

# Planck Constraints and Gravitational Wave Forecasts for PBH Dark Matter seeded by Multifield Inflation \*

Sarah Geller  
Center for Theoretical Physics, MIT

*The Multifield Inflation/PBH research group:*



Shyam Balaji,  
CNRS & LPTHE



Sarah Geller, MIT



David Kaiser, MIT



Evan McDonough  
University of Winnipeg



Wenzer Qin, MIT

\*(See: 2205.04471,  
2303.02168)

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# Primordial Black Holes as Dark Matter

Non-interacting to good approximation

Massive Compact Halo Objects (MACHOs)

Wide range of possible PBH masses allowed from collapse of primordial over-densities

Avoid need to posit one or more BSM fields (aside from inflaton)

source: Green and Kavanagh  
2007.10722v3

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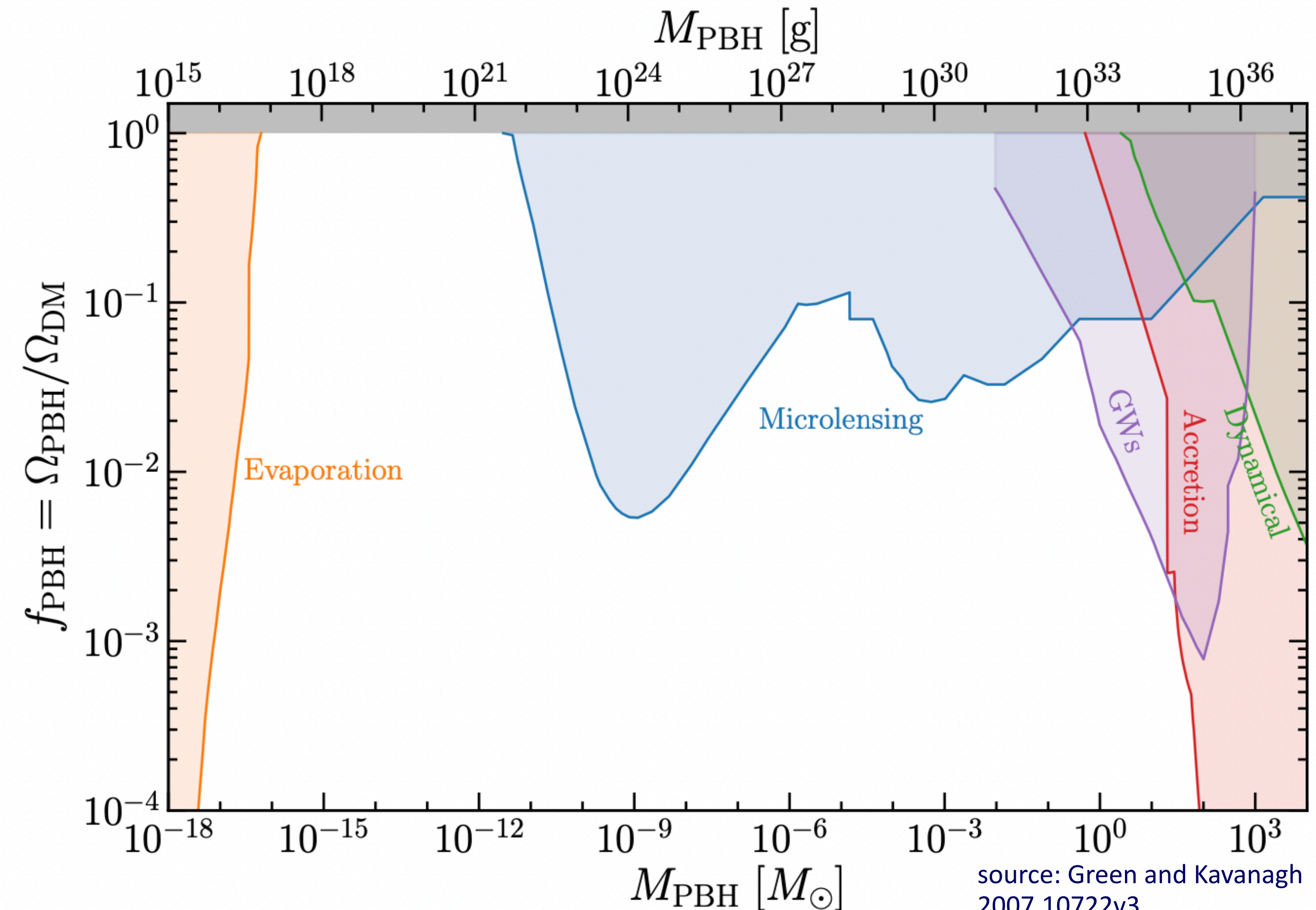
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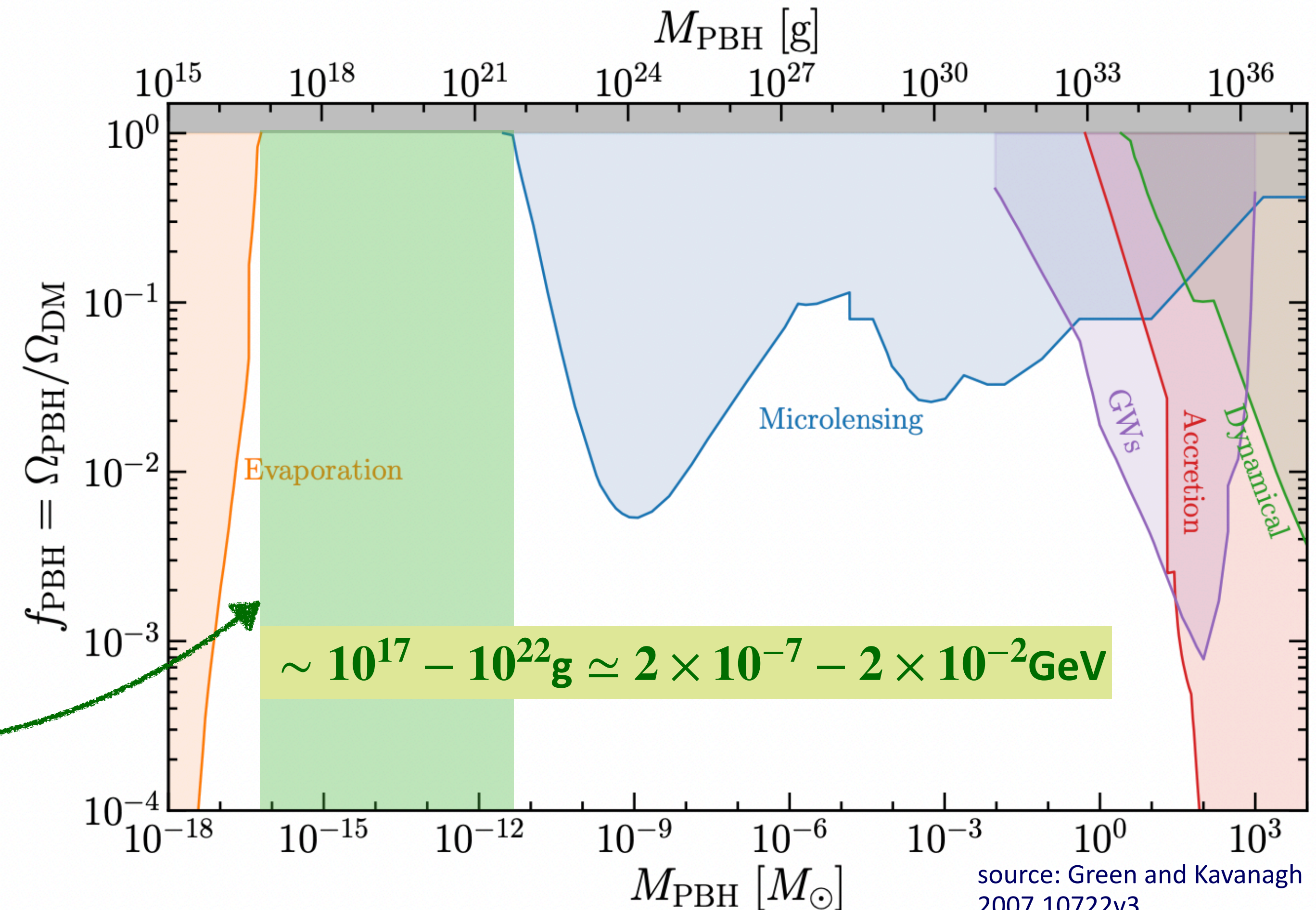
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PBHs in this mass range could constitute  $\mathcal{O}(1)$  fraction of Dark Matter



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**1.** Are primordial black holes a generic prediction of inflationary models?



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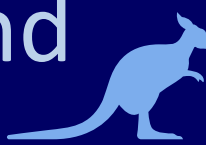
**Our Specific Questions:**

1. Are primordial black holes a generic prediction of inflationary models?
2. What is the predicted gravitational wave (GW) spectrum from this PBH production and is it observable with current or forthcoming detectors?

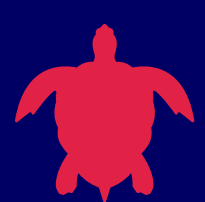
# Primordial Black Holes from Critical Collapse

Curvature perturbations decompose into modes with freq.  $k$

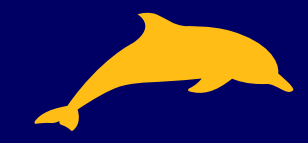


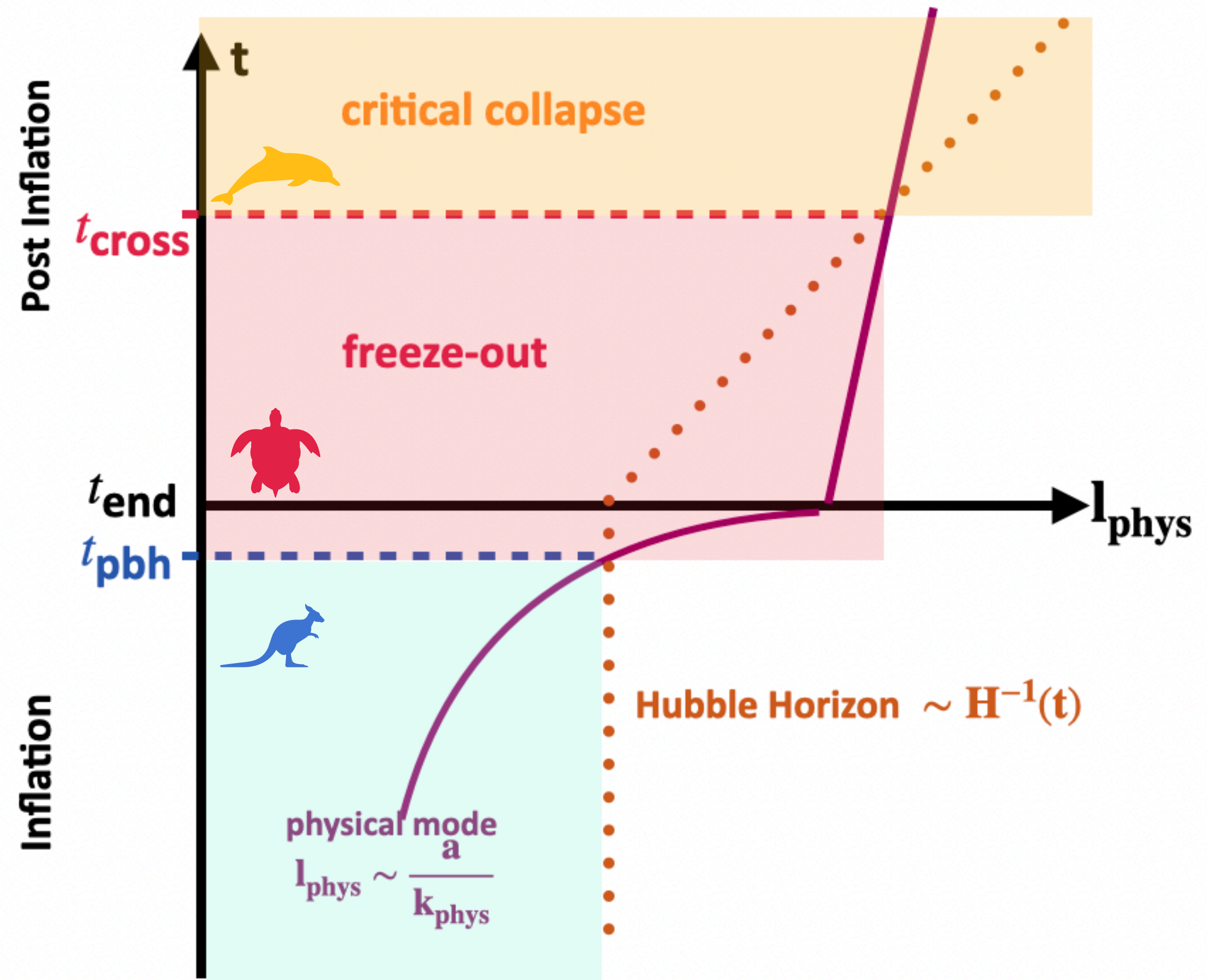
Cross outside Hubble horizon before end of inflation  $k < aH$  (“Super-Hubble”) 



“freeze out” 



Cross back into Hubble patch when  $k = aH$   
 $k > aH$  “Sub-Hubble” 






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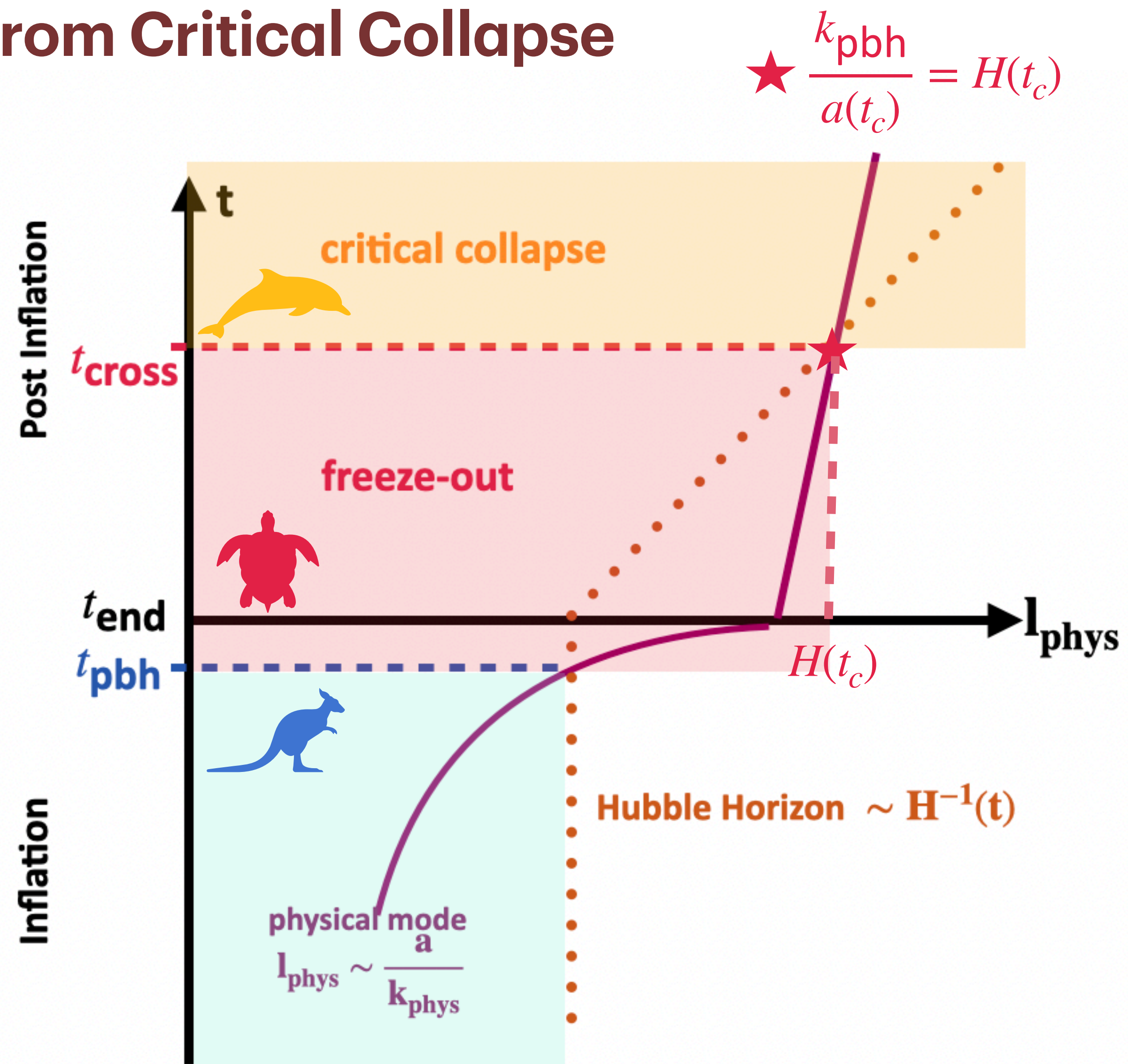
Cross back into Hubble patch when  $k = aH$   
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For  $\delta = \frac{\delta\rho}{\rho} > \delta_c$ , PBH will form at time  $t_c$  for mode with wavenumber  $k_{\text{PBH}} = a(t_c)H(t_c)$

Corresponds to threshold for  $\mathcal{P}_R(k_{\text{PBH}}) \geq 10^{-3}$

Mass distribution centered around  $\bar{M} = \gamma M_H(t_c)$ ,  $\gamma \sim .2$

$M_H(t_c) \equiv$  mass within Hubble volume at  $t_c$





# Model and Methods

**Model:** A generic inflationary potential with multiple (2) scalar fields and non-minimal couplings to gravity.

## Multifield action

$$\tilde{S} = \int d^4x \sqrt{-\tilde{g}} \left[ f(\phi^I) \tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \partial_\mu \phi^I \partial_\nu \phi^J - \tilde{V}(\phi^I) \right]$$

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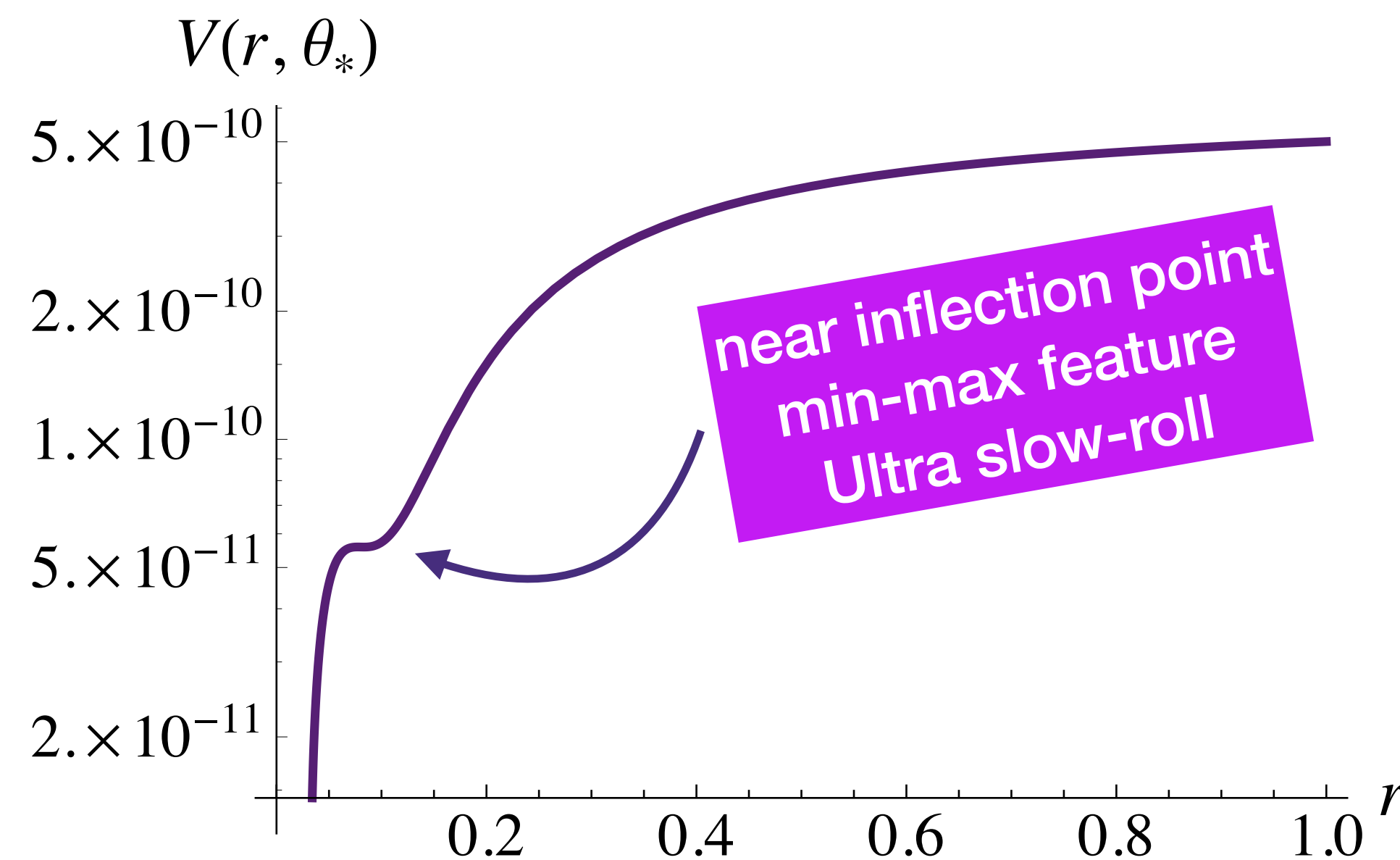
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Impose a few additional symmetries to limit number of degrees of freedom in field space.

**Potential** is characterized by functions  $\mathcal{B}$ ,  $\mathcal{C}$ ,  $\mathcal{D}$  depending on 5 parameters:  $\xi$ ,  $b$ ,  $c_1$ ,  $c_2$ ,  $c_4$

$$V(r, \theta) = \frac{1}{4f^2(r, \theta)} \left( \mathcal{B}(\theta)r^2 + \mathcal{C}(\theta)r^3 + \mathcal{D}(\theta)r^4 \right)$$





# Parameter Space Degeneracy Directions

**Interplay of parameters leads to degeneracies**

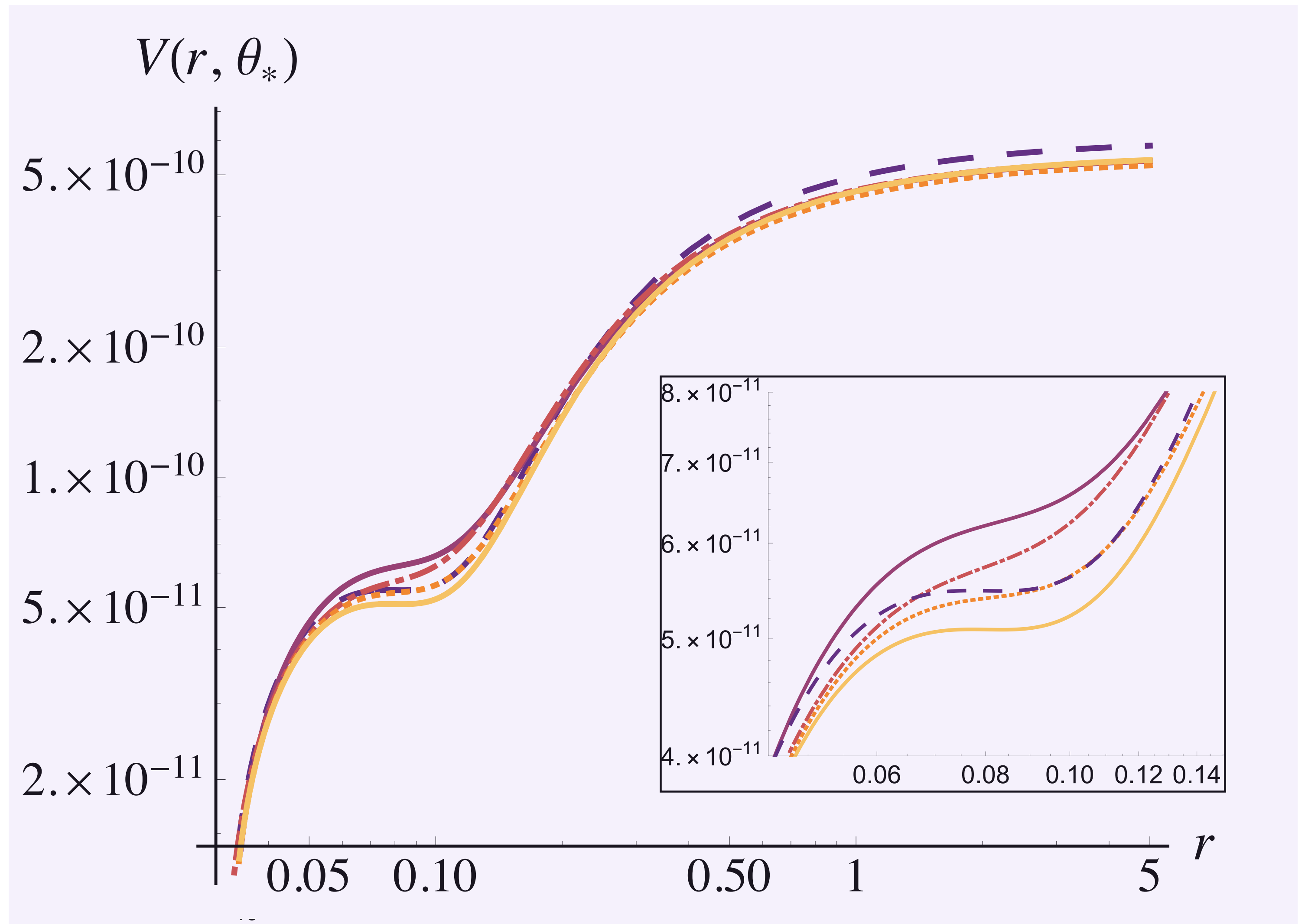
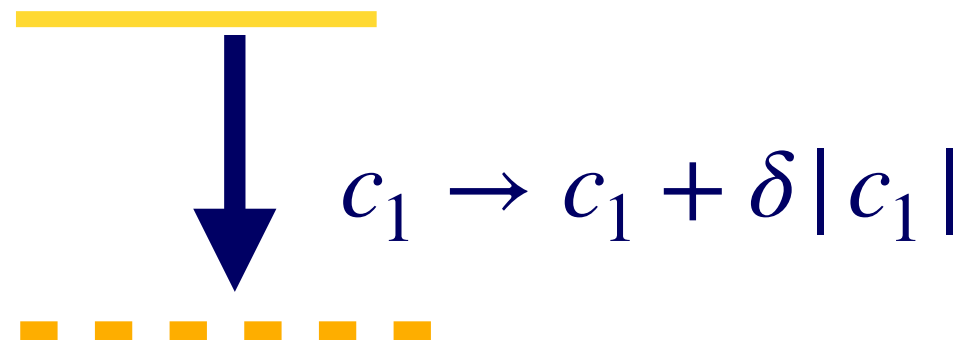
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Vary one parameter at a time,  
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$$\mathcal{F}(b, c_1, c_2, c_4)$$

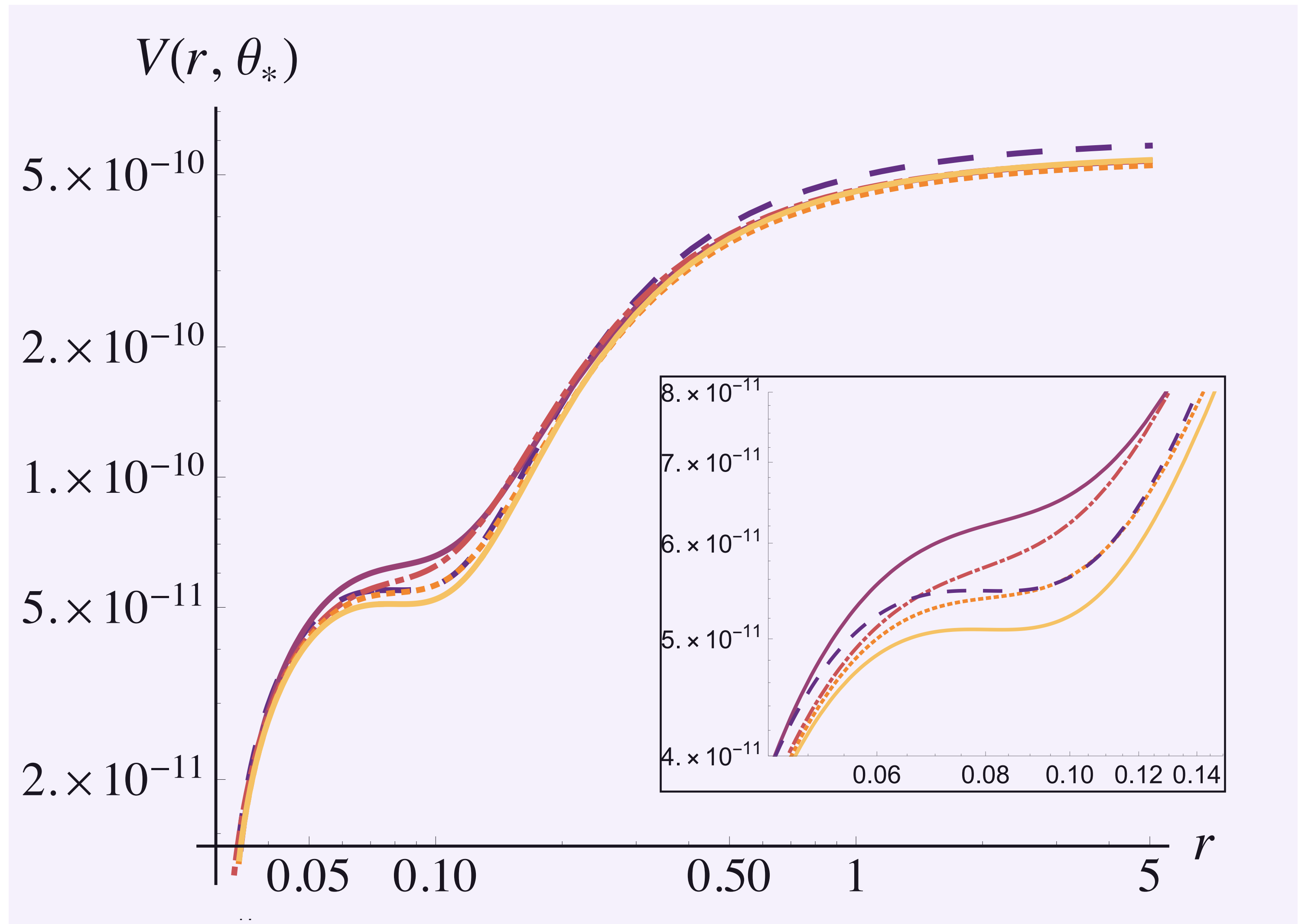
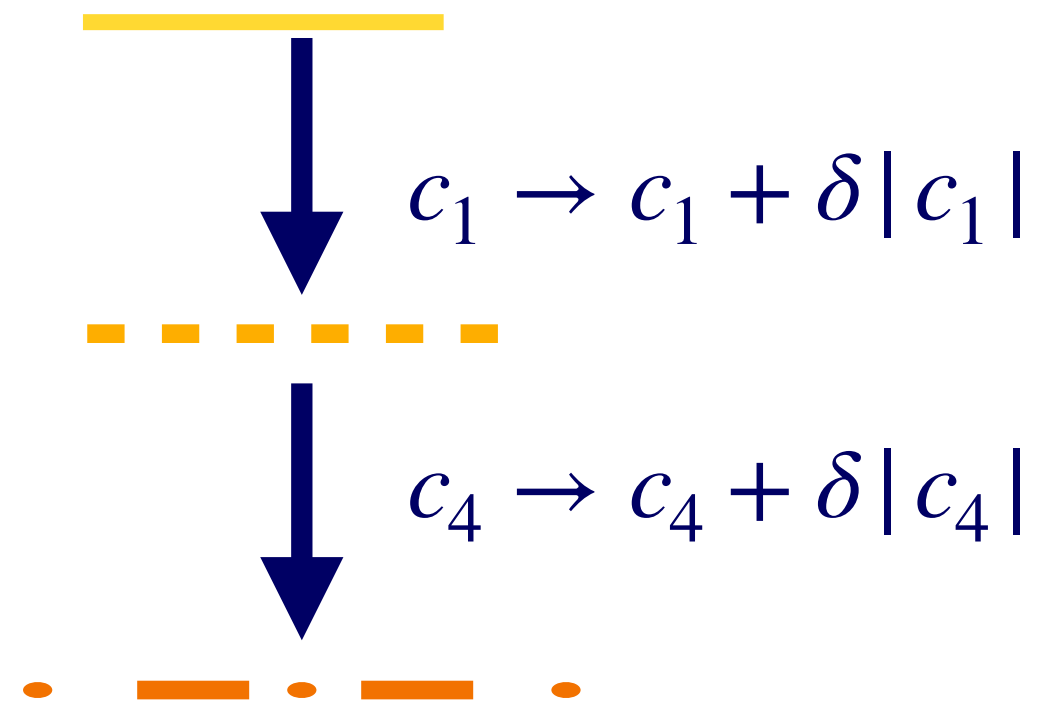


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$$c_1 \rightarrow c_1 + \delta |c_1|$$

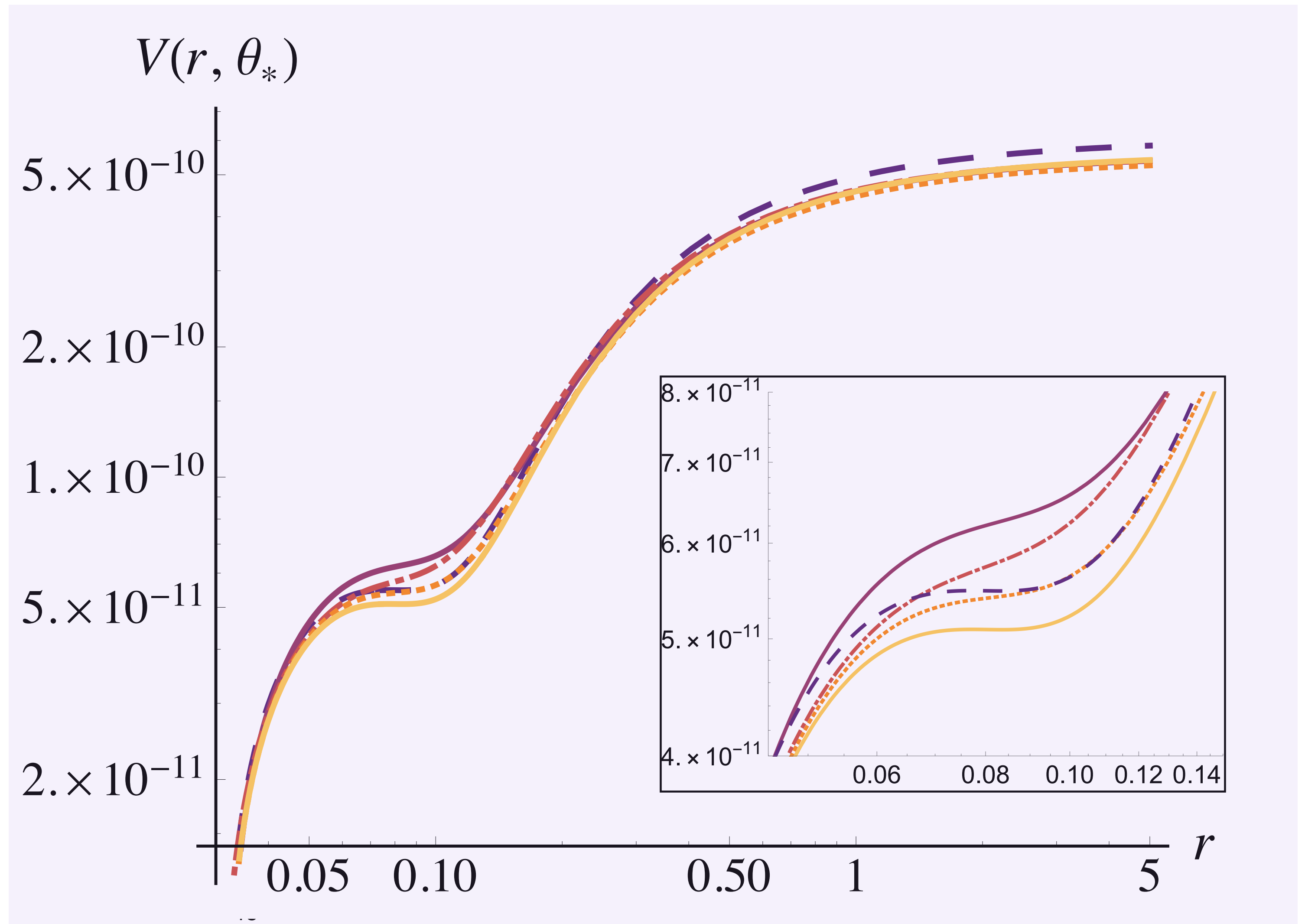
$$c_4 \rightarrow c_4 + \delta |c_4|$$

$$b \rightarrow b + \delta |b|$$

$$c_2 \rightarrow c_2 + \delta |c_2|$$

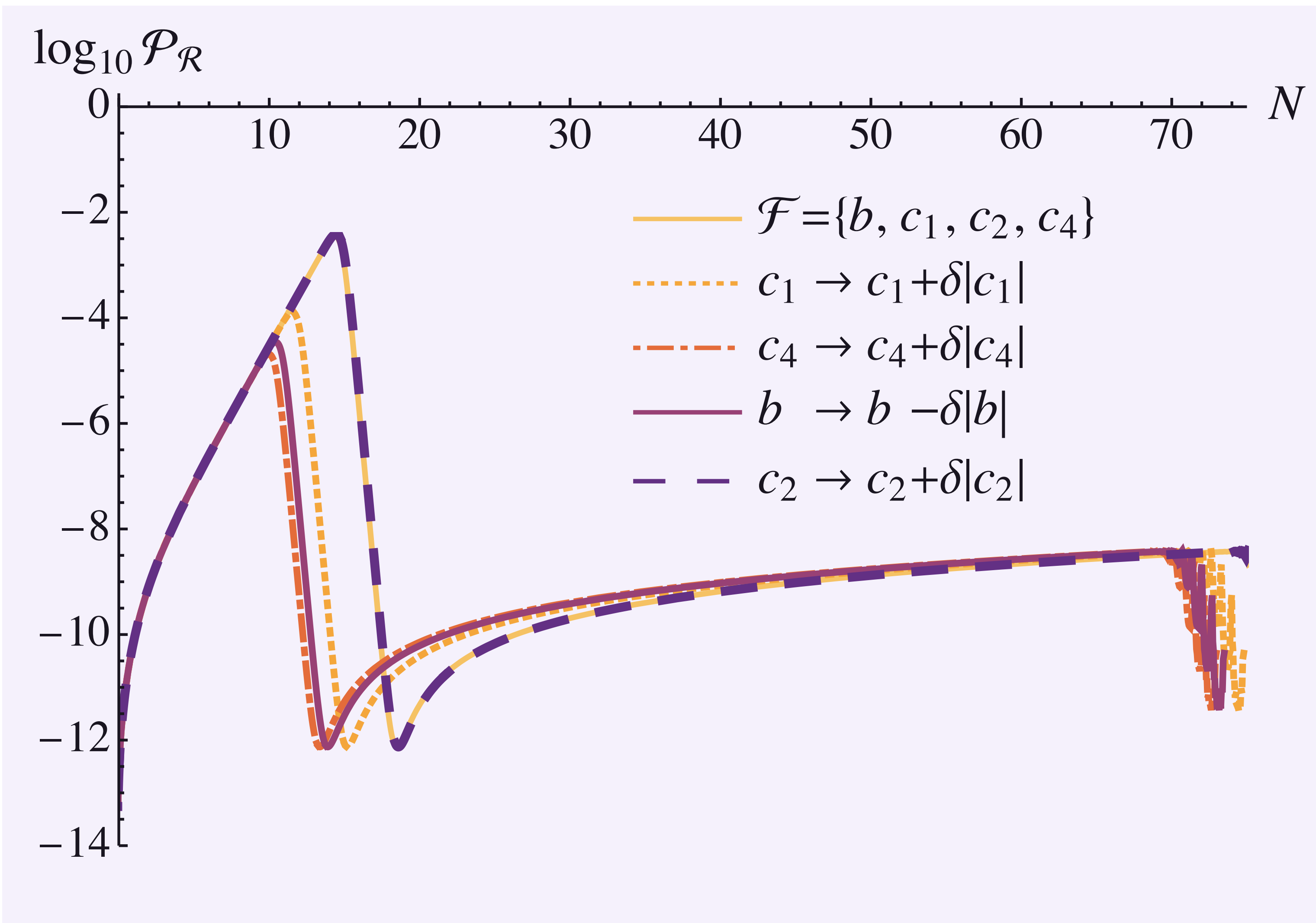
$$\mathcal{F}'(b', c'_1, c'_2, c'_4)$$

$$\mathcal{F} \simeq \mathcal{F}'$$



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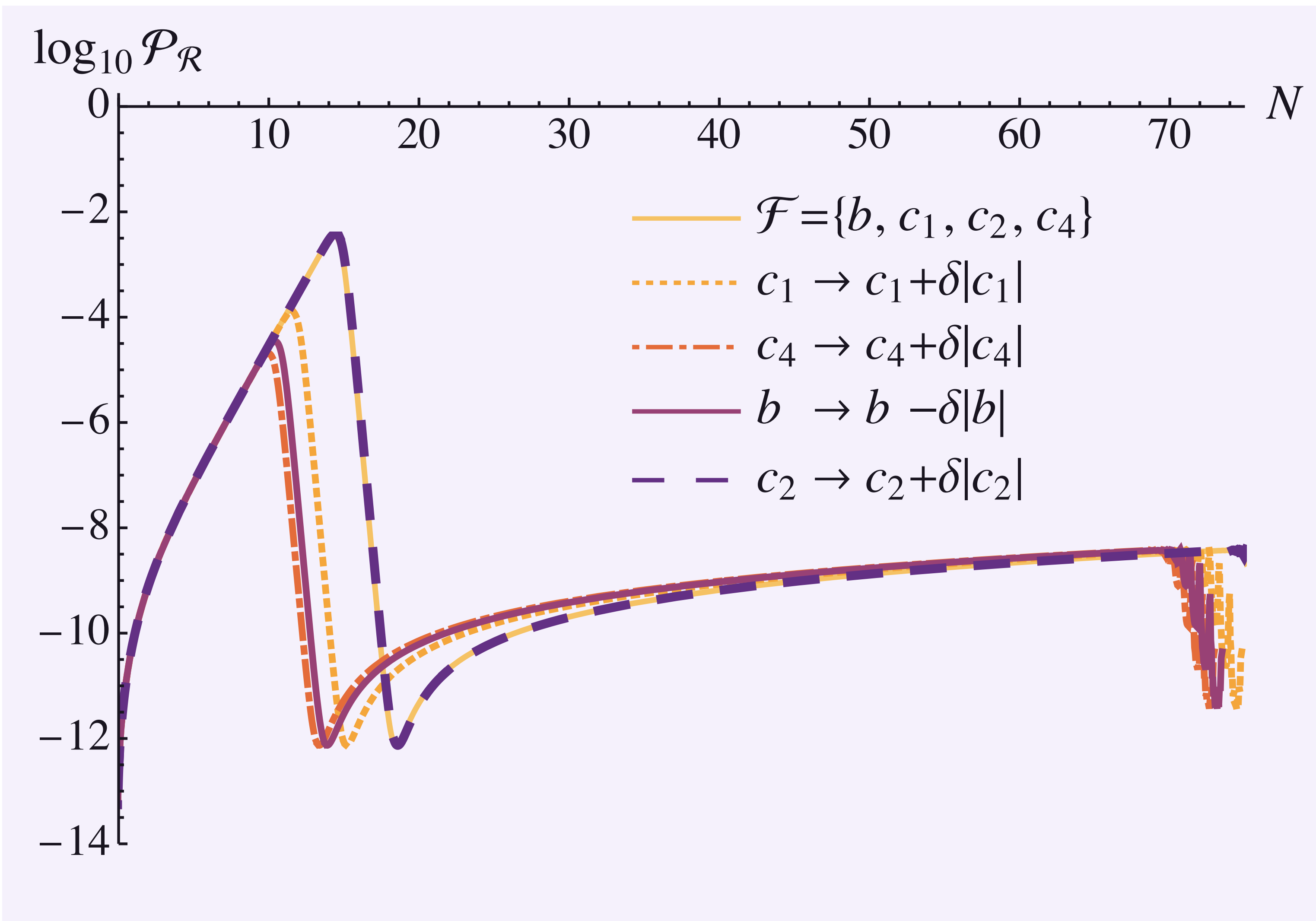
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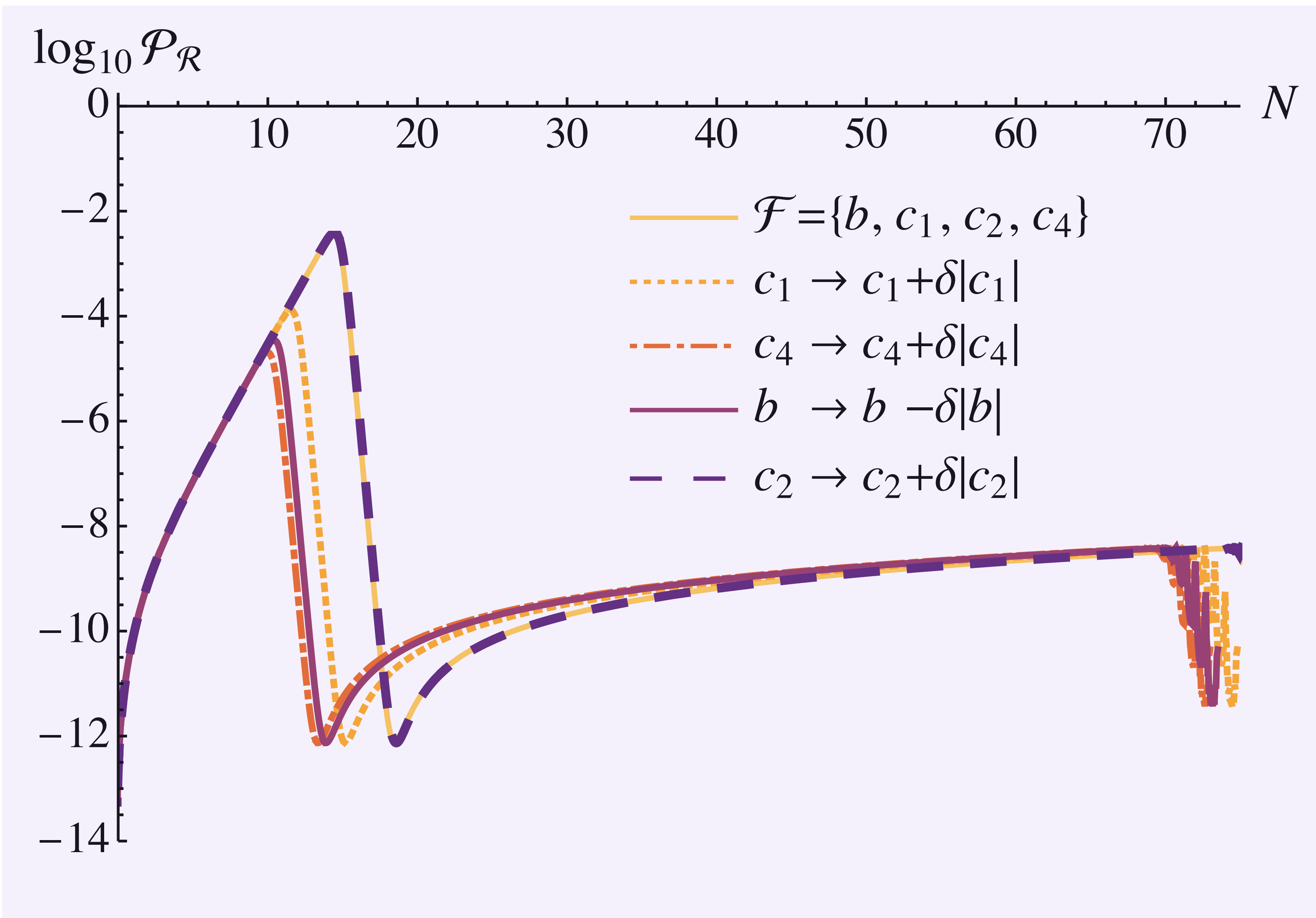
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Define as degenerate if total  $\Delta\chi^2 < .01$



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Multifield models allow for degeneracies-harder to constrain

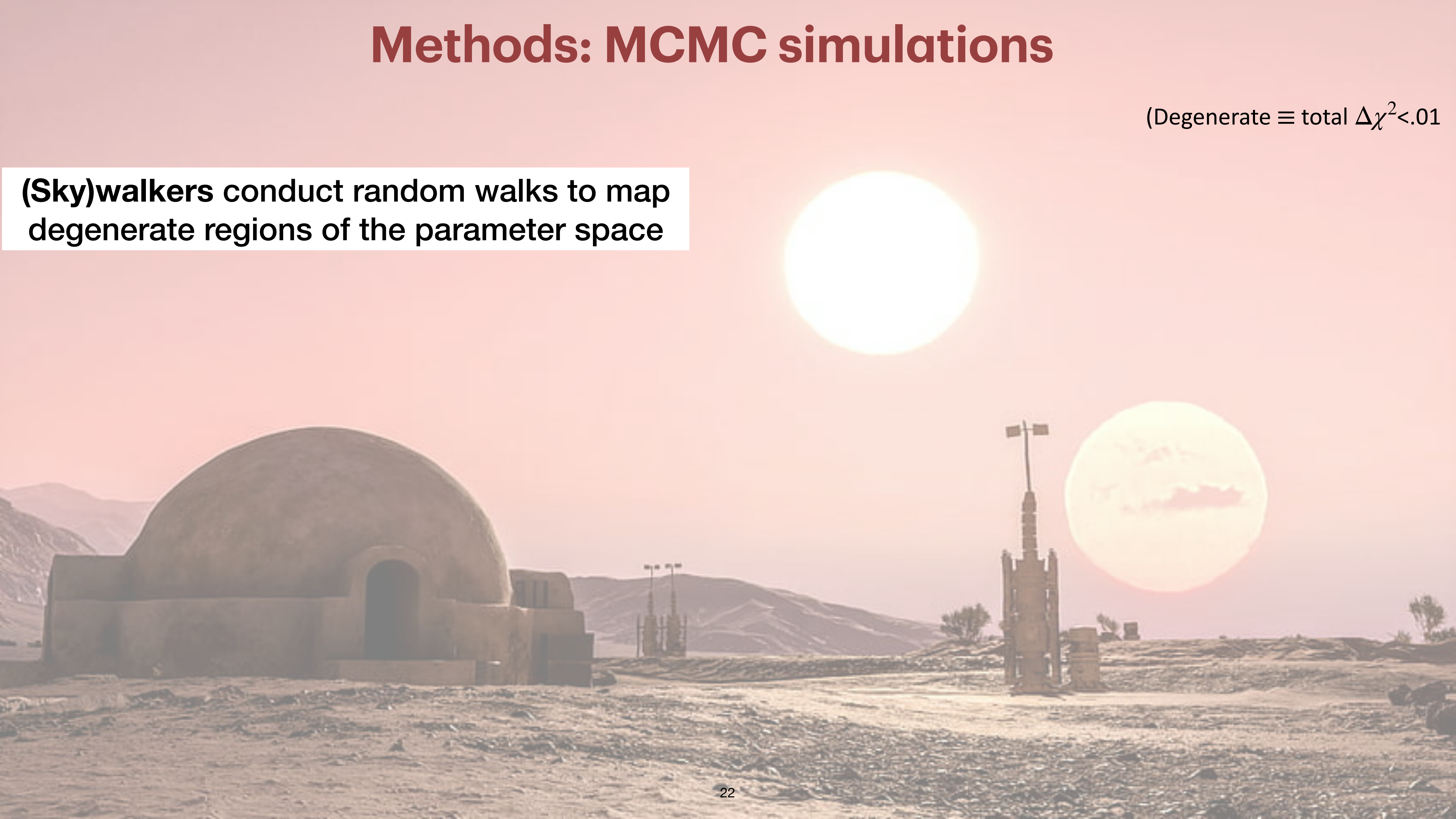
Degeneracies aren't perfect: have finite extent so they do impact the likelihoods.



# Methods: MCMC simulations

(Degenerate  $\equiv$  total  $\Delta\chi^2 < .01$ )

**(Sky)walkers** conduct random walks to map degenerate regions of the parameter space





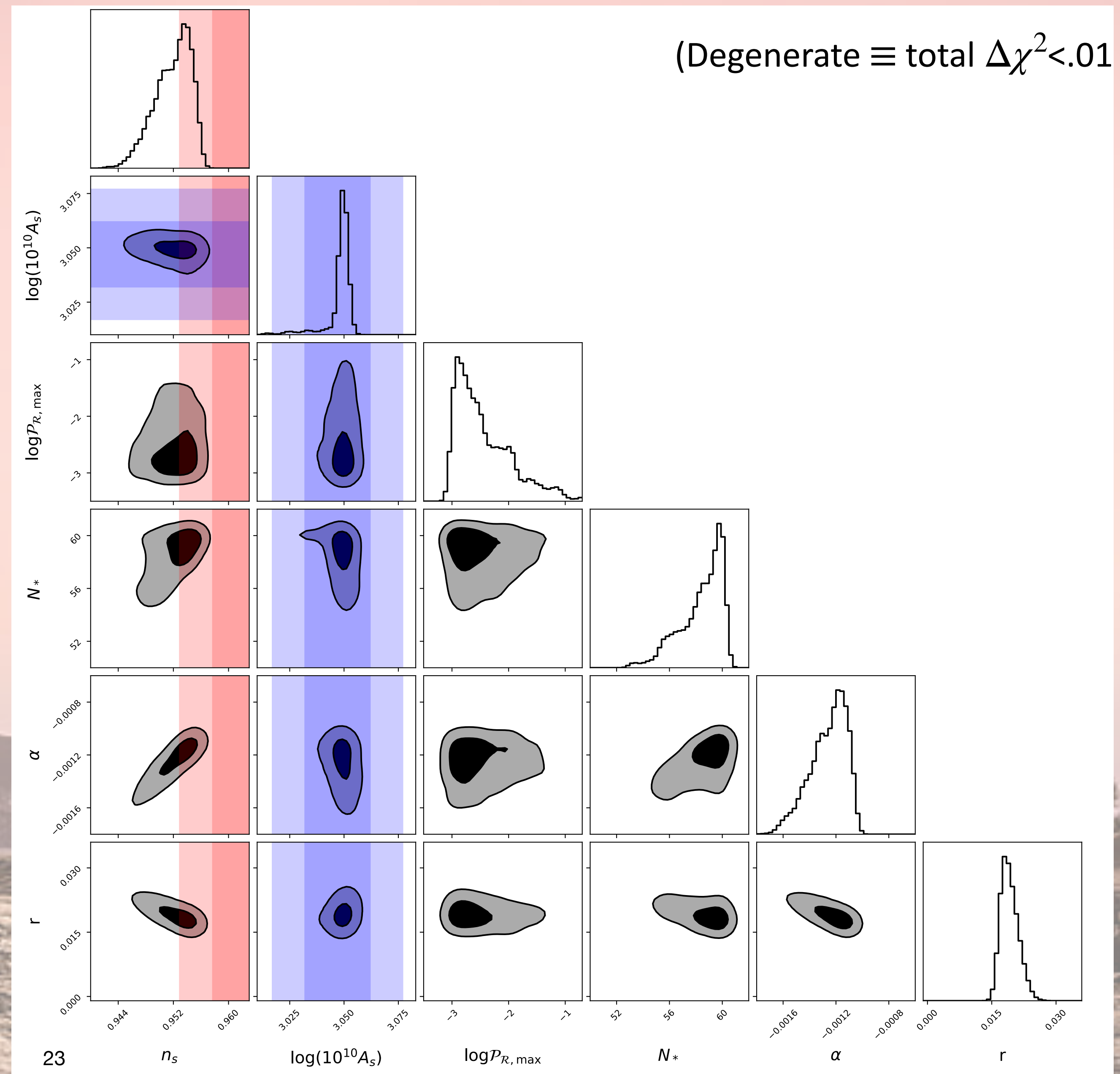
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Comparison is with Planck 2018 CMB temp and polarization data + constraints of PBHs

CMB constraints  $\rightarrow$  pivot scale,  $k_*$   
Gaussian Likelihood over Planck/BICEP/Keck  
 $A_s(k_*), n_s(k_*), \alpha(k_*), r(k_*)$

PBH constraints  $\rightarrow$   
modes crossing out during USR:  $\mathcal{P}_R(k_{PBH}), \Delta N$   
Uniform likelihood for  
 $\mathcal{P}_R(k_{PBH}) \geq 10^{-3}, 14 \leq \Delta N \leq 25$





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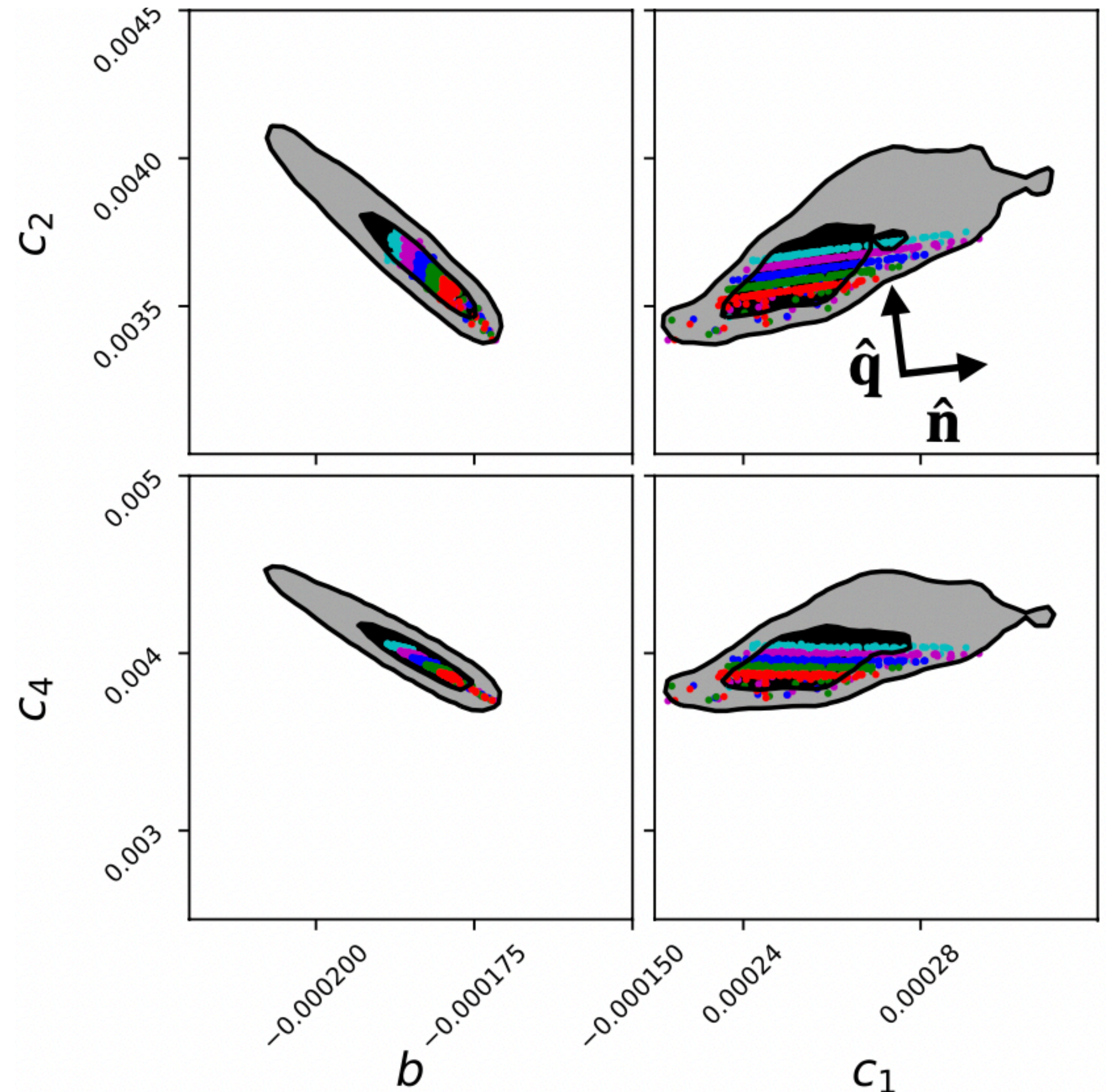
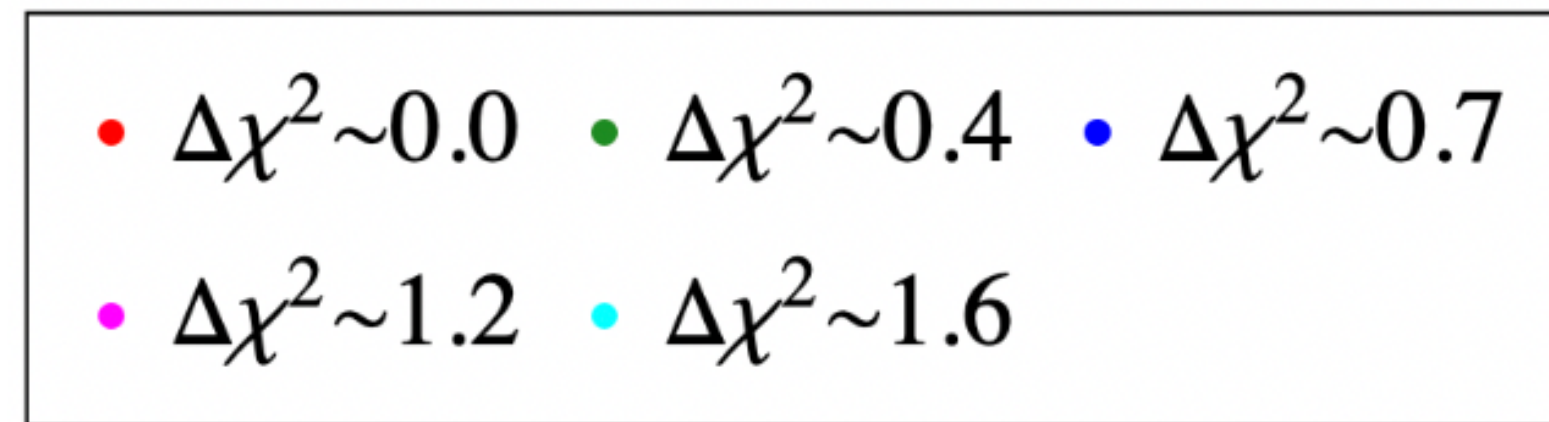
Constraints from requiring PBH DM and satisfying *Planck* 2018 data

Parameter	Constraint
$b$	$-1.87 (-1.73)^{+0.09}_{-0.11} \times 10^{-4}$
$c_1$	$2.61 (2.34)^{+0.24}_{-0.17} \times 10^{-4}$
$c_2$	$3.69 (3.42)^{+0.22}_{-0.16} \times 10^{-3}$
$c_4$	$4.03 (3.75)^{+0.24}_{-0.17} \times 10^{-3}$
$n_s(k_*)$	$0.952 (0.956)^{+0.002}_{-0.003}$
$\log(10^{10} A_s)$	$3.049 (3.048)^{+0.001}_{-0.001}$
$N_*$	$58.8 (60.0)^{+1.2}_{-2.2}$
$\alpha(k_*)$	$-0.0012 (-0.0010)^{+0.0001}_{-0.0002}$
$r(k_*)$	$0.019 (0.016)^{+0.002}_{-0.001}$
$b/c_2$	$-5.04 (-5.05)^{+0.03}_{-0.05} \times 10^{-2}$
$c_1/c_2$	$7.07 (6.84)^{+0.32}_{-0.26} \times 10^{-2}$
$c_4/c_2$	$1.091 (1.096)^{+0.009}_{-0.008}$

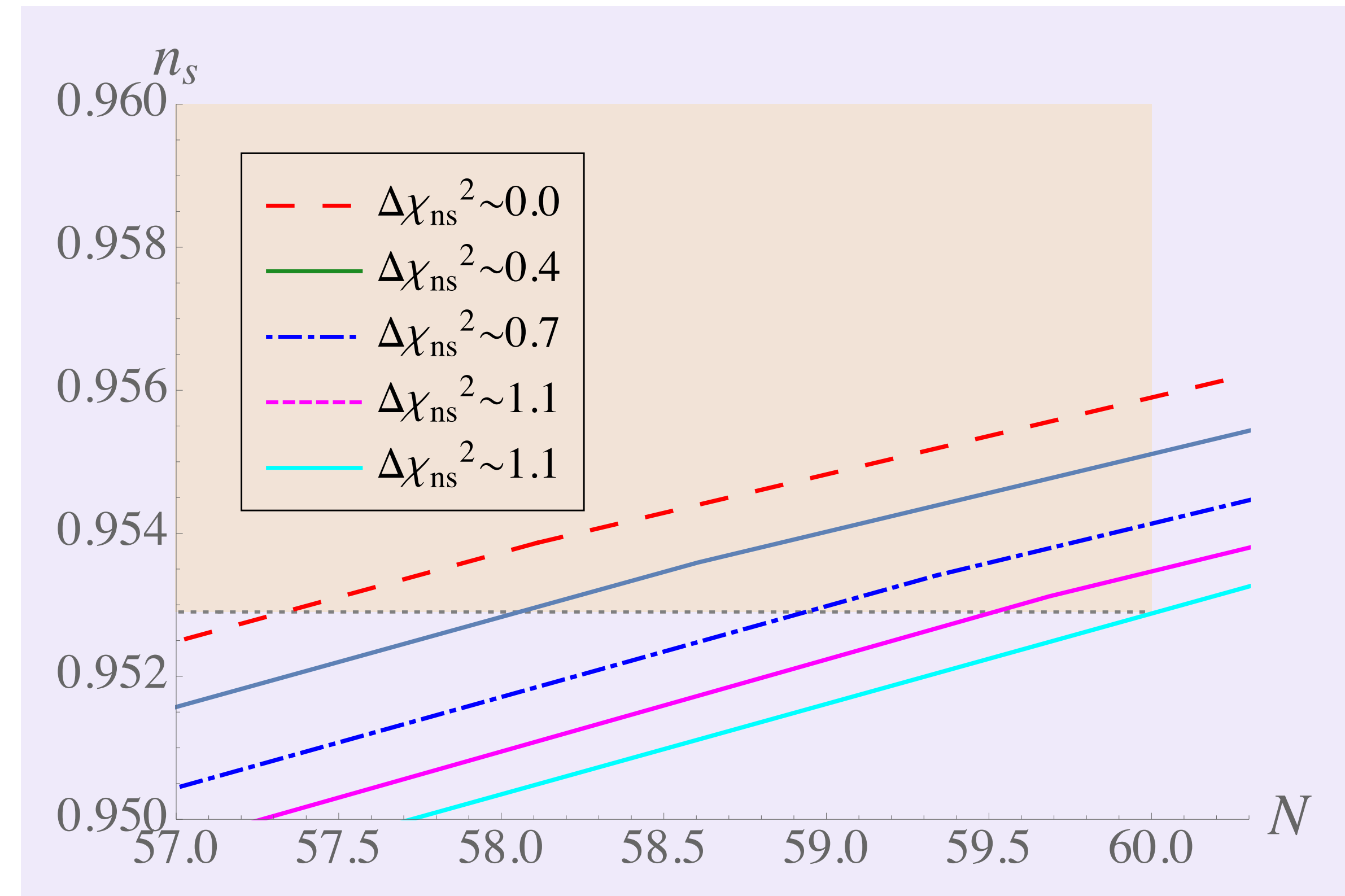
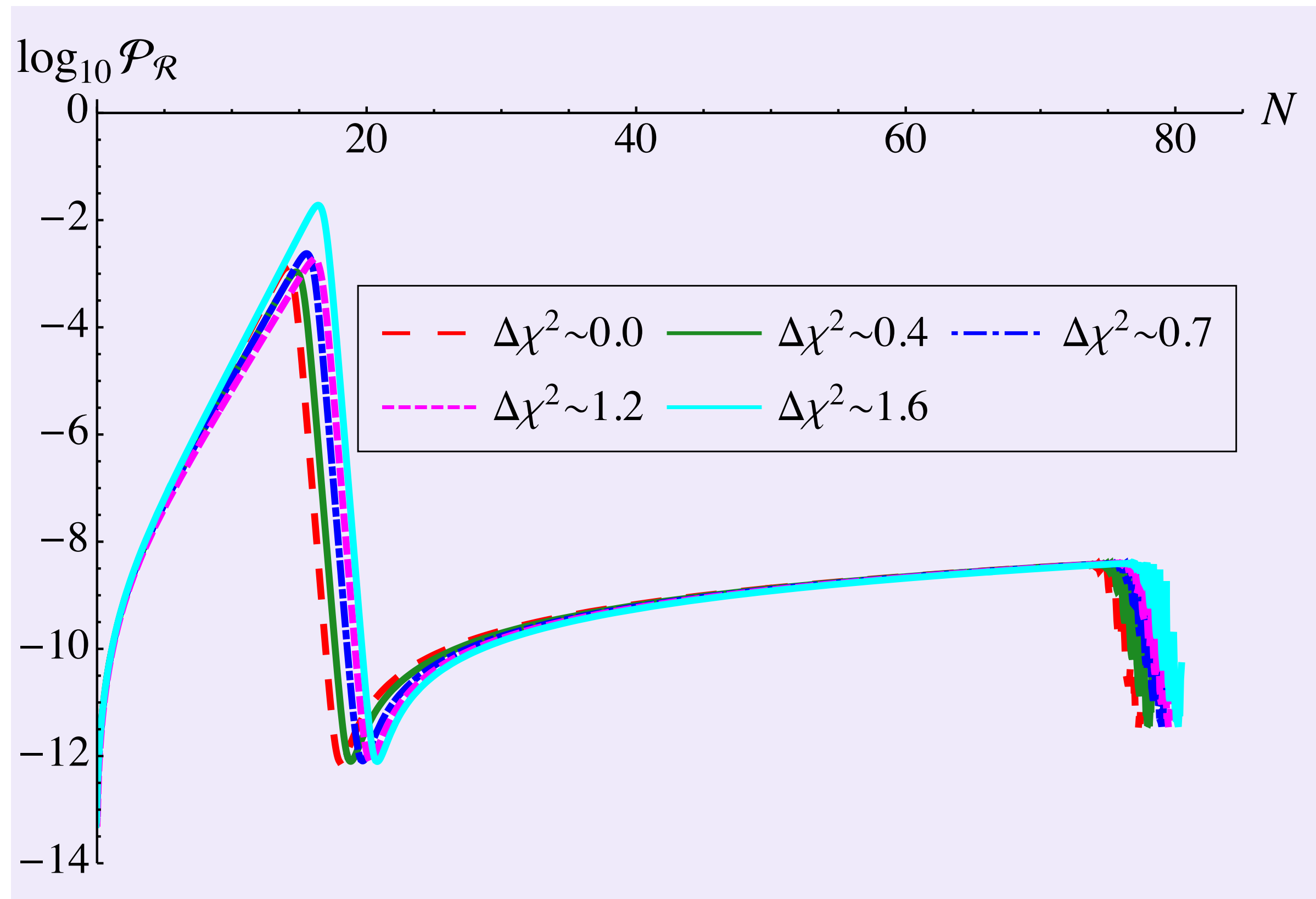


# Parameter Space Orthogonal Directions

5 super-sets: same color = degeneracy direction, changing colors= orthogonal direction



# Parameter Space Orthogonal Directions



# Gravitational Wave Forecasts from PBH formation

**Scalar mode** perturbations that give rise to PBHs will contribute to the GW spectrum at **second order**

$$\xi_{27} = 100, b = -1.8 \times 10^{-4}, c_1 = 2.5 \times 10^{-4}, c_2 = 3.570913 \times 10^{-3}, c_4 = 3.9 \times 10^{-3}$$



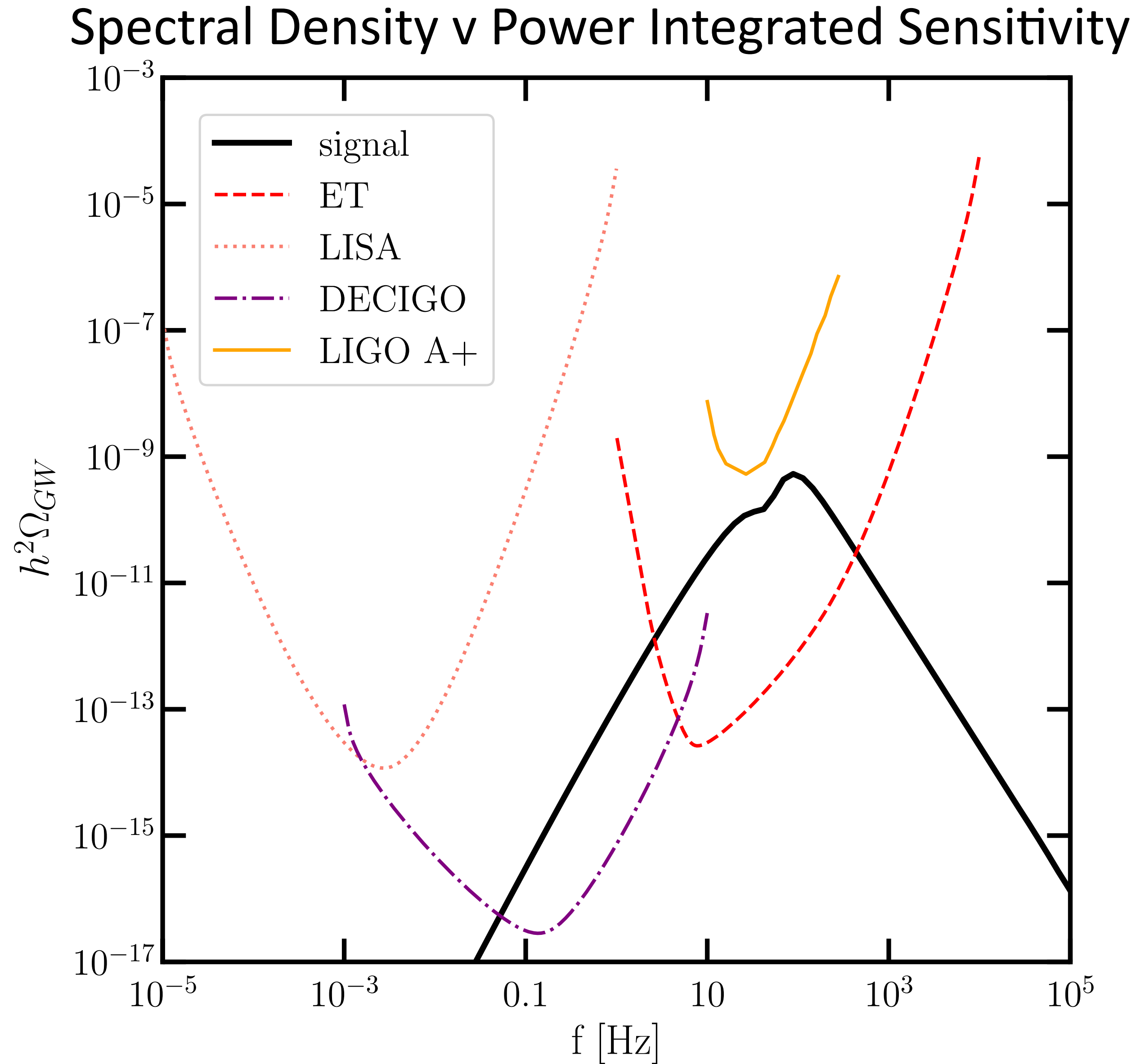
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At late enough times:

$$\Omega_{\text{GW,c}}(k, \eta) = \frac{1}{24} \left( \frac{k}{aH} \right)^2 \overline{\mathcal{P}_h(k, \eta)}$$

$P_h(k, \eta)$  = power spectrum of tensor mode perturbation



$$\xi_{28} = 100, b = -1.8 \times 10^{-4}, c_1 = 2.5 \times 10^{-4}, c_2 = 3.570913 \times 10^{-3}, c_4 = 3.9 \times 10^{-3}$$

# Gravitational Wave Forecasts from PBH formation

**Scalar mode** perturbations that give rise to PBHs will contribute to the GW spectrum at **second order**

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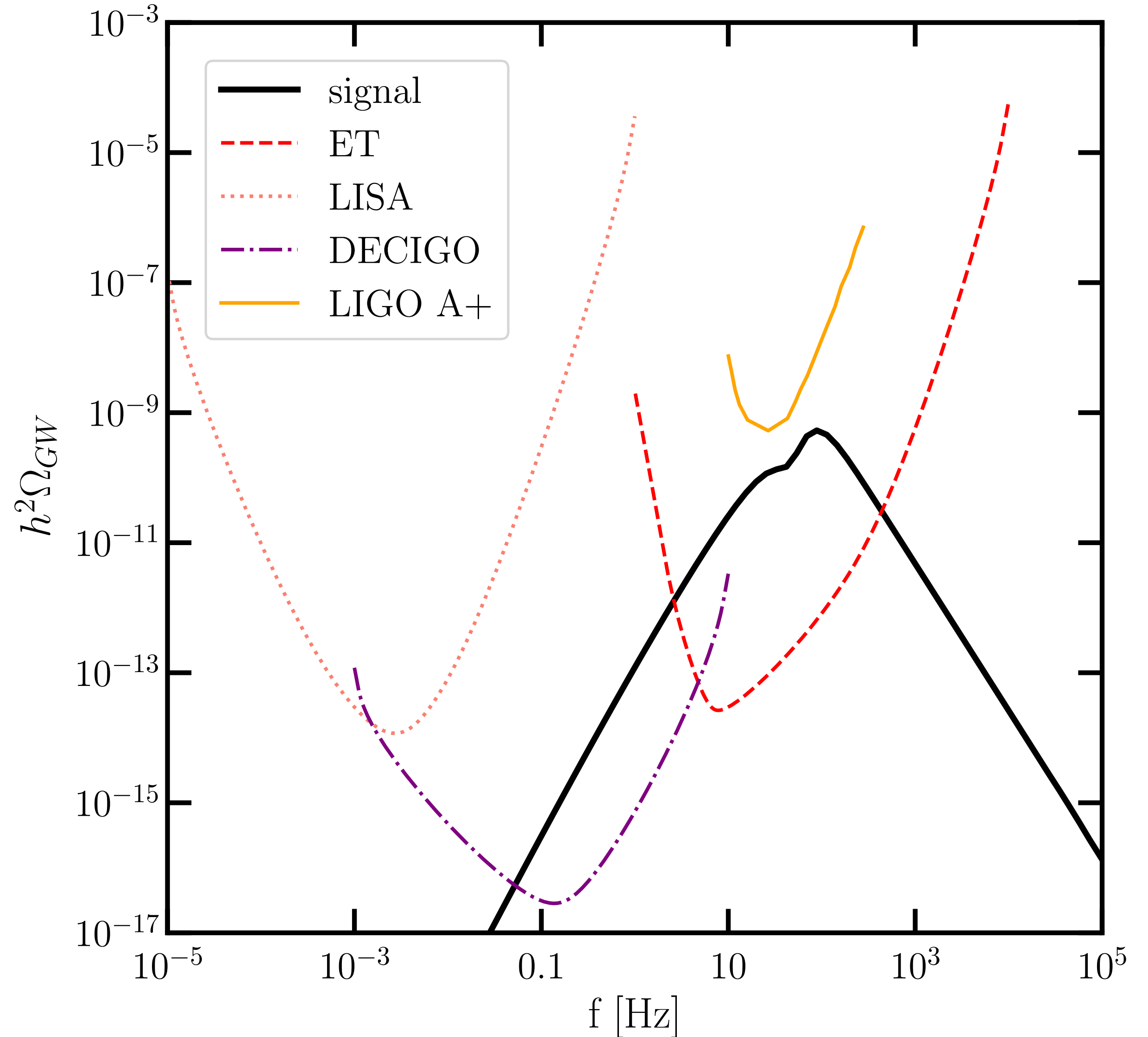
Signal to noise:

$$\rho = \sqrt{2t_{\text{obs}} \int_{f_{\text{min}}}^{f_{\text{max}}} df \left( \frac{\Omega_{\text{GW},0}(f)}{\Omega_{\text{noise}}(f)} \right)^2}$$

**SNR ET**  $\rho_{\text{ET}} = 667$ , **DECIGO**  $\rho_{\text{DEC}} = 243$

**SNR LIGO A+, LISA**  $\rho \simeq 0$

Spectral Density v Power Integrated Sensitivity



$$\xi_{29} = 100, b = -1.8 \times 10^{-4}, c_1 = 2.5 \times 10^{-4}, c_2 = 3.570913 \times 10^{-3}, c_4 = 3.9 \times 10^{-3}$$

# Questions and Answers

1. how does the conformal transformation on field space actually work?
2. what are other possible/removed constraints on the parameter space of  $\omega_{\text{PBH DM}}$ ?
3. what is the UV (SUGRA) embedding for this class of models? how is the EFT derived?
4. Does inflation itself require fine tuning of initial conditions?
5. more about the motivation for non-minimal couplings.
6. when is quantum diffusion a problem during USR?
7. say more about reheating in MFI models?
8. what are the non-Gaussianities in your models like? what is  $f_{\text{NL}}$ ?
9. how many observables vs dof do you have (ignoring the GWs for the moment?)
10. Did you marginalize over the reheating histories? How do you fit  $N_{\text{*}}$ ?

# The D-dim Conformal Transformation from Jordan Frame → Einstein Frame (1)

Jordan Frame Action:  $\tilde{S} = \int d^D x \sqrt{-\tilde{g}} \left[ f(\phi^1 \dots \phi^N) \tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \tilde{\nabla}_\mu \phi^I \tilde{\nabla}_\nu \phi^J - \tilde{V}(\phi^1 \dots \phi^N) \right], \quad f(\phi) = \frac{1}{2} [M_0^{D-2} + \xi_I (\phi^I)^2]$

Conformal transformation:  $\Omega^{D-2}(\mathbf{x}) = \frac{2}{M_{(D)}^{D-2}} f[\phi(\mathbf{x})]$  Transforms metric as:  $\tilde{g}_{\mu\nu} \rightarrow g_{\mu\nu} = \Omega^2(x) \tilde{g}_{\mu\nu} \implies$

$g^{\mu\nu} = \Omega^{-2} \tilde{g}^{\mu\nu}$  and  $\sqrt{-g} = \Omega^D(x) \sqrt{-\tilde{g}}$  (in our 2-field model,  $M_{(2)} = M_{\text{pl}}$ )

$\Gamma_{bc}^a = \tilde{\Gamma}_{bc}^a + \frac{1}{\Omega} [\delta_b^a \nabla_c \Omega + \delta_c^a \nabla_b \Omega - g_{bc} \nabla^a \Omega], \quad \square \Omega = \frac{1}{\sqrt{-g}} \partial_\mu [\sqrt{-g} g^{\mu\nu} \partial_\nu \Omega] \quad R = \frac{1}{\Omega} \left[ \tilde{R} - \frac{2(D-1)}{\Omega} \square \Omega - (D-1)(D-4) \frac{1}{\Omega^2} g^{\mu\nu} \nabla_\mu \Omega \nabla_\nu \Omega \right]$

Einstein Frame Action:

E-H term:  $\int d^D x \sqrt{-g} \left[ \frac{M_{(D)}^{D-2}}{2} R - \frac{1}{2} \frac{D-1}{D-2} M_{(D)}^{D-2} \frac{1}{f^2} g^{\mu\nu} \nabla_\mu f \nabla_\nu f \right]$

$V(\phi^I) = \frac{\tilde{V}(\phi^I)}{\Omega^D}$

Kinetic terms:

$\int d^D x \sqrt{-g} \left[ -\frac{1}{4f} M_{(D)}^{D-2} \delta_{IJ} g^{\mu\nu} \nabla_\mu \phi^I \nabla_\nu \phi^J \right]$

combine to form  $\mathcal{G}_{IJ}$

Kaiser 1003.1159v2



## The Conformal Transformation from Jordan Frame $\rightarrow$ Einstein Frame (2)

Jordan Frame Action:  $\tilde{S} = \int d^D x \sqrt{-g} \left[ f(\phi^1 \dots \phi^N) \tilde{R} - \frac{1}{2} \delta_{IJ} g^{\mu\nu} \nabla_\mu \phi^I \nabla_\nu \phi^J - \tilde{V}(\phi^1 \dots \phi^N) \right], \quad f(\phi) = \frac{1}{2} [M_0^{D-2} + \xi_I (\phi^I)^2]$

$\mathcal{G}_{IJ}$  can be put in form  $\delta_{IJ}$  only if  $R^I_{JKL}$  (field space Riemann tensor) vanishes identically

To show that a  $\mathcal{G}_{IJ}$  can't be put in form  $\delta_{IJ}$  it suffices to show that the Einstein frame Ricci scalar is nonzero:  $R \neq 0$

By computing the Ricci scalar, can show every term in it depends on  $\phi^I$  and the Riemann tensor would have to vanish everywhere in field space (OR can happen if only one of the fields is non-minimally coupled, but then potential gets new interactions).

Kaiser 1003.1159v2

# PBHs as Dark Matter: The Available Parameter Space

## Constraints from Femto-lensing?

A Gould (1992) proposed gamma-ray bursts could be used to constrain PBHs in the range  $10^{17} \sim 10^{20}$  g via interference fringes. Later work (Katz et al. ) showed constraints should be discounted because 1. gamma ray bursts too large for point sources and 2. need to consider wave optics  
(Source: Green and Kavanagh 2020)

## Subaru HSC Constraints?

“High cadence optical observation of M31 constraints...are weaker than initially found due to finite sources and wave optics effects.”  
(Source: Green and Kavanagh 2020)

# SUGRA and SUSY Background of Inflaton Potential (1)

Start with  $\mathcal{N} = 1$  4-dimensional supergravity with 2 chiral superfields

$$\Phi(y)^I = \underbrace{\Phi(y)}_{\text{complex scalar field}} + \underbrace{\sqrt{2}\theta\psi(y)}_{\text{fermion}} + \underbrace{\theta\theta F(y)}_{\text{auxiliary field}}$$

One next integrates out the auxiliary fields, get the Lagrangian we

started with:  $\mathcal{L} = \mathcal{G}_{IJ}g^{\mu\nu}\partial_\mu\Phi^I\partial_\nu\bar{\Phi}^{\bar{J}} - V(\Phi, \bar{\Phi})$  With a generic choice of superpotential (linear terms dropped -

unless  $\Phi^I$  is gauge singlet.) 
$$\begin{aligned}\tilde{W} &= \mu b_{IJ}\Phi^I\Phi^J + c_{IJK}\Phi_I\Phi_J\Phi_K + \mathcal{O}\left(\frac{\Phi_I^4}{M_{\text{pl}}}\right) \\ &= b_1(\Phi_1)^2 + b_2(\Phi_2)^2 + c_1(\Phi_1)^3 + c_2(\Phi_1)^2\Phi_2 + c_3\Phi_1(\Phi_2)^2 + c_4(\Phi_2)^3 + \mathcal{O}\left(\frac{\Phi_I^4}{M_{\text{pl}}}\right)\end{aligned}$$

In (local) SUGRA we also choose a Kähler potential (such that imaginary part of  $\Phi^I$  remains heavy/decoupled)

$$K(\Phi, \bar{\Phi}) = \sum_{I,J} (\Phi^I - \bar{\Phi}^{\bar{I}})^2$$

The potential for the scalar field part of  $W(\Phi, \bar{\Phi})$  is:

$$V(\Phi, \bar{\Phi}) = \exp\left(\frac{K(\Phi, \bar{\Phi})}{M_{\text{pl}}^2}\right) \left( \mathcal{G}^{I\bar{J}} \nabla_I W(\Phi) \nabla_{\bar{J}} \bar{W}(\bar{\Phi}) - \frac{3}{M_{\text{pl}}^2} W(\Phi) \bar{W}(\bar{\Phi}) \right) \quad \text{where} \quad \nabla_I = \partial_I + \frac{1}{M_{\text{pl}}^2} K_{,I}$$

(McDonough, Long, Kolb), (Linde), (Bertolami, Ross)

## SUGRA and SUSY Background of Inflaton Potential (2)

$$V(\Phi, \bar{\Phi}) = \exp\left(\frac{K(\Phi, \bar{\Phi})}{M_{\text{pl}}^2}\right) \left( \mathcal{G}^{I\bar{J}} \nabla_I W(\Phi) \nabla_{\bar{J}} \bar{W}(\bar{\Phi}) - \frac{3}{M_{\text{pl}}^2} W(\Phi) \bar{W}(\bar{\Phi}) \right) \quad \text{where} \quad \nabla_I = \partial_I + \frac{1}{M_{\text{pl}}^2} K_{,I}$$

Take the limit of  $V(\Phi, \bar{\Phi})$  as  $\frac{|\Phi^I|^2}{M_{\text{pl}}^2} \rightarrow 0$  to get the expression for  $V(\phi)$ . The  $\psi$  dependence drops out because of the choice of Kähler potential which makes the imaginary part of the complex scalar field heavy- it decouples for all of inflation.

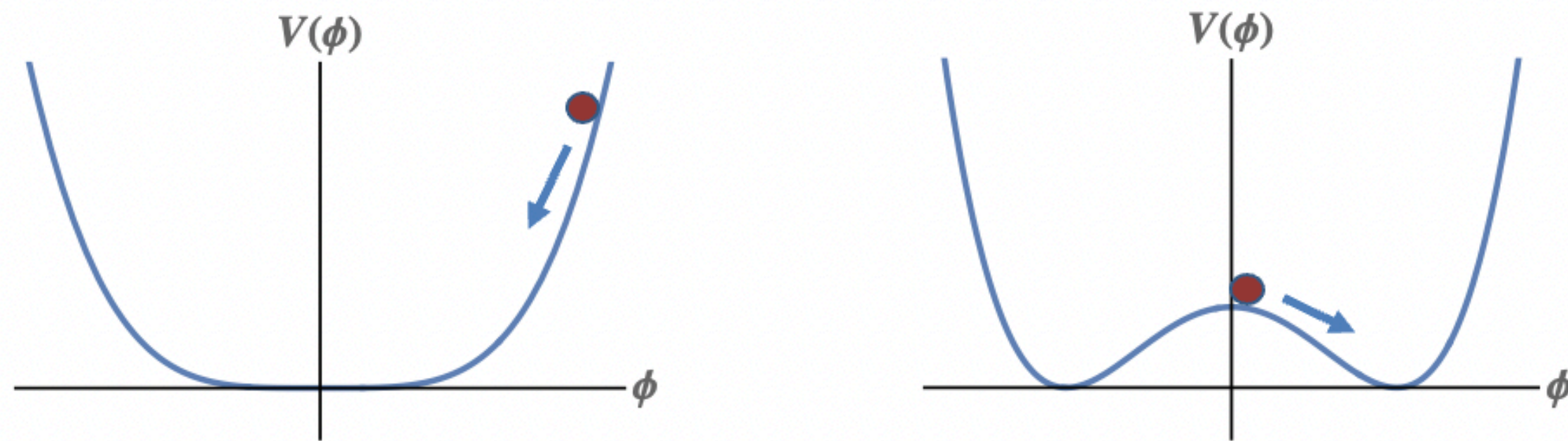
*(McDonough, Long, Kolb), (Linde), (Bertolami, Ross)*



# Does Inflation Itself Require Fine-Tuning of the Initial Conditions?

eg. a smooth patch of size  $r > r_H \sim \frac{1}{H}$ ? Numerical simulations have been done but are limited by difficulty of putting these simulations onto computers.  
Most are 1+1 dimensional.

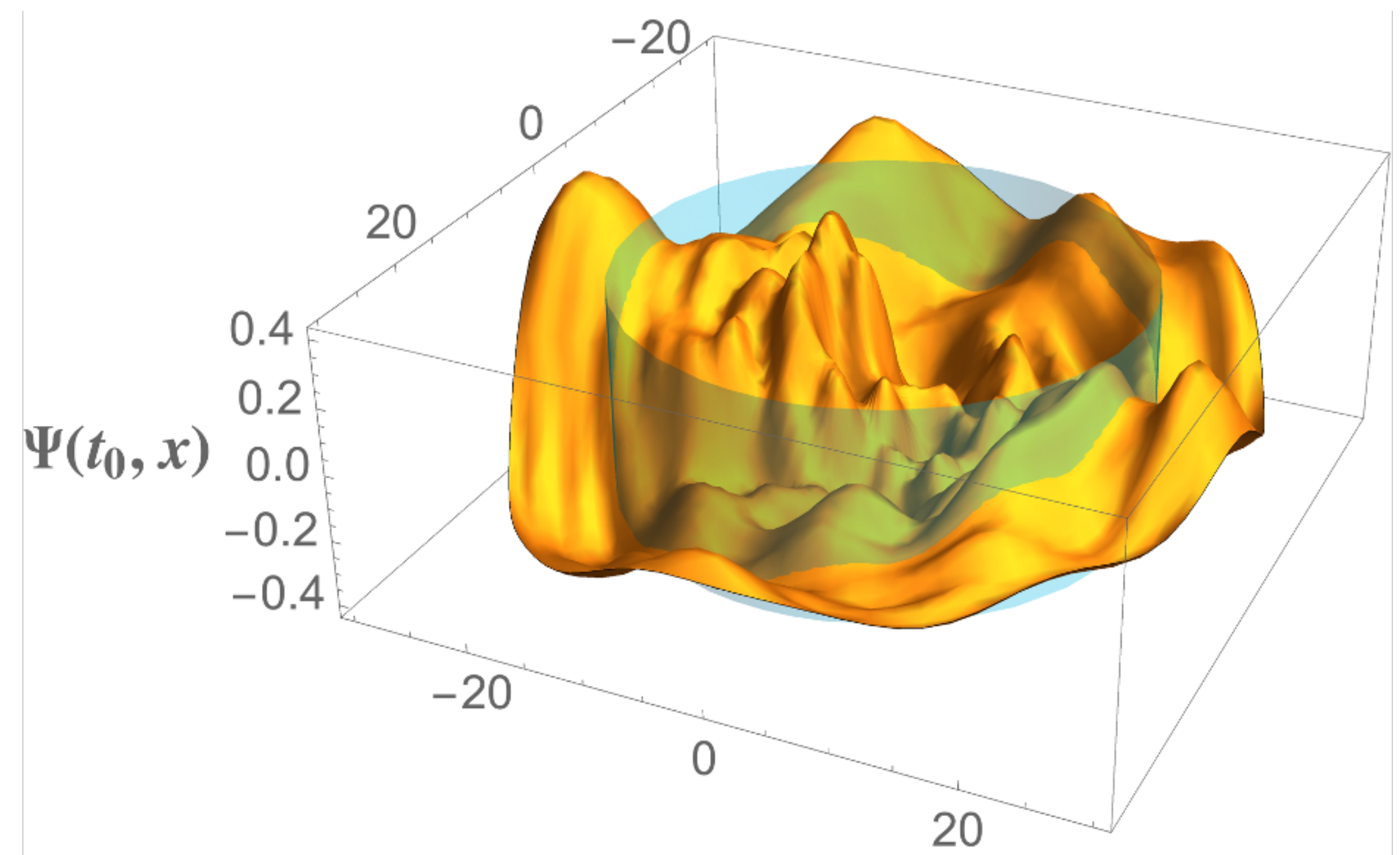
Some 3+1 dimensional Numerical Relativity Sims  
have been done recently e.g. Clough, Lim, Flauger 1712.07352



Large-field inflation is robust even amid large initial inhomogeneities; small-field inflation requires more special initial conditions, but is still more robust than analytic estimates had suggested. Source: David Kaiser Jan. 2021

For recent review of Inflation see:  
Inflation after Planck: Judgement Day Chowdhury,  
Martin, Ringeval, Vennin

Work by Kaiser, Fitzpatrick, Bloomfield, Hilbert  
(arXiv:1906.08651) simulated



# More on the non-minimal couplings...

## 1. Why isn't $\xi = -1/6$ ?

$-1/6$  is a fixed point of the  $\beta$ -function, but any nonzero value will work for renormalization. If we start with  $\xi \neq -1/6$  then the RG  $\implies \xi$  will run to higher values in the UV. If at tree level,  $\xi = -1/6$ , it will stay there for any energy scale.

## 2. How does renormalization work in this context?

Renormalization of a QFT is possible in a **fixed** curved background, not in dynamical curved background.

IF we set aside renormalization of the gravitational sector, and consider an EFT for self interacting scalar fields in 3+1 dimensions, then we must include the  $f(\phi)\tilde{R} \in \mathcal{L}$  and  $\xi$  can be any dimensionless free parameter

$$\mathcal{L} \ni f(\phi)\tilde{R} \sim \left( M^2 + \sum_I \xi_I (\phi^I)^2 \right) \tilde{R}$$

## More on the non-minimal couplings...

### 3. Why do we only consider non-negative values of $\xi_\phi, \xi_\chi$ in our models?

When we perform the conformal transformation, the conformal factor is  $\Omega^2 \sim f(\phi^I) \sim \left[ M^2 + \sum_I \xi_I (\phi^I)^2 \right]$ .

If we allowed one coupling  $\xi_K$  to have  $\text{sign}(\xi_K) \neq \text{sign}(\xi_I)$  for all  $I \neq K$ , then there exists a value of  $\Omega(x)$  such that  $\Omega(x) = 0$  for  $\phi \neq 0 \implies$  conformal transformation is not everywhere well defined.



# Quantum Diffusion During Ultra-Slow Roll Phase

Main idea:

1. During Ultra Slow-roll, quantum fluctuations must not make field zoom past the min/max feature ( $V_{,\sigma} \simeq 0$ ) too quickly or  $\mathcal{P}_R$  will not get large enough for PBH formation.
2. Also can't have insufficient kinetic energy for the field to classically pass through the local minimum or quantum diffusion effects become dominant

The condition that must be satisfied for us to ignore quantum diffusion effects during slow roll is:

$$\mathcal{P}_R(k) < 1/6$$

Approach: Back-reaction from quantum fluctuations  $\rightarrow$  variance in kinetic energy density:

$$\langle (\Delta K)^2 \rangle \simeq \frac{3H^4}{4\pi^2} \rho_{\text{kin}} \quad (\rho_{\text{kin}} = \dot{\sigma}^2/2)$$

Classical evolution  $\gg$  Quantum diffusion during ultra slow-roll **IF**  $\rho_{\text{kin}} > \sqrt{\langle (\Delta K)^2 \rangle}$ . Equivalent to

Idea: Use  $\Delta E \Delta t \leq \hbar/2$  as bound to determine when system will tunnel. Tunnel to right  $\rightarrow$  restart inflation, tunnel left  $\rightarrow$  first order phase transition ends inflation.

# Reheating in Multifield Models with Non-minimal couplings

Reheating has been studied in such models using lattice simulations

Our model  $N_{\text{reh}} \sim \mathcal{O}(1)$  e-folds.

Between  $t_{\text{end}}$  and  $t_{\text{rd}}$ , energy red-shifts as

$$\rho(t_{\text{rd}}) = \rho(t_{\text{end}})e^{-3N_{\text{reh}}}$$

$$\Delta N = \frac{1}{2} \log \left[ \frac{2H^2(t_{\text{pbh}})}{H(t_{\text{end}})} e^{-N_{\text{reh}}/4} t_c \right]$$

Radiation domination ( $w \simeq 1/3$ )  
within 1-3 e-folds  $\implies 18 \lesssim \Delta N \lesssim 25$

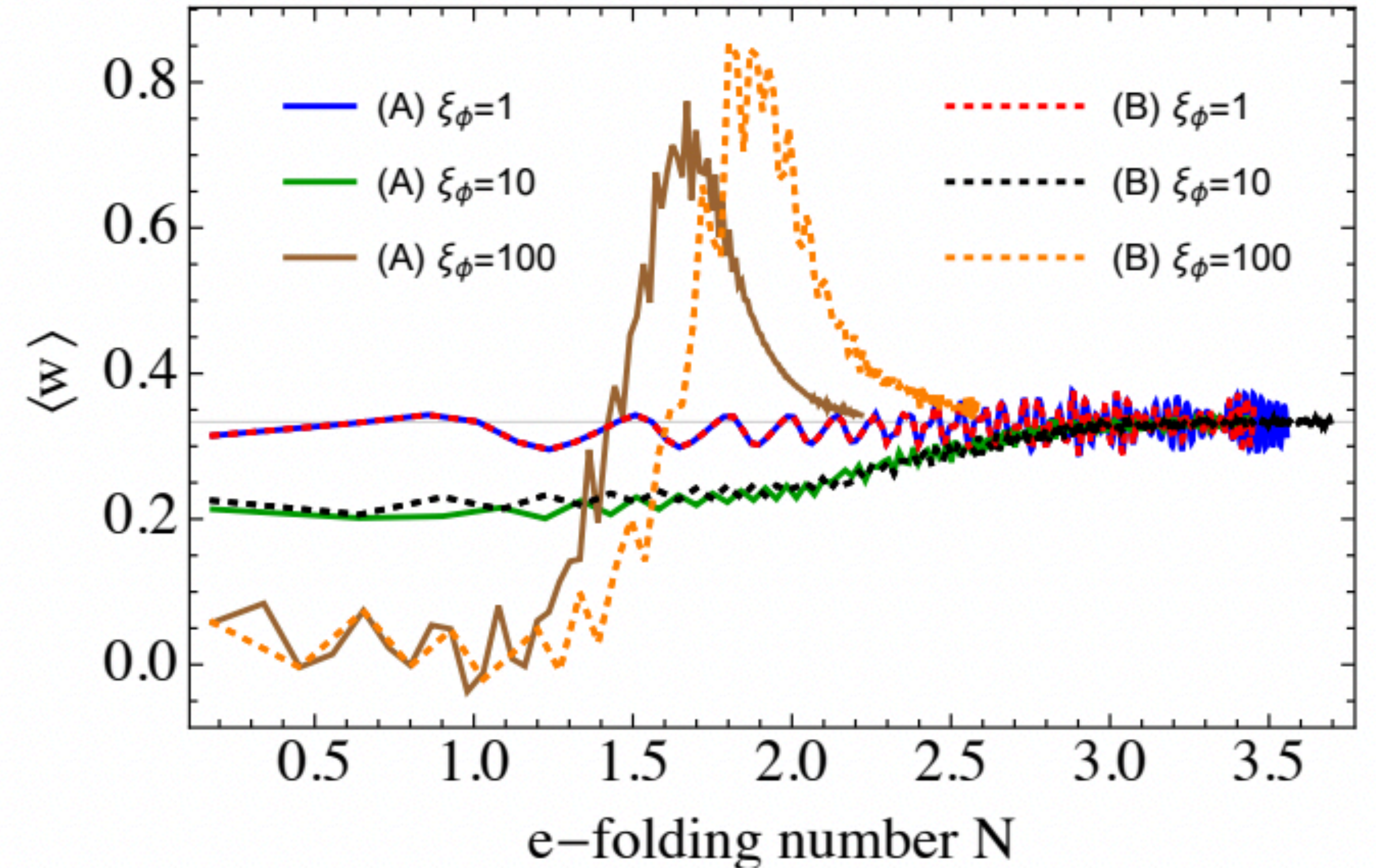


FIG. 5. The averaged effective equation of state  $\langle w \rangle$  for  $\xi_\phi = 1, 10, 100$  and the two representative cases, “generic” (A) and symmetric (B).

1905.12562v2 Nguyen, van de Vis, Sfakianakis, Giblin, Kaiser 2019



# Non-Gaussianities: constraints and our model

Equation of motion for the Adiabatic Modes:

$$\ddot{Q}_\sigma + 3H\dot{Q}_\sigma + \left[ \frac{k^2}{a^2} + \mathcal{M}_{\sigma\sigma} - \omega^2 - \frac{1}{M_{\text{pl}}^2 a^3} \frac{d}{dt} \left( \frac{a^3 \dot{\sigma}^2}{H} \right) \right] Q_\sigma = 2 \frac{d}{dt} (\omega Q_s) - 2 \left( \frac{V_{,\sigma}}{\dot{\sigma}} + \frac{\dot{H}}{H} \right) (\omega Q_s)$$

Modes couple only when  $\omega \neq 0$ !  
Scalar turn rate acts as a source term

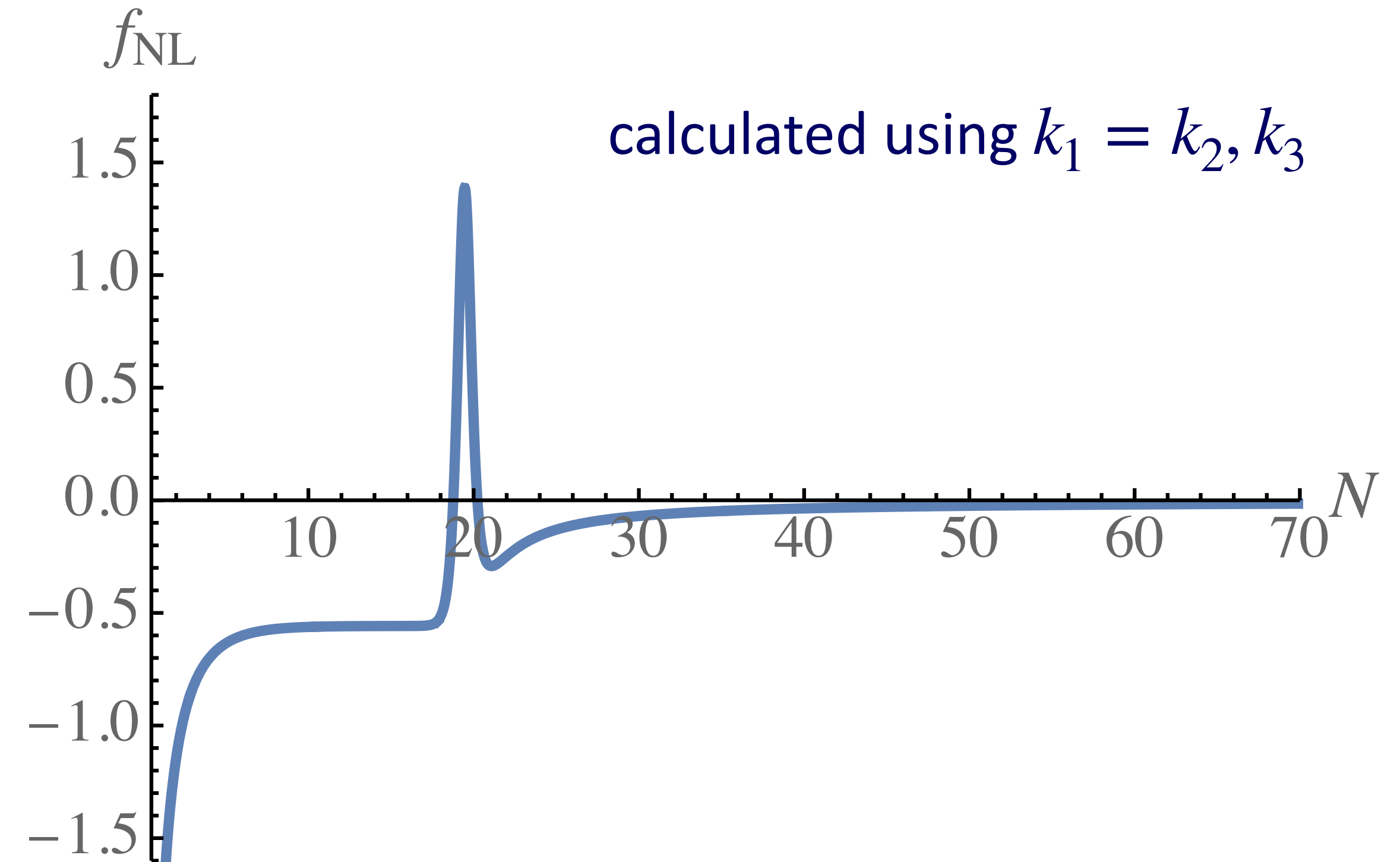
Equation of motion for the Isocurvature Modes:

$$\ddot{Q}_s + 3H\dot{Q}_s + \left[ \frac{k^2}{a^2} + \mu_s^2 \right] Q_s = 4M_{\text{pl}}^2 \frac{\omega}{\dot{\sigma}} \frac{k^2}{a^2} (\psi + a^2 H (\dot{E} - B a^{-1}))$$

$f_{\text{NL}}$  is defined in terms of power spectrum and bispectrum:

$$f_{\text{NL}}(k_1, k_2, k_3) = \frac{5}{6} \frac{\mathcal{B}_\zeta(k_1, k_2, k_3)}{\mathcal{P}_\zeta(k_1)\mathcal{P}_\zeta(k_2) + \mathcal{P}_\zeta(k_2)\mathcal{P}_\zeta(k_3) + \mathcal{P}_\zeta(k_1)\mathcal{P}_\zeta(k_3)}$$

where  $\zeta = -\psi - \frac{H}{\dot{\rho}} \delta\rho$



$$\mu = M_{\text{pl}}, b_1 = b_2 = -1.8 \times 10^{-4}, c_1 = 2.5 \times 10^{-4}, c_4 = 3.9 \times 10^{-3}, \xi_\phi = \xi_\chi = 100, \quad c_2 = c_3 = 3.570193 \times 10^{-3}$$



# Observables and Parameters

*“With four parameters I can fit an elephant and with five I can make him wiggle his trunk”*  
Enrico Fermi to John Von Neumman <https://www.nature.com/articles/427297a>

Observables	
$\Omega_k$	spatial curvature energy density
$n_s(k_*)$	spectral index
$\alpha(k_*)$	running of spectral index
$r(k_*)$	tensor/scalar ratio
$\beta_{\text{iso}}(k_*)$	isocurvature fraction
$f_{\text{NL}}$	local non-Gaussianity
$\mathcal{P}_R(k_{\text{pbh}})$	peak amplitude of $\mathcal{P}_R$
$\Delta N$	e-folds before $t_{\text{end}}$ when peak first passes outside $\frac{1}{H(k_{\text{pbh}})}$

Observables and Parameters

“With four parameters I can fit an elephant and with five I can make him wiggle his trunk”

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$$V(r, \theta) = \frac{1}{\left(1 + r^2 \left(\xi_\phi \cos^2 \theta + \xi_\chi \sin^2 \theta\right)\right)^2} \left[\mathcal{B}(\theta)r^2 + \mathcal{C}(\theta)r^3 + \mathcal{D}(\theta)r^4\right]$$

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Parameters	Deg. of Freedom
Non-minimal couplings: $\xi_\phi, \xi_\chi$	2
(dimensionless) mass matrix elements: $b_1, b_2, b_3( = b_{12})$	3
“Yukawa” couplings: $c_1, c_2, c_3, c_4$	4
Initial conditions: $r(t_i), \theta(t_i), \dot{r}(t_i), \dot{\theta}(t_i)$	4

# Observables and Parameters

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## Parameters

## Deg. of Freedom

Non-minimal couplings:  $\xi_\phi, \xi_\chi$

~~2~~       $\xi_\phi = \xi_\chi$       1

(dimensionless) mass matrix elements:  $b_1, b_2, b_3 (= b_{12})$

~~3~~       $b_1 = b_2, b_3 = 0$       1

“Yukawa” couplings:  $c_1, c_2, c_3, c_4$

~~4~~       $c_2 = c_3$       3

Initial conditions:  $r(t_i), \theta(t_i), \dot{r}(t_i), \dot{\theta}(t_i)$

~~4~~      only  $r(t_i)$       1

6



# Determining the Value of $N_{\text{CMB}}$

We do not marginalize over reheating histories due to computational costs.

We allow  $N_{\text{CMB}} = 55 \pm 5$  e-folds to account for the usual uncertainty in reheating. Multifield inflationary models such as those we consider typically have efficient reheating  $N_{\text{reh}} \sim \mathcal{O}(1)$ .

$N_{\text{CMB}}$  is thus a derived value rather than a parameter.

We allow the MCMC to optimize the value within the given window to fit the CMB+ PBH constraints

