

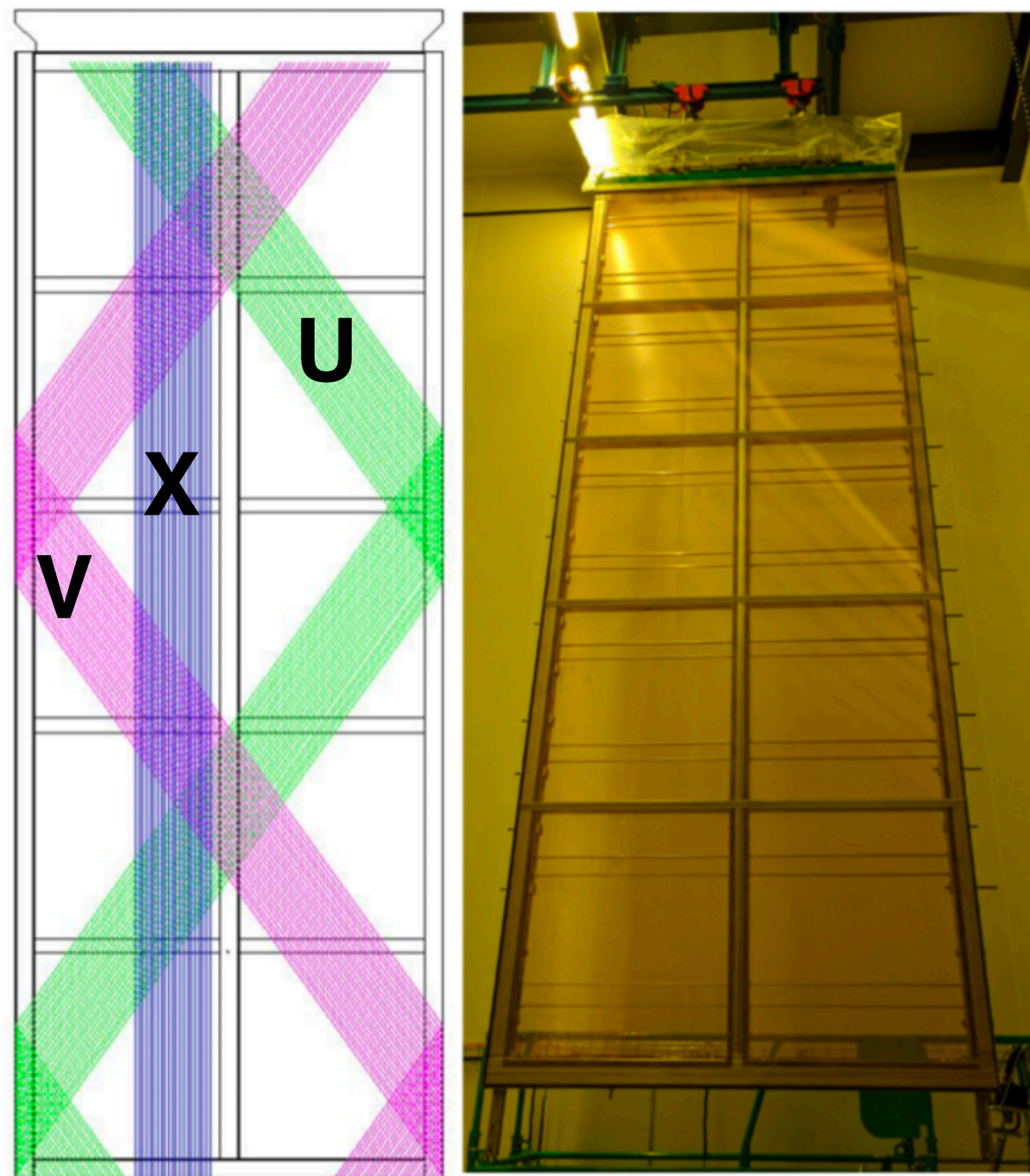
# ProtoDUNE-SP's Performance, Physics status, and Future Plans

Sungbin Oh, on behalf of the DUNE collaboration  
Fermi National Accelerator Laboratory

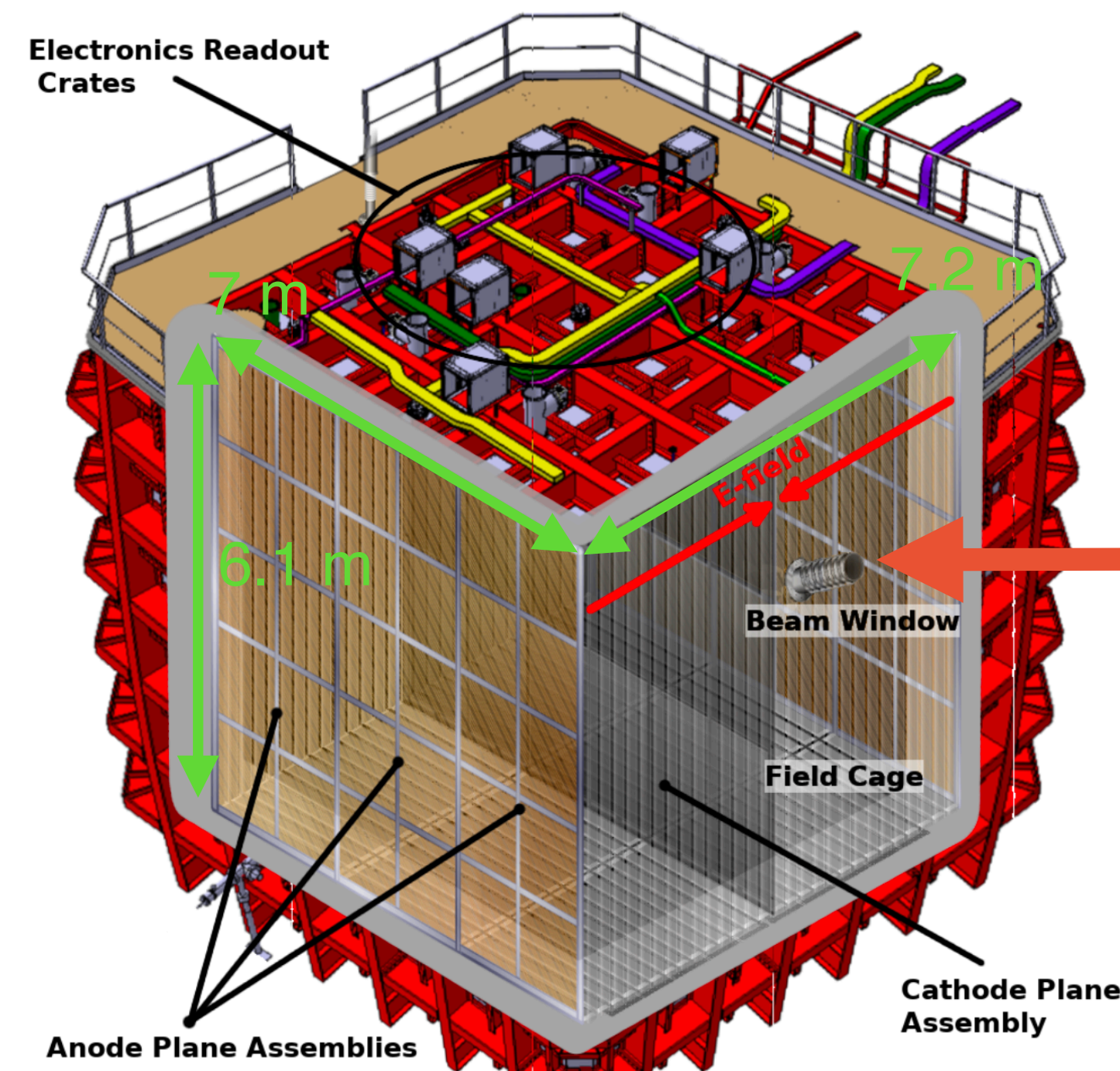
NuFACT 2023, Seoul, Korea

# ProtoDUNE-SP : Overview

- A single-phase liquid argon (LAr) time projection chamber (TPC)
- A prototype for full-scale elements of the first far detector module of the DUNE
- Total LAr mass of 0.77 kt on surface at the CERN neutrino platform



An anode plane assembly



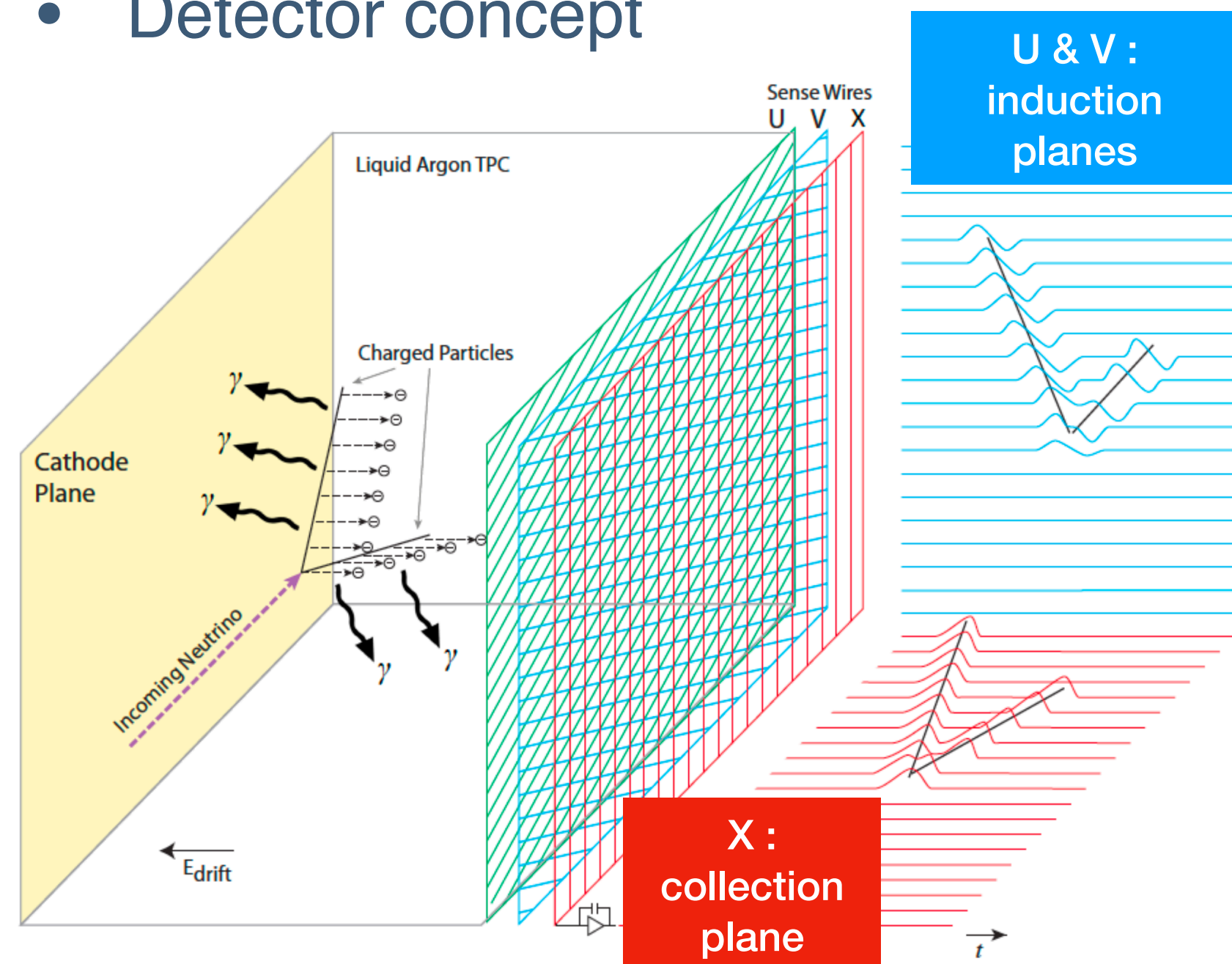
ProtoDUNE-SP

Tagged and Momentum analyzed  
particle beam  
 $e^+$ ,  $\mu^+$ ,  $\pi^+$ ,  $K^+$  and  $p^+$   
with momentum from 0.3 to 7 GeV/c

# ProtoDUNE-SP : Operation

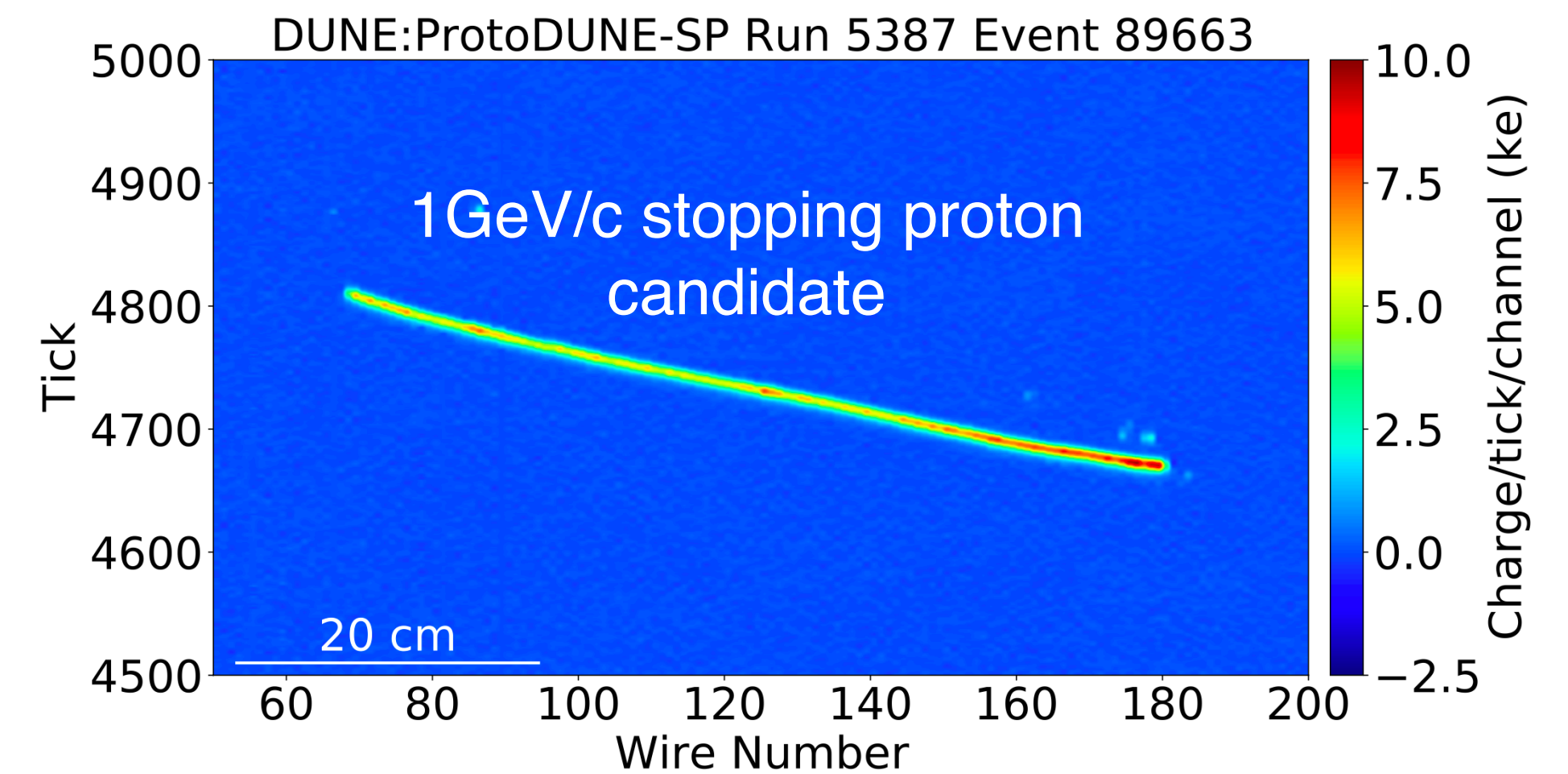
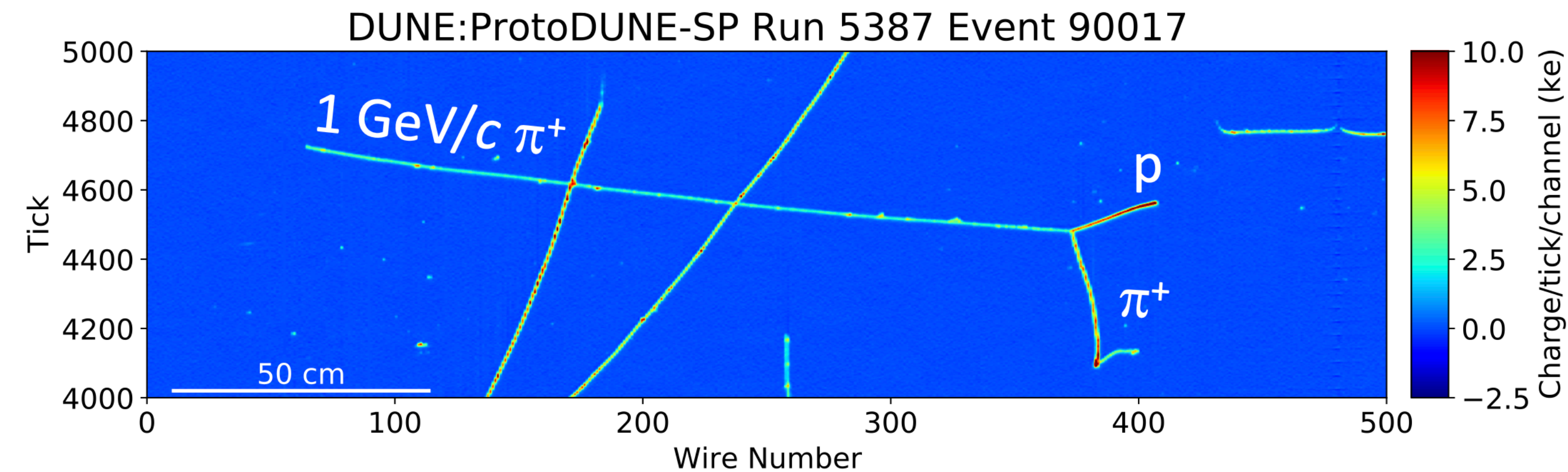
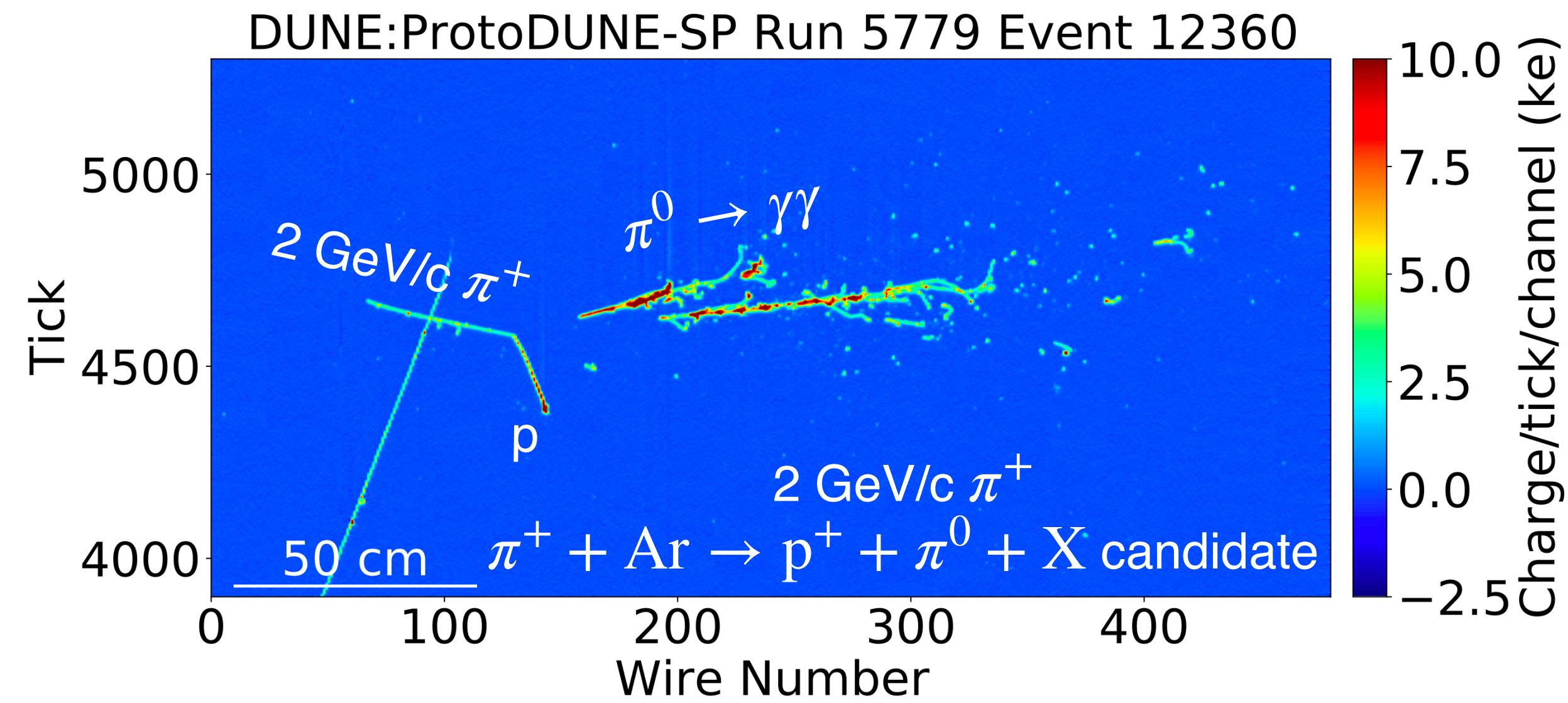
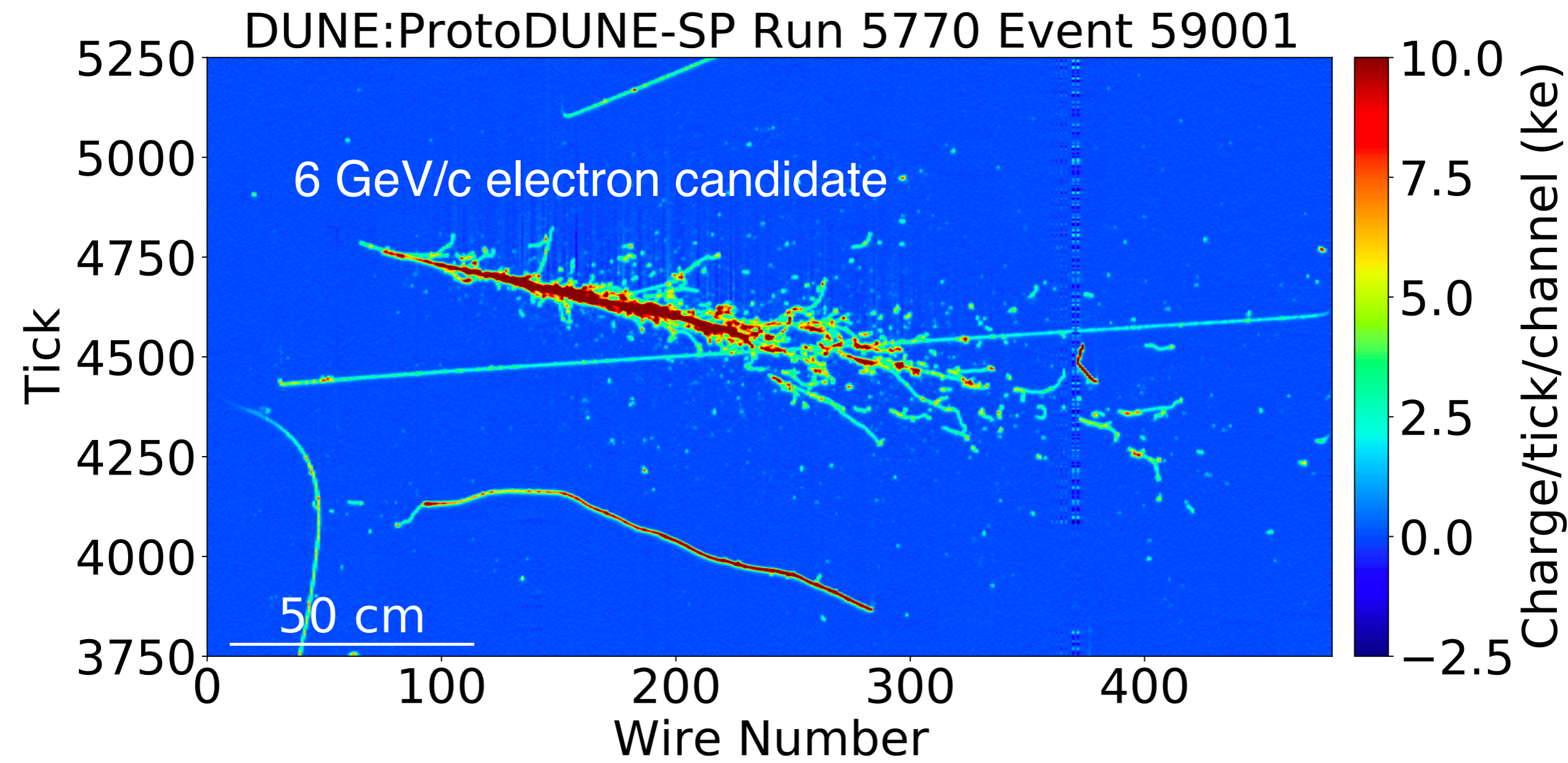
- Construction and installation was completed : early July 2018
- Filling LAr and commissioning : July - Aug. 2018
- Beam run : Aug. 29 - Nov. 11 2018
- Cosmic run : continued through July 19, 2020

- Detector concept



- Charged particles ionize argon atoms
  - **Electrons** are drifted by an **electric field** to **anode wires**
    - Maximum drift time is about 2.2 ms
    - **Impurities** such as  $\text{O}_2$  and  $\text{H}_2\text{O}$  absorb drifting electrons
  - **Photons** are emitted through recombination between  $\text{Ar}^+$  and  $e^-$ 
    - Detected by photon sensors
    - **Impurities** such as  $\text{N}_2$  absorb such photons
    - Used to define the  $T_0$  of an event

# ProtoDUNE-SP : Event Displays

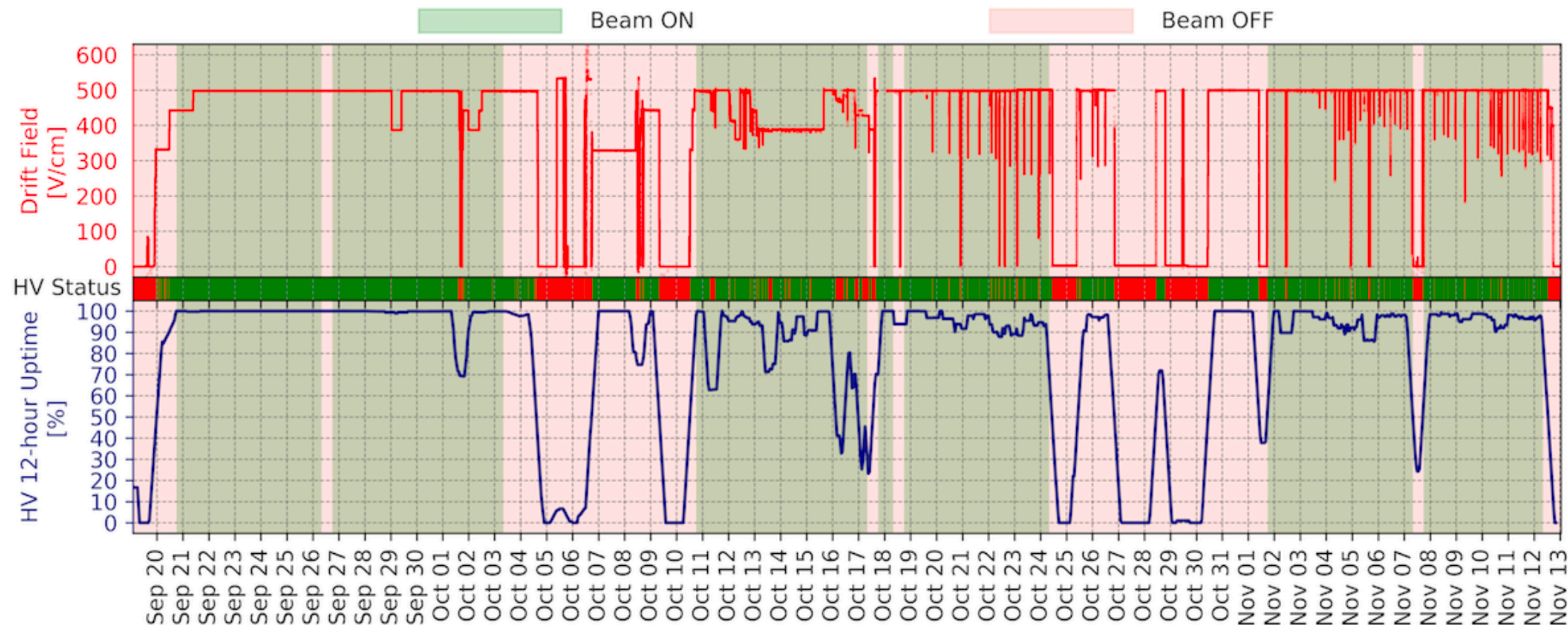


# Performance - Drift Electric Field

- During the beam run
  - Stably worked at nominal level of 500 V/cm
  - Recovery from trips took an average of 4 minutes during beam-on periods
- For total data taking periods including cosmics, > 99.5% of uptime

Drift Field  
[V/cm]

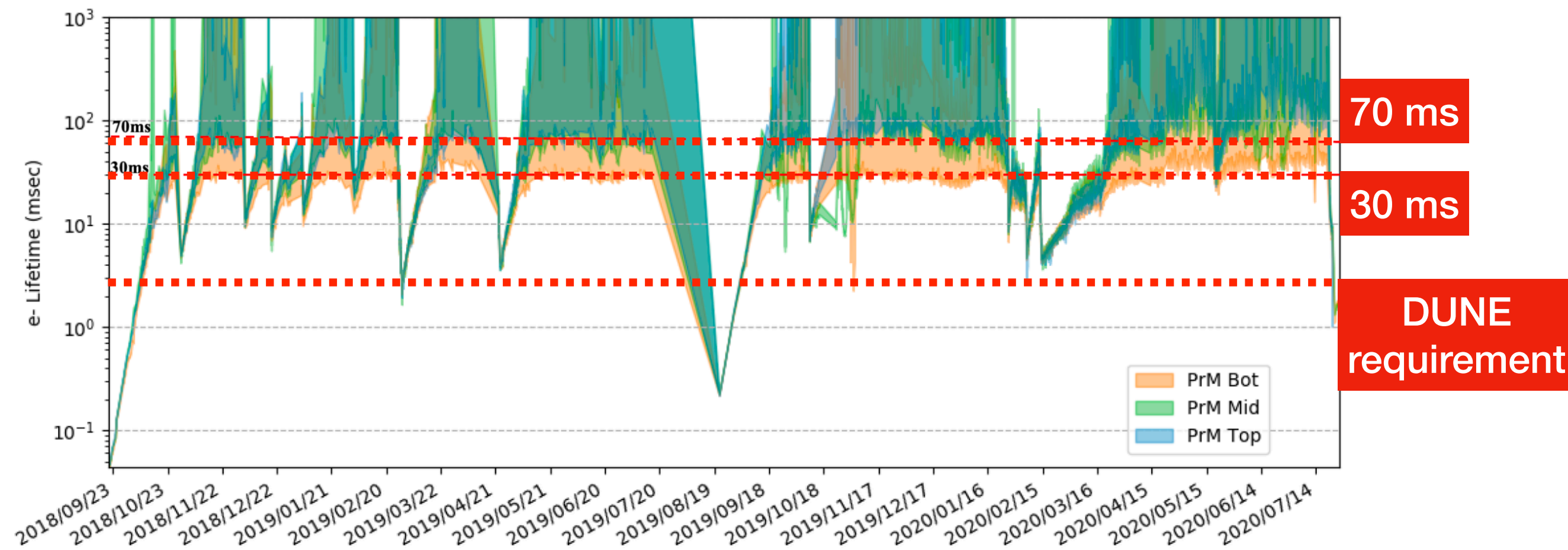
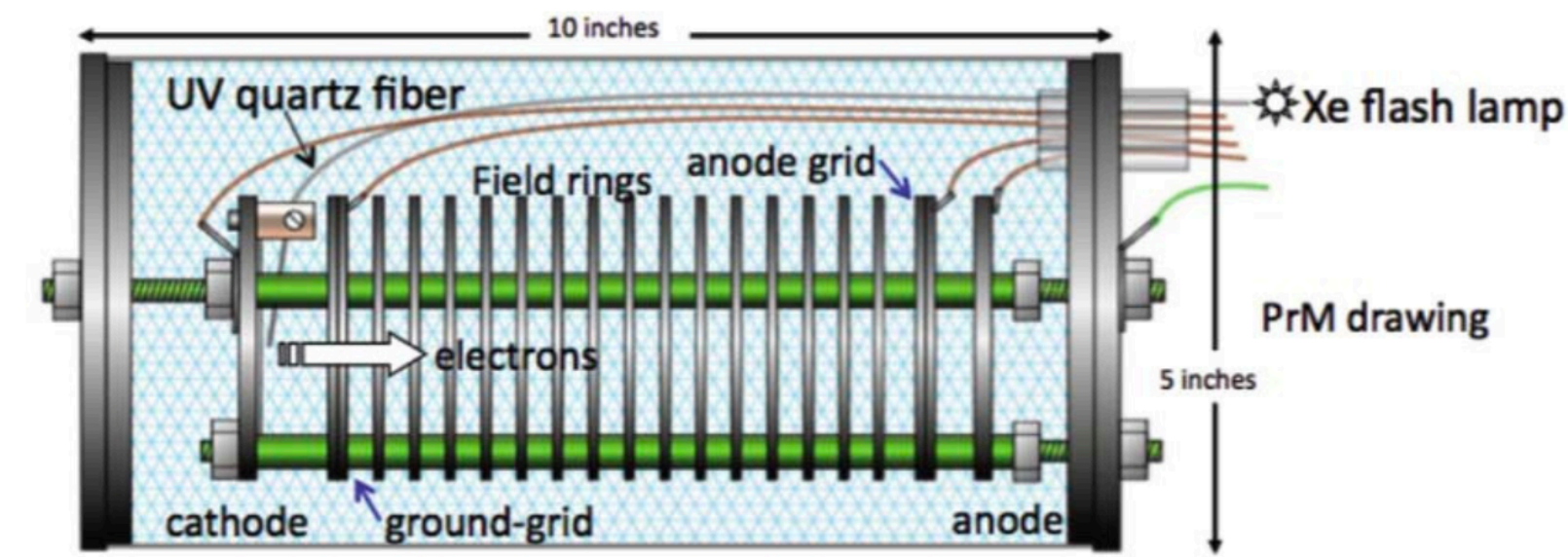
HV 12-hour  
uptime  
[%]



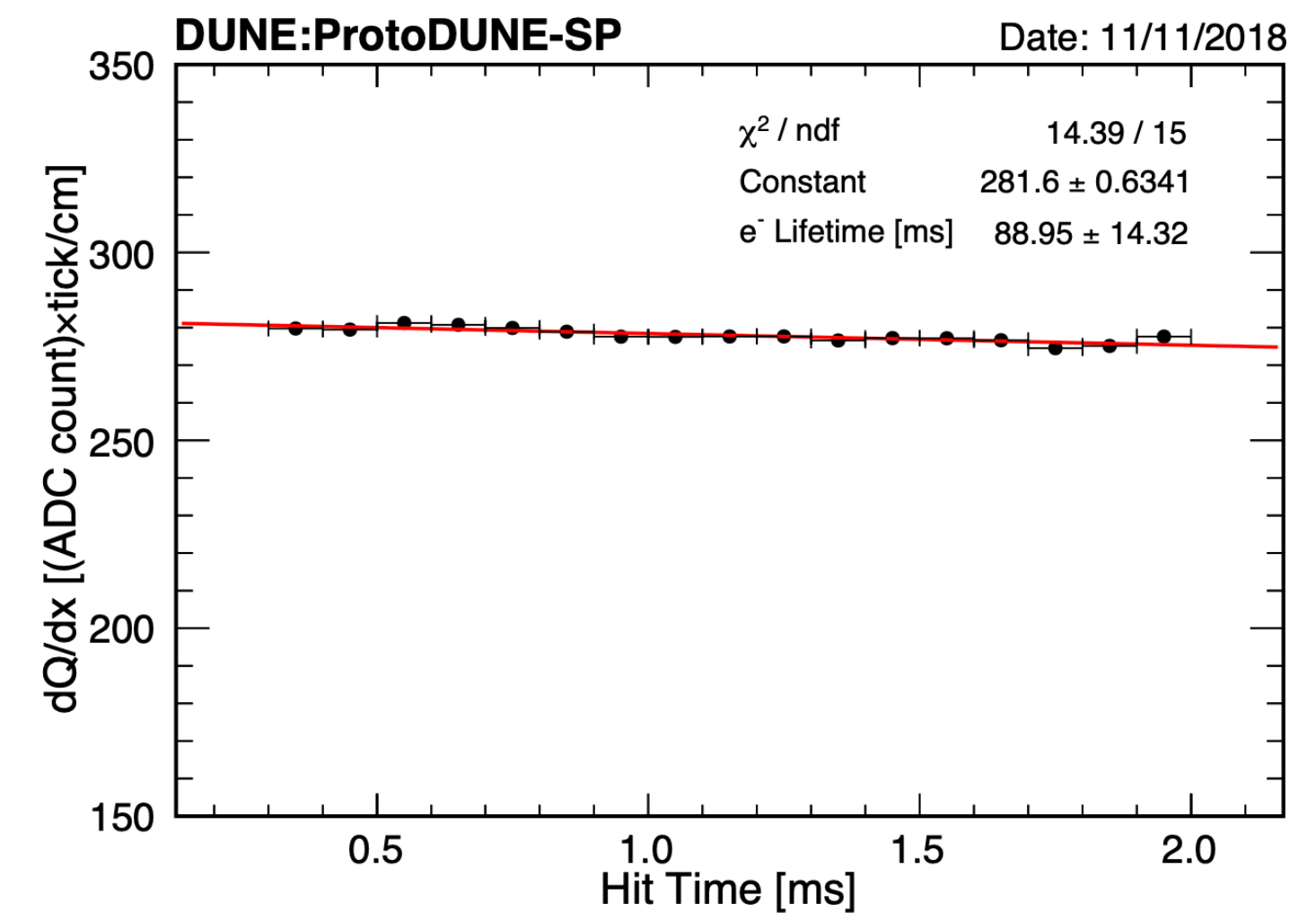
Drift field values and uptime percentage during beam data taking period

# Performance - Electron Lifetime

- Purity monitors : outside field cage
  - Use fraction of photoelectrons generated at the cathode ( $Q_C$ ) that arrive at the anode ( $Q_A$ ) after time  $t$ 
    - $Q_A/Q_C = \exp(-t/\tau)$ , where  $\tau$  is the electron lifetime
- Cosmic muon sample : data from the TPC
  - Through going muons which pass cathode plane of the TPC : almost uniform dE/dx (MIP) and good  $T_0$
  - Distribution of dQ/dx as a function of hit time provides electron lifetime



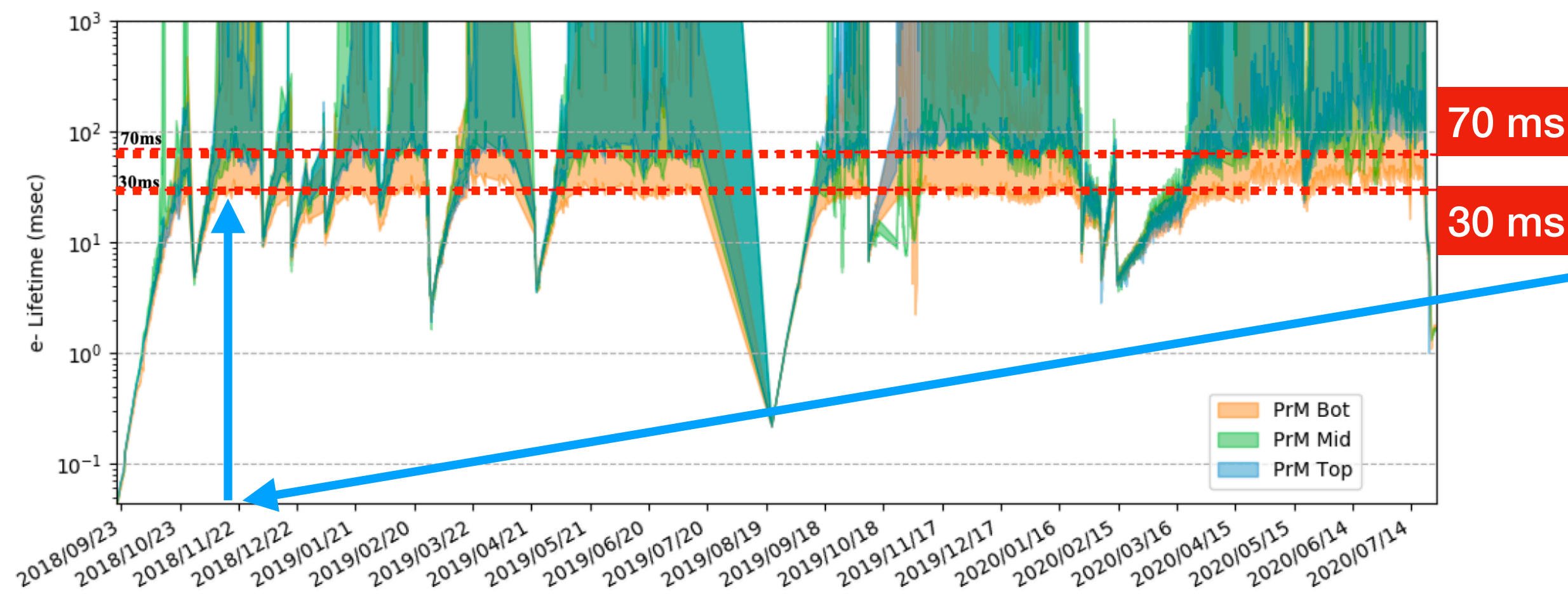
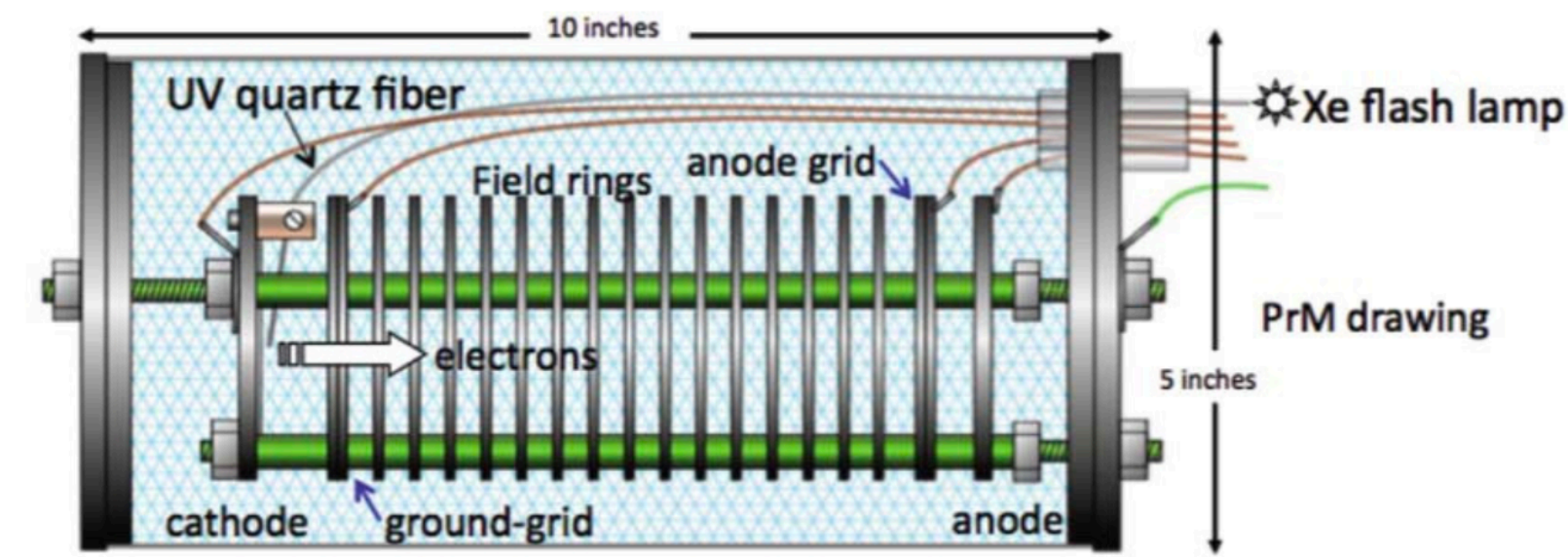
Purity monitor results



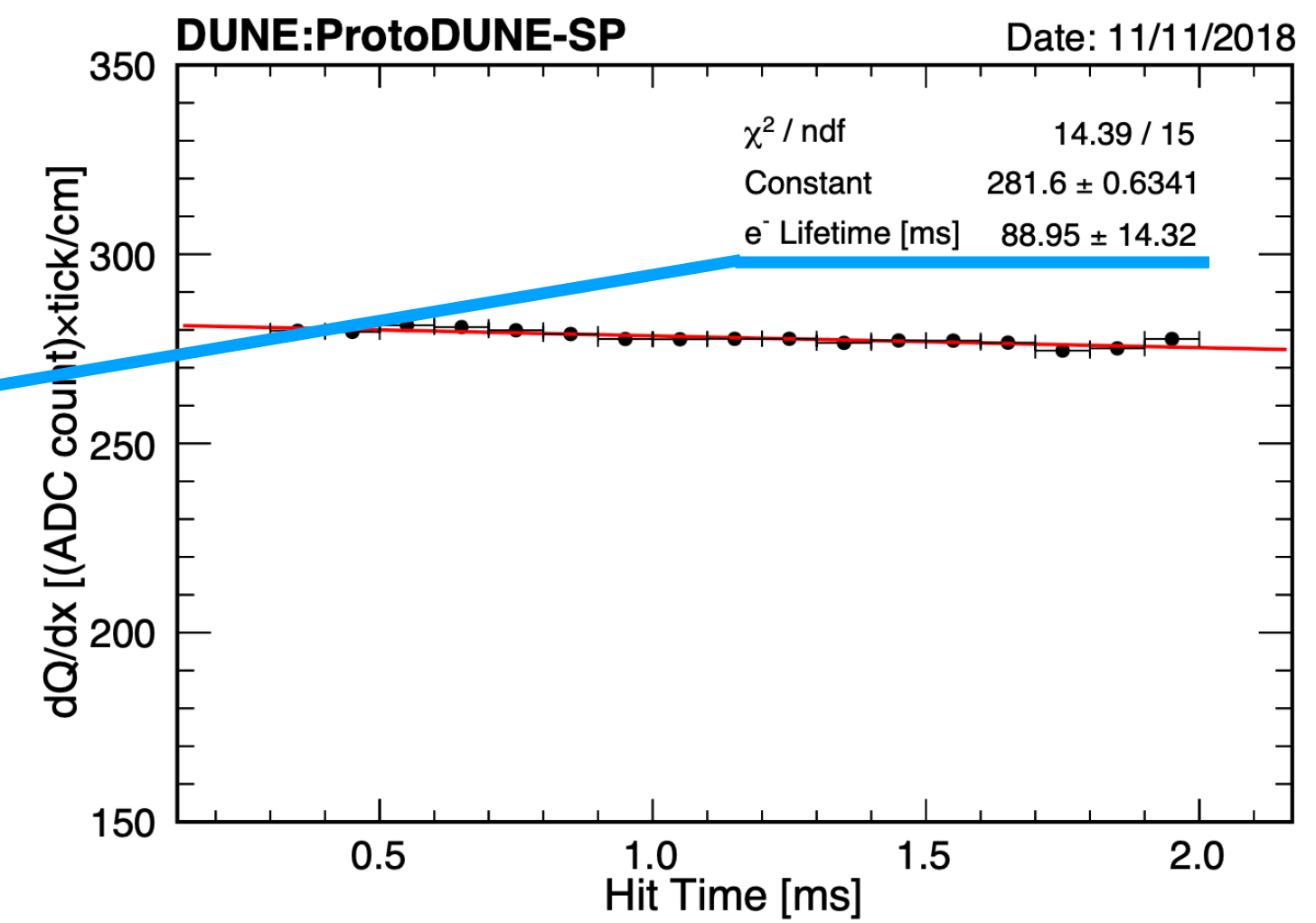
Cosmic muon result (2018/11/11)

# Performance - Electron Lifetime

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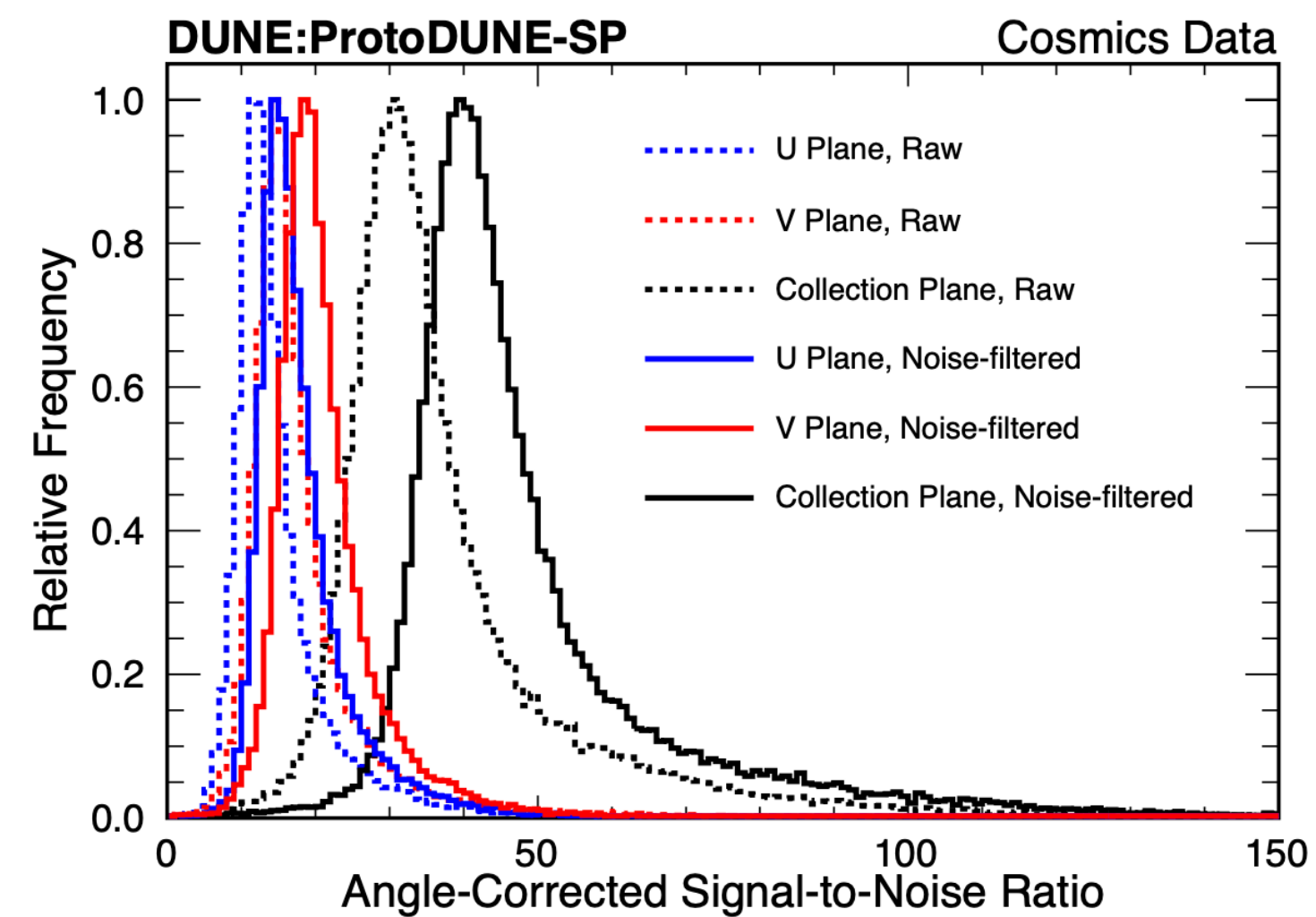
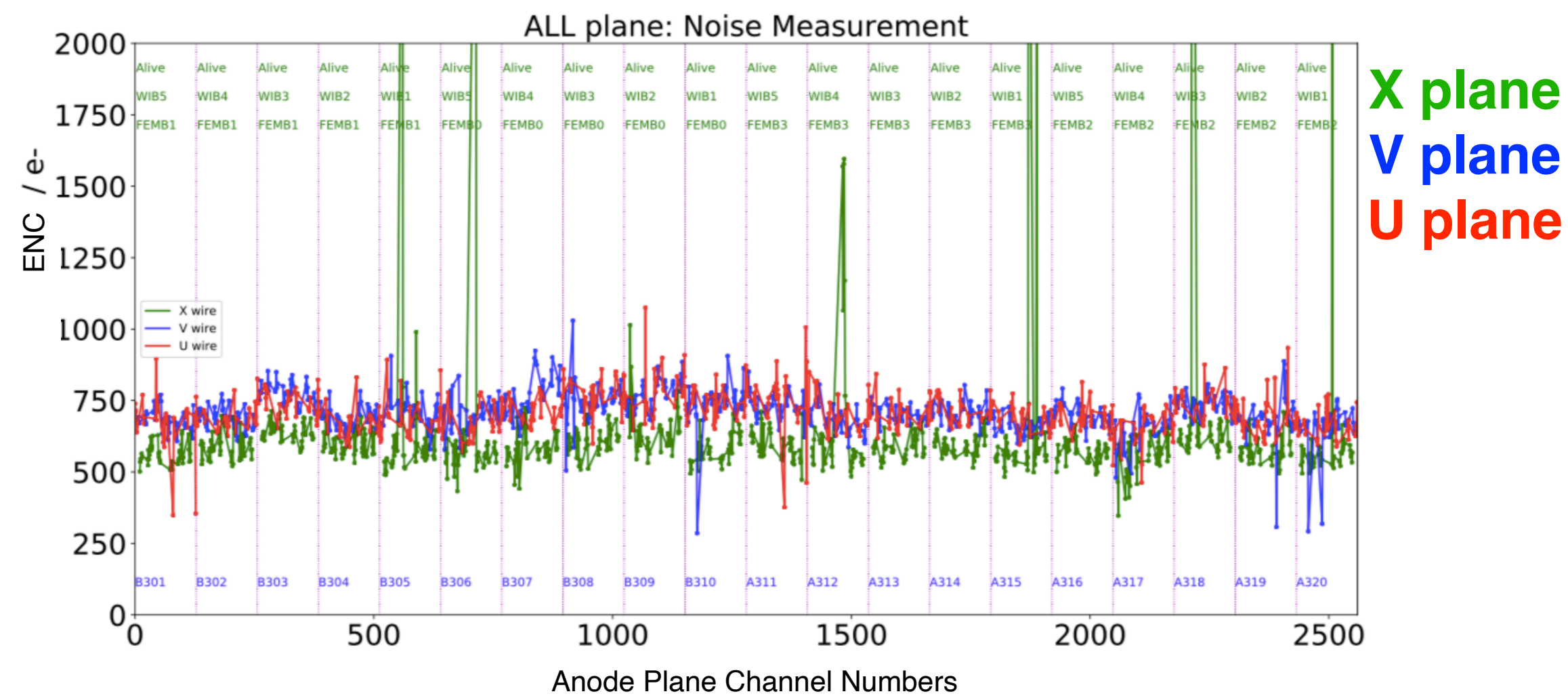
Purity monitor results



Cosmic muon result (2018/11/11)

# Performance - Electronic Noise

- There is no electron multiplication upstream of sense wires
  - Signals on wires induced by drifting electrons are very small : thousands of electrons
  - Noise level much lower than such signals is essential for hit reconstruction
- Electronic noise of all channels in anode planes : in the unit of equivalent noise charge (ENC) - more in backup
  - Averaged over all operational channels :  $\sim 550 e^-$  for the collection plane,  $\sim 650 e^-$  for the induction planes



Plane	Peak signal-to-noise ratio			
	Raw Data		After noise filtering	
	MPV	Average	MPV	Average
Collection	30.9	38.3	40.3	48.7
U	12.1	15.6	15.1	18.2
V	14.9	18.7	18.6	21.2

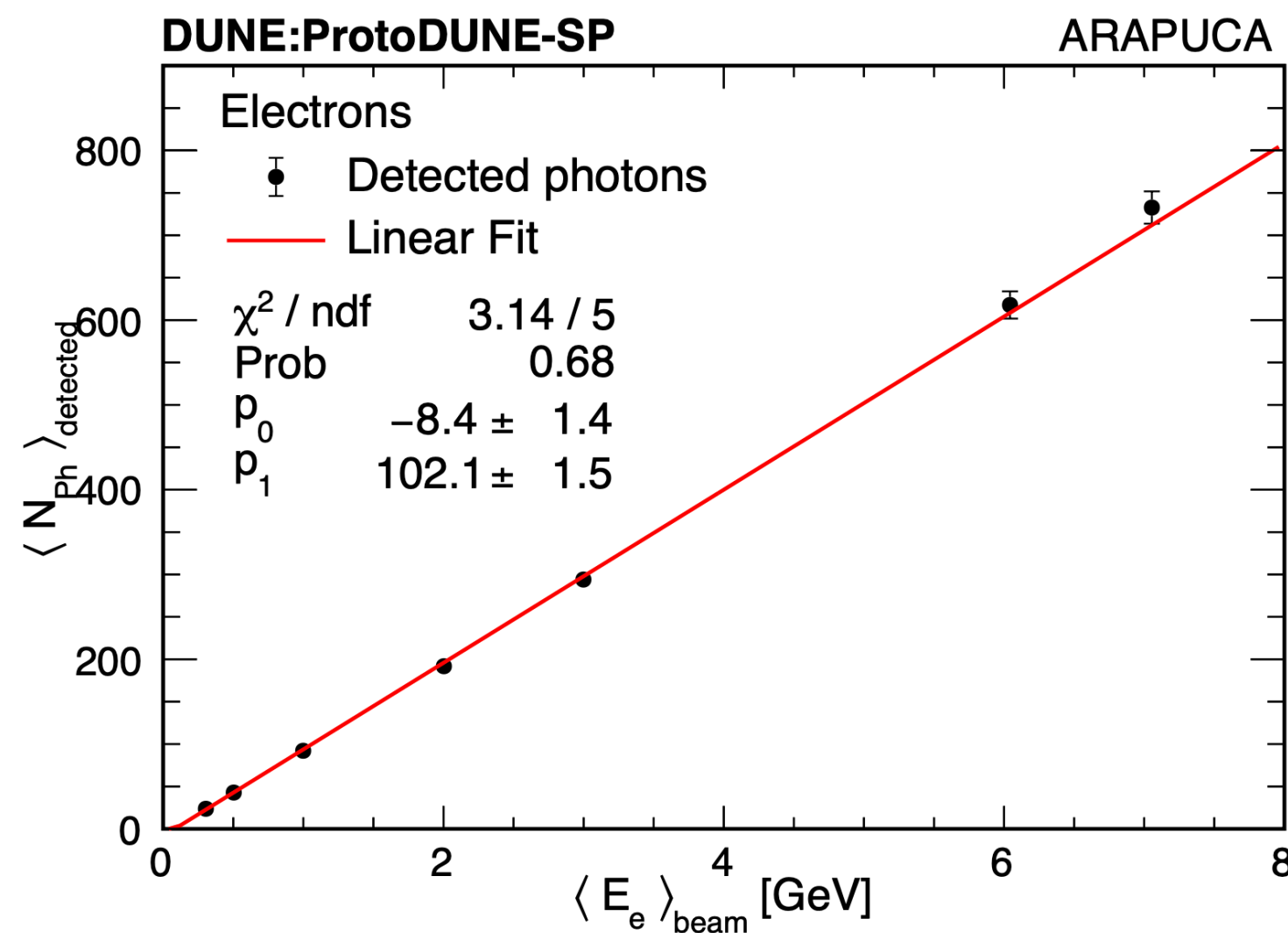
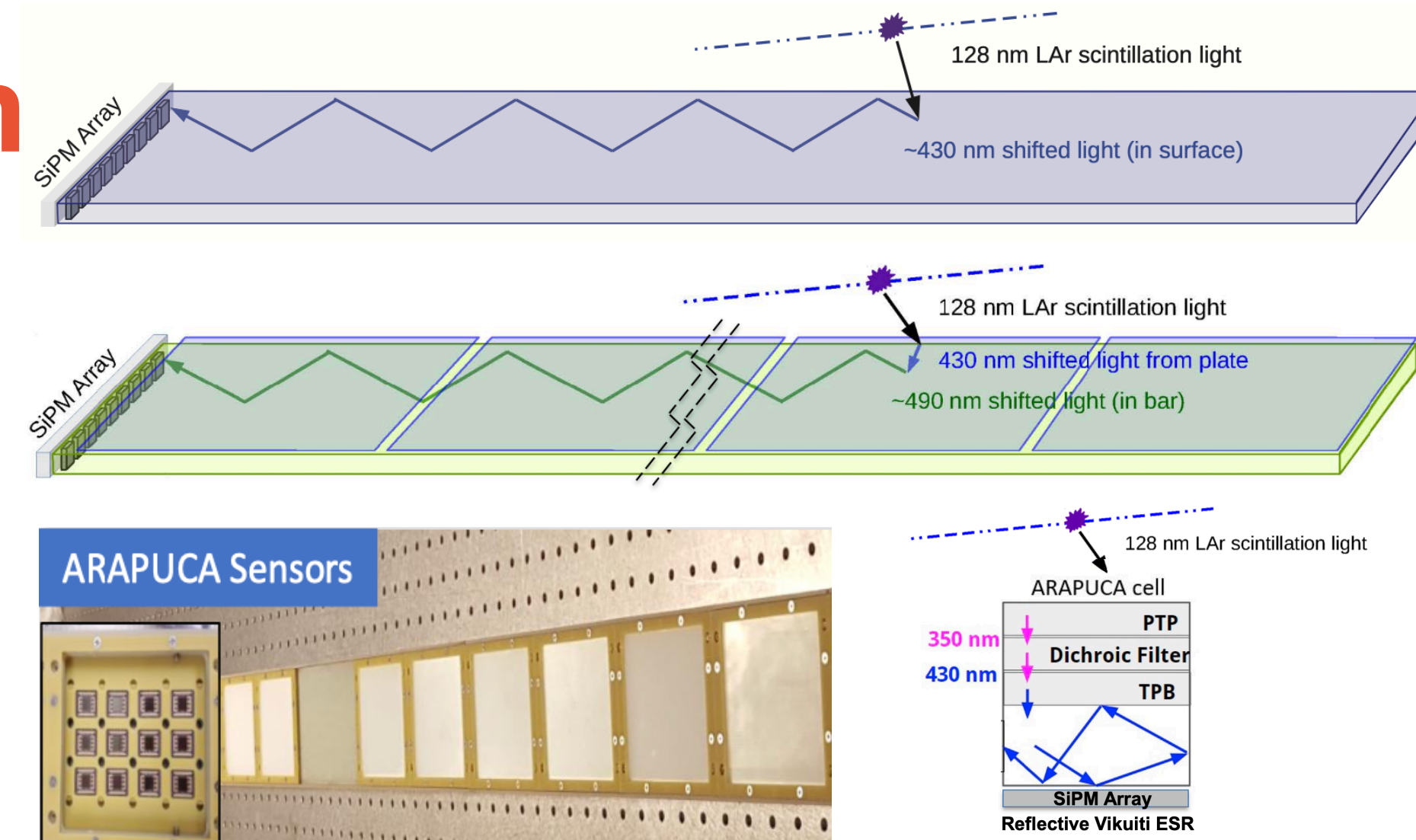
ENC of all channels of an anode plane assembly

Signal-to-Noise Ratio

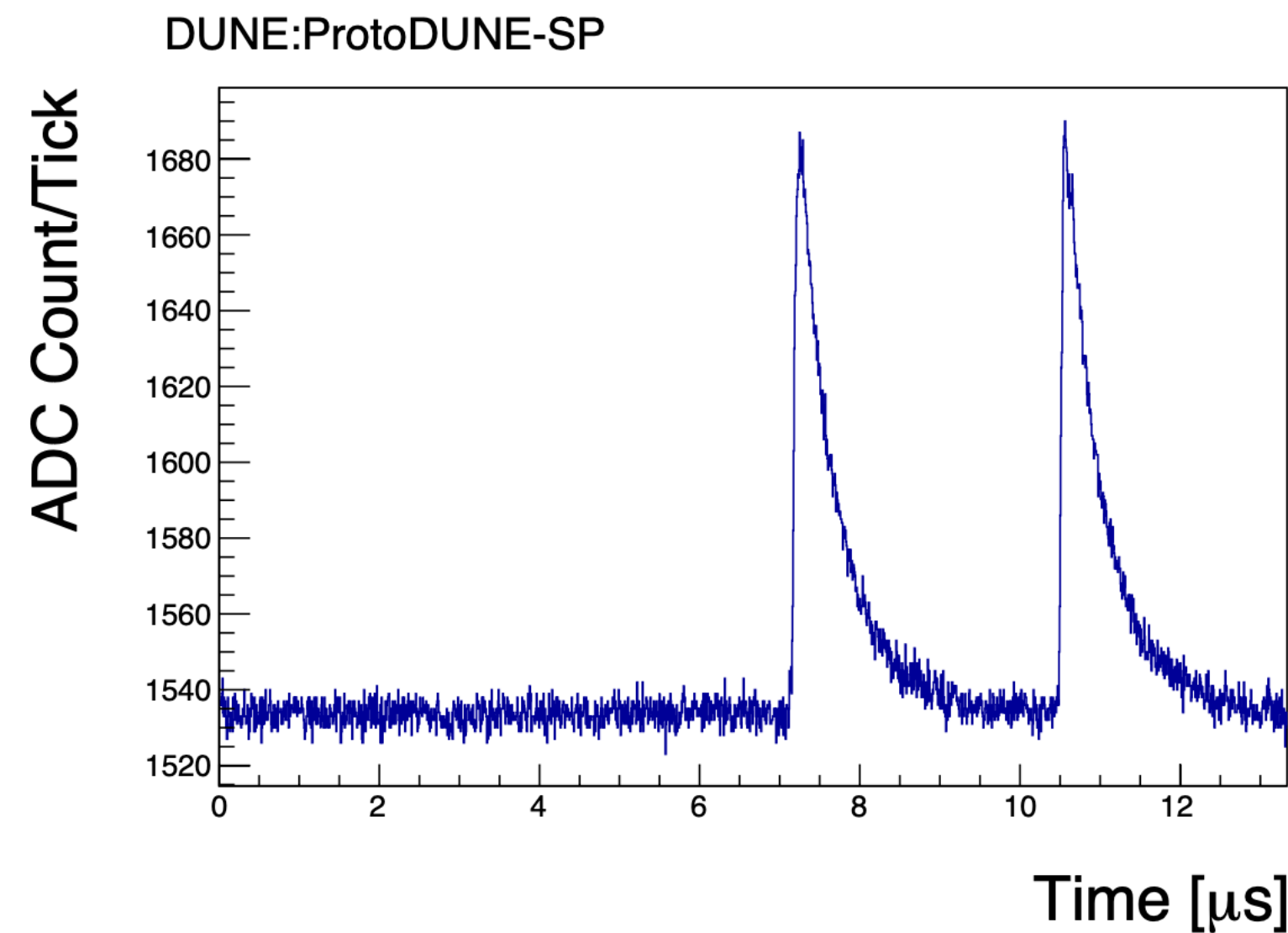


# Performance - Photon Detector System

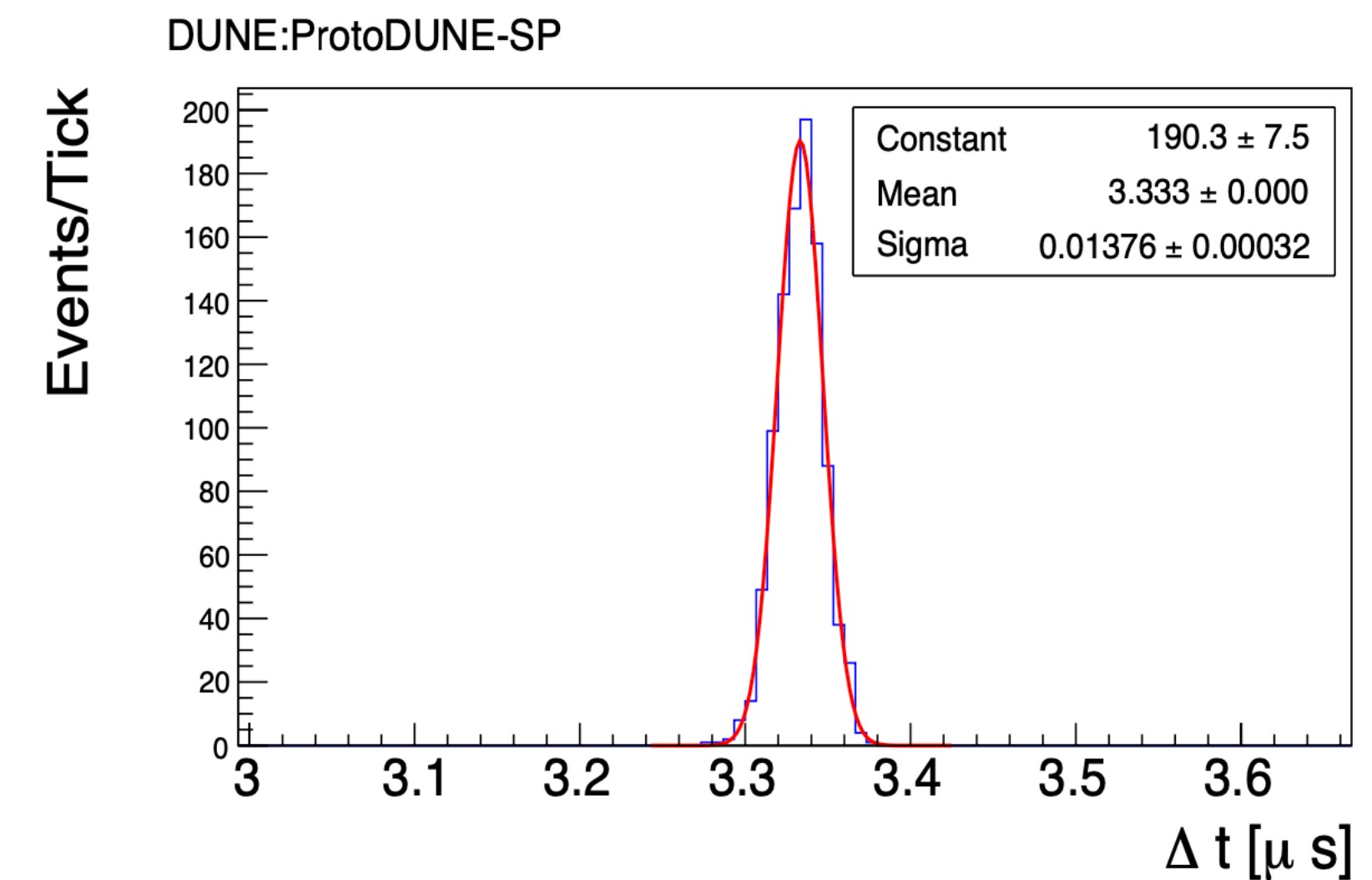
- The photon detector system (PDS) consists of three types
  - Wave guiding to SiPMs with **two** coating schemes
  - Light trap - “ARAPUCA”
- Light yield : 1.9 photons / MeV
- Timing resolution
  - Resolution on time difference between two pulses :  $\sim 14$  ns



Electron beam energy vs. ARAPUCA light yield



Timing resolution measurement using the calibration system provided pulses



# Performance - Summary

- ProtoDUNE-SP meets or surpasses the specifications set for the DUNE far detector
  - Effectiveness of the single-phase DUNE far detector design
  - Execution of the fabrication, assembly, installation, commissioning, and operations phases

<i>Detector parameter</i>	<i>ProtoDUNE-SP performance</i>	<i>DUNE specification</i>
Average drift electric field	500 V/cm	250 V/cm (min) 500 V/cm (nominal)
LAr e-lifetime	> 20 ms	> 3 ms
TPC+CE Noise	(C) 550 e, (I) 650 e ENC (raw)	< 1000 e ENC
Signal-to-noise ⟨SNR⟩	(C) 48.7, (I) 21.2 (w/CNR)	
CE dead channels	0.2% 😊	< 1%
PDS light yield	1.9 photons/MeV (@ 3.3 m distance)	> 0.5 photons/MeV (@ cathode distance — 3.6 m)
PDS time resolution	14 ns	< 100 ns

JINST 15 (2020) 12, P12004

# Hadron - Argon Cross Section Measurements

- Motivations
  - Nuclear effects in argon will be one of the most dominant sources of systematic uncertainties of the DUNE
  - Hadron - Ar cross section results are essential inputs to model such effect
    - Final-state interactions of knocking out nucleons and resonantly produced particles before leaving the interacting nucleus
    - Multi-nucleon correlations for neutrino - Ar interactions
    - Secondary interactions of knocked out nucleons or resonantly produced particles with other argon atoms in the detector
- Not enough experimental results for hadron - Ar scatterings in kinetic energy  $\sim 100$  MeV to 1 GeV region
  - We are interpolating experimental results of nuclides with similar atomic masses to model hadron - Ar cross sections
  - ProtoDUNE analyses can provide unique results that have never been measured

# Cross Section Measurement Principle

- Interactions inside a continuous medium
  - Consider propagation of beam particle as **series of thin-target experiments**
  - The LArIAT collaboration introduced the “thin slice method” *Phys. Rev. D 106, 052009*
    - ProtoDUNE expands this pioneering work

- The formula measuring cross sections

- Cross section ( $\sigma$ ) in a thin slice with a width  $\delta x$

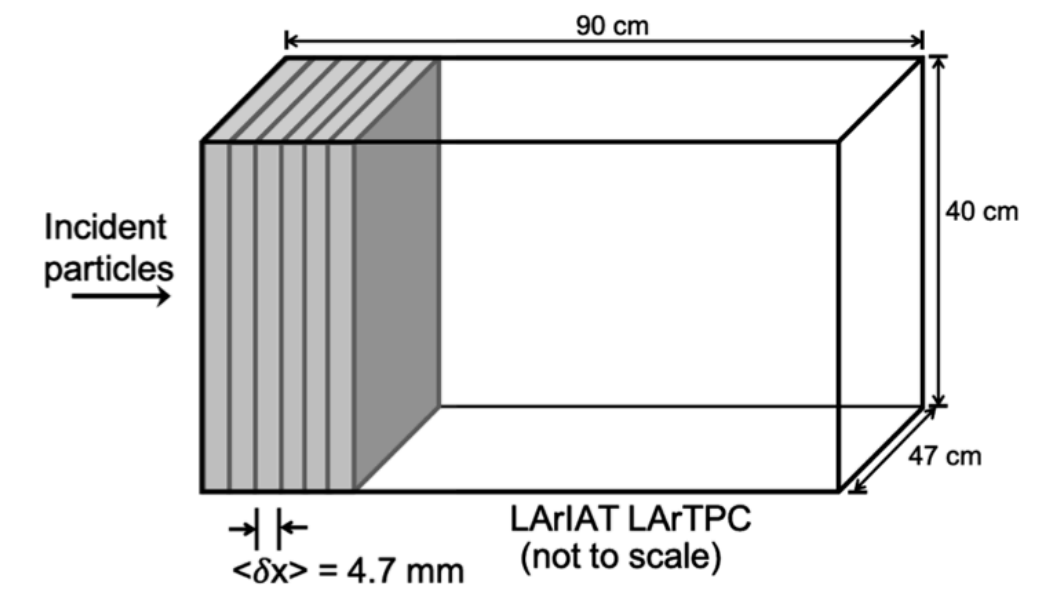
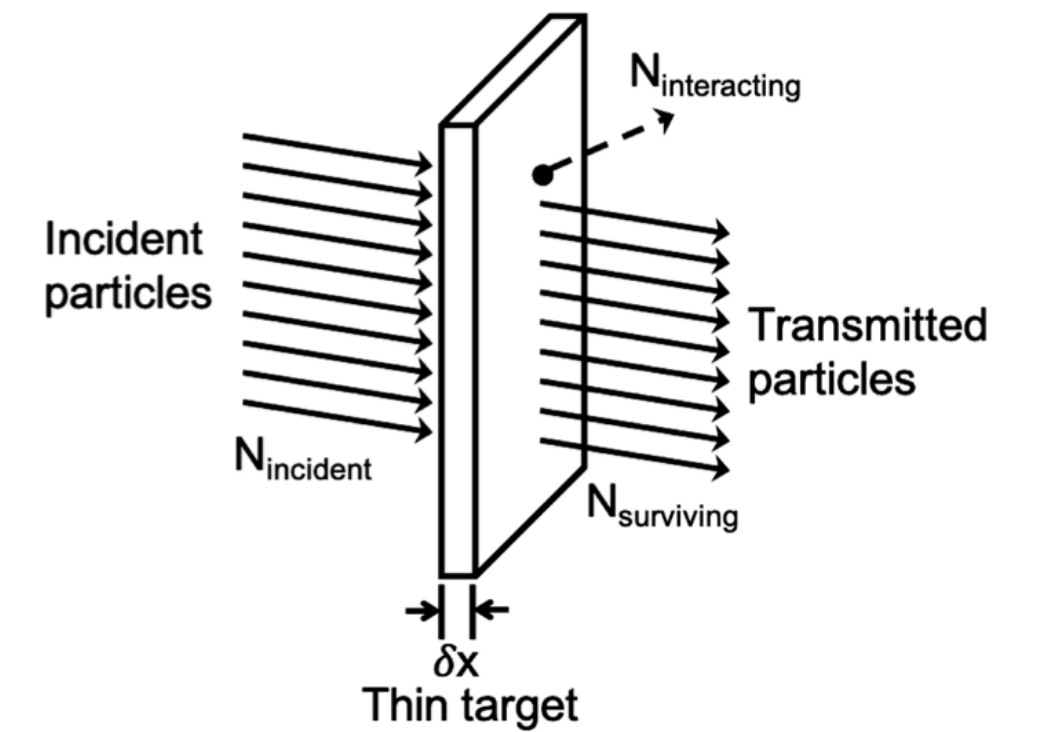
- Count number of beam particles enter the slice :  $N_{\text{Incident}}$

- Count number of beam particles that interact inside the slice :  $N_{\text{Interact}}$

- Interaction probability =  $\frac{N_{\text{Interact}}}{N_{\text{Incident}}} = 1 - \exp(-\sigma n \delta x) = 1 - \exp\left(-\sigma n \Delta E \frac{1}{dE/dx}\right)$ ,

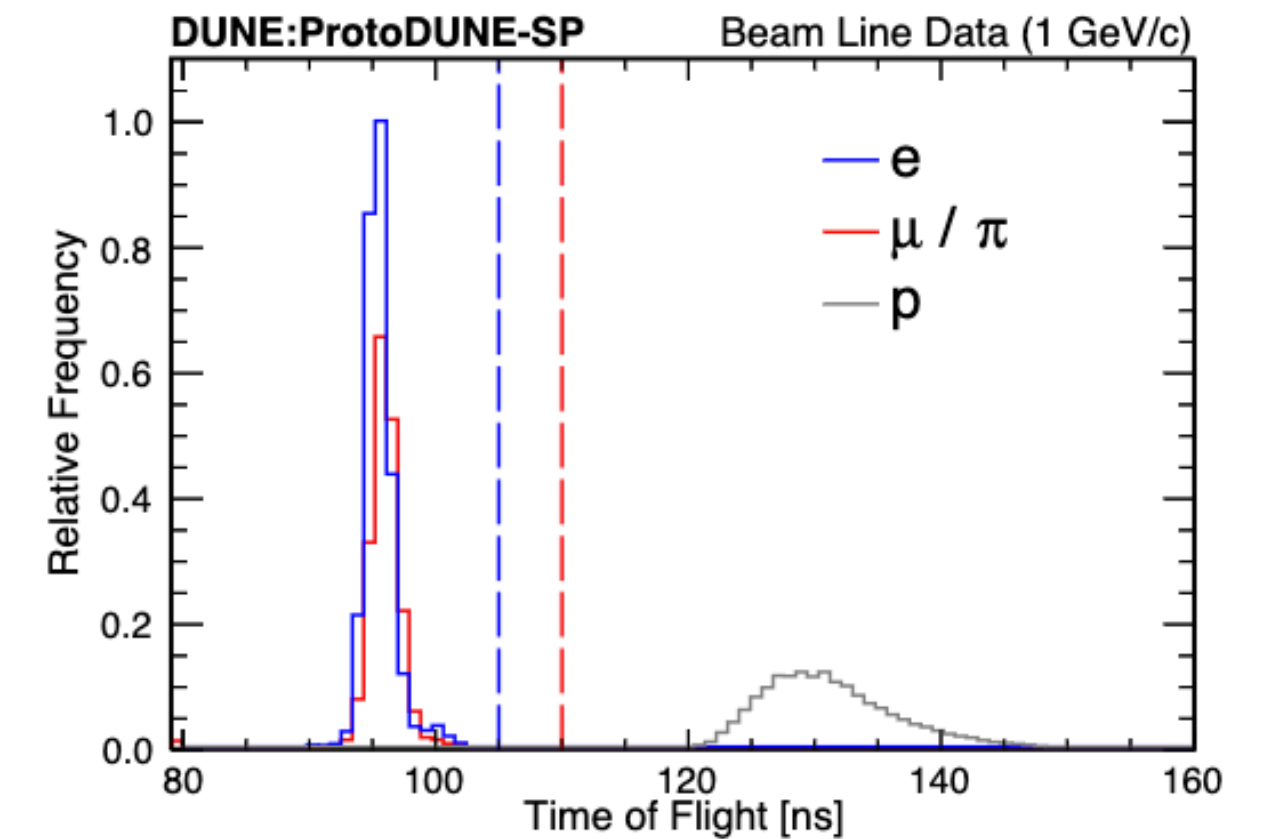
where  $n$  is argon number density ( $= \frac{\rho N_A}{M_{\text{Ar}}}$ )

- $$\sigma(E_i, E_i + \Delta E) = \frac{M_{\text{Ar}}}{\rho N_A \Delta x} \ln\left(\frac{N_{\text{Incident}}}{N_{\text{Incident}} - N_{\text{Interact}}}\right) = \frac{M_{\text{Ar}}}{\rho N_A \Delta E} \frac{dE}{dx} \Bigg|_{E_i + \frac{\Delta E}{2}} \ln\left(\frac{N_{\text{Incident}}}{N_{\text{Incident}} - N_{\text{Interact}}}\right)$$



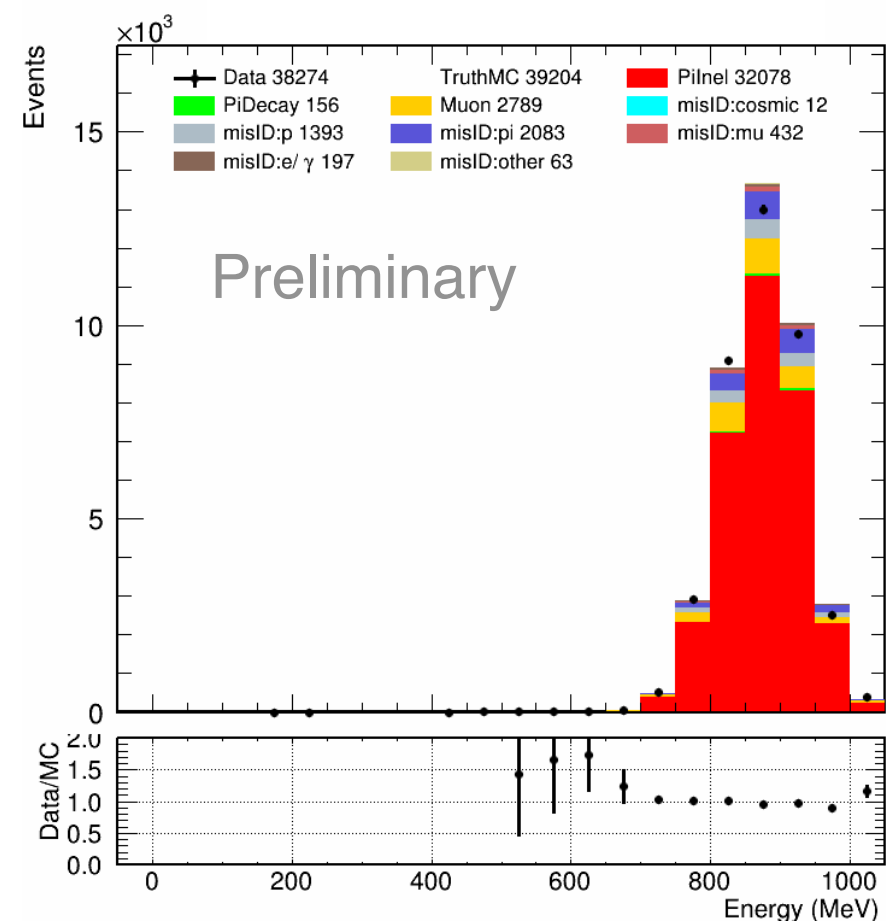
# Total Inelastic Scattering Cross Section : 1 GeV/c $\pi^+$ Beam

- Important event selection requirements
  - Beam instrumentation TOF < 110 ns : to remove beam proton background
  - Proton veto using energy loss profile
    - To reduce background coming from events in which secondary protons are reconstructed as beam tracks
  - Stopping muon veto cut : to reduce muon beam background

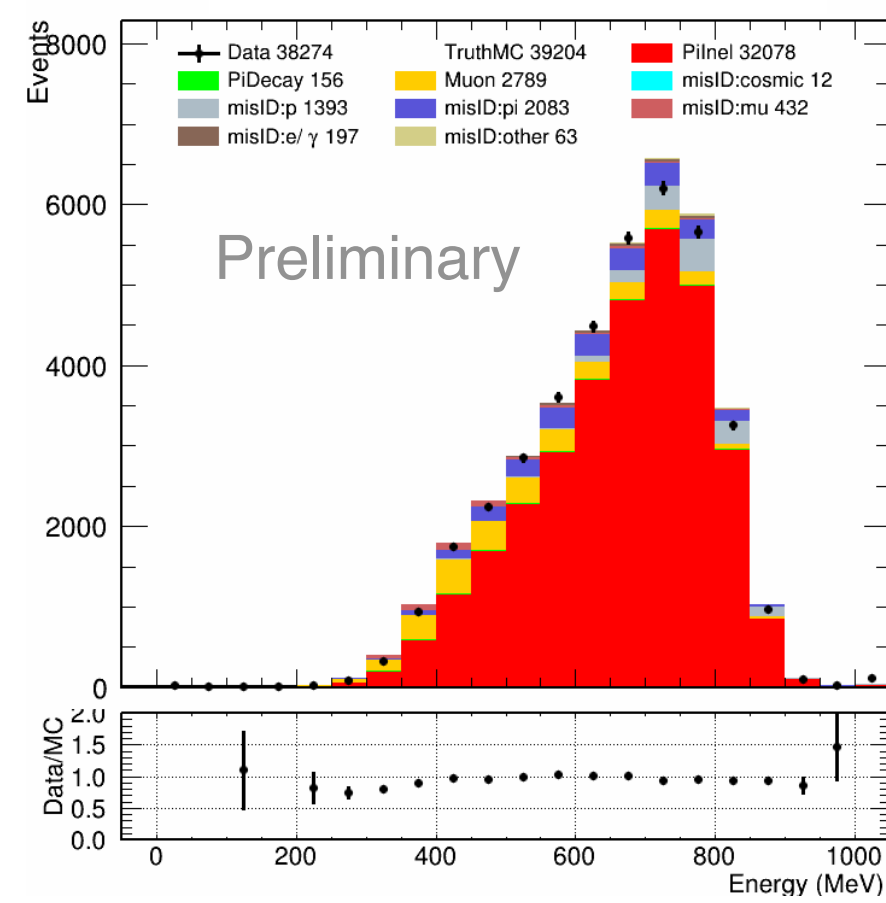


Beam TOF ( 1GeV/c)

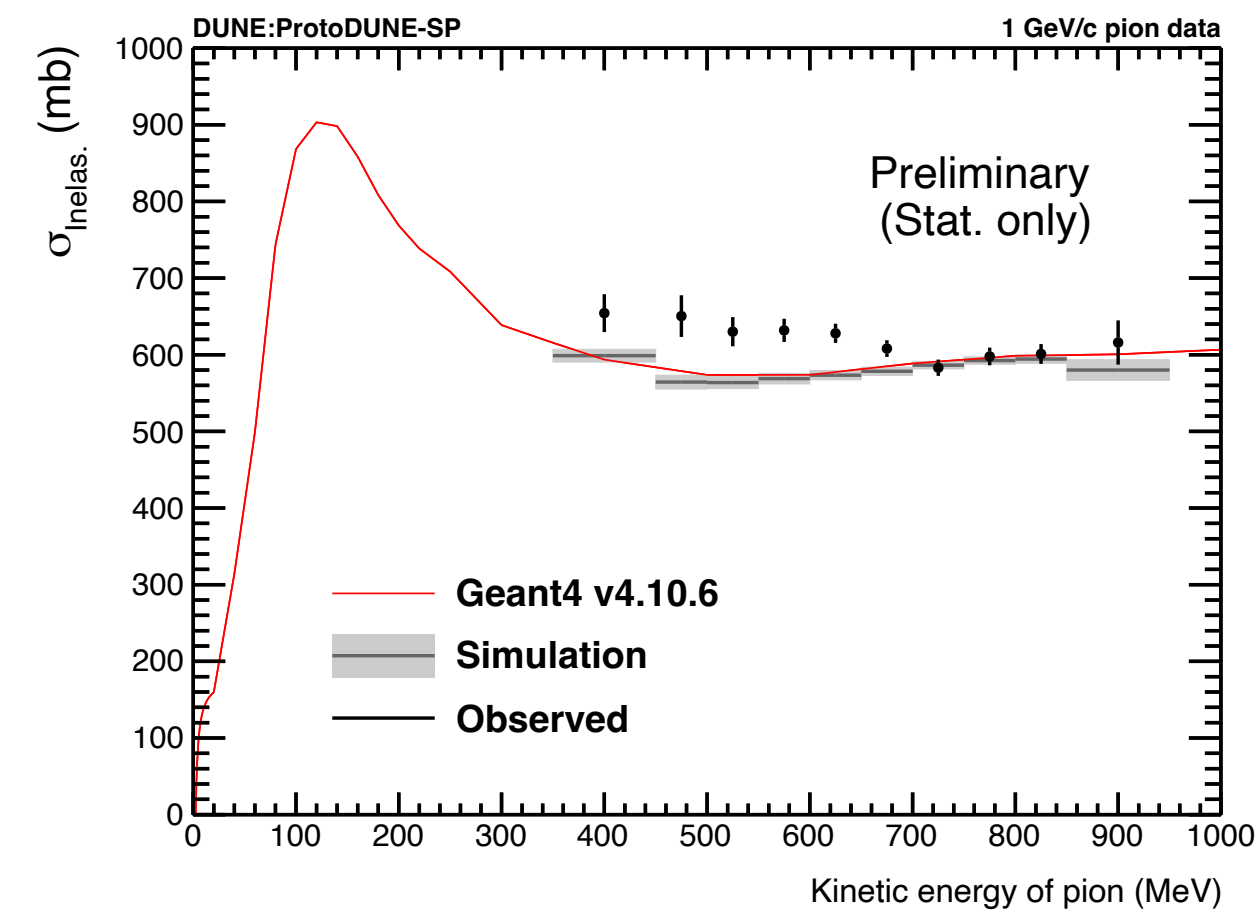
## Results



Beam  $\pi^+$  kinetic energy (KE) at the front face of the TPC



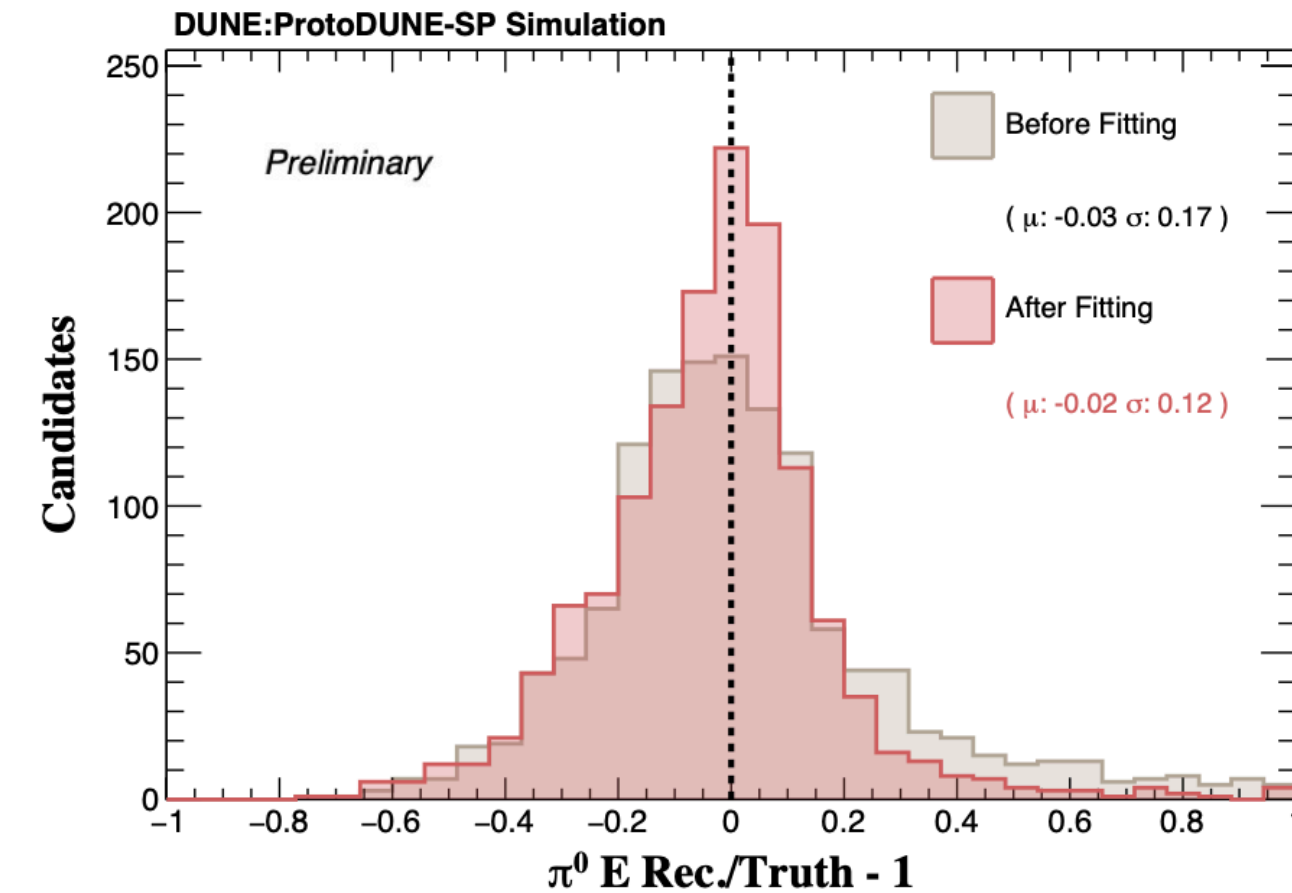
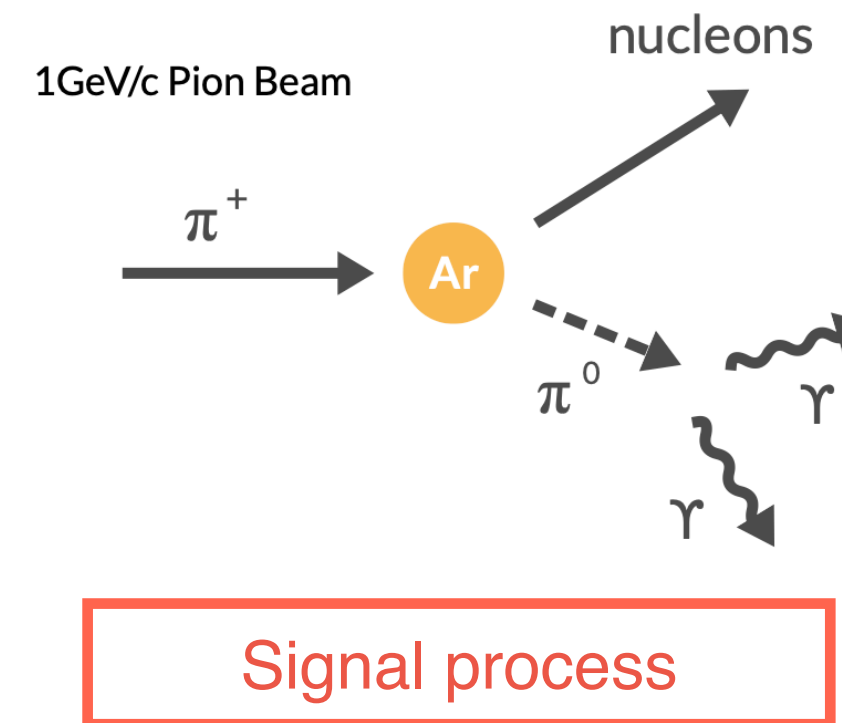
Beam  $\pi^+$  KE at the last hit



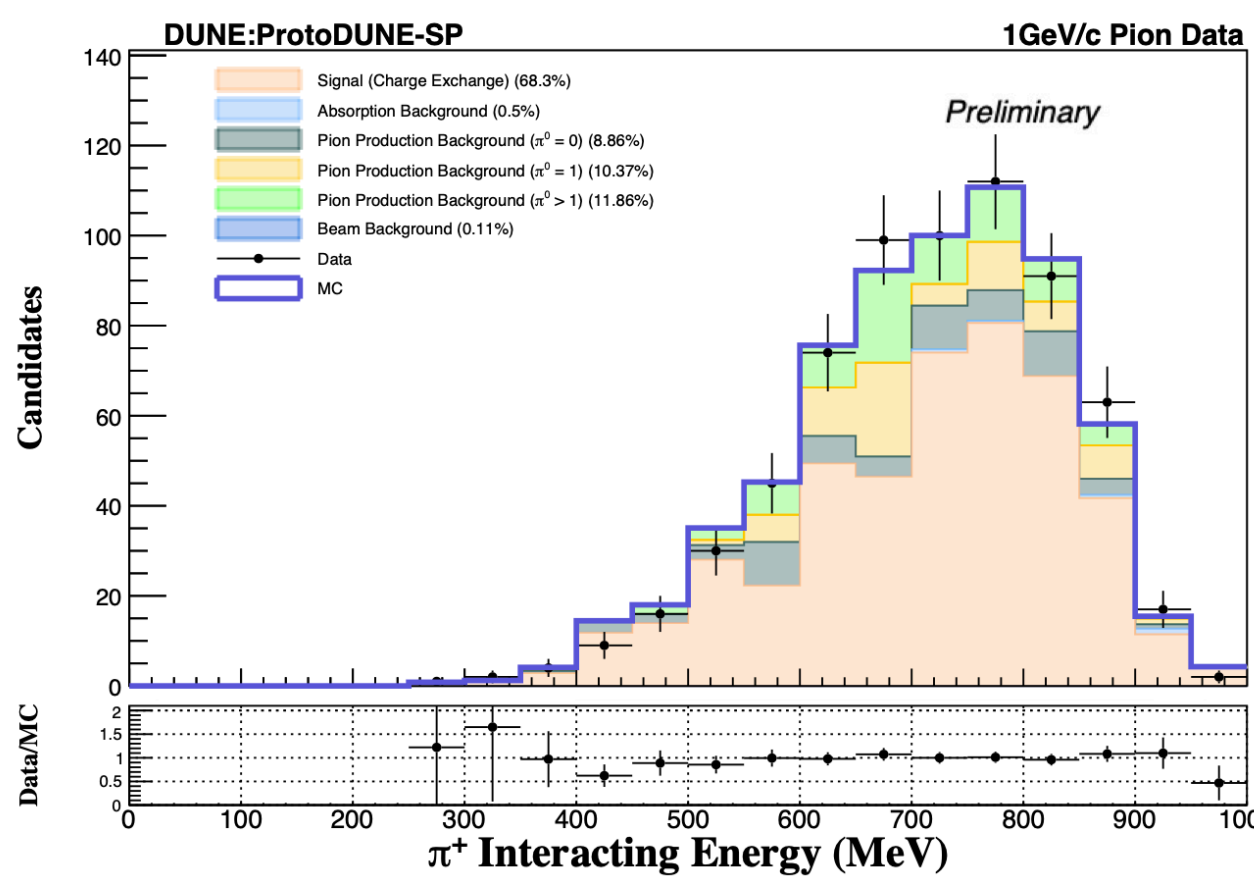
Total inelastic scattering cross section as a function of beam  $\pi^+$  KE

# Charge Exchange Cross Section : 1 GeV/c $\pi^+$ Beam

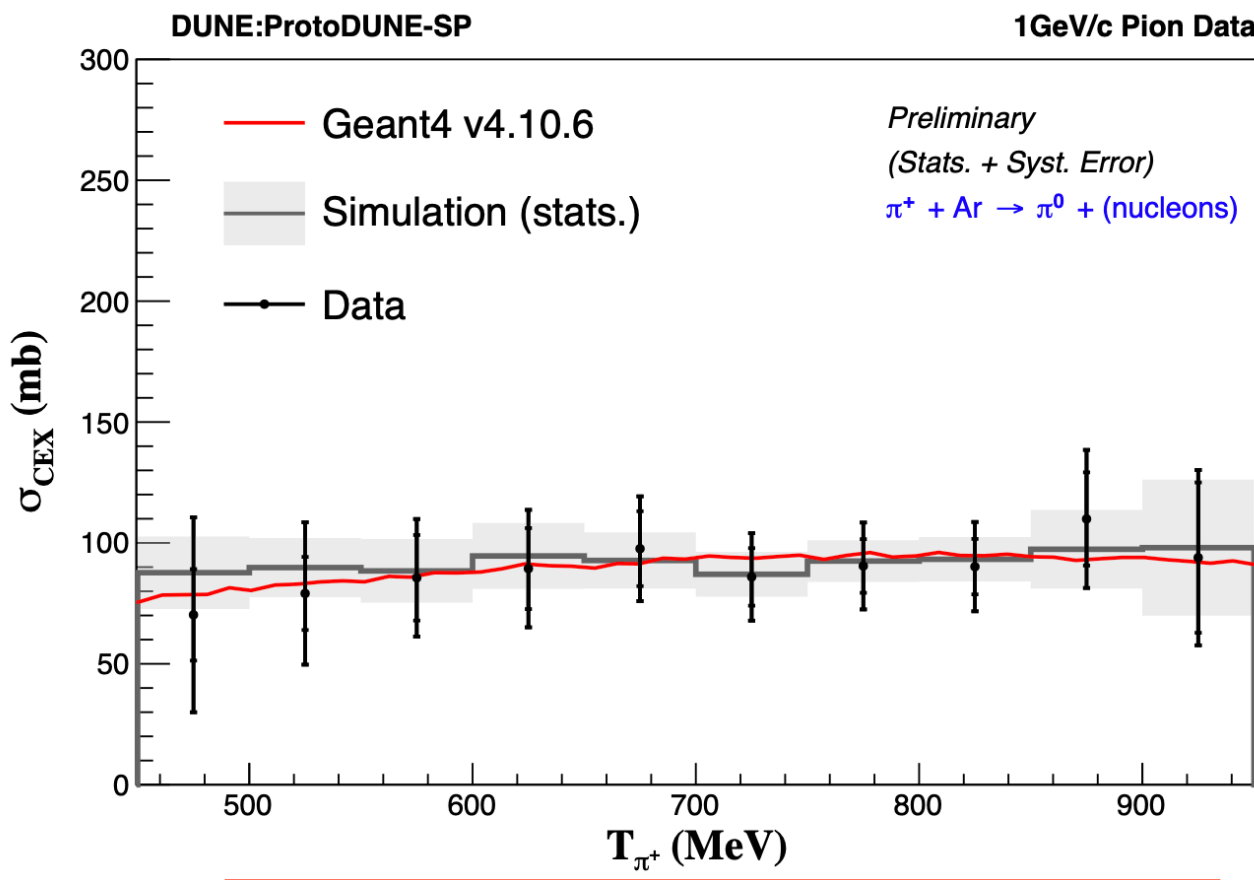
- Important event selection requirements
  - Beam instrumentation TOF < 110 ns
  - Reconstruct secondary  $\pi^\pm$  and  $\pi^0$ 
    - Kinematic fitting for  $\pi^0 \rightarrow \gamma\gamma$  using known mass
    - Signal region : no  $\pi^\pm$  and at least one  $\pi^0$
    - Background tuning in sideband regions defined by  $\pi^\pm$  and  $\pi^0$  multiplicities
- Results



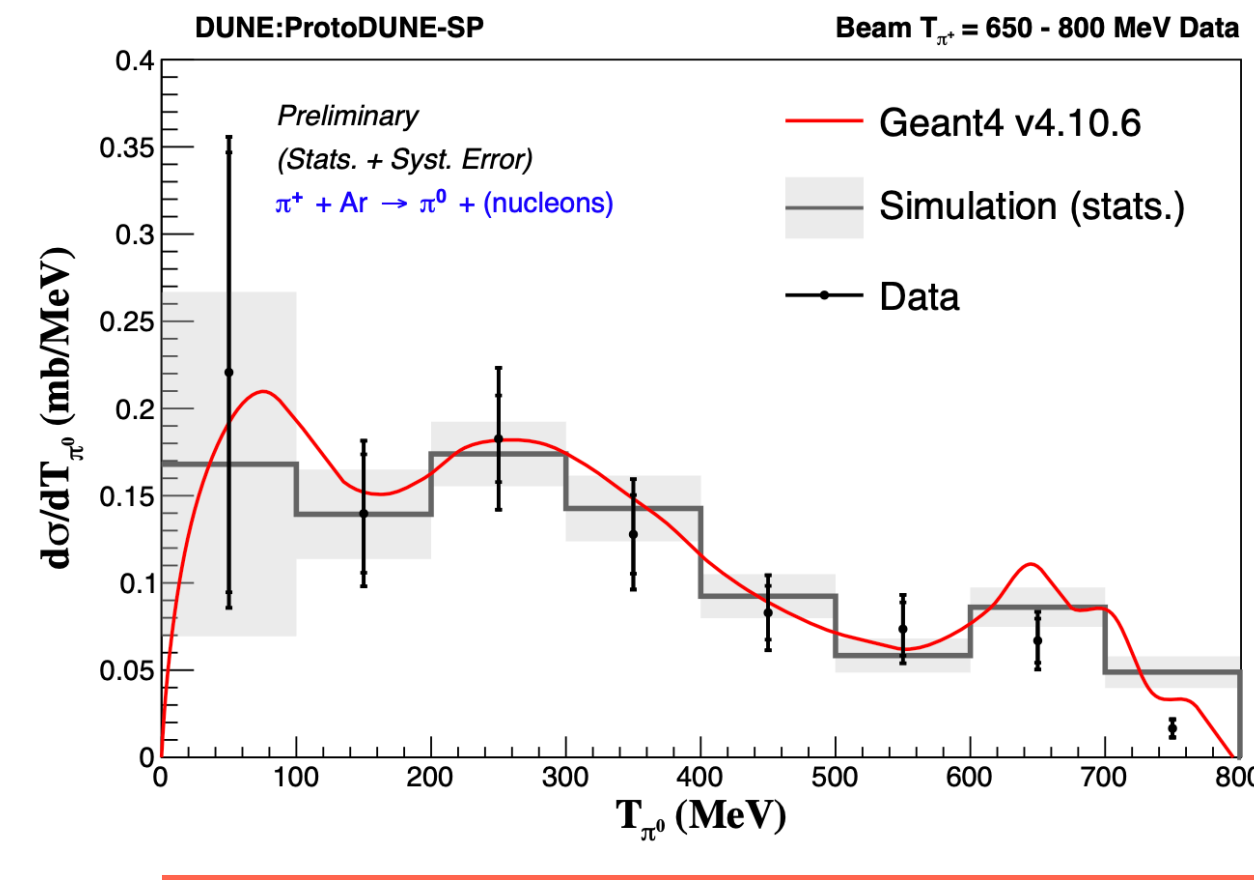
$\pi^0$  energy resolution before/after kinematic fitting



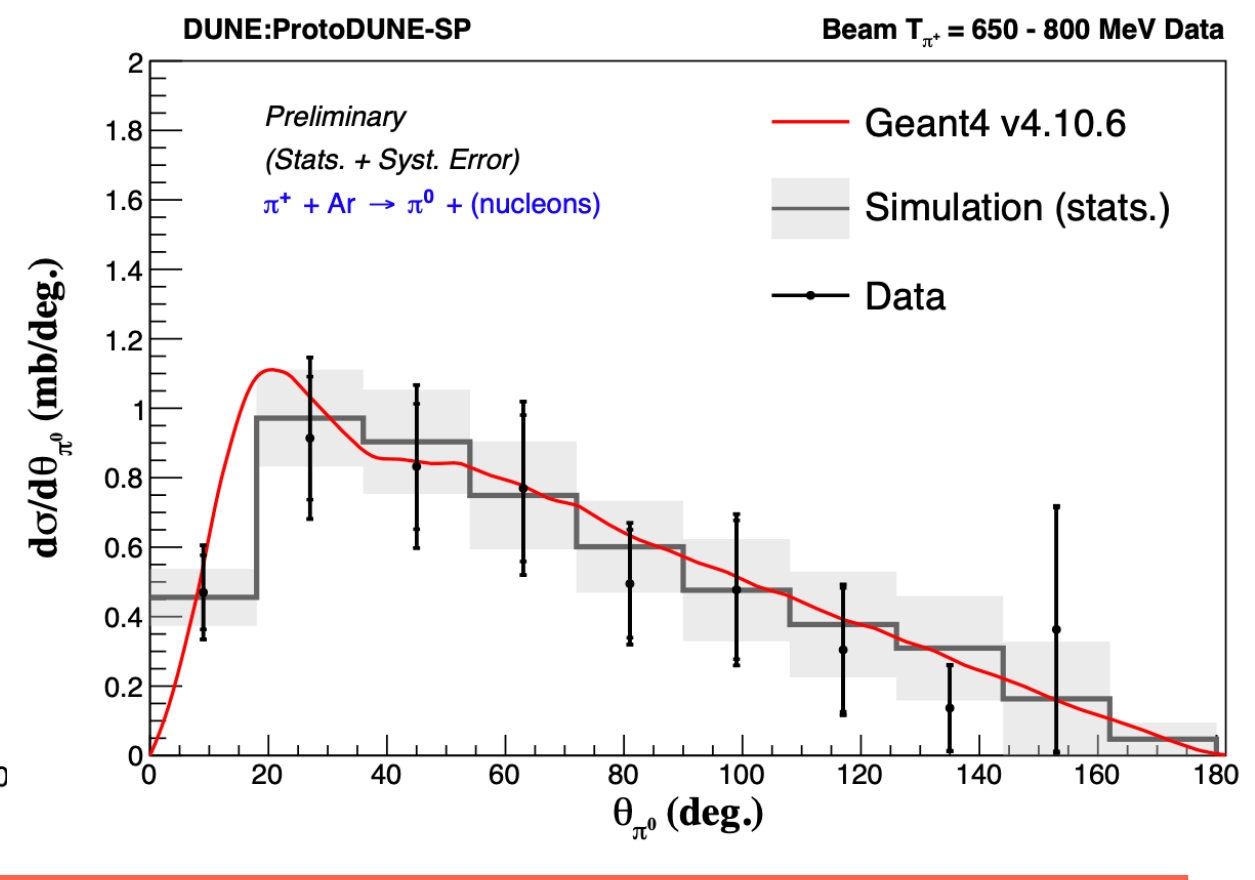
Beam  $\pi^+$  KE at the last hit



Cross section as a function of beam  $\pi^+$  KE

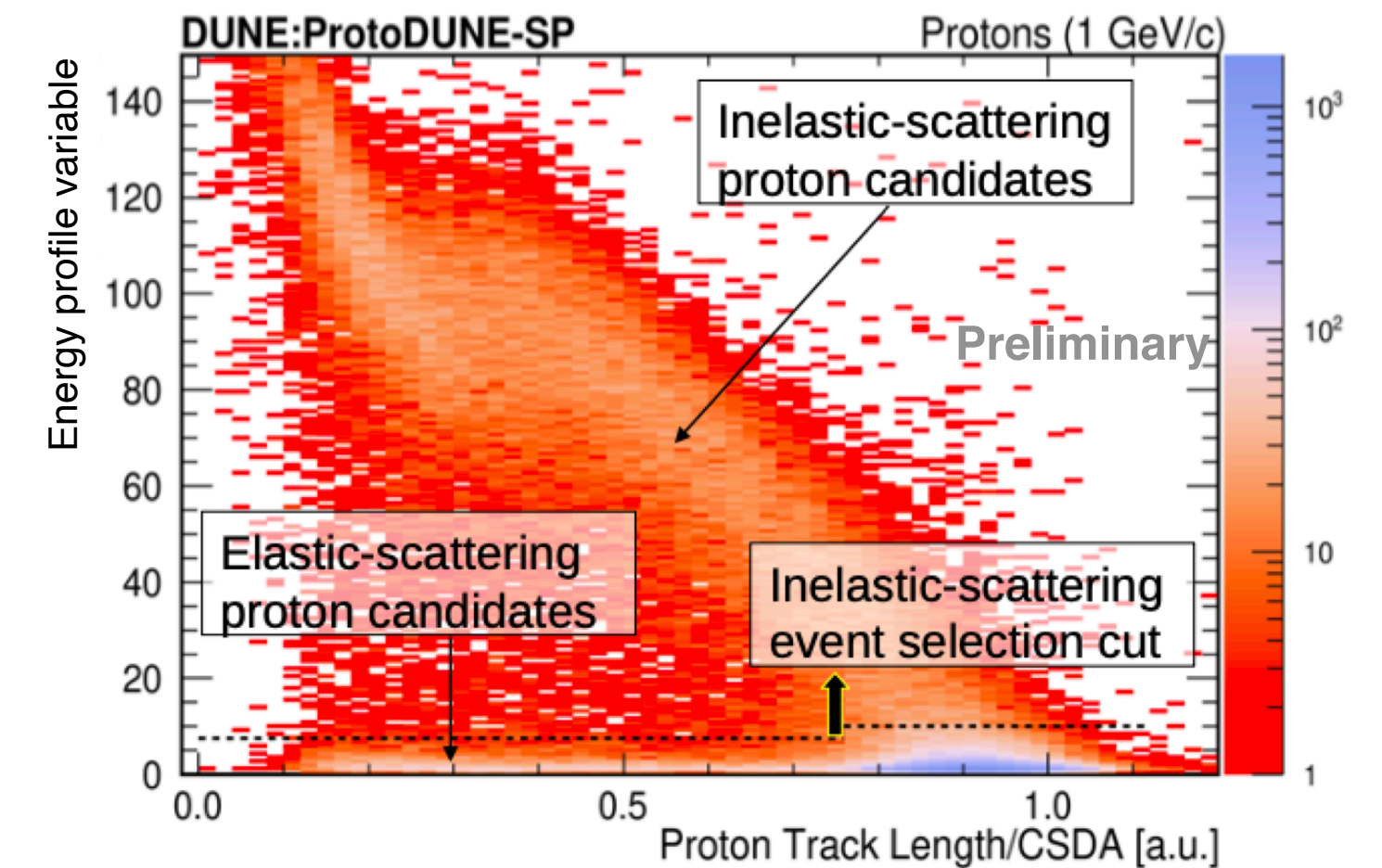


Piece of double differential cross sections as a function of beam  $\pi^+$  KE and secondary  $\pi^0$  KE/angle



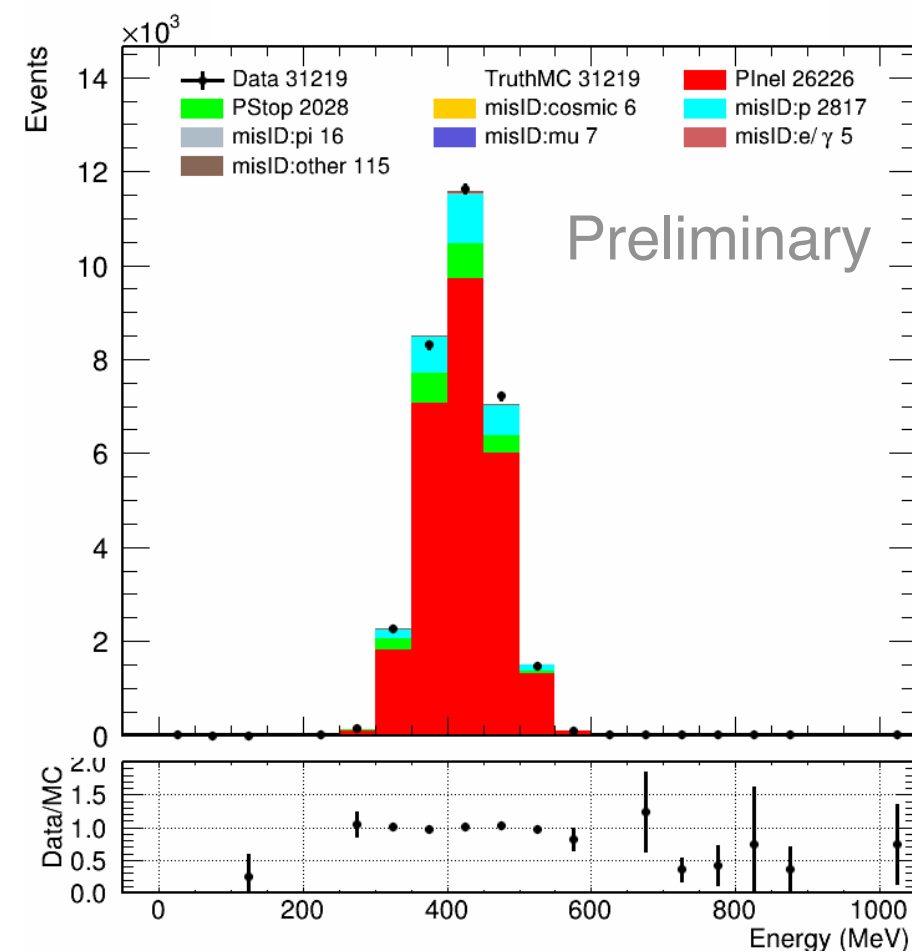
# Total Inelastic Scattering Cross Section : 1 GeV/c $p^+$ Beam

- Important event selection requirements
  - Beam instrumentation  $110 \text{ ns} < \text{TOF} < 160 \text{ ns}$
  - Stopping proton veto using energy loss profile
    - To reduce background coming from events that beam proton with no inelastic scattering

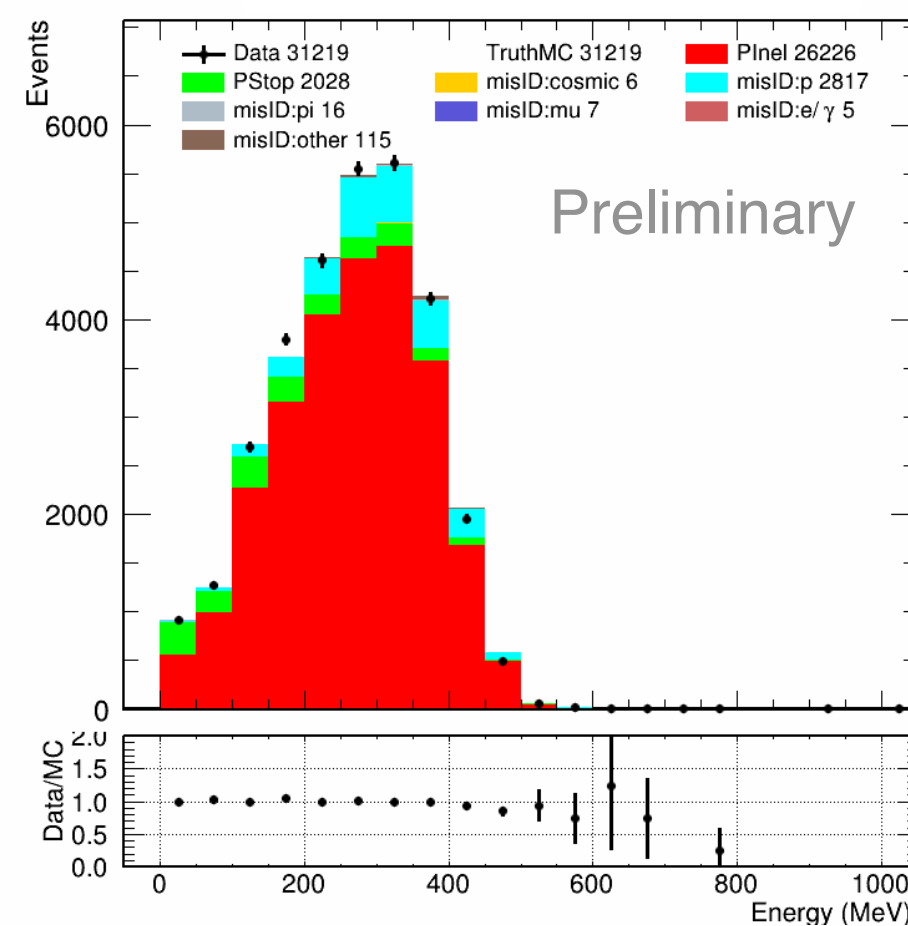


Proton beam energy loss profile variable  
vs.  
Reco. track length / Track length if stopped

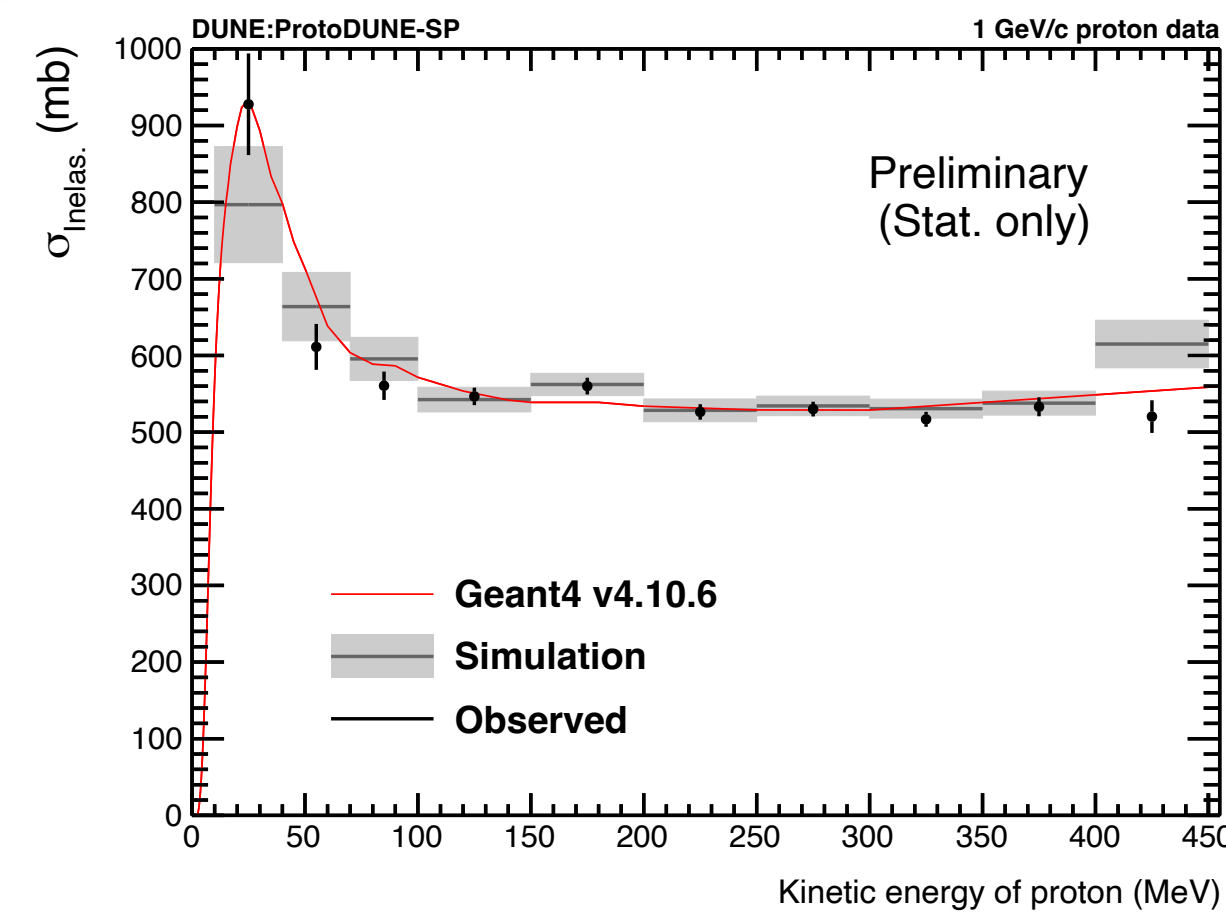
## Results



Beam  $p^+$  KE  
at the front face of the TPC



Beam  $p^+$  KE at the last hit



Total inelastic scattering cross section  
as a function of beam  $p^+$  KE

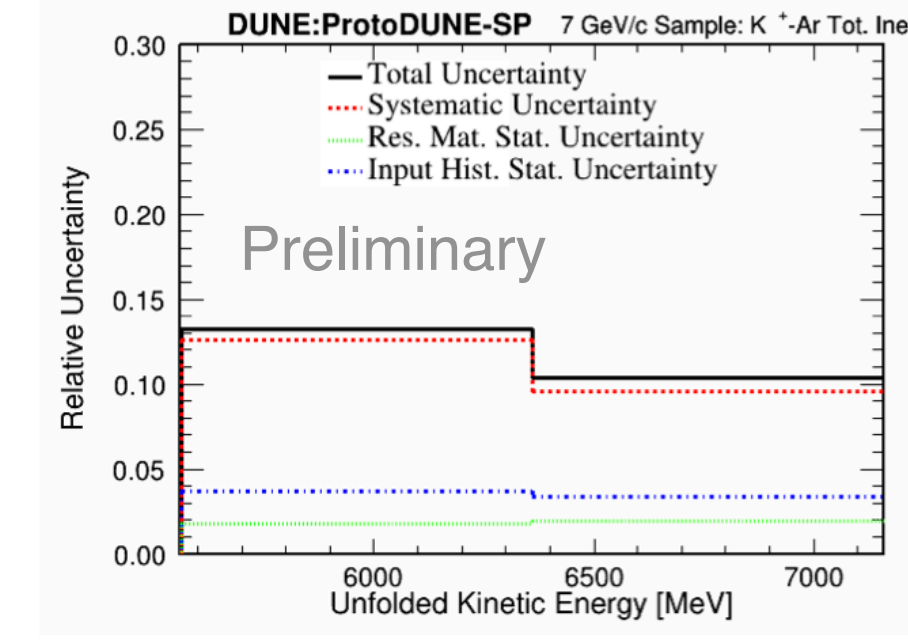
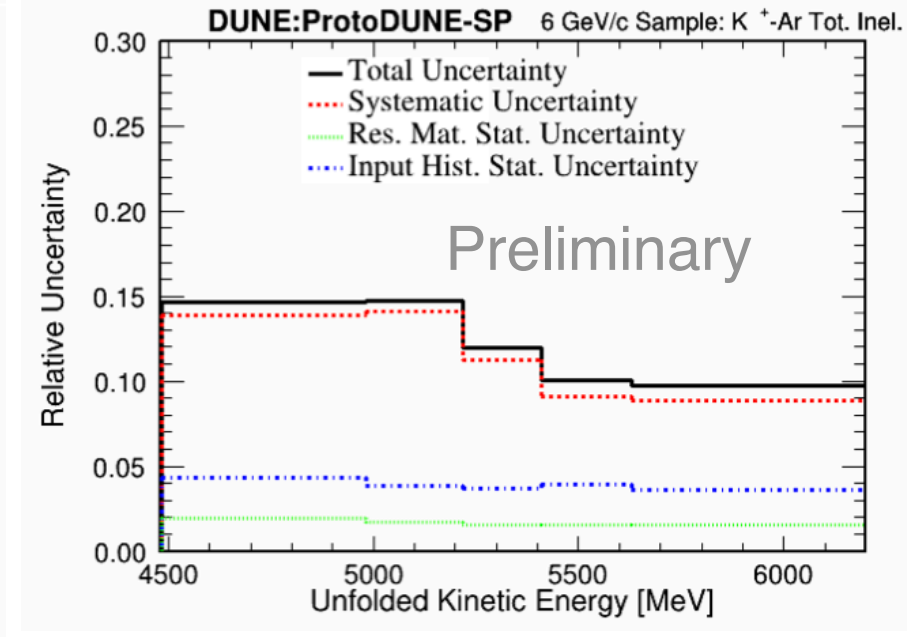
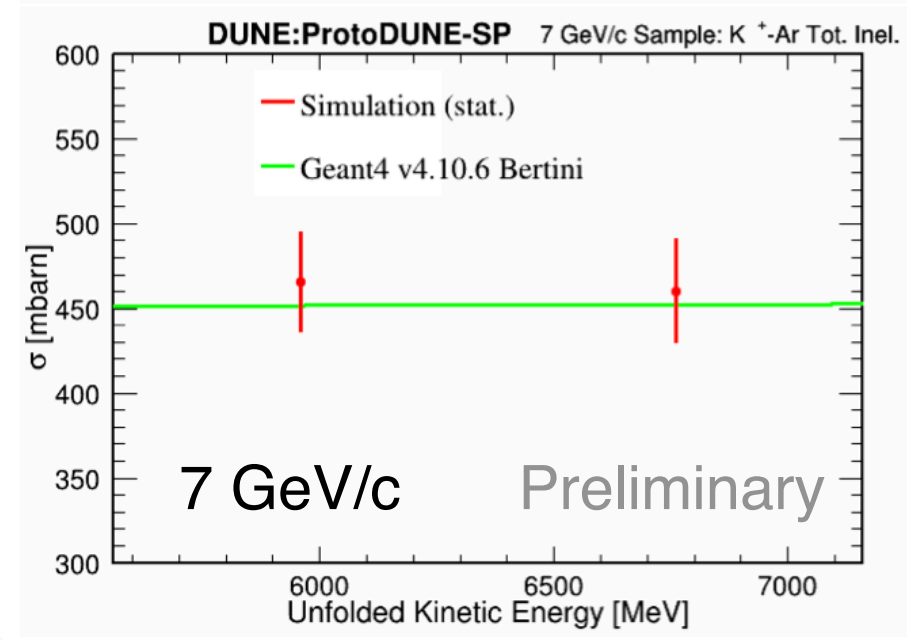
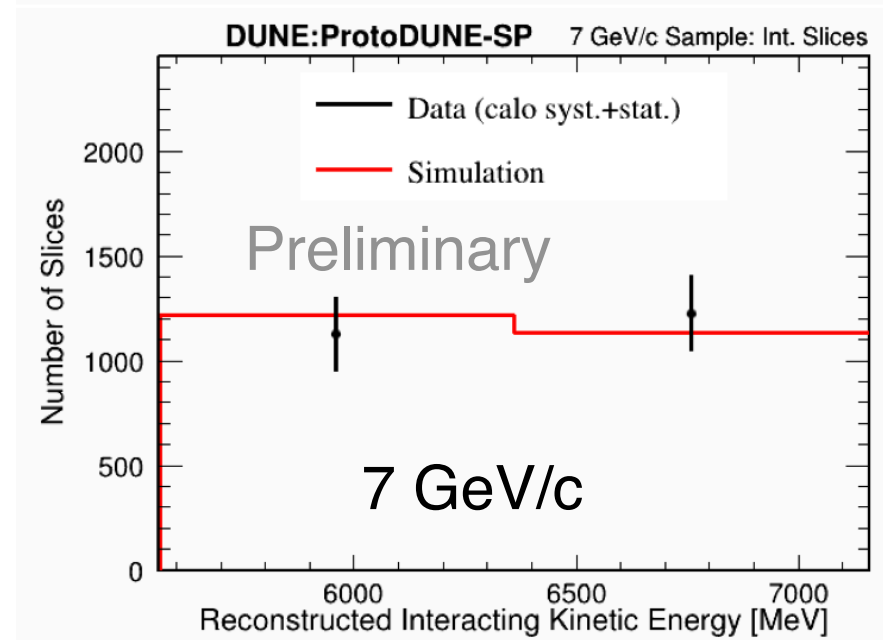
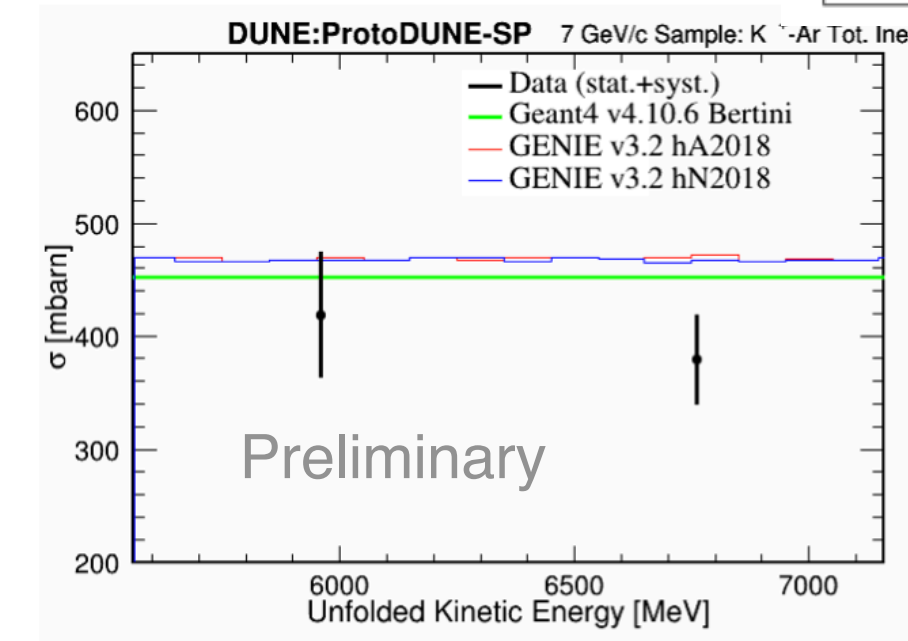
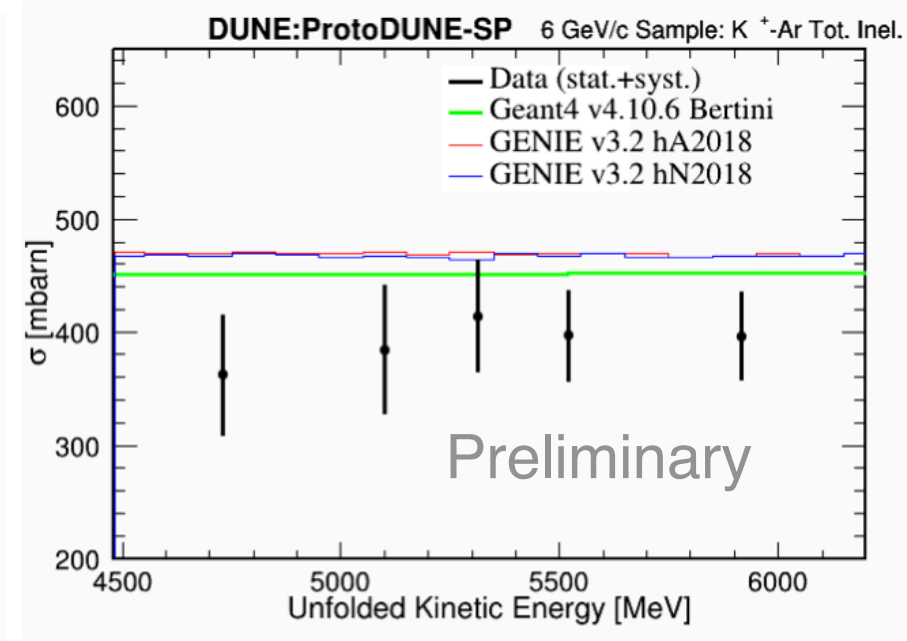
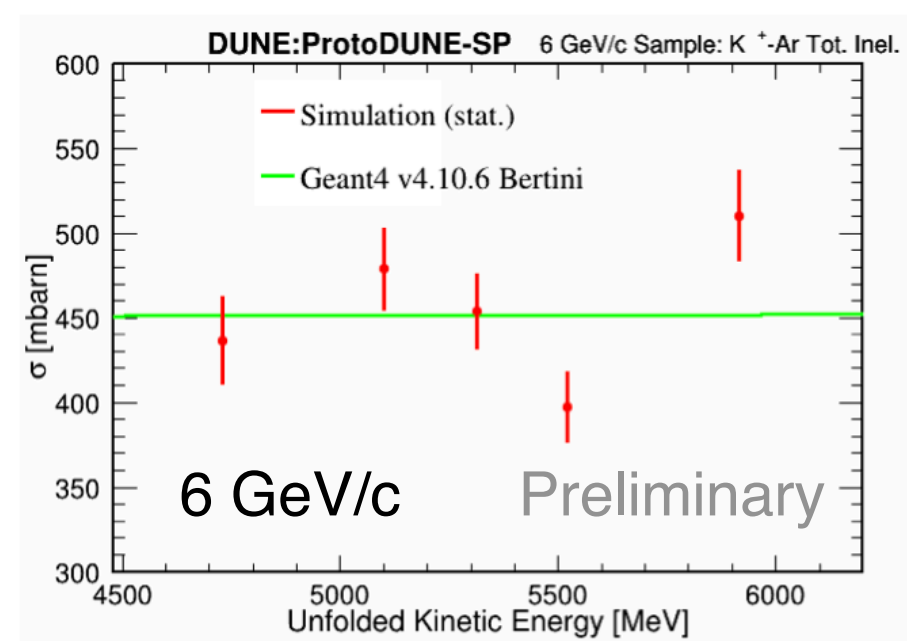
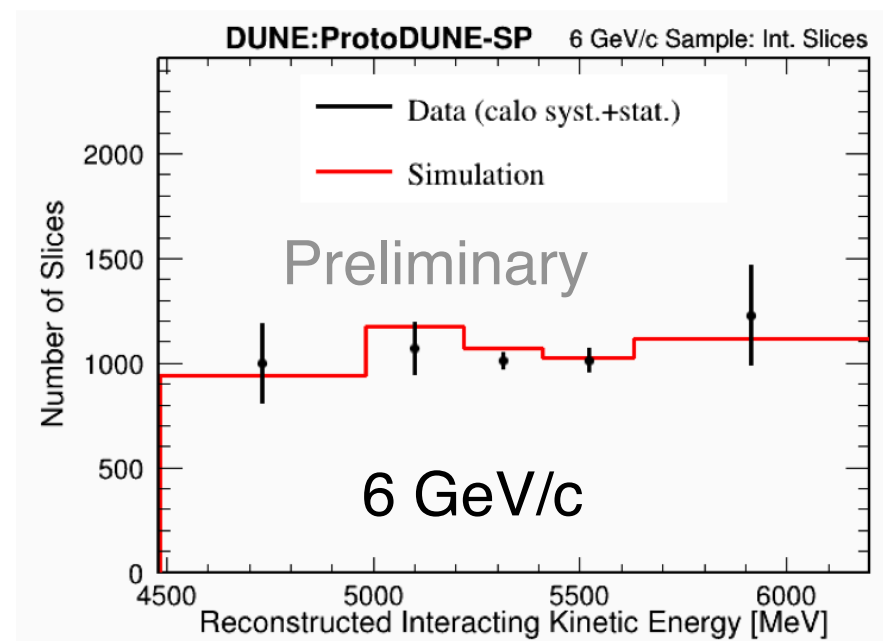
# Total Inelastic Scattering Cross Section : 6 and 7 GeV/c $K^+$ Beam

- Important event selection requirements
  - High energy beam sample : enough number of events with beam  $K^+$
  - Beam instrumentation Cherenkov detectors
    - Signal in high-pressure Cherenkov and no signal in low-pressure Cherenkov
- Results

		Momentum (GeV/c)			
		1	2	3	6-7
$e$	TOF (ns)	0, 105	0, 105	—	—
	Low P Che.	1	1	1	1
	High P Che.	—	—	1	1
$\mu / \pi$	TOF (ns)	0, 110	0, 103	—	—
	Low P Che.	0	0	0	1
	High P Che.	—	—	1	1
$K$	TOF (ns)	—	—	—	—
	Low P Che.	—	—	0	0
	High P Che.	—	—	0	1
$p$	TOF (ns)	110, 160	103, 160	—	—
	Low P Che.	0	0	0	0
	High P Che.	—	—	0	0

Phys. Rev. Accel. Beams **22**, 061003

Beam instrumentation  
particle ID logic



Beam  $K^+$  KE at the last hit

X-sec reproduction test

X-sec result : 6 GeV/c data

X-sec result : 7 GeV/c data



# LAr Properties : Electron - Ar<sup>+</sup> Recombination

- Recombinations between Ar<sup>+</sup> ions and electrons
  - **Non-linear relation** between deposited energy ( $dE/dx$ ) by passing particle via ionization and observed number of electrons from ionizations ( $dQ/dx$ )

- Leading models

- Birks model

- $$\frac{dQ}{dx} = \frac{A_B}{W} \frac{\frac{dE}{dx}}{1 + \frac{k_B}{\rho\epsilon} \frac{dE}{dx}}$$

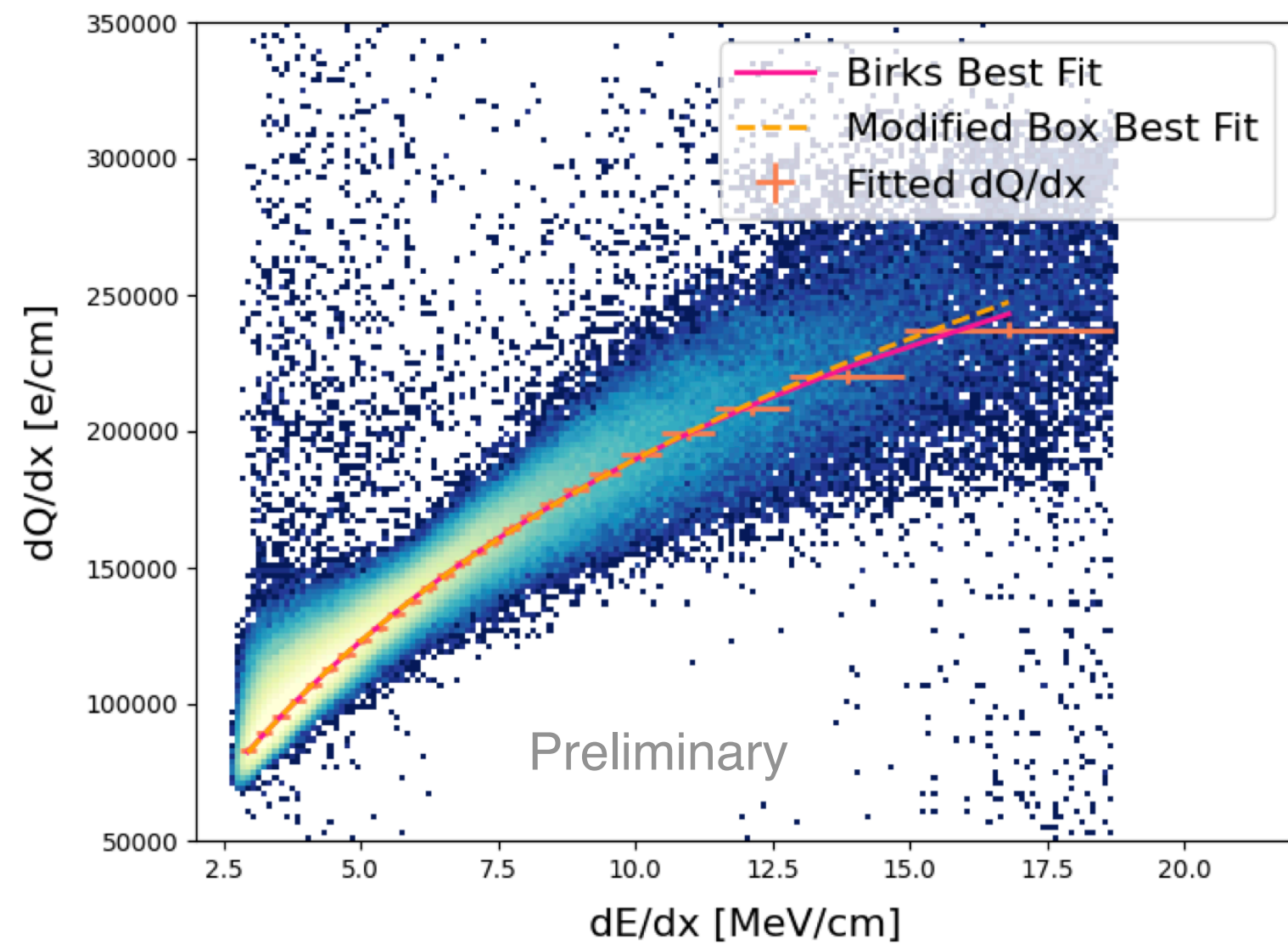
- Modified box model

- $$\frac{dQ}{dx} = \frac{1}{\rho\epsilon\beta'W} \log \left( \rho\epsilon\beta' \frac{dE}{dx} + \alpha \right)$$

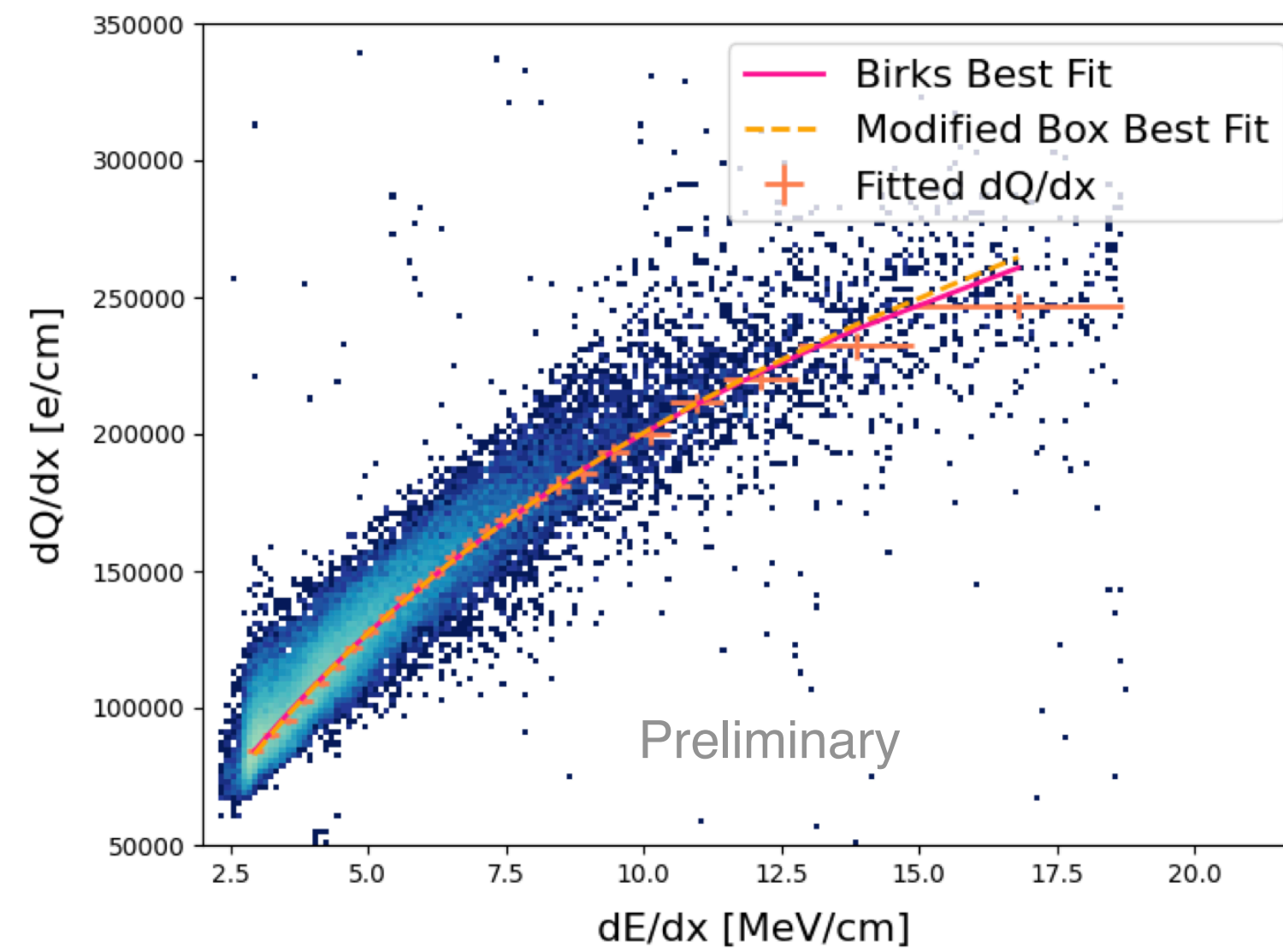
- $W = 23.6$  eV/electron (average energy to ionize argon atom),  $\epsilon =$  electric field strength (kV/cm), and  $\rho =$  density of liquid argon ( $\text{g}/\text{cm}^3$ )
      - $A_B$ ,  $k_B$ ,  $\alpha$ , and  $\beta'$  are fitted parameters

# LAr Properties : Electron - Ar<sup>+</sup> Recombination

- Perform fitting on dQ/dx distributions as function of residual range of stopping beam protons
  - Use Landau-Vavilov function to convert residual range into expected most probable value of dE/dx
- MC sample : recombination was simulated using the modified box model
  - Parameters from Acciarri, R. et al (ArgoNeuT Collab.), *JINST* 8 (2013) P08005



Fitting on MC sample



Fitting on data sample

	Mod. box $\alpha$	Mod. box $\beta'$ (kV/cm) (g/cm <sup>2</sup> )/ MeV	Birks $A_B$	Birks $k_B$ (kV/cm) (g/cm <sup>2</sup> )/ MeV
<i>Preliminary</i>				
MC input param.	0.93	0.212		
MC fitting result	0.911 ± 0.022	0.209 ± 0.004	0.825 ± 0.019	0.057 ± 0.004
Data fitting result	0.904 ± 0.020	0.208 ± 0.006		

Fitted parameters

# Future Plans

- ProtoDUNE-SP physics analyses
  - Secondary  $K^+$  analysis
    - Secondary  $K^+$  particle identification development
    - Understanding energy deposition of  $K^+$  inside the ProtoDUNE-SP
    - Secondary  $K^+$  production cross section measurement
  - Neutron argon cross section measurement
    - Using displaced proton signature from  $\pi^+$  beam events with various beam momenta
- New DUNE prototype detectors at the CERN neutrino platform
  - ProtoDUNE-HD (horizontal drift) and ProtoDUNE-VD (vertical drift)
  - Will be online in 1-year time window
  - More data with low energy beam ( $< 0.5$  GeV/c) will provide physical constraints for Ar nuclear properties and hadron - Ar interactions in phase spaces of the DUNE's neutrino interactions

# Summary

- Detector performance
  - ProtoDUNE-SP prototypes most of the components of a DUNE single-phase far detector module at 1:1 scale
  - By meeting or surpassing the DUNE requirements, the ProtoDUNE-SP proved
    - Effectiveness of the single-phase DUNE far detector design
    - Execution of the fabrication, assembly, installation, commissioning, and operations phases
- Physics
  - Hadron - Ar scattering cross section measurements
    - Three 1D inelastic cross section and one 2D  $\pi^+$ - Ar charge exchange cross section measurements will be published soon
    - Secondary  $K^+$  analysis and neutron - Ar cross section measurement are in progress
  - Liquid argon properties
    - Recombination result will be published soon
    - Electron diffusion study is on the way
  - For more details, please check the annual report to the CERN-SPSC : [CERN-SPSC-2023-017](#)
- Future runs with ProtoDUNE-VD and ProtoDUNE-HD will start in one year - stay tuned!

# Back Up

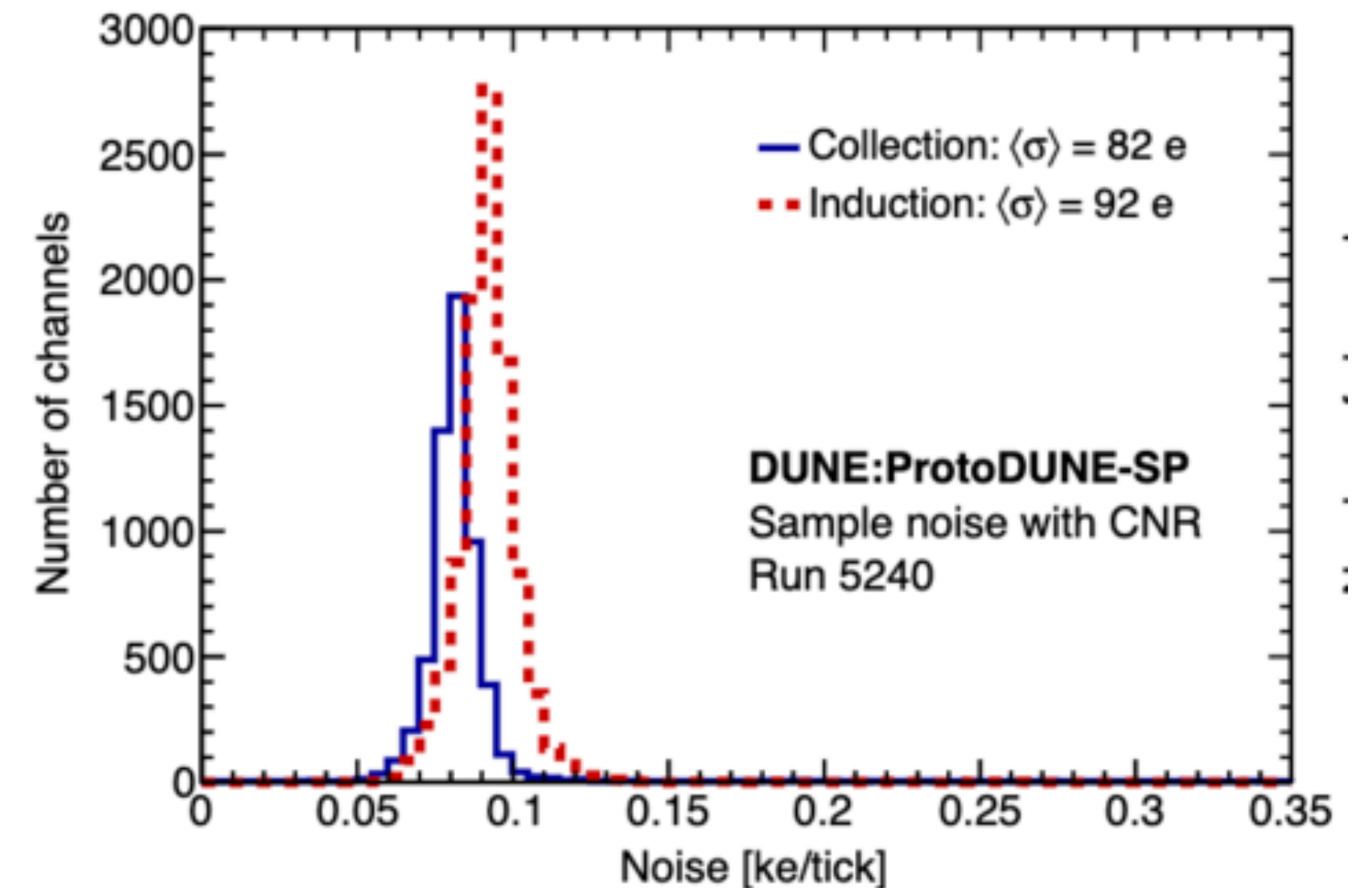
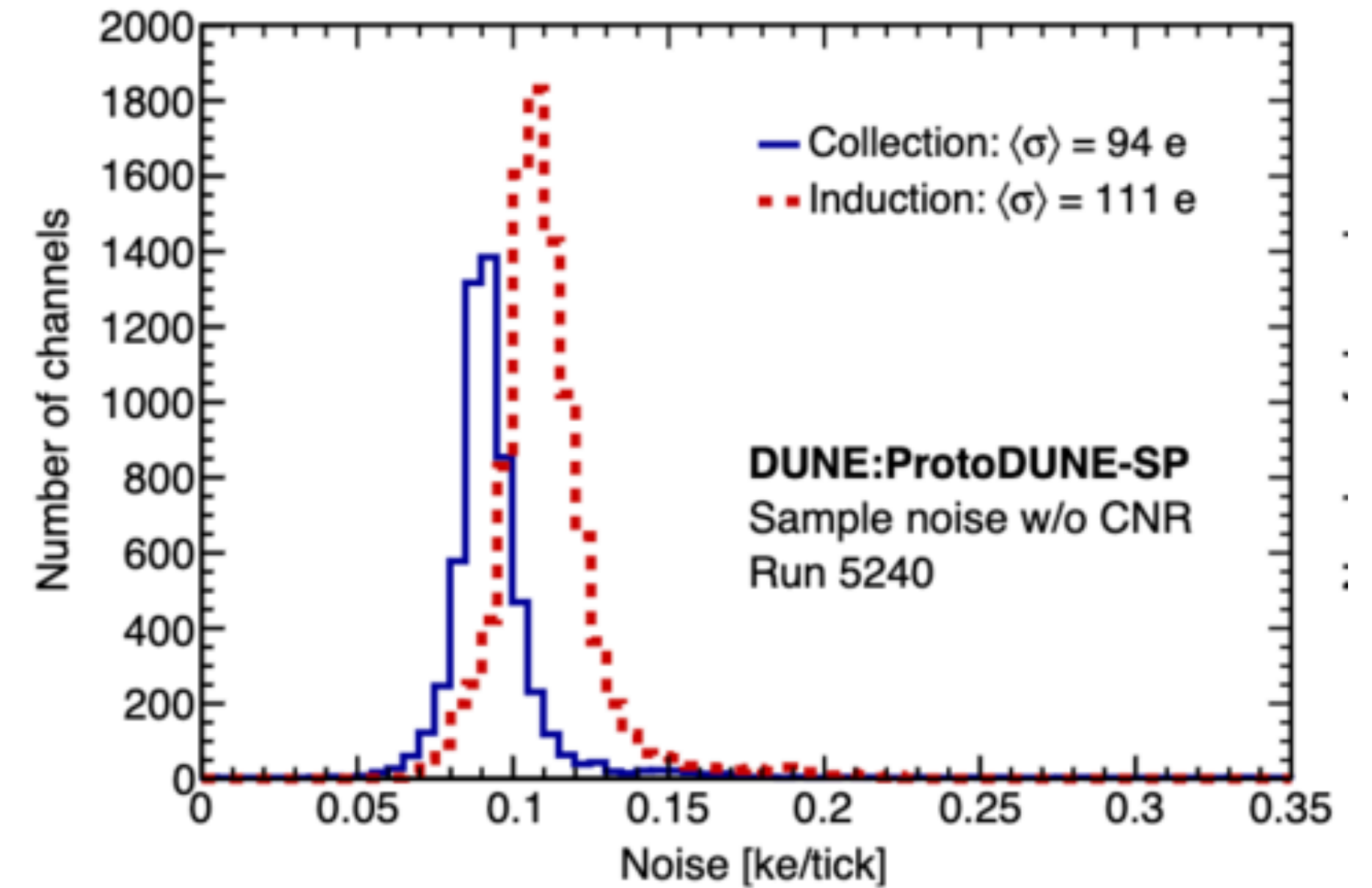
# ENC

JINST 15 (2020) 12, P12004

For charge deposits much faster than the nominal  $2 \mu\text{s}$  shaping time of the amplifier, the area  $A$  of the resulting signal pulse is proportional to the height  $h$  and shaping time  $\tau$ :  $A = Kh\tau$ . The shape is well understood [39] and has been verified with fits of the ProtoDUNE-SP pulser signals. Numerical integration gives  $K = 1.269/\text{tick} = 2.538/\mu\text{s}$ . For such fast signals, the charge may be deduced directly from the pulse height and its standard deviation is called the ENC (equivalent noise charge) [39]. The ProtoDUNE-SP signals are slower than this but the ENC is a standard metric and is presented here to allow comparison with results from other detectors.

The ratio of ENC to sample noise defined here is  $A/h = K\tau$ . The actual shaping time varies from channel to channel but has central value around  $2.2 \mu\text{s}$  which gives a ratio of ENC to sample noise of 5.58. With this factor and the above values for the sampling noise, the mean ENC for the collection channels is 530 e before correlated noise removal and 430 e after. The corresponding numbers for the induction channels are 620 e and 500 e. These noise values are similar to the 500-600 e values obtained from bench measurements with a prototype FEMB at LN2 temperature [3].

- Raw (without correlated noise removal)
  - Collection plane :  $94 \text{ e} \times 5.58 = 524.5 \text{ e}$
  - Induction plane :  $111 \text{ e} \times 5.58 = 619.4 \text{ e}$



# H4 VLE

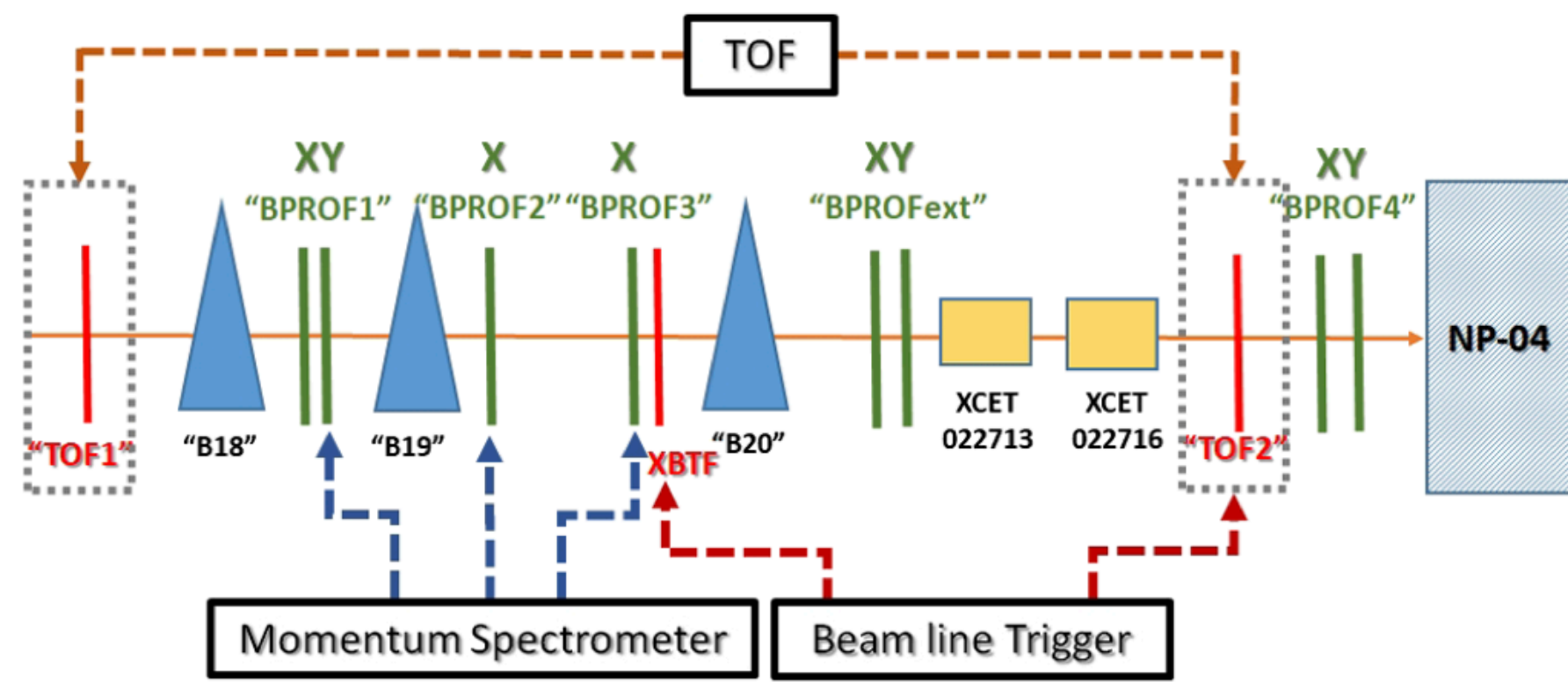


FIG. 10. An overview of the H4-VLE instrumentation, as discussed in the text.

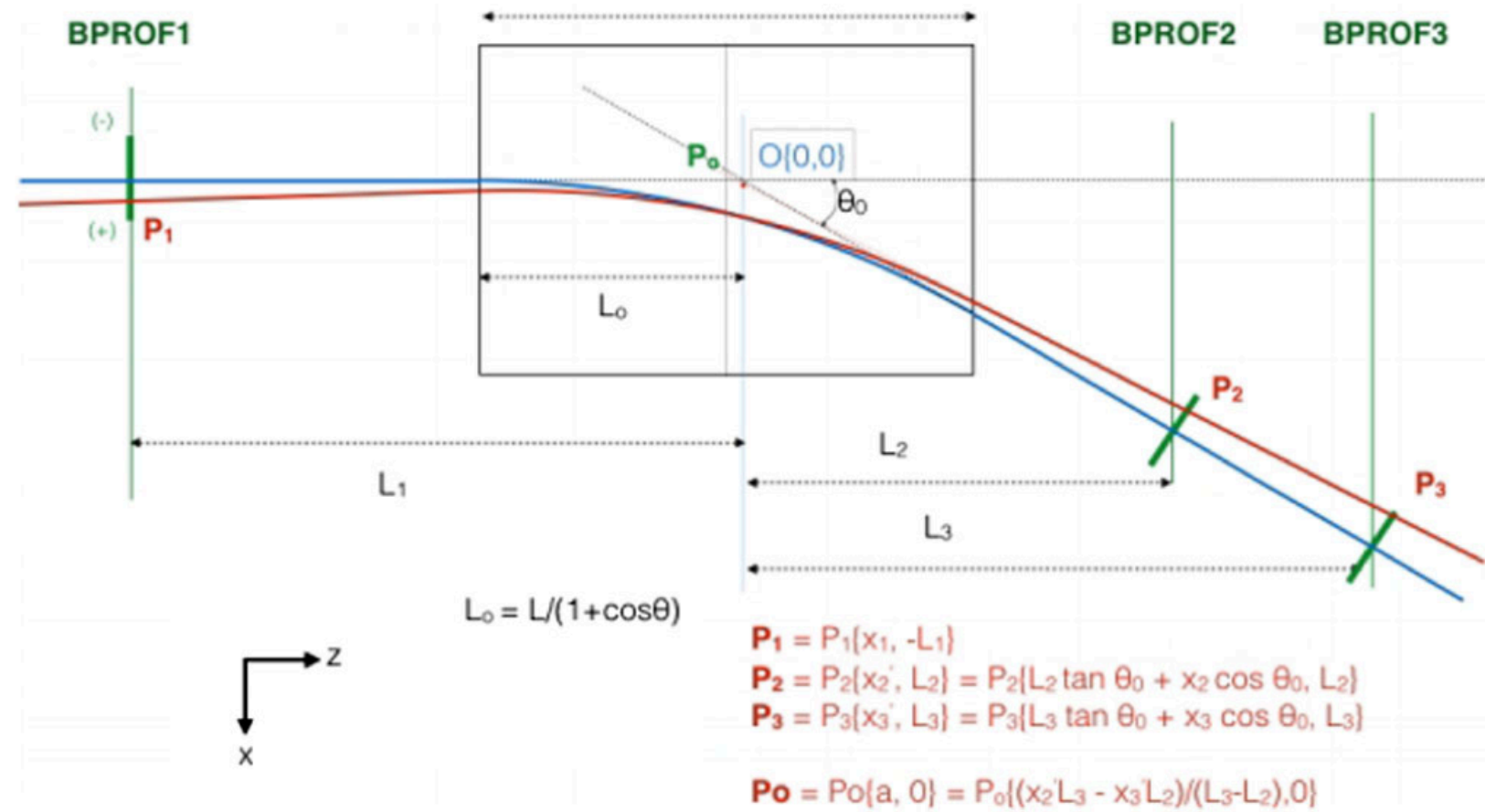
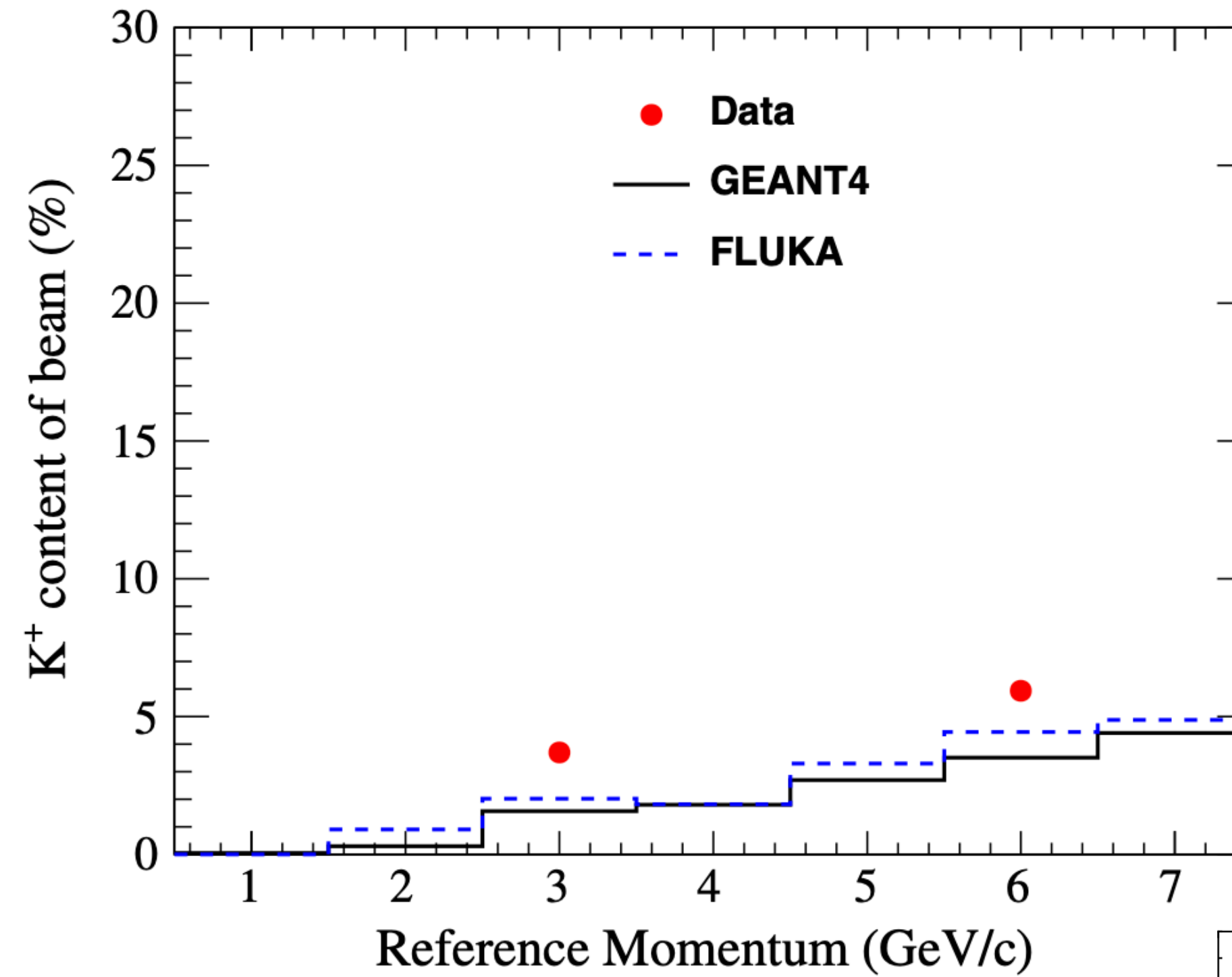
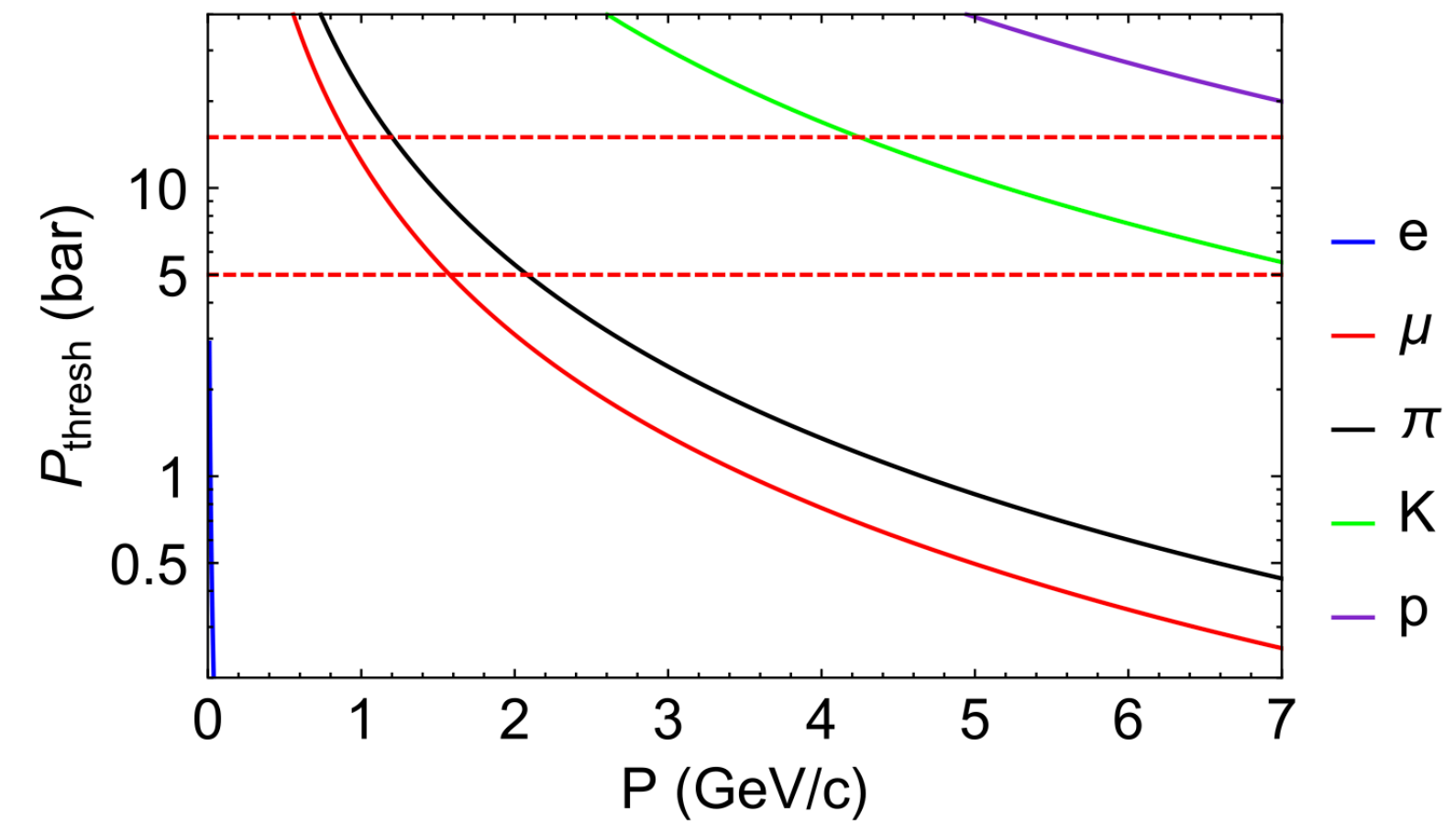


FIG. 17. Schematic of the spectrometer principle, taken from [26].

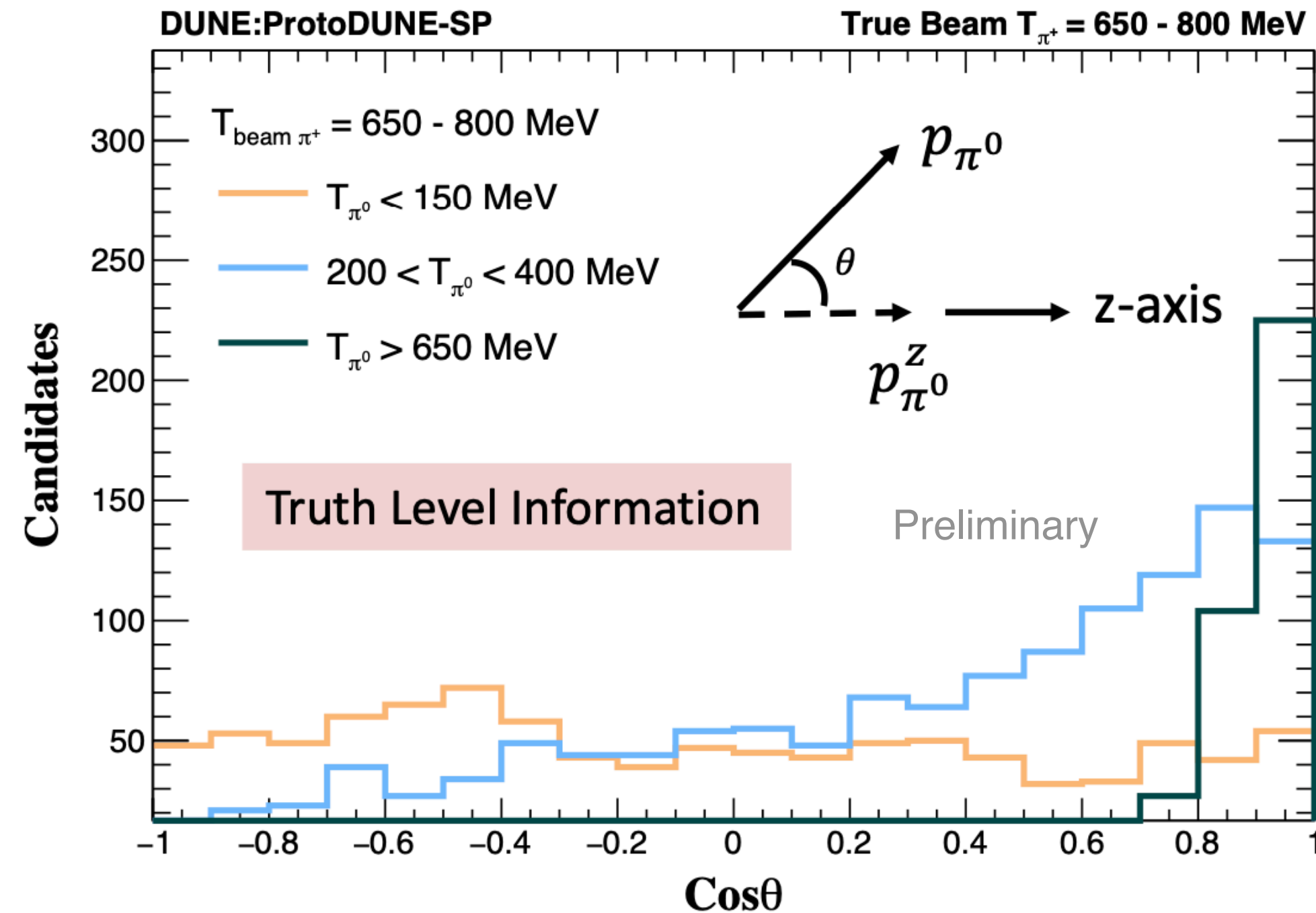
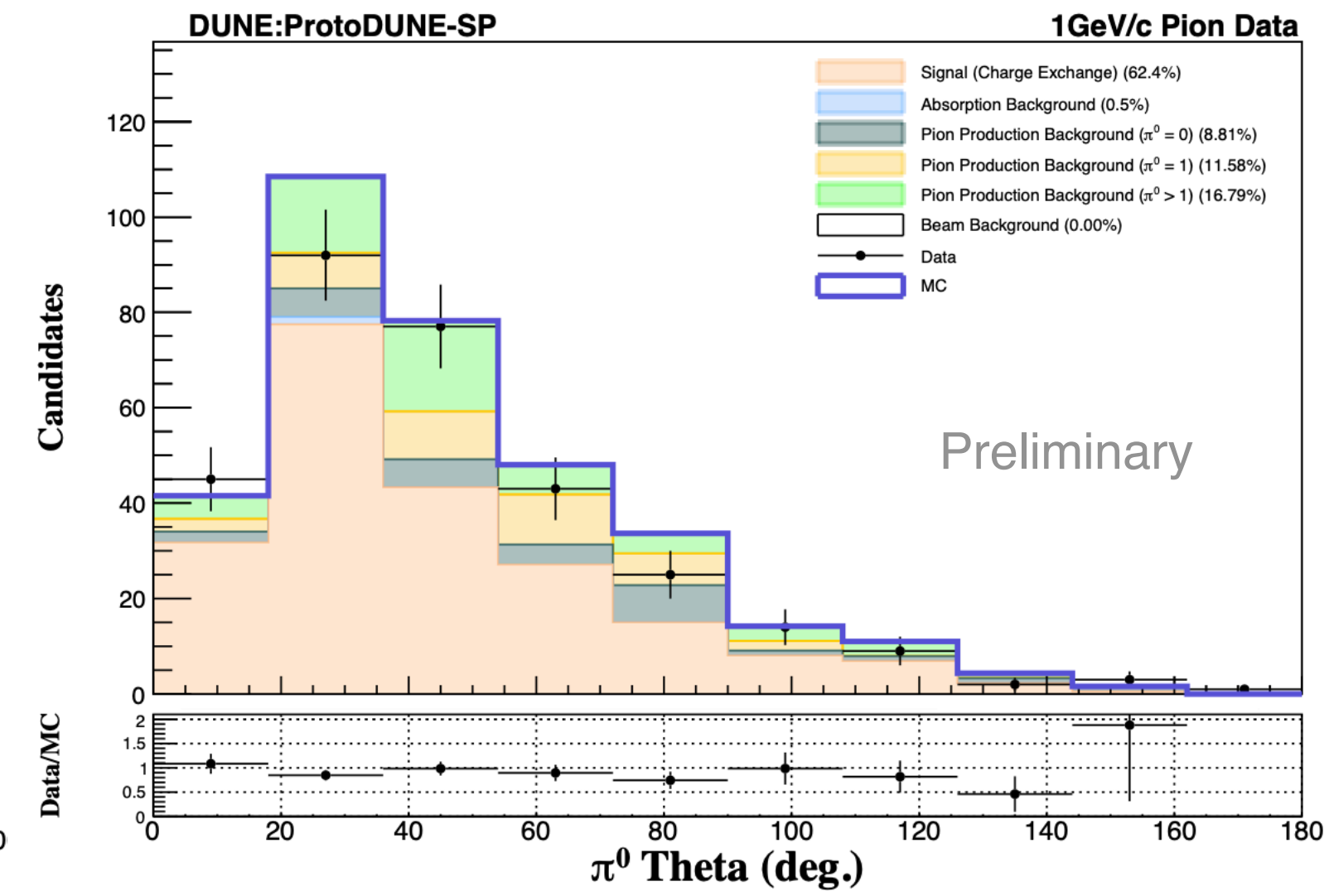
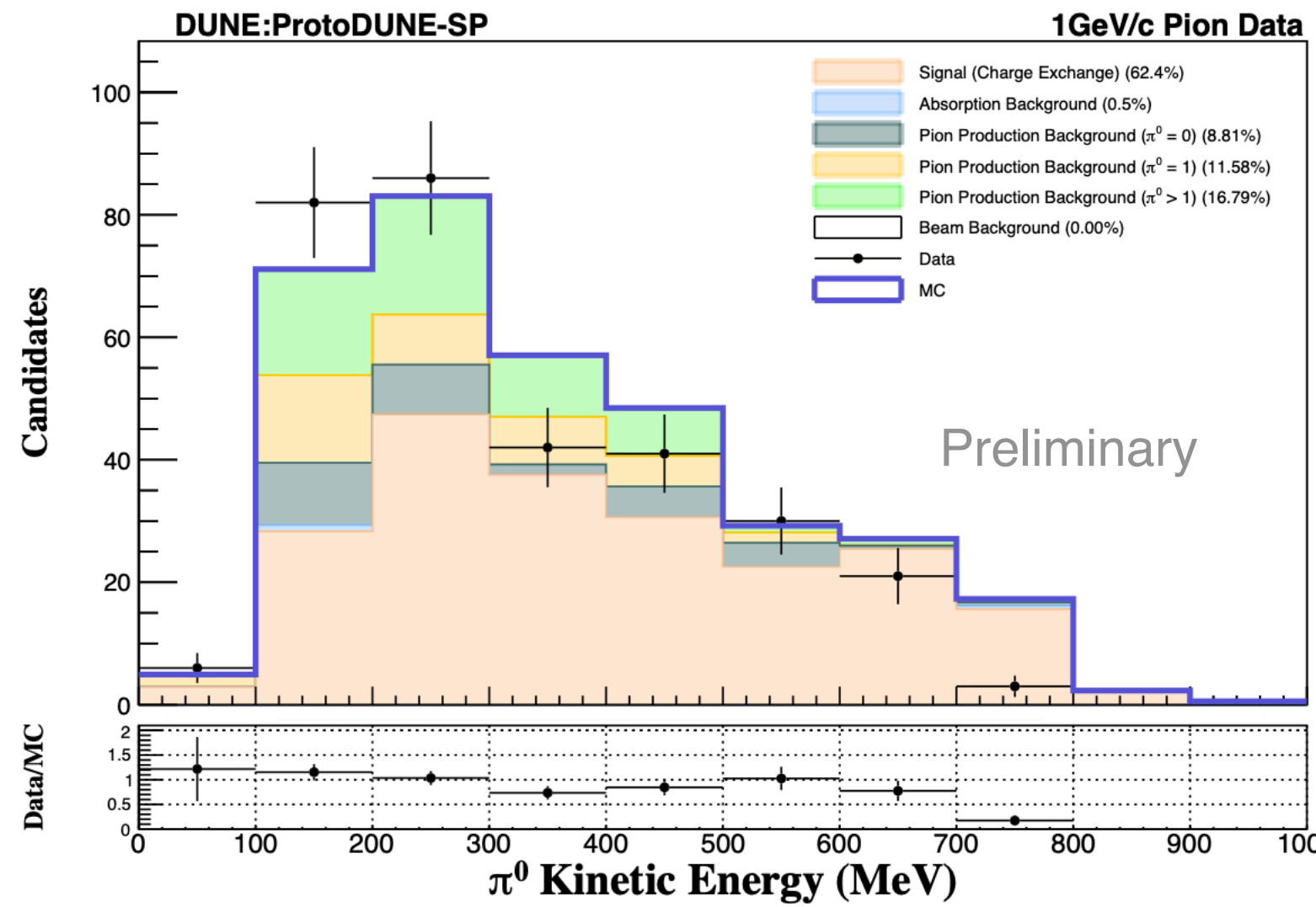
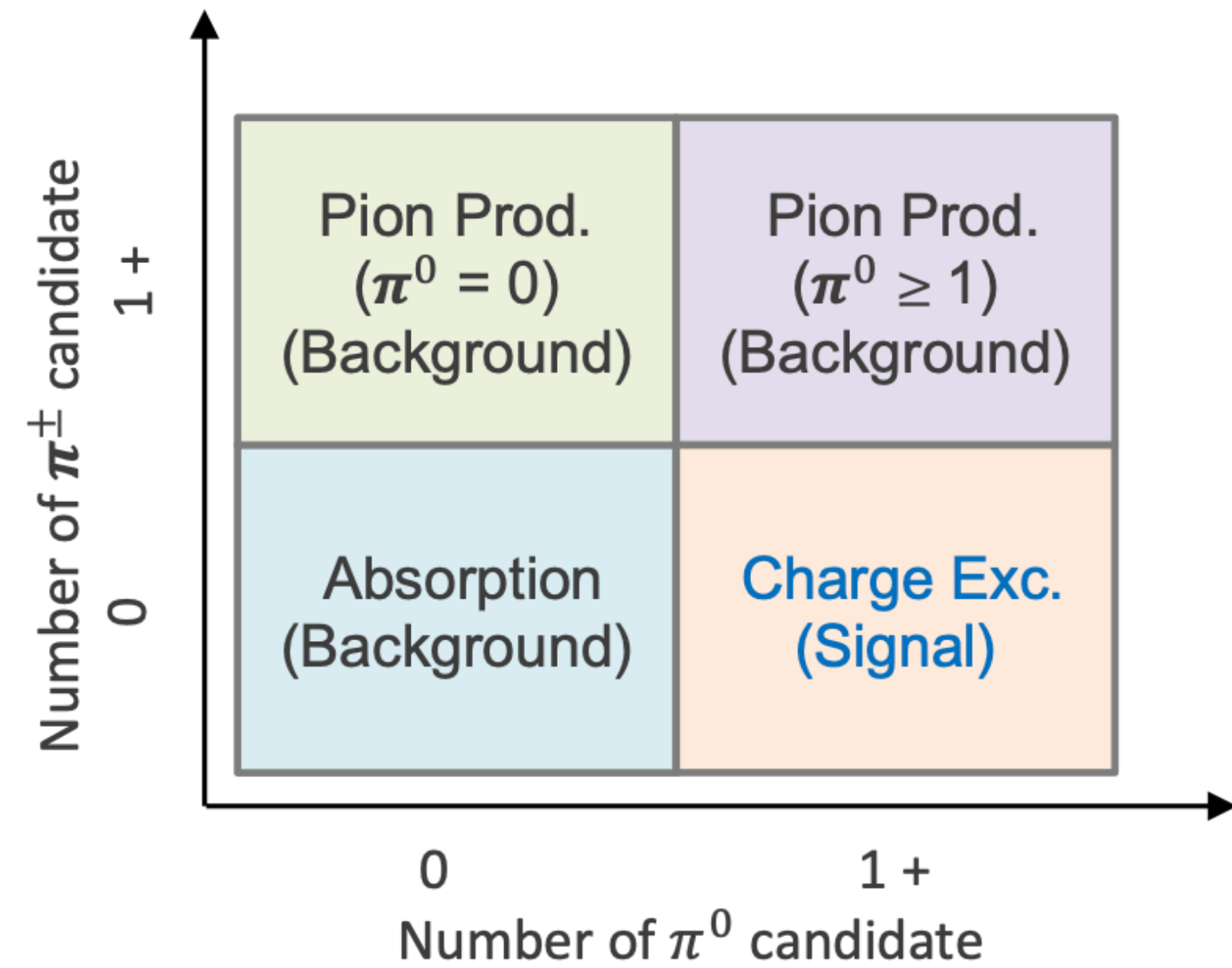


$$\cos \theta = \frac{M \cdot [\Delta L \cdot \tan \theta_0 + \Delta \chi \cdot \cos \theta_0] + L_1 \cdot \Delta L}{\sqrt{[M^2 + L_1^2][(\Delta L \cdot \tan \theta_0 + \Delta \chi \cdot \cos \theta_0)^2 + \Delta L^2]}} \quad (3)$$

$$p = \frac{299.7924}{\theta} \times \int_0^{L_{\text{mag}}} (B \cdot dl) \quad (4)$$



# Charge Exchange

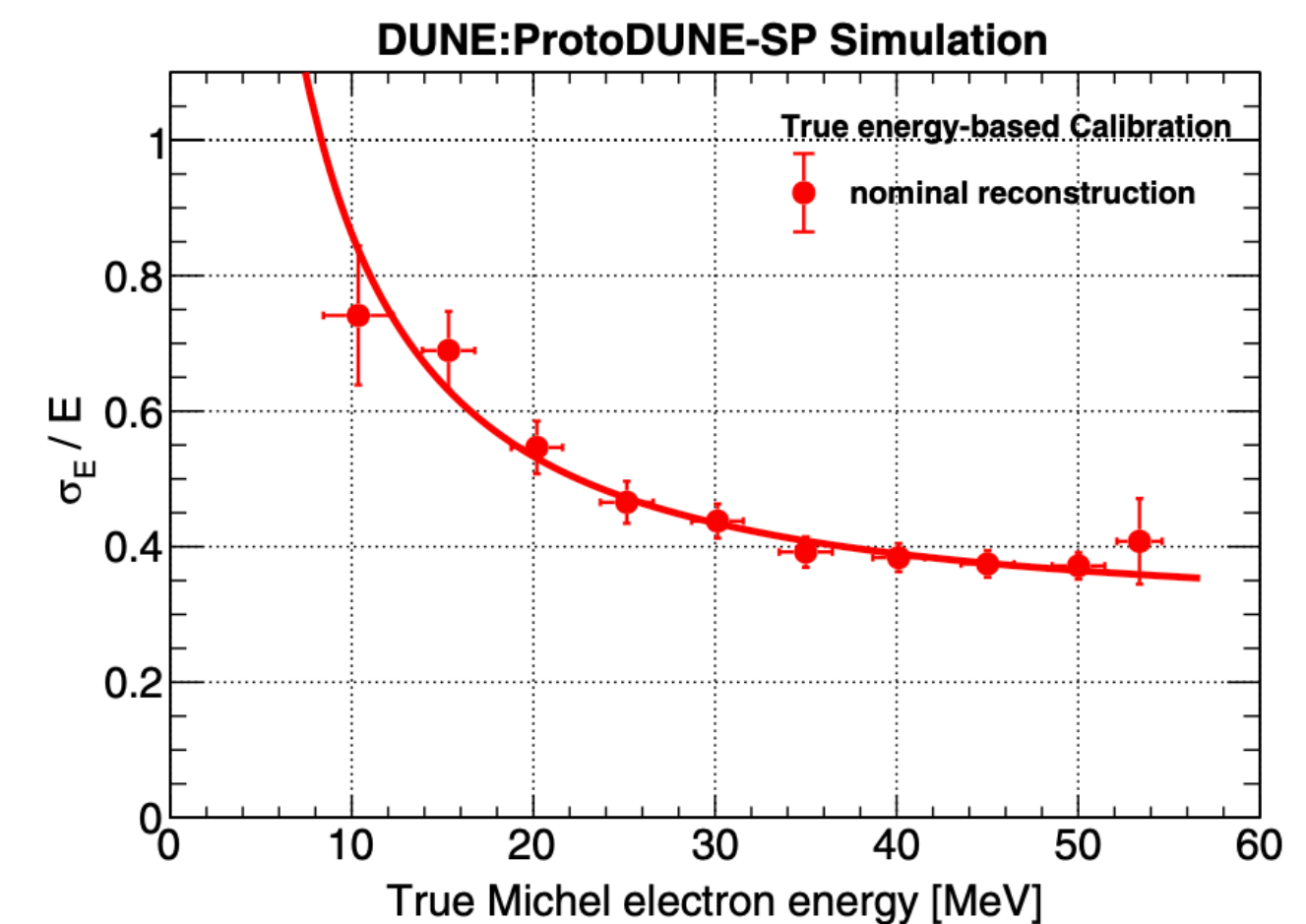
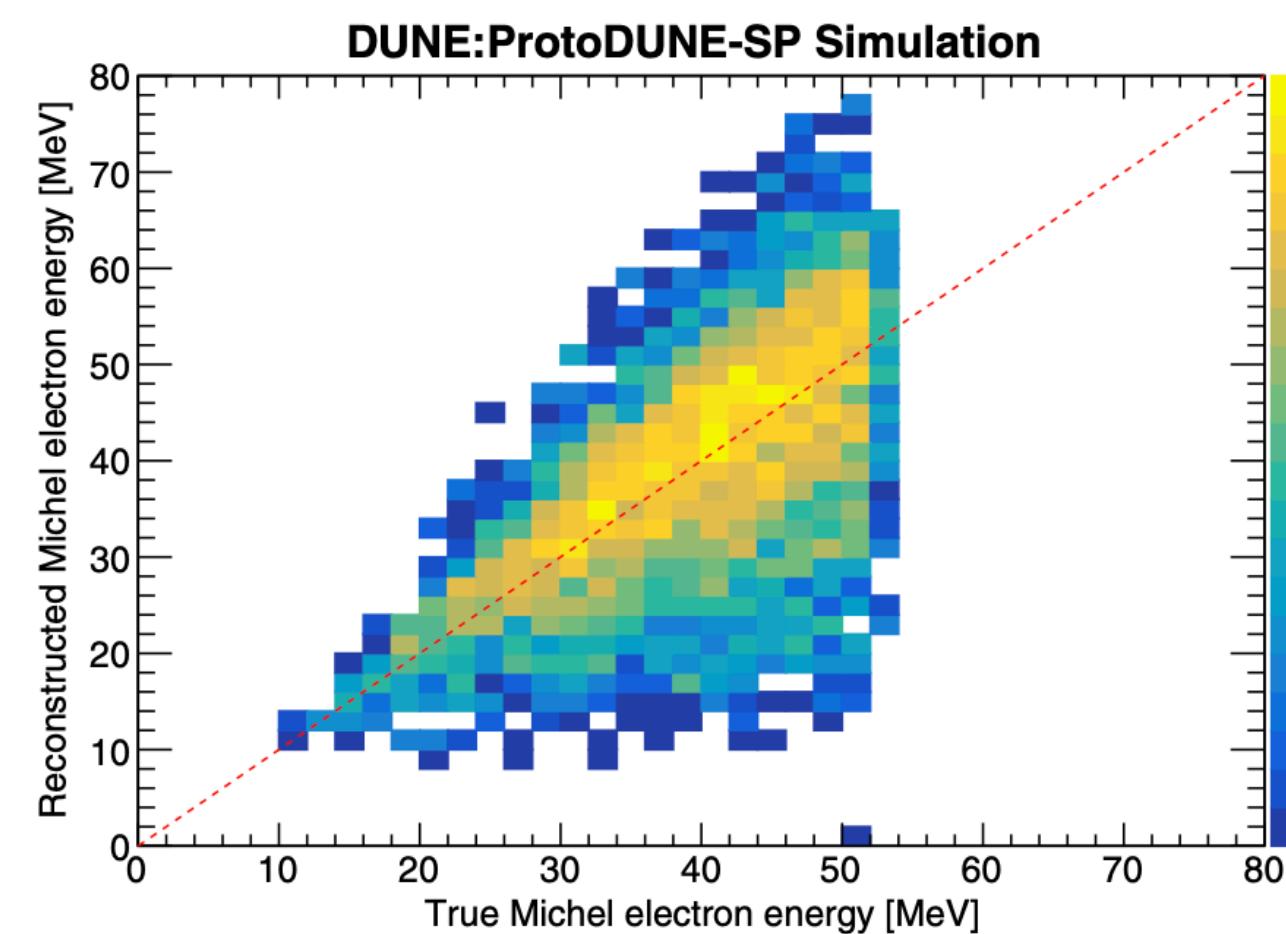
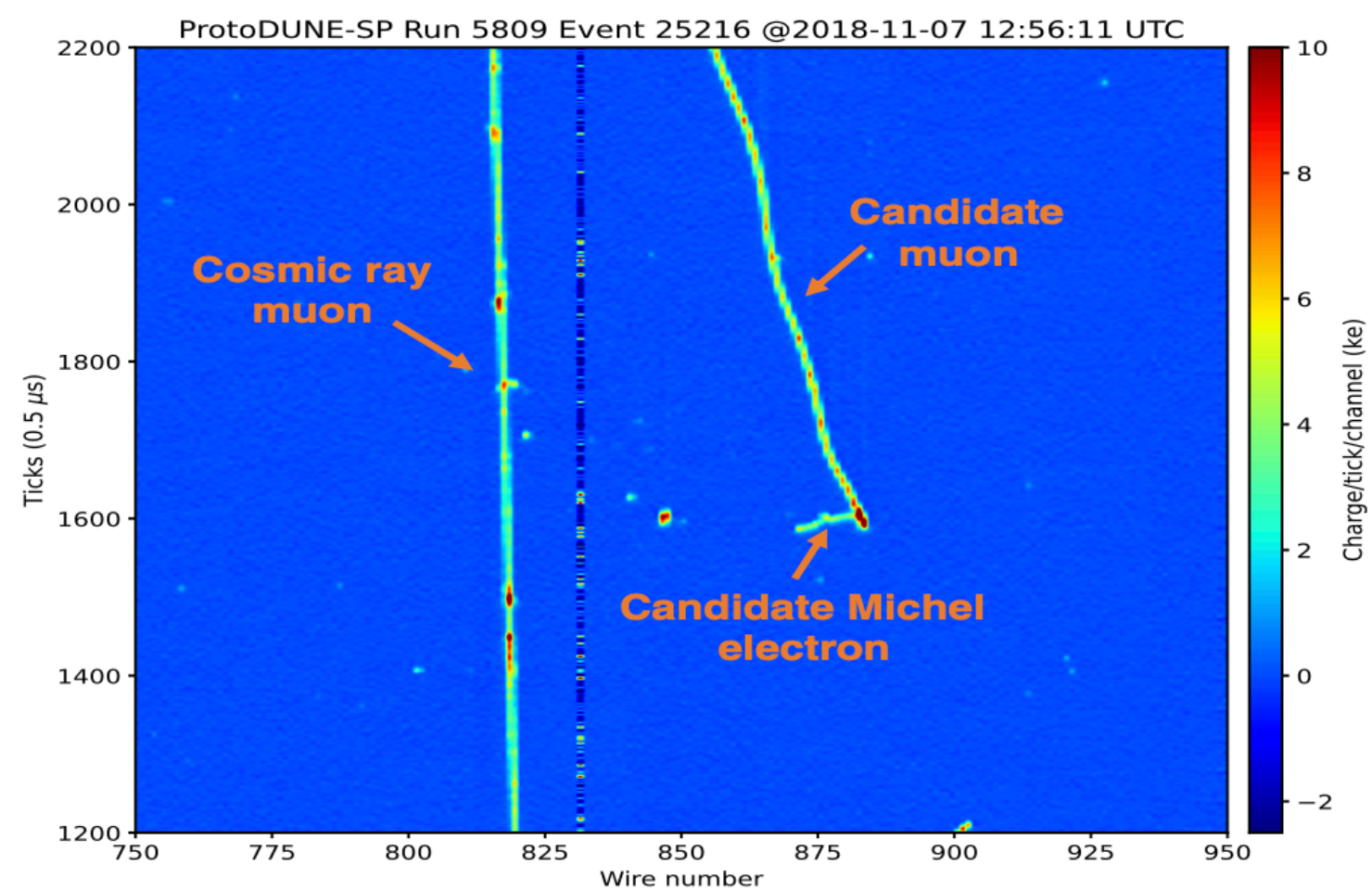
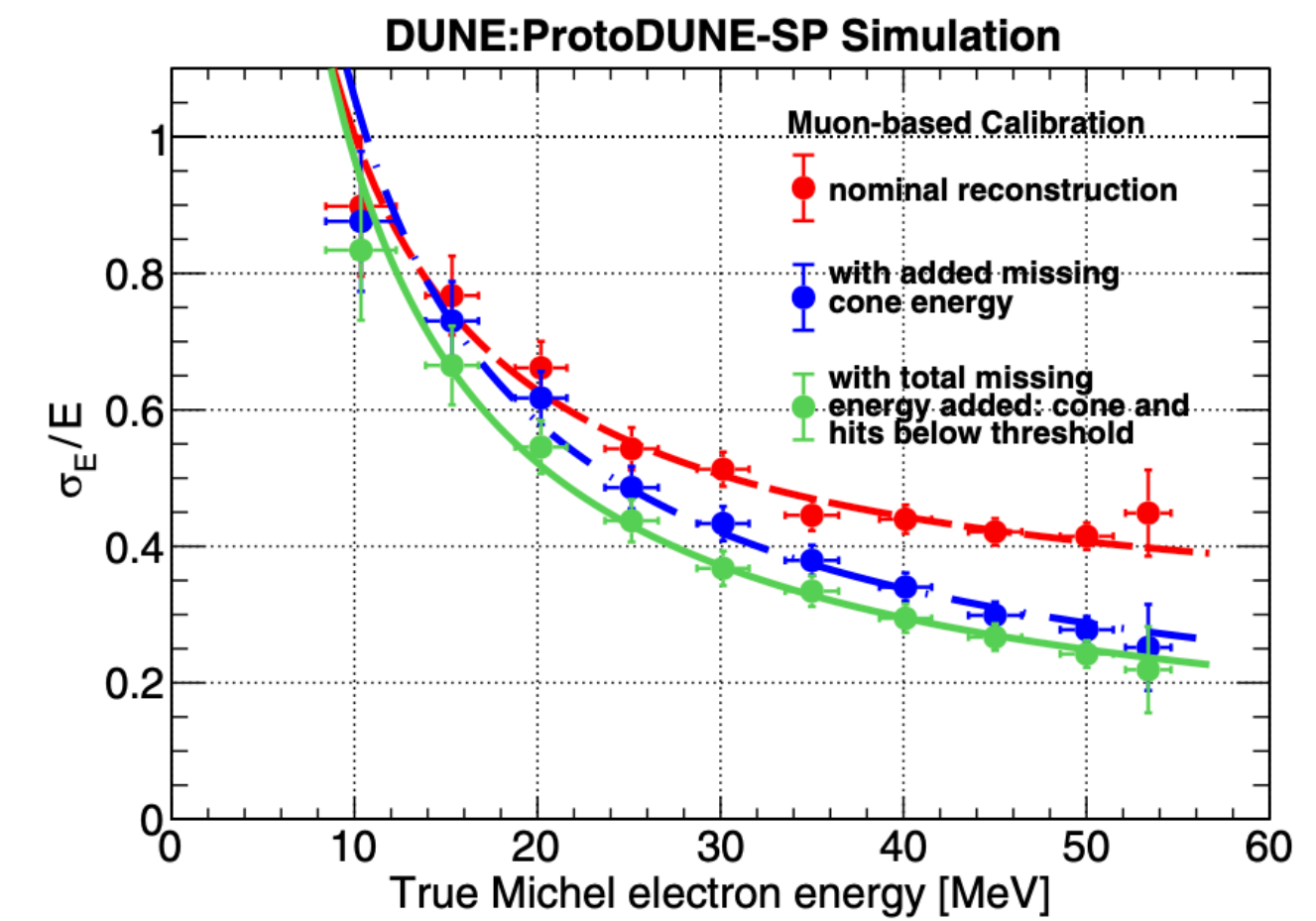
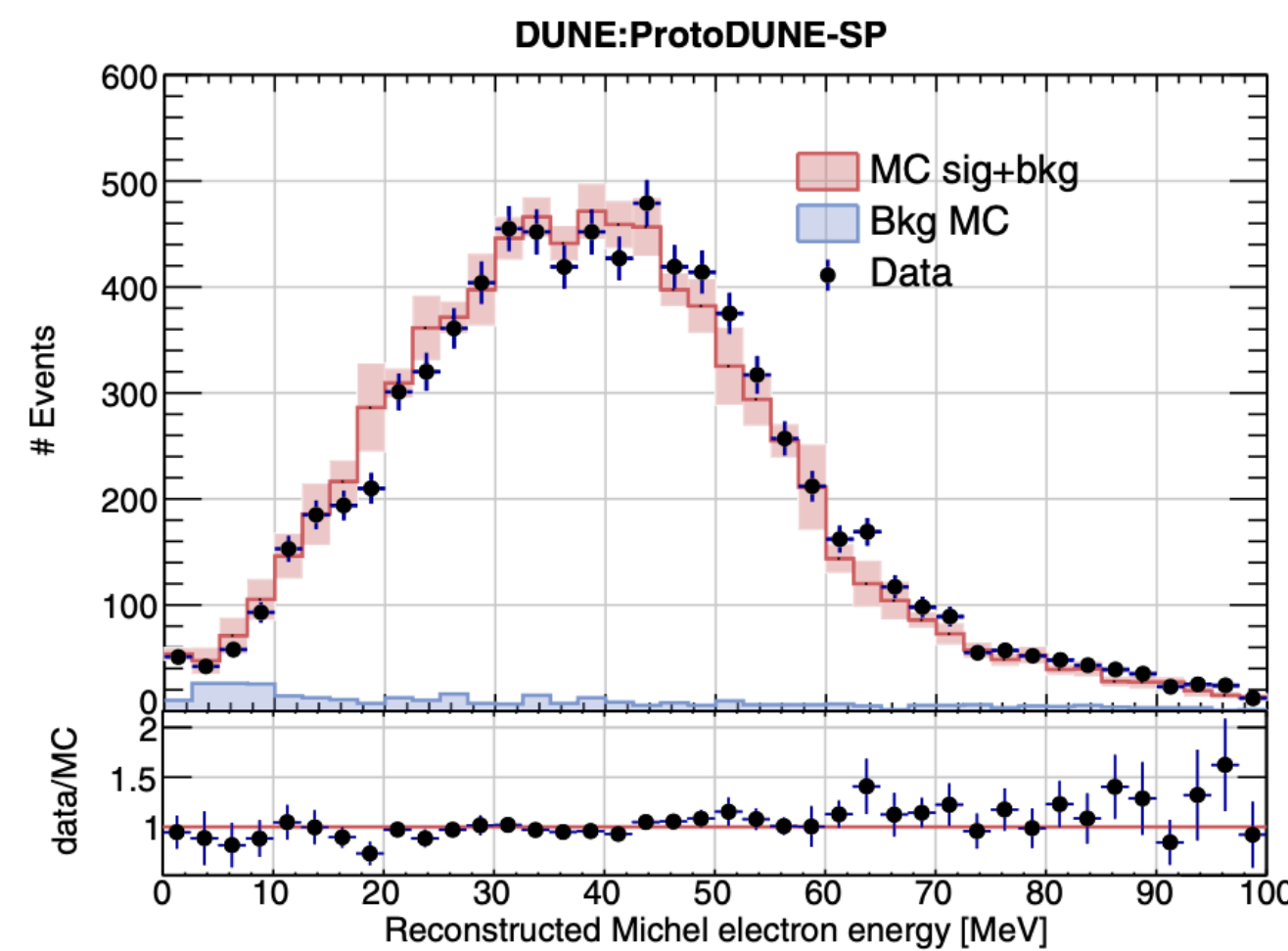
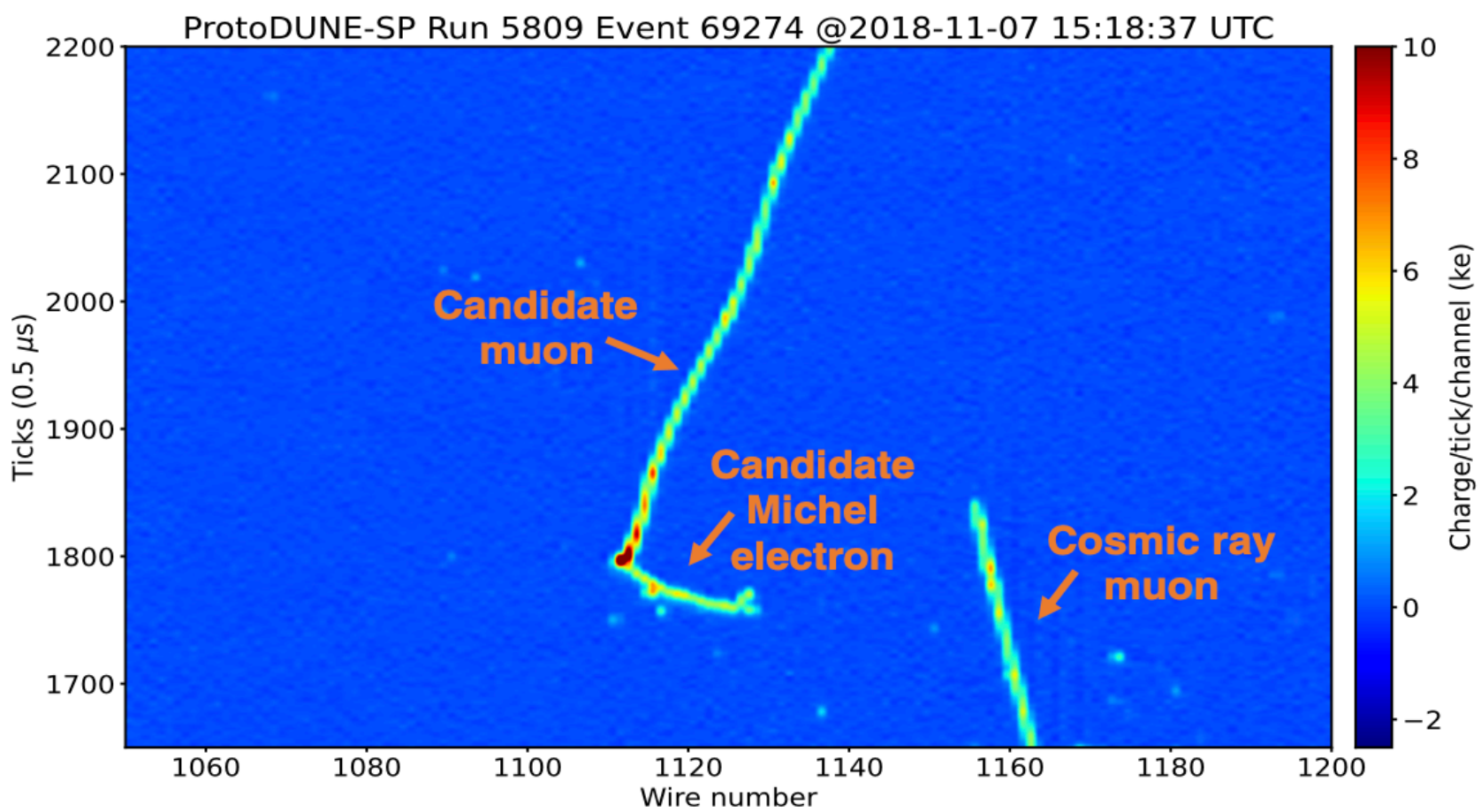




# Low Energy Electron ID and Reco.

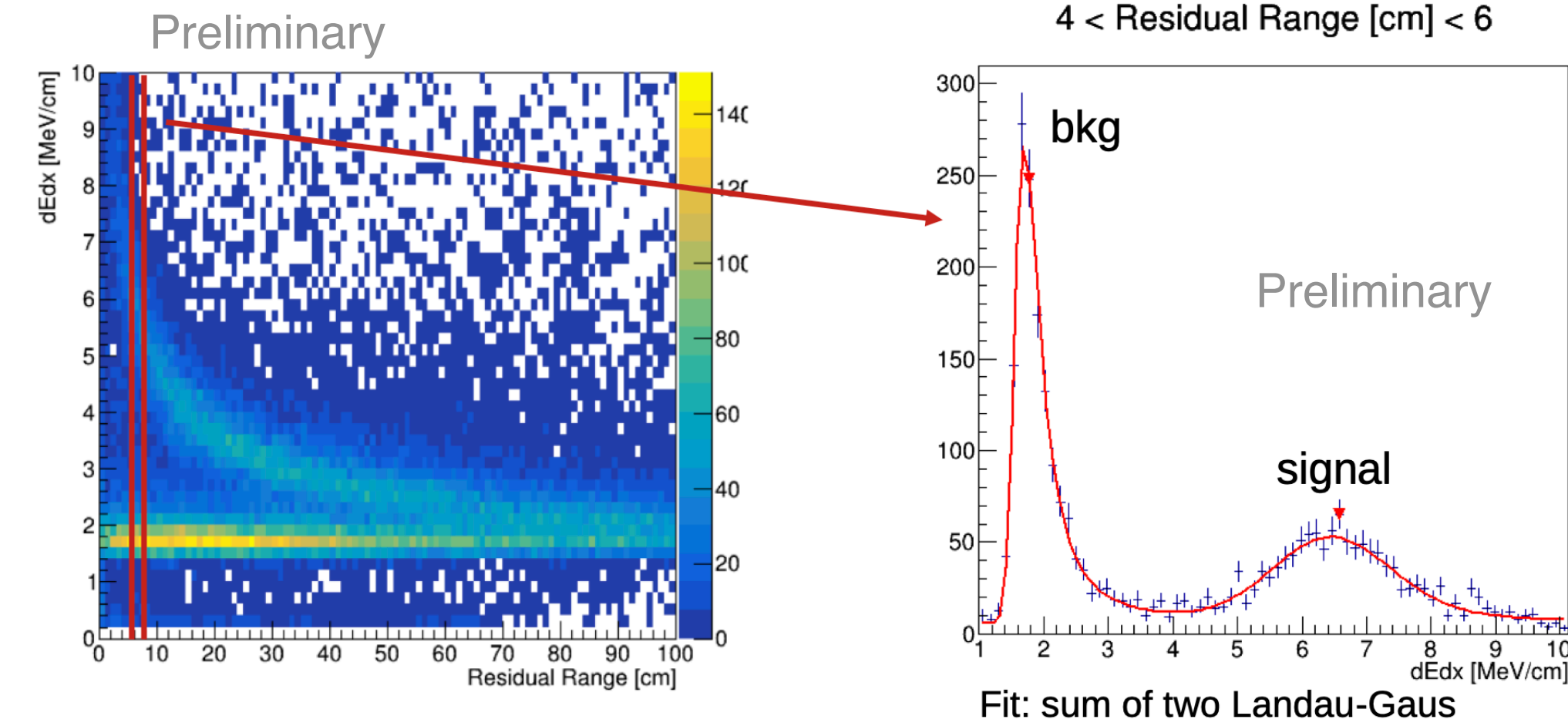
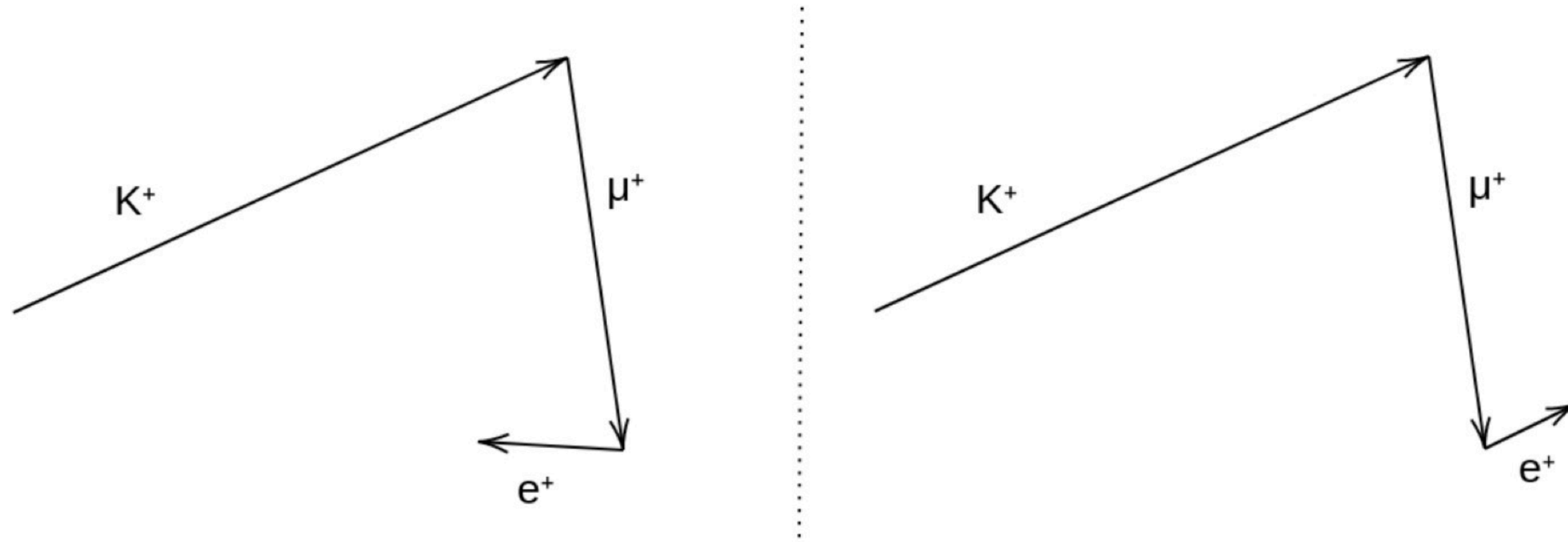
- Study using Michel electrons from muon decays

*Phys.Rev.D* 107 (2023) 9, 092012

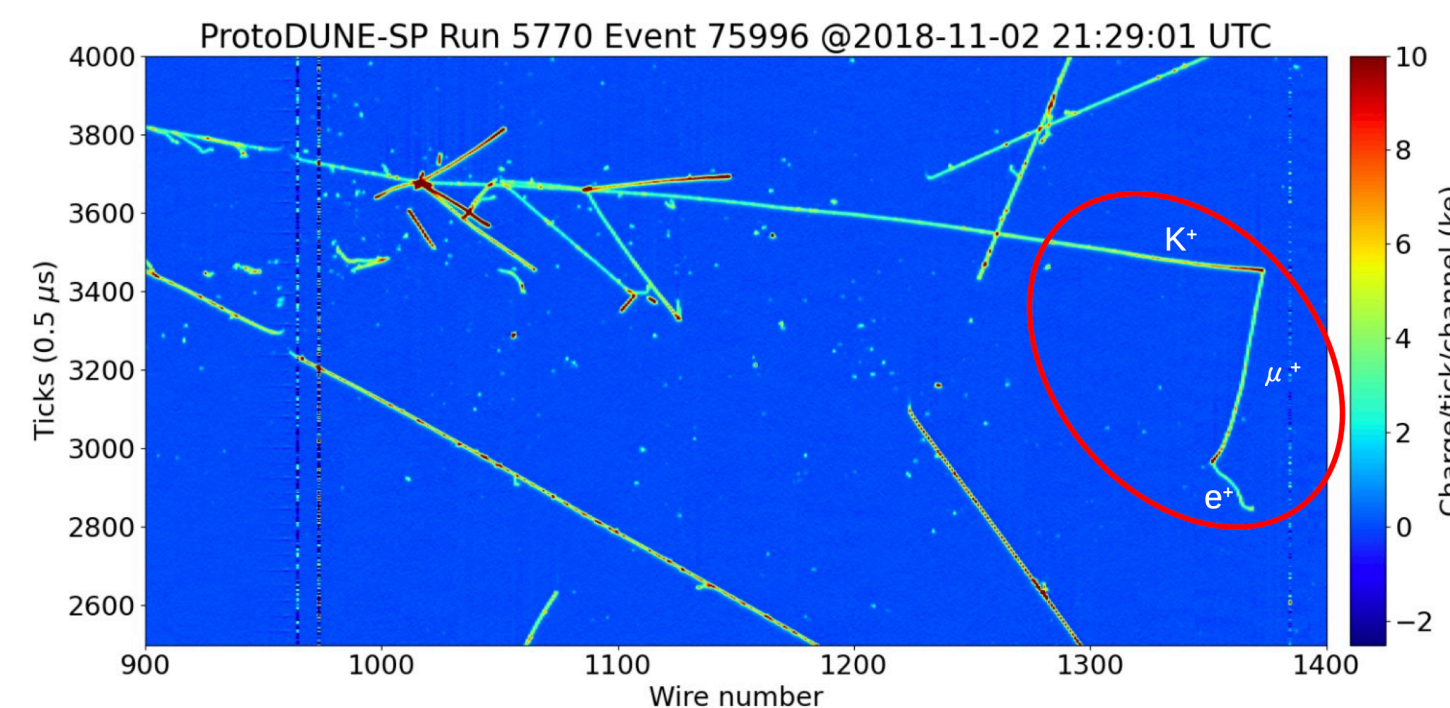
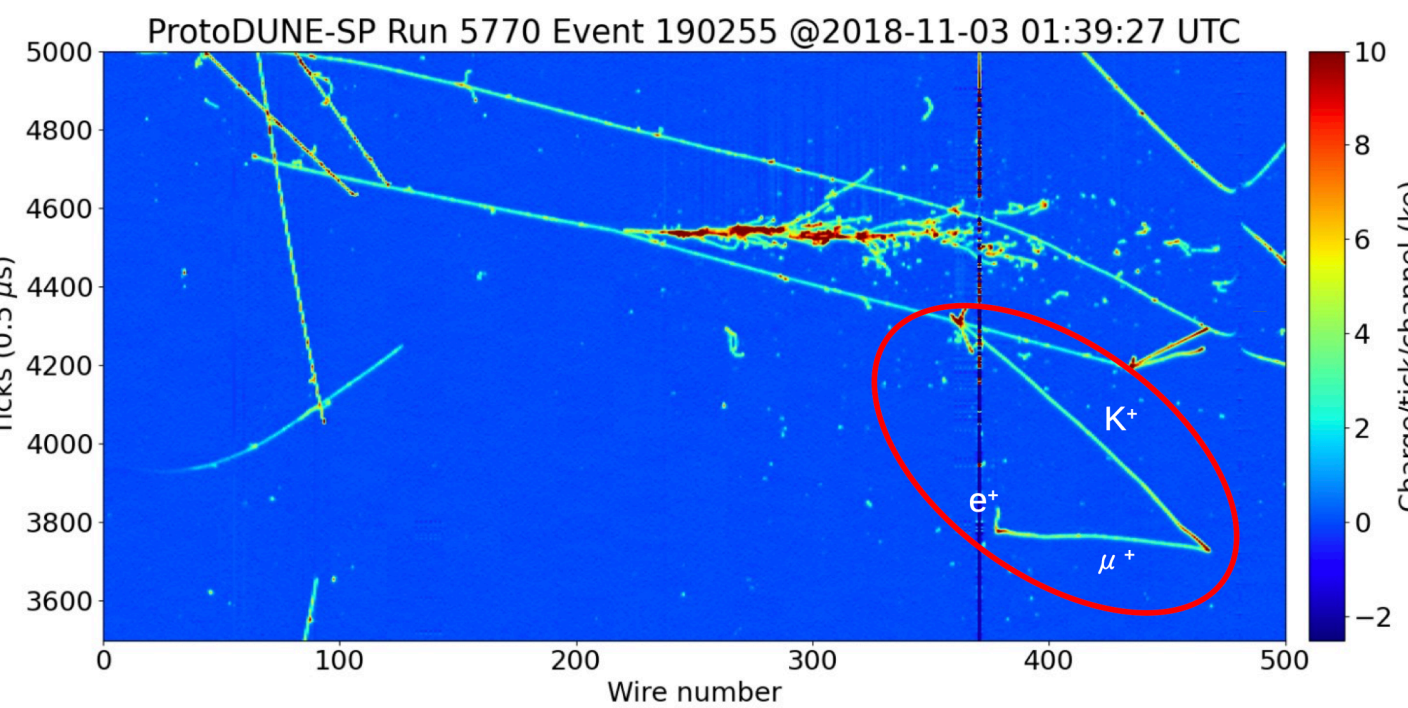


# Secondary Charged Kaon Study

- Using 'hook' signature of stopping secondary charged kaons

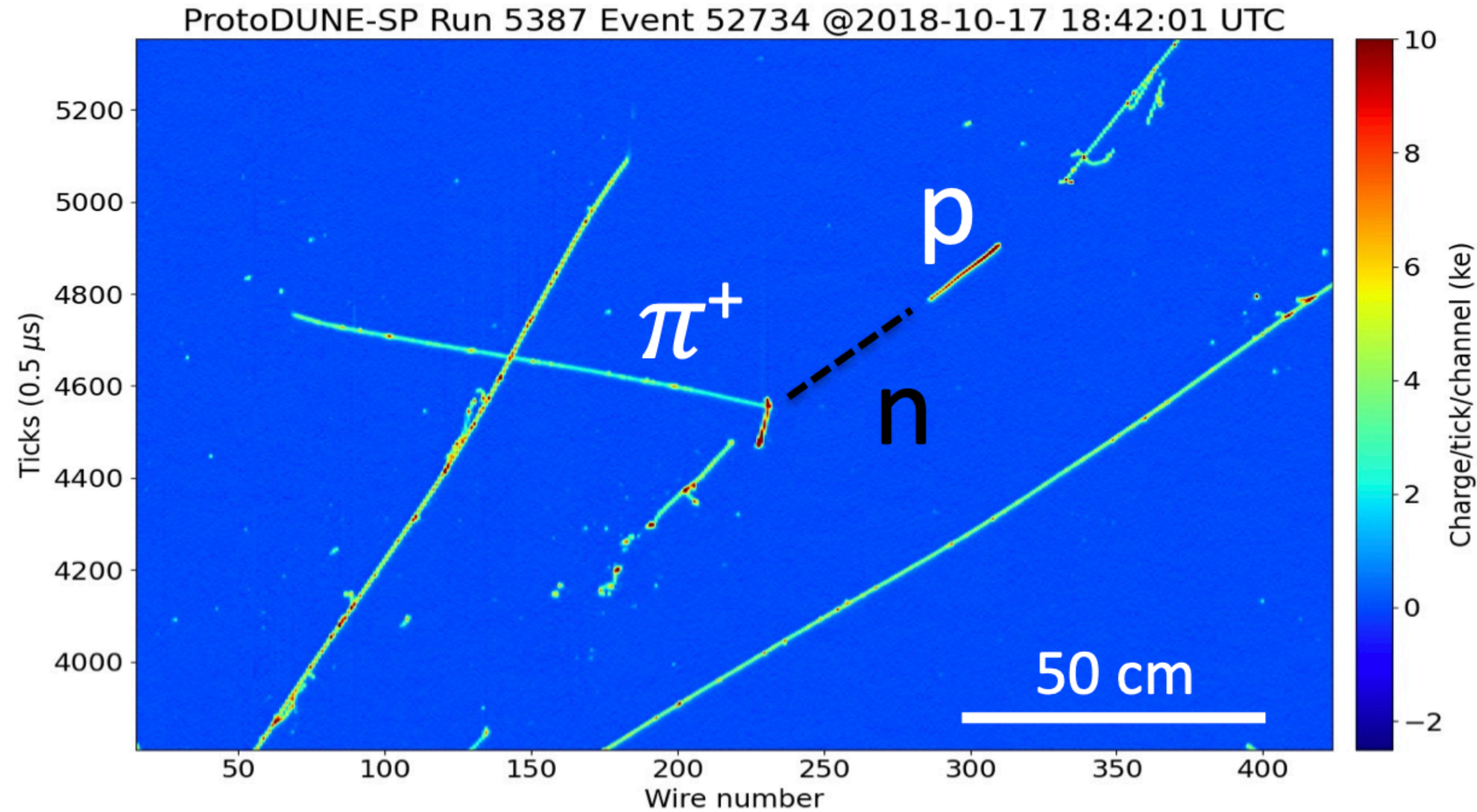


- Understand energy deposition of secondary charged kaons



# Neutron Inelastic Cross Section Measurements

- Using displaced proton signature in charged pion beam events



- Disjoint proton candidates ( $r > 5\text{cm}$ ) from reco  $\pi$  vertex
- Signal** : Particles that result from  $\geq 1$  true neutron inelastic collision(s)
- Background** : particles having no neutron ancestors that underwent any inelastic collisions

