

MiniBooNE Anomaly

Status and (B)SM

Kevin J. Kelly, Texas A&M University

PITT PACC ν Tools, 15th Dec., 2022

kjkelly@tamu.edu

[\[2111.10359\]](#) & [\[2210.08021\]](#) with many great collaborators

Outline

- Neutrino anomalies and vanilla sterile neutrinos

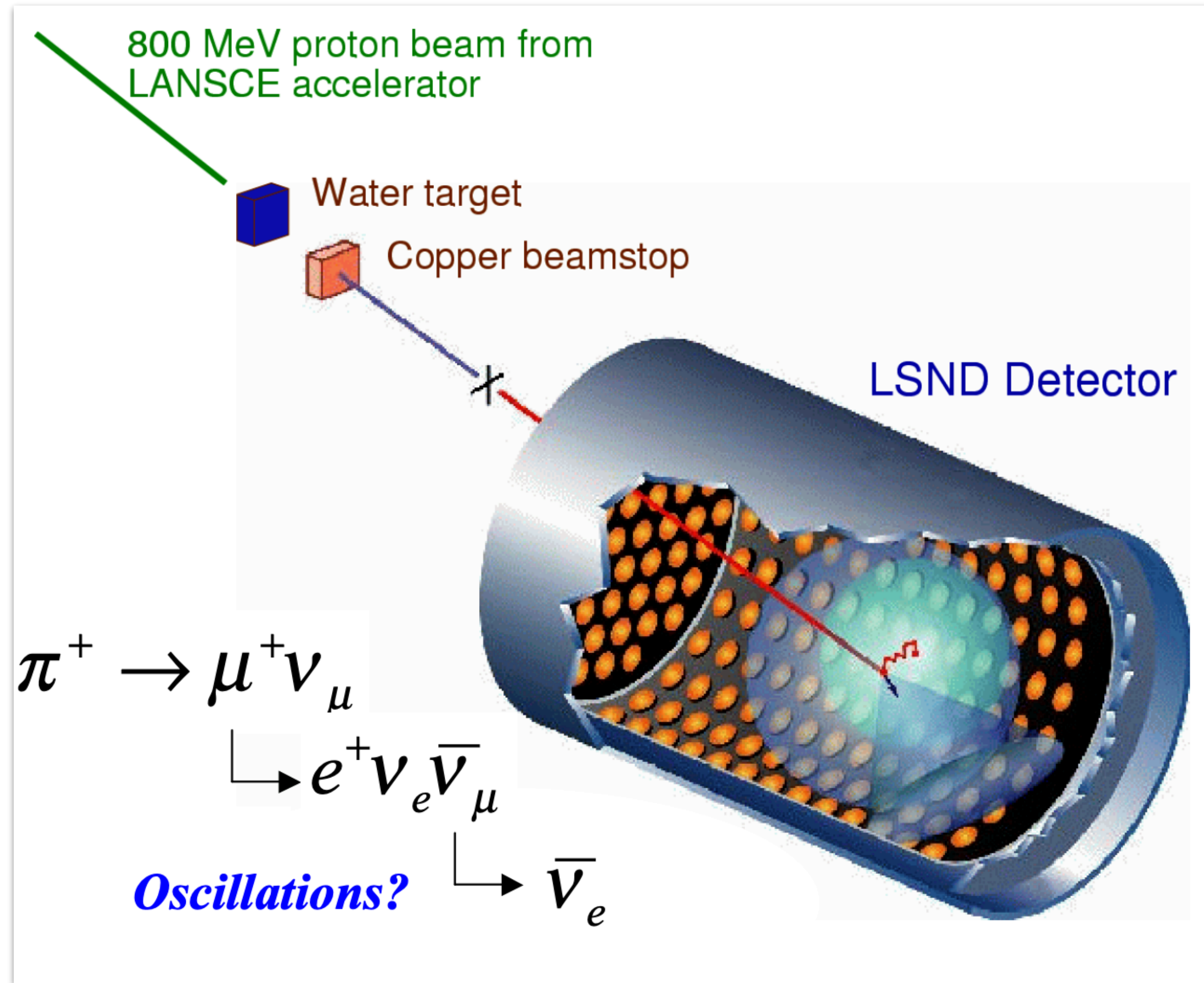
Outline

- Neutrino anomalies and vanilla sterile neutrinos
- MicroBooNE weighs in

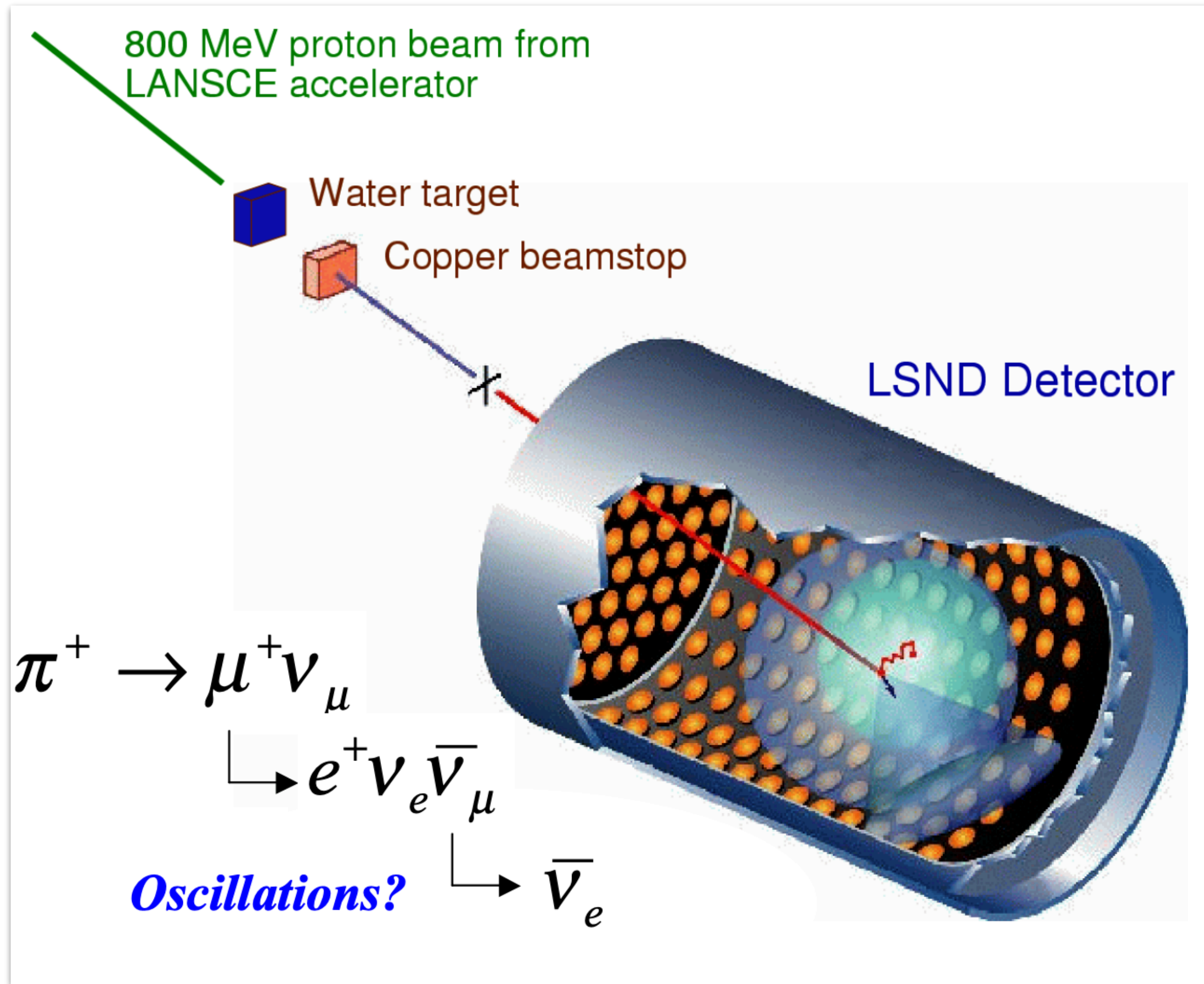
Outline

- Neutrino anomalies and vanilla sterile neutrinos
- MicroBooNE weighs in
- But wait, there's more!

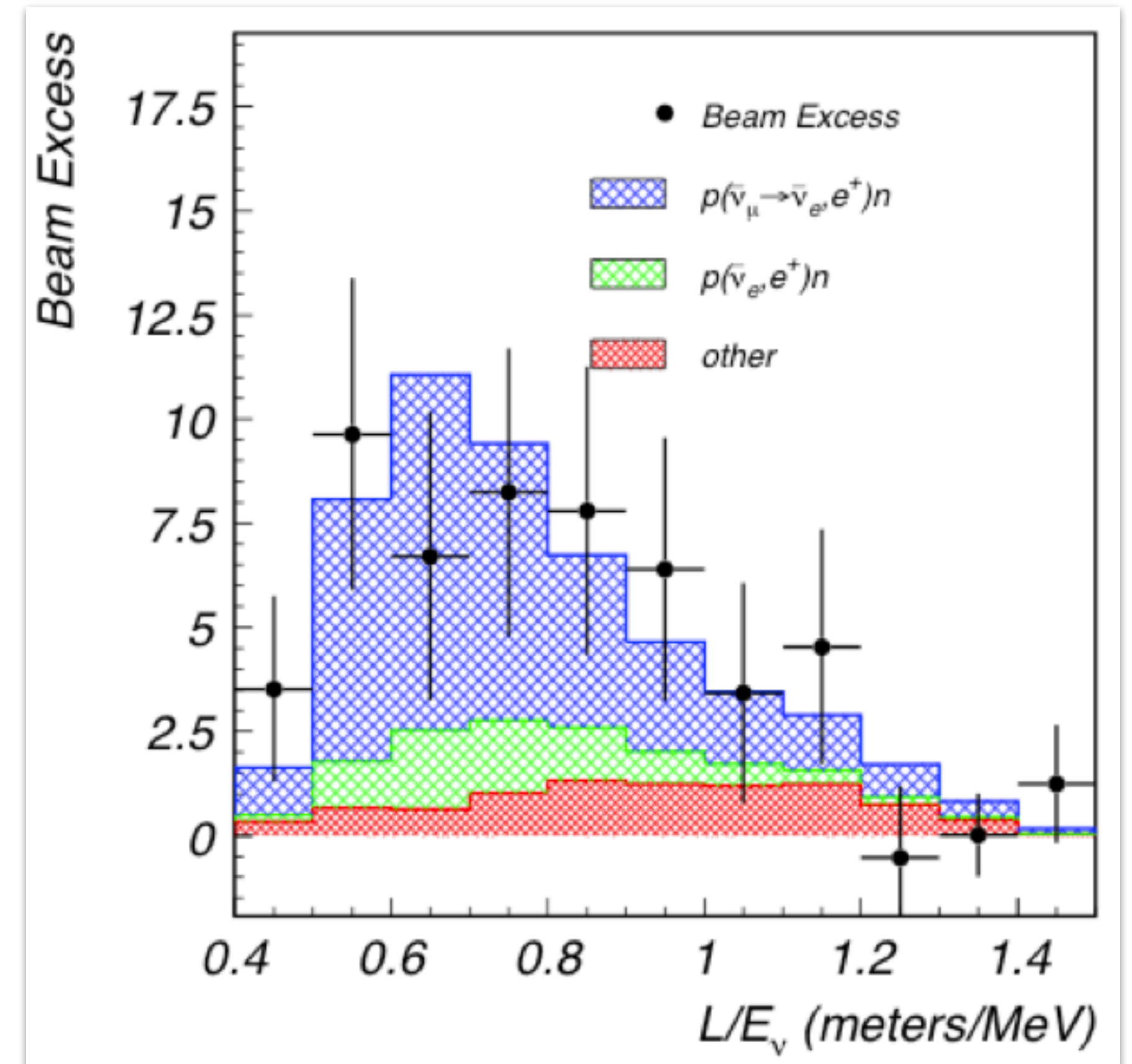
Liquid Scintillator Neutrino Detector (LSND)



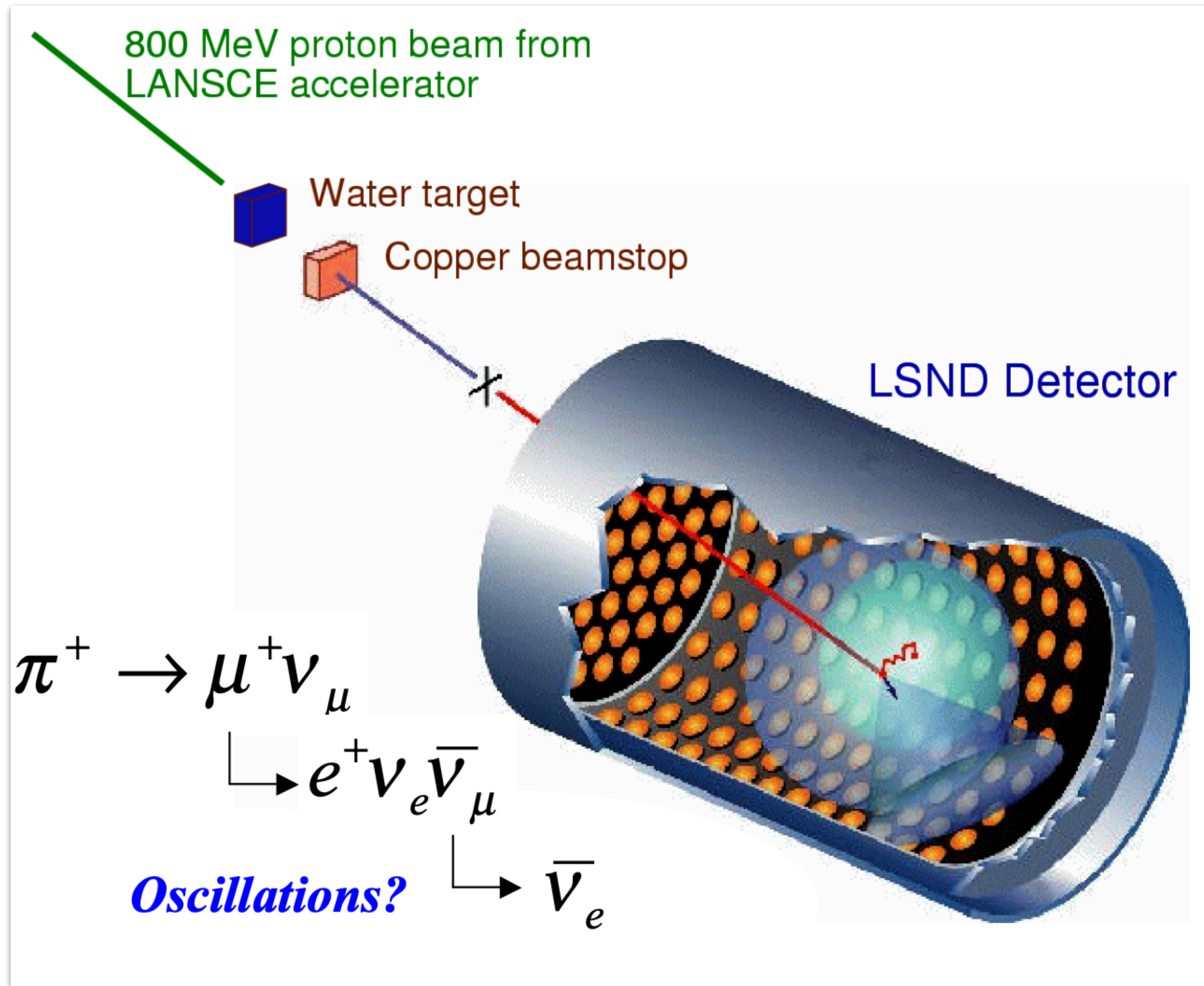
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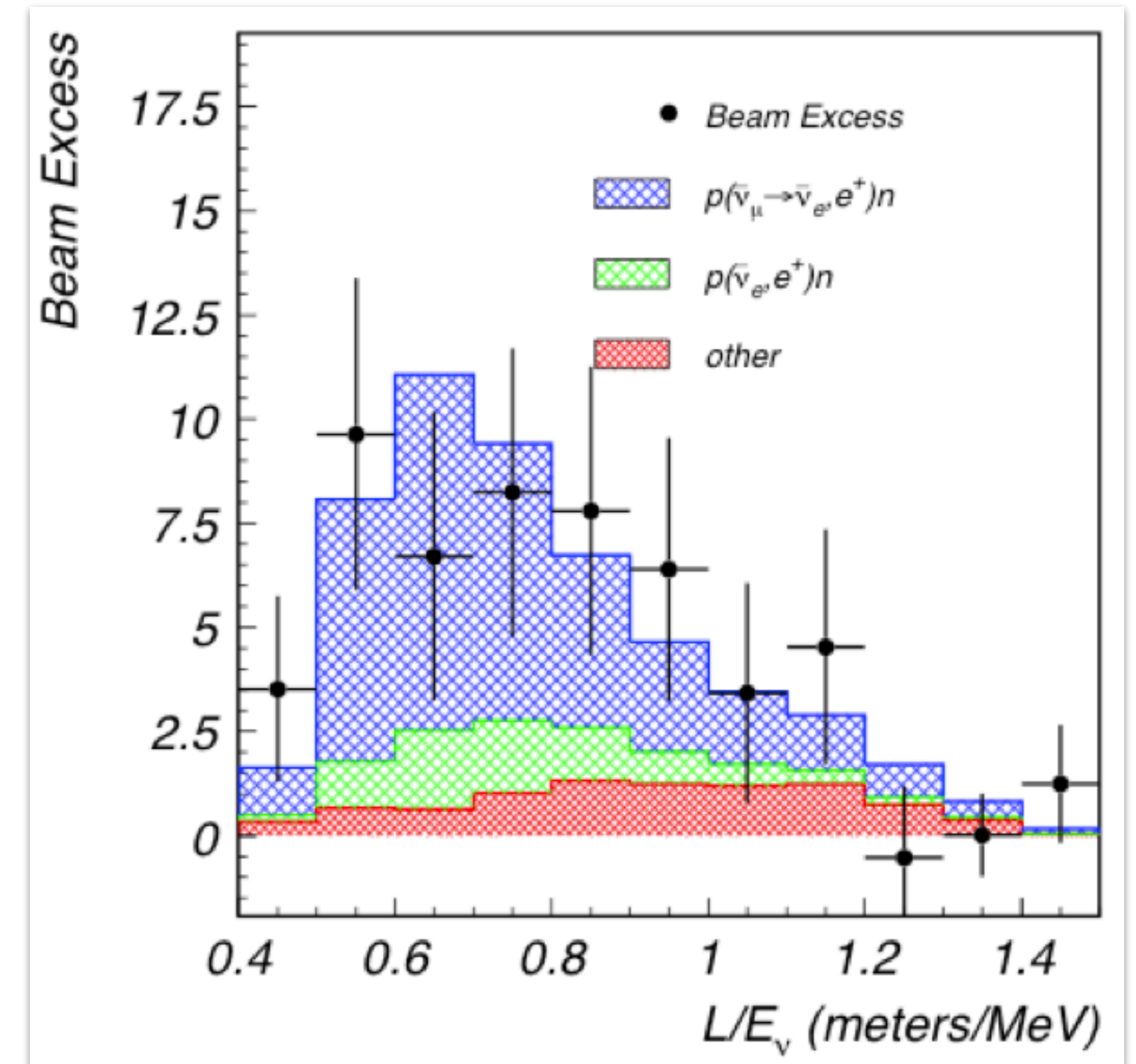
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e?$$



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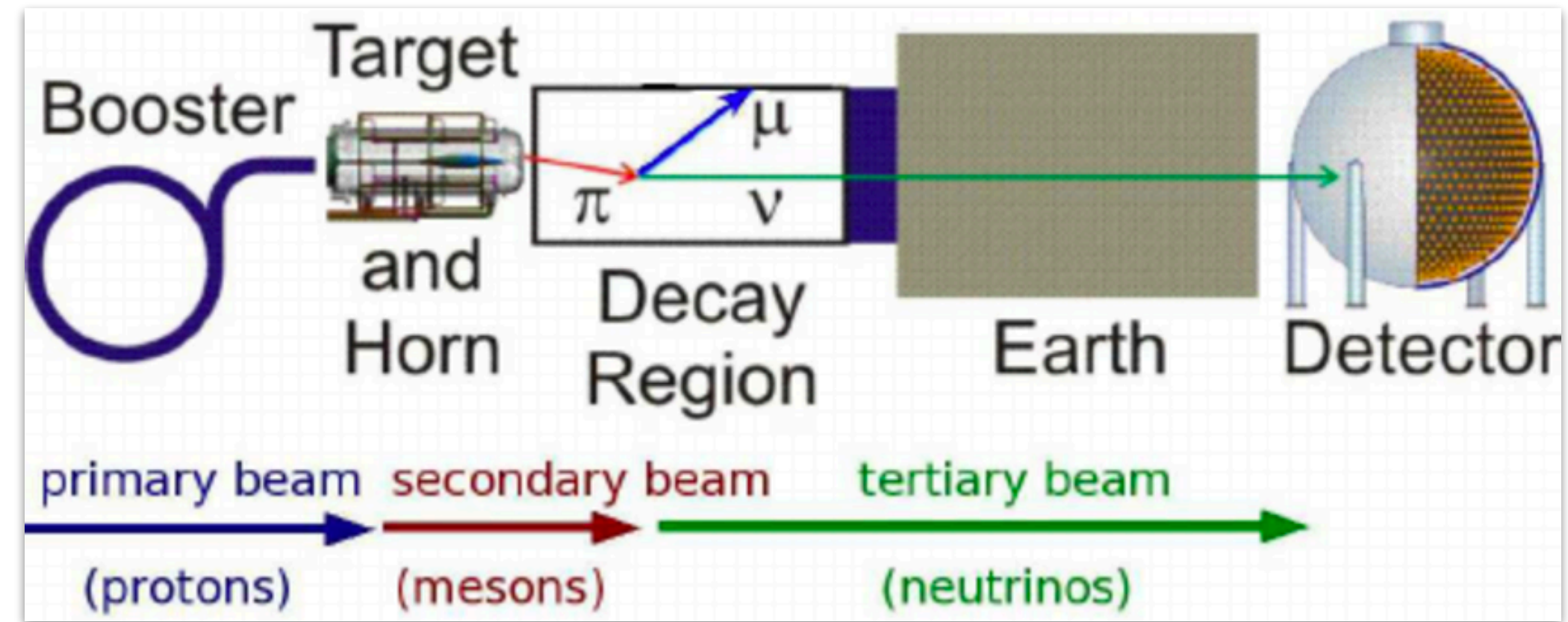


Neutrinos (mostly) from pion/muon decay-at-rest — O(30) MeV, roughly 50 meter baseline length.

Observed excess — $87.9 \pm 22.4 \pm 6.0 \rightarrow P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \approx 2.6 \times 10^{-3}$

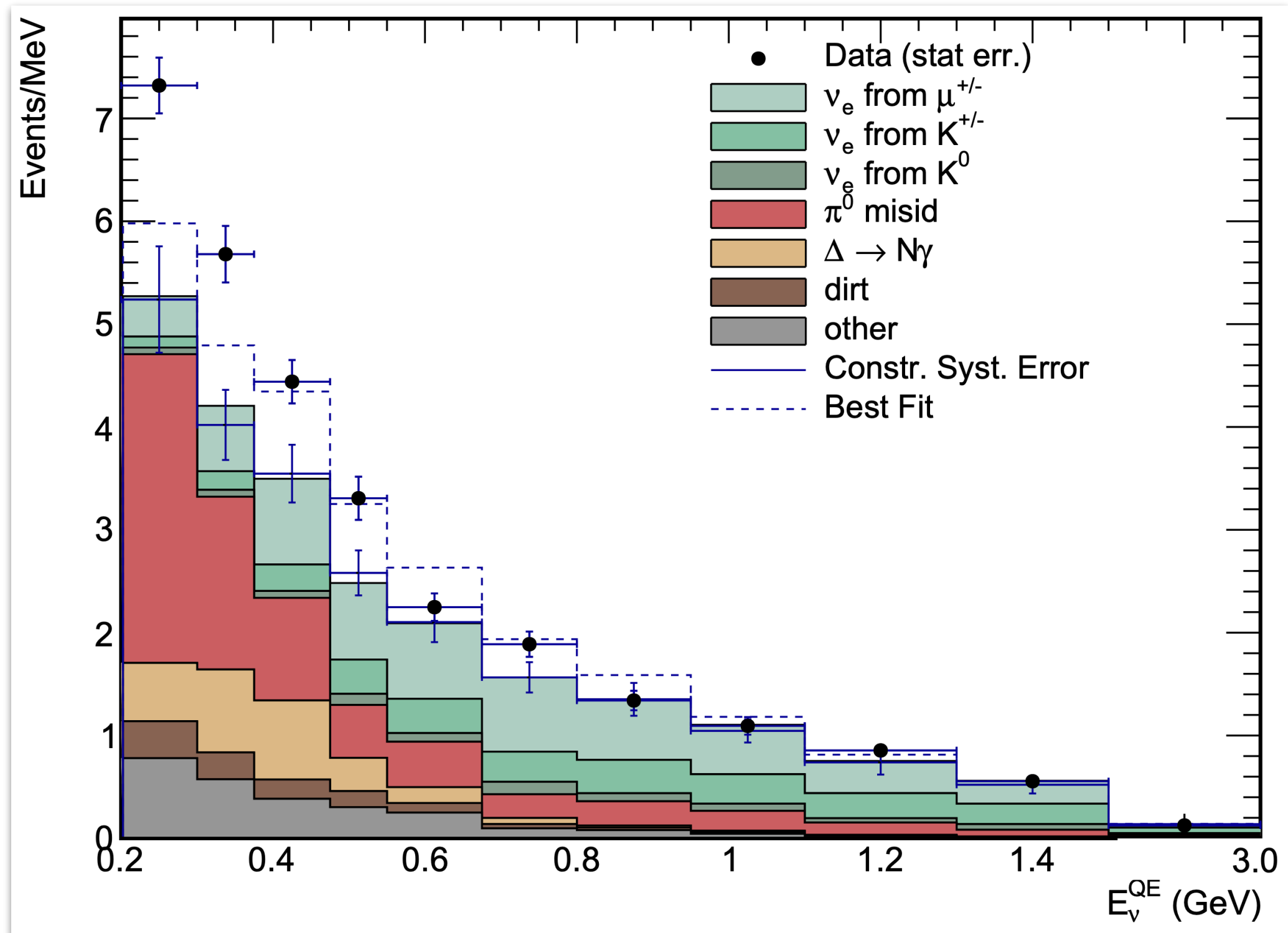
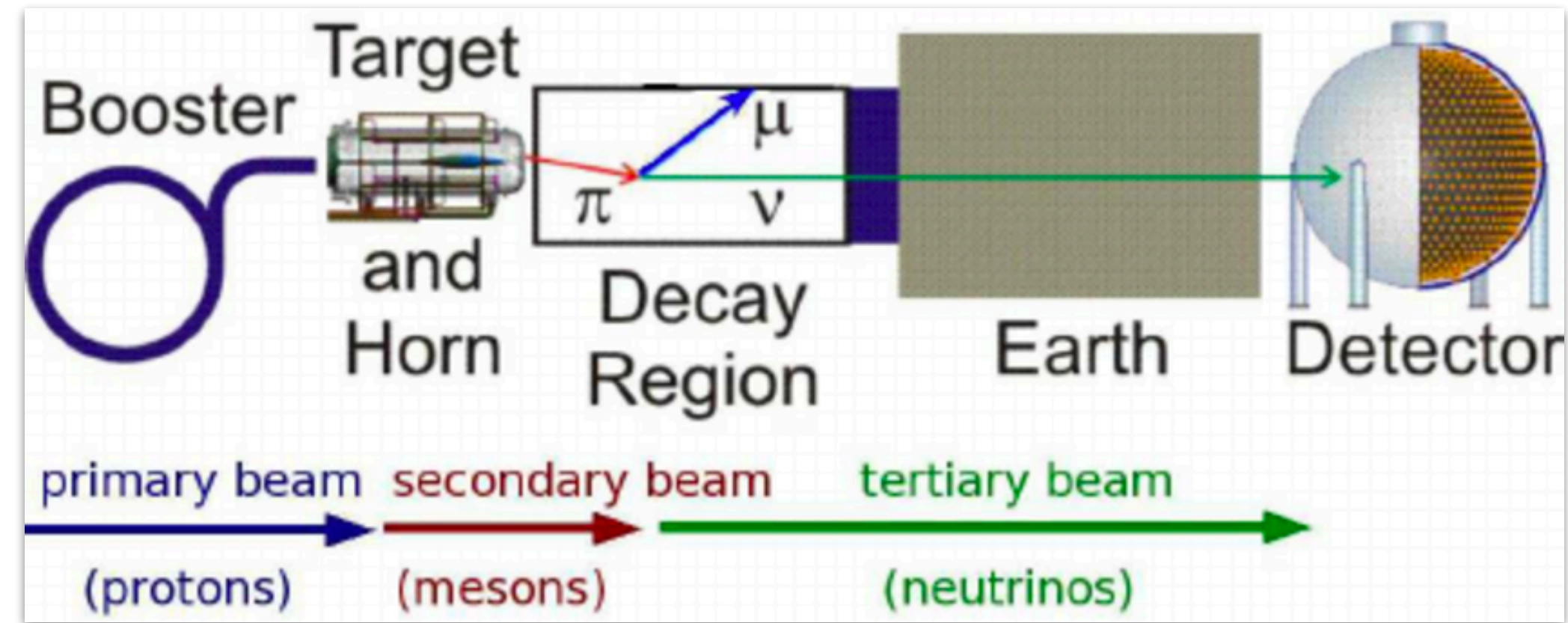
MiniBooNE

Designed to test the LSND anomaly — very different L , E , but similar L/E



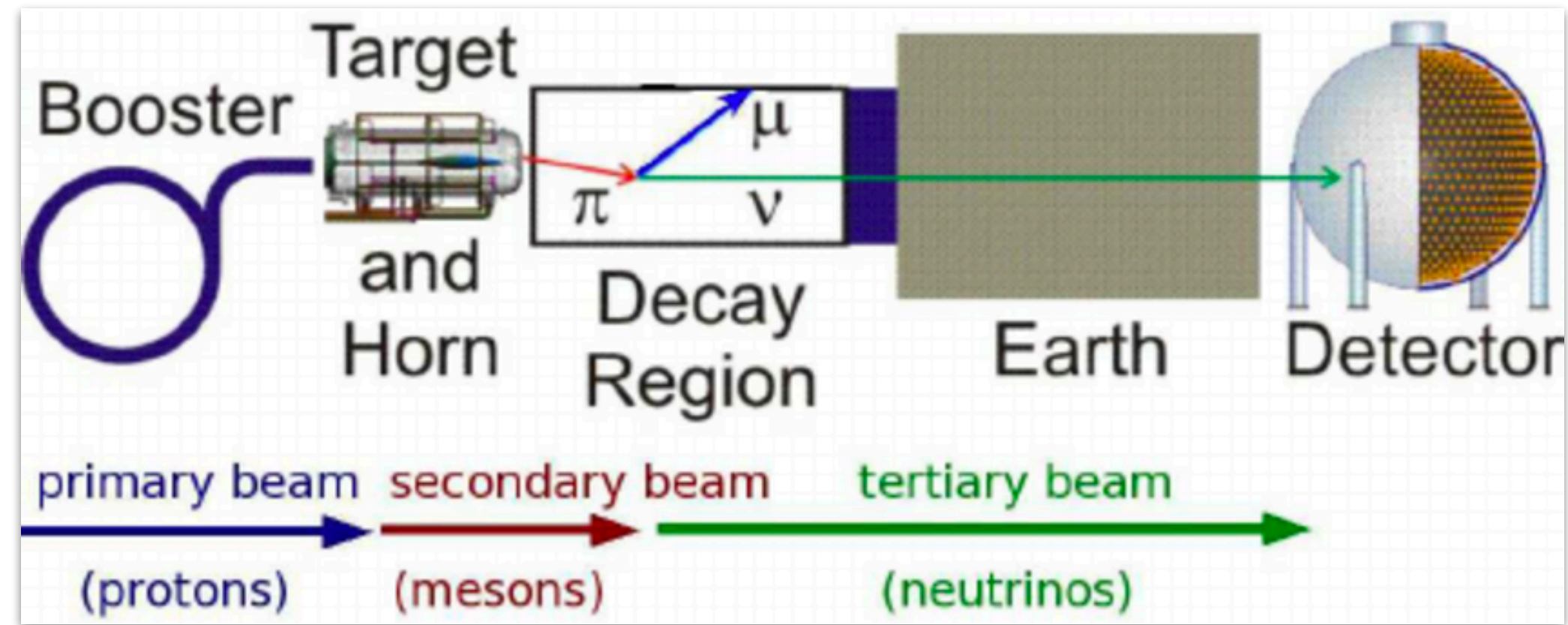
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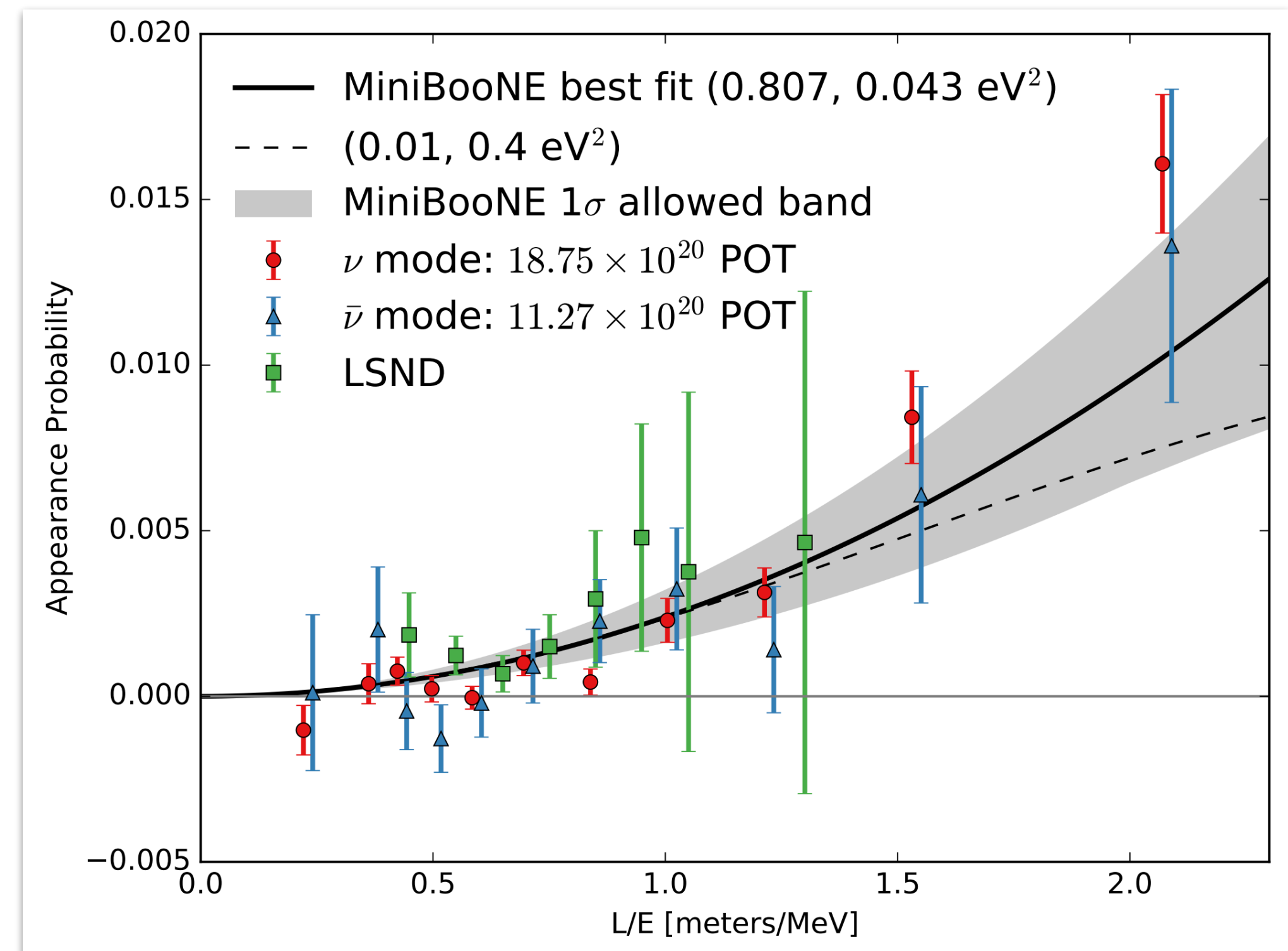
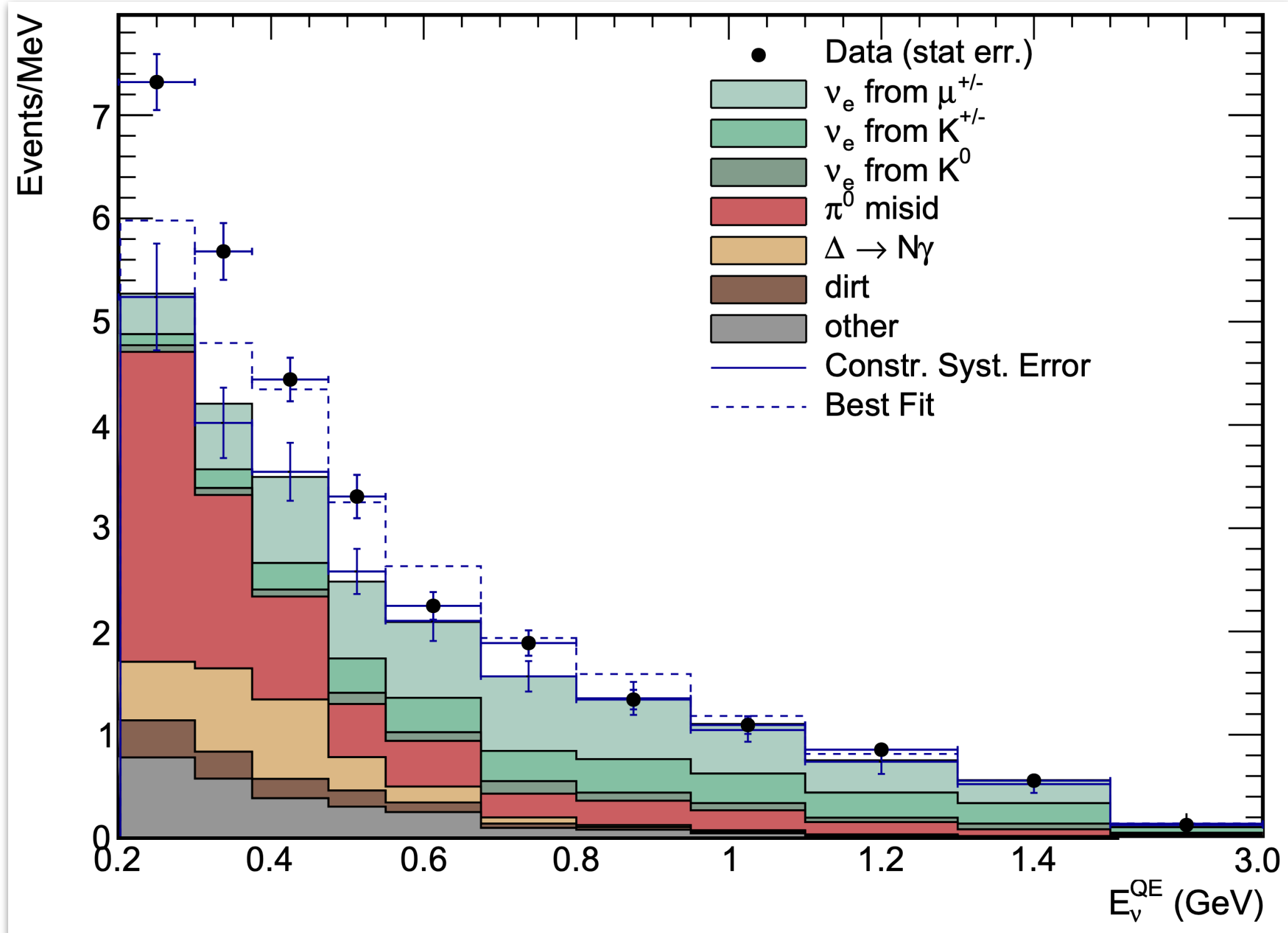
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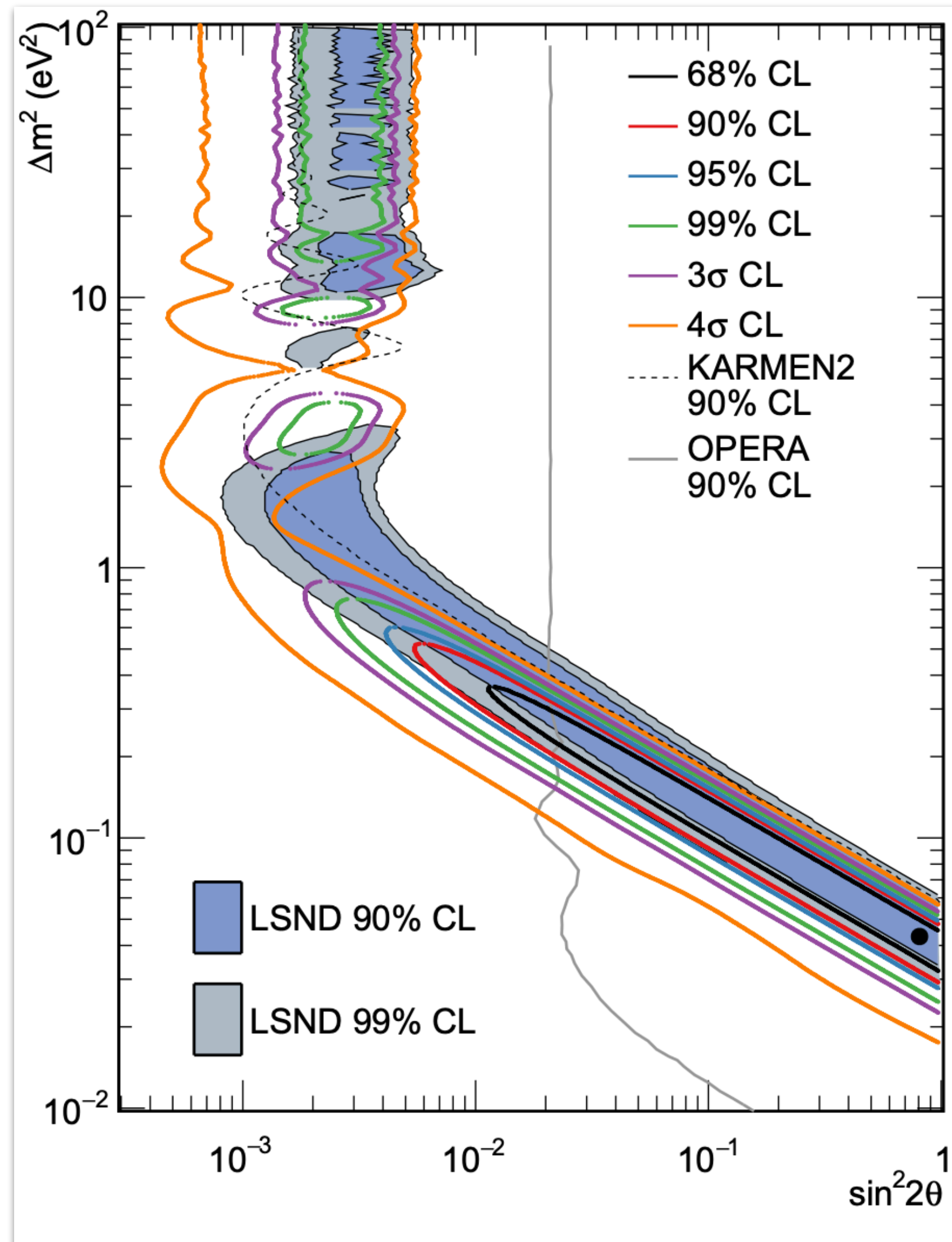


$$\nu_{\mu} \rightarrow \nu_e \text{ AND } \bar{\nu}_{\mu} \rightarrow \bar{\nu}_e?$$

MiniBooNE Collab., [2006.16883]



Anomalous Appearance — Fourth Neutrino



MiniBooNE Collab., [\[2006.16883\]](#)

IF coming from oscillations, the results from LSND and MiniBooNE require a new mass eigenstate around the eV scale.

Combined with the observed invisible width of the Z-boson (LEP), any additional light neutrino(s) must be sterile — gauge singlets.

Invoking a New (sterile) Neutrino

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta_{\mu e}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

- Add in a new (fourth) neutrino mass eigenstate with a significantly larger mass than the three “light” ones. This extends the Leptonic mixing matrix to 4x4 instead of 3x3.

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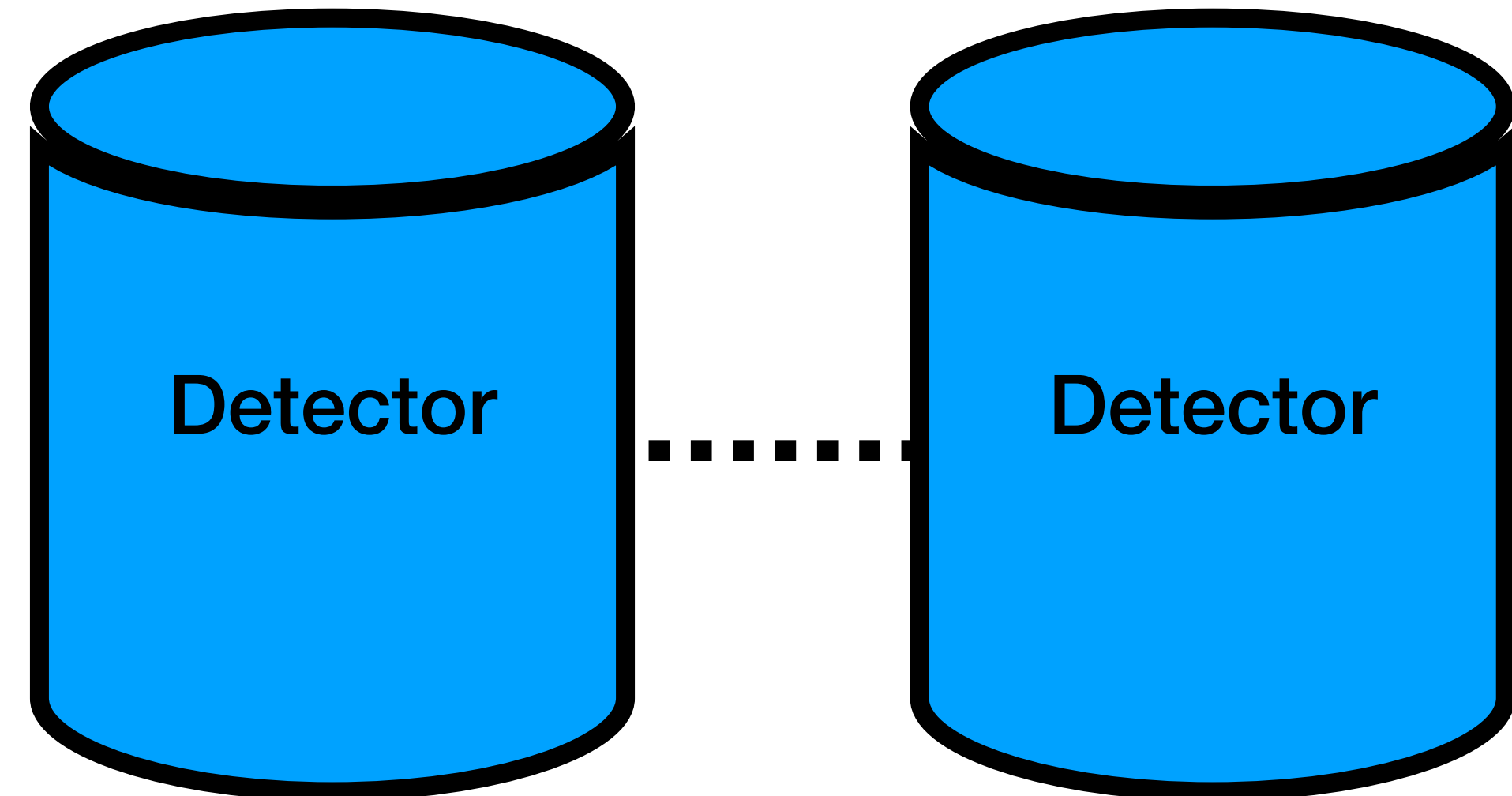
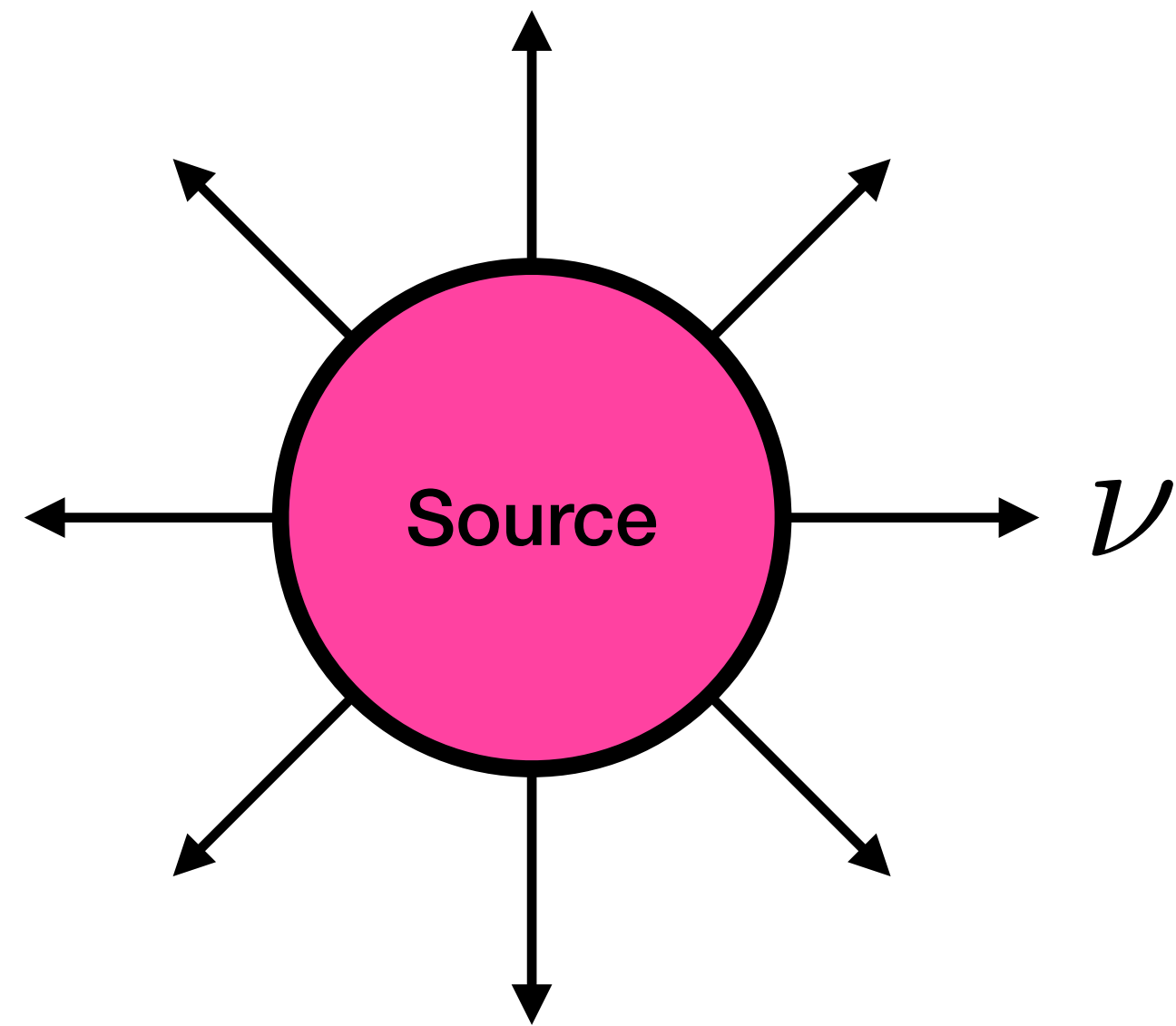
$$\sin^2(2\theta_{\mu e}) \equiv 4 |U_{e4}|^2 |U_{\mu4}|^2$$

- Electron-neutrino appearance is driven by a product of the new matrix elements. Each of these being non-zero predicts electron-neutrino **and** muon-neutrino disappearance at the same neutrino energy/distance.

Electron-Neutrino Disappearance?

Avoiding Uncertainties in Reactor Measurements

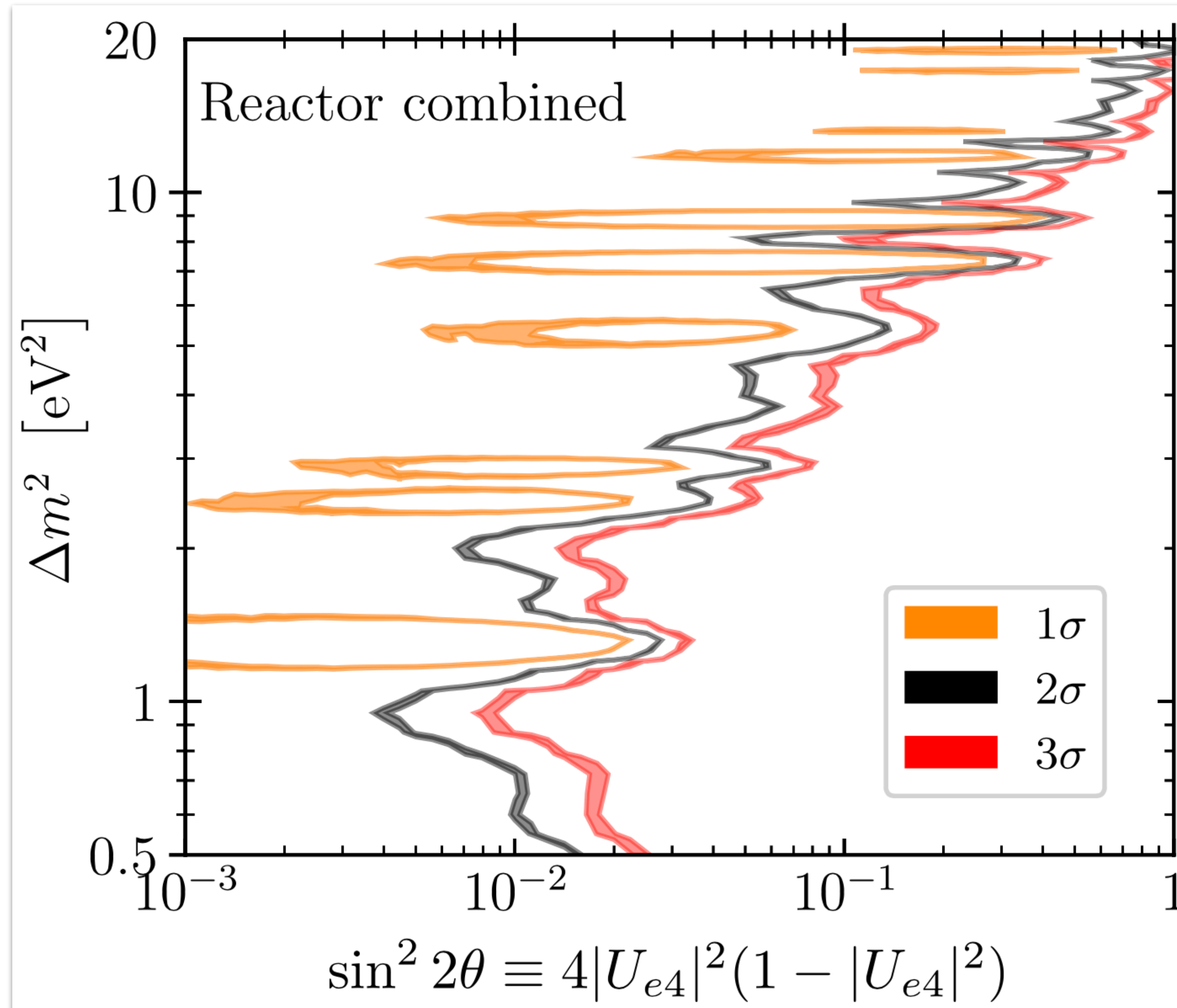
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2) \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E_\nu} \right)$$



Make and compare measurements at a variety of distances — movable source, movable detector, segmented detector...

Reactor Global Picture

Berryman et al, [\[2111.12530\]](#)



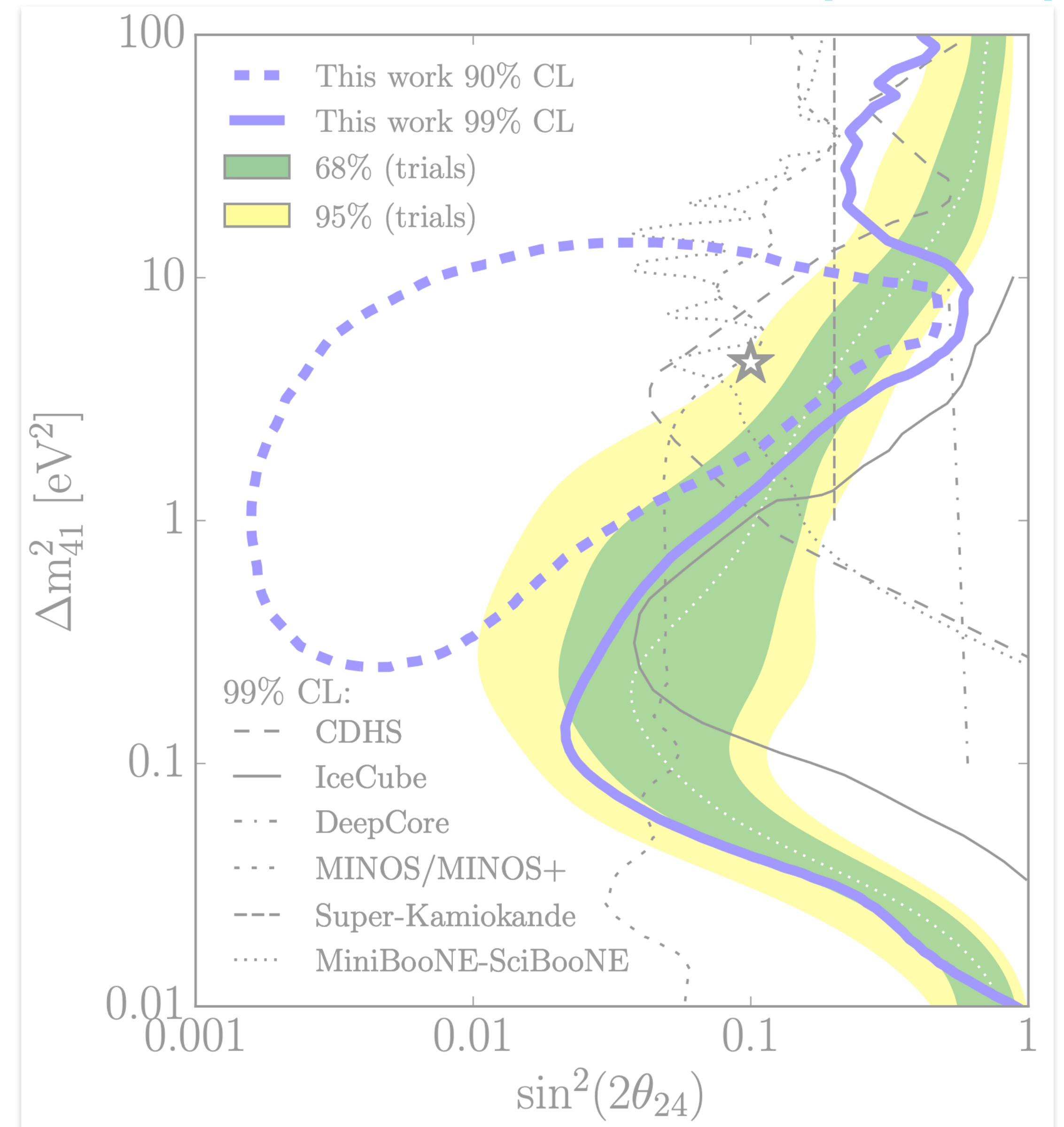
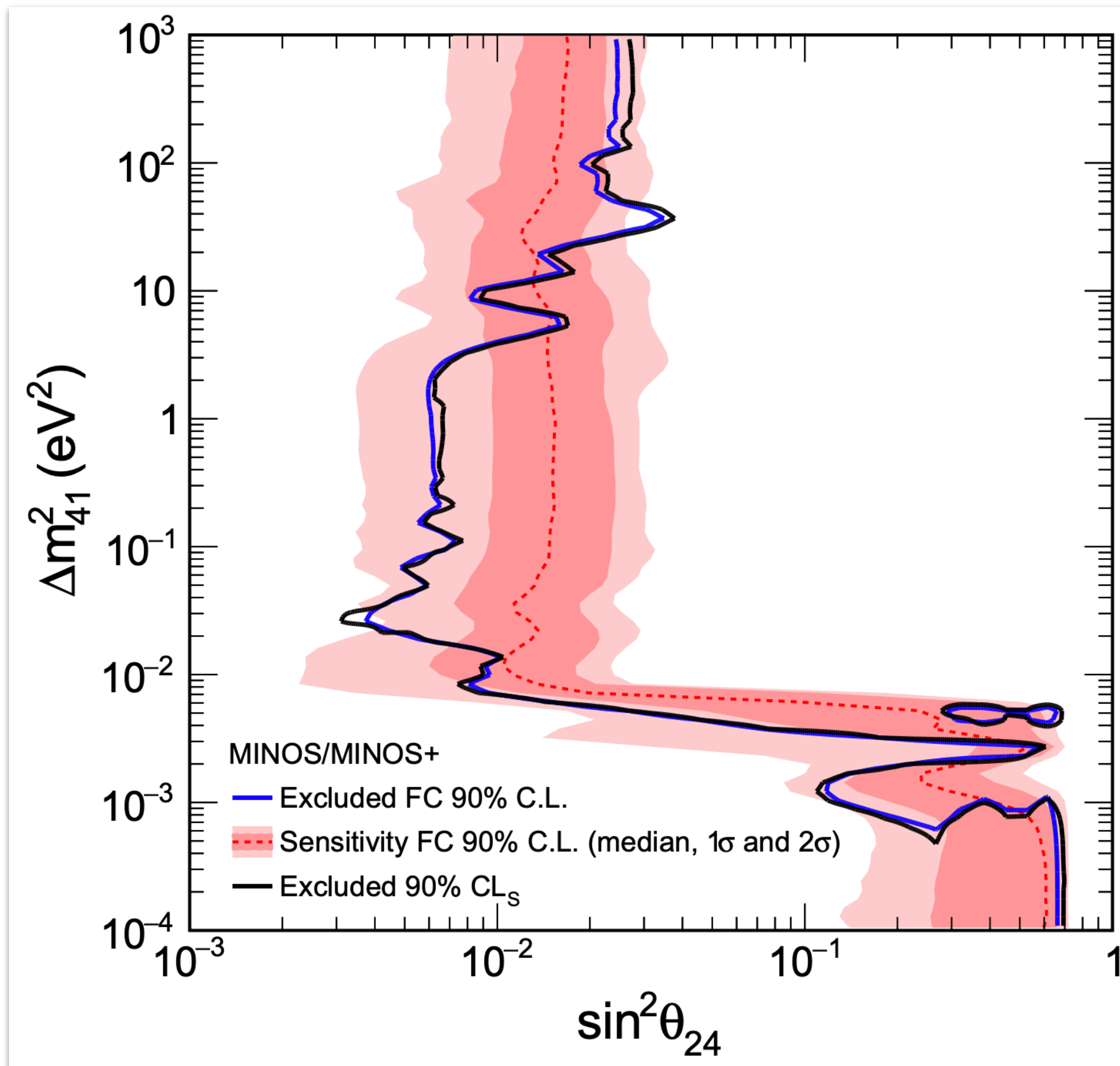
No significant* deviation from expectation!

Muon-Neutrino Disappearance?

MINOS + IceCube

IceCube Collaboration, [\[2005.12942\]](#)

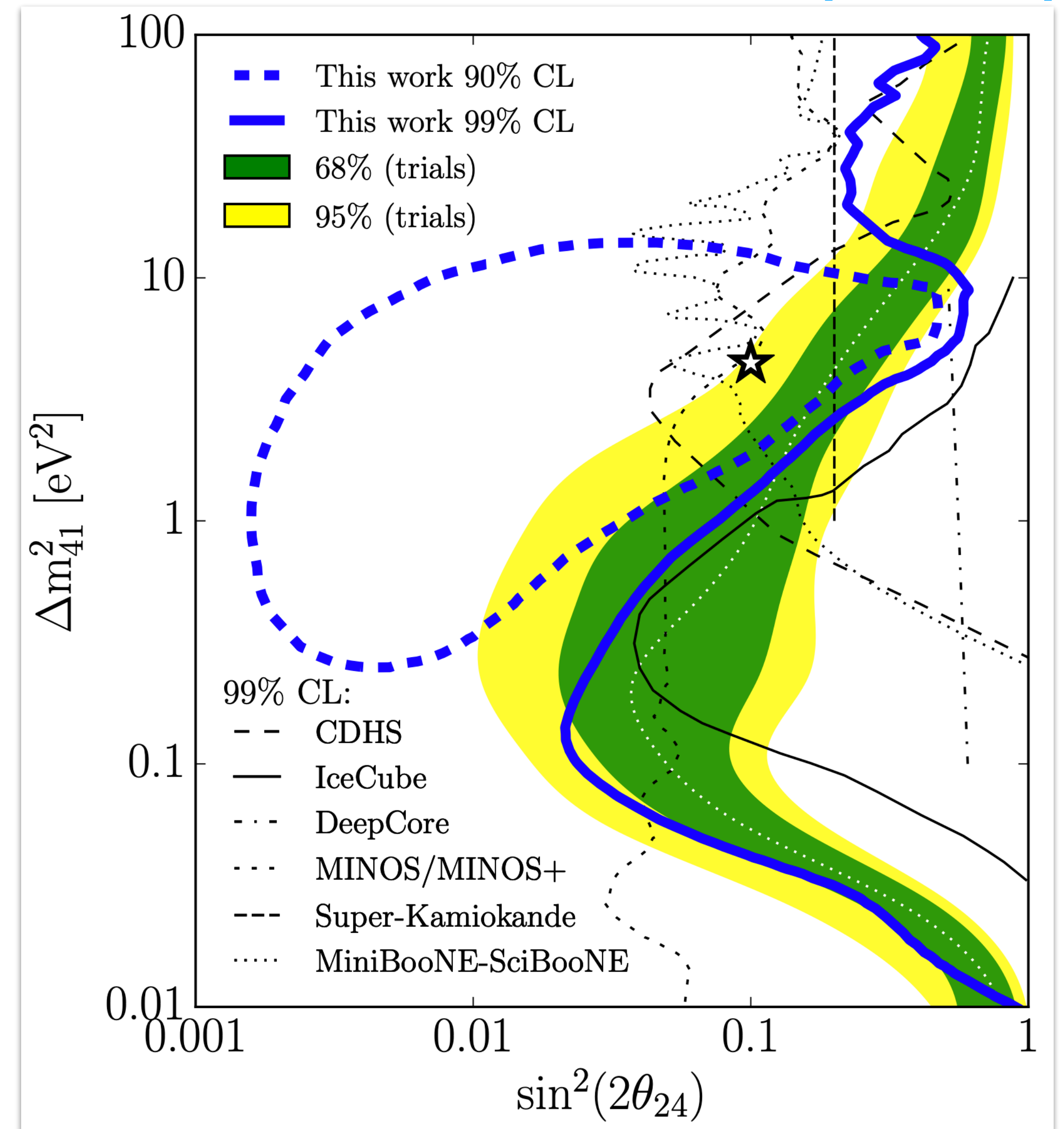
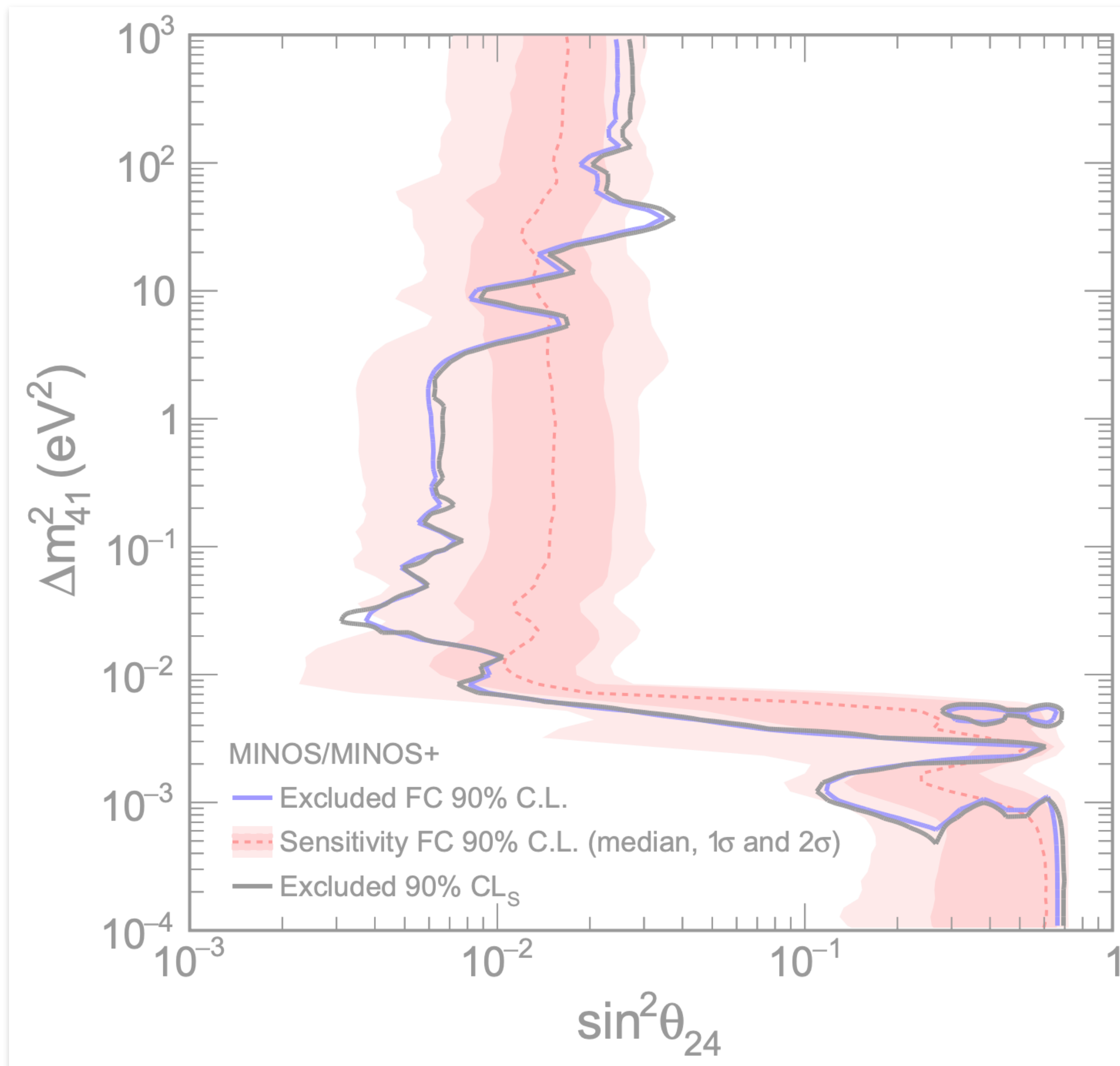
MINOS/MINOS+, [\[2002.00301\]](#)



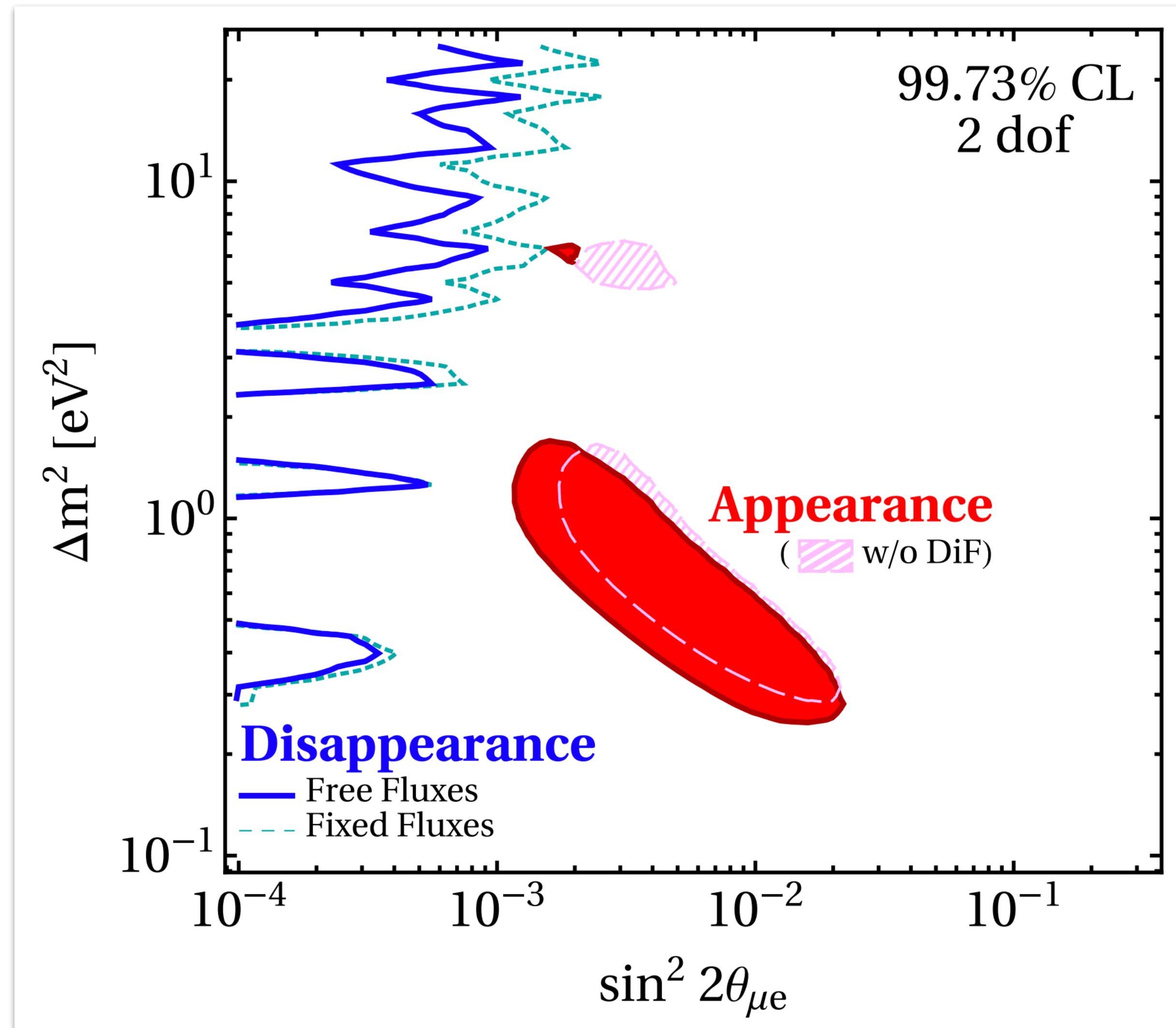
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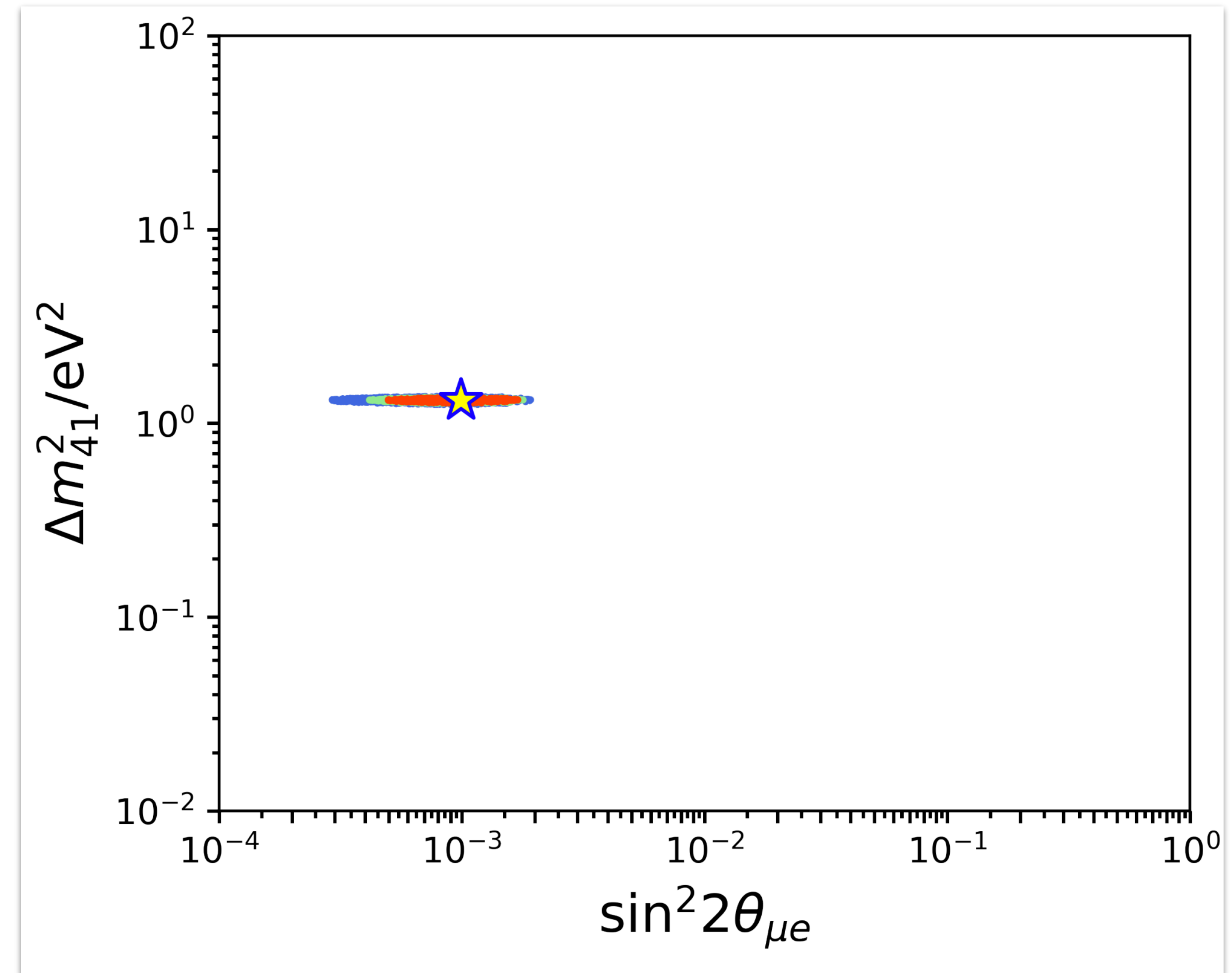
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Sterile Neutrino Global Fits ca 2019

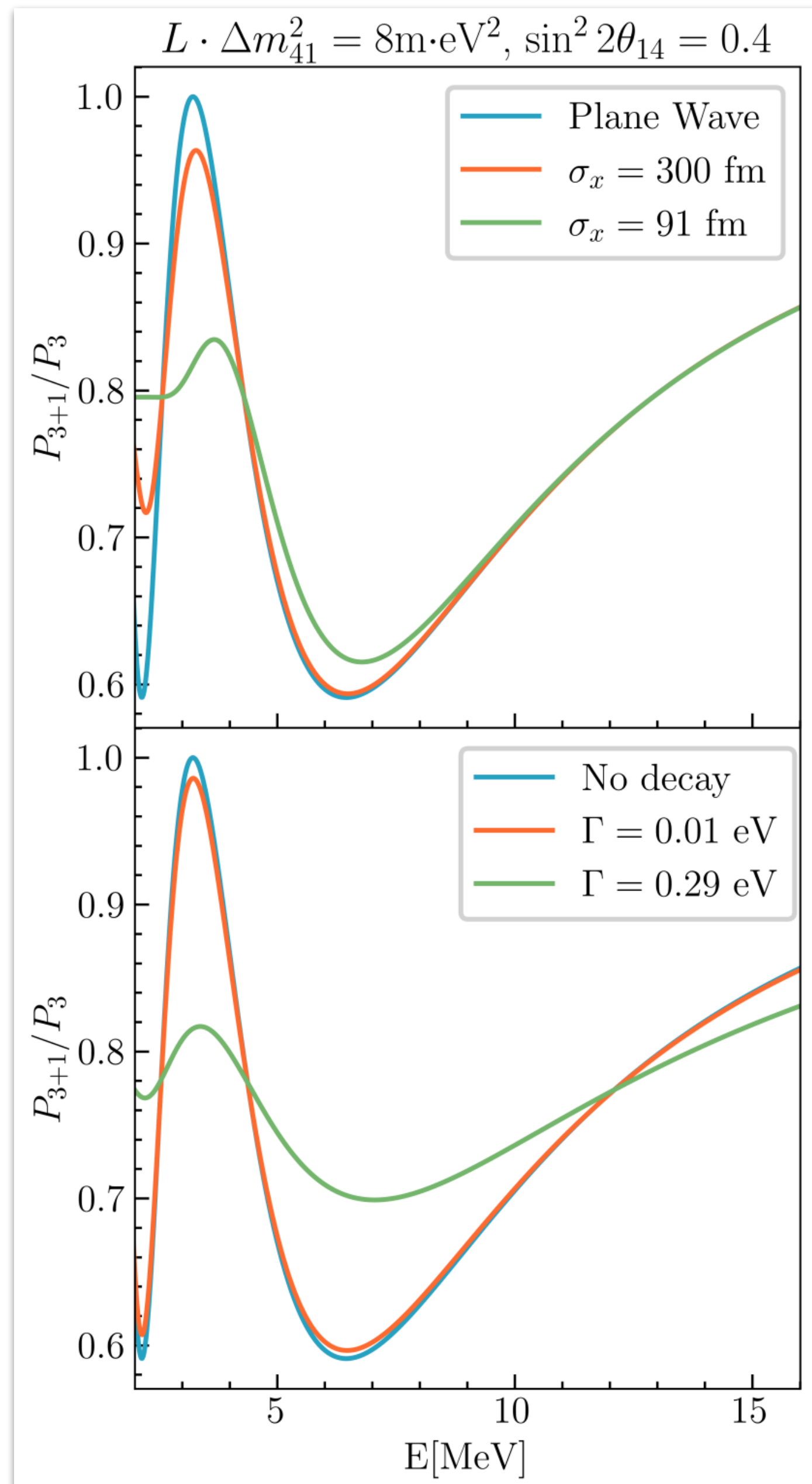


Dentler et al, [\[1803.10661\]](#)



Diaz et al, [\[1906.00045\]](#)

How to Alleviate This?



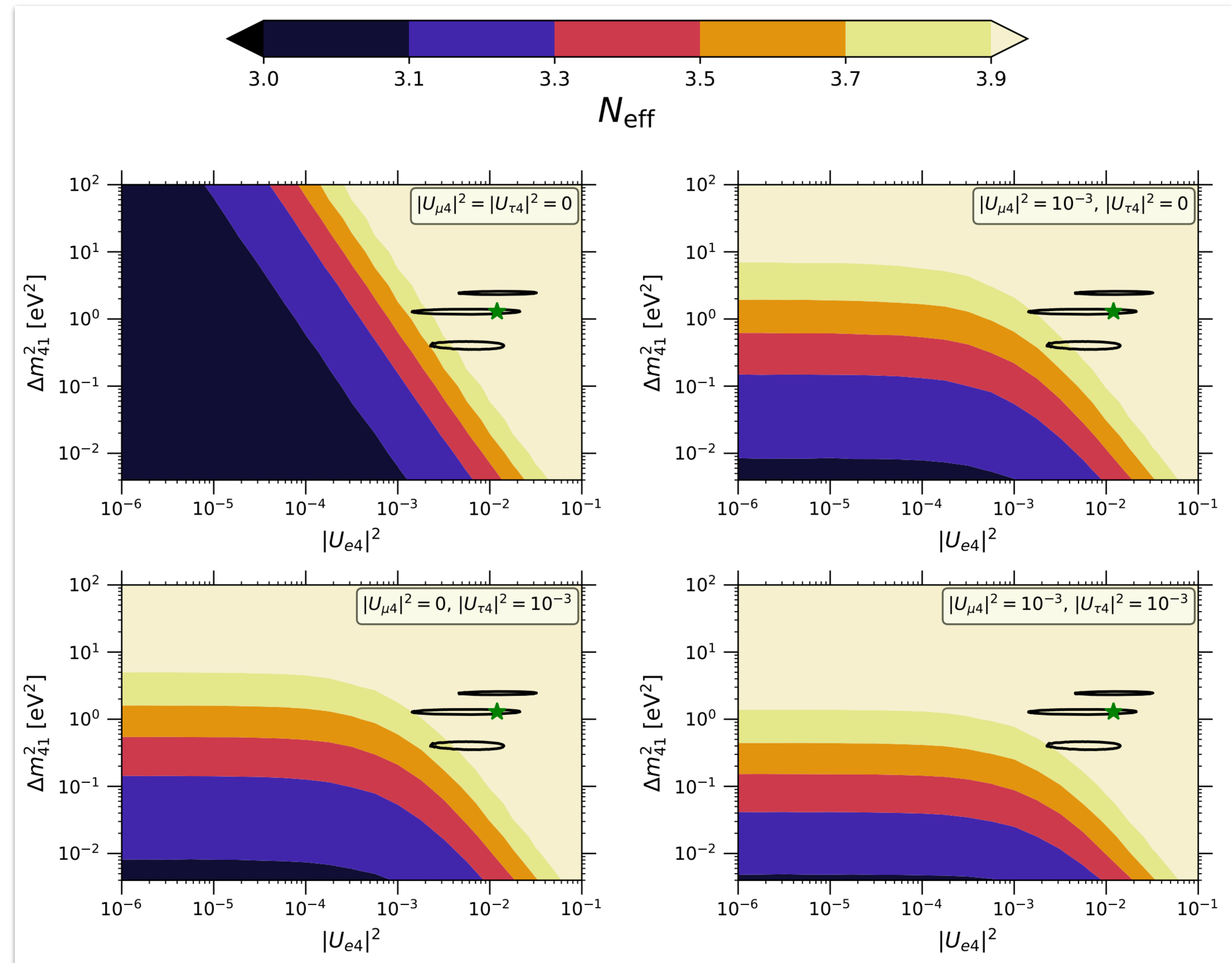
Tension between null results (reactor spectral measurements...) and positive ones (LSND + MiniBooNE) can be relieved a bit by allowing for either non-infinite neutrino wave-packets or allowing the fourth neutrino to decay.

4.9σ \rightarrow 3.6σ (WP)
 4.9σ \rightarrow 3.7σ (Decay)

Sterile Neutrinos & Cosmology

Gariazzo et al, [1905.11290]

A new, eV-scale massive fermion that mixes (even with small mixing angles) with the SM neutrinos will be thermalized in the early universe. Cosmological probes (precision measurements of Big-Bang Nucleosynthesis and the Cosmic Microwave Background) are highly sensitive to the number of relativistic species.



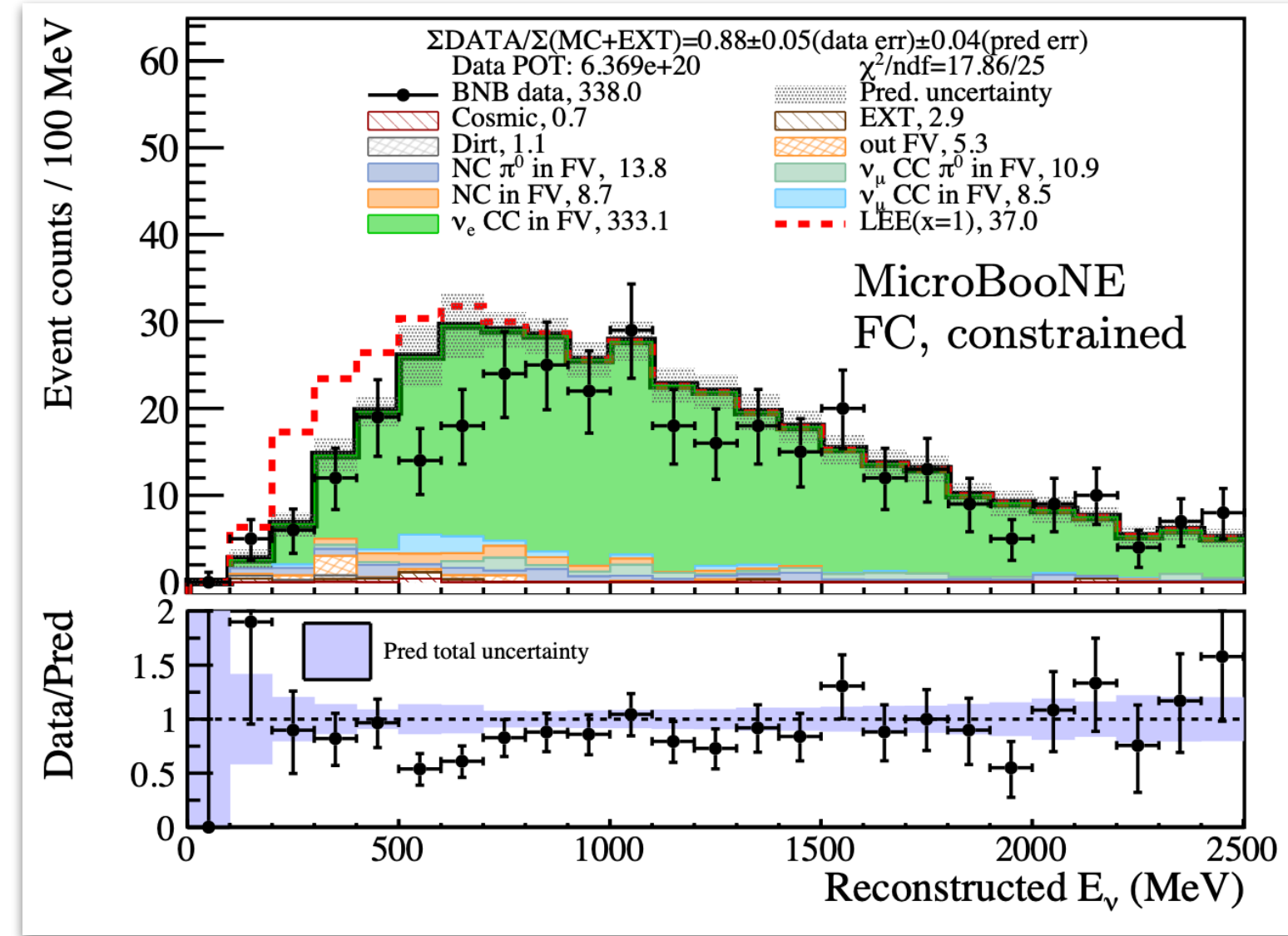
Recent Experimental Results

— MicroBooNE

MicroBooNE Electron Analyses

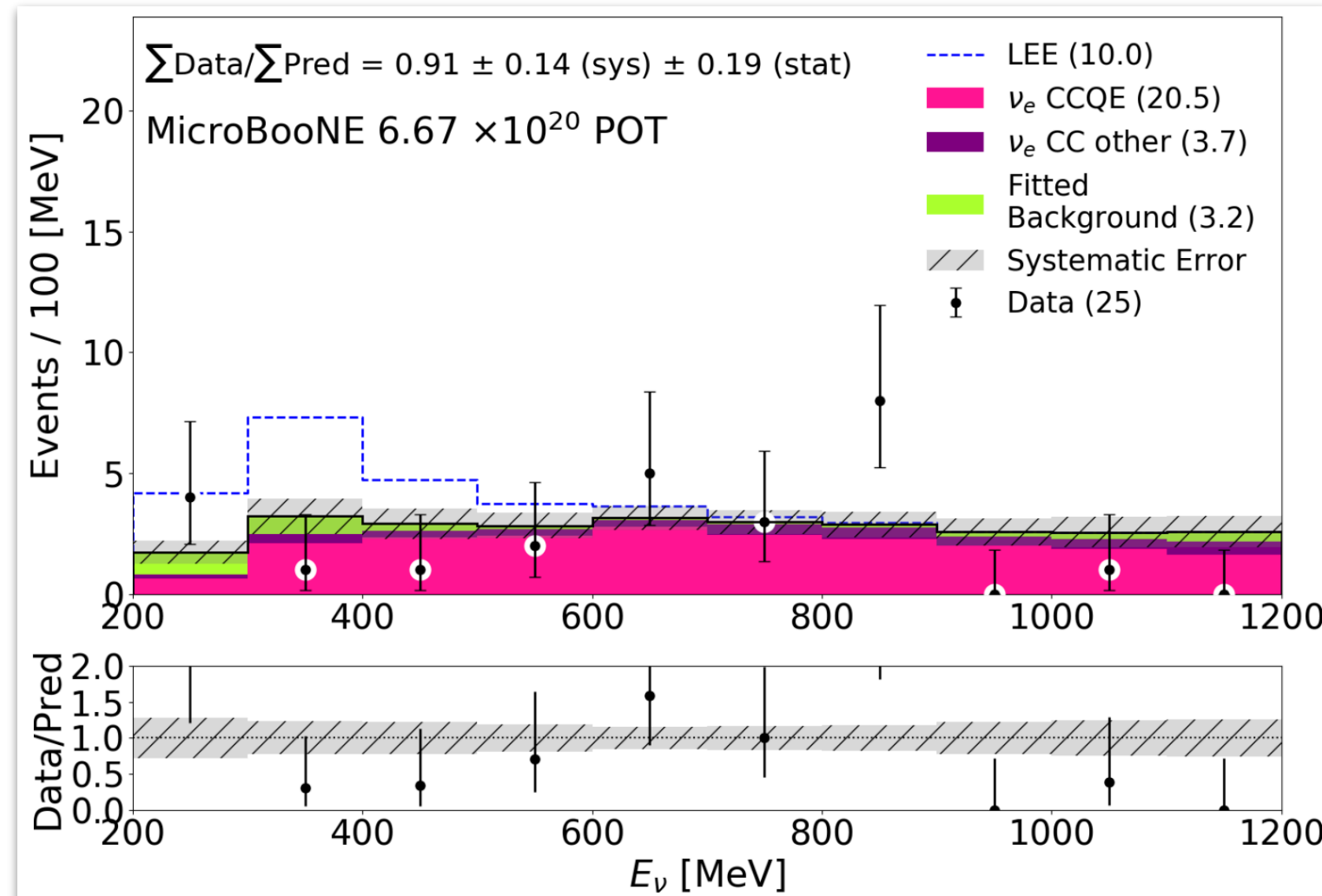
“Inclusive”

[2110.13978]



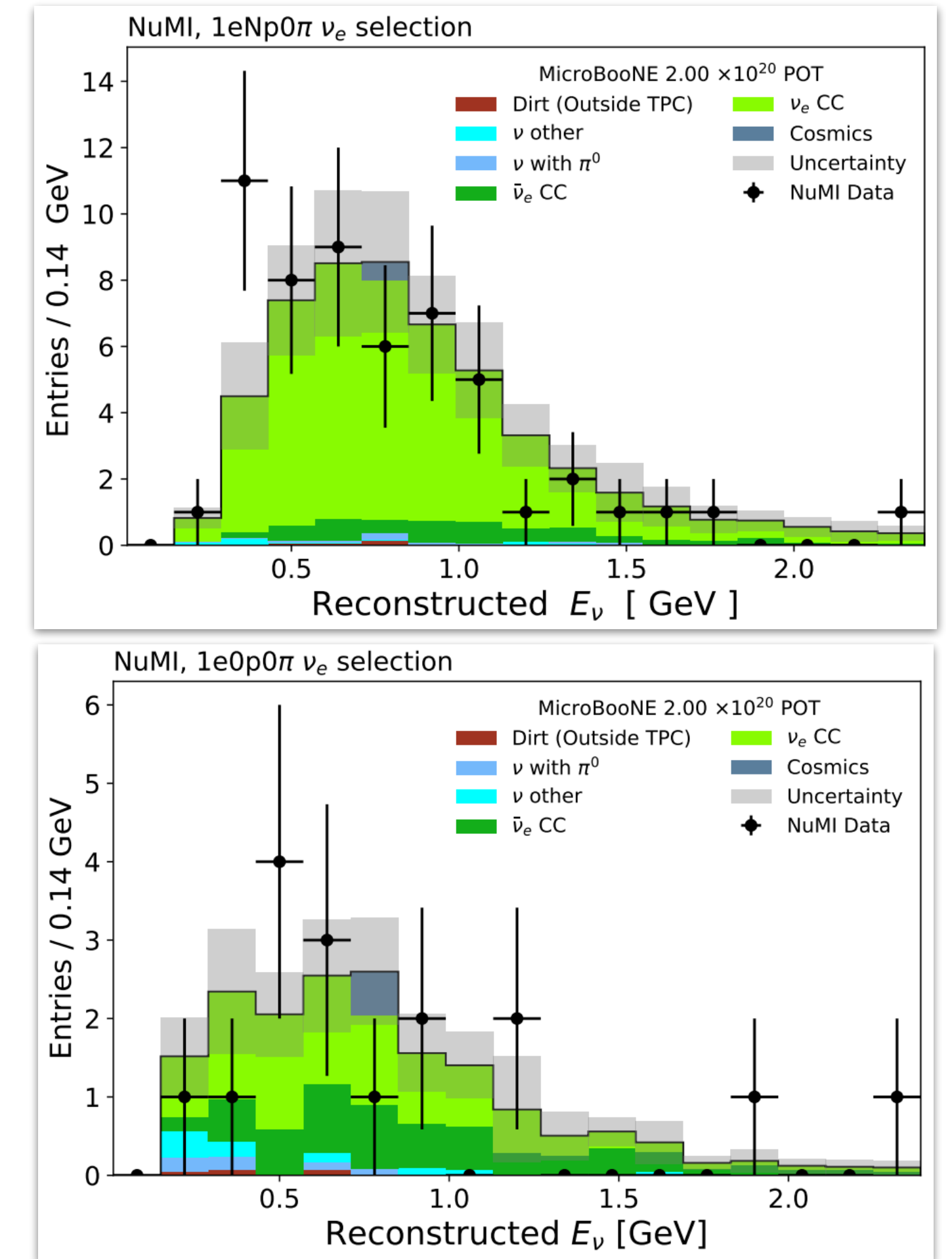
“CCQE”

[2110.14080]



“Pionless”

[2110.14065]



MicroBooNE Electron Analyses

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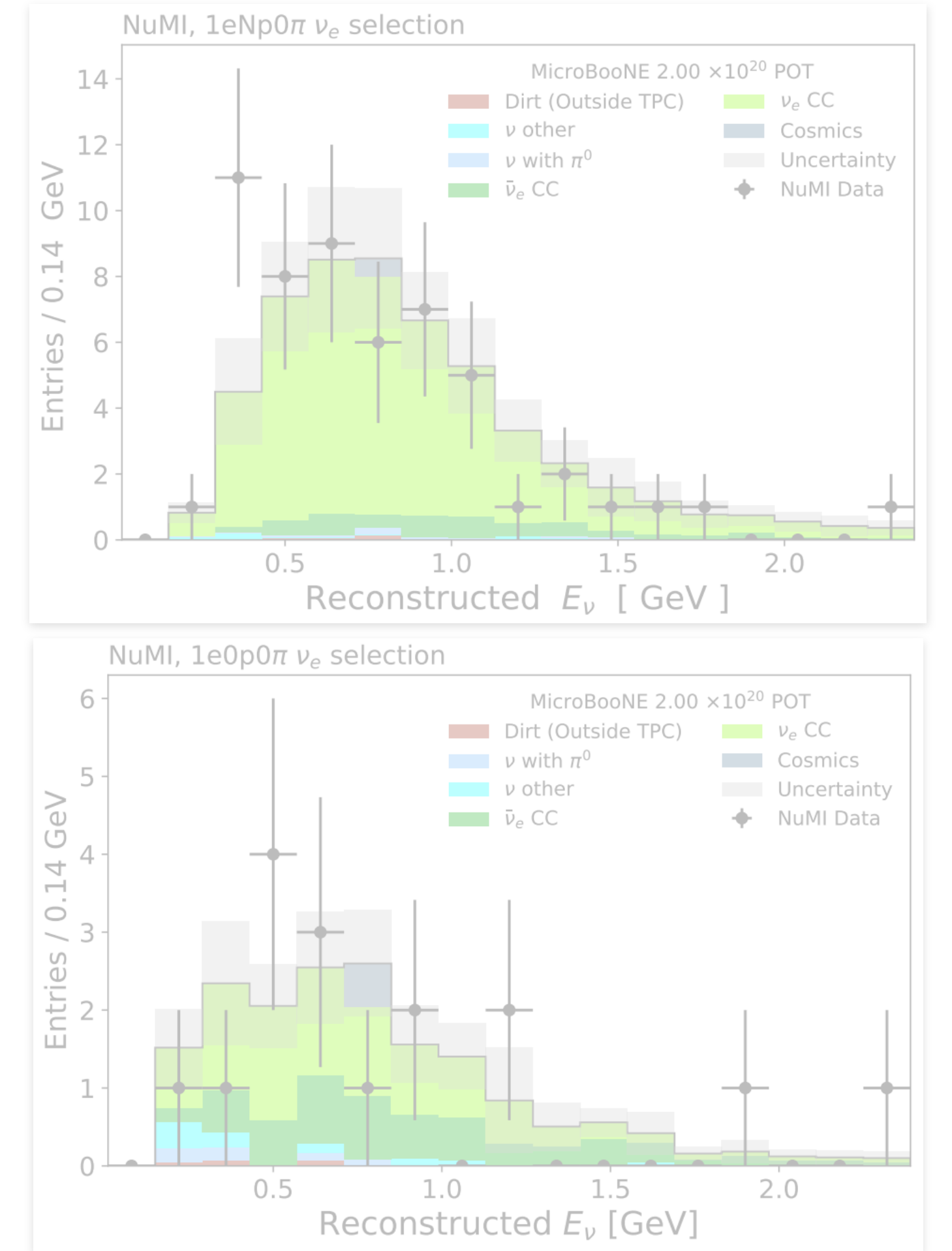
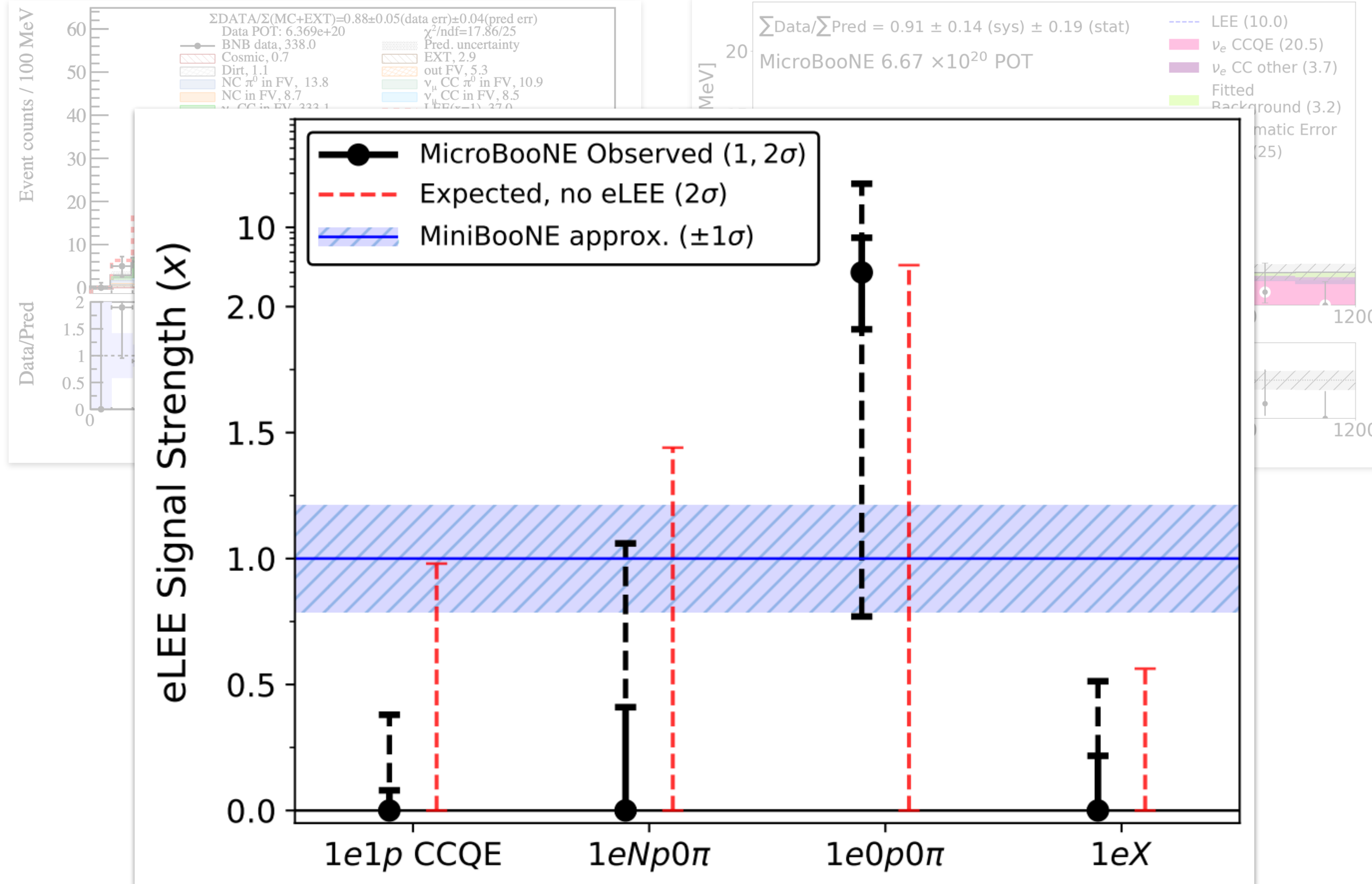
[\[2110.13978\]](#)

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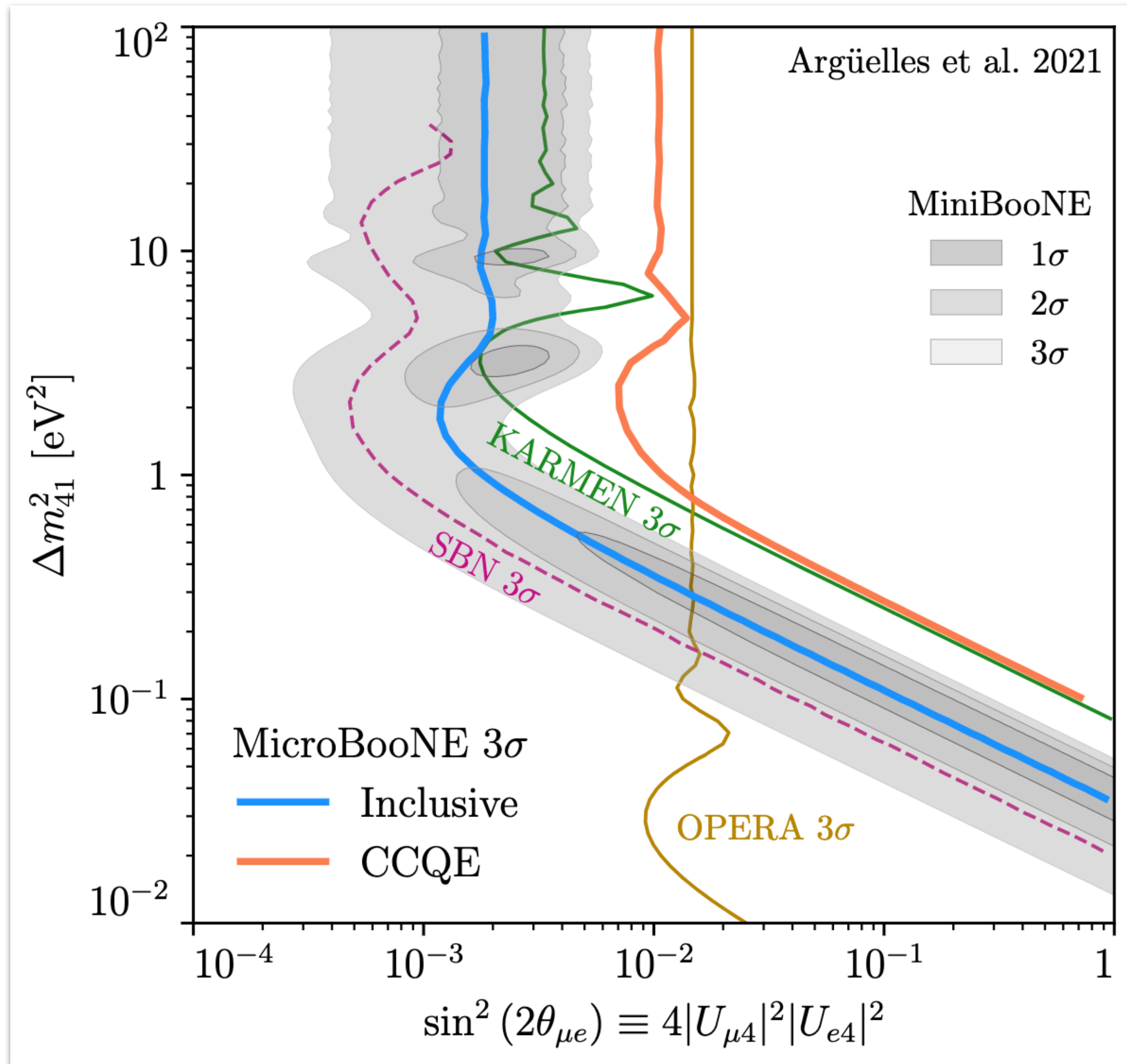


Discussion of all Results

[\[2110.14054\]](#)

MicroBooNE and Sterile Neutrinos

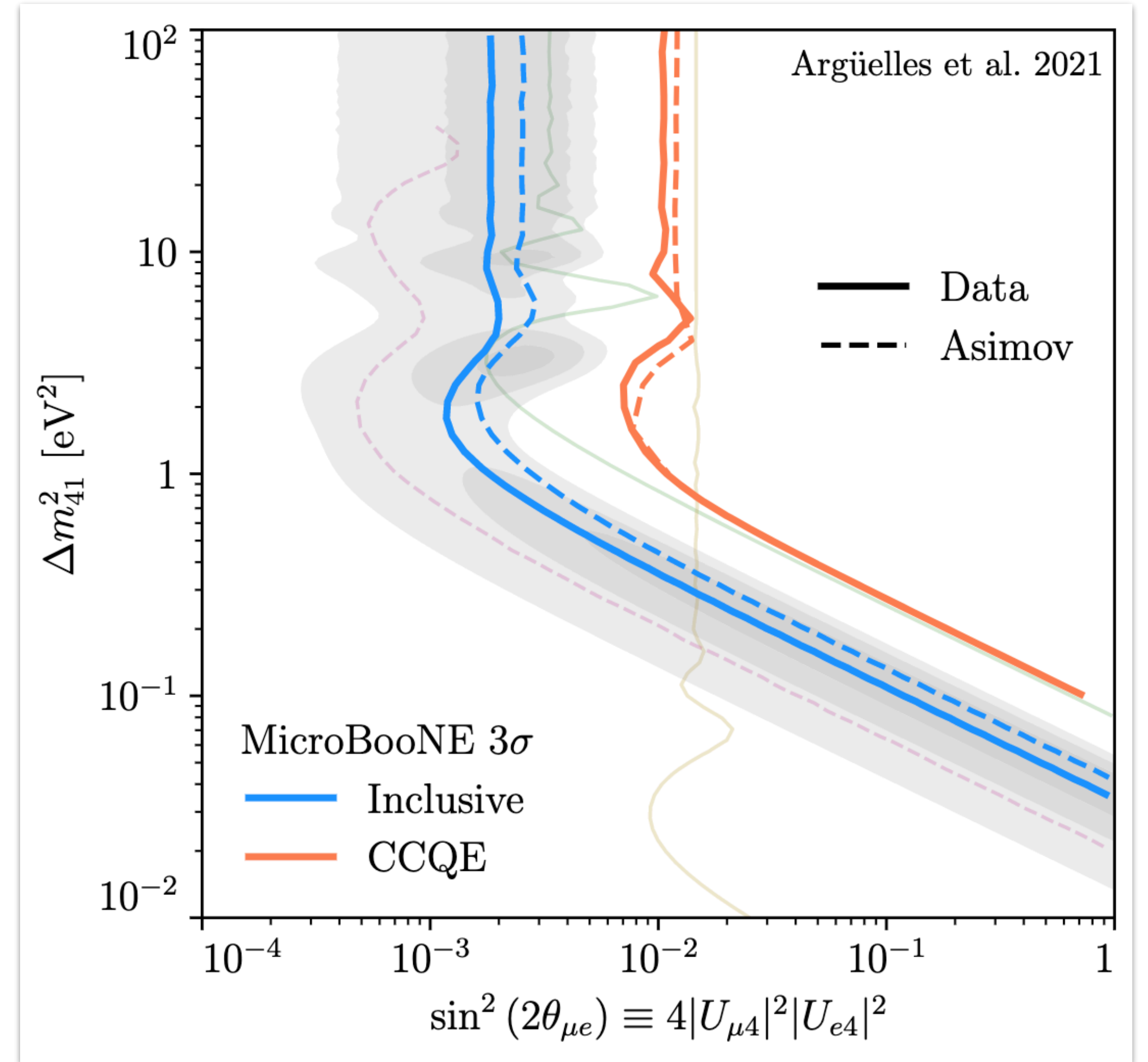
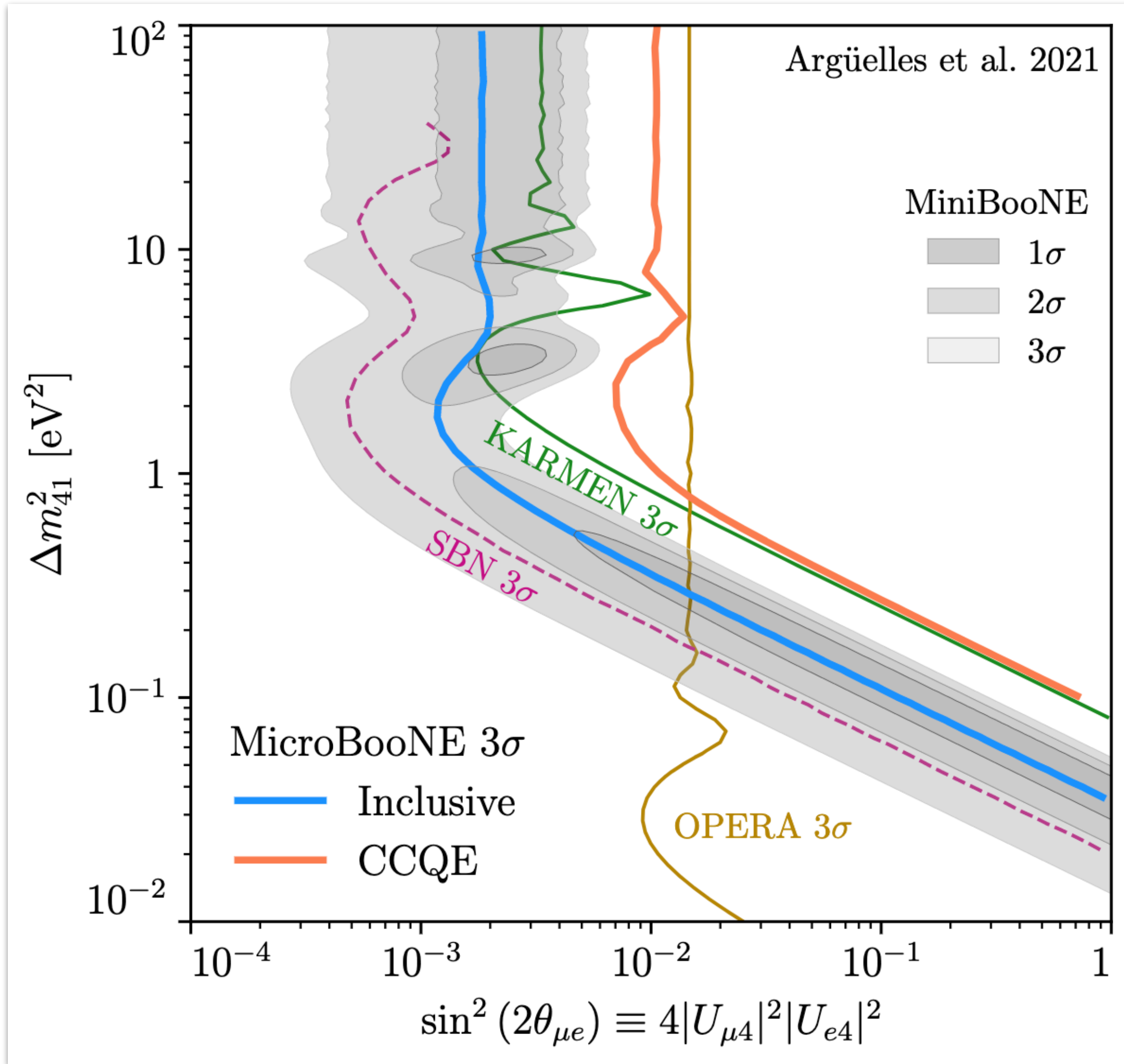
$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta_{\mu e}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$



Argüelles, KJK, et al, [\[2111.10359\]](#)

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Argüelles, KJK, et al, [\[2111.10359\]](https://arxiv.org/abs/2111.10359)

Complete 3+1 Neutrino Framework

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta_{\mu e}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

Complete 3+1 Neutrino Framework

$$P(\nu_\mu \rightarrow \nu_e) = 4|U_{\mu 4}|^2|U_{e 4}|^2 \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

Complete 3+1 Neutrino Framework

$$P(\nu_\mu \rightarrow \nu_e) = 4|U_{\mu 4}|^2|U_{e 4}|^2 \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

Anomalous appearance *requires* disappearance!

$$P(\nu_\mu \rightarrow \nu_\mu) = 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

$$P(\nu_e \rightarrow \nu_e) = 4|U_{e 4}|^2(1 - |U_{e 4}|^2) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

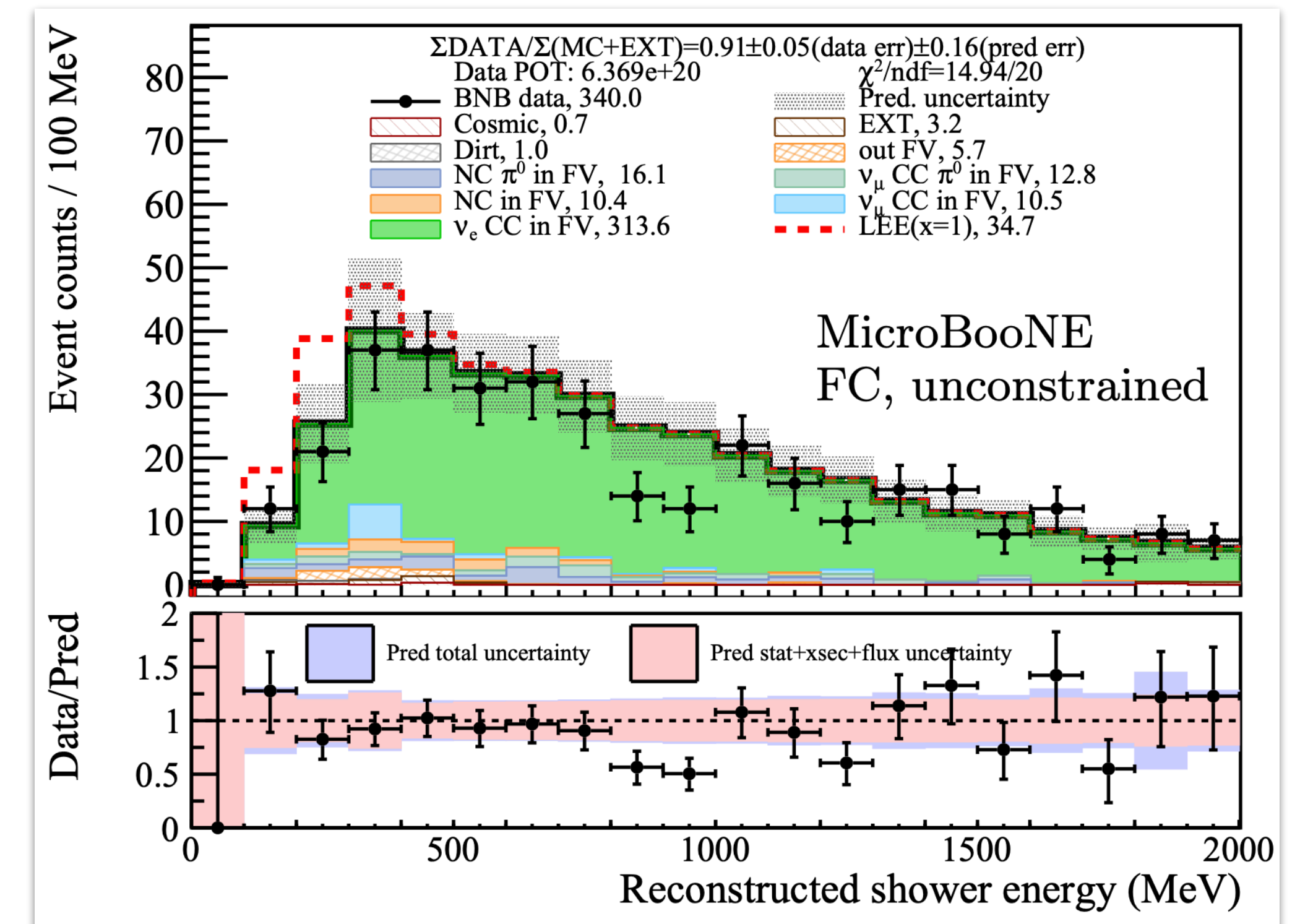
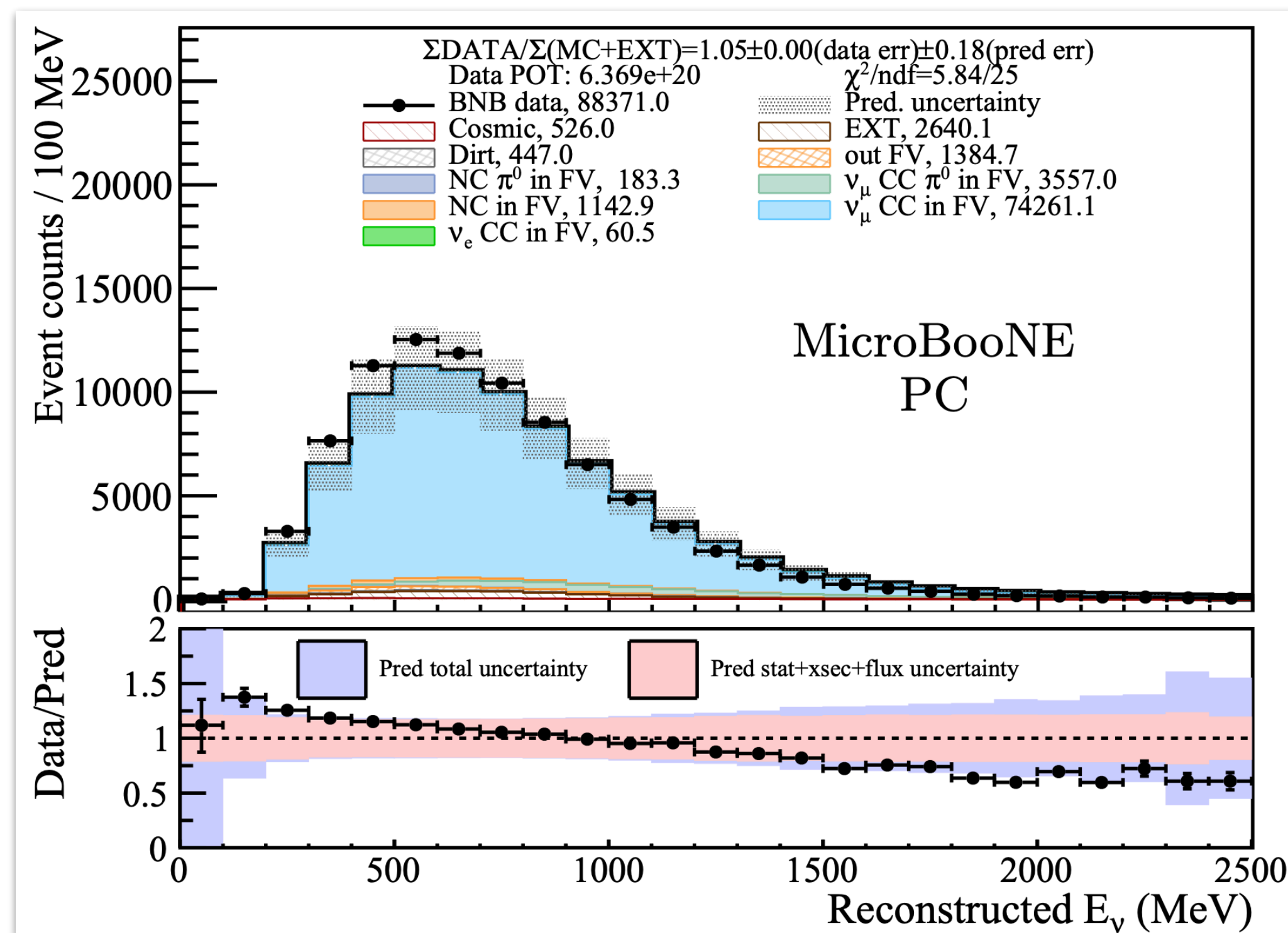
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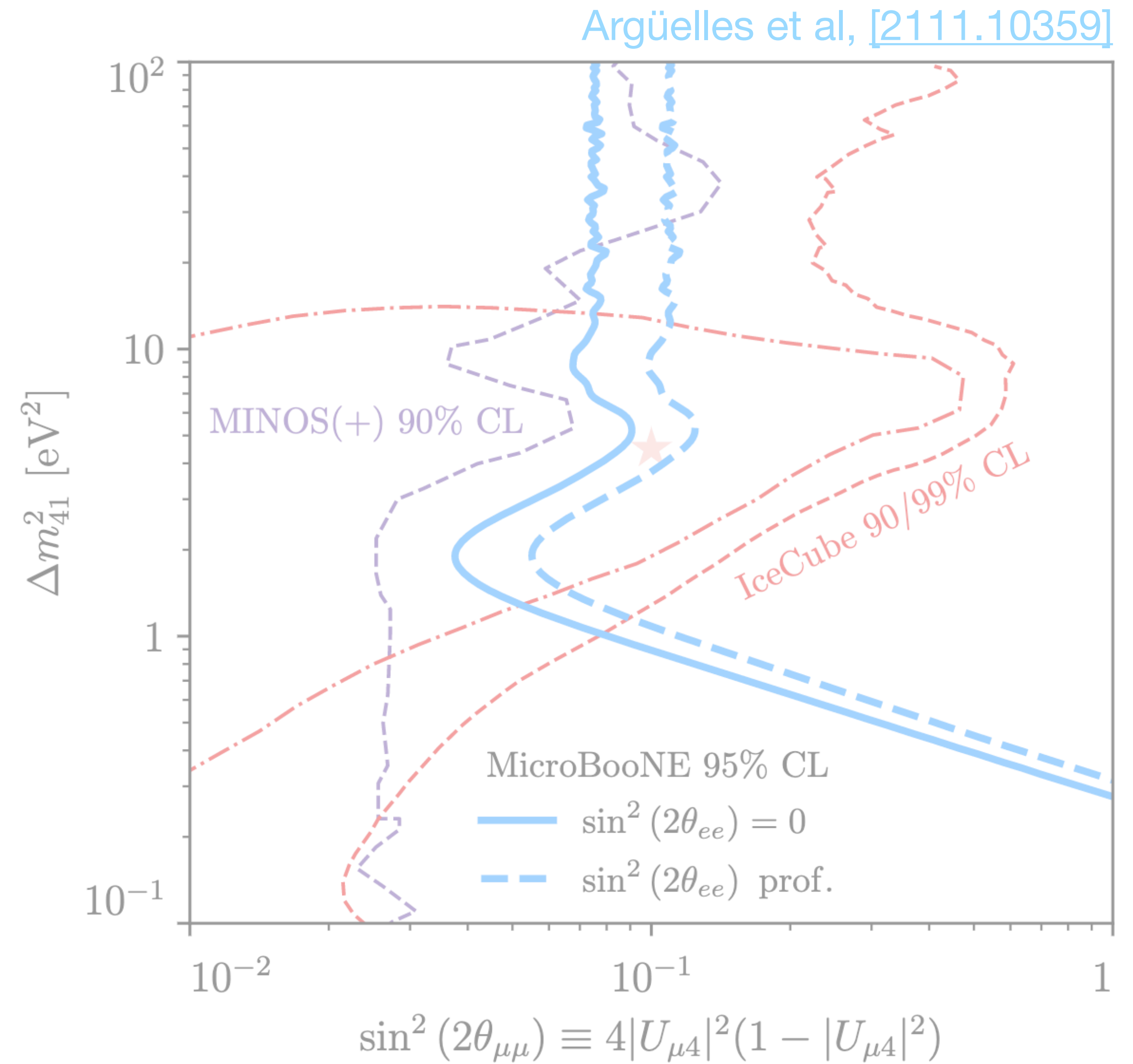
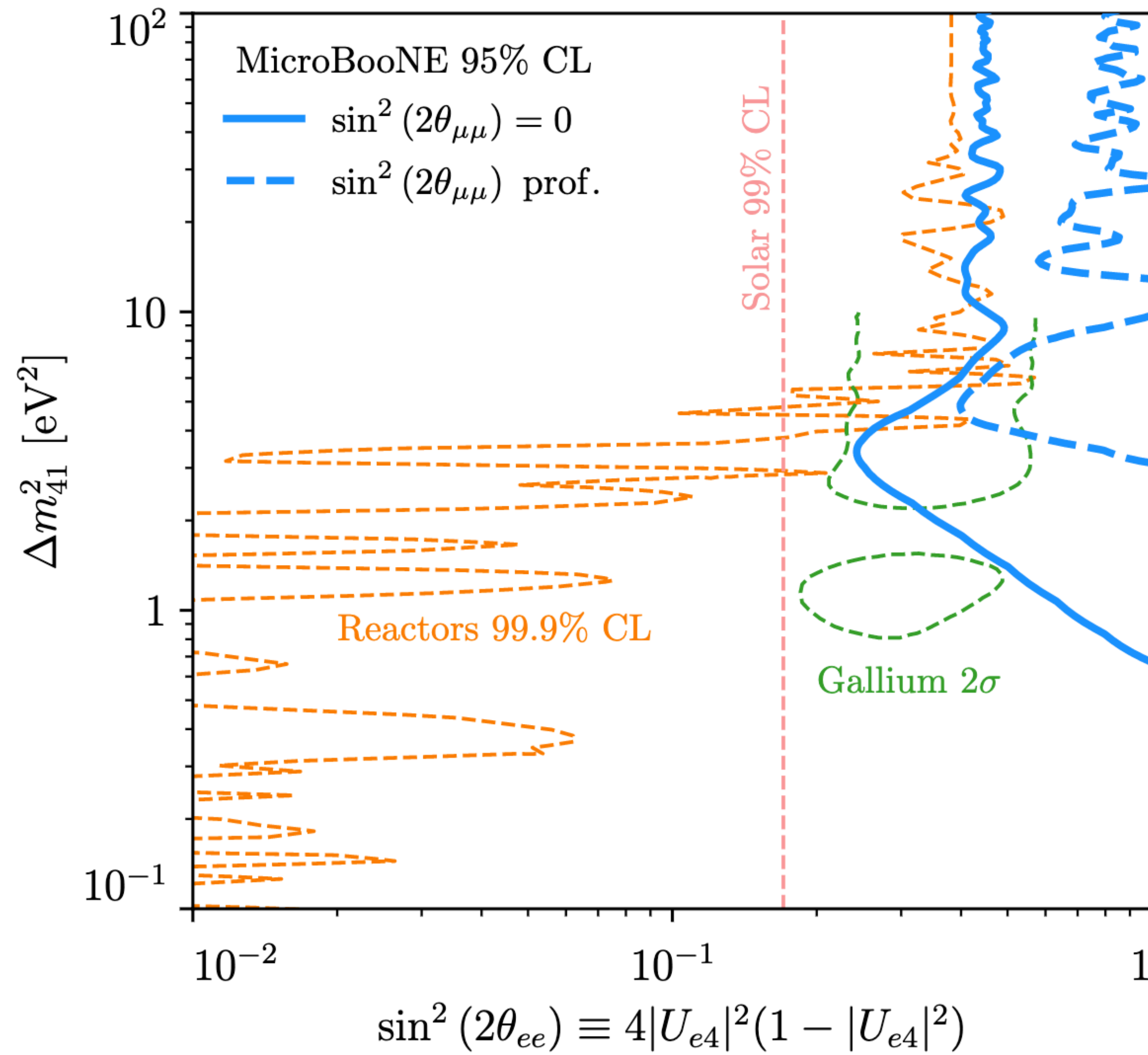
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$$P(\nu_e \rightarrow \nu_e) = 4|U_{e 4}|^2(1 - |U_{e 4}|^2) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$

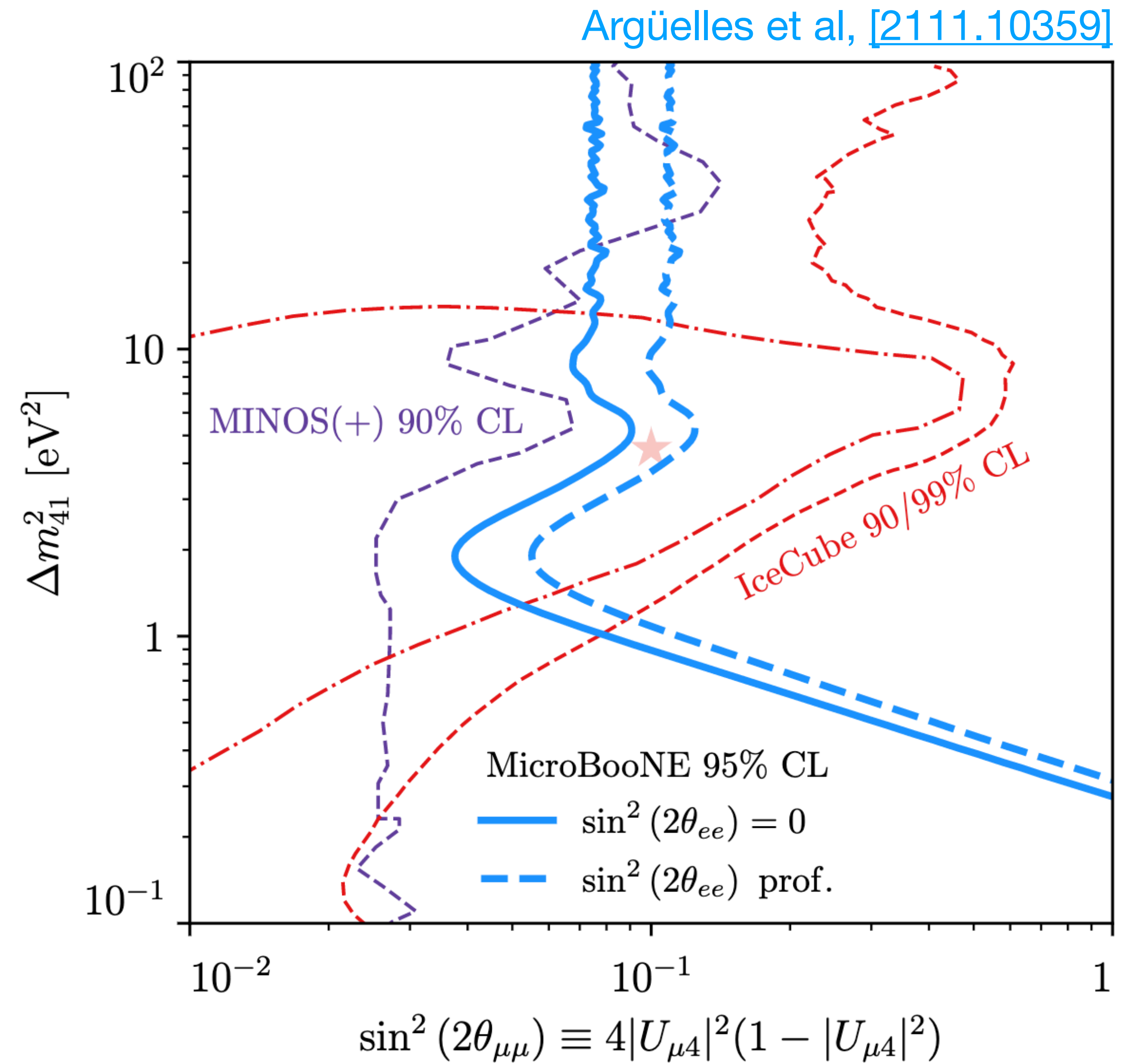
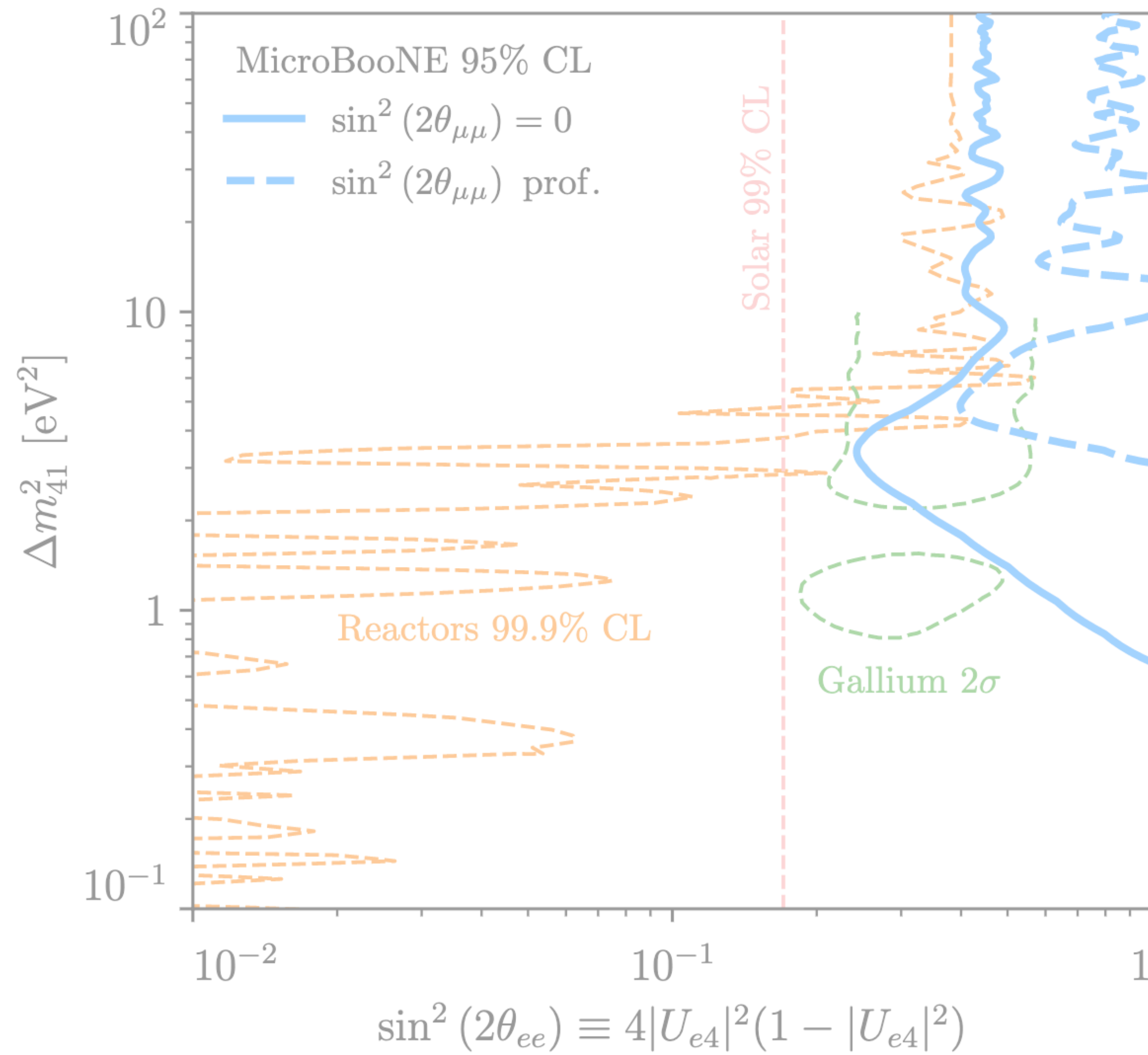


MicroBooNE, [2110.13978]

Four-Flavor Results



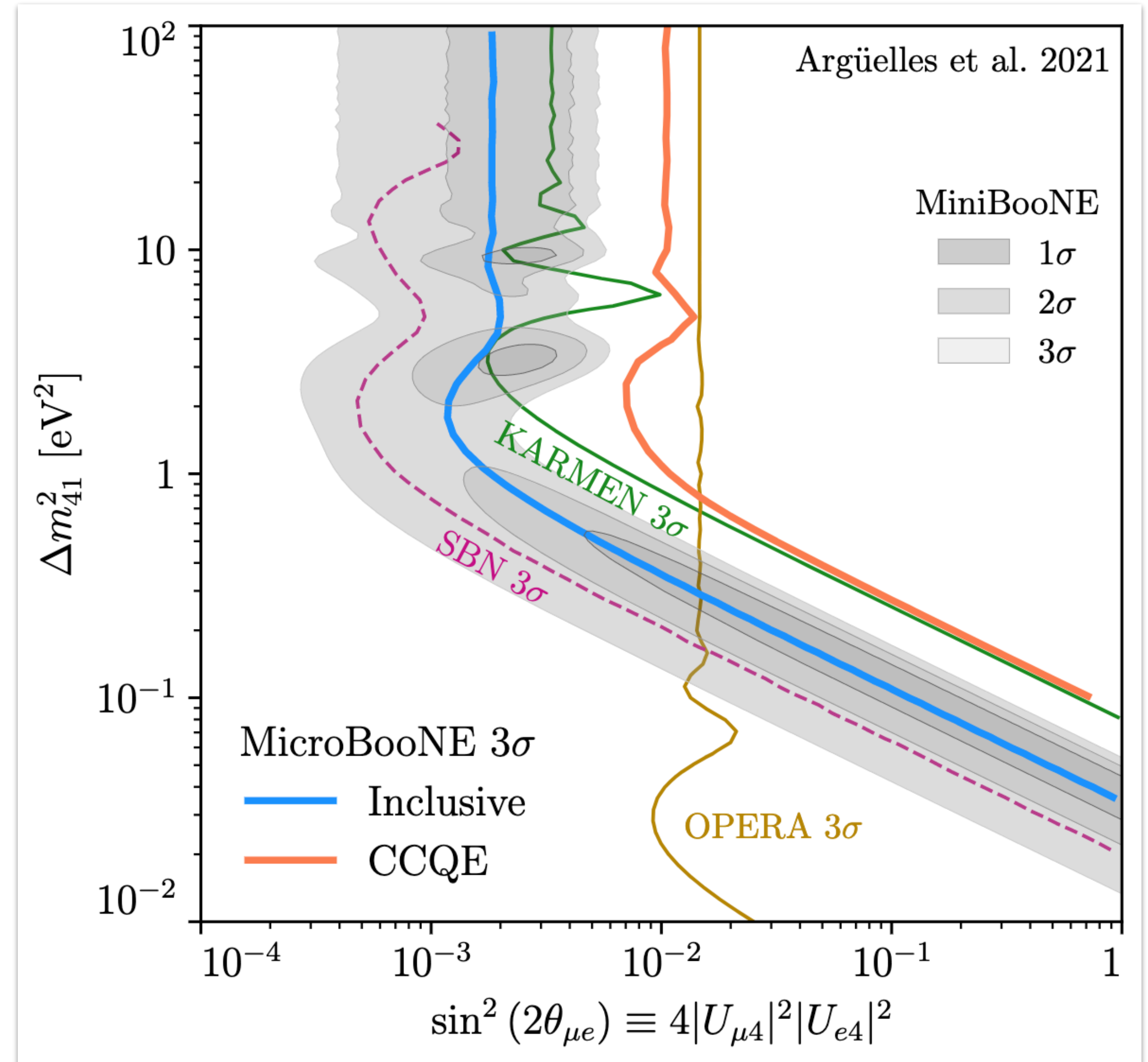
Four-Flavor Results



Four-Flavor, Appearance

[2111.10359]

Profiling over unseen mixing angle,
how does sensitivity change?

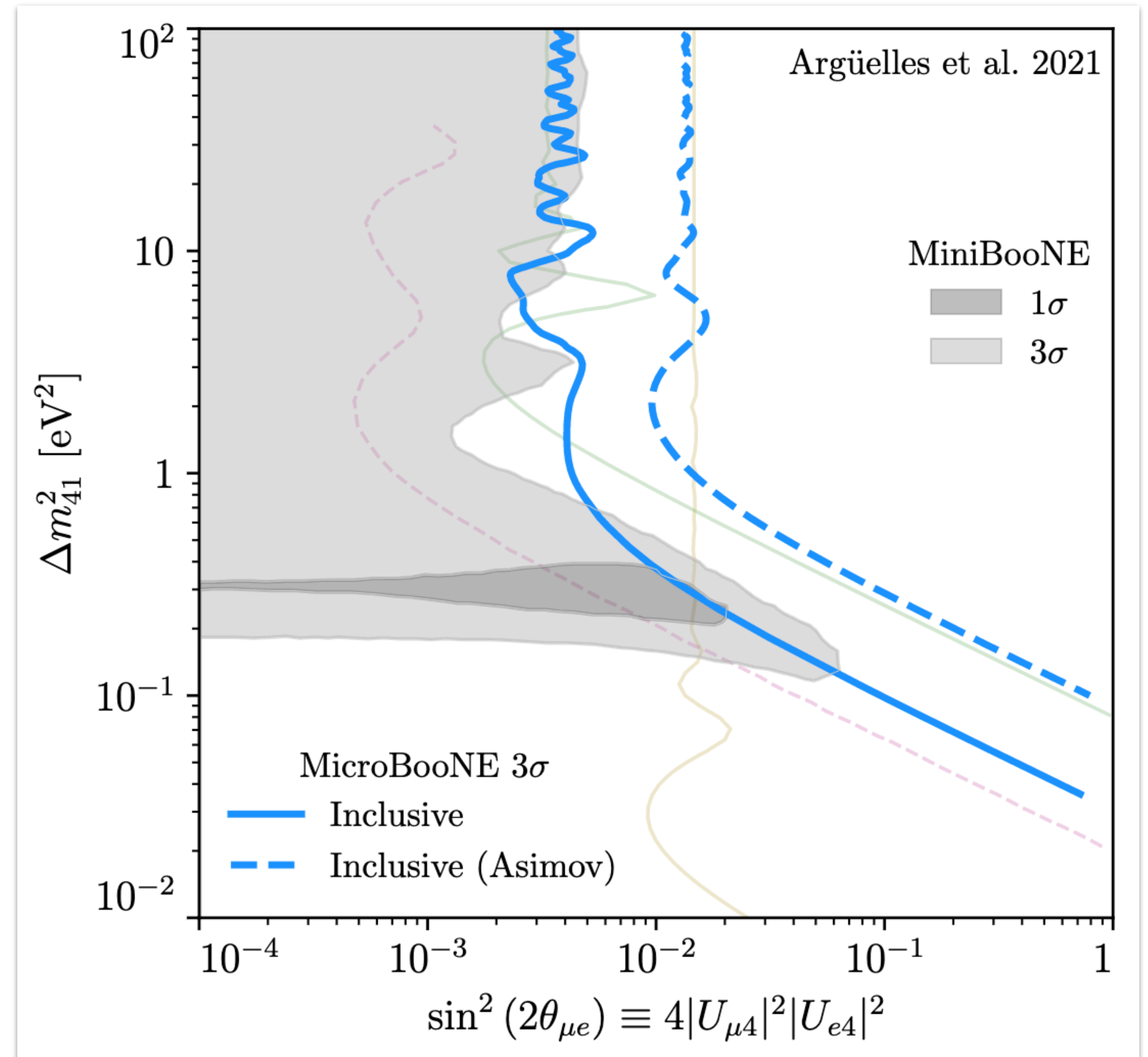


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[2111.10359]

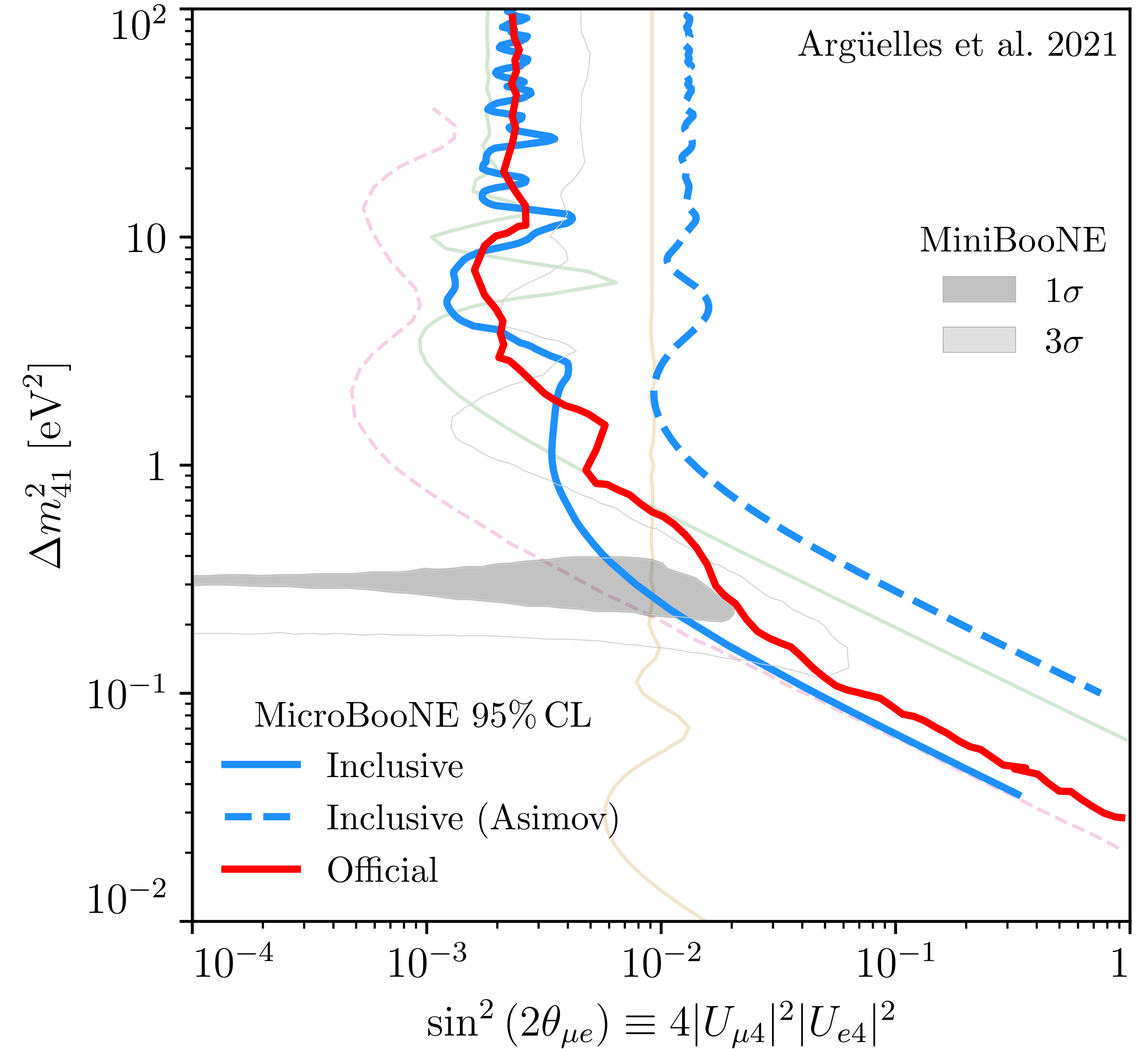
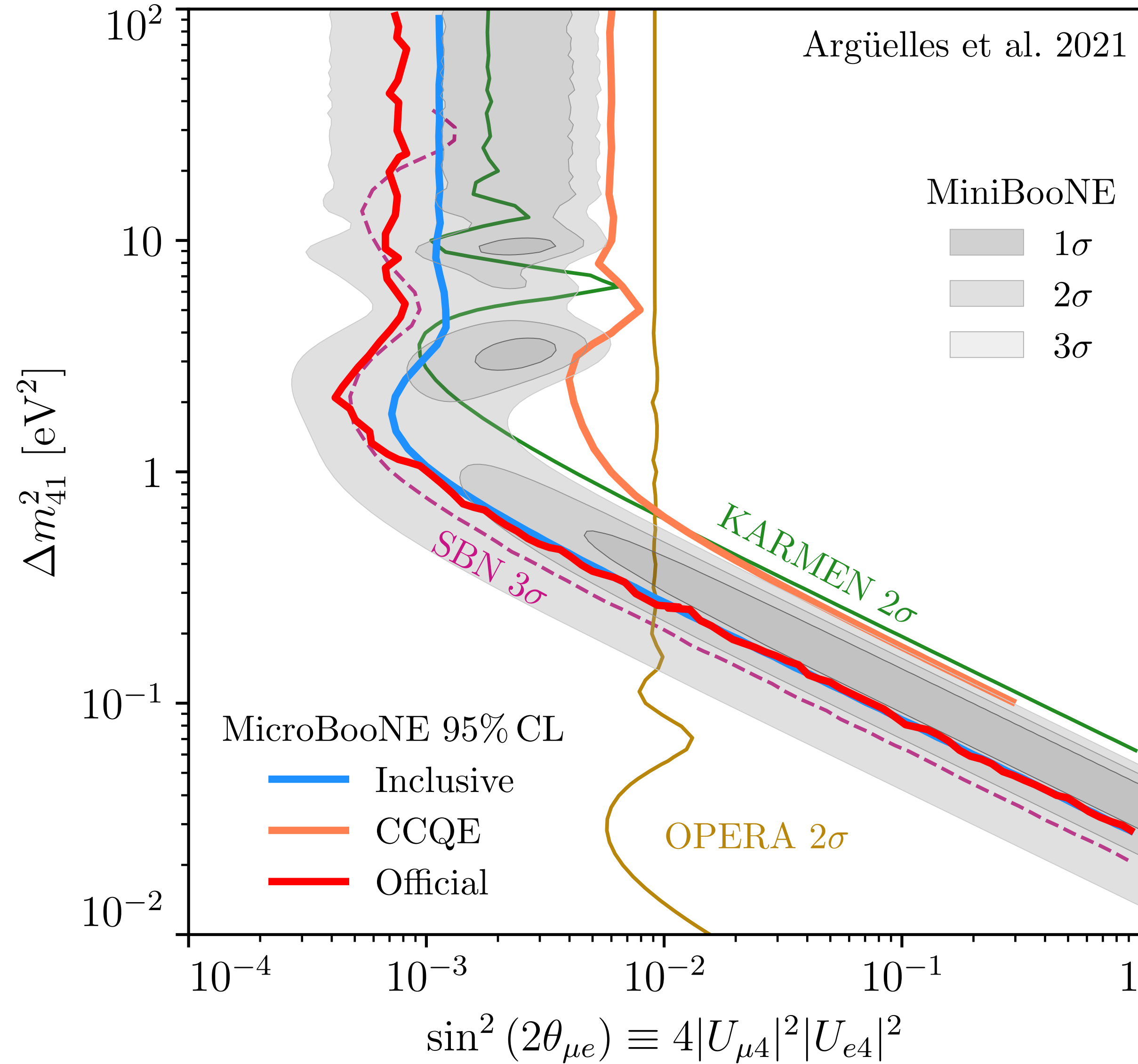
**Profiling over unseen mixing angle,
how does sensitivity change?**

For better or worse, opens up parameter space for consistency between MiniBooNE and MicroBooNE — the MiniBooNE anomaly persists...



MicroBooNE Official Comparisons

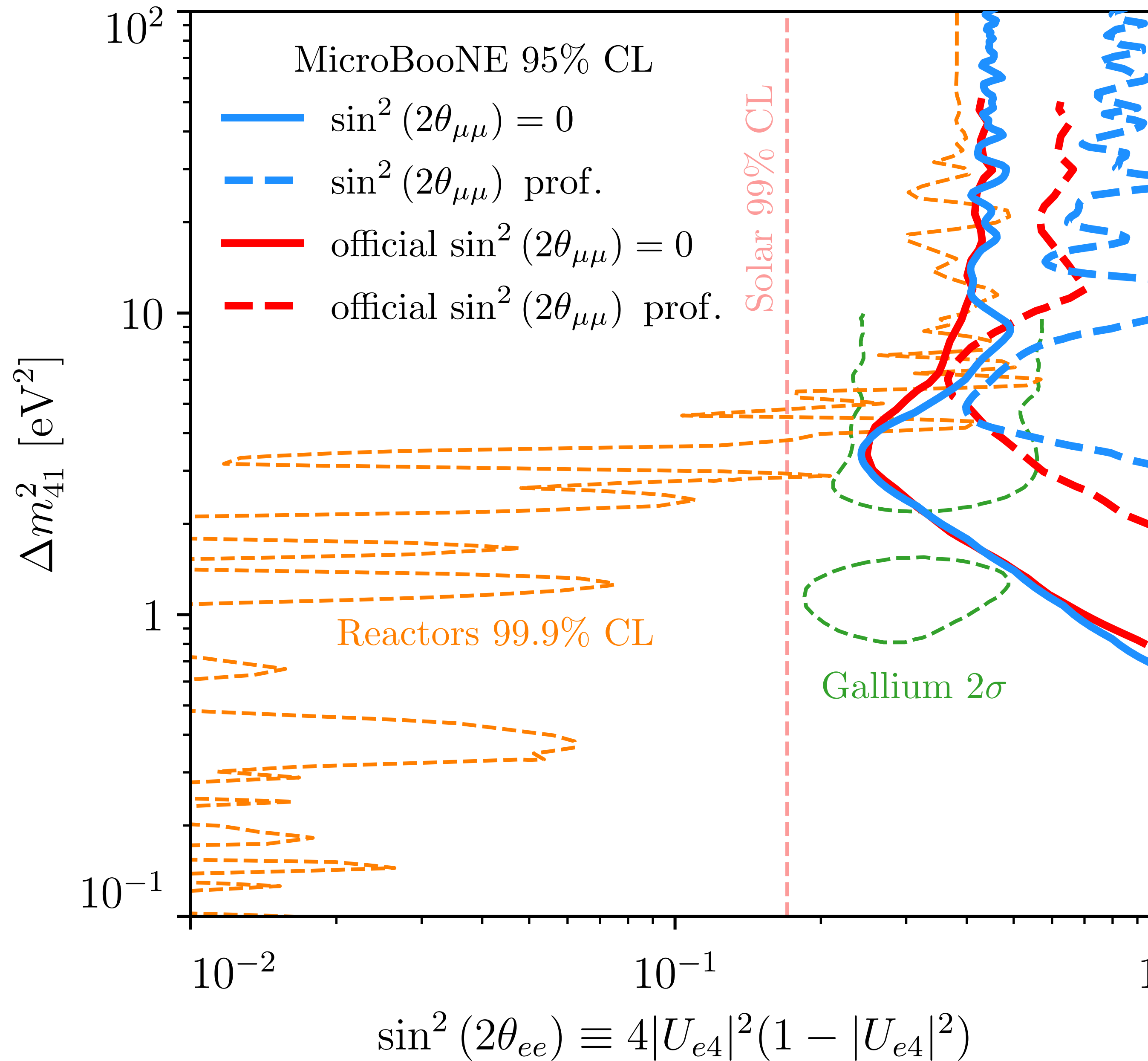
MicroBooNE: [\[2210.10216\]](https://arxiv.org/abs/2210.10216)



We *think* we understand the differences between our/MicroBooNE's results. Feel free to ask me offline.

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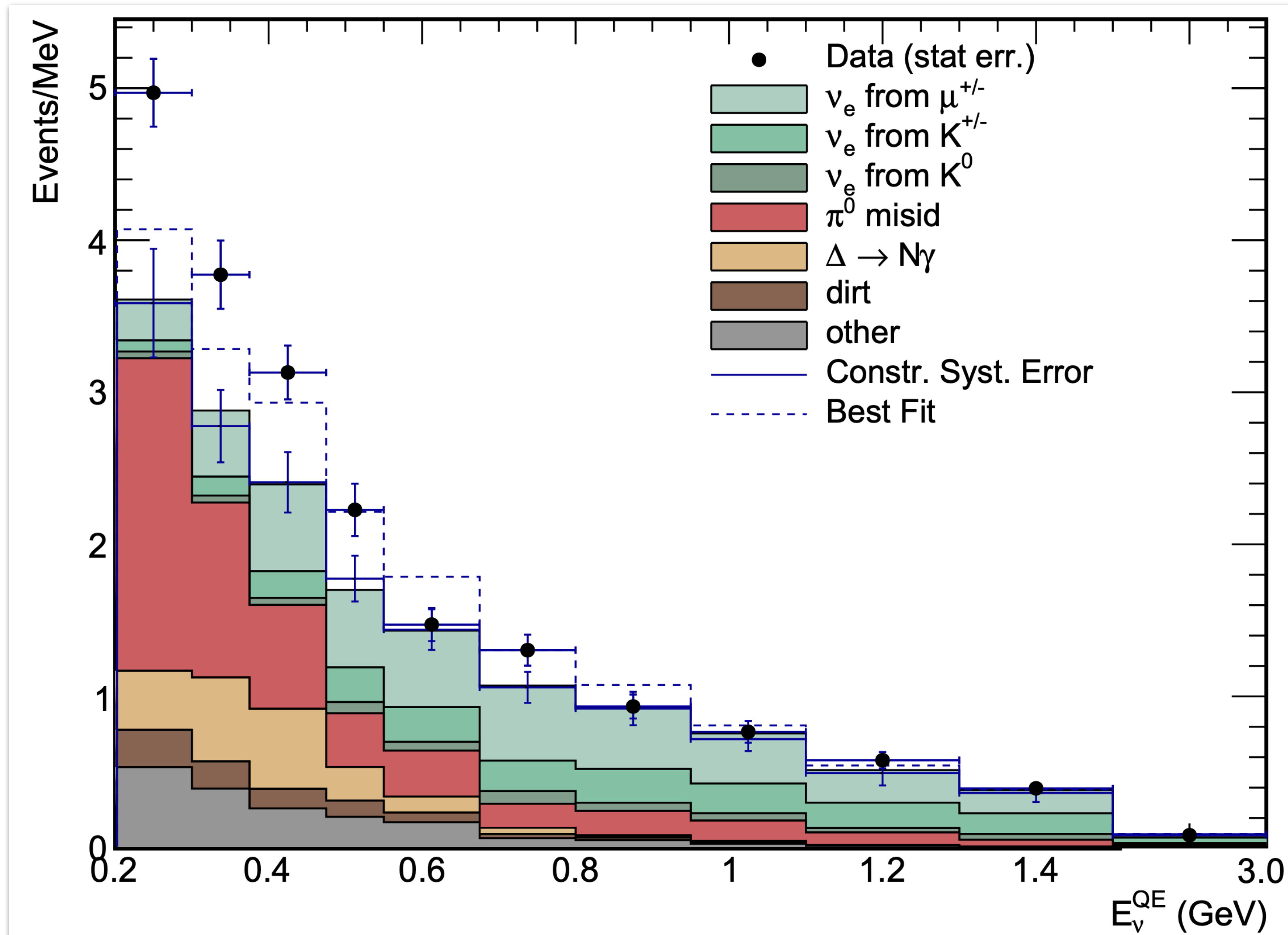
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Beyond Sterile Neutrinos

Other Electron-Neutrino Explanations?

Electron-like events in MiniBooNE



Laundry List of Explanations

From M. Hostert

NF02 White Paper: [arXiv:2203.07323](https://arxiv.org/abs/2203.07323). Questions (and complaints) → mhostert@pitp.com

Table of explanations of the short-baseline anomalies

Category	Model	Signature	Anomalies				References
			LSND	MiniBooNE	Reactors	Sources	
Flavor transitions Secs. 3.1.1-3.1.3, 3.1.5	(3+1) oscillations	oscillations	✓	✓	✓	✓	Reviews and global fits [93, 103, 105, 106]
	(3+1) w/ invisible sterile decay	oscillations w/ ν_4 invisible decay	✓	✓	✓	✓	[151, 155]
	(3+1) w/ sterile decay	$\nu_4 \rightarrow \phi \nu_e$	✓	✓	✓	✓	[159–162, 270]
Matter effects Secs. 3.1.4, 3.1.7	(3+1) w/ anomalous matter effects	$\nu_\mu \rightarrow \nu_e$ via matter effects	✓	✓	✗	✗	[143, 147, 271–273]
	(3+1) w/ quasi-sterile neutrinos	$\nu_\mu \rightarrow \nu_e$ w/ resonant ν_s matter effects	✓	✓	✓	✓	[148]
Flavor violation Sec. 3.1.6	Lepton-flavor-violating μ decays	$\mu^+ \rightarrow e^+ \nu_\alpha \bar{\nu}_e$	✓	✗	✗	✗	[174, 175, 274]
	neutrino-flavor-changing bremsstrahlung	$\nu_\mu A \rightarrow e \phi A$	✓	✓	✗	✗	[275]
Decays in flight Sec. 3.2.3	Transition magnetic mom., heavy ν decay	$N \rightarrow \nu \gamma$	✗	✓	✗	✗	[207]
	Dark sector heavy neutrino decay	$N \rightarrow \nu (X \rightarrow e^+ e^-)$ or $N \rightarrow \nu (X \rightarrow \gamma \gamma)$	✗	✓	✗	✗	[208]
Neutrino Scattering Secs. 3.2.1, 3.2.2	neutrino-induced upscattering	$\nu A \rightarrow N A$, $N \rightarrow \nu e^+ e^-$ or $N \rightarrow \nu \gamma \gamma$	✓	✓	✗	✗	[205, 206, 209–216]
	neutrino dipole upscattering	$\nu A \rightarrow N A$, $N \rightarrow \nu \gamma$	✓	✓	✗	✗	[40, 185, 187, 188, 190, 193, 233, 276]
Dark Matter Scattering Sec. 3.2.4	dark particle-induced upscattering	γ or $e^+ e^-$	✗	✓	✗	✗	[217]
	dark particle-induced inverse Primakoff	γ	✓	✓	✗	✗	[217]

To be tested

These mostly involve production of new particles in the detector.

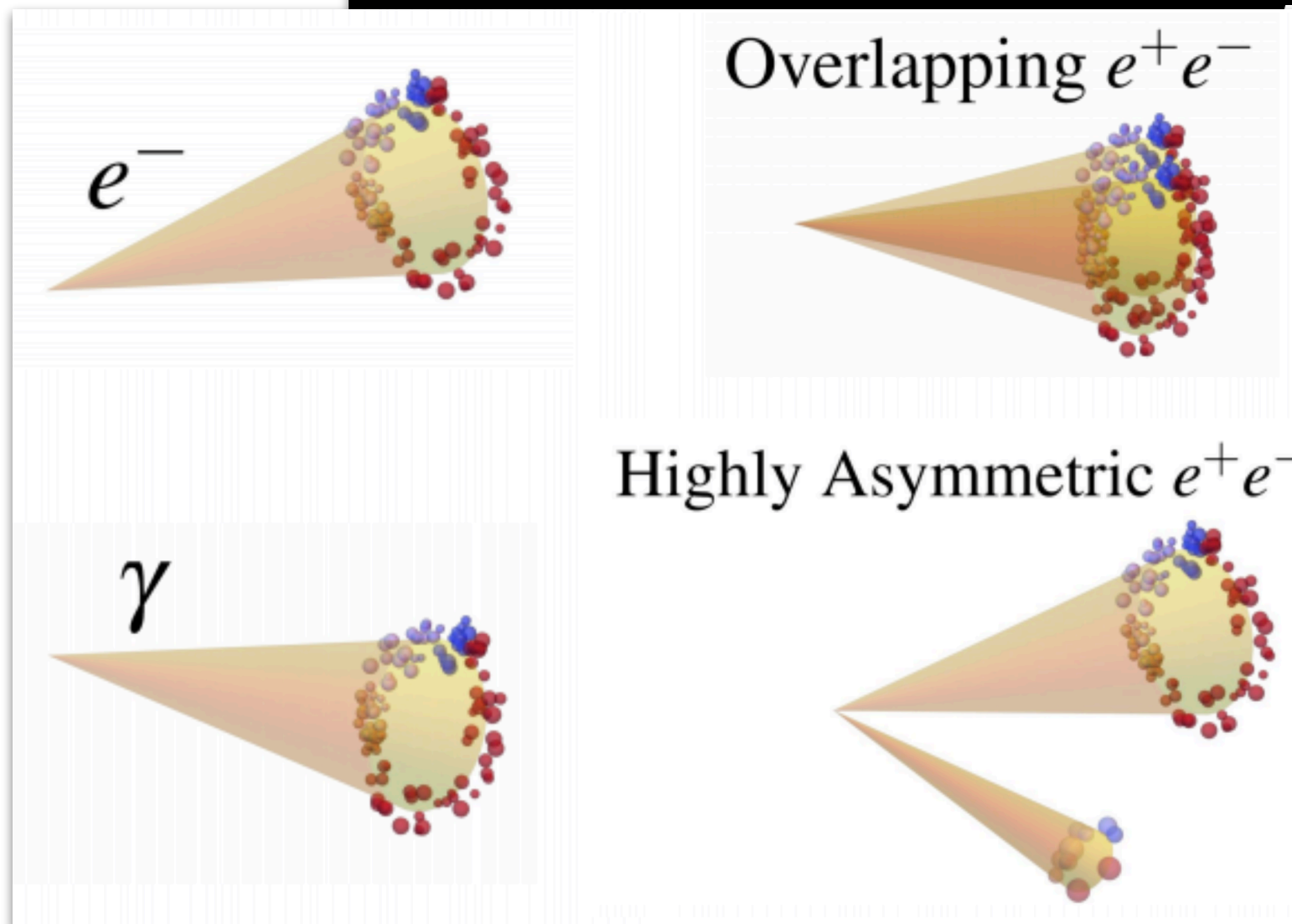
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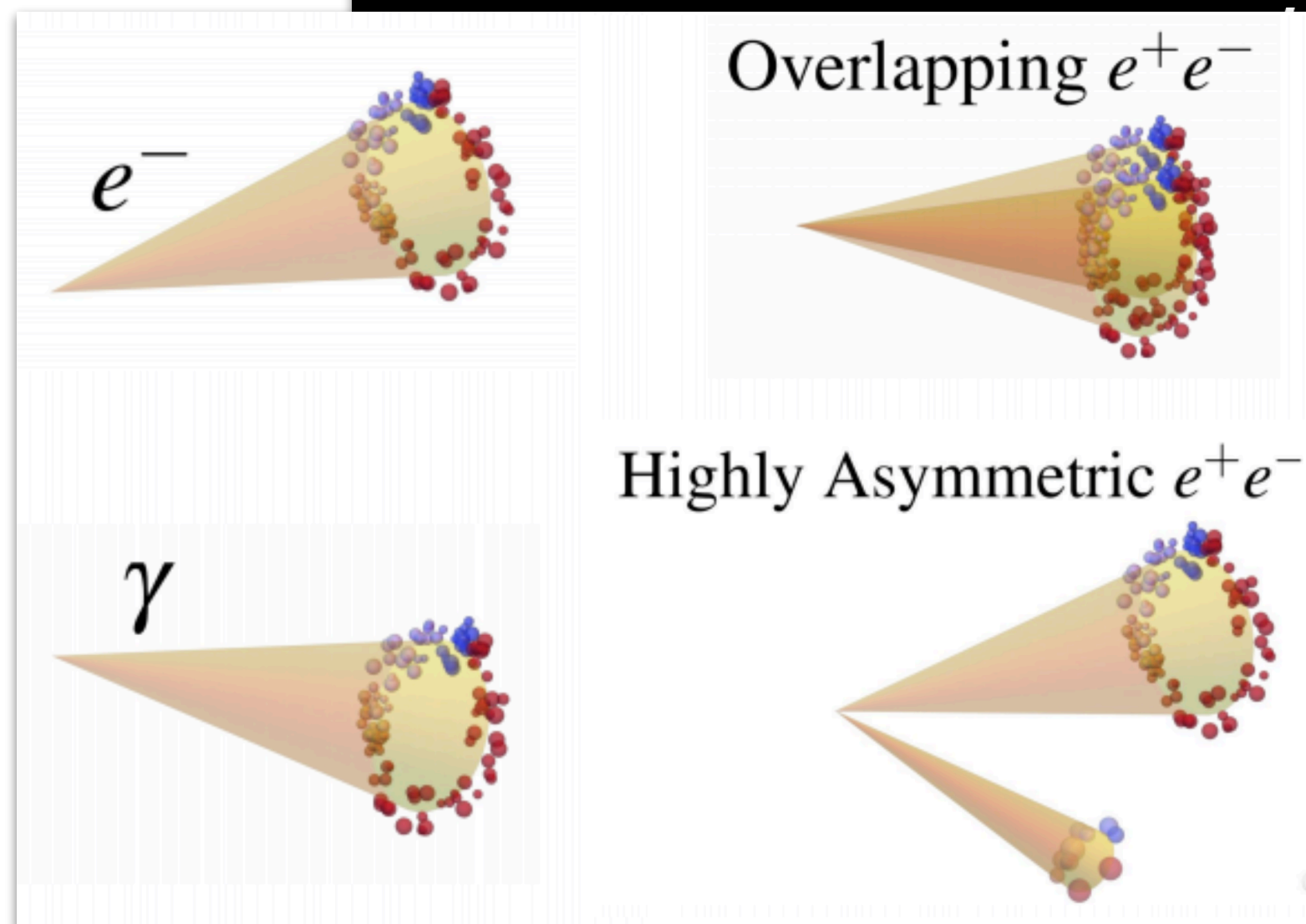
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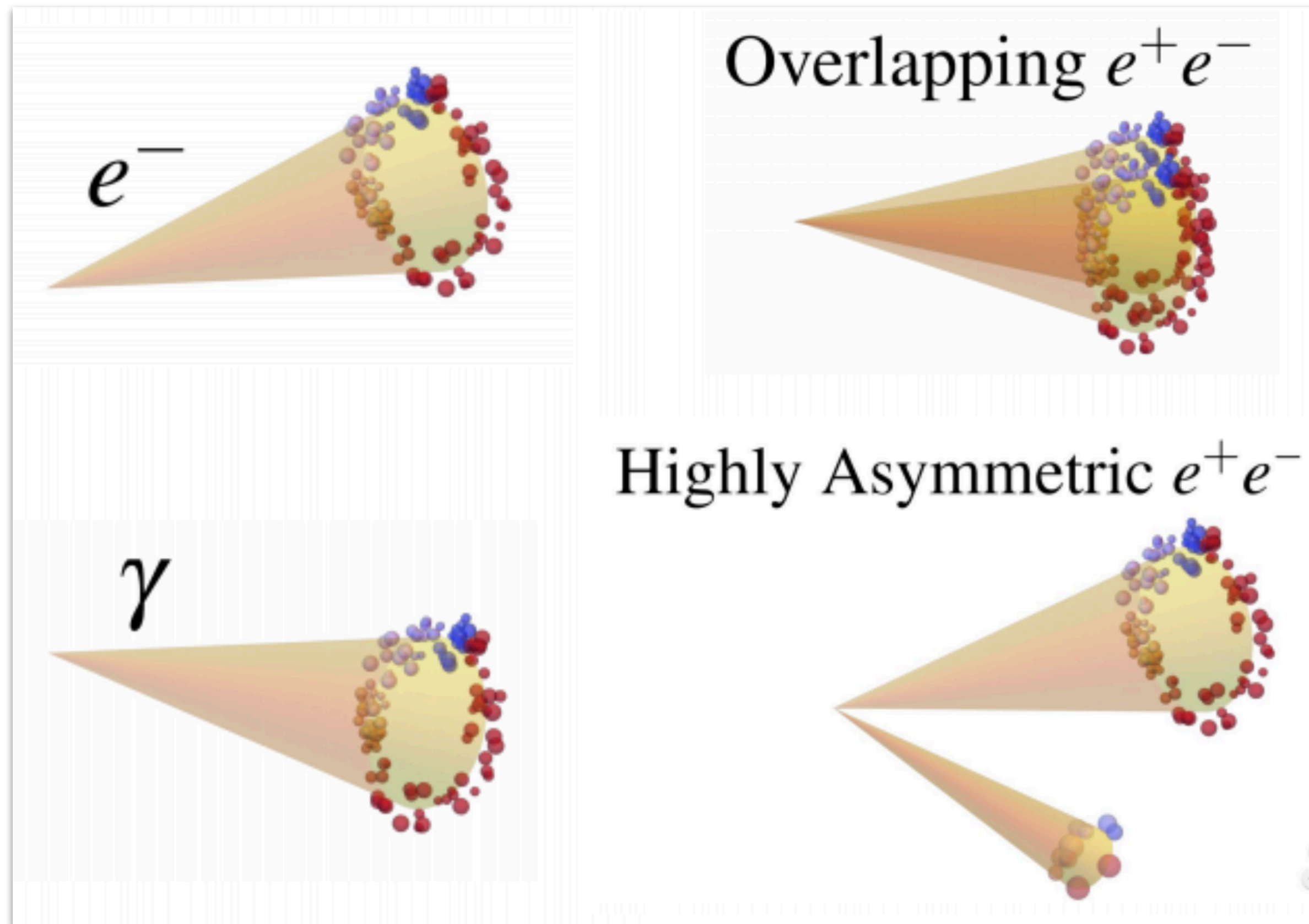
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Flavor transitions Secs. 3.1.1-3.1.3, 3.1.5	(3+1) oscillations	oscillations	✓	✓	✓	✓	Reviews and global fits [93, 103, 105, 106]
	(3+1) w/ invisible sterile decay	oscillations w/ ν_4 invisible decay	✓	✓	✓	✓	[151, 155]
	(3+1) w/ sterile decay	$\nu_4 \rightarrow \phi\nu_e$	✓	✓	✓	✓	[159–162, 270]
Matter effects Secs. 3.1.4, 3.1.7	(3+1) w/ anomalous matter effects	$\nu_\mu \rightarrow \nu_e$ via matter effects	✓	✓	✗	✗	[143, 147, 271–273]
	(3+1) w/ quasi-sterile neutrinos	$\nu_\mu \rightarrow \nu_e$ w/ resonant ν_s matter effects	✓	✓	✓	✓	[148]
Flavor violation Sec. 3.1.6	Lepton-flavor-violating μ decays	$\mu^+ \rightarrow e^+\nu_\alpha\bar{\nu}_e$	✓	✗	✗	✗	[174, 175, 274]
	neutrino-flavor-changing bremsstrahlung	$\nu_\mu A \rightarrow e\phi A$	✓	✓	✗	✗	[275]
Decays in flight Sec. 3.2.3	Transition magnetic mom., heavy ν decay	$N \rightarrow \nu\gamma$	✗	✓	✗	✗	[207]
	Dark sector heavy neutrino decay	$N \rightarrow \nu(X \rightarrow e^+e^-)$ or $N \rightarrow \nu(X \rightarrow \gamma\gamma)$	✗	✓	✗	✗	[208]
Neutrino Scattering Secs. 3.2.1, 3.2.2	neutrino-induced upscattering	$\nu A \rightarrow NA$, $N \rightarrow \nu e^+e^-$ or $N \rightarrow \nu\gamma\gamma$	✓	✓	✗	✗	[205, 206, 209–216]
	neutrino dipole upscattering	$\nu A \rightarrow NA$, $N \rightarrow \nu\gamma$	✓	✓	✗	✗	[40, 185, 187, 188, 190, 193, 233, 276]
Dark Matter Scattering Sec. 3.2.4	dark particle-induced upscattering	γ or e^+e^-	✗	✓	✗	✗	[217]
	dark particle-induced inverse Primakoff	γ	✓	✓	✗	✗	[217]



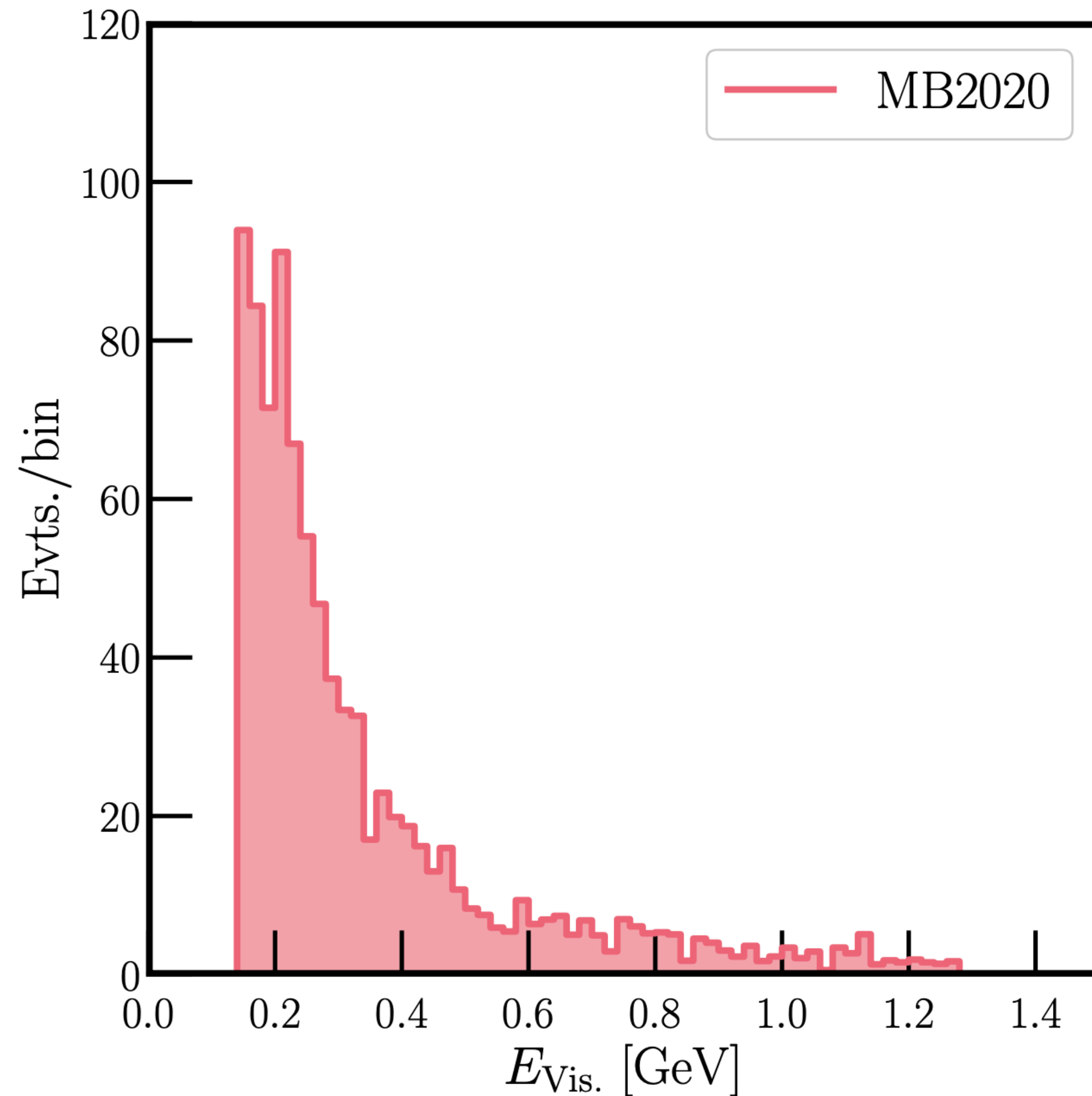
Lots of talks yesterday/today/tomorrow about a number of these BSM searches in a variety of detectors. Personally, I'm excited to see how these more exotic searches' analyses develop over time.

What about (Non-B)SM?



- Overlapping/asymmetric electron/positron pairs look like a single-electron shower in MiniBooNE, and do to overlapping/asymmetric photon pairs.
- Huge source of these? Neutral-current single- π^0 scattering in MiniBooNE. Particularly problematic for low-energy pion showers.

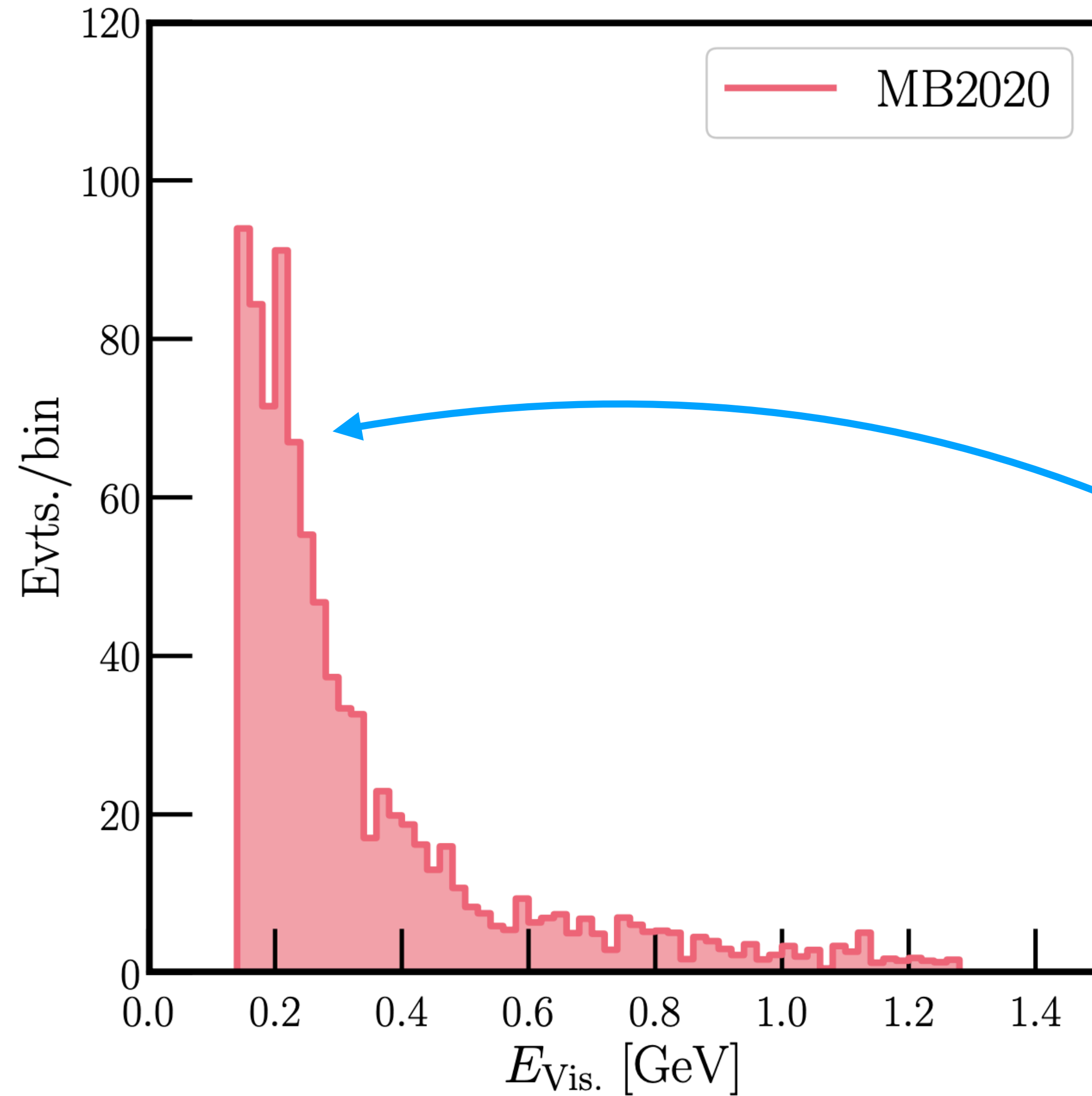
How does MiniBooNE treat $\text{NC}\pi^0$ Events?



- Our goal: come up with a “phenomenological” set of cuts that yields the same distribution as quoted by MiniBooNE, depending on main kinematic quantities of the shower:
 - Opening angle of the two highest-energy photons in an event.
 - Asymmetry (maximum energy divided by total energy) in the shower.

MiniBooNE likelihood-based background rate, courtesy of MB MC.

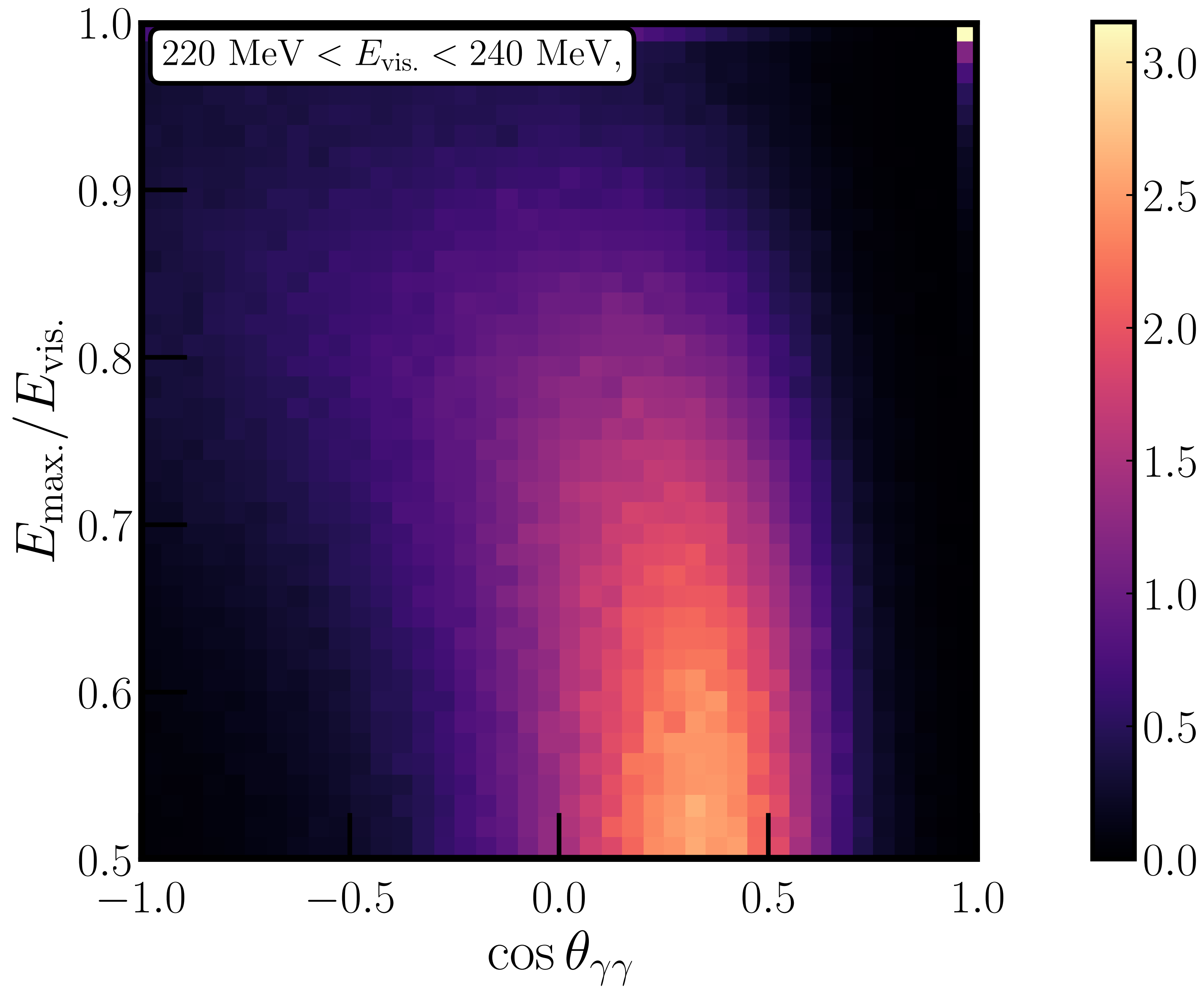
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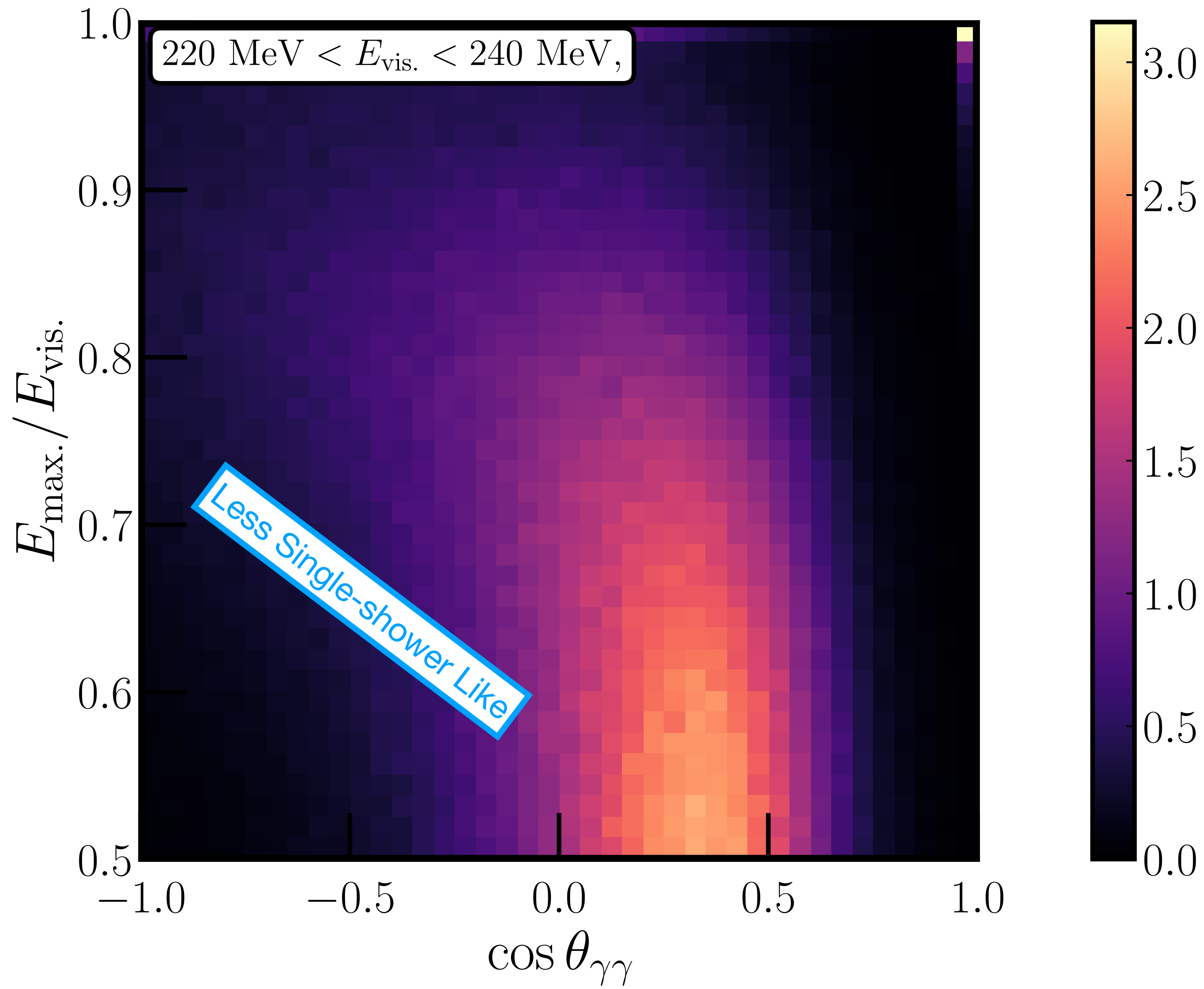


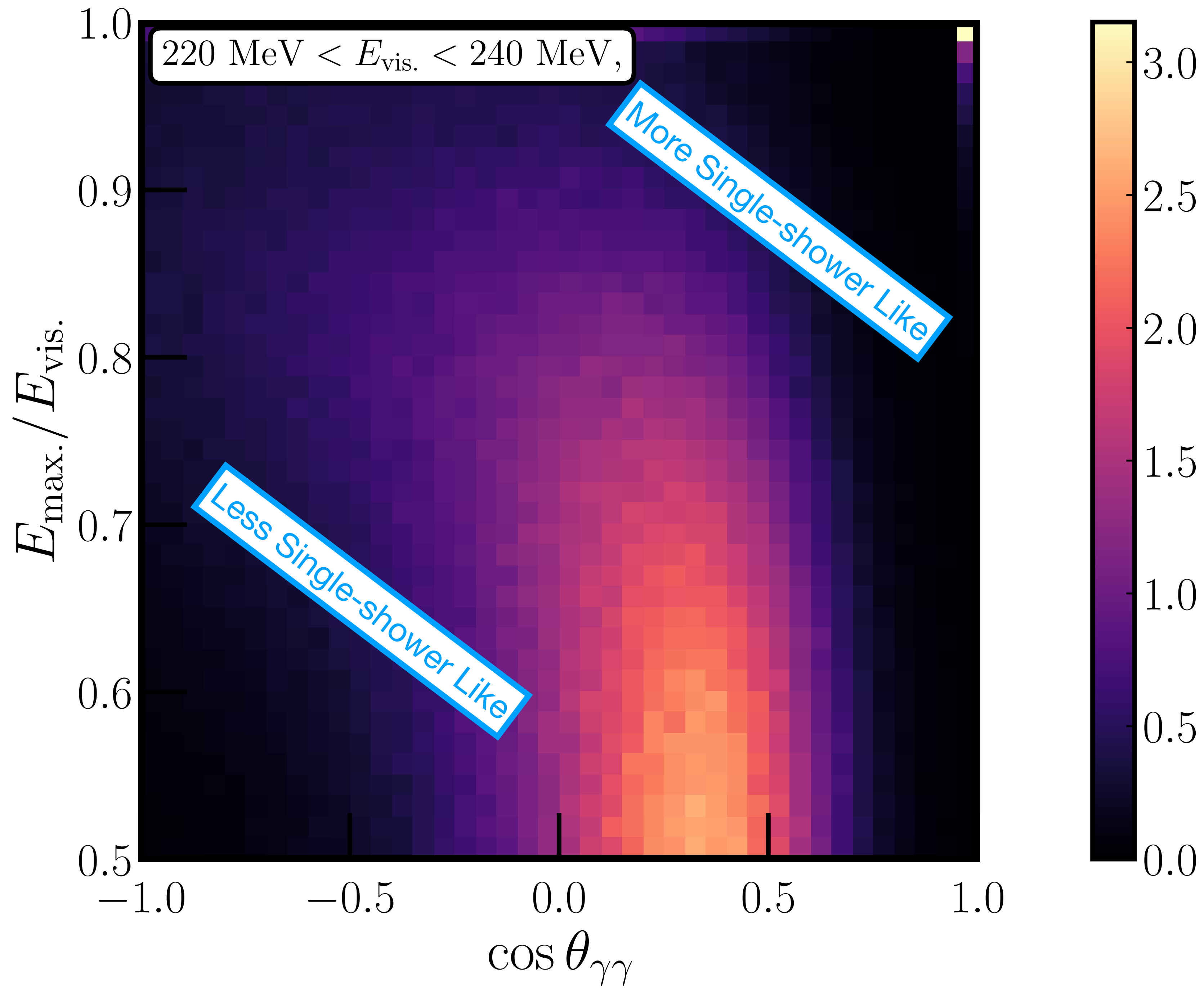
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 - Asymmetry (maximum energy divided by total energy) in the shower.

Let's pick one of these bins and look at *all* events from NUANCE in that energy range.

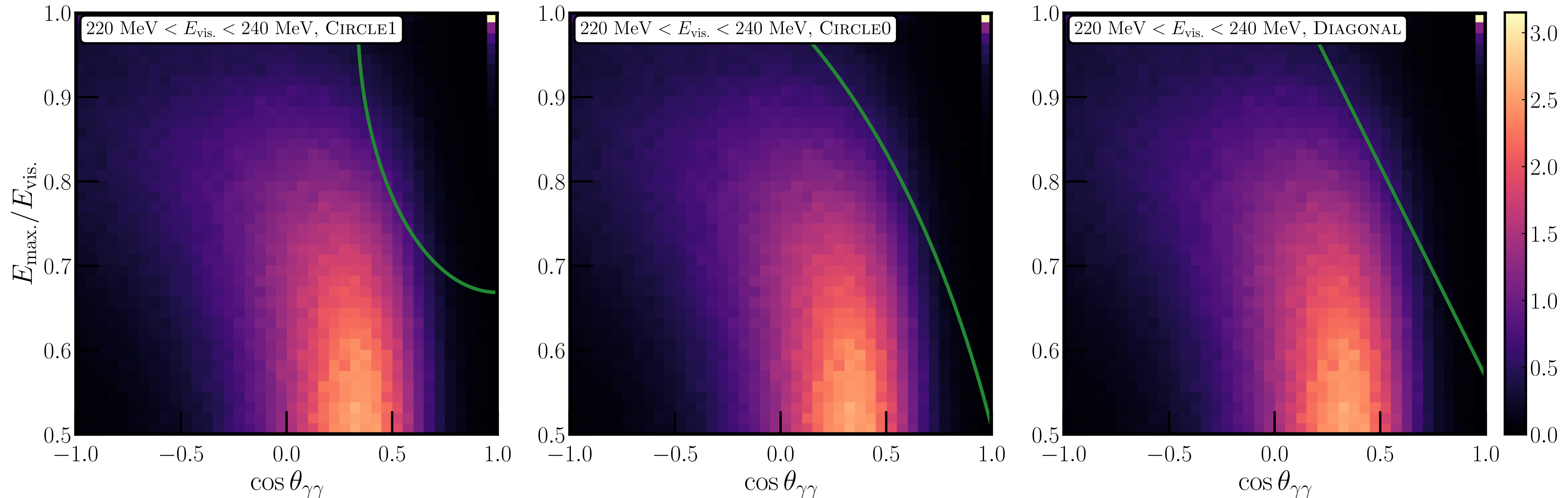






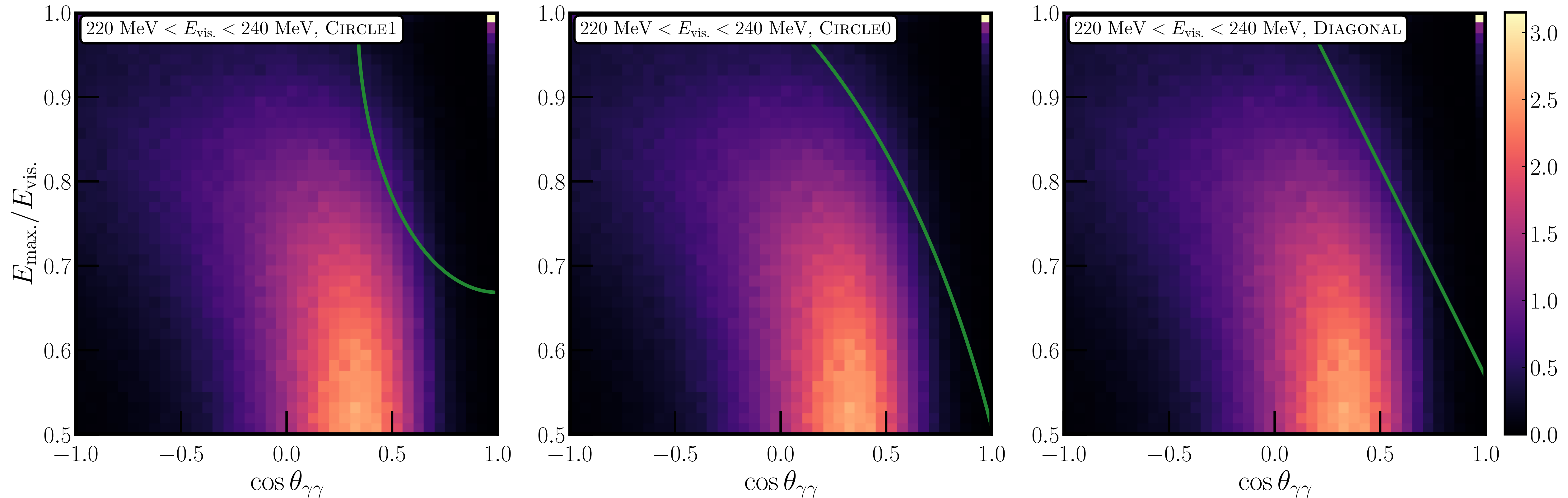
Opening Angle/Asymmetry Distributions

Three different cut prescriptions, each as a function of visible energy — These will (by definition) get us accepted distributions that match MiniBooNE's with respect to $E_{\text{vis.}}$.



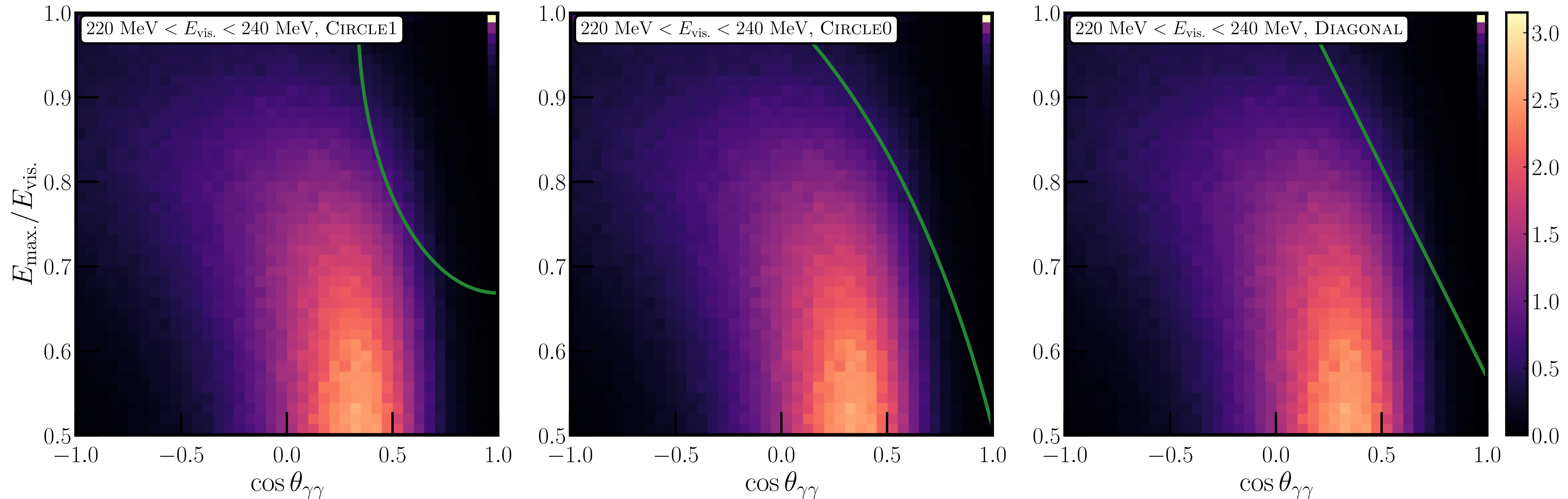
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Opening Angle/Asymmetry Distributions

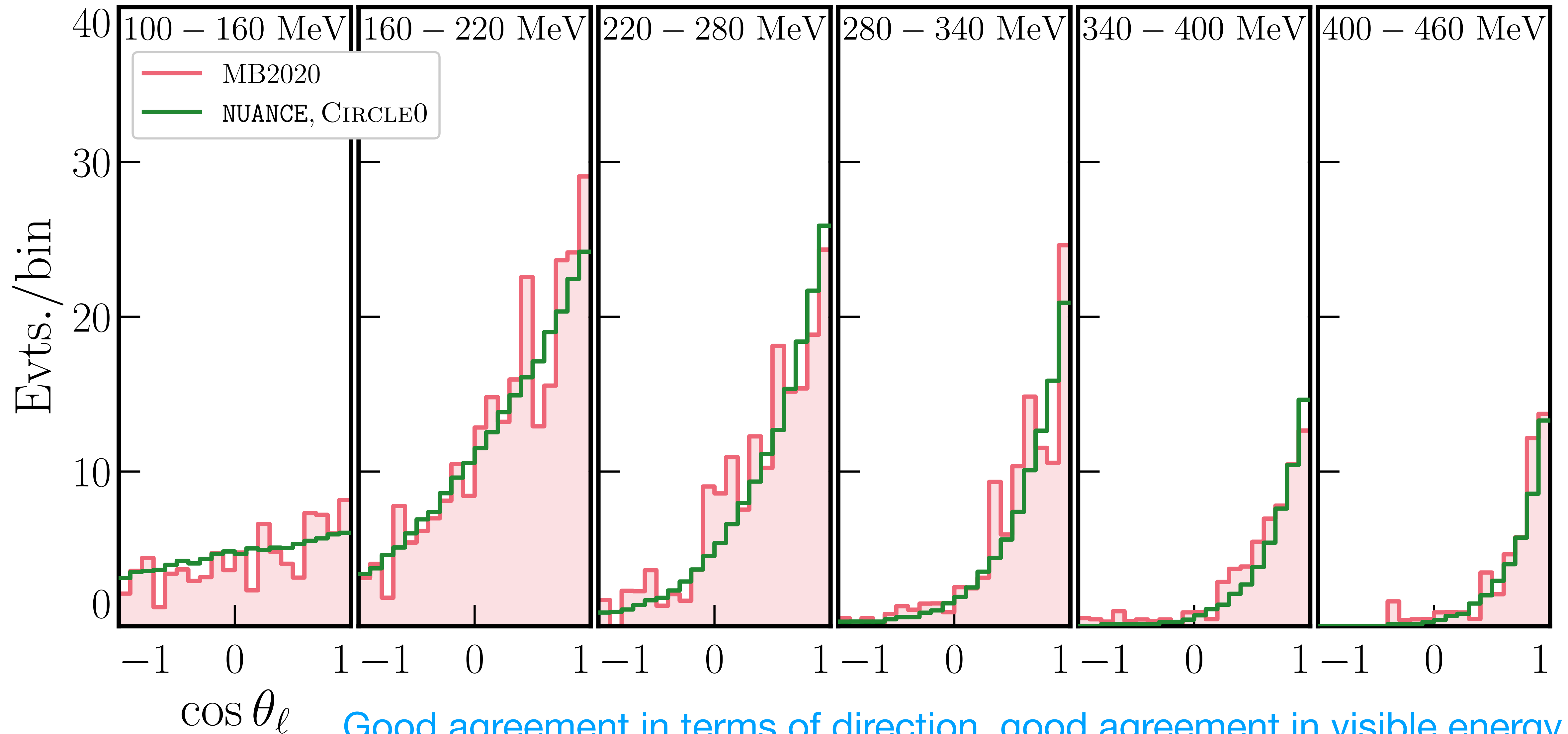
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How do our post-cut distributions compare to theirs with respect to other observables?

Our Results

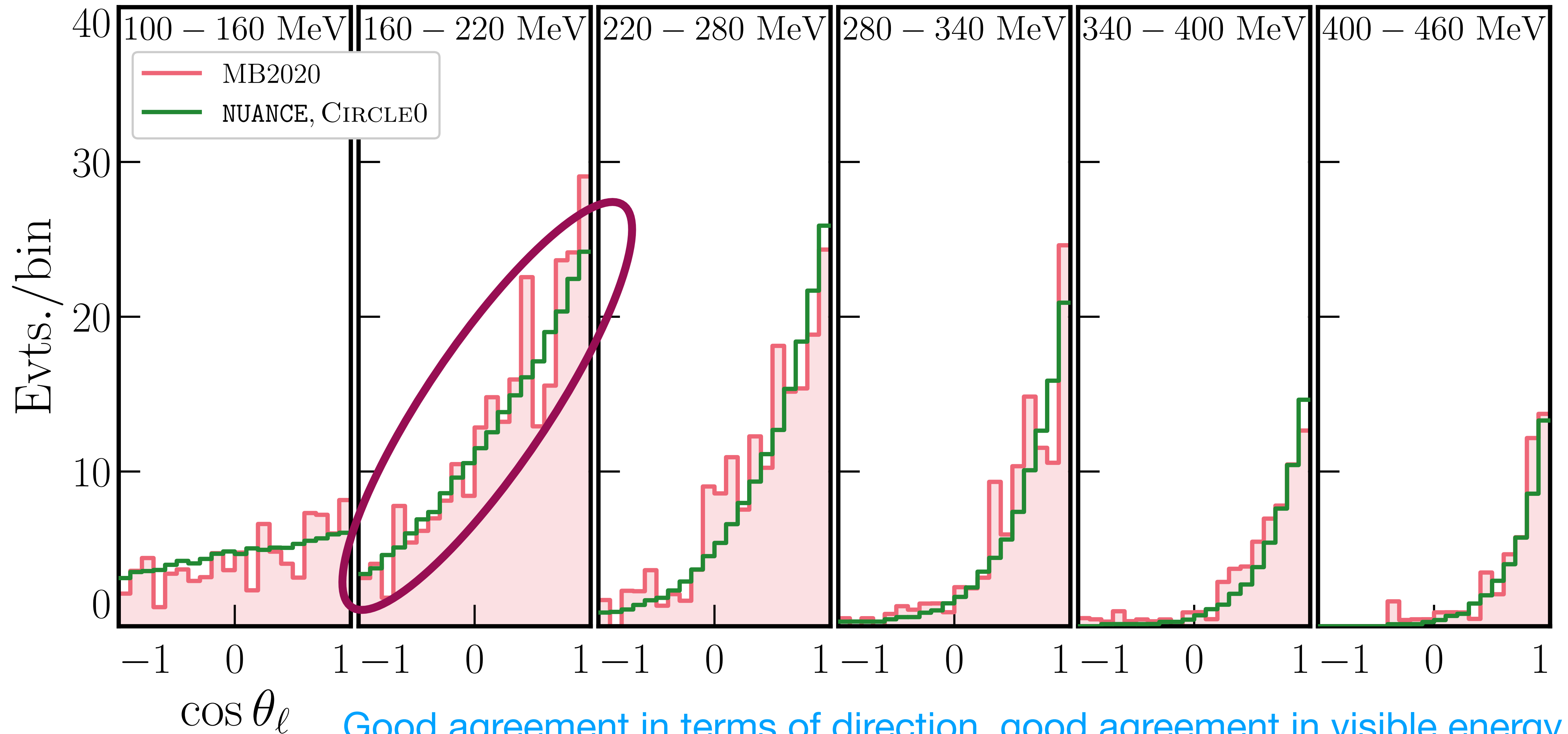
Kelly, Kopp [\[2210.08021\]](#)



Good agreement in terms of direction, good agreement in visible energy (by construction) — leads to good agreement as a function of E_ν^{QE}

Our Results

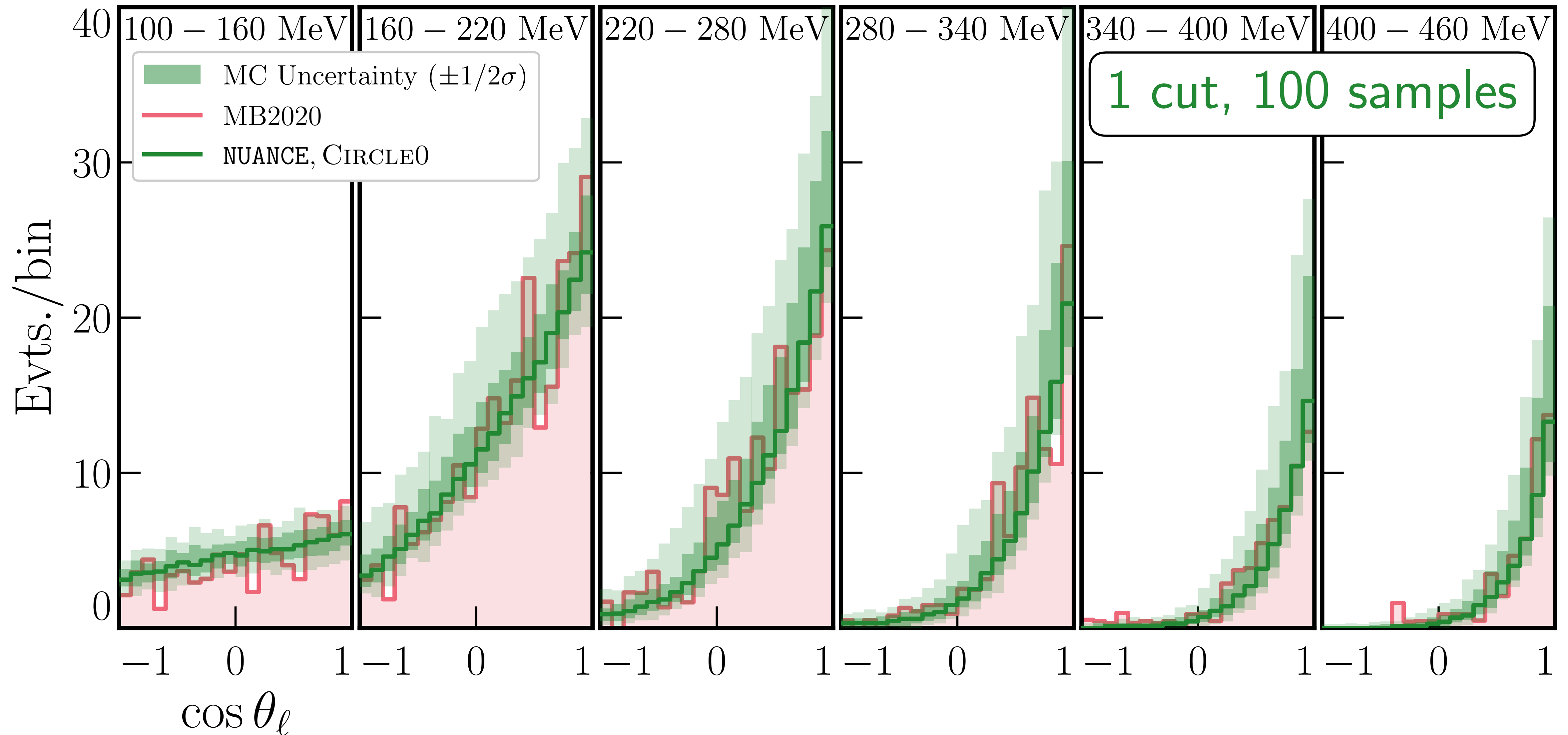
Kelly, Kopp [\[2210.08021\]](#)



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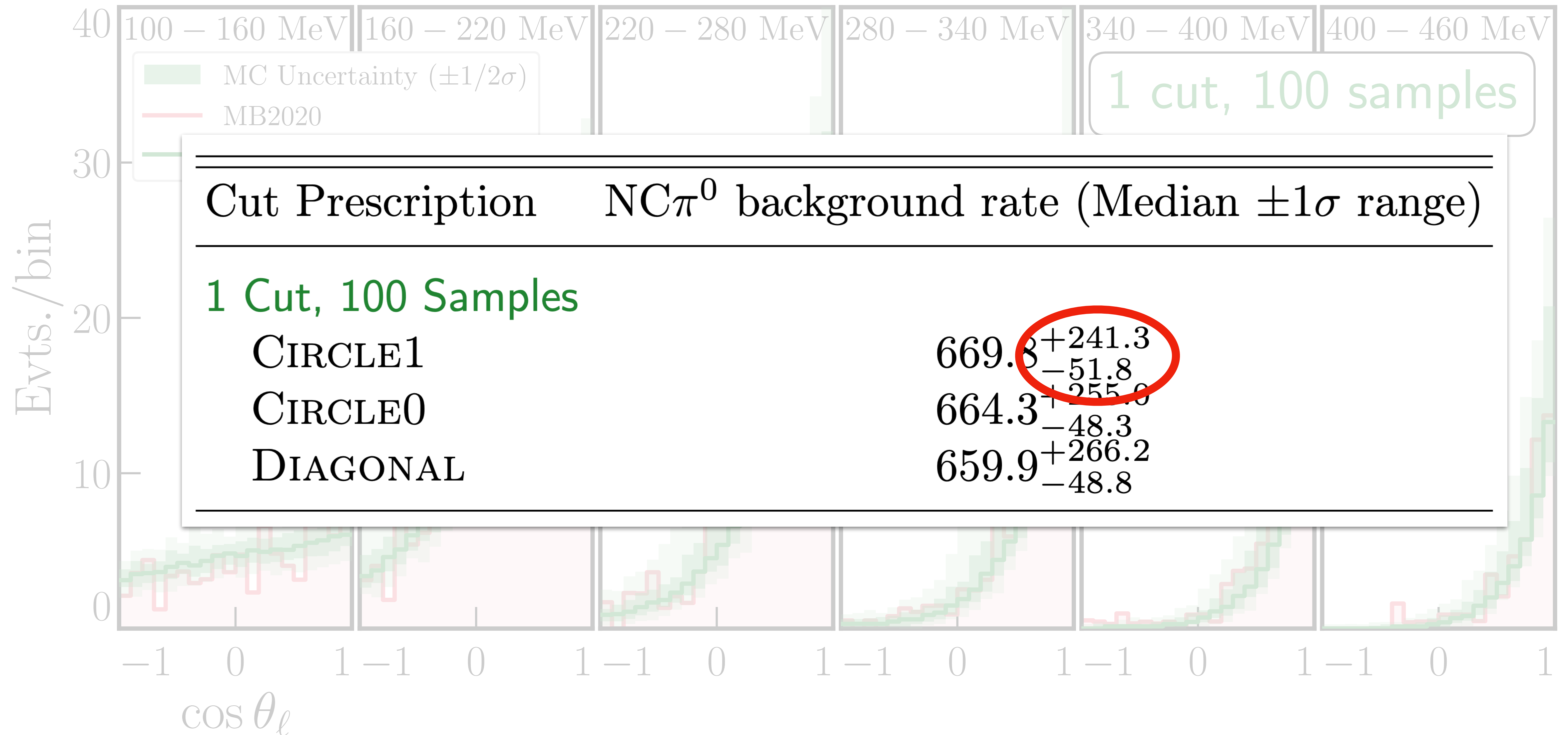
Kelly, Kopp [\[2210.08021\]](#)



Comparable bin-to-bin jitter when we analyze our MC in smaller sub-samples, each with comparable statistics to MB's analysis.

Our Results

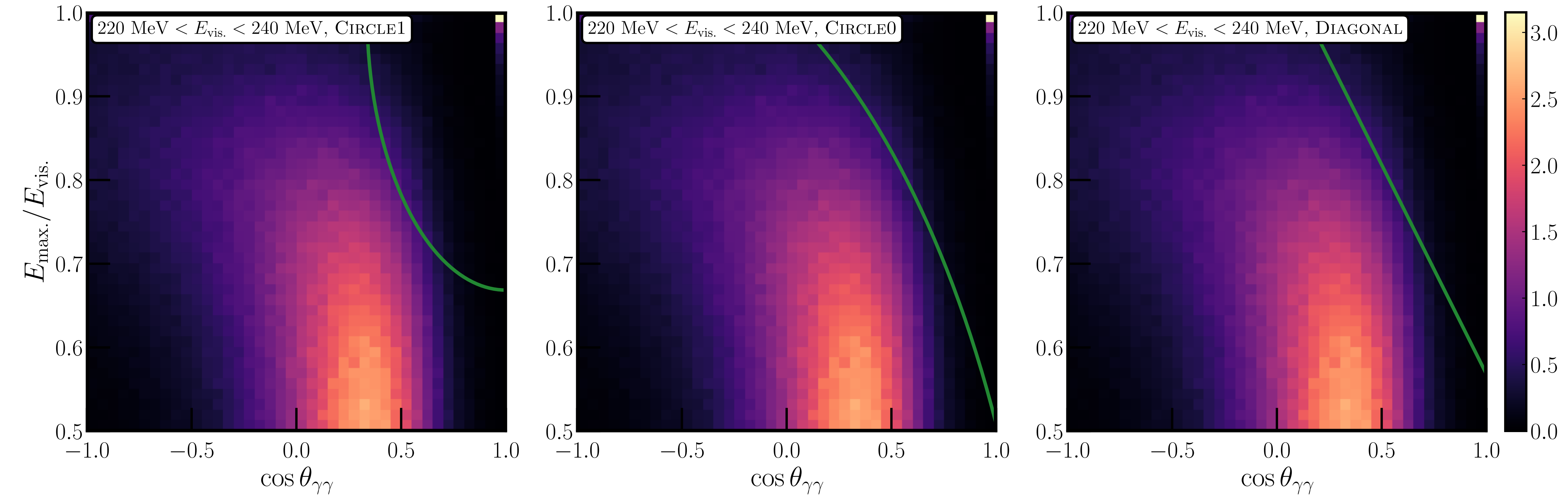
Kelly, Kopp [\[2210.08021\]](#)



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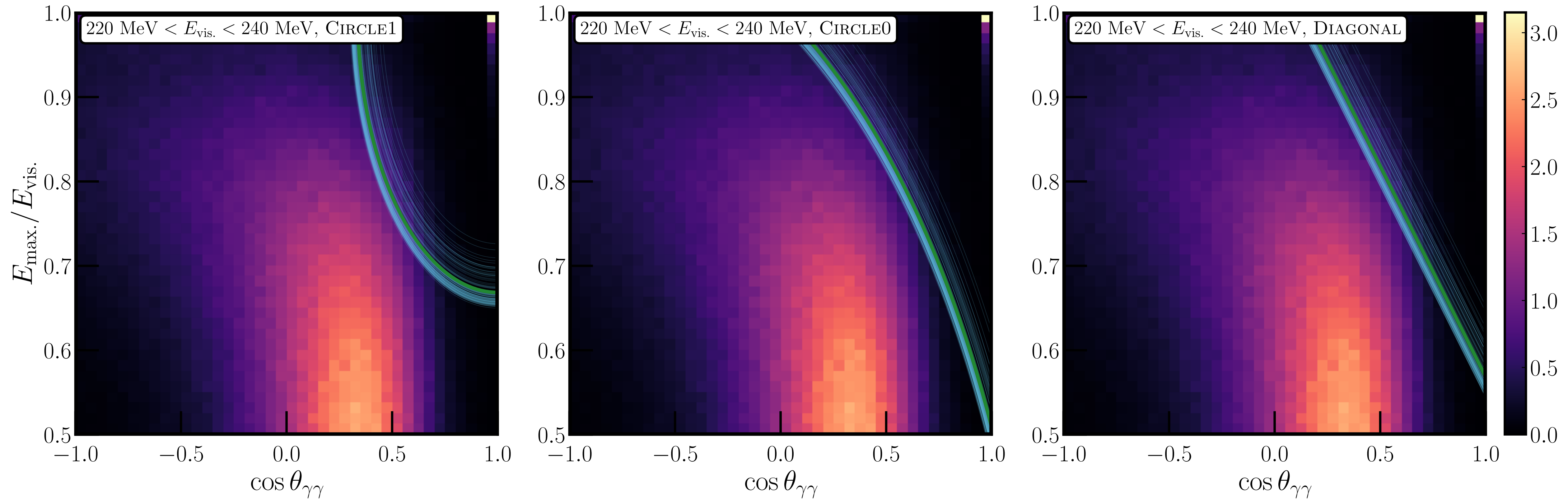
Determining cuts based on MC Subsamples

Green: Cuts derived based on our long, 100x NUANCE Sample



Determining cuts based on MC Subsamples

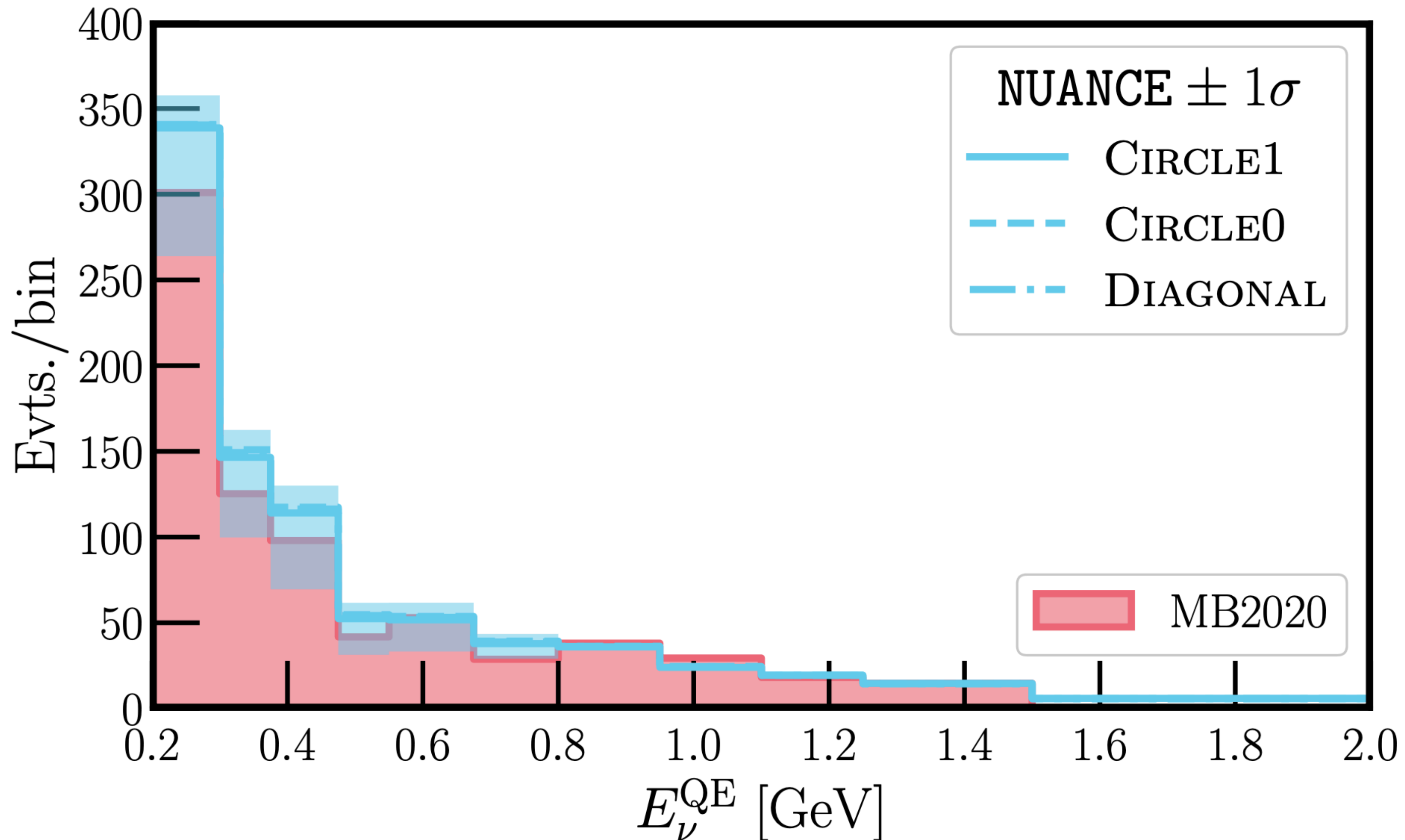
Green: Cuts derived based on our long, 100x NUANCE Sample



Blue: Different cuts, each derived based on a MiniBooNE-sized MC Sample

Correcting for this Effect?

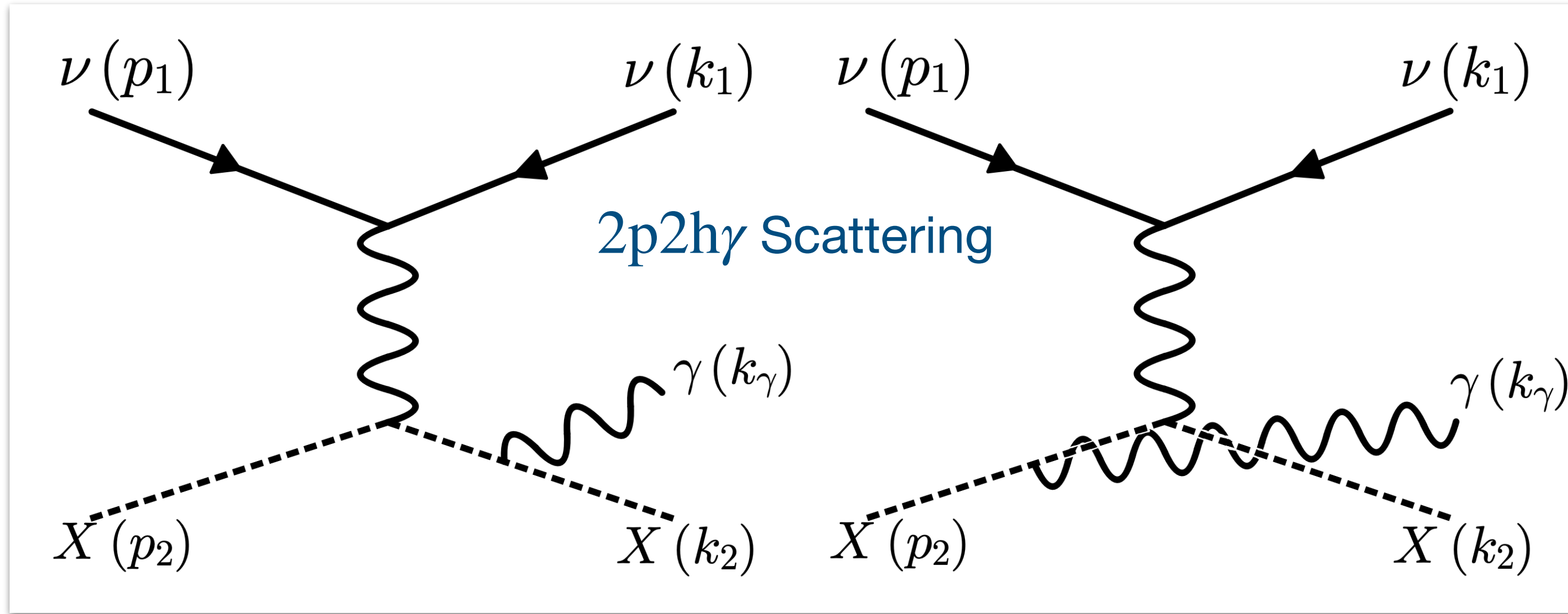
Kelly, Kopp [\[2210.08021\]](#)



We've tried our approaches of correcting for this effect, asking “if MiniBooNE considered 100x larger MC statistics, what would their NC π^0 background estimate be?”

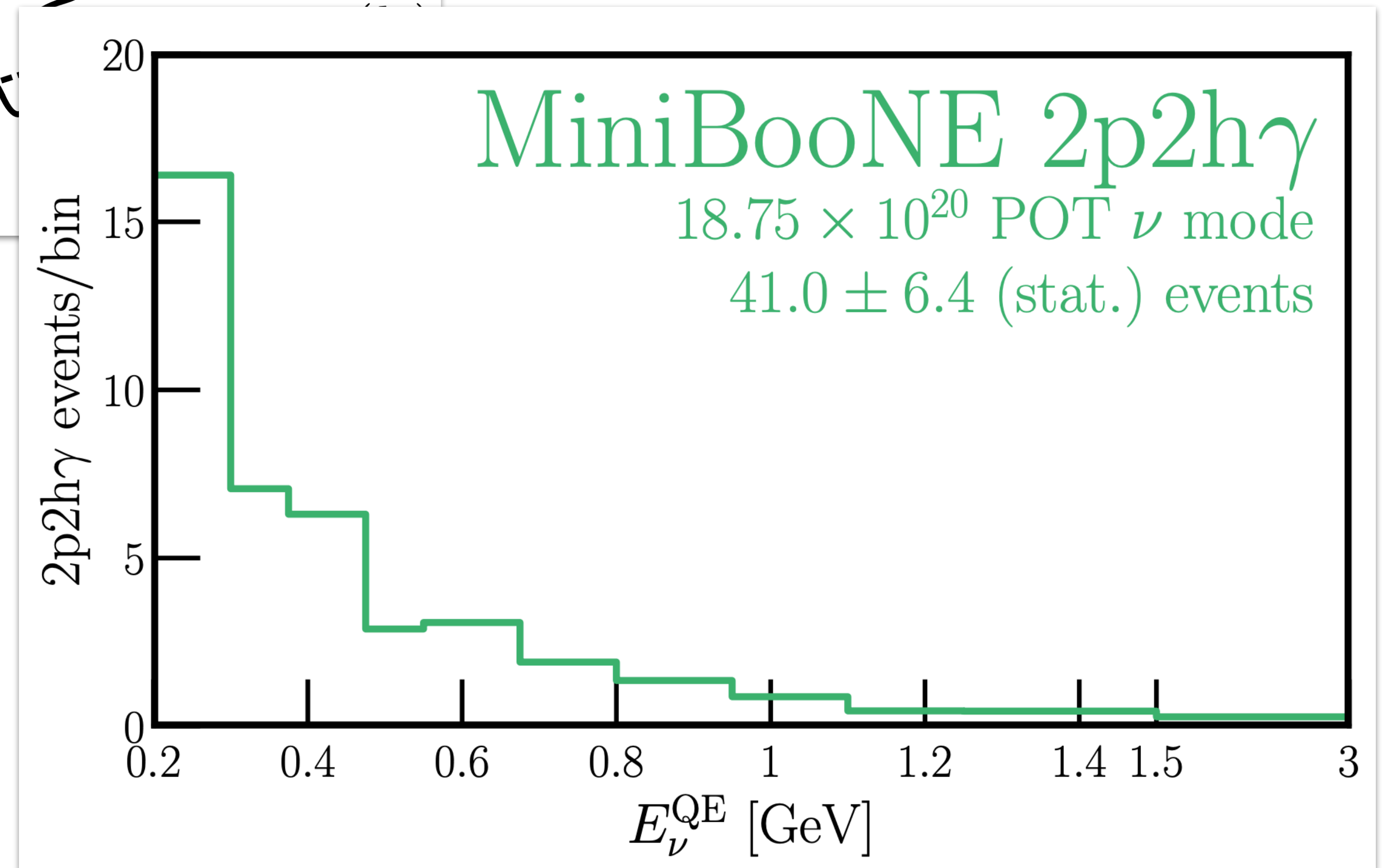
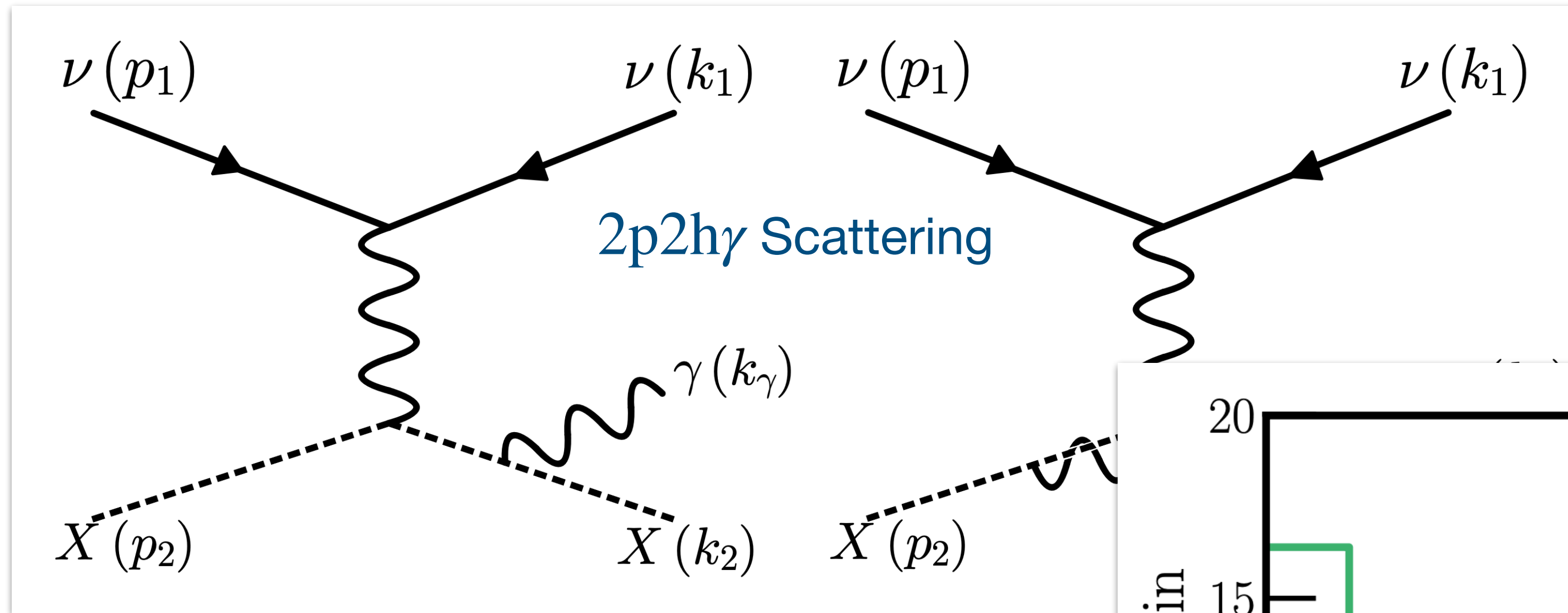
Overall, we estimate that this effect could yield ~ 100 additional background events to MiniBooNE's LEE search, reducing the excess's significance by $\sim 1\sigma$.

Other Single/Double Photon Effects?

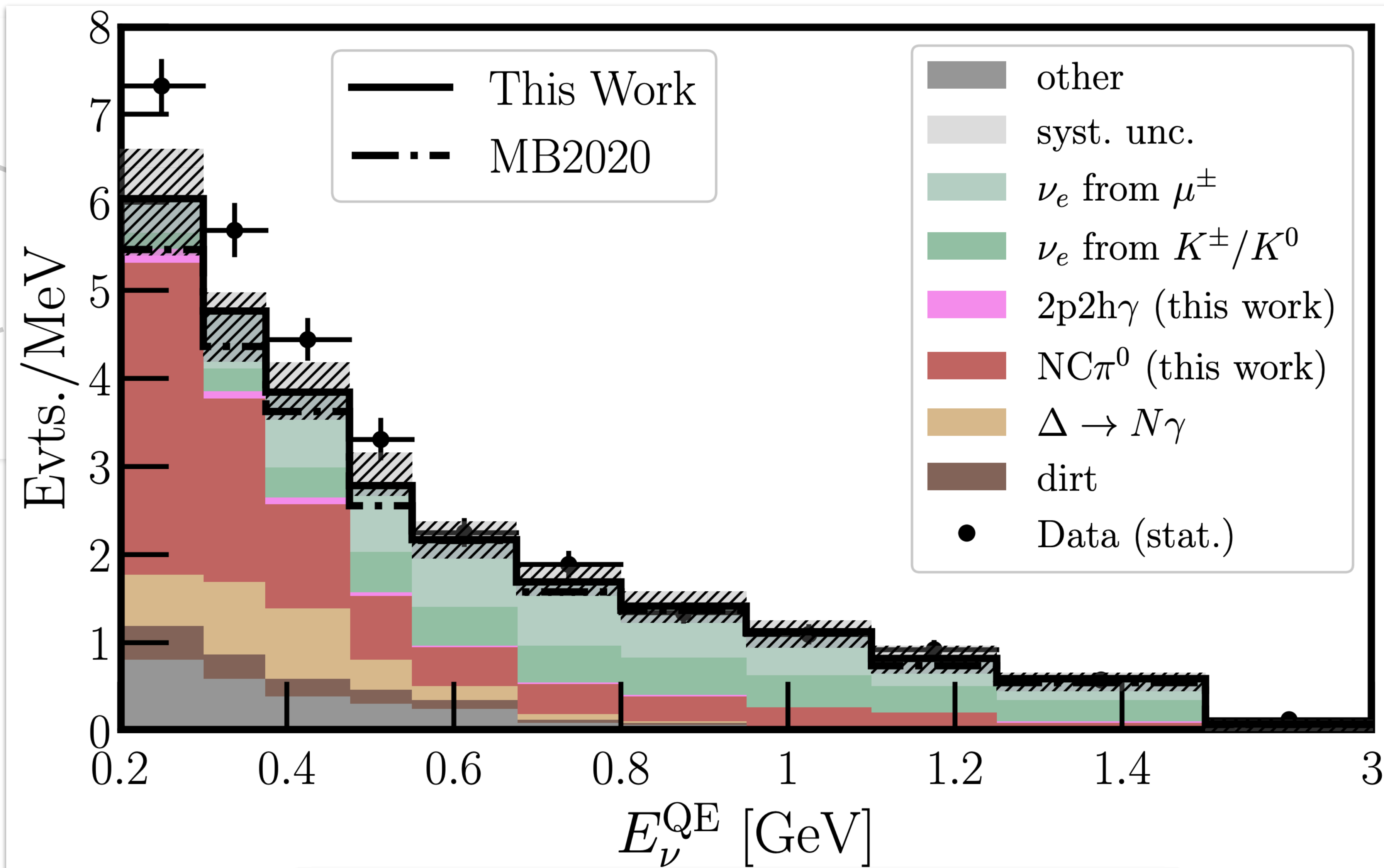


Other Single/Double Photon Effects?

Kelly, Kopp [\[2210.08021\]](#)



Other Single/Double Photon Effects?



Our estimate of the updated MB Significance: $\sim 3\sigma$ [eV]

Takeaways

Conclusions

- Despite strong statistical significance for appearance at LSND and MiniBooNE, there is still great tension between these and other sterile neutrino search results.
- Various BSM scenarios can accommodate/solve these tensions with varying success, and the current/near-term slate of experiments is *very* powerful for testing these hypotheses.
- MicroBooNE has begun searches for anomalous ν_e appearance. No hints yet, though constraints aren't quite ruling out MiniBooNE yet either.
- Perhaps it's time to take a deep dive in some MiniBooNE SM contributions, like Neutral-Current Single-Pion signatures. What can the SBN detectors add to this discussion?

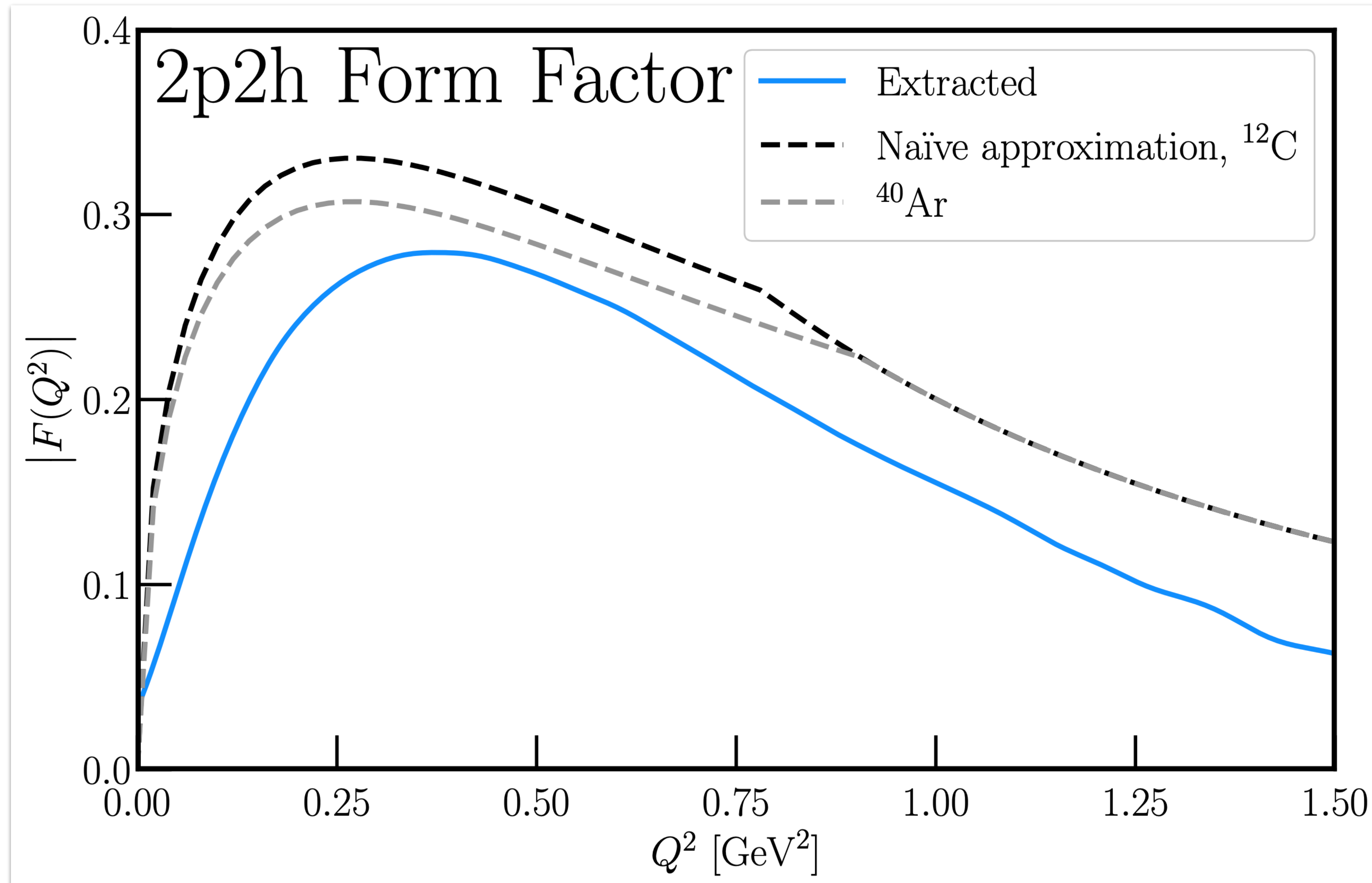
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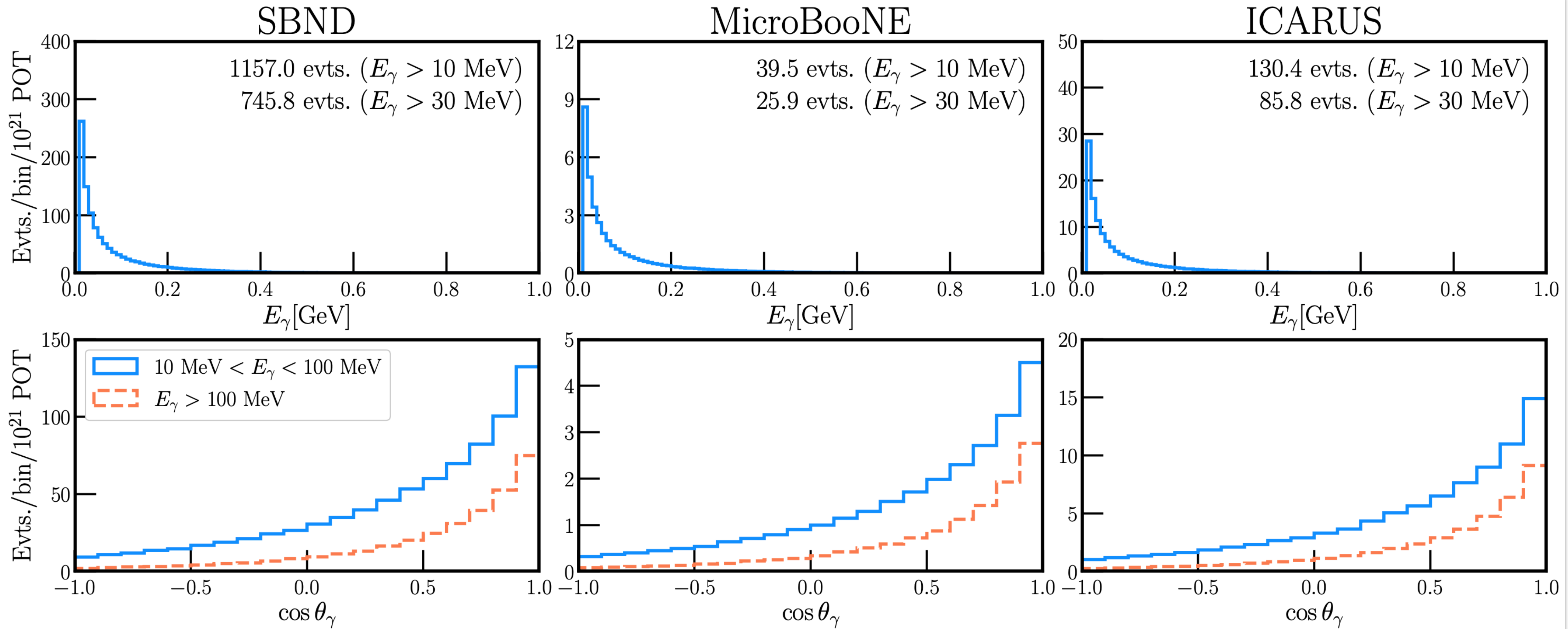
Thank you!

Backup

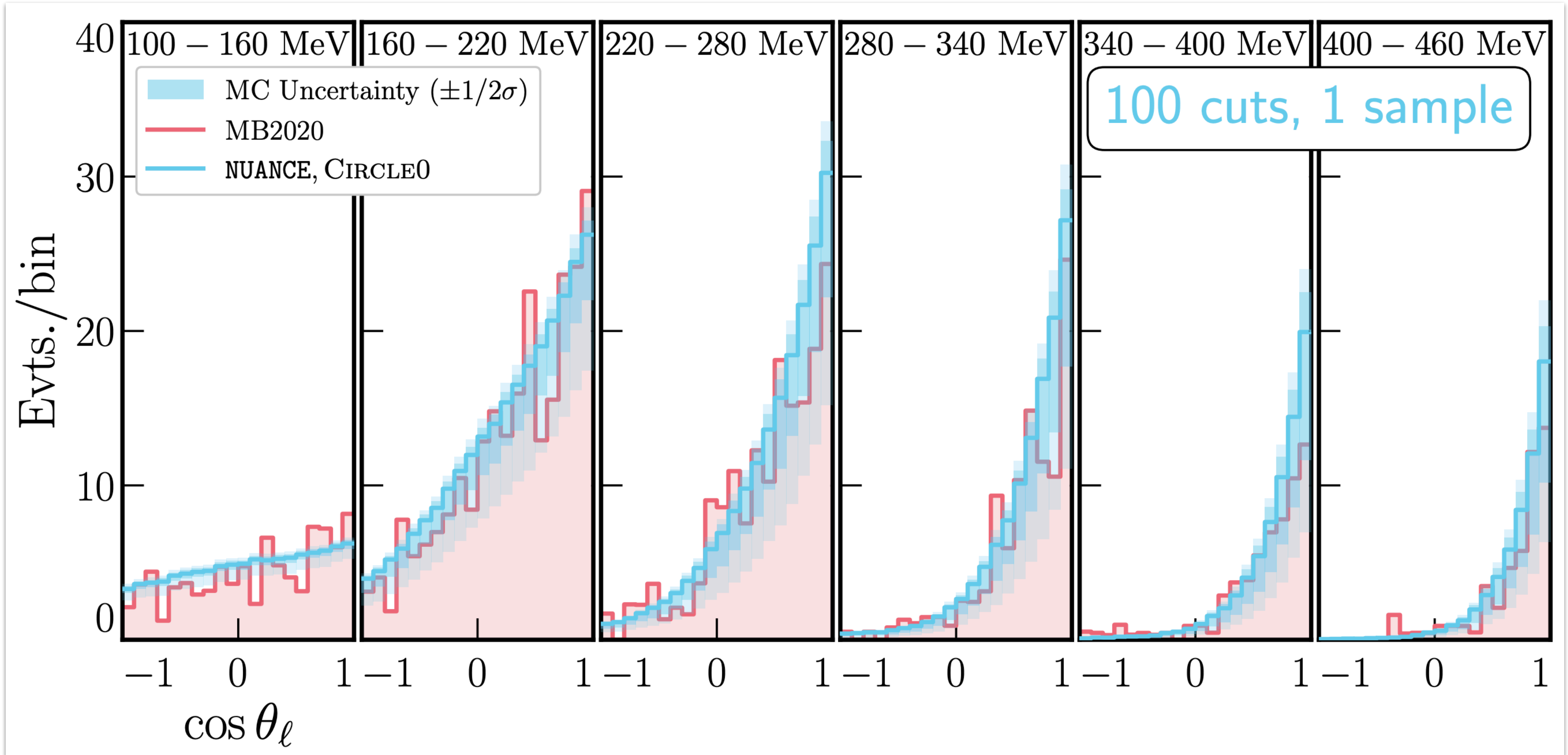
Form Factor for 2p2h Scattering



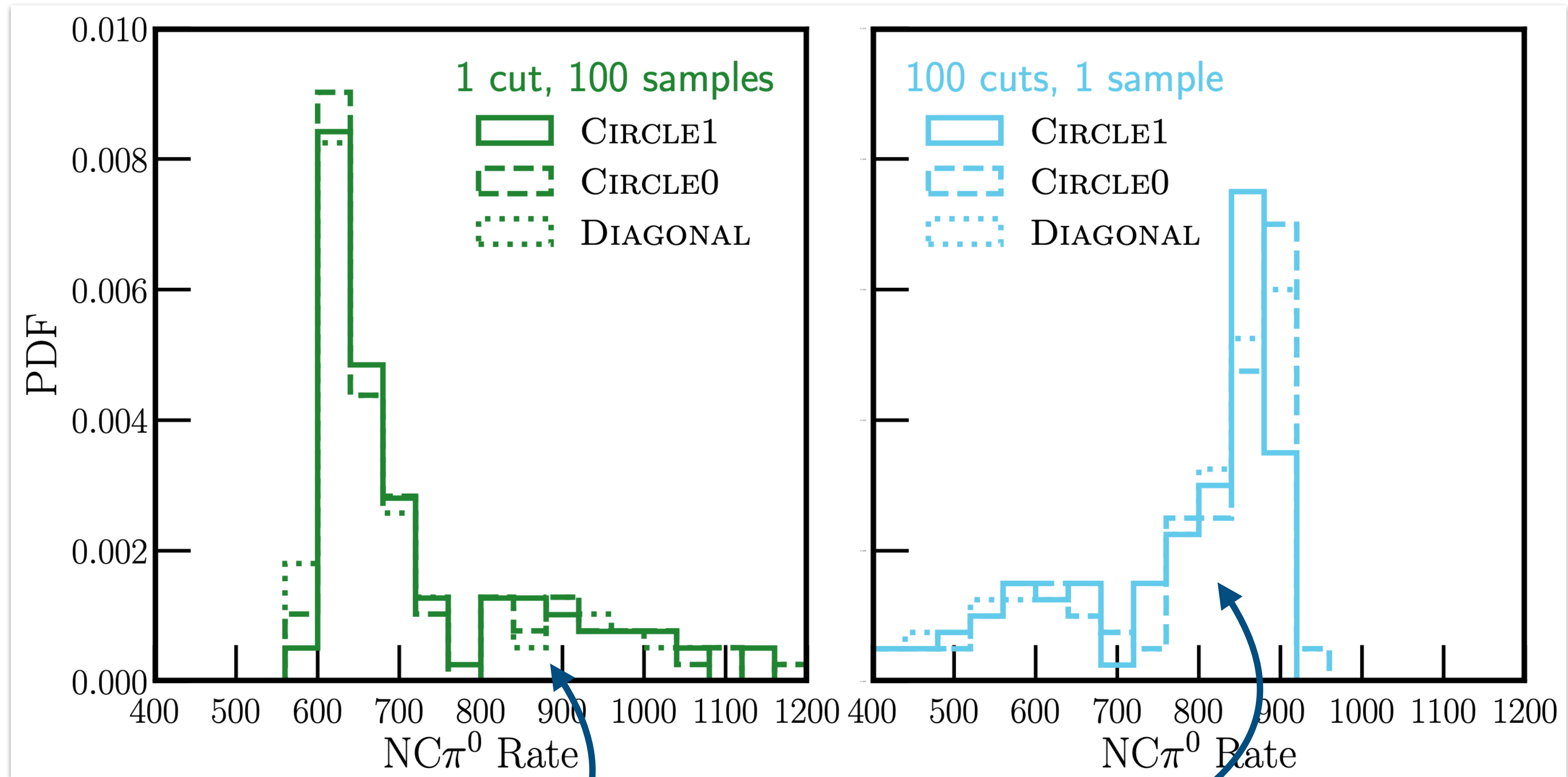
2p2h γ Events at SBN



MiniBooNE “Slices” In Alternate Strategy



NC π^0 Event Rates



Spread of distribution: Important for estimating MC Statistical Uncertainty

Median of distribution: Important for estimating impact of small MC Statistics for MiniBooNE