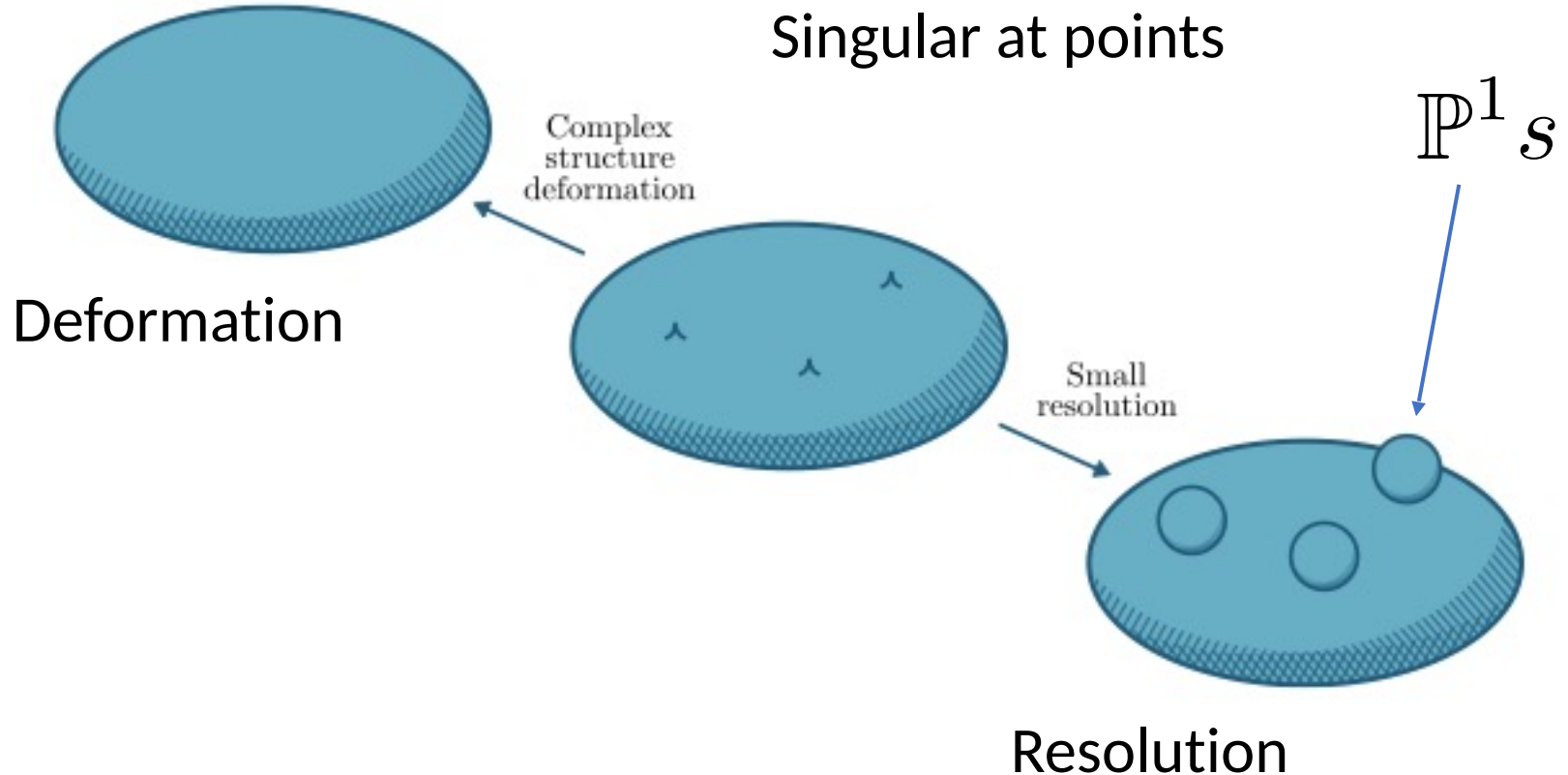
- Chern class condition:

$$[\text{tr} R \wedge R] = [\text{tr} F \wedge F] \Rightarrow c_2(\Omega_X) = c_2(V)$$

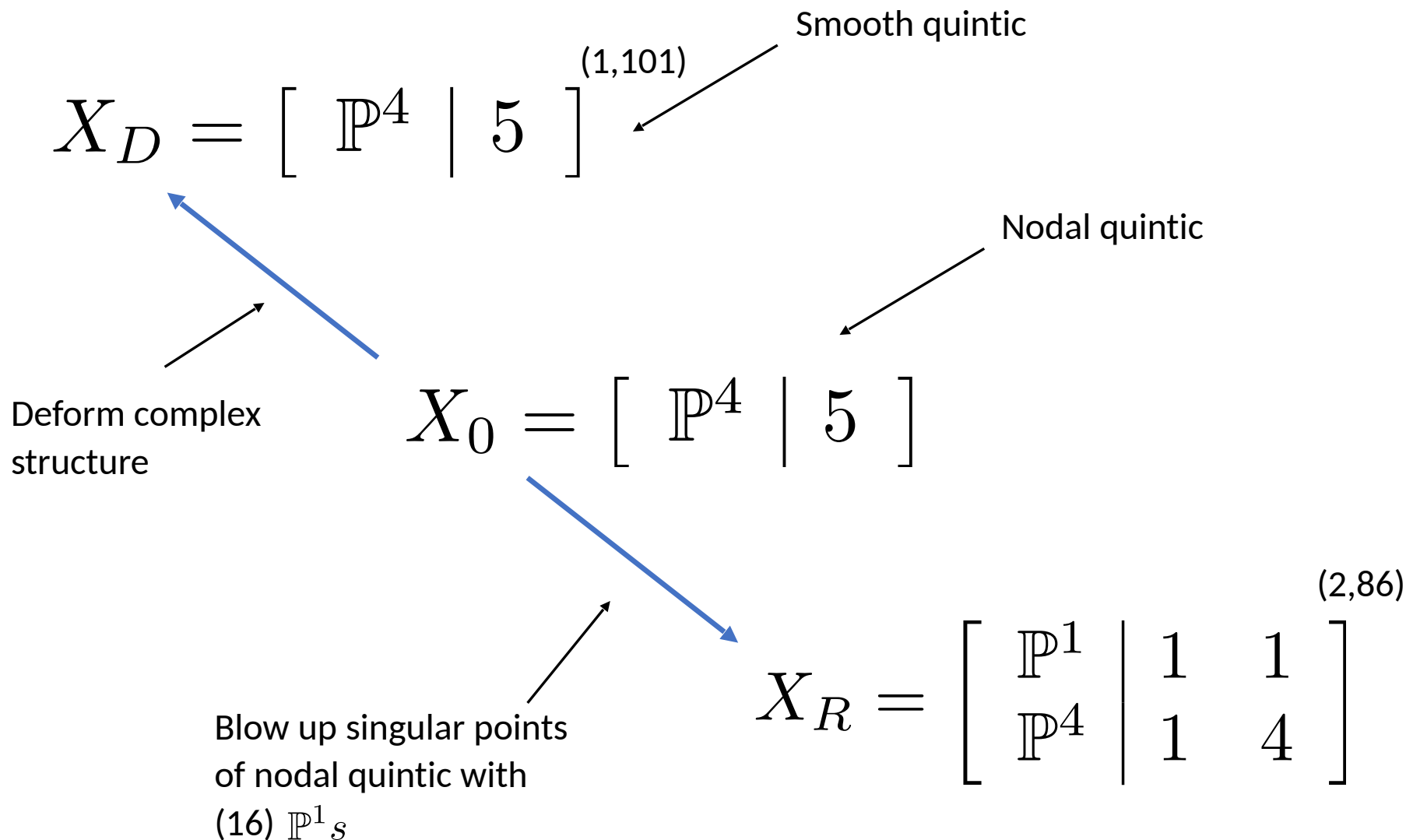
- With 5-branes:  $c_2(\Omega_X) = c_2(V) + [C]$
- This means that **we cannot set the gauge fields to zero.**

# Conifold transitions

- At the level of geometry we have schematically:



- In an example:







- You can also think of this transition as a brane separating from the cotangent bundle (c.f. small instanton transitions where a brane separates from the gauge bundle):

$$0 \rightarrow f^* \Omega_{X_0} \rightarrow \Omega_{X_R} \rightarrow \mathcal{O}_{\mathbb{P}^1_s}(-2) \rightarrow 0$$


Deformation  
manifold  
cotangent bundle



Resolution  
manifold  
cotangent bundle

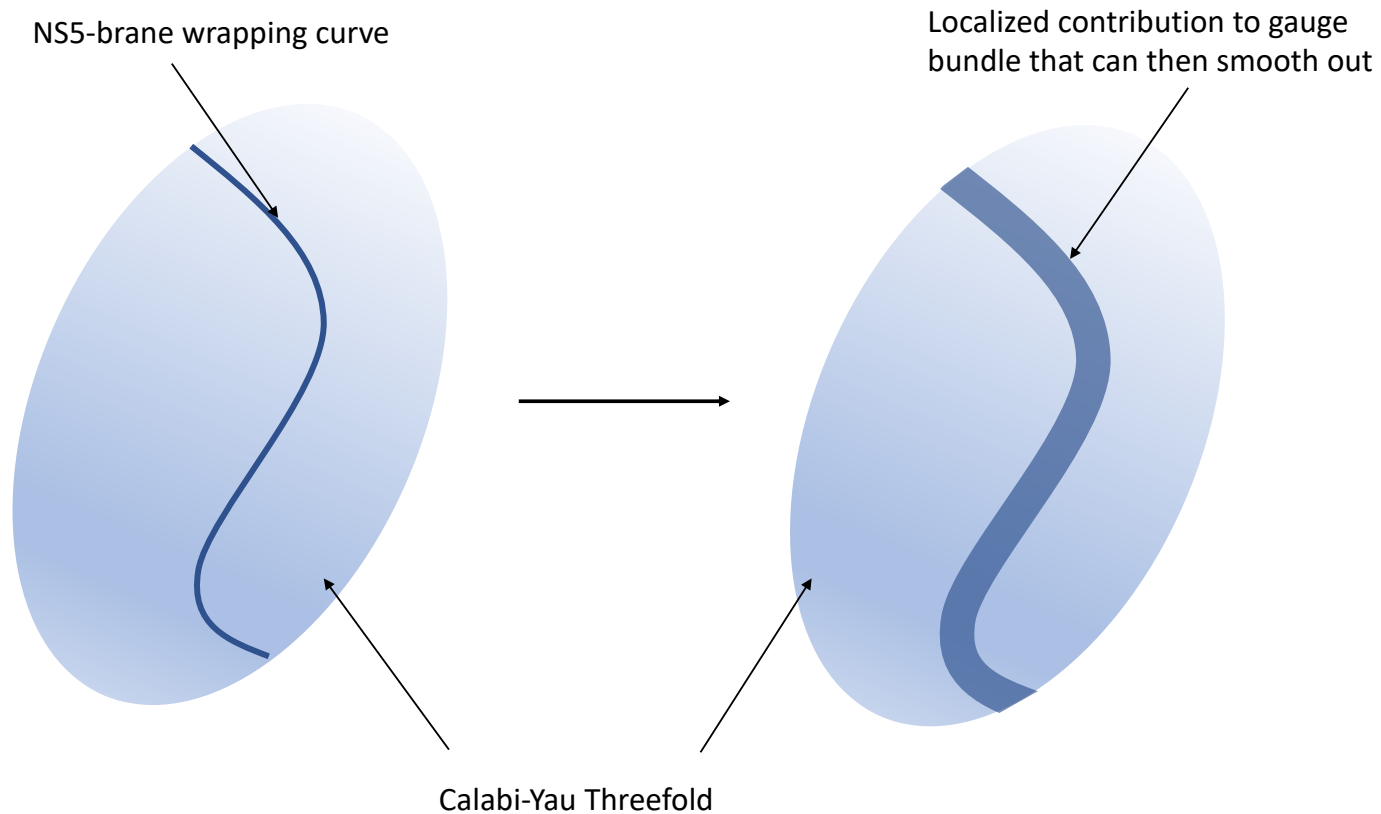


Sheaf localized on  
exceptional curves  
representing branes



# Small Instanton Transitions (SITs)

- SITs are the process whereby a five-brane is “dissolved” into the gauge bundle:



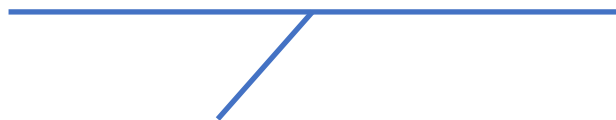
# Including a gauge bundle in the transition

- Recall the anomaly cancelation condition in heterotic string-theory:

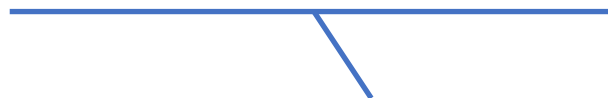
$$c_2(\Omega_{X_R}) = c_2(V_R)$$

- How does this change during the transition?

$$c_2(\Omega_{X_R}) + [\mathbb{P}^1 s] = c_2(V_R) + [\mathbb{P}^1 s]$$



This is how the gravitational sector changes given the transition we have seen in the cotangent bundle.



The gauge sector must change in the same way.

- A natural guess is to add the same brane into the gauge bundle!
- The process actually turns out to be a complicated series of brane recombinations and small instanton transitions.
- Gives us a **geometric description** of the heterotic conifold transition.
- Example of some dual bundles on the example geometries:

Deformation side bundle:

$$0 \rightarrow \mathcal{O}(-5) \rightarrow \mathcal{O}(-1)^{\oplus 5} \rightarrow V_D \rightarrow 0$$

Resolution side bundle:

$$0 \rightarrow \begin{array}{c} \mathcal{O}(-1, -5) \\ \oplus \\ \mathcal{O}(0, -4) \end{array} \rightarrow \begin{array}{c} \mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -5) \\ \oplus \\ \mathcal{O}(0, -1)^{\oplus 4} \end{array} \rightarrow V_R \rightarrow 0$$

# The full structure of the transition

- On the next slide is the map of the transition, presented at the level of classes for clarity.
- All of the sequences of sheaves (and Hecke Transforms) required for this process to occur exist and can be written down explicitly.
- In what follows  $V_s$  is a “spectator bundle” which goes through the transition in a trivial manner.

$$c_2(\Omega_{X_R}) = c_2(V_R)$$

Pair create curve supported sheaves

Pair create curve supported sheaves

$$c_2(\Omega_{X_R}) + [\mathbb{P}^1 s] = c_2(V_R) + [\mathbb{P}^1 s]$$

SIT in cotangent bundle

SIT in gauge bundle

$$c_2(f^* \Omega_{X_0}) = c_2(V_s) + [\mathcal{C}_R] + [\mathbb{P}^1 s]$$

“Brane” recombination

$$c_2(f^* \Omega_{X_0}) = c_2(V_s) + [\mathcal{C}_D]$$

SIT in gauge bundle

$$c_2(f^* \Omega_{X_0}) = c_2(V_D)$$

What happens to the degrees of freedom of the theory?

Deformation side:

Kahler moduli:  $h^{1,1} = 1$

Complex Structure:  $h^{2,1} = 101$

Bundle Moduli:  $h^1(\text{End}_0(V)) = 324$

Total = 426

Resolution side:

Kahler moduli:  $h^{1,1} = 2$

Complex Structure:  $h^{2,1} = 86$

Bundle Moduli:  $h^1(\text{End}_0(V)) = 338$

Total = 426

These “dual” pairs are related to a phenomenon that has appeared before

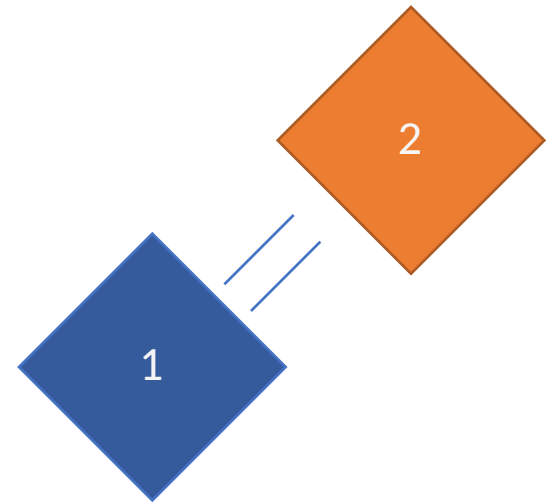
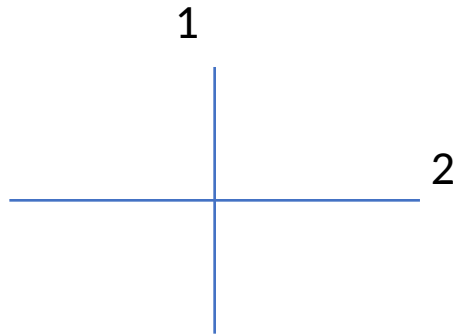
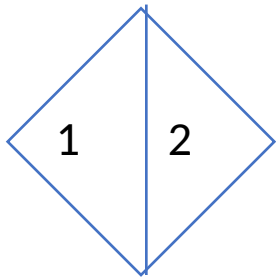
- (0,2) Target Space Duality (Distler + Kachru, Blumenhagen...)
- (The two bundles I displayed earlier are TSD)
- However, our approach is more general than TSD: applies to arbitrary bundle constructions and to 5-brane theories.

Distler and Kachru hep-th/9707198,  
Blumenhagen hep-th/9707198 and hep-th/  
9710021, Blumenhagen and Rahn 1106.4998,  
Anderson and Feng 1607.04628



# How are theories related? New string duality?

- Key question: Is this branch change, connected field space, or duality?



E.g.s:

Type II  
CY Flops

IIA CY Conifold  
(Higgs & Coulomb branches)

Type II  
CY Mirror Symmetry

# Exploring the effective theories

- Zero-mode spectrum matches
- What about further structure of the theory?  
Potentials? (LA/Feng, LA/Brodie/Gray to appear)
- Compare perturbative Yukawa coupling, non-perturbative effects.
- There are clearly interesting/problematic new contributions in resolution geometry

$$W_{C_i} \sim (\text{Pfaff}_{C_i}) e^{-\int_{C_i} J_{new}}$$

# Perturbative Yukawa couplings?

- To understand these we need information about the mapping on field space (for both moduli and charged matter).
- Some cases are easy to understand (if boring).
- Previous Example: SO(10) theory with no 10's – all couplings vanish.
- For more interesting cases we can simply compute on both sides of the duality and see if we can spot the structure we need.
- For E<sub>6</sub> theories:

$$\lambda_{abc} \phi^a_{\mathbf{27}} \phi^b_{\mathbf{27}} \phi^c_{\mathbf{27}} \quad H^1(V) \times H^1(V) \times H^1(V) \rightarrow H^3(\wedge^3 V)$$

# An E6 example:

Deformation side:

$$[\mathbb{P}^4 | 5]$$

$$0 \rightarrow V_D \rightarrow \mathcal{O}(1)^3 \oplus \mathcal{O}(2) \rightarrow \mathcal{O}(5) \rightarrow 0$$

Resolution side:

$$\left[ \begin{array}{cccccc|cc} y_0 & y_1 & y_2 & y_3 & y_4 & x_0 & x_1 & p_1 & p_2 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 3 & 0 & 4 & 4 \end{array} \right]$$

$$0 \rightarrow V_R \rightarrow \mathcal{O}(0, 2)^2 \oplus \mathcal{O}(0, 1) \oplus \mathcal{O}(1, 0) \rightarrow \mathcal{O}(1, 5) \rightarrow 0$$

- In this example we find that all 147,440 independent, non-vanishing, **Yukawa couplings correctly match** as holomorphic functions of the moduli on either side of the duality (there are 95 families in this case).
- This matching works for a class of monad bundles where the rank of the final line bundle sum in the sequence is 1.
- More generally we have been able to show that a more complex mapping of family structures is necessary.
- In some of these more complex examples, however, we know that the Yukawa couplings must match for other reasons... so work continues!

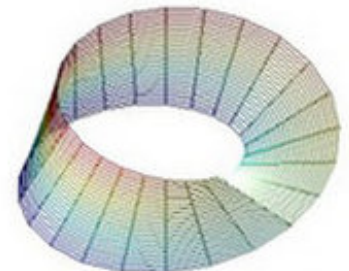
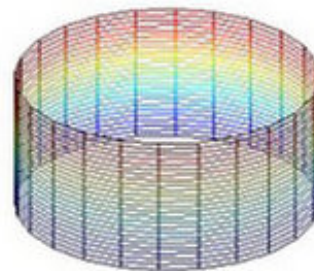
# Summary

- We have proposed a consistent/minimal geometric process by which compactifications of the heterotic string can undergo conifold transitions.
- Compactifications which are related by such transitions  $\rightarrow$  identical four dimensional physics (spectra and superpotential couplings).
- For certain types of compactifications (w/o five-branes) this equivalence of theories corresponds to TSD – so we have given that duality a geometric interpretation.
- More generally this duality is novel and has several potential consequences.

# Heterotic Wall's Data?

- Not so simple as manifold topology + bundle topology.
- What topological data characterizes the theory?
- Initial observations:  $\text{ch3}(V)$ , Singlets  
 $h^{1,1} + h^{2,1} + h^1(\text{End}_0(V))$
- Approach: Topology of the bundle as a manifold

$$Z = \mathbb{P}(\pi : V \rightarrow X)$$



# Further Topics

- The pair creation effect we discussed should be studied in isolation in a simpler setting (and other theories).
- Moduli Mapping (in progress with **Gray, Patil and Scanlon**)
- Other geometric transitions? (E.g. flops)
- F-theory duals?
- Broader moduli space of heterotic compactifications?  
(Important for model building/searching for examples of phenomena).