

# Jet substructure measurements in CMS

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Low x 2023, Leros Island, Greece



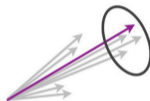
September 4-8 2023

## Contents

- Generalized angular studies in dijet and  $Z$ +jet (arXiv:2109.03340, JHEP 01 (2022) 188)
- **NEW:** Measurement of primary Lund jet plane density at 13 TeV (CMS-PAS-SMP-22-007; theory: F. Dreyer, G. Salam, G. Soyez, arXiv:1807.04758)
- **NEW:** Measurement of energy correlators (CMS-PAS-SMP-22-015)

# What is jet substructure?

## Fragmentation Functions



*Single hadron*

## Classic Jet Shapes



*All hadrons*

## Groomed Observables



*Subset of hadrons*

- Jet constituents are mapped onto physically meaningful observables
- We can distinguish between fragmentation functions (we identify the leading hadrons), the classic jet shapes (such as thrust), and groomed variables (where we want to remove the effects of soft gluon emissions for instance during hadronization)

# New variables: Generalized angular properties in $Z$ +jet and dijets

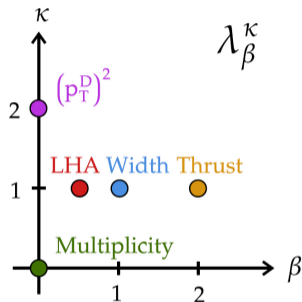
- New observables

$$\lambda_{\beta}^{\kappa} = \sum_i z_i^{\kappa} \left( \frac{\Delta R_i}{R} \right)^{\beta}$$

$$z_i = \frac{p_{Ti}}{\sum_j p_{Tj}}$$

$z_i$  is jet fractional transverse momentum carried by  $i$

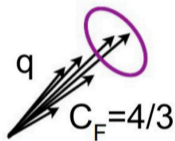
- $\Delta R_i = \sqrt{(\Delta y_i)^2 + (\Delta \phi_i)^2}$  between the jet axis and the jet constituent
- $\beta$  and  $\kappa$  parameters controlling momentum and angular distributions



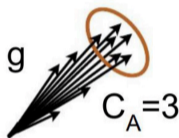
- We will study: Les Houches Angularity  $\lambda_{0.5}^1$ , width  $\lambda_1^1$ , thrust  $\lambda_2^1$ , multiplicity  $\lambda_0^0$ ,  $(p_T^D)^2$   $\lambda_0^2$

# Distinguishing between gluon and quark components: Dijets and $Z + jet$

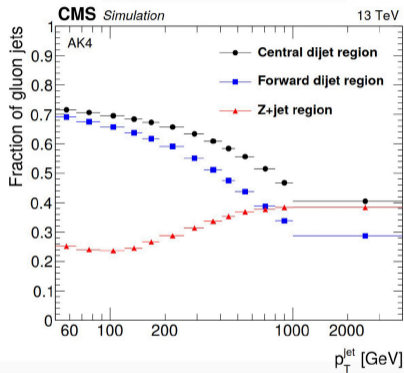
*Z+jet, quark enriched*



*dijet, gluon enriched*



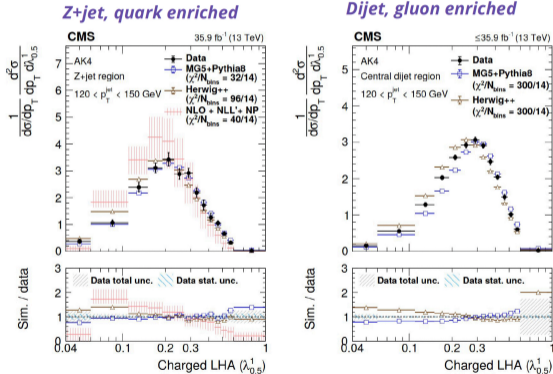
- Quark enhanced sample:  $Z+jet$
- Gluon enhanced sample: dijets, especially for central dijets



- Allows to distinguish between quark and gluon jets

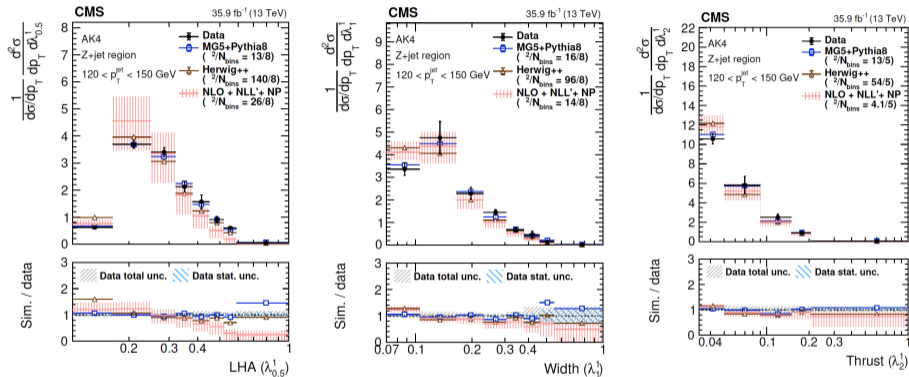


# Example of Les Houches angularity distribution



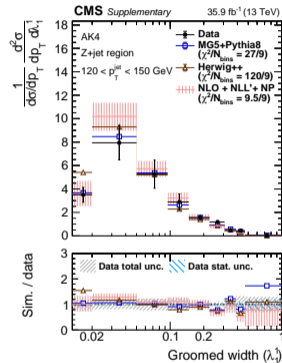
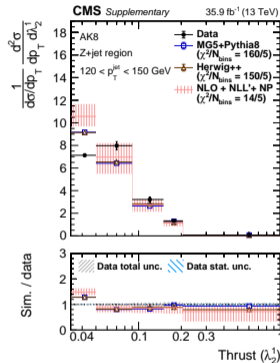
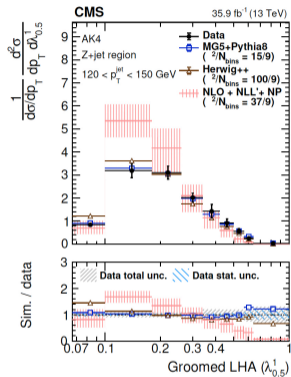
- Example of Les Houches angularity observable:  $\kappa = 1$ ,  $\lambda = 0.5$
- Data unfolded to particle level
- MG5+PYTHIA and HERWIG++ describe quark-enriched data well, and envelop the gluon-enriched data
- For Z+jet: resummation at NLL matched to fixed-order NLO matrix elements, with NP corrections from Sherpa, not in perfect agreement

# Ungroomed generalized angularities in $Z + \text{jet}$ events



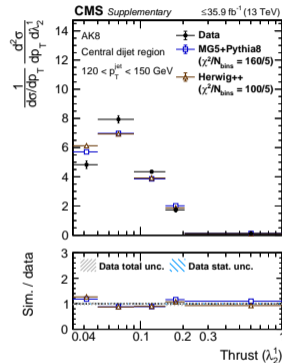
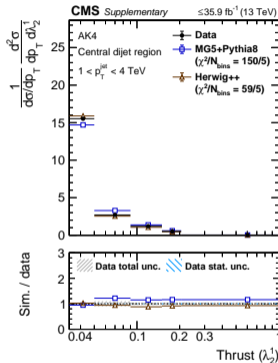
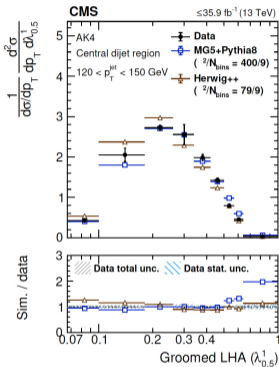
- We increase the  $\beta$  value for fixed  $\kappa$ : increase the weight of angular effects
- The more weight is given to angular scale, the better agreement of theory with data

# Groomed generalized angularities in $Z + \text{jet}$ events



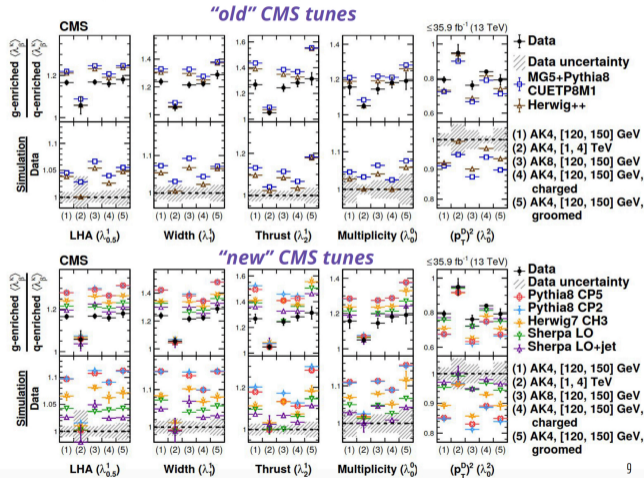
- We increase the  $\beta$  value for fixed  $\kappa$ : increase the weight of angular effects
- Soft-drop grooming to remove soft, wide-angle radiation
- Tension at small  $\beta = 0.5$  persists, related to hard collinear splittings description?

# Groomed generalized angularities in dijet events



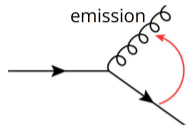
- We increase the  $\beta$  value for fixed  $\kappa$ : increase the weight of angular effects
- Reasonable agreement between theory with data

# Dijet/Z+jet ratio

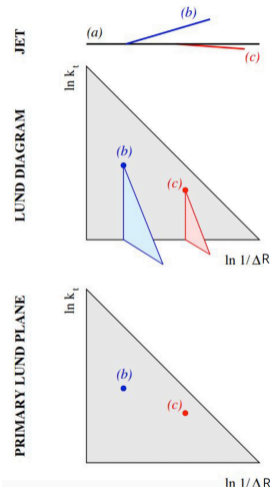


- Experimental uncertainties partially cancel in dijet/Z+jet ratio
- LO+PS overestimate the g-enriched/q-enriched ratio
- g-enriched / q-enriched ratio is better modelled with "old" PYTHIA8 and HERWIG7 CMS tunes
- Angular measurements are fundamental to tune further MC and to understand better gluon radiation from QCD

# Lund jet plane analysis: Visualizing the phase-space of QCD splittings

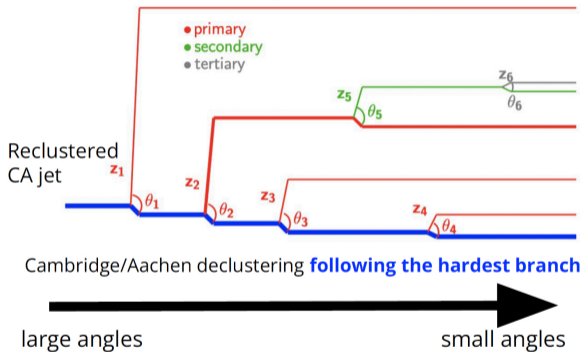


- Lund planes are a 2D representation of the phase-space of  $1 \rightarrow 2$  splittings
  - Splitting angle  $\Delta R = \sqrt{(y_{\text{soft}} - y_{\text{hard}})^2 + (\phi_{\text{soft}} - \phi_{\text{hard}})^2}$
  - Relative transverse momentum of emission  $k_T$
- Logs of  $k_T$  and  $1/\Delta R$  used for Lund plane axes
- Lund planes used for parton shower calculations and jet substructure techniques developments
- Experimentally: Possibility to construct an experimental proxy for Lund diagrams using iterative jet declustering (Lund jet plane)



# Constructing the Lund jet plane

G. Soyez' sketch



- CA sequentially combines the pairs of protojets with strict angular ordering
- The CA jet is then declustered iteratively (large to small angles)
- Transverse momentum  $k_T$  and splitting angle  $\Delta R$  of soft subjet (emission) relative to hard subjet (core) are measured at each step

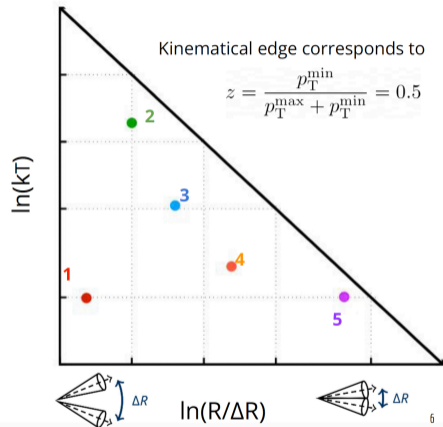
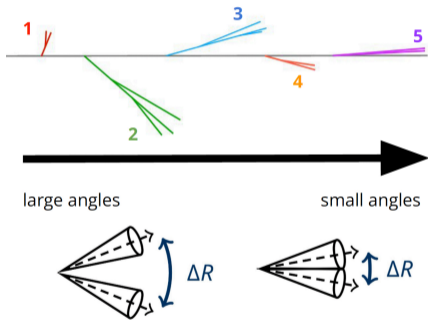
$$\Delta R = \sqrt{(y_{\text{soft}} - y_{\text{hard}})^2 + (\phi_{\text{soft}} - \phi_{\text{hard}})^2}$$
$$k_T = p_T \Delta R$$

where  $p_T$  is for the subjet

- Iterate until the core is a single particle

- Constituents of anti- $k_T$  jets are reclustered with the Cambridge/Aachen (CA) algorithm

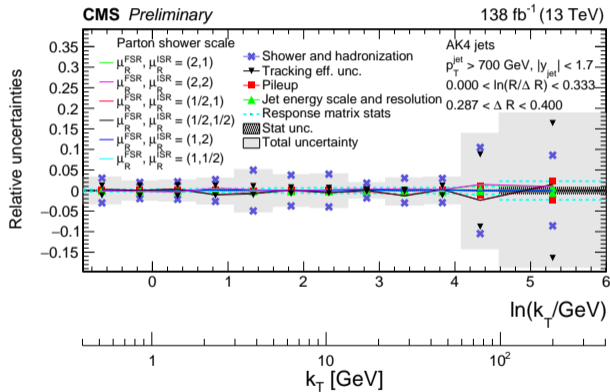
# Lund jet plane



- A given jet is represented as a number of points in the Lund plane

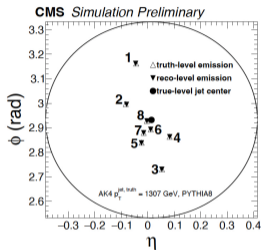
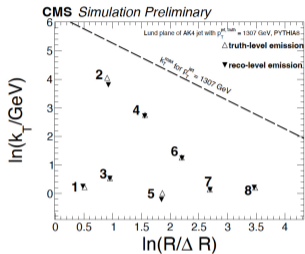


# Systematic uncertainties



- **Leading uncertainty: Shower and hadronization models (2-7% in the bulk, 10% at kinematical edge)**
- **Tracking reconstruction uncertainties: 1-2% in bulk, 10-20% at kinematical edge**
- **Subleading uncertainties <1%**
  - Parton shower scale
  - Response matrix statistics
  - Jet energy scale and resolution
  - Pile up modeling

# Unfolding

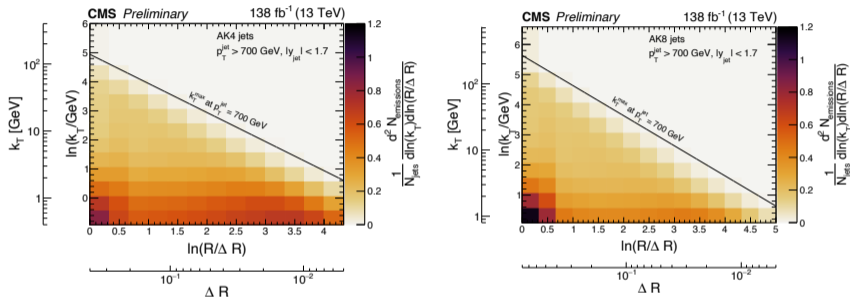


- Corrections to particle level (down to  $p_T \sim 0$  of charged particles for jet constituents)
- Multidimensional unfolding of Lund jet plane ( $p_T^{jet}$ ,  $k_T$ ,  $\Delta R$ )

- PYTHIA8 CP5 chosen as nominal and MC-based corrections derived from geometrically matched truth-level and det-level splittings. Uniquely matched pairs are considered. Matches with smaller  $\Delta R$  take precedence
- Matching window:

$$(\Delta R)^2 = (\eta_{true} - \eta_{det})^2 + (\phi_{true} - \phi_{det})^2$$

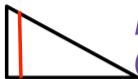
# Unfolded primary Lund jet plane density



- Measurements of the primary Lund jet plane performed for  $R = 0.4$  and for the first time  $R = 0.8$  corrected to particle level by CMS
- Plateauing of emissions at high  $k_T$ , growth of emissions at low  $k_T$  as expected from the dependence of emission density with  $\alpha_S$

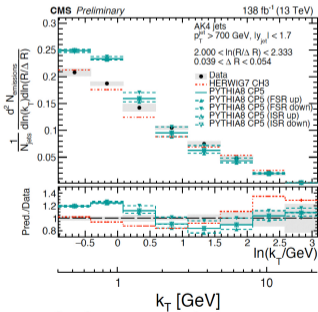
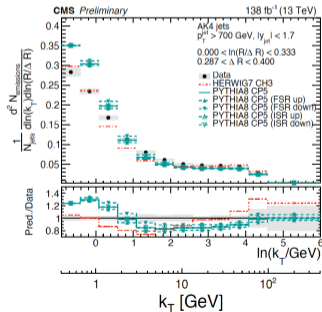
# Lund jet plane density: $\log k_T$ dependence

Large angle emissions



$R=0.4$  results  
( $R = 0.8$  in backup)

Small angle  
(collinear limit)



- Primary Lund jet plane density projected onto the  $\log k_T$  axis
- Large splitting angles left and small splitting angles right
- PYTHIA8 CP5 overestimates the number of emissions by 15-20%, Data favors FSR down in parton shower region
- HERWIG 7 CH3 in better agreement with data

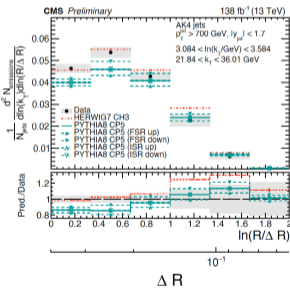
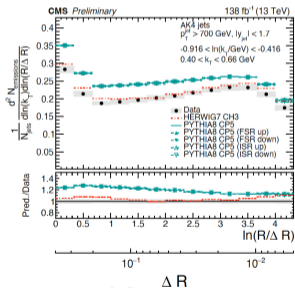
# Lund jet plane density: $\log R/\Delta R$ dependence



**$R=0.4$  results ( $R = 0.8$  in backup)**

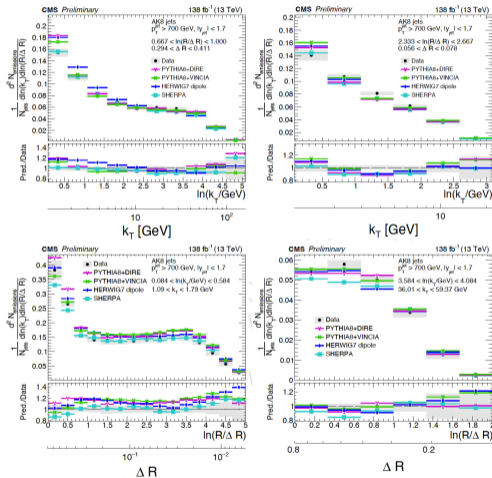
Low- $k_T$   
(hadronization + MPI)

High- $k_T$   
(perturbative region)



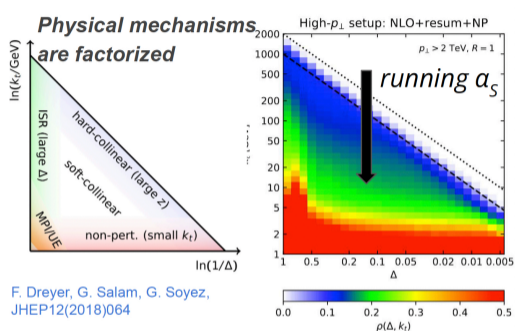
- Primary Lund jet plane projected onto the  $\log R/\Delta R$  axis
- Soft splittings (left) and hard splittings (right)
- Low (resp. large)  $k_T$  splitting populates the whole (resp. wide) angle radiation region
- PYTHIA8 CP5 overshoots data by 25-35% at low  $k_T$ , better description for hard emissions

# Lund jet plane density: model dependence



- PYTHIA8 with VINCIA or DIRE models in agreement with data within a few % except at high  $k_T$
- SHERPA and HERWIG7 with dipole showers describe the data within 5-10% including at high  $k_T$
- Comparison between data and HERWIG7 (different choices of recoil scheme of angular ordered shower); choose the recoil scheme in angular ordered parton showers in a region where quark and gluon fragmentations play an important role
- Goal to achieve NLL accuracy in next generation of parton showers

# Lund jet plane density: sensitivity to $\alpha_S$



$$A = \frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \log k_T d \log (R/\Delta R)}$$

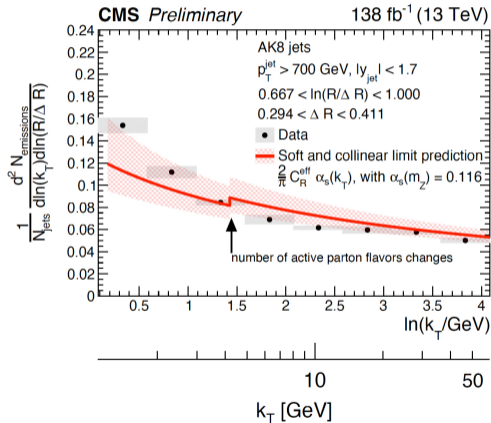
- In the soft and collinear limit of pQCD, it scales with  $\alpha_S$

$$A \sim \frac{2}{\pi} C_R \alpha_S(k_T)$$

- Measurement of the jet-averaged density of emissions

- Running of  $\alpha_S(k_T)$  sculpts the Lund plane density. ( $C_R$ : color factor,  $C_A = 3$ ,  $C_F = 4/3$ )
- Measurement can be used to improve MC generators and test pQCD calculations

# Lund jet plane density effect of running $\alpha_S$



- Soft and collinear limit prediction

$$\frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \log k_T d \log(R/\Delta R)} \sim \frac{2}{\pi} C_R \alpha_S(k_T)$$

- Compute soft and collinear limit prediction predictions with simplified assumptions ( $\alpha_S(M_Z) = 0.116$ , 1-loop  $\beta$  function)
- Qualitative illustration of effect of running coupling in the jet substructure



# Probing partonic time evolution: correlators

**Energy flow within a jet: jet energy correlators**

**Jet of energy E**

**particles**

$E_1$   $\Delta R_{1,2}$   $E_2$

**asymptotic freedom**

**confinement → hadronisation**

**multipoint energy correlators:**

$$E^2 \frac{d\sigma}{dx_L} = \sum_{i,j}^n \frac{E_i E_j}{E^2} \delta(x_L - \Delta R_{i,j})$$

maximum angular distance  $\Delta R_{i,j}$

large  $x_L$ : parton

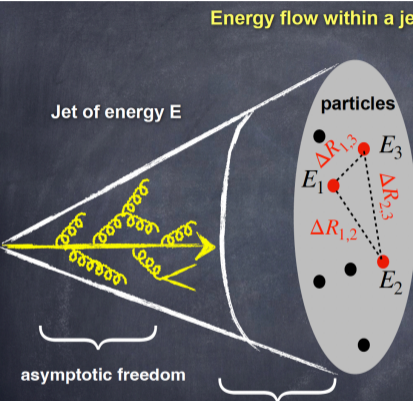
small  $x_L$ : hadron

angular distance

$$\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$$

# Probing partonic time evolution: correlators

**Energy flow within a jet: jet energy correlators**



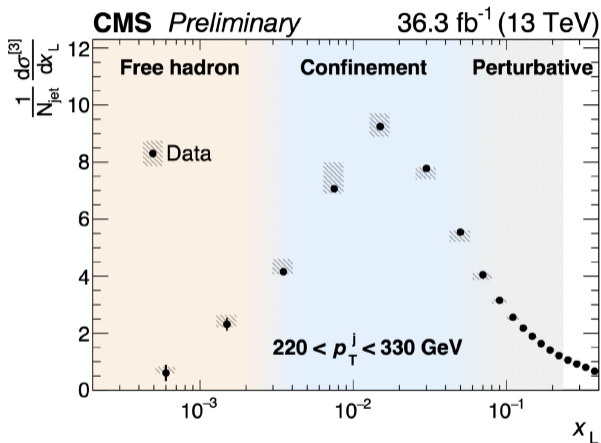
**multipoint energy correlators:**

$$E2 = \frac{d\sigma}{dx_L} = \int d\sigma \frac{E_i E_j}{E^2} \delta_{x_L - \Delta R_{i,j}}$$
$$E3 = \frac{d\sigma}{dx_L} = \int d\sigma \frac{E_i E_j E_k}{E^2} \delta_{x_L - \max(\Delta R_{i,j}, \Delta R_{i,k}, \Delta R_{j,k})}$$

describe "mapping" of various stages partons undergo in the process of jet formation

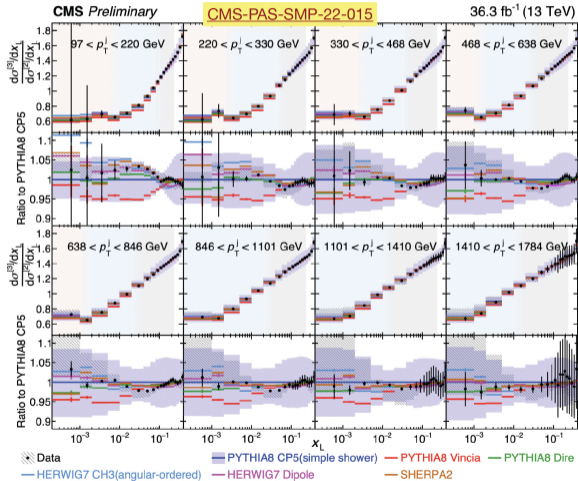
Theory: Chen, Moult, Zhang, and Zhu, arXiv:2004.11381; Lee, Meçaj, and Moult, arXiv:2205.03414, Chen, Gao, Li, Xu, Zhang, and Zhu, arXiv:2307.07510

# Illustration of partonic time evolution



- Data: 2016, dijets with anti- $k_T$  with  $R = 0.4$ , integrated luminosity : 36.3 fb<sup>-1</sup>
- Phase space:  $|y| < 2.1$ ,  $97 < p_T^{\text{jet}} < 1784$  GeV (8 bins),  $p_T^{\text{particle}} > 1$  GeV
- Detector-level to particle level: Bayesian unfolding in 3D ( $x_L$ ,  $p_T^{\text{jet}}$ , weight)
- Different regions in  $x_L$ 
  - Low  $x_L$  (largest  $\Delta R$  between the 2 or 3 particles): non-interacting hadrons, power-law scaling
  - medium  $x_L$ : region where quarks and gluons are confined
  - large  $x_L$ : quantum interactions of quarks and gluons

# Energy correlator ratio: unfolded E3C/E2C vs MC simulation



- No MC completely agrees with data, but almost fine within systematics
- Largest differences between data and MC in non-perturbative region
- Systematic uncertainties: varying renormalization and factorization scales, PDF uncertainties, parton shower models, UE models
- Extract the slope of E3C/E2C vs  $p_T^{jet}$
- CMS-PAS-SMP-22-015

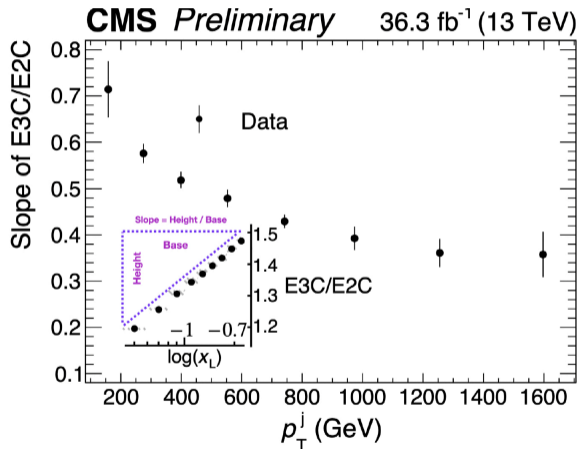
# Extraction of $\alpha_S$

- Extraction of  $\alpha_S$  via the E3C to E2C ratio
- E3C/E2C ratio

$$\frac{E3C}{E2C} \sim \alpha_S(Q) \ln x_L + O(\alpha_S^2)$$

where  $Q \sim x_L p_T^{jet}$  (energy scale at which the transition between free hadrons and perturbative region occurs)

- Slope of ratio proportional to  $\alpha_S$

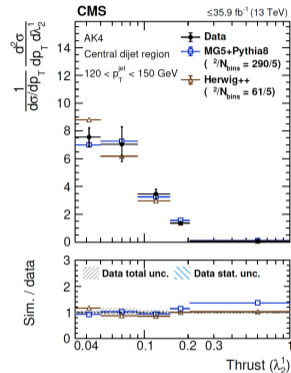
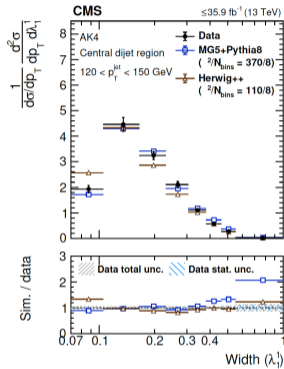
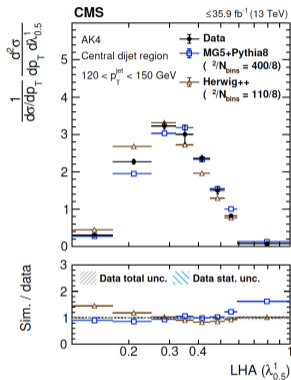


# Conclusion

- Measurements of jet substructure sensitive to basic building blocks of QCD
- Valuable input for a better understanding of quark-jet and gluon-jet substructure from  $Z$ +jet and dijet events
- Visualize the phase space of QCD splittings using the primary Lund jet plane
- Improve our understanding of QCD and the description of data by MC, goal of achieving NLL accuracy in next generation of parton showers
- Time evolution of partons illustrated in substructure measurements

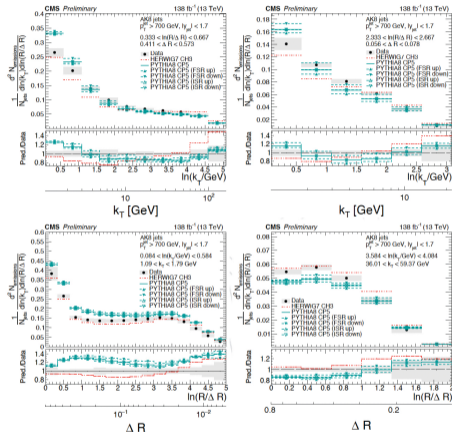


# Ungroomed generalized angularities in dijet events



- We increase the  $\beta$  value for fixed  $\kappa$ : increase the weight of angular effects
- Reasonable agreement between theory with data

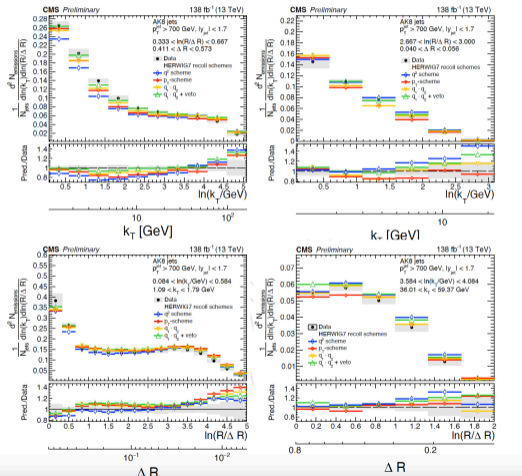
# Lund jet plane density for AK8 jets



- log  $k_T$  and log  $R/\Delta R$  dependence for AK8 jets



# Lund jet plane density: recoil scheme dependence



- Comparison between data and HERWIG7 predictions with different choices of the recoil scheme of its angular ordered shower
- $q^2$  scheme shows largest discrepancy with data, while  $p_T$  scheme is better
- $q_1 \cdot q_2$  leads to a better description, and even better  $q_1 \cdot q_2 + \text{veto}$  scheme, same as at LEP
- Lund jet plane data can help choosing the recoil scheme in angular ordered parton showers in a region where quark and gluon fragmentations play an important role
- Goal to achieve NLL accuracy in next generation of parton showers

# Energy correlator ratio vs NNLL

- Unfolded E3C/E2C vs NNLL
- $\alpha_S(M_Z) = 0.1229 + 0.0040 - 0.0050$

