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Channels in crystals

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Figure 1: (a) The unit cell of the Si crystal. Projection of the crystal lattice on: (b) $[111]$, (c) $[100]$, and (d) $[110]$ planes, respectively.

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Channels in carbon nanotubes

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Figure 2: (a) Unit cell of the chiral single-walled carbon nanotube C_h = $(11, 9)$; (b) Unit cell of the graphite plane. (c) The normal cross-section through the bundle of single-walled carbo[n n](#page-2-0)[ano](#page-4-0)[t](#page-2-0)[ub](#page-3-0)[e.](#page-4-0) $2Q$

Channeling effect

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Figure 3: Schematic of the ion channeling process.

Positions of atoms:

$$
\boldsymbol{r}_{sl}=\boldsymbol{\rho}_s+z_l\boldsymbol{e}_z,\quad s=1,2,\ldots,N,\quad l=1,2,\ldots,M.
$$

Potential energies of ion-solid interaction:

$$
U(\boldsymbol{r}) = \sum_{sl} V_{sl} = \sum_{sl} V(\boldsymbol{r} - \boldsymbol{r}_{sl}), \quad V(\boldsymbol{\rho}) = \frac{Z_1 Z_2 e^2}{4\pi\varepsilon_0 \|\boldsymbol{\rho}\|} \Phi_{\rm sc} \left(\frac{\|\boldsymbol{\rho}\|}{a_{\rm sc}} \right).
$$

Continuum approximation:

$$
U(r) \approx \sum_{s} U_{s}(\rho) = \sum_{s} \frac{1}{d} \int_{z} V(r - \rho_{s}) dz, \quad \Theta_{c} = \sqrt{\frac{U(\rho_{s} + a_{sc}e_{z})}{E}}
$$

Model of crystal rainbow

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For high energies channeling is considered as a single small angle scattering event on the crystal potential. Momentum approximation

$$
\boldsymbol{\theta(b)} = -\frac{1}{2E} \sum_{s=1}^{M} \sum_{l=1}^{N} \int_{z} \nabla V_{sl}(\boldsymbol{b}) = -\frac{L}{2E} \nabla \sum_{s=1}^{M} U_{s}(\boldsymbol{b})
$$

defines a mapping $b \to \theta$. Differential cross-section

$$
\sigma_{\text{diff}} = \frac{1}{|J|}, \quad J(\boldsymbol{b}) = \frac{\partial \theta_x}{\partial x_0} \frac{\partial \theta_y}{\partial y_0} - \frac{\partial \theta_x}{\partial y_0} \frac{\partial \theta_y}{\partial x_0}
$$

Rainbow lines in the impact parameter (IP) plane are solutions of the equation

$$
J(\mathbf{b})=0.
$$

Their image in the scattered angle (SA) plane are called angular rainbow lines.

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Figure 4: (a) Rainbow line in the TA plane for 7-MeV protons transmitted through $\langle 110 \rangle$ channel of 150-nm long Si crystal, assuming Lindhard's potential. (b) Corresponding experimental angular distribution. Open circles correspond to angular coordinates of atomic strings.

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Elements of catastrophe theory

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be a function family depending on state variables x_1, \ldots, x_m and parameters c_1, \ldots, c_n .

 $F(x_1, \ldots, x_m; c_1, \ldots, c_n),$

On variations of parameters, family F changes the number and type of its critical points in the vicinity of which it is equivalent to the certain polynomial prototype

 $G(\chi_1, \ldots, \chi_r; \alpha_1, \ldots, \alpha_s),$

depending on new state variables χ_1, \ldots, χ_r and parameters α_1 , \ldots, α_s such that $r \leq m, s \leq n$ and there are equivalences between

> $\nabla_x F = 0 \Leftrightarrow \nabla_x G = 0$, critical points and $H_xF = 0 \Leftrightarrow H_yG = 0$, degenerate critical points.

> > **ALL AND A FAILEY AND A COOK**

Crystal rainbows as catastrophic effect

Figure 5: Rainbow lines in (a) the IP, and (b) SA plane for 10-MeV protons transmitted through 100-nm long $\langle 100 \rangle$ channels of Au crystal. (c-e) The unfolding of the function $y(\theta_x, \theta_y)$ for: $\theta_x = 0.51, 0.65$ and 0.79 mrad. Dots represent results of numerical simulation, lines fit by cusp **KOD START ARE A BUILDING** catastrophe.

Theory of rainbows in crystals and nanotubes

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$$
m\frac{d^2\boldsymbol{\rho}}{dt^2} = -\nabla U(\boldsymbol{\rho}),
$$

define two maps

$$
x = x(\mathbf{b}), \quad \theta_x = \theta_x(\mathbf{b});
$$

$$
y = y(\mathbf{b}), \quad \theta_y = \theta_y(\mathbf{b}).
$$

where
$$
\theta_x \approx v_x/v_z
$$
 and $\theta_y \approx v_y/v_z$.
\n
$$
\sigma_{\text{diff}}^r = \frac{1}{|J_r|}, \quad J_r = \frac{\partial x}{\partial x_0} \frac{\partial y}{\partial y_0} - \frac{\partial x}{\partial y_0} \frac{\partial y}{\partial x_0};
$$
\n
$$
\sigma_{\text{diff}}^{\theta} = \frac{1}{|J_{\theta}|}, \quad J_{\theta} = \frac{\partial \theta_x}{\partial x_0} \frac{\partial \theta_y}{\partial y_0} - \frac{\partial \theta_x}{\partial y_0} \frac{\partial \theta_y}{\partial x_0}.
$$

Rainbow lines in IP plane are solutions of equations $J_r = 0$, $J_\theta = 0$. Their images in the TP or SA planes are spatial and angular rainbows, respectively.

Evolution of crystal rainbows

Figure 6: Experimental angular distribution of 2.0, 1.5, 1.0, and 0.7-MeV protons transmitted through 55 -nm long $\langle 100 \rangle$ channel of Si crystal and the corresponding rainbow lines in SA plane (red lines). $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$ $2Q$

Quantum rainbow channeling in nanotubes

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$$
i\hbar\frac{\partial}{\partial t}\psi_s(\boldsymbol{\rho},t;\boldsymbol{b})=\left[-\frac{\hbar^2}{2m}\nabla^2+U(\boldsymbol{\rho})\right]\psi_s(\boldsymbol{\rho},t;\boldsymbol{b}).
$$

Evolution of wave function in the angular representation $\psi_a(\theta, t; b)$ is obtained from ψ_s via Fourier transformation.

For $t = 0$, both ψ_s and ψ_a are represented by Gaussian wave packed having standard deviations σ_r and σ_θ , respectively.

Spatial and angular distributions of positron beam Y_r and Y_a , respectively, are:

$$
Y_r(\boldsymbol{\rho},t)=\sum_{\boldsymbol{b}}c_{\boldsymbol{b}}|\psi_r(\boldsymbol{\rho},t;\boldsymbol{b})|^2,\quad Y_{\theta}(\boldsymbol{\theta},t)=\sum_{\boldsymbol{b}}c_{\boldsymbol{b}}|\psi_a(\boldsymbol{\theta},t;\boldsymbol{b})|^2,
$$

parameters c_b were chosen in such a manner that initially Y_r is uniform, while Y_a is Gaussian distribution having FWHM Δ_{θ} .

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Wave-packet dynamics

Figure 7: The wave-packet of impact parameter $\mathbf{b} = (0.624, 0)$ nm at the exit of 200 nm long chiral SWCNT (14,4) in (a) spatial, and (b) angular representation, respectively.

Young's explanation of the rainbow effect

Figure 8: (a) Schematics of the interference of the wave-trains traveling along geometrical rays. The strongest light intensity modulation happens when wave crests and troughs meet. (b) The light intensity in the vicinity of the rainbow angle θ_r .

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Semiclassical theory of the rainbow channeling

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Figure 9: (a) The rainbow diagram for 1MeV positron transmitted through a 200 nm long (14, 4) SWCNT. The initial and final probability distributions in (b) spatial and (c) angular representation.

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Semiclassical theory of the rainbow channeling

Figure 10: (a) Spatial and (b) angular distributions of 1MeV Gaussian positron beam, having SD $\Delta = 0.1\Theta_c$ transmitted through 200nm long (14, 4) SWCNT.

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The positron transmission through nanotube $(11,9)$

Figure 11: (a) The slice through probability density of 1-MeV positron beam. (b) Corresponding slices through individual wave packets.

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Families of Amplitude Squared and Phase Functions

Figure 12: (a) The family of wave-packet probability density functions; (b) The corresponding family of wave-packet phase functions.

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Superfocusing effect

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Figure 13: (a) Evolution of rainbow lines in the TP plane in the vicinity of the superfocus for 2-MeV protons in the $\langle 100 \rangle$ channel of Si. (b) Spatial distribution at the superfocus. (c) Illustration of the proton beam's interaction with the S atom's inner shells inserted in the Si crystal's channel. \equiv 2990

Accurate proton-Si potential

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Characterization of SWCNT with defects

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Figure 15: (a) The part of the unrolled sheet forming an armchair SWCNT with Stone–Wales defects. Atomic strings created or modified by the presence of defects are colored red. Angular yields of 1-GeV protons transmitted through a 200-nm long armchair SWCNT (4, 4), together with corresponding rainbow lines for defects of (b) type I and; (c) type II or III. Linear density of the defects in all cases was $l_{\text{def}} = 2.005$ nm^{-1} .

Conclusions

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- Classical rainbow channeling is a complex emergent effect, i.e., irreducible to the sum of contributions of individual atomic strings.
- Classical rainbows are manifestations of folds of the equilibrium surface.
- Classical rainbows are related to the envelope of the trajectory family.
- Quantum rainbows are caused by wave packet coordinate self– interference and are linked to the singularities of the phase function family.

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