

Singularities in ion channeling

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Theory of rainbows

Quantum rainbows

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#### Channels in crystals



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Figure 1: (a) The unit cell of the Si crystal. Projection of the crystal lattice on: (b) [111], (c) [100], and (d) [110] planes, respectively.

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#### Channels in carbon nanotubes

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Figure 2: (a) Unit cell of the chiral single-walled carbon nanotube  $C_h = (11,9)$ ; (b) Unit cell of the graphite plane. (c) The normal cross-section through the bundle of single-walled carbon nanotube.



#### Channeling effect

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Figure 3: Schematic of the ion channeling process.

Positions of atoms:

$$r_{sl} = \rho_s + z_l e_z, \quad s = 1, 2, \dots, N, \quad l = 1, 2, \dots, M.$$

Potential energies of ion-solid interaction:

$$U(\boldsymbol{r}) = \sum_{sl} V_{sl} = \sum_{sl} V(\boldsymbol{r} - \boldsymbol{r}_{sl}), \quad V(\boldsymbol{\rho}) = \frac{Z_1 Z_2 e^2}{4\pi\varepsilon_0 \|\boldsymbol{\rho}\|} \Phi_{\rm sc} \left(\frac{\|\boldsymbol{\rho}\|}{a_{\rm sc}}\right).$$

Continuum approximation:

$$U(\mathbf{r}) \approx \sum_{s} U_{s}(\boldsymbol{\rho}) = \sum_{s} \frac{1}{d} \int_{z} V(\mathbf{r} - \boldsymbol{\rho}_{s}) dz, \quad \Theta_{c} = \sqrt{\frac{U(\boldsymbol{\rho}_{s} + a_{\mathrm{sc}}\boldsymbol{e}_{z})}{E}}$$



#### Model of crystal rainbow

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Rainbow model For high energies channeling is considered as a single small angle scattering event on the crystal potential. Momentum approximation

$$oldsymbol{ heta}(oldsymbol{b}) = -rac{1}{2E} \sum_{s=1}^{M} \sum_{l=1}^{N} \int_{z} 
abla V_{sl}(oldsymbol{b}) = -rac{L}{2E} 
abla \sum_{s=1}^{M} U_{s}(oldsymbol{b})$$

defines a mapping  $b \to \theta$ . Differential cross-section

$$\sigma_{\text{diff}} = \frac{1}{|J|}, \quad J(\boldsymbol{b}) = \frac{\partial \theta_x}{\partial x_0} \frac{\partial \theta_y}{\partial y_0} - \frac{\partial \theta_x}{\partial y_0} \frac{\partial \theta_y}{\partial x_0}$$



Rainbow lines in the impact parameter (IP) plane are solutions of the equation

$$J(\boldsymbol{b}) = 0.$$

Their image in the scattered angle (SA) plane are called angular rainbow lines.



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Figure 4: (a) Rainbow line in the TA plane for 7-MeV protons transmitted through  $\langle 110 \rangle$  channel of 150-nm long Si crystal, assuming Lindhard's potential. (b) Corresponding experimental angular distribution. Open circles correspond to angular coordinates of atomic strings.



#### Elements of catastrophe theory

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be a function family depending on state variables  $x_1, \ldots, x_m$  and parameters  $c_1, \ldots, c_n$ .

 $F(x_1,\ldots,x_m;c_1,\ldots,c_n),$ 

On variations of parameters, family F changes the number and type of its critical points in the vicinity of which it is equivalent to the certain polynomial prototype

 $G(\chi_1,\ldots,\chi_r;\alpha_1,\ldots,\alpha_s),$ 

depending on new state variables  $\chi_1, \ldots, \chi_r$  and parameters  $\alpha_1, \ldots, \alpha_s$  such that  $r \leq m, s \leq n$  and there are equivalences between

 $abla_x F = 0 \Leftrightarrow \nabla_\chi G = 0, \text{ critical points and}$  $\mathbf{H}_x F = 0 \Leftrightarrow \mathbf{H}_\chi G = 0, \text{ degenerate critical points.}$ 

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#### Crystal rainbows as catastrophic effect





Figure 5: Rainbow lines in (a) the IP, and (b) SA plane for 10-MeV protons transmitted through 100-nm long  $\langle 100 \rangle$  channels of Au crystal. (c-e) The unfolding of the function  $y(\theta_x, \theta_y)$  for:  $\theta_x=0.51, 0.65$  and 0.79 mrad. Dots represent results of numerical simulation, lines fit by cusp catastrophe.



## Theory of rainbows in crystals and nanotubes

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$$m\frac{d^2\boldsymbol{\rho}}{dt^2} = -\nabla U(\boldsymbol{\rho}),$$

define two maps

where  $\theta_r$ 

$$\begin{aligned} &x = x(\boldsymbol{b}), \quad \theta_x = \theta_x(\boldsymbol{b}); \\ &y = y(\boldsymbol{b}), \quad \theta_y = \theta_y(\boldsymbol{b}). \end{aligned}$$

$$\approx v_x/v_z \text{ and } \theta_y \approx v_y/v_z.$$

$$\sigma_{\text{diff}}^r = \frac{1}{|J_r|}, \quad J_r = \frac{\partial x}{\partial x_0} \frac{\partial y}{\partial y_0} - \frac{\partial x}{\partial y_0} \frac{\partial y}{\partial x_0}$$

$$\sigma_{\text{diff}}^{\theta} = \frac{1}{|J_{\theta}|}, \quad J_{\theta} = \frac{\partial \theta_x}{\partial x_0} \frac{\partial \theta_y}{\partial y_0} - \frac{\partial \theta_x}{\partial y_0} \frac{\partial \theta_y}{\partial x_0}$$



Rainbow lines in IP plane are solutions of equations  $J_r = 0$ ,  $J_{\theta} = 0$ . Their images in the TP or SA planes are spatial and angular rainbows, respectively.



## Evolution of crystal rainbows





Figure 6: Experimental angular distribution of 2.0, 1.5, 1.0, and 0.7-MeV protons transmitted through 55-nm long  $\langle 100 \rangle$  channel of Si crystal and the corresponding rainbow lines in SA plane (red lines).



#### Quantum rainbow channeling in nanotubes

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Evolution of the positron wave function in the spatial representation  $\psi_s(\boldsymbol{\rho}, t; \boldsymbol{b})$  is obtained from solution of the Schrödinger equation

$$i\hbar\frac{\partial}{\partial t}\psi_s(\boldsymbol{\rho},t;\boldsymbol{b}) = \left[-\frac{\hbar^2}{2m}\nabla^2 + U(\boldsymbol{\rho})\right]\psi_s(\boldsymbol{\rho},t;\boldsymbol{b}).$$

Evolution of wave function in the angular representation  $\psi_a(\boldsymbol{\theta}, t; \boldsymbol{b})$  is obtained from  $\psi_s$  via Fourier transformation.

For t = 0, both  $\psi_s$  and  $\psi_a$  are represented by Gaussian wave packed having standard deviations  $\sigma_r$  and  $\sigma_{\theta}$ , respectively.

Spatial and angular distributions of positron beam  $Y_r$  and  $Y_a$ , respectively, are:

$$Y_r(\boldsymbol{\rho},t) = \sum_{\boldsymbol{b}} c_{\boldsymbol{b}} |\psi_r(\boldsymbol{\rho},t;\boldsymbol{b})|^2, \quad Y_{\boldsymbol{\theta}}(\boldsymbol{\theta},t) = \sum_{\boldsymbol{b}} c_{\boldsymbol{b}} |\psi_a(\boldsymbol{\theta},t;\boldsymbol{b})|^2,$$

parameters  $c_{b}$  were chosen in such a manner that initially  $Y_{r}$  is uniform, while  $Y_{a}$  is Gaussian distribution having FWHM  $\Delta_{\theta}$ .



## Wave-packet dynamics





Figure 7: The wave-packet of impact parameter  $\mathbf{b} = (0.624, 0)$  nm at the exit of 200 nm long chiral SWCNT (14,4) in (a) spatial, and (b) angular representation, respectively.



## Young's explanation of the rainbow effect





Figure 8: (a) Schematics of the interference of the wave-trains traveling along geometrical rays. The strongest light intensity modulation happens when wave crests and troughs meet. (b) The light intensity in the vicinity of the rainbow angle  $\theta_r$ .



## Semiclassical theory of the rainbow channeling

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Figure 9: (a) The rainbow diagram for 1MeV positron transmitted through a 200 nm long (14, 4) SWCNT. The initial and final probability distributions in (b) spatial and (c) angular representation.

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#### Semiclassical theory of the rainbow channeling





Figure 10: (a) Spatial and (b) angular distributions of 1MeV Gaussian positron beam, having SD  $\Delta = 0.1\Theta_c$  transmitted through 200nm long (14, 4) SWCNT.



# The positron transmission through nanotube (11,9)





Figure 11: (a) The slice through probability density of 1-MeV positron beam. (b) Corresponding slices through individual wave packets.

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# Families of Amplitude Squared and Phase Functions





Figure 12: (a) The family of wave-packet probability density functions; (b) The corresponding family of wave-packet phase functions.



#### Superfocusing effect



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Figure 13: (a) Evolution of rainbow lines in the TP plane in the vicinity of the superfocus for 2-MeV protons in the  $\langle 100 \rangle$  channel of Si. (b) Spatial distribution at the superfocus. (c) Illustration of the proton beam's interaction with the S atom's inner shells inserted in the Si crystal's channel.



#### Accurate proton-Si potential

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Figure 14: (a) Experimental distribution of 2-MeV protons transmitted through 55-nm long  $\langle 100 \rangle$  Si channel. Theoretical distributions were obtained using: (b) ZBL and (c) Molière's potential. (d) Proton-Si potentials. (e) Rainbow lines in TA plane.





## Characterization of SWCNT with defects

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Figure 15: (a) The part of the unrolled sheet forming an armchair SWCNT with Stone–Wales defects. Atomic strings created or modified by the presence of defects are colored red. Angular yields of 1-GeV protons transmitted through a 200-nm long armchair SWCNT (4, 4), together with corresponding rainbow lines for defects of (b) type I and; (c) type II or III. Linear density of the defects in all cases was  $l_{def} = 2.005$  nm<sup>-1</sup>.



#### Conclusions

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- Classical rainbow channeling is a complex emergent effect, i.e., irreducible to the sum of contributions of individual atomic strings.
- Classical rainbows are manifestations of folds of the equilibrium surface.
- Classical rainbows are related to the envelope of the trajectory family.
- Quantum rainbows are caused by wave packet coordinate selfinterference and are linked to the singularities of the phase function family.