

Search for elliptic azimuthal anisotropies in photon-proton interactions using rapidity gaps at pPb collisions with the CMS experiment

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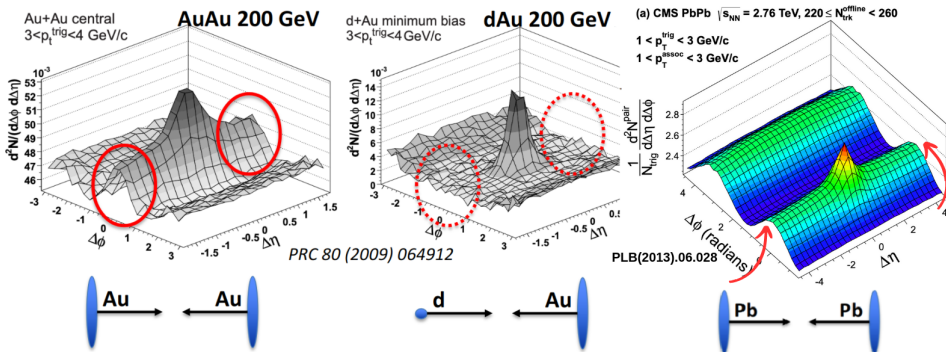
- 1 Collectivity features and probes in small systems
- 2 Ultraperipheral collisions (UPCs) and the photon flux
- 3 CMS experiment and forward detectors
- 4 Correlation probes in γ -proton interactions
- 5 Summary and outlook

Collectivity and ridge in nuclear collisions AA

Emerges in the two-particle correlation functions

- Long-range spatial correspondence → [collective behaviour of final-state particles]
- Observed in large collision systems (AA) → [ridge-like shape in data]
- First probes over smaller collision systems (dAu)

Evidence of collectivity and one of the features of QGP; Relativistic fluid dynamics



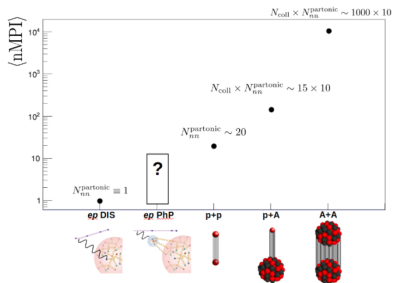
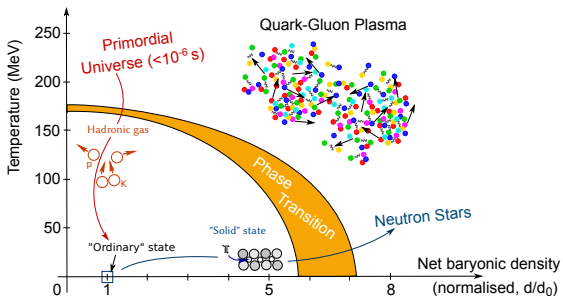
Quark gluon plasma (QGP) and collectivity

► **Medium properties and hydrodynamic behavior**

→ **Look into smaller systems**

► **Initial and final state effects**

- Long range correlations induced by color fluctuations? (CGC)
- gluon saturation in the initial state?

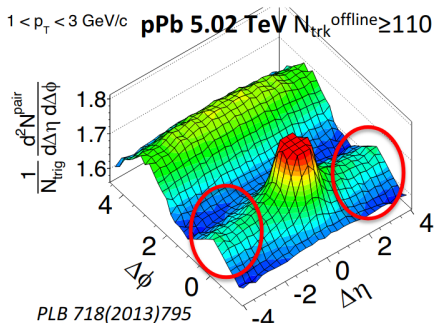
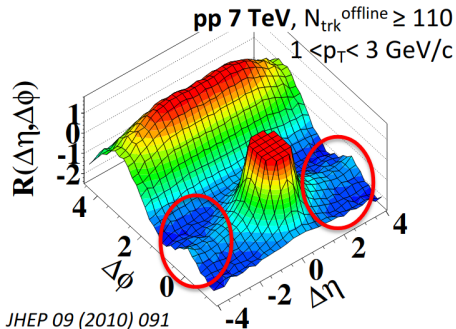


<https://cds.cern.ch/record/2025215>

D. Gangadharan, QM2022

Unexpected signs of collectivity seen in pp and pPb at the LHC

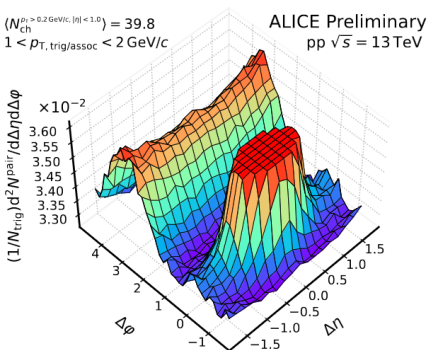
- ▶ Too small and simple to develop QGP-like collective behaviour?
 - Minimal size and conditions for collectivity to emerge
- ▶ Initial (CGC) effective model or Final (QGP) state effect?
 - how small the interaction region can be until description of soft QCD breaks down?



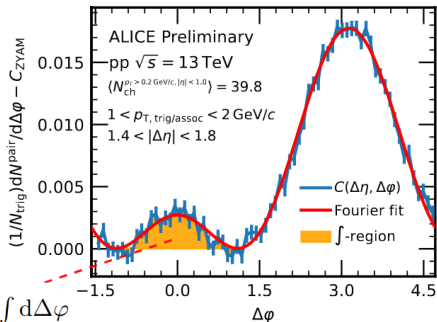
Collectivity signatures at low proton-proton multiplicity

► Significant near-side ridge at very low pp multiplicity → Identify emergence mechanisms

- Down to a range from ~ 8 to 20
- Compatible with CMS results



ALI-PREL-538465



ALI-PREL-538465

$$Y^{\text{ridge}} = \int d\Delta\phi$$

Recontres de Moriond QCD 2023, Jasper Parkkila

Recent collectivity probes with small systems

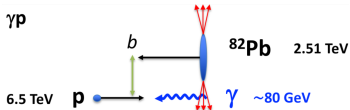
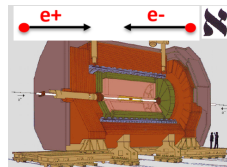
▶ e^+e^- → ALEPH (91 GeV, 208 GeV) and Belle (10.52 GeV)

▶ ep → ZEUS and H1 at HERA (318 GeV)

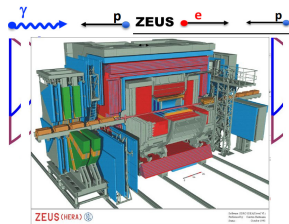
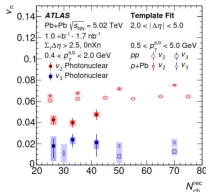
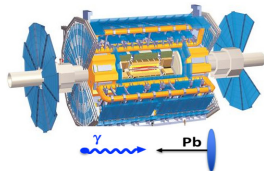
▶ γp

→ ZEUS (318 GeV [ep]) (JHEP 12 (2021) 102)

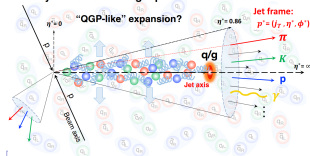
→ CMS (8.16 TeV [pPb]) (PLB 844 (2023) 137905)



▶ γPb → ATLAS (5.02 TeV [PbPb])



Dynamics of a "single-parton" in the vacuum



▶ Inside jets → CMS (13 TeV [pp]) (HIN-21-013-PAS)

No sign of significant ridge in e^+e^- , ep and γ -Pb

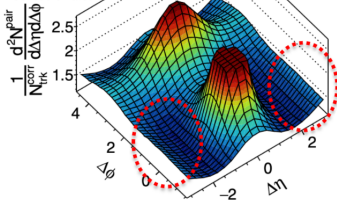
- ▶ No significant near side ridge so far
 - Very low multiplicities for ee , ep and γp systems
 - Higher multiplicity in γ Pb allowing nonflow subtraction

ALEPH $e^+e^- \rightarrow$ hadrons, $\sqrt{s} = 91\text{ GeV}$

$N_{\text{trk}} \geq 30$, $|\cos(\theta_{\text{lab}})| < 0.94$

$p_{\text{T}}^{\text{lab}} > 0.2\text{ GeV}$

Thrust coordinates



PRL 123 (2019) 212002

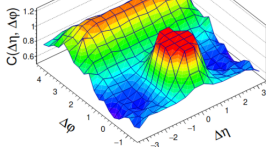


ZEUS

$\sqrt{s} = 318\text{ GeV}$

$0.5 < p_{\text{T}} < 5.0\text{ GeV}$

$-1.5 < \eta < 2.0$



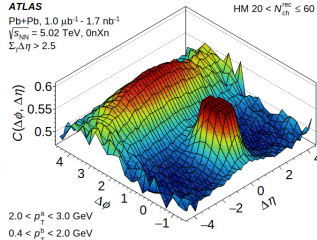
ATLAS

Pb+Pb, $1.0\text{ }\mu\text{b}^{-1} - 1.7\text{ nb}^{-1}$

$\sqrt{s_{\text{NN}}} = 5.02\text{ TeV}$, 0nXn

$\Sigma_r \Delta\eta > 2.5$

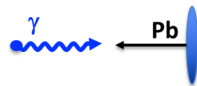
HM $20 < N_{\text{ch}}^{\text{PC}} \leq 60$



$2.0 < p_{\text{T}}^{\text{a}} < 3.0\text{ GeV}$

$0.4 < p_{\text{T}}^{\text{b}} < 2.0\text{ GeV}$

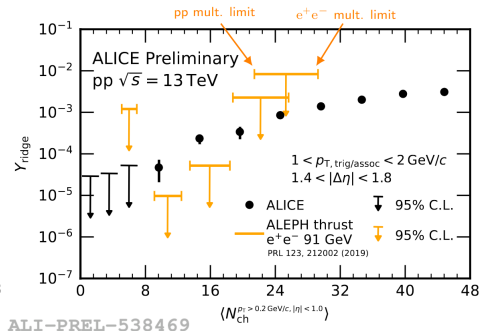
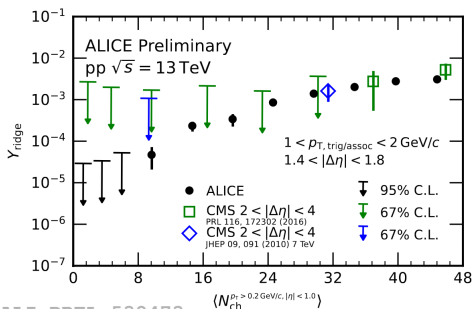
PRC 104, 014903 (2021)



DOI: 10.1016/j.physletb.2011.01.024

Studying ridge significance e^+e^- vs proton-proton

- ▶ First probes investigating significance of near side ridge at low multiplicity
 - CMS results consistent with ALICE recent observations
- ▶ First direct comparisons between ee and pp systems
 - ee upper limits point to lower significance if any

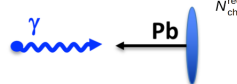
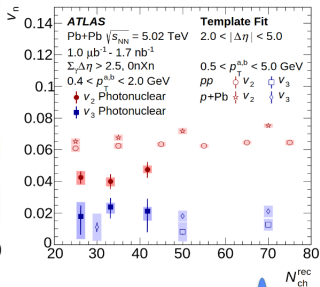
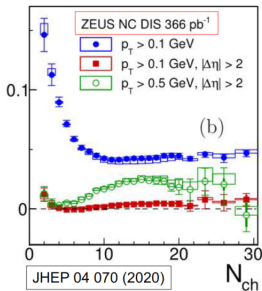
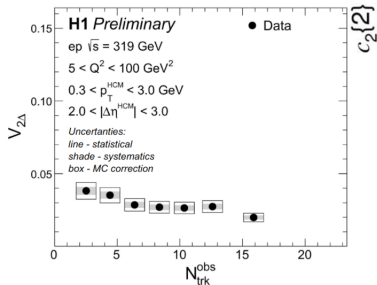


Studying azimuthal correlations ep vs γp

- Significant correlation coefficients as a function of multiplicity

→ Very low multiplicities for ee , ep and γp systems

→ Higher multiplicity in γPb allowing nonflow subtraction



ATLAS: PRC 104, 014903 (2021)

H1: QM 2022, Chuan Sun

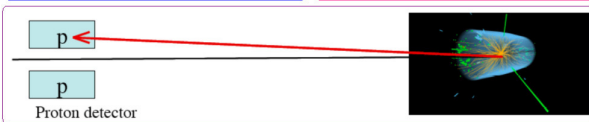
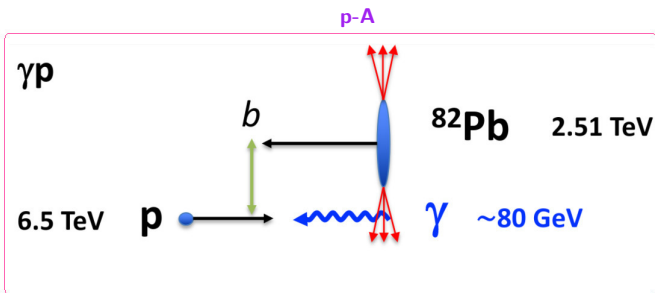
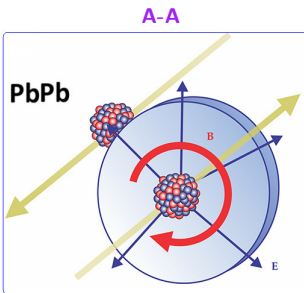
Ultrapерipheral collisions (UPCs) and the photon flux

Intact protons or lead nuclei; source of photo-induced processes

► Ultrapерipheral collisions (UPC's) with large impact parameters; ($b > 2R_A$)

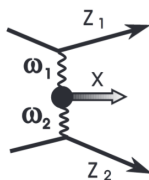
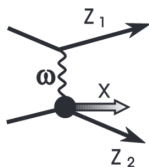
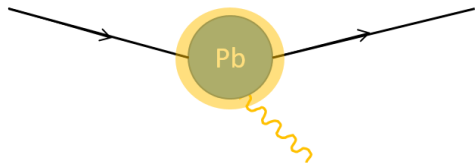
→ γ -proton/Pb and $\gamma\gamma$ initial state processes

→ Photon source (Pb or p) does not dissociate



<https://doi.org/10.1063/PT.3.3727>

Equivalent Photon Approximation; Intact protons or lead nuclei;



- ▶ **Equivalent Photon Approximation (EPA)**^{1,2}; cross-section can be factorized in terms of equivalent flux of photons with energy E_γ into colliding hadron
- ▶ **Flux of quasireal photons**, with intensity proportional to the square of its electric charge, Z^2
 - *Weizsäcker – Williams method*
 - **Proton flux**: further corrections proposed: Nucl. Phys. B 974, 115645 (2022)

¹ arXiv:nucl-ex/0502005v2 [▶ here](#)

² doi:10.1103/PhysRevD.88.054025 [▶ here](#)

▶ PYTHIA8

- EPA and radial parameters in **Nucleus2gamma** object
- Exclusive and semiexclusive processes
- Available softQCD processes to simulate MB events within photoproduction

▶ STARLIGHT

- $\gamma\gamma$ and $\gamma\mathbb{P}$ interactions between nuclei and protons
- Variety of final states to $\mu^+\mu^-$, $\tau^+\tau^-$, e^+e^- , ρ^0 , J/ψ , $v \dots$

▶ Madgraph

- EPA flux for proton, with exclusive and semiexclusive production at NLO

▶ Gamma-UPC

- **Exclusive $\gamma\gamma$ processes with variable A number of nucleons and EPA models**
 - Improved Weizsaecker-Williams Approx [hep-ph/9310350]
 - Effective W/Z/A Approx [2111.02442]
 - edff [2207.03012]
 - chff [2207.03012]

Photon from Pb nuclei with energy up to ~ 80 GeV

- ▶ Photon energies for lead ion at 2.76 TeV and proton at 7 TeV can reach values up to 80 GeV and 2.45 TeV respectively
- ▶ Photon energies at LHC, HL-LHC and FCC energies; larger reach for proton flux

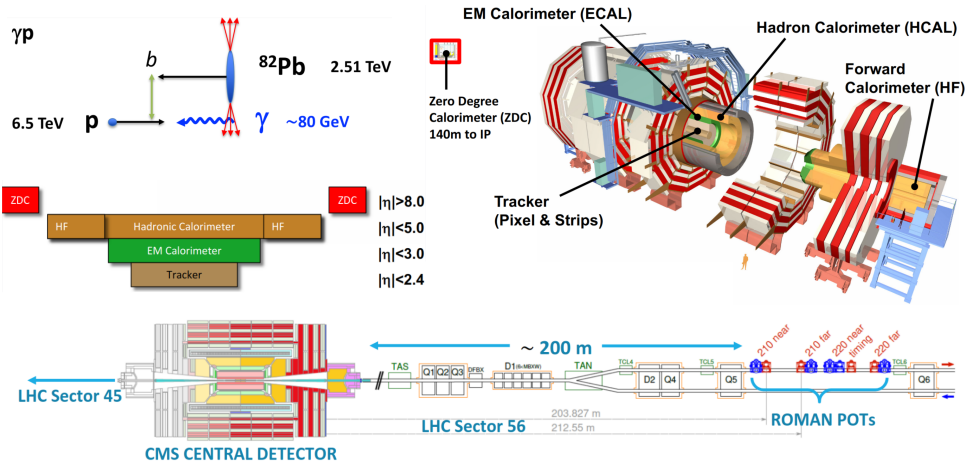
System	$\sqrt{s_{NN}}$	\mathcal{L}_{int}	$E_{beam1} + E_{beam2}$	γ_L	R_A	E_γ^{\max}	$\sqrt{s_{\gamma\gamma}^{\max}}$
Pb-Pb	5.52 TeV	5 nb ⁻¹	2.76 + 2.76 TeV	2960	7.1 fm	80 GeV	160 GeV
Xe-Xe	5.86 TeV	30 nb ⁻¹	2.93 + 2.93 TeV	3150	6.1 fm	100 GeV	200 GeV
Kr-Kr	6.46 TeV	120 nb ⁻¹	3.23 + 3.23 TeV	3470	5.1 fm	136 GeV	272 GeV
Ar-Ar	6.3 TeV	1.1 pb ⁻¹	3.15 + 3.15 TeV	3390	4.1 fm	165 GeV	330 GeV
Ca-Ca	7.0 TeV	0.8 pb ⁻¹	3.5 + 3.5 TeV	3760	4.1 fm	165 GeV	330 GeV
O-O	7.0 TeV	12.0 pb ⁻¹	3.5 + 3.5 TeV	3760	3.1 fm	240 GeV	490 GeV
p-Pb	8.8 TeV	1 pb ⁻¹	7.0 + 2.76 TeV	7450, 2960	0.7, 7.1 fm	2.45 TeV, 130 GeV	2.6 TeV
p-p	14 TeV	150 fb ⁻¹	7.0 + 7.0 TeV	7450	0.7 fm	2.45 TeV	4.5 TeV
Pb-Pb	39.4 TeV	110 nb ⁻¹	19.7 + 19.7 TeV	21 100	7.1 fm	600 GeV	1.2 TeV
p-Pb	62.8 TeV	29 pb ⁻¹	50. + 19.7 TeV	53 300, 21 100	0.7, 7.1 fm	15.2 TeV, 600 GeV	15.8 TeV
p-p	100 TeV	1 ab ⁻¹	50. + 50. TeV	53 300	0.7 fm	15.2 TeV	30.5 TeV

H.-S. Shao and D. d'Enterria, JHEP 2209 (2022) 248 [arXiv:2207.03012](https://arxiv.org/abs/2207.03012) [hep-ph]

CMS experiment and forward detectors

CMS forward detectors in Run 2

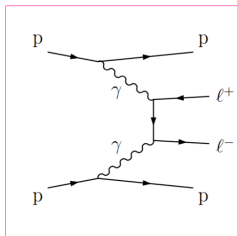
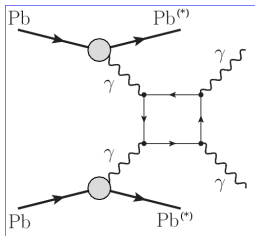
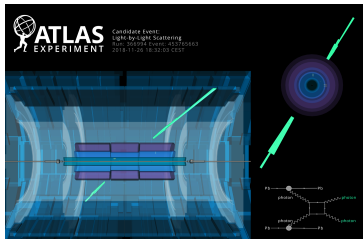
- ▶ Zero Degree (ZDC) and Hadronic Forward (HF) calorimeters for Pb and protons respectively
- ▶ CMS Particle Flow energies to constrain activity as a function of η
- ▶ CT-PPS spectrometer for pp runs



Evidence of Photo-induced processes in PbPb / pp at the LHC

► Evidence of light-by-light scattering

CMS-FSQ-16-012 Phys. Lett. B 797 (2019) 134826



► Exclusive production of lepton pairs

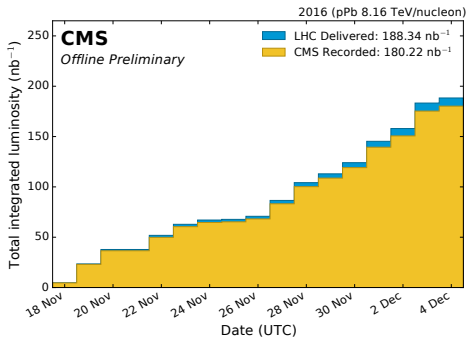
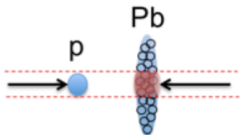
- Scattered protons measured at CMS-TOTEM precision proton spectrometer (CT-PPS)
- Observed for first time at the LHC in pp collisions at $\sqrt{s} = 13$ TeV

JHEP07 (2018) 153

Correlation probes in γ -proton interactions

Confirming collectivity features with proton-lead (pPb) at 8 TeV

- ▶ Most energetic pPb collisions so far at the LHC
- ▶ Consistent results at 5.02 and 8.16 TeV

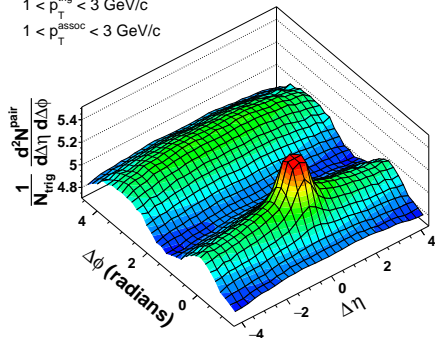


CMS Preliminary

pPb 8.16 TeV, $330 \leq N_{\text{trk}}^{\text{offline}} < 36$

$1 < p_{\text{T}}^{\text{trig}} < 3 \text{ GeV}/c$

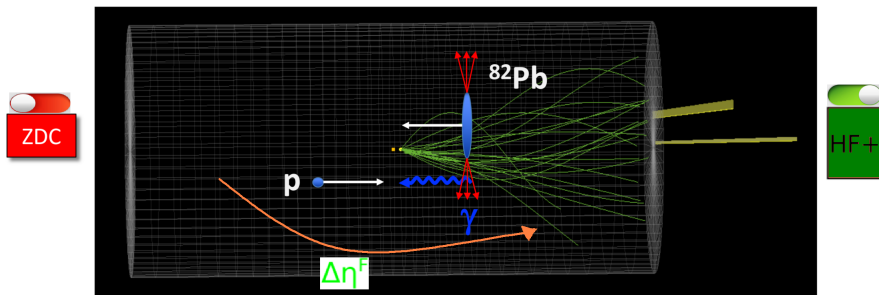
$1 < p_{\text{T}}^{\text{assoc}} < 3 \text{ GeV}/c$



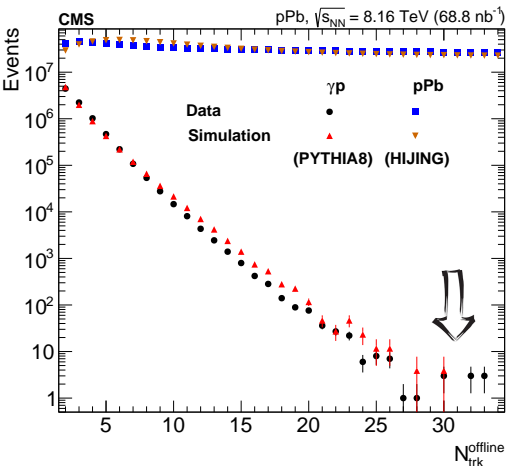
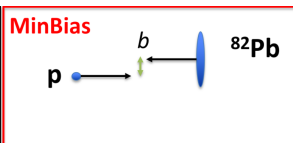
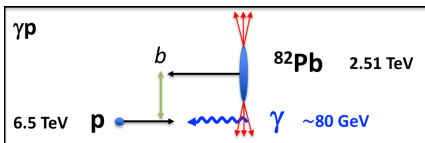
Phys. Rev. Lett. 120, 092301

Event selection

- ▶ HF calorimeter measures activity on the proton side while no neutrons are detected by ZDC calorimeter consistent with intact Pb nucleus source of the γ flux.
- ▶ Particle flow energies and track objects used to isolate events with large forward rapidity gap ($\Delta\eta^F$) $\rightarrow 5.0 < \Delta\eta^F < 7.5$



Limited multiplicity of charged particles in γp interactions



► For first time using **PYTHIA8** to model γ flux from Pb nuclei

- γp data consistent in $N_{\text{trk}}^{\text{offline}}$ with prediction

► Mean p_T and $N_{\text{trk}}^{\text{offline}}$ are smaller for γp sample than for pPb (same multiplicity range).

- pPb events simulated with **HIJING**

Sample	$2 \leq N_{\text{trk}}^{\text{off}} < 35$
γp -enhanced	2.9
γp -simulated	2.9
MB	16.6
MB-simulated	15.7

Two-dimensional (2D) angular correlation distribution

$$\frac{1}{N_{trig}} \frac{d^2 N^{pair}}{d\Delta\eta d\Delta\phi} = B(0,0) \frac{S(\Delta\eta, \Delta\phi)}{B(\Delta\eta, \Delta\phi)}$$

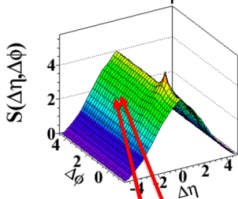
► $\frac{B(0,0)}{B(\Delta\eta, \Delta\phi)}$ is the pair acceptance correction to the signal distribution

→ Correction for tracking inefficiency is applied to each charged particle

Signal pair distribution:

$$S(\Delta\eta, \Delta\phi) = \frac{1}{N_{trig}} \frac{d^2 N^{same}}{d\Delta\eta d\Delta\phi}$$

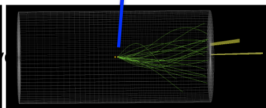
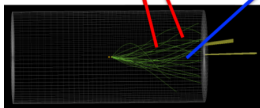
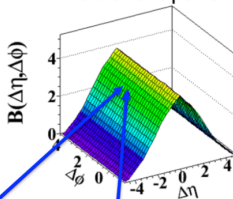
same event pairs



Background pair distribution:

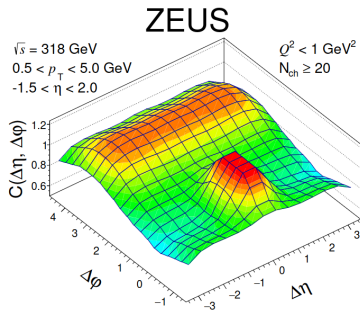
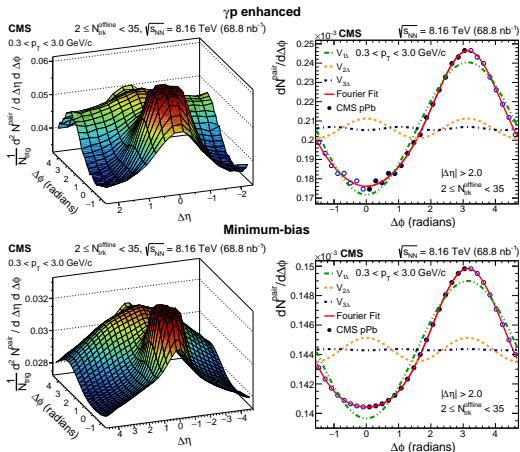
$$B(\Delta\eta, \Delta\phi) = \frac{1}{N_{trig}} \frac{d^2 N^{mix}}{d\Delta\eta d\Delta\phi}$$

mixed event pairs



One-dimensional (1D) projection and decomposition

- ▶ No ridge so far for γp system in CMS and ZEUS probes
- ▶ Fitted over the $\Delta\phi$ range $[0, \pi]$ to a Fourier decomposition series $\propto 1 + \sum_n 2V_{n\Delta} \cos(n\Delta\phi)$



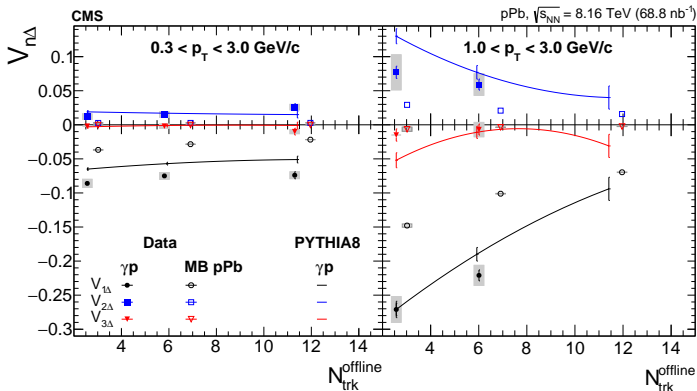
(a) Photoproduction.

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$V_{n\Delta}$ measurements with $|\eta| > 2.0$

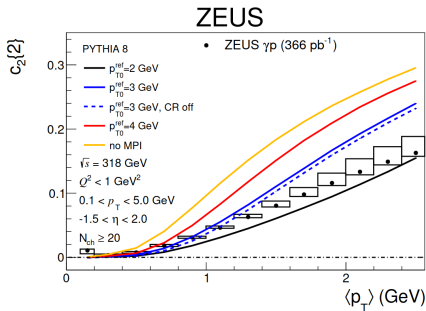
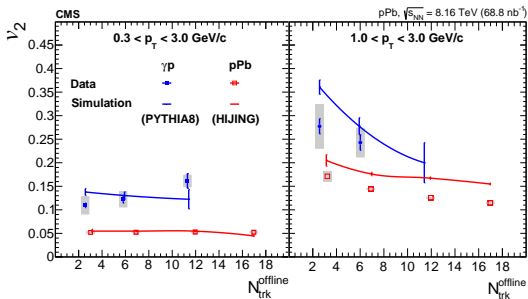
- γp data measurements are consistent with model predictions that have no collective effects

p_T range		$2 \leq N_{\text{trk}}^{\text{offline}} < 5$	$5 \leq N_{\text{trk}}^{\text{offline}} < 10$	$10 \leq N_{\text{trk}}^{\text{offline}} < 35$
$0.3 < p_T < 3.0 \text{ GeV}/c$	$V_{1\Delta}$	-0.086 ± 0.006	-0.075 ± 0.005	-0.074 ± 0.007
	$V_{2\Delta}$	0.012 ± 0.004	0.015 ± 0.004	0.026 ± 0.006
	$V_{3\Delta}$	-0.002 ± 0.001	-0.002 ± 0.004	-0.010 ± 0.006
$1.0 < p_T < 3.0 \text{ GeV}/c$		$2 \leq N_{\text{trk}}^{\text{offline}} < 5$	$5 \leq N_{\text{trk}}^{\text{offline}} < 35$	
	$V_{1\Delta}$	-0.271 ± 0.021	-0.221 ± 0.017	
	$V_{2\Delta}$	0.077 ± 0.027	0.059 ± 0.017	
	$V_{3\Delta}$	-0.015 ± 0.009	-0.007 ± 0.013	



v_2 elliptic anisotropy measurements with $|\eta| > 2.0$

- ▶ At a given p_T and track multiplicity, v_2 is larger for γ p-enhanced events than for MB pPb interactions
 - The magnitudes of both $V_{1\Delta}$ and $V_{2\Delta}$ (v_2) increase with p_T
 - Similar response to p_T increase seen by ZEUS over $c_2\{2\}$
- ▶ Predictions from the **PYTHIA8** model describe well the γ p data within uncertainties



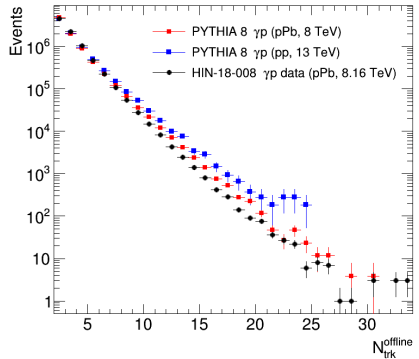
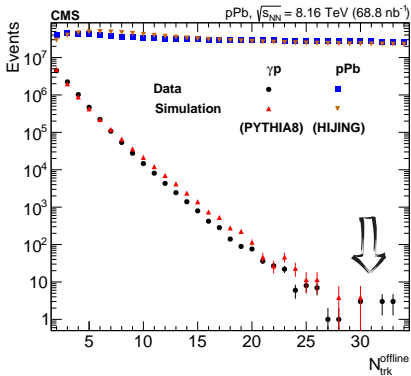
γp from pp and pPb; is track multiplicity consistent?

- ▶ EPA flux from proton instead of Pb nuclei
- ▶ Multiplicity distribution

$\langle N_{\text{trk}} \rangle$ (PYTHIA8 pp sim) = 3.026 (1.5M events)

$\langle N_{\text{trk}} \rangle$ (PYTHIA8 pPb sim) = 2.89 (37M Events)

$\langle N_{\text{trk}} \rangle$ (pPb CMS Data) = 2.92



- ▶ Predictions from the PYTHIA8 model describe well the γp system within uncertainties
 - This suggests the data are dominated by noncollective effects
 - Within the present experimental sensitivity, no significant collectivity signal is observed
 - Limited multiplicity and response to p_T consistent with what has been seen by ZEUS in γp and ep studies
- ▶ For small systems there is need to identify mechanisms responsible for observed trends and values over distinct angular coefficients
 - Confirmation over pp collective features and significant ridge down to $N_{\text{trk}} \sim 10$
 - Significant v_2 and observed by ATLAS after non flow subtraction

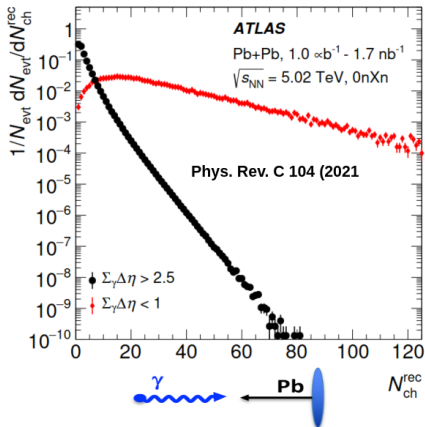
Thanks

Backup

Recent probes in γ Pb; ATLAS at CERN within 5.02 TeV PbPb

► Significant non-zero v_2

- Subtracting non-flow contribution using template fitting method



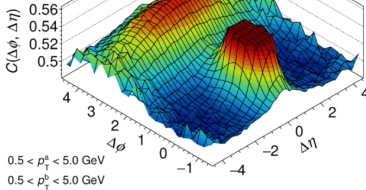
ATLAS Preliminary

Pb+Pb 2018, 1.73 nb^{-1}

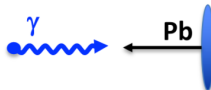
$\sqrt{s_{NN}} = 5.02 \text{ TeV}, 0nXn$

$\Sigma_{\gamma} \Delta\eta > 2.5, \Sigma_{\Delta} \Delta\eta < 3$

$30 < N_{\text{ch}}^{\text{rec}} \leq 37$



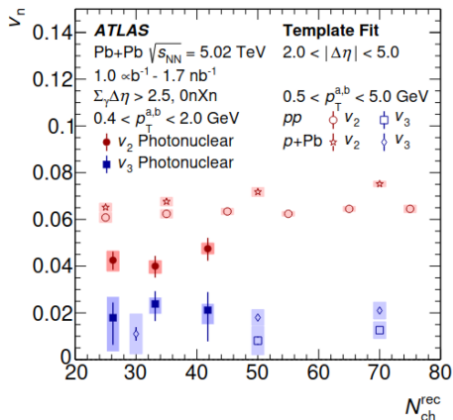
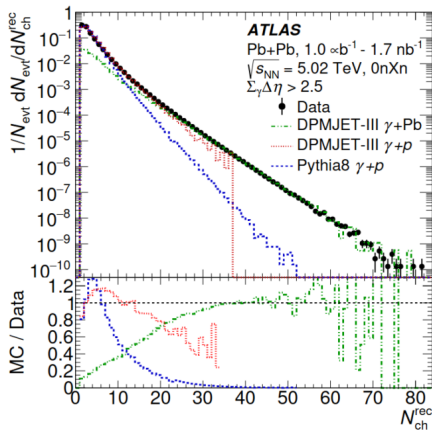
ATLAS-CONF-2019-022



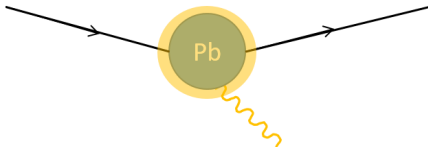
Recent probes in γ Pb; ATLAS at CERN within 5.02 TeV PbPb

► Significant non-zero v_2

- Subtracting non-flow contribution using template fitting method



Photon flux, $dN/d\omega$ from nuclei



Analytic approximation for equivalent photon flux from nuclei

$$\frac{dN}{d\omega} \Big|_A = \frac{2Z^2 \alpha_{em}}{\pi \omega} \left[\bar{\eta} K_0(\bar{\eta}) K_1(\bar{\eta}) - \frac{\bar{\eta}^2}{2} \mathcal{U}(\bar{\eta}) \right]$$

where $K_0(\eta)$ and $K_1(\eta)$ are the modified Bessel functions

$$\bar{\eta} = \omega(R_{h_1} + R_{h_2})/\gamma_L \quad \text{and} \quad \mathcal{U}(\bar{\eta}) = K_1^2(\bar{\eta}) - K_0^2(\bar{\eta})$$

► γ_L is the lorentz boost of a single beam

- $R_p = 0.6\text{fm}$ and $R_A = 1.2A^{1/3}$
- Absorptive corrections can be disregarded at $b > R_{h_1} + R_{h_2}$
- At $b < R_{h_1} + R_{h_2}$ the photon flux is zero

Photon flux can be modelled with **gamma-UPC**

- ▶ **gamma-UPC**: 'Automated generation of exclusive photon-photon processes in ultraperipheral proton and nuclear collisions with varying form factors'

H.-S. Shao and D. d'Enterria, JHEP 2209 (2022) 248 [arXiv:2207.03012\[hep-ph\]](https://arxiv.org/abs/2207.03012)

- ▶ Different configurations of nuclei and pp, pA and AA collisions can be set:

Nucleus	A	Z	R_A [fm]	a_A [fm]	w_A
O	16	8	2.608	0.513	-0.051
Ar	40	18	3.766	0.586	-0.161
Ca	40	20	3.766	0.586	-0.161
Kr	78	36	4.5	0.5	0
Xe	129	54	5.36	0.59	0
Pb	208	82	6.624	0.549	0



Analytic approximation for equivalent photon flux from proton

$$\left. \frac{dN}{d\omega} \right|_p = \frac{\alpha_{\text{em}}}{2\pi\omega} \left[1 + \left(1 - \frac{2\omega}{\sqrt{s}} \right)^2 \right] \\ \times \left(\ln \Omega - \frac{11}{6} + \frac{3}{\Omega} - \frac{3}{2\Omega^2} + \frac{1}{3\Omega^3} \right)$$

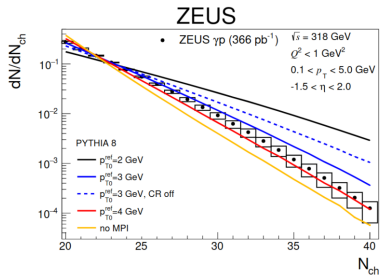
with the notation $\Omega = 1 + [(0.71 \text{ GeV}^2)/Q_{\text{min}}^2]$

$$Q_{\text{min}}^2 = \omega^2 / [\gamma_L^2 (1 - 2\omega/\sqrt{s})] \approx (\omega/\gamma_L)^2$$

- ▶ γ_L is the lorentz boost of a single beam
 - Derived from *Weizsäcker – Williams* method
 - Using elastic proton form factor

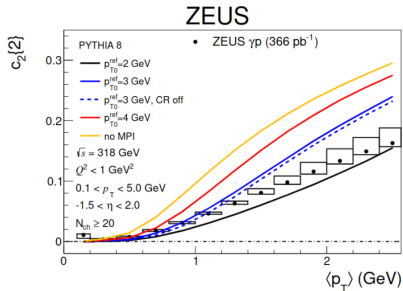
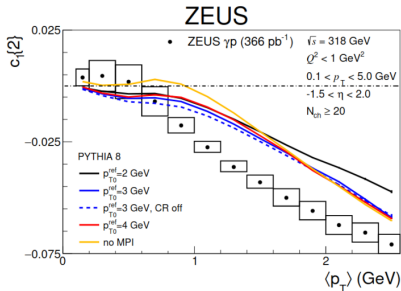
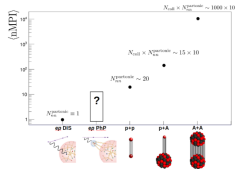
PHYSICAL REVIEW D 88, 054025 (2013)

Photon-proton (γp); ZEUS within ep 318 GeV collisions



► **No Multiparton Interactions (MPI) scenario disfavored**

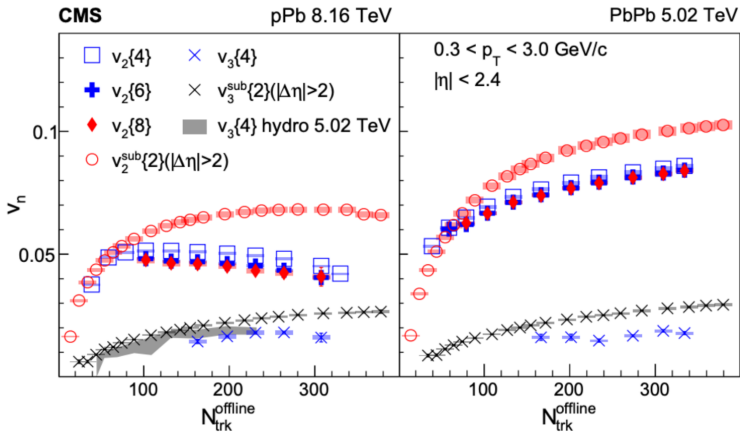
- 4-particle cumulant positive in ep photoproduction and negative in non-central heavy-ion collisions



Small vs large collision systems

► Origin of the ridge in small systems?

- Natural question is whether such signatures persist in even smaller collision systems
- Final state effect?, Pure fluctuations? CGC?

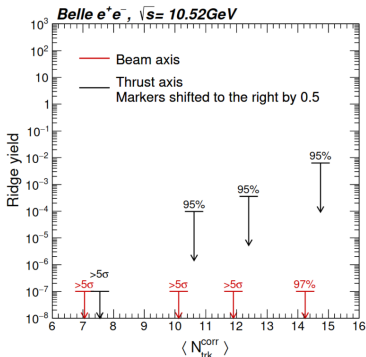
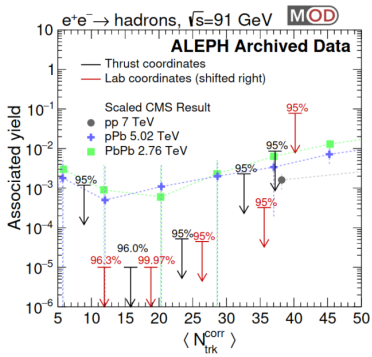


PRC 101 (2020) 014912



Recent probes in e^+e^- ; ALEPH (91 GeV) and Belle (10.52 GeV)

- Confidence limits on associated yield as a function of N_{trk} have been set



Phys. Rev. Lett. 123, 212002 (2019)
 arXiv:2201.01694 [hep-ex]