

# Experimental proof of principle of the Neutrino Tagging technique at NA62

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NuFact 2023

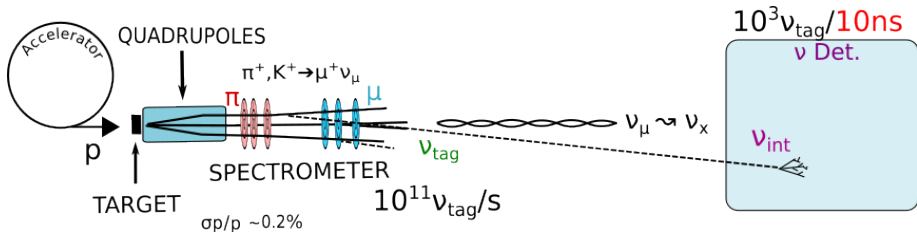


# Outline

- 1 Introduction
- 2 The NA62 experiment
- 3 Analysis strategy
- 4 Offline selection
- 5 Event yield - background and signal
- 6 Revealing signal region content

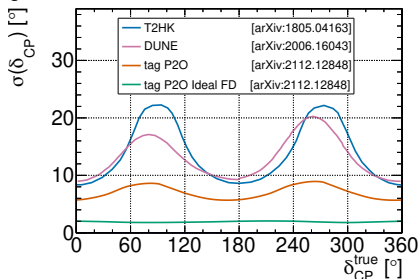
# Neutrino Tagging

- Neutrino Tagging: method for accelerator based neutrino experiments
- Instrument a beam line with spectrometers
- Kinematically reconstruct each  $\nu$  originating from a  $\pi^+ \rightarrow \mu^+ \nu_\mu$  decay  $\rightarrow$  tagged  $\nu$
- Associate *interacting*  $\nu$  at Far Detector to its tagged  $\nu$
- Main advantages:
  - energy resolution  $< 1\%$ , no energy scale
  - improved beam knowledge



# Neutrino Tagging - Motivation

- At a tagged Short Base Line Experiment: (see [L. Munteanu's talk](#))
  - precise flux knowledge  $\rightarrow$  measure at 1% level  $\nu_e$  x-sec and  $\nu_\mu$  differential x-sec
  - tagged  $\nu$  energy determined independently of its interaction  $\rightarrow$  refine interaction models
- These measurements would strongly improve the physics potential of upcoming LBLE:
- At a tagged Long Base Line Experiment:
  - setup with a natural water Cherenkov detector (like KM3NeT/ORCA) would allow to **measure  $\delta_{CP}$  with unprecedented precision.**
- Both SBL and LBL are being studied, together with ENUBET, by the [Physics Beyond Colliders \(PCB\)](#) group @ CERN



M. Perrin-Terrin, Eur. Phys. J. C (2022) 82:465

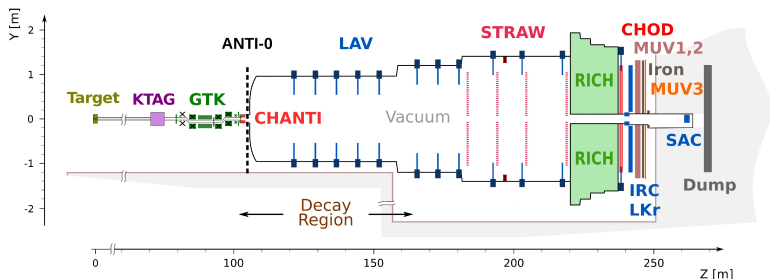
S. Aiello et al, Eur. Phys. J. C 82, 26 (2022)



# The NA62 experiment

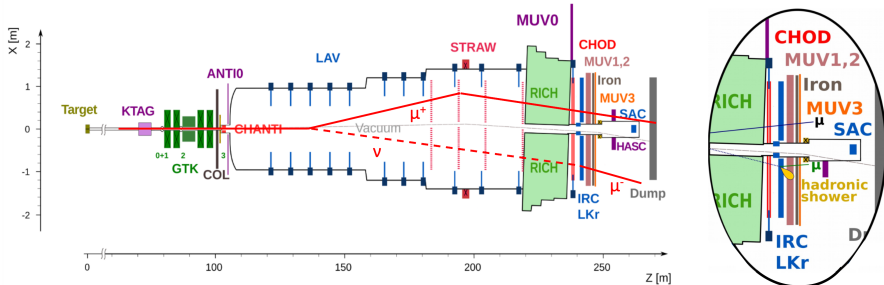


# Feasibility study of tagging - NA62

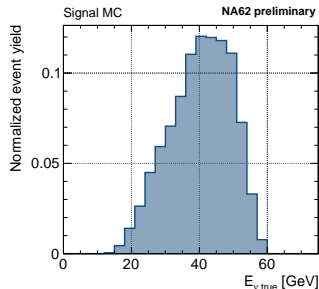


- NA62 is a fixed-target experiment in the North Area of the SPS at CERN
- NA62's main purpose is the measurement of the branching ratio of the  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  rare decay (SM signal  $\text{Br} = (8.4 \pm 1.0) \cdot 10^{-11}$ )
- **Desirable features for  $\nu$  tagging proof of principle**
- NA62's high intensity kaon beam at 75 GeV/c delivers a nominal rate of  $\mathcal{O}(10^{12}) K^+$  decays per year

# Tagging at NA62

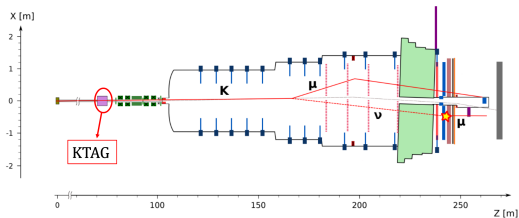


- Goal: search for  $K^+ \rightarrow \mu^+ + \nu_\mu$  ( $K\mu\nu$ ) with:
  - $K^+$  reconstructed by tracker
  - $\mu^+$  reconstructed by tracker
  - $\nu$  interacting in the EM calorimeter (20ton LKr)
- $\nu$  interaction probability:  $\mathcal{O}(10^{-11})$
- Interaction channel: CC-DIS:  $\nu \rightarrow \text{shower} + \mu^-$
- Exploit  $\mu^+$ , shower and  $\mu^-$  for triggering strategy



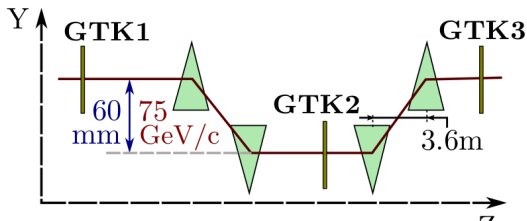
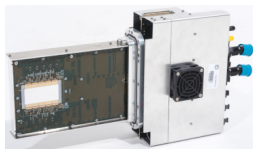
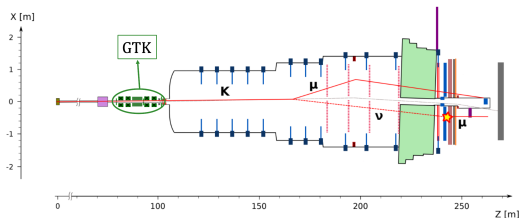
# Main subdetectors involved

- KTAG: differential Cherenkov counter equipped with 8 arrays of photodetectors, identifies the  $K^+$  in the beam
- Beam: 750MHz over 3s spills
- Composition: 6%  $K^+$ , 70%  $\pi^+$ , 23%  $p$



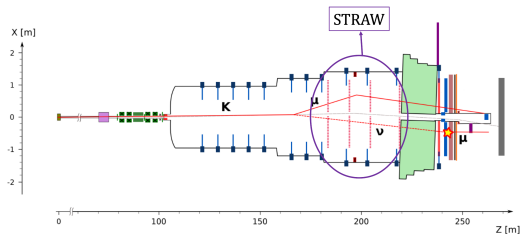
# Main subdetectors involved

- GigaTracker (GTK): silicon pixel spectrometer with 130 ps hit time resolution, reconstructs time and 4-momentum of incoming beam particles
- $\sigma_p/p = 0.2\%$ ,  $\sigma_\theta = 16$  mrad



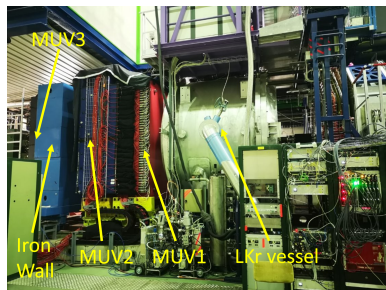
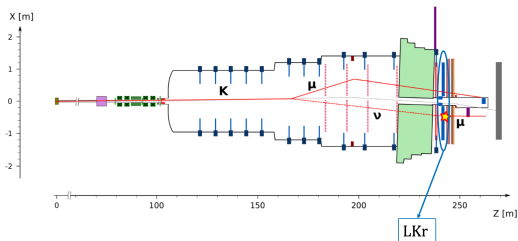
# Main subdetectors involved

- STRAW: straw tube spectrometer that reconstructs the properties of charged particles produced in K decays



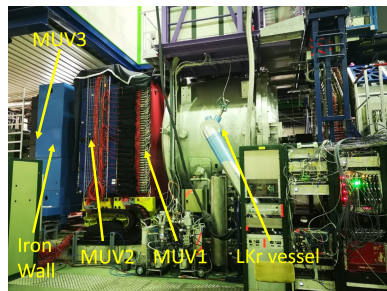
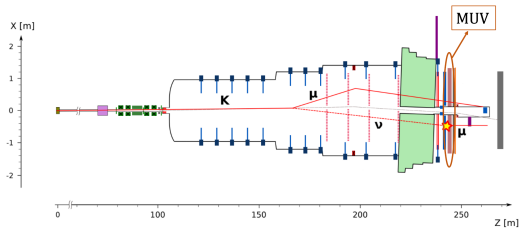
# Main subdetectors involved

- LKr: electromagnetic calorimeter filled with about 9000 l of liquid Krypton at 120 K



# Main subdetectors involved

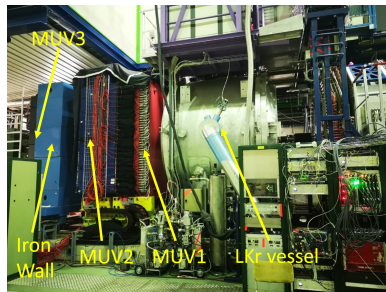
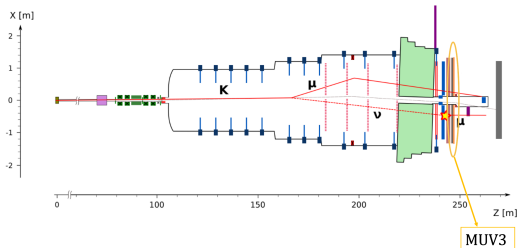
- MUV1 and 2: 66 ton hadron calorimeter





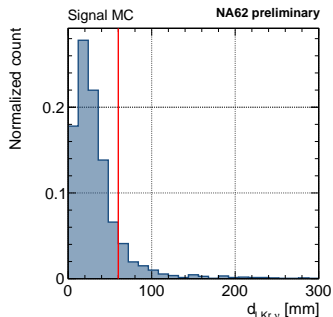
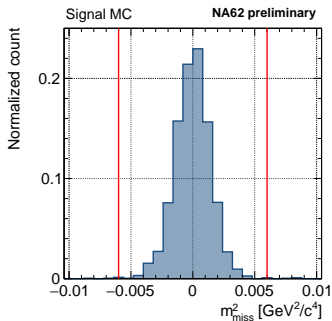
# Main subdetectors involved

- MUV3: 50 mm thick scintillator tiles, placed behind LKr, MUV1 and 2, and an iron wall, used for muon ID

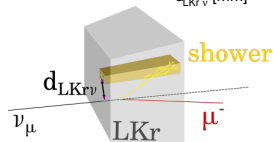


# Analysis strategy

# Analysis strategy



- Blind analysis strategy
- Signal region defined as:
  - $|m_{\text{miss}}^2| = |(P_{K^+} - P_{\mu^+})^2| < 0.006 \text{ GeV}^2/c^4$
  - $|d_{\text{LKr}}| < 60 \text{ mm}$
- Backgrounds assessed with data driven method on side bands; 2 background sources:
  - Overlaid  $K\mu\nu$ :  $K \rightarrow \mu\nu$  with extra in-time activity  $\rightarrow$  studied in side bands of  $d_{\text{LKr}}$
  - Mis-reconstructed kaon decays  $\rightarrow$  studied in side bands of  $m_{\text{miss}}^2$ .



# Analysis strategy

- 2022 data sample has been analyzed
- Expected event rate:

$$N_{\text{signal}}^{\text{exp}} = N_{K^+} \cdot \mathcal{B}(K^+ \rightarrow \mu^+ \nu_\mu) \cdot P_{\text{int,LKr}} \cdot \epsilon_{\text{signal}}$$

- Use  $K \rightarrow \mu \nu$  (no  $\nu$  interaction) decays as normalization sample:

$$N_{K^+} = \frac{N_{\text{norm}}}{\epsilon_{\text{norm}} \cdot \mathcal{B}(K^+ \rightarrow \mu^+ \nu_\mu)}$$

$$N_{\text{signal}}^{\text{exp}} = N_{\text{norm}} \cdot \frac{\epsilon_{\text{signal}}}{\epsilon_{\text{norm}}} \cdot P_{\text{int,LKr}}$$

- Signal and normalization common efficiency terms cancel in the ratio
- Signal efficiency estimated thanks to a MC sample (GENIE) <sup>a</sup>

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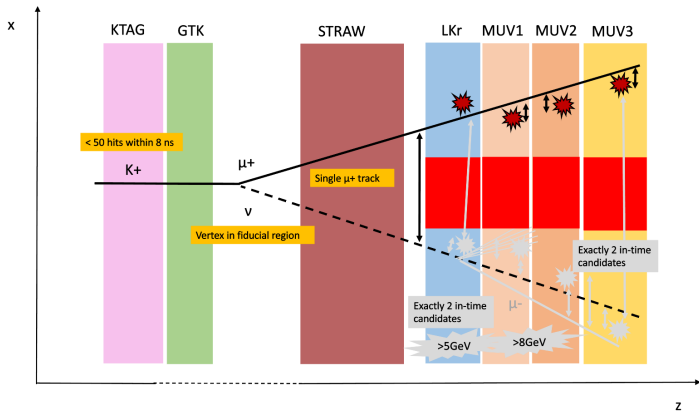
<sup>a</sup>Thanks to Marco Roda, Costas Andreopoulos for helping us implementing it in NA62 SW

## Offline selection

- Need a **very** clean signal → strict selection
- Large background rejection factor

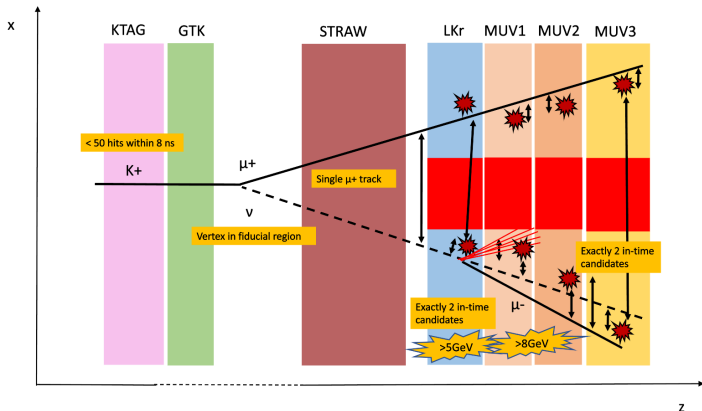
# Common selection - signal and normalization

- Single positively charged track matched to LKr, MUV1, MUV2 and MUV3 candidates
- $\mu^+$  PID
- photon rejection
- $\nu$  extrapolated position inside LKr acceptance

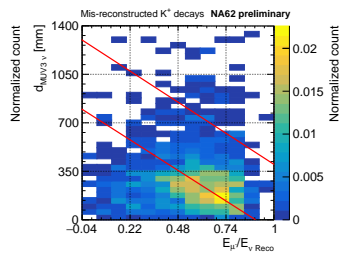
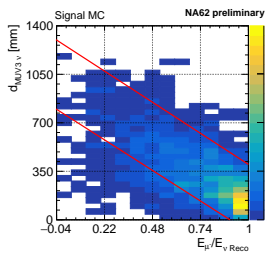
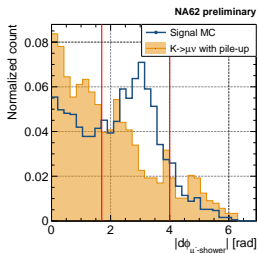
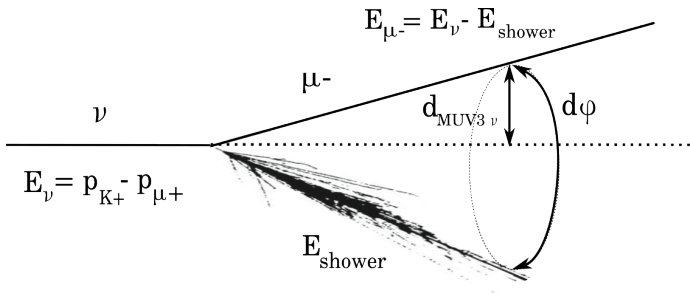


# $\nu$ interaction offline selection

- Step 1:  $\nu$  interaction associated to activity in LKr, MUV1, MUV2, MUV3 in time and space
- Step 2: Extra activity rejection
- Step 3: Energy requirements
- Step 4: Interaction topology



# Interaction topology

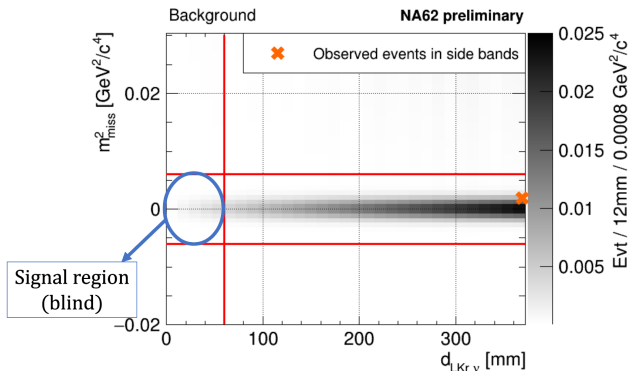




# Signal and background yields

# Background assessment

Background pollution estimated with data-driven method, in side bands of SR



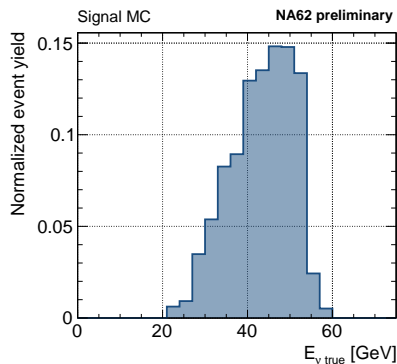
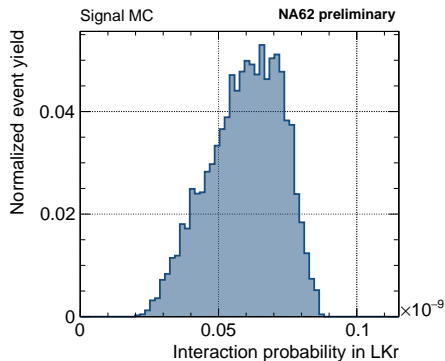
$$N_{\text{bkg}}^{\text{exp}} (\text{Mis} - \text{reco } K^+) = 0.0014 \pm 0.0007_{\text{stat}} \pm 0.0002_{\text{syst.}}$$

$$N_{\text{bkg}}^{\text{exp}} (\text{OV } K\mu\nu) = 0.04 \pm 0.02_{\text{stat}} \pm 0.01_{\text{syst.}}$$

# Variables for signal yield computation

- $P_{int,LKr} = (6.0 \pm 0.1_{syst}) \cdot 10^{-11}$
- $N_{norm} = (1.49 \pm 0.02_{syst}) \cdot 10^{11}$  from  $K\mu\nu$  event yield
- $\epsilon_{signal} = (2.55 \pm 0.15_{stat} \pm 0.04_{syst})\%$

$$N_{signal}^{exp} = 0.228 \pm 0.014_{stat} \pm 0.011_{syst}$$



# Summary

- In 2022 data sample:

$$N_{\text{signal}}^{\text{exp}} = 0.228 \pm 0.014_{\text{stat}} \pm 0.011_{\text{syst}},$$

$$N_{\text{bkg}}^{\text{exp}} = 0.0014 \pm 0.0007_{\text{stat}} \pm 0.0002_{\text{syst}},$$

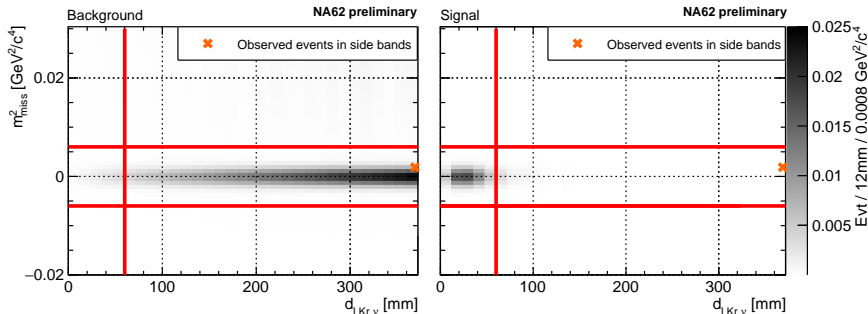
$$N_{\text{bkg}}^{\text{exp}}(OV K\mu\nu) = 0.04 \pm 0.02_{\text{stat}} \pm 0.01_{\text{syst}}.$$

- Signal-to-noise: 5.5

## Probability for total event yield

$$N_{\text{events}}^{\text{exp}} = 0.2694$$

- for 0 data events  $p = 0.7638$
- for 1 data event  $p = 0.2058$
- for 2 data events  $p = 0.0277$ .

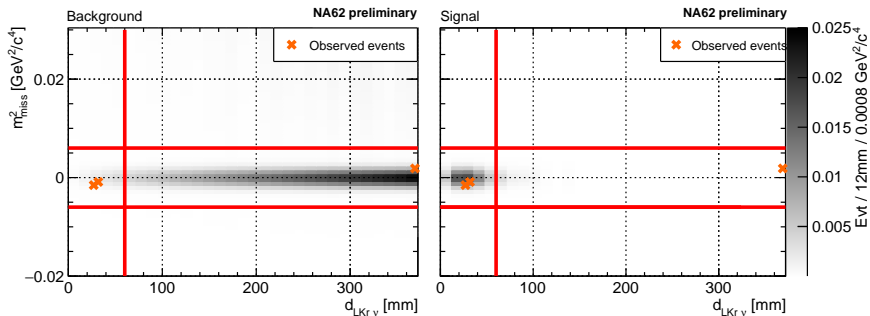


# Revealing signal region content

- Results approved for unblinding by NA62 Collaboration
- Unblinding on July 27, 2023

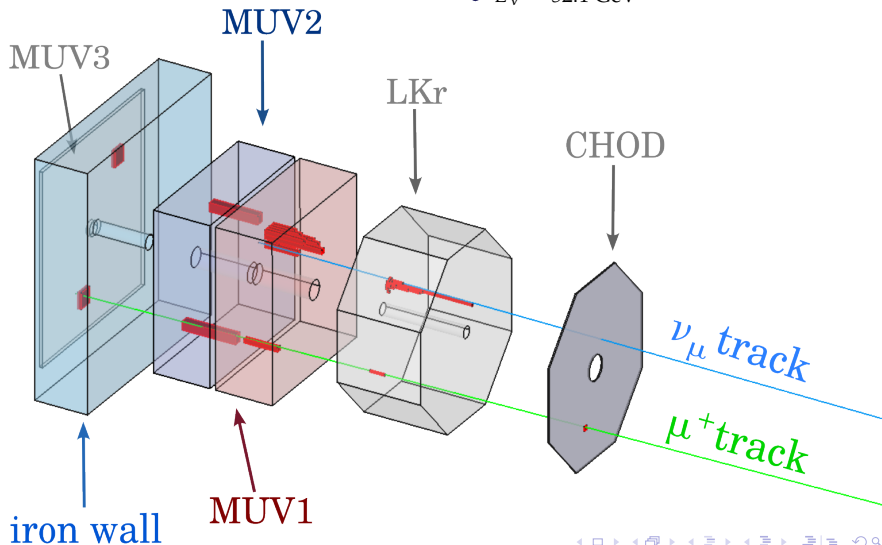
# Opening the box in signal region

Two events are found in signal region!!



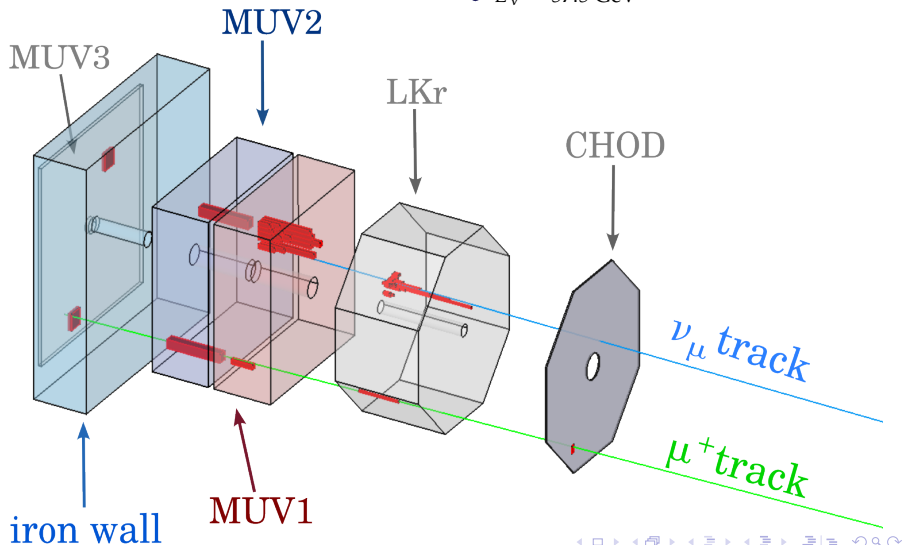
# Event Display - Event A

- $p_{\mu^+} = 25.25 \text{ GeV}/c$
- $E_{\nu} = 52.1 \text{ GeV}$



# Event Display - Event B

- $p_{\mu^+} = 18.74 \text{ GeV}/c$
- $E_{\nu} = 57.5 \text{ GeV}$





# Conclusions

- NA62 experiment has been exploited as a miniature tagged experiment to demonstrate feasibility of the technique using  $K^+ \rightarrow \mu^+ \nu_\mu$
- Blind analysis performed, expected  $N_{signal}^{exp} = 0.228 \pm 0.014_{stat} \pm 0.011_{syst}$  signal events
- Signal-to-noise ratio 5.5
- 2 events found in signal region upon opening the box
- Crucial first step achieved toward the design of a full scale tagged experiment.

# Backup

# Signal yield

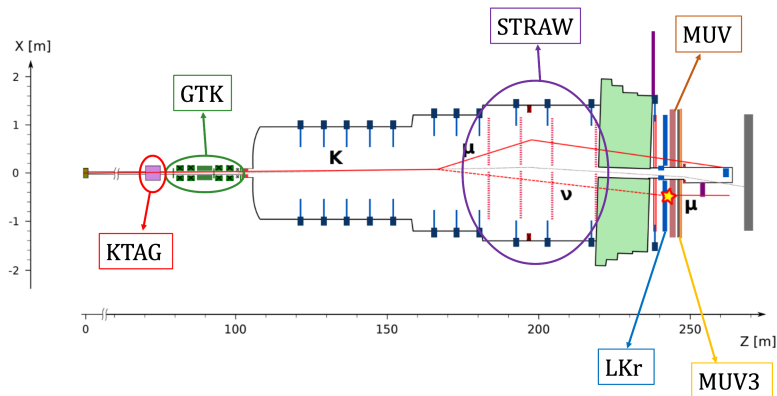
$$N_{K\mu\nu} = N_{K\mu\nu}^{mask2-ext} \cdot D_{min-bias}^{mask2} = (1.49 \pm 0.02_{syst}) \cdot 10^{11} \text{ from normalization event yield}$$

$$\begin{aligned} N_{K\mu\nu*}^{exp} &= N_{K\mu\nu} \cdot A_{K\mu\nu*}^{int} \cdot \epsilon^{RV} \cdot \epsilon_{E5}^{sel} \cdot \epsilon_{MOQX}^{sel} \cdot \epsilon_{L1}^{sel} \cdot P_{int,LKr} \\ &= \mathbf{0.228 \pm 0.014_{stat} \pm 0.011_{syst}} \end{aligned} \quad (1)$$

Contribution	Value and uncertainty
$P_{int,LKr}$	$(6.0 \pm 0.1_{syst}) \cdot 10^{-11}$
$N_{K\mu\nu}$	$(1.49 \pm 0.02_{syst}) \cdot 10^{11}$
$A_{K\mu\nu*}^{int}$	$0.0421 \pm 0.0025_{stat} \pm 0.0015_{syst}$
$\epsilon^{RV}$	$0.816 \pm 0.014_{syst}$
$\epsilon_{K\mu\nu*}^{MOQX}$	$0.976 \pm 0.007_{stat} \pm 0.001_{syst}$
$\epsilon_{K\mu\nu*}^{E5}$	$0.82 \pm 0.01_{stat} \pm 0.01_{syst}$
$\epsilon_{K\mu\nu*}^{L1/sel}$	$0.932 \pm 0.002_{stat}$

# Trigger line

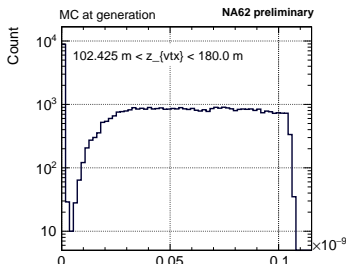
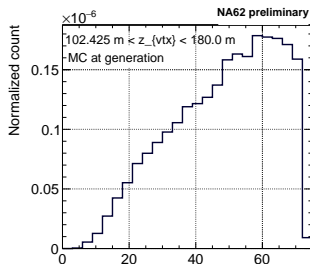
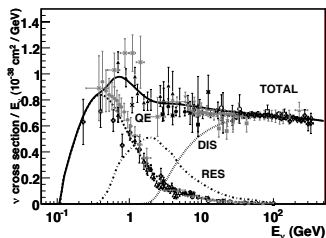
- Dedicated trigger line deployed in 2021, refined in 2022
- Trigger line selection: single downstream track before LKr with two muons at MUV3 with total energy deposit  $> 5\text{GeV}$  in LKr



# MC sample

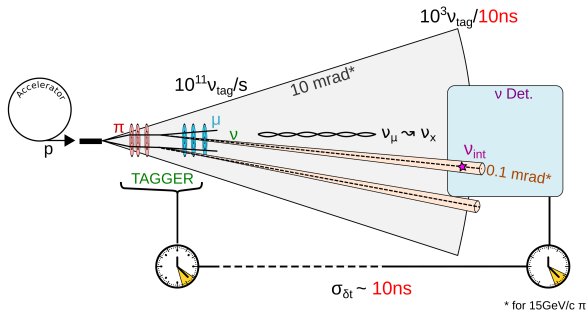
- $K^+$  forced to decay in  $\mu^+ \nu_\mu$  at  $z = 102.425\text{-}180.0$  m
- $\nu$  forced to interact in the LKr active volume
- $\nu$  interaction simulated with GENIE using CC-QE, RES, DIS
- Interaction final state and probability passed from GENIE to NA62MC
- Average interaction probability:  $5 \cdot 10^{-11}$
- To account for final state modeling uncertainties, two extra samples are produced with the  $\nu$  energy used to generate the final state biased by  $\pm 10\%$  to estimate systematic uncertainties

From Formaggio et al.



# Neutrino Tagging - Implementation

- Implemented by instrumenting a beam line with beam spectrometers
- Upcoming tracker capabilities:  $\mathcal{O}(10^{12})\pi/s^1$ , way below rate of standard LBL  $\mathcal{O}(10^{18})\pi/s$
- Handles to limit particle flux:
  - slow extraction
  - narrow band ( $\pi$  momentum selection)
  - beam transverse size
- Unambiguous pairing between tagged and interacting  $\nu$



<sup>1</sup>A. Lai et al., First results of the TIMESPOT project on developments on fast sensors for future vertex detectors, 2020

# Signal candidates properties

Variable	Event A	Event B
$d_{LKr\nu}$	31.9 mm	27.0 mm
$m_{miss}^2$	$-0.00088 \text{ GeV}^2/c^4$	$-0.0015 \text{ GeV}^2/c^4$
$d\phi_{LKr-MUV3}$	3.29 rad	3.24 rad
$E_\nu$	52.1 GeV	57.5 GeV
$p_{\mu^+}$	25.25 GeV/c	18.74 GeV/c
$p_{K^+}$	77.3 GeV/c	76.2107 GeV/c
$E_{LKr \text{ in time}}$	13.36 GeV	7.67 GeV
$E_{MUV1 \text{ in time}}$	9.85 GeV	10.90 GeV
$E_{MUV2 \text{ in time}}$	2.48 GeV	2.80 GeV
$E_{\mu^-}/E_\nu$	0.68	0.78
$n_{KTAG}$	28	17
$z_{otx}$	161.2 m	157.7 m
$x, y \text{ at MUV3 } \mu^-$	(550, 770) mm	(330, 770) mm
$x, y \text{ at MUV3 } \mu^+$	(-330, -770) mm	(-550, -990) mm

Table: Features of the two signal candidates found in the signal region.