

# Tri-hypercharge: a path to the origin of flavour

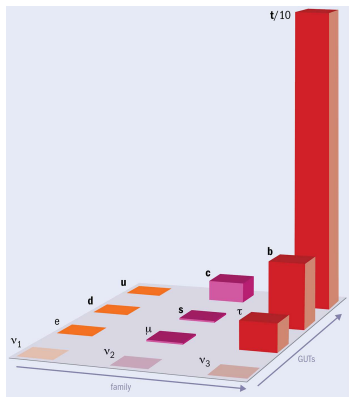
Mario Fernández Navarro<sup>†</sup>

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Based on [arXiv \[2305.07690\]](#) [[hep-ph](#)] in collaboration  
with Steve King

<sup>†</sup>[M.F.Navarro@soton.ac.uk](mailto:M.F.Navarro@soton.ac.uk)

# The flavour puzzle



$$\begin{aligned}
 m_t &\sim \frac{v_{SM}}{\sqrt{2}}, & m_c &\sim \lambda^{3.3} \frac{v_{SM}}{\sqrt{2}}, & m_u &\sim \lambda^{7.5} \frac{v_{SM}}{\sqrt{2}}, \\
 m_b &\sim \lambda^{2.5} \frac{v_{SM}}{\sqrt{2}}, & m_s &\sim \lambda^{5.0} \frac{v_{SM}}{\sqrt{2}}, & m_d &\sim \lambda^{7.0} \frac{v_{SM}}{\sqrt{2}}, \\
 m_\tau &\sim \lambda^{3.0} \frac{v_{SM}}{\sqrt{2}}, & m_\mu &\sim \lambda^{4.9} \frac{v_{SM}}{\sqrt{2}}, & m_e &\sim \lambda^{8.4} \frac{v_{SM}}{\sqrt{2}},
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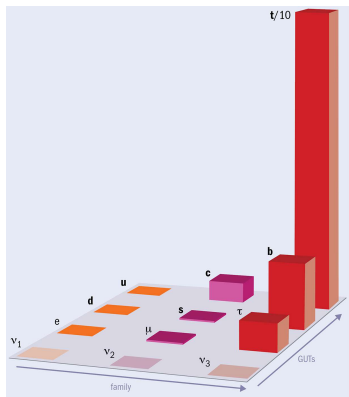
$$V_{us} \sim \lambda, \quad V_{cb} \sim \lambda^2, \quad V_{ub} \sim \lambda^3,$$

$$\tan\theta_{23}^\nu \sim 1, \quad \tan\theta_{12}^\nu \sim \frac{1}{\sqrt{2}}, \quad \sin\theta_{13}^\nu \sim \frac{\lambda}{\sqrt{2}},$$

where  $v_{SM} \simeq 246 \text{ GeV}$  and  $\lambda = \sin\theta_C \simeq 0.224$

- Why three families?
- Why the three families interact so differently with the Higgs?
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- What is the origin of very small neutrino masses, and why the PMNS mixing is so different from the CKM mixing?  
 $\Rightarrow$  A **theory of flavour** is needed!

# Tri-hypercharge in a nutshell

$$\begin{aligned} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3} \\ & \rightarrow SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2+Y_3} \end{aligned}$$

Field	$SU(3)_c$	$SU(2)_L$	$U(1)_{Y_1}$	$U(1)_{Y_2}$	$U(1)_{Y_3}$
$Q_1$	<b>3</b>	<b>2</b>	1/6	0	0
$u_1^c$	$\bar{\mathbf{3}}$	<b>1</b>	-2/3	0	0
$d_1^c$	$\bar{\mathbf{3}}$	<b>1</b>	1/3	0	0
$L_1$	<b>1</b>	<b>2</b>	-1/2	0	0
$e_1^c$	<b>1</b>	<b>1</b>	1	0	0
$Q_2$	<b>3</b>	<b>2</b>	0	1/6	0
$u_2^c$	$\bar{\mathbf{3}}$	<b>1</b>	0	-2/3	0
$d_2^c$	$\bar{\mathbf{3}}$	<b>1</b>	0	1/3	0
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- Light charged fermion masses and CKM mixing are naturally small because they arise from non-renormalisable operators (involving the high scale scalar SM singlet fields breaking  $U(1)_Y^3$  down to SM hypercharge, which act as a link between the different  $U(1)_{Y_i}$ ).



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- The Yukawa couplings above preserve an accidental  $U(2)^5$  flavour symmetry acting on the light families,

$$U(2)^5 \equiv U(2)_Q \times U(2)_u \times U(2)_d \times U(2)_L \times U(2)_e.$$

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- However, **the hierarchies  $y_{b,\tau}/y_t \approx 0.01$  not explained**  $\Rightarrow$  Consider type II 2HDM (could be enforced by either  $Z_2$  or supersymmetry)

$$H_u(\mathbf{1}, \mathbf{2})_{(0,0,\frac{1}{2})}, \quad H_d(\mathbf{1}, \mathbf{2})_{(0,0,-\frac{1}{2})},$$

with  $\tan\beta = v_u/v_d \approx 20$ .

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- Similar mechanism as other non-universal gauge theories of flavour (see talks by Joe Davighi and Javier Lizana).

$$Y_u \sim \begin{pmatrix} \square & \square & 0.008 \\ & & 0.04 \\ \hline & & \mathbf{y_t} \end{pmatrix} \rightarrow \text{Largest breaking of } U(2)_q$$

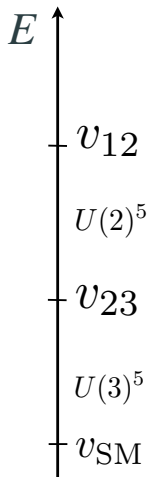
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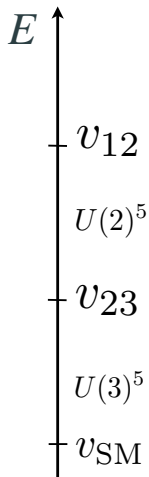
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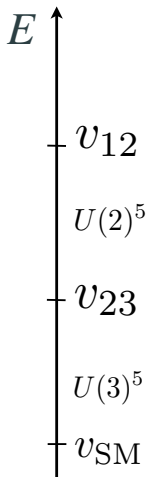
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- Dynamics at  $v_{23}$  explain  $m_2/m_3$ . Gauge symmetry preserves  $U(2)^5$ .  
 $\Rightarrow Z'_{23}$  FCNCs suppressed by the approximate  $U(2)^5$  symmetry, **can be as light as a few TeV.**



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- If  $\langle \phi(0, \frac{1}{2}, -\frac{1}{2}) \rangle / \Lambda = \lambda^3$  where  $\lambda = \sin\theta_C = 0.223$ , then

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- Repeat this process systematically for all charged fermion masses.

# Charged fermions: spurion formalism

$$\begin{aligned}
 \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(\frac{1}{2}, 0, -\frac{1}{2}) & \Phi(-\frac{1}{6}, \frac{2}{3}, -\frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(\frac{2}{3}, -\frac{1}{6}, -\frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(\frac{2}{3}, 0, -\frac{2}{3}) & \Phi(0, \frac{2}{3}, -\frac{2}{3}) & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\
 & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, -\frac{1}{6}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, 0, \frac{1}{3}) & \Phi(0, -\frac{1}{3}, \frac{1}{3}) & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\
 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(\frac{1}{2}, -1, \frac{1}{2}) & \Phi(\frac{1}{2}, 0, -\frac{1}{2}) \\ \Phi(-1, \frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) \\ \Phi(-1, 0, 1) & \Phi(0, -1, 1) & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d + \text{h.c.},
 \end{aligned}$$

in the EFT framework (neglecting  $\mathcal{O}(1)$  dimensionless coefficients)

$$\Phi = \frac{\phi_1 \dots \phi_N}{\Lambda_1 \dots \Lambda_N}.$$

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 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(\frac{1}{2}, -1, \frac{1}{2}) & \Phi(\frac{1}{2}, 0, -\frac{1}{2}) \\ \Phi(-1, \frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) \\ \Phi(-1, 0, 1) & \Phi(0, -1, 1) & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d + \text{h.c.},
 \end{aligned}$$

in the EFT framework (neglecting  $\mathcal{O}(1)$  dimensionless coefficients)

$$\Phi = \frac{\phi_1 \dots \phi_N}{\Lambda_1 \dots \Lambda_N}.$$

Assuming all  $\Lambda_i(s)$  to be universal

- Similar  $m_2/m_3$  hierarchy in all charged sectors (same for  $m_1/m_3$ )  $\Rightarrow$  2HDM also motivated for  $m_{s,\mu}/m_c$  hierarchy



# Charged fermions: spurion formalism

$$\begin{aligned}
 \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(\frac{1}{2}, 0, -\frac{1}{2}) & \Phi(-\frac{1}{6}, \frac{2}{3}, -\frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(\frac{2}{3}, -\frac{1}{6}, -\frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(\frac{2}{3}, 0, -\frac{2}{3}) & \Phi(0, \frac{2}{3}, -\frac{2}{3}) & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\
 & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, -\frac{1}{6}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, 0, \frac{1}{3}) & \Phi(0, -\frac{1}{3}, \frac{1}{3}) & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\
 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(\frac{1}{2}, -1, \frac{1}{2}) & \Phi(\frac{1}{2}, 0, -\frac{1}{2}) \\ \Phi(-1, \frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) \\ \Phi(-1, 0, 1) & \Phi(0, -1, 1) & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d + \text{h.c.},
 \end{aligned}$$

in the EFT framework (neglecting  $\mathcal{O}(1)$  dimensionless coefficients)

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- Similar  $m_2/m_3$  hierarchy in all charged sectors (same for  $m_1/m_3$ )  $\Rightarrow$  2HDM also motivated for  $m_{s,\mu}/m_c$  hierarchy
- $\mu_L - \tau_L$  mixing connected to the hierarchy  $m_2/m_3 \approx \lambda^3$ . Similarly  $e_L - \tau_L$  mixing connected to  $m_1/m_3 \approx \lambda^6$ .

# Charged fermions: spurion formalism

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 \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(\frac{1}{2}, 0, -\frac{1}{2}) & \Phi(-\frac{1}{6}, \frac{2}{3}, -\frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(\frac{2}{3}, -\frac{1}{6}, -\frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(\frac{2}{3}, 0, -\frac{2}{3}) & \Phi(0, \frac{2}{3}, -\frac{2}{3}) & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\
 & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2}) & \Phi(-\frac{1}{6}, 0, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, -\frac{1}{6}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{6}, \frac{1}{6}) \\ \Phi(-\frac{1}{3}, 0, \frac{1}{3}) & \Phi(0, -\frac{1}{3}, \frac{1}{3}) & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\
 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \Phi(-\frac{1}{2}, 0, \frac{1}{2}) & \Phi(\frac{1}{2}, -1, \frac{1}{2}) & \Phi(\frac{1}{2}, 0, -\frac{1}{2}) \\ \Phi(-1, \frac{1}{2}, \frac{1}{2}) & \Phi(0, -\frac{1}{2}, \frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) \\ \Phi(-1, 0, 1) & \Phi(0, -1, 1) & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d + \text{h.c.},
 \end{aligned}$$

in the EFT framework (neglecting  $\mathcal{O}(1)$  dimensionless coefficients)

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- Similar  $m_2/m_3$  hierarchy in all charged sectors (same for  $m_1/m_3$ )  $\Rightarrow$  2HDM also motivated for  $m_{s,\mu}/m_c$  hierarchy
- $\mu_L - \tau_L$  mixing connected to the hierarchy  $m_2/m_3 \approx \lambda^3$ . Similarly  $e_L - \tau_L$  mixing connected to  $m_1/m_3 \approx \lambda^6$ .
- Alignment of  $V_{cb}$  and  $V_{ub}$  depends on dimensionless coefficients. Alignment of  $V_{us}$  is model-dependent.

# Charged fermions: from spurions to hyperons

Simplest example model: promote spurions to hyperons and assume one EFT cut-off  $\Lambda$  (neglecting  $\mathcal{O}(1)$  coefficients)

$$\begin{aligned}
 \mathcal{L}^{d \leq 5} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{\ell 13}^{(\frac{1}{2}, 0, -\frac{1}{2})} / \Lambda & 0 & \phi_{q 13}^{(-\frac{1}{6}, 0, \frac{1}{6})} / \Lambda \\ 0 & \phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})} / \Lambda & \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})} / \Lambda \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\
 & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{\ell 13}^{(-\frac{1}{2}, 0, \frac{1}{2})} / \Lambda & \phi_{d 12}^{(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2})} / \Lambda & \phi_{q 13}^{(-\frac{1}{6}, 0, \frac{1}{6})} / \Lambda \\ 0 & \tilde{\phi}_{\ell 23}^{(0, -\frac{1}{2}, \frac{1}{2})} / \Lambda & \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})} / \Lambda \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\
 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \tilde{\phi}_{\ell 13}^{(-\frac{1}{2}, 0, \frac{1}{2})} / \Lambda & 0 & \phi_{\ell 13}^{(\frac{1}{2}, 0, -\frac{1}{2})} / \Lambda \\ 0 & \tilde{\phi}_{\ell 23}^{(0, -\frac{1}{2}, \frac{1}{2})} / \Lambda & \phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})} / \Lambda \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d .
 \end{aligned}$$

- Each hyperon  $\phi$  above develops an arbitrary VEV breaking  $U(1)_{\text{Y}}^3$ .

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Simplest example model: promote spurions to hyperons and assume one EFT cut-off  $\Lambda$  (neglecting  $\mathcal{O}(1)$  coefficients)

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 & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{\ell 13}^{(-\frac{1}{2}, 0, \frac{1}{2})} / \Lambda & \phi_{d 12}^{(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2})} / \Lambda & \phi_{q 13}^{(-\frac{1}{6}, 0, \frac{1}{6})} / \Lambda \\ 0 & \tilde{\phi}_{\ell 23}^{(0, -\frac{1}{2}, \frac{1}{2})} / \Lambda & \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})} / \Lambda \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\
 & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \tilde{\phi}_{\ell 13}^{(-\frac{1}{2}, 0, \frac{1}{2})} / \Lambda & 0 & \phi_{\ell 13}^{(\frac{1}{2}, 0, -\frac{1}{2})} / \Lambda \\ 0 & \tilde{\phi}_{\ell 23}^{(0, -\frac{1}{2}, \frac{1}{2})} / \Lambda & \phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})} / \Lambda \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d .
 \end{aligned}$$

- Each hyperon  $\phi$  above develops an arbitrary VEV breaking  $U(1)_Y^3$ .
- We have the freedom to choose the ratios  $\langle \phi \rangle / \Lambda$  in order to explain charged fermion masses and CKM mixing.

# Charged fermions: from spurions to hyperons

Ratios  $\langle \phi \rangle / \Lambda$  fixed as powers of the Wolfenstein parameter  $\lambda = \sin\theta_C \simeq 0.224$

$$\begin{aligned} \mathcal{L}^{d \leq 5} = & (u_1 \quad u_2 \quad u_3) \begin{pmatrix} \lambda^6 & 0 & \lambda^3 \\ 0 & \lambda^3 & \lambda^2 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} \frac{v_{\text{SM}}}{\sqrt{2}} \\ & + (d_1 \quad d_2 \quad d_3) \begin{pmatrix} \lambda^6 & \lambda^4 & \lambda^3 \\ 0 & \lambda^3 & \lambda^2 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} \lambda^2 \frac{v_{\text{SM}}}{\sqrt{2}} \\ & + (e_1 \quad e_2 \quad e_3) \begin{pmatrix} \lambda^6 & 0 & \lambda^6 \\ 0 & \lambda^3 & \lambda^3 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} \lambda^2 \frac{v_{\text{SM}}}{\sqrt{2}} . \end{aligned}$$

- Within the limitations of the EFT framework, we obtain a good description of charged fermion masses and CKM mixing.

# Charged fermions: from spurions to hyperons

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- Within the limitations of the EFT framework, we obtain a good description of charged fermion masses and CKM mixing.
- However, no  $\phi_{12}^{(q,-q,0)}$  hyperon breaking  $U(1)_{Y_1} \times U(1)_{Y_2} \xrightarrow{v_{12}^2} U(1)_{Y_1+Y_2}$  at a high scale  $v_{12}$  is specified.
- Moreover, unexplained large hierarchy of VEVs  $\langle \phi_{\ell 13}^{(\frac{1}{2}, 0, -\frac{1}{2})} \rangle / \langle \phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})} \rangle \approx \lambda^3$ , where both hyperons participate in 23 breaking  $U(1)_{Y_1+Y_2} \times U(1)_{Y_3} \xrightarrow{v_{23}^2} U(1)_{Y_1+Y_2+Y_3}$ .

# Charged fermions: Minimal model with 3 hyperons

Introduce the minimal set of hyperons ( $\mathcal{O}(1)$  coefficients for each entry are implicit)

$$\phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}, \quad \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})}, \quad \phi_{q 12}^{(-\frac{1}{6}, \frac{1}{6}, 0)}.$$

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$$\begin{aligned} \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 & \phi_{q 12} \phi_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} / \Lambda^5 & \phi_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^6 & \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\ & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \phi_{q 12} \tilde{\phi}_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \phi_{q 12}^2 \tilde{\phi}_{\ell 23} / \Lambda^3 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \phi_{q 12}^2 \phi_{q 23}^2 / \Lambda^4 & \phi_{q 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\ & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23} / \Lambda^7 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{\ell 23} / \Lambda \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23}^2 / \Lambda^8 & \tilde{\phi}_{\ell 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d, \end{aligned}$$



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$$\begin{aligned} \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 & \phi_{q 12} \phi_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} / \Lambda^5 & \phi_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^6 & \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\ & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \phi_{q 12} \tilde{\phi}_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \phi_{q 12}^2 \tilde{\phi}_{\ell 23} / \Lambda^3 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \phi_{q 12}^2 \phi_{q 23}^2 / \Lambda^4 & \phi_{q 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\ & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23} / \Lambda^7 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{\ell 23} / \Lambda \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23}^2 / \Lambda^8 & \tilde{\phi}_{\ell 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d, \end{aligned}$$

- Second family masses and  $V_{cb}$  arise at dimension 5.

# Charged fermions: Minimal model with 3 hyperons

Introduce the minimal set of hyperons ( $\mathcal{O}(1)$  coefficients for each entry are implicit)

$$\phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}, \quad \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})}, \quad \phi_{q 12}^{(-\frac{1}{6}, \frac{1}{6}, 0)}.$$

$$\begin{aligned} \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 & \phi_{q 12} \phi_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} / \Lambda^5 & \phi_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^6 & \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\ & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \phi_{q 12} \tilde{\phi}_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \phi_{q 12}^2 \tilde{\phi}_{\ell 23} / \Lambda^3 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \phi_{q 12}^2 \phi_{q 23}^2 / \Lambda^4 & \phi_{q 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\ & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23} / \Lambda^7 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{\ell 23} / \Lambda \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23}^2 / \Lambda^8 & \tilde{\phi}_{\ell 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d, \end{aligned}$$

- Second family masses and  $V_{cb}$  arise at dimension 5.
- $V_{ub}$  at dimension 6 and first family masses at dimension 8.

# Charged fermions: Minimal model with 3 hyperons

Introduce the minimal set of hyperons ( $\mathcal{O}(1)$  coefficients for each entry are implicit)

$$\phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}, \quad \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})}, \quad \phi_{q 12}^{(-\frac{1}{6}, \frac{1}{6}, 0)}.$$

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- Second family masses and  $V_{cb}$  arise at dimension 5.
- $V_{ub}$  at dimension 6 and first family masses at dimension 8.
- RH fermion mixing generally suppressed.

# Charged fermions: Minimal model with 3 hyperons

Introduce the minimal set of hyperons ( $\mathcal{O}(1)$  coefficients for each entry are implicit)

$$\phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}, \quad \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})}, \quad \phi_{q 12}^{(-\frac{1}{6}, \frac{1}{6}, 0)}.$$

$$\begin{aligned} \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 & \phi_{q 12} \phi_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} / \Lambda^5 & \phi_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \tilde{\phi}_{q 12}^4 \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^6 & \phi_{\ell 23} \tilde{\phi}_{q 23} / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\ & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \phi_{q 12} \tilde{\phi}_{\ell 23} / \Lambda^2 & \phi_{q 12} \phi_{q 23} / \Lambda^2 \\ \phi_{q 12}^2 \tilde{\phi}_{\ell 23} / \Lambda^3 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{q 23} / \Lambda \\ \phi_{q 12}^2 \phi_{q 23}^2 / \Lambda^4 & \phi_{q 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\ & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \phi_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \tilde{\phi}_{\ell 23} / \Lambda^4 & \tilde{\phi}_{q 12}^3 \phi_{\ell 23} / \Lambda^4 \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23} / \Lambda^7 & \tilde{\phi}_{\ell 23} / \Lambda & \phi_{\ell 23} / \Lambda \\ \phi_{q 12}^6 \tilde{\phi}_{\ell 23}^2 / \Lambda^8 & \tilde{\phi}_{\ell 23}^2 / \Lambda^2 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d, \end{aligned}$$

- Second family masses and  $V_{cb}$  arise at dimension 5.
- $V_{ub}$  at dimension 6 and first family masses at dimension 8.
- RH fermion mixing generally suppressed.
- Fixing ratios  $\langle \phi \rangle / \Lambda$  from data

$$\frac{\langle \phi_{\ell 23} \rangle}{\Lambda} \sim \frac{m_c}{m_t} \simeq \lambda^3, \quad \frac{\langle \phi_{q 23} \rangle}{\Lambda} \sim V_{cb} \simeq \lambda^2, \quad \frac{\langle \phi_{q 12} \rangle}{\Lambda} \sim V_{us} \simeq \lambda,$$

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- Largest VEV is  $\langle \phi_{q 12} \rangle \approx v_{12}$  triggering  $U(1)_{Y_1} \times U(1)_{Y_2} \xrightarrow{v_{12}} U(1)_{Y_1+Y_2}$ .

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- Both 23-breaking VEVs at the same scale  $v_{23}$ , mild hierarchy  $v_{23}/v_{12} \approx \lambda$ .
- Alignment of  $V_{us}$  not specified, plus large RH mixing  $s_{12}^{dR} \simeq \mathcal{O}(\lambda^2) \Rightarrow$  This model is minimally modified to predict  $V_{us}$  from down sector and suppressed  $s_{12}^{dR} \simeq \mathcal{O}(\lambda^5)$  (in the paper!).

# Neutrinos: General considerations

Spurions for the Weinberg operator (carrying  $M^{-1}$  dimension)

$$\mathcal{L}_{\text{Weinberg}} = (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \Phi(1, 0, -1) & \Phi(\frac{1}{2}, \frac{1}{2}, -1) & \Phi(\frac{1}{2}, 0, -\frac{1}{2}) \\ \Phi(\frac{1}{2}, \frac{1}{2}, -1) & \Phi(0, 1, -1) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) \\ \Phi(\frac{1}{2}, 0, -\frac{1}{2}) & \Phi(0, \frac{1}{2}, -\frac{1}{2}) & 1 \end{pmatrix} \begin{pmatrix} L_1 \\ L_2 \\ L_3 \end{pmatrix} H_u H_u,$$

- $U(2)^5$  is present in the neutrino sector  $\Rightarrow$  naive expectation is a heavier active neutrino with tiny mixing with the others.



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- E.g. introduce  $U(1)_Y^3$  singlet  $N(\mathbf{1}, \mathbf{1})_{(0,0,0)} \Rightarrow \mathcal{L}_N \supset L_3 H_u N + m_N N N$  with  $L_2 H_u N$  small as it originates from higher dimensional operators  $\Rightarrow$  **unsuccessful atmospheric mixing**

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- Solution  $\Rightarrow$  **Add SM singlet neutrinos which carry  $U(1)_Y^3$  charges** (with vanishing SM hypercharge  $Y_1 + Y_2 + Y_3 = 0$ ).

# Seesaw mechanism *à la tri-hypercharge*

- Consider adding  $N_{\text{atm}}^{(0, \frac{1}{4}, -\frac{1}{4})}$  and  $\phi_{\text{atm}}^{(0, \frac{1}{4}, -\frac{1}{4})}$ , then (remember  $\phi_{\ell 23}^{(0, 1/2, -1/2)}$ )

$$\mathcal{L}_{N_{\text{atm}}} \supset \frac{1}{\Lambda_{\text{atm}}} (\phi_{\text{atm}} L_2 + \tilde{\phi}_{\text{atm}} L_3) H_u N_{\text{atm}} + \tilde{\phi}_{\ell 23} N_{\text{atm}} N_{\text{atm}},$$

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- However, gauge anomalies via  $N_{\text{atm}}^{(0, \frac{1}{4}, -\frac{1}{4})} \Rightarrow$  introduce conjugate neutrino  $\bar{N}_{\text{atm}}^{(0, -1/4, 1/4)}$

$$\mathcal{L}_{N_{\text{atm}}} = \frac{1}{\Lambda_{\text{atm}}} (\phi_{\text{atm}} L_2 + \tilde{\phi}_{\text{atm}} L_3) H_u N_{\text{atm}} + \frac{\phi_{\text{atm}}}{\Lambda_{\text{atm}}} L_3 H_u \bar{N}_{\text{atm}} \\ + \phi_{\ell 23} N_{\text{atm}} N_{\text{atm}} + \tilde{\phi}_{\ell 23} \bar{N}_{\text{atm}} \bar{N}_{\text{atm}} + M_{N_{\text{atm}}} \bar{N}_{\text{atm}} N_{\text{atm}},$$

Notice  $L_3 H_u = (0, 0, 0)$ .

# Seesaw mechanism *à la tri-hypercharge*

- Play the same game to obtain solar mixing

$$N_{\text{sol}}^{(\frac{1}{4}, \frac{1}{4}, -\frac{1}{2})}, \quad \bar{N}_{\text{sol}}^{(-\frac{1}{4}, -\frac{1}{4}, \frac{1}{2})}, \quad \phi_{\text{sol}}^{(-\frac{1}{2}, -\frac{1}{2}, 1)}, \quad \phi_{e12}^{(\frac{1}{4}, -\frac{1}{4}, 0)}, \quad \phi_{\nu13}^{(-\frac{1}{4}, -\frac{1}{4}, \frac{1}{2})},$$

$$\begin{aligned} \mathcal{L}_{N_{\text{sol}}} = & \frac{1}{\Lambda_{\text{sol}}} (\phi_{e12} L_1 + \tilde{\phi}_{e12} L_2 + \phi_{\nu13} L_3) H_u N_{\text{sol}} + \frac{\phi_{\nu13}}{\Lambda_{\text{sol}}} L_3 H_u \bar{N}_{\text{sol}} \\ & + \phi_{\text{sol}} N_{\text{sol}} N_{\text{sol}} + \tilde{\phi}_{\text{sol}} \bar{N}_{\text{sol}} \bar{N}_{\text{sol}} + M_{N_{\text{sol}}} \bar{N}_{\text{sol}} N_{\text{sol}}, \end{aligned}$$

# Seesaw mechanism *à la tri-hypercharge*

- Assume  $M_{N_{\text{sol}}} \approx M_{N_{\text{atm}}} \equiv M_{\text{VL}}$  for simplicity,  $\langle \phi_{e12} \rangle \approx \mathcal{O}(v_{12})$  and the rest  $\langle \phi \rangle \approx \mathcal{O}(v_{23})$ , apply seesaw formula

$$m_\nu = m_D M_N^{-1} m_D^T = \left[ \begin{pmatrix} 0 & 0 & \times \\ 0 & 0 & \times \\ \times & \times & \times \end{pmatrix} M_{\text{VL}} + \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{pmatrix} v_{23} \right] \frac{1}{v_{23}^2 - M_{\text{VL}}^2}.$$

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- $M_{\text{VL}} \lesssim v_{23}$  required to describe PMNS mixing  $\Rightarrow$  SM singlet neutrinos with mass at the lower scale  $v_{23}$ .

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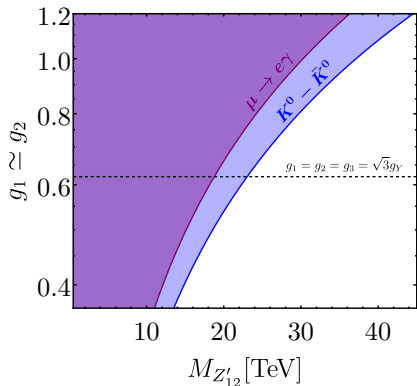
- $M_{\text{VL}} \lesssim v_{23}$  required to describe PMNS mixing  $\Rightarrow$  SM singlet neutrinos with mass at the lower scale  $v_{23}$ .
- Finally, up to dimensionless coefficients

$$m_\nu \simeq \begin{pmatrix} 1 & 1 & \lambda \\ 1 & 1 & 1 \\ \lambda & 1 & 1 \end{pmatrix} v_{23} \frac{v_{\text{SM}}^2}{\Lambda_{\text{atm}}^2}.$$

the texture above can reproduce best fit PMNS mixing (NuFit 5.1) with  $\mathcal{O}(1)$  coefficients. If  $v_{23} \approx \mathcal{O}(\text{TeV})$  then  $\Lambda_{\text{atm}} \approx \mathcal{O}(10^6 \text{ TeV})$ .



# Phenomenology: $Z'_{12}$

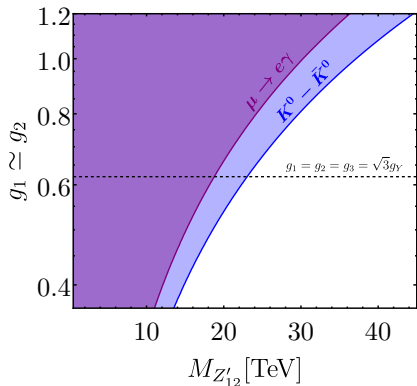


$$\mathcal{L}_{Z'_{12}} = Y_{\psi_{L,R}} \bar{\psi}_{L,R} \gamma^\mu \begin{pmatrix} -g_1 \sin \theta_{12} & 0 & 0 \\ 0 & g_2 \cos \theta_{12} & 0 \\ 0 & 0 & 0 \end{pmatrix} \psi_{L,R} Z'_{12\mu},$$

$$\sin \theta_{12} = \frac{g_1}{\sqrt{g_1^2 + g_2^2}},$$

- $Z'_{12}$  with intrinsic **flavour non-universal couplings**, breaking explicitly  $U(2)^5$ . Flavour-violating couplings arise from CKM and charged lepton mixing.

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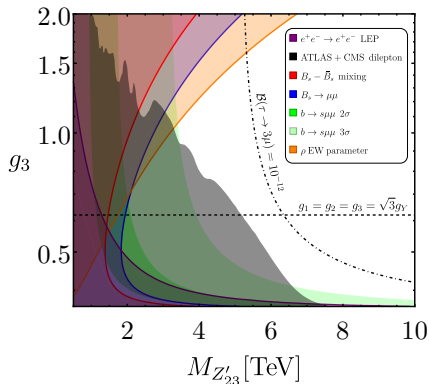


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- $Z'_{12}$  with intrinsic **flavour non-universal couplings**, breaking explicitly  $U(2)^5$ . Flavour-violating couplings arise from CKM and charged lepton mixing.
- $K - \bar{K}$  mixing most constraining, but depends on alignment of  $V_{us}$  and  $d_R - s_R$  mixing (model-dependent). We find  $M_{Z'_{12}} \gtrsim 20 \text{ TeV}$  for some models in the paper (worst case scenario  $M_{Z'_{12}} \gtrsim 300 \text{ TeV}$ ).

# Phenomenology: $Z'_{23}$



$$\mathcal{L}_{Z'_{23}} = Y_{\psi_{L,R}} \bar{\psi}_{L,R} \gamma^\mu \begin{pmatrix} -g_{12} \sin \theta_{23} & 0 & 0 \\ 0 & -g_{12} \sin \theta_{23} & 0 \\ 0 & 0 & g_3 \cos \theta_{23} \end{pmatrix} \psi_{L,R} Z'_{23\mu}$$

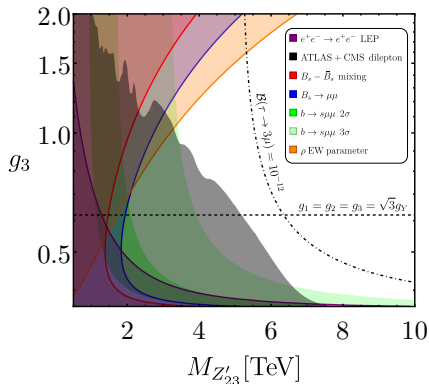
$$\sin \theta_{23} = \frac{g_{12}}{\sqrt{g_{12}^2 + g_3^2}}$$

$$g_Y = \frac{g_{12}g_3}{\sqrt{g_{12}^2 + g_3^2}}, \quad g_{12} = \frac{g_1g_2}{\sqrt{g_1^2 + g_2^2}},$$

$$\frac{v_{23}}{v_{12}} \approx \lambda.$$

- LHC dilepton searches  $pp \rightarrow Z'_{23} \rightarrow e^+e^-, \mu^+\mu^-$  exclude light  $Z'_{23}$  due to large couplings to light quarks and leptons. Flavour observables usually not competitive.

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- LHC dilepton searches  $pp \rightarrow Z'_{23} \rightarrow e^+ e^-, \mu^+ \mu^-$  exclude light  $Z'_{23}$  due to large couplings to light quarks and leptons. Flavour observables usually not competitive.
- $Z - Z'_{23}$  mixing connected to  $g_3$ . Small shift on the mass of  $Z \Rightarrow \rho$  EW parameter larger than 1

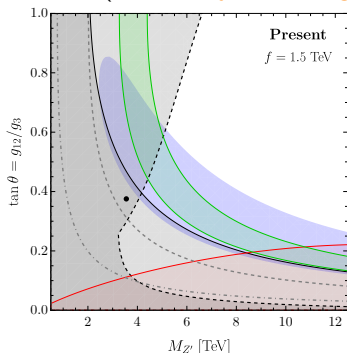
$$\sin \theta_{Z-Z'_{23}} = \frac{g_3 \cos \theta_{23}}{\sqrt{g_Y^2 + g_L^2}} \left( \frac{M_Z^0}{M_{Z'_{23}}^0} \right)^2 \Rightarrow \rho = \frac{M_W^2}{M_Z^2 \cos^2 \theta_W} = \frac{1}{1 - g_3^2 \cos^2 \theta_{23} \left( \frac{v_{\text{SM}}}{2M_{Z'_{23}}^0} \right)^2} > 1,$$

# Phenomenology: $Z'_{23}$

- “Deconstructed hypercharge” Davighi and Stefaneke [2305.16280]

$$SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2} \times U(1)_{Y_3}, \quad g_Y = \frac{g_{12}g_3}{\sqrt{g_{12}^2 + g_3^2}} \Rightarrow g_{12}, g_3 \geq g_Y.$$

- UV complete model and study of naturalness: EWPOs global fit and 95% CL bound with  $m_W^{\text{old}}$  (solid dashed line), preferred region with  $m_W^{\text{new}}$  (blue region). Green region can explain  $m_c/m_t$  in their particular UV completion. Solid black line are LHC limits (see talk by Joe Davighi)



$$SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$$

- **Tri-hypercharge gauge group** might be the first step towards **understanding the origin of three flavours**, the hierarchical charged fermion masses and CKM mixing.

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- If seesaw mechanism is implemented via adding SM singlet neutrinos, the  $U(1)_Y^3$  setup leads to a **low scale seesaw** where SM singlet neutrinos might be as light as a few TeV.

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- If seesaw mechanism is implemented via adding SM singlet neutrinos, the  $U(1)_Y^3$  setup leads to a **low scale seesaw** where SM singlet neutrinos might be as light as a few TeV.
- **Rich phenomenology** via  $Z'$  bosons if NP scales are low: from flavour-violating observables to LHC physics and EW precision physics.



# Acknowledgements

The speaker acknowledges support from the European Union's Horizon 2020 Research and Innovation programme under the Marie Skłodowska-Curie grant agreement No. 860881-HIDDeN.



# Neutrinos: Example of seesaw mechanism

Getting ready to apply the seesaw formula (neglecting  $\mathcal{O}(1)$  coefficients)

$$m_{D_L} = \left( \begin{array}{c|cc} & \overline{N}_{\text{sol}} & \overline{N}_{\text{atm}} \\ L_1 & 0 & 0 \\ L_2 & 0 & 0 \\ L_3 & \tilde{\phi}_{\nu 13} \\ & \Lambda_{\text{sol}} & \Lambda_{\text{atm}} \end{array} \right) H_u, \quad m_{D_R} = \left( \begin{array}{c|cc} & N_{\text{sol}} & N_{\text{atm}} \\ L_1 & \frac{\phi_{e12}}{\Lambda_{\text{sol}}} & 0 \\ L_2 & \frac{\phi_{e12}}{\Lambda_{\text{sol}}} & \frac{\phi_{\text{atm}}}{\Lambda_{\text{atm}}} \\ L_3 & \frac{\phi_{\nu 13}}{\Lambda_{\text{sol}}} & \frac{\phi_{\text{atm}}}{\Lambda_{\text{atm}}} \end{array} \right) H_u,$$

$$M_L = \left( \begin{array}{c|cc} & \overline{N}_{\text{sol}} & \overline{N}_{\text{atm}} \\ \overline{N}_{\text{sol}} & \tilde{\phi}_{\text{sol}} & 0 \\ \overline{N}_{\text{atm}} & 0 & \tilde{\phi}_{\ell 23} \end{array} \right) \approx v_{23} \mathbb{I}_{2 \times 2}, \quad M_R \approx \left( \begin{array}{c|cc} & N_{\text{sol}} & N_{\text{atm}} \\ N_{\text{sol}} & \phi_{\text{sol}} & 0 \\ N_{\text{atm}} & 0 & \phi_{\ell 23} \end{array} \right) \approx v_{23} \mathbb{I}_{2 \times 2},$$

$$M_{LR} = \left( \begin{array}{c|cc} & N_{\text{sol}} & N_{\text{atm}} \\ \overline{N}_{\text{sol}} & M_{N_{\text{sol}}} & 0 \\ \overline{N}_{\text{atm}} & 0 & M_{N_{\text{atm}}} \end{array} \right) \approx M_{\text{VL}} \mathbb{I}_{2 \times 2},$$

# Backup: Neutrinos

Full neutrino mass matrix (remember  $M_L \approx M_R \approx v_{23}\mathbb{I}_{2 \times 2}$ ,  $M_{LR} \approx M_{VL}\mathbb{I}_{2 \times 2}$ )

$$M_\nu = \left( \begin{array}{c|ccc} & \nu & \bar{N} & N \\ \hline \nu | & 0 & m_{D_L} & m_{D_R} \\ \bar{N} | & m_{D_L}^T & M_L & M_{LR} \\ N | & m_{D_R}^T & M_{LR}^T & M_R \end{array} \right) \equiv \left( \begin{array}{cc} 0 & m_D \\ m_D^T & M_N \end{array} \right).$$

$$m_{D_L} = \left( \begin{array}{c|cc} & \bar{N}_{\text{sol}} & \bar{N}_{\text{atm}} \\ \hline L_1 | & 0 & 0 \\ L_2 | & 0 & 0 \\ L_3 | & \frac{\tilde{\phi}_{\nu 13}}{\lambda_{\text{sol}}} & \frac{\phi_{\text{atm}}}{\lambda_{\text{atm}}} \end{array} \right) H_u, \quad m_{D_R} = \left( \begin{array}{c|cc} & N_{\text{sol}} & N_{\text{atm}} \\ \hline L_1 | & \frac{\phi_{e12}}{\lambda_{\text{sol}}} & 0 \\ L_2 | & \frac{\tilde{\phi}_{e12}}{\lambda_{\text{sol}}} & \frac{\phi_{\text{atm}}}{\lambda_{\text{atm}}} \\ L_3 | & \frac{\phi_{\nu 13}}{\lambda_{\text{sol}}} & \frac{\tilde{\phi}_{\text{atm}}}{\lambda_{\text{atm}}} \end{array} \right) H_u,$$

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$$M_\nu = \left( \begin{array}{c|ccc} & \nu & \bar{N} & N \\ \hline \nu | & 0 & m_{D_L} & m_{D_R} \\ \bar{N} | & m_{D_L}^T & M_L & M_{LR} \\ N | & m_{D_R}^T & M_{LR}^T & M_R \end{array} \right) \equiv \left( \begin{array}{cc} 0 & m_D \\ m_D^T & M_N \end{array} \right).$$

$$m_{D_L} = \left( \begin{array}{c|cc} & \bar{N}_{\text{sol}} & \bar{N}_{\text{atm}} \\ \hline L_1 | & 0 & 0 \\ L_2 | & 0 & 0 \\ L_3 | & \frac{\tilde{\phi}_{\nu 13}}{\lambda_{\text{sol}}} & \frac{\tilde{\phi}_{\text{atm}}}{\lambda_{\text{atm}}} \end{array} \right) H_u, \quad m_{D_R} = \left( \begin{array}{c|cc} & N_{\text{sol}} & N_{\text{atm}} \\ \hline L_1 | & \frac{\phi_{e12}}{\lambda_{\text{sol}}} & 0 \\ L_2 | & \frac{\tilde{\phi}_{e12}}{\lambda_{\text{sol}}} & \frac{\tilde{\phi}_{\text{atm}}}{\lambda_{\text{atm}}} \\ L_3 | & \frac{\phi_{\nu 13}}{\lambda_{\text{sol}}} & \frac{\tilde{\phi}_{\text{atm}}}{\lambda_{\text{atm}}} \end{array} \right) H_u,$$

Provided that  $m_D \ll M_N$ , we can apply the seesaw formula

$$\begin{aligned} m_\nu &= m_D M_N^{-1} m_D^T = \left( \begin{array}{cc} m_{D_L} & m_{D_R} \end{array} \right) \left( \begin{array}{cc} v_{23} & -M_{VL} \\ -M_{VL} & v_{23} \end{array} \right) \left( \begin{array}{c} m_{D_L}^T \\ m_{D_R}^T \end{array} \right) \frac{1}{v_{23}^2 - M_{VL}^2} \\ &= \left[ m_{D_L} m_{D_L}^T v_{23} - m_{D_L} m_{D_R}^T M_{VL} - m_{D_R} m_{D_L}^T M_{VL} + m_{D_R} m_{D_R}^T v_{23} \right] \frac{1}{v_{23}^2 - M_{VL}^2}. \end{aligned}$$

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- Contributions proportional to  $M_{VL}$  do not provide a successful  $m_\nu$

$$m_{D_L} m_{D_R}^T M_{VL} + m_{D_R} m_{D_L}^T M_{VL} = \begin{pmatrix} 0 & 0 & \times \\ 0 & 0 & \times \\ \times & \times & \times \end{pmatrix} M_{VL} ,$$

$$m_{D_R} m_{D_R}^T v_{23} + m_{D_L} m_{D_L}^T v_{23} = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{pmatrix} v_{23} .$$

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- Contributions proportional to  $M_{VL}$  do not provide a successful  $m_\nu$

$$m_{D_L} m_{D_R}^T M_{VL} + m_{D_R} m_{D_L}^T M_{VL} = \begin{pmatrix} 0 & 0 & \times \\ 0 & 0 & \times \\ \times & \times & \times \end{pmatrix} M_{VL},$$

$$m_{D_R} m_{D_R}^T v_{23} + m_{D_L} m_{D_L}^T v_{23} = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{pmatrix} v_{23}.$$

$M_{VL} \lesssim v_{23}$  required to describe PMNS mixing  $\Rightarrow$  SM singlet neutrinos with mass at the lower scale  $v_{23}$

# Neutrinos: Example of seesaw mechanism

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- $M_{\text{VL}} \lesssim v_{23}$  required to describe oscillation data. For simplicity we consider  $M_{\text{VL}} \ll v_{23}$  (and  $v_{23}/v_{12} \approx \lambda$  obtained in the charged fermion sector) and obtain (neglecting  $\mathcal{O}(1)$  coefficients)

$$m_\nu \approx m_{D_R} m_{D_R}^T v_{23}^{-1} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} v_{23} \frac{H_u H_u}{\Lambda_{\text{atm}}^2} + \begin{pmatrix} 1 & 1 & \lambda \\ 1 & 1 & \lambda \\ \lambda & \lambda & \lambda^2 \end{pmatrix} v_{23} \frac{H_u H_u}{\lambda^2 \Lambda_{\text{sol}}^2}.$$

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$$m_\nu = m_D M_N^{-1} m_D^T = \begin{pmatrix} m_{D_L} & m_{D_R} \end{pmatrix} \begin{pmatrix} v_{23} & -M_{\text{VL}} \\ -M_{\text{VL}} & v_{23} \end{pmatrix} \begin{pmatrix} m_{D_L}^T \\ m_{D_R}^T \end{pmatrix} \frac{1}{v_{23}^2 - M_{\text{VL}}^2} \\ = \left[ m_{D_L} m_{D_L}^T v_{23} - m_{D_L} m_{D_R}^T M_{\text{VL}} - m_{D_R} m_{D_L}^T M_{\text{VL}} + m_{D_R} m_{D_R}^T v_{23} \right] \frac{1}{v_{23}^2 - M_{\text{VL}}^2}.$$

- $M_{\text{VL}} \lesssim v_{23}$  required to describe oscillation data. For simplicity we consider  $M_{\text{VL}} \ll v_{23}$  (and  $v_{23}/v_{12} \approx \lambda$  obtained in the charged fermion sector) and obtain (neglecting  $\mathcal{O}(1)$  coefficients)

$$m_\nu \approx m_{D_R} m_{D_R}^T v_{23}^{-1} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} v_{23} \frac{H_u H_u}{\Lambda_{\text{atm}}^2} + \begin{pmatrix} 1 & 1 & \lambda \\ 1 & 1 & \lambda \\ \lambda & \lambda & \lambda^2 \end{pmatrix} v_{23} \frac{H_u H_u}{\lambda^2 \Lambda_{\text{sol}}^2}.$$

- If  $\Lambda_{\text{atm}}/\Lambda_{\text{sol}} \simeq \lambda$  then (remember  $\langle H_u \rangle = v_{\text{SM}}/\sqrt{2}$ )

$$m_\nu \simeq \begin{pmatrix} 1 & 1 & \lambda \\ 1 & 1 & 1 \\ \lambda & 1 & 1 \end{pmatrix} v_{23} \frac{v_{\text{SM}}^2}{\Lambda_{\text{atm}}^2},$$

scales  $\Lambda_{\text{atm}} \approx 10^6 \text{ TeV}$  and  $v_{23} \approx \mathcal{O}(1 \text{ TeV})$  provide enough suppression for  $m_\nu \approx \mathcal{O}(0.05 \text{ eV})$ . **SM singlet neutrinos with mass at scale  $v_{23}$ .**



## Model 2: RH mixing suppressed

$$\phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}, \quad \phi_{q 23}^{(0, -\frac{1}{6}, \frac{1}{6})}, \quad \phi_{q 13}^{(-\frac{1}{6}, 0, \frac{1}{6})}, \quad \phi_{d 12}^{(-\frac{1}{6}, -\frac{1}{3}, \frac{1}{2})}, \quad \phi_{e 12}^{(\frac{1}{4}, -\frac{1}{4}, 0)}.$$

$$\begin{aligned} \mathcal{L} = & (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{e 12}^2 \tilde{\phi}_{\ell 23} & \phi_{q 13} \tilde{\phi}_{q 23} \phi_{\ell 23} & \phi_{q 13} \\ \phi_{e 12}^2 \tilde{\phi}_{q 13} \phi_{q 23} \phi_{\ell 23} & \phi_{\ell 23} & \phi_{q 23} \\ \phi_{e 12}^2 \tilde{\phi}_{q 13} \phi_{\ell 23} & \phi_{\ell 23} \tilde{\phi}_{q 23} & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} H_u \\ & + (Q_1 \quad Q_2 \quad Q_3) \begin{pmatrix} \phi_{e 12}^2 \tilde{\phi}_{\ell 23} & \phi_{d 12} & \phi_{q 13} \\ \phi_{q 13}^2 \phi_{q 23} & \tilde{\phi}_{\ell 23} & \phi_{q 23} \\ \phi_{q 13}^2 & \phi_{q 23}^2 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_d \\ & + (L_1 \quad L_2 \quad L_3) \begin{pmatrix} \tilde{\phi}_{e 12}^2 \tilde{\phi}_{\ell 23} & \phi_{e 12}^2 \tilde{\phi}_{\ell 23} & \phi_{e 12}^2 \phi_{\ell 23} \\ \tilde{\phi}_{e 12}^2 \tilde{\phi}_{\ell 23} & \phi_{\ell 23} & \phi_{\ell 23} \\ \tilde{\phi}_{e 12}^2 \tilde{\phi}_{\ell 23} & \tilde{\phi}_{\ell 23}^2 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} H_d. \end{aligned}$$

$$\frac{\langle \phi_{\ell 23} \rangle}{\Lambda} = \frac{\langle \phi_{q 13} \rangle}{\Lambda} \simeq \lambda^3, \quad \frac{\langle \phi_{q 23} \rangle}{\Lambda} \simeq \lambda^2, \quad \frac{\langle \phi_{d 12} \rangle}{\Lambda} \simeq \lambda^4, \quad \frac{\langle \phi_{e 12} \rangle}{\Lambda} \simeq \lambda.$$

$$\begin{aligned} \mathcal{L} = & (u_1 \quad u_2 \quad u_3) \begin{pmatrix} \lambda^5 & \lambda^8 & \lambda^3 \\ \lambda^{10} & \lambda^3 & \lambda^2 \\ \lambda^7 & \lambda^5 & 1 \end{pmatrix} \begin{pmatrix} u_1^c \\ u_2^c \\ u_3^c \end{pmatrix} \frac{v_{SM}}{\sqrt{2}} \\ & + (d_1 \quad d_2 \quad d_3) \begin{pmatrix} \lambda^5 & \lambda^4 & \lambda^3 \\ \lambda^8 & \lambda^3 & \lambda^2 \\ \lambda^6 & \lambda^4 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} \lambda^2 \frac{v_{SM}}{\sqrt{2}} \\ & + (e_1 \quad e_2 \quad e_3) \begin{pmatrix} \lambda^5 & \lambda^5 & \lambda^5 \\ \lambda^7 & \lambda^3 & \lambda^3 \\ \lambda^{10} & \lambda^6 & 1 \end{pmatrix} \begin{pmatrix} e_1^c \\ e_2^c \\ e_3^c \end{pmatrix} \lambda^2 \frac{v_{SM}}{\sqrt{2}}. \end{aligned}$$