



**Sterile Neutrino Search at  
MicroBooNE using both the BNB  
and NuMI Beams**

**Puzzles | Physics | Path Forward**

**Meghna Bhattacharya on behalf of the MicroBooNE Collaboration  
August 22nd, 2023  
NuFact, Seoul, South Korea**

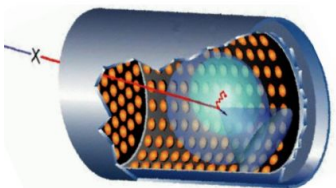


# Understanding Neutrinos

Short baseline  
anomalies

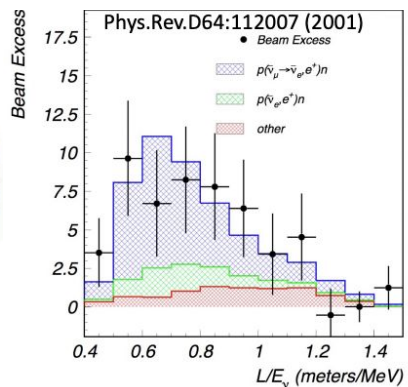
**Puzzles | Physics | Path Forward**

# Anomalies in Neutrino Sector



Liquid Scintillator  
Neutrino Detector

## LSND



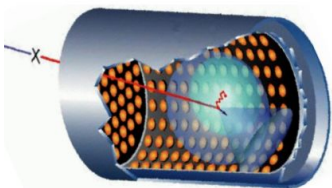
$$\bar{\nu}_\mu \rightarrow ? \rightarrow \bar{\nu}_e$$

**~3.8  $\sigma$   
excess**

**excess  $\bar{\nu}_e$**

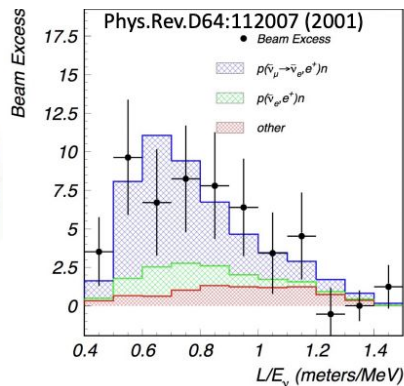
$$\bar{\nu}_e \rightarrow ?$$

# Anomalies in Neutrino Sector



Liquid Scintillator  
Neutrino Detector

## LSND

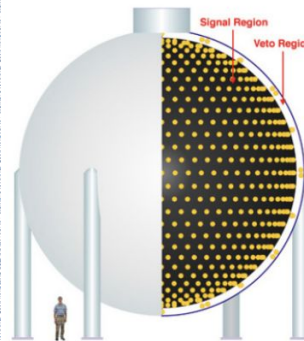


**~3.8  $\sigma$   
excess**

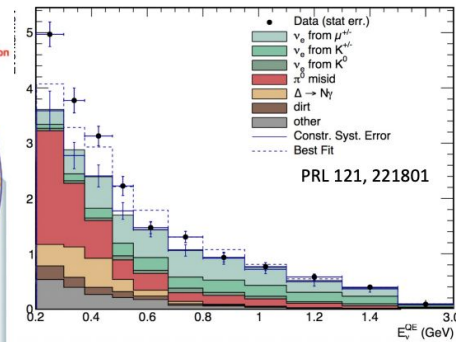
**excess  $\bar{\nu}_{\mu}$**

$$\bar{\nu}_{\mu} \rightarrow ? \rightarrow \bar{\nu}_{e}$$

MiniBooNE Detector



## MiniBooNE

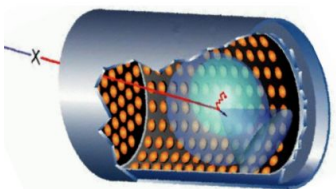


**~4.8  $\sigma$   
excess**

**excess  $\nu_e$**

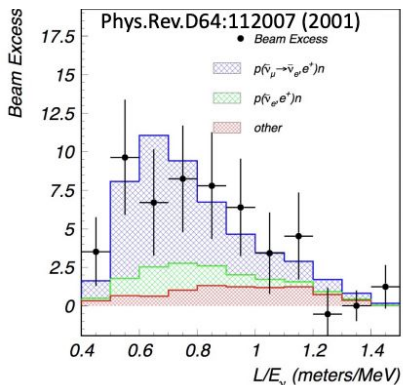
$$\bar{\nu}_{e} \rightarrow ?$$

# Anomalies in Neutrino Sector



**Liquid Scintillator  
Neutrino Detector**

## LSND

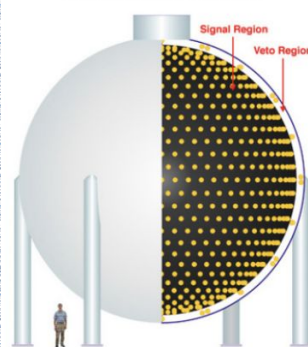


$$\bar{\nu}_\mu \rightarrow ? \rightarrow \bar{\nu}_e$$

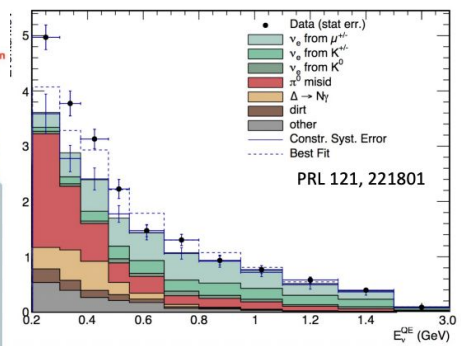
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**excess  $\bar{\nu}_e$**

## MiniBooNE Detector



## MiniBooNE

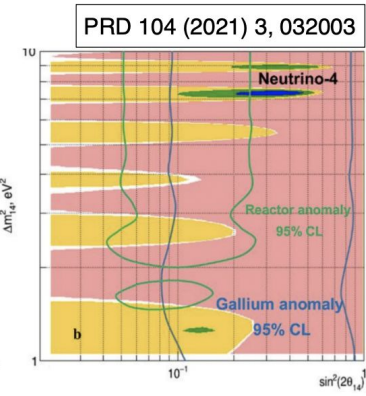
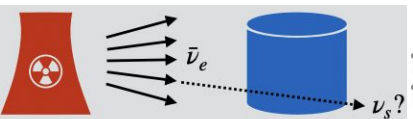


**~4.8  $\sigma$   
excess**

**excess  $\nu_e$**

## Reactor Anomaly

$$\bar{\nu}_e \rightarrow ?$$

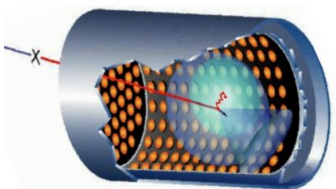


**~3  $\sigma$   
deficit**

**$\bar{\nu}_e$  deficit**

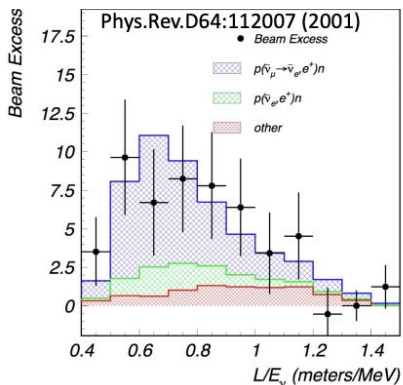


# Anomalies in Neutrino Sector



**Liquid Scintillator  
Neutrino Detector**

## LSND

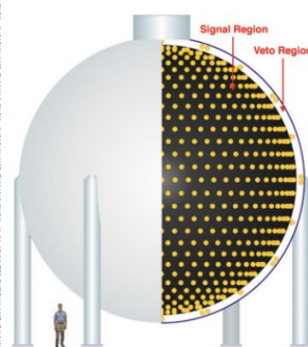


**~3.8  $\sigma$   
excess**

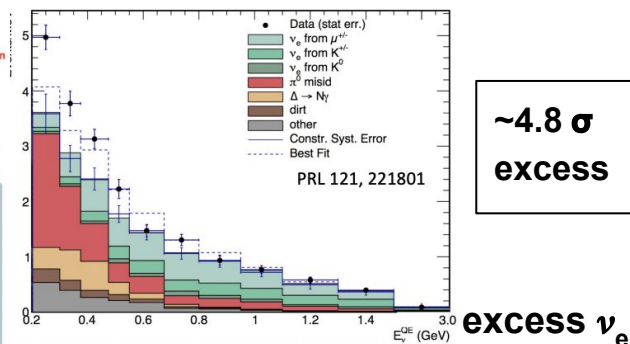
**excess  $\bar{\nu}_e$**



## MiniBooNE Detector

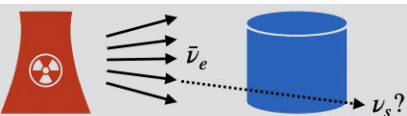


## MiniBooNE

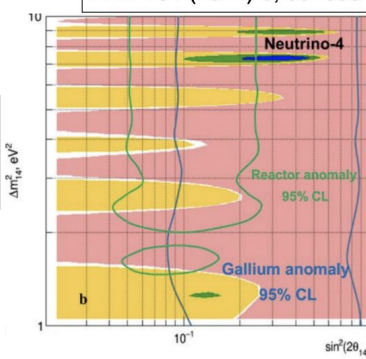


**~4.8  $\sigma$   
excess**

## Reactor Anomaly

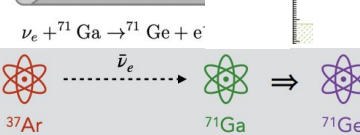
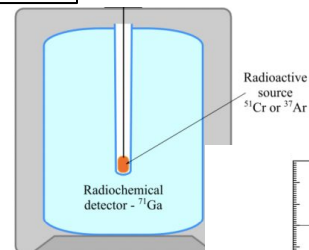
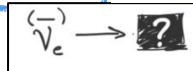


PRD 104 (2021) 3, 032003



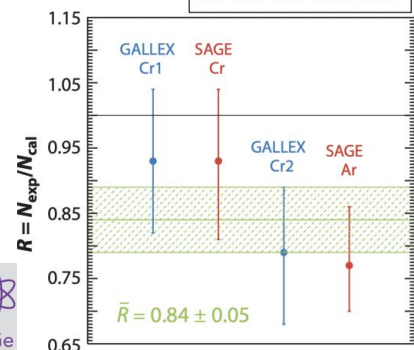
**~3  $\sigma$   
deficit**

**$\bar{\nu}_e$  deficit**



## Gallium Anomaly

arXiv:1901.08330

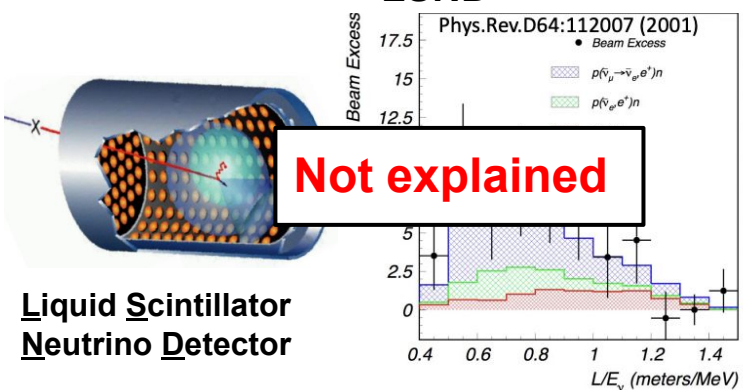


**~3  $\sigma$   
deficit**

**$\nu_e$  deficit**

# Anomalies in Neutrino Sector

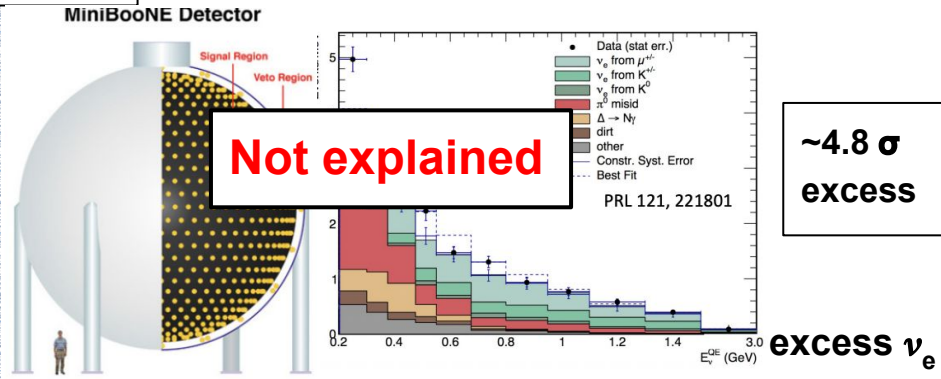
## LSND



$$\bar{\nu}_\mu \rightarrow ? \rightarrow \bar{\nu}_e$$

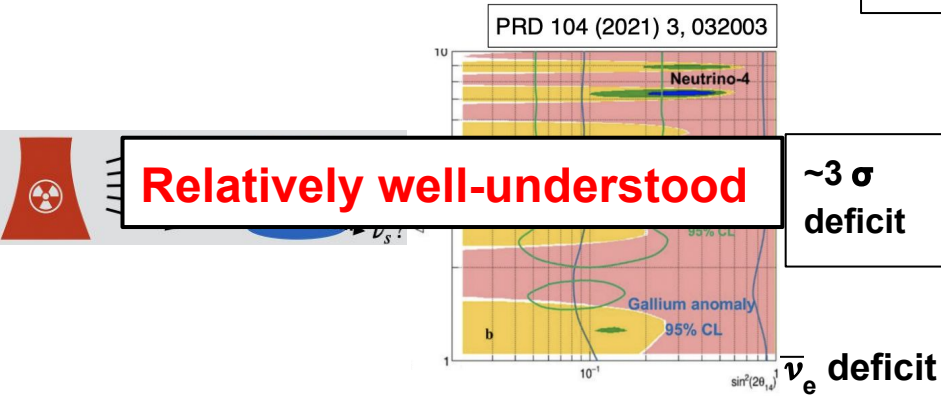
~3.8  $\sigma$  excess

## MiniBooNE



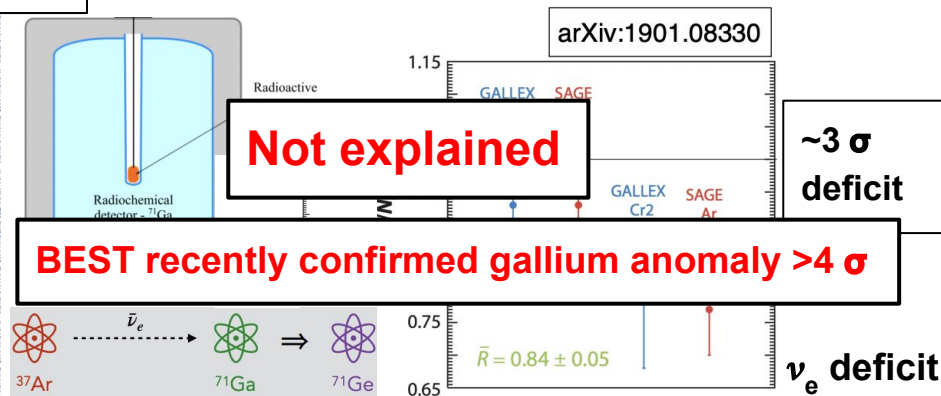
~4.8  $\sigma$  excess

## Reactor Anomaly

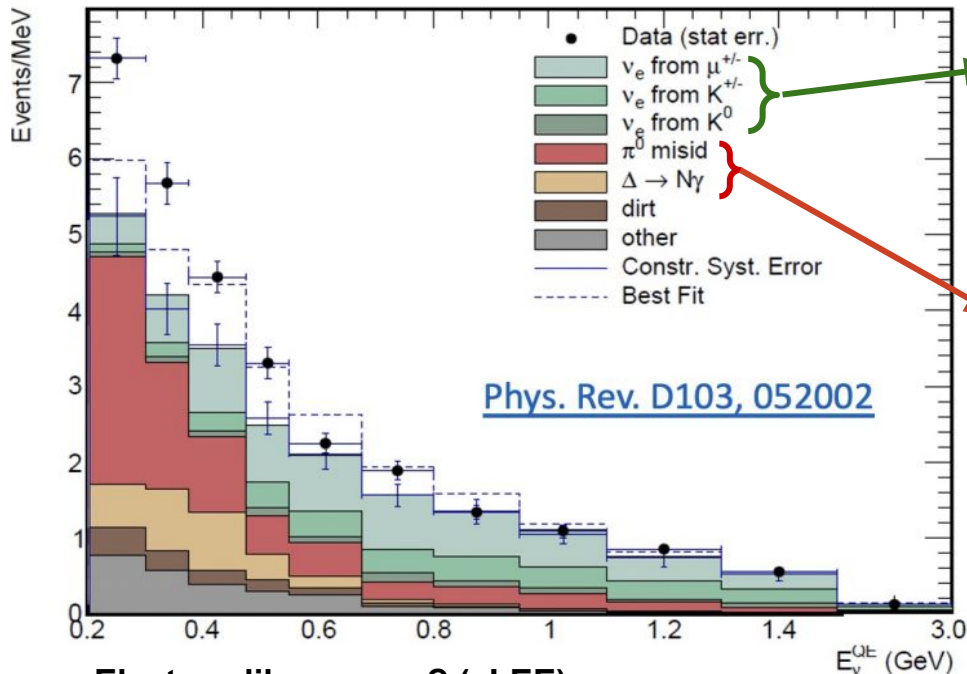


$$\bar{\nu}_e \rightarrow ?$$

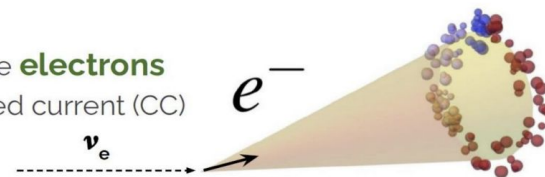
## Gallium Anomaly



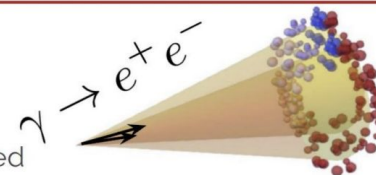
# MiniBooNE Anomaly



It detected  $\nu_e$  by the **electrons** produced in charged (CC) interactions.



However, **photons**, that pair produce extremely collimated electron/positron pairs produced an identical Cherenkov ring



**MiniBooNE: Cherenkov detector unable to distinguish between **electrons** and **photons****

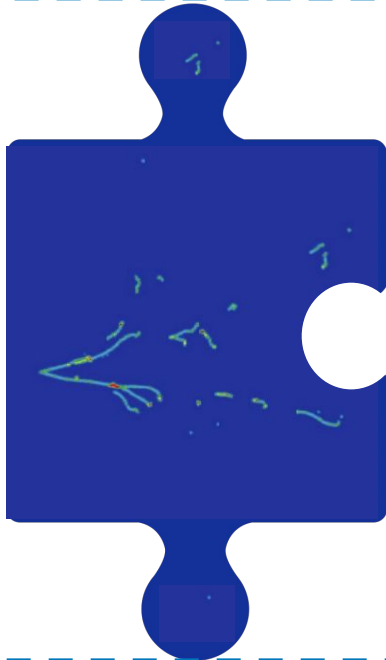
- **Electron-like excess? (eLEE)**
  - New physics? Sterile neutrino oscillations?
- **Photon-like excess? (gLEE)**
  - SM process, unconstrained by MiniBooNE

[MicroBooNE's Search for Anomalous Single-Photon Production, Lee Hagaman](#)



# Understanding Neutrinos from LArTPCs Lens

MiniBooNE Excess



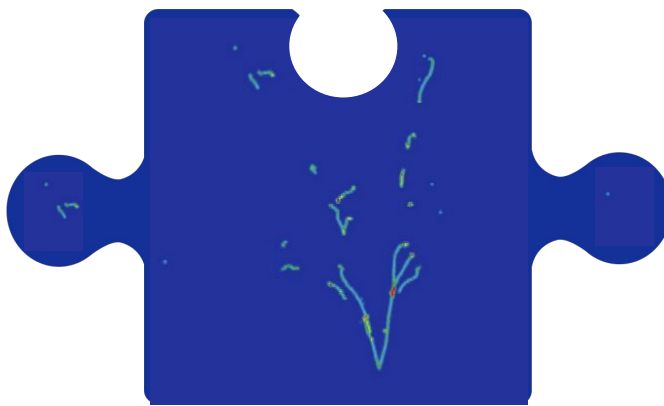
Liquid Argon R&D



Photo by Reidar Hahn

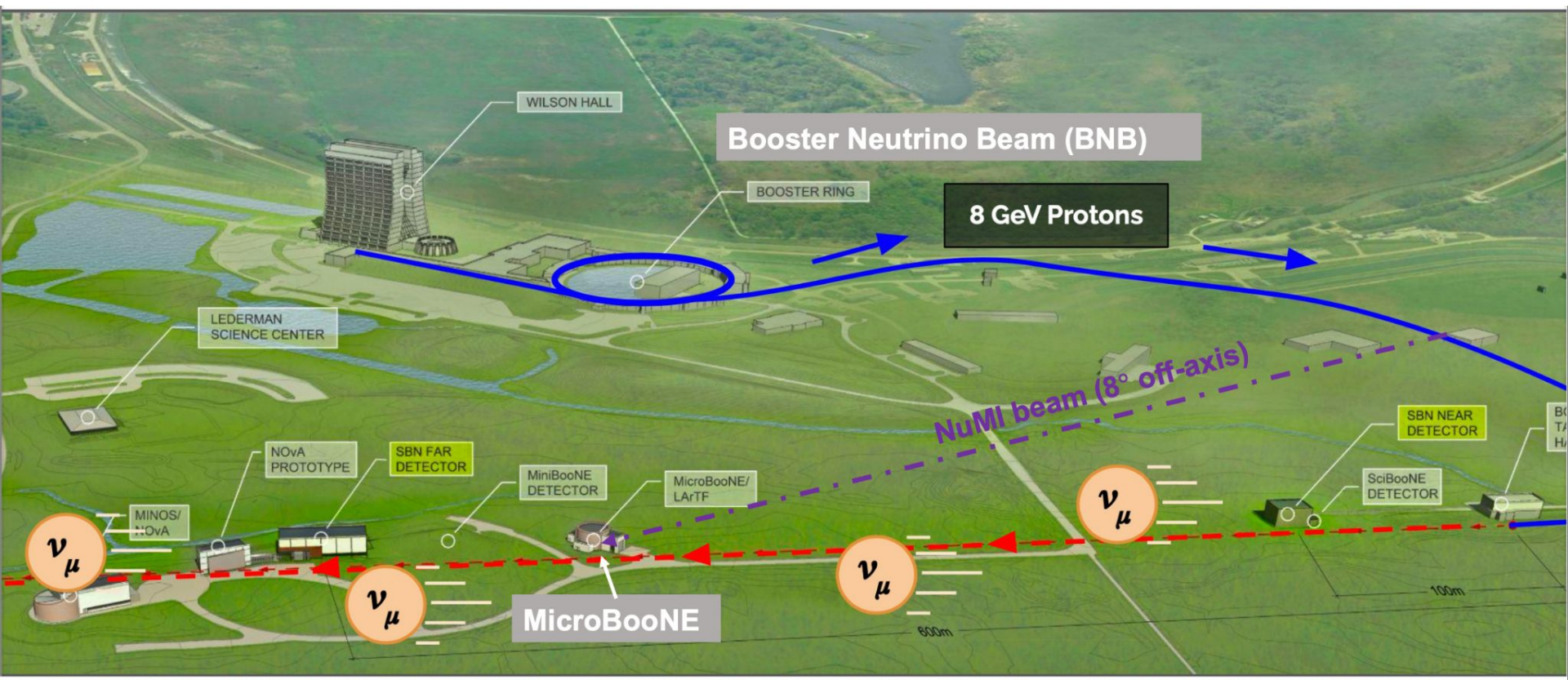
Data taking 2015 - 2021

Neutrino-Ar cross section



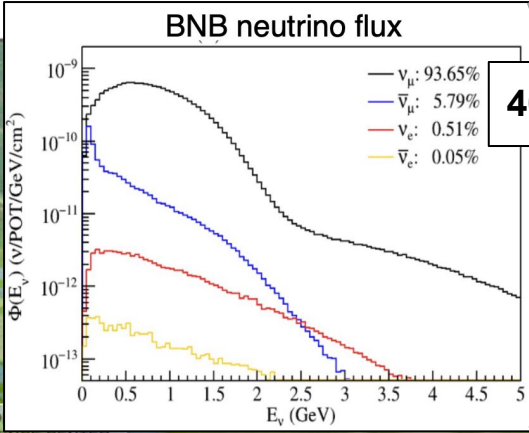
Wide Range of New Physics Searches

# Neutrinos Towards MicroBooNE





# Neutrinos Towards MicroBooNE



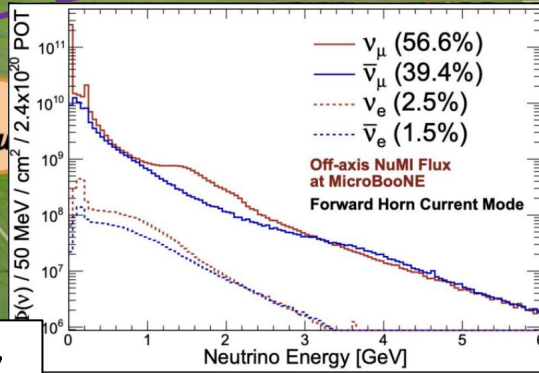
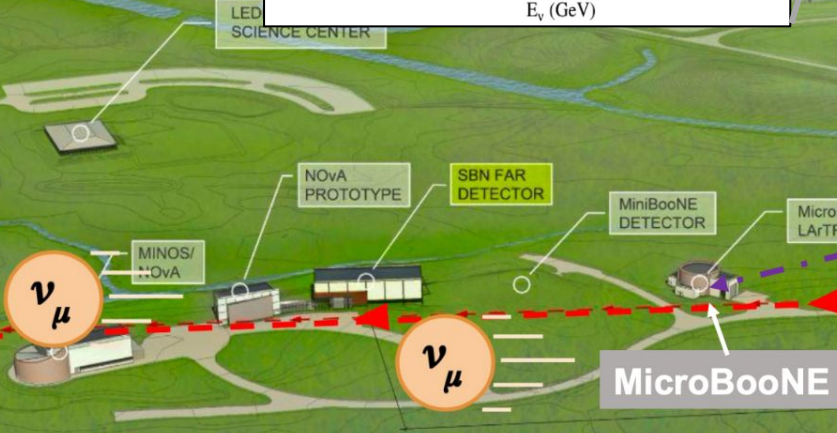
**468.5 m, On-axis, 0.8 GeV ( $E_\nu$ ), < 1%  $\nu_e$**

**Booster Neutrino Beam (BNB)**

BOOSTER RING

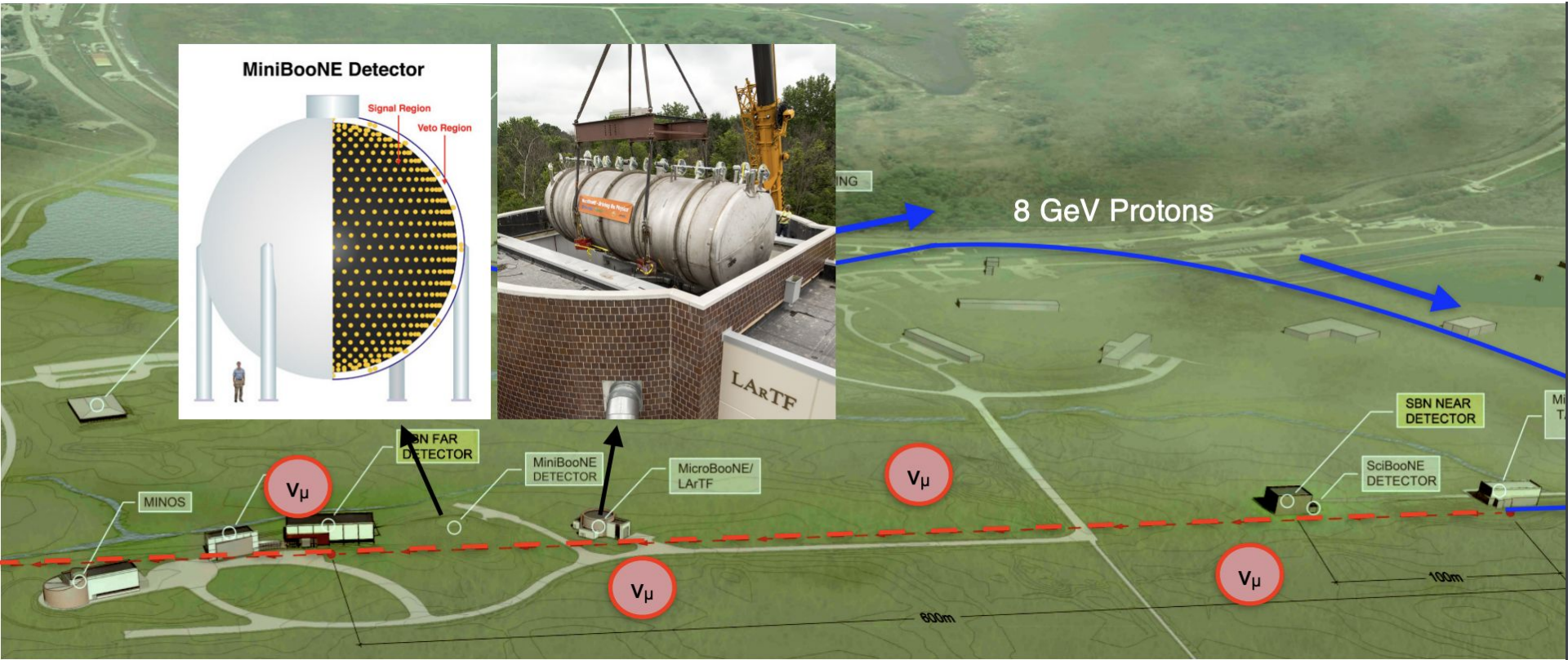
**8 GeV Protons**

*NuMI beam (8° off-axis)*



**680 m, 8° Off-axis, 0.65 GeV ( $E_\nu$ ), 5%  $\nu_e$**

# Neutrinos Towards MicroBooNE





e/ $\gamma$  showers

$\nu$

proton candidate

muon candidate

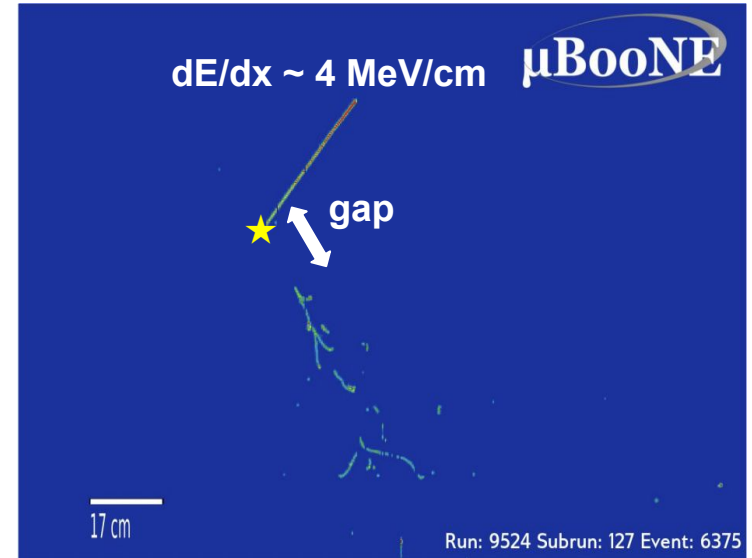
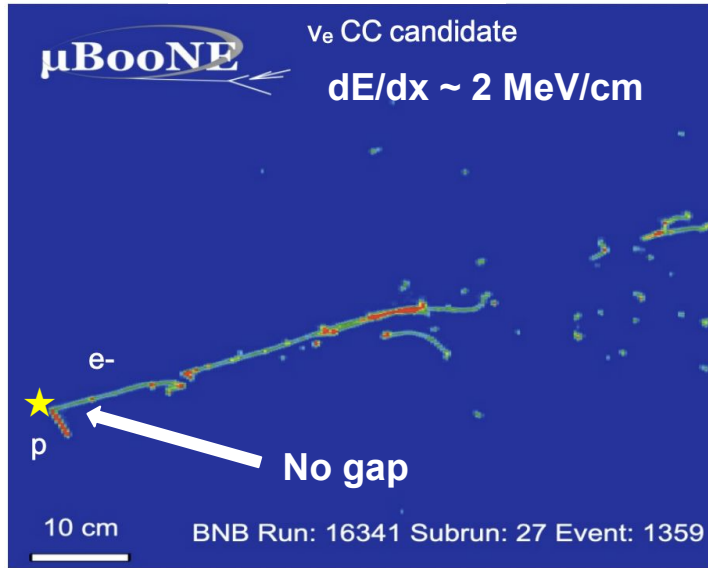
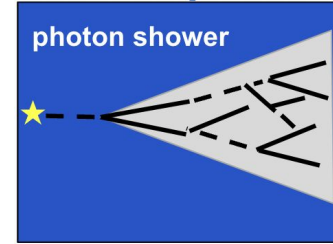
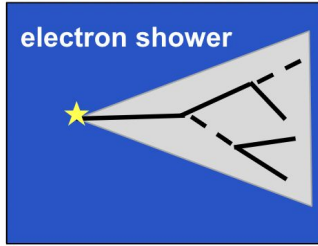
18 cm

BNB DATA : RUN 5929 EVENT 1582. APRIL 15, 2016.



# Improvements with LArTPCs - Electron Photon Separation

★ Vertex  
—  $e^\pm$   
- -  $\gamma$



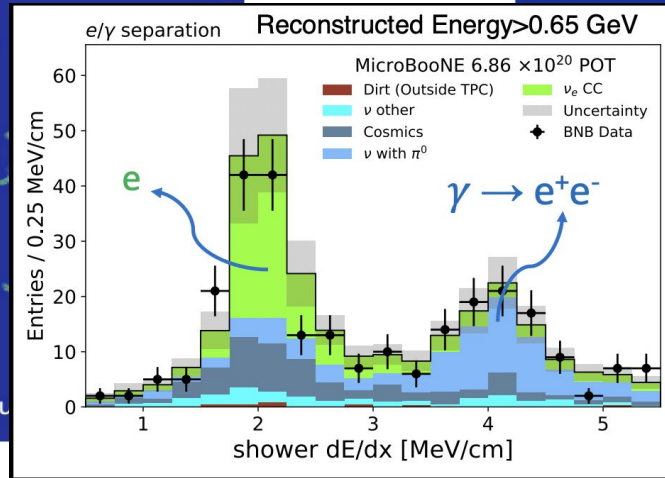
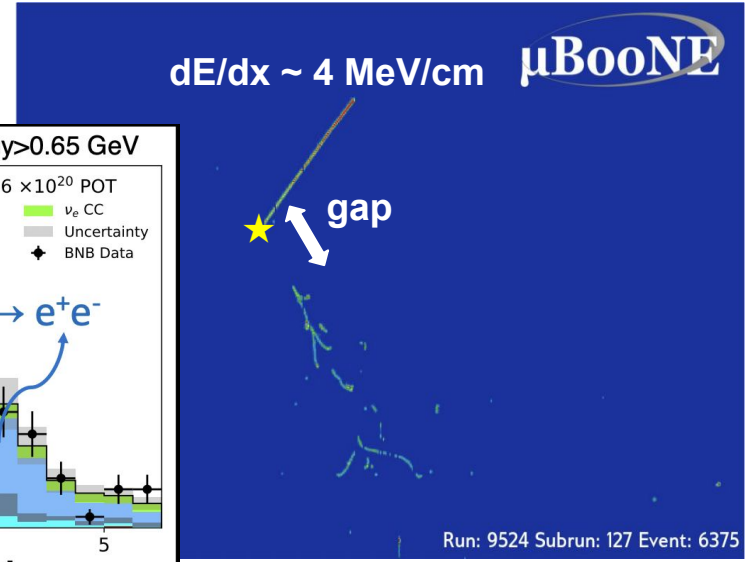
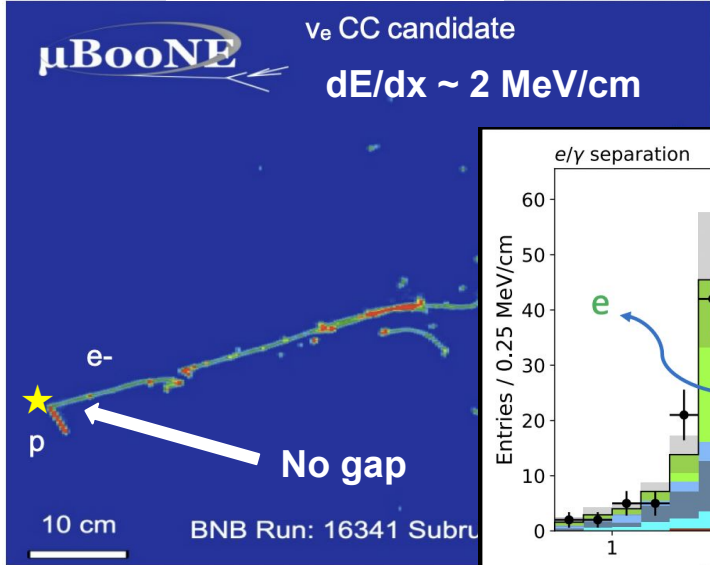
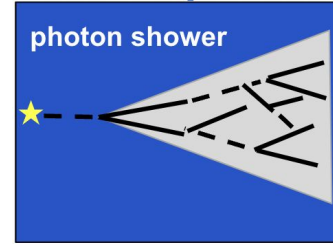
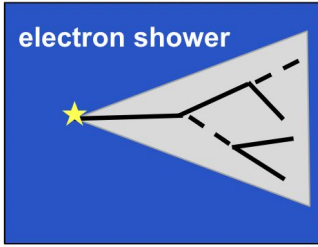
LArTPC provides information needed to separate  $e$  from  $\gamma$

# Improvements with LArTPCs - Electron Photon Separation

★ Vertex

—  $e^\pm$

- -  $\gamma$



LArTPC provides information needed to separate  $e$  from  $\gamma$

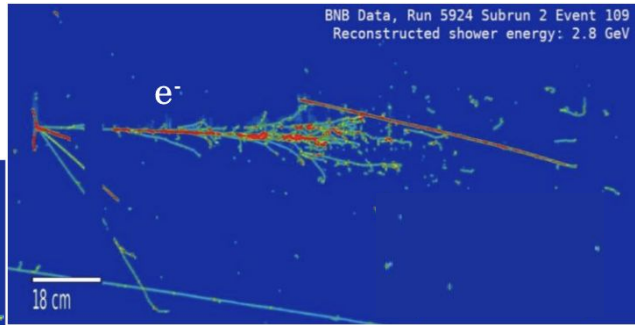
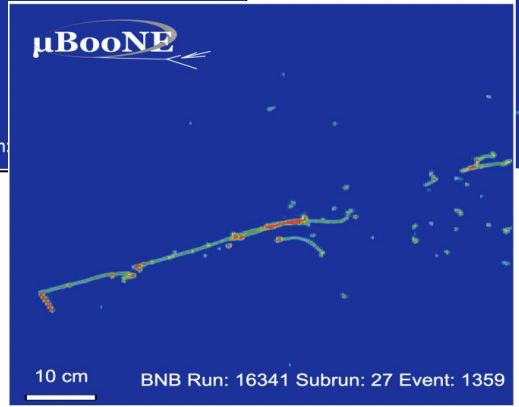
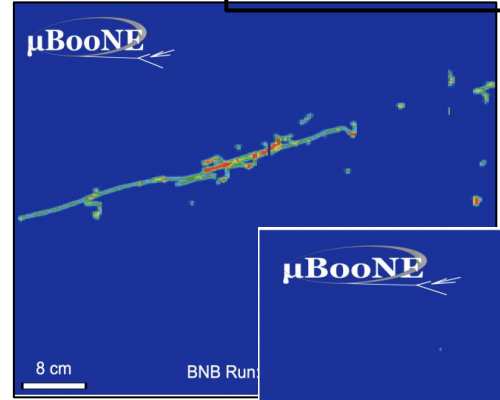
# MicroBooNE's Quest : eLEE Search

Measure electron neutrinos:  $\nu_e + n \rightarrow e + Xp + X\pi$

CCQE  
 $1e1p0\pi$

Pionless  
 $1e0p0\pi + 1eNp0\pi$

Inclusive electron neutrino  
 $1eXpX\pi$



Dominant interaction at low energies

Match MiniBooNE Signal

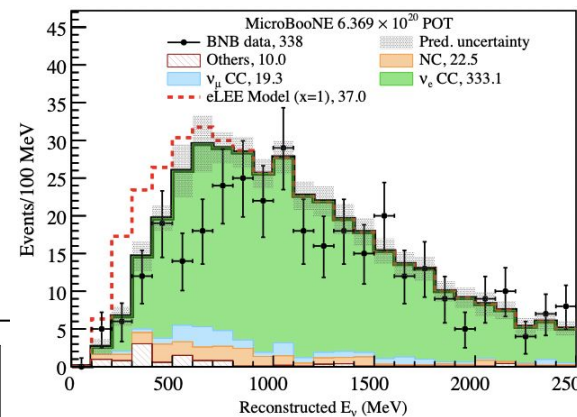
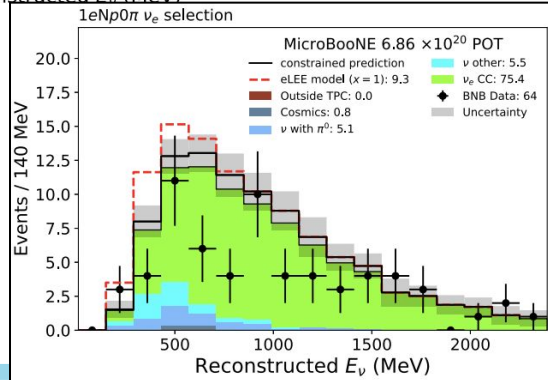
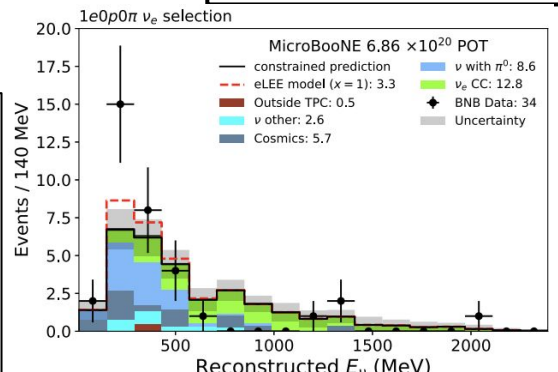
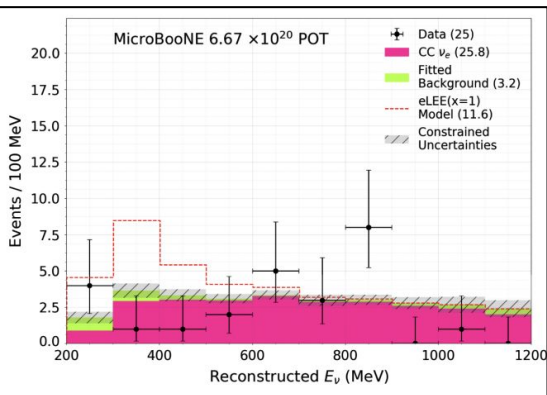
Largest statistics and sensitivity

Measure electron neutrinos:  $\nu_e + n \rightarrow e + Xp + X\pi$

**CCQE  
1e1p0 $\pi$**

**Pionless  
1e0p0 $\pi$  + 1eNp0 $\pi$**

**Inclusive electron  
neutrino  
1eXpX $\pi$**



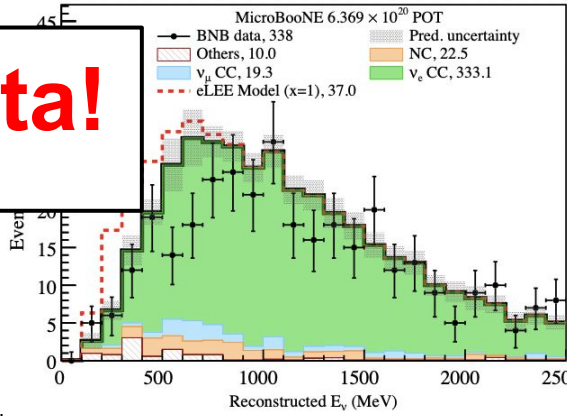
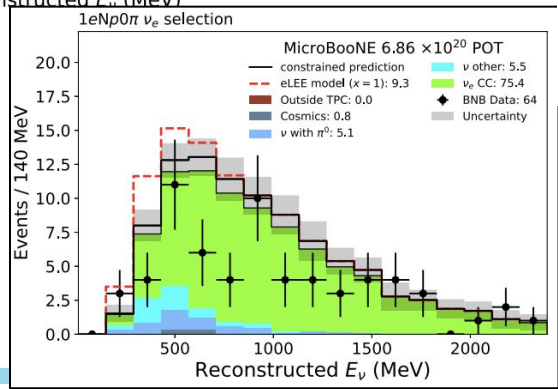
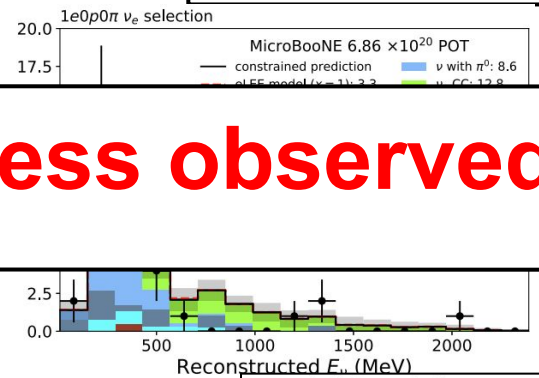
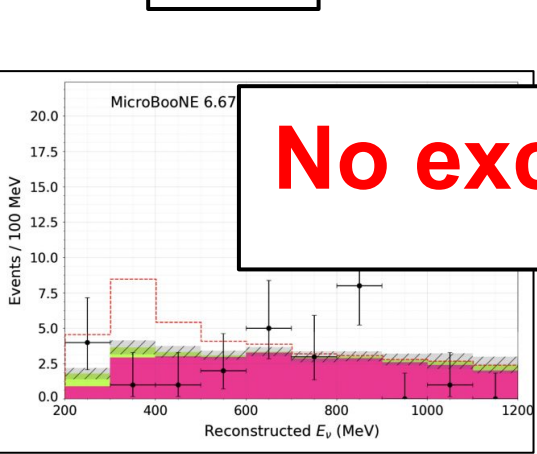
Measure electron neutrinos:  $\nu_e + n \rightarrow e + Xp + X\pi$

CCQE  
1e1p0 $\pi$

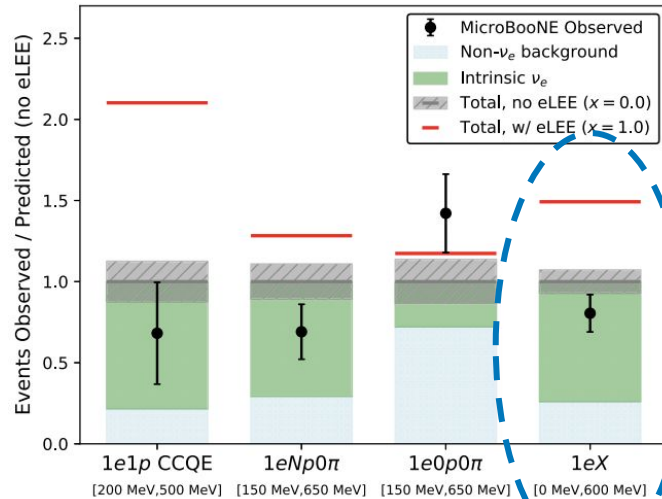
Pionless  
1e0p0 $\pi$  + 1eNp0 $\pi$

Inclusive electron neutrino  
1eXpX $\pi$

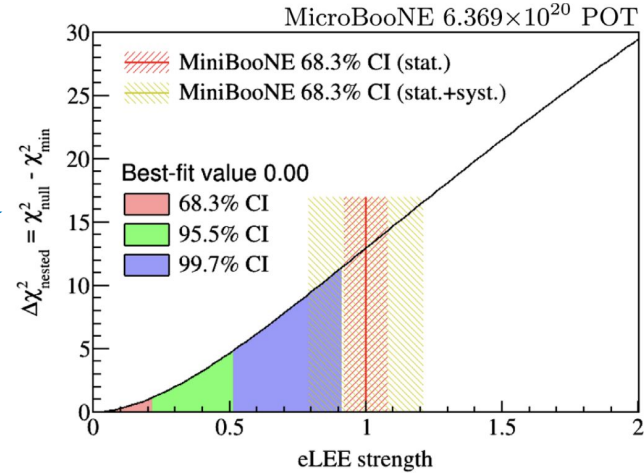
**No excess observed in data!**





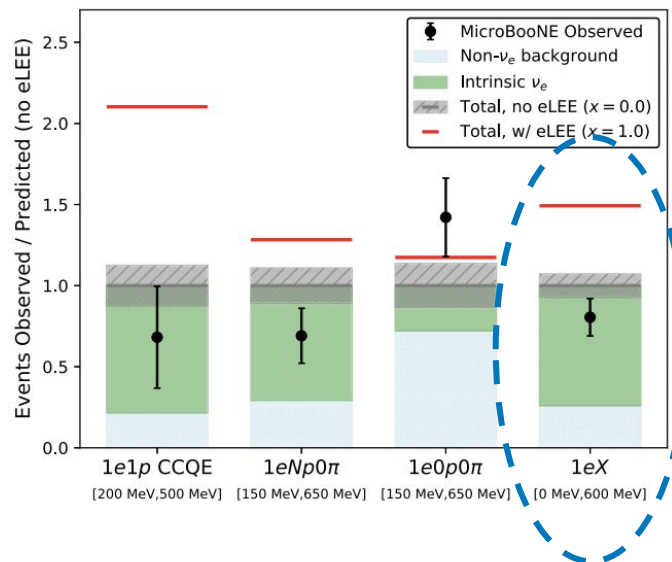


- Rejects electrons as LEE explanation at  $> 97\%$  CL and  $> 3\sigma$  in the inclusive channel

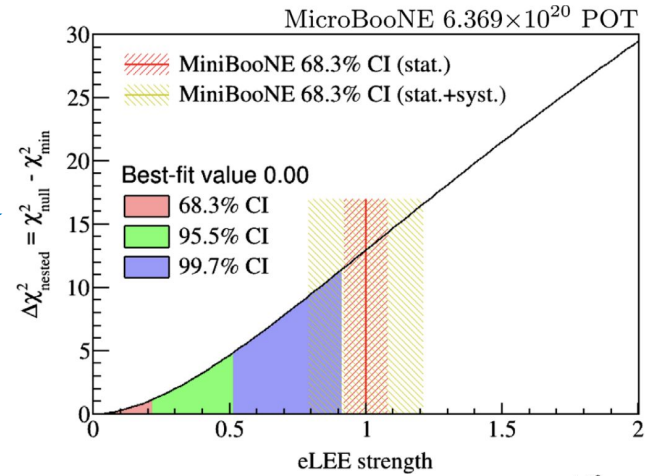


[PhysRevD.105.112005](https://arxiv.org/abs/1502.02889)

# MicroBooNE's Quest : Sterile Neutrinos

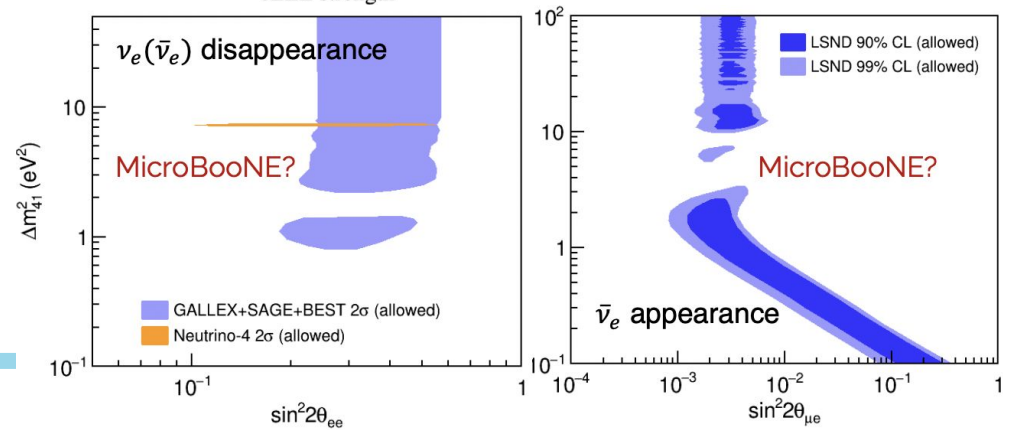


- Rejects electrons as LEE explanation at > 97% CL and >3σ in the inclusive channel



[PhysRevD.105.112005](#)

Reinterpret eLEE results to test 3+1 oscillation model



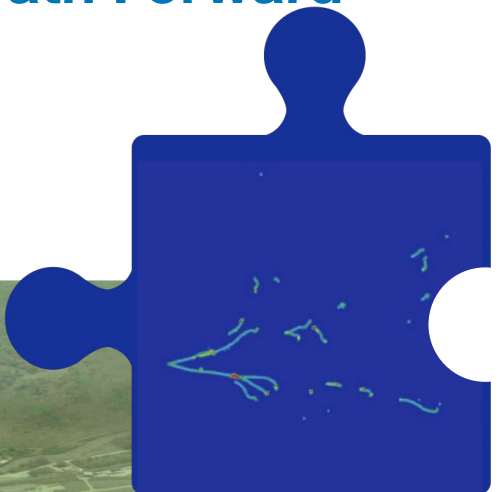
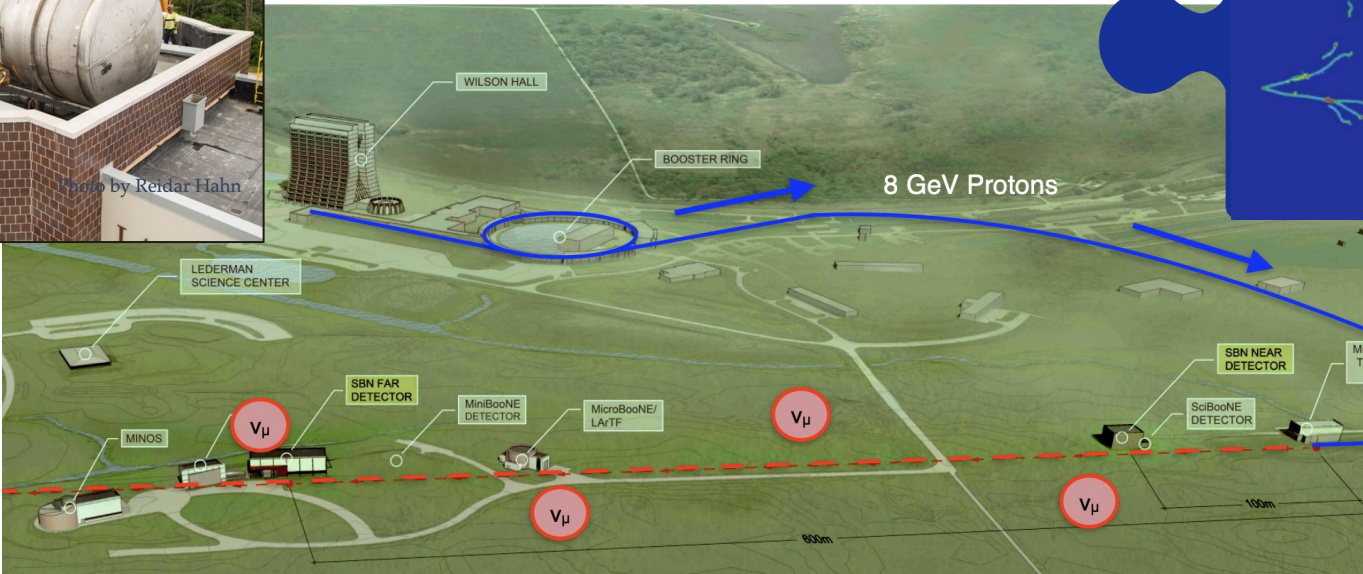
# Understanding Neutrinos - Puzzles | Physics | Path Forward

(From LArTPCs Lens)

Short baseline  
anomalies

Test new  
signatures/models

Sterile neutrinos  
(3+1 Oscillation)



# Understanding Neutrinos - Puzzles | Physics | Path Forward

(From LArTPCs Lens)

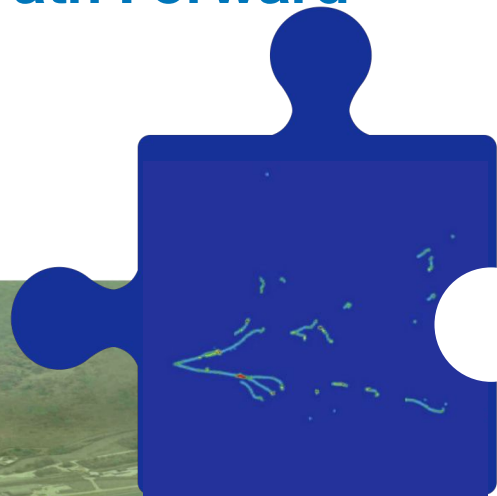
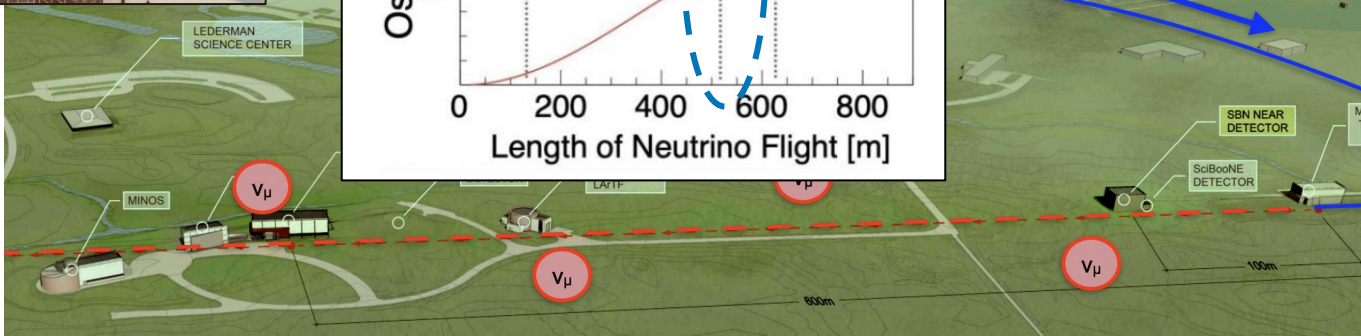
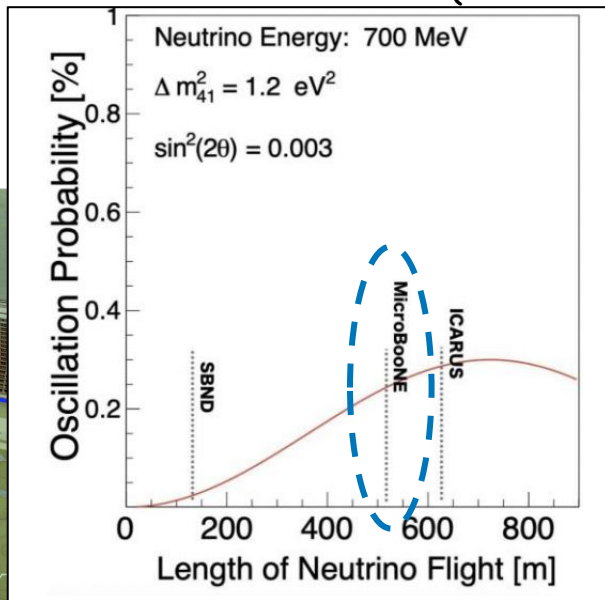
Short baseline  
anomalies

Test new  
signatures/models

Sterile neutrinos  
(3+1 Oscillation)



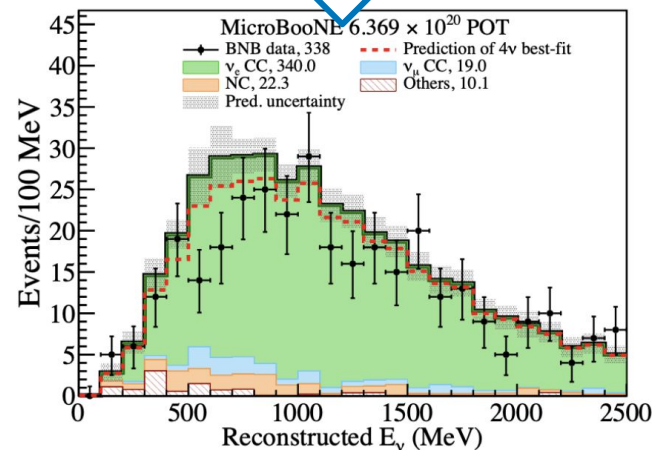
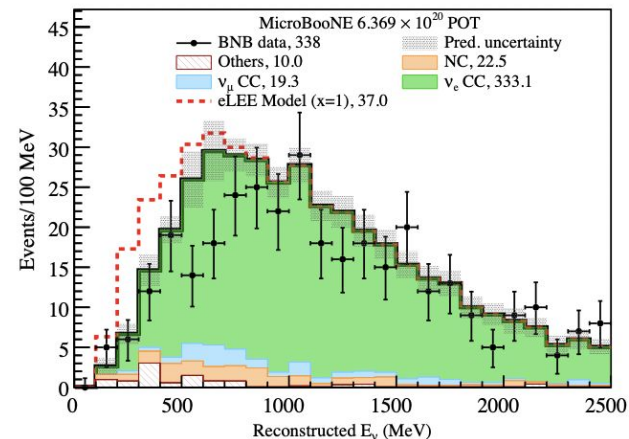
Photo by Reidar Hahn





# 3+1 Oscillation Analysis

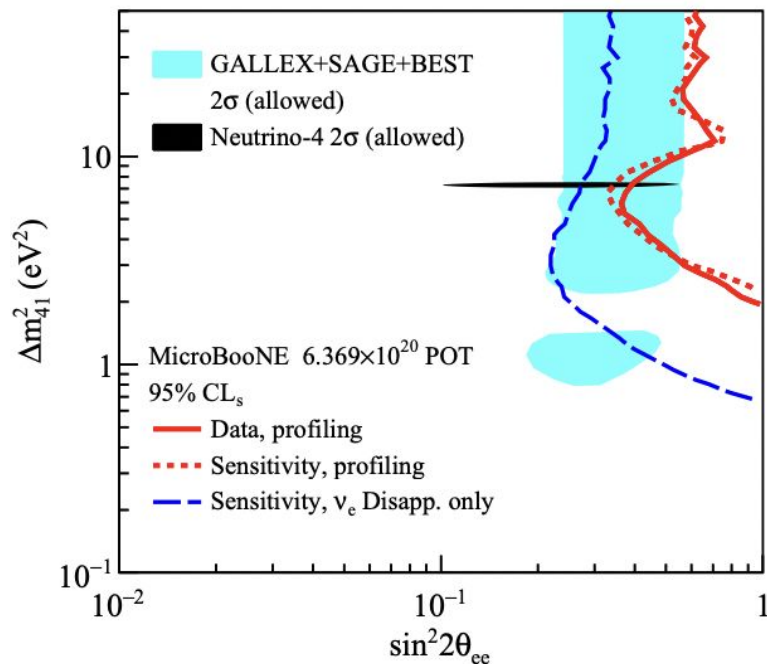
- **eLEE results re-interpreted under sterile neutrino oscillation hypothesis**
  - **Strategy: combination of short-baseline  $\nu_e$  appearance,  $\nu_e$  disappearance, and  $\nu_\mu$  disappearance**
- **considering full 3+1 oscillation, BNB Run1-3 data consistent with  $3\nu$  hypothesis within  $1\sigma$  (Feldman-Cousins approach)**
- **Set limits on sterile neutrinos**





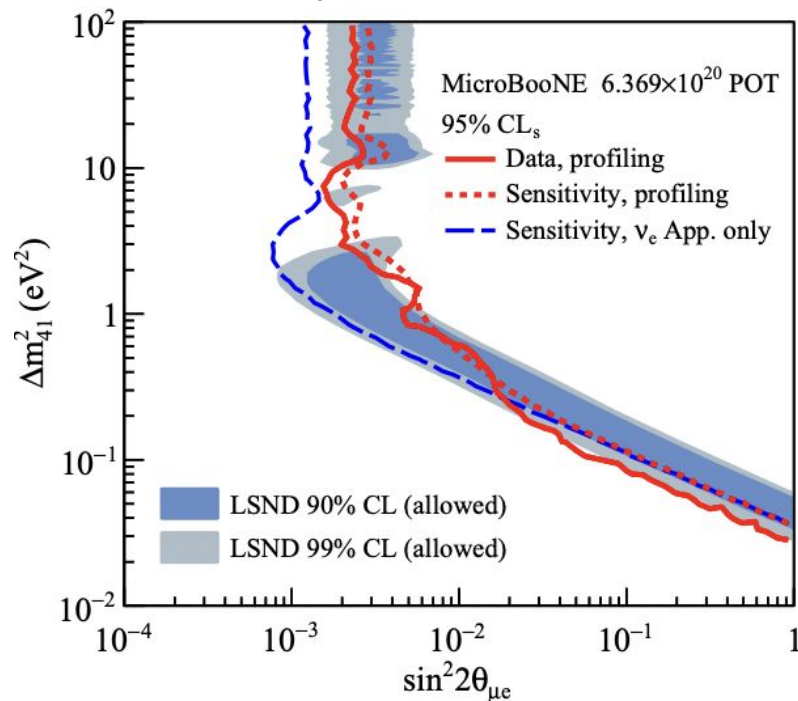
# Status Quo: 3+1 Oscillation Analysis

## $\nu_e$ disappearance



competitive limit on the eV-scale  $\nu_e$  disappearance, excludes parts of allowed regions from gallium experiments

## $\nu_e$ appearance



Excludes part of the LSND allowed region

# 3+1 Oscillation Analysis

- What :

$\nu_e$  disappearance can cancel appearance of  $\nu_e$  events



degeneracy of oscillation parameters

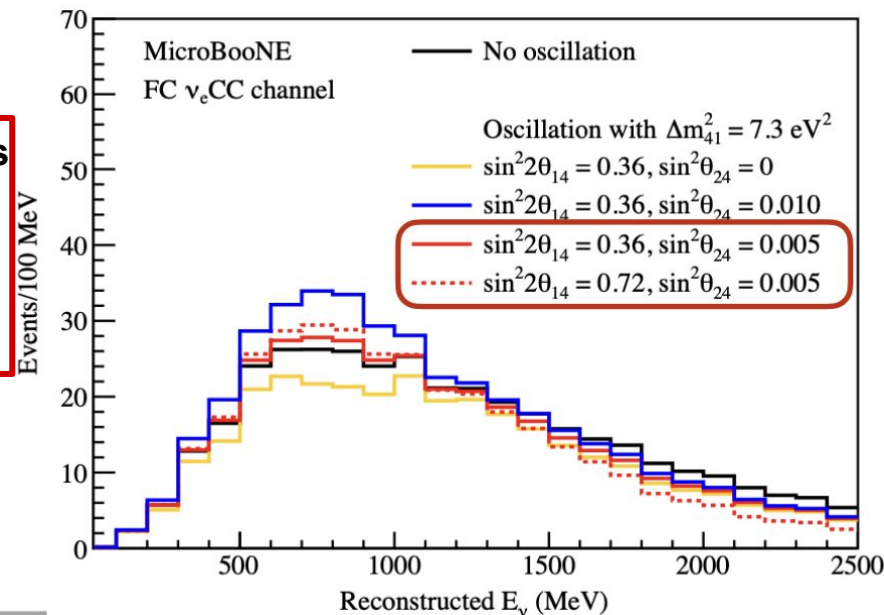
- When :

$\sin^2\theta_{24}$  approaches beam intrinsic  $\nu_e : \nu_\mu$  ratio

$R_{\nu_e/\nu_\mu}$  (degeneracy  $\sin^2\theta_{24}$   
value)

MicroBooNE w. BNB

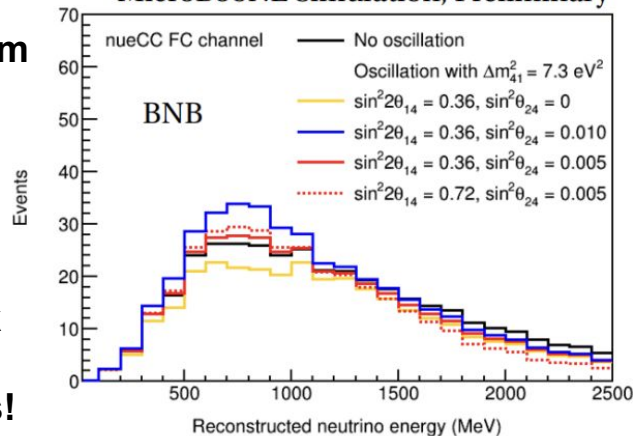
$\sim 0.005$  (average)



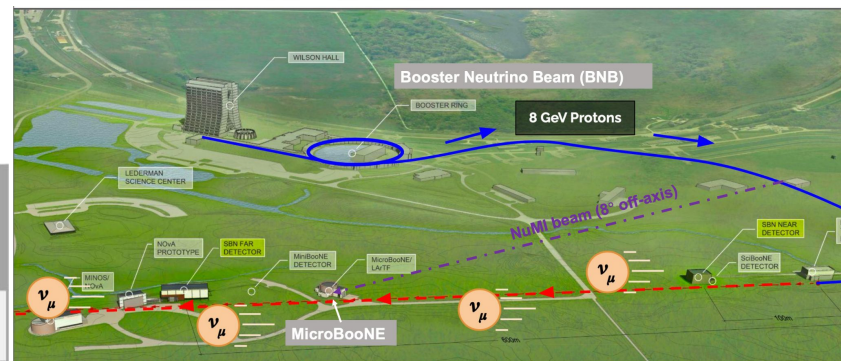
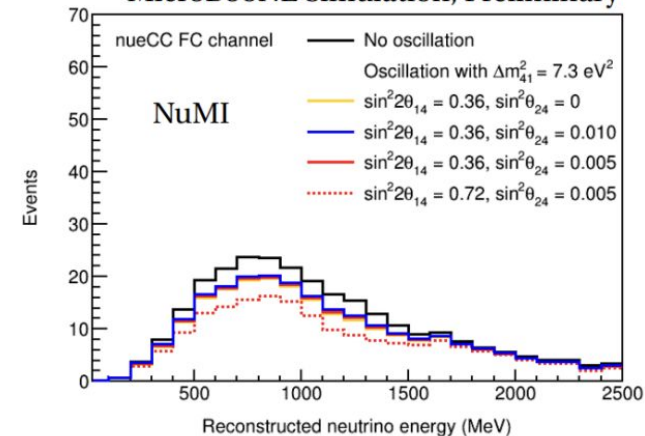
# Employ Both BNB & NuMI

- Change  $\nu_e : \nu_\mu$  ratio in beam
- A different beam
- Solution :
  - NuMI data will break this degeneracy – analysis in progress!

MicroBooNE Simulation, Preliminary



MicroBooNE Simulation, Preliminary



$R_{\nu_e/\nu_\mu}$  (degeneracy  $\sin^2 \theta_{24}$  value)

MicroBooNE w. BNB

~0.005 (average)

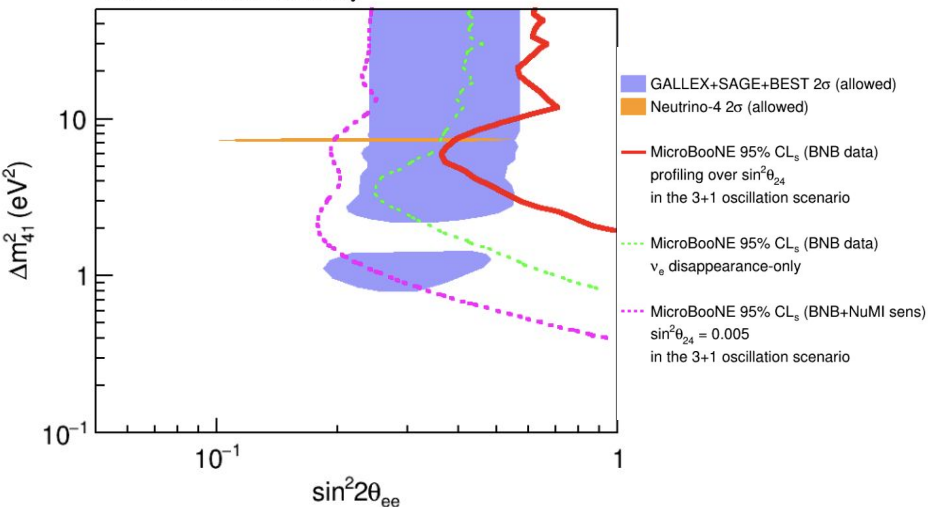
MicroBooNE w. NuMI

~0.04 (average)

# Status Quo: 3+1 Oscillation with BNB + NuMI

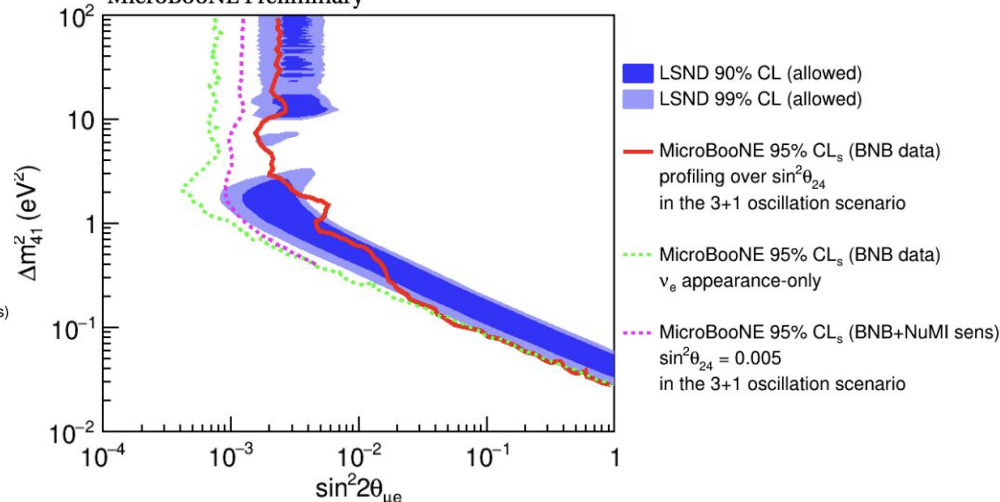
$\nu_e$  disappearance

MicroBooNE Preliminary



$\nu_e$  appearance

MicroBooNE Preliminary



Competitive limit on the eV-scale  $\nu_e$  disappearance, excludes parts of allowed regions from gallium experiments

Excludes part of the LSND allowed region

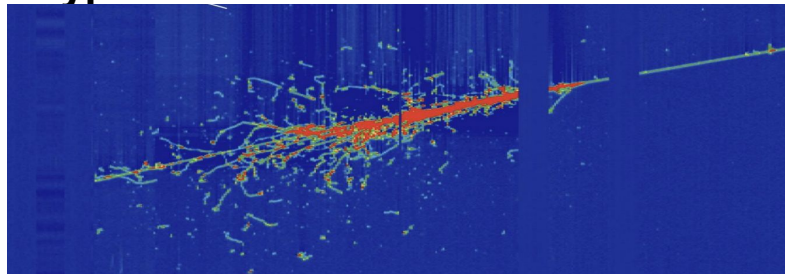
**BNB + NuMI**

**Improved sensitivity:  $\nu_e$  appearance-disappearance degeneracy mitigation**

**Expected to cover more of Neutrino-4 and gallium anomaly 2 $\sigma$  allowed region and LSND 90% CL allowed region**

# Summary & Prospect :

- Full 3+1 oscillation analyses to interpret the MicroBooNE eLEE results under a sterile neutrino oscillation hypothesis
  - ~ 50% BNB dataset consistent with 3-flavor hypothesis
- Several on going activities
  - Full dataset LEE Analysis
  - Oscillation analysis with BNB & NuMI data underway
- Further investigation on MiniBooNE excess, BSM searches

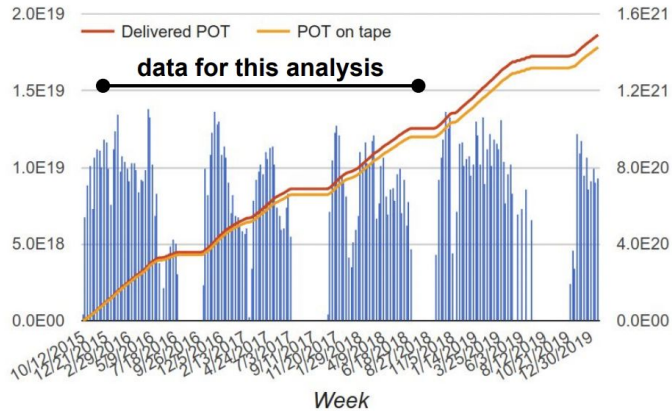
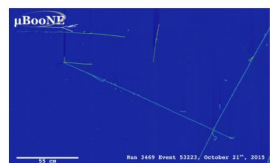
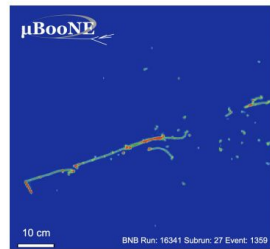
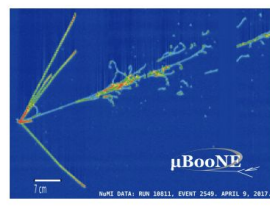
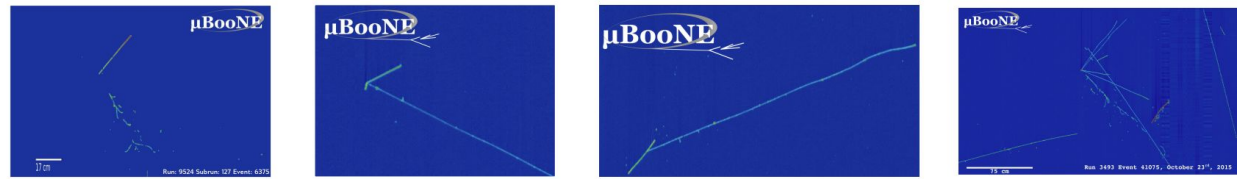


**MicroBooNE Pushing Boundaries!**

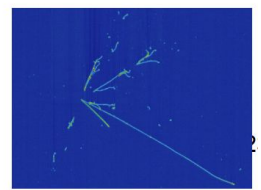
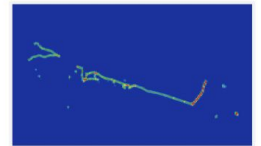
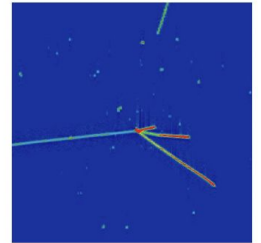
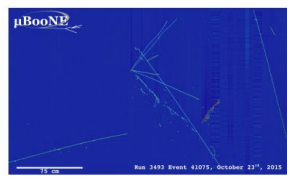
**Stay Tuned!**



# More on MicroBooNE :



- Longest running large-scale LArTPC to date.
- O(500k)  $\nu$  interactions collected
- 1/2 of the dataset used for this work.



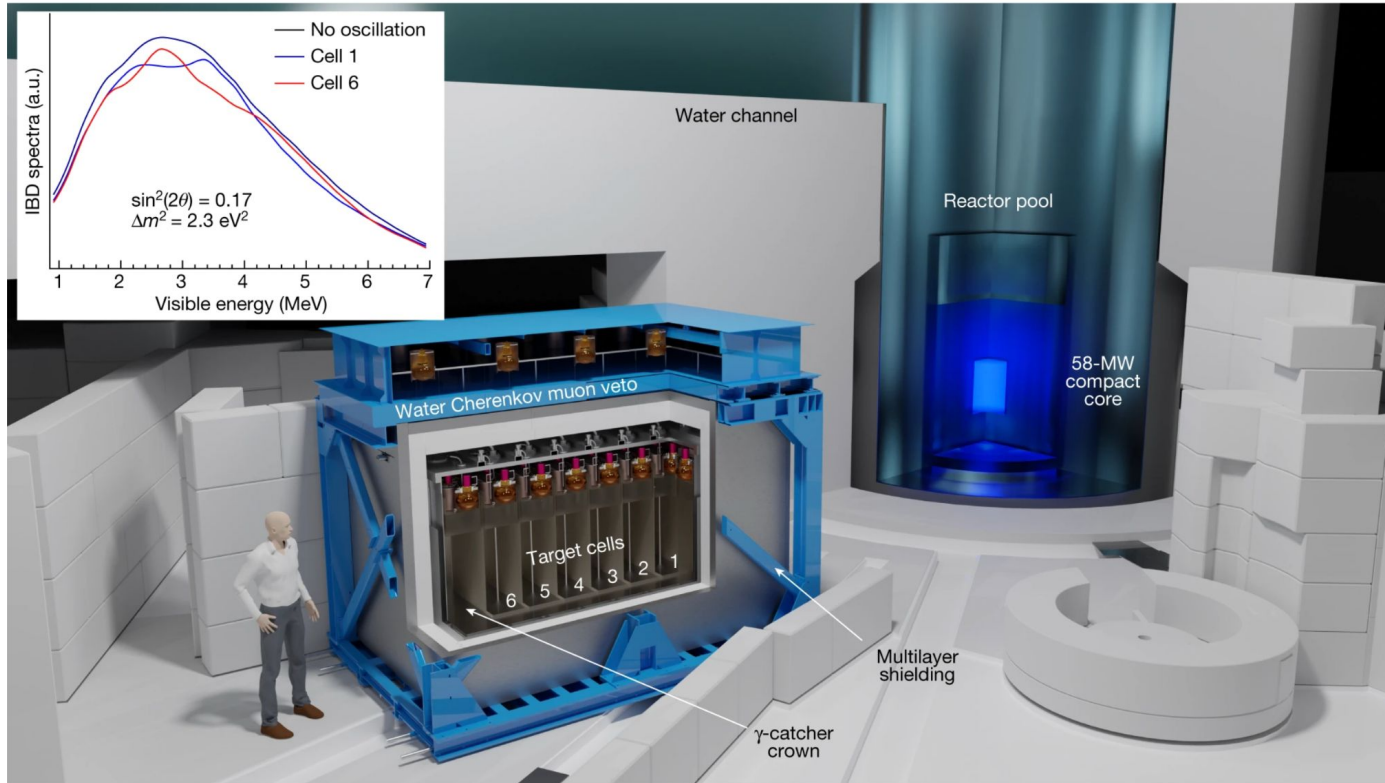
- [MicroBooNE's Search for Anomalous Single-Photon Production](#), Lee Hagaman
- [Status of MicroBooNE](#) Avinay Bhat
- Tantalizing cross section results:
- [The MicroBooNE cross section program, with a special focus on the TKI analysis](#) Afroditi Papadopoulou
- [First measurement of  \$\eta\$  production in neutrino interactions on argon with the MicroBooNE experiment](#) David Caratelli

# Thank you!



# Reactor Anomaly (?)

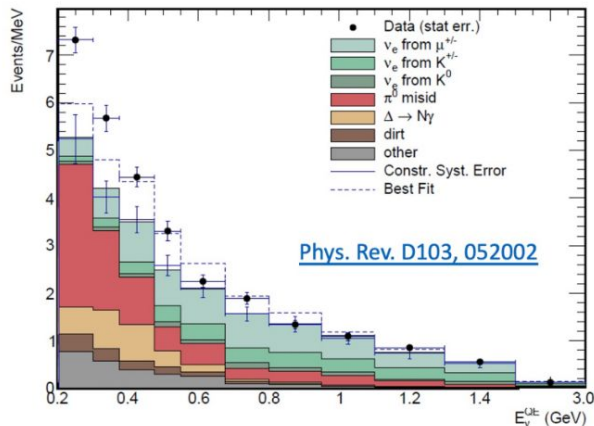
STEREO neutrino spectrum of  $^{235}\text{U}$  fission rejects sterile neutrino hypothesis



**Latest result from STEREO experiment, January 11th, 2023**



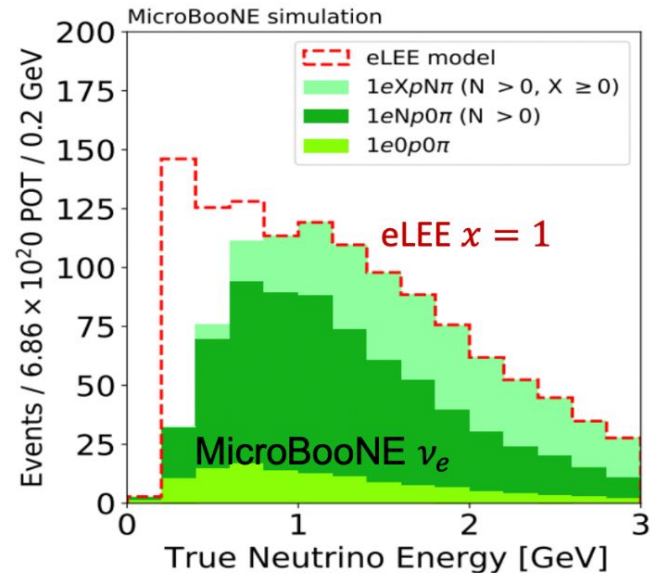
# $\nu_e$ low-energy excess (eLEE) search



$$\text{MiniBooNE } x = \begin{cases} 1 \pm 0.08 \text{ (stat.)} \\ 1 \pm 0.21 \text{ (full)} \end{cases}$$

Unfolding detector response, acceptance, efficiency

Empirical eLEE model derived from MiniBooNE



- Excess (LEE) is built upon the intrinsic  $\nu_e$  as a function of neutrino energy
  - Unfolded from MiniBooNE observation and applied to MicroBooNE
- One normalization parameter 'x' built in the model

[MicroBooNE Public Note](#)



# 3+1 Neutrino Oscillation Framework

- The PMNS matrix is extended to 4x4 unitary matrix, and is parameterized as following

$$U_{PMNS} = R_{34}(\theta_{34}, \delta_{34}) R_{24}(\theta_{24}, \delta_{24}) R_{14}(\theta_{14}, 0) R_{23}(\theta_{23}, 0) R_{13}(\theta_{13}, \delta_{13}) R_{12}(\theta_{12}, 0)$$

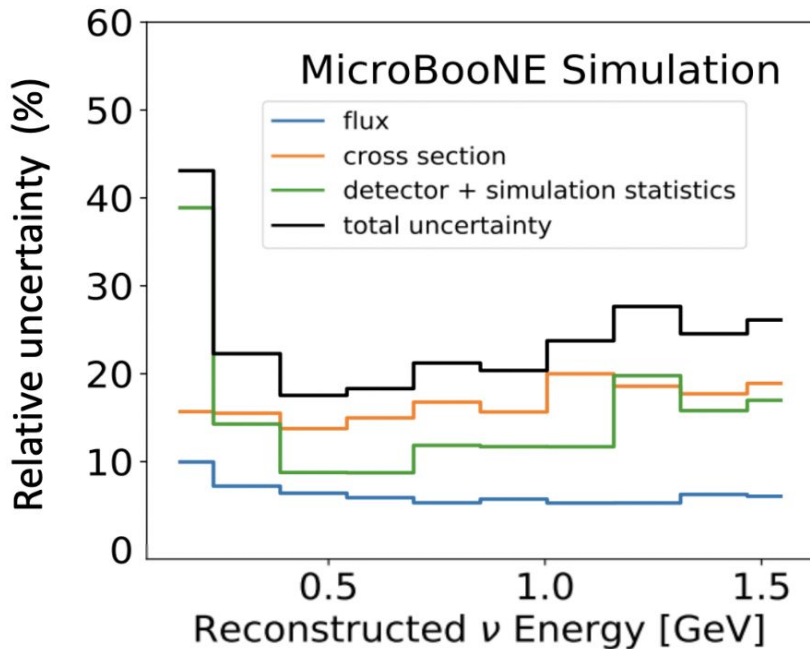
- The effective mixing angles  $\theta_{\alpha\beta}$  for short-baseline oscillations are defined below

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \delta_{\alpha\beta} + (-1)^{\delta_{\alpha\beta}} \cdot \sin^2 2\theta_{\alpha\beta} \cdot \sin^2 \left( 1.267 \frac{\Delta m_{41}^2 (\text{eV}^2) L (\text{m})}{E (\text{MeV})} \right)$$

$\nu_e$ disappearance ( $\nu_e \rightarrow \nu_e$ ):	$\sin^2 2\theta_{ee} = \sin^2 2\theta_{14}$
$\nu_\mu$ disappearance ( $\nu_\mu \rightarrow \nu_\mu$ ):	$\sin^2 2\theta_{\mu\mu} = 4 \cos^2 \theta_{14} \sin^2 \theta_{24} (1 - \cos^2 \theta_{14} \sin^2 \theta_{24})$
$\nu_e$ appearance ( $\nu_\mu \rightarrow \nu_e$ ):	$\sin^2 2\theta_{\mu e} = \sin^2 2\theta_{14} \sin^2 \theta_{24}$

- In MicroBooNE analysis, the above three oscillation effects are applied to all  $\nu_e$  and  $\nu_\mu$  events; the  $\nu_\mu$  appearance ( $\nu_e \rightarrow \nu_\mu$ ) is ignored because of tiny  $\frac{\nu_e \text{ flux rate}}{\nu_\mu \text{ flux rate}} \sim 0.005$

# Overview of the Systematic Uncertainties



15-20% cross-section uncertainty

10-20% detector response uncertainty

5-10% flux uncertainty (same treatment as MiniBooNE)

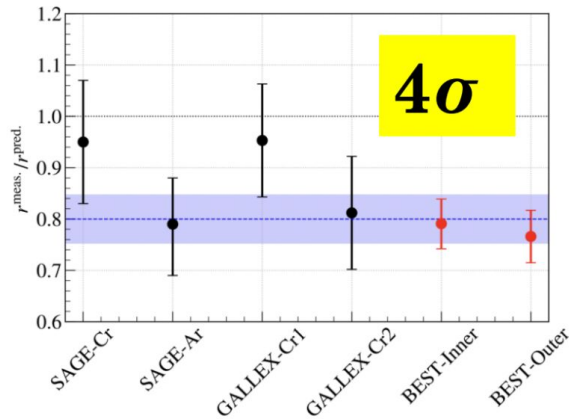
➤ Apply data constraints from the in-situ measurements of  $\nu_\mu$  and other dedicated background sidebands to suppress the systematic uncertainties

✓ Cross-section: MicroBooNE Genie tune, [Phys. Rev. D 105, 072001](#)

✓ Detector systematics: data-driven, [EPJC 82, 454 \(2022\)](#)

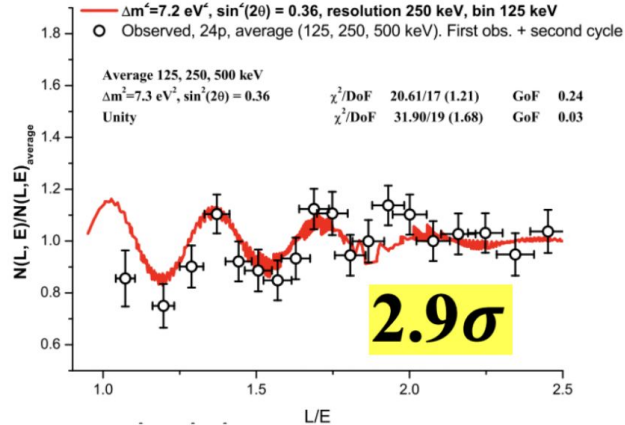
# Other Short Baseline Anomalies

- BEST and other gallium experiments see a deficit that could be explained by  $\nu_{\mu}$   $\rightarrow$   $\nu_e$  oscillations.
- Neutrino-4 sees an oscillation as a function of distance/energy that could be explained by  $\nu_e \rightarrow \nu_{\mu,\tau,s}$  oscillations.



**BEST**

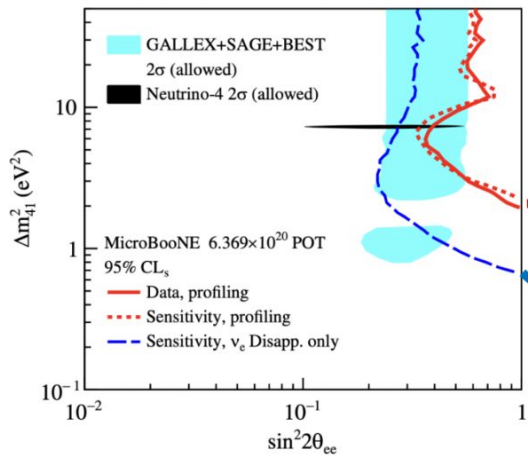
Phys. Rev. C 105, 065502



**Neutrino-4**

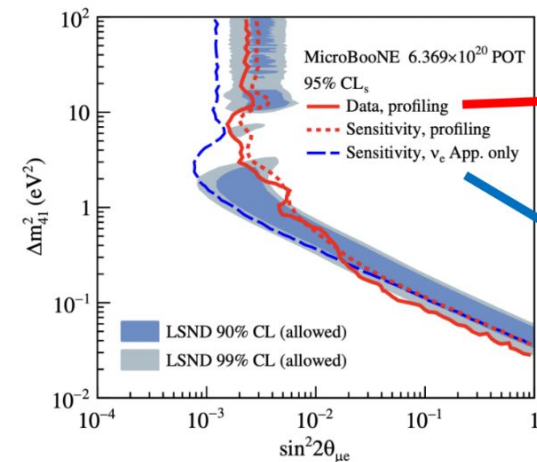
Phys. Rev. D 104, 032003

# Sterile Neutrinos: 3+1 Framework



2D profiled result, full 3+1 analysis considering  $\nu_\mu$  to  $\nu_e$ ,  $\nu_e$  to  $\nu_e$  and  $\nu_\mu$  to  $\nu_\mu$  at each point in the parameter space.

$\nu_e$  disappearance-only (only  $\nu_e$  to  $\nu_e$ ), more stringent limit corresponding to a fixed  $\sin^2 \theta_{24} = 0$ .



2D profiled result, full 3+1 analysis considering  $\nu_\mu$  to  $\nu_e$ ,  $\nu_e$  to  $\nu_e$  and  $\nu_\mu$  to  $\nu_\mu$  at each point in the parameter space.

$\nu_e$  appearance-only (only  $\nu_\mu$  to  $\nu_e$ ), more stringent limit However, it is physically not allowed in the 3+1 framework. (non-zero  $\nu_e$  appearance requires both  $\nu_e$  and  $\nu_\mu$  disappearance)