

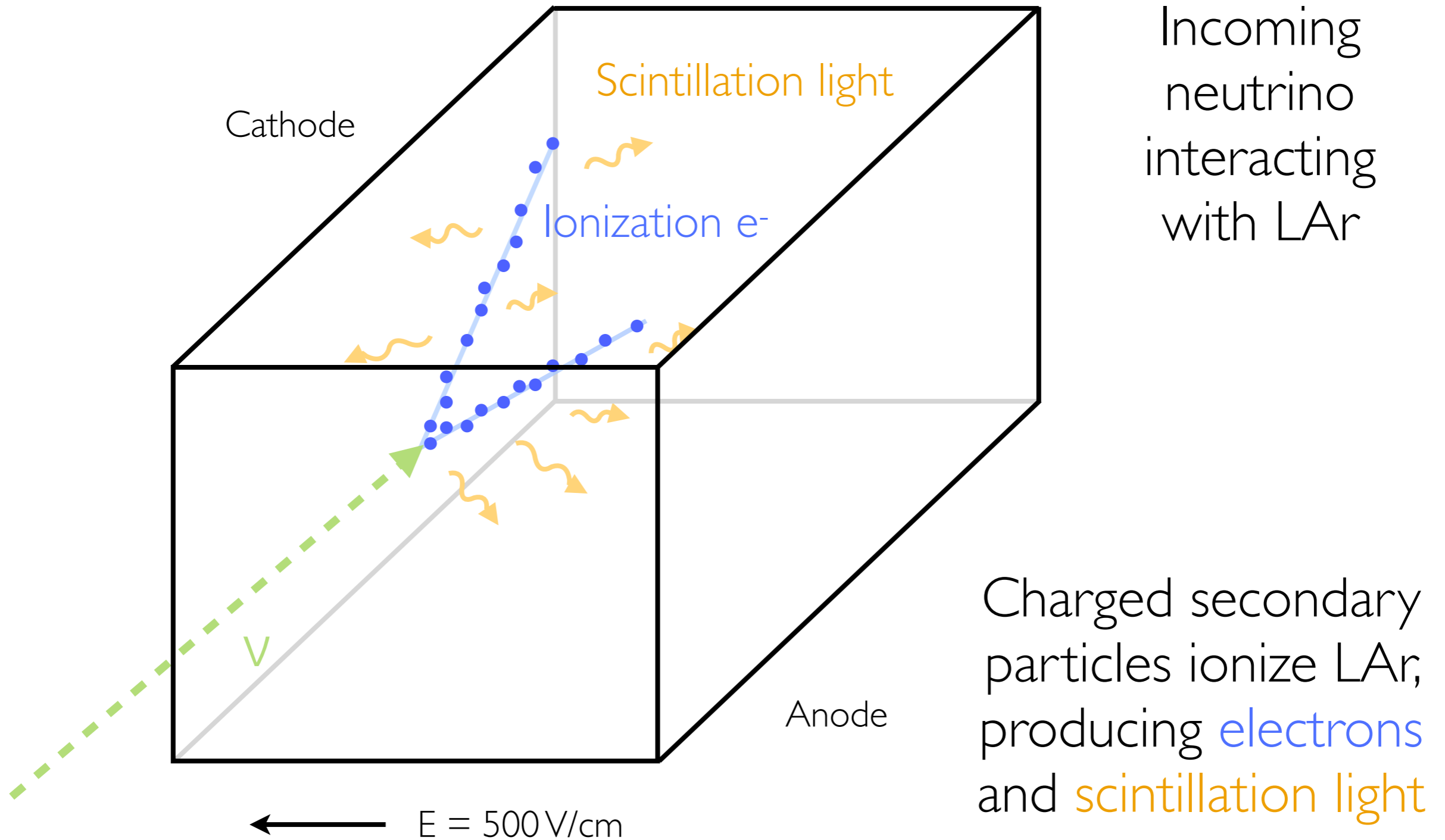


# BSM Searches at Short Baseline LArTPCs

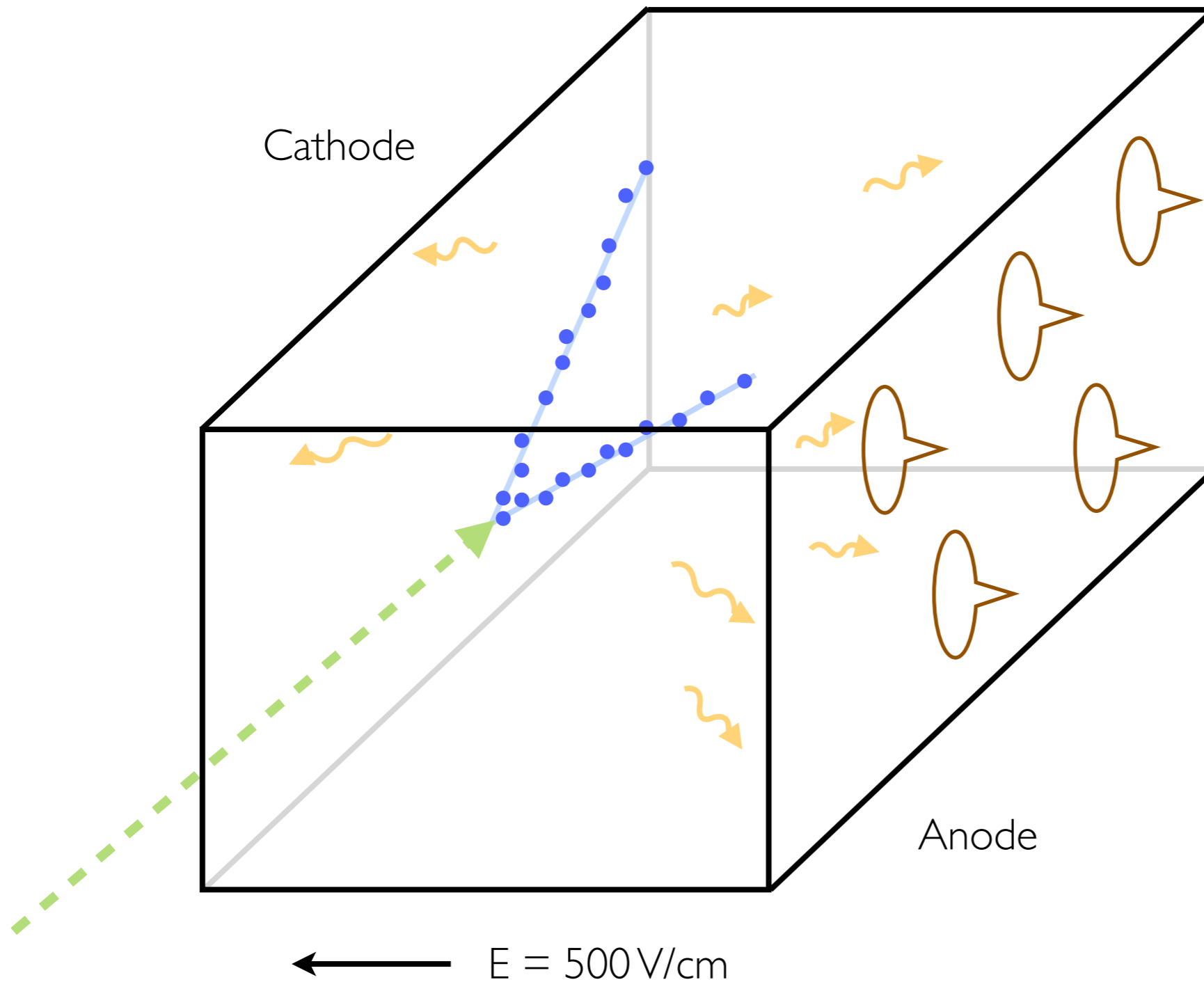


Yun-Tse Tsai (SLAC)  
NuTools for BSM Workshop  
December 15, 2022

# LArTPC

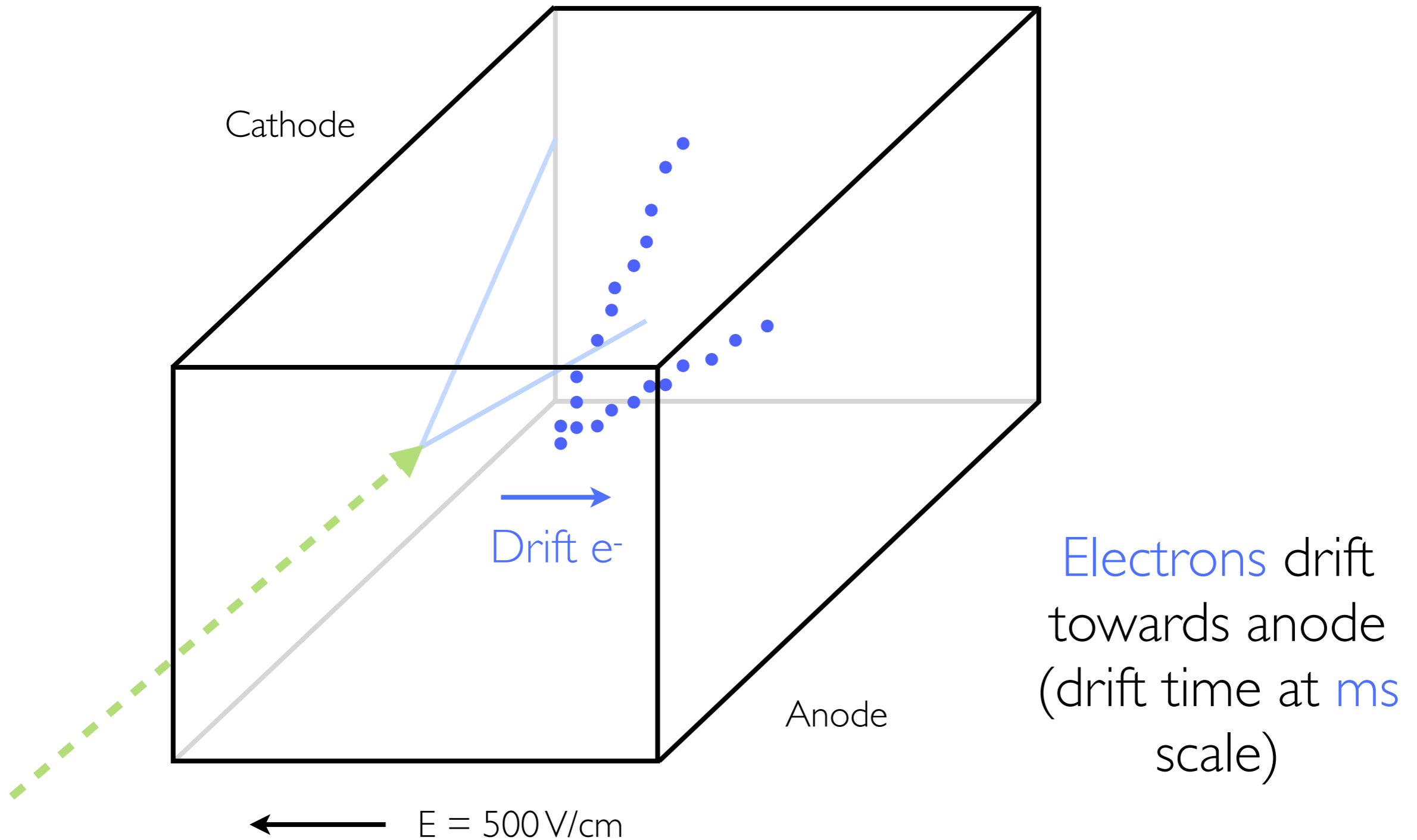


# LArTPC

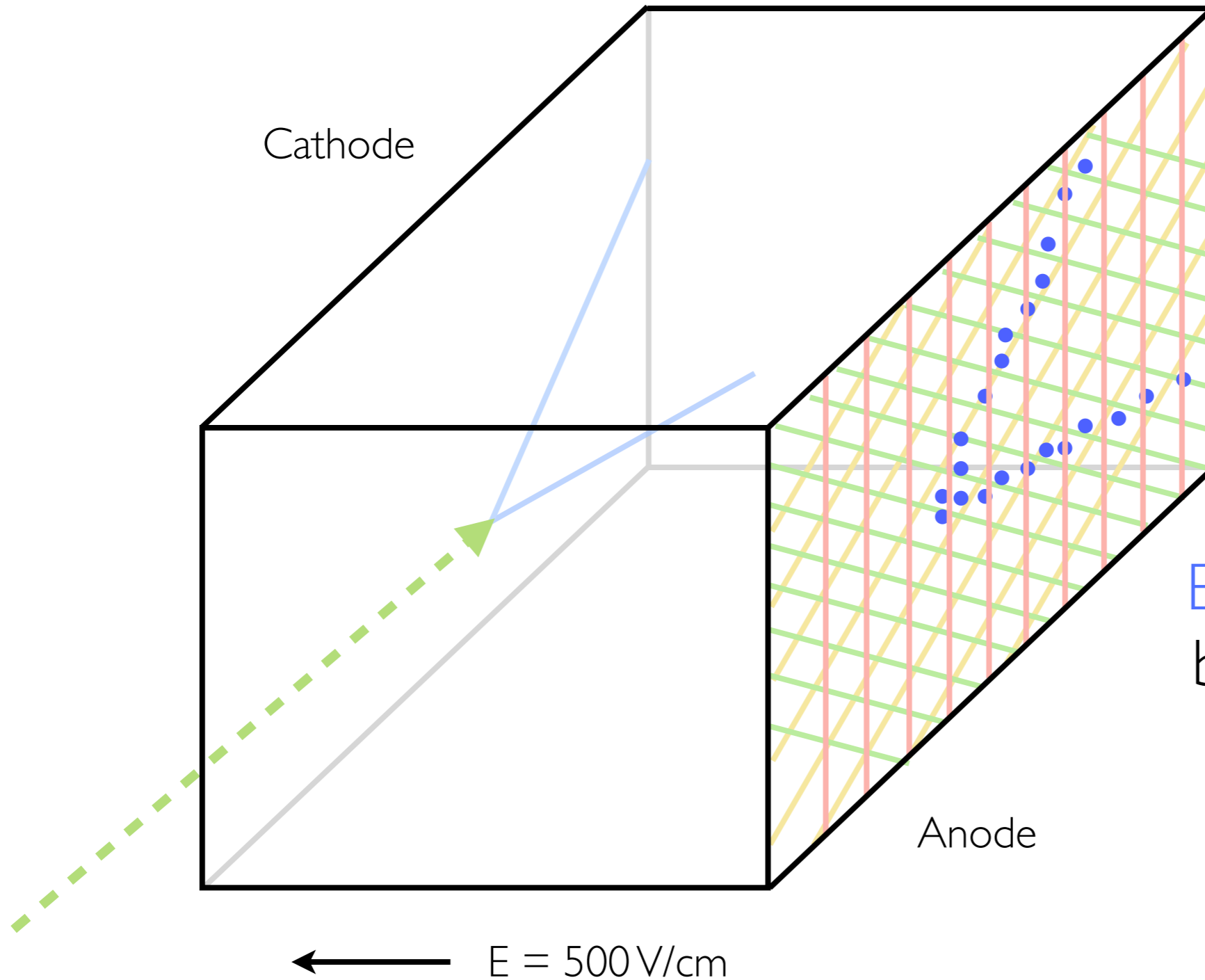


Light collected by  
photon detectors  
(10-100ns),  
determining  
event time  $t_0$

# LArTPC



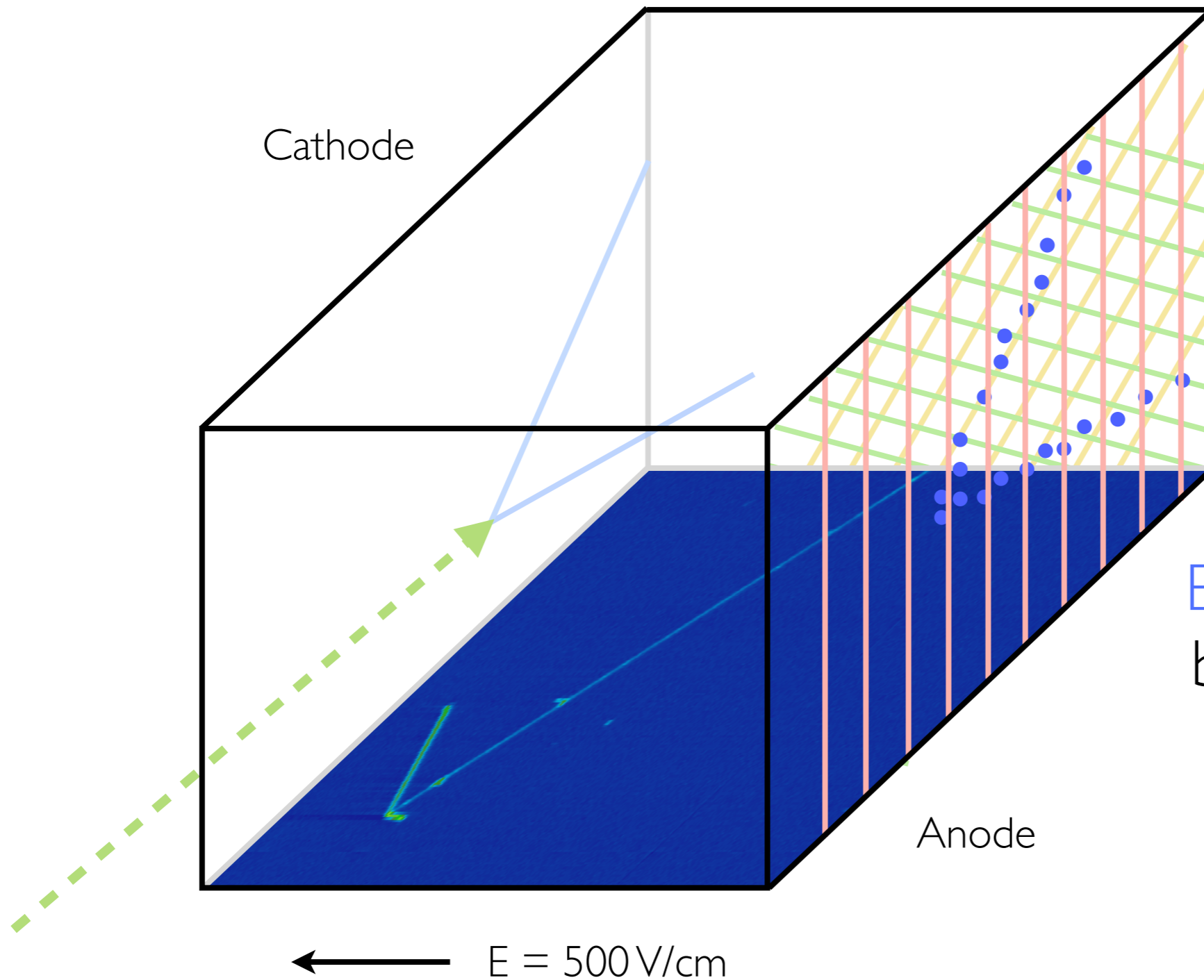
# LArTPC



2 induction planes  
1 collection plane

Electrons detected  
by the wire planes  
at anode,  
providing the  
spatial, kinematic  
information.

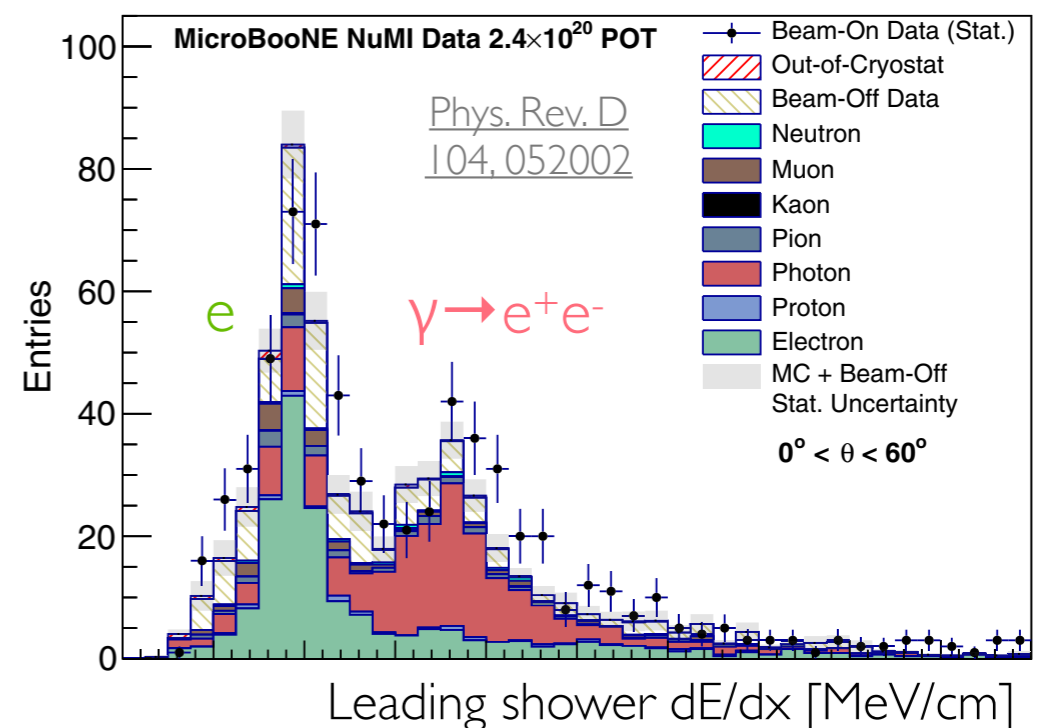
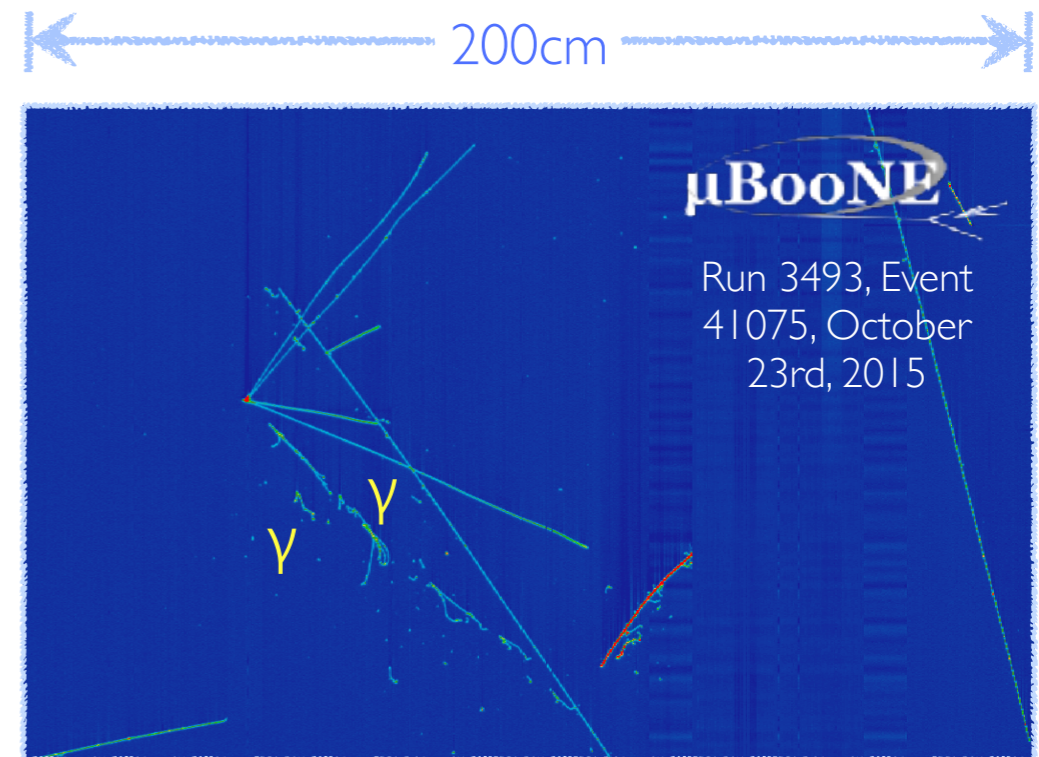
# LArTPC



Electrons detected by the wire planes at anode, providing the spatial, kinematic information.

# LArTPC Features

- LAr: large interaction rate
- Modular and scalable
- Nearly fully instrumented
- Millimeter resolution
- Calorimetric measurement
  - $e/\gamma$  separation
- Low detection threshold for protons
- Supernova  $\nu_e$  ( $E \sim 10$  MeV)
- Charge collection at **millisecond** time-scale



# Slow Detector on Surface

Run 1147 Event 0. August 6<sup>th</sup> 2015 16:59

$\mu$ BooNE

Challenges:

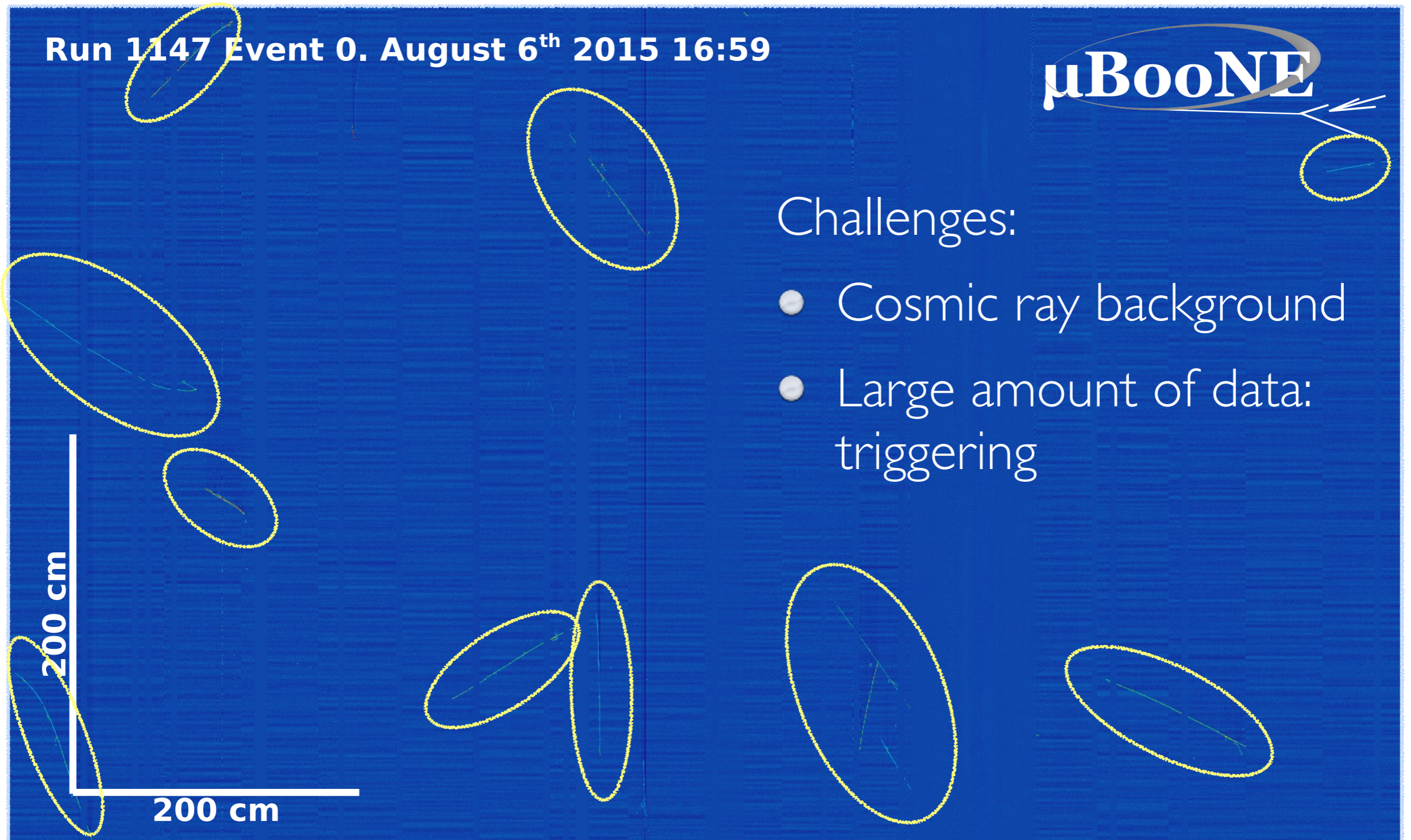
- Cosmic ray background
- Large amount of data: triggering

200 cm

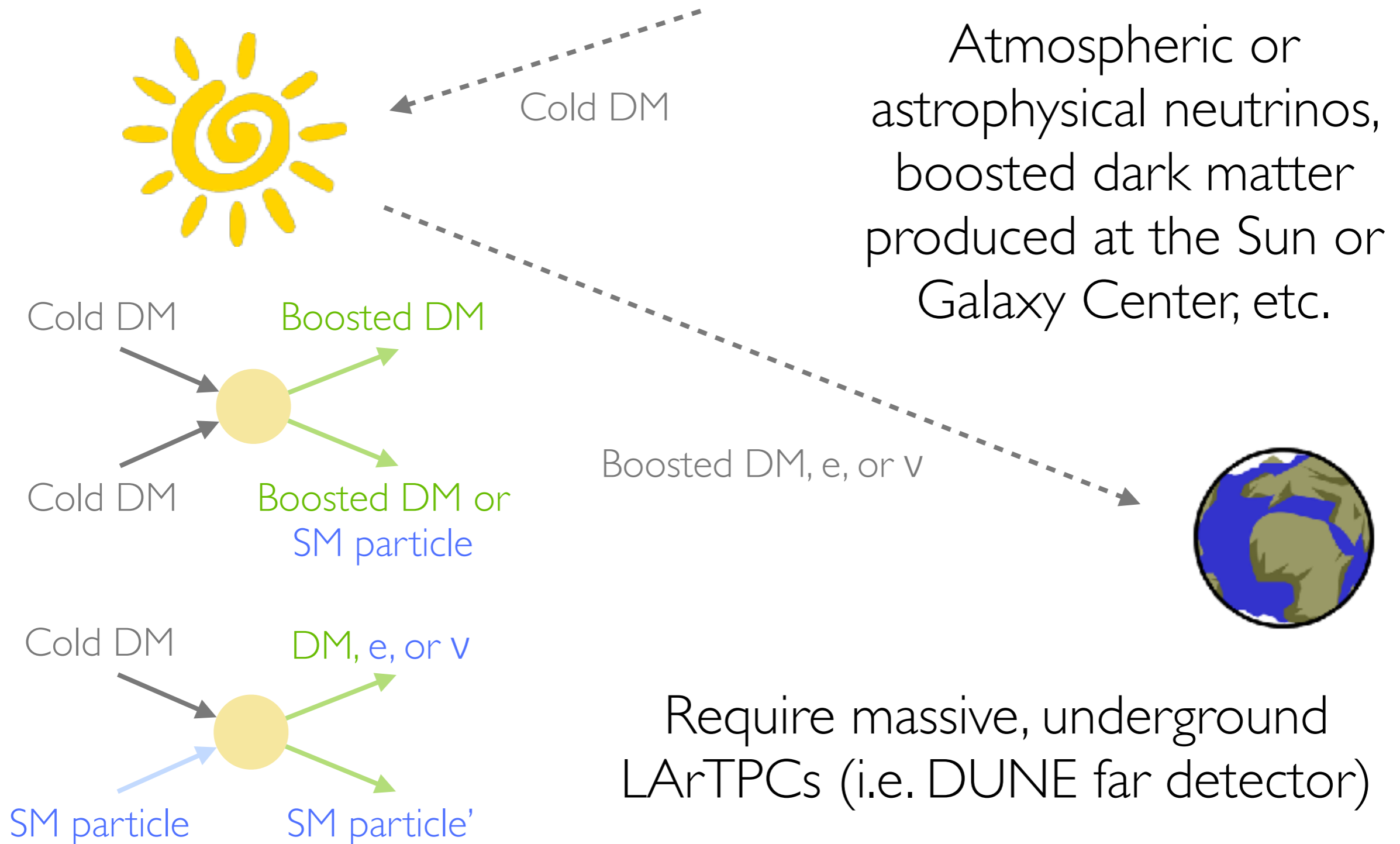
200 cm



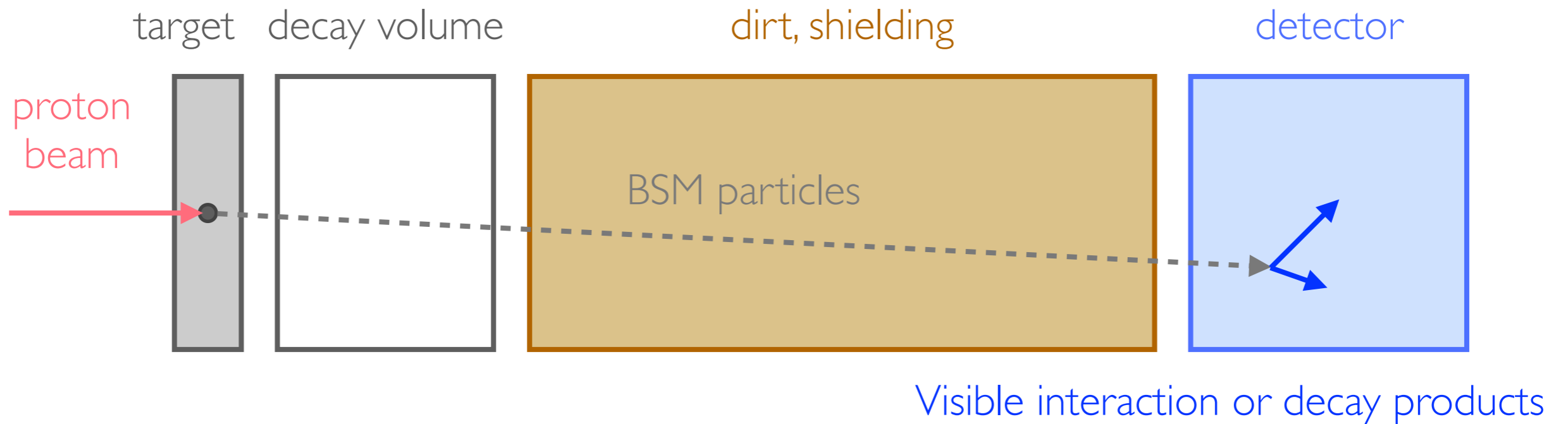
# Slow Detector on Surface



# Not Ideal for Natural Source

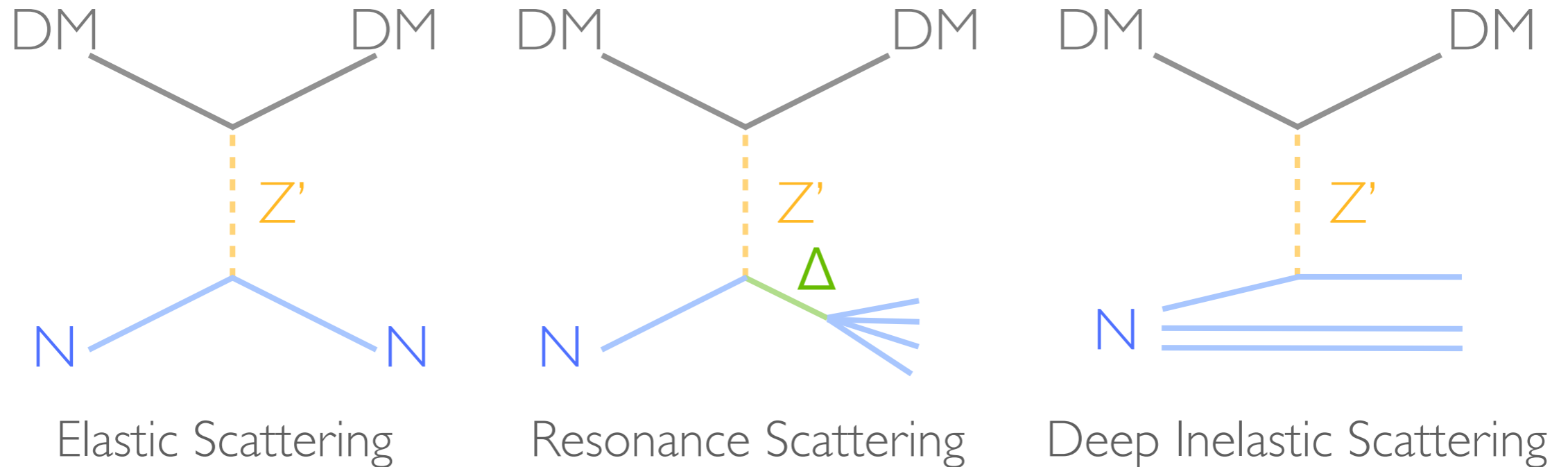


# Neutrino Beam



- High intensity proton beam + fixed target
- Produce charged and neutral mesons:  $\pi^\pm$ ,  $K^\pm$ ,  $\pi^0$ , etc.
- BSM particles produced via Higgs, vector, neutrino, ALP portals, dark neutrinos,  $\nu$ philic mediators, etc.
- Detect SM particles from interactions or decays of the BSM particles

# Detection: Interaction



- **BSM-electron** scattering: e signature
- **BSM-nucleon** scattering: typically neutral current-like
  - Nuclear effects smear the topology
- Challenging on modeling BSM signals, and reducing and precisely constraining neutrino background

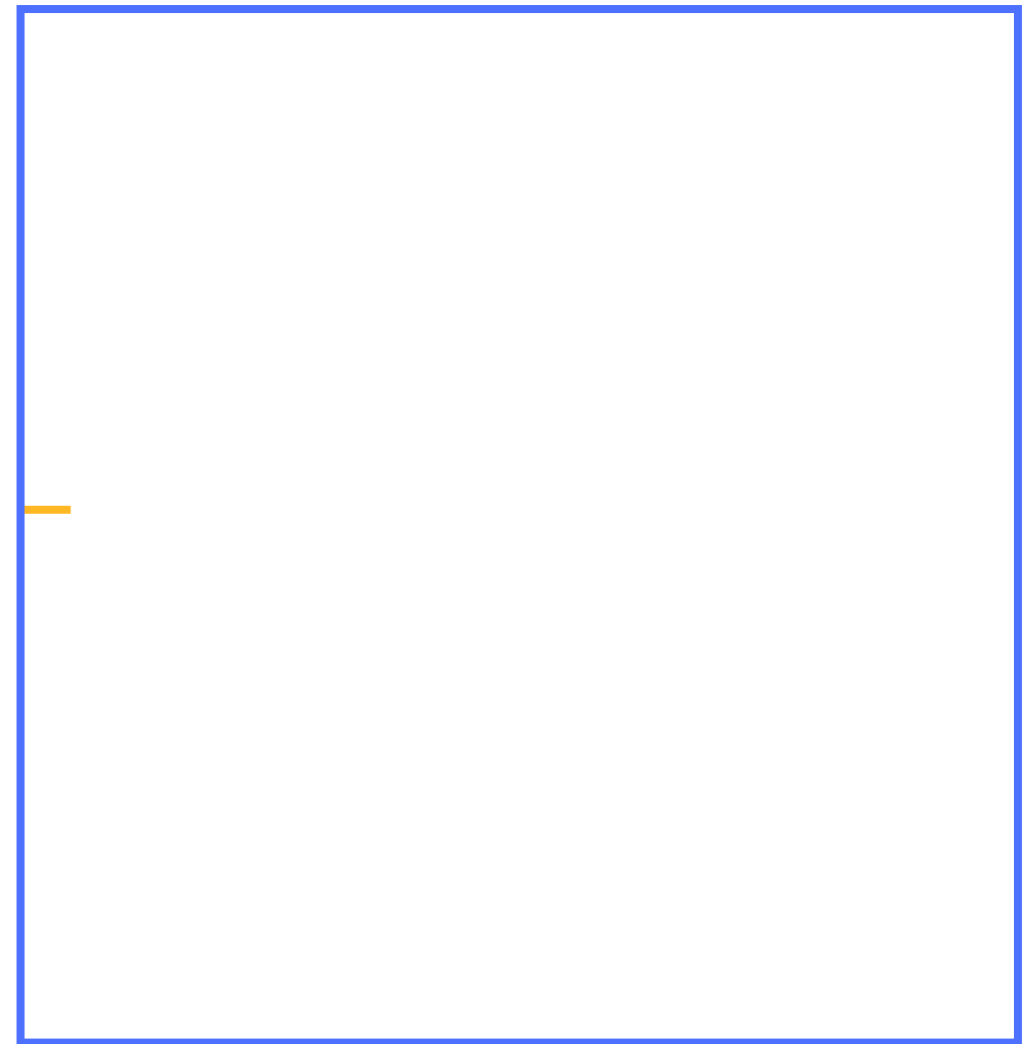
# Detection: Decay Products

BSM particle travels  
along the neutrino  
beam line and decay in  
flight or at rest

N



Detector



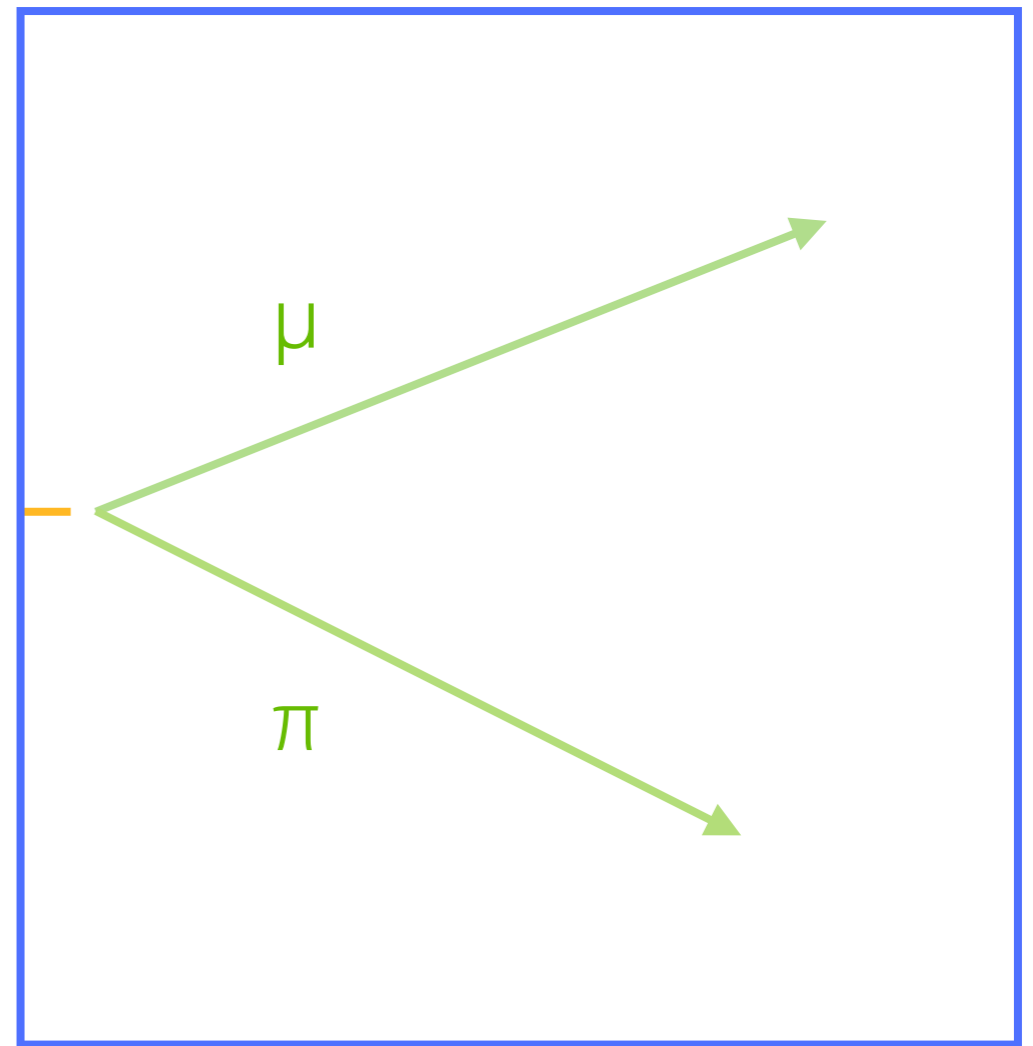
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$N$

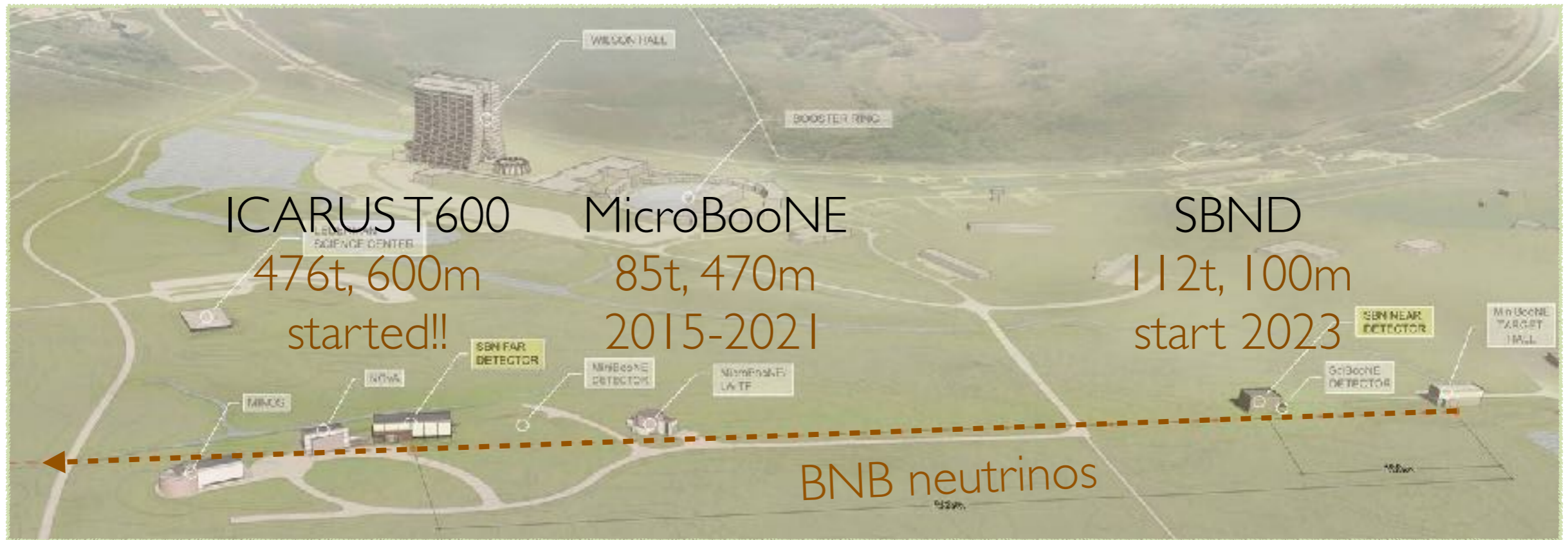
Effectively event rate  
production  $\times$  decay rate.  
Example: heavy neutral  
lepton ( $N$ ) decays into  $\mu\pi$

Detector



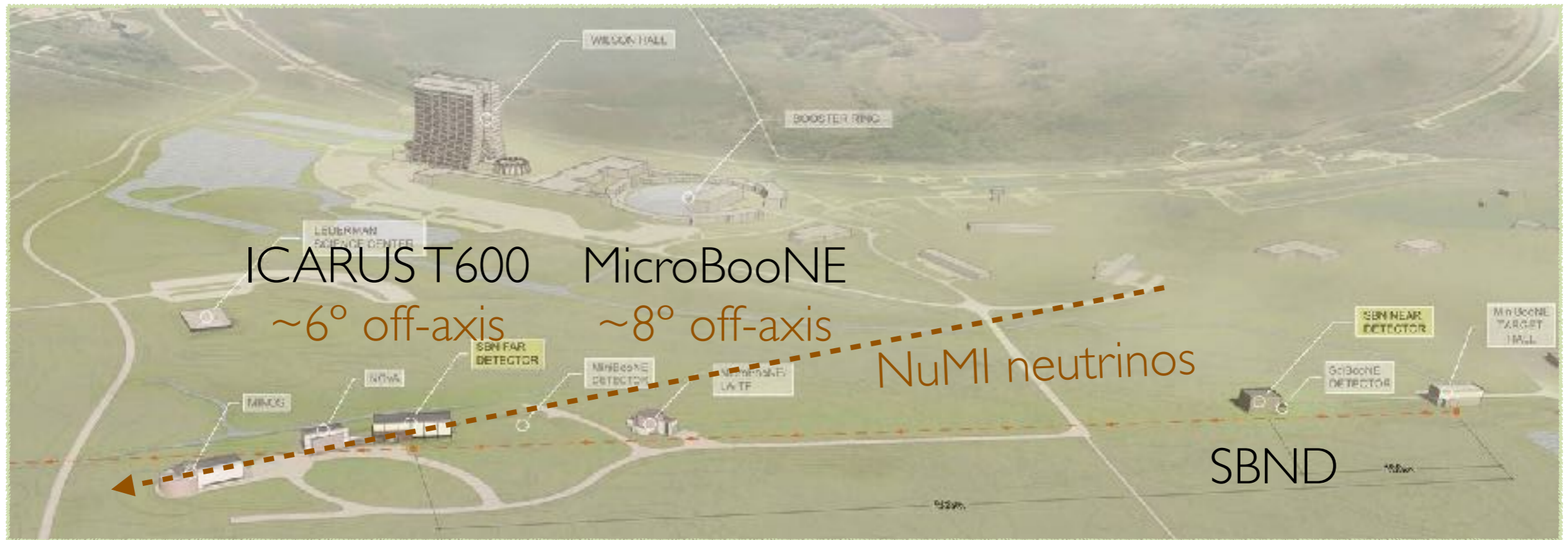
A decay channel

# Short Baseline Program



- eV-scale sterile  $\nu$ : measured by  $\nu_\mu \rightarrow \nu_e$  oscillation
- Measure  $\nu$ -Ar cross section
- BNB  $\nu_\mu$ : 8 GeV protons,  $\nu$  energy peak at  $\sim 600$  MeV
- 3 LArTPC detectors on surface in different baselines

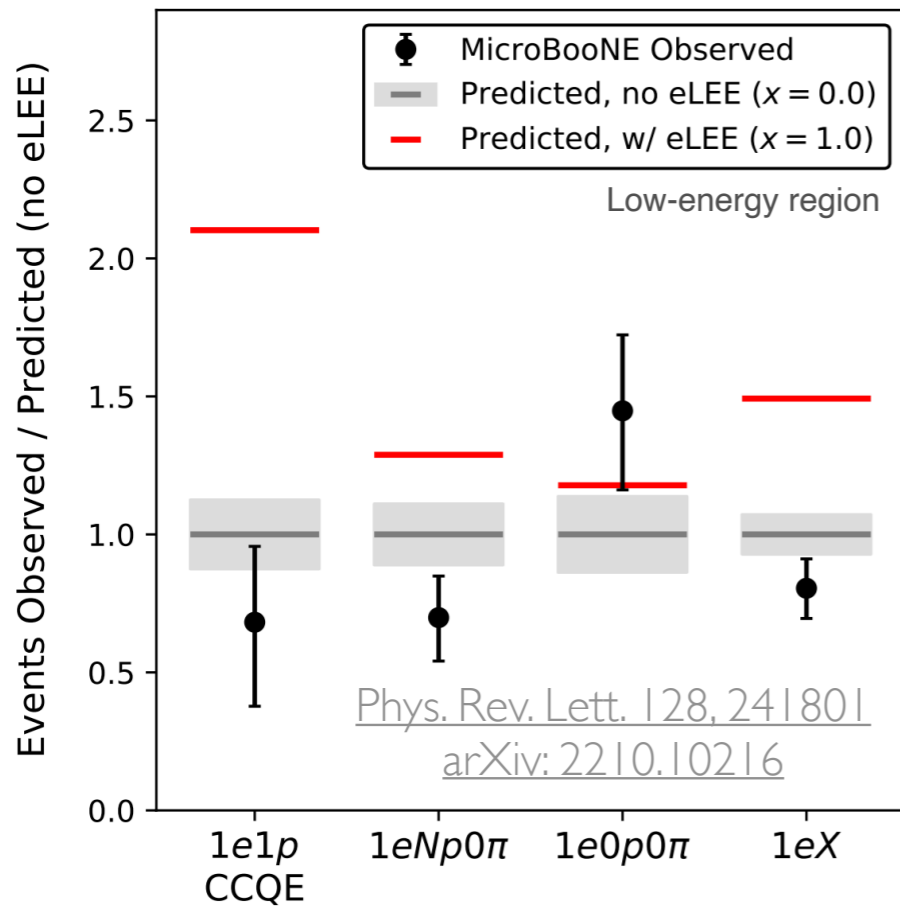
# Free Neutrinos from NuMI



- NuMI  $\nu_\mu$ : 120 GeV protons
- Off-axis neutrino beams for MicroBooNE and ICARUS
- $\nu$ -Ar cross section measurements
- BSM searches from both BNB and NuMI

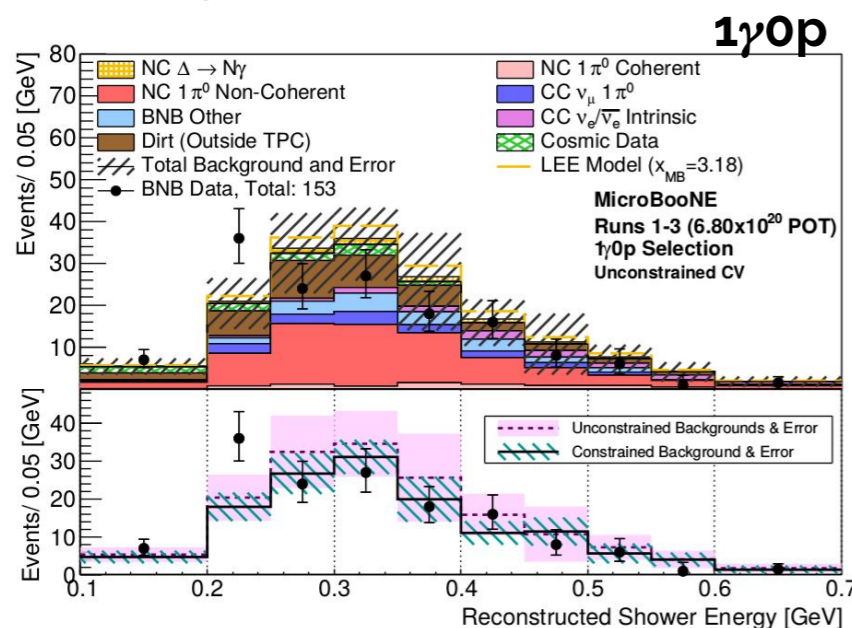


# BSM Search@Short Baselines



- eV-scale sterile neutrino search by  $\nu_\mu \rightarrow \nu_e$
- LSND & MiniBooNE anomalies
- $\nu$ -Ar cross section measurements
- Search for BSM particles
- Require more dedicated tools

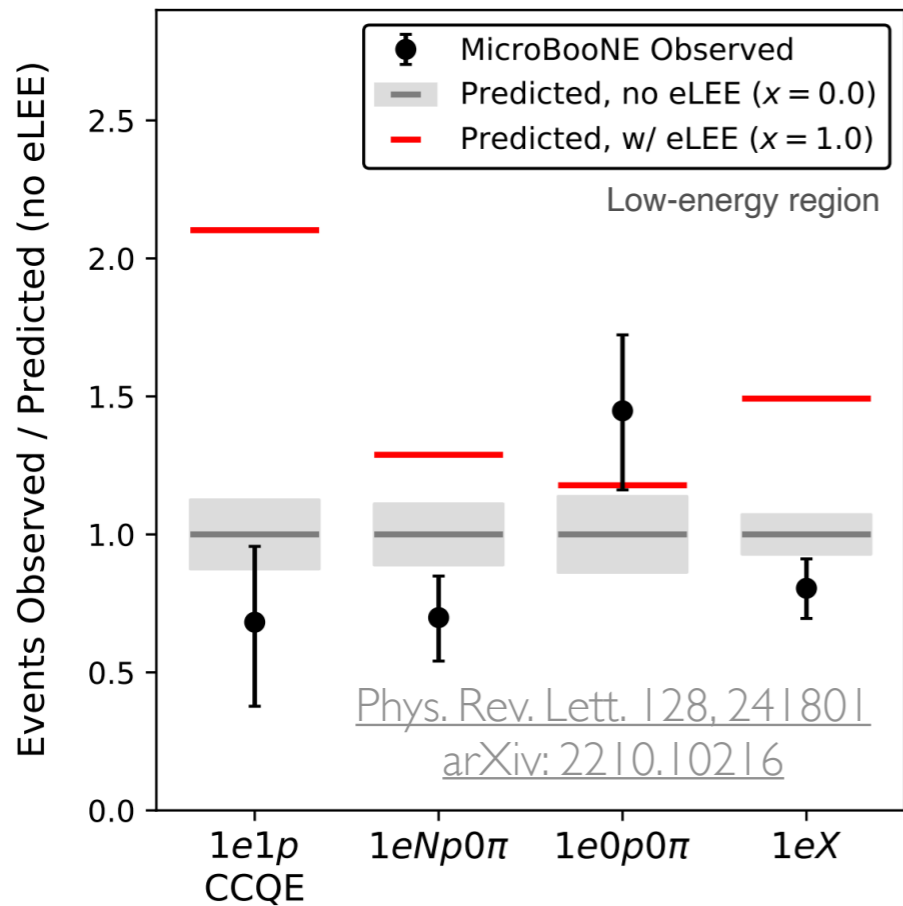
## Today's menu



Phys. Rev. Lett. 128, 111801

- Neutrino portal: Heavy neutral lepton (HNL)
- Higgs portal scalar particle (HPS)
- Physics motivation in Hostert and Putnam's talks

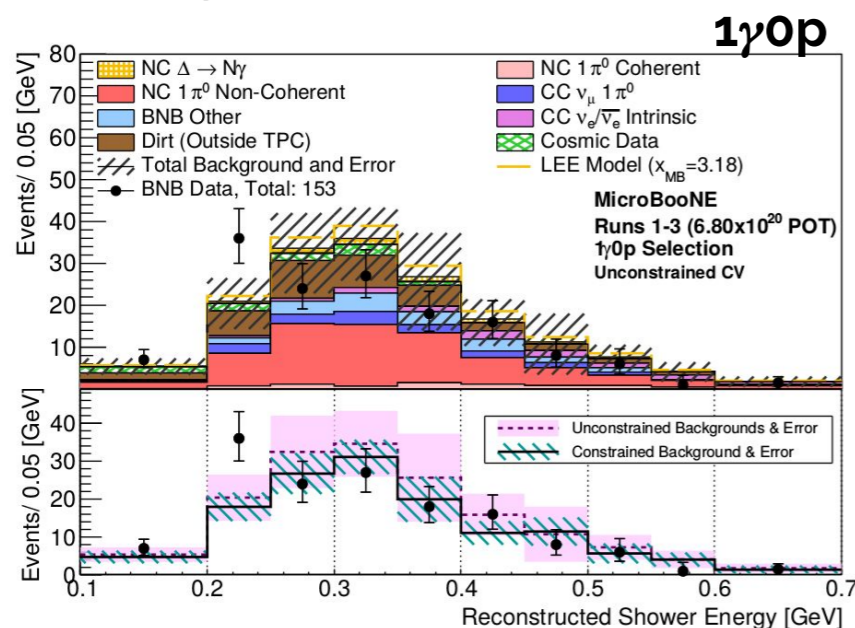
# BSM Search@Short Baselines



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Phys. Rev. Lett. 128, 111801

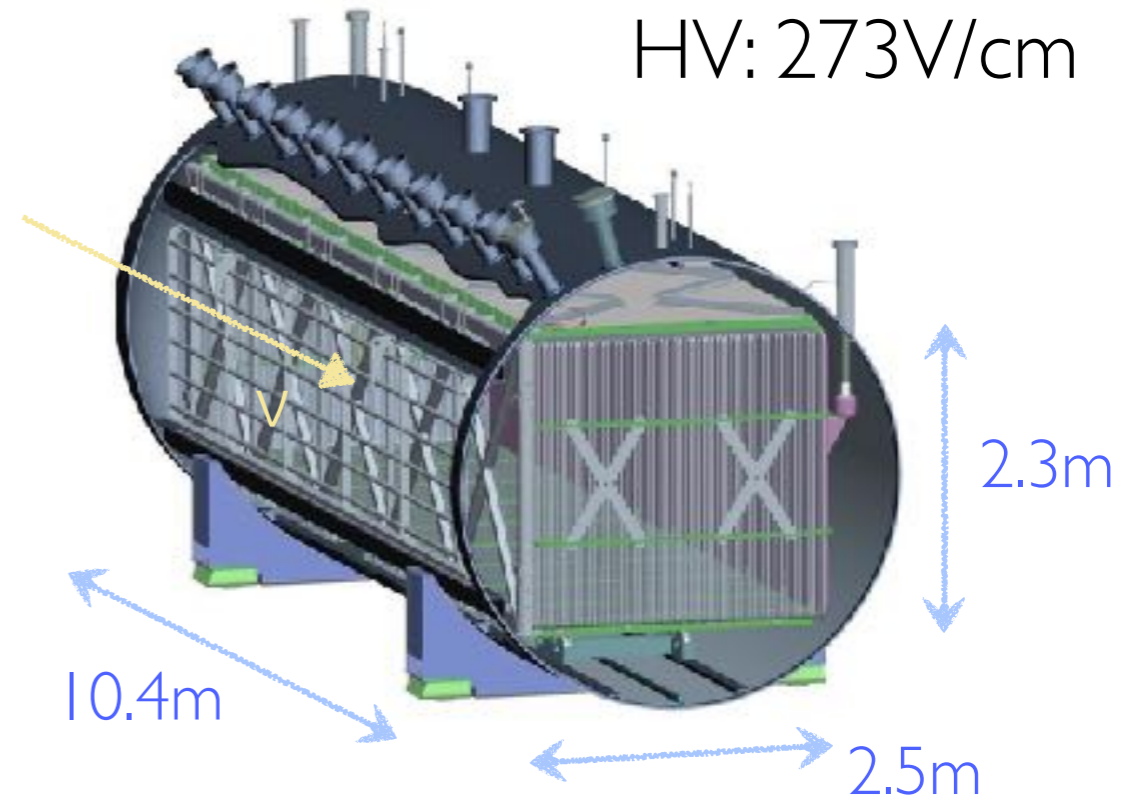
A photograph of the MicroBooNE detector components in a laboratory. The detector consists of several large, cylindrical stainless steel vessels arranged in a row. An orange banner is draped across the middle of the vessels, featuring the text "MicroBooNE - Doing Big Physics" and logos for Fermilab and ENERGY. The vessels are supported by a complex metal structure with red beams. In the background, a person in a blue shirt is visible near a large window. The scene is brightly lit, suggesting an indoor industrial or research environment.

# BSM Searches at MicroBooNE

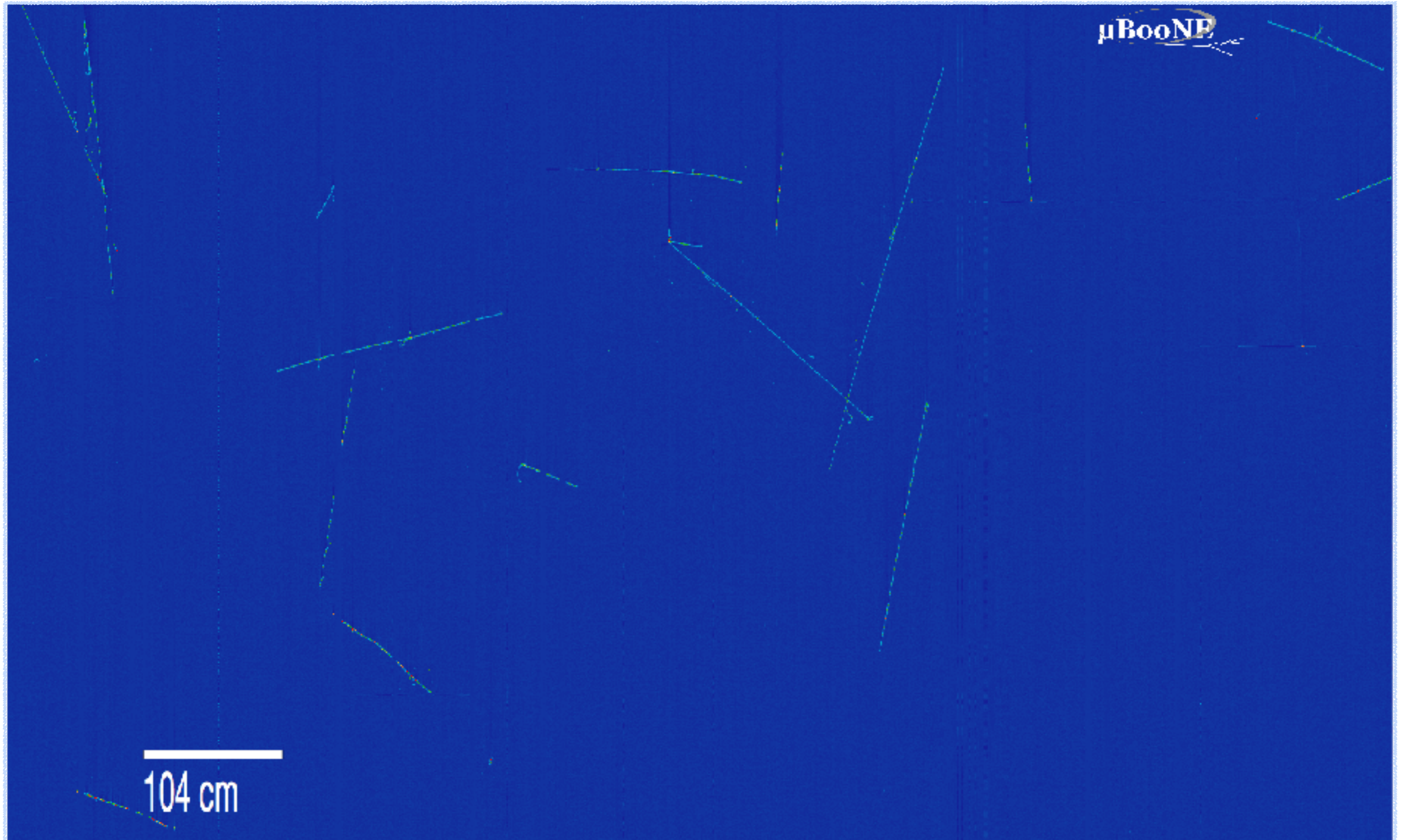
Publication: <https://microboone.fnal.gov/documents-publications/>

# MicroBooNE

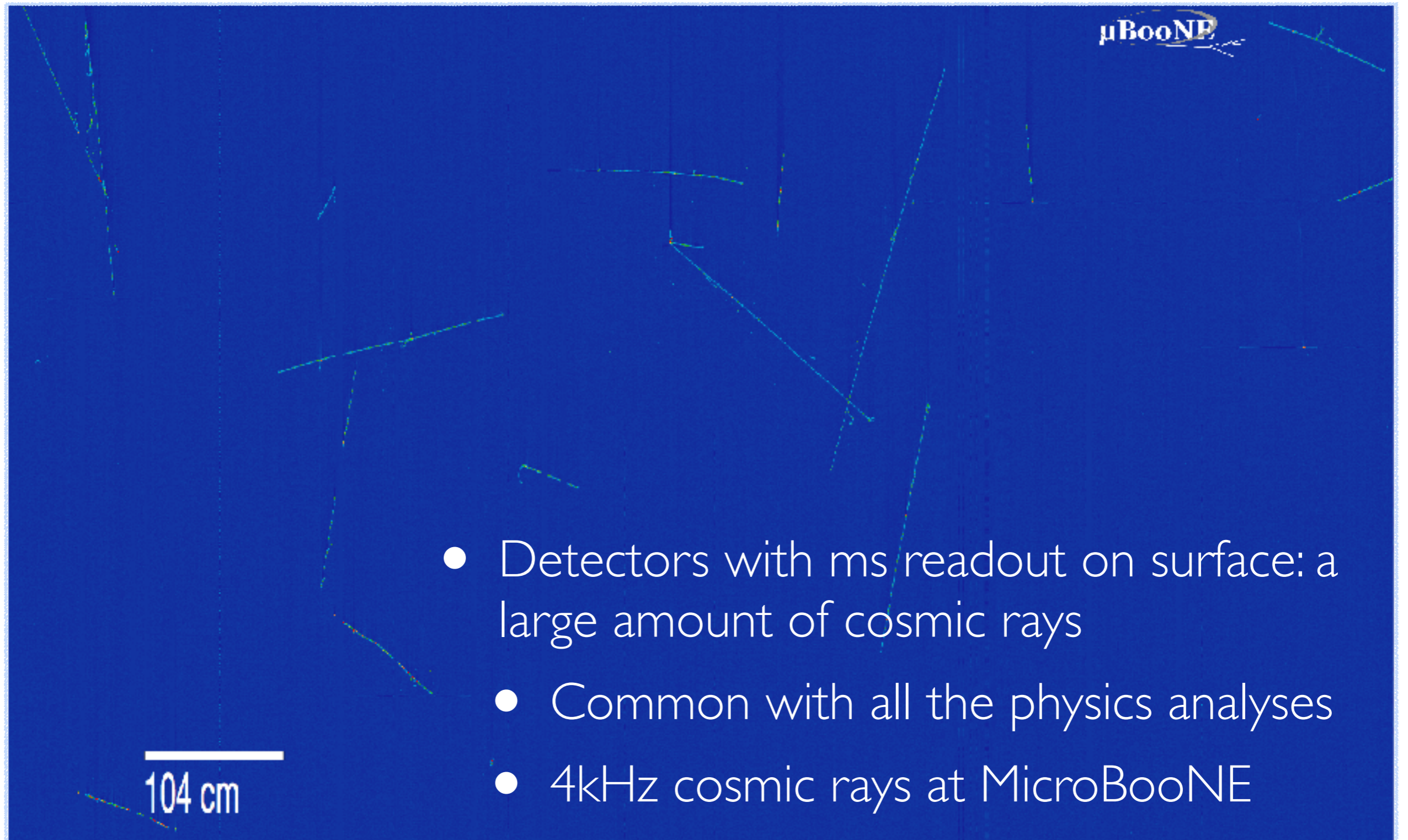
- LAr: 85 tons (active)
- I TPC: 2.3m x 2.5m x 10.4m
  - 8192 wires, 3-mm pitch
  - 2MHz sampling rate
- Light collection
  - 32 PMTs + 4 paddle PMTs
  - 64MHz sampling rate
- Cosmic ray tagger
- Operation: 2015-2021, collected  $1.5 \times 10^{21}$  POT



# Background: Cosmic Rays



# Background: Cosmic Rays



# Background: SM Neutrinos

$\mu$ BooNE

Detectors designed for neutrinos: neutrinos as the second main background for other BSM searches

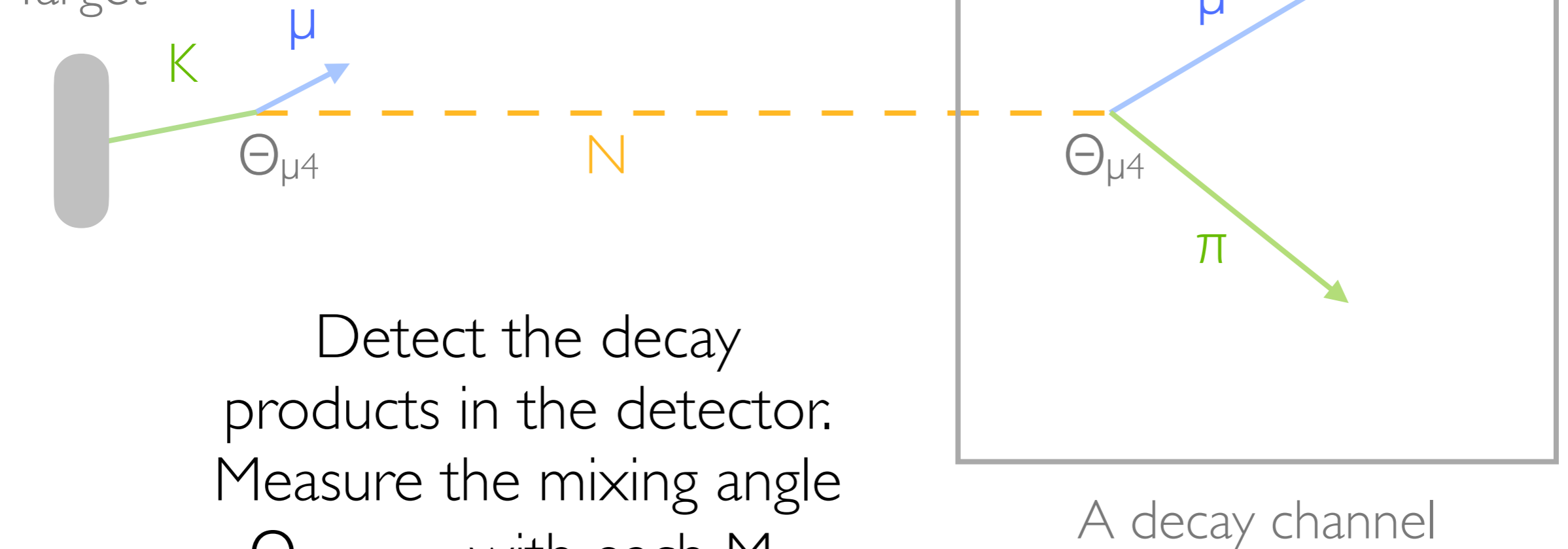
30 cm

Run 3471 Event 54287, October 21<sup>st</sup>, 2015

# Heavy Neutral Lepton

HNL ( $N$ ) travels along the neutrino beam line and decay in flight

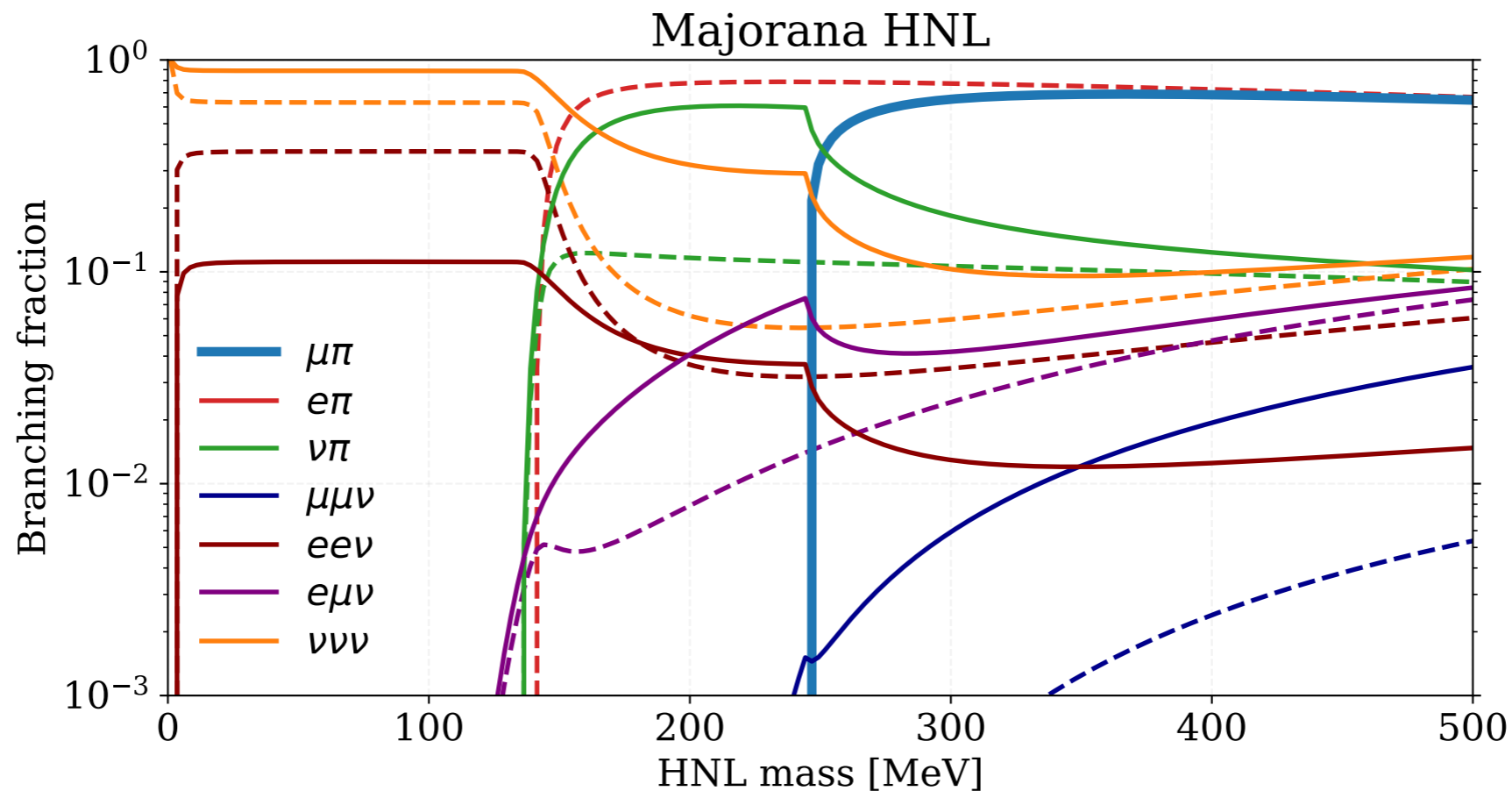
Target



Detect the decay products in the detector. Measure the mixing angle  $\Theta_{\mu 4, e 4, \tau 4}$  with each  $M_N$

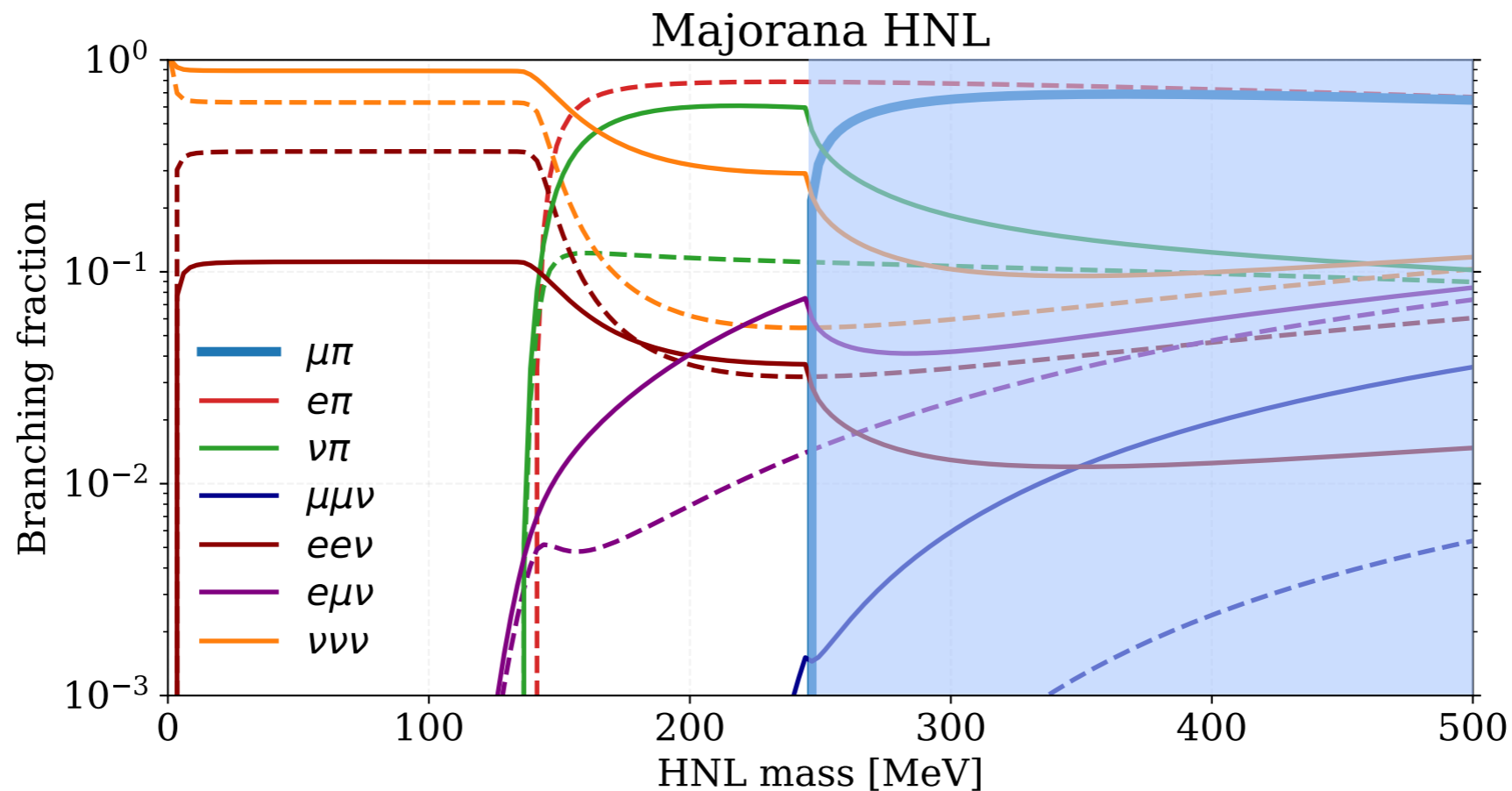


# Decay Channels



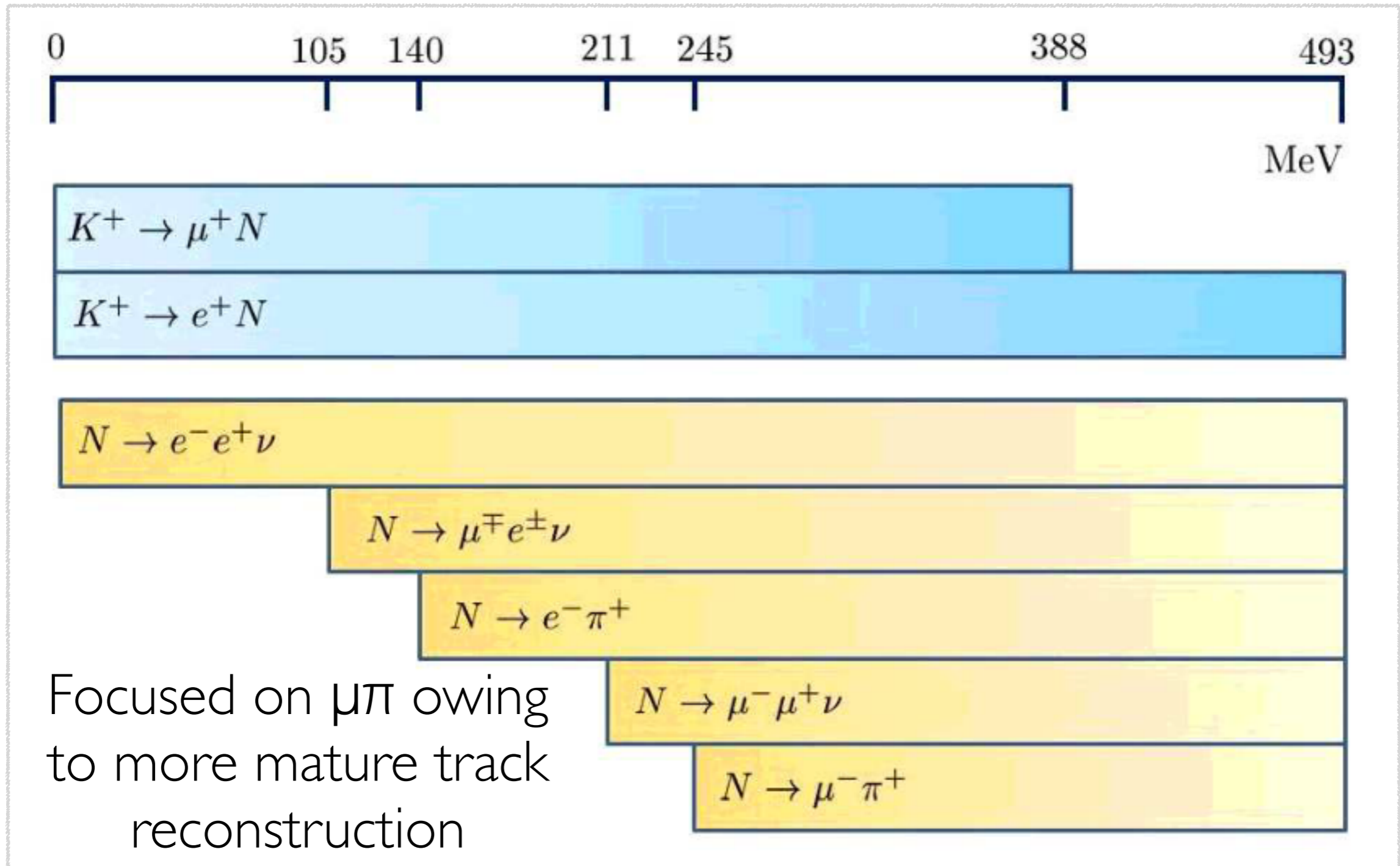
- 2 or 3-body decays
- Charged current:  $N \rightarrow \gamma \nu$ ,  $N \rightarrow \mu e \nu$ ,  $N \rightarrow e \pi$ ,  $N \rightarrow \mu \pi$
- Charged and neutral current:  $N \rightarrow 3 \nu$ ,  $N \rightarrow e e \nu$ ,  $N \rightarrow \nu \pi^0$ ,  $N \rightarrow \mu \mu \nu$

# Decay Channels

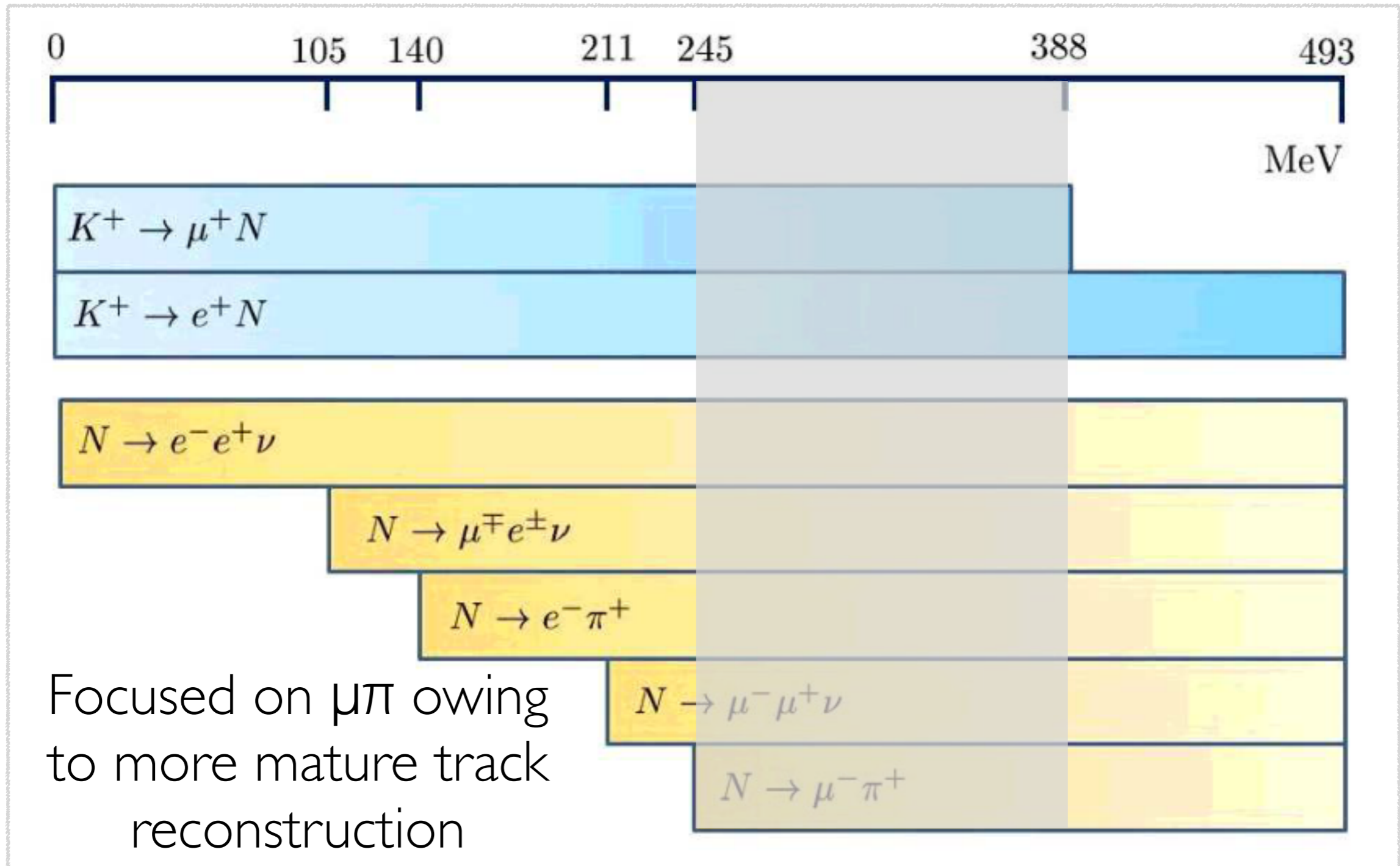


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- Charged and neutral current:  $N \rightarrow 3\nu$ ,  $N \rightarrow ee\nu$ ,  
 $N \rightarrow \nu\pi^0$ ,  $N \rightarrow \mu\mu\nu$

# Sensitivity on HNL Mass



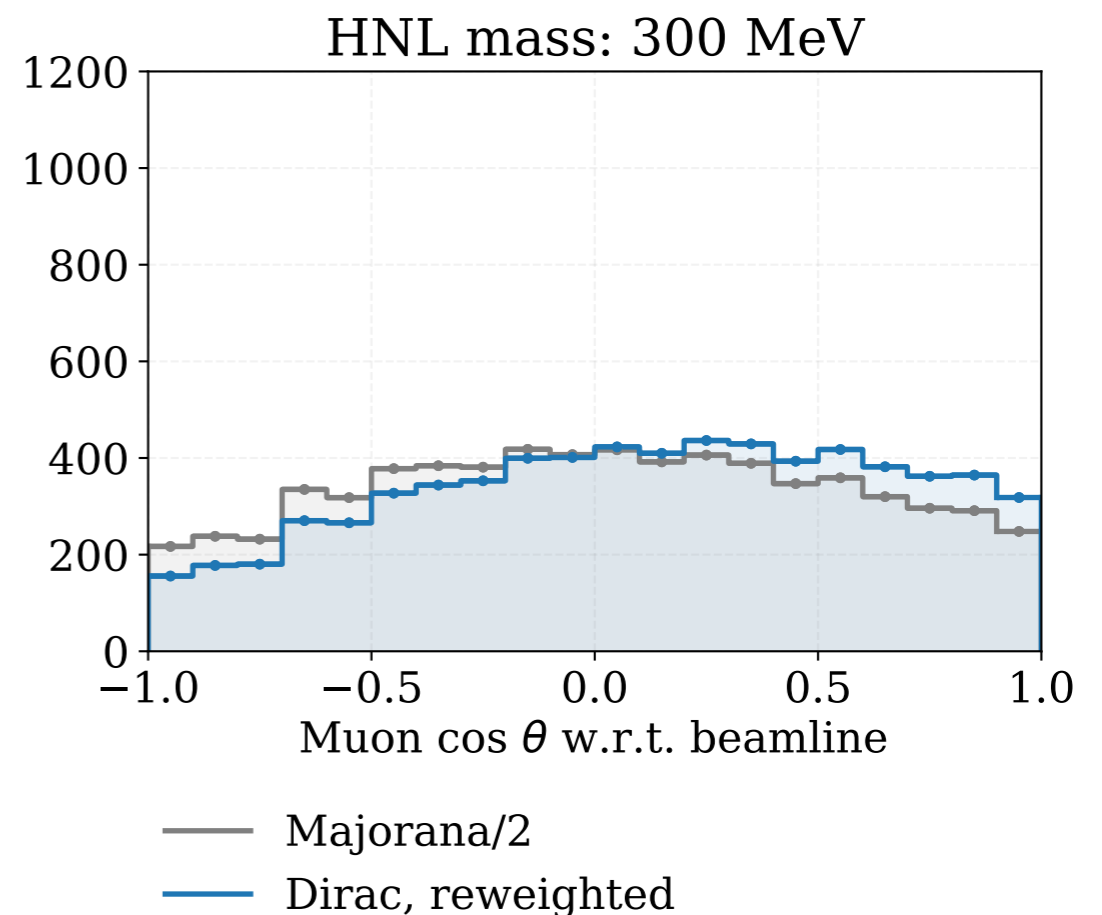
# Sensitivity on HNL Mass



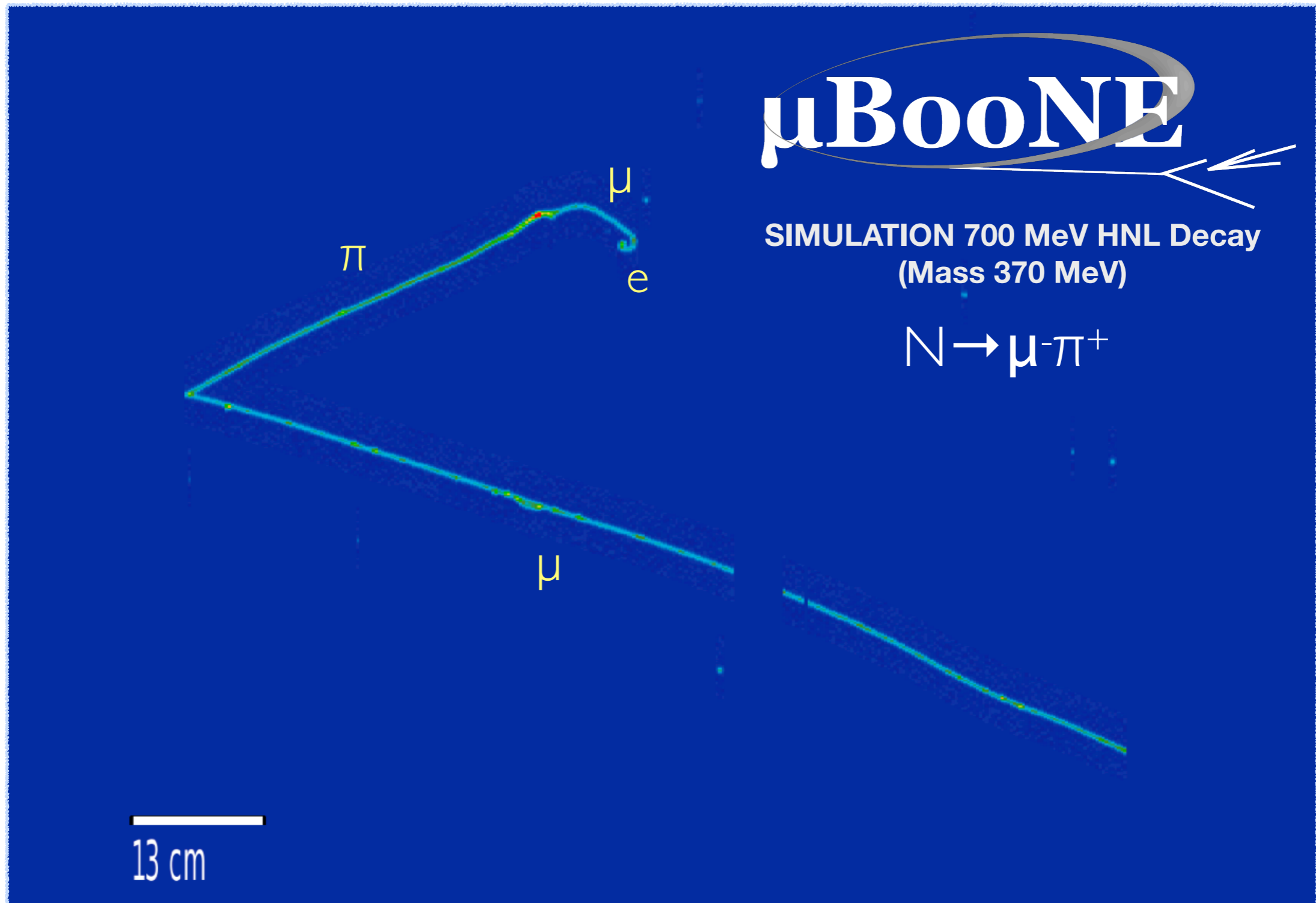
# Majorana v.s. Dirac

- HNL can be a Majorana or Dirac particle
- Majorana HNL
  - $N \rightarrow \mu^- \pi^+$  and  $N \rightarrow \mu^+ \pi^-$
  - Sum of  $\mu^- \pi^+$  and  $\mu^+ \pi^-$  is isotropic in the HNL rest frame
- Dirac HNL
  - $N \rightarrow \mu^- \pi^+$  only
  - Half event rate compared to Majorana HNL
  - Asymmetric angular spectrum

We use the Majorana assumption in the following slides, while presenting the results for both the cases

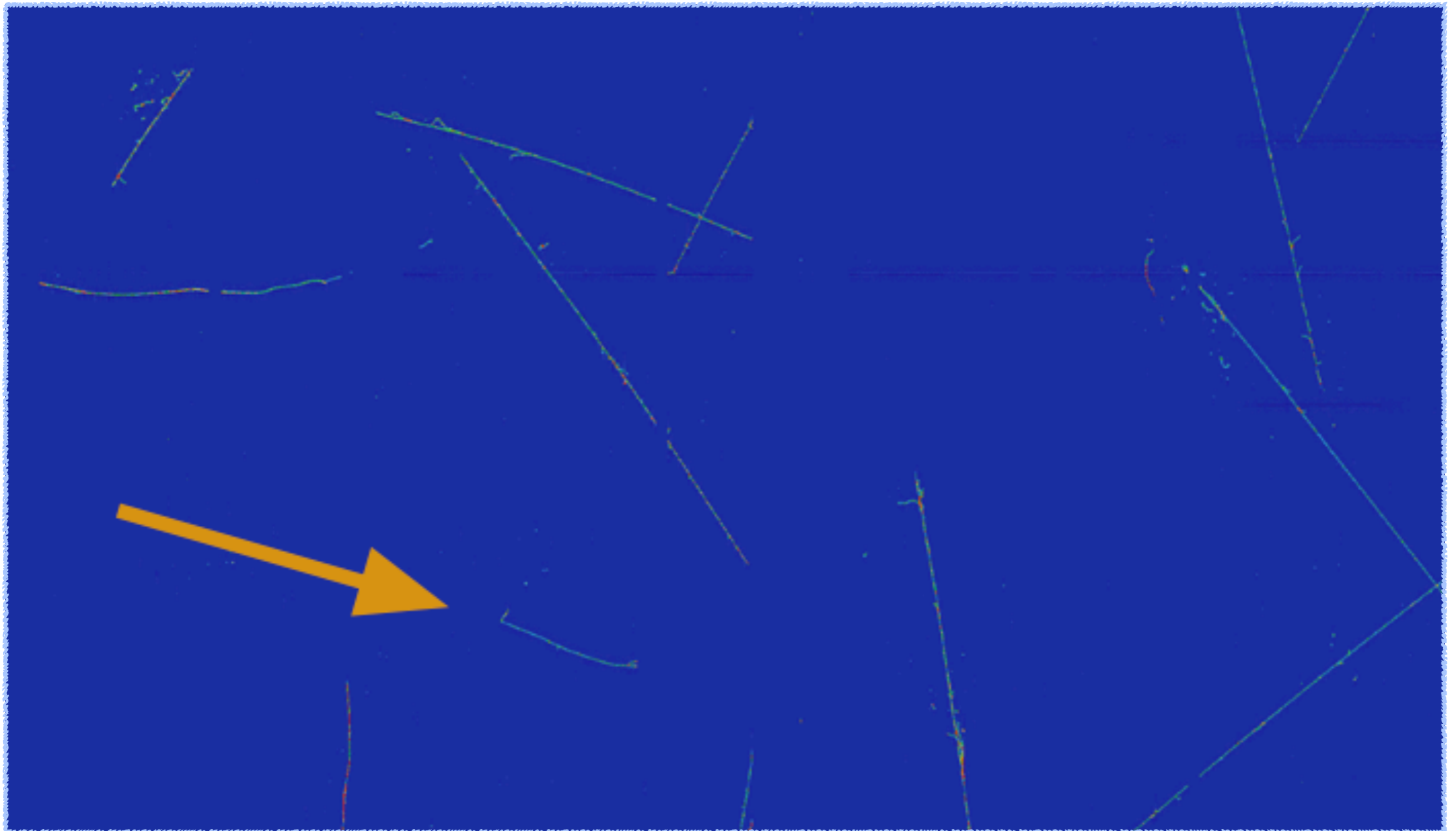


# Simulated HNL Event



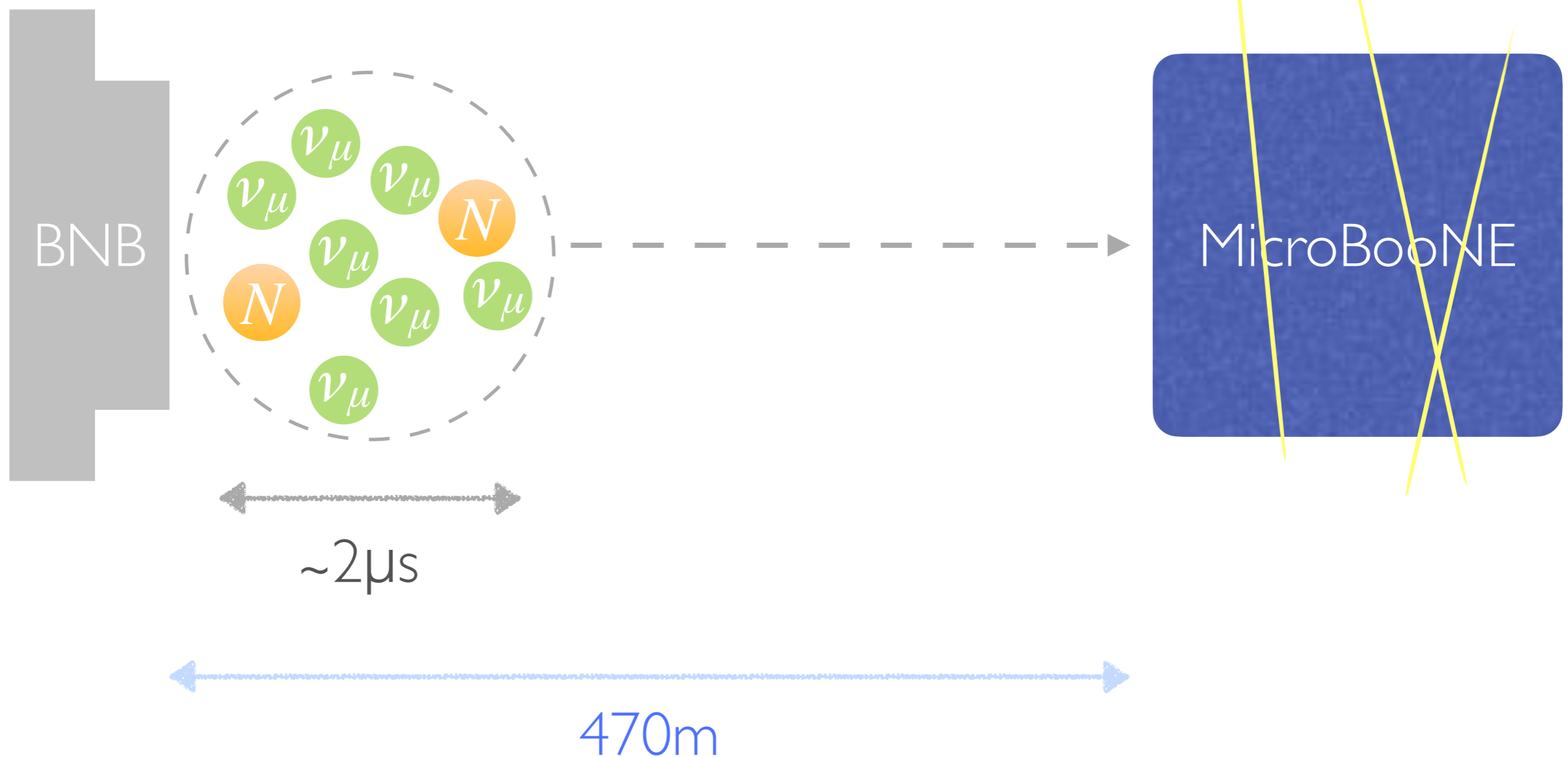
# Event with an HNL

A simulated HNL overlaid with a pure cosmic data event



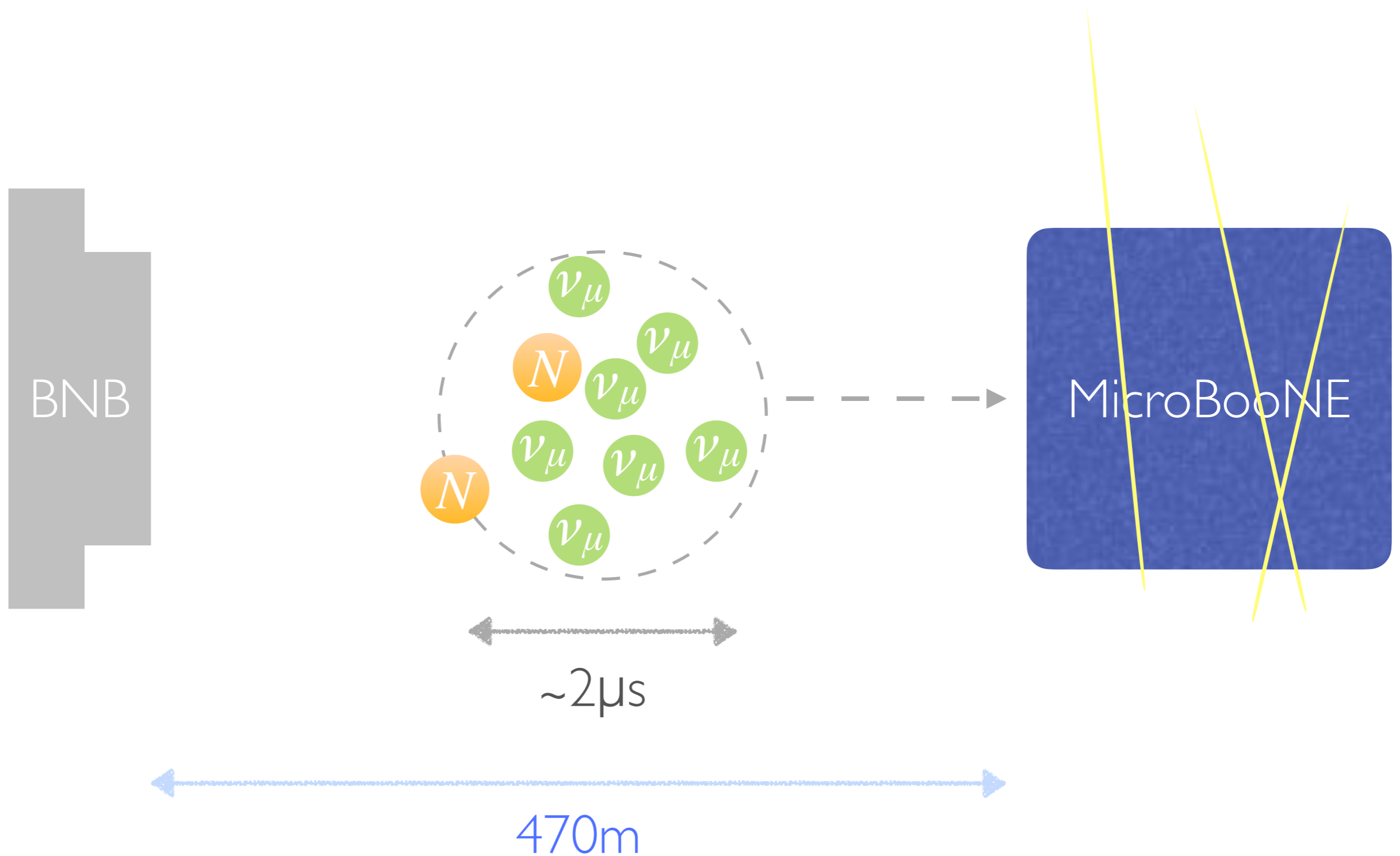
# Time of Flight

2020 analysis, BNB  $2 \times 10^{20}$  POT  
Phys. Rev. D 101 052001

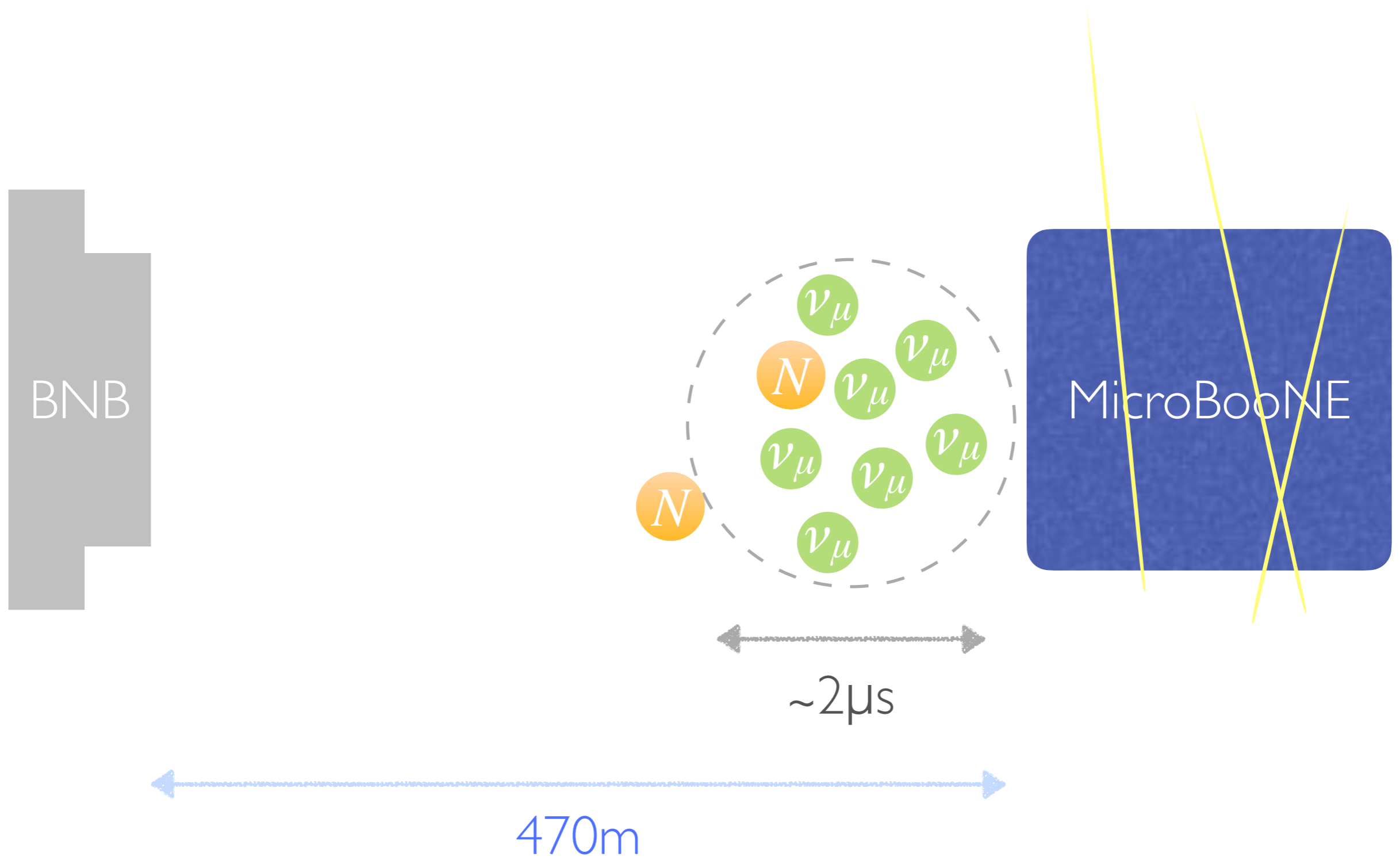




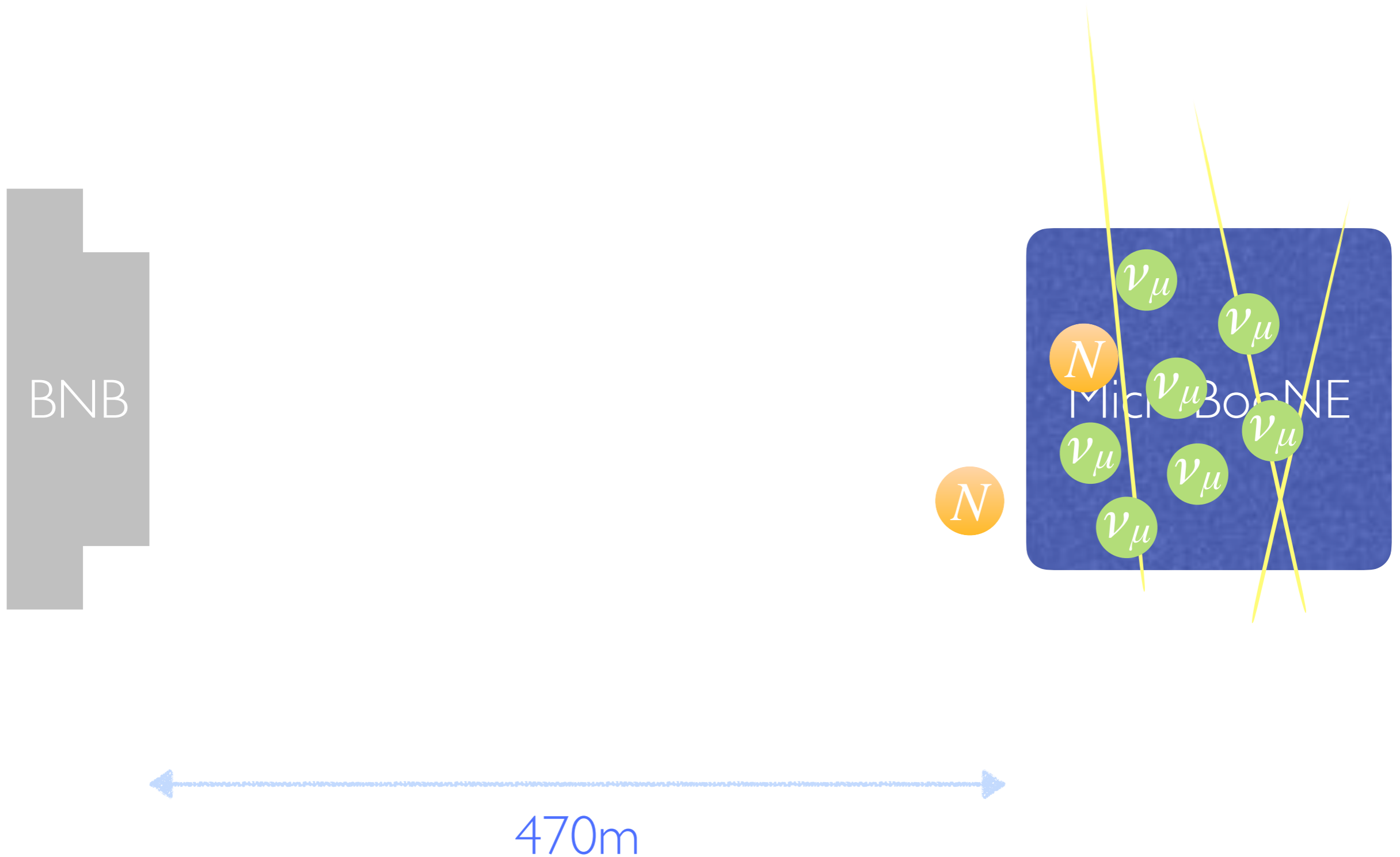
# Time of Flight



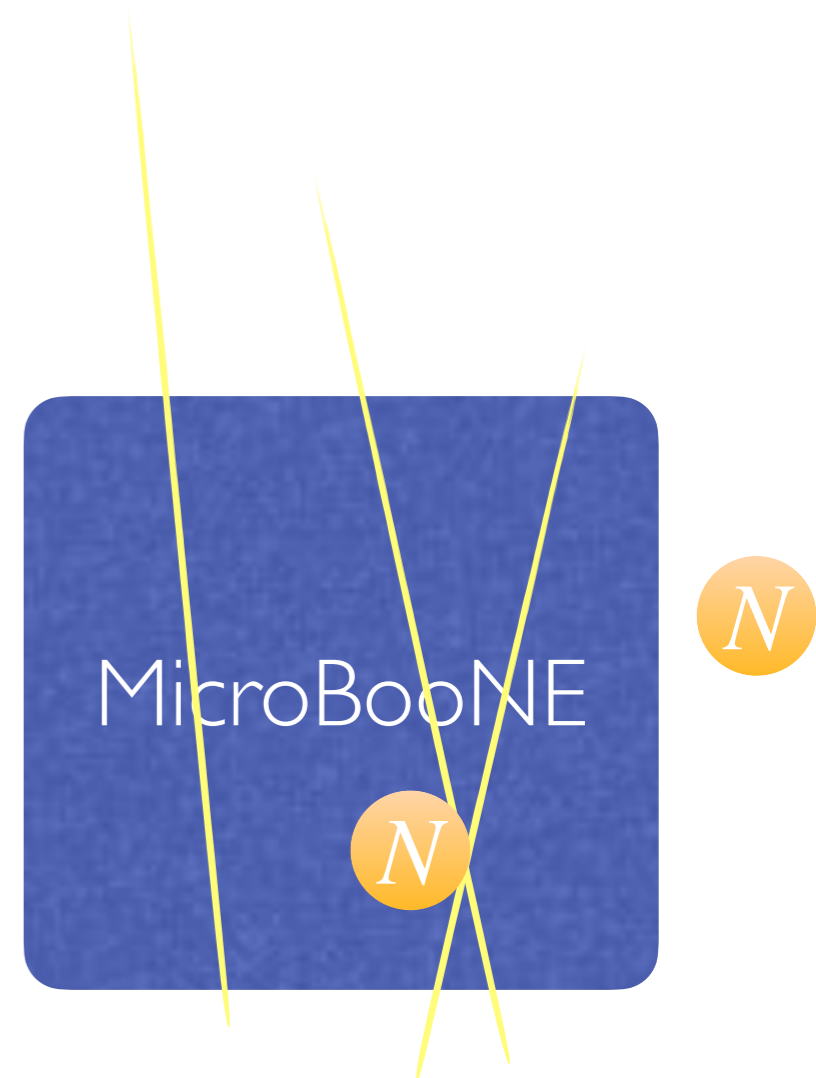
# Time of Flight



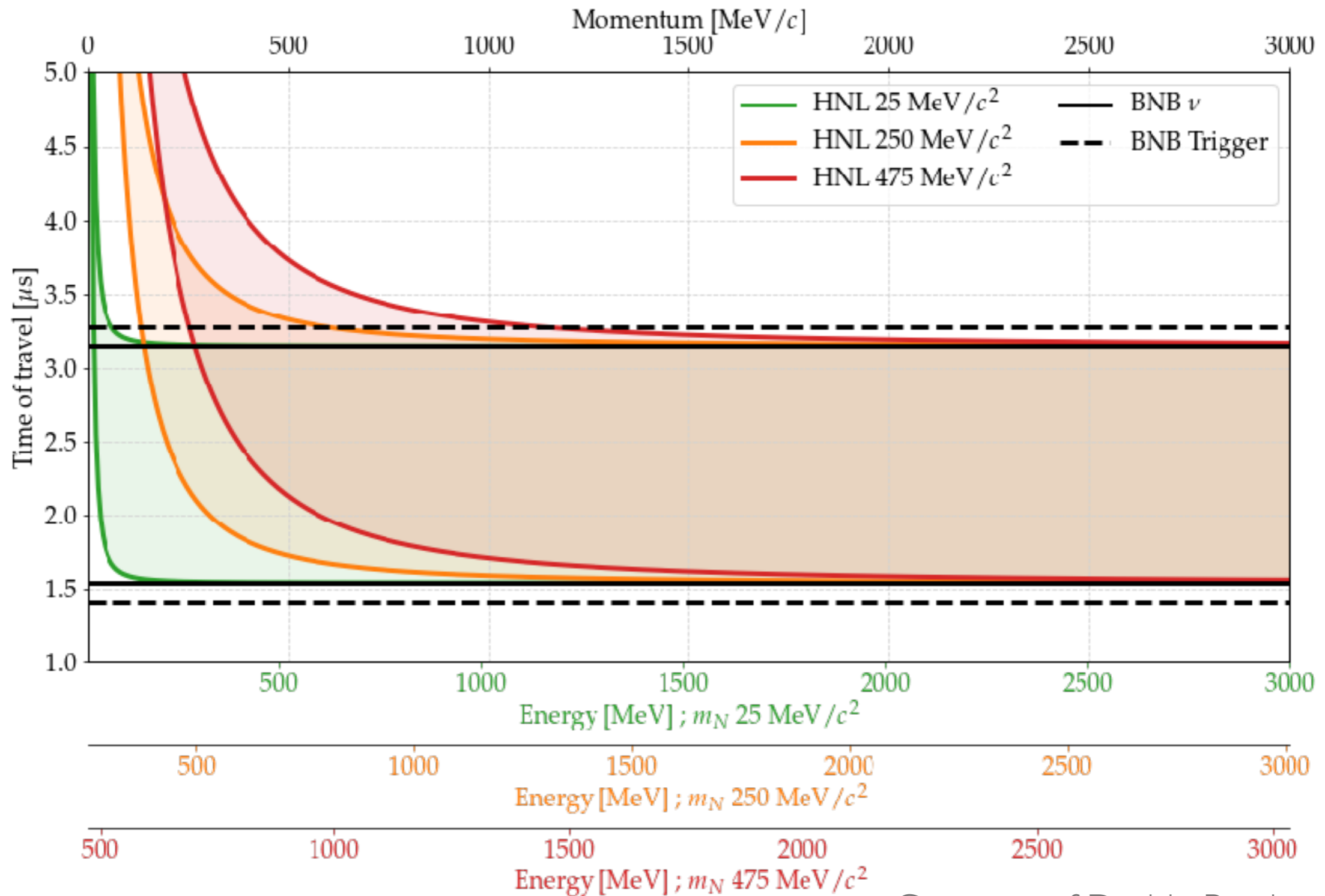
# Time of Flight



# Time of Flight

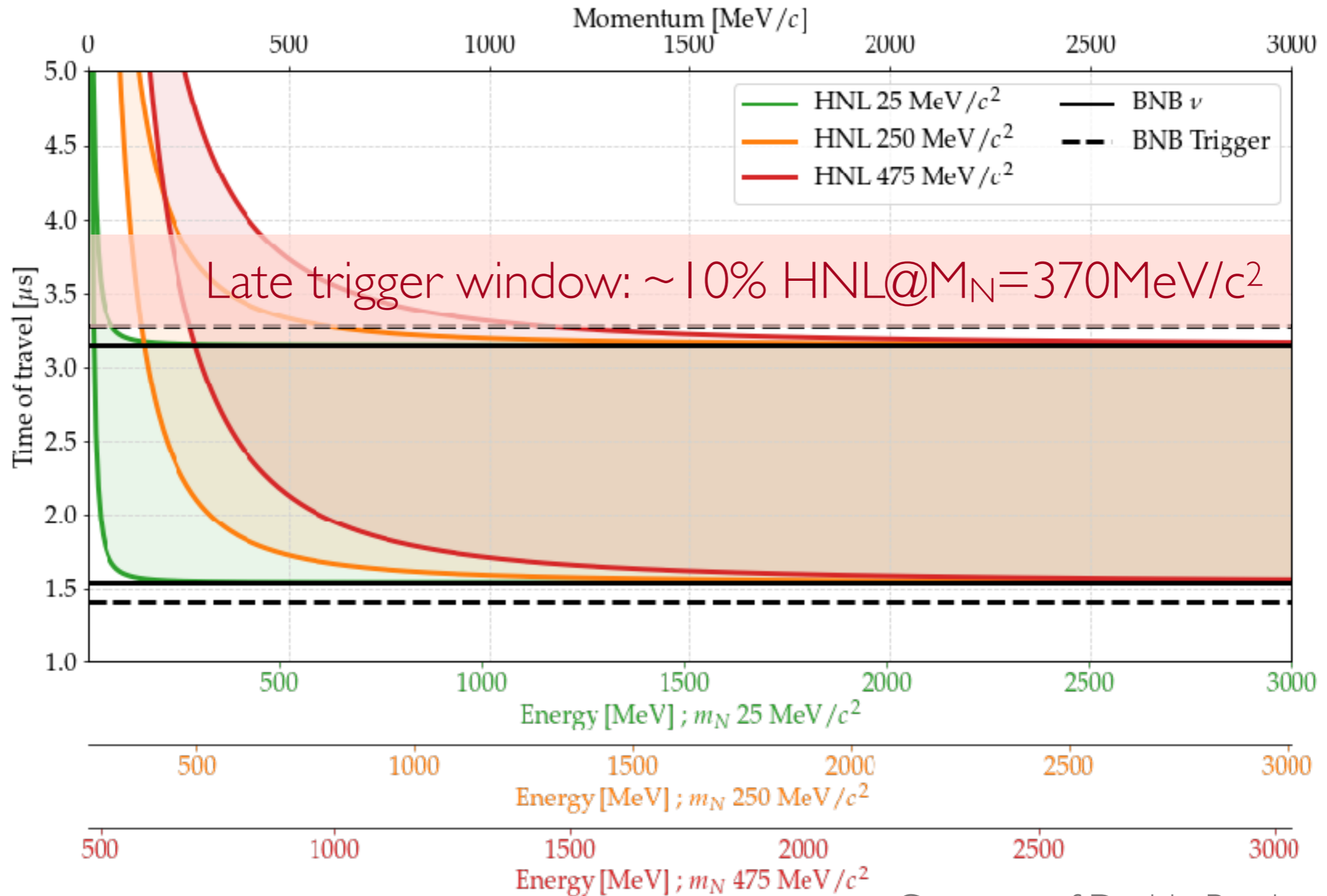


# HNL Late Trigger Window



Courtesy of Davide Porzio

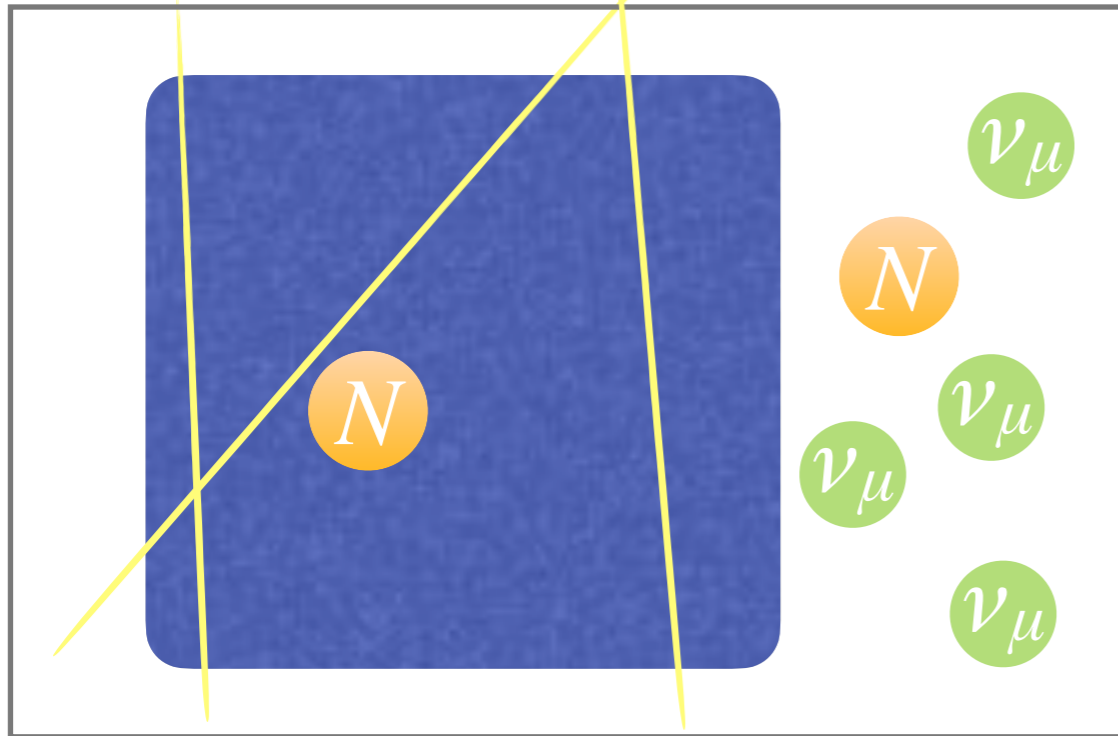
# HNL Late Trigger Window



Courtesy of Davide Porzio

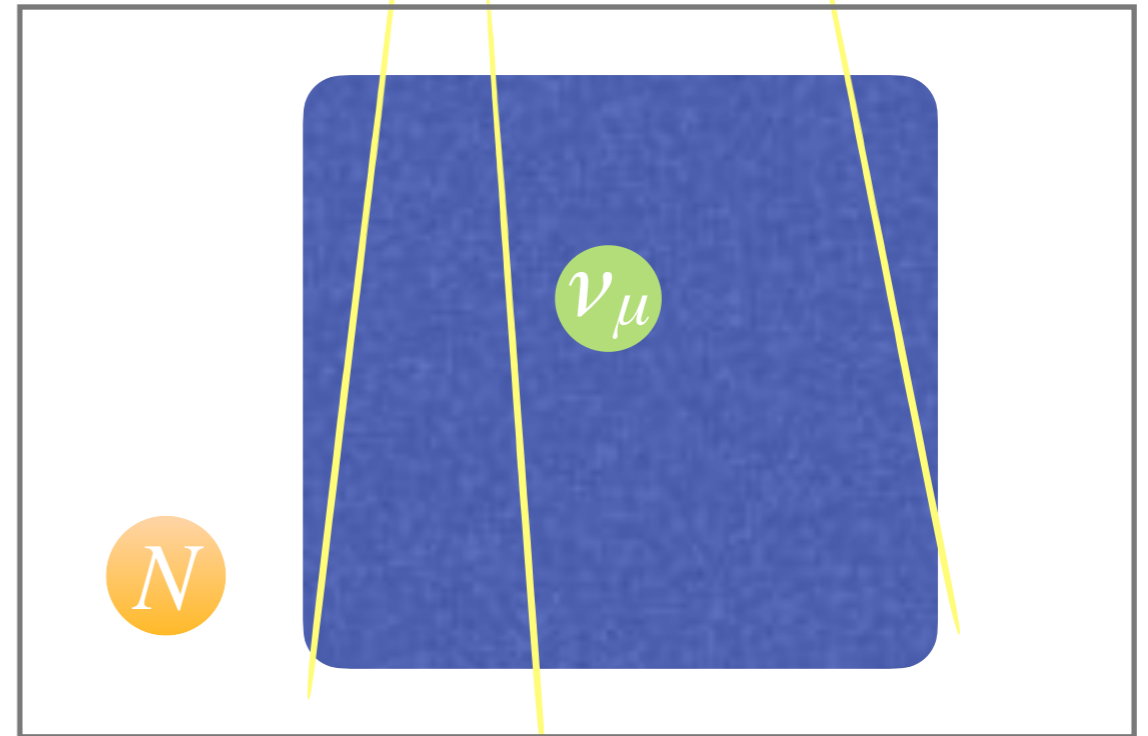
# Data-Driven Analysis

Triggered events



Snapshot of the detector

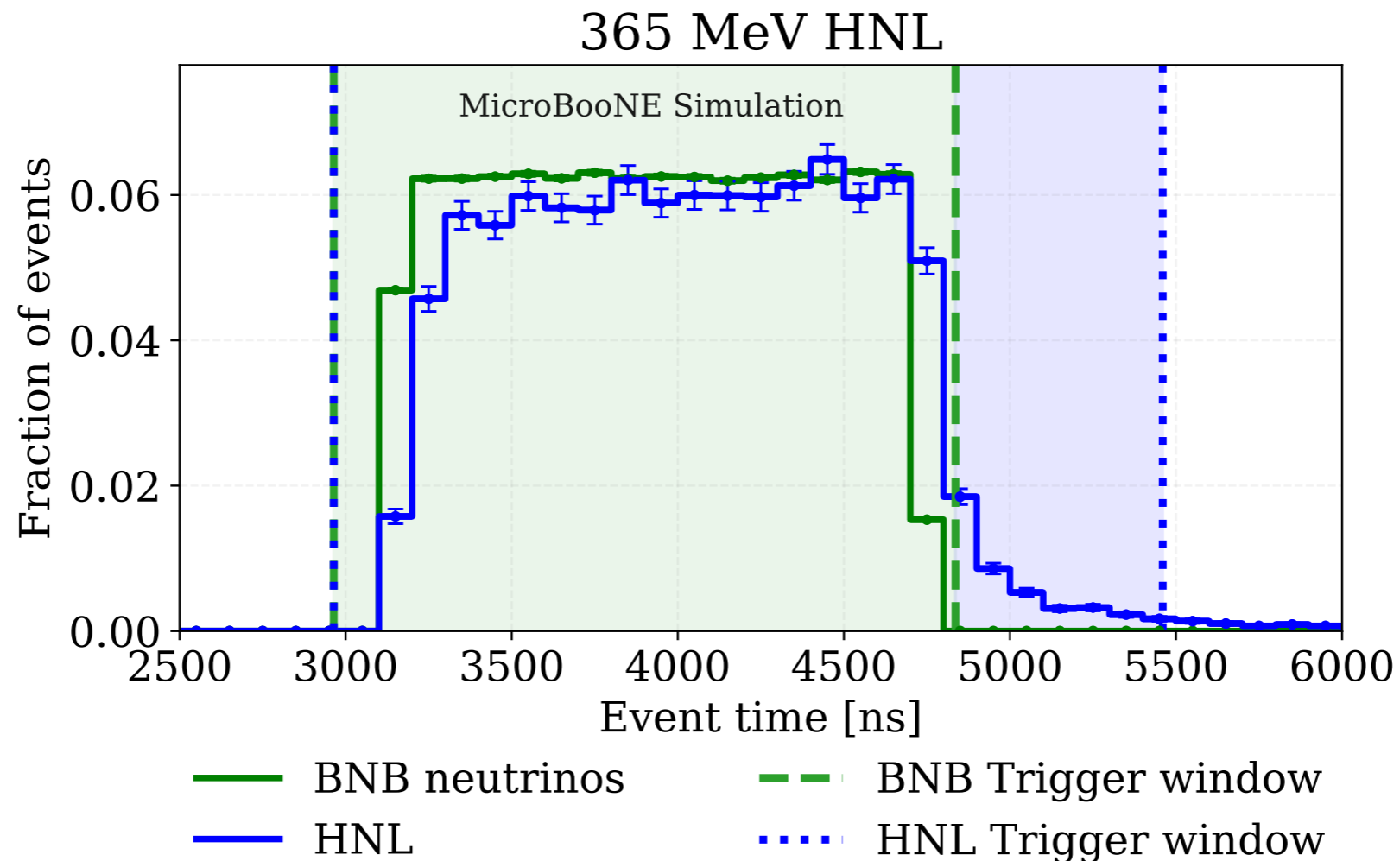
Vetoed events



Snapshot of the detector

- Use the events triggered in late trigger window, but vetoed those triggered in the BNB trigger window
- Measure an excess of events in a data sample containing only cosmic rays

# Small Signal Fraction

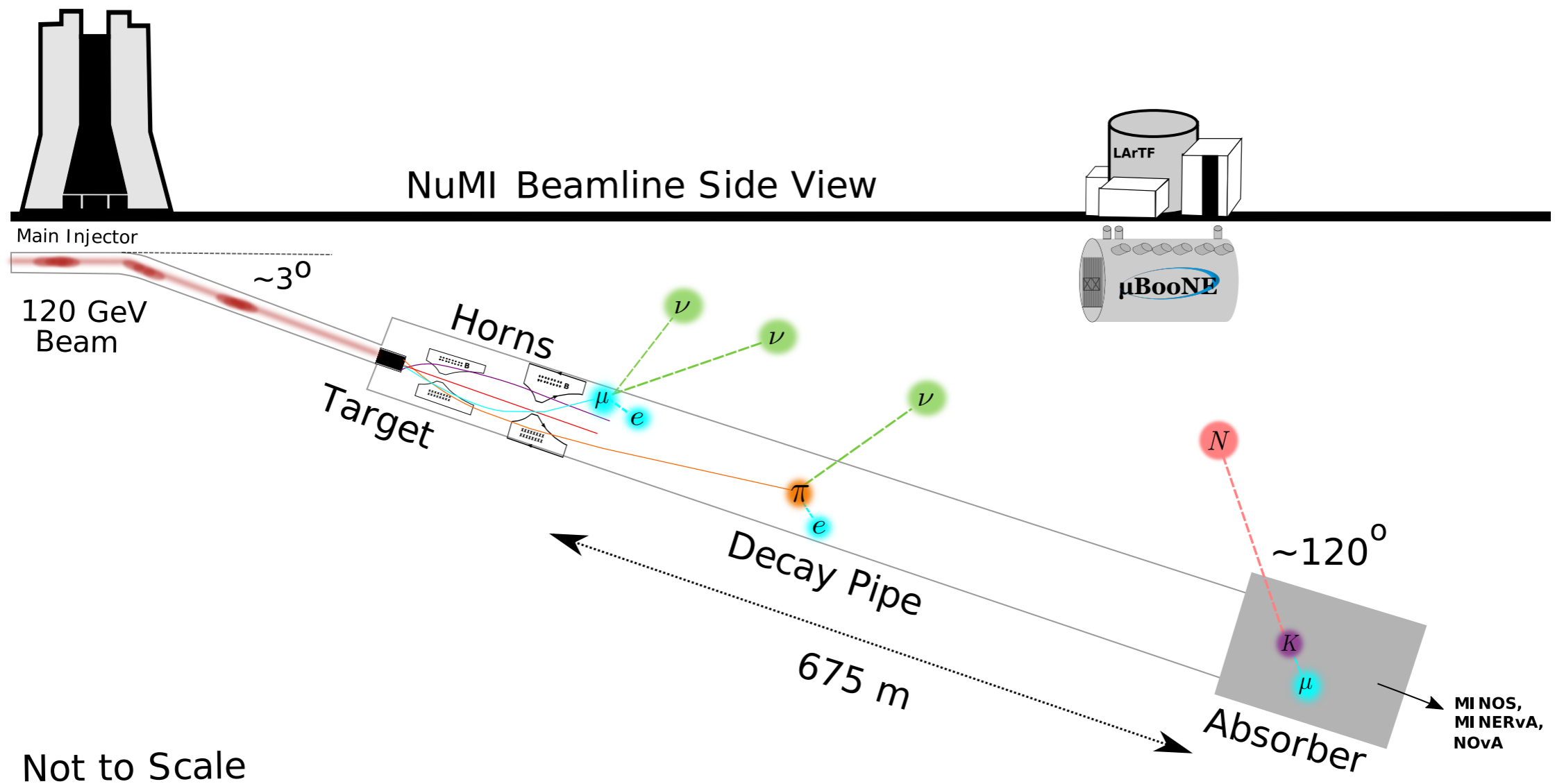


- Lower kaon production rate at BNB than NuMI
- The analysis strategy yields a small fraction of signals
- Better  $\nu$  beam structure simulation and reconstruction will improve the sensitivity



# K Decays at NuMI Absorber

2022 analysis, NuMI  $7 \times 10^{20}$  POT  
[Phys. Rev. D 106 092006](#)

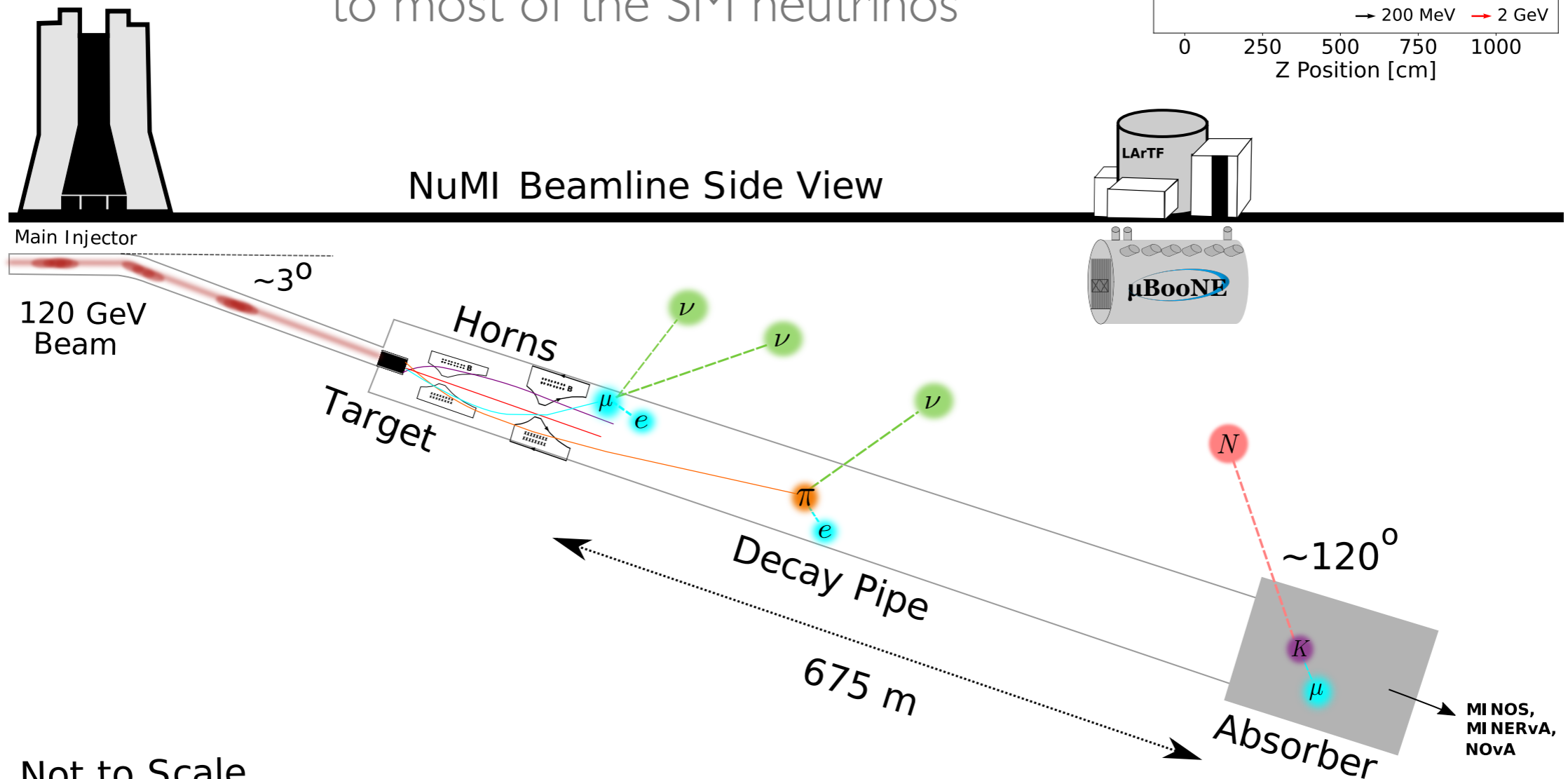
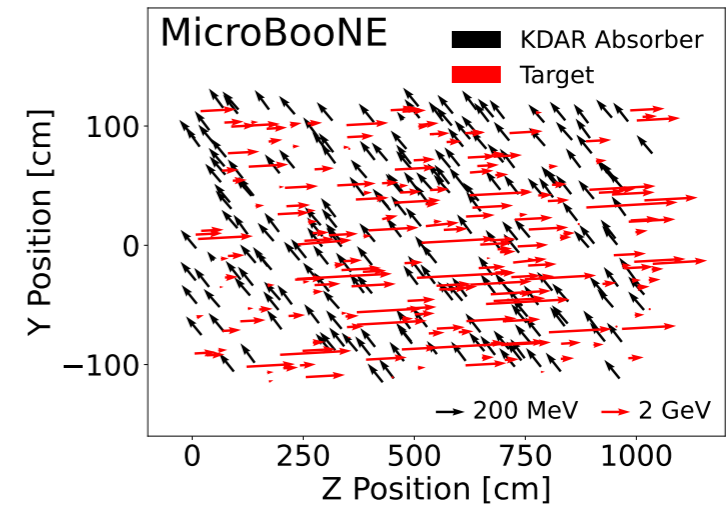


Not to Scale

# K Decays at NuMI Absorber

2022 analysis, NuMI  $7 \times 10^{20}$  POT  
 Phys. Rev. D 106 092006

Utilize the different direction  
 to most of the SM neutrinos

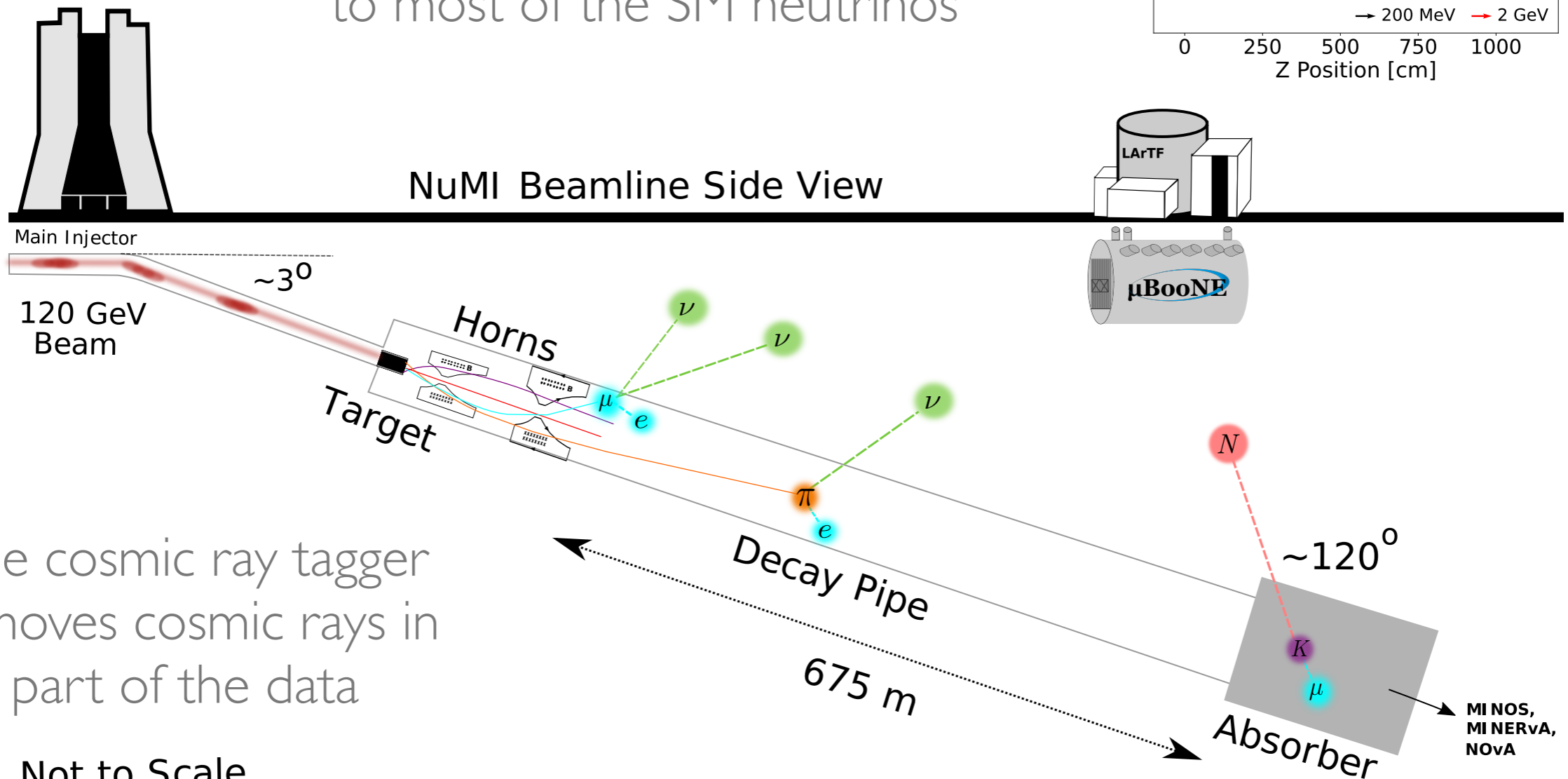
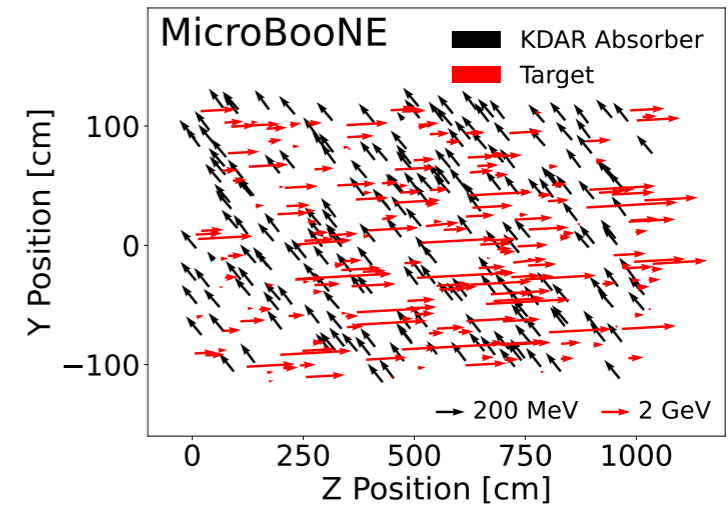


Not to Scale

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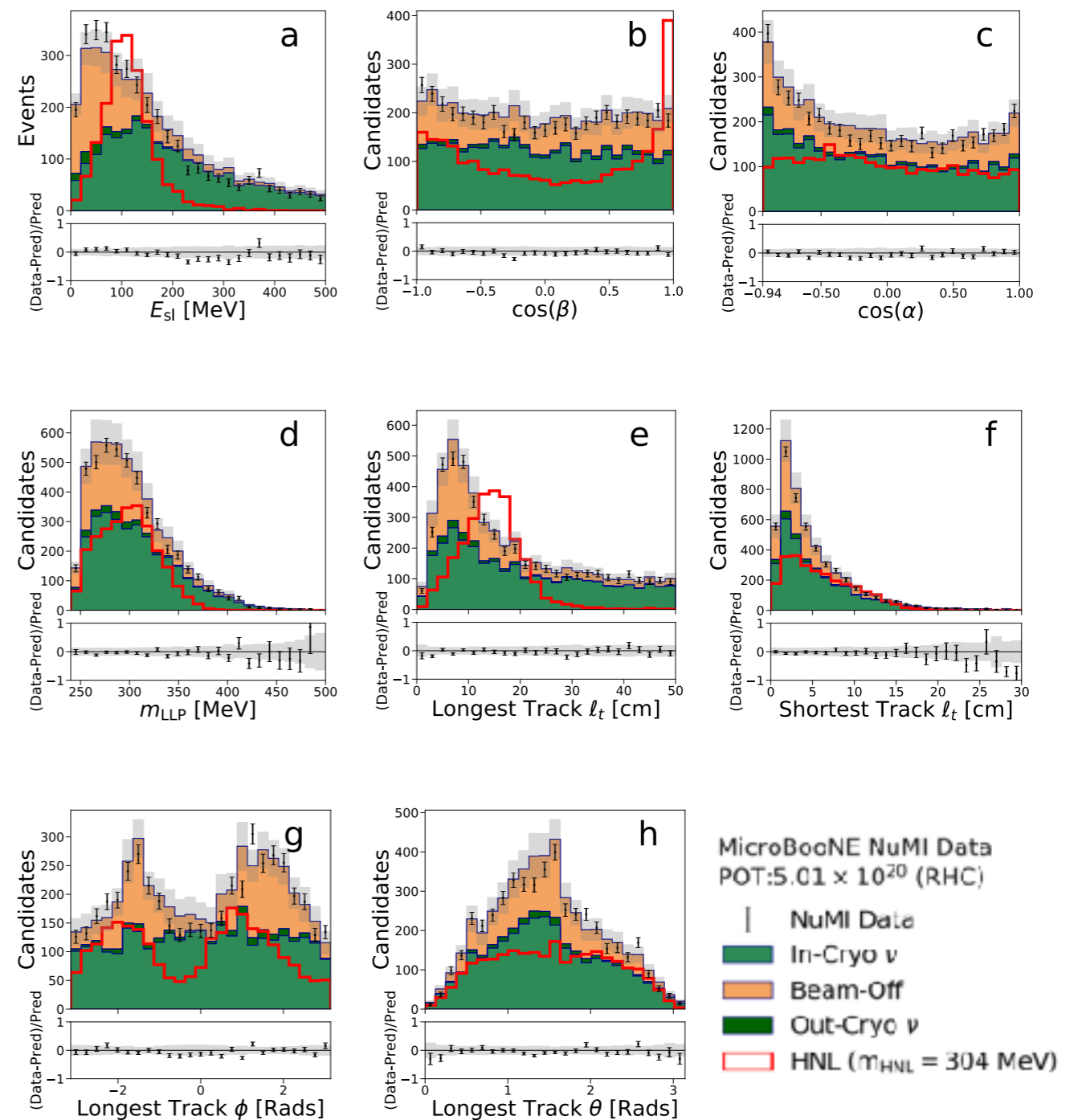
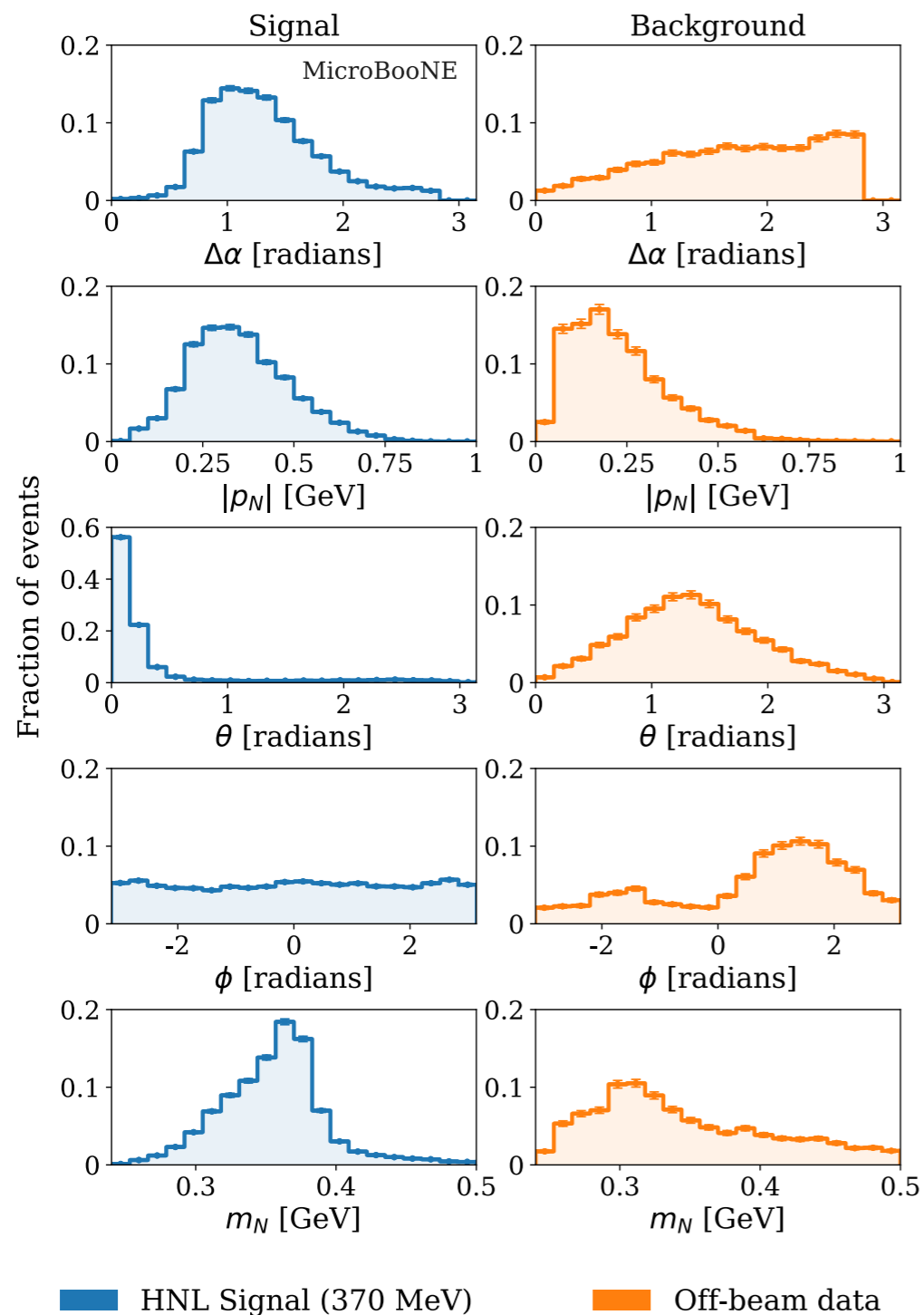
The cosmic ray tagger  
 removes cosmic rays in  
 part of the data

Not to Scale

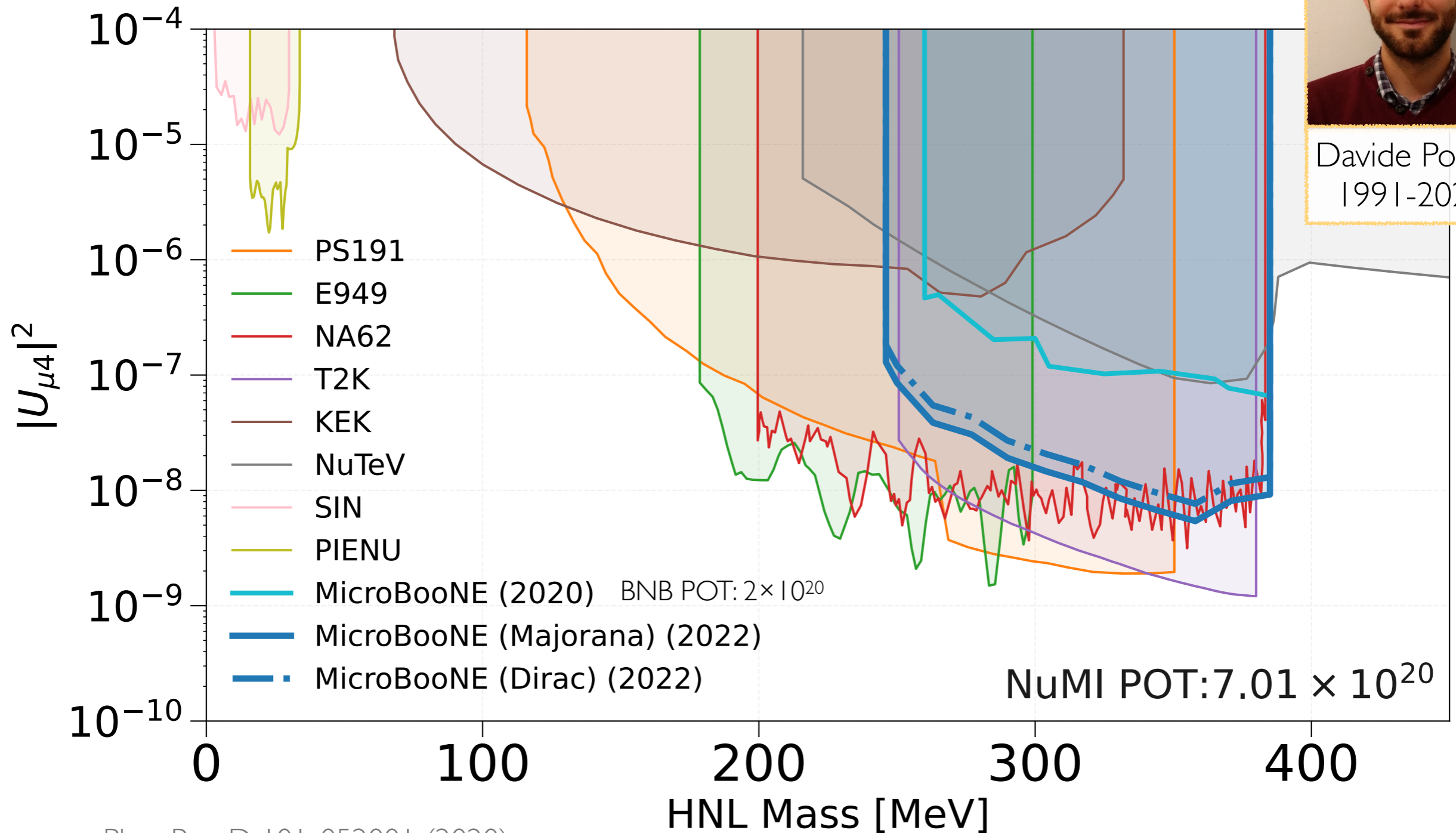
# Kinematic Distributions

2020 Analysis

2022 Analysis



# HNL Sensitivity



Davide Porzio  
1991-2021

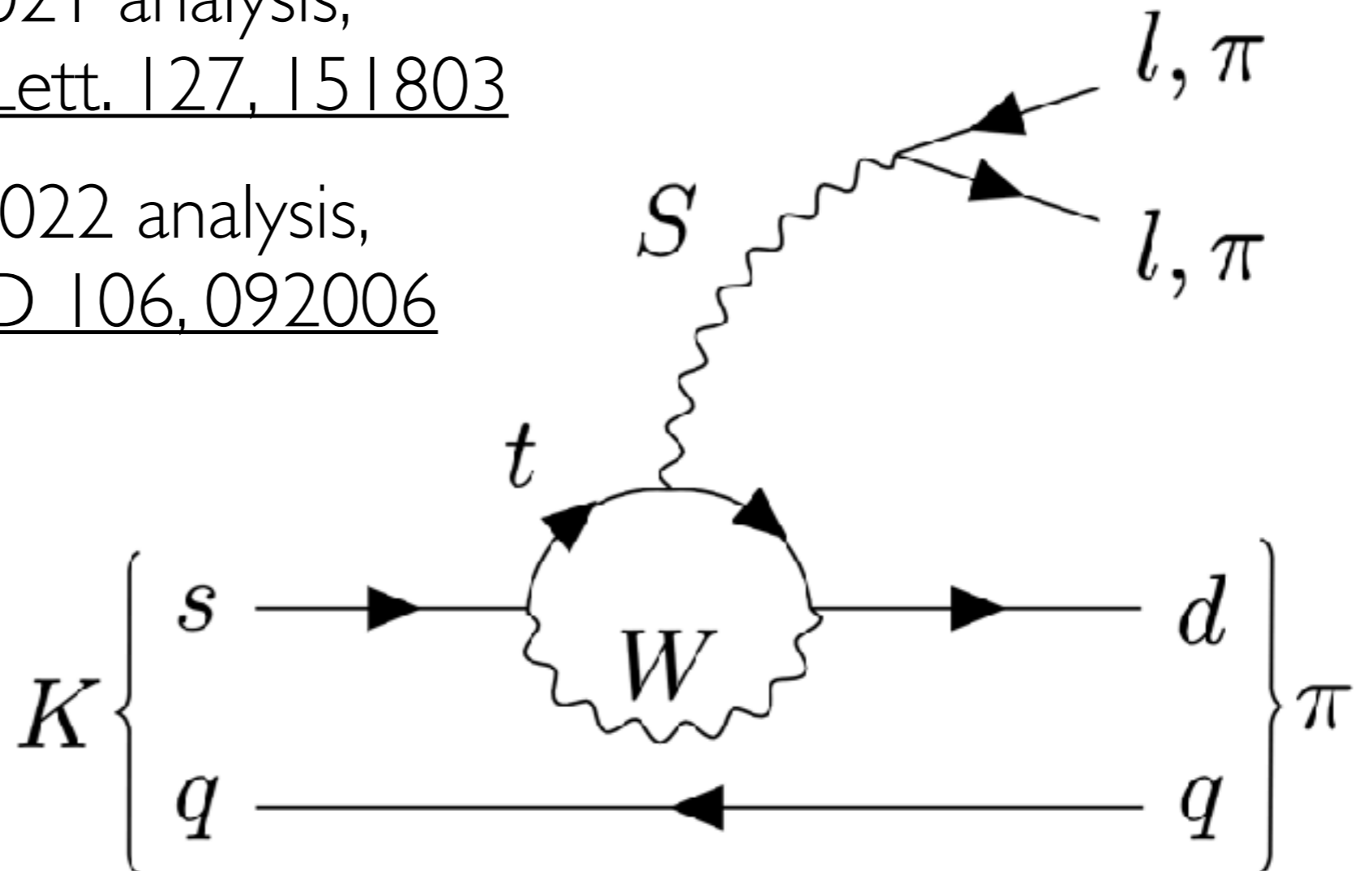
[Phys. Rev. D 101, 052001 \(2020\)](#)  
[Phys. Rev. D 106, 092006 \(2022\)](#)

# Higgs Portal Scalar Particle

Kaon decays at rest in the NuMI absorber

$K^+ \rightarrow \pi^+ S$  (S: HPS)

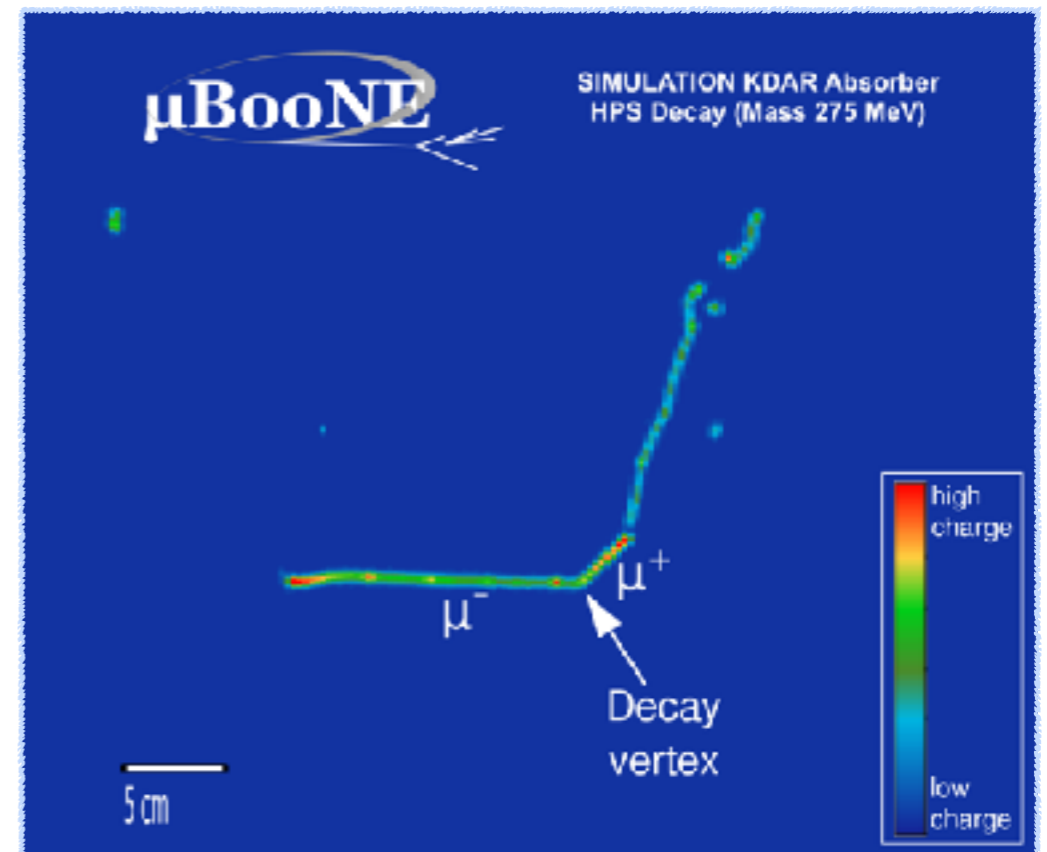
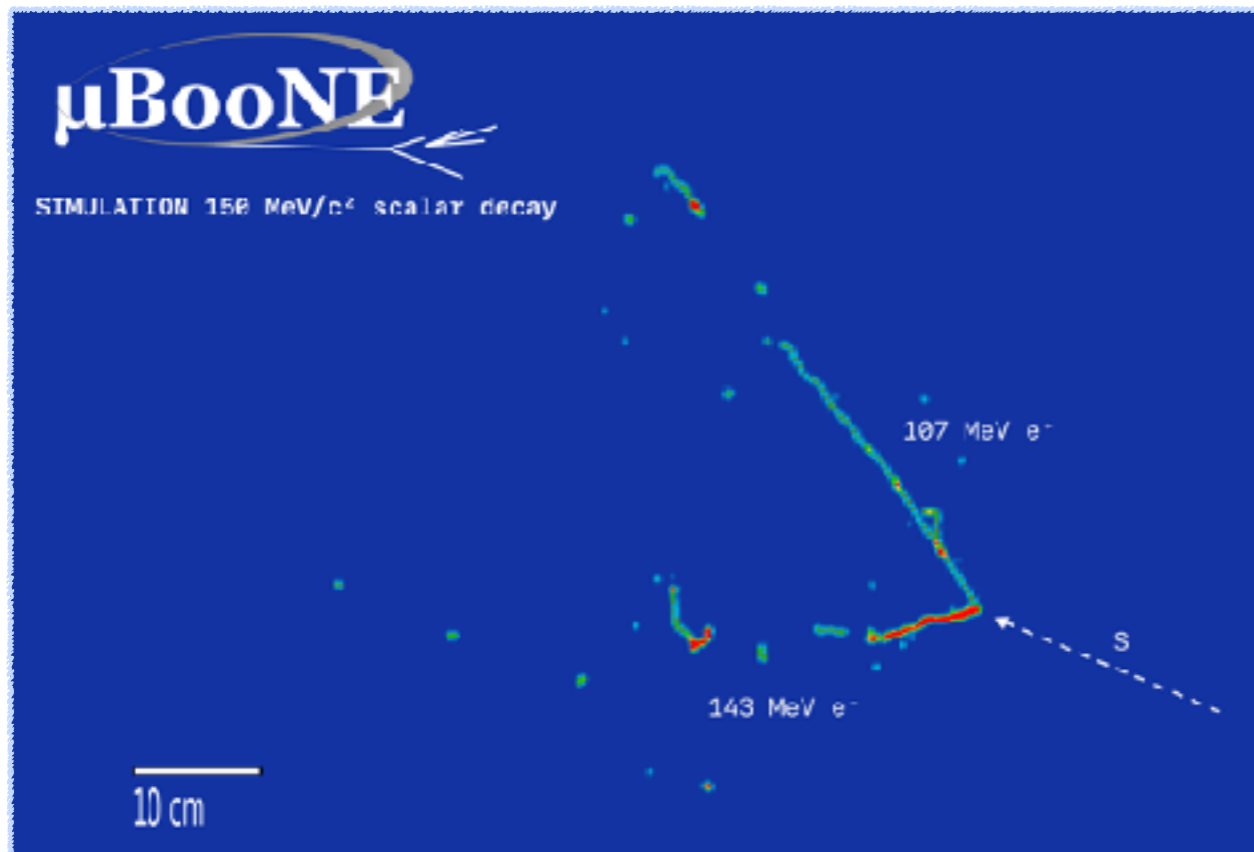
- $S \rightarrow e^+ e^-$ : 2021 analysis, [Phys. Rev. Lett. 127, 151803](#)
- $S \rightarrow \mu^+ \mu^-$ : 2022 analysis, [Phys. Rev. D 106, 092006](#)



# Analysis Strategy

2021 analysis,  $S \rightarrow e^+e^-$   
Sensitive to  $m_S < 212$  MeV

2022 analysis,  $S \rightarrow \mu^+\mu^-$   
Sensitive to  $212 < m_S < 275$  MeV



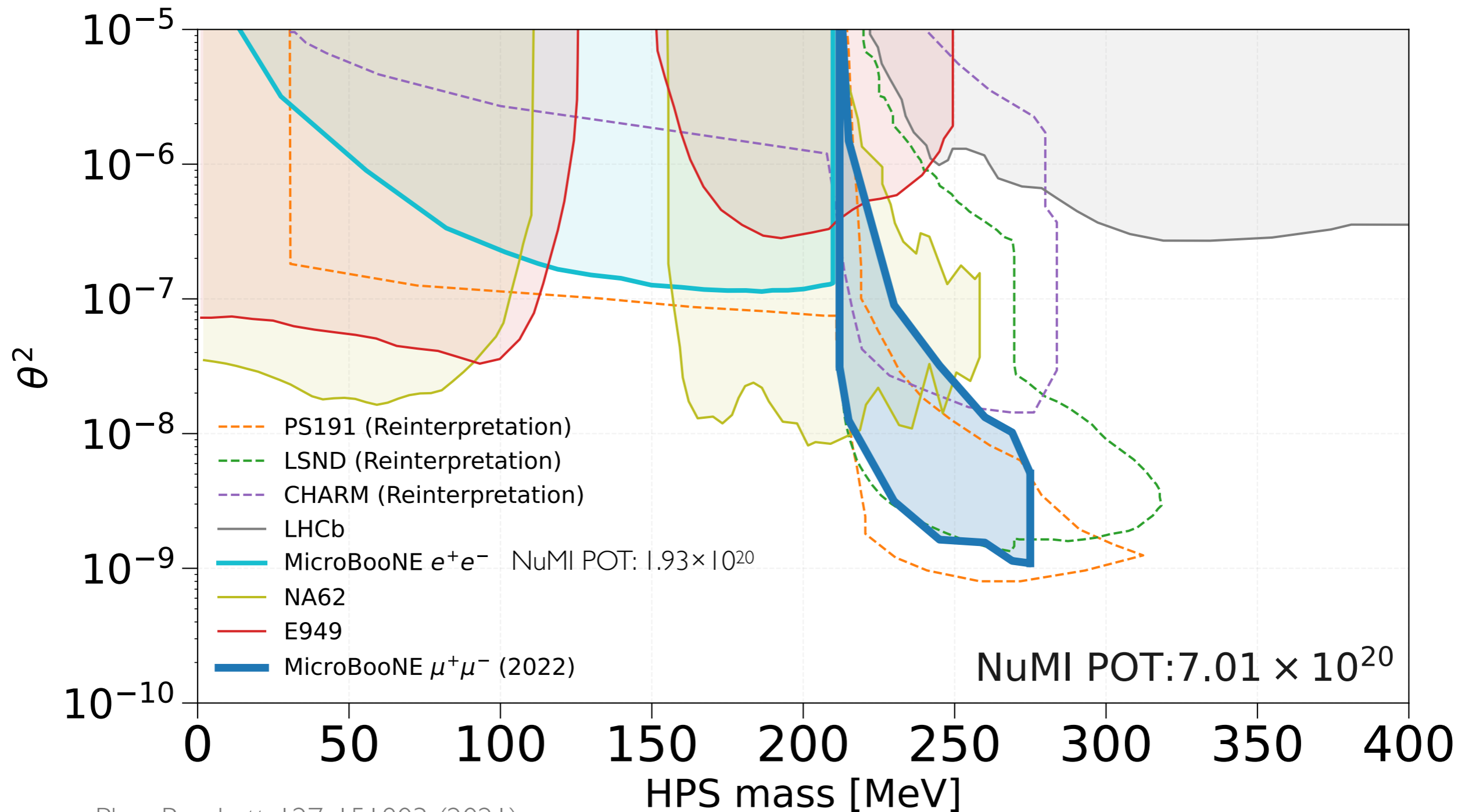
$1.93 \times 10^{20}$  POT

Only geometrical quantities used  
(Shower reconstruction less mature)

$7 \times 10^{20}$  POT

Geometrical and kinematic  
quantities used

# HPS Sensitivity



[Phys. Rev. Lett. 127, 151803 \(2021\)](#)

[Phys. Rev. D 106, 092006 \(2022\)](#)



# Systematic Uncertainty

Analyses presented today are statistical limited

	HNL 2020	HPS 2021	HNL 2022 HPS 2022
HNL/HPS Flux	8%	30%	30%
SM $\nu$ Flux	—	26.6%	(5-10)%
$\sigma(\text{SM } \nu)$	—	33.4%	(5-10)%
Trigger	8%	—	—
Detector	10%	70%	(10-20)%
Simulation Stat.	—	38%/28.2%	(5-42)%

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The rate of kaon production at rest in the NuMI absorber

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Detector	10%	70%	(10-20)%
Simulation Stat.	—	38%/28.2%	(5-10)%

The rate of kaon production at rest in the NuMI absorber

Dominated by the sample statistics

# Wish List

- Light detector: high sampling rates and detection efficiency (ICARUS and SBND)
- Light reconstruction: timing, calorimetry
- Light+charge signal matching
- Charge reconstruction: energy of electromagnetic showers, track/shower separation, particle ID, reconstruction optimized to signal directions
- Cosmic ray removal: overburden (ICARUS), cosmic ray taggers (MicroBooNE, ICARUS, SBND), light signal matching
- Detector modeling: more detector measurements
- SM neutrino flux and cross section modeling
- BSM flux and interaction/decay modeling
- High signal/noise for MeV-scale activities

# Remark

- Search for interactions of BSM particles
  - MC simulation of BSM-Ar interactions required
- Search for decays of BSM particles
  - Easy for signal MC simulation
  - Suffer from the density of LAr
- Most of desired tools also benefit oscillation and  $\sigma$
- Analysis strategies to discriminate from SM neutrinos required (and from cosmic rays in SBN)
- Other ongoing BSM efforts at MicroBooNE
- Future LArTPCs with high intensity beam (DUNE ND-LAr) require to deal with pile-ups (modular detectors)



# Backup



# $\mu$ BooNE

Color scale indicates amount of deposited charge

Neutrino direction  
-----▶

Time (-drift direction)

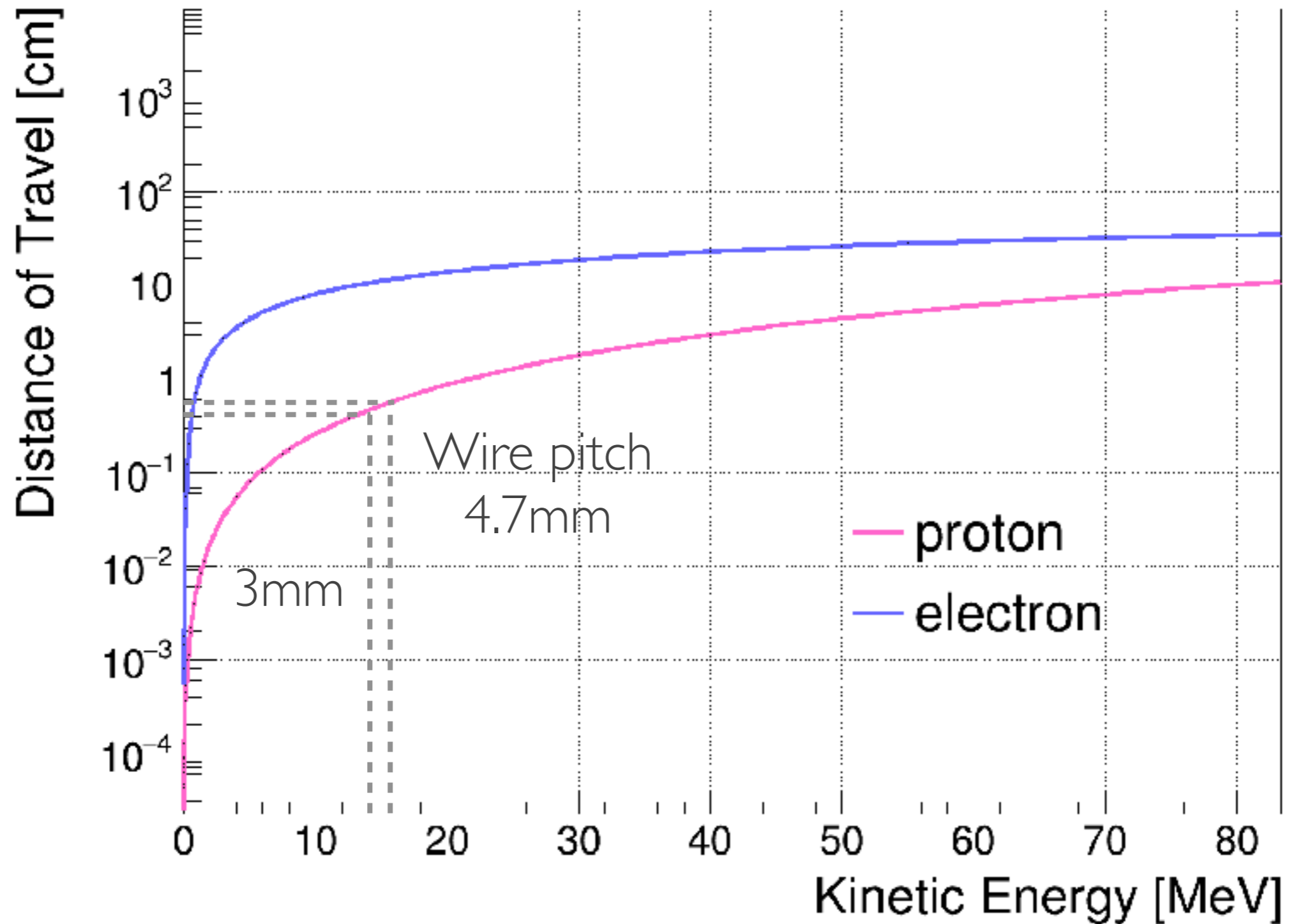
cosmic ray

75 cm

Wire

Run 3493 Event 41075, October 23<sup>rd</sup>, 2015

# Low Energy Threshold





# Dark Sector Landscape

Model	Production	Detection
Higgs Portal	$K, B$ decay	Decay ( $l^+l^-$ )
Vector Portal	$\pi^0, \eta$ Decay	Scattering ( $\chi e^-, \chi X$ , Dark Tridents)
	Proton Bremsstrahlung	Decay ( $l^+l^-, \pi^+\pi^-$ )
	Drell-Yan	Inelastic Decay ( $\chi \rightarrow \chi' l^+l^-$ )
Neutrino Portal	$\pi, K, D_{(s)}, B$ decay	Decay (many final states)
ALP Portal ( $\gamma$ -coupling dominant)	Meson Decay	Decay ( $\gamma\gamma$ )
	Photon Fusion	Inverse Primakoff process
	Primakoff Process	
Dark Neutrinos	SM Neutrino	Upscattering + Decay ( $\nu \rightarrow \nu_D, \nu_D \rightarrow \nu l^+l^-$ )
Dipole Portal	Dalitz Decay	Decay ( $\nu_D \rightarrow \nu\gamma$ )
$\nu$ philic Mediators	SM Neutrino	Scattering (Missing $p_T$ , SM Tridents)

Table 1: A selection of models that can be probed by neutrino beam experiments.

[arXiv: 2207.06898](https://arxiv.org/abs/2207.06898)

# Fermilab Booster Neutrino Beam (BNB)

Linac

Length: 150m

Proton energy: 400MeV

Booster

Circumference: 469m

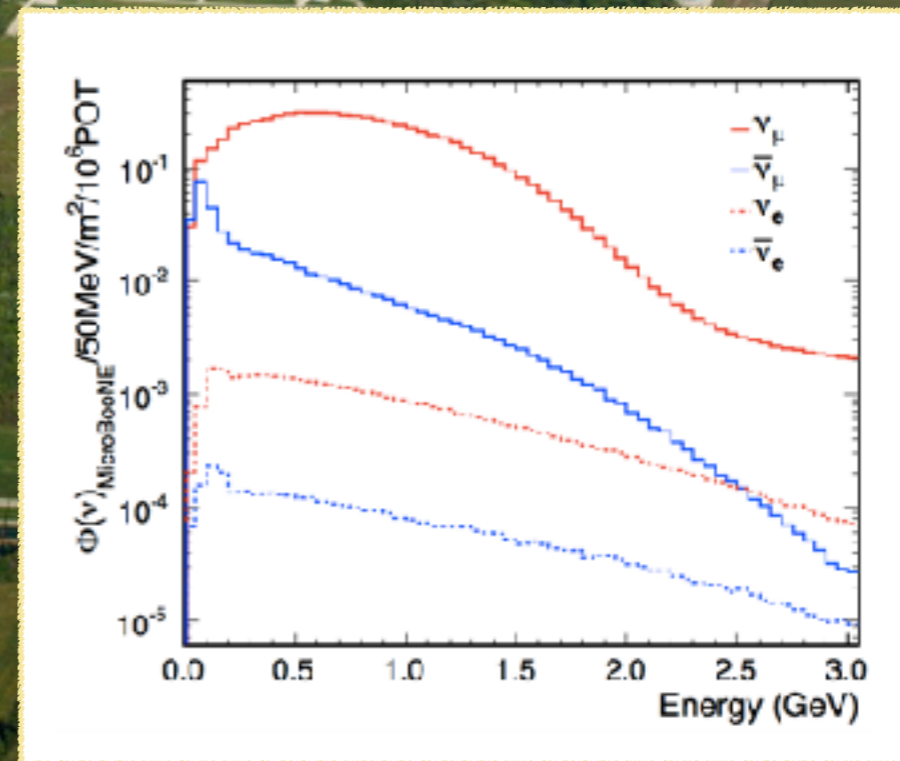
Proton energy: 8GeV



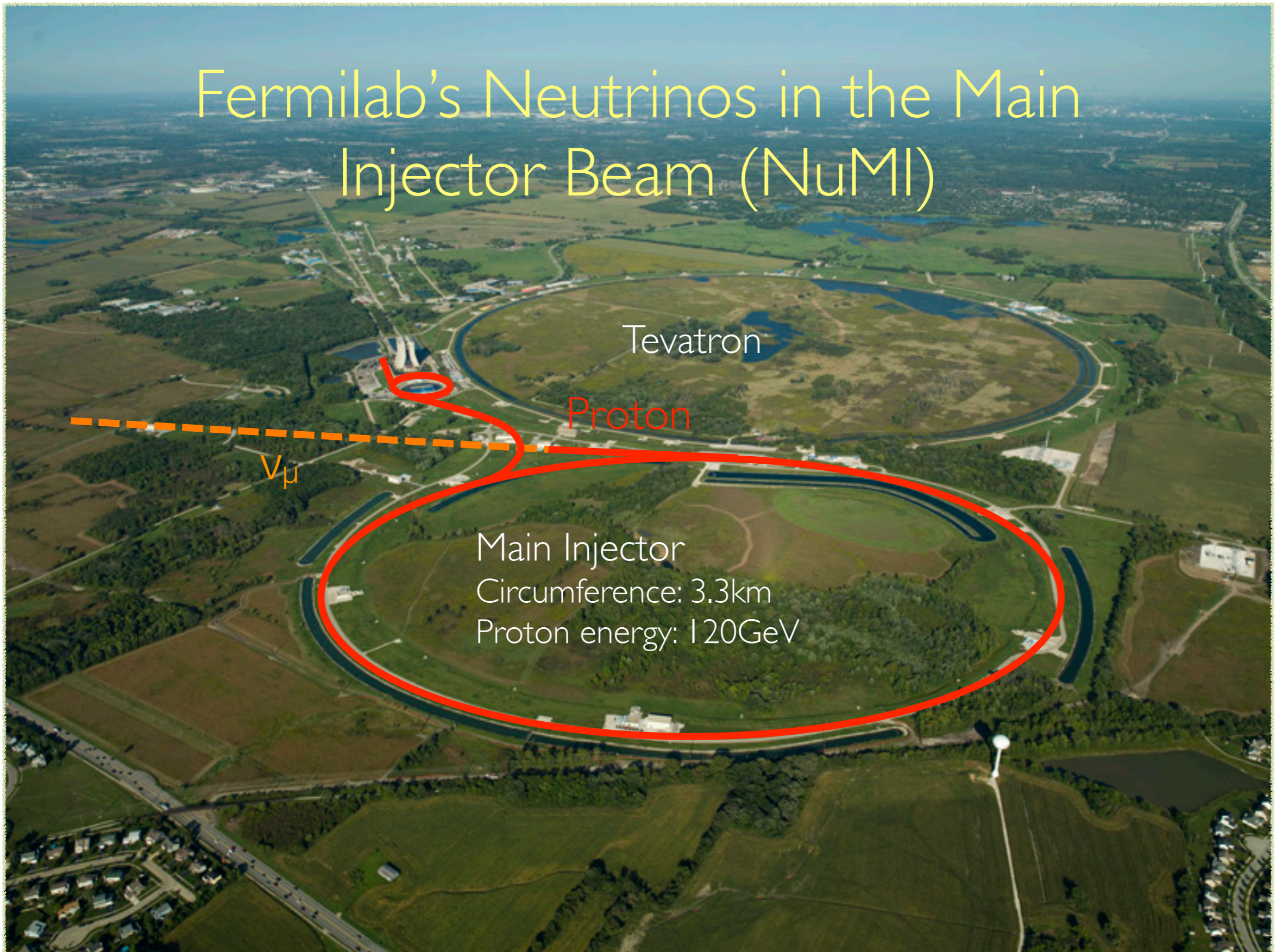
# Fermilab Booster Neutrino Beam (BNB)

Linac  
Length: 150m  
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Booster  
Circumference: 469m  
Proton energy: 8GeV



# Fermilab's Neutrinos in the Main Injector Beam (NuMI)



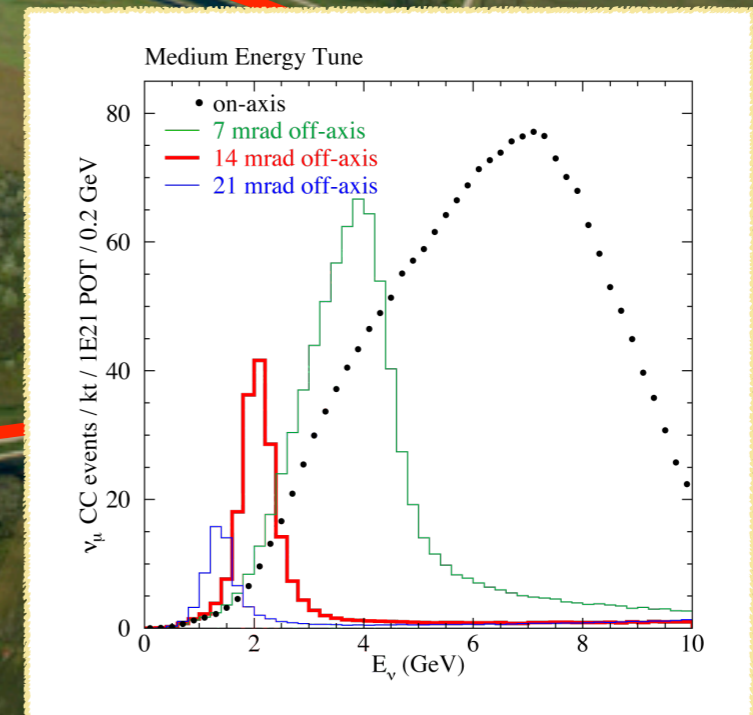
# Fermilab's Neutrinos in the Main Injector Beam (NuMI)

Tevatron

Proton

$\nu_\mu$

Main Injector  
Circumference: 3.3km  
Proton energy: 120GeV



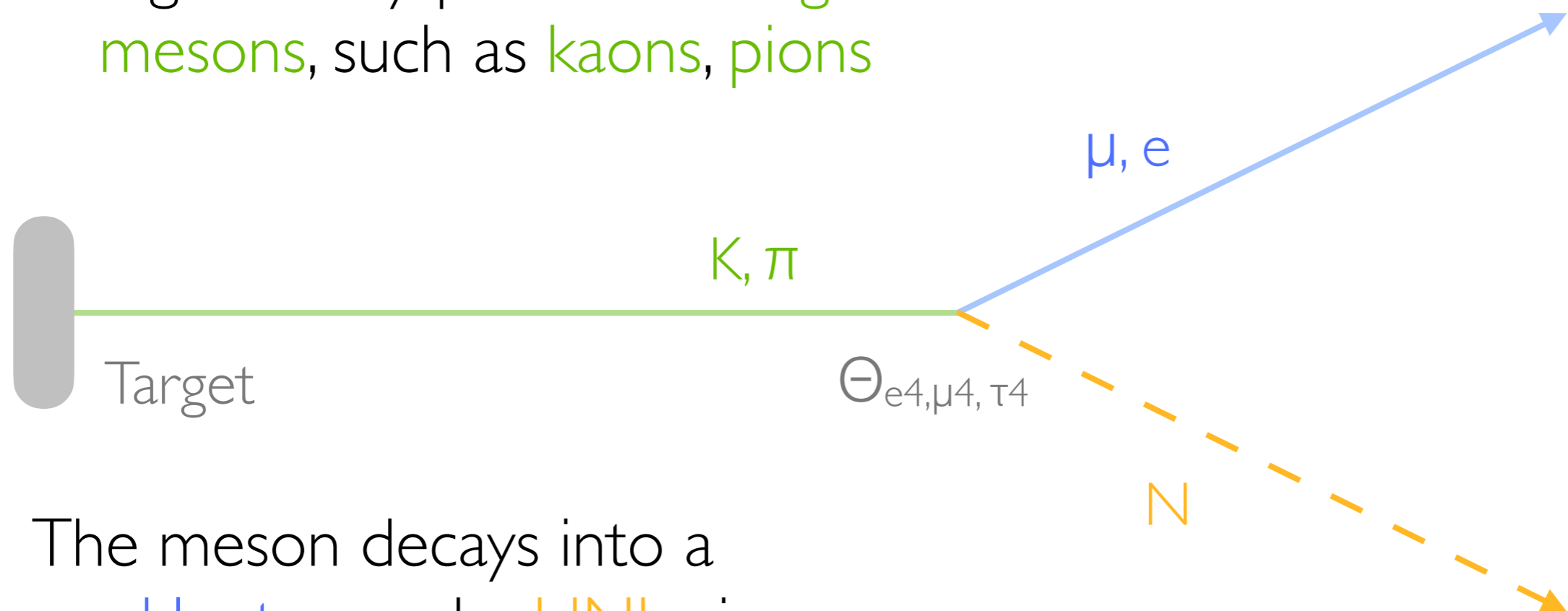
# Production

Accelerator neutrino beam or fixed target facility produce **charged mesons**, such as **kaons**, **pions**



# Production

Accelerator neutrino beam or fixed target facility produce **charged mesons**, such as **kaons, pions**



The meson decays into a **charged lepton** and a **HNL** via a mixing angle,  $\Theta_{e4, \mu4, \tau4}$ , between the SM neutrino and the HNL

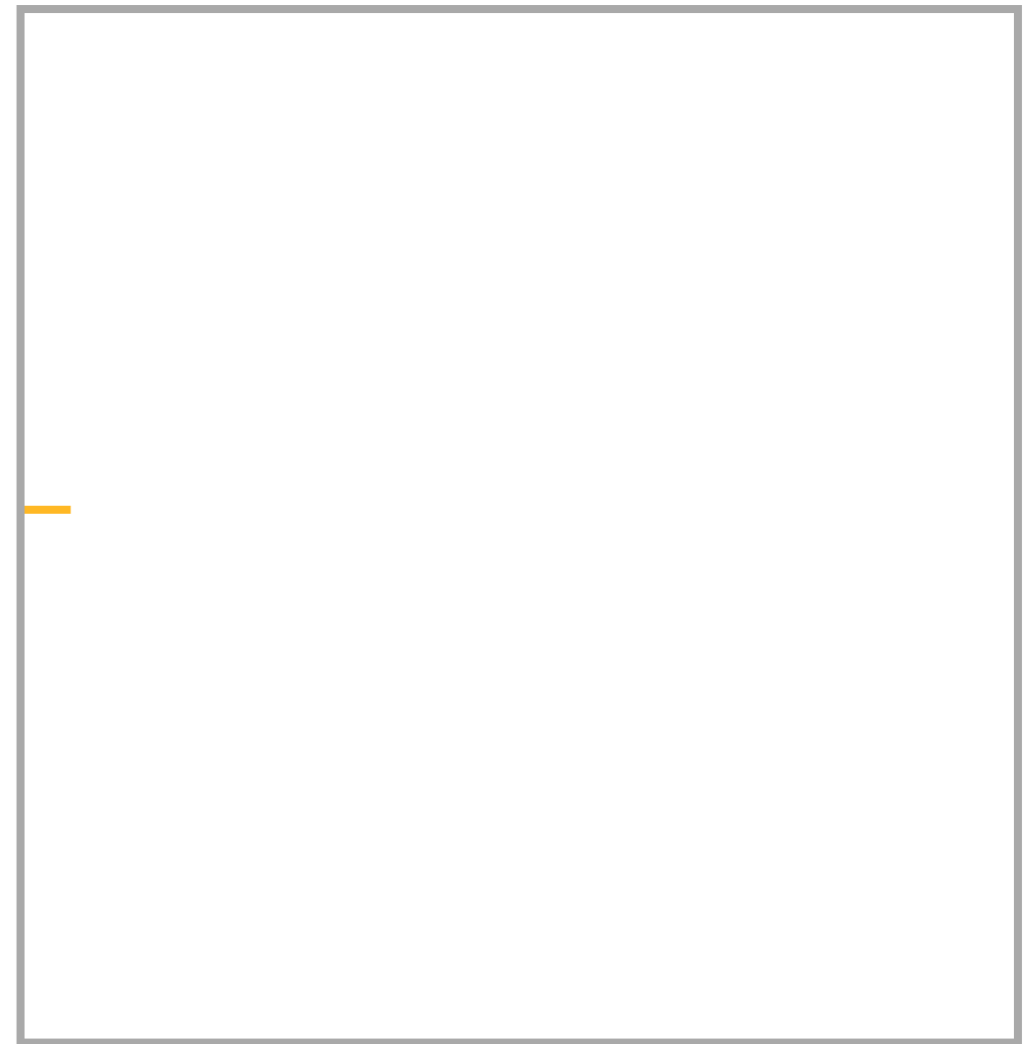
No helicity suppression

# HNL Detection

HNL travels along the neutrino beam line and decay in flight



Detector

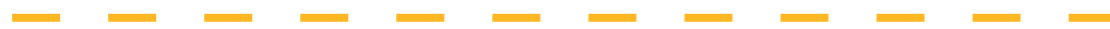




# HNL Detection

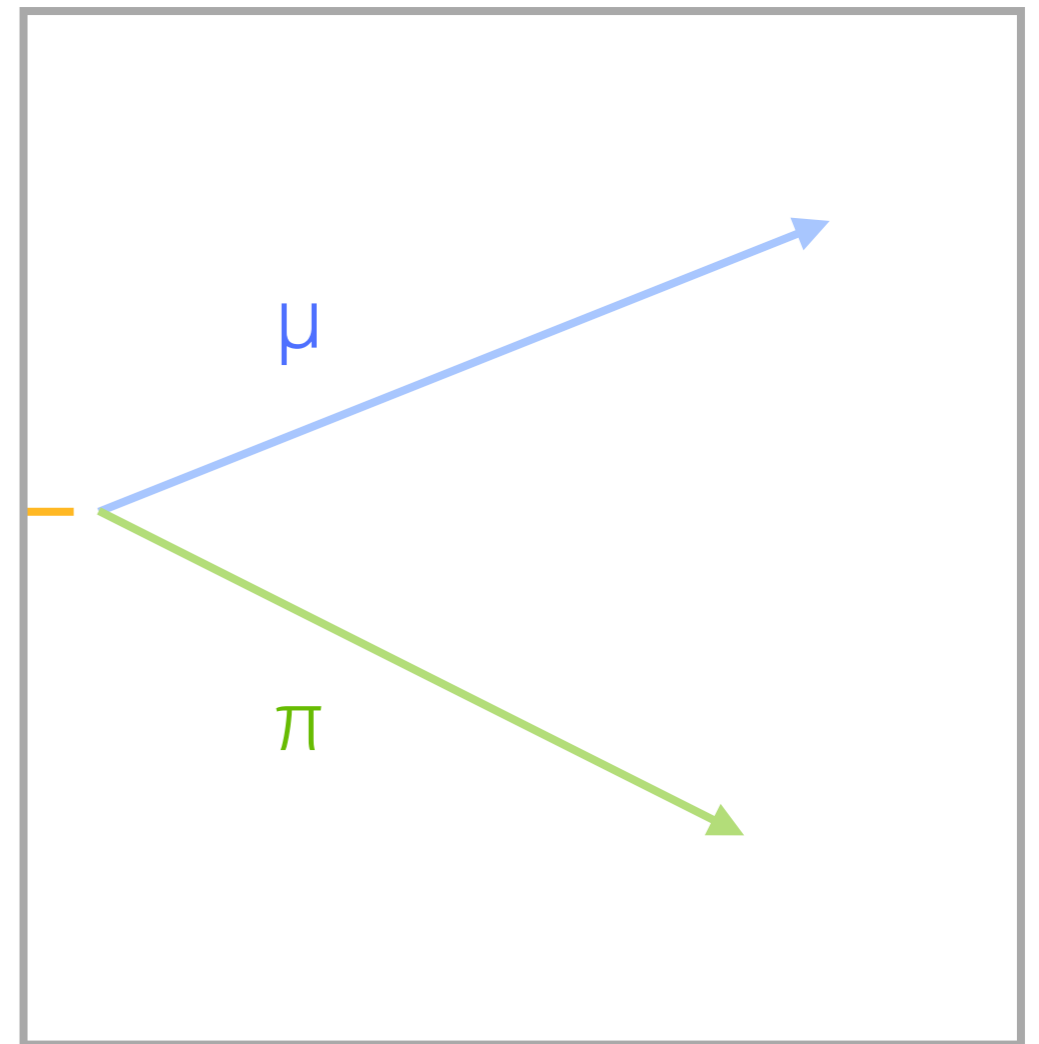
HNL travels along the neutrino beam line and decay in flight

N



Detect the decay products in the detector.  
Effectively event rate  
production  $\times$  decay rate.  
Measure the mixing angle  
 $\Theta_{\mu 4, e 4, \tau 4}$  with each  $M_N$

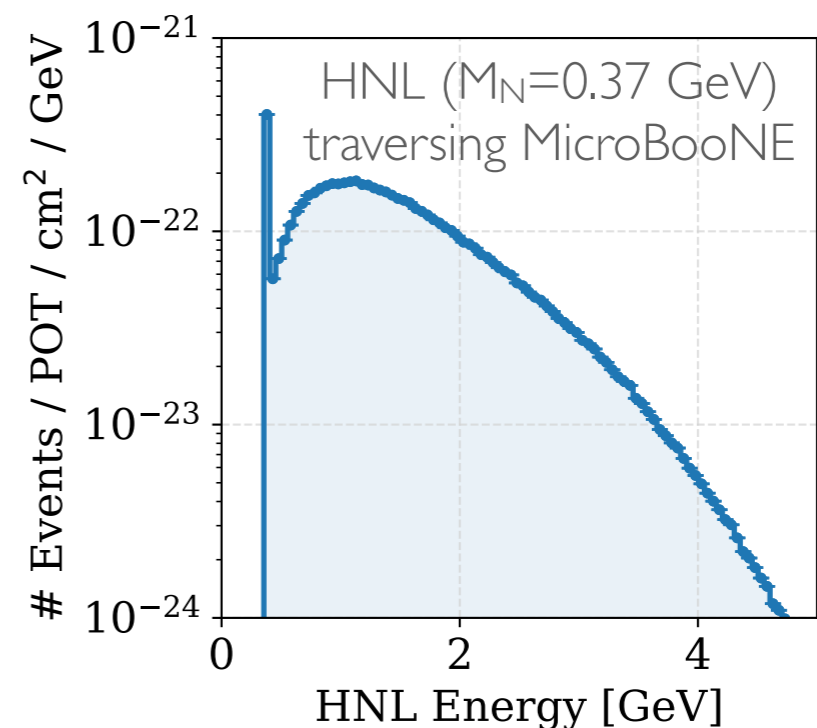
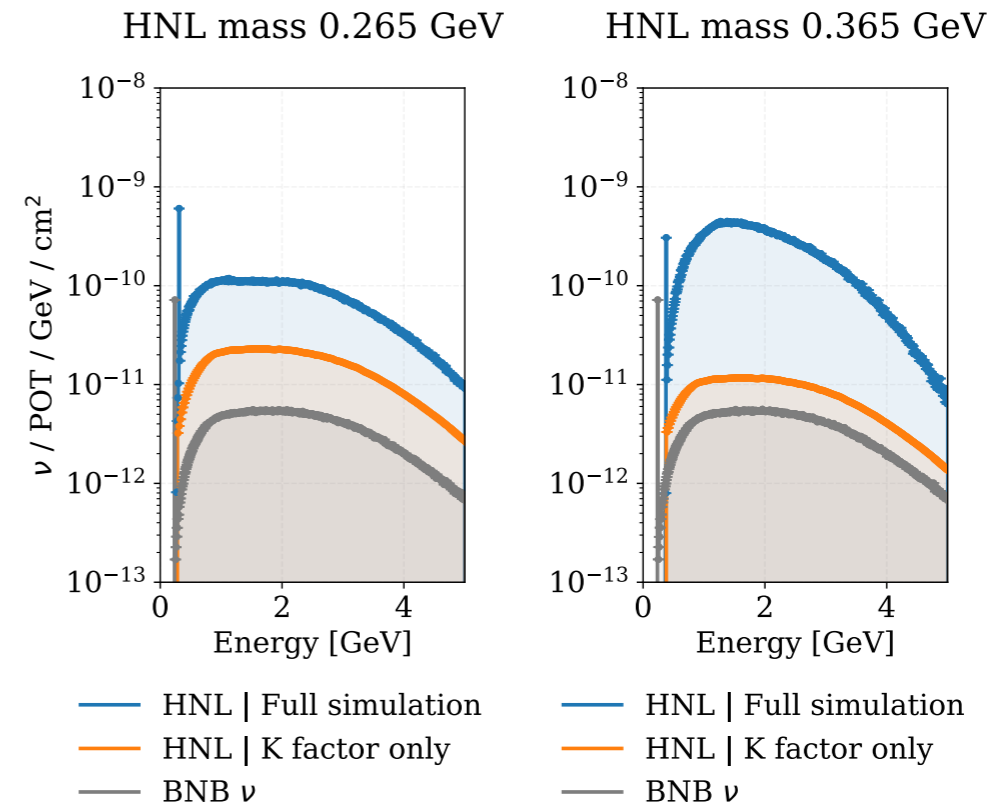
Detector



A decay channel

# HNL Flux from BNB

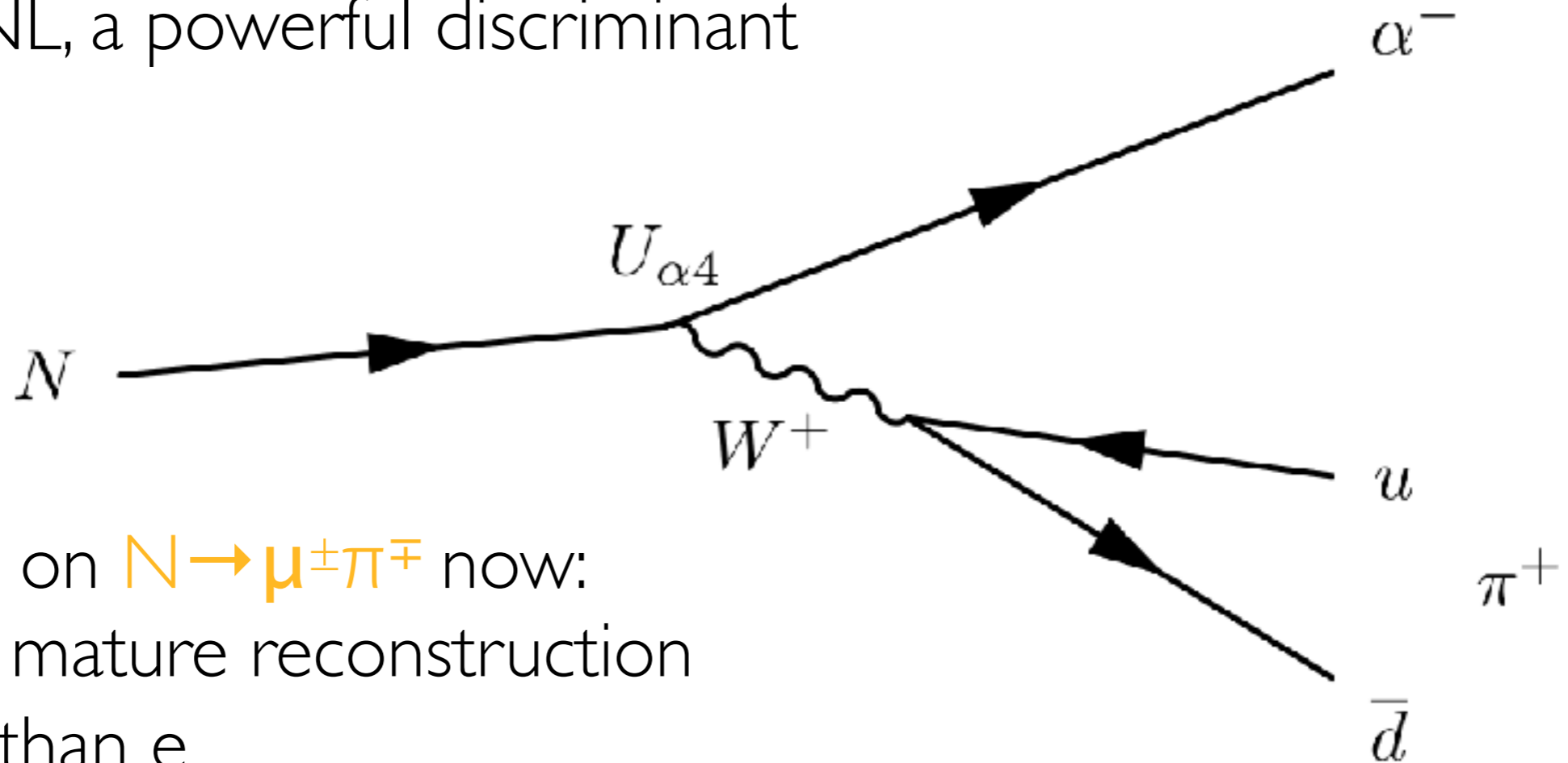
- Consider **nonzero**  $\Theta_{\mu 4}$ , while  $\Theta_{e 4} = \Theta_{\tau 4} = 0$
- HNL produced by  $K \rightarrow N \mu$
- Fully simulate the HNL flux based on the parent mesons (kaons) of SM neutrino flux simulation
- Number of events traversing MicroBooNE proportional to  $\Phi_N(E) \times P_{\text{decay}}(E)$



# 2-body Decay Signature

$$N \rightarrow \ell^\pm \pi^\mp$$

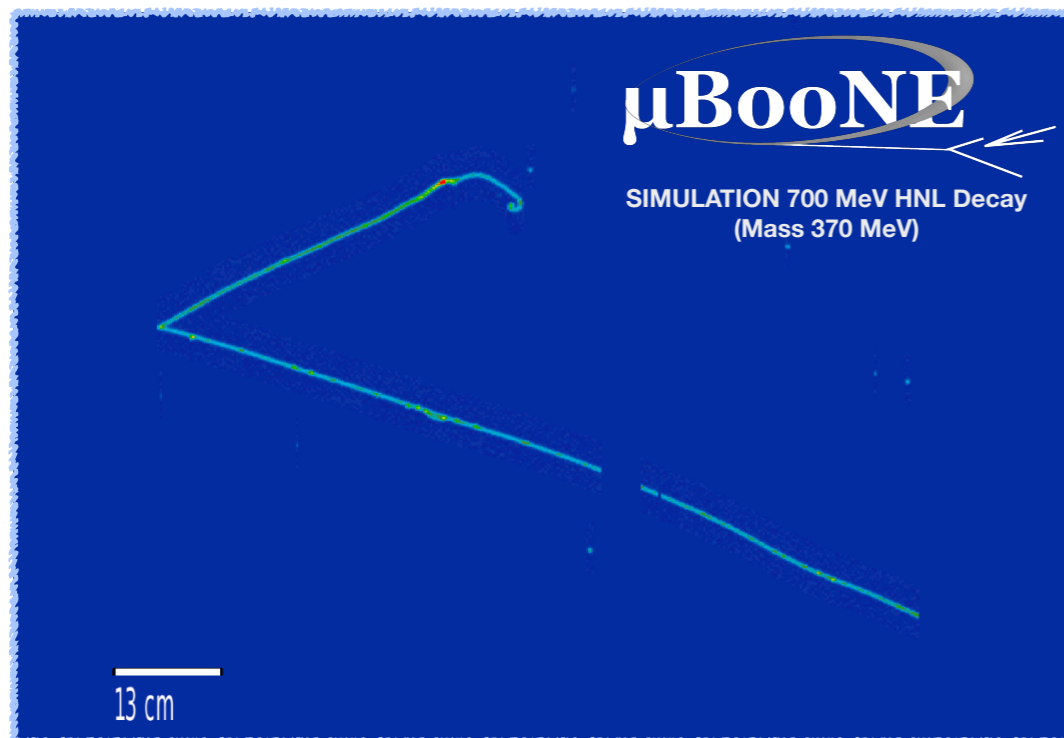
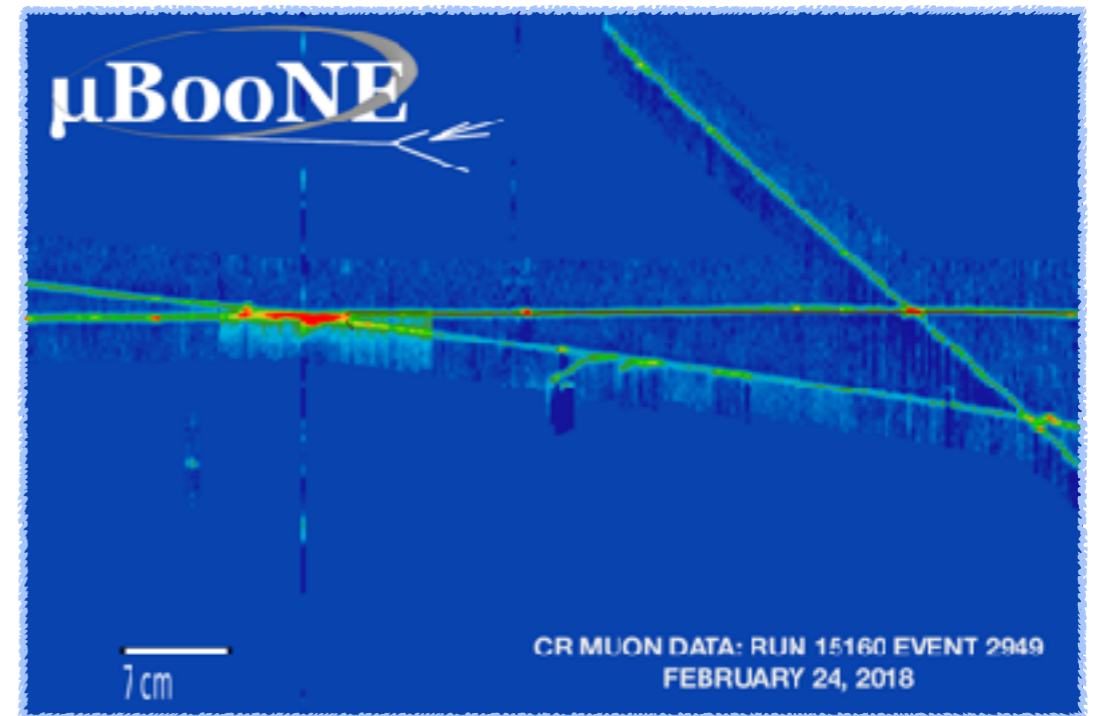
- Fully reconstructed final states
- Able to reconstruct the invariant mass of HNL, a powerful discriminant



Focus on  $N \rightarrow \mu^\pm \pi^\mp$  now:  
more mature reconstruction  
for  $\mu$  than  $e$

# Samples

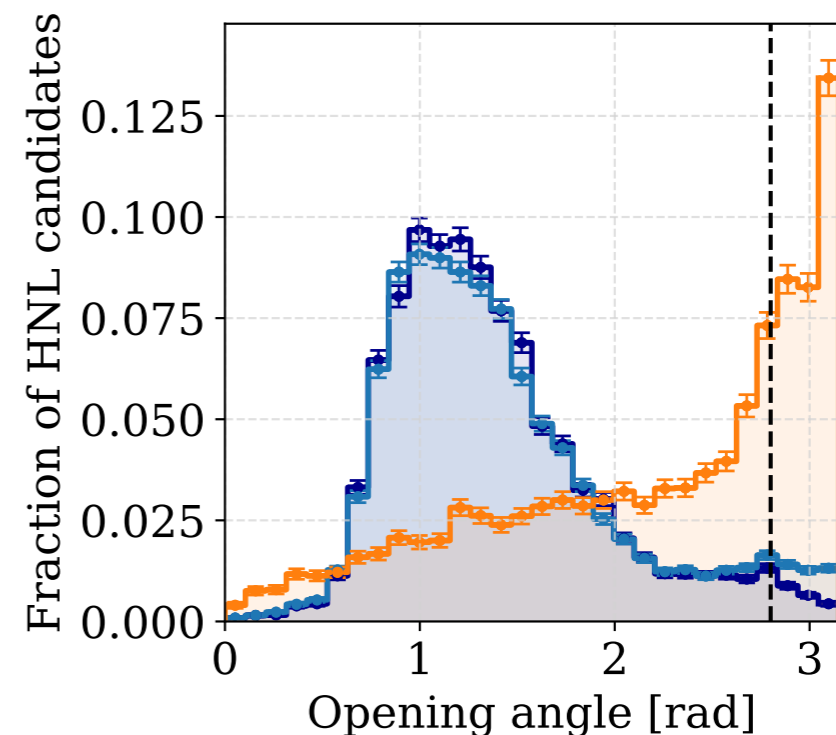
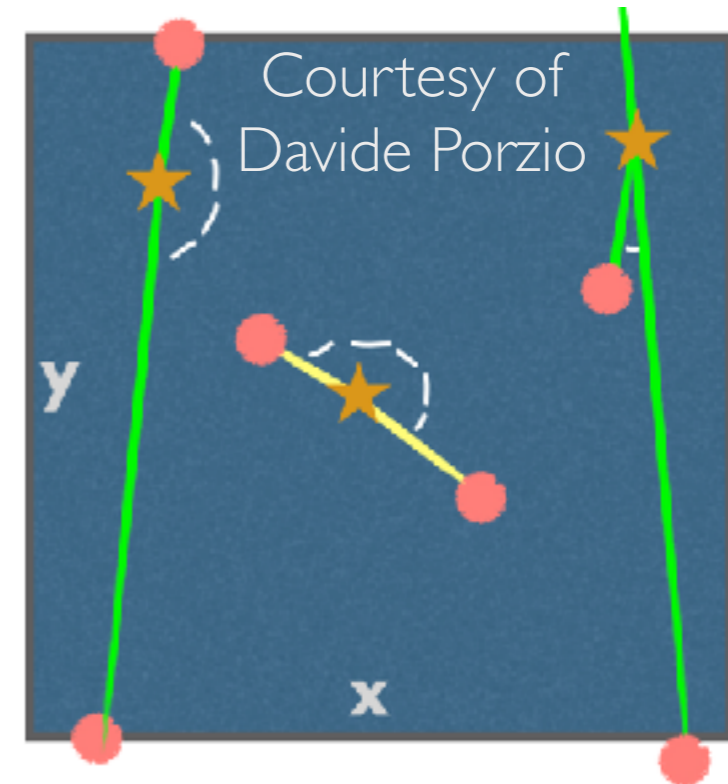
Background sample: pure cosmic rays data fulfilling the same trigger requirement but not in coincidence with BNB



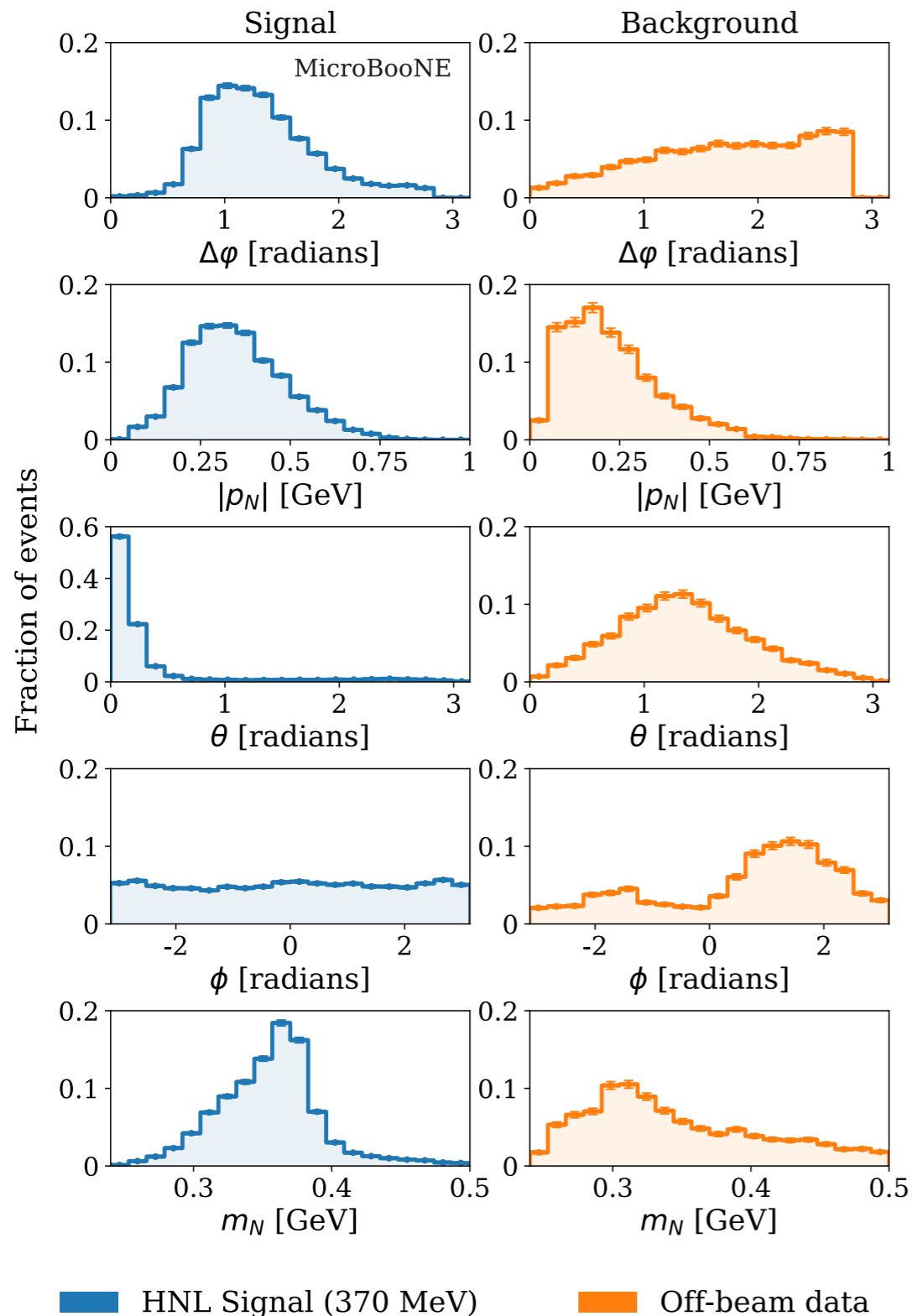
Signal sample for selection optimization and BDT training: pure HNL simulation

# Kinematic Selection

- Cosmic background comes from broken tracks and sometimes delta rays
- Reduce cosmic background
  - Track opening angle  $\Delta\phi < 2.8$  rad
  - Invariant mass  $< 500$  MeV
    - Track momentum calculated by its length
- Signal efficiency: (45-50)%
- Background efficiency: 1.6%

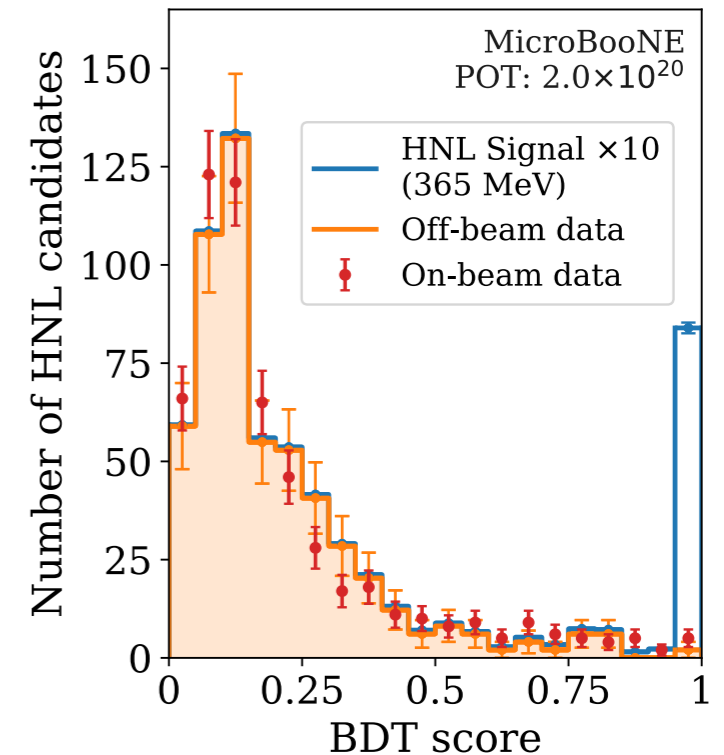
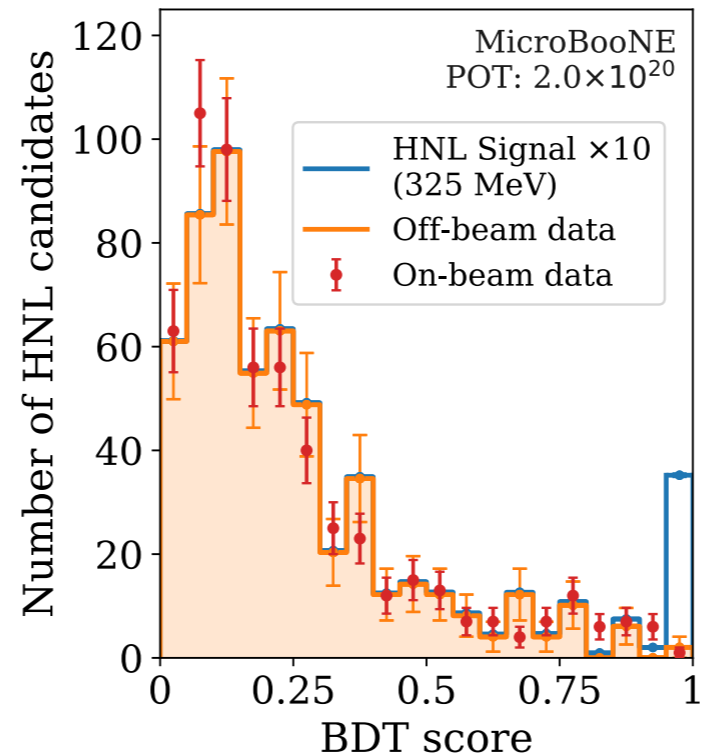
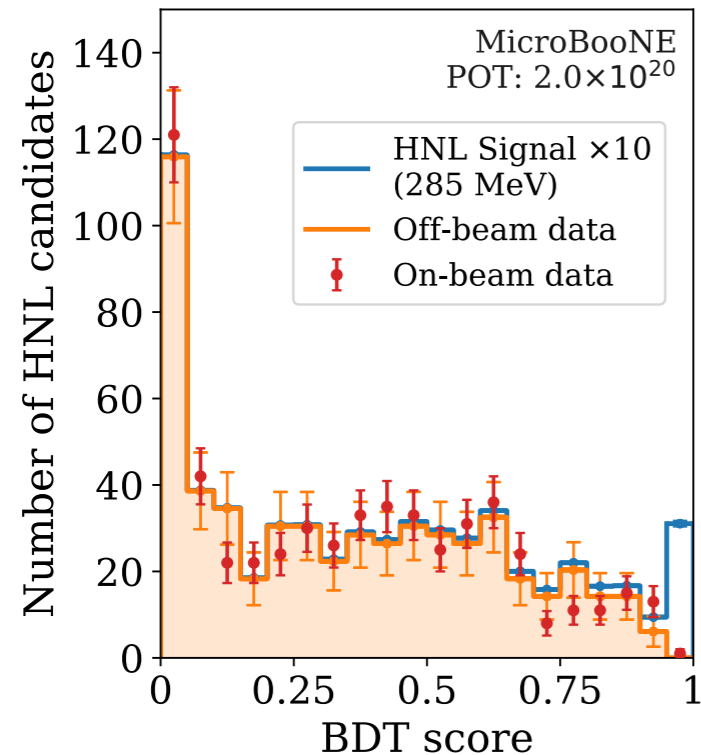


# BDT Training



- Five kinematic variables for BDT training
- $\Delta\phi$ : 3D opening angle between the two tracks from the HNL decay
- $|p_N|$ : momentum of the HNL candidate
- $\theta$ : polar angle of the HNL candidate
- $\phi$ : azimuthal angle of the HNL candidate
- $m_N$ : the invariant mass of the  $\mu\pi$  pair

# BDT Discriminant



- 5 input variables of reconstructed HNL kinematics for BDT training
- Validate the MC performance with the SM neutrino MC and data samples
- Log-likelihood ratio (LLR) test statistic and set limits at 90% confidence level

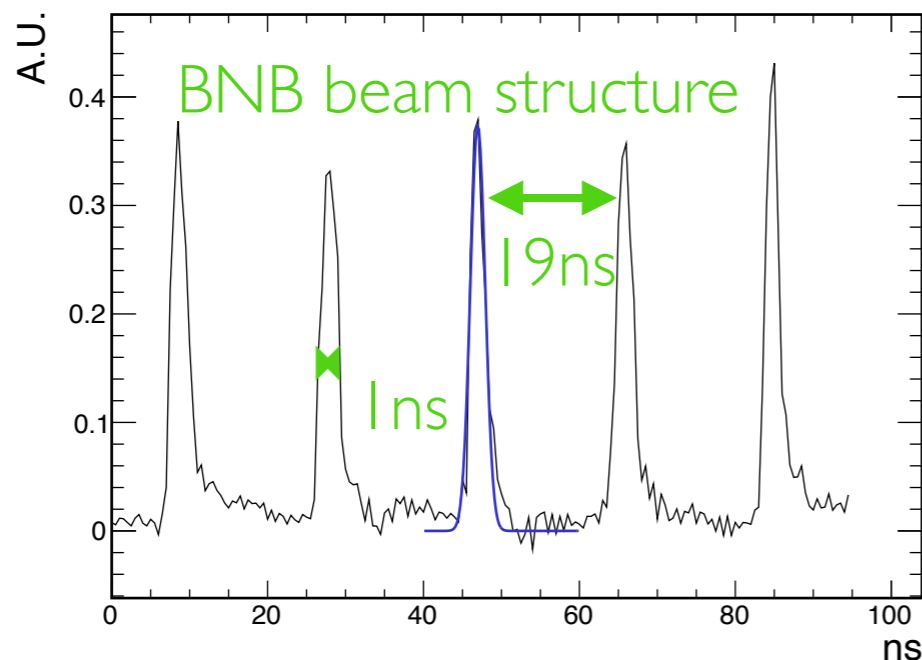
# Background Reduction

- SM neutrinos
  - 2020 analysis [Phys. Rev. D 101 052001](#)  
Utilize the longer time of flight of HNL produced by BNB,  $2 \times 10^{20}$  POT
  - 2022 analysis [Phys. Rev. D 106 092006](#)  
Utilize the kaon decaying at rest in the hadron absorber of NuMI,  $7 \times 10^{20}$  POT
    - Off-axis of the NuMI neutrinos
    - Almost opposite direction to most of neutrinos
- Cosmic rays
  - The cosmic ray tagger removes cosmic rays for part of the data used in the 2022 analysis



# Outlook at SBN

- $N \rightarrow e^\pm \pi^\mp$  larger parameter space
- Higher sampling rate of light systems in ICARUS and SBND
  - Events outside beam buckets
- Better sensitivity & cross check



Courtesy of En-Chuan Huang

